# Beyond Jarlskog: Playing with Flavor Invariants 

## Based on:

1. Q. Bonnefoy (DESY), E. Gendy (UHH), CG and J. Ruderman (NYU) arXiv: 2112.03889 "Beyond Jarlskog: 699 invariants for CP violation in SMEFT".

+ follow up paper to appear soon

2. Q. Bonnefoy (DESY), CG, J. Kley (DESY) to appear later this week: "The shift-invariant orders of an ALP".

## Outline

## The collective nature of CPV: Real vs. Imaginary <br> The (flavour-)invariant measures of CPV <br> Beyond Jarlskog: the 699 (minimal) CPV invariants of SMEFT 6 <br> Beyond Jarlskog: the 13 invariants of ALP shift-symmetry breaking <br> The collective nature of shift-symmetry breaking <br> $R G$ invariance of the invariants

## Note 1: I'll consider only heavy/decoupling new physics

Note 2: I'll assume that $S U(2) x U(1)$ is linearly realised above the weak scale, i.e. SMEFT rather than HEFT. Our construction can be generalised but we haven't gone through this exercise (yet). I'll also assume that possible $B$ and $L$ violating effects are pushed to a high scale irrelevant for our discussion.

## Does new physics break CP?

- Unlike B \& L numbers, CP is not an accidental symmetry of $\mathrm{SM}_{4}$
- But its violation is "screened" by the CKM selection rules (see next slides)
- BSM CPV effects can be $\mathrm{O}(1)$ in most loop-level FCNC processes


| $\begin{aligned} & h e^{2 i \sigma}=A_{\mathrm{NP}}\left(B^{0} \rightarrow \bar{B}^{0}\right) / A_{\mathrm{SM}}\left(B^{0} \rightarrow \bar{B}^{0}\right) \\ & \nwarrow \uparrow \text { NP parameters } \end{aligned}$ |  |  | $\frac{C_{i j}^{2}}{\Lambda^{2}}\left(\bar{q}_{i, L} \gamma_{\mu} q_{j, L}\right)^{2}$ |  | $h \simeq \frac{\left\|C_{i j}\right\|^{2}}{\left\|V_{t i}^{*} V_{t j}\right\|^{2}}\left(\frac{4.5 \mathrm{TeV}}{\Lambda}\right)^{2}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Couplings | NP loop order | Sensitivity for Summer 2019 [TeV] |  | Phase I Sensitivity [TeV] |  | Phase II Sensitivity [TeV] |  |
|  |  | $B_{d}$ mixing | $B_{s}$ mixing | $B_{d}$ mixing | $B_{s}$ mixing | $B_{d}$ mixing | $B_{s}$ mixing |
| $\left\|C_{i j}\right\|=\left\|V_{t i} V_{t j}^{*}\right\|$ | tree level | 9 | 13 | 17 | 18 | 20 | 21 |
| (CKM-like) | one loop | 0.7 | 1.0 | 1.3 | 1.4 | 1.6 | 1.7 |
| $\left\|C_{i j}\right\|=1$ | tree level | $1 \times 10^{3}$ | $3 \times 10^{2}$ | $2 \times 10^{3}$ | $4 \times 10^{2}$ | $2 \times 10^{3}$ | $5 \times 10^{2}$ |
| (no hierarchy) | one loop | 80 | 20 | $2 \times 10^{2}$ | 30 | $2 \times 10^{2}$ | 40 |

Charles et al. '20

- On the other hand, there are already strong (indirect) constraints, e.g., EDM
- We need a map to explore CPV effects:
- What are the BSM sources of CPV?
- What could be their sizes?
- What should be the structure of CPV to allow new physics still accessible at colliders?


## CPV in $\mathrm{SM}_{4}$

CPV comes from mixing among quarks and the resulting couplings to W

$$
\begin{aligned}
& \mathcal{L}_{\text {mix }}=\frac{e}{\sqrt{2} \sin \theta_{w}}\left[W_{\mu}^{+} \bar{u} V \gamma^{\mu}\left(\frac{1-\gamma_{5}}{2}\right) d+W_{\mu}^{-} \bar{d} V^{\dagger} \gamma^{\mu}\left(\frac{1-\gamma_{5}}{2}\right) u\right] \\
& \quad \frac{\mathrm{CP}}{\sqrt{2} \sin \theta_{w}}\left[W_{\mu}^{+} \bar{u}\left(V^{\dagger}\right)^{T} \gamma^{\mu}\left(\frac{1-\gamma_{5}}{2}\right) d+W_{\mu}^{-} \bar{d} V^{T} \gamma^{\mu}\left(\frac{1-\gamma_{5}}{2}\right) u\right]
\end{aligned}
$$

Phases in CKM break CP!

## Are Phases a Sign of CPV?

## Only after exhausting all flavour symmetries!

$$
V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\frac{72-21 i}{325} & \frac{4}{13} & -\frac{12 i}{13} \\
-\frac{12}{13} & \frac{576+168 i}{1625} & \frac{49-168 i}{65} \\
-\frac{96-28 i}{325} & -\frac{57}{65} & -\frac{24 i}{65}
\end{array}\right)
$$

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V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\frac{72-21 i}{325} & \frac{4}{13} & -\frac{12 i}{13} \\
-\frac{12}{13} & \frac{576+168 i}{1025} & \frac{49-188 i}{65} \\
-\frac{69-28 i}{325} & -\frac{57}{65} & -\frac{24 i}{65}
\end{array}\right)
$$

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$$
\begin{gathered}
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\frac{72-21 i}{325} & \frac{4}{13} & -\frac{12 i}{13} \\
-\frac{12}{13} & \frac{576+168 i}{1625} & \frac{49-168 i}{65} \\
-\frac{96-28 i}{325} & -\frac{57}{65} & -\frac{24 i}{65}
\end{array}\right) \\
\text { II } \\
\qquad \begin{array}{c}
\text { phases absorbed by redefining quark fields }
\end{array} \\
\begin{array}{ccc}
\left(\begin{array}{ccc}
\frac{3-4 i}{5} & 0 & 0 \\
0 & \frac{4-3 i}{5} \\
0 & 0 & \frac{3-4 i}{5}
\end{array}\right)\left(\begin{array}{ccc}
\frac{3}{13} & \frac{4}{13} & \frac{12}{13} \\
-\frac{12}{13} & \frac{24}{65} & \frac{7}{65} \\
-\frac{4}{65} & -\frac{24}{65}
\end{array}\right)\left(\begin{array}{ccc}
\left(\frac{4+3 i}{5}\right. & 0 & 0 \\
0 & \frac{3+4 i}{5} & 0 \\
0 & 0 & \frac{4-3 i}{5}
\end{array}\right)
\end{array}
\end{gathered}
$$

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-\frac{96-28 i}{325} & -\frac{57}{65} & -\frac{24 i}{65}
\end{array}\right) \\
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\begin{array}{ccc}
\left(\begin{array}{ccc}
\frac{3-4 i}{5} & 0 & 0 \\
0 & \frac{4-3 i}{5} \\
0 & 0 & \frac{3-4 i}{5}
\end{array}\right)\left(\begin{array}{ccc}
\frac{3}{13} & \frac{4}{13} & \frac{12}{13} \\
-\frac{12}{13} & \frac{24}{65} & \frac{7}{65} \\
-\frac{4}{65} & -\frac{24}{65}
\end{array}\right)\left(\begin{array}{ccc}
\left(\frac{4+3 i}{5}\right. & 0 & 0 \\
0 & \frac{3+4 i}{5} & 0 \\
0 & 0 & \frac{4-3 i}{5}
\end{array}\right)
\end{array}
\end{gathered}
$$

$$
V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\frac{2172-5004 i}{8125} & -\frac{1784+432 i}{8125} & -\frac{2427+5196 i}{8125} \\
-\frac{3747+3996 i}{8125} & \frac{3324+912 i}{8125} & \frac{4772-1164 i}{8125} \\
-\frac{308+144 i}{1105} & -\frac{4389+2052 i}{5525} & \frac{1848+864 i}{5525}
\end{array}\right)
$$

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\begin{gathered}
V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
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-\frac{96-28 i}{325} & -\frac{57}{65} & -\frac{24 i}{65}
\end{array}\right) \\
\text { II } \\
\qquad \begin{array}{c}
\text { phases absorbed by redefining quark fields }
\end{array} \\
\begin{array}{ccc}
\left(\begin{array}{ccc}
\frac{3-4 i}{5} & 0 & 0 \\
0 & \frac{4-3 i}{5} & 0 \\
0 & 0 & \frac{3-4 i}{5}
\end{array}\right)\left(\begin{array}{ccc}
\frac{3}{13} \\
-\frac{12}{13} & \frac{12}{13} & \frac{12}{65} \\
-\frac{4}{13} & -\frac{57}{65} & \frac{24}{65}
\end{array}\right)\left(\begin{array}{ccc}
\frac{4+3 i}{5} & 0 & 0 \\
0 & \frac{3+4 i}{5} & 0 \\
0 & 0 & \frac{4-3 i}{5}
\end{array}\right)
\end{array}
\end{gathered}
$$

$$
V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\frac{2172-5004 i}{8125} & -\frac{1784+432 i}{8125} & -\frac{2427+5196 i}{8125} \\
-\frac{3747+3996 i}{815} & \frac{3324+912 i}{8125} & \frac{4772-1164 i}{8125} \\
-\frac{308+144 i}{1105} & -\frac{4389+2052 i}{5525} & \frac{1848+864 i}{5525}
\end{array}\right)
$$

II

$$
\left(\begin{array}{ccc}
-\frac{176+468 i}{625} & -\frac{9-12 i}{25} & 0 \\
\frac{351-132 i}{625} & \frac{16+12 i}{25} & 0 \\
0 & 0 & \frac{77+36 i}{85}
\end{array}\right)\left(\begin{array}{ccc}
\frac{3}{13} & \frac{4}{13} & \frac{12}{13} \\
-\frac{12}{13} & \frac{24}{65} & \frac{7}{65} \\
-\frac{4}{13} & -\frac{57}{65} & \frac{24}{65}
\end{array}\right)
$$

