

# Plan for the course

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## Lecture 1: big picture

- Why jets?
- $\gamma^* \rightarrow q\bar{q}g$ : singularity structure
- Resummation and parton showers

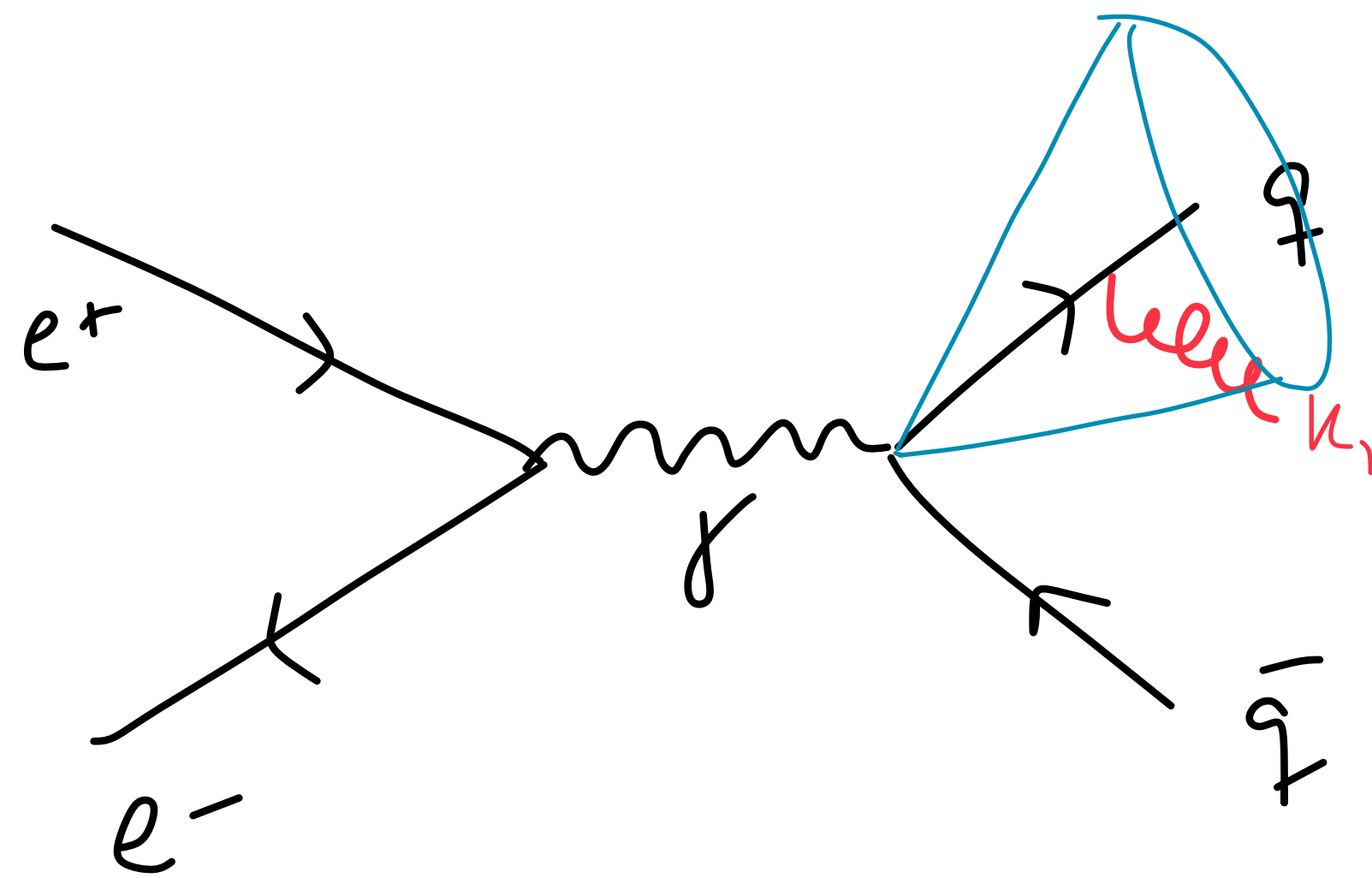
## Lecture 2: jet algorithms

- Core ideas of jet reconstruction
- Sequential recombination algorithms
- Optimising jet parameters

## Lecture 3: jet substructure

- The question of flavour
- Calculability: groomed jet mass
- Observables at the LHC

