

# Chiral Anomaly, Topological Field Theory, and Topological States of Matter<sup>1</sup>

Les Diablerets, May 2023

---

<sup>1</sup>Jürg Fröhlich, ETH Zurich

# Acknowledgements and Plan of Lecture

## Credits

I am deeply indebted to the late *R. Morf*, who served as my first mentor in matters of the QHE.

Various most pleasant collaborations with, among others:

*Alekseev, Bieri, Boyarsky, Cheianov, Graf, Kerler, Levkivskyi, Pedrini, Ruchayskiy, Schweigert, Studer, Sukhorukov, Thiran, Walcher, Zee* are gratefully acknowledged.

I also thank *Paul Wiegmann* for sometimes much needed encouragement.

## Contents

Introduction: Chiral anomaly and effective actions

1. Anomalous Chiral Edge Currents in Incompressible Hall Fluids
2. Chiral Spin Currents in Planar Topological Insulators
3. Summary, Open Problems, Outlook

# Abstract

*Starting with a description of the goals of the analysis and a brief survey of the chiral anomaly, I will review some basic elements of the theory of the quantum Hall effect in 2D electron gases. I will discuss the role of **anomalous chiral edge currents** and of anomaly inflow in 2D insulators with explicitly or spontaneously broken parity and time reversal, i.e., in incompressible Hall fluids and Chern insulators, respectively. The topological Chern-Simons actions yielding the correct response equations for the 2D bulk of such materials will be exhibited.*

*I will then analyse chiral **edge spin-currents** and the **bulk response equations** in time-reversal invariant 2D topological insulators.*

*To conclude some open problems and an outlook towards other related areas of theoretical physics will be presented.*

For details see: “Gauge invariance and anomalies in condensed matter physics,” J. Math. Phys. **64**, 031903 (2023)

## Introduction: Chiral anomaly and effective actions

Consider an insulator consisting of a 2D electron gas in a neutralising ionic background. The electrons are confined to a region  $\Omega$  in the plane  $\mathbb{R}^2$ . The space-time of the system is given by  $\Lambda = \mathbb{R} \times \Omega$ . We suppose that the electrons are coupled to an external electromagnetic vector potential  $A = A_0 dt + A_1 dx_1 + A_2 dx_2$ .

Since it is assumed that the material is an insulator, i.e., that the **longitudinal conductance vanishes**, it is easy to guess the form of the quantum-mechanical partition function,  $\mathcal{Z}(A)$ , of this system as a functional of the external vector potential  $A$  in the limiting regime of very large distances and very low frequencies (**scaling limit**):

$$\begin{aligned} \log \mathcal{Z}(A) &= \frac{1}{2} \int_{\Lambda} d^3x \{ \varepsilon \underline{E}^2(x) - \mu^{-1} B^2(x) \} + \\ &+ \frac{\sigma_H}{2} \int_{\Lambda} A \wedge dA + \text{boundary term} \quad (*) \end{aligned}$$

where  $\varepsilon$  is the dielectric constant of the insulator,  $\mu$  is its magnetic permeability, and the coefficient,  $\sigma_H$ , of the **topological Chern-Simons action** is the Hall conductivity.

## Anomalous boundary action

Disregarding from the magnetic moment of electrons, the motion of electrons confined to the planar region  $\Omega$  only depends on the in-plane components,  $\underline{E}$ , of the electric field and the component,  $B$ , of the magnetic induction perpendicular to the plane of the sample!

The Chern-Simons term on the right side of (\*) is “anomalous”: If  $A$  is subject to a gauge transformation the second term in (\*) changes by a **boundary term**: Let  $A' = A + d\chi$ , then

$$\int_{\Lambda} A' \wedge dA' = \int_{\Lambda} A \wedge dA + \int_{\partial\Lambda} \chi dA.$$

The anomaly ( $2^{nd}$ ) term on the right side is cancelled by the anomaly of the **chiral effective action** on  $\partial\Lambda$ , which is given by

$$\Gamma_{\partial\Lambda}(A) = \int_{\partial\Lambda} \{A_+ A_- - 2A_+ \frac{\partial_-^2}{\square} A_+\} d^2u,$$

where  $A|_{\partial\Lambda} = A_+ du^+ + A_- du^-$ , (“light-cone coordinates”).

