

Latest results on rare decays with NA62

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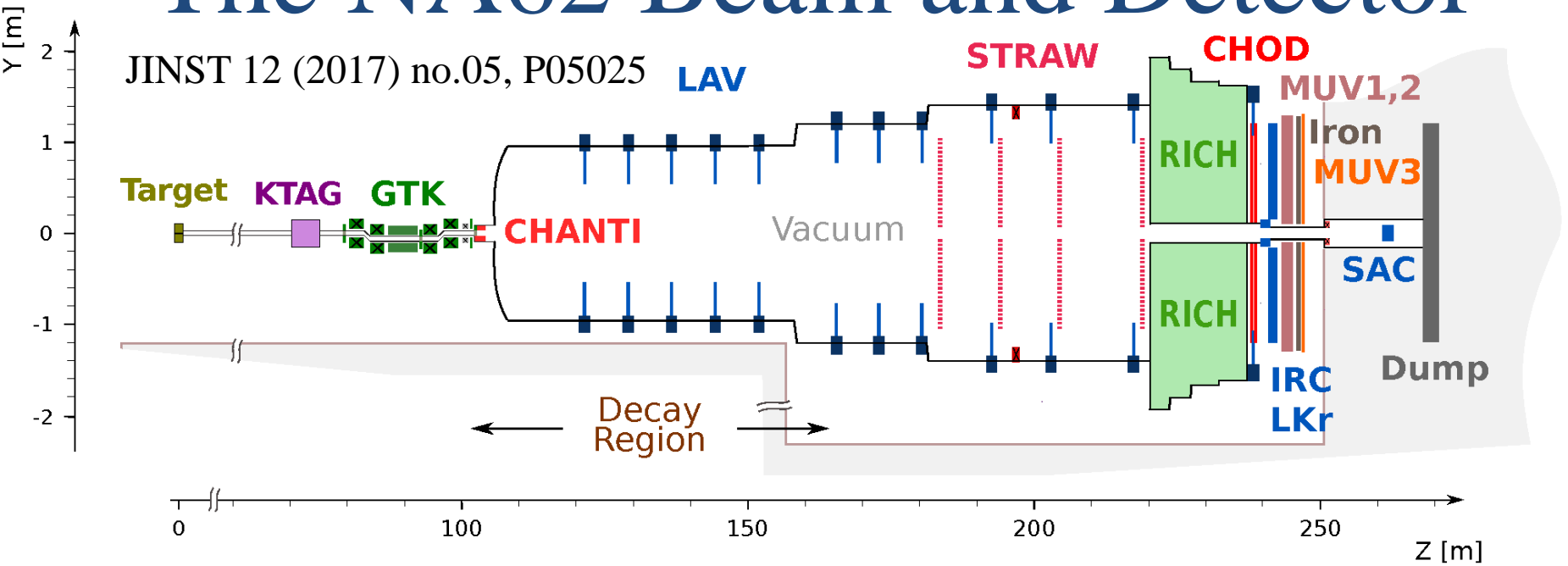
on behalf of the NA62 Collaboration

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Outline

- ✓ The NA62 Experiment at CERN
- ✓ Theoretical introduction to Heavy Neutral Leptons
- ✓ Search for $K^+ \rightarrow \mu^+ \nu \nu \nu$ and $K^+ \rightarrow \mu^+ \nu X$
- ✓ Theoretical introduction to Lepton Flavor and Lepton Number Violation
- ✓ Search for LFV and LNV in K^+ decays

The NA62 Beam and Detector



400 GeV/c protons from the SPS on a beryllium target produce secondary charged beam:
6% are 75 GeV/c K^+ (mixed with π and protons).

1% momentum bite, $\sim 100 \mu\text{rad}$ divergence

~ 10 MHz of raw input data to the L0 trigger (FPGA) from detectors

~ 1 MHz of events passing the first trigger level

L1 and L2 trigger (software) guarantee a maximum of $\mathcal{O}(10)$ kHz of acquisition rate.