# Quick recap: how did we define jets yesterday?



Definitions:  $m^2 = \left( \sum_{i \in \text{jet}} k_i \right)^2$ ;  $\Sigma(m^2) = \frac{1}{\sigma} \int_0^{m^2} dm'^2 \frac{d\sigma}{dm'^2} = 1 + \alpha_s \Sigma^{(1)} + \mathcal{O}(\alpha_s^2)$

Soft limit:  $|M_R|^2 = \frac{\alpha_s}{2\pi} (2C_F) \frac{k_1 \cdot k_2}{(k_1 \cdot k_3)(k_2 \cdot k_3)}$

$k_1 = \frac{Q}{2} (1, 0, 0, 1)$   
 $k_2 = \frac{Q}{2} (1, 0, 0, -1)$

Phase-space:  $\int d\Phi = \int_0^\infty w dw \int_{-1}^1 d\cos\theta \int_0^{2\pi} \frac{d\phi}{2\pi}$

$\frac{k_3}{2\eta_3} = w(1, \sin\theta \cos\phi, \sin\theta \sin\phi, \cos\theta)$

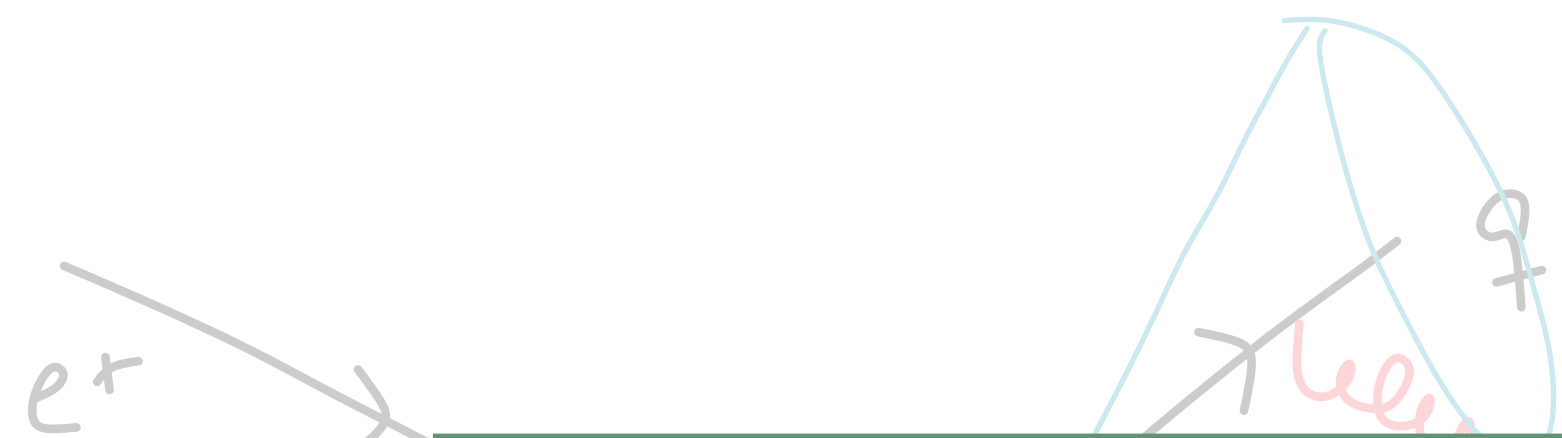
$$\alpha_s \Sigma^{(1)}(m^2) = \int_{-1}^1 d\cos\theta \int_0^{2\pi} \frac{d\phi}{2\pi} \int_0^{Q/2} w dw \frac{2C_F \alpha_s}{\pi} \frac{1}{w^2(1-\cos\theta)(1+\cos\theta)}$$

$$\times \left[ \Theta_{\text{in-jet}} \Theta \left( \frac{2Qw}{2} (1-\cos\theta) < m^2 \right) + \Theta_{\text{out-jet}} - 1 \right]$$

$\uparrow$   $\uparrow$   $\uparrow$   
 $\Theta(1-\cos\theta < 1-\cos R)$   $1 - \Theta_{\text{in-jet}}$   $\text{Virtual}$

# Quick recap: how did we define jets yesterday?

Definitions:  $m^2 = \left( \sum_{i \in \text{jet}} k_i \right)^2$ ;  $\Sigma(m^2) = \frac{1}{\sigma} \int_0^{m^2} dm'^2 \frac{d\sigma}{dm'^2} = 1 + \alpha_s \Sigma^{(1)} + \mathcal{O}(\alpha_s^2)$



