Summer Student Lecture Program 2022

Electronics, Trigger and Data Acquisition. 2/3

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Credits: Past SSLP ETD lecture series EM: lectures on DAQ/Trigger at U.Padua 2018-2020 ISOTDAQ: International School of Trigger and DAQ https://indico.cern.ch/event/928767/

Material from various papers and books (bibliography at the end)

- Trigger and DAQ system concepts
- From signal to physics through examples
- Timing
- Data transport, links, buses
- Queues and Event building
- On-line data processing

Sampling a physics process



What happens if New trigger arrives while system is busy ? a) Each new trigger is accepted and "restarts" the process -> paralysable b) No new trigger is accepted until the process is complete -> non-paralysable

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DAQ and Trigger with busy logic

- Busy logic avoids triggers while the system is busy in processing
 - E.g.: AND port and a latch
- Latch (flip-flop):
 - a bistable circuit that changes state (Q) by signals applied to the control inputs (SET, CLEAR)





Deadtime

- Which (average) DAQ rate can we achieve now?
 - Reminder: w/ a clock trigger and $\tau = 1$ ms the limit is 1 kHz
- Definitions



- f: average rate of physics phenomenon (input)
- ν : average rate of DAQ (output)
- τ: deadtime, the time the system requires to process an event, without being able to handle other triggers
- probabilities: P[busy] = $v \tau$; P[free] = 1 $v \tau$
- Therefore:

$$v = f P[free] \Rightarrow v = f(1 - v\tau) \Rightarrow v = \frac{f}{1 + f\tau}$$



Deadtime and Efficiency

• Due to stochastic fluctuations

- DAQ rate always < physics rate
$$v = \frac{f}{1+f\tau} < f$$

- Efficiency always < 100%
$$\epsilon = \frac{N_{saved}}{N_{tot}} = \frac{1}{1+f\tau} < 100\%$$

 So, in our specific example





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Derandomization





A bit of queueing theory



- Efficiency vs traffic intensity ($\rho = \tau / \lambda$) for different queue depths
 - $\rho > 1$: the system is overloaded ($\tau > \lambda$)
 - $\rho \ll 1$: the output is over-designed ($\tau \ll \lambda$)
 - $\rho \sim 1$: using a queue, high efficiency obtained even w/ moderate depth



CPUs and data buses

The CPU does the rest...



Microprocessor architecture





CPU (a simplified view)

- Does computation on words
 - Sequences of bits (32,64,128...)
- Fetches instructions from memory (the "program")
- Instructions are bit codes corresponding to an operation (part of an "instruction set")
 - They are first decoded and then fed to an Arithmetic and Logical Unit (ALU) that performs the operation on data contained in registers (e.g. add, complement, compare, shift, move...)
 - A **program counter** keeps track of the current location in the program being executed
- Data (as instructions) are fetched (usually) from memory over a bus
 - A **bus controller** handles the communication with memory and other I/O peripherals (such as a DAQ board, for example)





CPU (a simplified view)

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 Modern CPUs have a more or less large "cache" – fast memory that contains recently or frequently accessed data for quick retrieval (not requiring access to the memory bus)

The **stack** is a portion of memory that works like a LIFO: there are two fundamental instructions PUSH and POP to move data to and from the stack, and a **stack pointer** always pointing at the top – more on this (maybe) later This simplified view is common (give or take few parts) to many different architectures, **including** those specific to DAQ and trigger (the CPU could be just an "intelligent bus master") 12/07/2022



Control							
ALU Cache	ALU Cache	ALU Cache	ALU Cache				
Cach	le						
DRA	М						

CPU



- Large caches (slow memory accesses to quick cache accesses)
 - Powerful ALUs
- Low bandwidth to memory (tens GB/s)

In CMS:

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- One event per core, thanks to independency of events
- Memory footprint a issue
 - Many Streaming Multiprocessors execute kernels (aka functions) using hundreds of threads concurrently
 - High bandwidth to memory (up to 1TB/s)
 - Number of threads in-fly increases with each generation
 - In CMS:
 - unroll and offload each event's combinatorics to many threads in parallel



