#### High-quality axions in solutions to the $\mu$ problem

Prudhvi N. Bhattiprolu prudhvib@umich.edu

University of Michigan

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Based on work with Stephen P. Martin, arXiv:hep-ph/2106.14964

## The Kim-Nilles mechanism

Consider MSSM (without  $\mu$ -term) + two gauge-singlets X and Y:

$$W_{\rm I} \supset \frac{\lambda_{\mu}}{M_P} XYH_u H_d + \frac{\lambda}{6M_P} X^3Y,$$

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The total scalar potential (with the soft terms) has a local minimum for

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with  $m_{
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The low-energy theory now contains:

$$\blacktriangleright \mu = \frac{\lambda_{\mu}}{M_{P}} \langle XY \rangle \sim m_{\rm soft}$$

An invisible DFSZ-type QCD axion

solving the  $\mu$  problem and the strong CP problem!

## The four base models<sup>†</sup>

Base model	Superpotential terms	PQ charges of $(X, Y)$
BI	$XYH_uH_d + X^3Y$	(-1, 3)
B <sub>II</sub>	$X^2 H_u H_d + X^3 Y$	(1, -3)
B <sub>III</sub>	$Y^2 H_u H_d + X^3 Y$	$\left(-\frac{1}{3},1 ight)$
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In terms of the PQ charges of the MSSM quark and lepton doublets  $Q_q$ ,  $Q_\ell$ 

	H <sub>u</sub>	H <sub>d</sub>	ū	$\overline{d}$	ē
PQ charge	$-2c_{\beta}^2$	$-2s_{\beta}^2$	$2c_{eta}^2-Q_q$	$2s_{eta}^2-Q_q$	$2s_{eta}^2-Q_\ell$

where  $\tan \beta = c_{\beta}/s_{\beta}$  is the ratio of the Higgs VEVs.

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#### $g_{A\gamma}$ suppressed in all four base models!

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 $U(1)_{PQ} \xrightarrow{PQ \text{ breaking}} Z_{N_{DW}}$  discrete symmetry

Domain wall number ( $N_{DW}$ ): number of discrete set of inequivalent degenerate minima of the axion potential.

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#### Problem

Formation of topological defects such as stable DWs, due to the different possible phases of the axion, which dominate the universe<sup> $\dagger$ </sup>

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#### Some solutions

- If PQ breaking happens before inflation
- $N_{\rm DW} = 1$  (our focus)

 $N_{\rm DW} \neq 1$  in all four base models.

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### Base model extensions

Consistent with gauge coupling unification, we consider the following extensions:

- **5** +  $\overline{\mathbf{5}}$  at TeV or  $M_{\text{int}}$
- $\blacktriangleright$  10 +  $\overline{10}$  at TeV
- $\blacktriangleright$  10 +  $\overline{10}$  at  $M_{\text{int}}$
- $\begin{array}{c|c} \bullet & \mathbf{10} + \mathbf{10} \text{ at } M_{\text{int}} \\ \bullet & (\mathbf{5} + \overline{\mathbf{5}}) \text{ or } (\mathbf{10} + \overline{\mathbf{10}}) \text{ at TeV, } (\mathbf{5} + \overline{\mathbf{5}}) \text{ or } (\mathbf{10} + \overline{\mathbf{10}}) \text{ at } M_{\text{int}} \\ \end{array} \right\} N_{\text{DW}} = 1 \text{ possible}$

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Here.

$$\overline{\mathbf{5}} = \underbrace{(\overline{\mathbf{3}}, \mathbf{1}, 1/3)}_{\overline{D}} + \underbrace{(\mathbf{1}, \mathbf{2}, -1/2)}_{L}$$
$$\mathbf{10} = \underbrace{(\mathbf{3}, \mathbf{2}, 1/6)}_{Q} + \underbrace{(\overline{\mathbf{3}}, \mathbf{1}, -2/3)}_{\overline{U}} + \underbrace{(\mathbf{1}, \mathbf{1}, 1)}_{\overline{E}}$$

We allow for different components of the  $\mathbf{5} + \overline{\mathbf{5}}$  and/or  $\mathbf{10} + \overline{\mathbf{10}}$  to have different mass source terms.

