# Black holes, white holes, wormholes: geometry and physics

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#### AIP AUSTRALIAN INSTITUTE OF PHYSICS CONGRESS

11-16 December 2022 Adelaide Convention Centre



12.12.2022 14:45





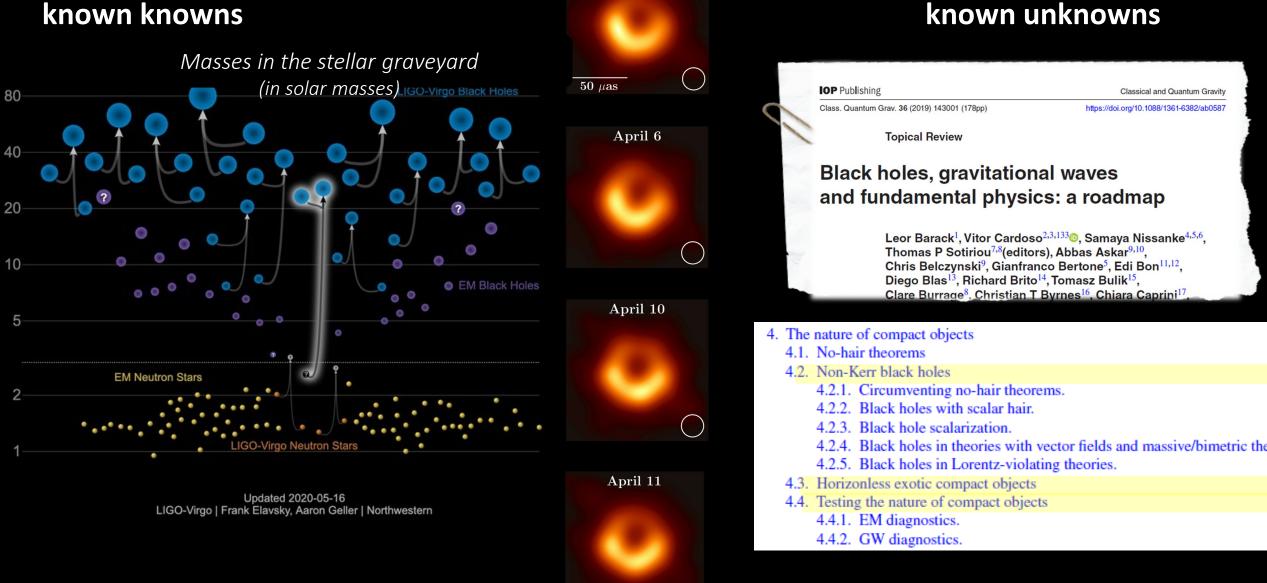
Mann, Murk, DRT, Black holes and their horizons in semiclassical and modified theories of gravity Int J Mod Phys D **31**, 2230015 (2022)arXiv:2112.06515 Mann, Murk, DRT, Black holes and their horizons in semiclassical and modified theories of gravity Int J Mod Phys D **31**, 2230015 (2022)arXiv:2112.06515

**Outline** • Existence of horizons as a math question

- Admissible solutions, their properties, and the holes they describe
  - Implications for black holes
    - Implications for wormholes
      - What's next?

