

# Flavour Physics: A Taster

CERN Summer Student Lecture Programme 2022

Lecture 2 of 3: CP violation and the B factories

20-22 July 2022

Mark Williams  
University of Edinburgh



THE UNIVERSITY  
*of* EDINBURGH

# Introduction

Yesterday we covered the foundations and motivations of the subject

- Quantum loops & indirect searches for new physics
- Discrete symmetries in nature
- Example: Neutral meson oscillations

Today we connect these ideas and examine them in the context of the standard model

- The CKM mechanism and quark mixing
- Complex CKM phases  $\Leftrightarrow$  CP violation
- Experimental constraints and the B factory era

# Part I: Quark flavour in the SM

# Quark mixing

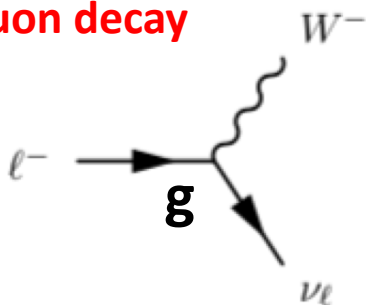
Weak interaction breaks C and P maximally, and CP a bit – **how?**

In 1960s, list of fundamental particles was small:

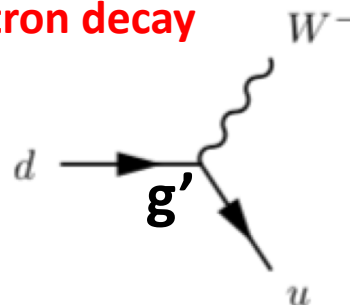
- 4 leptons ( $e, \mu, \nu_e, \nu_\mu$ )
- 3 quarks ( $u, d, s$ )

From particle lifetimes, can derive weak coupling strengths  $g$  for different decays...

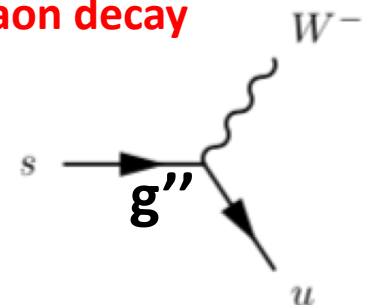
**Muon decay**



**Neutron decay**



**Kaon decay**



Find  $g > g' \gg g'' \Rightarrow$  why?

# Quark mixing

Universal coupling can be recovered if weak interaction 'sees' rotated combination of quark flavours

$\theta_c = 0.257$  from experiments

<https://journals.aps.org/prl/abstract/10.1103/PhysRevLett.10.531>

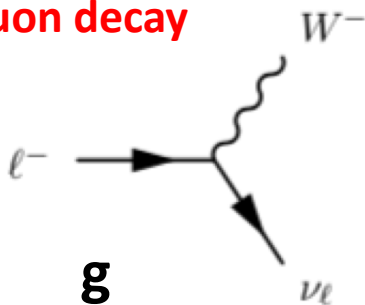
UNITARY SYMMETRY AND LEPTONIC DECAYS

Nicola Cabibbo

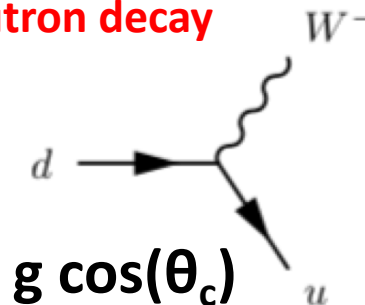
CERN, Geneva, Switzerland

(Received 29 April 1963)

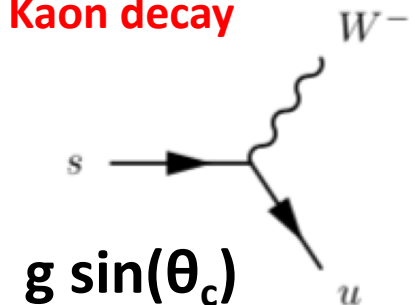
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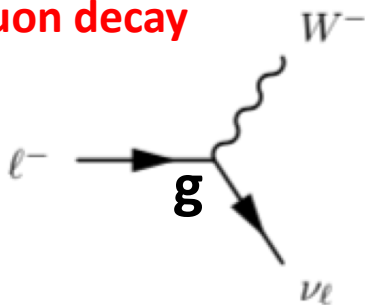
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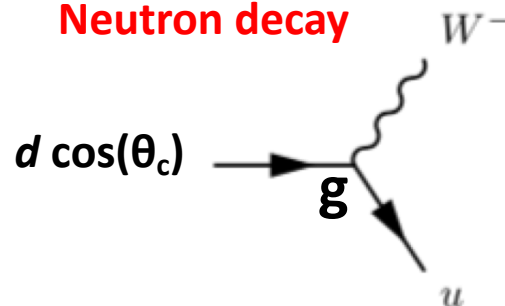
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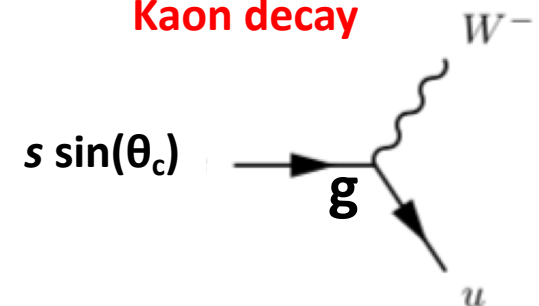
**Muon decay**



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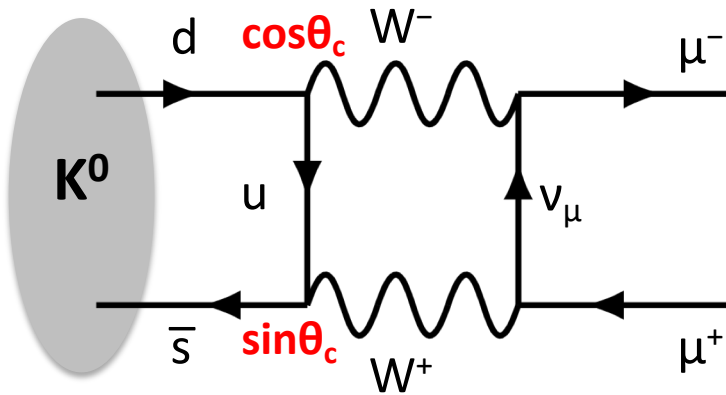
Weak eigenstates are a **mixture** (superposition) of flavour states:

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_C & \sin \theta_C \\ -\sin \theta_C & \cos \theta_C \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

- ✓ Saves universality of weak interaction, introduces concept of quark mixing
- ✗ Predicts additional kaon decays well above observed experimental limits...

# “GIM” and the charm quark

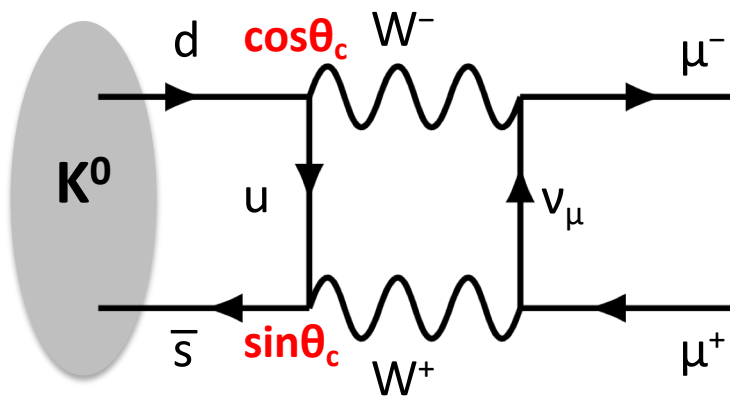
Following Cabibbo, questions remain – some apparently allowed decays are never observed



Process  $K^0 \rightarrow \mu^+\mu^-$  apparently highly suppressed (based on exp.) – but **why?**

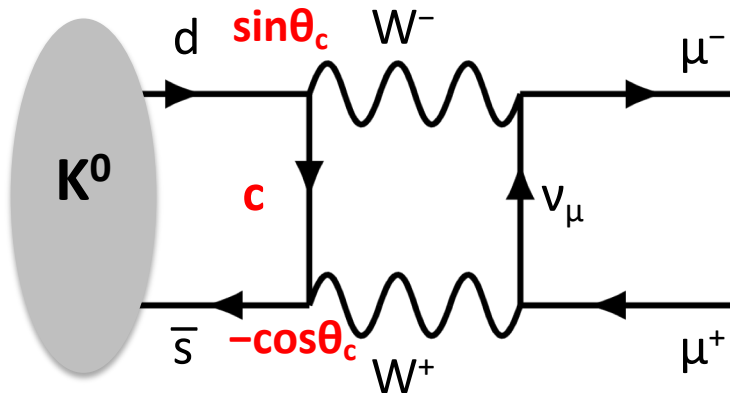
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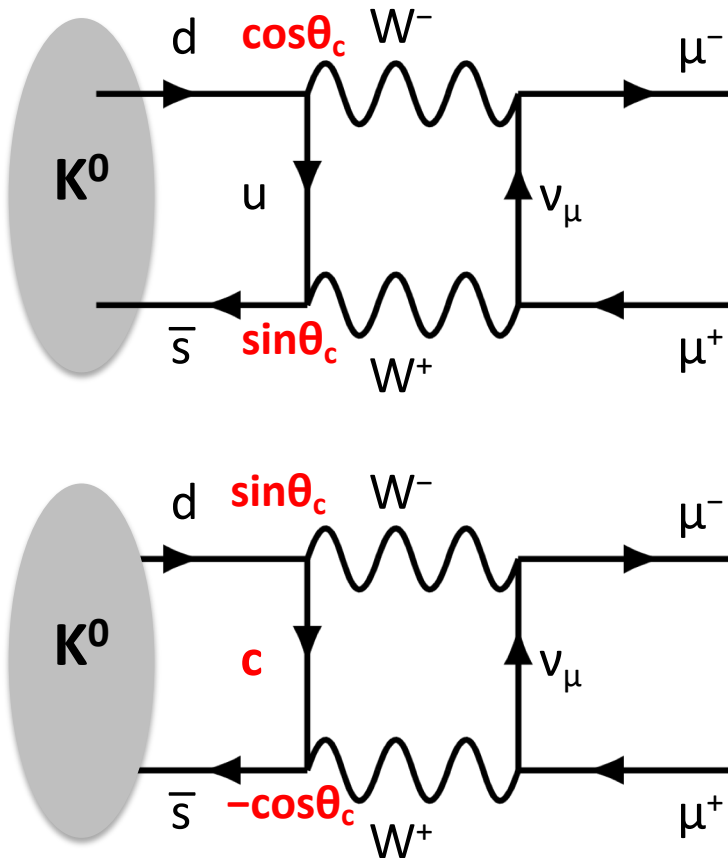
Add charm quark  $\Rightarrow$  add second diagram (= amplitude)





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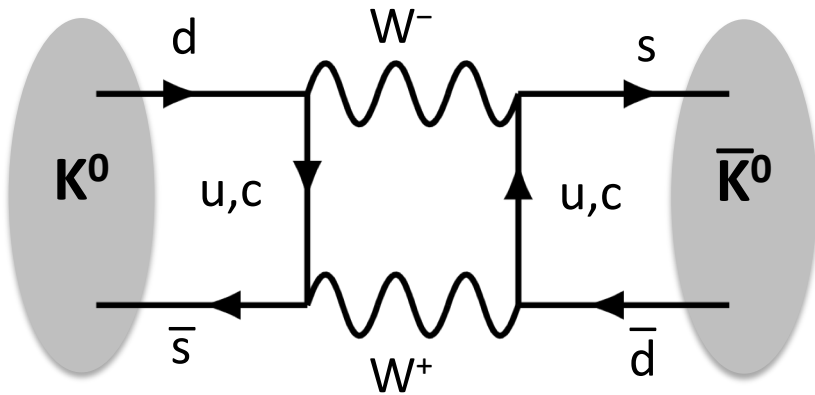
Two amplitudes  $\sim$ equal and have opposite sign  
 $\Rightarrow$  total amplitude **highly suppressed!**

**Cancellation not perfect because  $u$  and  $c$  quarks have different mass.**

$\Rightarrow$  **GIM mechanism**

# [Neutral kaon mixing]

Same diagrams cause kaon mixing



Mixing rate strongly depends on charm quark mass – if we can observe kaon mixing we can **predict** this mass

Kaon mixing experimentally confirmed since 1960s

Measurement of  $\Delta m_k$  (=oscillation frequency) gave prediction  $m_c = 1.5 \text{ GeV}$

$$\Delta m_k = \frac{G_F^2}{4\pi} m_K f_K^2 m_c^2 |V_{cs} V_{cd}|^2$$

# “GIM” and the charm quark

<https://journals.aps.org/prd/abstract/10.1103/PhysRevD.2.1285>

## Weak Interactions with Lepton-Hadron Symmetry\*

S. L. GLASHOW, J. ILIOPOULOS, AND L. MAIANI†

*Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02139*

(Received 5 March 1970)

We propose a model of weak interactions in which the currents are constructed out of four basic quark fields and interact with a charged massive vector boson. We show, to all orders in perturbation theory, that the leading divergences do not violate any strong-interaction symmetry and the next to the leading divergences respect all observed weak-interaction selection rules. The model features a remarkable symmetry between leptons and quarks. The extension of our model to a complete Yang-Mills theory is discussed.

Leads to remarkable symmetry  
between quark and lepton sector

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$$
$$\begin{pmatrix} u \\ d' \end{pmatrix}_L, \begin{pmatrix} c \\ s' \end{pmatrix}_L$$

Makes testable prediction of existence and mass of charm quark...

# “GIM” and the charm quark

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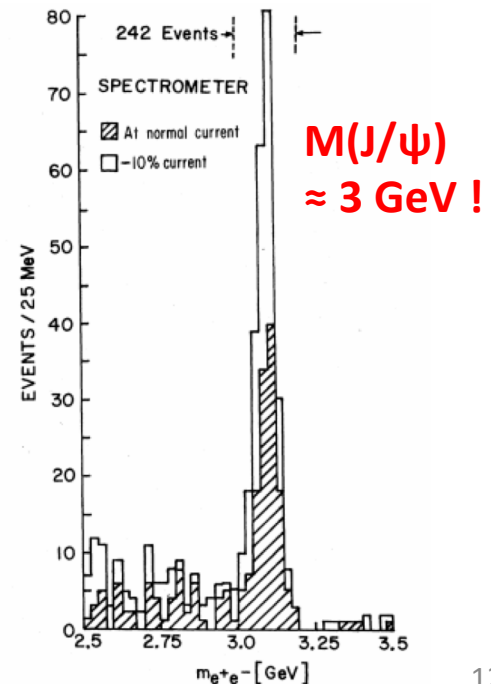
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$$\begin{pmatrix} u \\ d' \end{pmatrix}_L, \begin{pmatrix} c \\ s' \end{pmatrix}_L$$



J/ψ meson  
(c $\bar{c}$  bound state)  
discovered  
simultaneously  
at BNL and SLAC  
in 1974



Makes testable prediction of existence and mass of charm quark...

# Where's the CP violation?

<https://doi.org/10.1143/PTP.49.652>

CP violation experimentally verified in weak interaction, but couldn't fit into existing theory...

## **CP-Violation in the Renormalizable Theory of Weak Interaction**

Makoto KOBAYASHI and Toshihide MASKAWA

*Department of Physics, Kyoto University, Kyoto*

(Received September 1, 1972)

KM realised that **we need 3 generations** to allow CP violation...