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\begin{gathered}
V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\frac{72-21 i}{325} & \frac{4}{13} & -\frac{12 i}{13} \\
\frac{-12}{13} & \frac{576+168 i}{1625} & \frac{49-168 i}{65} \\
-\frac{96-28 i}{325} & -\frac{57}{65} & -\frac{24 i}{65}
\end{array}\right) \\
\text { II } \\
\qquad \begin{array}{ccc}
\left(\begin{array}{ccc}
\frac{3-4 i}{5} & 0 & 0 \\
0 & \frac{4-3 i}{5} & 0 \\
0 & 0 & \frac{3-4 i}{5}
\end{array}\right)
\end{array}\left(\begin{array}{ccc}
\frac{3}{13} & \frac{4}{13} & \frac{12}{13} \\
-\frac{12}{13} & \frac{24}{65} \\
-\frac{4}{13} & \frac{77}{65} & \frac{24}{65}
\end{array}\right) \\
\text { phases absorbed by redefining quark fields }
\end{gathered}
$$

no complex phase after appropriate phase shifts of quark fields

$$
V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\frac{2172-5004 i}{8125} & -\frac{1784+432 i}{8125} & -\frac{2427+5196 i}{8125} \\
-\frac{3747+3996 i}{8125} & \frac{3324+912 i}{8125} & \frac{4772-1164 i}{8125} \\
-\frac{308+144 i}{1105} & -\frac{4389+2052 i}{5525} & \frac{1848+864 i}{5525}
\end{array}\right)
$$

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\begin{gathered}
\left(\begin{array}{ccc}
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\frac{3}{13} & \frac{4}{13} & \frac{12}{13} \\
-\frac{12}{13} & \frac{24}{65} & \frac{7}{65} \\
-\frac{4}{13} & -\frac{57}{65} & \frac{24}{65}
\end{array}\right) \\
\text { if } m_{u}=m_{\mathrm{c}}
\end{gathered}
$$

enlarged U(2) flavour symmetry
that can be used to remove phase in CKM

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Only after exhausting all flavour symmetries!

$$
V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
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-\frac{96-28 i}{325} & -\frac{57}{65} & -\frac{24 i}{65}
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\begin{gathered}
\left(\begin{array}{ccc}
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0 & 0 & \frac{77+36 i}{85}
\end{array}\right)\left(\begin{array}{ccc}
\frac{3}{13} & \frac{4}{13} & \frac{12}{13} \\
-\frac{12}{13} & \frac{24}{65} & \frac{7}{65} \\
-\frac{4}{13} & -\frac{57}{65} & \frac{24}{65}
\end{array}\right) \\
\text { if } \mathrm{m}_{\mathrm{u}}=\mathrm{m}_{\mathrm{c}}
\end{gathered}
$$

enlarged U(2) flavour symmetry
that can be used to remove phase in CKM

## $\mathrm{CPV} \leftrightarrow \exists$ phase in Lagrangian parameters

## The SM4 Collective CPV

The well-known KM counting
$Y_{u}(9 R+9 I)$

$Y_{d}(9 R+9 I)$$\Rightarrow$| $S U(3)_{Q}$ | $S U(3)_{u}$ | $S U(3)_{d}$ | $U(1)_{u}$ | $U(1)_{d}$ | $U(1)_{B}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | $\overline{3}$ | 1 | 1 | 0 | 0 |
| 3 | 1 | $\overline{3}$ | 0 | 1 | 0 |
| $3 R+5 I$ | $3 R+5 I$ | $3 R+5 I$ | ${ }^{1 I}$ | ${ }_{1 I}$ | ${ }_{1 I}$ |

## The SM4 Collective CPV

## The well-known KM counting

|  | $S U(3)_{Q}$ | $S U(3){ }_{u}$ | $S U(3){ }_{d}$ | $U(1)_{u}$ | $U(1)_{d}$ | $U(1)_{B}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $Y_{u}(9 R+9 I)$ | 3 | $\overline{3}$ | 1 | 1 | 0 | 0 | physical |
| $Y_{d}(9 R+9 I)$ | 3 | 1 | $\overline{3}$ | 0 | 1 | 0 | $9 R+1 I$ |
|  | $3 R+51$ | $3 R+5 I$ | $3 R+5 I$ | $1{ }^{1}$ | $1{ }^{1}$ | 效 |  |

## The SM4 Collective CPV

## The well-known KM counting

|  | $S U(3)_{Q}$ | $S U(3){ }_{u}$ | $S U(3)_{d}$ | $U(1)_{u}$ | $U(1)_{d}$ | $U(1)_{B}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $Y_{u}(9 R+9 I)$ | 3 | $\overline{3}$ | 1 | 1 | 0 | 0 |
| $Y_{d}(9 R+9 I)$ | 3 | 1 | $\overline{3}$ | 0 | 1 | 0 |
|  | $3 R+5 I$ | $3 R+5 I$ | $3 R+5 I$ | 1 I | 11 | 放 |

- The position of this physical phase is (flavour)-basis dependent, e.g.
- Up-basis: $Y_{u}=$ diag, $Y_{d}=V_{\text {скм }}$.diag
- Down-basis: $Y_{u}=\mathrm{V}_{\text {СКМ. }}^{\dagger}$ diag, $Y_{d}=$ diag
- many other choices of flavour bases


## The SM4 Collective CPV

## The well-known KM counting

| $\begin{aligned} & Y_{u}(9 R+9 I) \\ & Y_{d}(9 R+9 I) \end{aligned}$ | $S U(3)_{Q}$ | $S U(3)_{u}$ | $S U(3)_{d}$ | $U(1)_{u}$ | $U(1)_{d}$ | $U(1)_{B}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3 | $\overline{3}$ | 1 | 1 | 0 | 0 |
|  | 3 | 1 | $\overline{3}$ | 0 | 1 | 0 |
|  | $3 R+5 I$ | $3 R+5 I$ | $3 R+5 I$ | ${ }_{1 I}$ | ${ }_{1 I}$ | ) |

- The position of this physical phase is (flavour)-basis dependent, e.g.
- Up-basis: $Y_{u}=d i a g, Y_{d}=V_{C K M}$.diag
- Down-basis: $Y_{u}=V_{C K M}^{\dagger}$.diag, $Y_{d=d i a g}$
- many other choices of flavour bases
standard parametrisation (particular choice of flavour basis)

$$
\begin{aligned}
V_{\mathrm{CKM}} & =\left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & c_{23} & s_{23} \\
0 & -s_{23} & c_{23}
\end{array}\right)\left(\begin{array}{ccc}
c_{13} & 0 & s_{13} e^{-i \delta} \\
0 & 1 & 0 \\
-s_{13} e^{i \delta} & 0 & c_{13}
\end{array}\right)\left(\begin{array}{ccc}
c_{12} & s_{12} & 0 \\
-s_{12} & c_{12} & 0 \\
0 & 0 & 1
\end{array}\right) \\
& =\left(\begin{array}{ccc}
c_{12} c_{13} \\
-c_{23} s_{12}-c_{12} s_{13} s_{23} 3^{i \delta \delta \mathrm{CKM}} & c_{12} c_{23}-c_{13} s_{12} s_{13} s_{233} s^{i \delta \delta_{\text {CKM }}} & s_{13} e^{-i \delta_{\text {CKM }}} \\
s_{12} s_{23}-c_{12} c_{23} s_{13} e^{i \delta_{\mathrm{CKM}}} & -c_{12} s_{23}-c_{23} s_{12} s_{13} e^{i \delta_{\mathrm{CKM}}} & c_{13} c_{23}
\end{array}\right)
\end{aligned}
$$

## Jarlskog Invariant

## The SM CPV order

- The lowest order flavour invariant sensitive to CPV

$$
J_{4}=\operatorname{Im} \operatorname{Tr}\left(\left[Y_{u} Y_{u}^{\dagger}, Y_{d} Y_{d}^{\dagger}\right]^{3}\right)
$$

- Explicitly

$$
\begin{aligned}
J_{4}= & \underbrace{\mathcal{O}\left(\lambda^{6}\right)}_{\mathcal{O} c_{12} s_{12} c_{13}^{2} s_{13} c_{23} s_{23}} \underbrace{\left(y_{c}^{2}-y_{u}^{2}\right)\left(y_{t}^{2}-y_{u}^{2}\right)\left(y_{t}^{2}-y_{c}^{2}\right)\left(y_{s}^{2}-y_{d}^{2}\right)\left(y_{b}^{2}-y_{d}^{2}\right)\left(y_{b}^{2}-y_{s}^{2}\right)} \underbrace{\sin \delta} \\
\text { Wolfenstein parametrisation } \quad V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
1-\lambda^{2} / 2 & \lambda & A \lambda^{3}(\rho-i \eta) \\
-\lambda & 1-\lambda^{2} / 2 & A \lambda^{2} \\
A \lambda^{3}(1-\rho-i \eta) & -A \lambda^{2} & 1
\end{array}\right)+\mathcal{O}\left(\lambda^{4}\right) & \lambda \sim 0.22
\end{aligned}
$$

- Even if $\delta \sim O(1)$, large suppression effects due to collective nature of CPV
- Important property: CP is conserved iff $\mathrm{J}_{4}=0$ (neglecting $\theta_{Q C D}$ for now)


## Jarlskog Invariant

## The SM CPV order

- The lowest order flavour invariant sensitive to CPV

$$
J_{4}=\operatorname{Im} \operatorname{Tr}\left(\left[Y_{u} Y_{u}^{\dagger}, Y_{d} Y_{d}^{\dagger}\right]^{3}\right)
$$

- Explicitly

$$
\begin{array}{r}
J_{4}=\underbrace{6 c_{12} s_{12} c_{13}^{2} s_{13} c_{23} s_{23}}_{\mathcal{O}\left(\lambda^{6}\right)} \underbrace{\left(y_{c}^{2}-y_{u}^{2}\right)\left(y_{t}^{2}-y_{u}^{2}\right)\left(y_{t}^{2}-y_{c}^{2}\right)\left(y_{s}^{2}-y_{d}^{2}\right)\left(y_{b}^{2}-y_{d}^{2}\right)\left(y_{b}^{2}-y_{s}^{2}\right)}_{\mathcal{O}\left(\lambda^{30}\right)} \underbrace{\sin \delta} \\
\text { Wolfenstein parametrisation } \quad V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\left.1-\lambda^{0}\right) \\
-\lambda & \lambda & A \lambda^{3}(\rho-i \eta) \\
A \lambda^{3}(1-\rho-i \eta) & 1-\lambda^{2} / 2 & A \lambda^{2} \\
-A \lambda^{2} & 1
\end{array}\right)+\mathcal{O}\left(\lambda^{4}\right) \\
\lambda \sim 0.22
\end{array}
$$

- Even if $\delta \sim O(1)$, large suppression effects due to collective nature of CPV
- Important property: CP is conserved iff $\mathrm{J}_{4}=0$ (neglecting $\theta_{Q C D}$ for now)
exercise 1: check that indeed $J_{4}$ vanishes on the two examples of previous slide (one need $\mathrm{mu}=\mathrm{mc}$ for the second one!)