GPU





Back to DAQ

- In complex experiments, many channels are received by multiple electronic boards interconnected by a data bus – a computer may not be the most convenient form factor for this, we use modular electronics
 - In an architecture similar to the one discussed before at least one particular element on the BUS is a CPU
 - It is common to use the bus (e.g. VME) to collect data from multiple boards in a single portion of memory



Parallel (e.g. VME) Shared lines



Serial (e.g. PCIe) Point-to-point connections



A note about parallel vs. serial

Parallel Buses Are Dead! (RT magazine, 2006)

What is wrong about "parallel"?

- You need lots of pins on the chips and wires on the PCBs
- The skew between lines limits the maximum speed

What is wrong about "bus"?

- Speed is a function of the length (impedance) of the lines
- Communication is limited to one master/slave pair at a time (no scalability)
- The handshake may slow down the maximum speed

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All parallel buses are dead. All? No!

- There is lots of legacy equipment
- VMEbus is still used heavily (military / research)







	VME64x P1 Connector					
Pin	Signal Name	Signal Name	Signal Name	Signal Name	Signal Name	
	Row z	Row A	Row B	Row C	Row d	
1	MPR	D00	BBSY*	D08	V PC	
2	GND	D01	BCLR*	D09	GND	
3	MCLK	D02	ACFAIL*	D10	+1 V	
4	GND	D03	BG0IN*	D11	+₩2	
5	MSD	D04	BG0OUT*	D12	RsvU	
6	GND	D05	BG1IN*	D13	- V 1	
7	MMD	D06	BG1OUT*	D14	- V 2	
8	GND	D07	BG2IN*	D15	R <i>s</i> vU	
9	MCTL	GND	BG2OUT*	GND	GAP*	
10	GND	SYSCLK	BG3IN*	SYSFAIL*	G A0	
11	RESP*	GND	BG3OUT*	BERR*	GA1	
12	GND	DS1*	BR0*	SYSREST*	+3.3v	
13	RsvBus	DS0*	BR1*	LWORD*	GA2*	
14	GND	WRITE*	BR2*	AM5	+3.3₹	
15	RsvBus	GND	BR3*	A23	GA3*	
16	GND	DTACK*	AM0	A22	+3.3∛	
17	RsvBus	GND	AM1	A21	GA4*	
18	GND	AS*	AM2	A20	+3.3♥	
19	RsvBus	GND	AM3	A19	RsvBus	
20	GND	IACK*	GND	A18	+3.3V	
21	RsvBus	IACKIN*	SERCLK	A17	RsvBus	
22	GND	IACKOUT*	SERDAT*	A16	+3.3V	
23	RsvBus	AM4	GND	A15	RsvBus	
24	GND	A07	IRQ7*	A14	+3.3V	
25	R <i>s</i> vBus	A06	IRQ6*	A13	R <i>s</i> vBus	
26	GND	A05	IRQ5*	A12	+3.3♥	
27	RsvBus	A04	IRQ4*	A11	LI/I*	
28	GND	A03	IRQ3*	A10	+3.3♥	
29	RsvBus	A02	IRQ2*	A09	LI/O*	
30	GND		IRQ1*	A08	+3.3V	
31	RsvBus	-12V	+5V Standby	+12V	GND	
32	GND	+5♥	+5 v	+5V	V PC	

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Serial: PCIe (aka PCI Express)

- Not a bus any more but a point-to-point link
- Data not transferred on parallel lines but on one or several serial lanes
 - Lane: One pair of LVDS lines per direction
 - Clock rate: 2.5 GHz (PCIe2.0: 5 GHz, PCIe 3.0: 8 GHz, PCIe 4.0: 16 GHz)
 - 8b/10b encoding (from PCIe3.0: 128/130b encoding)
 - 250 MB/s (PCIe 1.0) raw transfer rate per lane
 - Devices can support up to 32 lanes





