

The Compton Amplitude and Structure Functions of the nucleon

K. Utku Can

CSSM, The University of Adelaide

Partially based on:

Can et al., PRD102, 114505 (2020),
arXiv:2007.01523 [hep-lat]

Batelaan et al.,
arXiv:2209.04141 [hep-lat]

in collaboration with QCDSF/UKQCD:

A. Hannaford-Gunn, R. D. Young, J. M. Zanotti (Adelaide),

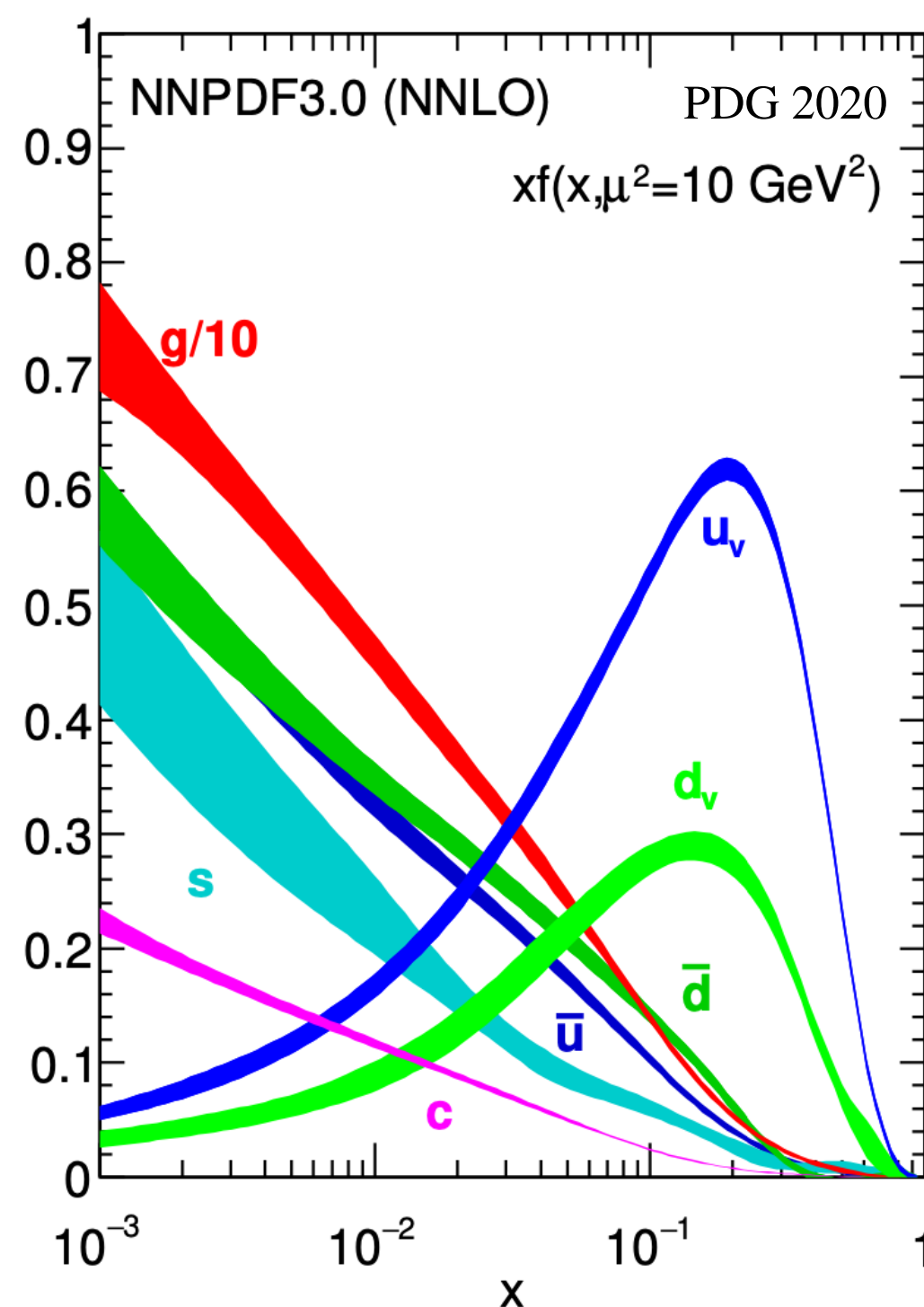
R. Horsley (Edinburgh), P.E.L. Rakow (Liverpool), H. Perlt (Leipzig), G. Schierholz (DESY), H. Stüben (Hamburg),

Y. Nakamura (RIKEN, Kobe)

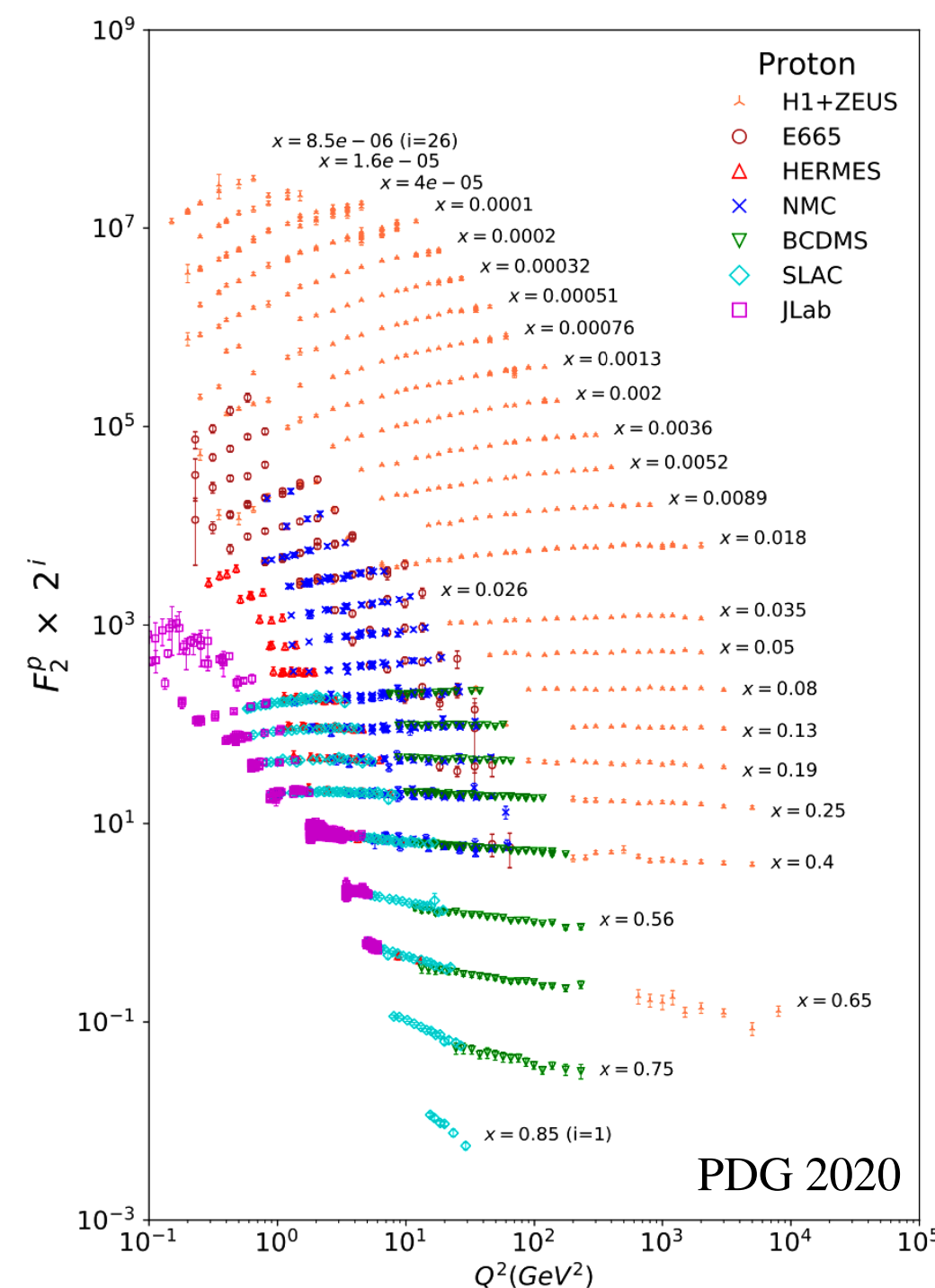
Australian Institute of Physics Congress, 11-16 Dec 2022