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Extensions with  $N_{\rm DW} = 1$  give rise to enhanced low-energy axion couplings!

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TeV scale masses:

$$W_{\text{mass}} = \begin{cases} \frac{\lambda_{\Phi}}{M_{P}} XY \Phi \overline{\Phi}, \\ \frac{\lambda_{\Phi}}{2M_{P}} X^{2} \Phi \overline{\Phi}, \\ \frac{\lambda_{\Phi}}{2M_{P}} Y^{2} \Phi \overline{\Phi}, \end{cases}$$

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Mass terms fix the PQ charge of the terms  $\Phi\overline{\Phi}$  which in turn fix the low-energy axion couplings, independent of the Yukawa terms.

# The axion quality problem

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Higher dimensional operators from quantum gravity can explicitly violate global  $U(1)_{\rm PQ}$  and reintroduce the strong CP problem

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In our case, consider

$$W = \frac{\kappa}{M_P^{p-3}} X^j Y^{p-j}$$

that contributes to the axion potential (with soft terms), giving rise to:

$$|\theta_{\rm eff}| = \frac{\delta}{(0.0754 \text{ GeV})^4} \frac{f_A^{p+2}}{M_P^{p-2}},$$

with a dimensionless quantity  $\delta$ , and  $f_A$  identified with  $M_{\rm int}$ .

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#### Solution

We find that  $X^j Y^{p-j}$  with p < 7 should be forbidden for  $| heta_{ ext{eff}}| \lesssim 10^{-10}$ 

	gauginos	W	chiral superfield $\Phi$	fermion in $\Phi$
$Z_n^R$ charge (mod $n$ )	r	2r	Zφ	$z_{\Phi}-r$

For non-*R* symmetry r = 0, and for *R*-symmetry 0 < r < n/2.

In both cases,  $z_{\Phi} = 0, 1, ..., n - 1$ .

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With a normalization where  $Z_n^R \times G_{SM} \times G_{SM}$  anomalies are integers, we impose the following anomaly-free conditions:<sup>†</sup>

$$A_2 = A_3 = \rho_{\mathsf{GS}} \pmod{n},$$

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for the weaker condition, with the additional stronger condition

$$A_1 = 5A_3 = 5\rho_{GS} \pmod{n},$$

which does not require the Green-Schwarz (GS) mechanism if  $\rho_{GS} = 0$ .

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**Stronger constraints** with  $\rho_{GS} \neq 0$ : (Here, m = 0, 1, 2)

Model	Z <sub>n</sub>	X	H <sub>u</sub>	p	$\rho_{GS}$
B <sub>III</sub>	36	1	8 + 12m	12	18
B <sub>IV</sub>	36	3	4	8	18

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Weaker constraint with  $\rho_{GS} \neq 0$ : Lots of cases, e.g., a  $Z_{22}$  symmetry<sup>†</sup>

Model	Z <sub>n</sub>	X	H <sub>u</sub>	р	$\rho_{GS}$
B <sub>IV</sub>	22	2	2	11	12

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# Examples with $Z_n^R$ symmetries: Base models

**Stronger constraints** with  $\rho_{GS} = 0$ : Some examples,

Model	$Z_n^R$	r	X	H <sub>u</sub>	p
B <sub>III</sub>	54	3	5	1 + 18m	10
B <sub>IV</sub>	12	1	8	1 + 4m	7

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**Stronger constraints** with  $\rho_{GS} \neq 0$ : As a special case, we found a  $Z_{24}^R$  symmetry with SU(5) invariance<sup>†</sup>

Model	$Z_n^R$	r	X	$H_u$	p	$\rho_{GS}$
B <sub>II</sub>	24	1	11	1	10	18
B <sub>III</sub>	24	1	5	1	10	18

We do not impose SU(5) invariance.