Example: VME write

Example: (Simplified) write cycle





Event Building

- In large experiments consisting of many different sub-detectors, read out is performed by different boards and by multiple CPUs (for example in multiple VME crates)
 - We want to combine all the portions corresponding to the same "event" in the memory of a single CPU for processing and eventually storage
- Need a mechanism to associate all data corresponding to the same event (e.g. a bunch crossing in a collider, a gamma ray shower in a telescope array...)
 - This process is called event building





Event Building

• Event Building used to be performed on the bus itself







- But the bus forces the process to be sequential (only one board can "speak" at a time)
- It is also not infinitely extendible (does not "scale")
- In all LHC experiments event building is performed by distributed processes through a **switched network**





Ethernet switch

Layer-2 device

- Switches frames to their destination using the MAC address
- Learns the address associated to each port and stores it in a table



Port	MAC Address
2	00:01:02:ab:cd:ef(John)
4	01:02:03:a1:b2:c3(Matt)



Event Building



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More in-depth – menu

Back to a real-life (simple) experiment – measuring energy

- Charge to digital converter
- ADC non-linearity
- Measuring position with a TDC

Calibration

Trigger, latency and deadtime, trigger efficiency

Examples taken from nuclear and (mostly) particle physics



measuring energy with scintillator+PMT

Zinst







Real ADCs at work



- Real data from a beam test @CERN
- PbWO4 (scintillating) crystal equipped with two PMTs and exposed to e, μ and π beams



QDC: (gated) charge integrator followed by ADC

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- (fixed-duration) gate opened after trigger
- digital conversion started after gate closing
- integrator discharged after conversion



By the way, which are the noise contribution to our charge measurement?



- Essentially an integration step followed by an ADC
- Integration requires limits \rightarrow gate



- Relative timing between signal and gate is important: delay tuning
- Gate should be large enough to contain the full pulse and to accommodate for the jitter
- Gate should not be too large \rightarrow increases the noise level
- Gate should not be too large → **the** system is dead to further signal



Pedestal subtraction



The result of a pedestal measurement has to be subtracted from our charge measurements

The pedestal is produced essentially by PMT dark current (peak corresponding to zero photoelectrons), thermal noise, ...out-of-time

particles



NOTA BENE: AFTER PEDESTAL SUBTRACTION, THE EFFECTIVE (USABLE) DYNAMIC RANGE IS REDUCED

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With an out-of-phase trigger we can measure the baseline (pedestal) The same noise enters our physics measurements and contributes with an offset to the distribution

Read QDC data



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Trigger

counters

Real QDC data





Bin with N entries should fluctuate with $\sigma = \sqrt{N}$

 $\sqrt{360}$ ~19 \rightarrow ()~10 σ

- Spikes are regularly distributed
- Some systematic effect must be taking place

• Zoom in a bit more



Real QDC data



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- Results of type xxx0 win over xxxf
- Typical differential non linearity of successive approximation ADCs





Successive approximation ADC

Successive Approximation – example of a 4-bit ADC





ADC non-linearities





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- High Density, 8 Channels
- High Sensitivity, 50 fC/count
- Wide Dynamic Range, 12 Bits
- Flexible Gates, 50 nsec to 2 µsec
- Fast Clear, 650 nsec
- Fast Readout, 5 Megawords/sec
- Short Conversion Time, 16 µsec
- 16 Event Buffer Memory
- Single-Width 6 U, A24/D16 VME Slave

http://teledynelecroy.com/lrs/dsheets/1182.htm



Real life example: TDC



- XDWC: delay wire chambers used on the SPS extracted lines to measure beam profiles
- Two cathode planes provide X and Y positions
- Measurement is based on the delay gained along a delay line

$$y = \alpha \cdot \Delta t + \beta = \alpha \cdot (t_{top} - t_{bottom}) + \beta$$





Raw time data







Uncalibrated beam profile

- Beam sizes are still in TDC counts
 - Not very useful, though
- How do we convert this into a known scale (e.g. cm)?