## Action of conserved currents, TFT, braid statistics

The action  $\Gamma_{\partial\Lambda}(A)$  is the generating function of connected Green functions of the **anomalous chiral current** in  $1+1$  dimensions generating a chiral current algebra; (with the speed of light traded for the propagation speed of quasi-particles traveling along the edge,  $\partial\Omega$ , of the sample).

In a 3D space-time, a conserved current density,  $\mathcal{J}^\mu$ , is dual to a closed two-form,  $j$ . If the topology of the sample space-time  $\Lambda$  is trivial then

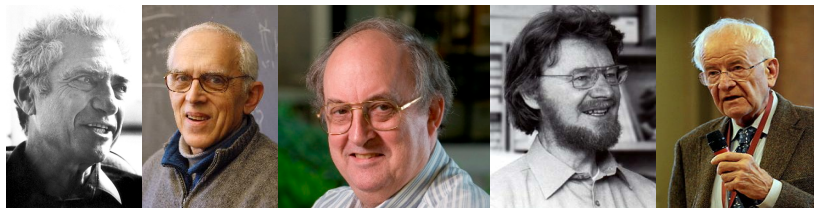
$$j = dB,$$

where  $B$  is a one-form (vector potential) unique up to a gradient,  $d\beta$ . For an insulator, the field theory of a conserved current is **topological**: The action of  $j = dB$  is the **Chern-Simons action**,

$$S_\Lambda(B; A) = \int_\Lambda (\sigma_H^{-1} B \wedge dB + A \wedge dB) + \text{boundary term}$$

We could now engage in a discussion of Chern-Simons theory, of the role it plays in the general theory of **braid statistics**, and of how the latter may be important for quantum computing, etc. – But let's not!

# The chiral anomaly



**Anomalous axial currents** (for massless fermions):

In 2D:

$$\partial_{\mu} j_5^{\mu} = \frac{\alpha}{2\pi} E, \quad \alpha := \frac{e^2}{\hbar}, \quad [j_5^0(\vec{y}, t), j^0(\vec{x}, t)] \stackrel{(ACC)}{=} i\alpha \delta'(\vec{x} - \vec{y})$$

In 4D:

$$\partial_{\mu} j_5^{\mu} = \frac{\alpha}{\pi} \vec{E} \cdot \vec{B},$$

and

$$[j_5^0(\vec{y}, t), j^0(\vec{x}, t)] \stackrel{(ACC)}{=} i\frac{\alpha}{\pi} \vec{B}(\vec{y}, t) \cdot \nabla_{\vec{y}} \delta(\vec{x} - \vec{y})$$

# 1. Anomalous Chiral Edge Currents in Incomp. Hall Fluids



From von Klitzing's lab journal ( $\Rightarrow$  1985 Nobel Prize in Physics):

QHE  
K. von Klitzing

Notes 4/5.2.1980

rotating sample holder

pin connections

$$E_u = \kappa_u \cdot \nabla \cdot j = \frac{h}{4\pi e} \cdot \nabla \cdot \frac{j}{c}$$

$$U_u = \frac{3}{\pi} \cdot I$$

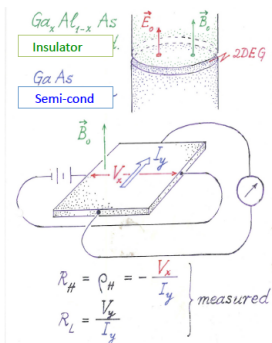
$$N = \frac{eB}{4\pi k} \quad (3, 2, -1)$$

notes of the phone call to PTB  
PTB 552/5721 (5.2.1980)  
Prof. v. Klitzing

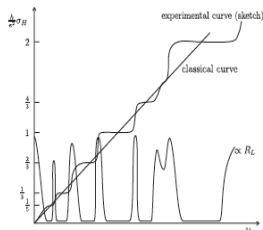
quantized resistances  
with and without the  
input resistance of the x-y recorder