Motivation I

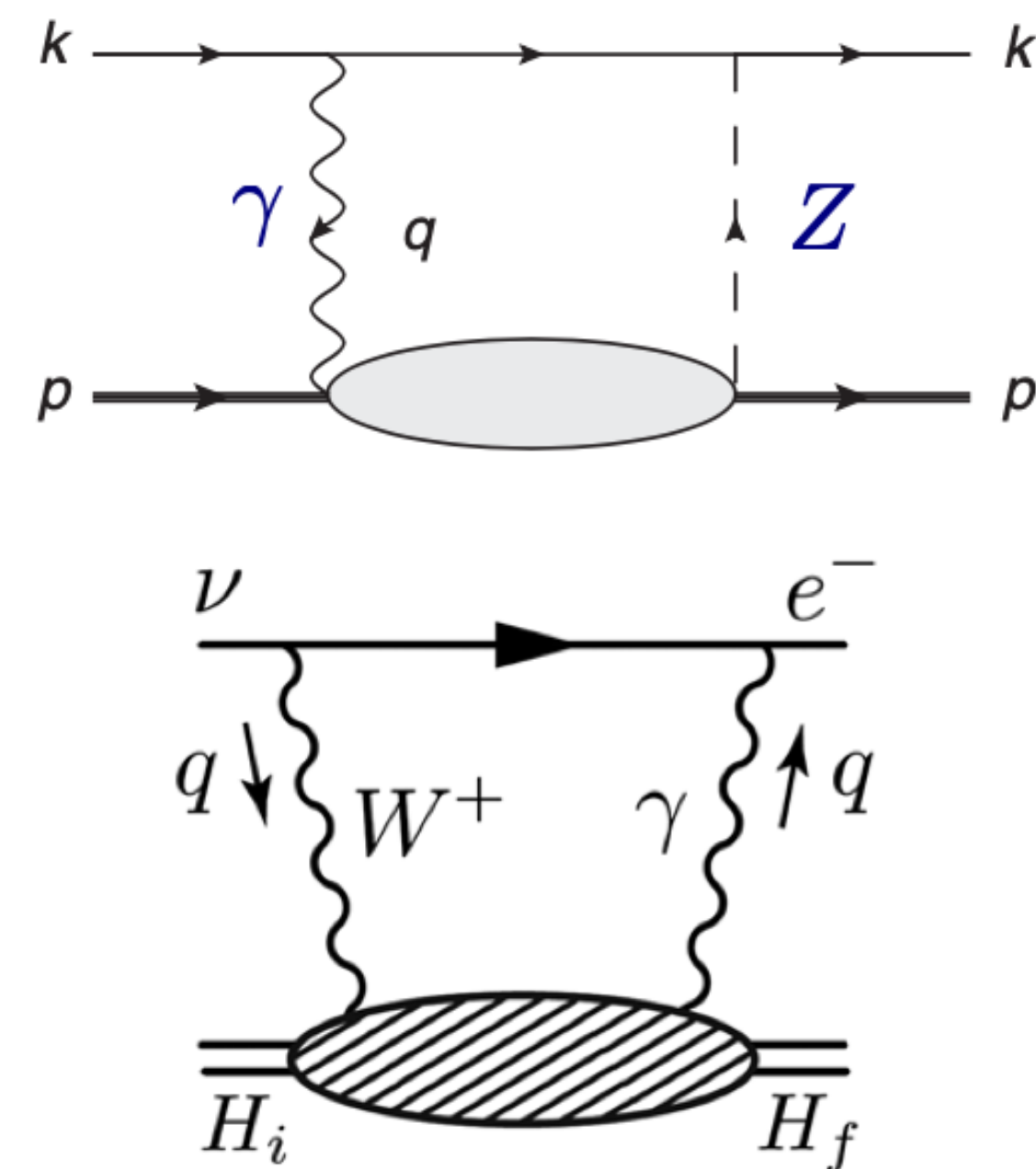
- Nucleon structure (leading twist)
 - Structure functions from first principles
 - Understanding the behaviour in the high- and low- x regions



- Scaling and Power corrections/Higher twist effects
 - Q^2 cuts of global QCD analyses
 - Twist-4 contributions
 - Kinematic effects



- New physics searches
 - Weak charge of the proton
 - $\gamma - W/Z$ interference



Motivation II

- Technical issues
- Operator mixing/renormalisation issues in OPE approach in LQCD

$$\mu(Q^2) = c_2(a^2 Q^2) \overset{\text{twist-2}}{v_2(a)} + \frac{c_4(a^2 Q^2)}{Q^2} \overset{\text{twist-4}}{v_4(a)} + \dots$$

1/a² divergence (arrow pointing to $c_2(a^2 Q^2)$)

mixing (arc connecting $v_2(a)$ and $v_4(a)$)

- 4-point functions are costly; harder to tackle
- Feynman-Hellmann (FH) approach needs 2-point functions only

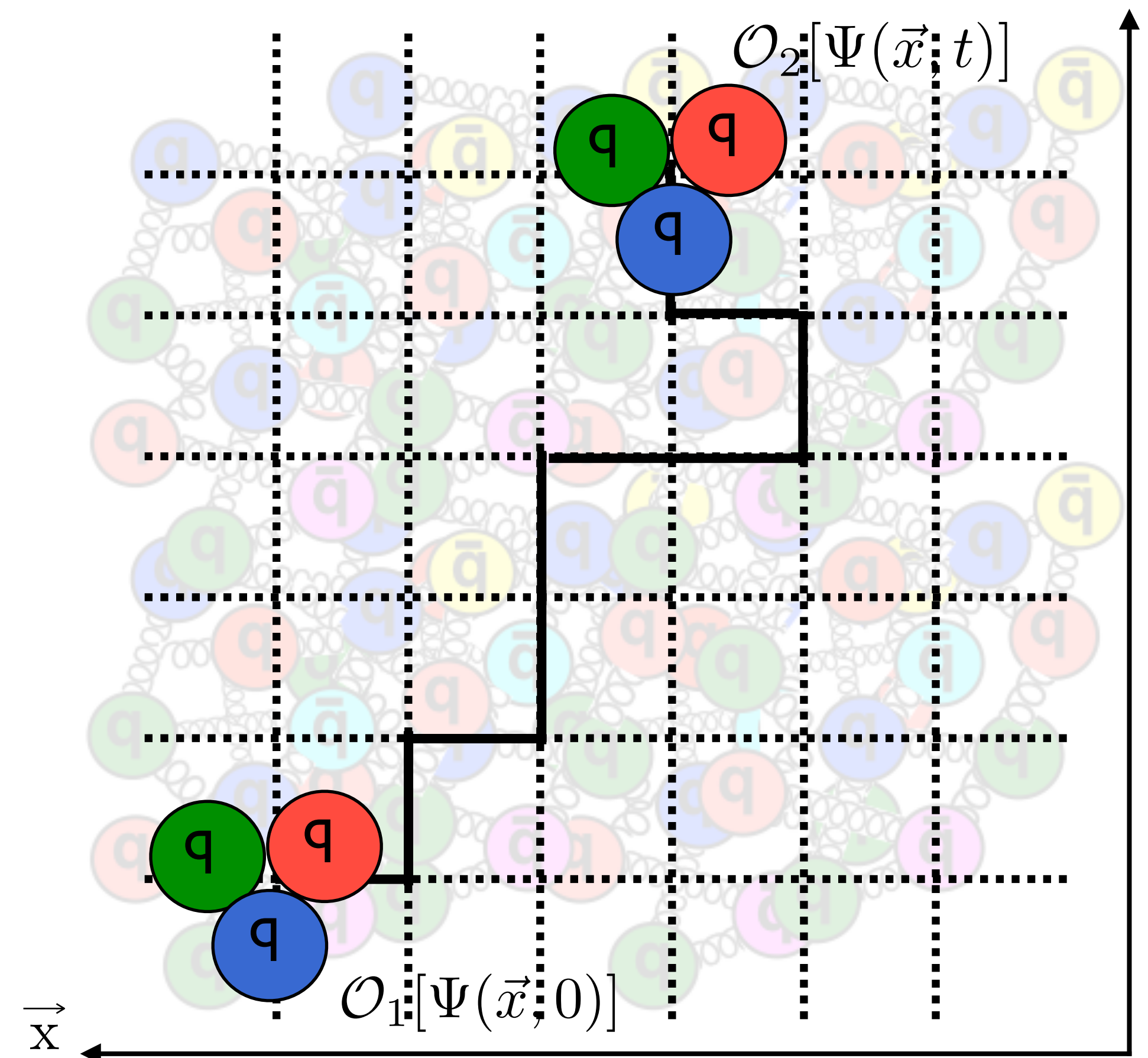
Lattice QCD

$$S_{QCD}[\psi, \bar{\psi}, A] = \int d^4x \left\{ \sum_q \bar{\psi}_q (i\gamma^\mu D_\mu - m_q) \psi_q - \frac{1}{2} \text{Tr} (G^{\mu\nu} G_{\mu\nu}) \right\}$$

$$\langle \hat{O}_2(t) \hat{O}_1(0) \rangle = \frac{\int D[\Psi] e^{-S_E[\Psi]} O_2[\Psi(x, t)] O_1[\Psi(x, 0)]}{\int D[\Psi] e^{-S_E[\Psi]}}$$