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# Examples with $Z_n^{(R)}$ symmetries: Base model extensions

#### Stronger constraints: Examples,

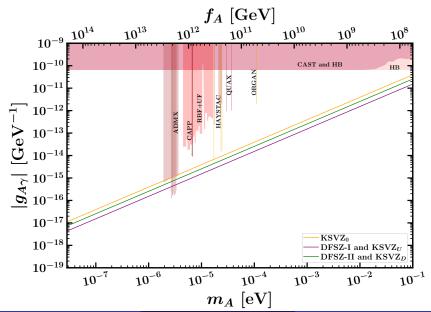
Base	Extension	$Z_n^R$	r	X	H <sub>u</sub>	p	$\rho_{GS}$
BI	$XYD\overline{D} + X^2L\overline{L}$	34	1	31	15	12	16
B <sub>II</sub>	$Y^2 D\overline{D} + Y^2 L\overline{L}$	108	6	11	22 + 36 <i>m</i>	20	0
BIII	$X^2 Q \overline{Q} + X^2 U \overline{U} + Y^2 E \overline{E}$	42	0	1	8+14m	14	18
B <sub>IV</sub>	$XD\overline{D} + YL\overline{L}$	20	0	1	8	12	5

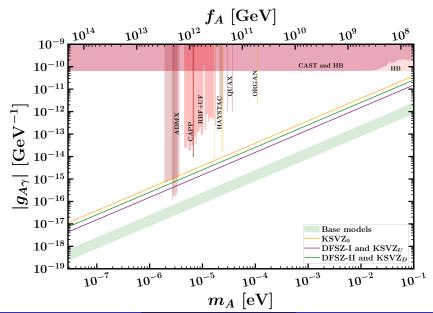
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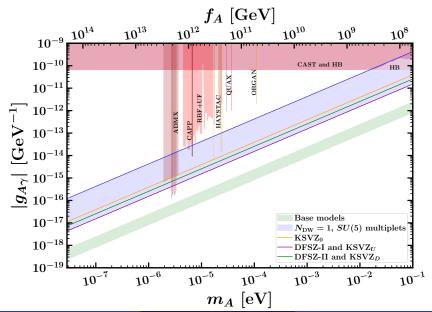
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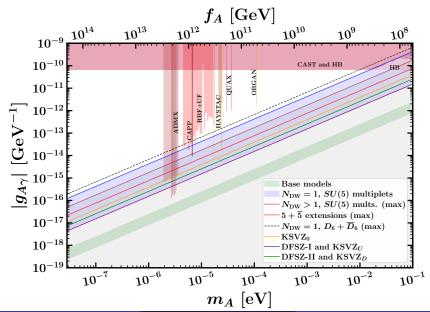
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Can find an anomaly-free  $Z_n^{(R)}$  symmetry protecting  $U(1)_{PQ}$  for each model thus giving rise to a high-quality axion!

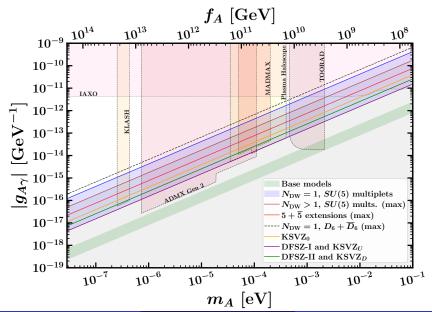




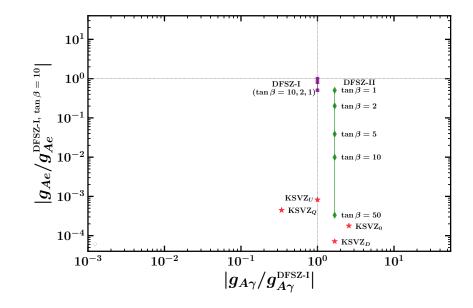




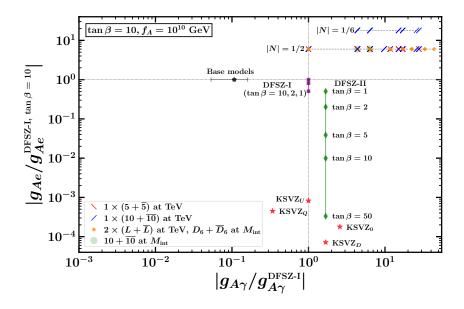
# Axion-photon coupling (projections)