### **Cabibbo**

$$\begin{bmatrix} d' \\ s' \end{bmatrix} = \begin{bmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{bmatrix} \begin{bmatrix} d \\ s \end{bmatrix}$$

1 (real) parameter: mixing angle  $\theta_c$

### **Cabibbo Kobayashi Maskawa (CKM)**

$$\begin{bmatrix} d' \\ s' \\ b' \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \end{bmatrix}$$

4 parameters: 3 real mixing angles  
**1 complex phase!**

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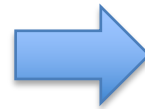
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**Prediction of another 2 new quarks even before charm was discovered!**

**⇒ b (t) quark not discovered until 1977 (1994)!**

# [Discovering beauty/bottom]

**Observation of a Dimuon Resonance at 9.5 GeV in 400-GeV Proton-Nucleus Collisions**

S. W. Herb, D. C. Hom, L. M. Lederman, J. C. Sens,<sup>(a)</sup> H. D. Snyder, and J. K. Yoh  
*Columbia University, New York, New York 10027*

and

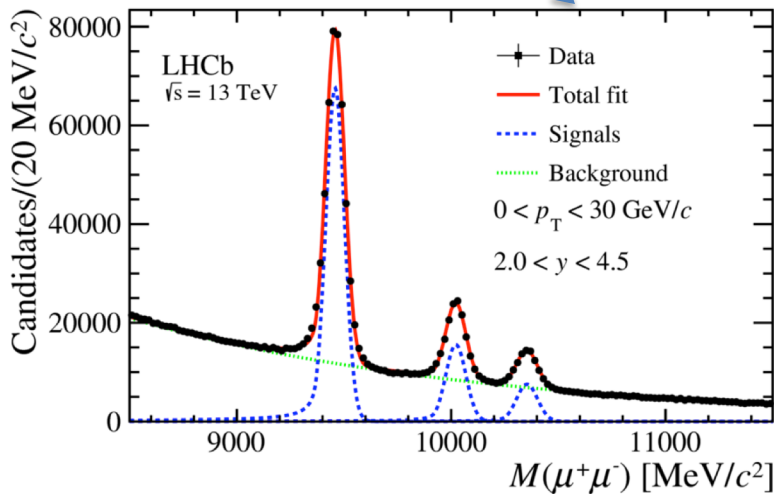
J. A. Appel, B. C. Brown, C. N. Brown, W. R. Innes, K. Ueno, and T. Yamanouchi  
*Fermi National Accelerator Laboratory, Batavia, Illinois 60510*

and

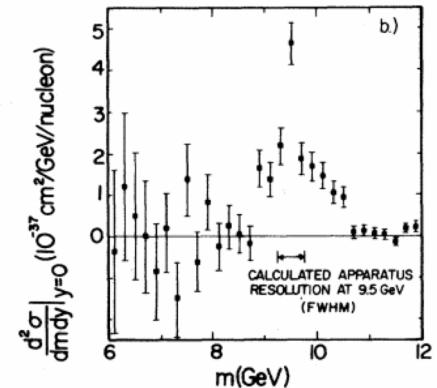
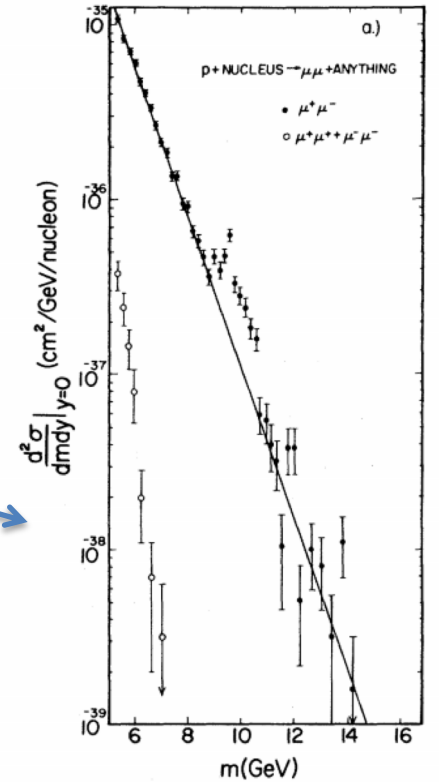
A. S. Ito, H. Jöstlein, D. M. Kaplan, and R. D. Kephart  
*State University of New York at Stony Brook, Stony Brook, New York 11974*  
 (Received 1 July 1977)

1977, Lederman et al (proton beam on fixed target)

2018, LHCb (pp collisions)



'bottomonium'  
 (b $\bar{b}$  bound state)  
 $\Upsilon \rightarrow \mu^+\mu^-$   
 $M \approx 9.5 \text{ GeV}$



# CKM structure

Current experimental status:

<http://pdg.lbl.gov/2016/reviews/rpp2016-rev-ckm-matrix.pdf>

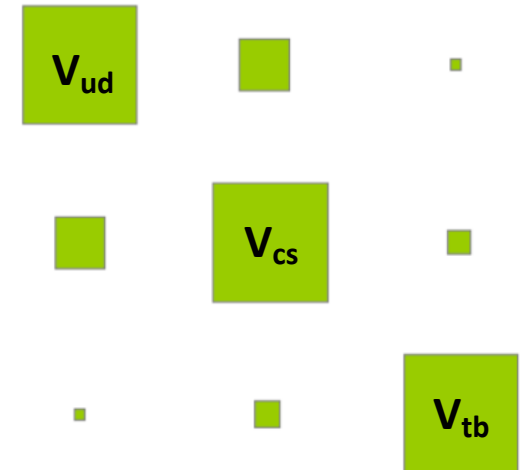
$$\begin{bmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{bmatrix} = \begin{bmatrix} 0.97434^{+0.00011}_{-0.00012} & 0.22506 \pm 0.00050 & 0.00357 \pm 0.00015 \\ 0.22492 \pm 0.00050 & 0.97351 \pm 0.00013 & 0.0411 \pm 0.0013 \\ 0.00875^{+0.00032}_{-0.00033} & 0.0403 \pm 0.0013 & 0.99915 \pm 0.00005 \end{bmatrix}$$

Magnitudes  $|V_{ij}|^2$  appear in probabilities (=rates) of decays.

Magnitudes have suggestive pattern  
No known reason!

Transitions within same generation : “**Cabibbo Favoured**” (CF)

Processes with 1 (2) off-diagonal elements :  
“**Singly (doubly) Cabibbo Suppressed**” (SCS / DCS)



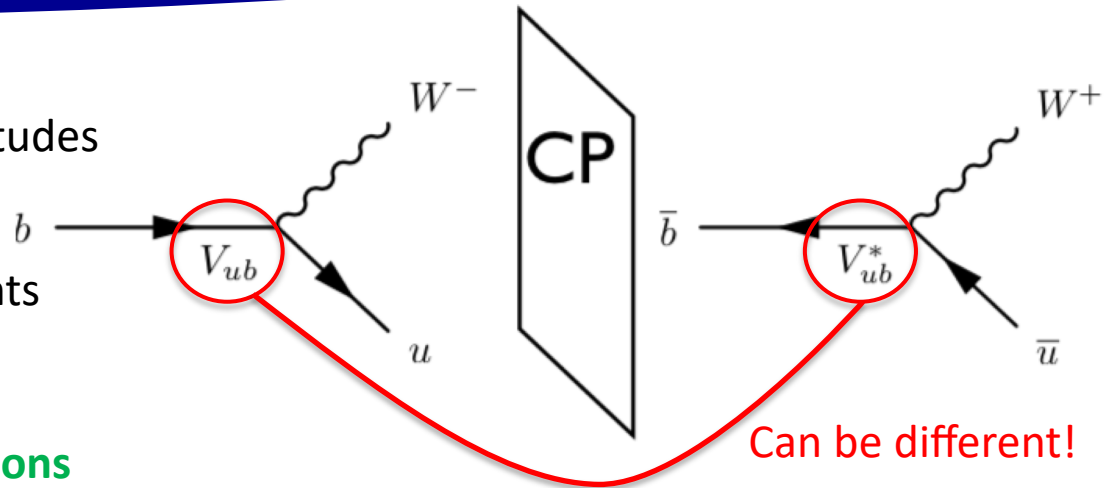


# CKM and CP violation

CP operator  
⇒ complex conjugation of amplitudes

With 3 generations, CKM elements  $V_{ij}$  can be complex

**A universe with 2 (or 1) generations could not have CP violation this way!**



**Highly predictive (= good theory!)**

- Can make many independent measurements of  $V_{ij}$  from different systems
- Test if these are self-consistent

**Next job: measure the magnitudes and phases of these complex parameters  $V_{ij}$**

# CKM parameterization: 'PDG'

Decompose into three rotation matrices:

$$s_{ij} = \sin\theta_{ij}$$

$$c_{ij} = \cos\theta_{ij}$$

$$V_{\text{CKM}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

Parameters:

- 3 rotation angles  $\theta_{12}, \theta_{13}, \theta_{23}$
- CP-violating phase  $\delta$

**Observed hierarchy motivates an alternative parameterisation...**

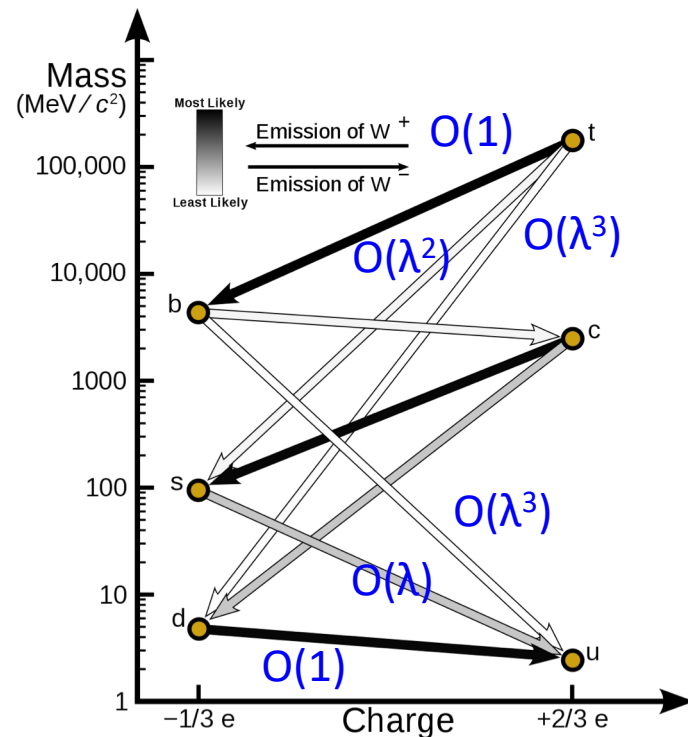
# CKM parameterization: Wolfenstein

$$\begin{bmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$$

Expand CKM matrix elements in powers of  $\lambda \approx 0.22$  (i.e.  $\sin\theta_c$ )

Here shown to order  $\lambda^3$

Parameters:  $A, \lambda, \rho, \eta$  Quantify CP violation



# Part II: Testing the CKM mechanism

## a. Magnitudes

# Testing the CKM mechanism

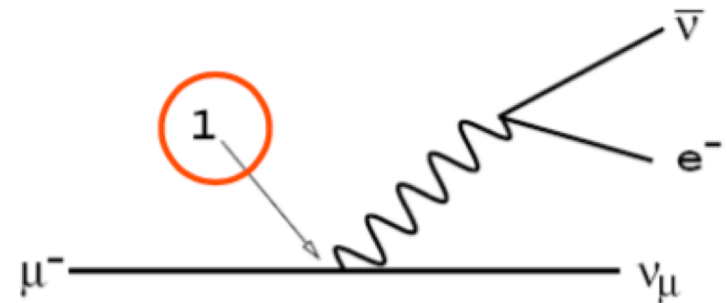
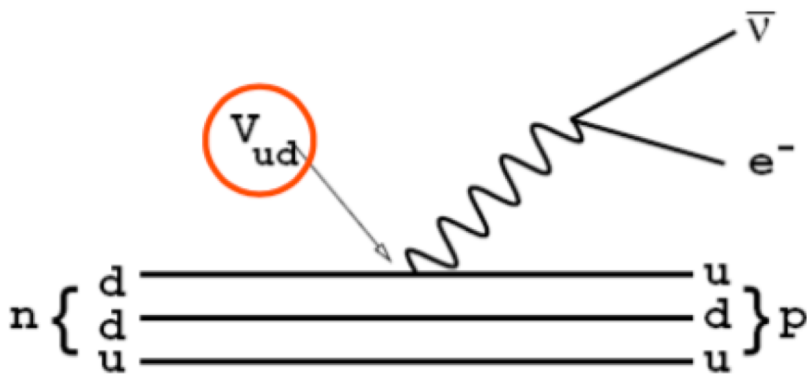
How to measure CKM matrix elements?

⇒ magnitudes control rates of particle decays

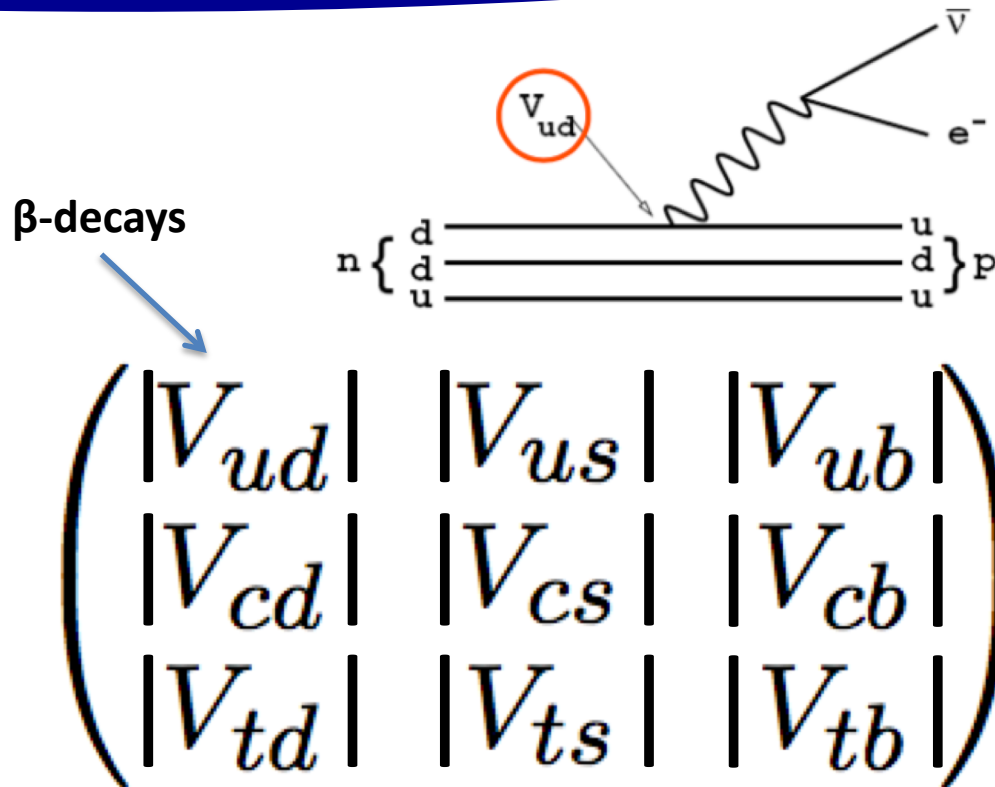
⇒ Ratio of **decay rates** proportional to ratio of **|amplitude|<sup>2</sup>**

