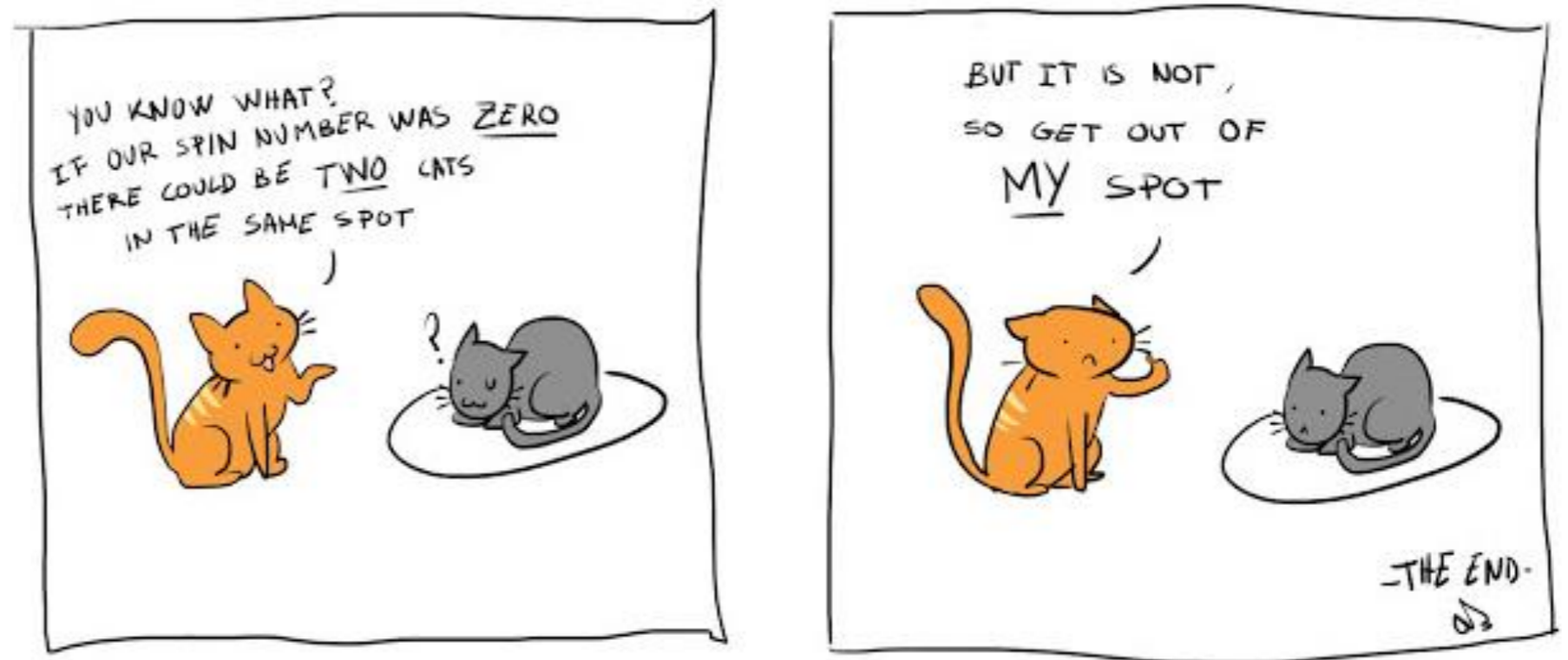


Dark Matter scattering in low threshold detectors

Simon Knapen

LBNL



<http://dingercatadventures.blogspot.com/2012/08/>

SK, J. Kozaczuk, T. Lin: arXiv [2104.12786](https://arxiv.org/abs/2104.12786), 2101.08275, [2011.09496](https://arxiv.org/abs/2011.09496)

B. Campbell-Deem, SK, T. Lin, E. Villarama: [2205.02250](https://arxiv.org/abs/2205.02250)

Light Dark matter direct detection

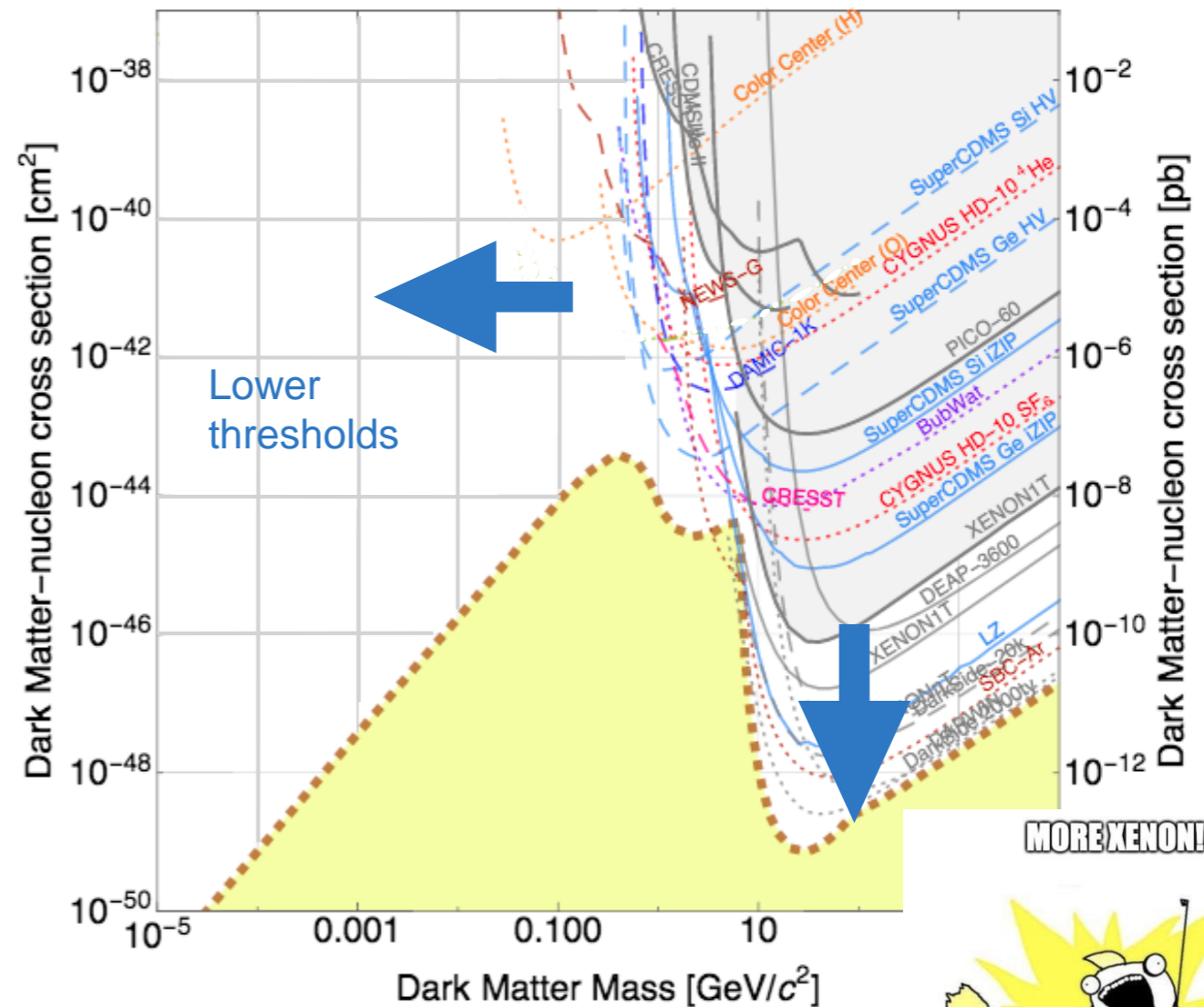
What do we need?

Experiment:

1. Ultra-low threshold calorimeters
2. Single electron detectors

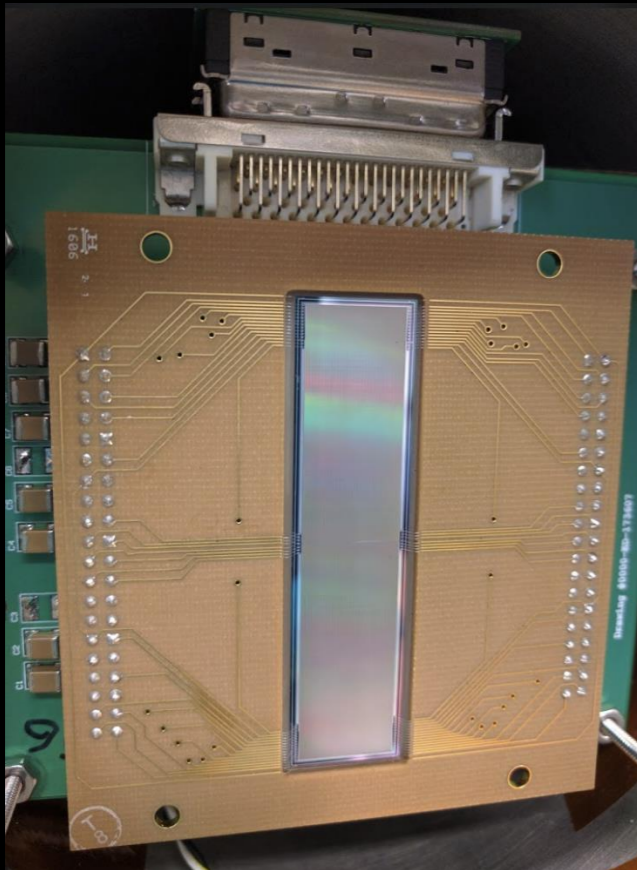
Theory:

1. Models
(constraints are complicated)
2. Rate calculations
(Collective effects important)
3. Background predictions

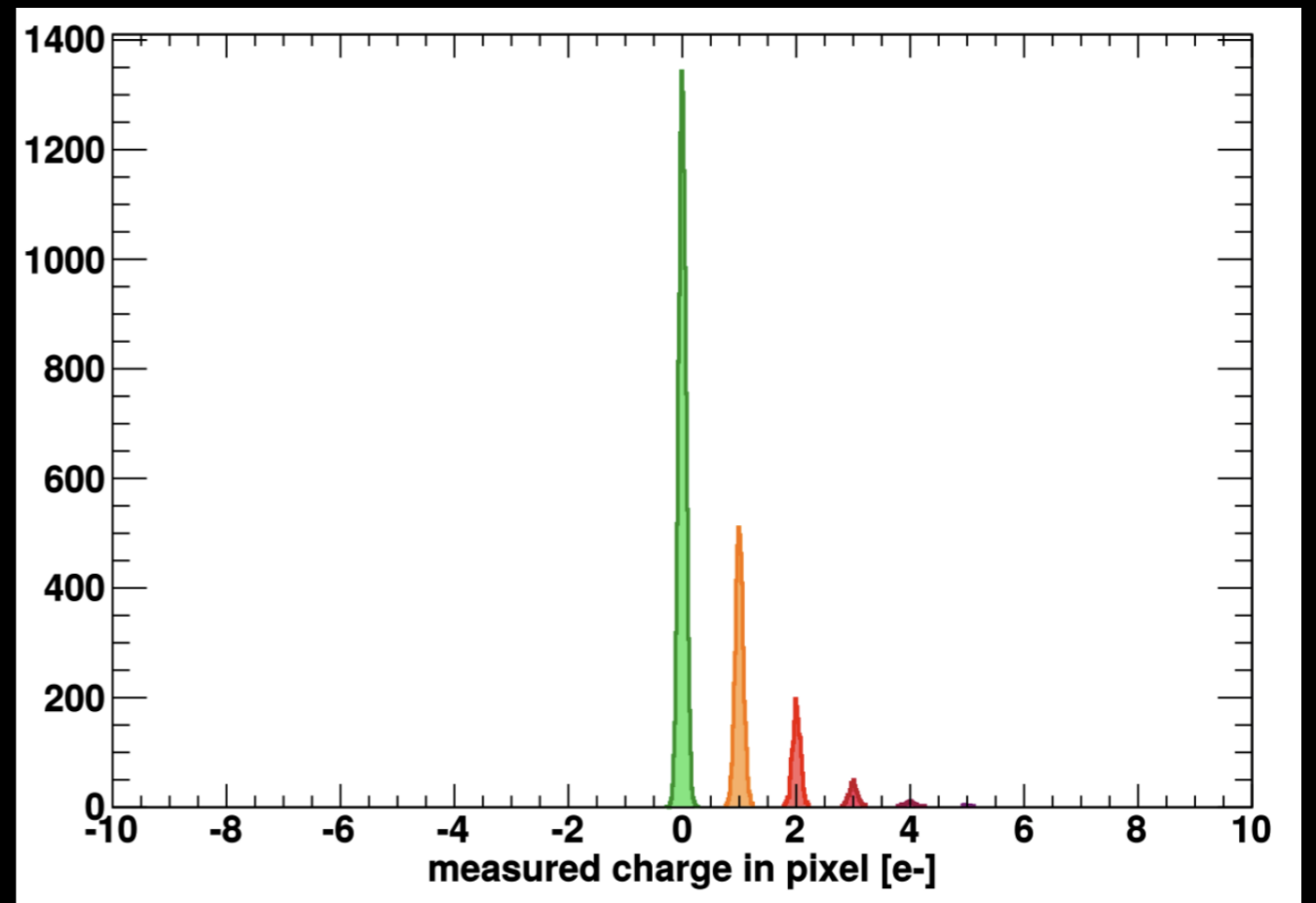


Electron detectors

Skipper CCD



Exquisite charge resolution



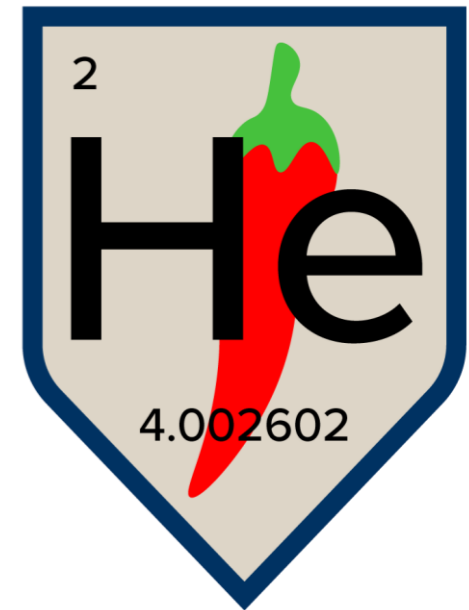
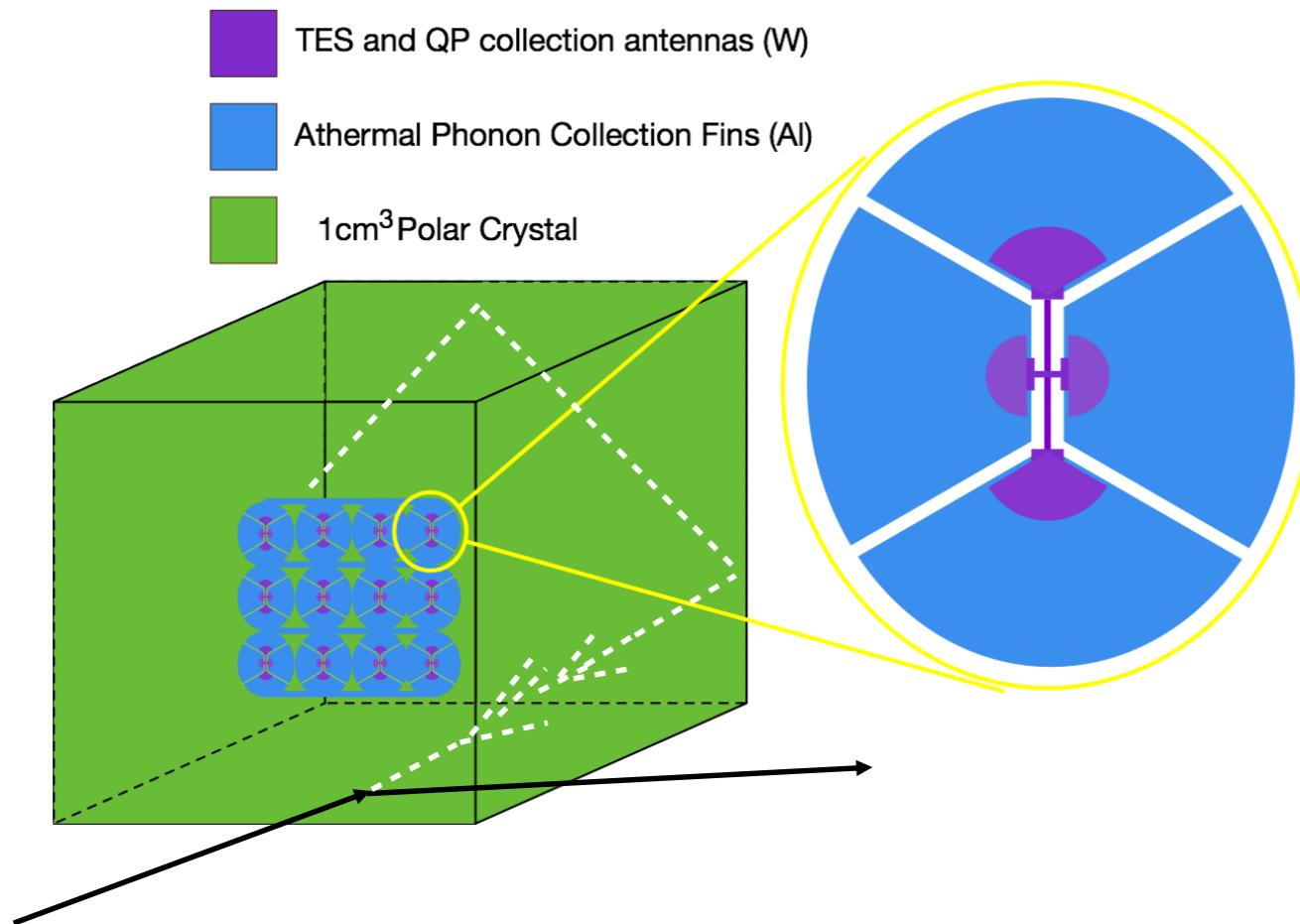
Sensitivity to single e^- excitations has already been demonstrated

SENSEI already has 50g-day exposure in shallow underground site

See also DAMIC, superCDMS

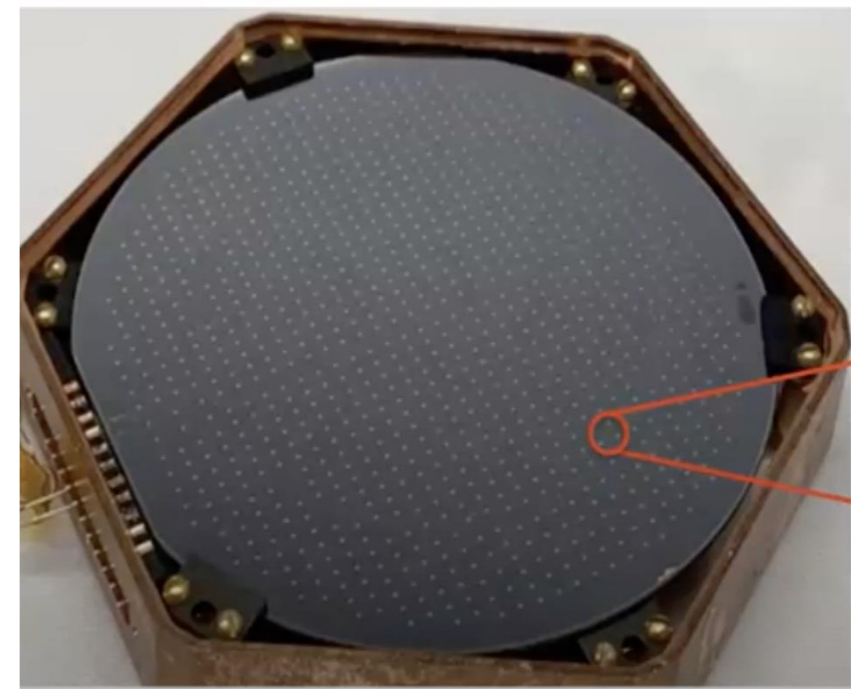
Phonon Detectors

SPICE conceptual design



SPICE / HeRALD

4 eV energy resolution



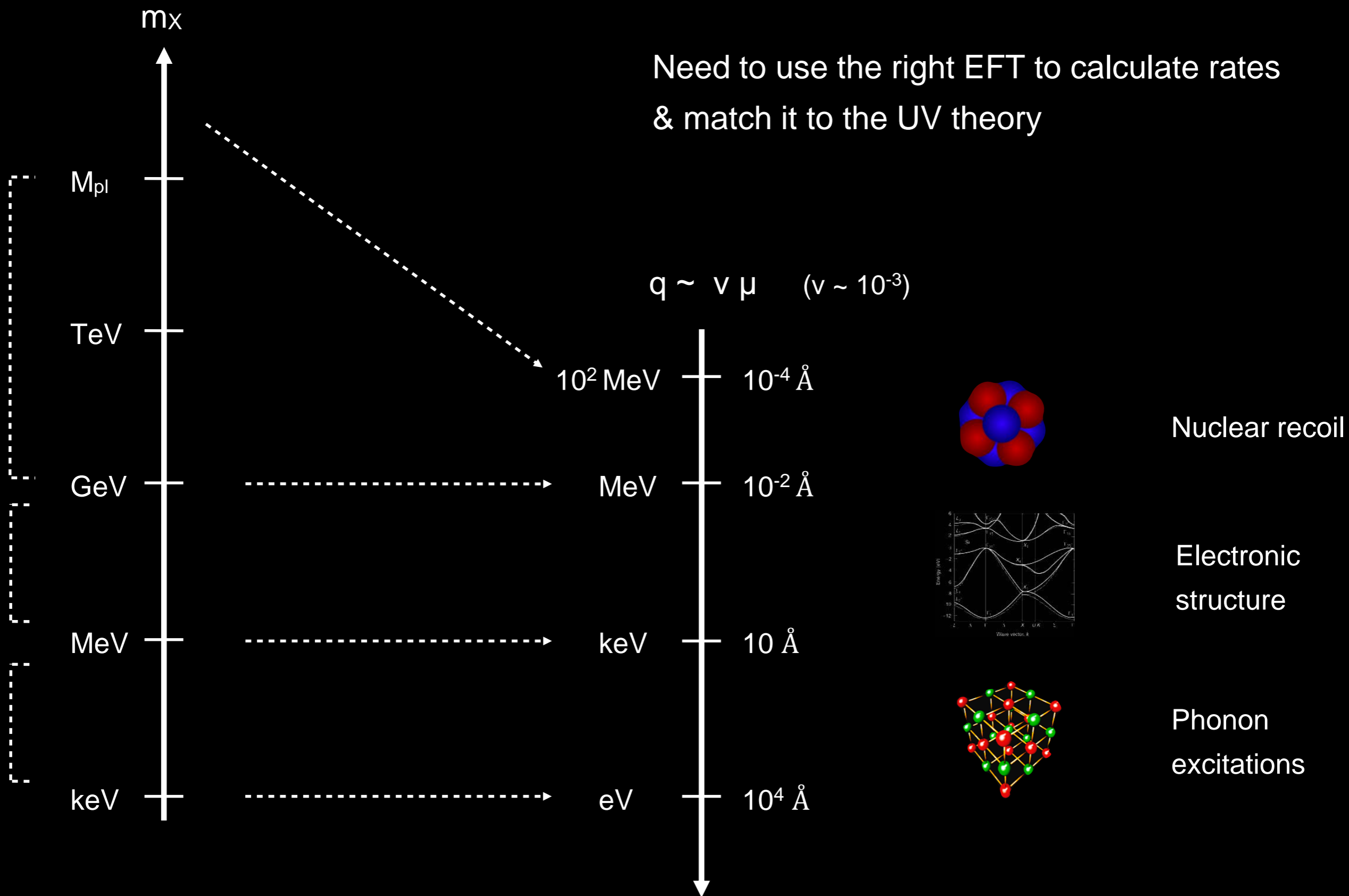
sub-eV sapphire detector being tested

Lead by Matt Pyle (UC Berkeley)