# astrophysical black holes

known knowns

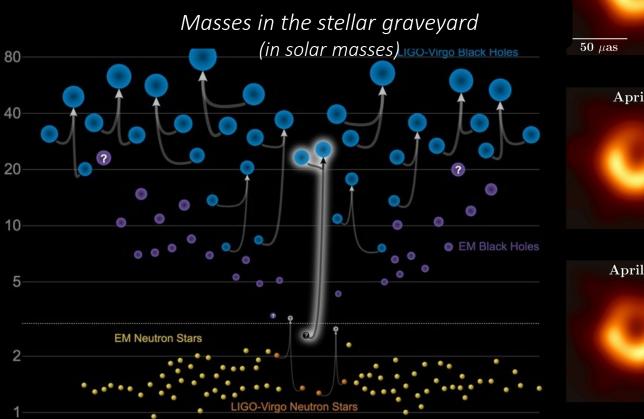


EHT 2019

April 5

## astrophysical black holes

#### known knowns



Updated 2020-05-16 LIGO-Virgo | Frank Elavsky, Aaron Geller | Northwestern



April 5

April 11 EHT 2019

#### known unknowns

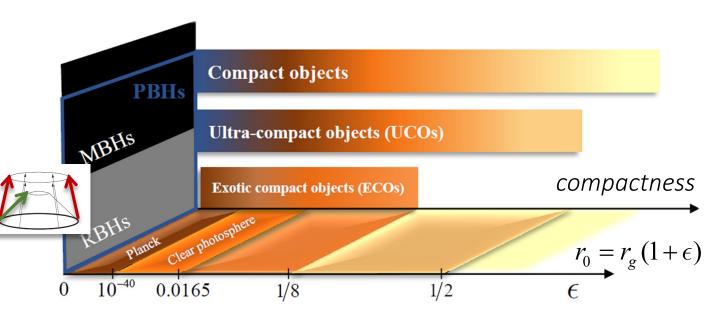
*Many definitons of a black hole:* Curiel, Nature Astr. **3**, 27 (2019)

Frolov, arXiv:/gr-qc1411.6981

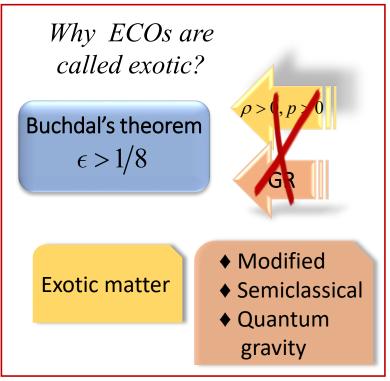
physical black hole (PBH) =
a trapped spacetime region
[that has been formed at a finite time
of a distant observer]

## ultra-compact objects

#### the zoo & physical black holes



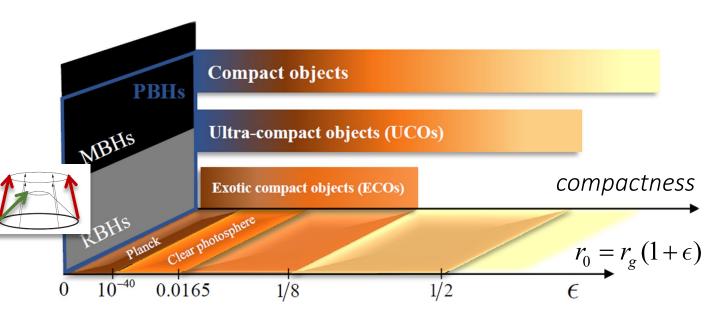
UCO: has a photosphere BH: has a horizon MBH: has an event horizon PBH: has a trapped region ECO: non-BH UCO



Cardoso and Pani, Nat. Astron. 1, 586 (2017)

## ultra-compact objects

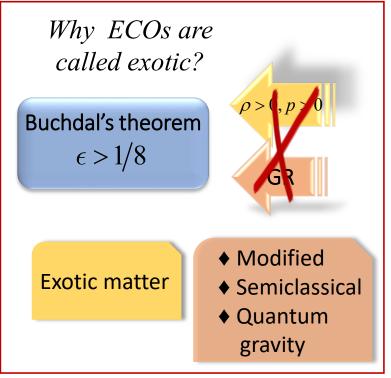
#### the zoo & physical black holes





[1] Perpetual ongoing collapse, with an asymptotic horizon  $\epsilon \rightarrow 0$ 