For  $V_{ud}$ , compare neutron ( $\beta$  decay) and muon decay rates

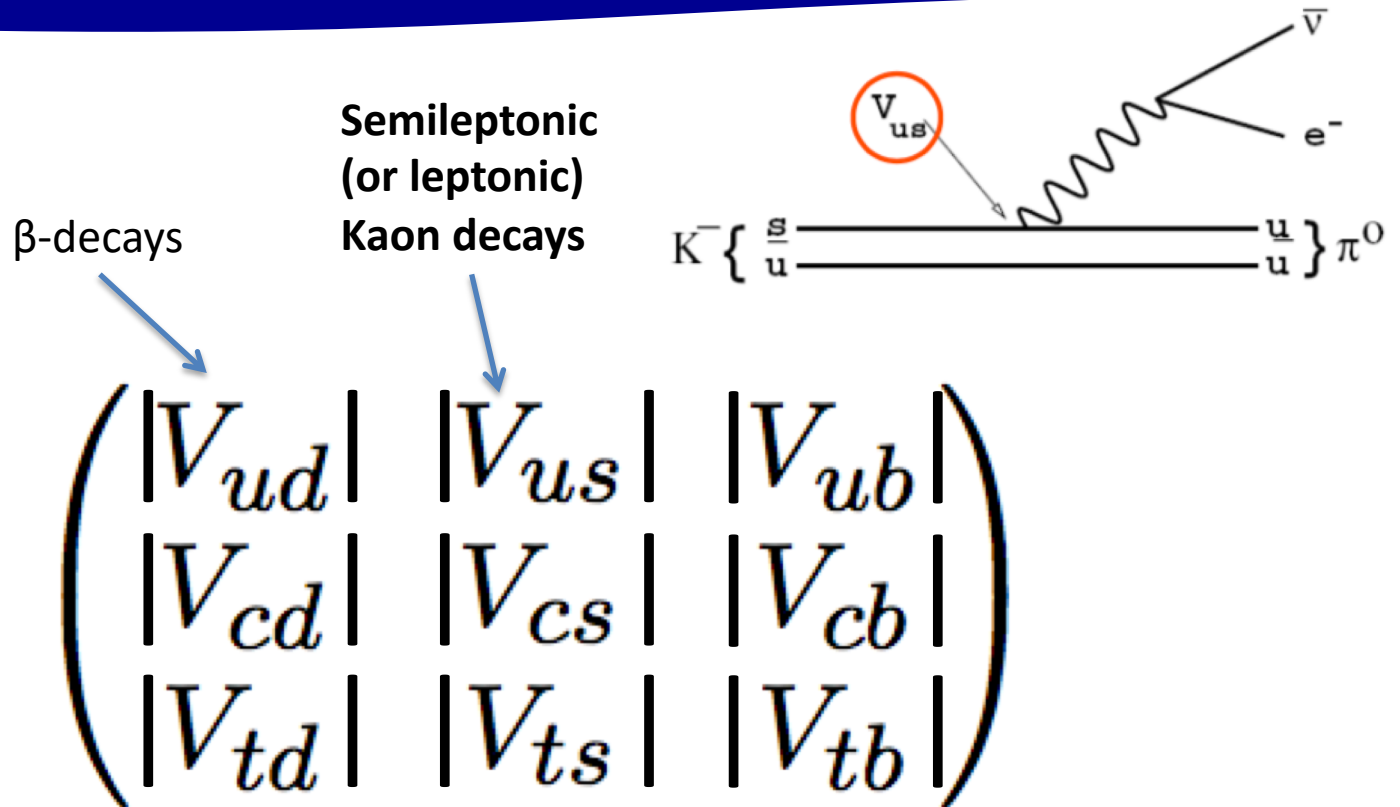
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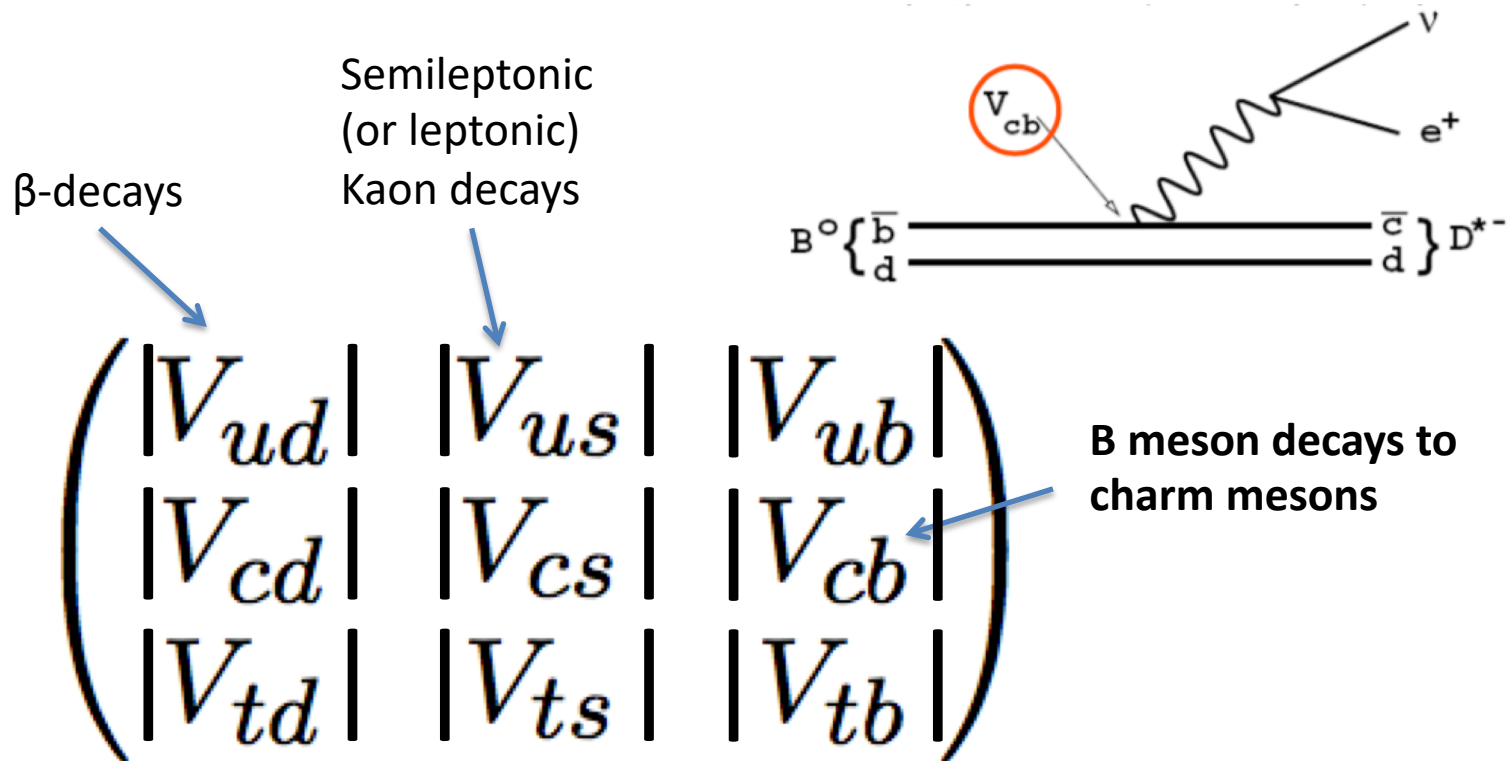
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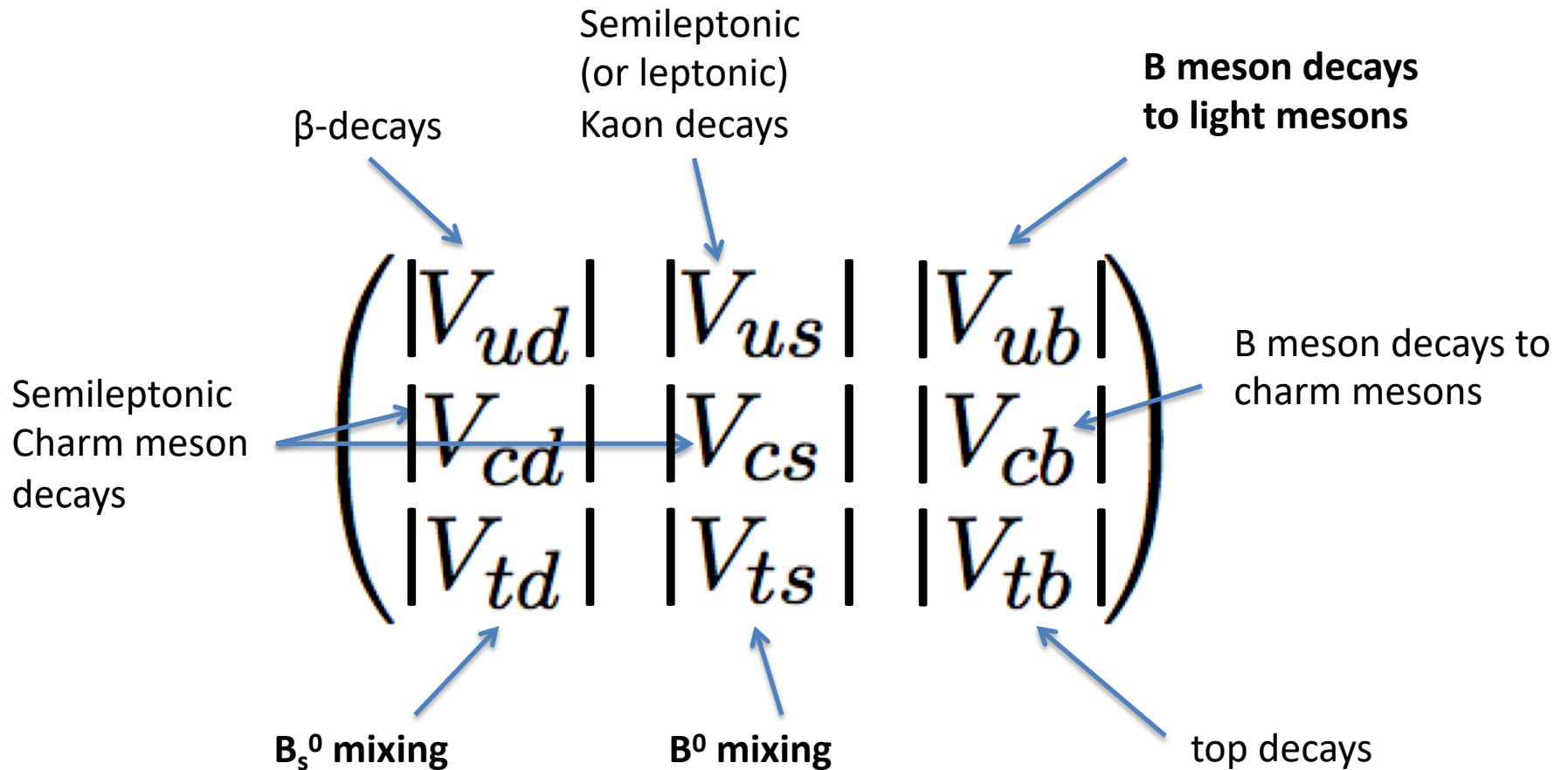


# Testing the CKM mechanism





# Testing the CKM mechanism



Often require theory inputs to relate hadron measurements to quark-level CKM

# Unitarity triangle(s)

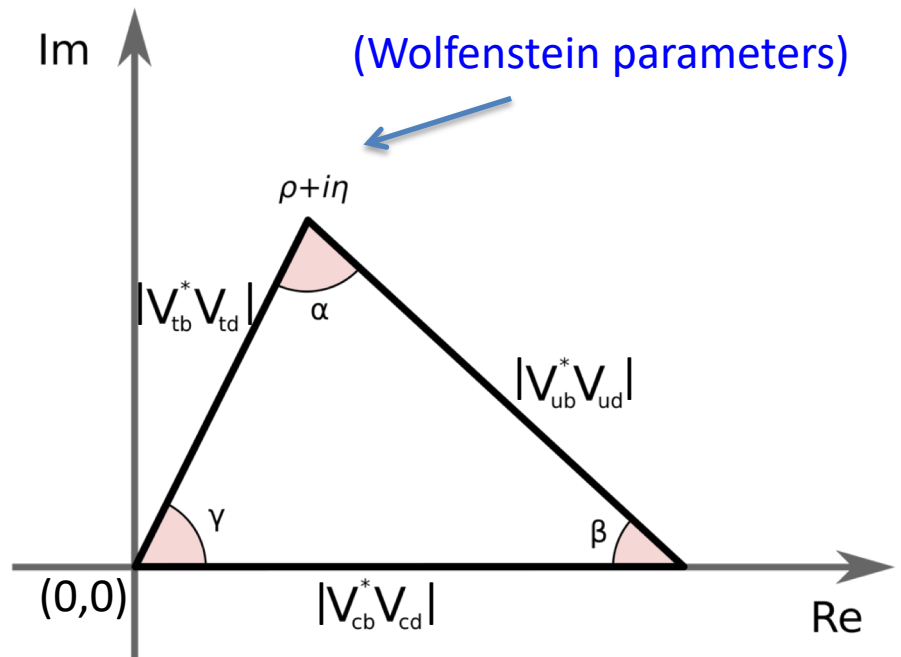
CKM matrix is unitary:  $\mathbf{V}_{\text{CKM}} \mathbf{V}_{\text{CKM}}^\dagger = \mathbf{I}$

Provides 9 constraints relating elements, e.g.

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

Sum of three complex numbers = 0  
⇒ triangle on Argand plane

There are in fact 6 triangles  
(one per quark pair)  
– this one ('bd') is most insightful



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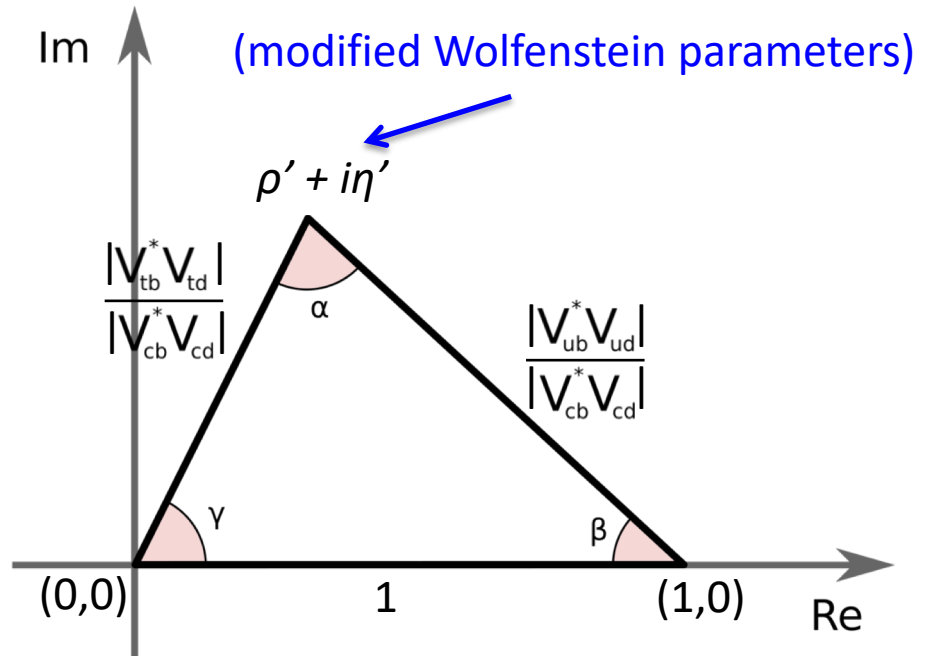
Sum of three complex numbers = 0  
 $\Rightarrow$  triangle on Argand plane

Rescale by dividing all sides by  $|V_{cd}V_{cb}^*|$

$$\beta = \phi_1 = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\alpha = \phi_2 = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

$$\gamma = \phi_3 = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$



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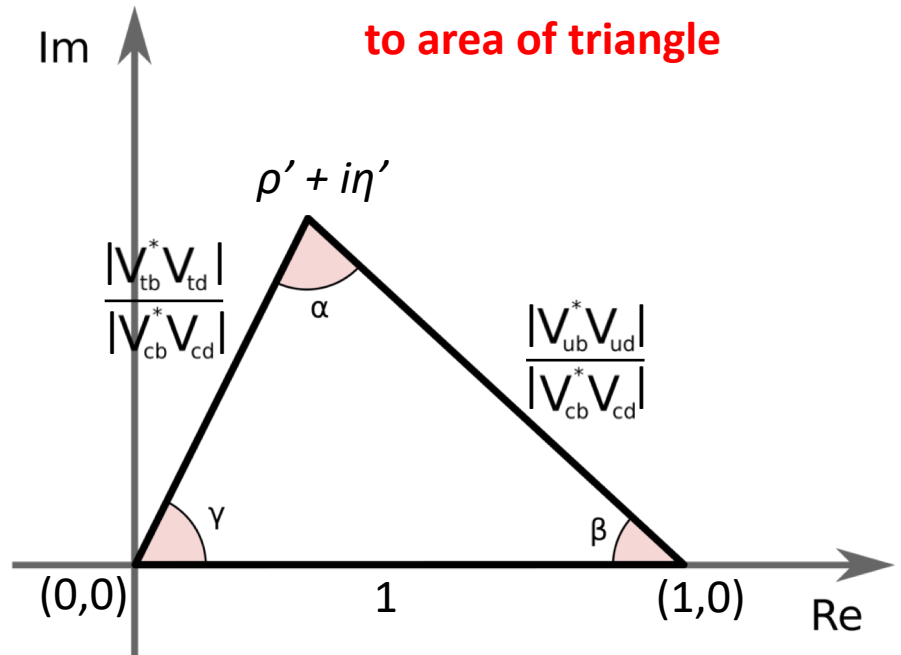
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Rescale by dividing all sides by  $|V_{cd}V_{cb}^*|$

Now experimental measurements form constraints of various shape on the position of the apex

- Length of sides (x2)
- Angles (x3)

Amount of CPV related to area of triangle



# SM CP violation and the universe

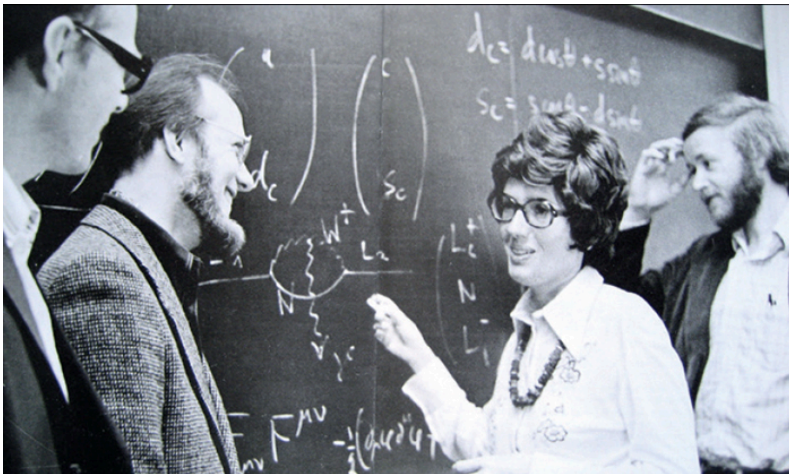
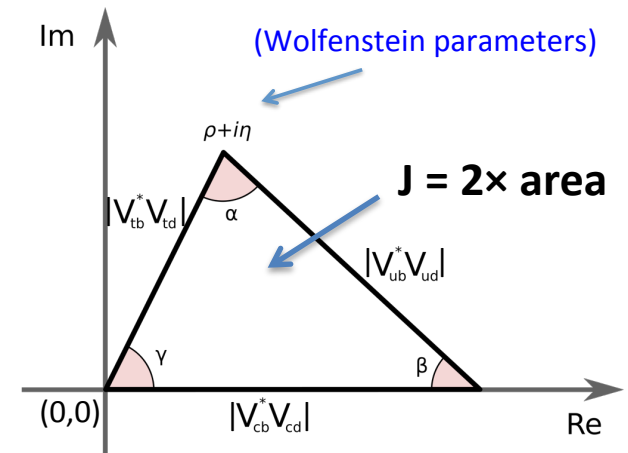
<https://doi.org/10.1103/PhysRevLett.55.1039> (1985)

**Jarlskog parameter J:** Convention-invariant measure of CPV in quark sector

$$J = \pm \text{Im}(V_{us}V_{cb}V_{ub}^*V_{cs}^*)$$

Expressed as Wolfenstein parameters:

$$J = A^2\lambda^6\eta(1 - \lambda^2/2) + O(\lambda^{10}) \approx \mathbf{3 \times 10^{-5}}$$



**Cecilia Jarlskog** with colleagues at the Nordic Institute of Theoretical Physics (NORDITA) in Copenhagen, in the early 1980s.

# SM CP violation and the universe

Jarlskog parameter J: Convention-invariant measure of CPV in quark sector

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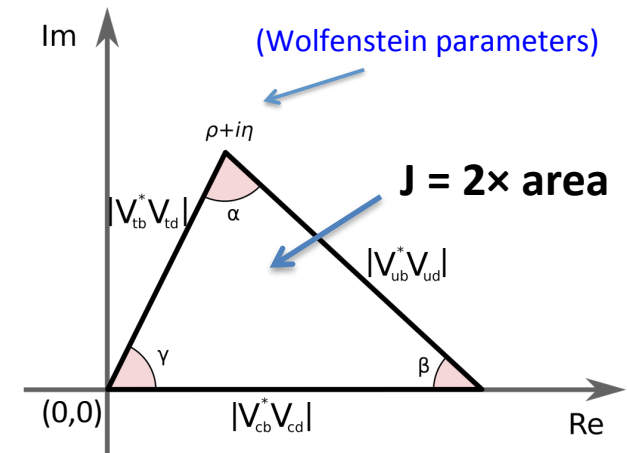
But... if any quark masses are degenerate, CPV vanishes – and small differences suppress it....