NA62 Data taking

- 2014: Pilot Run
- 2015: Commissioning Run
- 2016: 30 days, 40% nominal intensity, 2×10^{11} useful kaon decays
- 2017: 161 days, 60% nominal intensity, 2×10^{12} useful kaon decays
- 2018: 217 days, 60% nominal intensity, 4×10^{12} useful kaon decays
- 2019 – 2020: LS2, no beam
- 2021: \sim 120 days, 100% nominal intensity
- 2022: \sim 200 days, 100% nominal intensity

HNLs

Heavy neutral leptons and ν MSM

Possibility of a set of sterile neutrino mass states

- The ν MSM: the most economical theory accounting for ν masses and oscillations, baryogenesis and dark matter
[Asaka, Blanchet, Shaposhnikov PLB 631 (2005) 151]
- Three Heavy Neutral Leptons (HNLs)
- See-saw mechanism: one with $m_1 \sim 10 \text{KeV}/c^2$, two with $m_{2,3} \sim 1 \text{ GeV}/c^2$, these last ones observable via production and decay

$$\text{NA62: } K^+ \rightarrow \ell^+ N \quad (\ell = e, \mu)$$

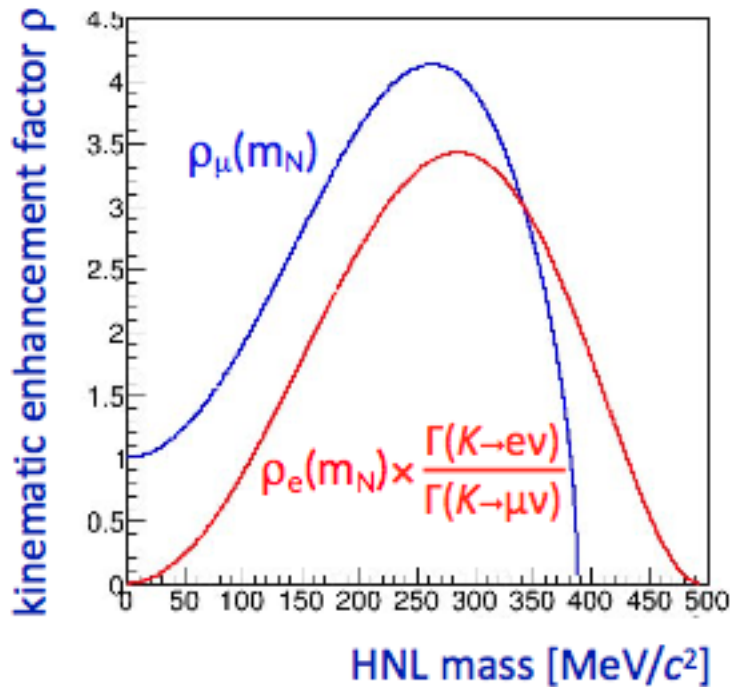
HNL production in K decays

$$BR(K^+ \rightarrow \ell^+ N) = BR(K^+ \rightarrow \ell^+ \nu) \cdot \rho_\ell(m_N) \cdot |U_{\ell 4}|^2$$

Strength of mixing
with SM neutrinos.

Parameter of interest

Kinematic enhancement factor



- HNL production is enhanced wrt to SM decays
- A very strong enhancement in the $K^+ \rightarrow e^+ N$ case, because the helicity suppression is relaxed

$$R_K \sim 2.5 \times 10^{-5}$$

Search for $K^+ \rightarrow \mu^+ \nu \nu \nu$ and $K^+ \rightarrow \mu^+ \nu X$

- $K^+ \rightarrow \mu^+ \nu \nu \nu$:

- ❖ Very rare process

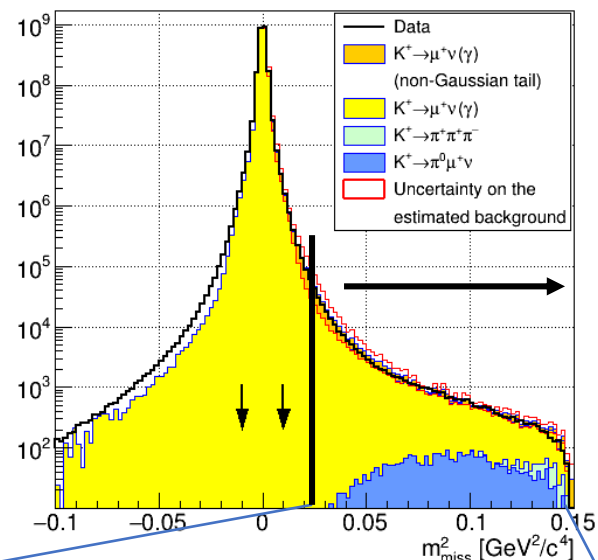
- ❖ SM: $\text{Br} \approx 1.6 \times 10^{-16}$

- [Gorbunov, Mitofanov, JHEP 10 (2016) 039]

- ❖ Current limit: $\text{Br} < 2.4 \times 10^{-6}$

- [E949, PRD 94 (2016) 032012]

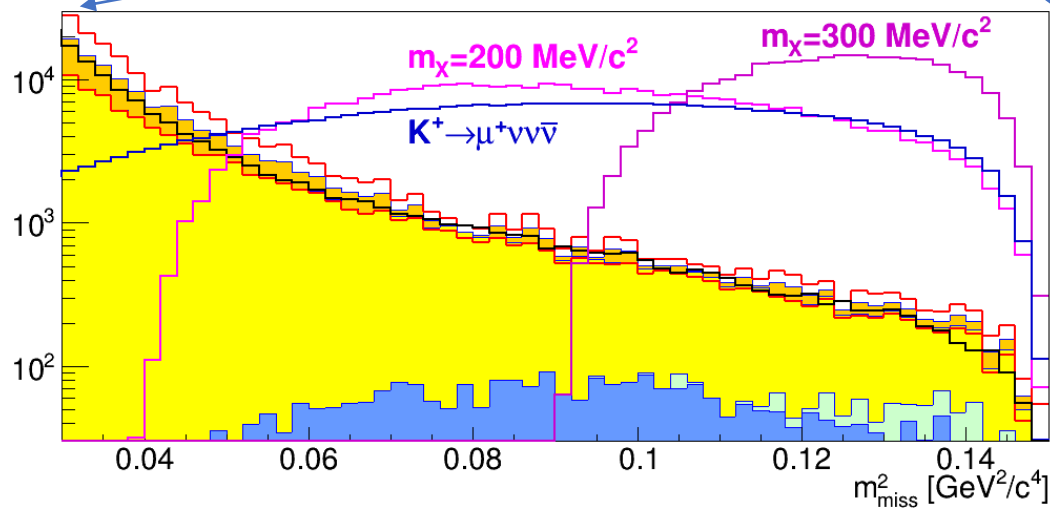
$$(P_K - P_\mu)^2$$



- $K^+ \rightarrow \mu^+ \nu X$:

- ❖ X can be a scalar or vector particle

- [Krnjaic et al., PRD 124 (2020) 041802]



Search for $K^+ \rightarrow \mu^+ \nu \nu \nu$ and $K^+ \rightarrow \mu^+ \nu X$

- $K^+ \rightarrow \mu^+ \nu \nu \nu$:

- ❖ Search region: $m_{\text{miss}}^2 > 0.1 \text{ GeV}^2/c^4$
(optimized to extract strongest limit)