[2] Formation of a transient or an asymptotic object, where the compactness reaches a minimum at some finite asymptotic [=distant observer] time  $\epsilon \rightarrow \epsilon_{\min}$ [3] Formation of an apparent horizon in finite asymptotic time  $\epsilon(t_{\rm f}) = 0$  UCO: has a photosphere BH: has a horizon MBH: has an event horizon PBH: has a trapped region ECO: non-BH UCO



Cardoso and Pani, Nat. Astron. 1, 586 (2017)

#### 4+ii assumptions

1. The classical spacetime structure is still meaningful and is described by a metric  $g_{\mu\nu}$ .

2. Classical concepts, such as trajectory, event horizon or singularity can be used.

3. The metric is modified by quantum effects. The resulting curvature satisfies the semiclassical self-consistent equation

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi \left\langle \hat{T}_{\mu\nu} \right\rangle_{\omega} + E_{\mu\nu}$$

4. Dynamics of the collapsing matter is still described classically using the self-consistent metric

semiclassical physics

**not assumed**: global structure, singularity, types of fields, quantum state, presence of Hawking radiation

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#### physical black holes

(i) a light-trapping region forms at a finite
time of a distant observer
(ii) curvature scalars [contractions of the
Riemann tensor] are finite on the
boundary of the trapped region

#### Worked-out consequences:

□ Spherical symmetry □ Kerr-Vaidya metrics

## spherical symmetry: recap + some results

#### **PBH: the process**

- □ Use Schwarzschild coordinates to extract the info from divergencies
- □ Pick a nice form of the Einstein equations. Demand existence of real solutions
- Use null coordinates to help classification

## <u>spherical symmetry: recap + some results</u>

## **PBH: the process**

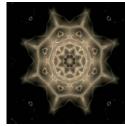
- $\Box$  Use Schwarzschild coordinates to extract the info from divergencies
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- $\hfill\square$  Use null coordinates to help classification

## **PBH: the properties**

- □ Finite infall time (according to a distant Bob)
- Collapse of a massive thin shell takes a finite time (according to Bob),
  - but most of the mass remains [?]
- $\hfill\square$  Outer apparent horizon is always timelike
- □ Null energy condition is violated [in the vicinity of the outer horizon]
- □ A weak firewall: energy density for escaping\* non-geodesic Alice diverges, but weakly.
- □ Inner apparent horizon is timelike or null.
- □ Some popular RBH models do not work.
- $\hfill\square$  Usual proofs of instability of RBH do not apply







#### structure

$$ds^{2} = -e^{2h} f dt^{2} + f^{-1} dr^{2} + r^{2} d\Omega$$
  

$$\Box \text{ circumference: } 2\pi r$$
  

$$\Box \text{ physical time at infinity: } t$$
  

$$f = 1 - 2M(t,r)/r$$
  

$$2M(t,r) \equiv C(t,r)$$
  
Misner-Sharp invariant mass

Schwarzschild radius  $\max r_g = C(t, r_g)$ 

$$C = r_g(t) + W(t,r) \triangleright$$



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Curvature scalars  

$$T := T^{\mu}_{\mu}$$

$$\mathfrak{T} = T^{\mu\nu}T_{\mu\nu}$$

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Schwarzschild radius  $\max r_g = C(t, r_g)$ 

$$T := T^{\mu}_{\mu}$$
$$\mathfrak{T} = T^{\mu\nu}T_{\mu\nu}$$

$$\begin{split} \mathfrak{T} &:= \left( (\tau^r)^2 + (\tau_t)^2 - 2(\tau_t^{\ r})^2 \right) / f^2 \\ \hline \mathbf{useful} \\ \tau_t &:= e^{-2h} T_{tt} \\ \tau_t^{\ r} &:= e^{-h} T_t^{\ r} \\ \tau^r &:= T^{rr} \end{split} \\ \end{split}$$
 All three components go to zero or diverge in the same way