# Setup & basic quantities



Experimental behavior of the Hall conductivity



2D EG confined to  $\Omega \subset xy$  - plane, in mag. field  $\vec{B}_0 \perp \Omega$ ;  $\nu$  such that  $R_L = 0$ . Response of 2D EG to small perturb. em field,  $\vec{E} \parallel \Omega$ ,  $\vec{B} \perp \Omega$ , with  $\vec{B}^{tot} = \vec{B}_0 + \vec{B}$ ,  $B := |\vec{B}|$ ,  $\underline{E} := (E_1, E_2)$ .

Field tensor:  $F := \begin{pmatrix} 0 & E_1 & E_2 \\ -E_1 & 0 & -B \\ -E_2 & B & 0 \end{pmatrix} = dA$ , (A: vector pot.)

# Electrodynamics of 2D incompressible $e^-$ -gases

Def.:

$$j^\mu(x) = \langle J^\mu(x) \rangle_A, \quad \mu = 0, 1, 2.$$

(1) Hall's Law

$$\underline{j}(x) = \sigma_H (\underline{E}(x))^*, \quad (R_L = 0!) \rightarrow \text{broken } P, T \quad (1)$$

(2) Charge conservation

$$\frac{\partial}{\partial t} \rho(x) + \underline{\nabla} \cdot \underline{j}(x) = 0 \quad (2)$$

(3) Faraday's induction law

$$\frac{\partial}{\partial t} B_3^{tot} + \underline{\nabla} \wedge \underline{E}(x) = 0 \quad (3)$$

Then

$$\frac{\partial \rho}{\partial t} \stackrel{(2)}{=} -\underline{\nabla} \cdot \underline{j} \stackrel{(1)}{=} -\sigma_H \underline{\nabla} \wedge \underline{E} \stackrel{(3)}{=} \sigma_H \frac{\partial B}{\partial t} \quad (4)$$

## ED of 2D $e^-$ -gases, ctd.

Integrate (4) in  $t$ , with integration constants chosen as follows:

$$j^0(x) := \rho(x) + e \cdot n, \quad B(x) = B_3^{\text{tot}}(x) - B_0 \quad \Rightarrow$$

### (4) Chern-Simons Gauss law

$$j^0(x) = \sigma_H B(x) \quad (5)$$

$$\text{Eqs. (1) and (5)} \Rightarrow \boxed{j^\mu(x) = \sigma_H \varepsilon^{\mu\nu\lambda} F_{\nu\lambda}(x)} \quad (6)$$

Now

$$0 \stackrel{(2)}{=} \partial_\mu j^\mu \stackrel{(3),(6)}{=} \varepsilon^{\mu\nu\lambda} (\partial_\mu \sigma_H) F_{\nu\lambda} \neq 0, \quad (7)$$

wherever  $\sigma_H \neq \text{const.}$ , e.g., at  $\partial\Omega$ . – Actually,  $j^\mu$  is *bulk* current density, ( $j_{\text{bulk}}^\mu$ ),  $\neq$  conserved *total* electric current density:

$$j_{\text{tot}}^\mu = j_{\text{bulk}}^\mu + j_{\text{edge}}^\mu, \quad \partial_\mu j_{\text{tot}}^\mu = 0, \quad \text{but} \quad \partial_\mu j_{\text{bulk}}^\mu \stackrel{(7)}{\neq} 0 \quad (8)$$

# Anomalous chiral edge currents

We have that

$$\text{supp } j_{edge}^{\mu} = \text{supp}(\underline{\nabla}\sigma_H) \supseteq \partial\Omega, \quad \underline{j}_{edge} \perp \underline{\nabla}\sigma_H.$$

*"Holography"*: On  $\text{supp}(\underline{\nabla}\sigma_H)$ ,

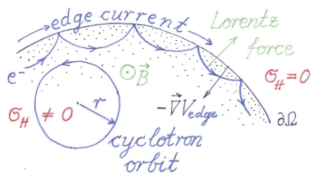
$$\partial_{\mu} j_{edge}^{\mu} \stackrel{(8)}{=} -\partial_{\mu} j_{bulk}^{\mu}|_{\text{supp}(\underline{\nabla}\sigma_H)} \stackrel{(6)}{=} -\sigma_H E_{\parallel}|_{\text{supp}(\underline{\nabla}\sigma_H)} \quad (9)$$