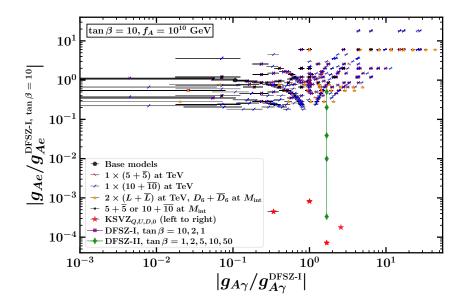


# $|g_{Ae}/g_{Ae}^{\text{DFSZ-I,tan}\beta=10}|$ vs. $|g_{A\gamma}/g_{A\gamma}^{\text{DFSZ-I}}|$



# $|g_{Ae}/g_{Ae}^{\mathsf{DFSZ-I},\mathsf{tan}\,\beta=10}|$ vs. $|g_{A\gamma}/g_{A\gamma}^{\mathsf{DFSZ-I}}|$





Supersymmetry by itself addresses the electroweak hierarchy puzzle.

We considered extensions with extra vectorlike content that:

- have high-quality QCD axions within the reach of future axion searches
- $\blacktriangleright$  simultaneously solve the  $\mu$  problem
- evade cosmological domain wall problem
- have no dangerous cosmological relics
- can provide neutrino masses
- maintain gauge coupling unification

# **BACKUP SLIDES**

## Lightning review: The strong CP problem

Non-trivial QCD vacuum structure requires the term:

$$\mathcal{L}_{\text{QCD}} \supset heta rac{g_s^2}{32\pi^2} G^{a\mu
u} ilde{G}^a_{\mu
u},$$

where the QCD vacuum angle  $\theta$  is expected to be  $\mathcal{O}(1)$ .

"Everything not forbidden is compulsory."

However, experimentally:

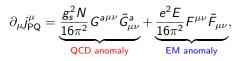
$$| heta| \lesssim 10^{-10}$$
 .

Why so small? – strong CP problem

Peccei-Quinn (PQ) solution: promote  $\theta$  to a dynamical field

## Lightning review: Peccei-Quinn (PQ) solution

Consider a global  $U(1)_{PQ}$  axial symmetry:



with left-handed fermions with PQ charge  $Q_f$ ,  $SU(3)_c$  index  $T(R_f)$ , and EM charge  $q_f$  contributing to:

$$N = \operatorname{Tr} \left[ Q_f T(R_f) \right],$$
  

$$E = \operatorname{Tr} \left[ Q_f q_f^2 \right].$$

 $U(1)_{PQ}$  can be spontaneously broken by scalars with PQ charge  $Q_s$ 

$$arphi_{s} \supset rac{v_{s}}{\sqrt{2}} e^{i a_{s}/v_{s}}.$$

With  $V^2 = \sum_s Q_s^2 v_s^2$ , the axion field is given by:

$$A=\frac{1}{V}\sum_{s}Q_{s}v_{s}a_{s}.$$

Ensuring the axion is massless at tree-level by imposing:

$$\sum_{s} Y_s Q_s v_s^2 = 0,$$

where  $Y_s$ : weak hypercharge of  $\varphi_s$ . QCD vaccum term now becomes:

$$\mathcal{L}_{ ext{QCD}} \supset \left( heta + rac{A}{f_A} 
ight) rac{ extbf{g}_{ extsf{s}}^2}{32\pi^2} extbf{G}^{ extsf{a} \mu 
u} ilde{ extbf{G}}_{\mu 
u},$$

with the axion decay constant

$$f_A \equiv rac{V}{2N}.$$

Under  $U(1)_{PQ}$  transformations:

$$A \rightarrow A + (\text{constant}) f_A$$
,

Thus solving the strong CP problem.