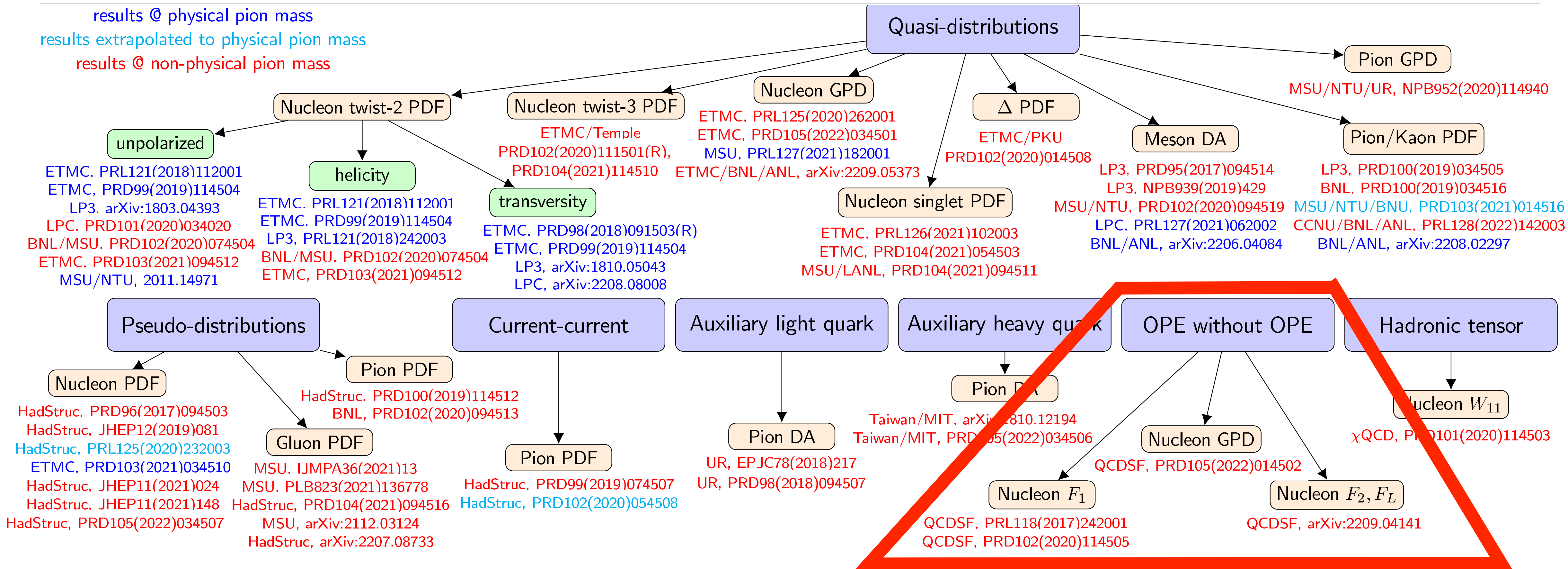
- Discretise the space-time continuum: regularises the theory
- “Measure” the observables via supercomputer simulations
 - i.e. approximate the infinite dimensional path integral

$$\langle \mathcal{O} \rangle = \frac{\int \mathcal{D}[\Psi] e^{-S_E[\Psi]} \mathcal{O}[\Psi]}{\int \mathcal{D}[\Psi] e^{-S_E[\Psi]}} = \lim_{N \rightarrow \infty} \sum_{n=1}^N \mathcal{O}[U_n]$$



LQCD landscape

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- QCDSF/UKQCD Collaboration
- F_1 , F_2 and F_L
- Study of higher-twist

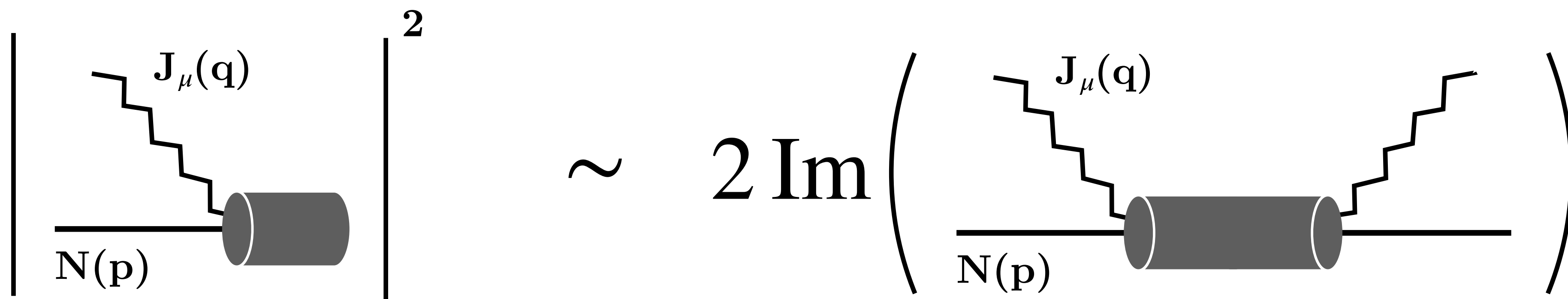
Forward Compton Amplitude

$$T_{\mu\nu}(p, q) = i \int d^4z e^{iq \cdot z} \rho_{ss'} \langle p, s' | \mathcal{T} \{ J_\mu(z) J_\nu(0) \} | p, s \rangle, \text{ spin avg. } \rho_{ss'} = \frac{1}{2} \delta_{ss'} \quad \omega = \frac{2p \cdot q}{Q^2}$$

Same Lorentz decomposition as the Hadronic Tensor

$$= \left(-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) \mathcal{F}_1(\omega, Q^2) + \left(p_\mu - \frac{p \cdot q}{q^2} q_\mu \right) \left(p_\nu - \frac{p \cdot q}{q^2} q_\nu \right) \frac{\mathcal{F}_2(\omega, Q^2)}{p \cdot q}$$

Compton Structure Functions (SF)