**Chiral anomaly in 1+1 dimensions!**

Edge current,  $j_{edge}^{\mu} \equiv j_5^{\mu}$ , is anomalous chiral current in 1 + 1 D: At edge,

$$\frac{e}{c} B^{tot} v_{\parallel} = (\underline{\nabla} V_{edge})^*, \quad V_{edge} : \text{confining edge pot.}$$

# Skipping orbits, hurricanes, and fractional charges



Analogous phenomenon in classical physics: **Hurricanes!**

$\vec{B} \rightarrow \vec{\omega}_{\text{earth}}$ , Lorentz force  $\rightarrow$  Coriolis force,  $V_{\text{edge}} \rightarrow$  air pressure.

The chiral anomaly in (1 + 1)D says that

$$\partial_{\mu} j_5^{\mu} = -\frac{e^2}{h} \left( \sum_{\text{i.e.m., } \alpha} Q_{\alpha}^2 \right) E_{\parallel} \quad \text{with (9)} \quad \Rightarrow \quad \boxed{\sigma_H = \frac{e^2}{h} \sum_{\alpha} Q_{\alpha}^2}, \quad (10)$$

where  $eQ_{\alpha}$  is the electric charge of the edge current corresponding to a clockwise-chiral edge mode  $\alpha$ ; (similar contributions from anti-clockwise modes, but with reversed sign!)  $\rightarrow$  **Bert Halperin's edge currents!**

## Edge- and bulk effective actions

Apparently, if  $\sigma_H \notin \frac{e^2}{h} \mathbb{Z}$  then there exist **fractionally charged quasi-particles** propagating along  $\text{supp}(\nabla\sigma_H)$ !

Chiral edge current  $d \cdot J_{edge}^\mu =$  generator of  $U(1)$ - current algebra (free massless fields!) Green functions of  $J_{edge}^\mu$  obtained from 2D **anomalous effective action**  $\Gamma_{\partial\Omega \times \mathbb{R}}(A_{\parallel}) = \dots$ , where  $A_{\parallel}$  is restriction of vector potential,  $A$ , to boundary  $\partial\Omega \times \mathbb{R}$ .

Anomaly of  $\sigma_H \Gamma_{\partial\Omega \times \mathbb{R}}(A_{\parallel})$  – consequence of fact that  $J_{edge}^\mu$  is *not* cons. – is cancelled by the one of **bulk effective action**,  $S_{\Omega \times \mathbb{R}}(A)$ :

$$j_{bulk}^\mu(x) = \langle J^\mu(x) \rangle_A \equiv \frac{\delta S_{\Omega \times \mathbb{R}}(A)}{\delta A_\mu(x)}$$
$$\stackrel{(6)!}{=} \sigma_H \varepsilon^{\mu\nu\lambda} F_{\nu\lambda}(x), \quad x \notin \partial\Omega \times \mathbb{R}$$

$$\Rightarrow \boxed{S_{\Omega \times \mathbb{R}}(A) = \frac{\sigma_H}{2} \int_{\Omega \times \mathbb{R}} A \wedge dA + \sigma_H \Gamma_{\partial\Omega \times \mathbb{R}}(A_{\parallel})} \quad (11)$$

**Chern-Simons action on manifold with boundary!**

## Edge- and bulk effective actions – ctd.

The 2D anomalous effective action is given by

$$\Gamma_{\partial\Omega \times \mathbb{R}}(a) := \int_{\partial\Omega \times \mathbb{R}} \left[ a_+ a_- - 2a_{\pm} \frac{\partial_{\mp}^2}{\square} a_{\pm} \right] d^2 u,$$

where  $a = a_+ du^+ + a_- du^- \equiv A_{\parallel}$  (“light-cone coordinates”). *Exercise:* Check that the anomaly of the (bulk) Chern-Simons action, which is a **boundary term**, is cancelled by the one of  $\Gamma_{\partial\Omega \times \mathbb{R}}(A_{\parallel})$ .