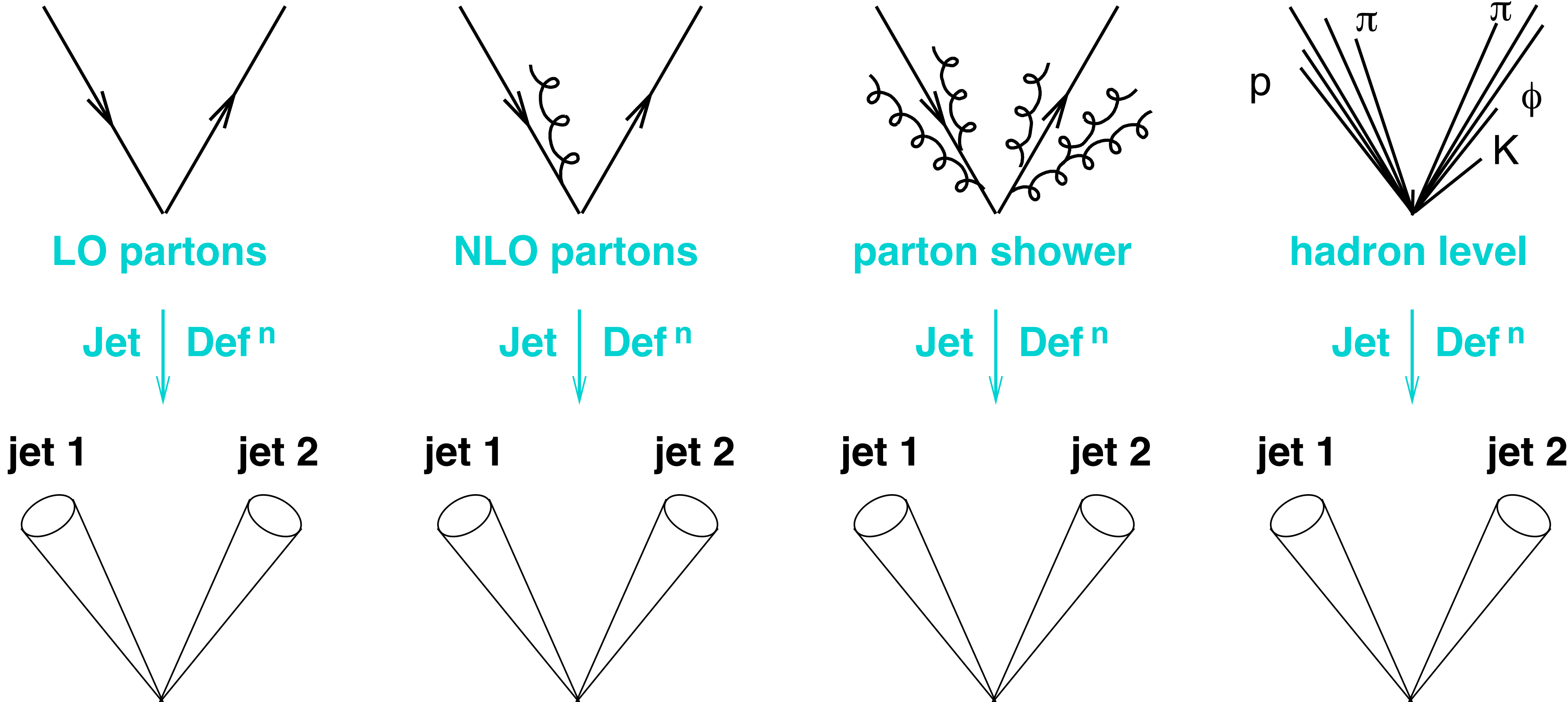
How do we implement  $\theta < R$  when reconstructing collider events?

$$\times \left[ \theta_{\text{in-jet}} \theta \left( \frac{2QW}{2} (1 - \cos\theta) < m^2 \right) + \theta_{\text{out-jet}} - 1 \right]$$

$\uparrow$   $\theta (1 - \cos\theta < 1 - \cos R)$        $\uparrow$   $1 - \theta_{\text{in-jet}}$        $\uparrow$  virtual