Wei will talk about Helium / HeRALD

The need for theory

Need to use the right EFT to calculate rates
& match it to the UV theory

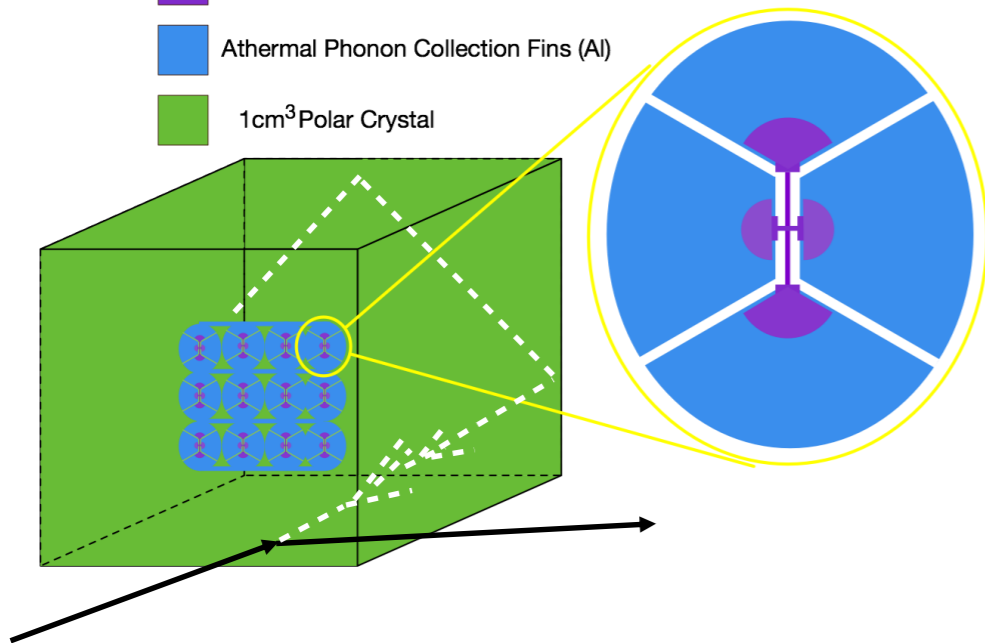


Long term goal: ~ 30% precision on all relevant processes

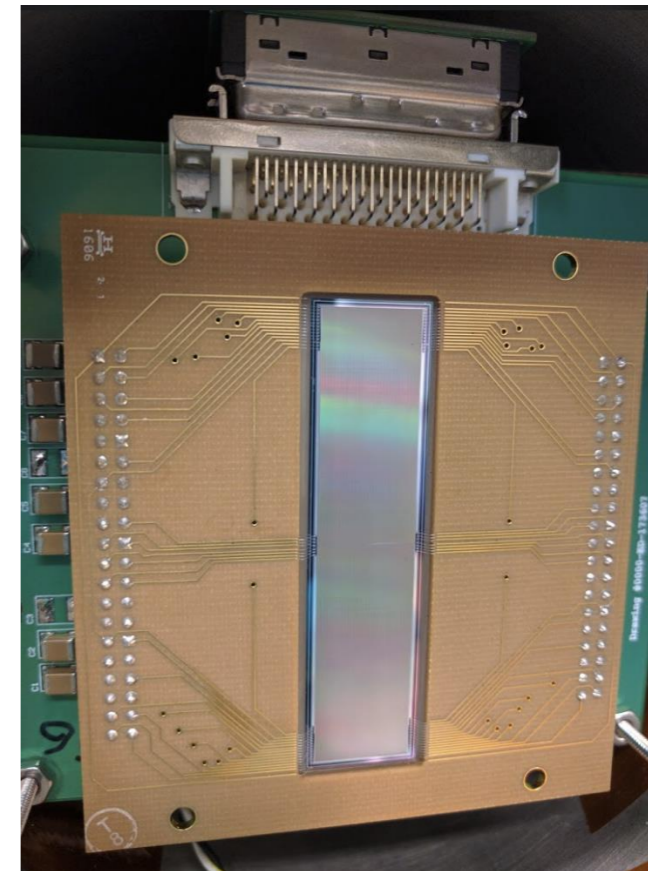
Calculations needed

Phonon signals

- TES and QP collection antennas (W)
- Athermal Phonon Collection Fins (AI)
- 1cm³Polar Crystal



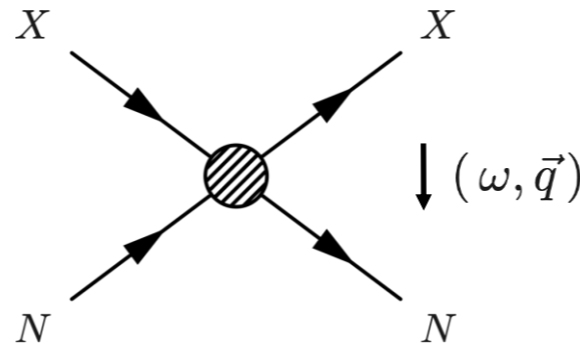
Electronic signals



Phonon EFT

Nuclear recoil

$$\omega = \frac{q^2}{2m_N}$$

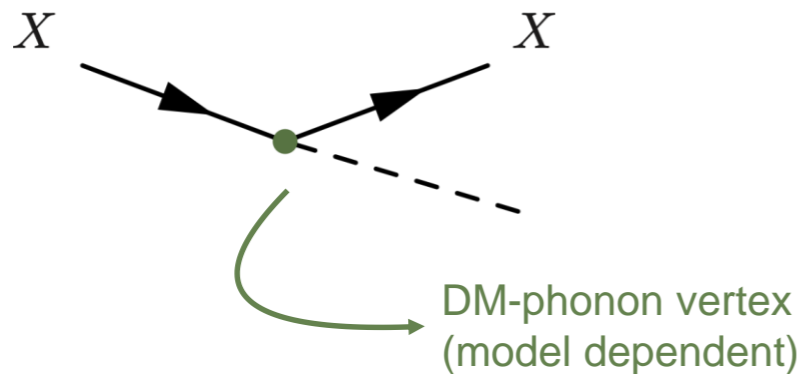


Phonon regime

$$q \ll \sqrt{2m_N\omega}$$

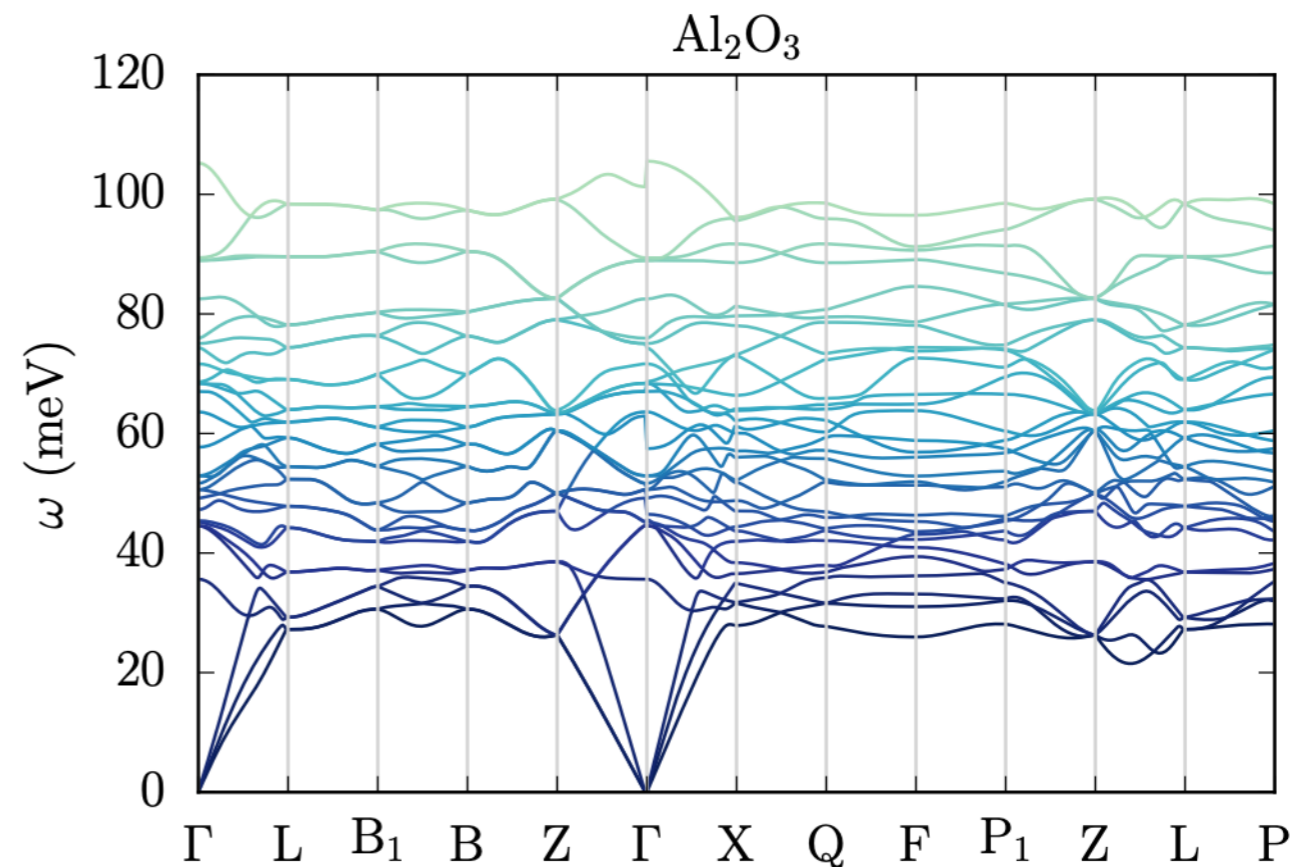
→ Momentum exchange is a good expansion parameter
(phonons are goldstones) similar to chiral perturbation theory

LO



$$\mathcal{O}(q), \mathcal{O}(q^2) \text{ or } \mathcal{O}(q^4)$$

(Depends on DM model & phonon branch)



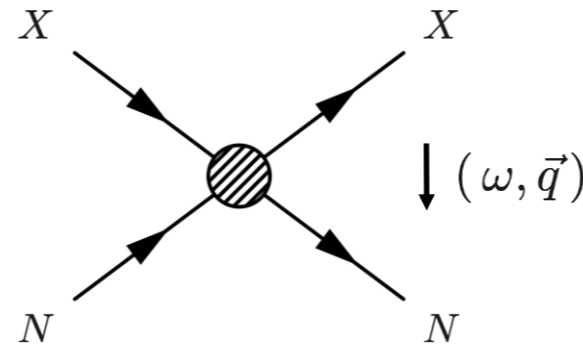
SK, T. Lin, M. Pyle, K. Zurek: 1712.06598

S. Griffin, SK, T. Lin, M. Pyle, K. Zurek: 1807.10291

Phonon EFT

Nuclear recoil

$$\omega = \frac{q^2}{2m_N}$$

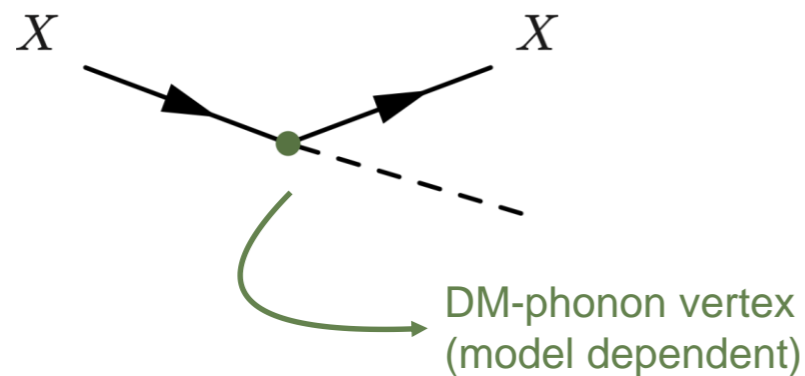


Phonon regime

$$q \ll \sqrt{2m_N\omega} \quad \rightarrow$$

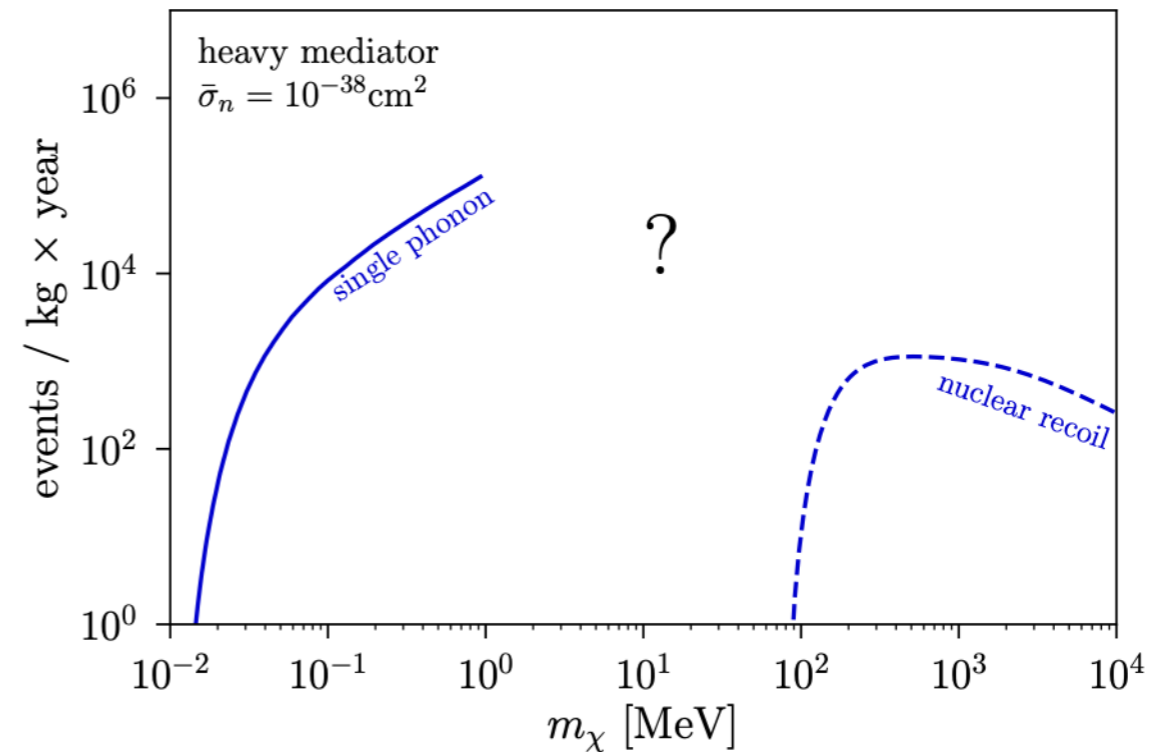
Momentum exchange is a good expansion parameter
(phonons are goldstones) similar to chiral perturbation theory)

LO



$$\mathcal{O}(q), \mathcal{O}(q^2) \text{ or } \mathcal{O}(q^4)$$

(Depends on DM model & phonon branch)



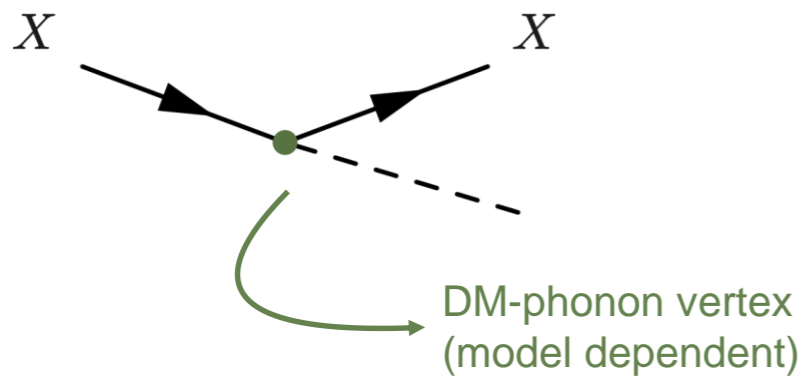
LO insufficient for $m_\chi > 1 \text{ MeV}$!