Whatever we have said about 2D electron gases in a homogeneous external magnetic field exhibiting the QHE can be extended to so-called **Chern insulators**, which have the property that **Parity** and **Time Reversal** are broken *even* in the absence of an external magnetic field, (e.g., because of magnetic impurities in the bulk). The low-energy physics of quasi-particles in the bulk of a Chern insulator resembles the one of two-component relativistic Dirac fermions coupled to the electromagnetic vector potential, with an effective action given by (11), with  $A = A_{\text{tot}}$ , and  $\sigma_H = e^2/2h$  (= Chern class of a certain vector bundle of Dirac fermion wave functions over Brillouin zone  $\mathbb{T}^2$ ).

# Classification of “abelian” Hall fluids & Chern insulators

Next, I sketch a general classification of **2D insulators** with broken  $T$  and  $P$  in topologically protected states exhibiting **quasi-particles with abelian braid statistics**; (“non-abelian states” discussed elsewhere).

As above,  $J$  denotes the *total* electric current density (bulk + edge), which is **conserved**, ie.,  $\partial_\mu J^\mu = 0$ . We consider a **general ansatz** for  $J$ :

$$J = \sum_{\alpha=1}^N Q_\alpha J_\alpha,$$

where the  $J_\alpha$  are separately conserved current densities corresp. to different quasi-particle species, and the coeffs.  $Q_\alpha \in \mathbb{R}$  are “charges”. On a 3D space-time  $\Lambda = \Omega \times \mathbb{R}$ , a conserved current density  $J$  can be derived from a **vector potential**: If  $j$  denotes the 2-form dual to  $J$  then conservation of  $J$  implies that  $dj = 0$ , and hence

$$j = dB,$$

where the 1-form  $B$  is the vector potential of  $j$  and is determined up to a gradient of a scalar function  $\beta$ ; i.e.,  $B$  and  $B + d\beta$  yield the same  $j$ .



## Chern-Simons effective action of conserved currents

Henceforth we use units where  $\frac{e^2}{h} = 1$ . For a 2D insulator, the field theory of the conserved currents  $(J_\alpha)_{\alpha=1}^N$  in the limit of very large distances and low frequencies must be **topological**: If  $P$  and  $T$  are broken the “most relevant” term in the action is the Chern-Simons action

$$S_\Lambda(\underline{B}, A) := \sum_{\alpha=1}^N \int_\Lambda \left\{ \frac{1}{2} B_\alpha \wedge dB_\alpha + A \wedge Q_\alpha dB_\alpha \right\} + \text{boundary terms}, \quad (*)$$

where  $A$  is the electromagnetic vector potential, and the **boundary terms** must be added to cancel the **anomalies** under the gauge transformations,  $B \rightarrow B + d\beta$  and  $A \rightarrow A + d\chi$ , of the Chern-Simons action (1<sup>st</sup> term on right side).

Carrying out the Gaussian functional integral, we find that

$$\int \exp(iS_\Lambda(\underline{B}, A)) \prod_{\alpha=1}^N \mathcal{D}B_\alpha = \exp\left(i\frac{\sigma_H}{2} \int_\Lambda A \wedge dA + \sigma_H \Gamma_\Lambda(A_\parallel)\right), \quad (**)$$

where

$$\sigma_H = \sum_{\alpha=1}^N Q_\alpha^2.$$

# Classification of 2D “abelian” topological insulators with broken $P$ and $T$ – bulk degrees of freedom

Physical states of the topological field theory with action given by (\*) can be constructed by inserting **Wilson lines** into the Gaussian functional integral on the left side of (\*\*). The operator measuring the electric charge contained in a region  $\mathcal{O}$  of the sample space  $\Omega$  is given by

$$Q_{\mathcal{O}} = \int_{\mathcal{O}} J^0 d^2x = \sum_{\alpha=1}^N Q_{\alpha} \int_{\partial\mathcal{O}} B_{\alpha}.$$