DIS Cross Section ~ Hadronic Tensor

Forward Compton Amplitude ~ Compton Tensor

Nucleon Structure Functions

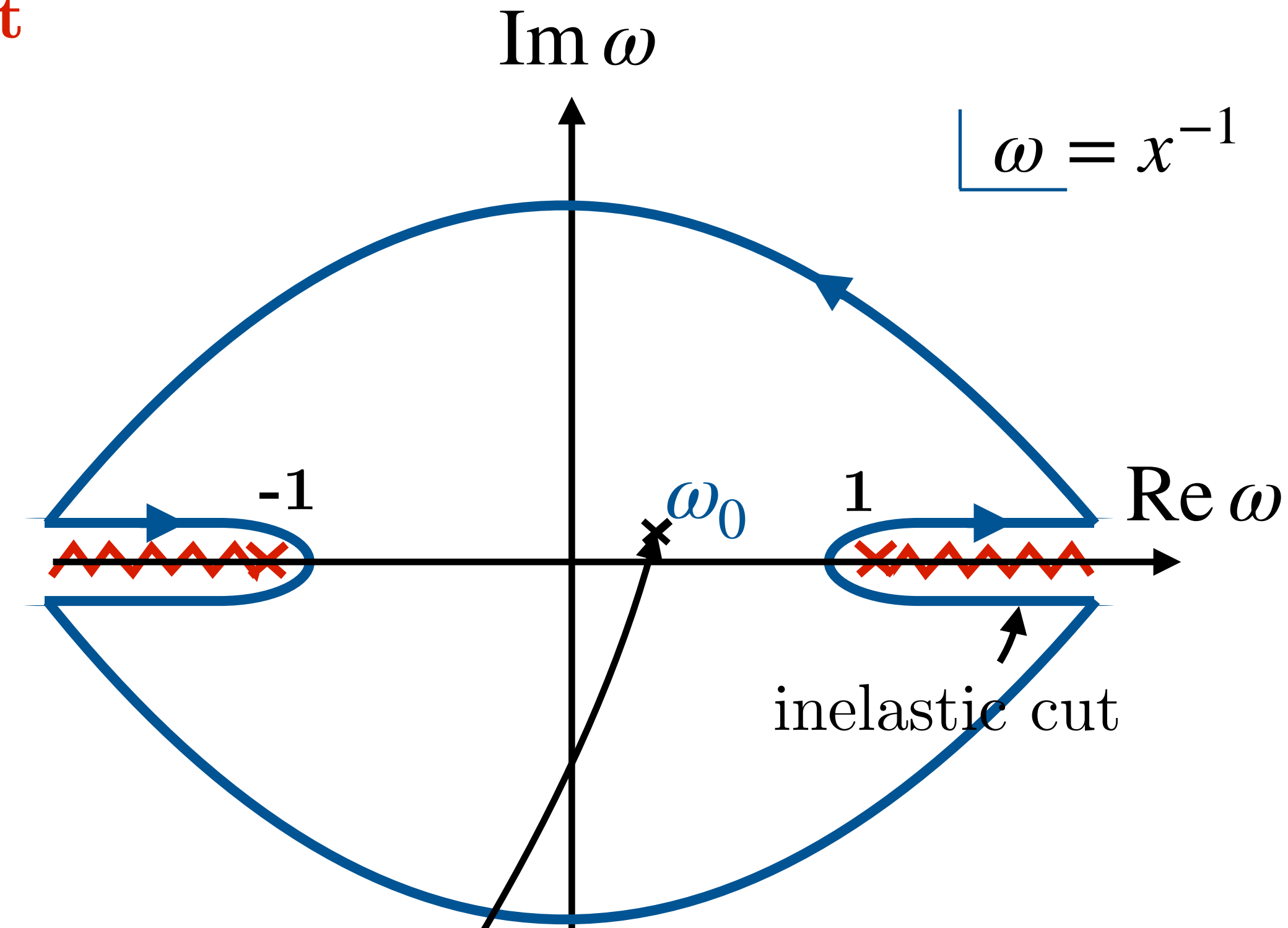
- we can write down dispersion relations and connect Compton SFs to DIS SFs:

$$\underbrace{\mathcal{F}_1(\omega, Q^2) - \mathcal{F}_1(0, Q^2)}_{\equiv \overline{\mathcal{F}}_1(\omega, Q^2)} = 2\omega^2 \int_0^1 dx \frac{2x F_1(x, Q^2)}{1 - x^2\omega^2 - i\epsilon}$$

$$\mathcal{F}_2(\omega, Q^2) = 4\omega^2 \int_0^1 dx \frac{F_2(x, Q^2)}{1 - x^2\omega^2 - i\epsilon}$$

$$\underbrace{\mathcal{F}_L(\omega, Q^2) + \mathcal{F}_1(0, Q^2)}_{\equiv \overline{\mathcal{F}}_L(\omega, Q^2)} = \frac{8M_N^2}{Q^2} \int_0^1 dx F_2(x, Q^2)$$

$$+ 2\omega^2 \int_0^1 dx \frac{F_L(x, Q^2)}{1 - x^2\omega^2 - i\epsilon}$$



Compton Amplitude in the unphysical region

Nucleon Structure Functions

- **Mellin moments**

$$\omega = \frac{2p \cdot q}{Q^2} \equiv x^{-1}$$

$$\overline{\mathcal{F}}_{1,L}(\omega, Q^2) = \sum_{n=0}^{\infty} 2\omega^{2n} M_{2n}^{(1,L)}(Q^2), \text{ where } M_{2n}^{(1)}(Q^2) = 2 \int_0^1 dx x^{2n-1} F_1(x, Q^2), \text{ and } M_0^{(1)}(Q^2) = 0$$

$$\mathcal{F}_2(\omega, Q^2) = \sum_{n=1}^{\infty} 4\omega^{2n-1} M_{2n}^{(2)}(Q^2), \text{ where } M_{2n}^{(2,L)}(Q^2) = \int_0^1 dx x^{2n-2} F_{2,L}(x, Q^2), \text{ and } M_0^{(L)}(Q^2) = \frac{4M_N^2}{Q^2} M_2^{(2)}(Q^2)$$

- $\mu = \nu = 3$ and $p_3 = q_3 = 0 \implies \mathcal{F}_1(\omega, Q^2) = T_{33}(p, q)$

- $\mu = \nu = 0$ and $p_3 = q_3 = q_0 = 0 \implies \mathcal{F}_2(\omega, Q^2) = [T_{00}(p, q) + T_{33}(p, q)] \frac{Q^2 \omega}{2E_N^2}$

Once we have the Compton amplitude, $T_{\mu\nu}(p, q)$,
we can extract the Mellin moments!

FH Theorem at 1st order

in Quantum Mechanics:

$$\frac{\partial E_\lambda}{\partial \lambda} = \langle \phi_\lambda | \frac{\partial H_\lambda}{\partial \lambda} | \phi_\lambda \rangle$$

H_λ : perturbed Hamiltonian of the system

E_λ : energy eigenvalue of the perturbed system

ϕ_λ : eigenfunction of the perturbed system

- expectation value of the perturbed system is related to the shift in the energy eigenvalue

in Lattice QCD: energy shifts in the presence of a weak external field

$$S \rightarrow S(\lambda) = S + \lambda \int d^4x \mathcal{O}(x) \quad \xrightarrow{\text{e.g. local bilinear operator}} \quad \bar{q}(x)\Gamma_\mu q(x) \quad , \Gamma_\mu \in \{\mathbf{1}, \gamma_\mu, \gamma_5\gamma_\mu, \dots\}$$

real parameter

@ 1st order

$$\frac{\partial E_\lambda}{\partial \lambda} = \frac{1}{2E_\lambda} \langle 0 | \mathcal{O} | 0 \rangle$$

$E_\lambda \rightarrow$ spectroscopy, 2-pt function

$\langle 0 | \mathcal{O} | 0 \rangle \rightarrow$ determine 3-pt

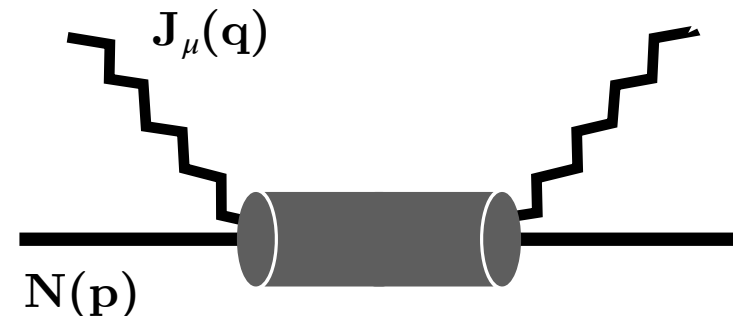
Applications:

- σ - terms
- Form factors

Compton Amplitude from FHT at 2nd order

- unpolarised Compton Amplitude

$$T_{\mu\mu}(p, q) = \int d^4z e^{iq \cdot z} \langle N(p) | \mathcal{T} \{ J_\mu(z) J_\mu(0) \} | N(p) \rangle$$