SK, T. Lin, M. Pyle, K. Zurek: 1712.06598

S. Griffin, SK, T. Lin, M. Pyle, K. Zurek: 1807.10291

Phonon EFT

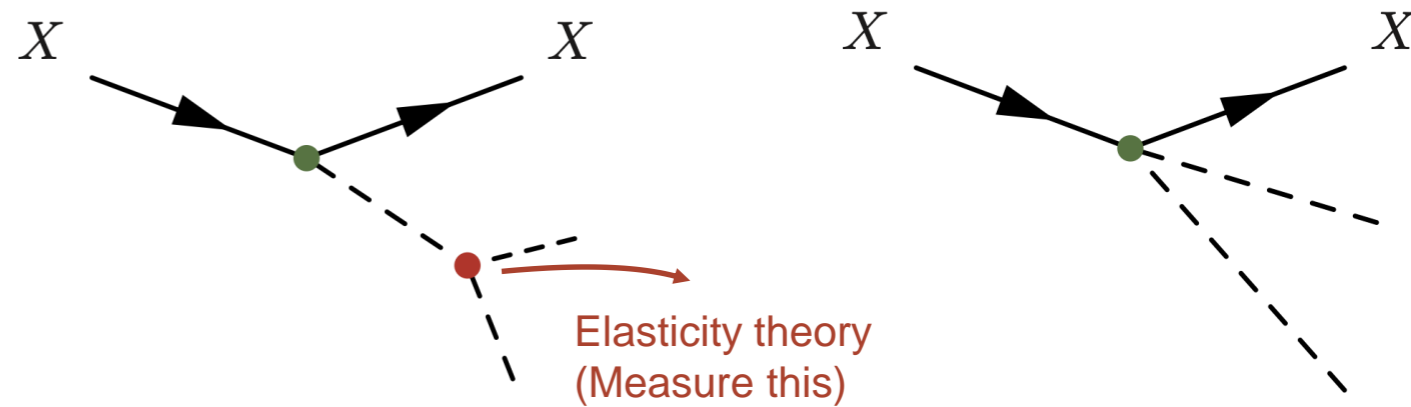
LO



$$\mathcal{O}(q), \mathcal{O}(q^2) \text{ or } \mathcal{O}(q^4)$$

(Depends on DM model & phonon branch)

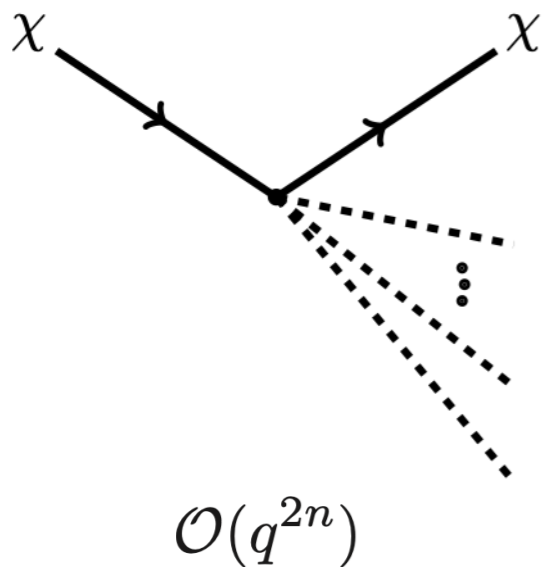
NLO



$$\mathcal{O}(q^4)$$

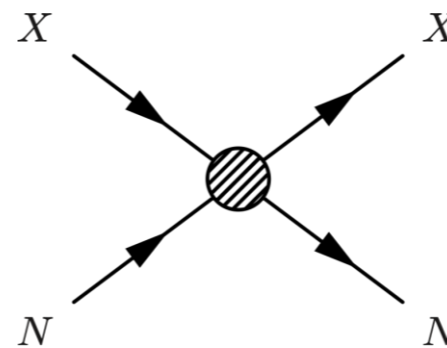
B. Campbell-Deem, P. Cox, SK, T. Lin, T. Melia : [1911.03482](https://arxiv.org/abs/1911.03482)

NⁿLO



$$\mathcal{O}(q^{2n})$$

N[∞]LO = nuclear recoil



$$\sim \delta\left(\omega - \frac{q^2}{2m_N}\right)$$



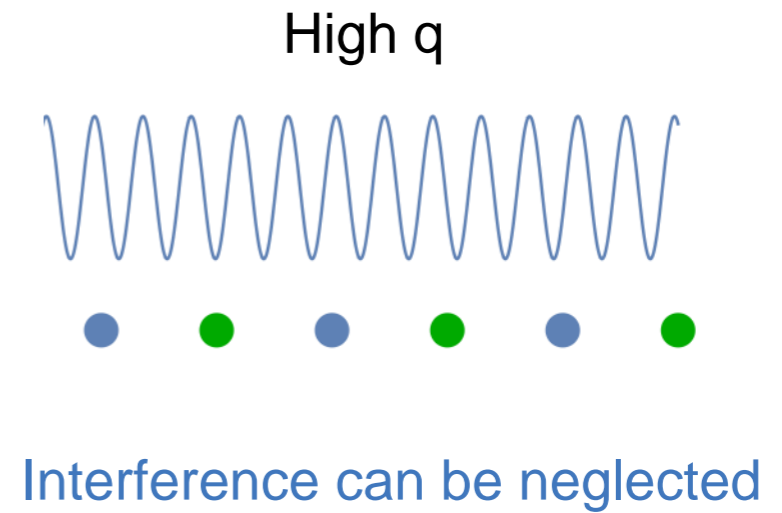
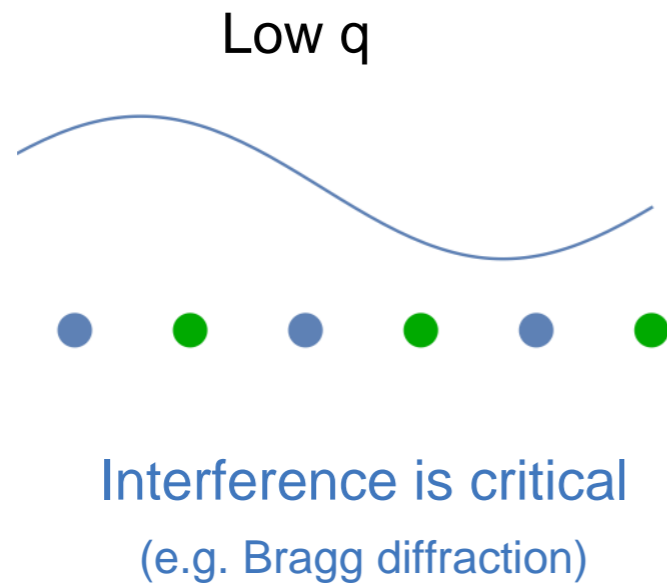
Brian Campbell-Deem (UCSD)



Ethan Villarama (UCSD)

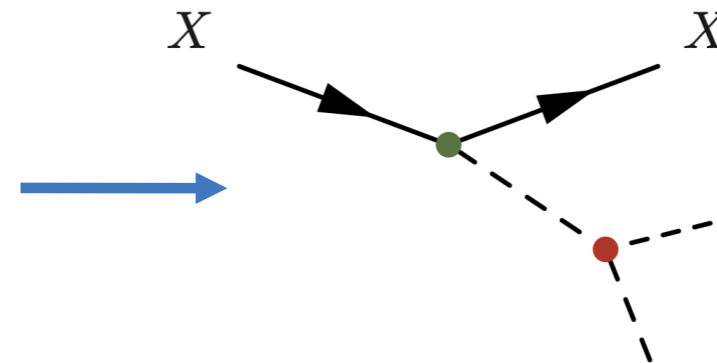
Approximations

1. Incoherent approximation



2. Anharmonic approximation

Neglect these beyond leading order



3. Isotropic approximation

Results

All orders result

d: labels atoms (e.g. Ga and As)
n: number of phonons

$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d A_d^2 e^{-2W_d(\mathbf{q})} \sum_n \left(\frac{q^2}{2m_d}\right)^n \frac{1}{n!} \left(\prod_{i=1}^n \int d\omega_i \frac{D_d(\omega_i)}{\omega_i} \right) \delta\left(\sum_j \omega_j - \omega\right).$$

Partial density of states

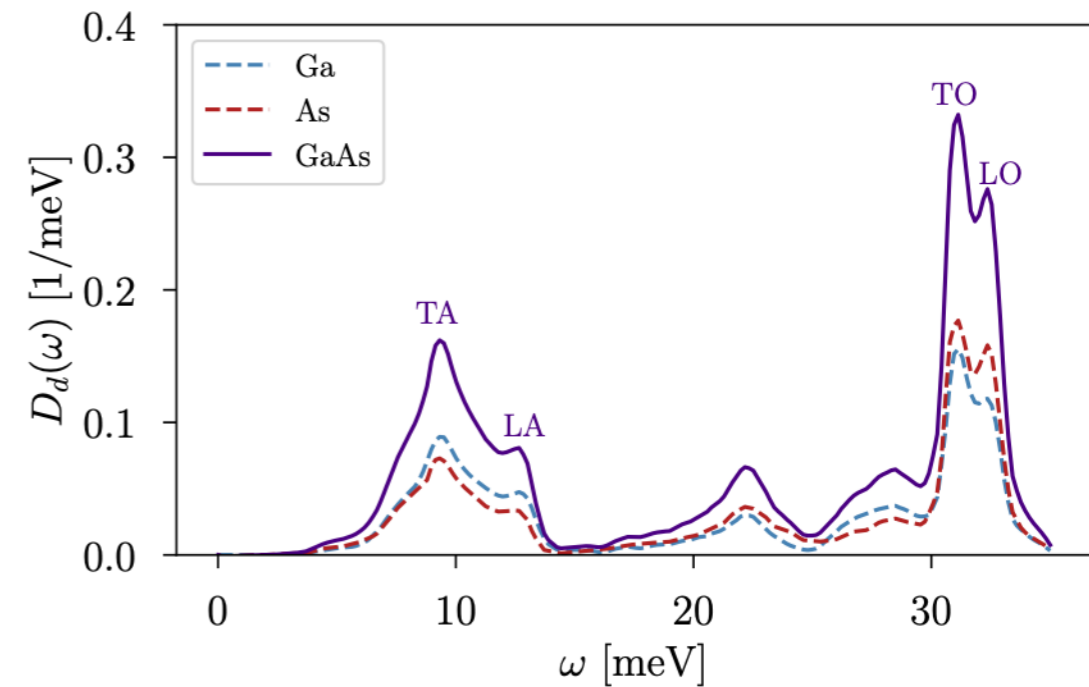
$$q \gg \sqrt{2\omega m_d}$$

$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d A_d^2 \sqrt{\frac{2\pi}{\Delta_d^2}} \exp\left(-\frac{\left(\omega - \frac{q^2}{2m_d}\right)^2}{2\Delta_d^2}\right)$$

$$q \gg \gg \sqrt{2\omega m_d}$$

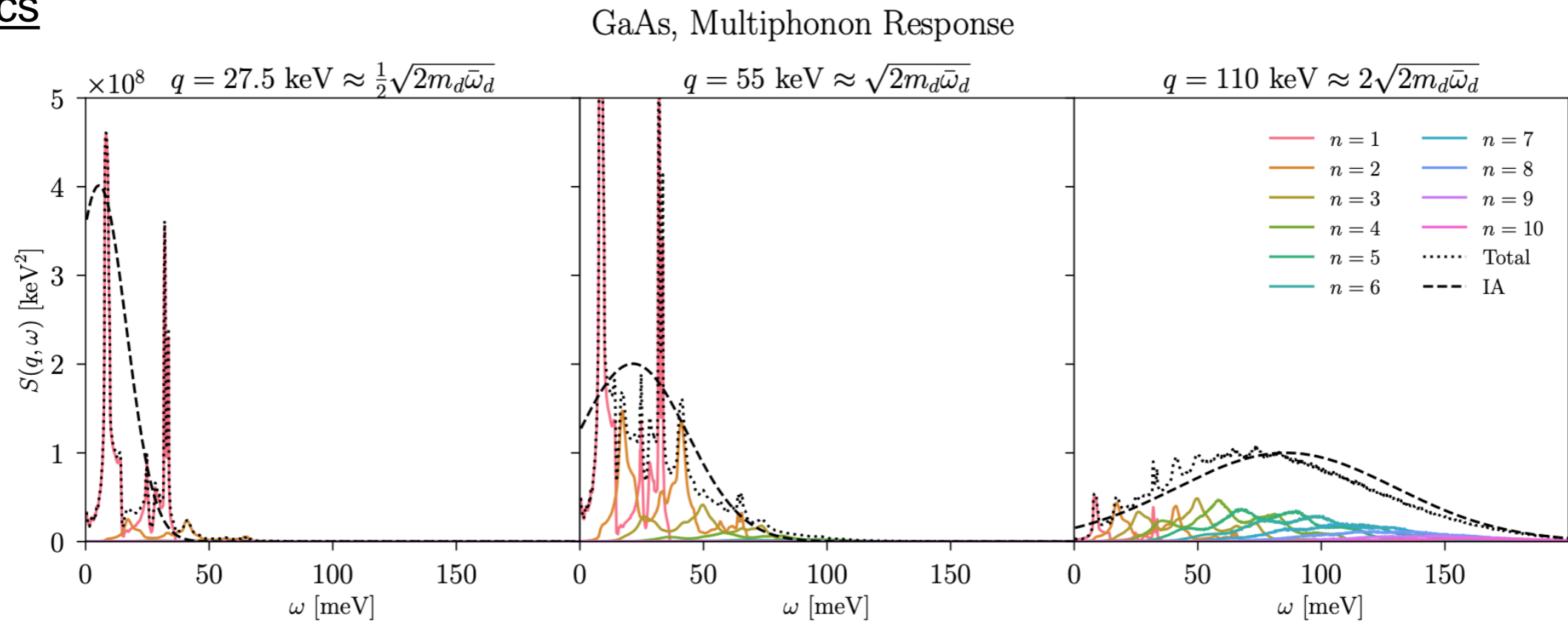
$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d A_d^2 \times \delta\left(\omega - \frac{q^2}{2m_d}\right)$$

Free nuclear recoil limit

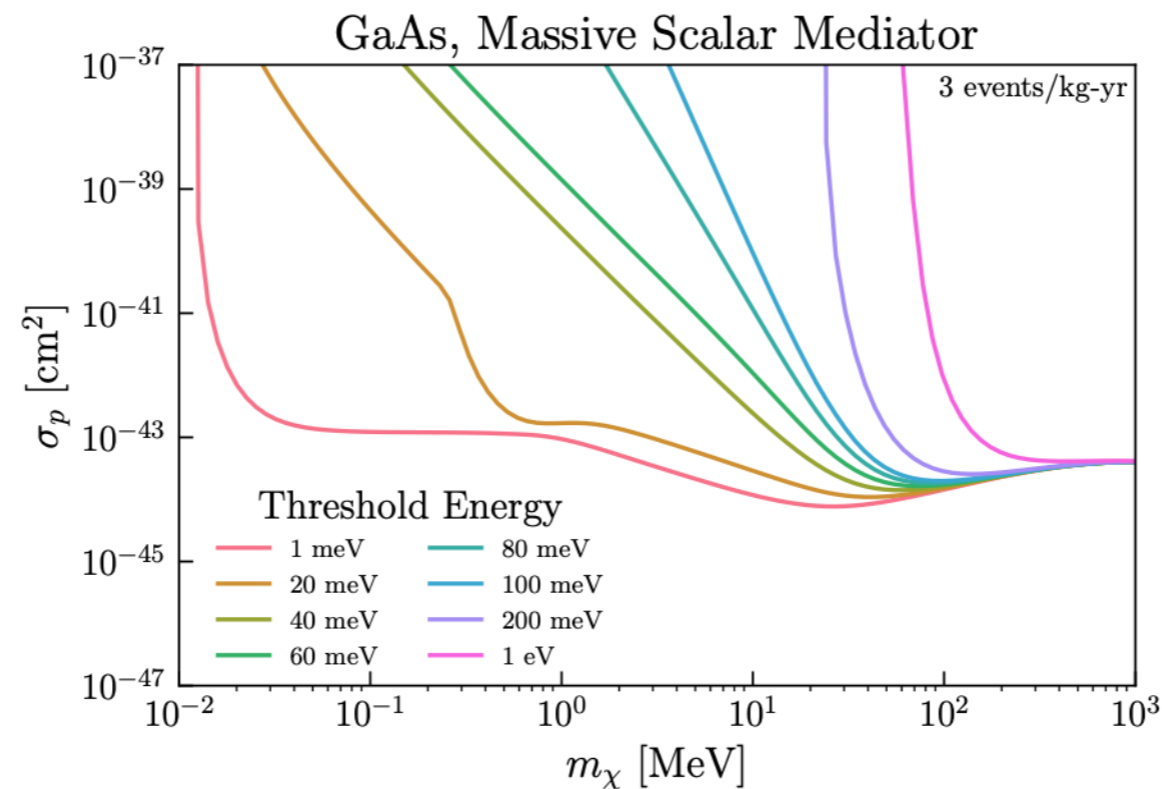


Results

Numerics



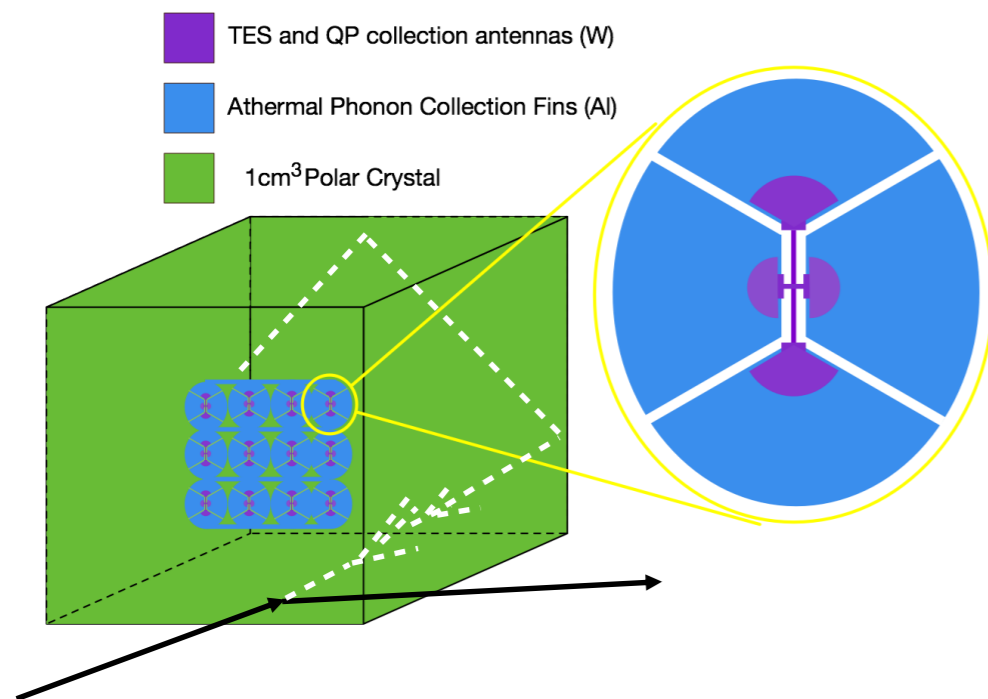
Cross section curves



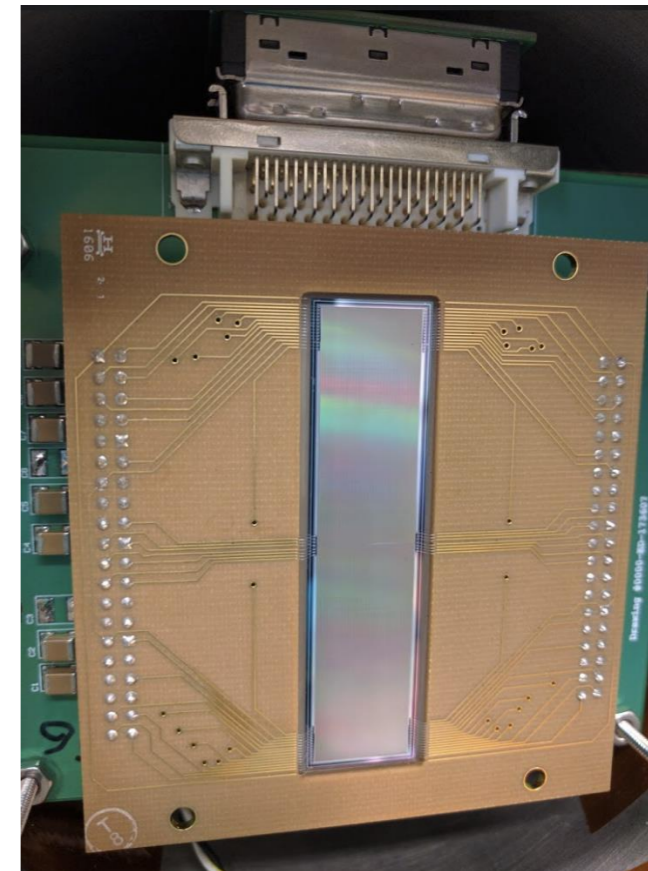
First full results for all m_χ and arbitrary thresholds!