## Jarlskog Invariant

## The SM CPV order

- The lowest order flavour invariant sensitive to CPV

$$
J_{4}=\operatorname{Im} \operatorname{Tr}\left(\left[Y_{u} Y_{u}^{\dagger}, Y_{d} Y_{d}^{\dagger}\right]^{3}\right)
$$

- Explicitly

$$
\begin{array}{r}
J_{4}=\underbrace{6 c_{12} s_{12} c_{13}^{2} s_{13} c_{23} s_{23}}_{\mathcal{O}\left(\lambda^{6}\right)} \underbrace{\left(y_{c}^{2}-y_{u}^{2}\right)\left(y_{t}^{2}-y_{u}^{2}\right)\left(y_{t}^{2}-y_{c}^{2}\right)\left(y_{s}^{2}-y_{d}^{2}\right)\left(y_{b}^{2}-y_{d}^{2}\right)\left(y_{b}^{2}-y_{s}^{2}\right)}_{\mathcal{O}\left(\lambda^{30}\right)} \underbrace{\sin \delta} \\
\text { Wolfenstein parametrisation } \quad V_{\mathrm{CKM}}=\left(\begin{array}{ccc}
\left.1-\lambda^{0}\right) \\
-\lambda & \lambda & A \lambda^{3}(\rho-i \eta) \\
A \lambda^{3}(1-\rho-i \eta) & 1-\lambda^{2} / 2 & A \lambda^{2} \\
-A \lambda^{2} & 1
\end{array}\right)+\mathcal{O}\left(\lambda^{4}\right) \\
\lambda \sim 0.22
\end{array}
$$

- Even if $\delta \sim 0(1)$, large suppression effects due to collective nature of CPV
- Important property: CP is conserved iff $\mathrm{J}_{4}=0$ (neglecting $\theta_{\text {QcD }}$ for now)
exercise 1: check that indeed $J_{4}$ vanishes on the two examples of previous slide (one need $m u=m c$ for the second one!) exercise 2: check that for $N_{F}=2, J_{4}$ always vanishes


## BSM CPV is also a Collective Effect

## The example of electron EDM

- "Imaginary" Yukawa coupling gives rise to eEDM through Barr-Zee diagram

$$
\begin{gathered}
\mathcal{L}=y h \bar{\psi} \psi \\
y_{u}=\frac{\sqrt{2} m_{u}}{v}\left(1+C_{\left.u H v^{2} / \Lambda^{2}\right)}\right.
\end{gathered}
$$



$$
\frac{d_{e}}{e}=-\frac{1}{48 \pi^{2}} \frac{v m_{e} m_{u}}{m_{h}^{2}} \frac{\operatorname{Im}\left(C_{u H}\right)}{\Lambda^{2}} F_{1}\left(\frac{m_{u}^{2}}{m_{h}^{2}}, 0\right)
$$

## BSM CPV is also a Collective Effect

## The example of electron EDM

- "Imaginary" Yukawa coupling gives rise to eEDM through Barr-Zee diagram

$$
y_{u}=\frac{\sqrt{2} m_{u}}{v}\left(1+C_{u H} v^{2} / \Lambda^{2}\right) \quad \frac{d_{e}}{e}=-\frac{1}{48 \pi^{2}} \frac{v m_{e} m_{u}}{m_{h}^{2}} \frac{\operatorname{Im}\left(C_{u H}\right)}{\Lambda^{2}}-\frac{C_{1}}{F_{1}}\left(\frac{m_{u}^{2}}{m_{h}^{2}}, 0\right)
$$

- The Yukawa can be made real by chiral rotation: $\psi \rightarrow e^{i \theta \gamma^{5}} \psi$
- The "phase" will appear in the mass
- The CPV effect is captured by Im (y ${ }^{\dagger} \cdot \mathrm{m}$ ), which is invariant under chiral rotation

Trivial here, but can get complicated: flavour indices, links to UV parameters...

## Dim-6 Yukawa’s Contribution to EDMs

CP doesn’t say Wilson coefficients are real

$$
\begin{aligned}
& \mathcal{L}=Y_{u} \bar{Q} \tilde{H} U+C_{u H}|H|^{2} \bar{Q} \tilde{H} U \\
& 3 \times 3 \text { complex } \\
& \text { (9R+9I) } \\
& \text { 3x3 complex } \\
& \text { (9R+9I) } \\
& g_{h u u}^{i j} h \bar{u}_{i} u_{j} \\
& Y_{u}^{i j}+3 v^{2} C_{u H}^{i j}
\end{aligned}
$$

One can choose $\mathrm{U}(3){ }_{\mathrm{Q}} \mathrm{xU}(3)$ u transformations to make $\mathrm{C}_{\mathrm{uH}}$ (or ghuu ) *real*

$$
\text { CPV effects } \stackrel{!}{\leftrightarrow} \text { Im CuH }
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Phases can be moved to mass matrices - even in mass basis, $\exists$ residual $\mathrm{U}(1)$ 's to move phase around (flavour basis fully specified by the location of the phase in the CKM matrix)

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$$

$$
\underbrace{g_{h u u}^{i j}}_{Y_{u}^{i j}+3 v^{2} C_{u H}^{i j}} h \bar{u}_{i} u_{j}
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At two loops and $1 / \Lambda^{2}$ order, Barr-Zee diagrams depends only on three phases captured by three invariants

(only diagonal phases can contribute at 2-loops because no FCNC in SM)

$$
\frac{d_{e}}{e} \propto \frac{\alpha y_{e}}{16 \pi^{3}}\left(a I_{1}+b I_{2}+c I_{3}\right) \quad \text { with } \quad \begin{aligned}
& I_{n}=\operatorname{Im} \operatorname{Tr}\left(Y_{u}^{\dagger}\left(Y_{u} Y_{u}^{\dagger}\right)^{n} C_{u H}\right) \\
& \text { a, b, c functions of } Y_{u} \text { only }
\end{aligned}
$$

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At higher loops, more phases can appear.

- How many?
- How many constraints should we impose to ensure CP is conserved?


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## Beyond Jarlskog

Necessary and sufficient conditions for CPV

$$
\mathcal{A}=\mathcal{A}^{(4)}+\mathcal{A}^{(6)}+\ldots \Rightarrow\left|\mathcal{A}^{(4)}\right|^{2}+2 \operatorname{Re}\left(\mathcal{A}^{(4)} \mathcal{A}^{(6) *}\right)
$$

## Beyond Jarlskog

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$$

## Beyond Jarlskog

## Necessary and sufficient conditions for CPV



## Beyond Jarlskog

## Necessary and sufficient conditions for CPV



How many conditions?
Any relation with the number of phases that can appear in Lsm6?

## SM6

## Basis of dim-6 operators, aka Warsaw basis



$$
\mathcal{L}_{S M E F T}=\mathcal{L}_{S M}^{(4)}+\sum_{n \geq 5} \frac{c_{n}}{\Lambda^{n-4}} \mathcal{O}^{(n)}
$$

59 types of operators. 2499 independent Wilson coefficients (1350 real and 1149 imaginary).

## $\mathrm{SM}_{6}$

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$$

59 types of operators. 2499 independent Wilson coefficients (1350 real and 1149 imaginary).

1. How many new sources of CPV?
2. Which ones can appear at BSM leading order $\left(1 / \Lambda^{2}\right)$ ?

- Not because a parameter is $O\left(1 / \Lambda^{2}\right)$ that it can contribute at leading order in any physical observable! We'll see indeed that there are general non-interference theorems -


## 3. What are the collective breaking patterns

 associated to these new sources of CPV?4. Where should we look for CPV?

## Beyond Jarlskog: Building SM6 invariants

## Playing with new fermion bilinear interactions first

- In the Warsaw basis, Manohar et al. counted 7 Hermitian ( $6 R+31$ ) and 12 generic bilinear ( $9 R+91$ ) operators for a total of 129 phases (and 150 real parameters)

|  | 5: $\psi^{2} H^{3}+$ h.c. |  | 6: $\psi^{2} X H+$ h.c. |
| :---: | :---: | :---: | :---: |
|  | $Q_{\text {eH }}$ | $\left(H^{\dagger} H\right)\left(\bar{l}_{p} e_{r} H\right)$ | $Q_{\text {eW }}, Q_{\text {eB }}$ |
|  | $Q_{u H}$ | $\left(H^{\dagger} H\right)\left(\bar{q}_{p} u_{r} \widetilde{H}\right)$ | $Q_{u G}, Q_{u W}, Q_{u B}$ |
|  | $Q_{\text {dH }}$ | $\left(H^{\dagger} H\right)\left(\bar{q}_{p} d_{r} H\right)$ | $Q_{d G}, Q_{d W}, Q_{d B}$ |


|  | $7: \psi^{2} H^{2} D$ |  |
| :---: | :---: | :---: |
|  | $Q_{H l}^{(1)}, Q_{H l}^{(3)}$ | $\left(H^{\dagger} i \overleftrightarrow{D}_{\mu} H\right)\left(\bar{l}_{p} \gamma^{\mu} l_{r}\right),\left(H^{\dagger} i \overleftrightarrow{D}_{\mu}^{I} H\right)\left(\bar{l}_{p} \tau^{I} \gamma^{\mu} l_{r}\right)$ |
|  | $Q_{H q}^{(1)}, Q_{H q}^{(3)}$ | $\left(H^{\dagger} i \overleftrightarrow{D}_{\mu} H\right)\left(\bar{q}_{p} \gamma^{\mu} q_{r}\right),\left(H^{\dagger} i \overleftrightarrow{D}_{\mu}^{I} H\right)\left(\bar{q}_{p} \tau^{I} \gamma^{\mu} q_{r}\right)$ |
|  | $Q_{\text {Hu }}$ | $\left(H^{\dagger} i \overleftrightarrow{D}_{\mu} H\right)\left(\bar{u}_{p} \gamma^{\mu} u_{r}\right)$ |
|  | $Q_{H d}$ | $\left(H^{\dagger} i \overleftrightarrow{D}_{\mu} H\right)\left(\bar{d}_{p} \gamma^{\mu} d_{r}\right)$ |
| generic | $Q_{\text {Hud }}$ | $i\left(\widetilde{H}^{\dagger} D_{\mu} H\right)\left(\bar{u}_{p} \gamma^{\mu} d_{r}\right)$ |


| $S U(3)_{Q}$ | $S U(3)_{u}$ | $S U(3)_{d}$ | $S U(3)_{L}$ | $S U(3)_{e}$ |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 1 | 3 | $\overline{3}$ |
| 3 | $\overline{3}$ | 1 | 1 | 1 |
| 3 | 1 | $\overline{3}$ | 1 | 1 |


| 1 | 1 | 1 | $8+1$ | 1 |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 1 | 1 | $8+1$ |
| $8+1$ | 1 | 1 | 1 | 1 |
| 1 | $8+1$ | 1 | 1 | 1 |
| 1 | 1 | $8+1$ | 1 | 1 |
| 1 | 3 | $\overline{3}$ | 1 | 1 |