- Action modification

$$S \rightarrow S(\lambda) = S + \lambda \int d^4z (e^{iq \cdot z} + e^{-iq \cdot z}) J_\mu(z)$$

local EM current

$$J_\mu(z) = \sum_q e_q \bar{q}(z) \gamma_\mu q(z)$$

- 2nd order derivatives of the 2-pt correlator, $G_\lambda^{(2)}(\mathbf{p}; t)$, in the presence of the external field

$$\left. \frac{\partial^2 G_\lambda^{(2)}(\mathbf{p}; t)}{\partial \lambda^2} \right|_{\lambda=0} = \left(\frac{\partial^2 A_\lambda(\mathbf{p})}{\partial \lambda^2} - t A(\mathbf{p}) \frac{\partial^2 E_{N_\lambda}(\mathbf{p})}{\partial \lambda^2} \right) e^{-E_N(\mathbf{p})t} \quad \text{from spectral decomposition}$$

$$\left. \frac{\partial^2 G_\lambda^{(2)}(\mathbf{p}; t)}{\partial \lambda^2} \right|_{\lambda=0} = \frac{A(\mathbf{p})}{2E_N(\mathbf{p})} t e^{-E_N(\mathbf{p})t} \int d^4z (e^{iq \cdot z} + e^{-iq \cdot z}) \langle N(\mathbf{p}) | \mathcal{T} \{ \mathcal{J}(z) \mathcal{J}(0) \} | N(\mathbf{p}) \rangle$$

from path integral

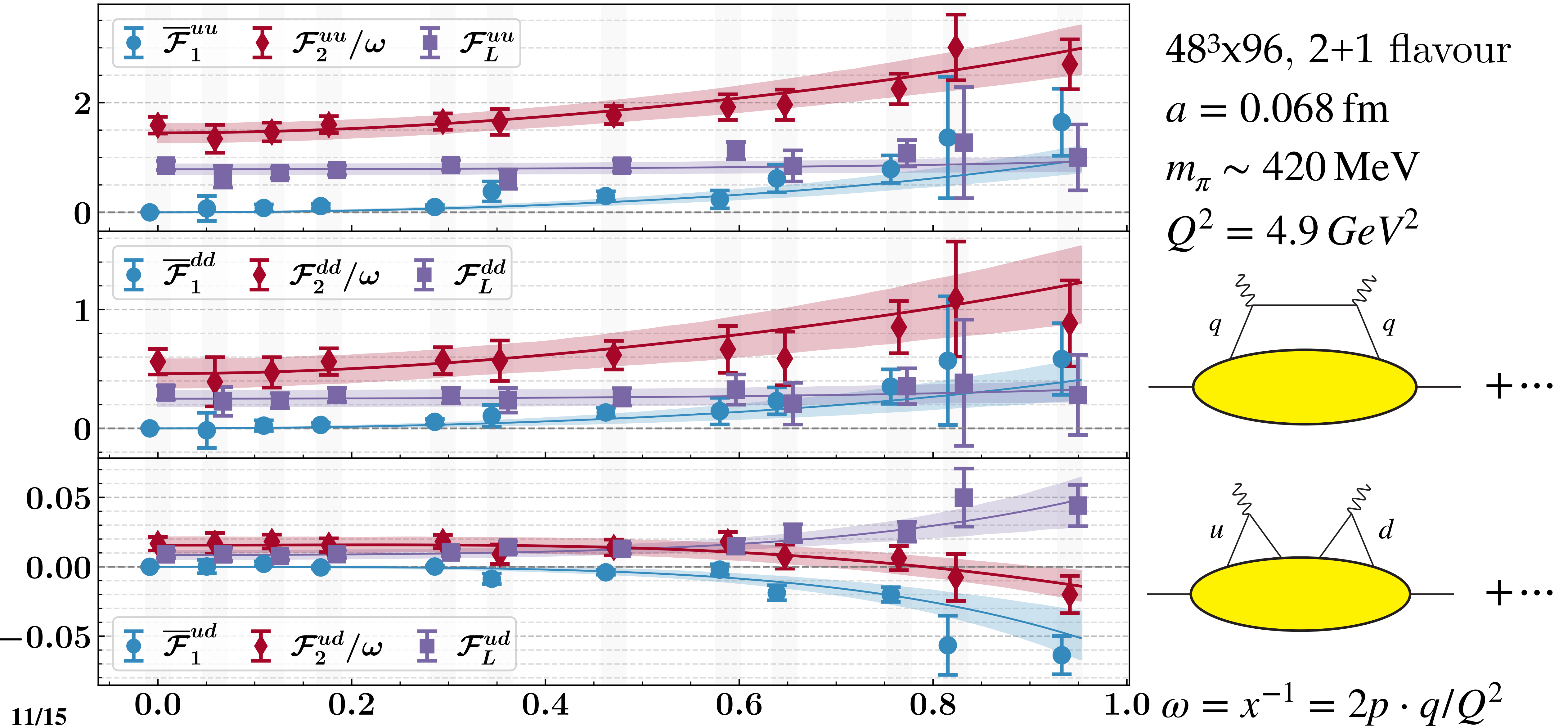
- equate the time-enhanced terms:

$$\left. \frac{\partial^2 E_{N_\lambda}(\mathbf{p})}{\partial \lambda^2} \right|_{\lambda=0} = - \frac{1}{2E_N(\mathbf{p})} \int d^4z (e^{iq \cdot z} + e^{-iq \cdot z}) \langle N(\mathbf{p}) | \mathcal{J}(z) \mathcal{J}(0) | N(\mathbf{p}) \rangle + (q \rightarrow -q)$$

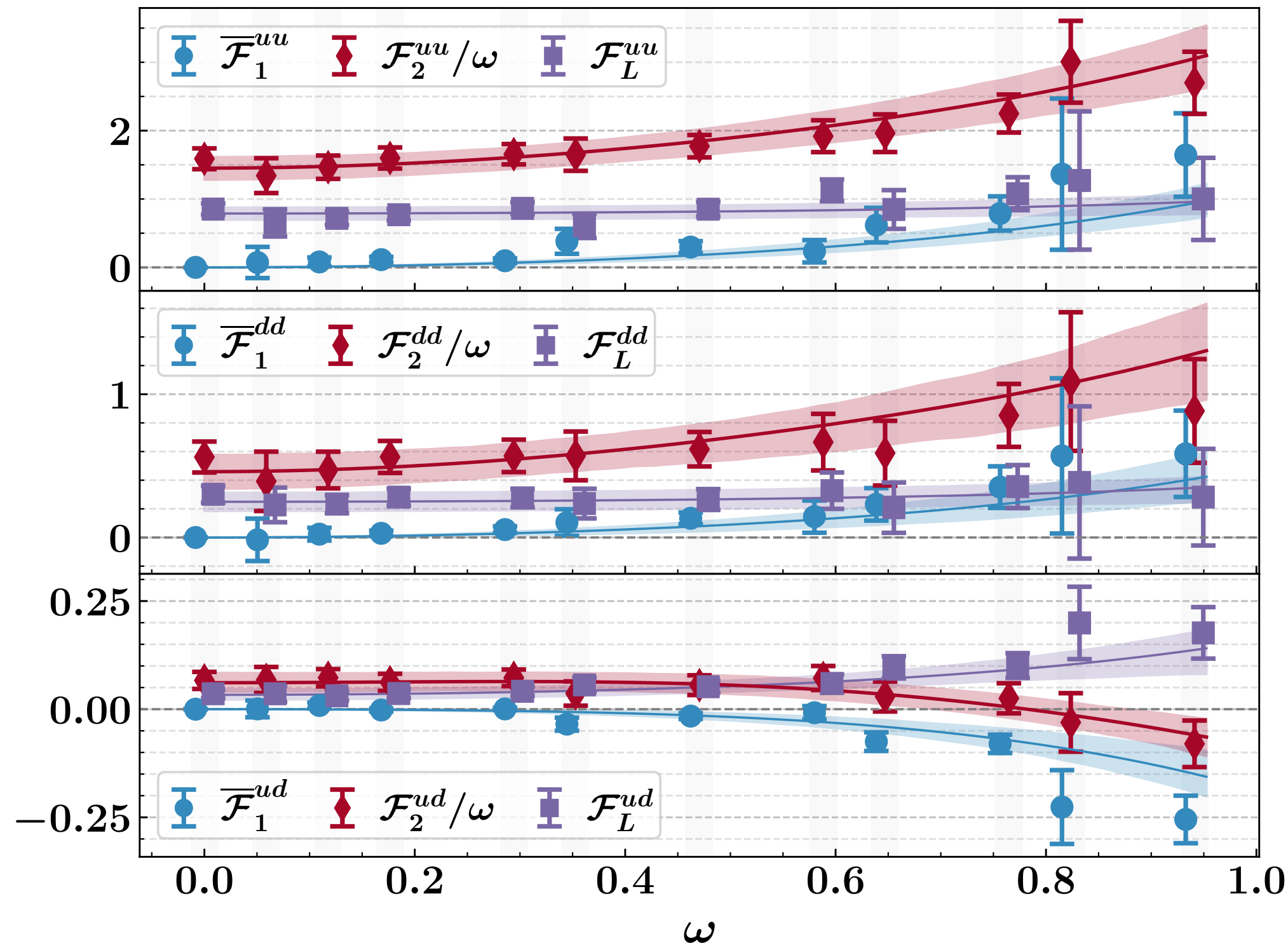
$T_{\mu\mu}(p, q)$

Compton amplitude is related to the second-order energy shift

Compton Structure Functions



Moments | Fit details



$$\overline{\mathcal{F}}_1^{qq}(\omega, Q^2) = \sum_{n=0}^{\infty} 2\omega^{2n} M_{2n}^{(1)}(Q^2)$$

$$\frac{\mathcal{F}_2^{qq}(\omega, Q^2)}{\omega} = \frac{\tau}{1 + \tau\omega^2} \sum_{n=0}^{\infty} 4\omega^{2n} \left[M_{2n}^{(1)} + M_{2n}^{(L)} \right](Q^2), \text{ where } \tau = \frac{Q^2}{4M_N^2}$$