Multiply by terms

$$P_u = (m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)$$

$$P_d = (m_b^2 - m_s^2)(m_b^2 - m_d^2)(m_s^2 - m_d^2)$$

And divide by electroweak mass scale...  $M_W^{12}$



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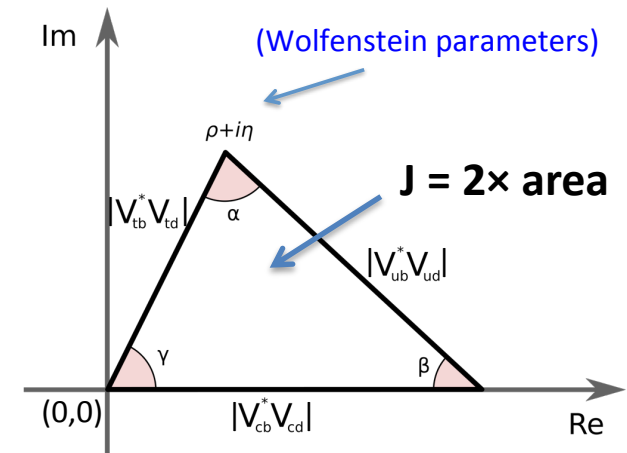
And divide by electroweak mass scale...  $M_W^{12}$

$$\frac{n_B - n_{\bar{B}}}{n_\gamma} \approx \frac{n_B}{n_\gamma} \sim \frac{J \times P_u \times P_d}{M^{12}}$$

=  $O(10^{-20})$  from SM

=  $O(10^{-10})$  Observed!

⇒ Need to identify **new sources of CPV** associated with **high energy scales**

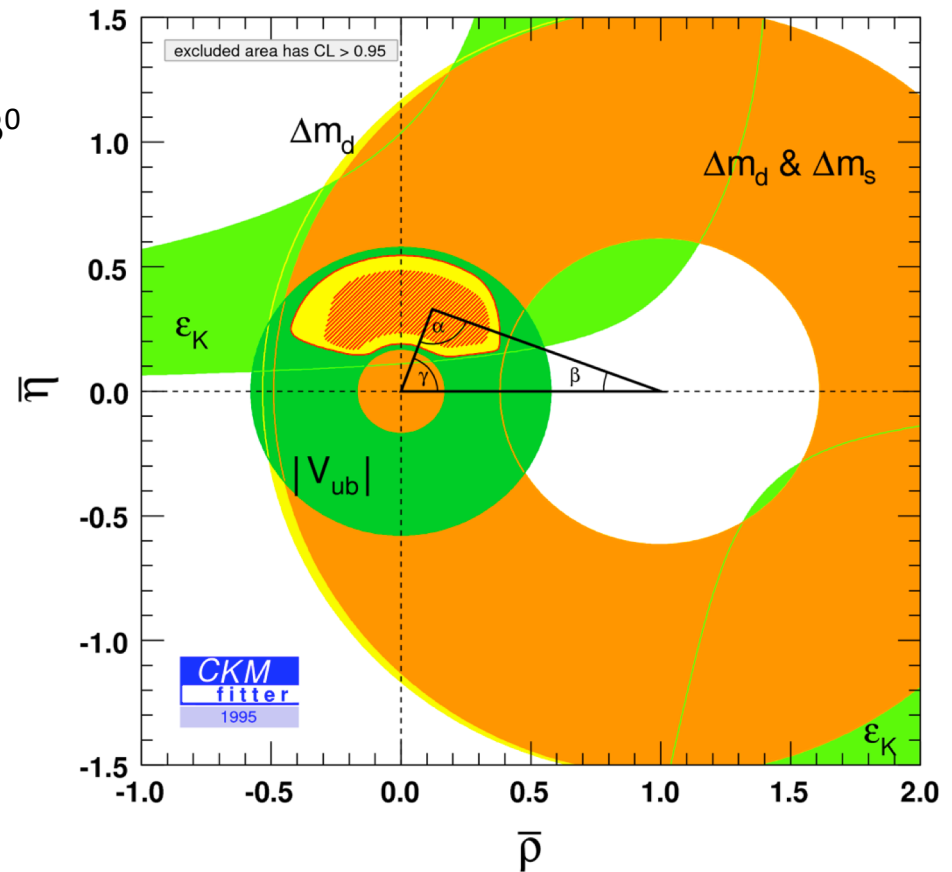


# Unitarity triangle in 1995...

Top quark just discovered  
⇒ CKM constraint can be derived from  $B^0$   
meson mixing measurements ( $\Delta M$ )

First constraints on  $|V_{ub}|$  from  
LEP, ARGUS, CLEO experiments

Minimum number of measurements  
needed to locate apex, and large  
uncertainties – **no measurements of  
angles**



**Lots of work ahead! Sets the stage for the next phase in flavour physics...**

**The era of the B factories!**



# Part II: Testing the CKM mechanism

## b. Phases

# How to measure angles $\alpha$ , $\beta$ , $\gamma$ ?

Observables are rates, i.e.  $|A|^2 \Rightarrow$  not sensitive to phases

$$|Ae^{i\phi}|^2 = A^2$$


Need two amplitudes with different phases  
– then rate sensitive to their difference...

$$|A_1e^{i\phi_1} + A_2e^{i\phi_2}|^2 = A_1^2 + A_2^2 + 2A_1A_2\cos(\delta\phi)$$

$$\delta\phi = \phi_1 - \phi_2$$

Unitarity triangle angles are phase differences between CKM elements

e.g.  $\beta$  is angle between  $V_{cd}V_{cb}^*$  and  $V_{td}V_{tb}^*$

  
**top quark – must  
be in loop!**

$$\beta = \phi_1 = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\alpha = \phi_2 = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

$$\gamma = \phi_3 = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$

Need  $>1$  amplitudes to reach same final state (interference)  
One of these must include a top quark loop...

**$B^0$  mixing?**

# [3 types of CP violation]

Three ways to satisfy the criteria for CPV:

>1 amplitudes with different strong and weak phases:

CP violation  
in decay:

$$\Gamma(i \rightarrow f) \neq \Gamma(\bar{i} \rightarrow \bar{f})$$

Possible for any decay

CP violation in  
meson mixing:

$$\Gamma(M^0 \rightarrow \bar{M}^0) \neq \Gamma(\bar{M}^0 \rightarrow M^0)$$

i.e.  $|q/p| \neq 1$

CP violation in  
interference between  
mixing and decay:

$$\Gamma(M^0 \rightarrow \bar{M}^0 \rightarrow f) \neq \Gamma(\bar{M}^0 \rightarrow M^0 \rightarrow f)$$

requires  $\arg(q/p) \neq 0$

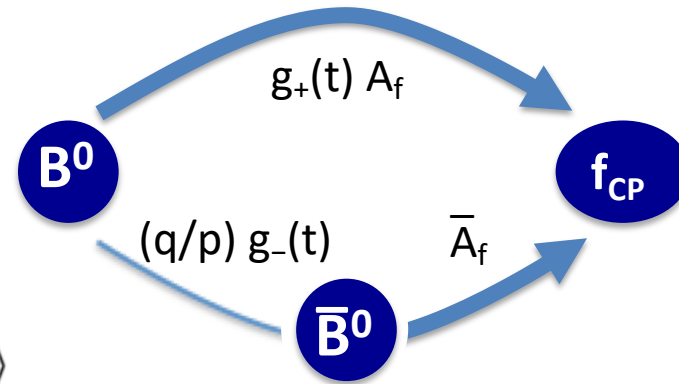
(to common final state f)

Only possible  
for neutral mesons  
that mix

# CP violation in interference

Consider the process  $B^0 \rightarrow \bar{B}^0 \rightarrow f_{CP}$

From last lecture, for  $B^0$  at time  $t=0$



$$|B^0(t)\rangle = g_+(t)|B^0\rangle + \left(\frac{q}{p}\right) g_-(t)|\bar{B}^0\rangle$$

$$\begin{aligned} \Rightarrow \text{Total amplitude} &= A_{f_{CP}} \left[ g_+(t) + \frac{q}{p} \frac{\bar{A}_{f_{CP}}}{A_{f_{CP}}} g_-(t) \right] \quad \text{where} \quad \bar{A}_{CP}^{(-)} = \langle f_{CP} | \bar{B}^0 \rangle \\ \langle f_{CP} | B^0(t) \rangle &= A_{f_{CP}} [g_+(t) + \lambda_{f_{CP}} g_-(t)] \quad \lambda_{f_{CP}} \equiv \frac{q}{p} \frac{\bar{A}_{f_{CP}}}{A_{f_{CP}}} \end{aligned}$$

Now plug-in  $g_{\pm}(t)$  terms (see last lecture) and  $||^2$  to get rate...

Reminder:

$$\begin{aligned} g_+(t) &= e^{-imt} e^{-\Gamma/2t} \left[ \cosh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} - i \sinh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right], \\ g_-(t) &= e^{-imt} e^{-\Gamma/2t} \left[ -\sinh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} + i \cosh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right] \end{aligned}$$

# CP violation in interference

$$\begin{aligned} B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) + A_{CP}^{dir} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) + A_{CP}^{mix} \sin(\Delta m t) ] \end{aligned}$$

$$\begin{aligned} \bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) - A_{CP}^{dir} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) - A_{CP}^{mix} \sin(\Delta m t) ] \end{aligned}$$

where:

$$A_{CP}^{dir} = C_{CP} = \frac{1 - |\lambda_{CP}|^2}{1 + |\lambda_{CP}|^2}$$

**CPV in decay**

$$A_{\Delta\Gamma} = \frac{2 \Re(\lambda_{CP})}{1 + |\lambda_{CP}|^2}$$

**CP conserving part**

$$A_{CP}^{mix} = S_{CP} = \frac{2 \Im(\lambda_{CP})}{1 + |\lambda_{CP}|^2}$$

**CPV in interference  
between mixing & decay**

# CP violation in interference

$$\begin{aligned} B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) + A_{CP}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) + A_{CP}^{\text{mix}} \sin(\Delta m t) ] \end{aligned}$$

$$\begin{aligned} \bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) - A_{CP}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) - A_{CP}^{\text{mix}} \sin(\Delta m t) ] \end{aligned}$$

**X** For  $B^0$  case,  $\Delta\Gamma$  small – can be neglected...

# CP violation in interference

$$\begin{aligned}
 \mathbf{B}^0 \text{ at } t=0: \quad \Gamma(\mathbf{B}(t) \rightarrow f) &\propto e^{-\Gamma t} \\
 &\times [ \cosh(\Delta\Gamma t/2) + A_{\text{CP}}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) + A_{\text{CP}}^{\text{mix}} \sin(\Delta m t) ]
 \end{aligned}$$

$$\begin{aligned}
 \bar{\mathbf{B}}^0 \text{ at } t=0: \quad \Gamma(\bar{\mathbf{B}}(t) \rightarrow f) &\propto e^{-\Gamma t} \\
 &\times [ \cosh(\Delta\Gamma t/2) - A_{\text{CP}}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) - A_{\text{CP}}^{\text{mix}} \sin(\Delta m t) ]
 \end{aligned}$$

✗ For 'golden mode'  $\mathbf{B}^0 \rightarrow \mathbf{J}/\psi \mathbf{K}_S^0$ : No direct CPV ( $A_{\text{CP}}^{\text{dir}} = 0$ ,  $a = 0$ )

$$\text{and } A_{\text{CP}}^{\text{mix}} = -\sin(2\beta)$$

# CP violation in interference

$$B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 - \sin(2\beta) \sin(\Delta m t) ]$$

$$\bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 + \sin(2\beta) \sin(\Delta m t) ]$$

⇒ By time-dependent analysis, can extract  $\beta$  from amplitude of oscillations



# CP violation in interference

$$B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 - \sin(2\beta) \sin(\Delta m t) ]$$

$$\bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 + \sin(2\beta) \sin(\Delta m t) ]$$

⇒ By time-dependent analysis, can extract  $\beta$  from amplitude of oscillations

⇒ Even cleaner using CP asymmetry:

$$\frac{\Gamma(t) [B^0 \rightarrow J/\psi K_S^0] - \Gamma(t) [\bar{B}^0 \rightarrow J/\psi K_S^0]}{\Gamma(t) [B^0 \rightarrow J/\psi K_S^0] + \Gamma(t) [\bar{B}^0 \rightarrow J/\psi K_S^0]} = -\sin(2\beta) \sin(\Delta m t)$$

Hence,  
“Golden mode”

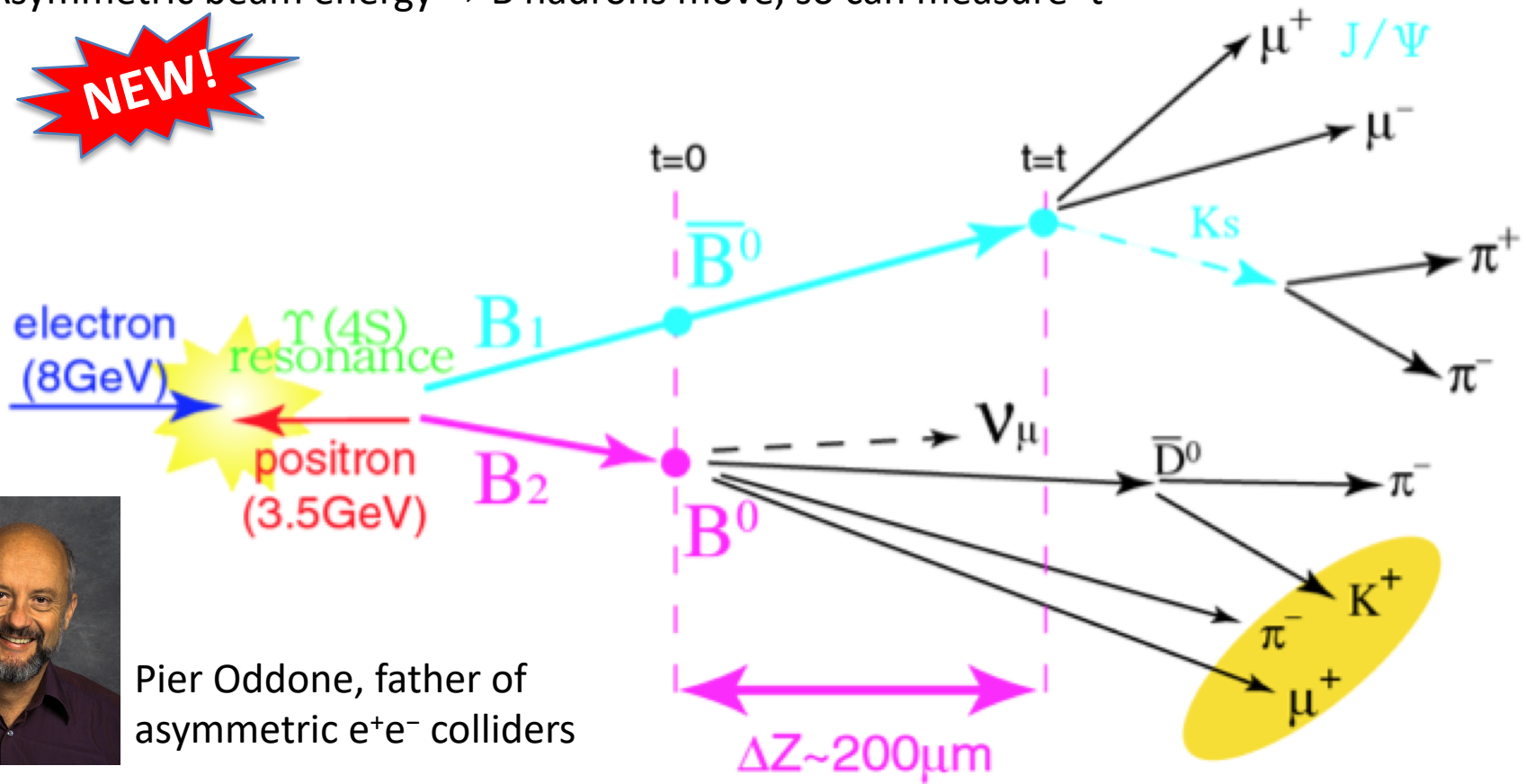
But note: asymmetry integrates to zero over time

# Part III: The B factories

# The B Factories: BaBar and Belle

- Collide  $e^+e^-$  at  $Y(4S)$  resonance energy  $\Rightarrow Y(4S) \rightarrow B^{(0,\pm)}\bar{B}^{(0,\pm)}$
- B hadrons quantum correlated – can determine initial state from ‘other B’
- Asymmetric beam energy  $\Rightarrow$  B hadrons move, so can measure ‘t’