- ❖ Observed events: 6894

MC expectation: 7549 ± 92

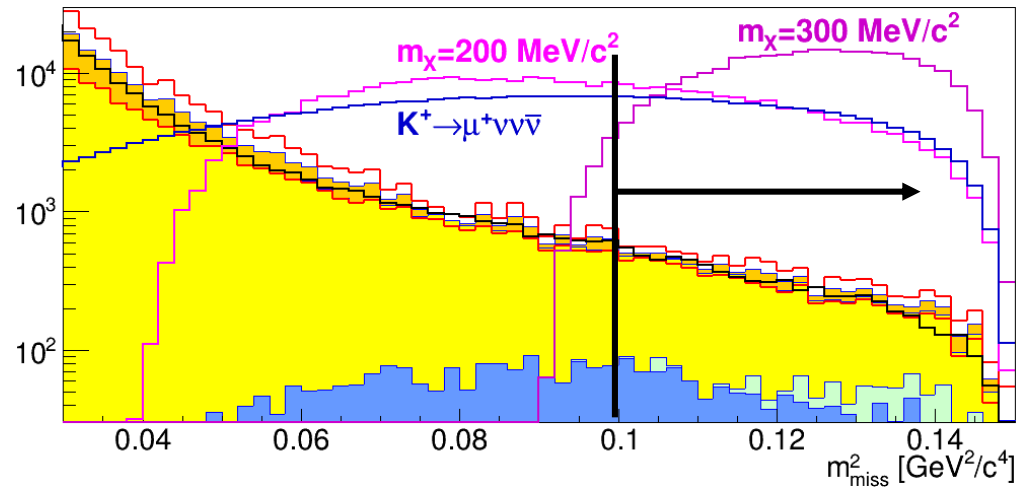
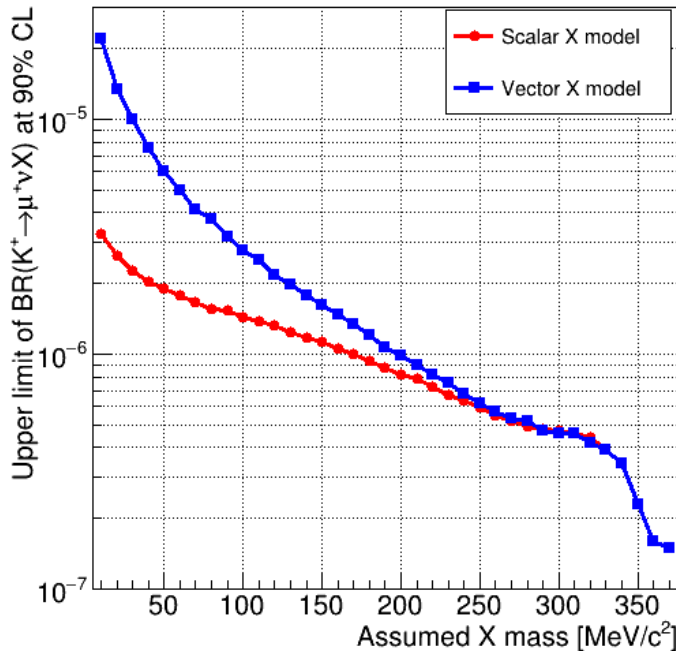
$\text{Br}(K^+ \rightarrow \mu^+ \nu \nu \nu) < 1.0 \times 10^{-6} @ 90\% \text{ CL}$

- $K^+ \rightarrow \mu^+ \nu X$:

- ❖ Limit extraction in the mass range 10 -137 MeV/c^2

- ❖ No signal observed

UL of $\mathcal{O}(10^{-7} - 10^{-5})$



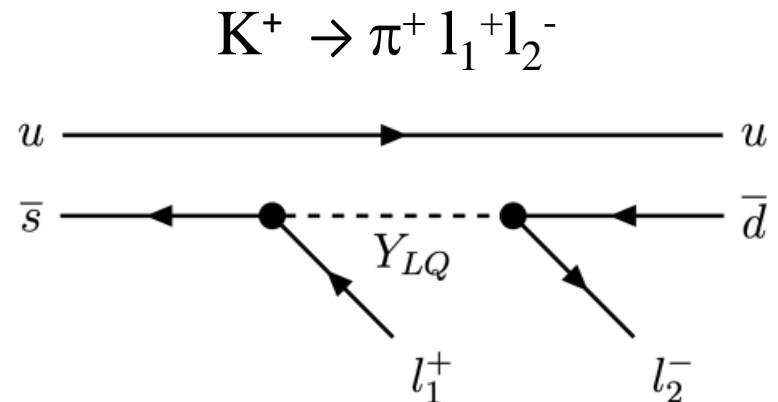
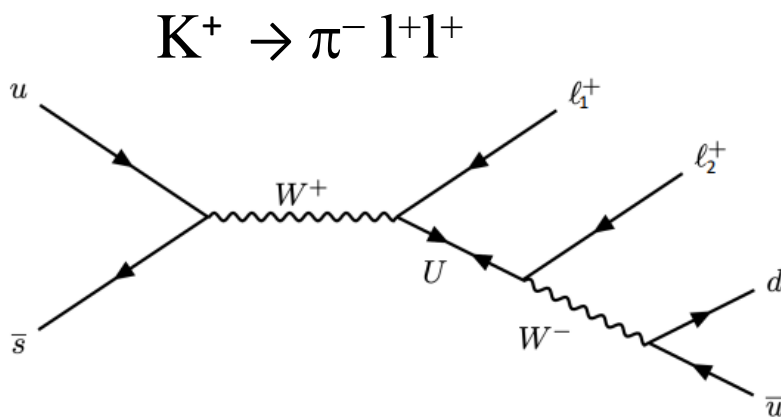
LFV and LNV

Lepton Number/Flavor violation

- Lepton number (L) and lepton flavor (L_e, L_μ, L_τ) in the SM are conserved quantities
- If violation of these quantities is observed this is a clear indication of Physics Beyond the SM

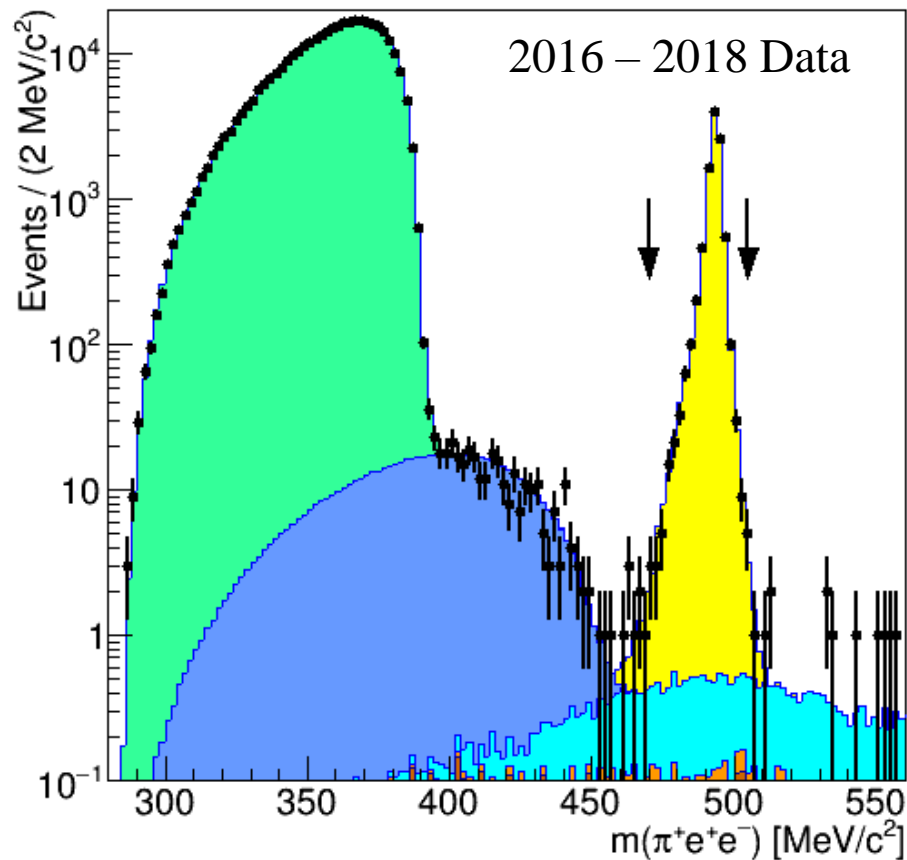
See saw mechanism provides a source of LNV ($\Delta L = 2$) through the exchange of Majorana neutrinos as in $0\nu 2\beta$ decay [JHEP 0905 (2009) 030]