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TDC count
- We have our ADC/TDC counts, but how do we correlate them with energy/position ?
- Need a calibration procedure





Calibration

- The experiments we discussed provide <u>relative measurements</u>. The values obtained via our system are in some (known) relation with the interesting quantity
 - Scintillator

$$Q \propto N_{\gamma} \propto E$$

- XDWC

$$y = \alpha \cdot \Delta t + \beta = \alpha \cdot (t_{top} - t_{bottom}) + \beta$$

- Our instruments need to be in order to give us the answer we are looking for
 - We have to determine the **calibrated**parameters that transform the raw data into a physics quantity
 - The parameters normally depend on the experimental setup (e.g. cable length, delay settings, HV settings, ...)
- In the <u>design of our detector and DAQ</u> we have to foresee calibration mechanisms/procedures



Ge crystal for isotope identification





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Crystal calibration

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Isotope identification



Calibrated crystal setup can be used to identify isotopes generated in irradiated samples



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XDWC Calibration

- XDWC chamber have 3 calibration inputs that allow for independent calibrations of X and Y axis with only 3 different sets of data
- The calibration input simulate signals from particles respectively hitting
 - Right-top corner (X=Y=30mm)
 - Center (X=Y=0mm)
 - Left-bottom corner (X=Y=-30mm)
- The calibration data sets are collected with <u>final</u> <u>setup and TDC</u>
- Interpolating the three points in the *t-x* space, the parameters of the calibration equation can be measured





Calibrated XDWC



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Calibration: summary

- Our DAQ chain provides us with numbers (raw data) but ... what do they really mean ?
- Likely related with physics quantities but with relations (transfer functions) affected by several uncertainties:
- due to physical detection mechanisms
- due to signal processing
- Transfer functions usually parametrised, sometimes based on (look-up) numeric tables
- All system elements need to be calibrated to keep optimal knowledge of all parameters:
 - calibration procedures
 - calibration constants
- Calibration constants change with ageing (mainly due to radiation), beam conditions (electronics may have baseline drifting with pile-up), time ... HV, LV, ...
- The design of our detector and DAQ has to foresee calibration mechanisms/procedures
- injection of known signals
- dedicated calibration *triggers* and data streams



Atlas tile calorimeter calibration system

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Back to Trigger

Derandomization





- Leaky-bucket problem
 - The bucket is filled at variable flow
 - The bucket empties at constant (smooth) flow
 - How often does it overflow ?





Buffer size and deadtime

N-event buffer ... single queue size N, service time τ , service rate – 1/ τ :

 P_k : % time with k events in ; P_N = no space available \rightarrow dead time

$$\begin{split} &\sum P_{k} = 1 \ [\ k = 0..N \] \\ &\text{rate } [\ j \to j + 1 \] = f \cdot P_{j} \qquad (\text{fill at rate } f) \\ &\text{rate } [\ j + 1 \to j \] = \mu \cdot P_{j} + 1 \qquad (\text{empty at rate } \mu = 1/\tau > f) \\ &\text{steady state: } \mu \cdot P_{j} + 1 = f \cdot P_{j} \implies P_{j+1} = \rho \cdot P_{j} = \rho^{j+1} \cdot P_{0} \qquad [\rho = (f \tau) < 1 \] \\ &\text{for } \rho \sim 1 \implies P_{j} \sim P_{j+1} \implies \sum P_{k} \sim (N+1) \cdot P_{0} = 1 \implies P_{0} \sim P_{N} \sim 1/(N+1) \\ &\implies \text{ dead time } \sim 1/(N+1) \\ &\text{want } \sim 1\% \implies N \sim 100 \end{split}$$

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Deadtime: summary

- Deadtime from overlapping readout windows
- Deadtime to avoid buffer overflow
 - What happens in case of buffer overflow ?
- Strategies to limit deadtime
 - Size buffers conveniently
 - For collider experiments: f = bunch crossing frequence (40 MHz for LHC), τ = latency of trigger system + readout time
 - Suppress trigger "bursts" = "trigger rules"
 - For example not more than M trigger in any (sliding) window of N triggers
 - Trigger rules are a source of deadtime as well (but avoid buffer overruns)
 - Have electronics raise "almost full" signals used to throttle the trigger