**NEW!**



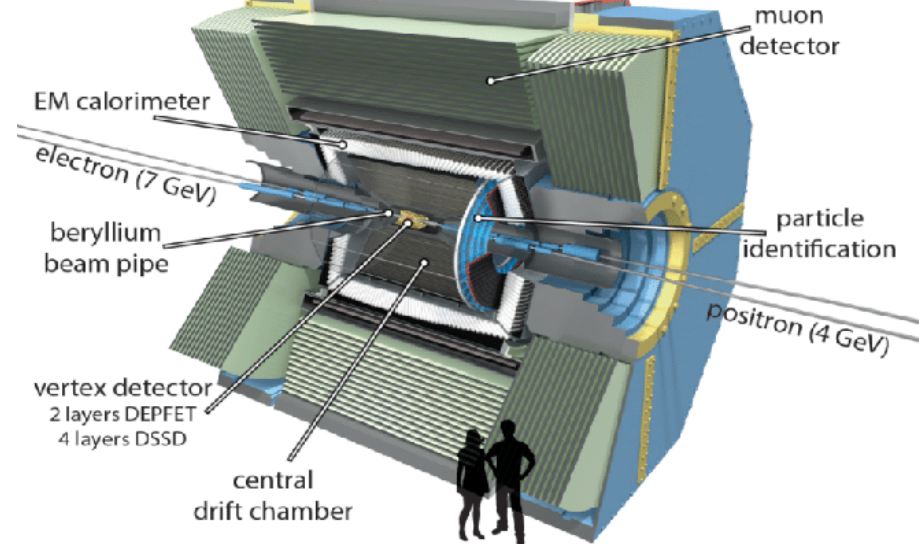
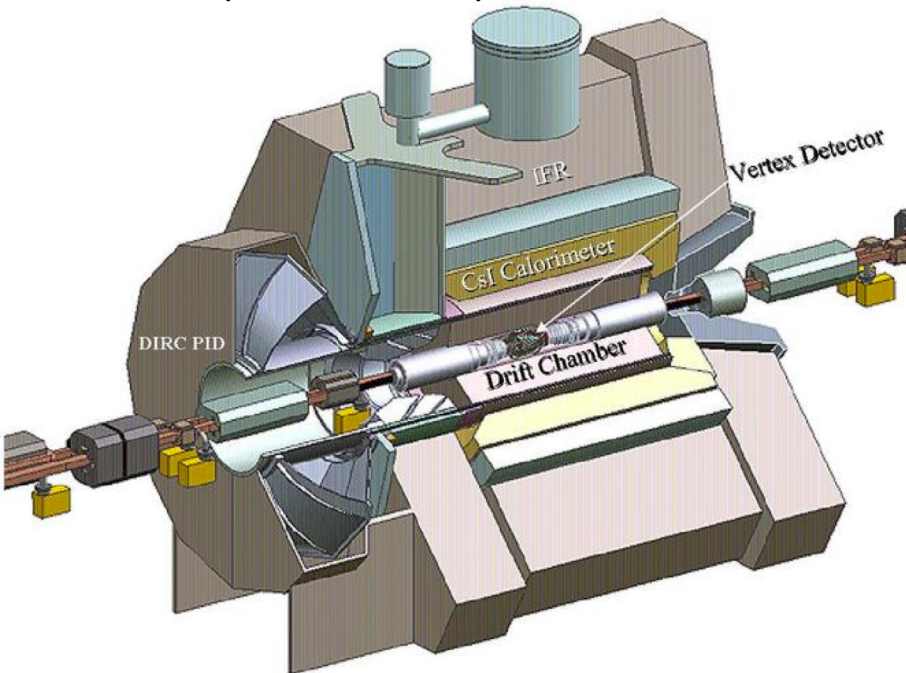
Pier Oddone, father of asymmetric  $e^+e^-$  colliders

# The B Factories: BaBar and Belle

BaBar: on PEP-II @ SLAC, USA  
9 GeV  $e^- \leftrightarrow 3.1$  GeV  $e^+$   
433 fb<sup>-1</sup> (1999 – 2008)

Different detectors, same ideas:

- Vertex + tracking detectors
- Particle ID
- Calorimetry



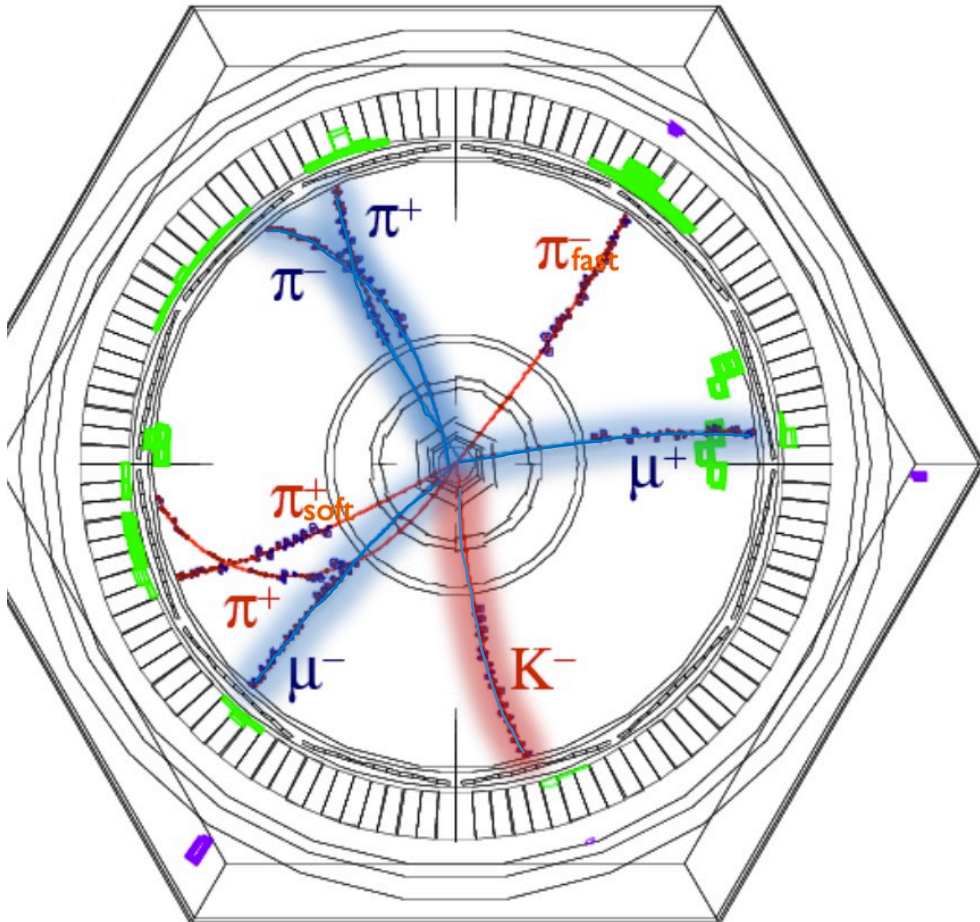
**BABAR**

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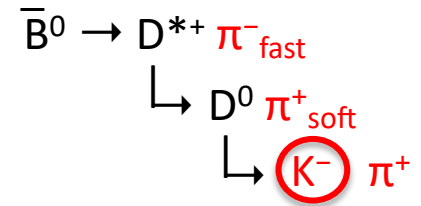
Belle: on KEKB accelerator (Japan)  
8 GeV  $e^- \leftrightarrow 3.5$  GeV  $e^+$   
711fb<sup>-1</sup> (1999 – 2010)



# Example event

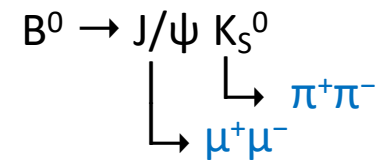


Tagging side:



$K^-$  tags initial flavor as  $\bar{B}^0$

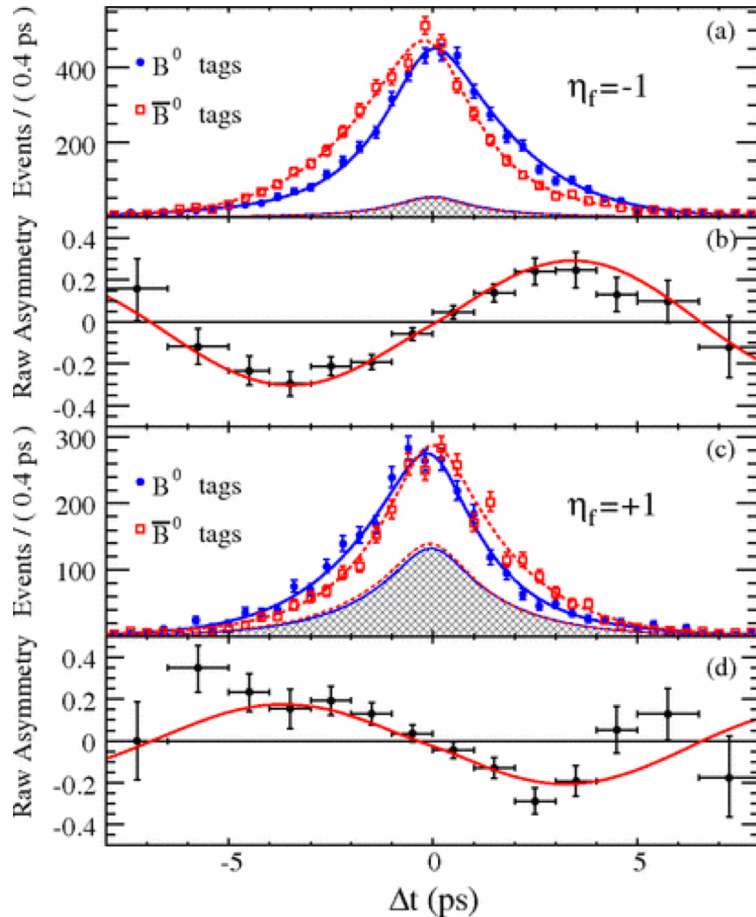
$\Rightarrow$  Signal must be  $B^0$  at “t=0”



# Golden mode results: $\sin(2\beta)$

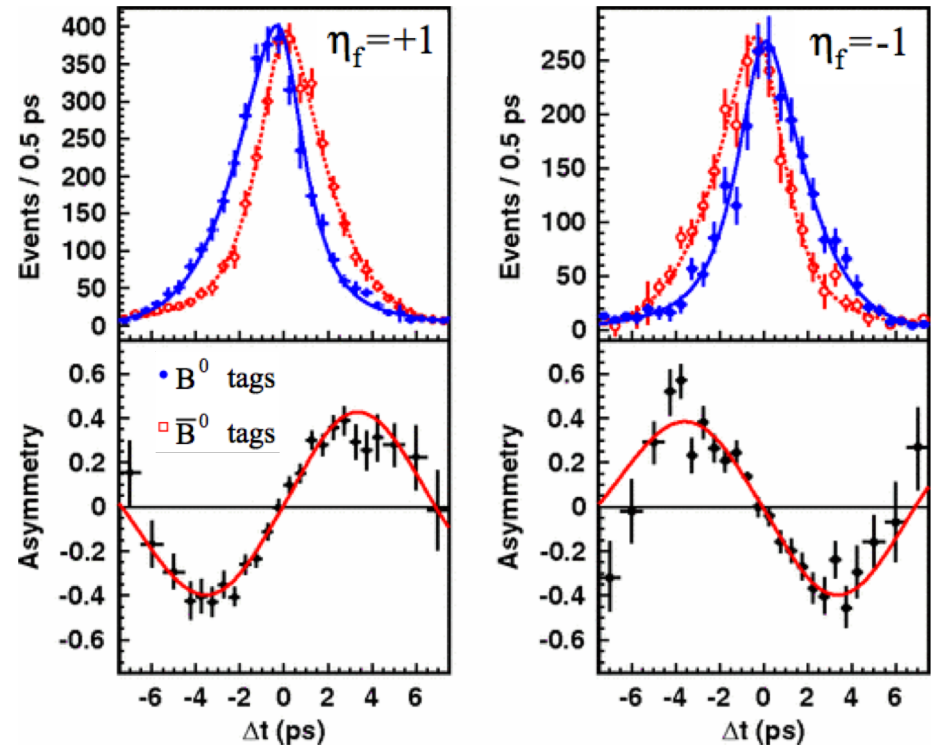
**BaBar**

<https://arxiv.org/abs/0902.1708>



**Belle**

<https://arxiv.org/abs/1201.4643>



⇒ **Clear CP-asymmetry! Measure  $\sin(2\beta)$**

Actually use many different channels  
(both CP-odd and CP-even,  $\eta_f = \pm 1$ )

$\sim K_L^0$

$\sim K_S^0$

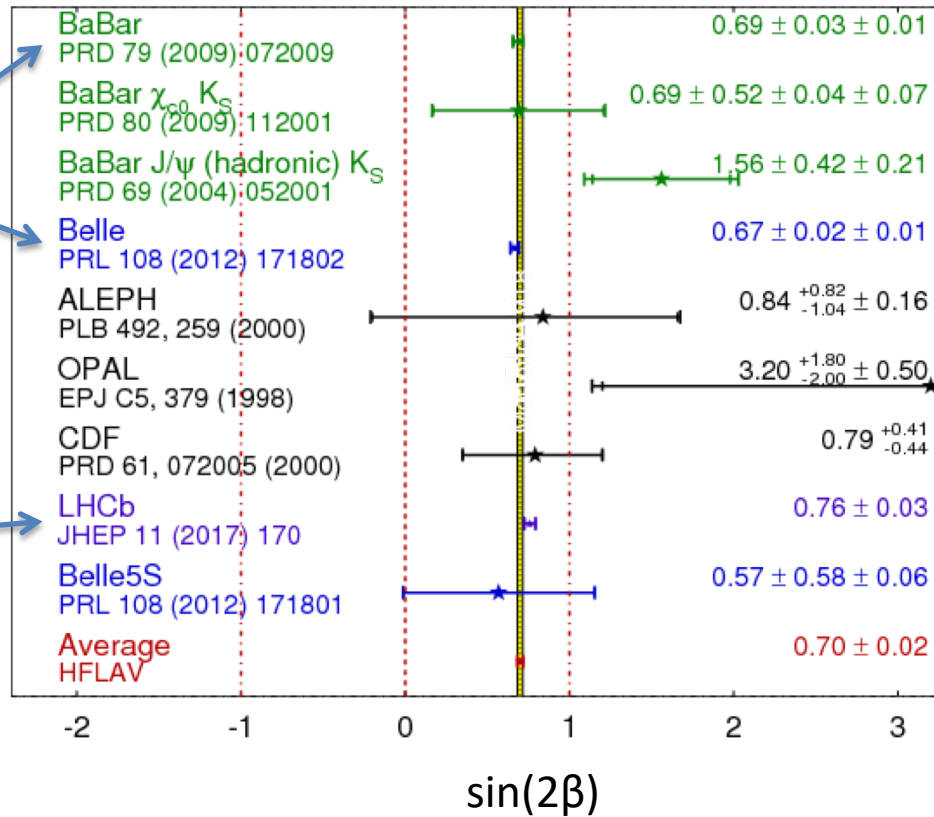
# Golden mode results: $\sin(2\beta)$

$$\sin(2\beta) \equiv \sin(2\phi_1)$$

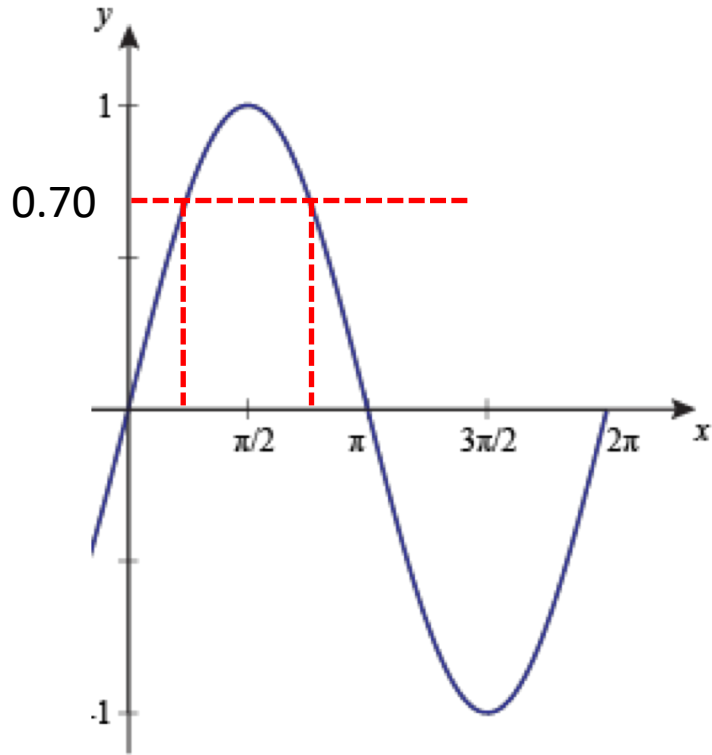
**HFLAV**  
Moriond 2018  
PRELIMINARY

Results on previous slide

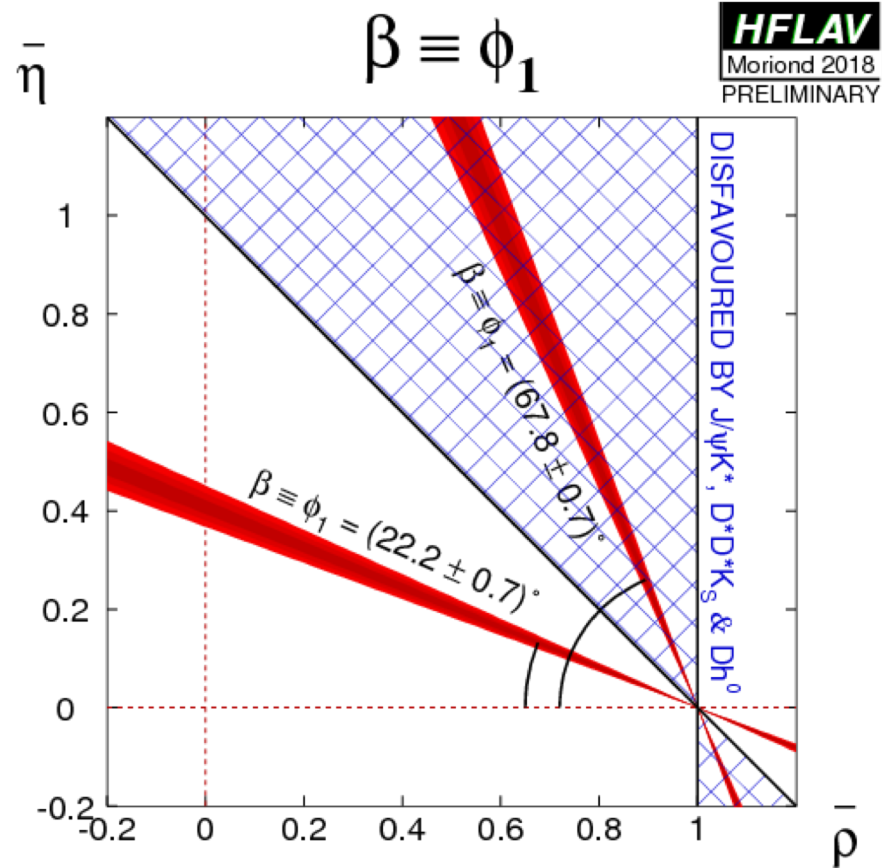
LHCb now competitive with B-factories!