- In the limit $\mathrm{m}_{v}=0$, lepton numbers in each family are conserved. The WC not invariant under these U(1)'s can never show up at linear order in any amplitude: $129 \rightarrow 102$ phases (and $150 \rightarrow 123$ real parameters) - see later for more details


## Beyond Jarlskog: Building SM6 invariants

## Examples of invariants from with bilinear operators

- For each operators, e.g. the dim-6 Yukawa operators, we can build a series of CP-odd invariants:

$$
I_{u_{1} \ldots d_{k}}=\operatorname{Im} \operatorname{Tr}\left(Y_{u}^{\dagger}\left(Y_{u} Y_{u}^{\dagger}\right)^{u_{1}}\left(Y_{d} Y_{d}^{\dagger}\right)^{d_{1}} \ldots\left(Y_{u} Y_{u}^{\dagger}\right)^{u_{k}}\left(Y_{d} Y_{d}^{\dagger}\right)^{d_{k}} C_{u H}\right)
$$

- Of course, they are not all independent:

$$
\text { e.g., for } 3 \text { families, } \quad I_{3}=\operatorname{Tr}\left(Y_{u} Y_{u}^{\dagger}\right) I_{2}+\frac{1}{2}\left(\operatorname{Tr}\left(\left(Y_{u} Y_{u}^{\dagger}\right)^{2}\right)-\operatorname{Tr}^{2}\left(Y_{u} Y_{u}^{\dagger}\right)\right) I_{1}
$$

- Only need to consider only a finite set of invariants:

Cayley-Hamilton: $\quad A^{3}=A^{2} \operatorname{Tr}(A)-\frac{1}{2} A\left[\operatorname{Tr}(A)^{2}-\operatorname{Tr}\left(A^{2}\right)\right]+\frac{1}{6}\left[\operatorname{Tr}(A)^{3}-3 \operatorname{Tr}\left(A^{2}\right) \operatorname{Tr}(A)+2 \operatorname{Tr}\left(A^{3}\right)\right] \mathbb{I}_{3 \times 3}$

$$
\rightarrow \text { enough to consider } \begin{gathered}
\operatorname{Tr}\left(X_{u}^{a} X_{d}^{b} X_{u}^{c} X_{d}^{d} C\right) \\
\mathrm{a}, \mathrm{~b}, \mathrm{c}, \mathrm{~d}=0,1,2, \mathrm{a} \neq \mathrm{b}, \mathrm{c} \neq \mathrm{d}
\end{gathered} \quad X_{u / d}=Y_{u / d} Y_{u / d}^{\dagger}
$$

## Beyond Jarlskog: Minimal Basis

## Transfer matrix of maximal rank

$$
\left(\begin{array}{c}
I_{1} \\
I_{2} \\
\ldots \\
I_{n}
\end{array}\right)=\left(\begin{array}{l}
T^{R} T^{I}
\end{array}\right)\left(\begin{array}{c}
\operatorname{Re} C_{1} \\
\operatorname{Re} C_{2} \\
\ldots \\
\operatorname{Re} C_{p} \\
\operatorname{Im} C_{1} \\
\ldots \\
\operatorname{Im} C_{q}
\end{array}\right)
$$

transfer matrix that depends
only on $\mathrm{Y}_{u}$ and $\mathrm{Y}_{\mathrm{d}}$

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\ldots \\
\operatorname{Im} C_{q}
\end{array}\right)
$$

transfer matrix that depends only on $\mathrm{Y}_{u}$ and $\mathrm{Y}_{\mathrm{d}}$

The problem boils down to find what is the maximal rank of the transfer matrix in general and also when $\mathrm{J}_{4}=0$

## Beyond Jarlskog: Minimal Basis

## Transfer matrix of maximal rank

Seems a simple exercise to compute the rank!
But the invariants are real monsters when computed explicitly in a particular flavour basis
(up to $97 \approx 5 \times 10^{6}$ of terms for some of the invariants)
Hopeless to analytically compute ranks.
Numerically tricky too $\rightarrow$ compute ranks for rational matrices

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Force Quit Applications


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|  | Type of op. | \# of ops | \# real | \# im. | \# CP-odd invariants |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Yukawa | 3 | 27 | 27 | 21 |
|  | Dipoles | 8 | 72 | 72 | 60 |
|  | current-current | 8 | 51 | 30 | 21 |
|  | all bilinears | 19 | 150 | 129 | 102 |

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| all bilinears | 19 | 150 | (129) | (102) |

Note that there are fewer CP-odd invariants than phases
Not all the phases can appear in observables - not interference theorems

## Non-Interference

## Conservation of individual family lepton numbers

Let us see it in a fixed basis, e.g.

$$
Y_{u}=\operatorname{diag}\left(y_{u}, y_{c}, y_{t}\right) \quad Y_{d}=V_{\mathrm{CKM}} \operatorname{diag}\left(y_{d}, y_{s}, y_{b}\right) \quad Y_{e}=\operatorname{diag}\left(y_{e}, y_{\mu}, y_{\tau}\right)
$$

In the lepton sector, this choice breaks the $U(3)_{L} \times U(3)_{e}$ of the free Lagrangian down to the $U(1)^{3}$ described by the transformation

$$
(L, e) \rightarrow \operatorname{diag}\left(e^{i \delta_{1}}, e^{i \delta_{2}}, e^{i \delta_{3}}\right)(L, e)
$$

At dimension 6, operators containing leptons are charged under this symmetry, e.g.

$$
\mathcal{O}_{H e}=\frac{1}{\Lambda^{2}} C_{H e, m n}\left(H^{\dagger} i \stackrel{\leftrightarrow}{D}_{\mu} H\right) \bar{e}_{m} \gamma^{\mu} e_{n} \quad C_{H e, m n}=\left(\begin{array}{ccc}
c_{11} & c_{12} & c_{13} \\
c_{12}^{*} & c_{22} & c_{23} \\
c_{13}^{*} & c_{23}^{*} & c_{33}
\end{array}\right) \xrightarrow{U(1)^{3}}\left(\begin{array}{cc}
c_{12} e^{i\left(\delta_{2}-\delta_{1}\right)} & c_{13} e^{i\left(\delta_{3}-\delta_{1}\right)} \\
c_{12}^{*} e^{-i\left(\delta_{2}-\delta_{1}\right)} & c_{22} \\
c_{13}^{*} e^{-i\left(\delta_{3}-\delta_{1}\right)} & c_{23}^{*} e^{-i\left(\delta_{3}-\delta_{2}\right)}
\end{array} c_{23} e^{i\left(\delta_{3}-\delta_{2}\right)} c_{33}\right)
$$

The off-diagonal elements cannot enter into observables at linear order!

## Non-Interference

## Conservation of individual family lepton numbers

|  | Type of op. | \# of ops | \# real | \# im. | inv. under $U(1)_{L_{i}}-U(1)_{L_{j}}$ \# real \# im. |  | \# CP-odd <br> invariants |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Yukawa | 3 | 27 | 27 | 21 | 21 | 21 |
|  | Dipoles | 8 | 72 | 72 | 60 | 60 | 60 |
|  | current-current | 8 | 51 | 30 | 42 | 21 | 21 |
|  | all bilinears | 19 | 150 | 129 | 123 | (102) | (102) |

Minimal sets can be built explicitly

- not a unique choice -


## Minimal Sets for Fermion Bilinear Operators

\(\left.\begin{array}{l|c|c}Wilson coefficient \& Number of phases \& Minimal set <br>
\hline C_{e} \equiv\left\{\begin{array}{l}C_{e H} <br>
C_{e W} <br>

C_{e B}\end{array}\right. \& 3 \& \left\{L_{0}\left(C_{e} Y_{e}^{\dagger}\right) L_{1}\left(C_{e} Y_{e}^{\dagger}\right) L_{2}\left(C_{e} Y_{e}^{\dagger}\right)\right\}\end{array}\right]\)\begin{tabular}{l}
$C_{u H}$ <br>
$C_{u} \equiv\left\{\begin{array}{l}C_{u G} \\
C_{u W} \\
C_{u B}\end{array}\right.$ <br>

| $C_{d} \equiv\left\{\begin{array}{l}C_{d H} \\ C_{d G} \\ C_{d W} \\ C_{d B}\end{array}\right.$ |
| :--- |
| $\left.\begin{array}{l}L_{0000}\left(C_{u} Y_{u}^{\dagger}\right) L_{1000}\left(C_{u} Y_{u}^{\dagger}\right) L_{0100}\left(C_{u} Y_{u}^{\dagger}\right) \\ L_{1100}\left(C_{u} Y_{u}^{\dagger}\right) L_{0110}\left(C_{u} Y_{u}^{\dagger}\right) L_{2200}\left(C_{u} Y_{u}^{\dagger}\right) \\ L_{0220}\left(C_{u} Y_{u}^{\dagger}\right) L_{1220}\left(C_{u} Y_{u}^{\dagger}\right) L_{0122}\left(C_{u} Y_{u}^{\dagger}\right)\end{array}\right\}$ |
| $C_{H u d}$ | <br>

\hline$C_{H L}^{(1,3)}, C_{H e}$
\end{tabular}

$$
L_{a b c d}(\tilde{C}) \equiv \operatorname{Im} \operatorname{Tr}\left(X_{u}^{a} X_{d}^{b} X_{u}^{c} X_{d}^{d} \tilde{C}\right)
$$

## Minimal vs Maximal Basis

## Transfer matrix of maximal rank: interference with CKM phase

- If $\mathrm{J}_{4}=0$, we can find 102 independent invariants $\Rightarrow$ minimal basis of invariants.