LFV ($\Delta L_e = 1, \Delta L_\mu = 1$) processes can occur via the exchange of leptoquarks, of a Z' boson, or in SM extensions with light pseudoscalar bosons [JHEP 10 (2018) 148, Rev. Mod. Phys. 81, 1199 (2009), JHEP 01 (2020)158]



Search for $K^+ \rightarrow \pi^-(\pi^0)e^+e^+$

SM: $K^+ \rightarrow \pi^- e^+e^-$



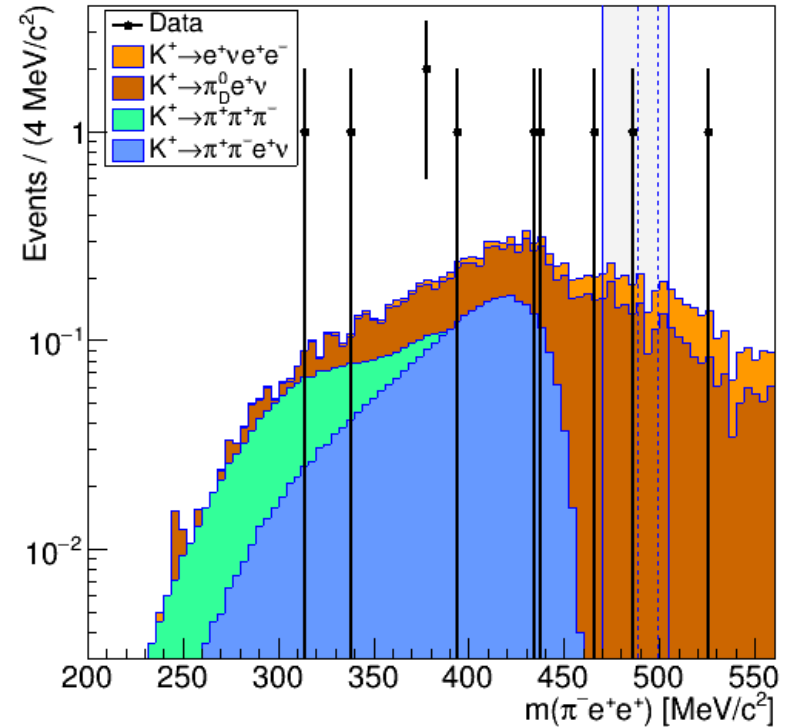
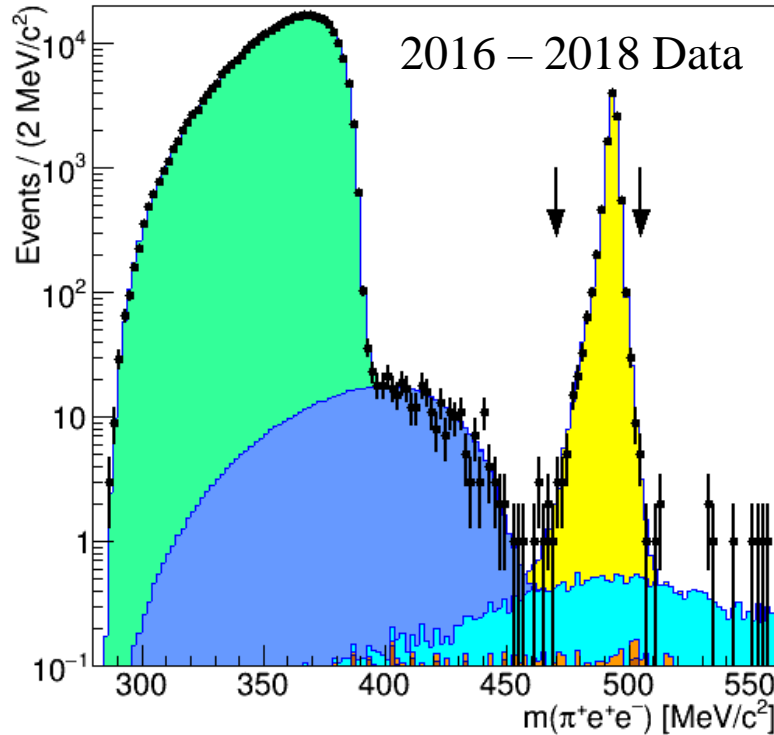
❖ $N(K^+ \rightarrow \pi^- e^+e^-) = 11041$