# Golden mode results: $\sin(2\beta)$



Two-fold ambiguity on  $\beta$ , but second solution ruled-out by other inputs





# Other angles: $\alpha$ and $\gamma$

Similar approach to measure other angles...

$$\beta = \phi_1 = \arg \left( -\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right)$$

$$\alpha = \phi_2 = \arg \left( -\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*} \right)$$

$$\gamma = \phi_3 = \arg \left( -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right)$$

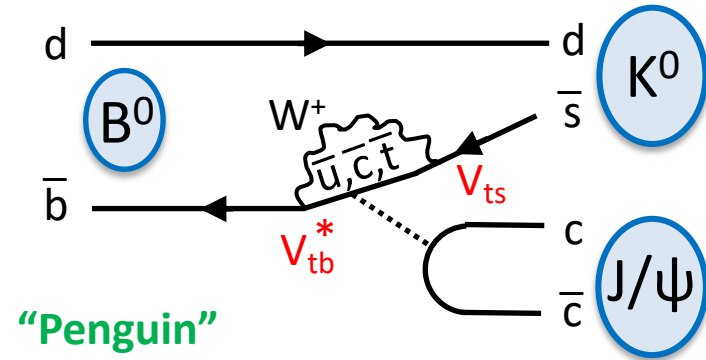
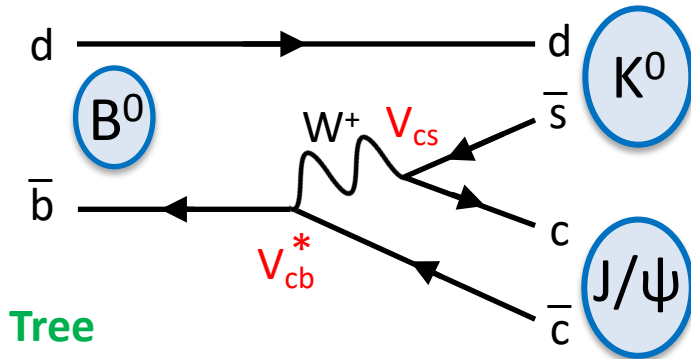
$b \rightarrow cW$  transitions, with B mixing  
(e.g.  $B^0 \rightarrow J/\psi K_S^0$ )

$b \rightarrow uW$  transitions, with B mixing  
(e.g.  $B^0 \rightarrow \pi^+\pi^-$ )

**Messy – many interfering processes,  
and direct CPV**

# Penguin pollution

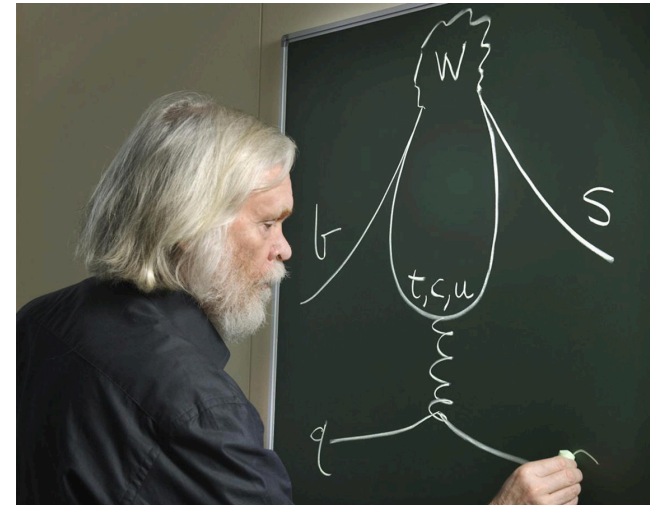
Beyond tree-level...



Can have penguin diagrams with different weak phase

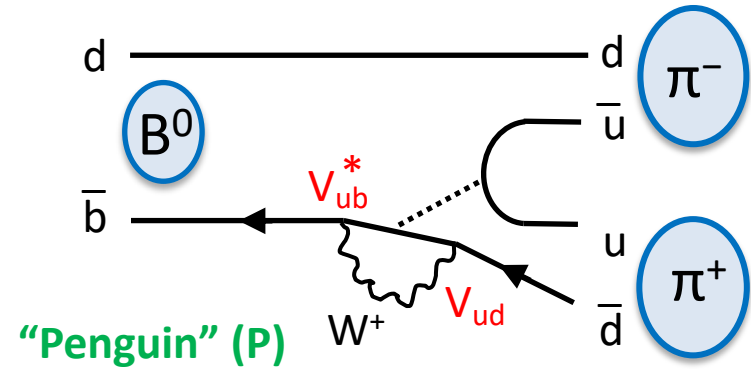
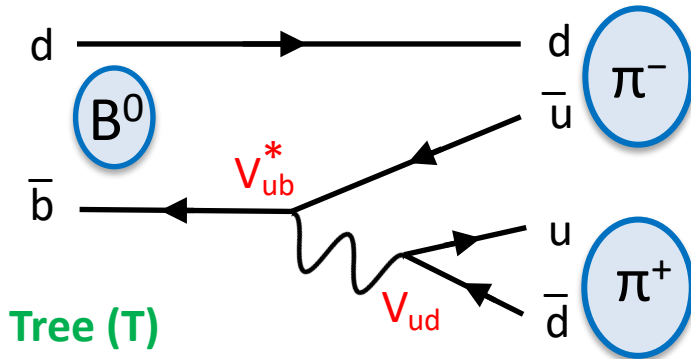
For  $B^0 \rightarrow J/\psi K_S^0$ , tree-level process dominates  
 $\Rightarrow$  penguin can be ignored (<1% effect)

With sufficient experimental precision, these penguin contributions must be included.



# Measuring CKM angle $\alpha$

Similar process allows  $\alpha$  to be measured, **BUT** cannot ignore penguin pollution here



$$A_{CP}^{\text{dir}} = 2 \frac{|P|}{|T|} \sin\alpha \sin(\delta_P - \delta_T)$$

$$A_{CP}^{\text{mix}} = \sin 2\alpha - 2 \frac{|P|}{|T|} \sin\alpha \cos 2\alpha \cos(\delta_P - \delta_T)$$

$\swarrow$   
 $\sim 20\%$

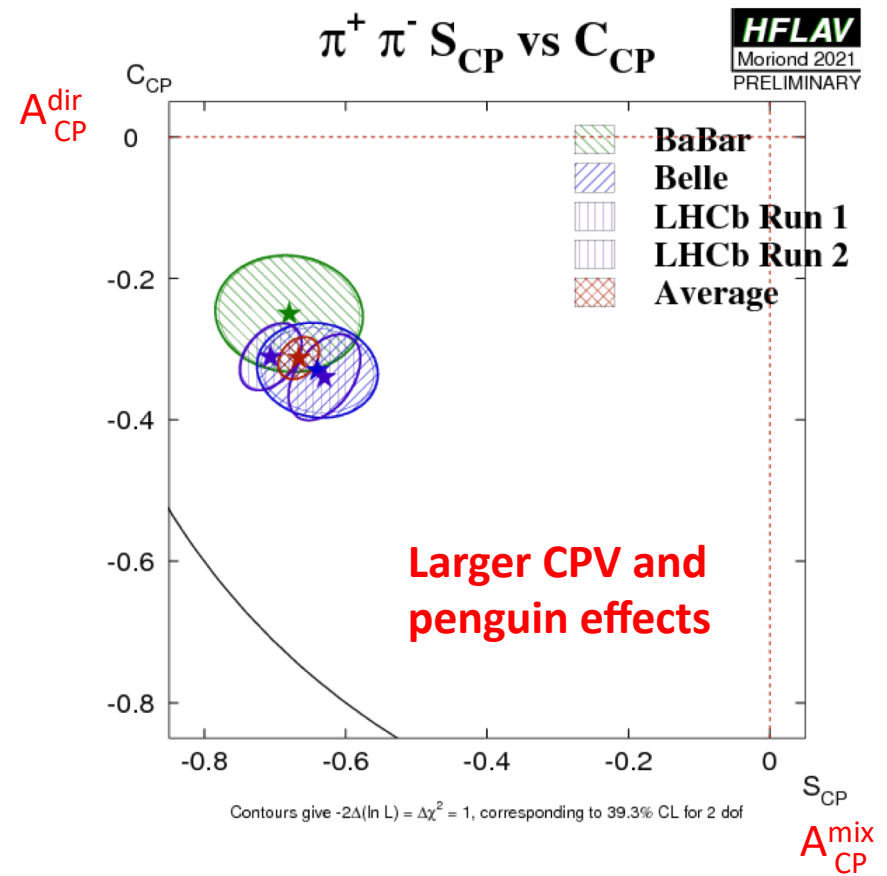
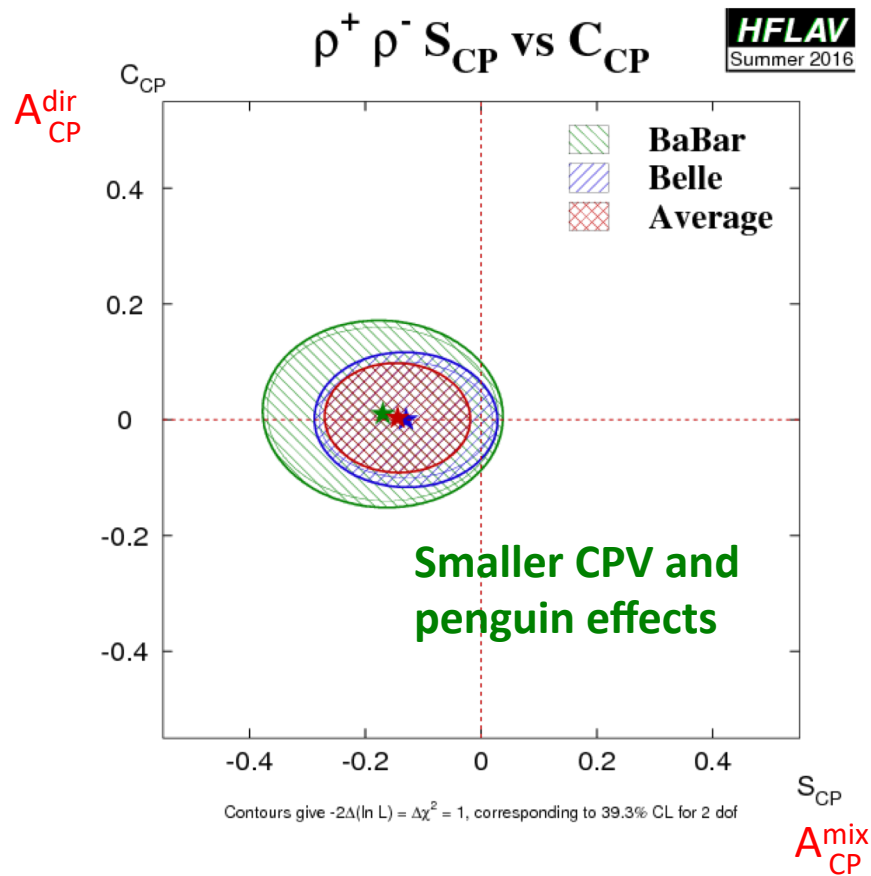
$= \sin 2\alpha_{\text{eff}}$

Several proposed techniques to reduce sensitivity to penguin pollution, e.g.

- ‘Gronau London’ (<https://doi.org/10.1103/PhysRevLett.65.3381>, 1990)
- ‘Snyder-Quinn’ (<https://doi.org/10.1103/PhysRevD.48.2139>, 1993)

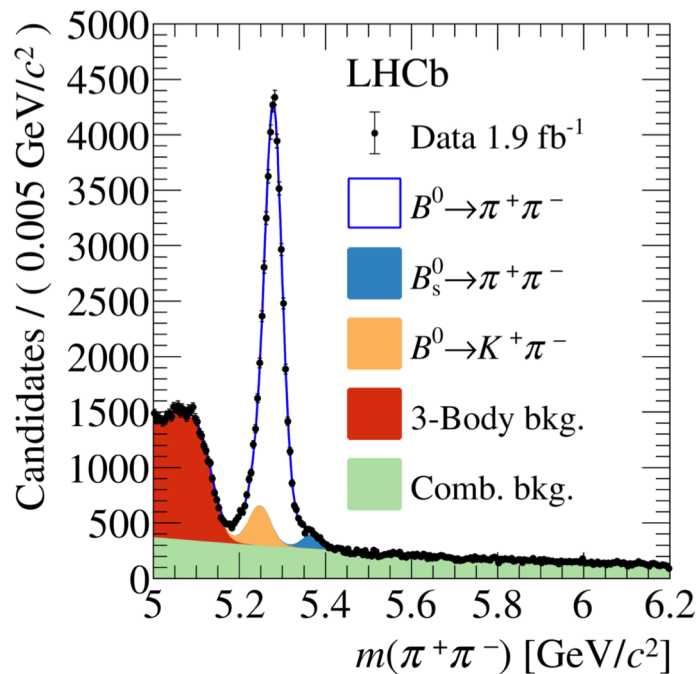
# CKM angle $\alpha$ : state-of-the-art

Current measurements from different channels not in perfect agreement – need more precision!



# CKM angle $\alpha$ : state-of-the-art

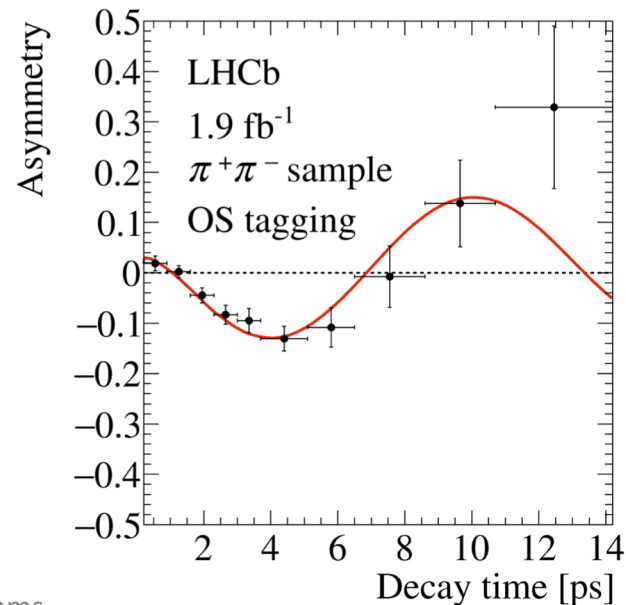
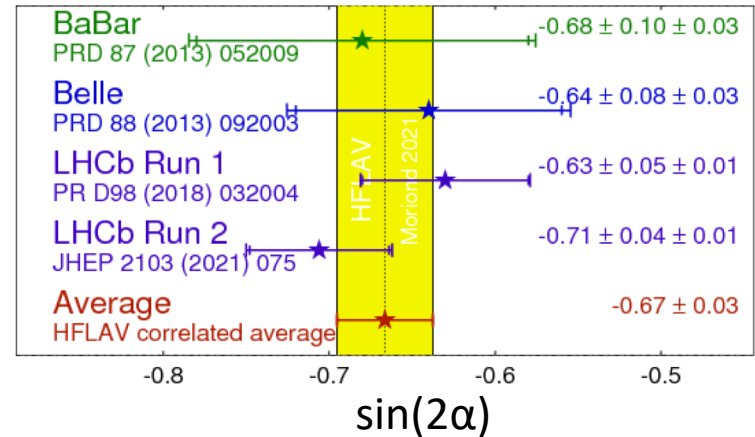
LHCb measurements now most precise