Latency

- A synchronous (real time) system must respond within some fixed delay → Latency = Max Latency (constrained) → over fluctuations bad, will create dead time
- An asynchronous system responds as soon as it's available → Latency = Mean Latency (not constrained) → over fluctuations ok, shouldn't create dead time (unless upstream buffers get full)
- Trigger systems for complex experiments are often implemented in multiple levels
- Usually only the first one or two are synchronous
- Synchronous triggers have latencies of the order of μ s
 - Front-end buffers e.g. at LHC must be able to hold data for at least order of 40 MHz * 1-5 μ s = 40-200 bunch crossings
 - The latency includes the time to process the input, generate the trigger decision, and propagate the trigger signal back to the front-end



Latency, pipeline, trigger distribution





Example: Augier

On each detector, a 3-level trigger operates at a wide range of 7 primary energies, for both vertical and very inclined showers L1: (local) decides the pixel status (on/off) 11 ADC counts > threshold 1 MHz/pixel ADC digitizes any 100 ns (time resolution) ADC values stored for 100 us in **buffers** • Synchronized with a signal from a GPS clock L2: (local) identifies track segments L2 200 Hz/station Geometrical criteria with recognition algorithms on programmable patterns L3: (central) makes spatial and temporal L3 0.2 Hz correlation between L2 triggers

Detect air showers generated by cosmic rays above 10¹⁷ eV

Expected rate < 1/km²/century. Two large area detectors

Surface D. Farray of ~1600 water Cherenkov stations over 3000 km² on ground, to identify secondary particles Florescent D.: 4 VV telescopes measure the shower Energy longitudinally





One event ~ 1MB \rightarrow 0.2 MB/s bandwidth needed for the DAQ system





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Example: CMS muon trigger



Understanding trigger efficiency

$$BR(Signal) = \frac{(N_{candidates} - N_{bg})}{\alpha \cdot \varepsilon_{total} \cdot \sigma_{Bs} \cdot \int Ldt}$$
$$\alpha \cdot \varepsilon_{total} = \alpha \cdot \varepsilon_{Tracking} \cdot \varepsilon_{Reco} \varepsilon_{L1-Trig} \cdot \varepsilon_{L2-Trig} \cdot \varepsilon_{L3-Trig} \cdot \varepsilon_{vertex} \cdot \varepsilon_{analysis}$$

- Must be precisely known (w/ its systematics)
- Independent trigger selections allows cross-calibration
- \rightarrow need of additional triggers
- High-Level Triggers: \rightarrow pass-through triggers (release selection criteria)
- Level-1 Triggers: a) zero or minimum bias samples b) Tag&Probe \rightarrow trigger on "Tag" and measure "Probe"



Additional topics

More Queueing Theory I

- Semi-qualitative discussion:
- Transition where queue occupancy decreases by one $(j \rightarrow j + \underline{1}) = fP_j$ Transition where queue occupancy increases by one $(j + 1 \rightarrow j) = P_{j+1}/\tau$ At equilibrium (i.e. distribution in queue is stable):

$$f \cdot P_j = P_{j+1}/\tau \rightarrow P_{j+1} = (f\tau) \cdot P_j = x \cdot P_j$$

One can then conclude that $P_1 = x \cdot P_0$, $P_2 = x^2 \cdot P_0$, ... $P_N = x^N \cdot P_0$, $(x = f \cdot \tau)$



More Queueing Theory II

$$\sum_{k}^{N} P_{k} = 1 \rightarrow P_{-}0 = 1/\sum_{k}^{N} x^{k} = (1-x)/(1-x^{N+1})$$

incidentally notice that: $\langle k \rangle = \lim_{N \to \infty} \sum_{k=1}^{N} k P_k = \sum_{k=1}^{N} k x^k \frac{1-x}{(1-x^{N+1})}$

$$\lim_{N \to \infty} \frac{x-1}{(x^{N+1}-1)} \sum_{k}^{N} kx^{k} = 1 - x |_{x < 1} \lim_{N \to \infty} \sum_{k}^{N} kx^{k} = (x-1) \frac{x}{x-1} \Big|_{x < 1} = f\tau$$

which is a not-so-formal proof of Little's theorem... For N finite...