**Einstein equations** 

$$\partial_r C = 8\pi r^2 \tau_t / f,$$
  
 $\partial_t C = 8\pi r^2 e^h \tau_t^r,$   
 $\partial_r h = 4\pi r \left(\tau_t + \tau^r\right) / f^2$ 

$$\lim_{r \to r_g} \tau_a \sim \begin{cases} \pm \Upsilon^2 f^0 \\ \tau_a(t) f^k \end{cases}$$

*k*=0,1\*

$$C = r_g(t) + W(t, r) \triangleright$$

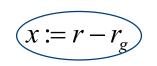


 $\lim_{r \to r_g} \tau_t = \lim_{r \to r_g} \tau^r = -\Upsilon^2 \qquad k = 0$ 

#### metrics

1. The limiting form (close apparent horizon) of dynamical metrics is almost uniquely defined (both *k*=0 and *k*=1).

$$C = r_g - 4\sqrt{\pi r_g^3} \Upsilon \sqrt{x} + \dots \quad h = -\frac{1}{2} \ln \frac{x}{\xi} + \dots \blacktriangleleft k = 0$$
$$k = 1 \blacksquare$$

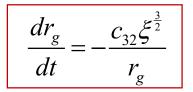


(dynamical BH/WoH; more static options)

$$C = r - c_{32}x^{3/2} + \dots \qquad h = -\frac{3}{2}\ln\frac{x}{\xi} + \dots$$

2. BH parameters are related via evaporation rate

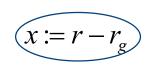
$$\frac{dr_g}{dt} = -4\sqrt{\pi r_g \xi}\Upsilon$$



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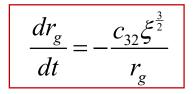


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- □ No static k=0 solutions
- □ Vaidya metrics are k=0 solutions

□ Reissner-Nordström, many static RBH are examples of k=1 solutions:  $C = r_g + 8\pi r_g^2 \rho_g x + ...$ 

**D** Popular dynamic RBH models are k=0 solutions

#### metrics

3. Most convenient coordinates are retarded  $(u_{,r})$  for white holes and advanced  $(v_{+},r)$  for black holes

$$ds^{2} = -e^{2h_{+}} f dv^{2} + e^{h_{+}} dv dr + r^{2} d\Omega_{2}$$
$$dt = e^{-h} \left( e^{h_{\pm}} dv_{\pm} \mp f^{-1} dr \right) = -e^{2h_{-}} f du^{2} + e^{h_{-}} du dr + r^{2} d\Omega_{2}$$
$$2M(t,r) \equiv C(t,r) \equiv C_{-}(u(t,r),r) \equiv \dots$$

E.g, in (v,r) the metric is regular at  $r_g \equiv r_+$  for  $r'_g < 0$  and singular for  $r'_g > 0$ 

$$\begin{aligned} e^{-h_{+}}\partial_{v}C_{+} + f\partial_{r}C_{+} &= 8\pi r^{2}\theta_{v}, & \theta_{v} \coloneqq e^{-2h_{+}}\Theta_{vv} = \tau_{t}, \\ \partial_{r}C_{+} &= -8\pi r^{2}\theta_{vr}, & \theta_{vr} \coloneqq e^{-h_{+}}\Theta_{vr} = \left(\tau_{t}^{r} - \tau_{t}\right)/f, \\ \partial_{r}h_{+} &= 4\pi r\theta_{r}. & \theta_{r} \coloneqq \Theta_{rr} = \left(\tau^{r} + \tau_{t} - 2\tau_{t}^{r}\right)/f^{2} \end{aligned}$$

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$$\begin{array}{ll} r'_g < 0 \triangleright (v,r) & r'_g > 0 \triangleright (u,r) \\ \theta_{\rm in} < 0, \theta_{\rm out} < 0 & \theta_{\rm in} > 0, \theta_{\rm out} > 0 \\ \text{BH solutions} & \text{WH solutions} \end{array}$$