Calculations needed

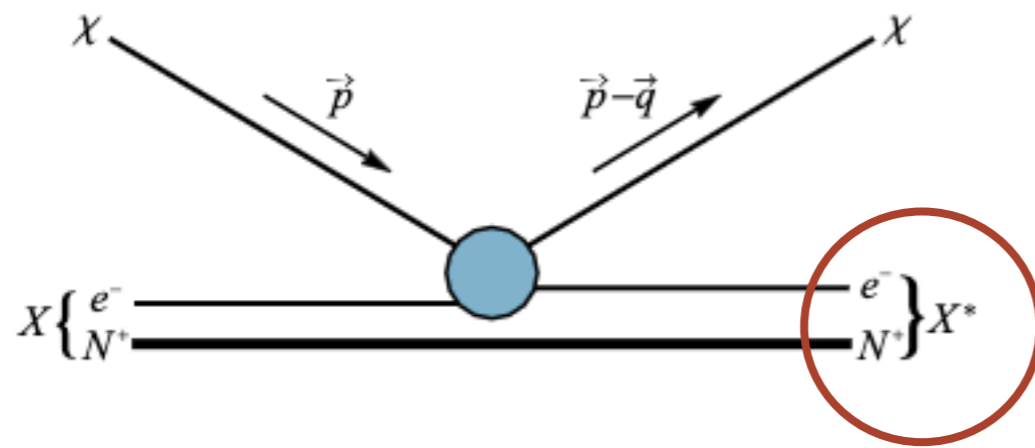
Phonon signals



Electronic signals



Electrons are underrated



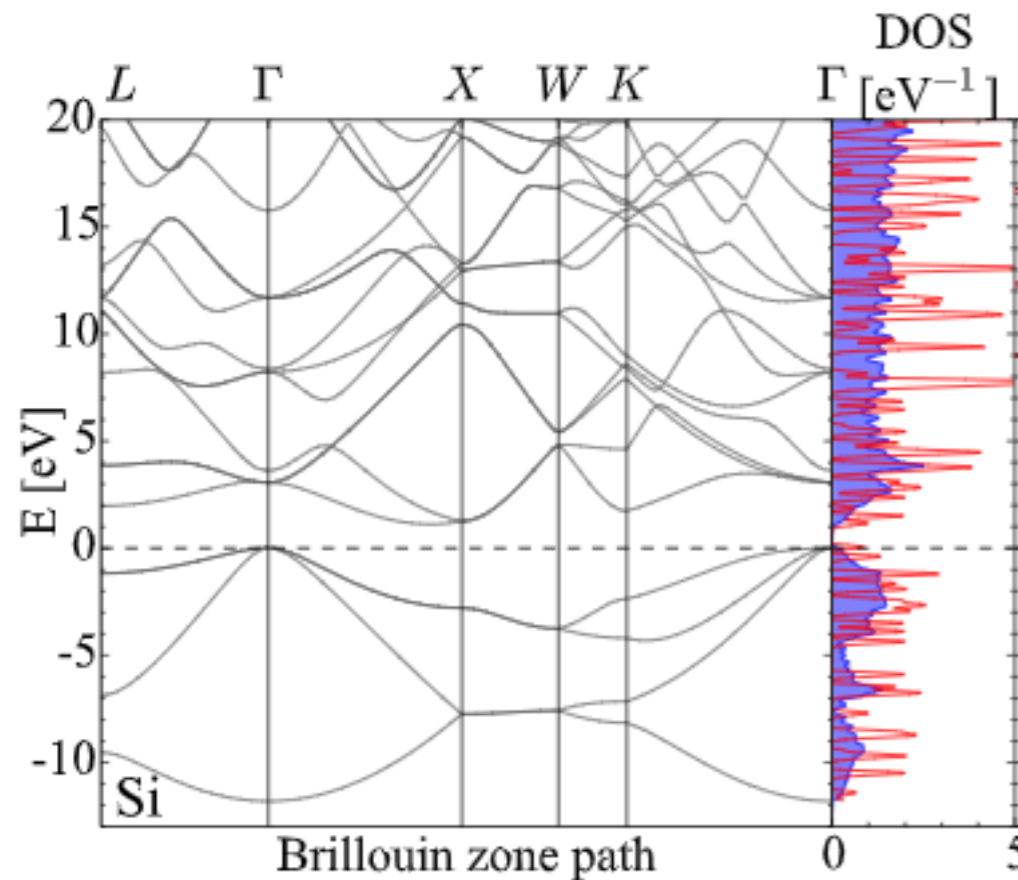
e^- are not free

e^- are not at rest

e^- are not localized

e^- are not alone

→ screening



Problem: Calculate wave functions & stick them into matrix element calculation

Essig et. al. 1509.01598

Equivalent problem: Calculate rate of energy dissipation in the crystal

SK, J. Kozaczuk, T. Lin: arXiv 2101.08275

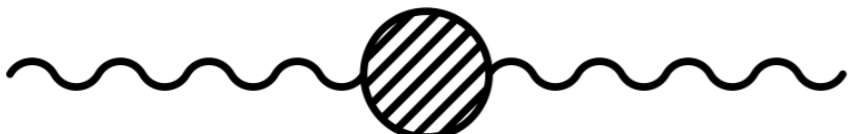
Y. Hochberg et. al. Arxiv: [2101.08263](https://arxiv.org/abs/2101.08263)

Schematic argument

Coulomb potential in a dielectric:

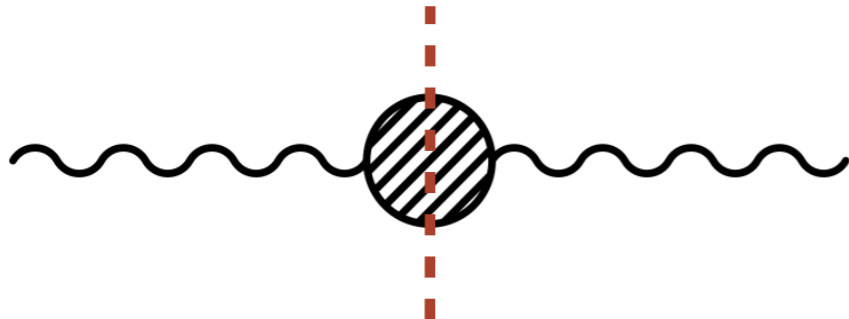
$$H = eQ_\chi \int \frac{d^3\mathbf{k}}{(2\pi)^2} \frac{1}{\epsilon(\mathbf{k}, \omega)} \frac{e^{i\mathbf{k}\cdot\mathbf{x}}}{k^2}$$

In QFT language:



$$\sim \frac{1}{\epsilon(\mathbf{k}, \omega)} \frac{1}{k^2} \quad \text{(Non-relativistic limit)}$$

We are interested in energy dissipation:



$$\sim \text{Im} \left[\frac{-1}{\epsilon(\mathbf{k}, \omega)} \right]$$

“Energy Loss Function” (ELF)

(Exact derivation in the back-up slides)

DM-electron scattering rate

Full formula

$$R = \frac{1}{\rho_T} \frac{\rho_\chi}{m_\chi} \frac{\bar{\sigma}_e}{\mu_{\chi e}^2} \frac{\pi}{\alpha_{em}} \int d^3v \boxed{f_\chi(v)} \int \frac{d^3\mathbf{k}}{(2\pi)^3} k^2 \boxed{F_{DM}(k)|^2} \int \frac{d\omega}{2\pi} \frac{1}{1 - e^{-\beta\omega}} \boxed{\text{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right]} \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right).$$

↓
↓
↓

DM velocity distribution
DM form factor
ELF

Advantages of using the ELF:

- Screening included automatically
- ELF has been measured and calculated extensively in the condensed matter literature

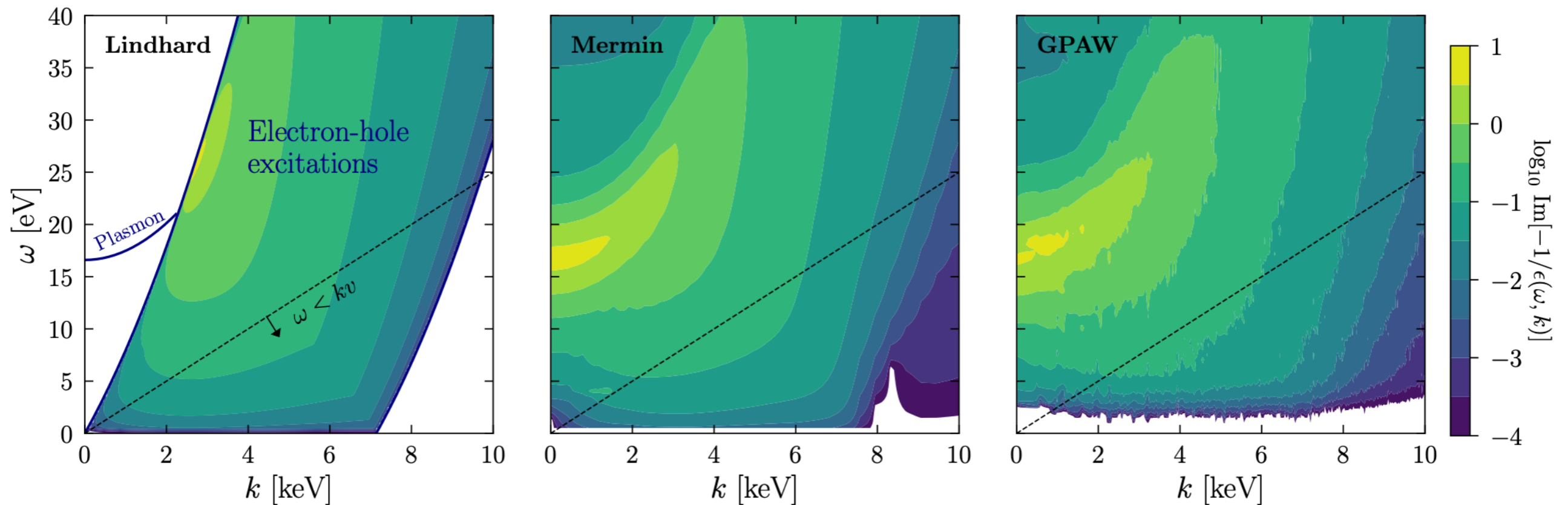
Applicable to *any* mediator that couples to e^- density

(e.g. scalar mediator and dark photon mediator yield *identical* scattering rate)

Calculating the ELF

Simple

Sophisticated



Free electron gas
approximation

100% analytic

Phenomenological
model fit to data

semi-analytic

First principles DFT
calculation

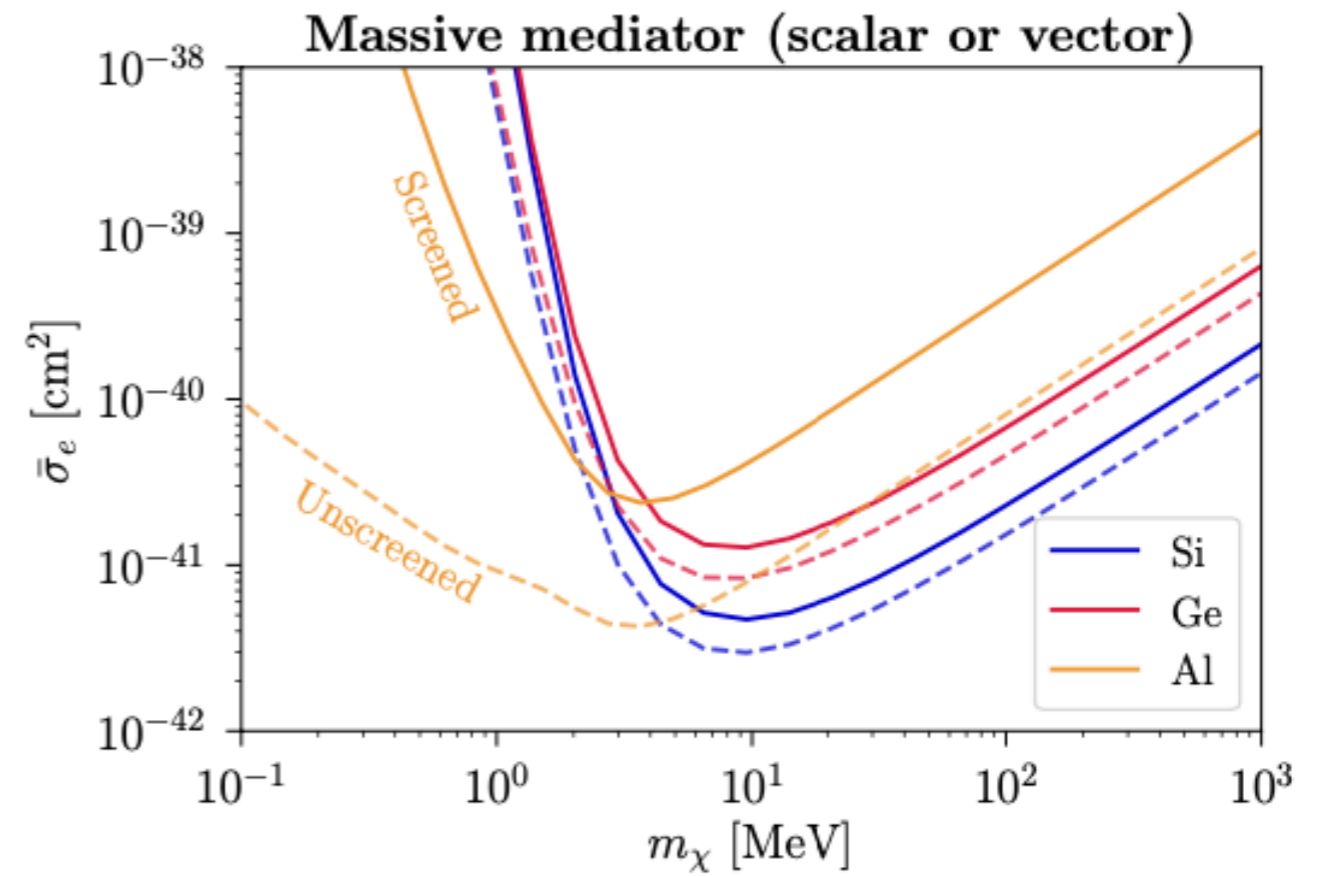
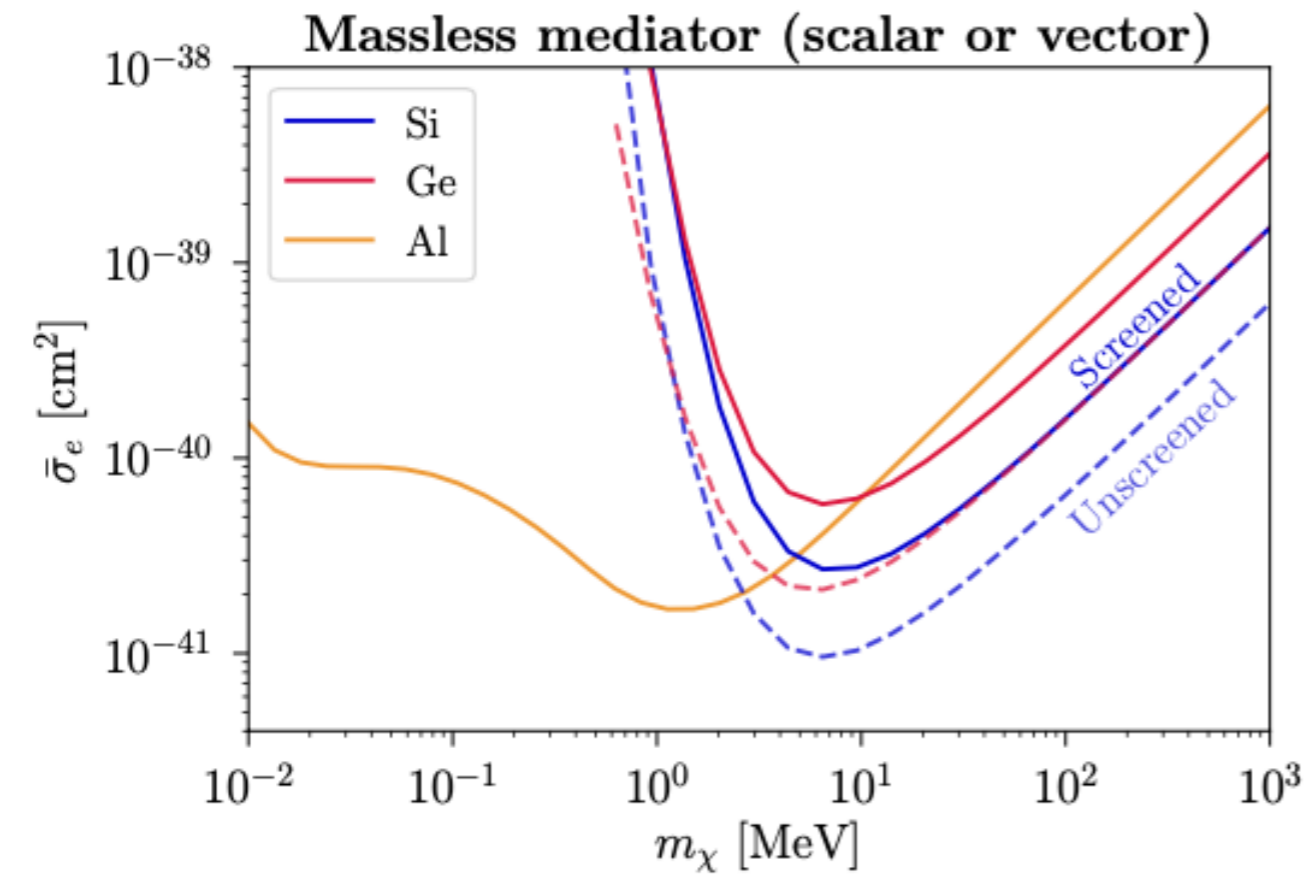
Fully numerical

(Details in back-up slides)

SK, J. Kozaczuk, T. Lin: arXiv 2101.08275, [2104.12786](https://arxiv.org/abs/2104.12786)

Results

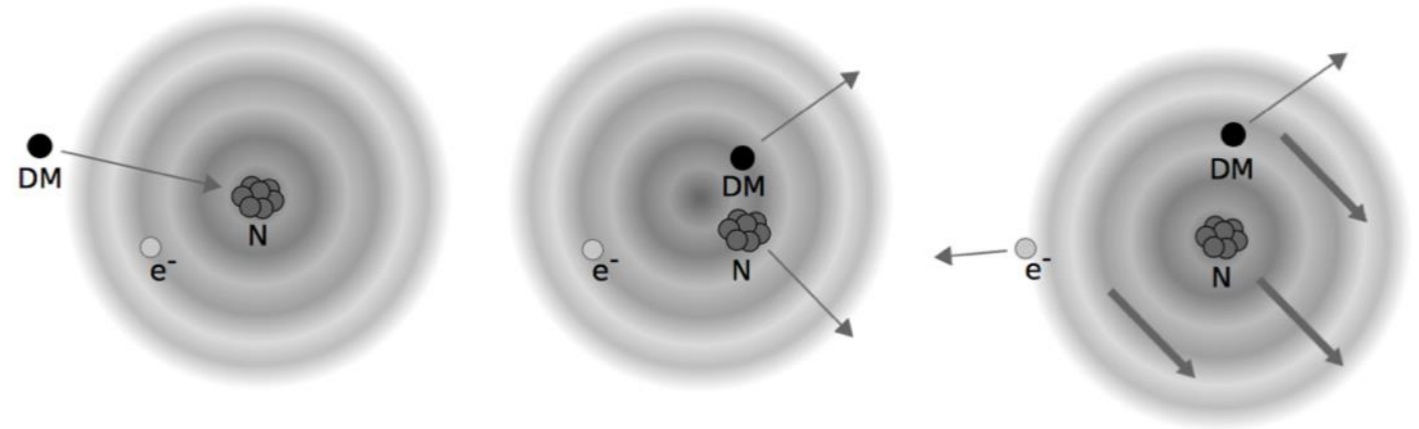
Screening has O(1) effect on integrated rate



Bonus: The Migdal effect

A **hard nuclear recoil** can cause some electrons to be ionized

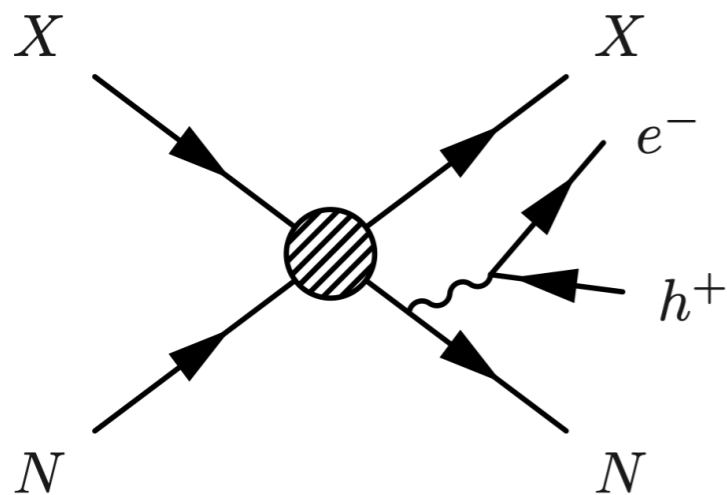
Opportunity for e.g. SENSEI, superCDMS



From 1711.09906 (Dolan et al.)

Confusing in (semi-)conductors, because electrons are highly delocalized!
 → **Spectator ions cannot be ignored!**

Perform perturbative calculation in the **lab frame**, rather than in the frame of the recoiling nucleus



SK, J. Kozaczuk, T. Lin: arXiv [2011.09496](https://arxiv.org/abs/2011.09496)
 Liang et.al. : arXiv 2011.13352

Bonus: The Migdal effect

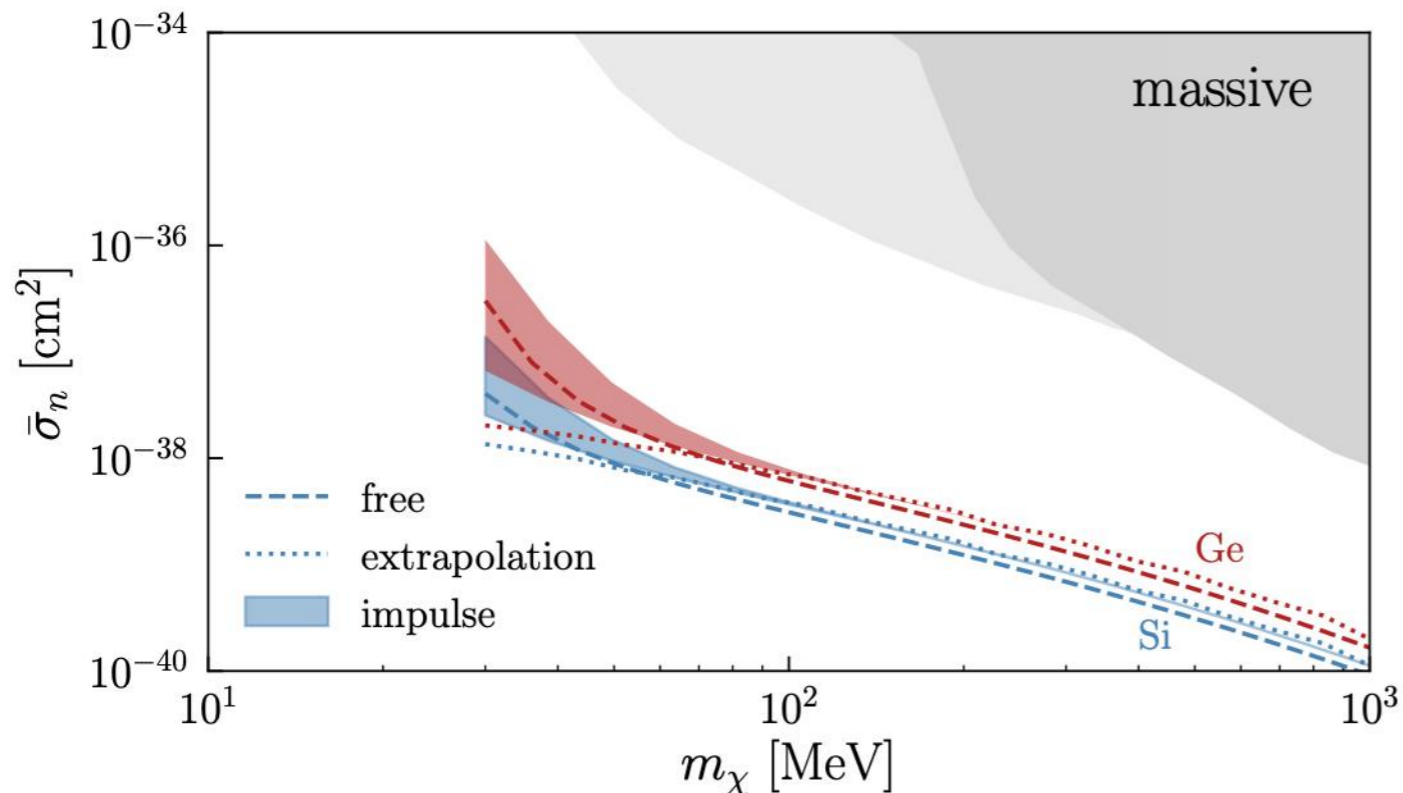
Result:

$$R = \frac{8\pi^2 Z_{\text{ion}}^2 \alpha A^2 \rho_\chi \bar{\sigma}_n}{m_N m_\chi \mu_{\chi n}^2} \int d^3 v f_\chi(v) \int d\omega \int \frac{d^3 \mathbf{q}_N}{(2\pi)^3} \int \frac{d^3 \mathbf{p}_f}{(2\pi)^3} \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{k^2} \text{Im} \left[\frac{-1}{\epsilon(\mathbf{k}, \omega)} \right] \left[\frac{1}{\omega - \frac{\mathbf{q}_N \cdot \mathbf{k}}{m_N}} - \frac{1}{\omega} \right]^2$$

$$\times |F_{DM}(\mathbf{p}_i - \mathbf{p}_f)|^2 |F(\mathbf{p}_i - \mathbf{p}_f - \mathbf{q}_N - \mathbf{k})|^2 \delta(E_i - E_f - E_N - \omega).$$

DM form factor
Crystal form factor

↑
 Nucleus is not a free particle!