# Some considerations: jet finding as a form of projection

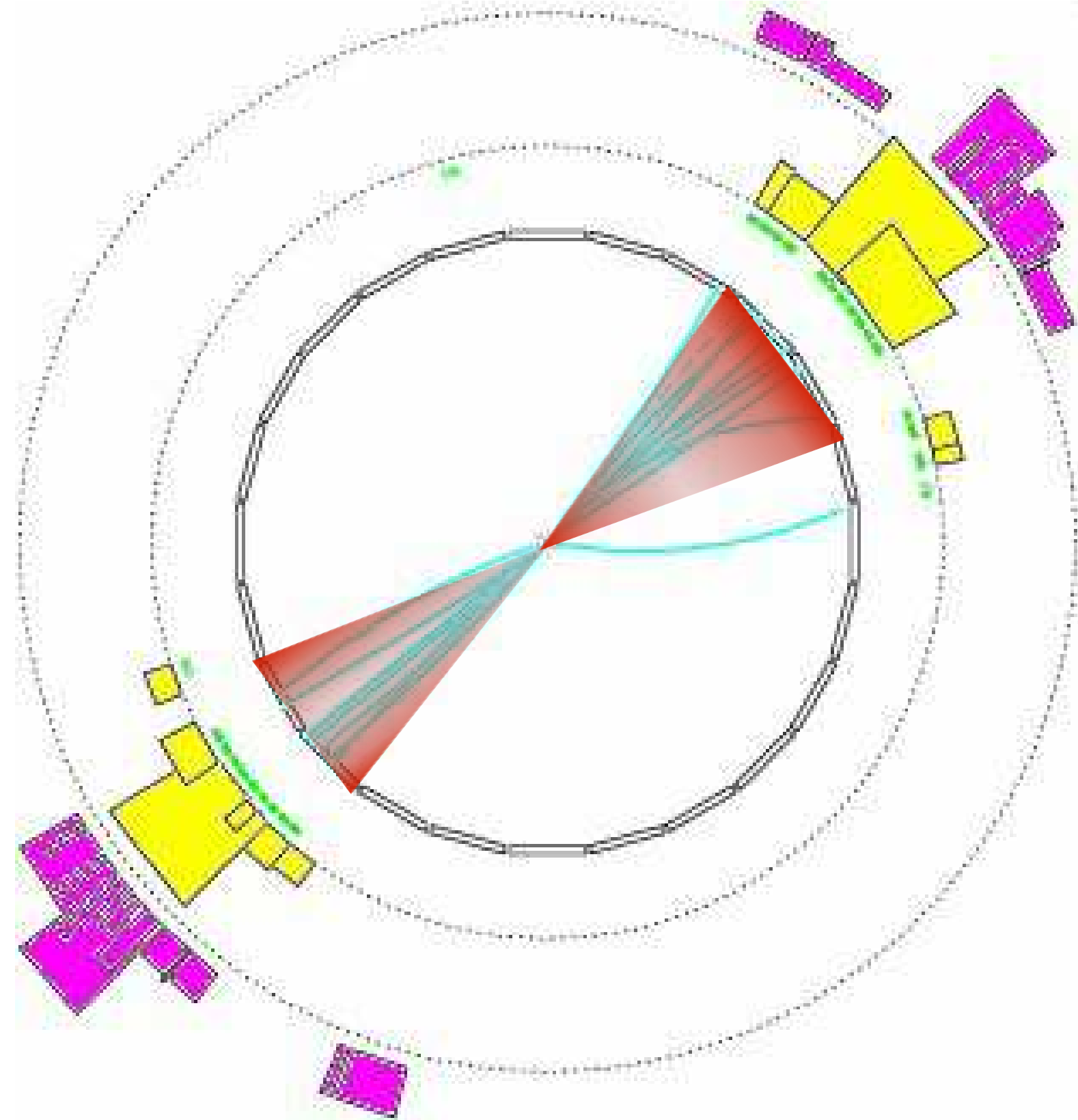
[Adapted from G.P. Salam]