## "CP is conserved iff $\mathrm{J}_{4}$ and the invariants of a minimal basis are all vanishing"

- If $\mathrm{J}_{4} \neq 0$, we can actually build more independent invariants! Not surprising, because CPeven BSM can interfere with CP-odd SM. But what was maybe unexpected is that we can build more than 102 (independent) invariants that are larger than $\mathrm{J}_{4} \rightarrow$ maximal basis of invariants.

$$
\begin{gathered}
\text { dim (maximal basis) }=\text { number of physical (real and imaginary) parameters } \\
\text { that can interfere with SM } \\
\text { and thus can show up in observables at leading } O\left(1 / \Lambda^{2}\right)
\end{gathered}
$$

## Scaling of Collective CPV BSM Effects

## The new invariants don't suffer from the same suppression factors

- The invariants can be evaluated in e.g. the up-flavour basis:
$\bigcirc$

$$
I_{n}=\operatorname{Im} \operatorname{Tr}\left(Y_{t}^{\dagger}\left(Y_{u} Y_{t}^{\prime}\right)^{n} C_{u t f}\right)
$$

$$
\begin{aligned}
& I_{n}=y_{u}^{2 n+1} \eta_{u}+y_{c}^{2 n+1} \eta_{c}+y_{t}^{2 n+1} \eta_{t} \\
& \mathcal{O}\left(\lambda^{16 n+8}\right) \quad \mathcal{O}\left(\lambda^{8 n+4}\right) \quad \mathcal{O}\left(\lambda^{0}\right)
\end{aligned}
$$

© $I_{1,1}=c_{13} c_{23} s_{13} s_{\delta}\left(y_{b}^{2}-c_{12}^{2} y_{d}^{2}-s_{12}^{2} y_{s}^{2}\right) y_{t} \rho_{u t}+\ldots \quad I_{1,1}=\operatorname{Im} \operatorname{Tr}\left(y_{t}^{t}\left(Y_{u} Y_{t}^{t}\right)\left(y_{d} Y_{d}^{\dagger}\right) C_{u t}\right)$

$$
\mathcal{O}\left(\lambda^{3}\right) \quad \mathcal{O}\left(\lambda^{6}\right)
$$



## Scaling of Collective CPV BSM Effects

\# independent invariants at $\mathrm{O}\left(\mathrm{\lambda}^{\mathrm{n}}\right)$ for the quark bilinear operators





## Models of Flavours

## MFV, first

- Other constraints from CP-even observables: totally flavour generic/anarchic dim-6 operators are severely constrained. How additional flavour structure will affect the orders of CPV computed above in the generic case?
- Let's first stick to the canonical flavour "model": Minimal Flavour Violation

$$
c_{u H}=a Y_{u}+b\left(Y_{u} Y_{u}^{\dagger}\right) Y_{u}+c\left(Y_{d} Y_{d}^{\dagger}\right) Y_{u}+\ldots
$$

Generic Flavour
MFV

$$
\begin{aligned}
& \text { Rank } 1 \rightarrow \mathcal{O}\left(\lambda^{0}\right) \\
& \text { Rank } 2 \rightarrow \mathcal{O}\left(\lambda^{4}\right) \\
& \text { Rank } 3 \rightarrow \mathcal{O}\left(\lambda^{8}\right)
\end{aligned}
$$

Rank $1 \rightarrow \mathcal{O}\left(\lambda^{0}\right)$
Rank $2 \rightarrow \mathcal{O}\left(\lambda^{8}\right)$
Rank $3 \rightarrow \mathcal{O}\left(\lambda^{18}\right)$

## CPV Orders in Alignment Models

## Froggatt-Nielsen-type \& U(2)³ Flavour Structure

- Another popular flavour structure is alignment inherited e.g. from $\mathrm{U}(1)_{\text {FN }}$ symmetry
- The $\mathrm{U}(1)$ charges of the quarks will imprint a particular scaling of the dim. 6 WC :

$$
Y u=\left(\begin{array}{ccc}
\lambda^{8} & \lambda^{5} & \lambda^{3} \\
\lambda^{7} & \lambda^{4} & \lambda^{2} \\
\lambda^{5} & \lambda^{2} & 1
\end{array}\right) \quad Y d=\left(\begin{array}{ccc}
\lambda^{7} & \lambda^{6} & \lambda^{6} \\
\lambda^{6} & \lambda^{5} & \lambda^{5} \\
\lambda^{4} & \lambda^{3} & \lambda^{3}
\end{array}\right) \quad C_{u H}=\text { generic }=\left(\begin{array}{ccc}
\lambda^{8} & \lambda^{5} & \lambda^{3} \\
\lambda^{7} & \lambda^{4} & \lambda^{2} \\
\lambda^{5} & \lambda^{2} & 1
\end{array}\right)
$$



## 4-Fermi Operators

## 4F invariants from bilinear invariants

- In the Warsaw basis, Manohar et al. also counted the free-parameters in 4F operators: 1014 phases. As before, not all these phases can show up at leading order when the neutrino masses are taken to vanish: only 597 survive (adding to the 102 bilinear ones and $\mathrm{J}_{4}$ for a total of 700 phases)
e.g.

$$
C_{Q u Q d} \bar{Q} u \bar{Q} d
$$

| $S U(3)_{Q}$ | $S U(3)_{u}$ | $S U(3)_{d}$ |
| :---: | :---: | :---: |
| $1+3+6$ | $\overline{3}$ | $\overline{3}$ |

- One can build two types of 4 F -invariants out of the bilinear invariants:

$$
\begin{gathered}
\text { A-type } \\
\operatorname{Im}(\underbrace{\left.M_{i j}^{u H} M_{k l}^{d H} C_{i j k l}^{Q u Q d}\right)}
\end{gathered}
$$


matrices built out of Yu and Yd that to form bilinear invariants, e.g., $\operatorname{Im} \operatorname{Tr}\left(M^{u H} C_{u H}\right)$
An explicit basis of 597 invariants for the 4F operators can be built (see bonus slides)

## 4-Fermi Operators

## \# independent invariants at $O\left(\lambda^{n}\right)$ for some 4F operators








## Theta QCD

## Can we build new invariants using $\Theta_{Q c D}$ ?

|  | $S U(3)_{Q_{L}}$ | $U(1)_{Q_{L}}$ | $S U(3)_{u_{R}}$ | $U(1)_{u_{R}}$ | $S U(3)_{d_{R}}$ | $U(1)_{d_{R}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $Q_{L}$ | $\mathbf{3}$ | 1 | $\mathbf{1}$ | 0 | $\mathbf{1}$ | 0 |
| $u_{R}$ | $\mathbf{1}$ | 0 | $\mathbf{3}$ | 1 | $\mathbf{1}$ | 0 |
| $d_{R}$ | $\mathbf{1}$ | 0 | $\mathbf{1}$ | 0 | $\mathbf{3}$ | 1 |
| $Y_{u}$ | $\mathbf{3}$ | 1 | $\overline{\mathbf{3}}$ | -1 | $\mathbf{1}$ | 0 |
| $Y_{d}$ | $\mathbf{3}$ | 1 | $\mathbf{1}$ | 0 | $\overline{\mathbf{3}}$ | -1 |
| $e^{i \theta_{Q C D}}$ | $\mathbf{1}$ | 6 | $\mathbf{1}$ | -3 | $\mathbf{1}$ | -3 |

- Given that $\bar{\theta}=\theta-\arg \operatorname{det}\left(Y_{u} Y_{d}\right)$ is a flavour invariant, no new $\mathrm{SM}_{4}$ invariant can be constructed
- In $\mathrm{SM}_{6}$, in principle, new structure can emerge

$$
\operatorname{Im}\left(e^{-i \theta_{Q C D}} \epsilon^{A B C} \epsilon^{a b c} Y_{u, A a} Y_{u, B b} C_{u H, C c} \operatorname{det} Y_{d}\right)
$$

- Probably highly suppressed in the perturbative regime of QCD $\left(e^{-8 \pi^{2} / g_{s}^{2}} \sim \lambda^{37}\right)$
- Relevant at low scale?


## ALP shift-symmetry

## ALP=Goldstone boson $\rightarrow$ shift-symmetry

$$
a \rightarrow a+\epsilon f \quad \mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)+\frac{\partial_{\mu} a}{f} \sum_{\psi \in \mathrm{SM}} \bar{\psi} \bar{c}_{\psi} \gamma^{\mu} \psi+\mathcal{O}\left(\frac{1}{f^{2}}\right)
$$

## ALP shift-symmetry

## ALP=Goldstone boson $\rightarrow$ shift-symmetry

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$$

But shift-symmetry cannot be exact (PQ as approximate symmetry) What are the allowed couplings of an ALP after (soft) breaking of shift-symmetry?

## ALP shift-symmetry

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$$

But shift-symmetry cannot be exact (PQ as approximate symmetry) What are the allowed couplings of an ALP after (soft) breaking of shift-symmetry?

$$
\mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)-\frac{a}{f}\left(\bar{Q}{\underset{\substack{\text { generic matrices } \\ \tilde{Y}_{u} \\ \text { (27 CP-even and } 25 \text { CP-odd couplings) }}}{ } \tilde{Q} u+\overline{Y_{d}} H d+\bar{L} \tilde{Y}_{e}}_{\tilde{S}_{d}} H e+\text { h.c. }\right)
$$

What is the power counting of these new couplings?
What are the conditions to recover a shift-symmetry?