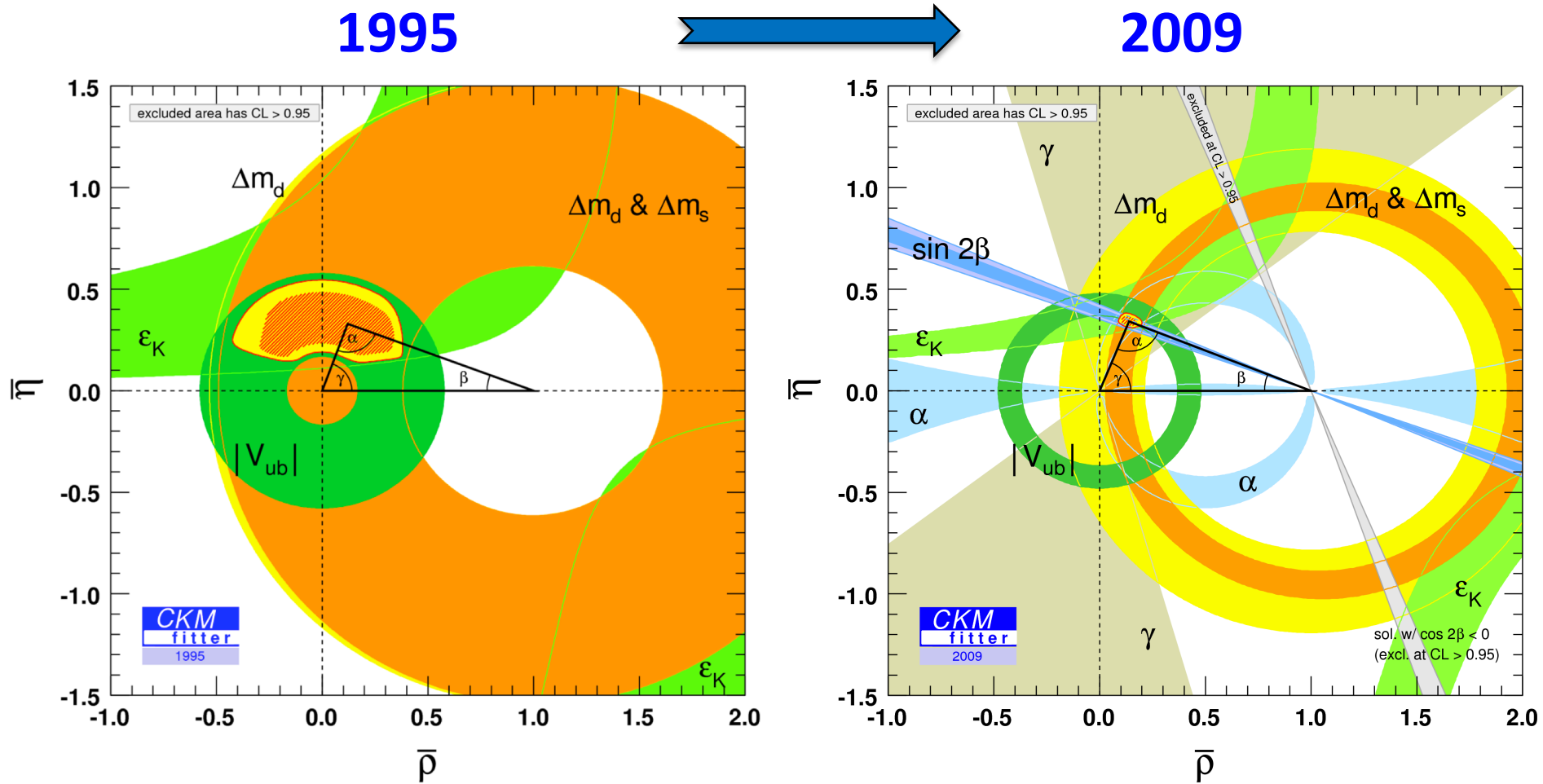
[https://doi.org/10.1007/JHEP03\(2021\)075](https://doi.org/10.1007/JHEP03(2021)075)

$\pi^+ \pi^- S_{CP}$

**HFLAV**  
Moriond 2021  
PRELIMINARY



# Impact of B-factories



# On the eve of the LHC...

All constraints consistent with single point for apex

Direct measurements of angles:

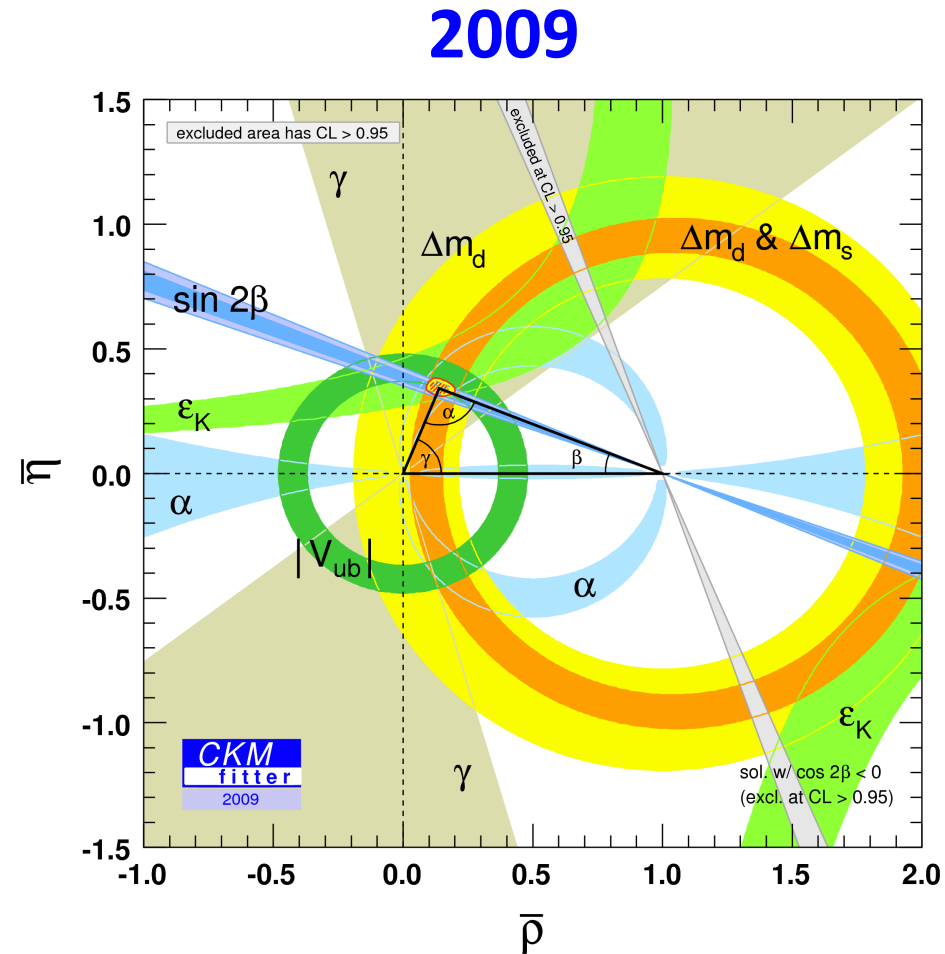
$$\beta = (21.15 \pm 0.90)^\circ$$

$$\alpha = (89.0^{+4.4}_{-4.2})^\circ$$

$$\gamma = (73^{+22}_{-25})^\circ$$

⇒ Need to improve  $\gamma$  measurement!

Brings us to the LHC era of flavour



# Summary

Today we covered the foundations of b physics:

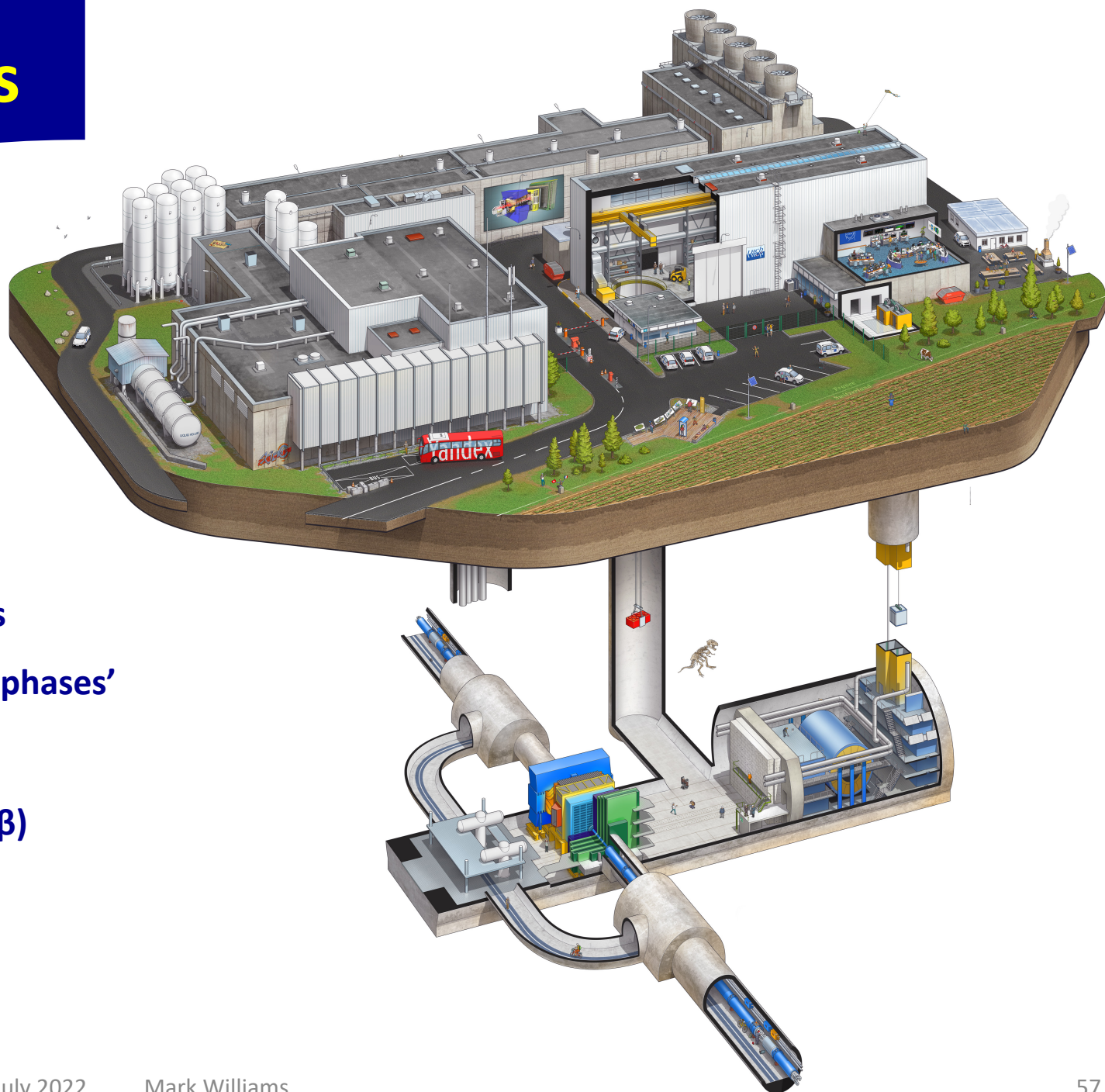
- CP violation in the SM (quark sector)
- Unitarity triangle(s)
- Measuring CKM phases
- B-factory measurements of  $\beta$  and  $\alpha$

Next time – we will cover b (and c) physics in the LHC era:

- Hadron colliders vs B-factories
- Mixing and CP violation in  $B_s^0$  and  $D^0$  mesons
- CKM angle  $\gamma$
- Rare decays and lepton universality



# Extra Slides



- CKM parameters
- CPV and 'strong phases'
- Measuring  $|V_{ub}|$
- Measuring  $\sin(2\beta)$

# CKM matrix: Why 4 parameters?

Why does a **3×3** CKM matrix only have **3 real** and **1 complex** parameters?

Most general  $N \times N$  complex matrix would have  $2N^2 = \mathbf{18}$  parameters

- Must be unitary, i.e.  $V_{\text{CKM}} V_{\text{CKM}}^* = I$   $\Rightarrow N^2$  constraints, leaving  $N^2 = \mathbf{9}$  parameters  
(in physics:  $t \rightarrow d + t \rightarrow s + t \rightarrow b = 1$ )
- We can readily change conventions which describe phases between quark fields  
 $\Rightarrow 6$  quarks, so 5 phase differences, leaving **4 free parameters**
- $N(N-1)/2 = \mathbf{3}$  are rotation angles
- Remaining parameter is **irreducible phase**

Note: For  $N=2$  (Cabibbo), we have  $\mathbf{8} - \mathbf{4} - \mathbf{3} = \mathbf{1}$  free parameter (must be rotation angle)

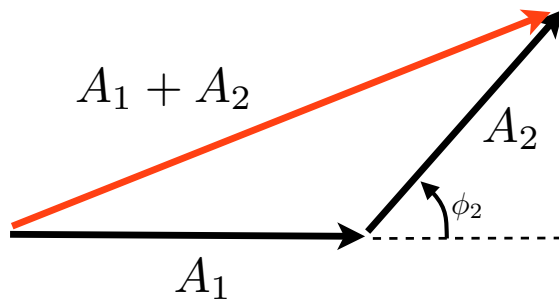
# Conditions for CPV

Consider a process with two interfering amplitudes – can it violate CP symmetry?

**Amplitude**  $A = A_1 e^{i\phi_1} + A_2 e^{i\phi_2}$

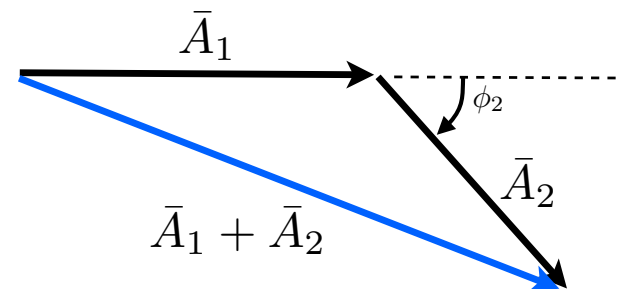
**Rate**  $= |A_1 e^{i\phi} + A_2 e^{i\phi'}|^2$   
 $= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi)$

No! Obvious in Argand diagram...



**Amplitude**  $A^* = A_1 e^{-i\phi_1} + A_2 e^{-i\phi_2}$

**Rate**  $= |A_1 e^{-i\phi} + A_2 e^{-i\phi'}|^2$   
 $= A_1^2 + A_2^2 + 2A_1 A_2 \cos(-\delta\phi)$   
 $= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi)$



There is a second condition to allow CP violation...

# Conditions for CPV

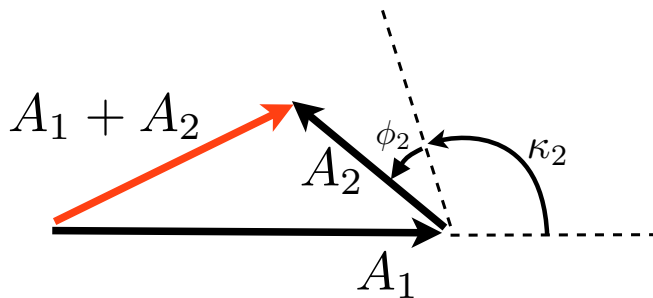
There is a second condition to allow CP violation...

Different strong phase (i.e. CP conserving – no sign change) between amplitudes

$$A = A_1 e^{i\phi_1} e^{i\kappa_1} + A_2 e^{i\phi_2} e^{i\kappa_2}$$

Rate

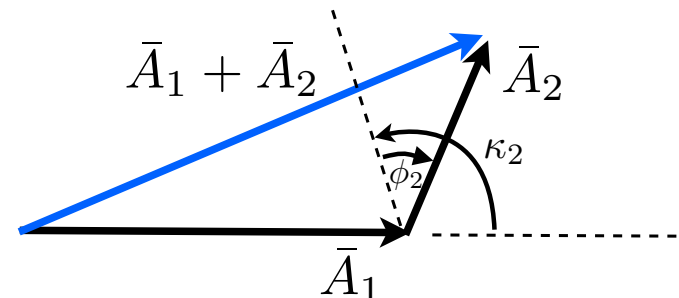
$$= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi + \delta\kappa)$$



$$A = A_1 e^{-i\phi_1} e^{i\kappa_1} + A_2 e^{-i\phi_2} e^{i\kappa_2}$$

Rate

$$= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi - \delta\kappa)$$



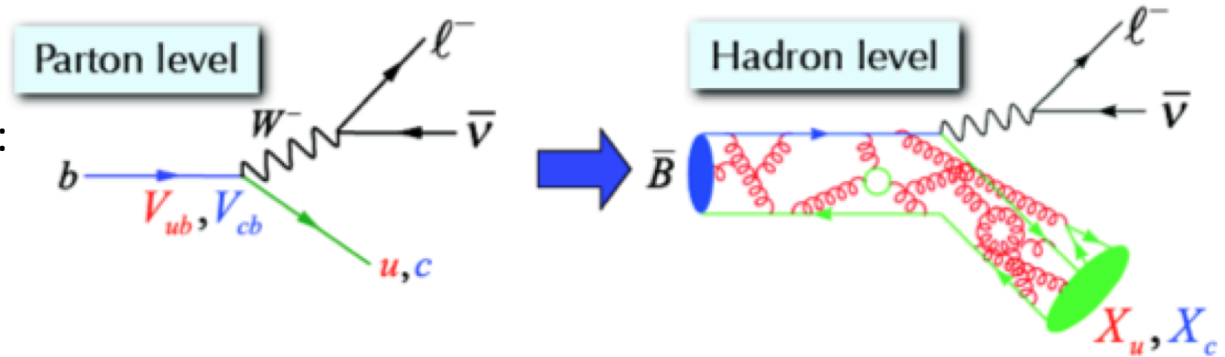
CP violation!