#### metrics

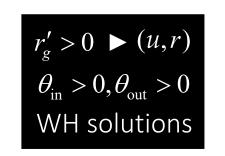
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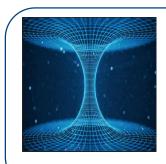
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 $r'_g < 0 \triangleright (v,r)$  $\theta_{in} < 0, \theta_{out} < 0$ BH solutions





A wormhole throat: a marginal outer trapped surface +
 Use all possible solution + impose wormhole requirements

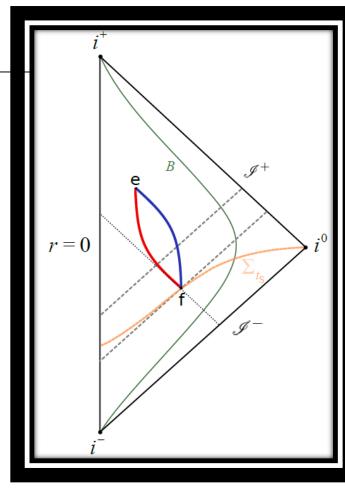
# spherical symmetry: recap + some results

## **PBH: the process**

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- $\square$  Pick a nice form of the Einstein equations. Demand real solutions
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## **PBH: the properties**

- □ Finite infall/collapse time (according to a distant Bob)
- Outer apparent horizon is always timelike
- □ Null energy condition is violated [in the vicinity of the outer horizon]
- **U**nique formation scenario.
- □ A weak firewall: energy density for escaping\* non-geodesic Alice diverges, but weakly
- Some popular RBH models do not work.
- Generalized surface gravity: Kodama
- □ Interesting consistency/thermo implications
- $\Box$  If the 1<sup>st</sup> law+ thermality of evaporation work, then a short hair



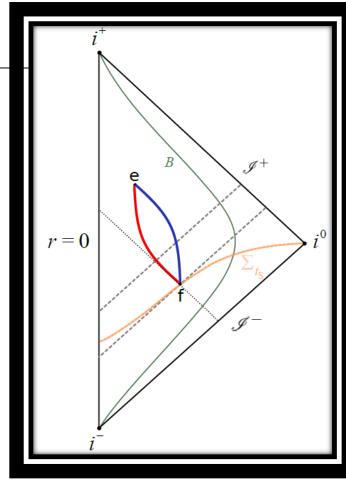
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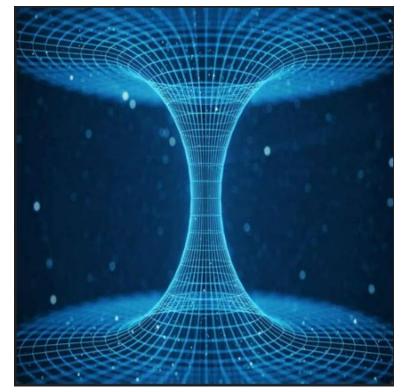


## Wormholes:

A problem

## wormholes

### classic sci-fi



A wormhole throat: a marginal outer trapped surface +

 $\Box$  Use all possible solution +

impose wormhole requirements

DRT, Inaccessibility of traversable wormholes, Phys. Rev. D **106**, 044035 (2022)

$$ds^{2} = -e^{2h} f dt^{2} + f^{-1} dr^{2} + r^{2} d\Omega_{2}$$
$$ds^{2} = -e^{\Phi} dt^{2} + f^{-1} dr^{2} + r^{2} d\Omega_{2}$$

Schwarzschild radius  $\max r_g = C(t, r_g)$ 

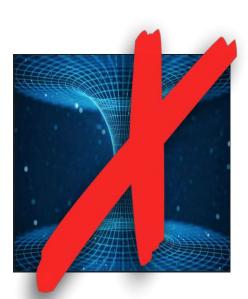
$$\Box$$
 Ellis-Morris-Thorne  $\Phi = 0, C = b_0^2 / r$ 