## Conditions for shift-symmetry

## Conditions to enforce ALP shift-symmetry

$$
\begin{gathered}
\mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)+\frac{\partial_{\mu} a}{f} \sum_{\psi \in \mathrm{SM}} \bar{\psi} c_{c_{y} \gamma^{\mu}} \psi+\mathcal{O}\left(\frac{1}{f^{2}}\right)^{\psi \rightarrow e^{-\pi_{0, t}}{ }^{\prime} \psi} \mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)-\frac{a}{f}\left(\bar{Q} \tilde{Y}_{u} \tilde{H} u+\bar{Q} \tilde{Y}_{d} H d+\bar{L} \tilde{Y}_{e} H e+\text { h.c. }\right) \\
\tilde{Y}_{u, d}=i\left(Y_{u, d} c_{u, d}-c_{Q} Y_{u, d}\right), \quad \tilde{Y}_{e}=i\left(Y_{e} c_{e}-c_{L} Y_{e}\right)
\end{gathered}
$$

## Conditions for shift-symmetry

Conditions to enforce ALP shift-symmetry
$\mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)+\frac{\partial_{\mu} a}{f} \sum_{\psi \in \mathrm{SM}} \bar{\psi} c_{\psi} \gamma^{\mu} \psi+\mathcal{O}\left(\frac{1}{f^{2}}\right)^{\psi \rightarrow e^{-i \epsilon_{\psi} \alpha a / f} \psi} \quad \mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)-\frac{a}{f}\left(\bar{Q} \tilde{Y}_{u} \tilde{H} u+\bar{Q} \tilde{Y}_{d} H d+\bar{L} \tilde{Y}_{e} H e+\right.$ h.c. $)$

$$
\tilde{Y}_{u, d}=i\left(Y_{u, d} c_{u, d}-c_{Q} Y_{u, d}\right), \quad \tilde{Y}_{e}=i\left(Y_{e} c_{e}-c_{L} Y_{e}\right)
$$

Numbers of physical parameters

$U(1)_{B}$ and $U(1)_{\text {Li }}$ conserved currents
$\partial_{\mu} a J^{\mu}$ added to Lagrangian
3*6-1=17
2*6-3=9

## Conditions for shift-symmetry

Conditions to enforce ALP shift-symmetry

$$
\begin{gathered}
\mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)+\frac{\partial_{\mu} a}{f} \sum_{\psi \in \mathrm{SM}} \bar{\psi} c_{\psi} \gamma^{\mu} \psi+\mathcal{O}\left(\frac{1}{f^{2}}\right) \stackrel{\mathcal{L}=\mathcal{L}_{\mathrm{SM}}+\frac{1}{2}\left(\partial_{\mu} a\right)\left(\partial^{\mu} a\right)-\frac{a}{f}\left(\bar{Q} \tilde{Y}_{u} \tilde{H}_{u} u+\bar{Q} \tilde{Y}_{d} H d+\bar{L} \tilde{Y}_{e} H e+\mathrm{h} . c .\right)}{\tilde{Y}_{u, d}=i\left(Y_{u, d} c_{u, d}-c_{Q} Y_{u, d}\right), \quad \tilde{Y}_{e}=i\left(Y_{e} c_{e}-c_{L} Y_{e}\right)}
\end{gathered}
$$

Numbers of physical parameters


## Flavour invariant conditions for shift-symmetry

The conditions for shift-symmetry can be written in an invariant way

- Lepton sector

$$
X_{x}=Y_{x} Y_{x}^{\dagger}
$$

$$
\operatorname{Re} \operatorname{Tr}\left(X_{e}^{0,1,2} \tilde{Y}_{e} Y_{e}^{\dagger}\right)=0 \quad 3 \text { invariants }
$$

- Quark sector

$$
\begin{aligned}
& I_{u}^{(1)}=\operatorname{Re} \operatorname{Tr}\left(\tilde{Y}_{u} Y_{u}^{\dagger}\right), \quad I_{u}^{(2)}=\operatorname{Re} \operatorname{Tr}\left(X_{u} \tilde{Y}_{u} Y_{u}^{\dagger}\right), \quad I_{u}^{(3)}=\operatorname{Re} \operatorname{Tr}\left(X_{u}^{2} \tilde{Y}_{u} Y_{u}^{\dagger}\right), \\
& I_{d}^{(1)}=\operatorname{Re} \operatorname{Tr}\left(\tilde{Y}_{d} Y_{d}^{\dagger}\right), \quad I_{d}^{(2)}=\operatorname{Re} \operatorname{Tr}\left(X_{d} \tilde{Y}_{d} Y_{d}^{\dagger}\right), \quad I_{d}^{(3)}=\operatorname{Re} \operatorname{Tr}\left(X_{d}^{2} \tilde{Y}_{d} Y_{d}^{\dagger}\right), \\
& I_{u d}^{(1)}=\operatorname{Re} \operatorname{Tr}\left(X_{d} \tilde{Y}_{u} Y_{u}^{\dagger}+X_{u} \tilde{Y}_{d} Y_{d}^{\dagger}\right), \\
& I_{u d, u}^{(2)}=\operatorname{Re} \operatorname{Tr}\left(X_{u}^{2} \tilde{Y}_{d} Y_{d}^{\dagger}+\left\{X_{u}, X_{d}\right\} \tilde{Y}_{u} Y_{u}^{\dagger}\right) \text {, } \\
& I_{u d, d}^{(2)}=\operatorname{Re} \operatorname{Tr}\left(X_{d}^{2} \tilde{Y}_{u} Y_{u}^{\dagger}+\left\{X_{u}, X_{d}\right\} \tilde{Y}_{d} Y_{d}^{\dagger}\right) \text {, } \\
& I_{u d}^{(3)}=\operatorname{Re} \operatorname{Tr}\left(X_{d} X_{u} X_{d} \tilde{Y}_{u} Y_{u}^{\dagger}+X_{u} X_{d} X_{u} \tilde{Y}_{d} Y_{d}^{\dagger}\right) \\
& I_{u d}^{(4)}=\operatorname{Im} \operatorname{Tr}\left(\left[X_{u}, X_{d}\right]^{2}\left(\left[X_{d}, \tilde{Y}_{u} Y_{u}^{\dagger}\right]-\left[X_{u}, \tilde{Y}_{d} Y_{d}^{\dagger}\right]\right)\right)
\end{aligned}
$$

4 entangled conditions
between up and down sectors
$\Rightarrow$ collective nature
one algebraic relation $\Rightarrow$ only 10 independent invariants
13 flavour invariants all linear in $\widetilde{Y}$ (CP ensure that all but lud vanish)

## RG invariance

## The set of invariants is closed under RG

$$
\begin{aligned}
& \dot{I}_{e}^{(1)}=2 \gamma_{e} I_{e}^{(1)}+6 I_{e}^{(2)}+2 \operatorname{Tr}\left(X_{e}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& \left.i_{e}^{(2)}\right) 4 \gamma_{e} I_{e}^{(2)}+9 I_{e}^{(3)}+2 \operatorname{Tr}\left(X _ { e } ^ { 2 } \left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right),\right.\right. \\
& i_{e}^{(3)}=6 \gamma_{e} I_{e}^{(3)}+12 I_{e}^{(4)}+2 \operatorname{Tr}\left(X_{e}^{3}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right) \\
& I_{u}^{(1)}=2 \gamma_{u} I_{u}^{(1)}+6 I_{u}^{(2)}-3 I_{u d}^{(1)}-2 \operatorname{Tr}\left(X_{u}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{u}^{(2)}=4 \gamma_{u} I_{u}^{(2)}+9 I_{u}^{(3)}-3 I_{d u, u}^{(2)}-2 \operatorname{Tr}\left(X_{u}^{2}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{u}^{(3)}=6 \gamma_{u} I_{u}^{(3)}+12 I_{u}^{(4)}-3 I_{u}^{\prime}-2 \operatorname{Tr}\left(X_{u}^{3}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{d}^{(1)}=2 \gamma_{d} I_{d}^{(1)}+6 I_{d}^{(2)}-3(1)+2 \operatorname{Tr}\left(X_{d}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{d}^{(2)}=4 \gamma_{d} I_{d}^{(2)}+9 I_{d}^{(3)}-3 I_{u d, d}^{(2)}+2 \operatorname{Tr}\left(X_{d}^{2}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{d}^{(3)}=6 \gamma_{d} I_{d}^{(3)}+12 I_{I}^{(4)}-3 I_{d}^{\prime}+2 \operatorname{Tr}\left(X_{d}^{3}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{u d}^{(1)}=2\left(\gamma_{u}+\gamma_{d}\right) I_{u d}^{(1)}, \\
& I_{u d, u}^{(2)}=\left(4 \gamma_{u}+2 \gamma_{d}\right) I_{u d u}^{(2)}+3 I_{u}^{\prime}-6 I_{u d}^{(3)}-2 \operatorname{Tr}\left(X_{u} X_{d} X_{u}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{u d, d}^{(2)}=\left(4 \gamma_{d}+2 \gamma_{u}\right) I_{u d d}^{(2)}+3 I_{d}^{\prime}-6 I_{u d}^{(3)}+2 \operatorname{Tr}\left(X_{d} X_{u} X_{d}\right)\left(I_{e}^{(1)}+3\left(I_{d}^{(1)}-I_{u}^{(1)}\right)\right), \\
& I_{u d}^{(3)}=4\left(\gamma_{u}+\gamma_{d}\right) I_{u d}^{(3)}, \\
& I_{u d}^{(4)}=6\left(\gamma_{u}+\gamma_{d}+\frac{1}{2} \operatorname{Tr}\left(X_{u}+X_{d}\right)\right) I_{u d}^{(4)}-\operatorname{Im} \operatorname{Tr}\left(\left[X_{u}, X_{d}\right]^{3}\right)\left(I_{u}^{(1)}+I_{d}^{(1)}\right) .
\end{aligned}
$$

$$
\begin{aligned}
\gamma_{e} & =-\frac{15}{4} g_{1}^{2}-\frac{9}{4} g_{2}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right) \\
\gamma_{u} & \equiv-\frac{17}{12} g_{1}^{2}-\frac{9}{4} g_{2}^{2}-8 g_{3}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right) \\
\gamma_{d} & \equiv-\frac{5}{12} g_{1}^{2}-\frac{9}{4} g_{2}^{2}-8 g_{3}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right)
\end{aligned}
$$