$$P_N = x^N \cdot (1-x)/(1-x^{N+1})$$

$$\varepsilon_N = (1-P_N) = (1-x^N)/(1-x^{N+1})$$

$$(x < 1): \lim_{N \to \infty} \varepsilon_N = 1$$

$$(x > 1): \lim_{N \to \infty} \varepsilon_N = 1/x$$



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Combinatorial Logic vs Sequential Logic

Combinational circuits

the steady-state outputs are logical functions of the steady-state inputs only,

- \rightarrow outputs do not depend on the internal condition of the circuit.
- \rightarrow combinational circuits can always be constructed with gates.

Examples: - adding or comparing integers

- deciding whether all the conditions for a decision are

satisfied

Sequential circuits

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when memory of past actions is required \rightarrow combinational circuits do not suffice. Example: if we want to detect the second occurrence of a given action, we must somehow register the fact that at some point there was a first occurrence of this action

Synchronous vs Asynchronous

- Synchronous sequential logic:
 - the time at which transitions between circuit states occurs is controlled by a common clock signal
 - changes in all variables occur simultaneously
- Asynchronous sequential logic:
 - state transitions occur independently of any clock, and normally depend on the time at which input variables change
 - outputs do not necessarily change simultaneously
- Clock
 - a clock signal is a square wave of a fixed frequency
 - it is used to trigger state transitions at fixed times in synchronous circuits



FLIP-FLOPs (and Latches)

A flip-flop is a memory device with two stable states:

 \rightarrow by an appropriate choice of inputs, either state can be obtained

Flip-flops are needed to execute sequential logic, which requires memory of past actions

Flip-flops come in many forms:

- we only focus on those obtained by cross-coupling logic gates
- we will assume that all gates have the same gate delay t



Set-Reset FLIP-FLOPs - asyncronous



If S is made active (ie set to 0) → Q will become 1 after a time t (if it was not already 1) and Qbar will become 0 after a time 2t
 After this time, Q will remain at 1 even if S becomes inactive

• If R is made active (ie set to 0) for a time longer than $2t \rightarrow Q$ can be set to 0

Note:

1) an analogous description is valid for the NOR flip-flop, where the only difference is that S and R are active when they are equal to 1



2) NAND FF is active low, NOR FF is active high

Gated Latch

A gated latch is a variation on the basic latch

The gated latch has an additional input, called enable (EN) that must be HIGH in order for the latch to respond to the S and R inputs



(a) Logic diagram

(b) Logic symbol



Clocks (CLK)

- In digital synchronous systems, all waveforms are synchronized with a clock.
 - The clock waveform itself does not carry information.
- The **clock** is a periodic waveform in which each interval between pulses (the period) equals the time for one bit.



 Notice that change in level of waveform A occurs at the rising edge of the clock waveform.



S-R Flip-Flop













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How do I make a FIFO ?

FIFO: asynchronous





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Example implementation





FIFO: synchronous





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Possibly useful information

Addressing memory (simplified view)



urce: Heuring - Jordan: Computer Systems Architecture and Design

The address space is the total number of unique addresses available to the CPU. Different addressable devices are "mapped" to different unique address ranges for access over the bus

Source: Heuring - Jordan: Computer Systems Architecture and Design


Decoding addresses



Name	Value	0 ns	200 ns		400 ns	600 ns
🕨 📲 a[1:0]	11	00	01	10		11
🕨 📲 b[3:0]	0001	0001	0010	0100		1000 0001

library IEEE; use IEEE.STD_LOGIC_1164.all;

```
entity decoder is
  port(
    a : in STD_LOGIC_VECTOR(1 downto 0);
    b : out STD_LOGIC_VECTOR(3 downto 0)
    );
end decoder;
architecture bhv of decoder is
begin
```



end bhv;