- **Enforce monotonic decreasing of moments for u and d only, not necessarily true for $u - d$**

$$M_2^{(1)}(Q^2) \geq M_4^{(1)}(Q^2) \geq \dots \geq M_{2n}^{(1)}(Q^2) \geq \dots \geq 0$$

We truncate at $n = 6$

No dependence to truncation order for $3 \leq n \leq 10$

- **Bayesian approach by MCMC method**

Sample the moments from Uniform priors

individually for u - and d -quark

$$M_2(Q^2) \sim \mathcal{U}(0, 1)$$

$$M_{2n}(Q^2) \sim \mathcal{U}(0, M_{2n-2}(Q^2))$$

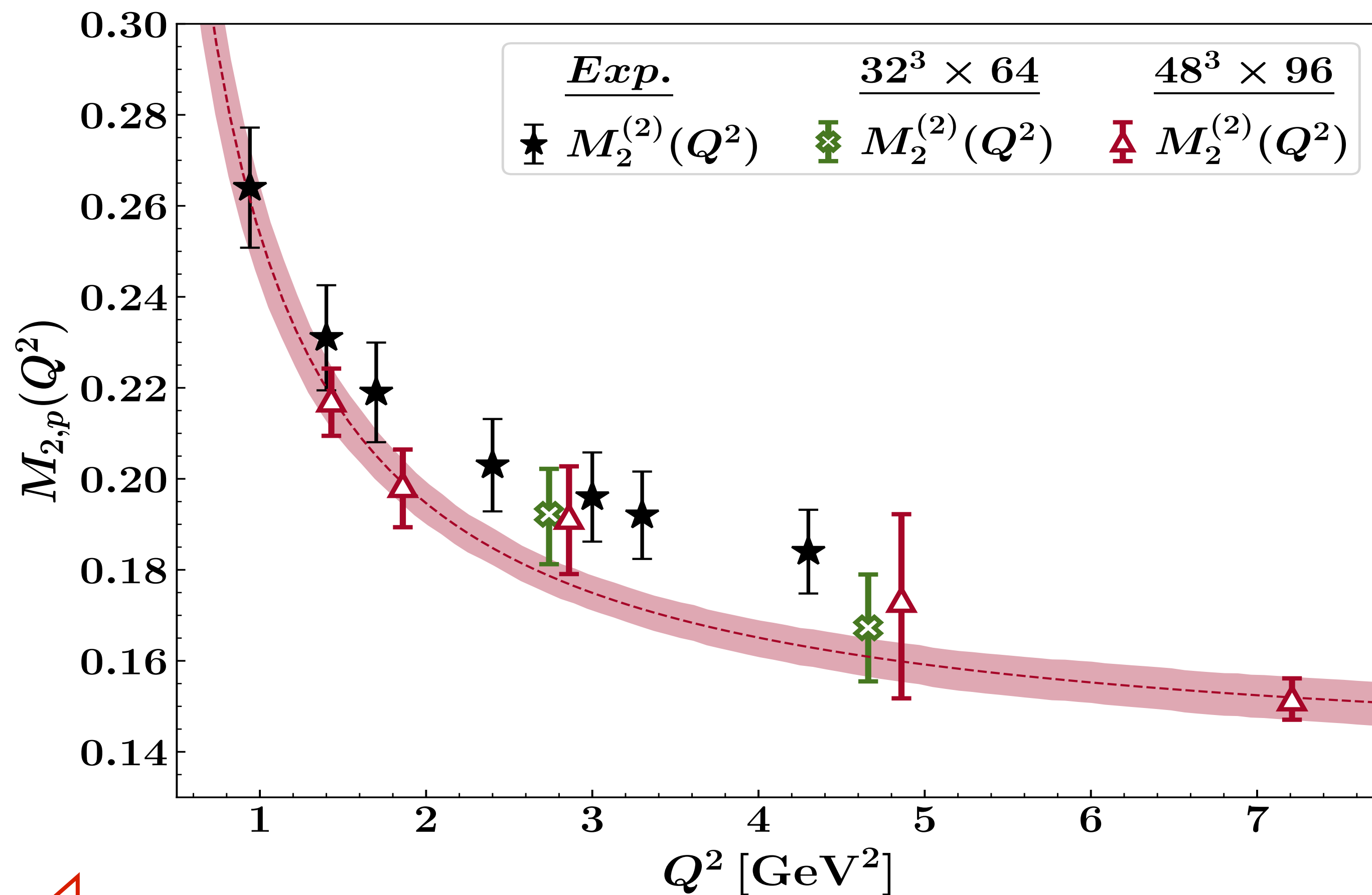
Normal Likelihood function, $\exp(-\chi^2/2)$

$$\chi^2 = \sum_i \frac{(\overline{\mathcal{F}}_i - \overline{\mathcal{F}}^{obs}(\omega_i))^2}{\sigma_i^2}$$

errors via bootstrap analysis

Moments | proton F_2

- Unique ability to study the Q^2 dependence of the moments!



- Global PDF-fit cuts $\sim 10 \text{ GeV}^2$
- Need $Q^2 > 10 \text{ GeV}^2$ data to reliably extract partonic moments
- Power corrections below $\sim 3 \text{ GeV}^2$?
 - Modelling via
 - $M_2^{(2)}(Q^2) = M_2^{(2)} + C_2^{(2)}/Q^2$