If a Wilson line is supposed to create a state describing  $n$  electrons or holes contained in  $\mathcal{O}$  from the ground state of the insulator then its electric charge, as measured by  $Q_{\mathcal{O}}$ , is  $-n + 2k$ ,  $k = 1, \dots, n$ . If  $n$  is **odd** the statistics of this excitation is **Fermi-Dirac statistics**, if  $n$  is **even** it is **Bose-Einstein statistics**. This relation between the electric charge of an excitation and its statistics implies that the charge quantum numbers of Wilson lines creating multi-electron-hole excitations must belong to an **odd-integral lattice**,  $\Gamma$ , of rank  $N$ , and that  $Q := (Q_1, \dots, Q_N) \in \Gamma^*$ . Hence  $\sigma_H = \sum_{\alpha=1, \dots, N} Q_{\alpha}^2$  is a **rational number**!

## Classification, ctd. – edge degrees of freedom

Chiral anomaly (10)  $\Rightarrow$  several ( $N$ ) species of gapless quasi-particles propagating along edge  $\leftrightarrow$  described by  $N$  chiral scalar Bose fields  $\{\varphi^\alpha\}_{\alpha=1}^N$  with propagation speeds  $\{v_\alpha\}_{\alpha=1}^N$ , such that

### 1. Chiral electric edge current operator & Hall conductivity

$$J_{edge}^\mu = e \sum_{\alpha=1}^N Q_\alpha \partial^\mu \varphi^\alpha, \quad Q = (Q_1, \dots, Q_N), \quad \sigma_H = \frac{e^2}{h} Q \cdot Q^T$$

### 2. Multi-electron/hole states loc. along edge created by vertex ops.

$$: \exp i \left( \sum_{\alpha=1}^N q_\alpha^j \varphi^\alpha \right) :, \quad q^j = \begin{pmatrix} q_1^j \\ \vdots \\ q_N^j \end{pmatrix} \in \Gamma, \quad j = 1, \dots, N. \quad (12)$$

Charge  $\leftrightarrow$  Statistics  $\Rightarrow \Gamma$  an **odd-integral lattice** of rank  $N$ . Hence:

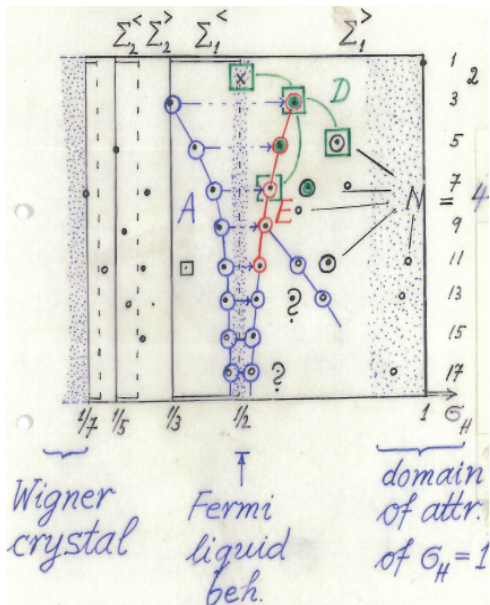
### 3. Classifying data are

$$\{ \Gamma; Q \in \Gamma^* : \text{“visible”}; (q_\alpha^j)_{j,\alpha=1}^N : \sim \text{CKM matrix}; v = (v_\alpha)_{\alpha=1}^N \}$$

$\rightarrow$  quasi-particles w. **abelian braid statistics!**

# Success of classification – comparison with data

$\Gamma = \text{odd-integral lattice, } Q \in \Gamma^* \Rightarrow \left(\frac{e^2}{h}\right)^{-1} \sigma_H \in \mathbb{Q}(!), \dots$



## 2. Chiral Spin Currents in Planar Topological Insulators

So far, we have not paid attention to **electron spin**, although there are 2D EG exhibiting the fractional quantum Hall effect where spin plays an important role. Won't study these systems, today.

Instead, we consider **time-reversal-invariant 2d topological insulators (2D TI)** exhibiting **chiral spin currents**.