## RG invariance

## The set of invariants is closed under RG

```
i}\mp@subsup{i}{e}{(1)}=2\mp@subsup{\gamma}{e}{}\mp@subsup{I}{e}{(1)}+6\mp@subsup{I}{e}{(2)}+2\operatorname{Tr}(\mp@subsup{X}{e}{})(\mp@subsup{I}{e}{(1)}+3(I\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)}))
i}\mp@subsup{i}{e}{(2)}=4\mp@subsup{V}{e}{\prime}\mp@subsup{I}{e}{(2)}+9\mp@subsup{I}{e}{(3)}+2\operatorname{Tr}(\mp@subsup{X}{e}{2})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)}))
i}\mp@subsup{i}{e}{(3)}=6\mp@subsup{\gamma}{e}{}\mp@subsup{I}{e}{(3)}+12I\mp@subsup{I}{e}{(4)}+2\operatorname{Tr}(\mp@subsup{X}{e}{3})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)})
I
i
I
i
I
i
\mp@subsup{I}{ud}{(1)}=2(\mp@subsup{\gamma}{u}{}+\mp@subsup{\gamma}{d}{})\mp@subsup{I}{ud}{(1)},
\mp@subsup{I}{ud,u}{(2)}=(4\mp@subsup{\gamma}{u}{}+2\mp@subsup{\gamma}{d}{})\mp@subsup{I}{ud,u}{(2)}+3\mp@subsup{I}{u}{\prime}-6\mp@subsup{I}{ud}{(3)}-2\operatorname{Tr}(\mp@subsup{X}{u}{}\mp@subsup{X}{d}{}\mp@subsup{X}{u}{})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)})),
I
\mp@subsup{\dot{u}}{ud}{(3)}=4(\mp@subsup{\gamma}{u}{}+\mp@subsup{\gamma}{d}{})I|d
i}\mp@subsup{\dot{I}}{ud}{(4)}=6(\mp@subsup{\gamma}{u}{}+\mp@subsup{\gamma}{d}{}+\frac{1}{2}\operatorname{Tr}(\mp@subsup{X}{u}{}+\mp@subsup{X}{d}{}))\mp@subsup{I}{ud}{(4)}-\operatorname{Im}\operatorname{Tr}([\mp@subsup{X}{u}{},\mp@subsup{X}{d}{}\mp@subsup{]}{}{3})(\mp@subsup{I}{u}{(1)}+\mp@subsup{I}{d}{(1)})
```

$$
\begin{aligned}
\gamma_{e} & =-\frac{15}{4} g_{1}^{2}-\frac{9}{4} g_{2}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right) \\
\gamma_{u} & \equiv-\frac{17}{12} g_{1}^{2}-\frac{9}{4} g_{2}^{2}-8 g_{3}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right) \\
\gamma_{d} & \equiv-\frac{5}{12} g_{1}^{2}-\frac{9}{4} g_{2}^{2}-8 g_{3}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right)
\end{aligned}
$$

closed set except for:

$$
\begin{aligned}
& I_{e}^{(4)}=\operatorname{Re} \operatorname{Tr}\left(X_{e}^{3} \tilde{Y}_{e} Y_{e}^{\dagger}\right) \\
& I_{u}^{\prime}=\operatorname{Re} \operatorname{Tr}\left(\left(X_{u} X_{d} X_{u}+\left\{X_{d}, X_{u}^{2}\right\}\right) \tilde{Y}_{u} Y_{u}^{\dagger}+X_{u}^{3} \tilde{Y}_{d} Y_{d}^{\dagger}\right) \\
& I_{d}^{\prime}=I_{u}^{\prime}(u \leftrightarrow d)
\end{aligned}
$$

## RG invariance

## The set of invariants is closed under RG

```
\mp@subsup{\dot{I}}{e}{(1)}=2\mp@subsup{\gamma}{e}{\prime}\mp@subsup{I}{e}{(1)}+6\mp@subsup{I}{e}{(2)}+2\operatorname{Tr}(\mp@subsup{X}{e}{})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)})),
\mp@subsup{\dot{I}}{e}{(2)}=4\mp@subsup{\gamma}{e}{\prime}\mp@subsup{I}{e}{(2)}+9\mp@subsup{I}{e}{(3)}+2\operatorname{Tr}(\mp@subsup{X}{e}{2})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)})),
\mp@subsup{\dot{I}}{e}{(3)}=6\mp@subsup{\gamma}{e}{\prime}\mp@subsup{I}{e}{(3)}+12I\mp@subsup{I}{e}{(4)}+2\operatorname{Tr}(\mp@subsup{X}{e}{3})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)}))
I}\mp@subsup{u}{}{(1)}=2\mp@subsup{\gamma}{u}{}\mp@subsup{I}{u}{(1)}+6\mp@subsup{I}{u}{(2)}-3\mp@subsup{I}{ud}{(1)}-2\operatorname{Tr}(\mp@subsup{X}{u}{})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)}))
\mp@subsup{I}{u}{(2)}=4\mp@subsup{\gamma}{u}{}\mp@subsup{I}{u}{(2)}+9\mp@subsup{I}{u}{(3)}-3\mp@subsup{I}{ud,u}{(2)}-2\operatorname{Tr}(\mp@subsup{X}{u}{2})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)})),
I
\mp@subsup{\dot{I}}{d}{(1)}=2\mp@subsup{\gamma}{d}{}\mp@subsup{I}{d}{(1)}+6\mp@subsup{I}{d}{(2)}-\mp@subsup{3}{ud}{(1)}+2\operatorname{Tr}(\mp@subsup{X}{d}{})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)})),
\mp@subsup{I}{d}{(2)}=4\mp@subsup{\gamma}{d}{}\mp@subsup{I}{d}{(2)}+9I\mp@subsup{I}{d}{(3)}-3\mp@subsup{I}{ud,d}{(2)}+2\operatorname{Tr}(\mp@subsup{X}{d}{2})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)})),
\mp@subsup{\dot{I}}{d}{(3)}=6\mp@subsup{\gamma}{d}{}\mp@subsup{I}{d}{(3)}+12\mp@subsup{I}{d}{(4)}-3\mp@subsup{I}{d}{\prime}}+2\operatorname{Tr}(\mp@subsup{X}{d}{3})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)}))
\mp@subsup{\dot{I}}{ud}{(1)}=2(\mp@subsup{\gamma}{u}{}+\mp@subsup{\gamma}{d}{})\mp@subsup{I}{ud}{(1)},
\mp@subsup{I}{ud,u}{(2)}=(4\mp@subsup{\gamma}{u}{}+2\mp@subsup{\gamma}{d}{})I|ud,u}(2)+3\mp@subsup{I}{u}{\prime}-6\mp@subsup{I}{ud}{(3)}-2\operatorname{Tr}(\mp@subsup{X}{u}{}\mp@subsup{X}{d}{}\mp@subsup{X}{u}{})(\mp@subsup{I}{e}{(1)}+3(\mp@subsup{I}{d}{(1)}-\mp@subsup{I}{u}{(1)}))
I
\mp@subsup{\dot{I}}{ud}{(3)}=4(\mp@subsup{\gamma}{u}{}+\mp@subsup{\gamma}{d}{})\mp@subsup{I}{ud}{(3)},
\mp@subsup{I}{ud}{(4)}=6(\mp@subsup{\gamma}{u}{}+\mp@subsup{\gamma}{d}{}+\frac{1}{2}\operatorname{Tr}(\mp@subsup{X}{u}{}+\mp@subsup{X}{d}{}))\mp@subsup{I}{ud}{(4)}-\operatorname{Im}\operatorname{Tr}([\mp@subsup{X}{u}{},\mp@subsup{X}{d}{}\mp@subsup{]}{}{3})(\mp@subsup{I}{u}{(1)}+\mp@subsup{I}{d}{(1)}).
```

$$
\begin{aligned}
\gamma_{e} & =-\frac{15}{4} g_{1}^{2}-\frac{9}{4} g_{2}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right) \\
\gamma_{u} & \equiv-\frac{17}{12} g_{1}^{2}-\frac{9}{4} g_{2}^{2}-8 g_{3}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right) \\
\gamma_{d} & \equiv-\frac{5}{12} g_{1}^{2}-\frac{9}{4} g_{2}^{2}-8 g_{3}^{2}+\operatorname{Tr}\left(X_{e}+3\left(X_{u}+X_{d}\right)\right)
\end{aligned}
$$

closed set except for:

$$
\begin{aligned}
& I_{e}^{(4)}=\operatorname{Re} \operatorname{Tr}\left(X_{e}^{3} \tilde{Y}_{e} Y_{e}^{\dagger}\right) \\
& I_{u}^{\prime}=\operatorname{Re} \operatorname{Tr}\left(\left(X_{u} X_{d} X_{u}+\left\{X_{d}, X_{u}^{2}\right\}\right) \tilde{Y}_{u} Y_{u}^{\dagger}+X_{u}^{3} \tilde{Y}_{d} Y_{d}^{\dagger}\right) \\
& I_{d}^{\prime}=I_{u}^{\prime}(u \leftrightarrow d)
\end{aligned}
$$

but Caley-Hamilton eq. tells us that these 3 invariants are actually linear combinations of the our original set
shift-invariance conditions are closed under RG

## Non-pertubative condition

$\theta_{\text {qcd }}$ again

$$
-\frac{C_{g} g_{3}^{2}}{16 \pi^{2}} \frac{a}{f} \operatorname{Tr}\left(G_{\mu \nu} \tilde{G}^{\mu \nu}\right)
$$

breaks shift-invariance non-perturbatively (instanton effects) (in the operator basis where fermion couplings are derivative)

## Non-pertubative condition <br> $\theta_{\text {qcd }}$ again

$$
-\frac{C_{g} g_{3}^{2}}{16 \pi^{2}} \frac{a}{f} \operatorname{Tr}\left(G_{\mu \nu} \tilde{G}^{\mu \nu}\right) \quad \begin{aligned}
& \text { breaks shift-invariance non-perturbatively (instanton effects) } \\
& \text { (in the operator basis where fermion couplings are derivative) }
\end{aligned}
$$

$$
I_{g} \equiv C_{g}+\operatorname{Im} \operatorname{Tr}\left(Y_{u}^{-1} \tilde{Y}_{u}+Y_{d}^{-1} \tilde{Y}_{d}\right)=0
$$

is the basis independent condition for the shift-invariance to be maintained at the non-perturbative level

## Non-pertubative condition <br> $\theta_{\text {qcd }}$ again

$$
-\frac{C_{g} g_{3}^{2}}{16 \pi^{2}} \frac{a}{f} \operatorname{Tr}\left(G_{\mu \nu} \tilde{G}^{\mu \nu}\right) \quad \begin{aligned}
& \text { breaks shift-invariance non-perturbatively (instanton effects) } \\
& \text { (in the operator basis where fermion couplings are derivative) }
\end{aligned}
$$

$$
I_{g} \equiv C_{g}+\operatorname{Im} \operatorname{Tr}\left(Y_{u}^{-1} \tilde{Y}_{u}+Y_{d}^{-1} \tilde{Y}_{d}\right)=0
$$

is the basis independent condition for the shift-invariance to be maintained at the non-perturbative level

It can be shown again that this condition is RG invariant

$$
\mu \frac{d I_{g}}{d \mu}=0 \quad \text { whenever shift-symmetry holds }\left(l_{g}=l_{i}=0 \text { for } \mathrm{i}=1 \ldots 13\right)
$$