## Lightning review: Low-energy axion couplings

$$\mathcal{L}_{\mathrm{int}}^{A} \supset rac{1}{4} g_{A\gamma} A F^{\mu
u} \widetilde{F}_{\mu
u} - \sum_{f=e,n,p} ig_{Af} A \overline{\Psi}_{f} \gamma_{5} \Psi_{f}$$

where,

$$g_{A\gamma} = \frac{\alpha_e}{2\pi f_A} (c_{\gamma} - 1.92(4)),$$
  

$$g_{Ae} = \frac{m_e}{f_A} \left[ c_e + \frac{3\alpha_e^2}{4\pi^2} \left( c_{\gamma} \log \frac{f_A}{m_e} - 1.92(4) \log \frac{\text{GeV}}{m_e} \right) \right],$$
  

$$g_{An} = \frac{m_n}{f_A} \left( -0.02(3) + 0.833(30)c_d - 0.406(21)c_u \right).$$

with

$$c_{\gamma}=rac{E}{N}, \quad c_{e}=rac{Q_{\ell}+Q_{\overline{e}}}{2N}, \quad c_{u}=rac{Q_{q}+Q_{\overline{u}}}{2N}, \quad c_{d}=rac{Q_{q}+Q_{\overline{d}}}{2N}.$$

Axion can accidentally decouple from photons if  $E/N \approx 1.92$ .

Benchmark	PQ charged fermions	N	$c_{\gamma}$	Cu	Cd	Ce
KSVZ <sub>0</sub>	$({\bf 3},{\bf 1},0)+(\overline{{\bf 3}},{\bf 1},0)$	$\frac{1}{2}$	0	0	0	0
KSVZ <sub>D</sub>	$D+\overline{D}$	$\frac{1}{2}$	$\frac{2}{3}$	0	0	0
KSVZ <sub>U</sub>	$U + \overline{U}$	$\frac{1}{2}$	<u>8</u> 3	0	0	0
KSVZ <sub>Q</sub>	$Q+\overline{Q}$	1	<u>5</u> 3	0	0	0
DFSZ-I	SM fermions	3	<u>8</u> 3	$\frac{c_{\beta}^2}{3}$ $\frac{c_{\beta}^2}{3}$	$\frac{s_{\beta}^2}{3}$ $\frac{s_{\beta}^2}{3}$	$\frac{s_{\beta}^2}{3}$
DFSZ-II	SM fermions	3	$\frac{2}{3}$	$\frac{c_{\beta}^2}{3}$	$\frac{s_{\beta}^2}{3}$	$-\frac{c_{\beta}^2}{3}$

where  $\tan \beta = s_{\beta}/c_{\beta}$  is the ratio of Higgs VEVs in the DFSZ models.

High-quality axions in solutions to the  $\mu$  problem

<sup>&</sup>lt;sup>†</sup>J. E. Kim Phys. Rev. Lett. **43**, 103 (1979); M. A. Shifman, A. I. Vainshtein, V. I. Zakharov Nucl. Phys. B **166**, 493-506 (1980); M. Dine, W. Fischler, M. Srednicki Phys. Lett. B **104**, 199-202 (1981); A. R. Zhitnitsky Sov. J. Nucl. Phys. **31**, 260 (1980)

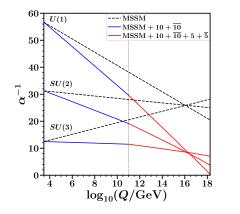
Consistent with gauge coupling unification, we can also consider

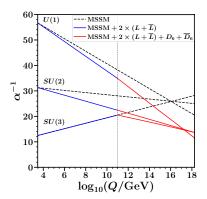
 $D_6LL$  models:  $2 \times (L + \overline{L})$  at TeV,  $D_6 + \overline{D}_6$  at  $M_{int} \sim 10^{11}$  GeV ( $N_{DW} = 1$  possible)

where

$$D_6 + \overline{D}_6 = (\mathbf{6}, \mathbf{1}, 1/3) + (\mathbf{\overline{6}}, \mathbf{1}, -1/3)$$
 is an exotic quix pair