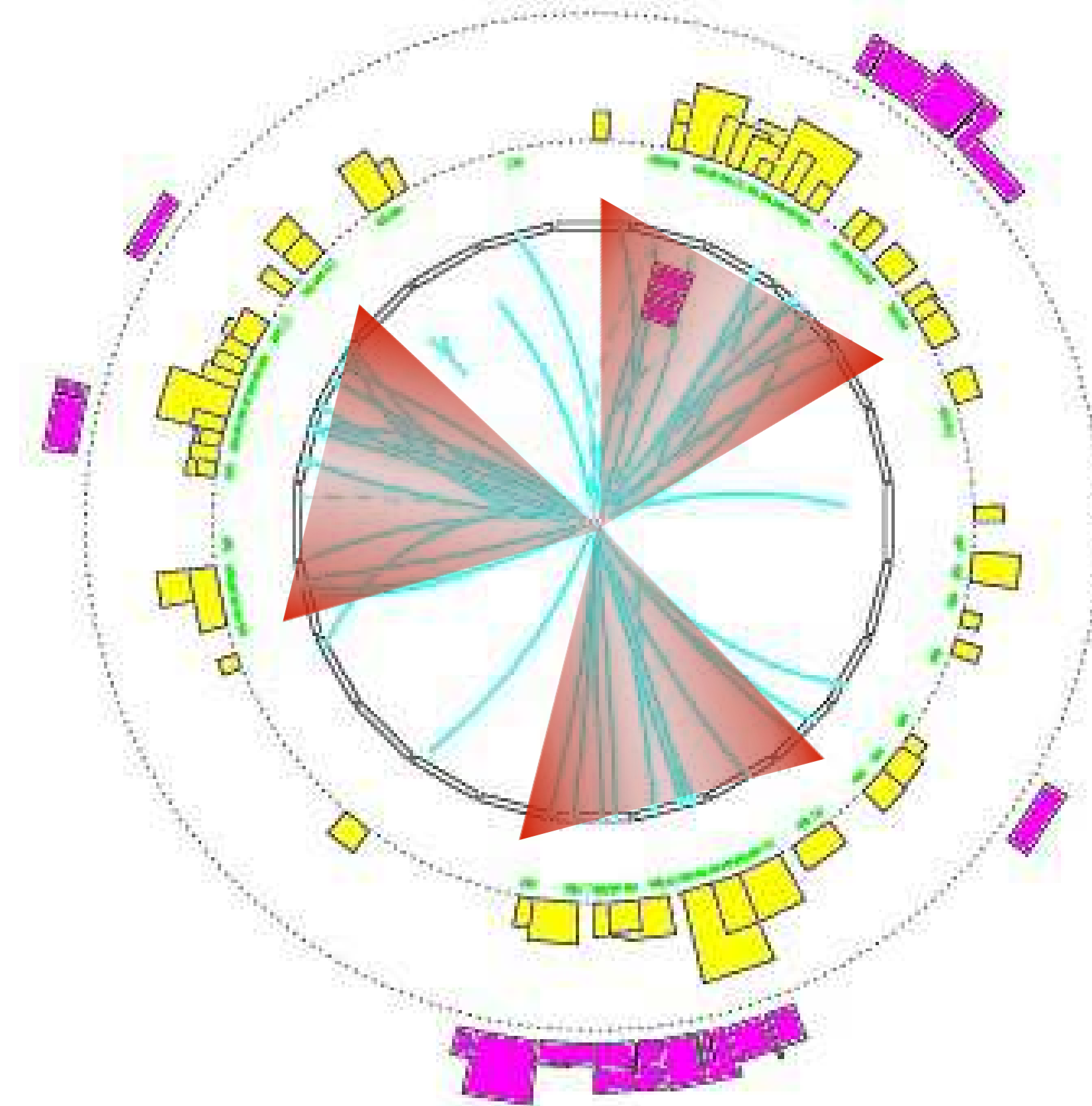
Projection to jets should be resilient to QCD effects

# Some considerations: reconstructing jets is an ambiguous task

[Adapted from G.P. Salam]