## Conclusions

EDM constraints don't exclude all sources of CPV

- CPV is a collective effect.
- CP is not an accidental symmetry but CPV is accidentally small in $\mathrm{SM}_{4}$.
- Many new possible sources of CPV at dim-6 level.
- Shift-symmetry of an ALP reduces to Jarlskog-like invariant conditions
- ALP shift-symmetry is surprisingly closed connected to CP-symmetry

We now have a proper map to explore BSM effects systematically

## Conclusions

## EDM constraints don't exclude all sources of CPV

- CPV is a collective effect.
- CP is not an accidental symmetry but CPV is accidentally small in $\mathrm{SM}_{4}$.
- Many new possible sources of CPV at dim-6 level.
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We now have a proper map to explore BSM effects systematically

## BONUS

## Minimal Set

\# parameters for the different types of operators

|  | Type of op. | \# of ops | \# real | \# im. | $\begin{gathered} \text { inv. under } U(1)_{L_{i}}-U(1)_{L_{j}} \\ \text { \# real } \quad \# \mathrm{im} . \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Yukawa | 3 | 27 | 27 | 21 | 21 |
|  | Dipoles | 8 | 72 | 72 | 60 | 60 |
|  | current-current | 8 | 51 | 30 | 42 | 21 |
|  | all bilinears | 19 | 150 | 129 | 123 | 102 |
| $\begin{gathered} \overrightarrow{0} \\ \text { I } \\ \text { H } \\ 4 \end{gathered}$ | LLLL | 5 | 171 | 126 | 99 | 54 |
|  | RRRR | 7 | 255 | 195 | 186 | 126 |
|  | LLRR | 8 | 360 | 288 | 246 | 174 |
|  | LRRL | 1 | 81 | 81 | 27 | 27 |
|  | LRLR | 4 | 324 | 324 | 216 | 216 |
|  | all 4-Fermi | 25 | 1191 | 1014 | 774 | 597 |
| all |  |  | 1341 | 1143 | 897 | 699 |

\# primary sources of CPV


## CPV for Degenerate Spectrum

- As noticed already in $\mathrm{SM}_{4}$, degenerate spectra (equal mass, zero or maximal mixing angle) have different CPV counting than generic case

|  | Parameter values | Flavor symmetries of the $\mathrm{SM}_{4}$ Lagrangian |
| :---: | :---: | :---: |
| $\begin{aligned} & m_{u} \neq m_{c} \neq m_{t} \\ & m_{d} \neq m_{s} \neq m_{b} \end{aligned}$ | Generic $V_{\text {CKM }}$ | $U(1)_{B}$ |
|  | $\begin{aligned} & \left\|V_{\mathrm{CKM}, i_{0} j_{0}}\right\|=1, V_{\mathrm{CKM}, i_{0}}=V_{\mathrm{CKM}, i_{0} j}=0 \\ & i \neq i_{0}, j \neq j_{0} \end{aligned}$ | $U(1)^{2}$ |
|  | $\begin{aligned} & \left\|V_{\mathrm{CKM}, i_{1} j_{1}}\right\|=\left\|V_{\mathrm{CKM}, i_{2} j_{2}}\right\|=\left\|V_{\mathrm{CKM}, i_{3} j_{3}}\right\|=1 \quad \text { for } \begin{array}{l} i_{1} \neq i_{2} \neq i_{3} \\ j_{1} \neq j_{2} \neq j_{3} \end{array} \\ & V_{\mathrm{CKM}, i_{j}=0 \text { elsewhere }} \end{aligned}$ | $U(1)^{3}$ |
| $\begin{aligned} & m_{u} \neq m_{c}=m_{t} \\ & m_{d} \neq m_{s} \neq m_{b} \end{aligned}$ | Generic $V_{\text {CKM }}$ (see Eq. (4.16)) | $U(1)_{B}$ |
|  | $\begin{aligned} & \left\|V_{\mathrm{CKM}, i_{0} j_{0}}\right\|=1, V_{\mathrm{CKM}, i_{0}}=V_{\mathrm{CKM}, i_{0} j}=0 \\ & i \neq i_{0}, j \neq j_{0} \end{aligned}$ | $U(1)^{2}$ |
|  | $\begin{aligned} & \left\|V_{\mathrm{CKM}, i_{1} j_{1}}\right\|=\left\|V_{\mathrm{CKM}, i_{2} j_{2}}\right\|=\left\|V_{\mathrm{CKM}, i_{3} j_{3}}\right\|=1 \text { for } \begin{array}{l} i_{1} \neq i_{2} \neq i_{3} \\ j_{1} \neq j_{2} \neq j_{3} \end{array} \\ & V_{\mathrm{CKM}, i_{j}=0 \text { elsewhere }} \end{aligned}$ | $U(1)^{3}$ |
| $\begin{aligned} & m_{u} \neq m_{c} \neq m_{t} \\ & m_{d}=m_{s} \neq m_{b} \end{aligned}$ | Same as the previous case with $V_{\text {CKM }} \leftrightarrow V_{\text {CKM }}^{\dagger}$ |  |
| $\begin{aligned} & m_{u} \neq m_{c}=m_{t} \\ & m_{d}=m_{s} \neq m_{b} \end{aligned}$ | Generic $V_{\text {CKM }}$ | $U(1)^{2}$ |
|  | $\begin{aligned} & \left\|V_{\mathrm{CKM}, 11}\right\|=\left\|V_{\mathrm{CKM}, 22}\right\|=\left\|V_{\mathrm{CKM}, 33}\right\|=1 \\ & V_{\mathrm{CKM}, i j}=0 \text { elsewhere } \end{aligned}$ | $U(1)^{3}$ |
|  | $\begin{aligned} & \left\|V_{\mathrm{CKM}, 13}\right\|=\left\|V_{\mathrm{CKM}, 22}\right\|=\left\|V_{\mathrm{CKM}, 31}\right\|=1 \\ & V_{\mathrm{CKM}, i j}=0 \text { elsewhere } \end{aligned}$ | $U(2) \times U(1)$ |
| $m_{u}=m_{c}=m_{t}$ | $m_{d} \neq m_{s} \neq m_{b}$ | $U(1)^{3}$ |
|  | $m_{d}=m_{s} \neq m_{b}$ | $U(2) \times U(1)$ |
|  | $m_{d}=m_{s}=m_{b}$ | $U(3)$ |
| $m_{d}=m_{s}=m_{b}$ | $m_{u} \neq m_{c} \neq m_{t}$ | $U(1)^{3}$ |
|  | $m_{u} \neq m_{c}=m_{t}$ | $U(2) \times U(1)$ |
|  | $m_{u}=m_{c}=m_{t}$ | $U(3)$ |


maximal rank of transfer matrix for different flavour symmetries of the Yukawa matrices

## Minimal Sets for 4-Fermi Operators

| Wilson coefficient | Number of phases | Minimal set |
| :---: | :---: | :---: |
| $C_{L L}, C_{e e}$ | 0 | $\varnothing$ |
| $C_{\text {Le }}$ | 3 | $\left\{B_{0}^{0}\left(C_{L L E \bar{e}}\right) B_{0}^{1}\left(C_{L L E \bar{e}}\right) B_{0}^{2}\left(C_{L L E \bar{e}}\right)\right\}$ |
| $C_{Q e}$ |  | $\left\{\begin{array}{ll} A_{0}^{1100}\left(C_{Q Q e e}\right) & A_{1}^{1100}\left(C_{Q Q e e}\right) \end{array} A_{2}^{1100}\left(C_{Q Q e e}\right)\right.$ |
| $C_{\text {ed }}$ |  | Same with $C_{Q Q e e} \rightarrow C_{\text {eeĩd }}$ (exchanging upper with lower indices and with $\left.Y_{e} \leftrightarrow Y_{e}^{\dagger}\right)$ |
| $C_{\text {eu }}$ | 9 | Same with $C_{Q Q e e} \rightarrow C_{\text {eeĩü }}$ (exchanging upper with lower indices and with $\left.Y_{e} \leftrightarrow Y_{e}^{\dagger}\right)$ |
| $C_{L Q}^{(1,3)}$ |  |  |
| $C_{L d}$ |  | Same with $C_{L Q}^{(1,3)} \rightarrow C_{L L \bar{d} \bar{d}}$ |
| $C_{L u}$ |  | $\text { Same with } C_{L Q}^{(1,3)} \rightarrow C_{L L \bar{u} \bar{u}}$ |
| $C_{L e q u}^{(1,3)}$ | 27 |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  | $\left\{\begin{array}{lll}A_{0110}^{0}\left(C_{L e Q u ̄}^{u}\right) & A_{0110}^{1}\left(C_{L e \bar{Q}}\right) & A_{0110}^{2}\left(C_{L e Q u ̄}^{u}\right.\end{array}\right\}$ |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
| $C_{\text {Leda }}$ |  | Same with $C_{L e \bar{Q} \tilde{u}} \rightarrow C_{\text {Leĩd }}$ and $A_{\text {bcde }}^{a} \rightarrow A_{\text {ecdb }}^{a}$ |


| Wilson coefficient | Number of phases | Minimal set |
| :---: | :---: | :---: |
| $C_{Q Q}^{(1,3)}$ | 18 |  |
| $C_{u u}$ | 18 |  |
| $C_{d d}$ | 18 |  |
| $C_{Q u}^{(1,8)}$ | 36 |  |
| $C_{Q d}^{(1,8)}$ | 36 |  |


| Wilson coefficient | Number of phases | Minimal set |
| :---: | :---: | :---: |
| $C_{u d}^{(1,8)}$ | 36 |  |
| $C_{Q u Q d}^{(1,8)}$ | 81 |  |

## 4-Fermi Operators <br> Minimal and maximal bases

- As for the bilinears, one can construct a minimal basis of invariants:
"CP is conserved iff $\mathrm{J}_{4}$ and the invariants of a minimal basis are all vanishing"
- The dimension of the minimal basis is always equal to the number of physical phases associated to an operator: QQQQ $\rightarrow 18$, QuQd $\rightarrow 81$, LLuu $\rightarrow 36 / 9$ (w/wo neutrino masses)
- But the real coefficients also contribute to CPV: the dimension of the maximal basis is equal to the total number of parameters associated to an operator: QQQQ $\rightarrow 45$, QuQd $\rightarrow$ 162, LLuu $\rightarrow 81 / 27$ (w/wo neutrino masses) ...