#### □ Simpson-Visser

$$ds^{2} = -\left(1 - \frac{2m}{\sqrt{\eta^{2} + a^{2}}}\right)dt^{2} + \frac{d\eta^{2}}{1 - \frac{2m}{\sqrt{\eta^{2} + a^{2}}}} + (\eta^{2} + a^{2})d\Omega_{2},$$

#### consequences

- $\Box$  EMT and SV wormholes are k=1
- More dynamical solutions are possible
- $\square$  EMT and SV are not static limits of any of the admissible solutions
- □ Static limits of admissible solutions (if exist) have strong firewall and/or violate the QEI

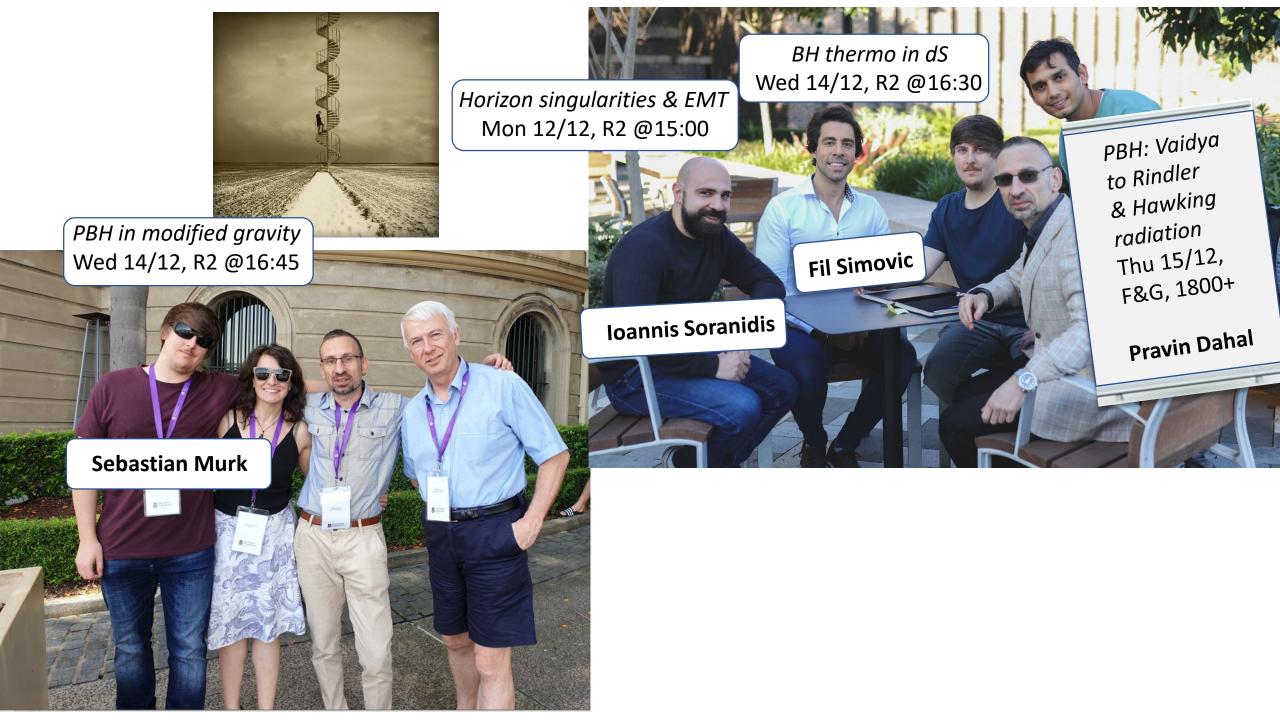


$$\int_{T} f^{2}(\tau) \rho d\tau \ge -B(R, \mathfrak{f}, \gamma)$$

Kontou and Olum, PRD **91**, 104005 (2015).