J.K, J. Kozaczuk, T. Lin: arXiv [2011.09496](https://arxiv.org/abs/2011.09496)
 Liang et.al. : arXiv 2011.13352

DarkELF



You'd like to quickly calculate DM scattering rates, but don't want to learn Density Functional Theory?

Then DarkELF is the answer for you!

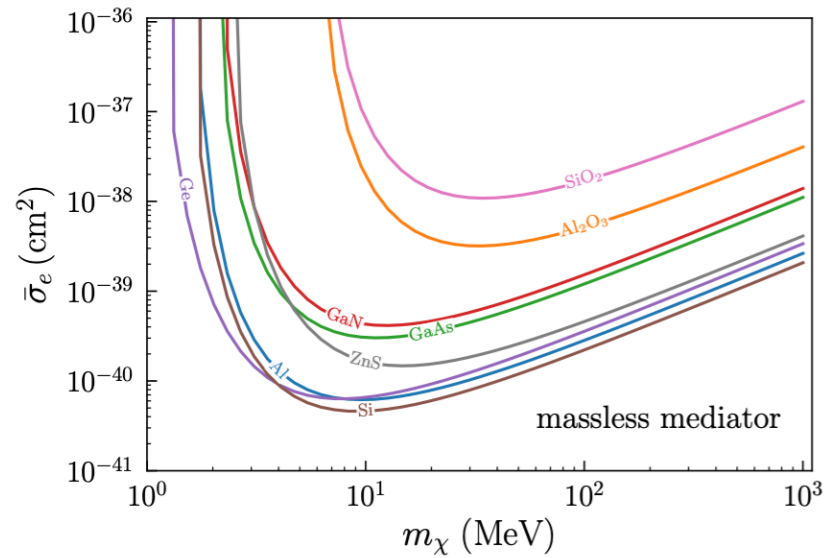
<https://github.com/tongylin/DarkELF>

- Python 3 package with lots of example Jupyter notebooks
- No dependencies other than numpy, scipy and Vegas
- All DFT results included as look-up tables, no DFT code necessary
- Includes most common materials (Si, Ge, GaAs, diamond, sapphire, etc etc)
- Can easily add user supplied look-up tables and/or materials or DM form factors

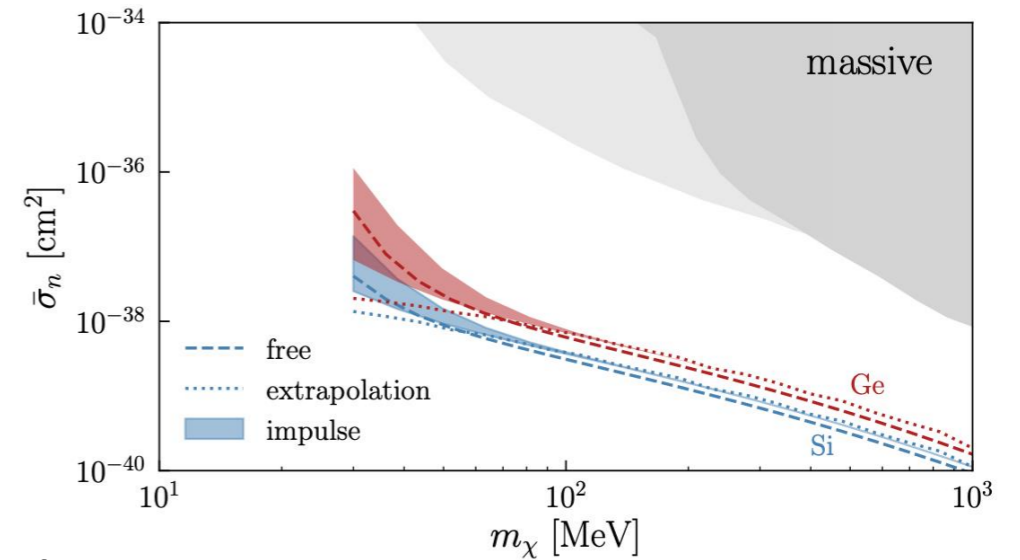
Happy to give you a quick tutorial if someone is interested

DarkELF processes

DM - electron scattering

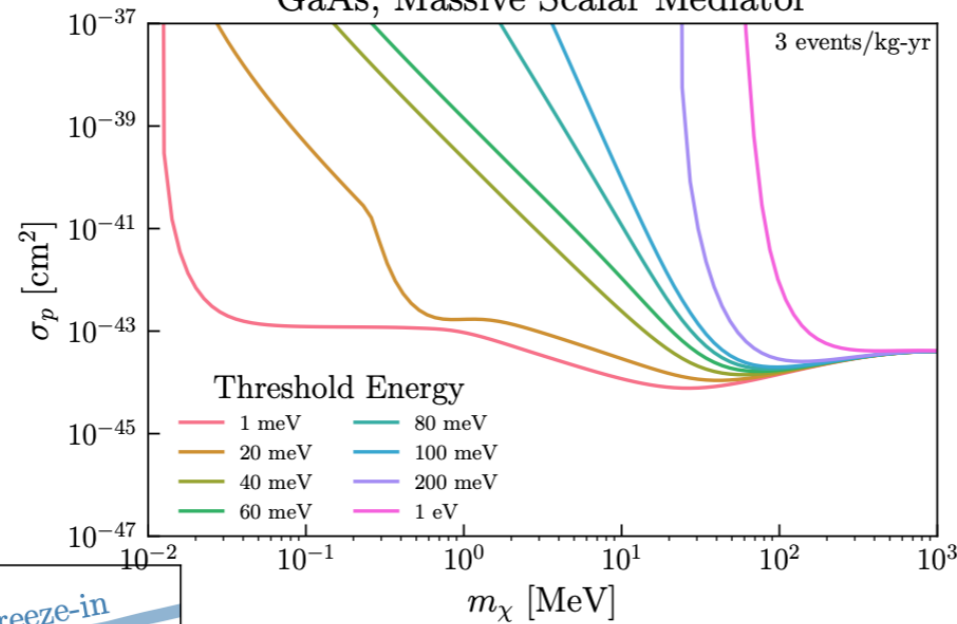


Migdal effect

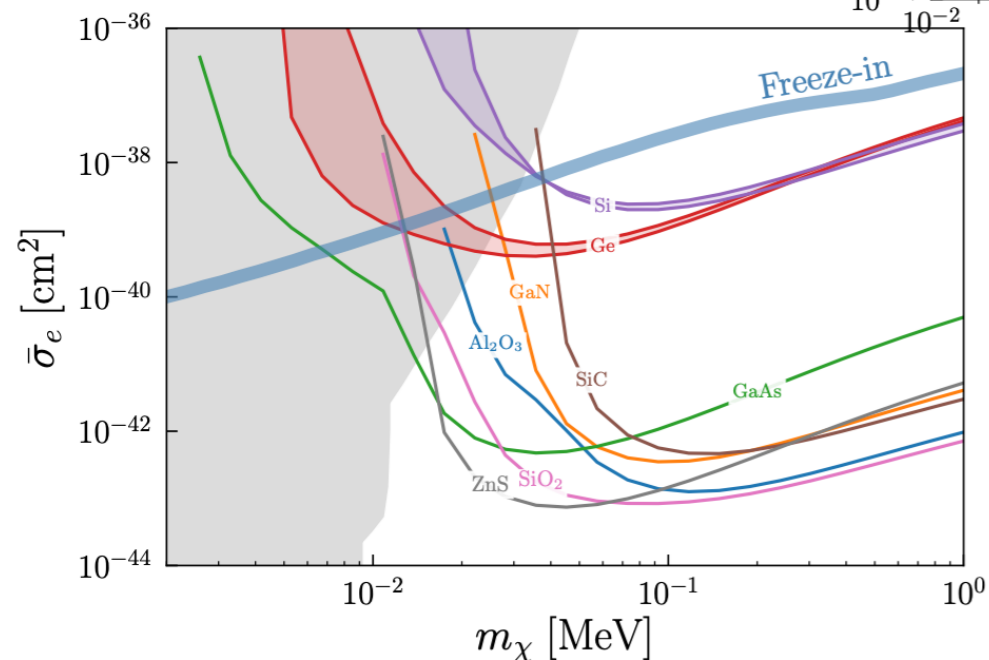


DM - multiphonon scattering

GaAs, Massive Scalar Mediator

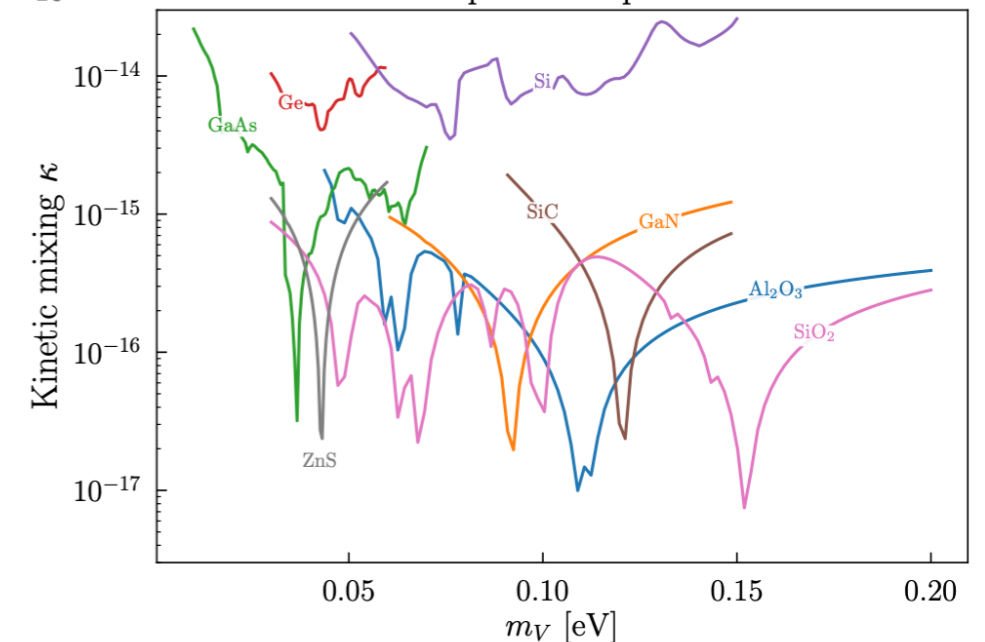


DM - phonon scattering



Dark photon absorption

Absorption into phonons



Summary

We computed:

- DM-phonon scattering to all orders in multiphonon expansion
- DM-electron scattering, *including screening*
- The Migdal effect in semi-conductors

All calculations publicly available in DarkELF package

Some future / ongoing work:

- Background processes, e.g. Frenkel pair recombination
- Lindhard's theory for low energy recoils
- Going beyond the isotropic limit



Extra slides

ELF Derivation (I)

Need to use *linear response theory*, essentially non-relativistic QFT

Susceptibility: how does the crystal respond to a density perturbation?

$$\chi(\omega, \mathbf{k}) = -\frac{i}{V} \int_0^\infty dt e^{i\omega t} \langle [n_{\mathbf{k}}(t), n_{-\mathbf{k}}(0)] \rangle$$

\downarrow
 Crystal volume

\downarrow
 Electron number density operator

This is the non-relativistic, retarded Green's function (fully dressed)

Now we use the fluctuation-dissipation theorem

$$\text{Im}\chi(\omega, \mathbf{k}) = -\frac{1}{2}(1 - e^{-\beta\omega})S(\omega, \mathbf{k}) \quad \beta \equiv \frac{1}{k_B T}$$

With the dynamical structure factor defined as

$$S(\omega, \mathbf{k}) \equiv \frac{2\pi}{V} \sum_{i,f} \frac{e^{-\beta E_i}}{Z} |\langle f | n_{-\mathbf{k}} | i \rangle|^2 \delta(\omega + E_i - E_f)$$

Fermi's golden rule

ELF Derivation (II)

Now consider the response to an external electromagnetic perturbation.

The induced electron number density is

$$\begin{aligned} \langle \delta n(\mathbf{k}, \omega) \rangle &= \langle n(\mathbf{k}, \omega) H_{coul} \rangle && \text{with} && H_{coul} = -e \int \frac{d^3 \mathbf{k}}{(2\pi)^2} \frac{e^{i\mathbf{k} \cdot \mathbf{x}}}{k^2} n(-\mathbf{k}, \omega) \rho_{ext}(\mathbf{k}, \omega) \\ &= -\frac{e}{k^2} \chi(\mathbf{k}, \omega) \rho_{ext}(\mathbf{k}, \omega) \end{aligned}$$

Using Maxwell's equations

$$\begin{aligned} i\mathbf{k} \cdot \mathbf{D}(\mathbf{k}, \omega) &= 4\pi \rho_{ext}(\mathbf{k}, \omega) \\ i\mathbf{k} \cdot \mathbf{E}(\mathbf{k}, \omega) &= 4\pi \rho_{ext}(\mathbf{k}, \omega) - 4\pi e \langle \delta n(\mathbf{k}, \omega) \rangle \end{aligned} \quad \text{with} \quad \mathbf{D}(\mathbf{k}, \omega) = \epsilon(\mathbf{k}, \omega) \mathbf{E}(\mathbf{k}, \omega)$$

Which results in the relation

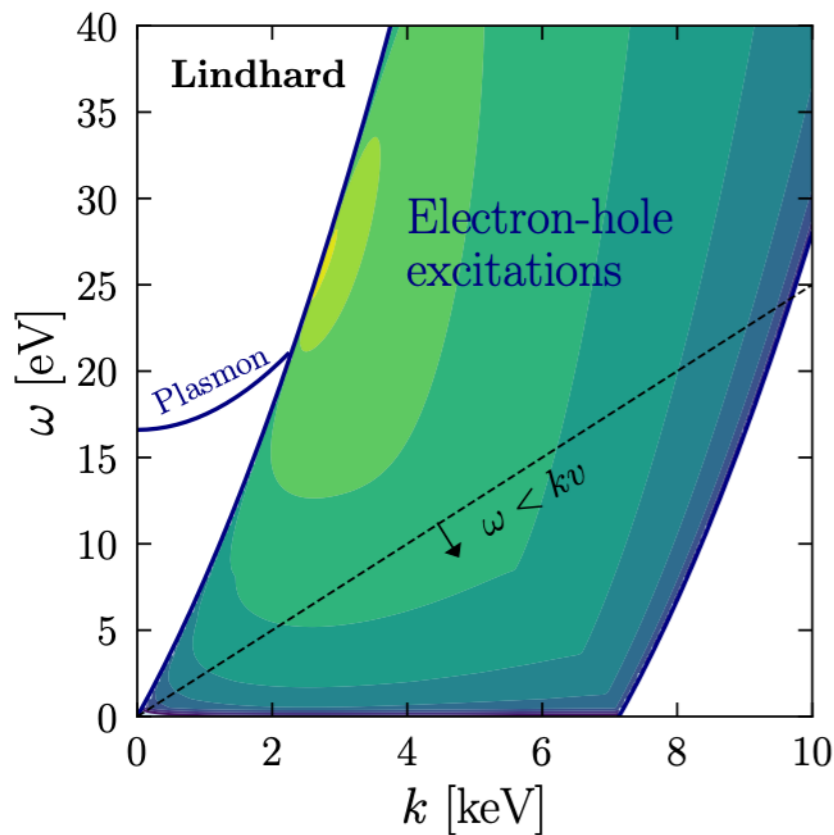
$$\frac{1}{\epsilon(\omega, \mathbf{k})} = 1 + \frac{4\pi\alpha_{em}}{k^2} \chi(\omega, \mathbf{k}),$$

Now plugging this into the fluctuation-dissipation theorem

$$S(\omega, \mathbf{k}) = \frac{k^2}{2\pi\alpha_{em}} \frac{1}{1 - e^{-\beta\omega}} \text{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right]$$

Energy Loss Function (ELF)

Lindhard model



Homogenous, free electron gas:

$$\epsilon_{\text{Lin}}(\omega, k) = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \lim_{\eta \rightarrow 0} \left[f \left(\frac{\omega + i\eta}{k v_F}, \frac{k}{2m_e v_F} \right) \right]$$

with

$$v_F = \left(\frac{3\pi\omega_p^2}{4\alpha m_e^2} \right)^{1/3}$$

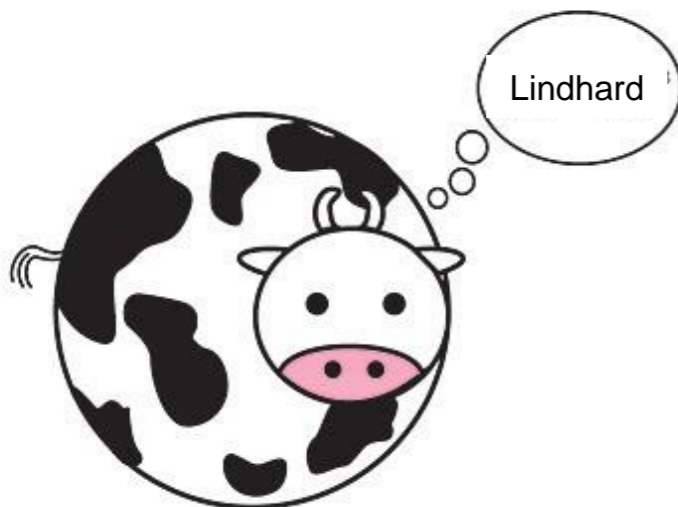
Plasmon frequency

$$f(u, z) = \frac{1}{2} + \frac{1}{8z} [g(z - u) + g(z + u)]$$

$$g(x) = (1 - x^2) \log \left(\frac{1 + x}{1 - x} \right)$$

Features:

- Pauli blocking
- e-h pair continuum
- Plasmon width
- Low k region
- Bandgap



Mermin model

Homogenous, free electron gas with dissipation (Γ)

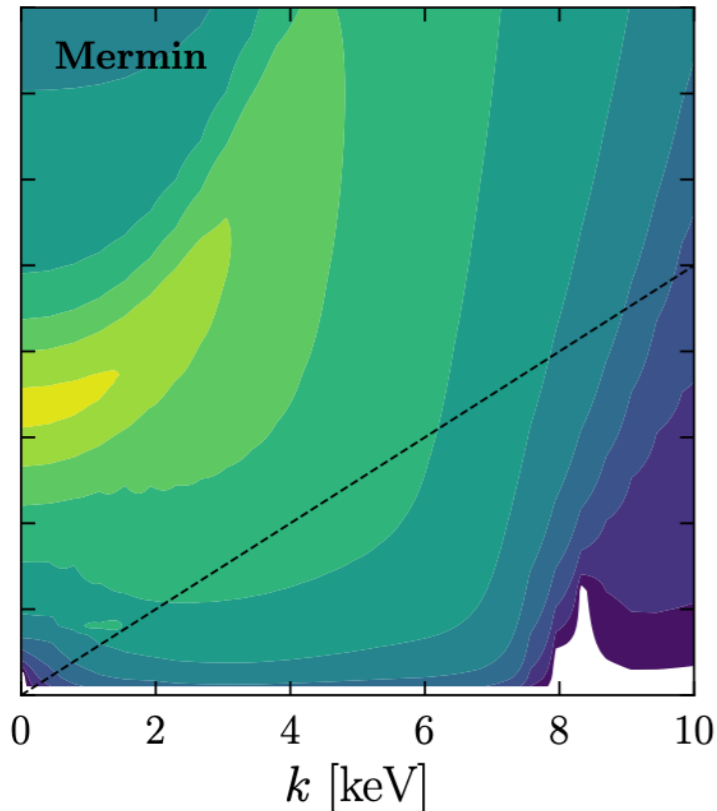
$$\epsilon_{\text{Mer}}(\omega, k) = 1 + \frac{(1 + i\frac{\Gamma}{\omega})(\epsilon_{\text{Lin}}(\omega + i\Gamma, k) - 1)}{1 + (i\frac{\Gamma}{\omega})\frac{\epsilon_{\text{Lin}}(\omega + i\Gamma, k) - 1}{\epsilon_{\text{Lin}}(0, k) - 1}}$$