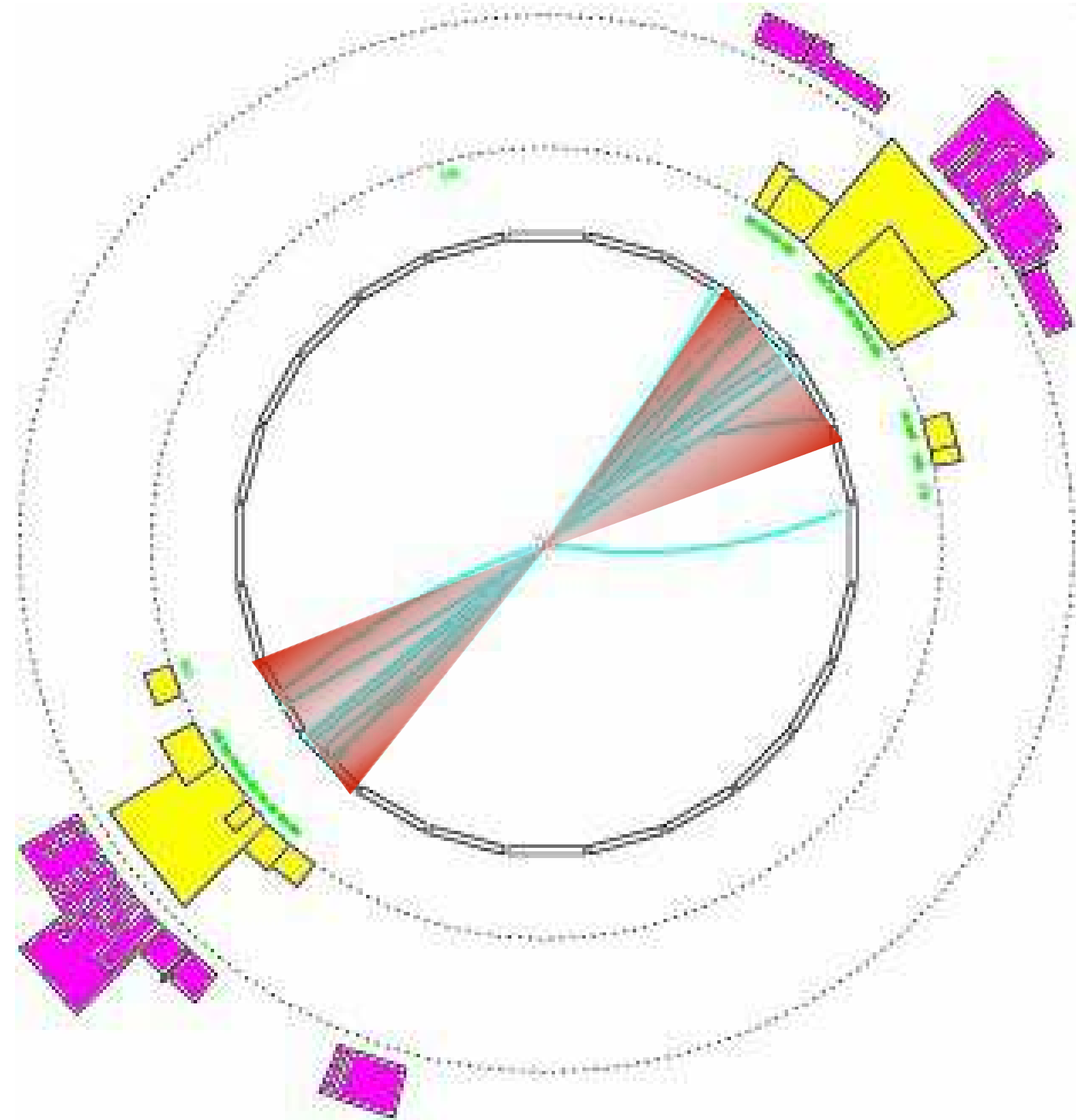
2 clear jets



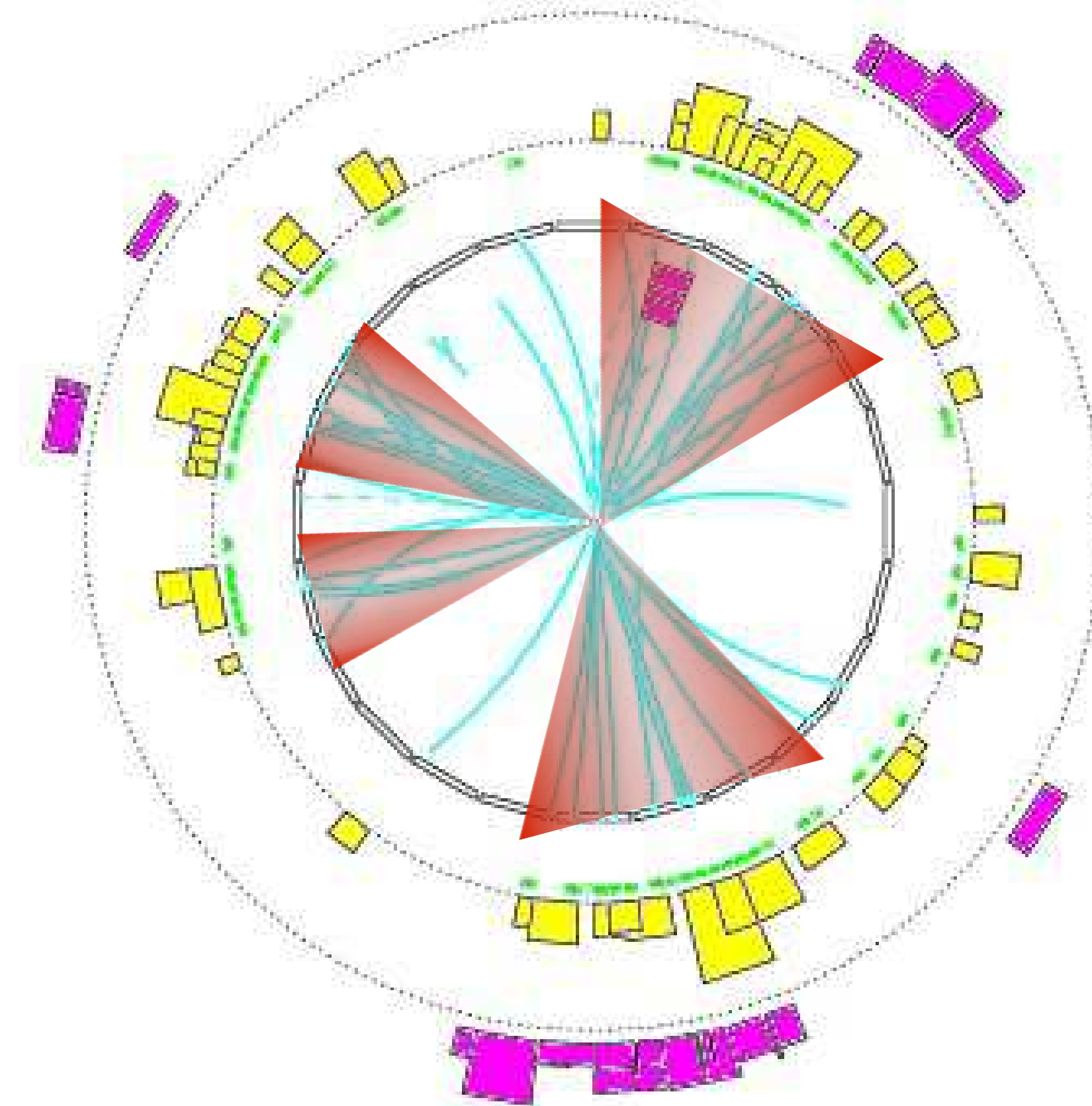
3 jets?

# Some considerations: reconstructing jets is an ambiguous task

[Adapted from G.P. Salam]