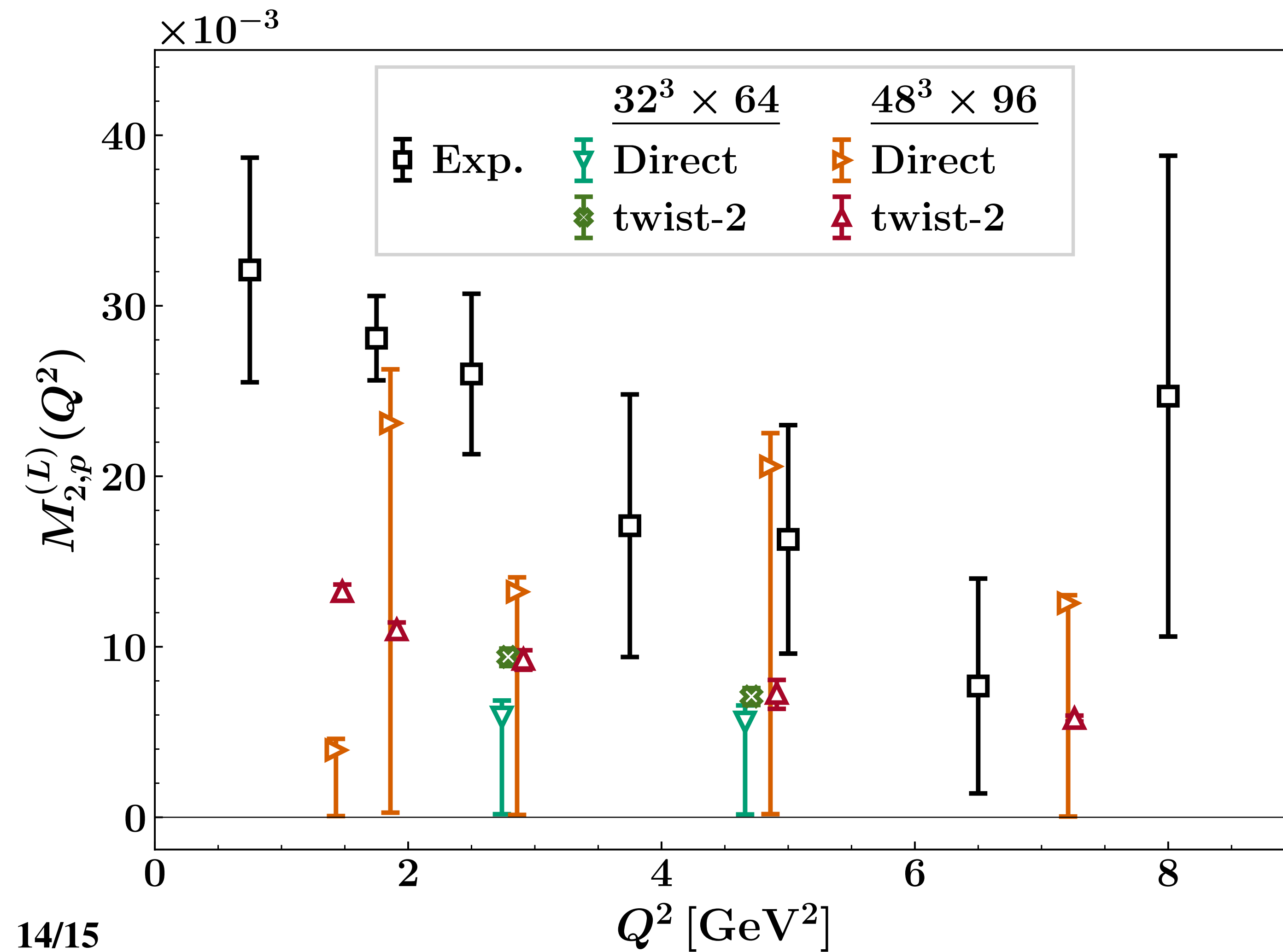
Power corrections

Scaling

Exp $M_2^{(2)}$: C. S. Armstrong, R. Ent, C. E. Keppel, S. Liuti, G. Niculescu, and I. Niculescu, *Phys. Rev. D* **63**, 094008 (2001), arXiv:hep-ph/0104055.

Moments | proton F_L

- **Unique ability to study the moments of F_L !**



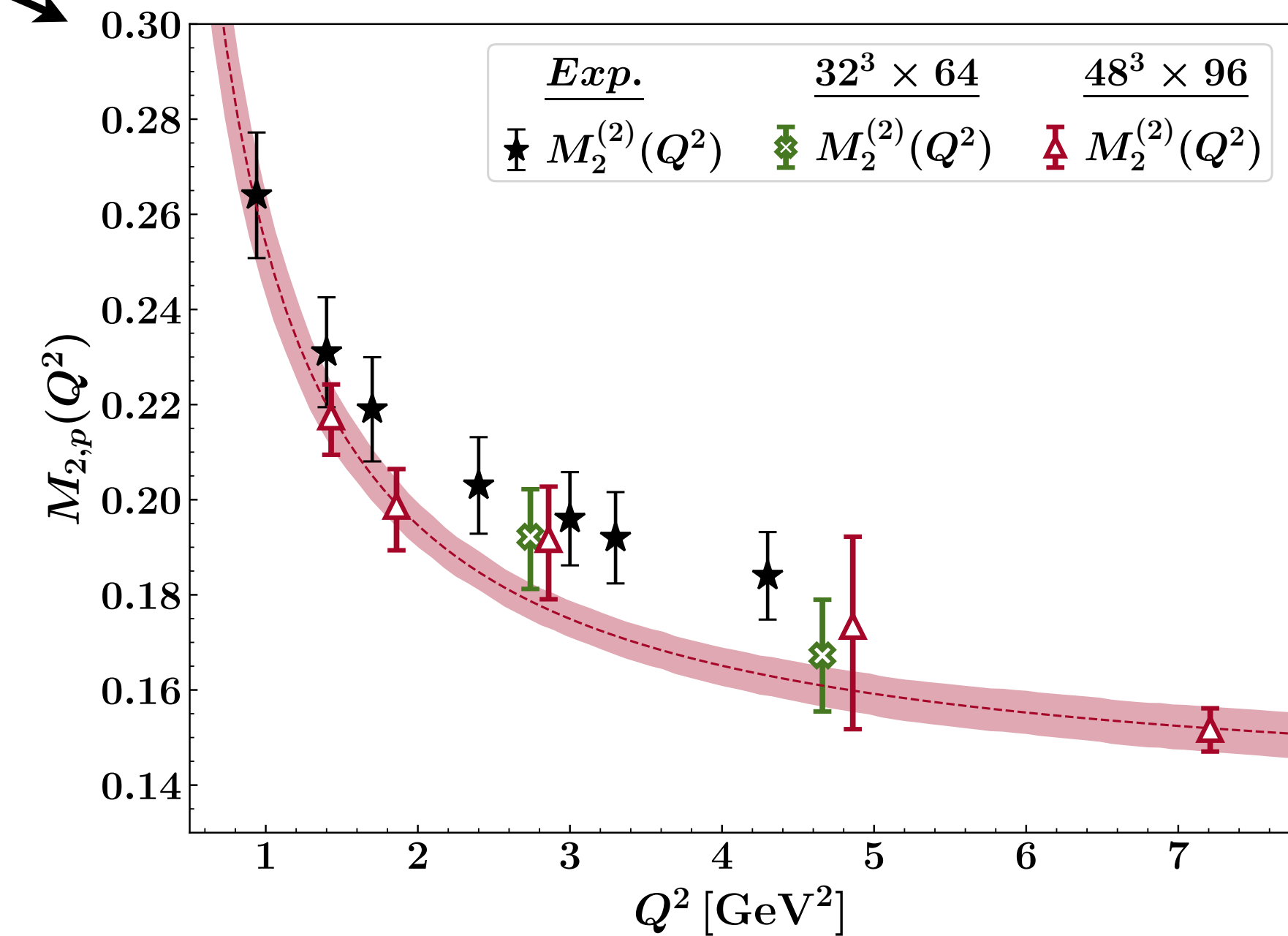
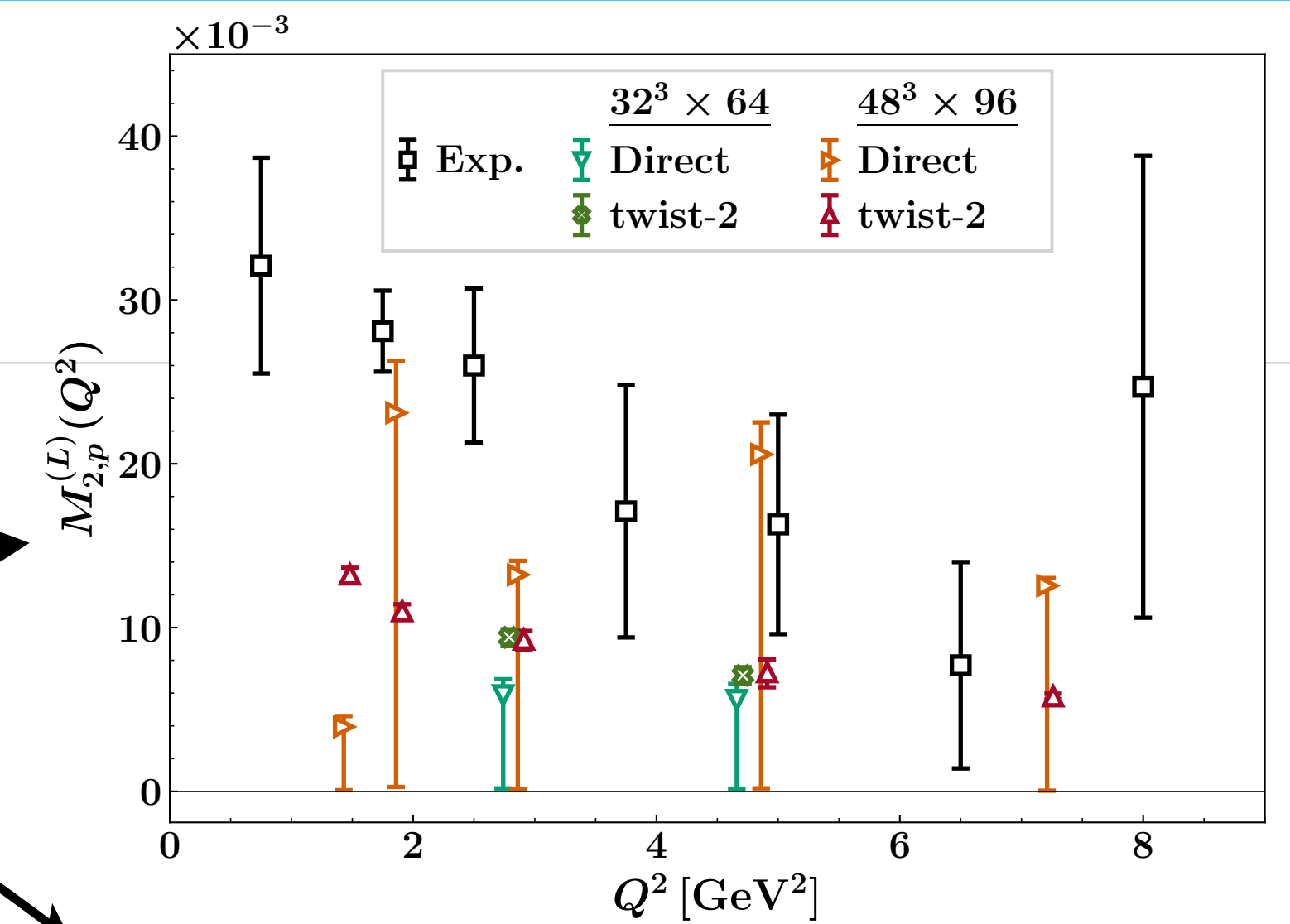
Possible for the first time in a lattice QCD simulation!