Difference in rates:

$$\Gamma(i \rightarrow f) - \Gamma(\bar{i} \rightarrow \bar{f}) = -4A_1 A_2 \sin(\delta\phi) \sin(\delta\kappa)$$

# Measuring $|V_{ub}|$

$|V_{ub}|$  determined from semileptonic  $b \rightarrow u$  decays:



Experiment

Theory


Two different approaches:

- “**Exclusive**” semileptonic decays (i.e. a known set of particular decays, e.g.  $B^0 \rightarrow \pi^- e^+ \nu$ )
- “**Inclusive**” semileptonic decays (i.e.  $B^0 \rightarrow X_u e^+ \nu$  where  $X_u$  includes all possible hadrons)

 Easier

**X** Less clean – requires understanding of form factors (Lattice QCD)

**X** Harder – need to reject background from  $b \rightarrow c$

 Cleaner – can use Operator Product Expansion (OPE)

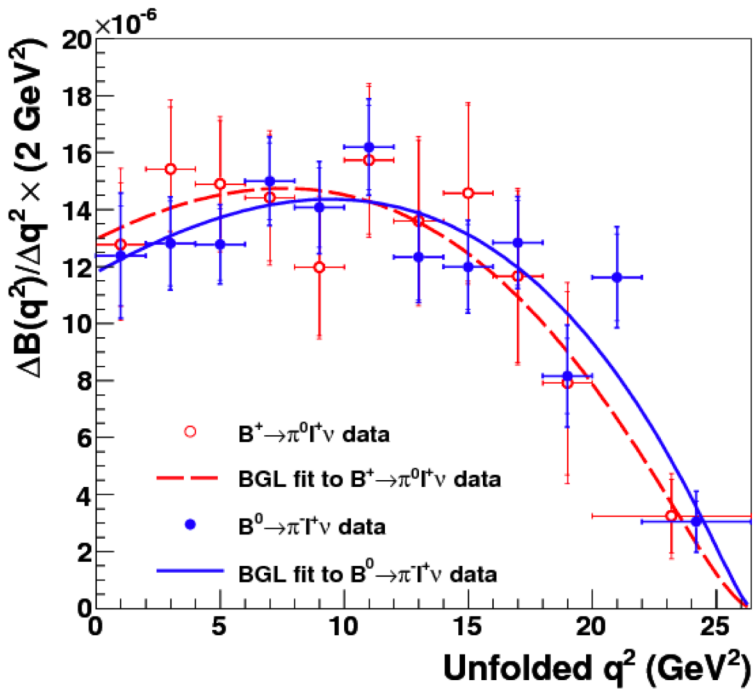
# Measuring $|V_{ub}|$

Exclusive approach

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 |V_{ub}|^2}{24\pi^3} |p_\pi|^3 |f_+(q^2)|^2$$

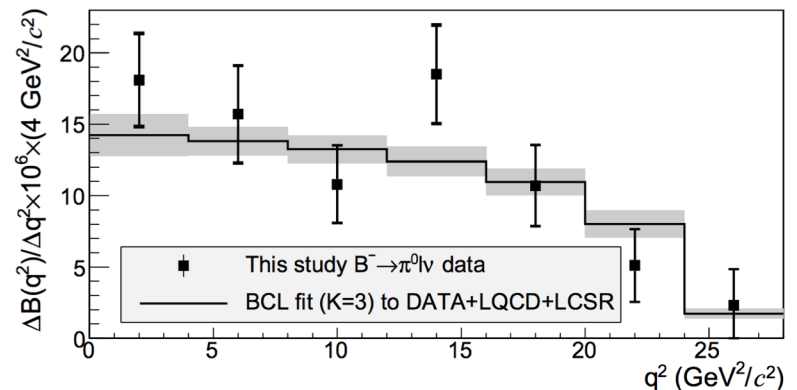
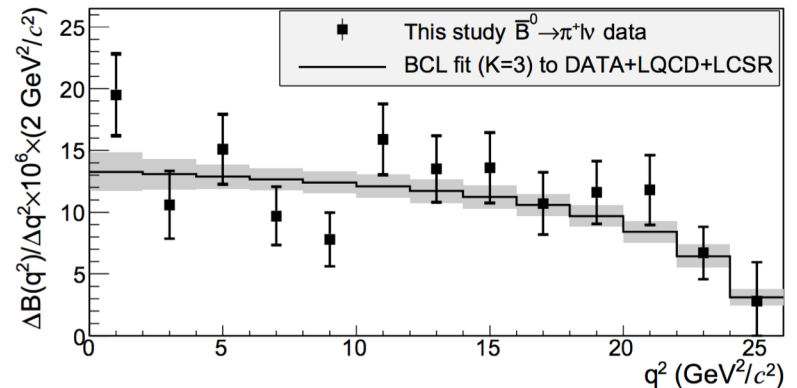
$\Rightarrow B^0 \rightarrow \pi^- e^+ \nu$  rate versus  $q^2$  is sensitive to  $|V_{ub}|$ , but requires theory input  $|f_+(q^2)|$

BaBar (2012) <https://arxiv.org/abs/1208.1253>



$$|V_{ub}| = (3.25 \pm 0.31) \times 10^{-3}$$

Belle (2013) <https://arxiv.org/abs/1306.2781>



$$|V_{ub}| = (3.52 \pm 0.29) \times 10^{-3}$$

# Measuring $|V_{ub}|$

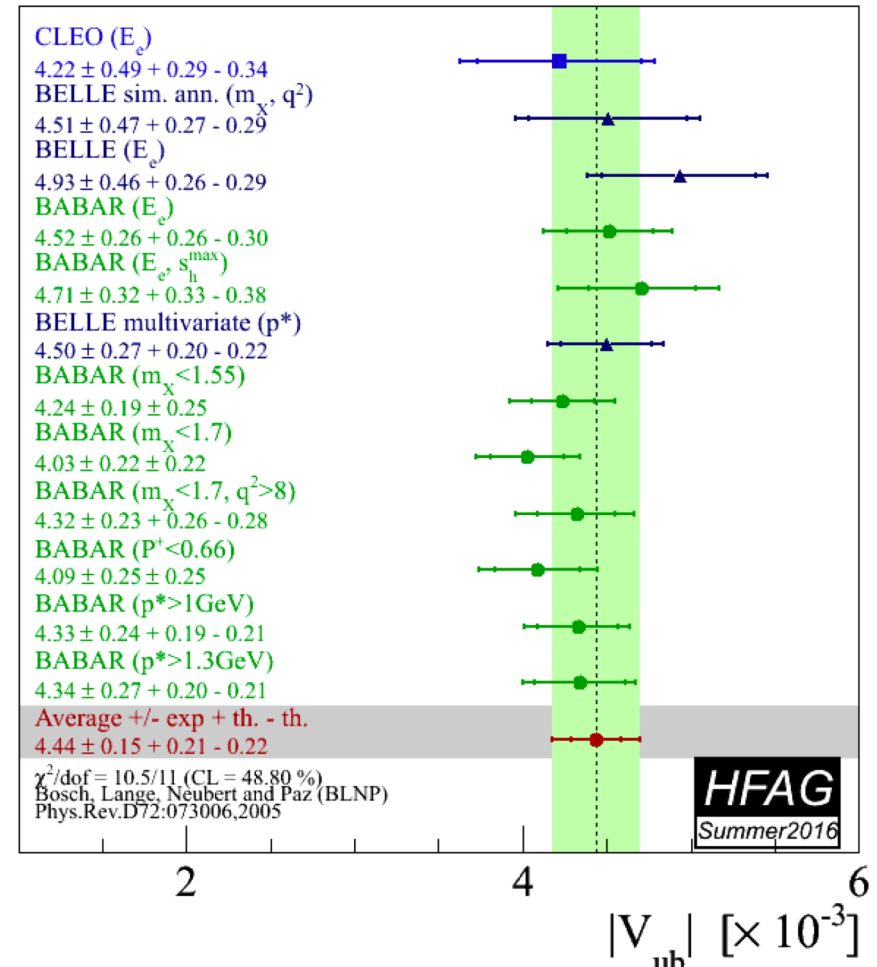
Total decay rate to all Xu is easier to calculate – don't care about details of hadronisation

## Inclusive approach

But – large contamination from  $b \rightarrow c$  needs to be rejected.

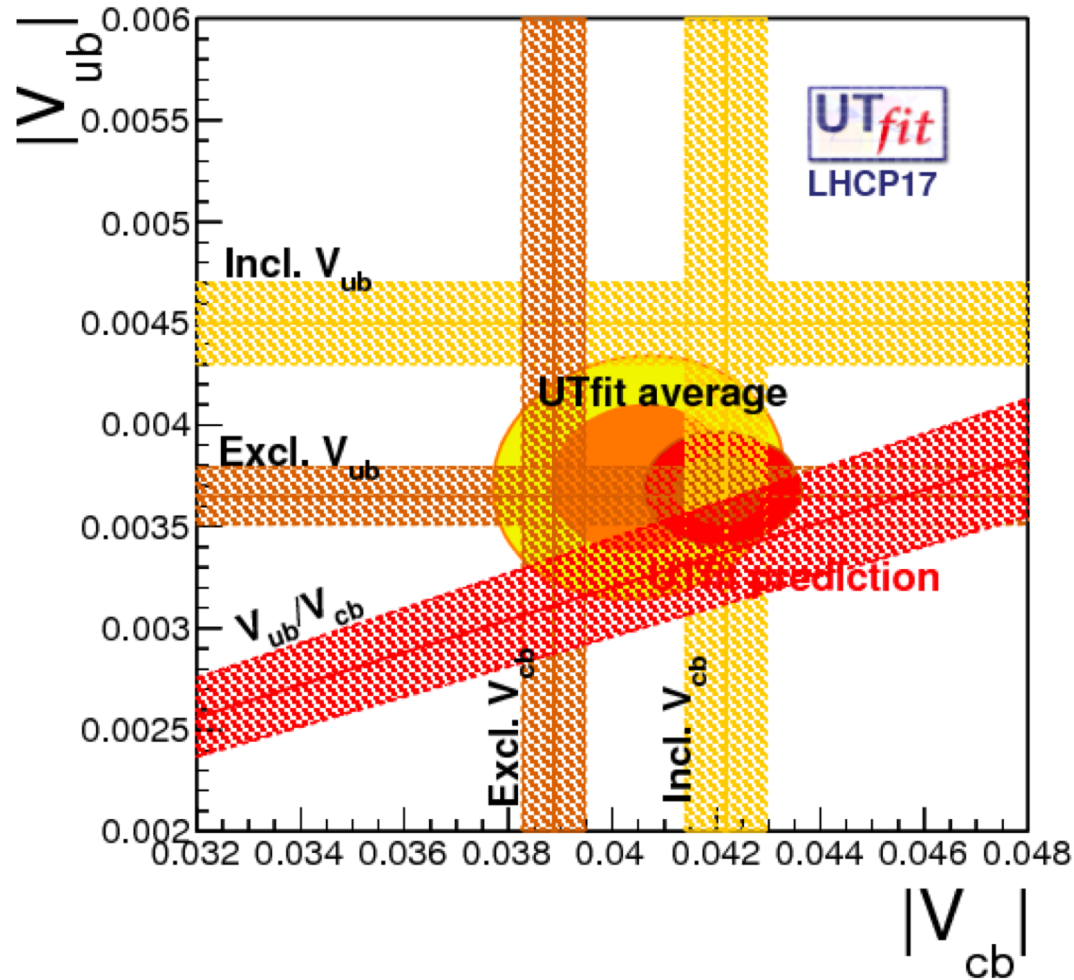
⇒ Cut on lepton energy or  $q^2$  – charm hadrons more massive

Several theoretical approaches – this is a summary of one of them (from Heavy Flavour Averaging Group, HFlav)



# Measuring $|V_{ub}|$

## Exclusive vs Inclusive





# 'Golden Mode' $B^0 \rightarrow J/\psi K_S^0$

Why is  $A_{CP}^{mix} = -\sin(2\beta)$  for  $B^0 \rightarrow J/\psi K_S^0$ ?

$$\beta = \phi_1 = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

(1) remember:  $A_{CP}^{mix} = S_{CP} = \frac{2 \Im(\lambda_{CP})}{1 + |\lambda_{CP}|^2}$

so this is satisfied if  $\lambda_{CP} = -e^{-2i\beta}$   
 $= -\cos(2\beta) - i \sin(2\beta)$

(2) remember:  $\lambda_{f_{CP}} \equiv \frac{q}{p} \frac{\bar{A}_{f_{CP}}}{A_{f_{CP}}}$

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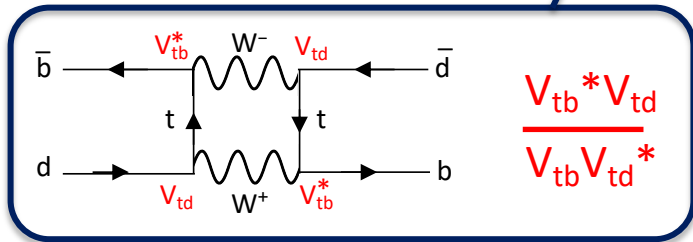
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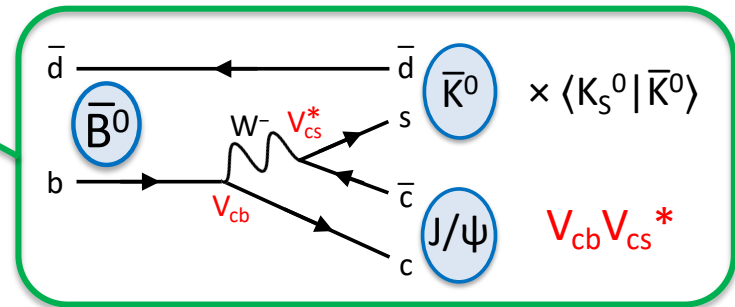
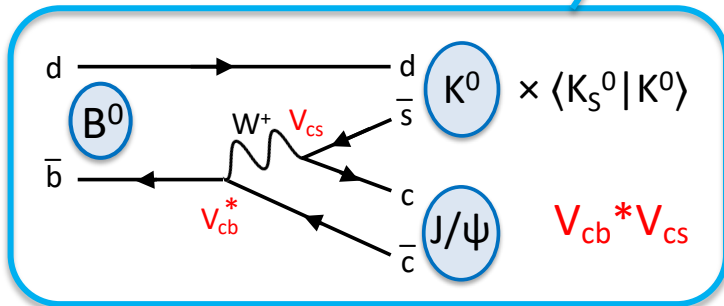
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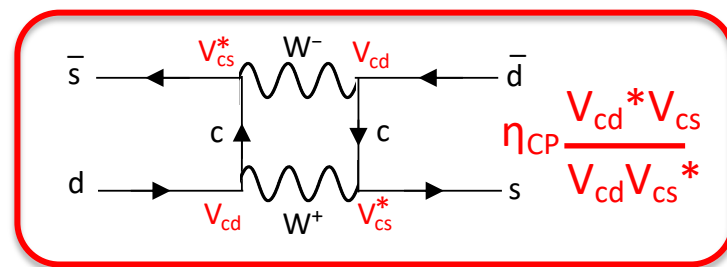
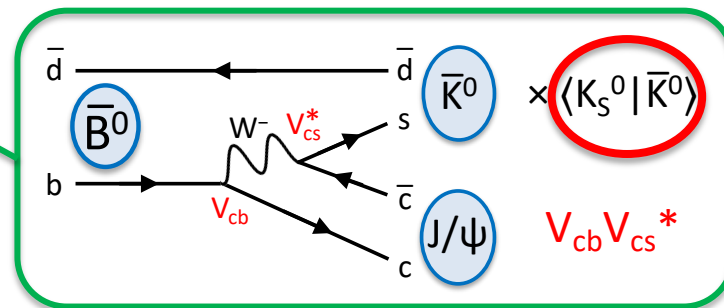
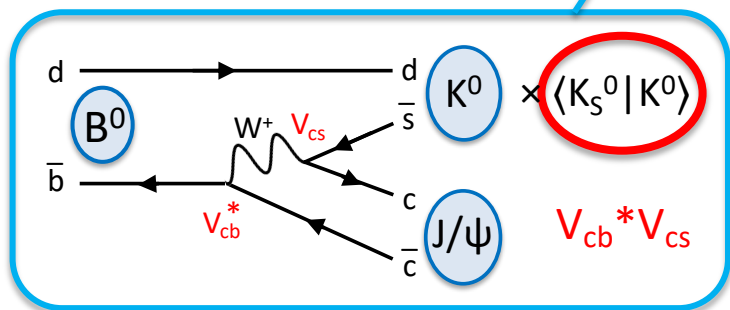
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$$= -\frac{V_{tb}^*V_{td}}{V_{tb}V_{td}^*} \frac{V_{cb}V_{cd}^*}{V_{cb}^*V_{cd}}$$

Cancel terms, and  
 $\eta_{CP} = -1$  for  $J/\psi K_S^0$

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Rearrange

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$$\Rightarrow Ae^{i\beta} = \left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

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Rearrange

$$= [Ae^{i\beta}]^*$$

$$= Ae^{-i\beta}$$

$$= [-Ae^{i\beta}]^{-1}$$

$$= -A^{-1}e^{-i\beta}$$

$$\Rightarrow \lambda_{J/\psi K_S^0} = -e^{-2i\beta}$$

Q.E.D