**Pauli Eq. for a spinning electron:**

$$i\hbar D_0 \Psi_t = -\frac{\hbar^2}{2m} g^{-1/2} D_k (g^{1/2} g^{kl}) D_l \Psi_t, \quad (13)$$

where  $m$  is the mass of an electron,  $(g_{kl}) =$  metric of sample,

$$\Psi_t(x) = \begin{pmatrix} \psi_t^\uparrow(x) \\ \psi_t^\downarrow(x) \end{pmatrix} \in L^2(\mathbb{R}^3) \otimes \mathbb{C}^2 : \quad \text{2-component Pauli spinor}$$

$$i\hbar D_0 = i\hbar \partial_t + e\varphi - \underbrace{\vec{W}_0 \cdot \vec{\sigma}}_{\text{Zeeman coupling}}, \quad \vec{W}_0 = \mu c^2 \vec{B} + \frac{\hbar}{4} \vec{\nabla} \wedge \vec{V} \quad (14)$$

## $U(1)_{em} \times SU(2)_{spin}$ -gauge invariance

$$\frac{\hbar}{i} D_k = \frac{\hbar}{i} \partial_k + eA_k - m_0 V_k - \vec{W}_k \cdot \vec{\sigma}, \quad (15)$$

where  $\vec{A}$  is em vector potential,  $\vec{V}$  is velocity field describing mean motion (flow) of sample, ( $\vec{\nabla} \cdot \vec{V} = 0$ ),

$$\vec{W}_k \cdot \vec{\sigma} := \underbrace{\left[ \left( -\tilde{\mu} \vec{E} + \frac{\hbar}{c^2} \dot{\vec{V}} \right) \wedge \vec{\sigma} \right]_k}_{\text{spin-orbit interactions}}$$

and  $\tilde{\mu} = \mu + \frac{e\hbar}{4mc^2}$  ( $\leftarrow$  Thomas precession).

Note that the Pauli equation (13) respects  $U(1)_{em} \times SU(2)_{spin}$  - gauge invariance.

We now consider an **interacting 2D** gas of electrons confined to a region  $\Omega$  of the  $xy$ - plane, with  $\vec{B} \perp \Omega$  and  $\vec{E}, \vec{V} \parallel \Omega$ . Then the  $SU(2)$  - conn.,  $\vec{W}_\mu$ , is given by  $W_\mu^3 \cdot \sigma_3$ , ( $W^M = 0$ , for  $M = 1, 2$ ).

## Effective action of a 2D TI

Thus the connection for parallel transport of the component  $\psi^\uparrow$  of  $\Psi$  is given by  $a + w$ , while parallel transport of  $\psi^\downarrow$  is determined by  $a - w$ , where  $a_\mu = -eA_\mu + mV_\mu$ ,  $w_\mu = W_\mu^3$ . These connections are **abelian**, (phase transformations). Under **time reversal**,

$$a_0 \rightarrow a_0, \quad a_k \rightarrow -a_k, \quad \text{but } w_0 \rightarrow -w_0, \quad w_k \rightarrow w_k. \quad (16)$$

The dominant term in the **effective action** of a **2D insulator** is a **Chern-Simons term**. If there were only the gauge field  $a$ , with  $w \equiv 0$ , or only the gauge field  $w$ , with  $a \equiv 0$ , a Chern-Simons term would *not* be invariant under time reversal, and the dominant term would be given by

$$S(a) = \int dt d^2x \{ \varepsilon \underline{E}^2 - \mu^{-1} B^2 \} \quad (17)$$

But, in the presence of two gauge fields,  **$a$**  and  **$w$** , satisfying (16):

## Effective action of a 2D TI, ctd.

Combination of **two** Chern-Simons terms **is** time-reversal invariant:

$$\begin{aligned} S(a, w) &= \frac{\sigma}{2} \int \{(a + w) \wedge d(a + w) - (a - w) \wedge d(a - w)\} \\ &= \sigma \int \{a \wedge dw + w \wedge da\} \end{aligned}$$