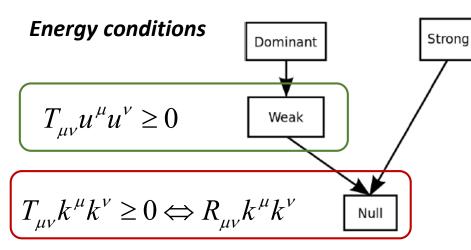






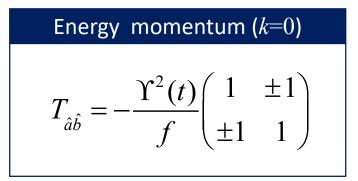


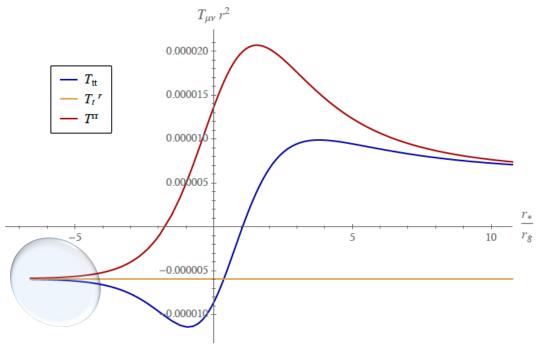
#### consequences



Solutions of the Einstein equations exist: the NEC **must** be violated

$\mathrm{sgn}(T_{tt})$	$\operatorname{sgn}(T_t^{\ r})$	Time-evolution of Vaidya mass function	Black/ White hole	NEC violation
_	_	C'(v) < 0	В	1
_	+	C'(u) > 0	W	1
				××





Levi and Ori, Phys. Rev. Lett. 117, 231101 (2016)

## useful relations

# $(v,r) \& (t,r) \\ (x,r) \& (t,r) \\ (x := r - r_g(t)) \\ y := r - r_t(v) \\ (x := r - r_g(t)) \\ y := r - r_t(v) \\ (x := r - r_g(t)) \\ (x := r - r_g(t$

 $\Box$  1<sup>st</sup> step in the coordinate transformation  $\blacktriangle$ 

1<sup>st</sup> terms in the expansion  $\mathbf{\nabla}$  (use invariance of the MS mass)

(Dynamical *k*=1 solution:  $w_1$ =1)  $w_1 = 1 - 2\sqrt{2\pi r_g^3 |r_g''|} \frac{\Upsilon}{|r_g'|}$ 

#### Parameter identification, 1.0

using the EMT transformation, near-horizon expansions: (for the semiclassical evaporation law in a general form)  $r'_g(t) = \Gamma(r_g) \qquad r'_+(t) = \Gamma_+(r_+)$ 

$$\Upsilon = \frac{1}{2} \sqrt{\frac{|r''_g|}{2\pi r_g}} \frac{|r'_+|}{|r'_g|} \\ \xi = \frac{r'^4_g}{2|r''_g|r'^2_+}$$

# BH formation

A PBH forms as k=1 solution and then evolves as (evaporating) k=0 solution

$$C(v,r) = \Delta(v) + r_*(v) + \sum w_i(v)(r - r_*)$$

min gap *C-r* r of min *C-r* 

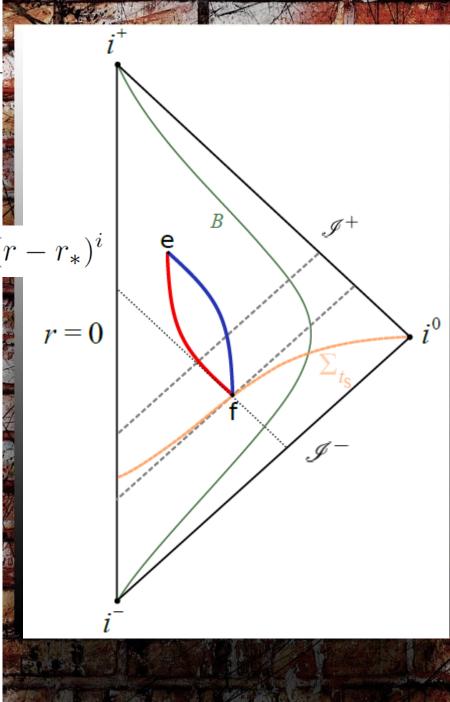
Hence up to formation of the first marginally trapped surface  $w_1=1$ 