Fit a linear combination of Mermin oscillators to optical data:

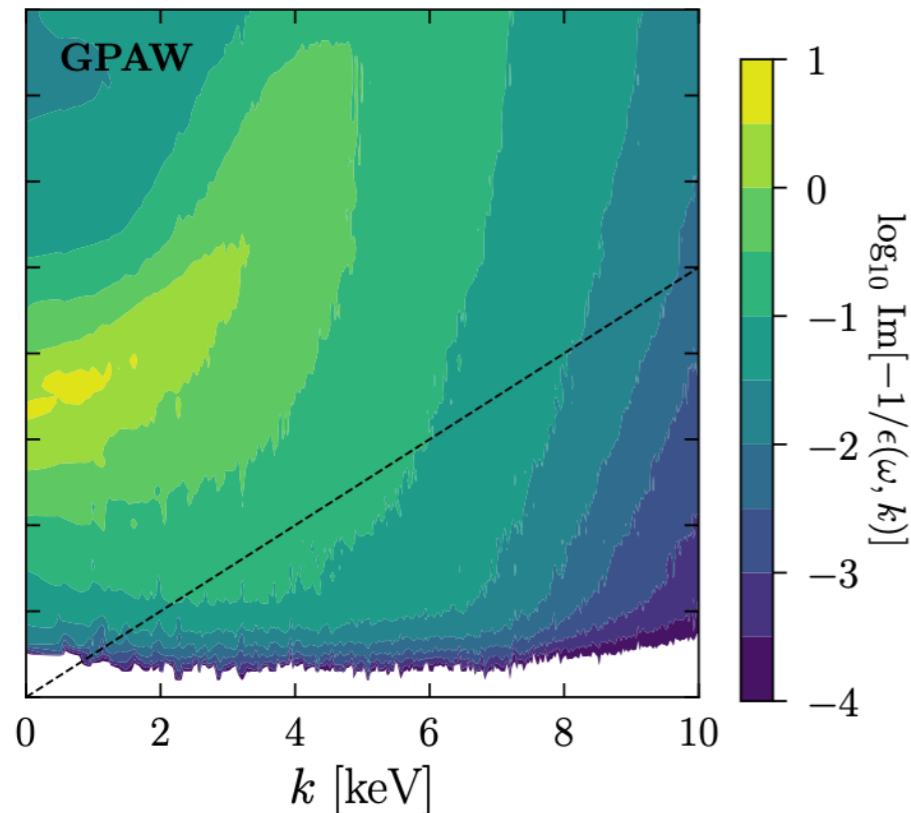
$$\text{Im} \left[\frac{-1}{\epsilon(\omega, k)} \right] = \sum_i A_i(k) \text{Im} \left[\frac{-1}{\epsilon_{\text{Mer}}(\omega, k; \omega_{p,i}, \Gamma_i)} \right]$$

Features:

- Pauli blocking
- e-h pair continuum
- Plasmon width
- Low k region
- Bandgap



GPAW method



Compute the ELF from first principles with time-dependent Density Functional Theory methods (TD-DFT)

Puts atoms on periodic lattice and model interacting e^- as non-interacting e^- + effective external potential (Kohn-Sham method)

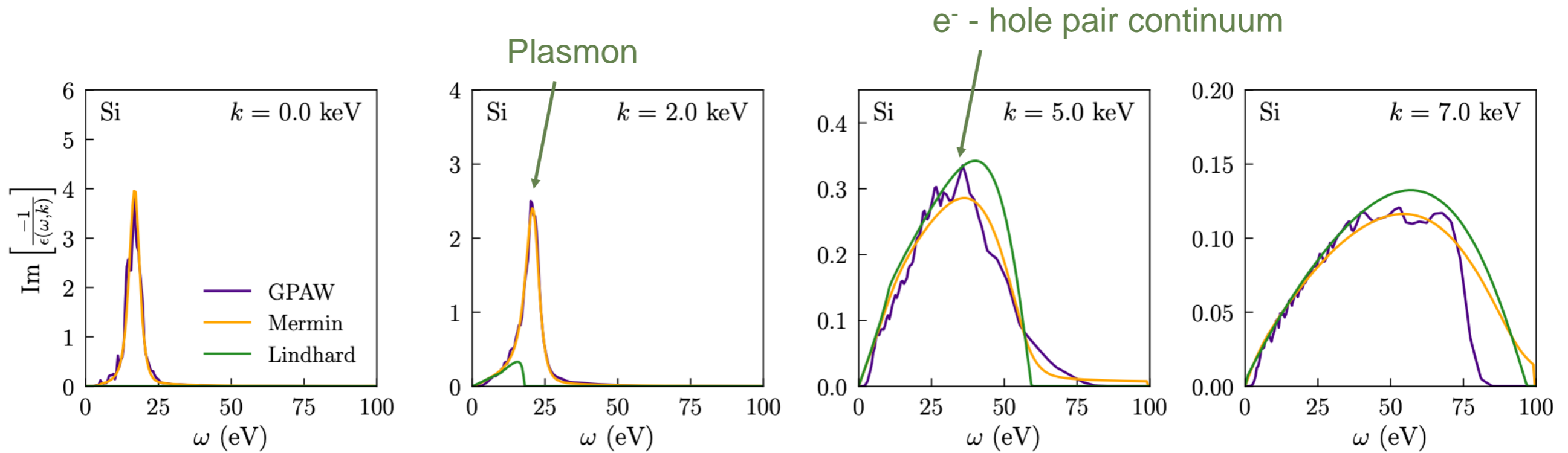
Inner shell e^- are treated as part of the ion (frozen core approximation)

Features:

- Pauli blocking
- e-h pair continuum
- Plasmon width
- Low k region
- Bandgap



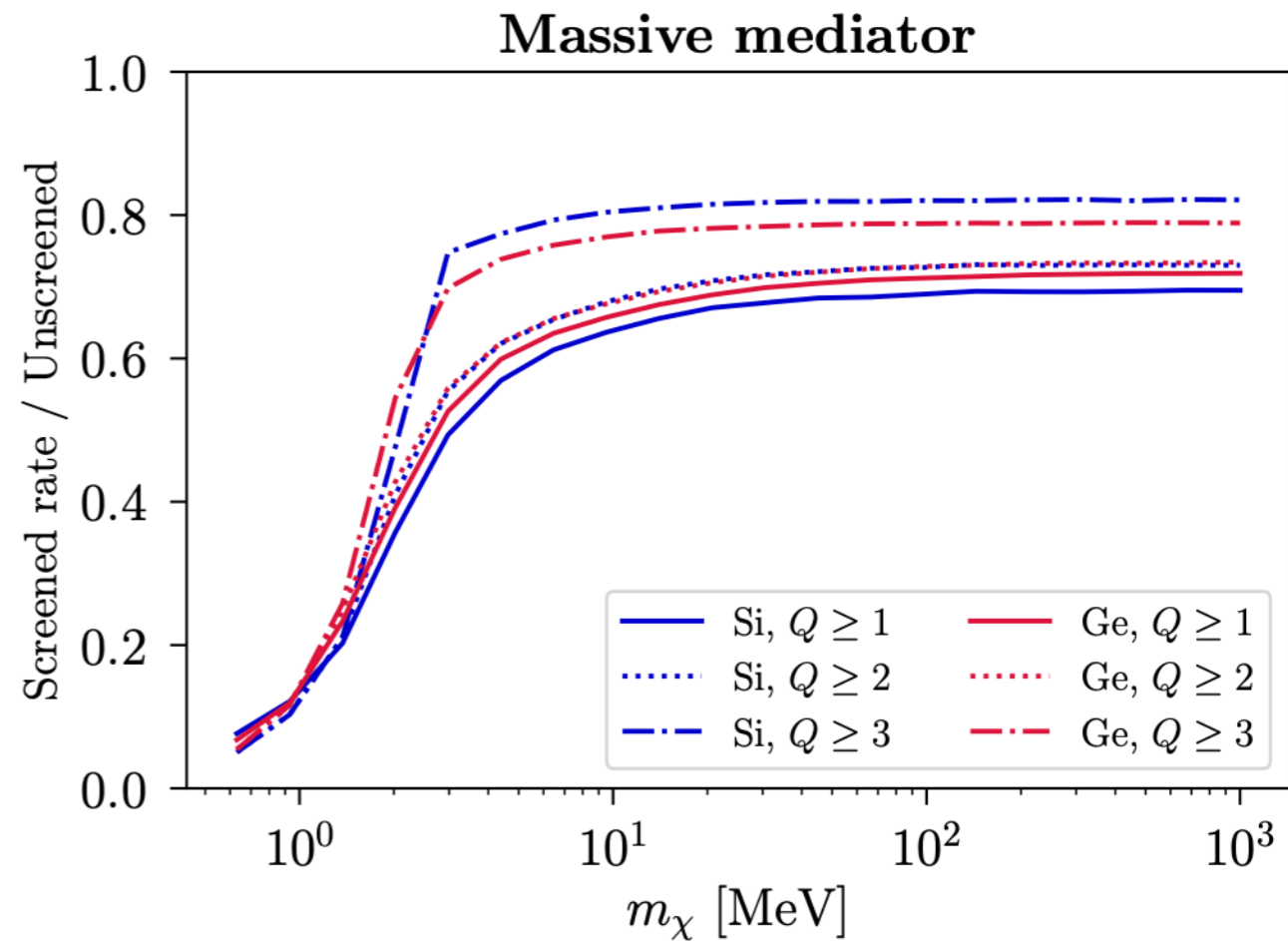
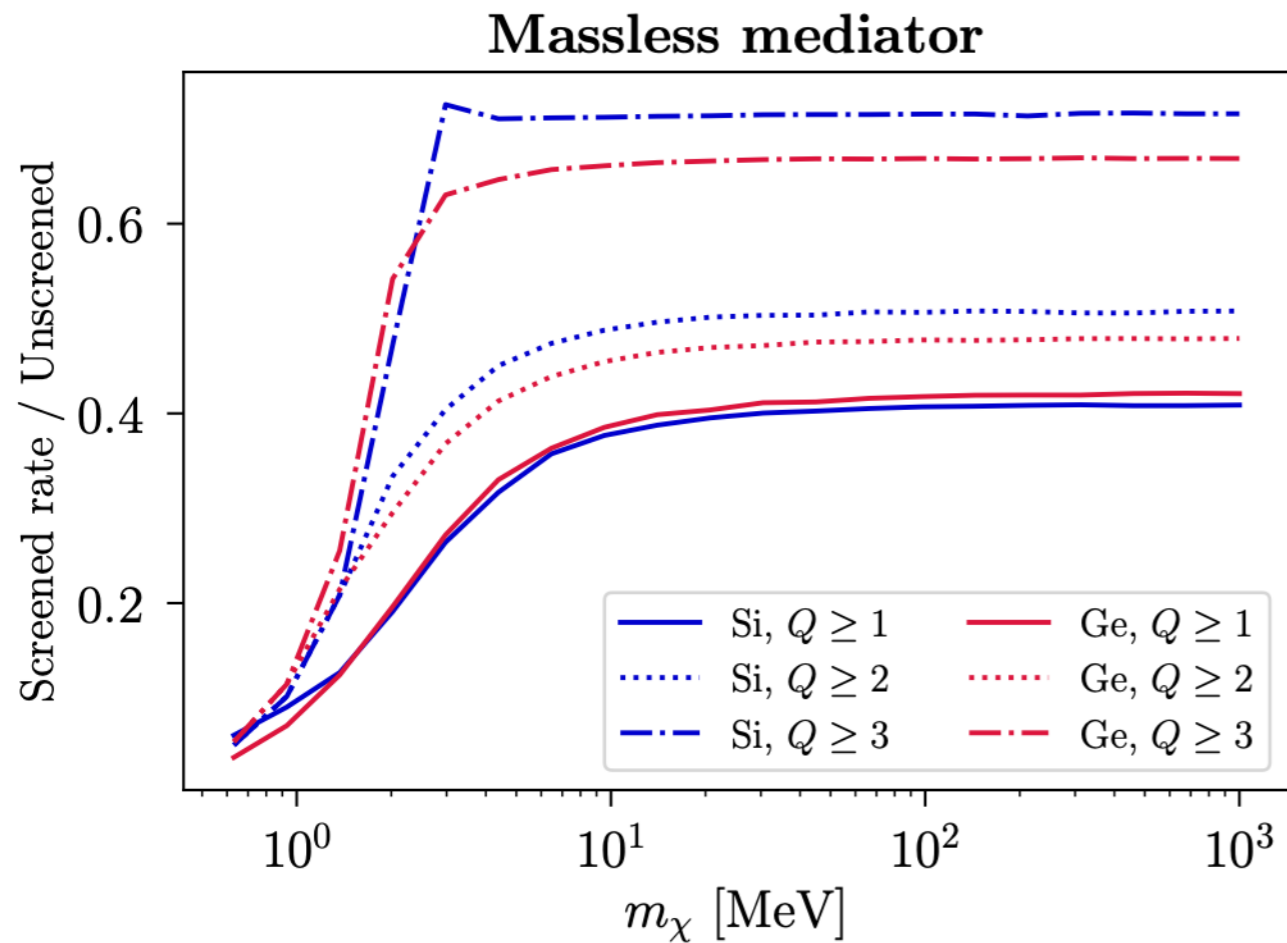
Comparing all three methods



TO BE UNDERSTOOD FURTHER

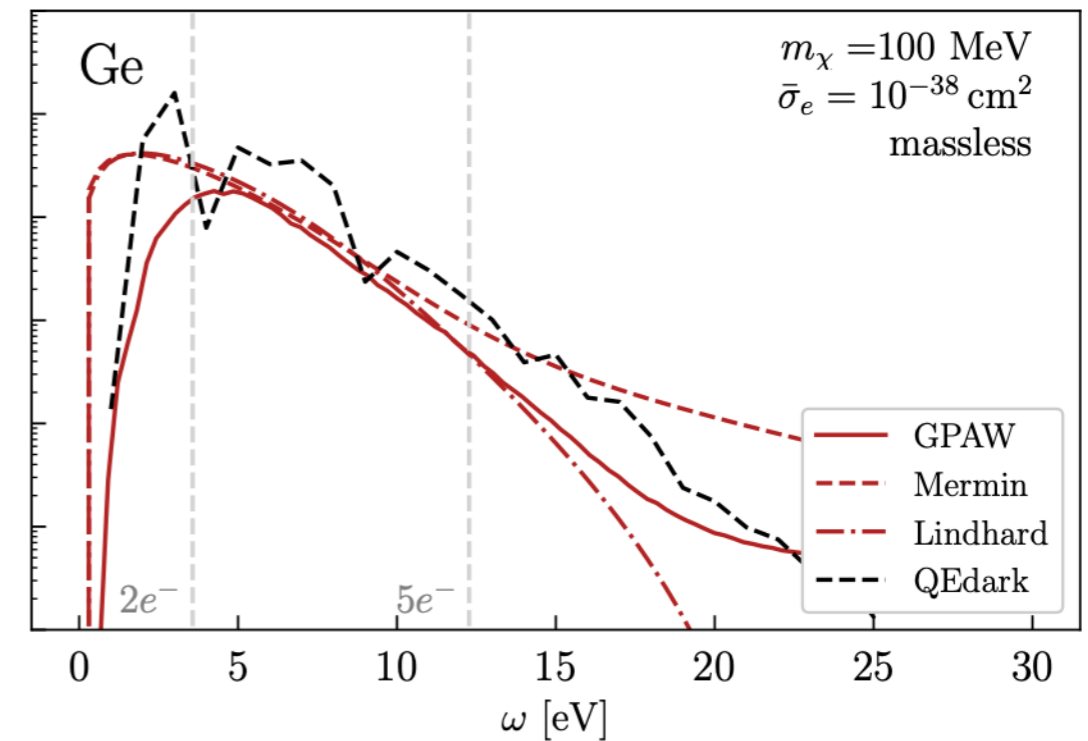
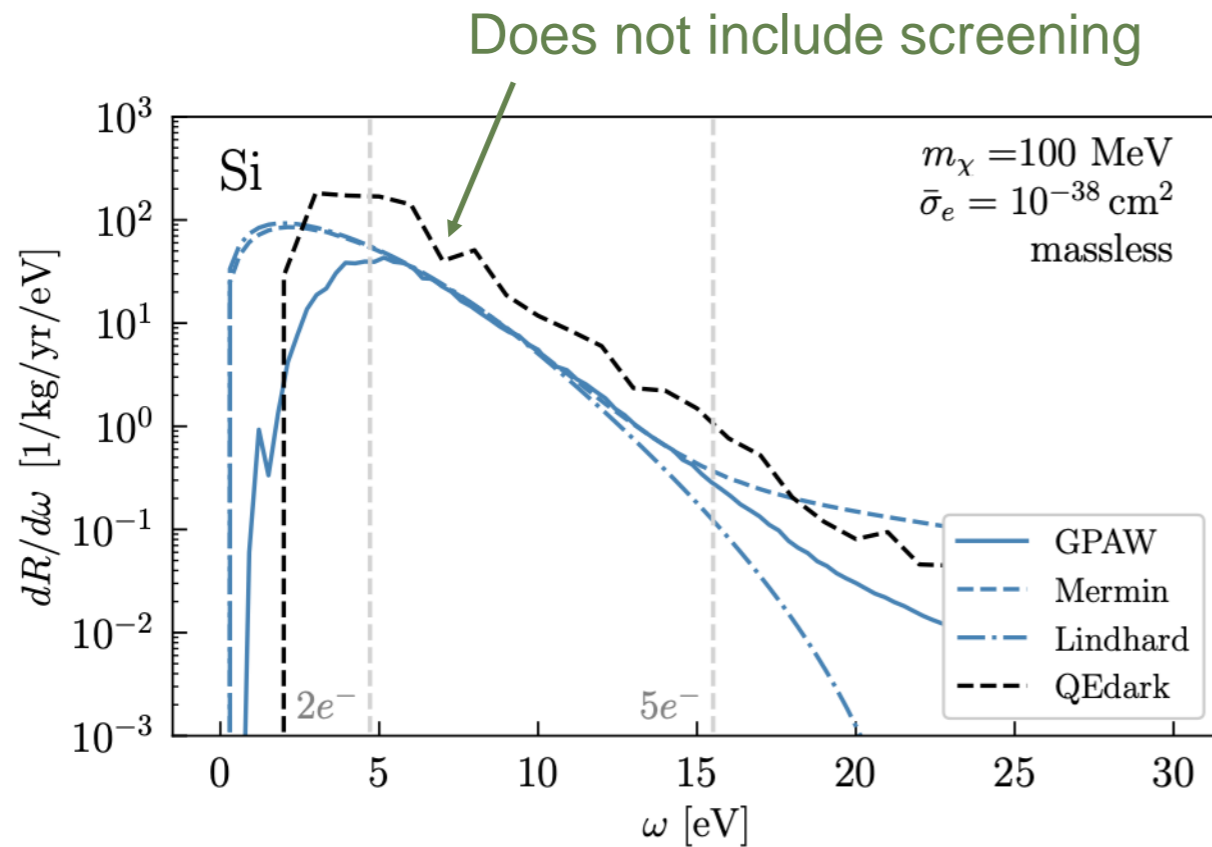
Generally very good agreement, especially between Mermin and GPAW!