This reproduces (17) for phys. choice of  $w$ ! ( $\nearrow$  J.F., Les Houches '94!) – The gauge fields  $a$  and  $w$  transform **independently** under gauge transformations, and the Chern-Simons action is **anomalous** under these gauge trsfs. on a 2D sample space-time  $\Lambda = \Omega \times \mathbb{R}$  with a non-empty boundary,  $\partial\Lambda$ . The anomalous chiral boundary actions,

$$\pm\sigma\Gamma((a \pm w)|_{\parallel}),$$

cancel anomaly of bulk action! Are **generating functionals** of conn. Green functions of **two counter-propagating chiral edge currents**:



## Edge degrees of freedom: Spin currents

One of the two counter propagating edge currents has “spin-up” (in  $+z$ -direction,  $\perp \Omega$ ), the other one has “spin down”. Thus, a net **chiral spin current**,  $s_{edge}^3$ , can be excited to propagate along the edge; but there is no net electric edge current!

**Response Equations**, (2 oppositely (spin-)polarized bands):

$$\underline{j}(x) = 2\sigma(\underline{\nabla}B)^*, \quad \text{and}$$

$$s_3^\mu(x) = \frac{\delta S(a, w)}{\delta w_\mu(x)} = 2\sigma\epsilon^{\mu\nu\lambda}F_{\nu\lambda}(x) \quad (18)$$

$\Rightarrow$  **edge spin current** – as in (7)!

We should ask what kinds of quasi-particles may produce the (bulk) Chern-Simons terms

$$S_\pm(a \pm w) = \pm \frac{\sigma}{2} \int \{(a \pm w) \wedge d(a \pm w),$$


where, apparently  $+$  stands for “spin-up” and  $-$  stands for “spin-down”. Well, it has been known ever since the seventies <sup>1</sup> that a two-component relativistic Dirac fermion with mass  $M > 0$  ( $M < 0$ ), coupled to an abelian gauge field  $A$ , breaks parity and time-reversal invariance and induces a Chern-Simons term

$$\begin{matrix} + \\ (-) \end{matrix} \frac{1}{2\pi} \int A \wedge dA$$

We thus argue that a **2D time-reversal invariant topological insulator** with chiral edge spin-current exhibits **two species of charged quasi-particles** in the bulk, with one species (spin-up) related to the other one (spin-down) by time reversal, and each species has two degenerate states per wave vector mimicking a **2-component Dirac fermion** (at small wave vectors).



---

<sup>1</sup>the first published account of this observation – originally due to Magnen, S en or and myself – appears in a paper by Deser, Jackiw and Templeton of 1982 

# Conclusions

- Physics in 2D is surprisingly rich and has considerable potential for important technological applications. Interesting mathematical techniques – ranging from abstract algebra over the topology of fibre bundles all the way to hard analysis – find applications in the solution of problems of 2D Physics.
- 2D electron gases, Bose gases and magnetic materials are fascinating play grounds for experimentalists and theorists alike, not least because general principles of quantum theory, such as braid statistics, fractional spin & fractional electric charges, anomalies and their cancellation, current algebra, holography, two-comp. Dirac-like and Majorana fermions, etc., appear to manifest themselves in the physics of various 2D systems.
- It is interesting to consider higher-dimensional cousins of the QHE and of time-reversal invariant topological insulators. Some of them are likely to be relevant, e.g., in cosmology – in connection with the generation of primordial magnetic fields in the Universe, Dark Matter & Dark Energy. These matters are discussed on other occasions.

I thank you for your attention!

## “Survivre et Vivre” – 47 years later

For those of you who understand some written French:

... depuis fin juillet 1970 je consacre la plus grande partie de mon temps en militant pour le mouvement '*Survivre*', fondé en juillet à Montréal. Son but est la lutte pour **la survie de l'espèce humaine**, et même de la vie tout court, menacée par le **déséquilibre écologique** croissant causé par une utilisation indiscriminée de la science et de la technologie et par des mécanismes sociaux suicidaires, et menacée également par des **conflits militaires** liés à la prolifération des appareils militaires et des industries d'armements. ...

*Alexandre Grothendieck*

!