At the formation:  $\Delta(v_f)=0, r_+(v_f)=r_*(v_f)$ 

 $r_g(t_s)$ 

 $r_{0}(0)$ 

After formation:  $\Delta = 0$ , but  $r_+(v)$  is not @ min



## Surface gravity

Surface gravity κ is:

(a) inaffinity of null geodesics on the horizon

(b) and the peeling off properties of null geodesics *near the horizon* 

Interpretation: the force per unit mass as measured at infinity, to keep the observer stationary just outside the horizon (c) Stationary Killing horizon: (a)=(b)=(c) Schwarzschild:  $\kappa = 1/4M = 1/2C$ 



Surface gravity plays a key role in BH thermo NEC is true

O<sup>th</sup> law: surface gravity is constant on the horizon

$$dM = \frac{\kappa}{8\pi} dA + \omega_H dJ$$

NEC is false

$$T = \frac{\kappa}{2\pi} \frac{\hbar c^3}{Gk_B}$$

Surface gravity plays a key role in the Hawking radiation

## surface gravity

#### @ outer apparent horizon

#### Peeling surface gravity

$$\kappa_{\text{peel}} = \frac{e^{h(t,r)}}{r} \left( 1 - \partial_r C(t,r) \right) \bigg|_{r=r_g}$$

- Vanzo, Acquaviva, and Di Criscienzo,
   Class. Quant. Grav. 28, 183001 (2011).
- Cropp, Liberati, Visser,
   Class. Quant. Grav. 30, 125001 (2013)

$$\kappa_{\rm peel} = 0, \infty^*$$
 both *k*=0,1 solutions

#### Kodama surface gravity

$$\kappa_{\rm K} = \frac{1}{2} \left( \frac{C(v,r)}{r^2} - \frac{\partial_r C(v,r)}{r} \right) \bigg|_{r=r_g \equiv r_+}$$

Hayward,
 Class. Quant. Grav. 15, 3147 (1996).

$$C = r_{+} + w_{1}(r - r_{+}) + \dots$$

 $\kappa_{\rm K} = 0$  for *k*=1 solution

 $\kappa_{\rm K} \leq 1/2r_+$  for k=0 (w<sub>1</sub>=1) solution

Mann, Murk and DRT, Phys. Rev. D **105**, 124032 (2022)

### universality of BH dynamics

□ If we want **the 1<sup>st</sup> law with AH**, then the metric is ``close'' to Vaidya

 $\kappa_{\rm K} = \frac{1}{2r_{\perp}} \Longrightarrow w_1 = 0$ 

Use the relations between the coefficients

then

$$r_{+}^{\prime 2} = \frac{r_{g}^{\prime 2}}{|r_{g}^{\prime \prime}| r_{g}}$$

α

$$w_1 = 1 - 2\sqrt{2\pi r_g^3 |r_g''|} \frac{\Upsilon}{|r_g'|}$$
$$\Upsilon = \frac{1}{2} \sqrt{\frac{|r_g''|}{2\pi r_g}} \frac{|r_+'|}{|r_g'|}$$

$$r'_{g}(t) = \Gamma(r_{g}) = -\frac{\alpha}{r_{g}^{2}}$$
  
then  
$$r'_{+} = -\frac{1}{2}$$

$$\Upsilon = \frac{1}{2\sqrt{2\pi}r_g}$$
$$\xi = \frac{\alpha^2}{r_g^3}$$

Dahal, Simovic, Soranidis, DRT, soon(ish)

$$dM = \frac{\kappa}{8\pi} dA$$

## ECO vs BH

THE QUESTION

#### will be there a smoking gun?