## Gauge coupling unification





$$N_{\rm DW} \equiv {\rm minimum\ integer} \left( 2N \sum_s {n_s Q_s v_s^2 \over V^2} 
ight),$$

where  $n_s \in \mathbb{Z}$ .<sup>†</sup> Using the above formula:

$$N_{\rm DW} = \begin{cases} \text{minimum integer } |2Nn_x| \text{ in } B_1, B_{11}, B_{1V}, \text{ and extensions,} \\ \text{minimum integer } |6Nn_x| \text{ in } B_{111} \text{ and extensions.} \end{cases}$$

Clearly,  $N_{\rm DW} \neq 1$  in all four base models. In the base model extensions,

For 
$$N_{\text{DW}} = 1$$
:  $N = \begin{cases} \pm \frac{1}{2} \text{ in model extensions of } B_{\text{I}}, B_{\text{II}}, \text{ and } B_{\text{IV}}, \\ \pm \frac{1}{6} \text{ in model extensions of } B_{\text{III}}. \end{cases}$ 

<sup>&</sup>lt;sup>†</sup>See A. Ernst, A. Ringwald, C. Tamarit 1801.04906

The red giant bound on the axion-electron coupling

$$g_{Ae} ~>~ 1.3 imes 10^{-13},$$

sets the most stringent astrophysical constraint throughout our supersymmetric DFSZ axion model space:

$$f_{A} ~>~ rac{\sin^2eta}{|N|} \, (3.9 imes 10^9 \, \, {
m GeV}).$$

For large  $\tan \beta$ , the lower bound on the axion decay constant for

$$\begin{split} |N| &= 1/6: \quad f_A \gtrsim 2.3 \times 10^{10} \text{ GeV}, \\ |N| &= 1/2: \quad f_A \gtrsim 7.8 \times 10^9 \text{ GeV}, \\ |N| &= 3: \quad f_A \gtrsim 1.3 \times 10^9 \text{ GeV}. \end{split}$$

#### B and L violating operators

Renormalizable operators:

$$W_{ ext{L-violating}} = H_u \ell + q \ell \overline{d} + \ell \ell \overline{e}, \qquad W_{ ext{B-violating}} = \overline{u} \overline{d} \overline{d}.$$

The most common way of avoiding rapid proton decay due to these operators is to impose R-parity.

There are also non-renormalizable operators that mediate proton decay:

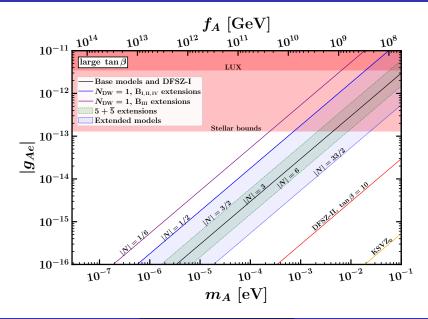
$$W = rac{1}{M_P} q q q \ell + rac{1}{M_P} \overline{u} \overline{u} \overline{d} \overline{e}.$$

The discrete charges  $z_{\mathcal{O}} - 2r$  charges:

0	BI	B <sub>II</sub> , B <sub>IV</sub>	B <sub>III</sub>
$H_u\ell$	- <i>r</i>	- <i>r</i>	- <i>r</i>
$\ell \ell \overline{e}, q \ell \overline{d}$	-2x + r	2x - r	-6x + 3r
$\overline{u}\overline{d}\overline{d}$	h-4x+4r	h + 4x	h - 12x + 8r
qqqℓ	-h-r	-h-r	-h-r
<u>uud</u> e	h-4x+5r	h+4x+r	h-12x+9r

Here, x, h are the  $Z_n^R$  charges of  $X, H_u$  superfields.

#### Axion-electron coupling



#### Axion-neutron coupling