Threshold dependence



The screening is the **strongest for energies near the bandgap**, so the higher the threshold the less important it becomes

Differential rate

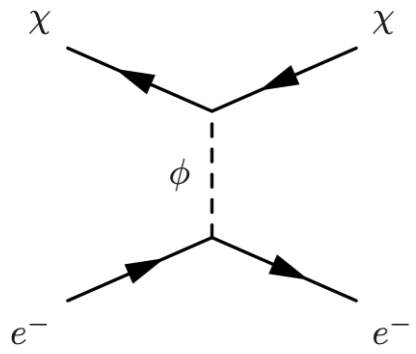


Mermin & GPAW in very good agreement except:

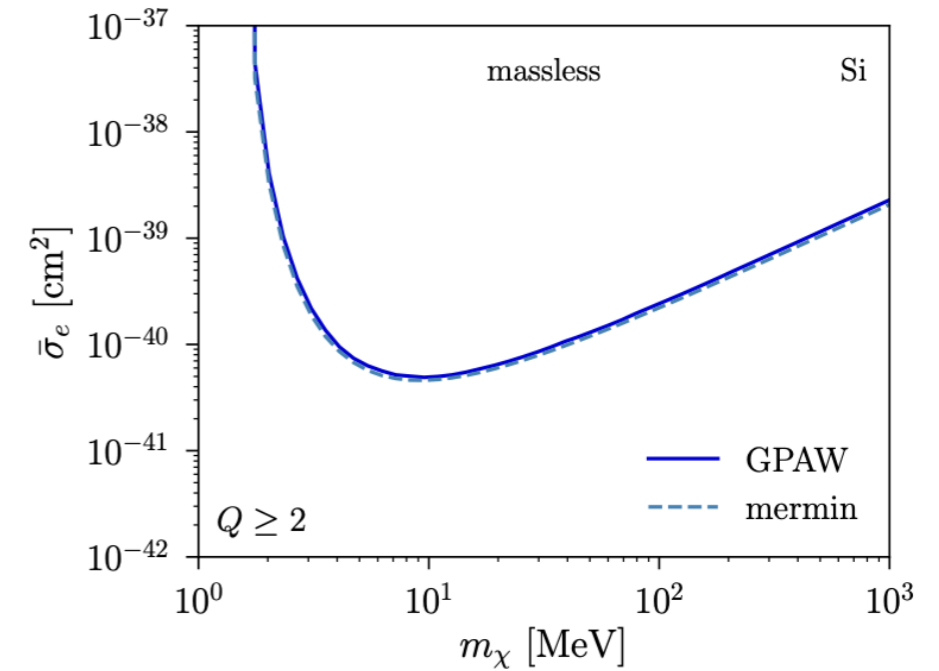
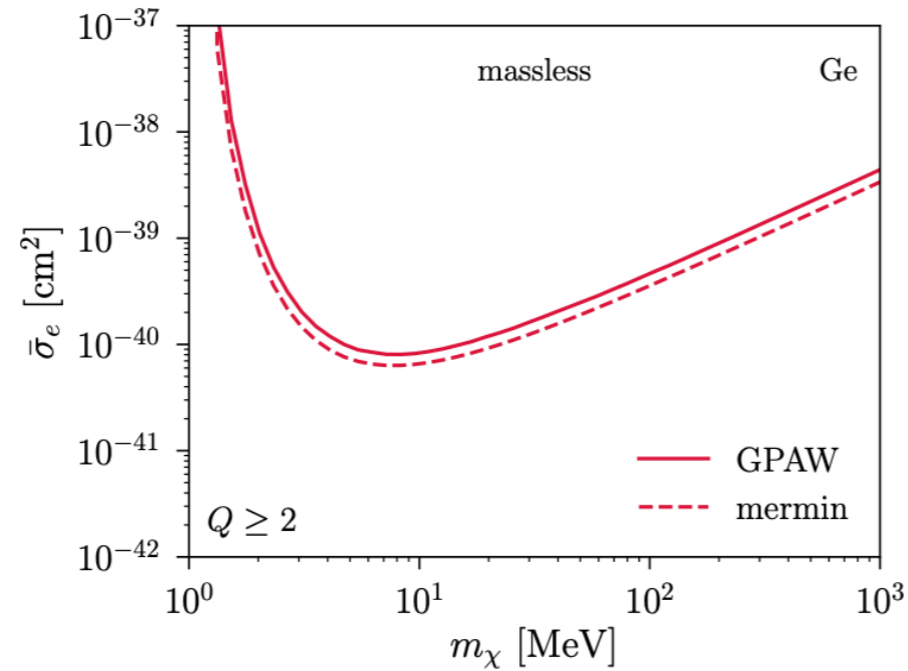
- Single ionization e⁻ region (background dominated)
- High energy region (subdominant)

(Agreement is less good in massive mediator case; work in progress)

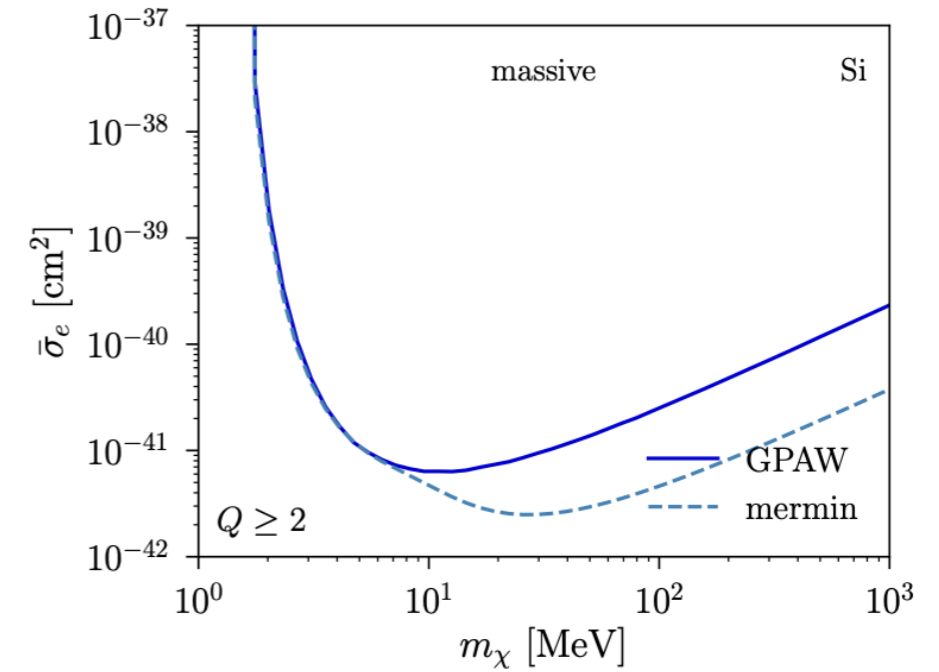
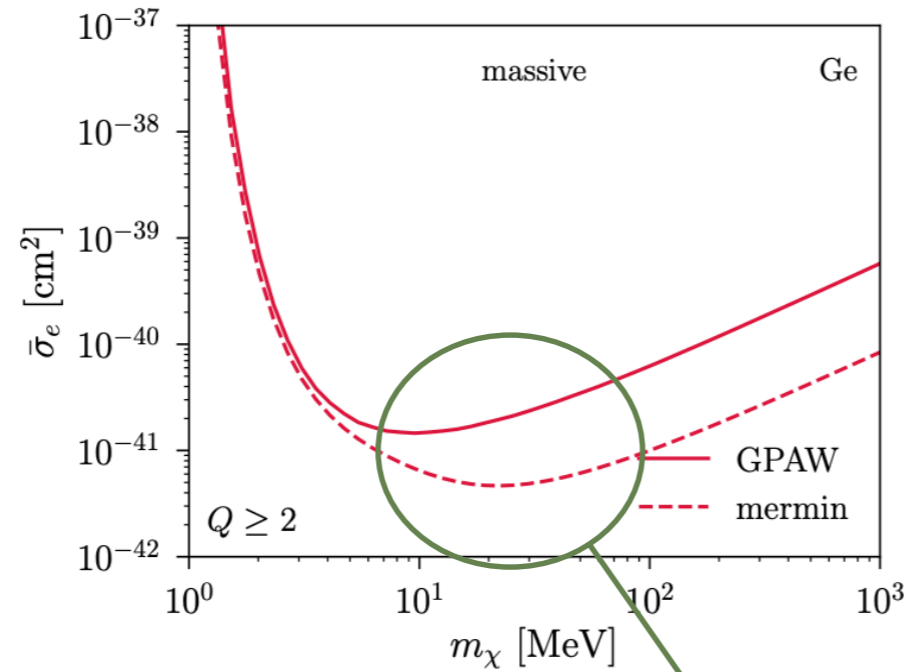
Integrated rate: Mermin vs GPAW



$$m_\phi \ll \alpha m_e$$



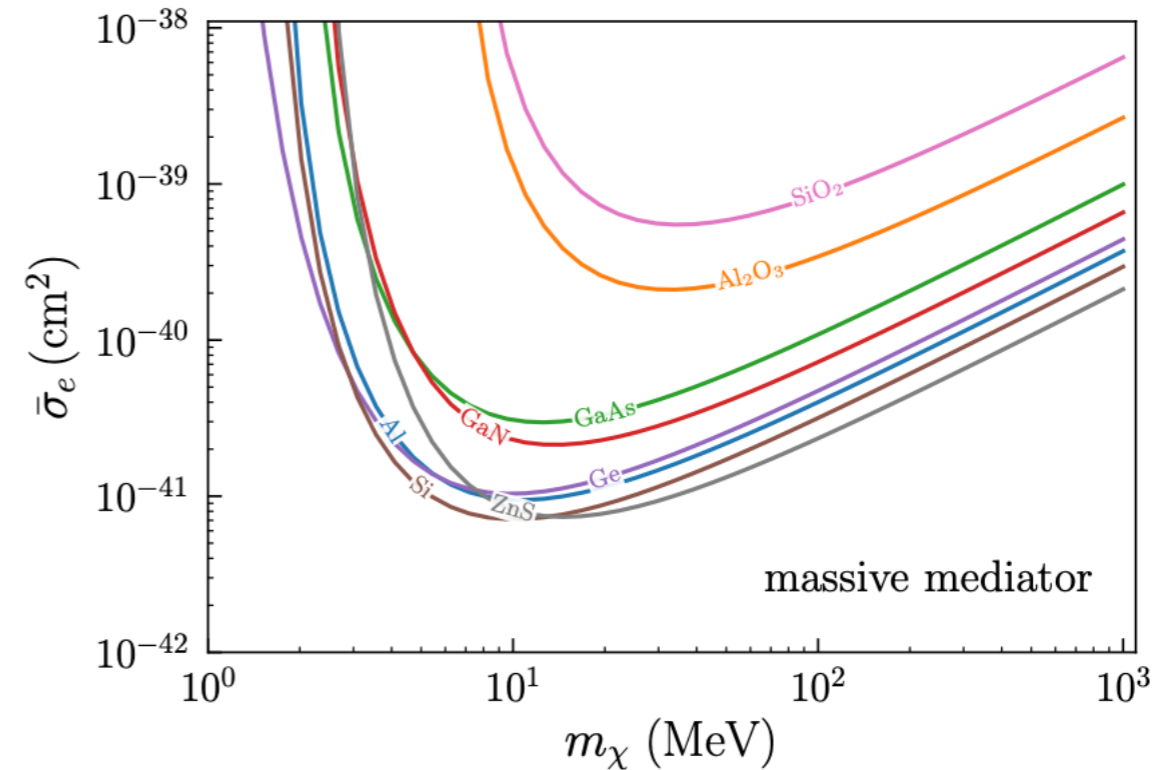
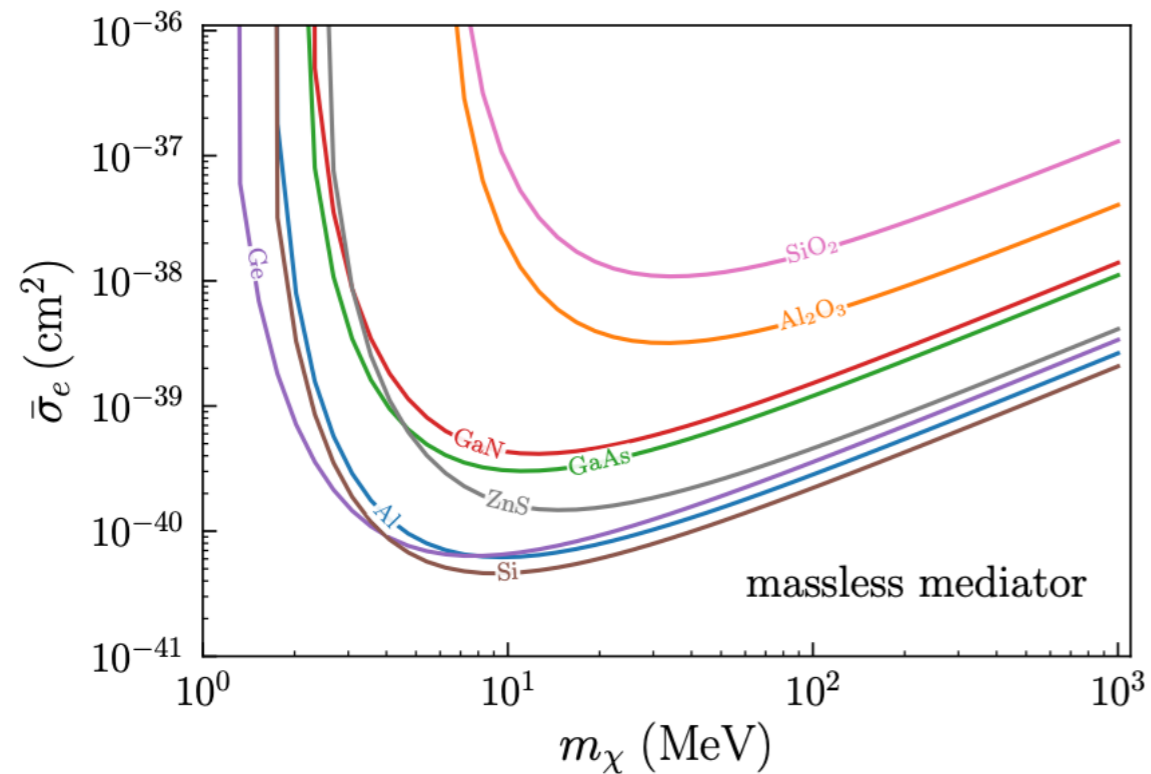
$$m_\phi \gg \alpha m_e$$



High k region of the ELF (work in progress)

Integrated rate: Other materials

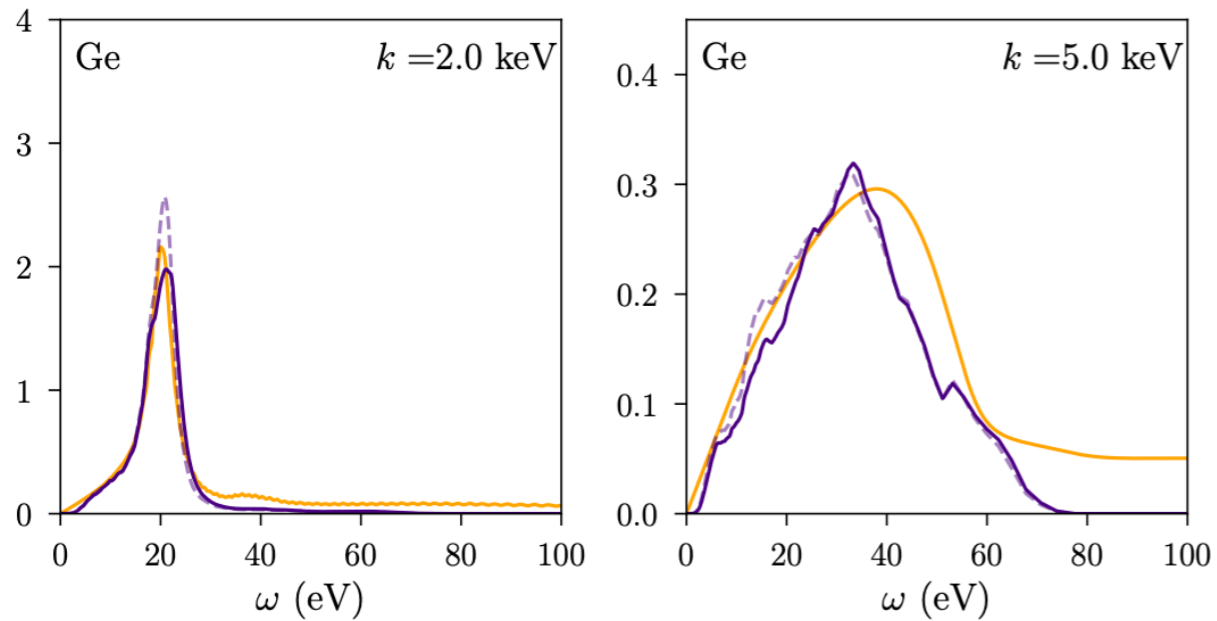
Using the *Mermin* method we can easily scan over many possible targets:



So far only *GPAW* results for Ge and Si, other materials are work in progress

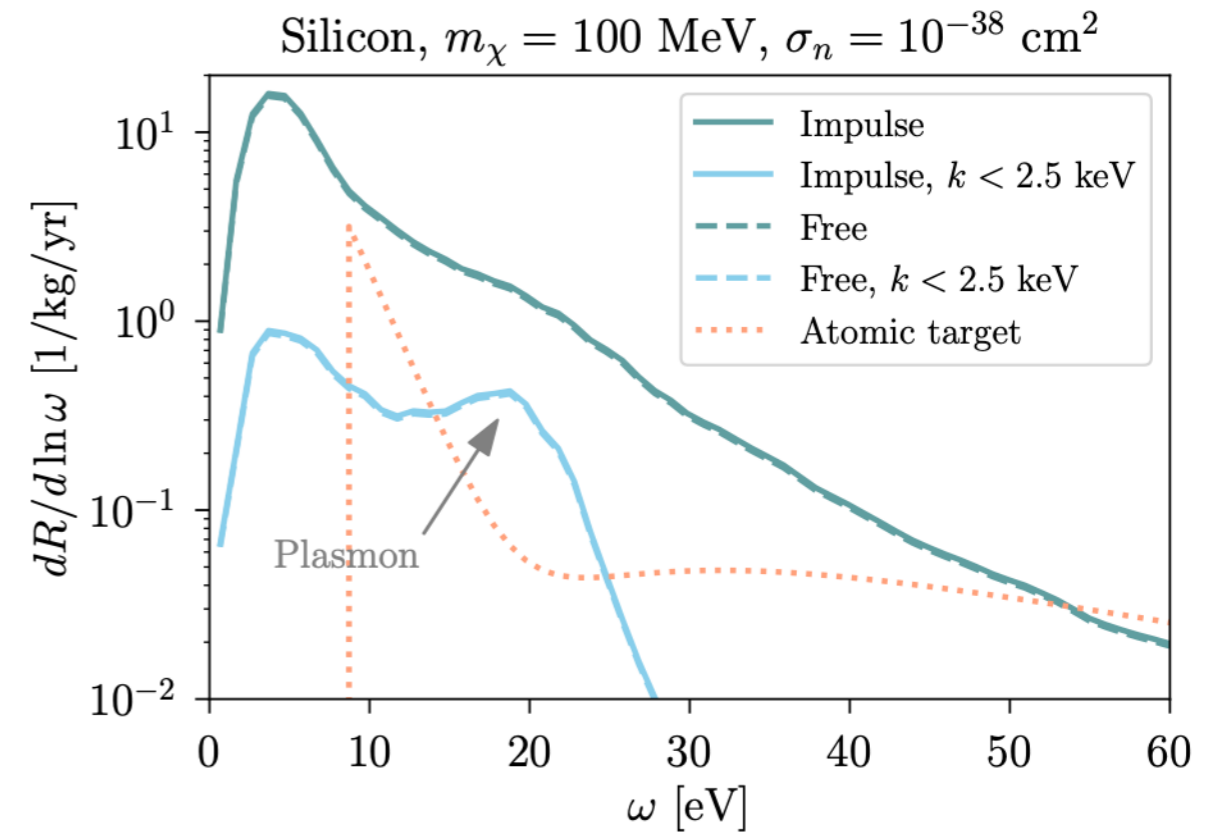
Plasmons

In electron recoils



DM- e^- scattering: $k \gtrsim 4 \text{ keV} \times \omega / (10 \text{ eV})$

In nuclear recoils

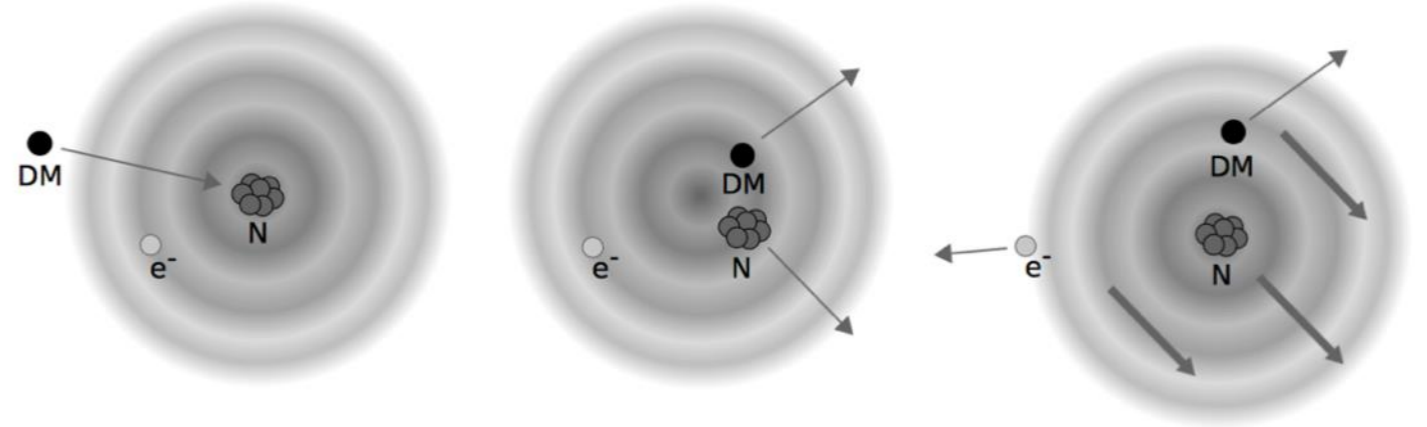


Plasmon production is not relevant in normal materials, for a standard DM velocity profile

Migdal effect in atoms

A **hard nuclear recoil** can cause some electrons to be ionized

Studied in detail for atoms (e.g. Xe)



From 1711.09906 (Dolan et al.)