❖ $N(K^+) = (1.015 \pm 0.010 \pm 0.030) \times 10^{12}$

Search for $K^+ \rightarrow \pi^- e^+ e^+$

SM: $K^+ \rightarrow \pi^- e^+ e^-$

LNV: $K^+ \rightarrow \pi^- e^+ e^+$



- ❖ $A_{cc}(\text{sig}) = 4.23\%$
- ❖ $SES = 2.28 \times 10^{-11}$

After unblinding $N_{SR}(K^+ \rightarrow \pi^- e^+ e^+) = 0$

$N_{exp}(\text{bkg}) = 0.43 \pm 0.09$

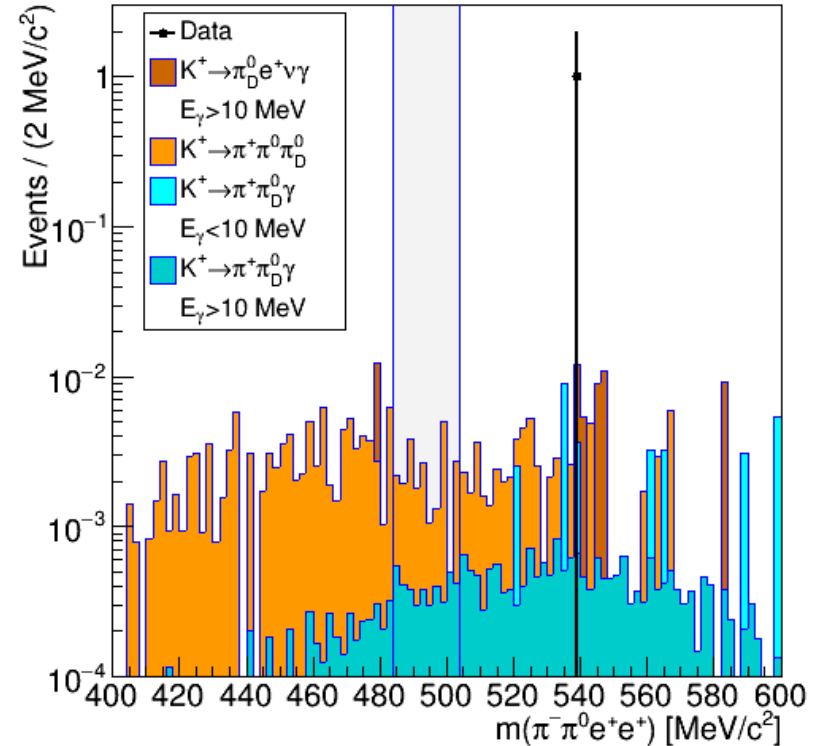
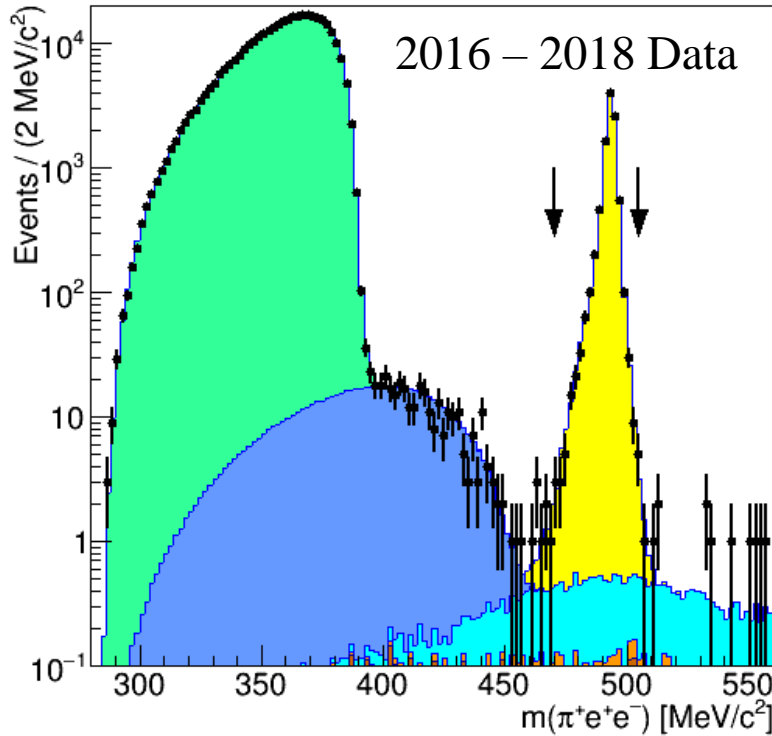
$Br(K^+ \rightarrow \pi^- e^+ e^+) < 5.3 \times 10^{-11}$ @ 90%CL [PLB 830 (2022) 137172]