- **Direct:** Fit to data points
 - Determines upper bounds
- **Twist-2:** Use the moments of F_2 :
 - $M_2^{(L),QCD}(Q^2) = \frac{4}{9\pi} \alpha_s(Q^2) M_2^{(2)}(Q^2)$
 - Better precision, good agreement with exp. behaviour

Exp Nachtmann $M_2^{(L)}$: P. Monaghan, A. Accardi, M. E. Christy, C. E. Keppel, W. Melnitchouk, and L. Zhu, [Phys. Rev. Lett. 110, 152002 \(2013\)](#), [arXiv:1209.4542 \[nucl-ex\]](#).

Summary

- A versatile approach! F_1 , F_2 , and F_L
- Systematic investigation of power corrections, higher-twist effects and scaling is within reach
- Overcomes the operator mixing/renormalisation issues
- Can be extended to:
 - mixed currents, interference terms
 - spin-dependent structure functions (on going)
- GPDs: A. Hannaford-Gunn et al. Phys. Rev. D **105**, 014502, arXiv:2110.11532
see [Alec's talk on 15 Dec, 16:45 @ NUPP8](#)





Backup

Simulation Details

QCDSF/UKQCD configurations

$$\left(\begin{array}{l} 32^3 \times 64 \\ 48^3 \times 96 \end{array} \right), 2+1 \text{ flavor (u/d+s)}$$

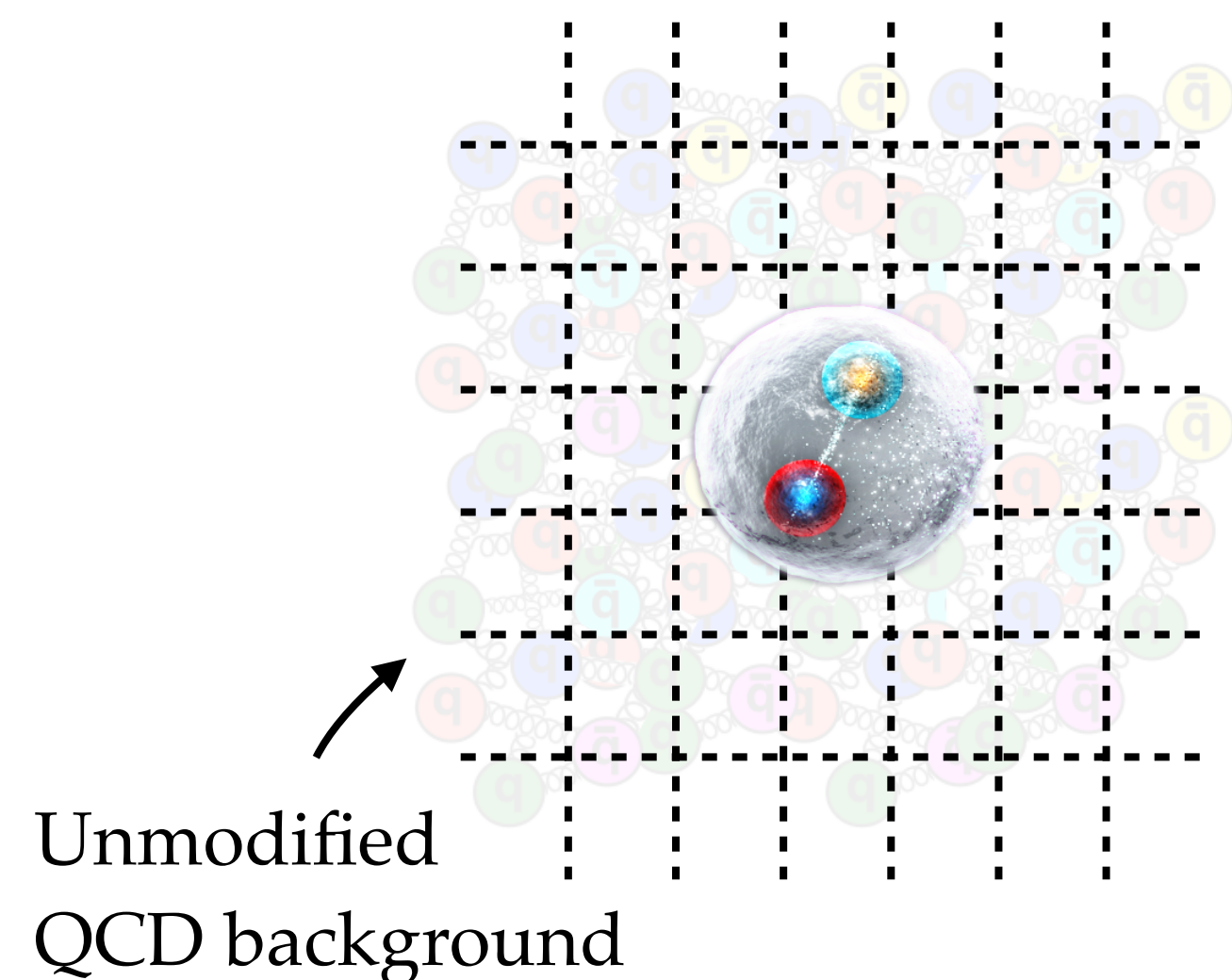
$$\beta = \left(\begin{array}{l} 5.50 \\ 5.65 \end{array} \right), \text{ NP-improved Clover action}$$

[Phys. Rev. D 79, 094507 \(2009\)](#), [arXiv:0901.3302 \[hep-lat\]](#)

$$m_\pi \sim \left[\begin{array}{l} 470 \\ 420 \end{array} \right] \text{ MeV}, \sim \text{SU}(3) \text{ sym.}$$

$$m_\pi L \sim \left[\begin{array}{l} 5.6 \\ 6.9 \end{array} \right]$$

$$a = \left[\begin{array}{l} 0.074 \\ 0.068 \end{array} \right] \text{ fm}$$



- FH implementation at the valence quark level
- Valence u/d quark props with modified action, $S(\lambda)$
- Local EM current insertion, $J_\mu(x) = Z_V \bar{q}(x) \gamma_\mu q(x)$
- 4 Distinct field strengths, $\lambda = [\pm 0.0125, \pm 0.025]$
- Several current momenta in the range, $1.5 \lesssim Q^2 \lesssim 7 \text{ GeV}^2$
- Up to $\mathcal{O}(10^4)$ measurements for each pair of Q^2 and λ
- Access to a range of $\omega = 2p \cdot q / Q^2$ values for several (p, q) pairs
 - An inversion for each q and λ , varying p is relatively cheap
- Connected 2-pt correlators calculated only, no disconnected