Step 1: boost to the rest frame of recoiling nucleus

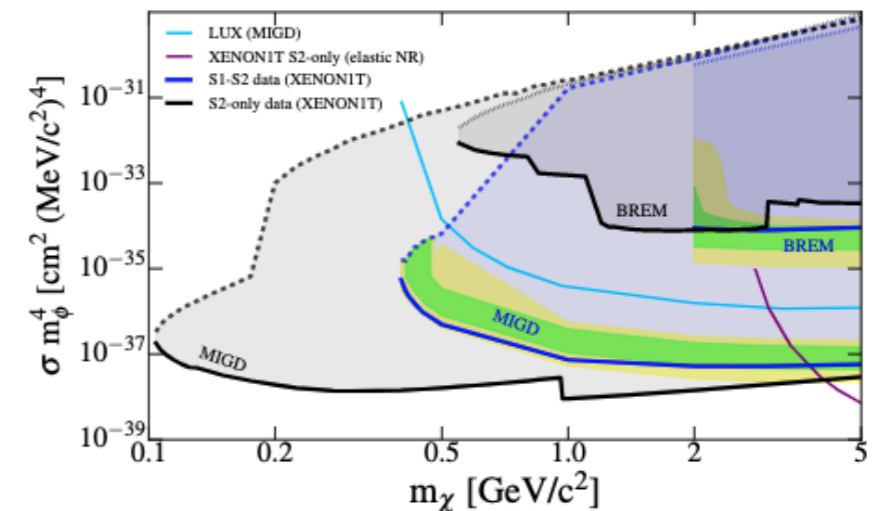
$$|i\rangle \rightarrow e^{im_e \mathbf{v}_N \cdot \sum_{\beta} \mathbf{r}_{\beta}} |i\rangle$$

Step 2: Compute the overlap with the excited wave functions $|f\rangle$

$$\mathcal{M}_{if} = \langle f | e^{im_e \mathbf{v}_N \cdot \sum_{\beta} \mathbf{r}_{\beta}} |i\rangle \approx im_e \langle f | \mathbf{v}_N \cdot \sum_{\beta} \mathbf{r}_{\beta} |i\rangle$$

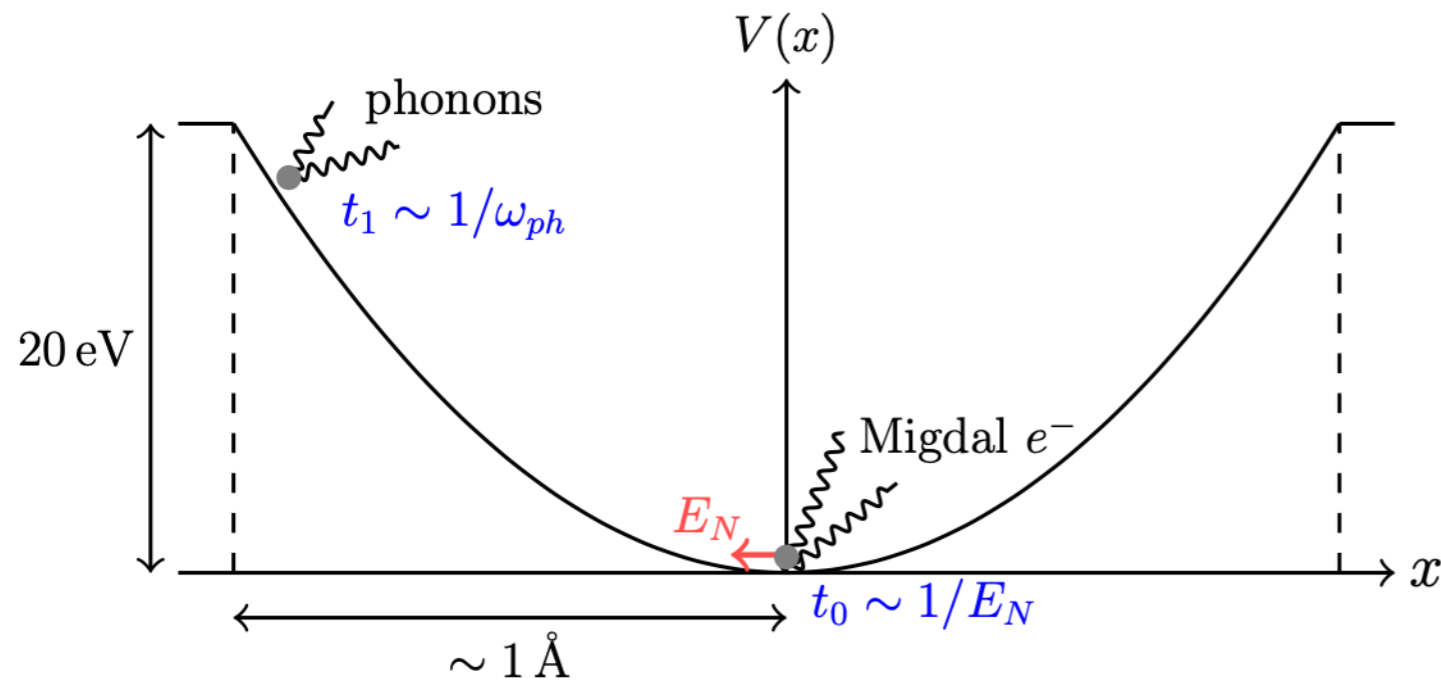


Transition dipole moment



Xenon1T arXiv: 1907.12771

The impulse approximation



If the DM is heavy enough, most collisions take place at an energy well above the typical phonon energy ($\sim 30 \text{ meV}$)

If this is the case, the nucleus doesn't feel the crystal potential during the initial hard recoil

We can treat the *outgoing nucleus* as plane wave on the time scale of the DM collision (The *initial state nucleus* is however still treated as bound in the crystal potential)

This is known as the adiabatic approximation or the *impulse approximation*

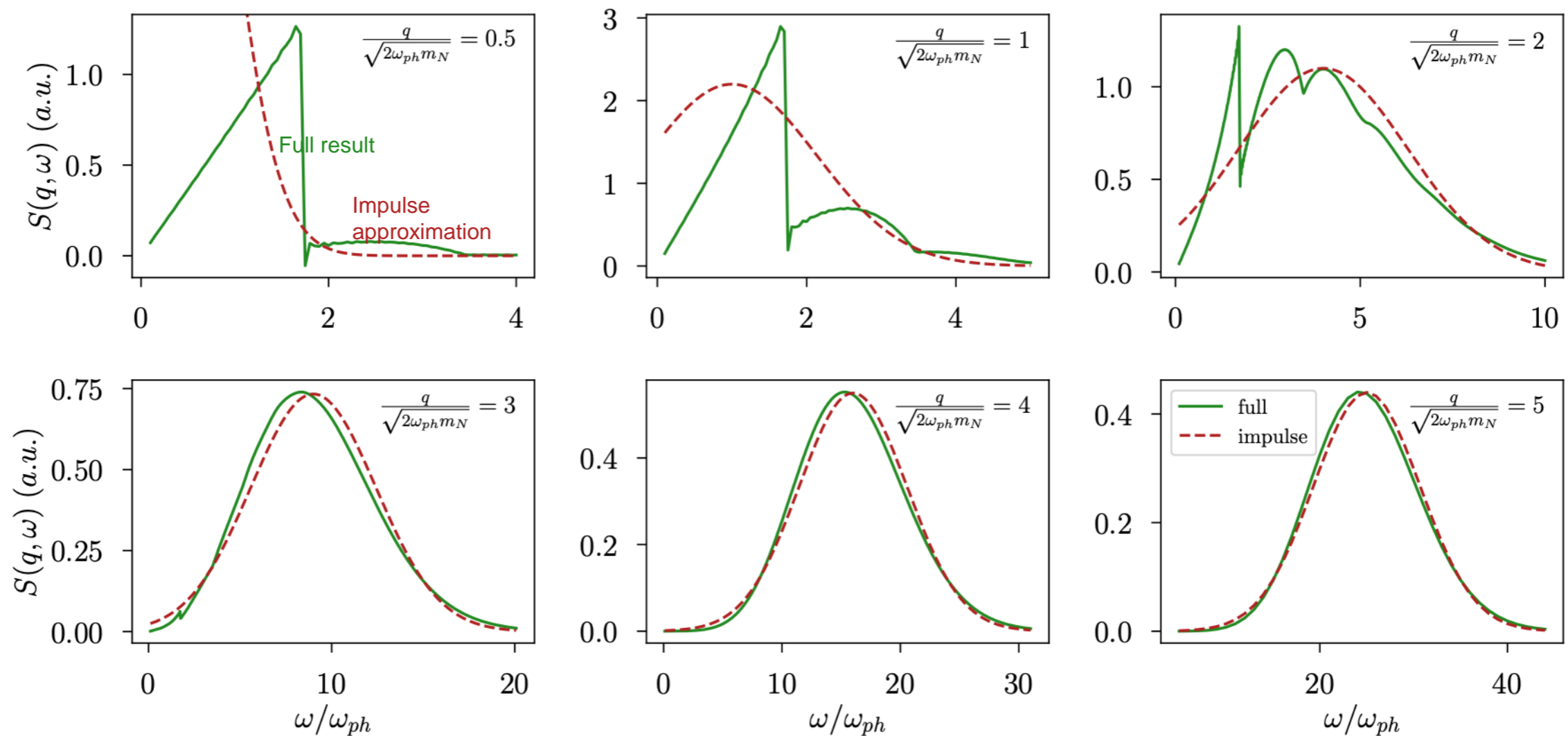
When it is valid we can factorize the long distance physics (phonons) from the short distance physics (Migdal effect).

Crystal form factor

How important is the presence of the lattice for the kinematics of the recoiling nucleus?

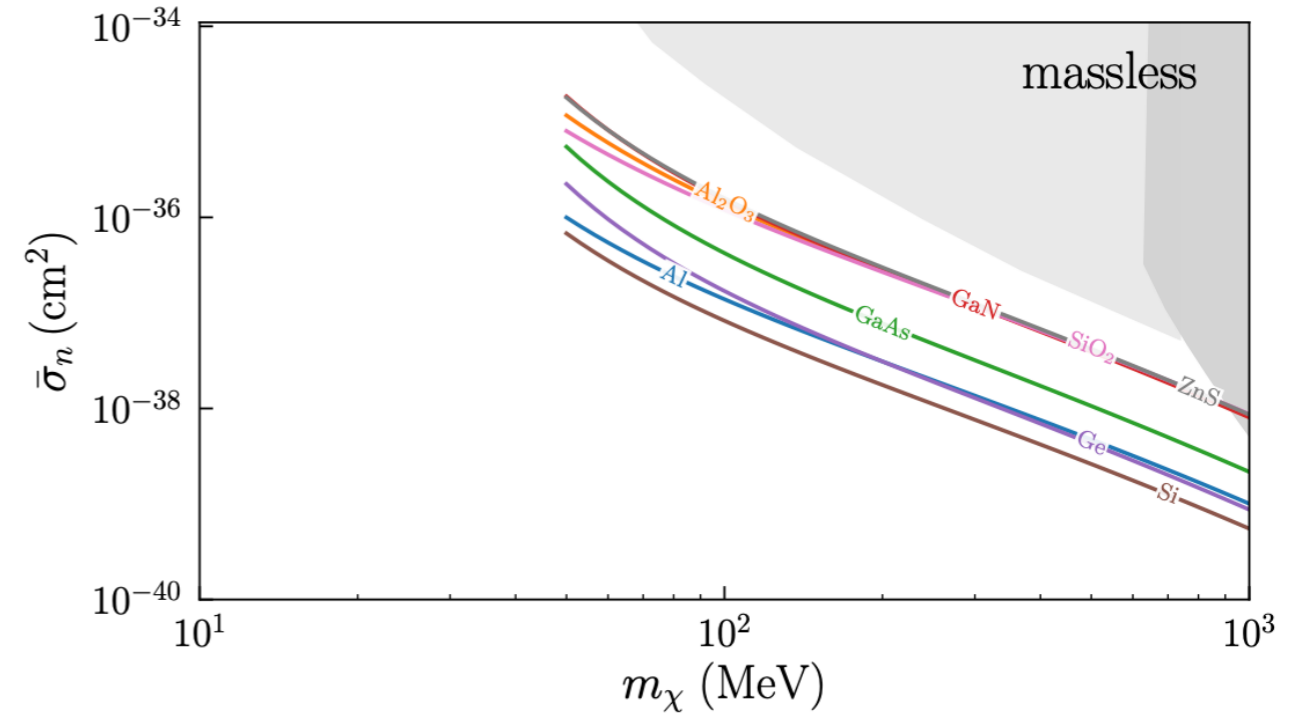
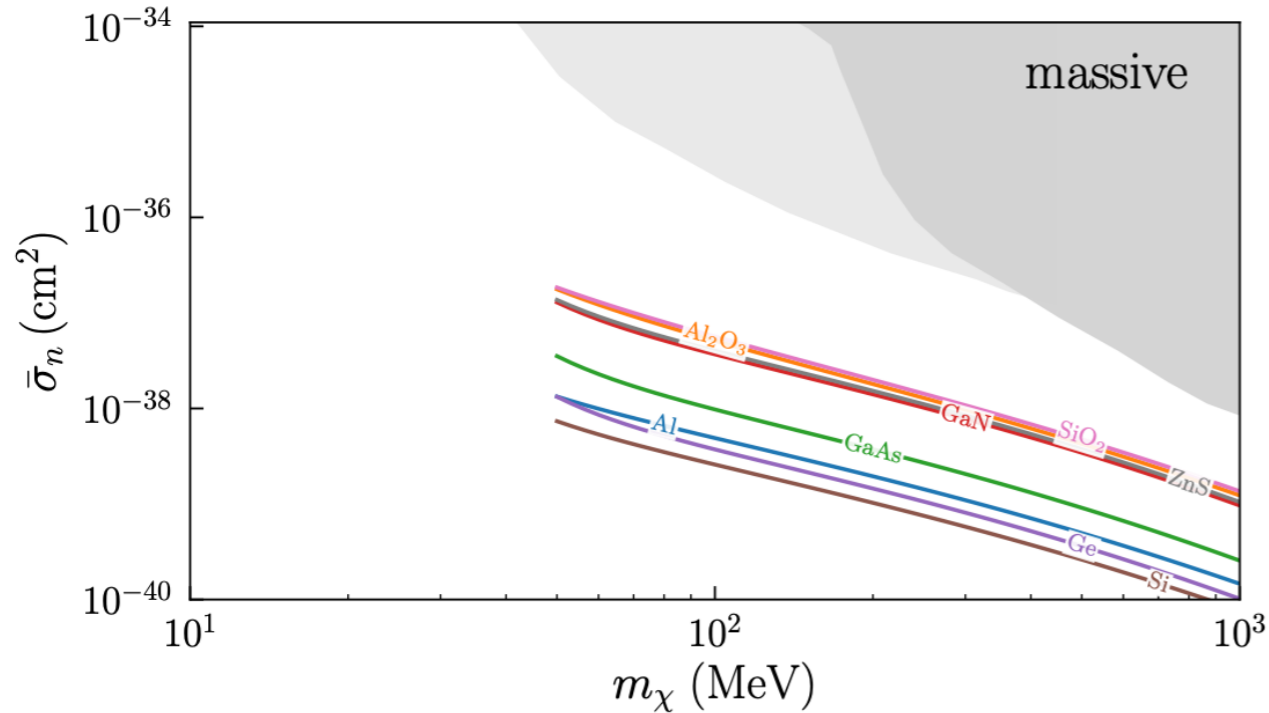
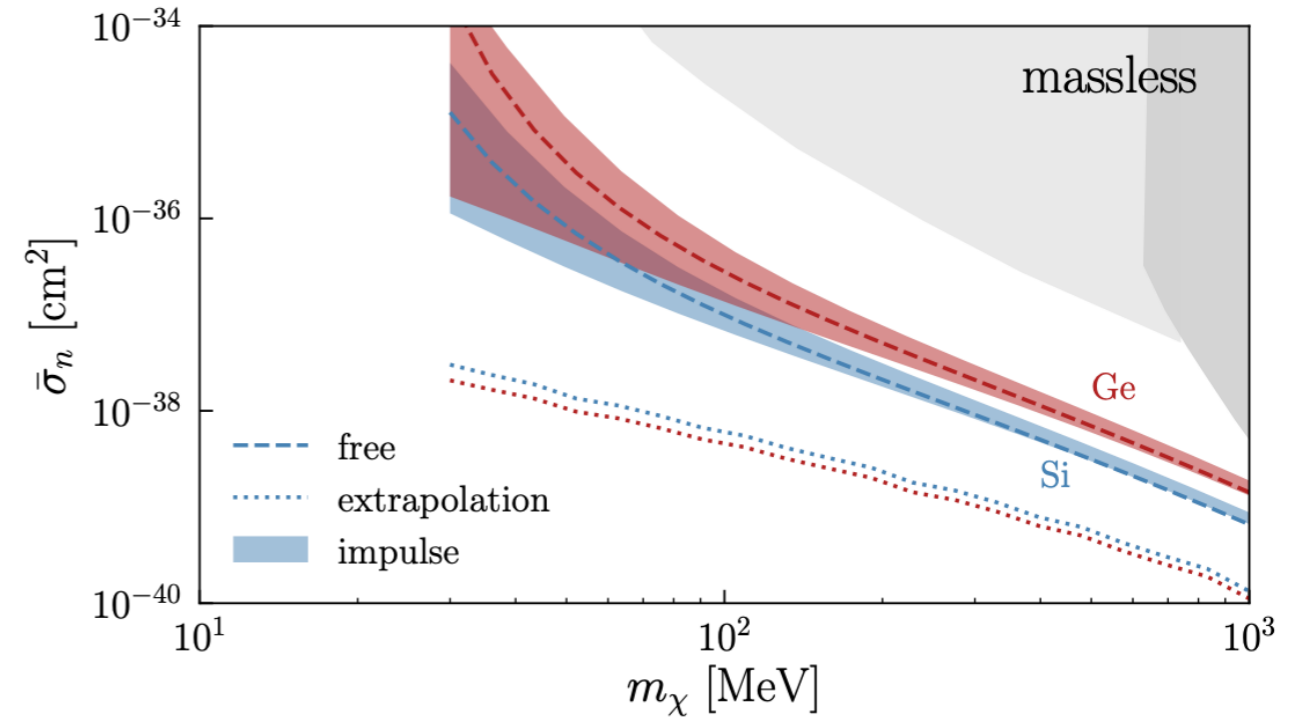
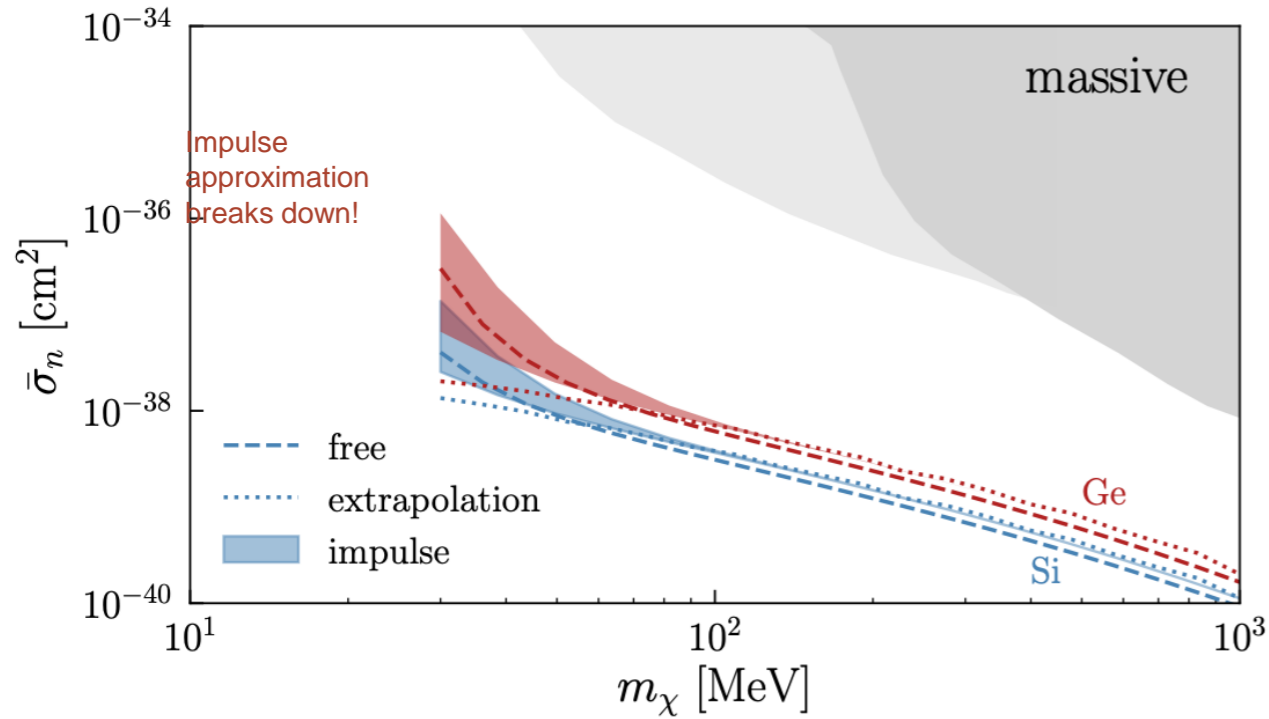
Let's analyse a simplified model of a harmonic crystal with a Debye density of states:

Structure function for regular nuclear recoil (no Migdal):



The impulse approximation fails badly for $q^2 \lesssim m_N \omega_{ph}$

Migdal effect results



We believe the electronic response is on solid ground

Nuclear recoil (impulse approximation) is main source of uncertainty