$Br(K^+ \rightarrow \pi^- e^+ e^+) < 6.4 \times 10^{-10}$ @ 90%CL (Previous limit)

Search for $K^+ \rightarrow \pi^- \pi^0 e^+ e^+$

SM: $K^+ \rightarrow \pi^- e^+ e^-$

LNV: $K^+ \rightarrow \pi^- \pi^0 e^+ e^+$



- ❖ $A_{cc}(\text{sig}) = 0.271\%$
- ❖ $SES = 3.68 \times 10^{-10}$

After unblinding $N_{SR}(K^+ \rightarrow \pi^- \pi^0 e^+ e^+) = 0$

$N_{exp}(\text{bkg}) = 0.044 \pm 0.020$

$Br(K^+ \rightarrow \pi^- \pi^0 e^+ e^+) < 8.5 \times 10^{-10}$ @ 90%CL [PLB 830 (2022) 137172]

NA62 LNV and LFV summary

Decay	Previous limit @90% CL	NA62 limit @90% CL	Data taking	Improved by a factor	Paper
$K^+ \rightarrow \pi^- \mu^+ \mu^+$	8.6×10^{-11}	4.2×10^{-11}	2017	2	PLB 797 (2019) 134794
$K^+ \rightarrow \pi^- e^+ e^+$	6.4×10^{-10}	5.3×10^{-11}	Run1	12	PLB 830 (2022) 137172
$K^+ \rightarrow \pi^- \pi^0 e^+ e^+$	no limit	8.5×10^{-10}	Run1		
$K^+ \rightarrow \pi^- \mu^+ e^+$	5.0×10^{-10}	4.2×10^{-11}	2017+2018	12	
$K^+ \rightarrow \pi^+ \mu^- e^+$	5.2×10^{-10}	6.6×10^{-11}	2017+2018	8	PRL 127 131802 (2021)
$\pi^0 \rightarrow \mu^- e^+$	3.4×10^{-9}	3.2×10^{-10}	2017+2018	13	
$K^+ \rightarrow \mu^+ \nu e^+ e^+$	2.1×10^{-8}	An. in progress			
$K^+ \rightarrow e^+ \nu \mu^+ \mu^+$	no limit	An. in progress			

Conclusion

- ❑ World best upper limit on $\text{Br}(\text{K}^+ \rightarrow \mu^+ \nu \nu \nu)$
- ❑ World best upper limits on LNV/LFV kaon decays have been set
- ❑ First search of $\text{K}^+ \rightarrow \pi^- \pi^0 e^+ e^+$
- ❑ NA62 Run 2 started in 2021 until the LS3

Spares

$K^+ \rightarrow l^+ N$ Upper Limits