2 clear jets



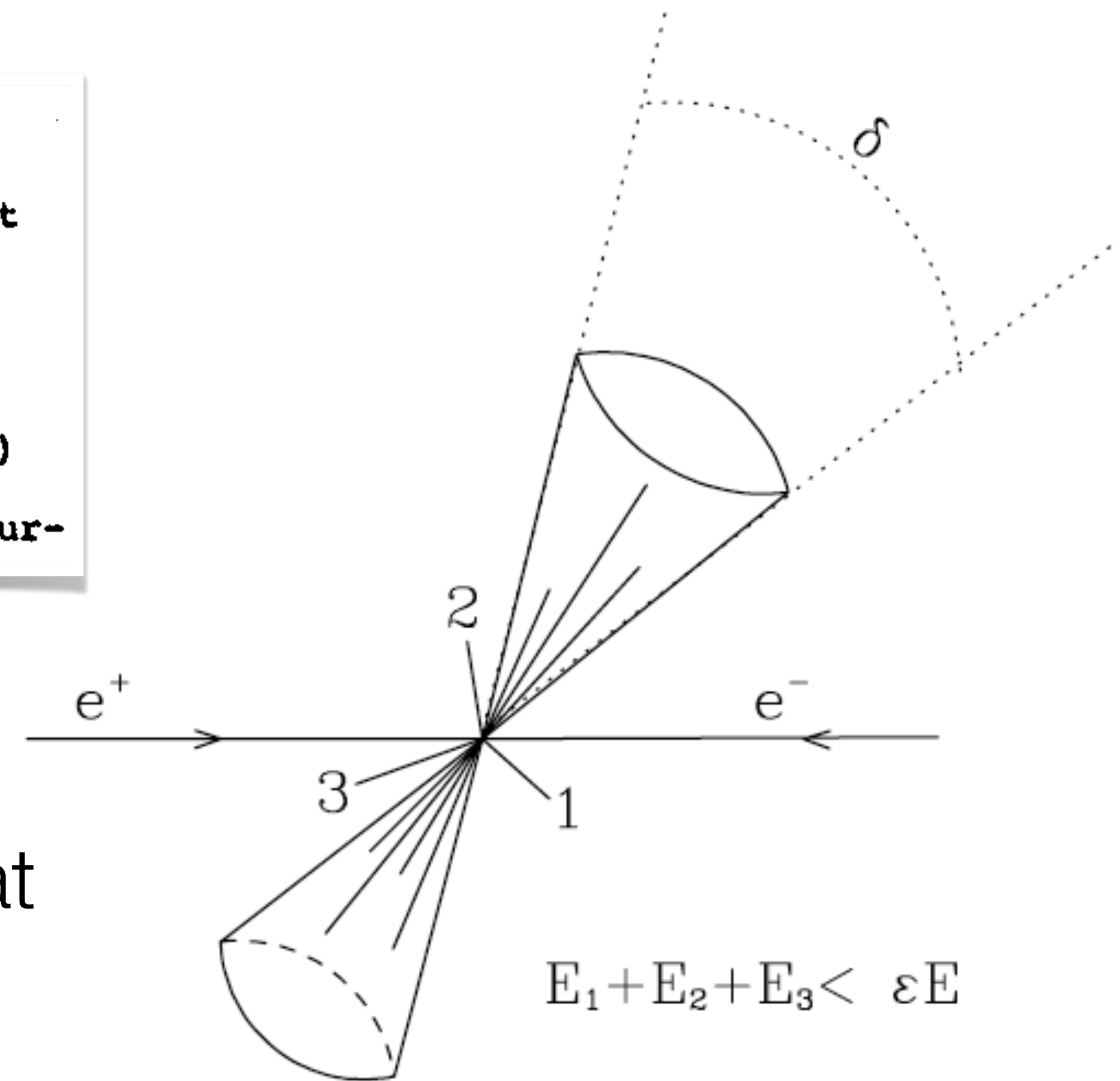
3 jets?  
or 4 jets?

# Jet definitions date back to the late 1970s

[Stern and Weinberg, PRL 39 (1977) 1436]

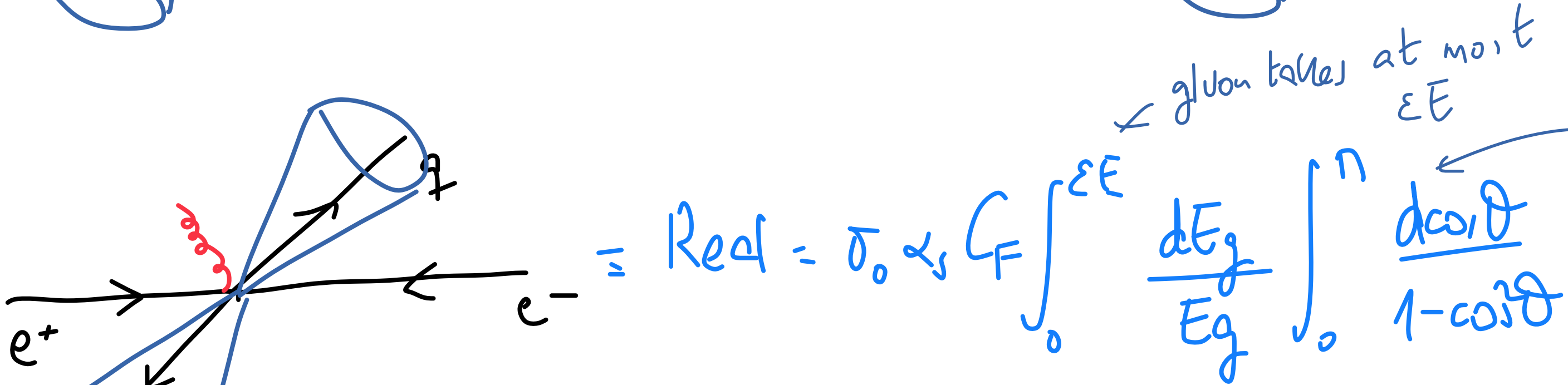
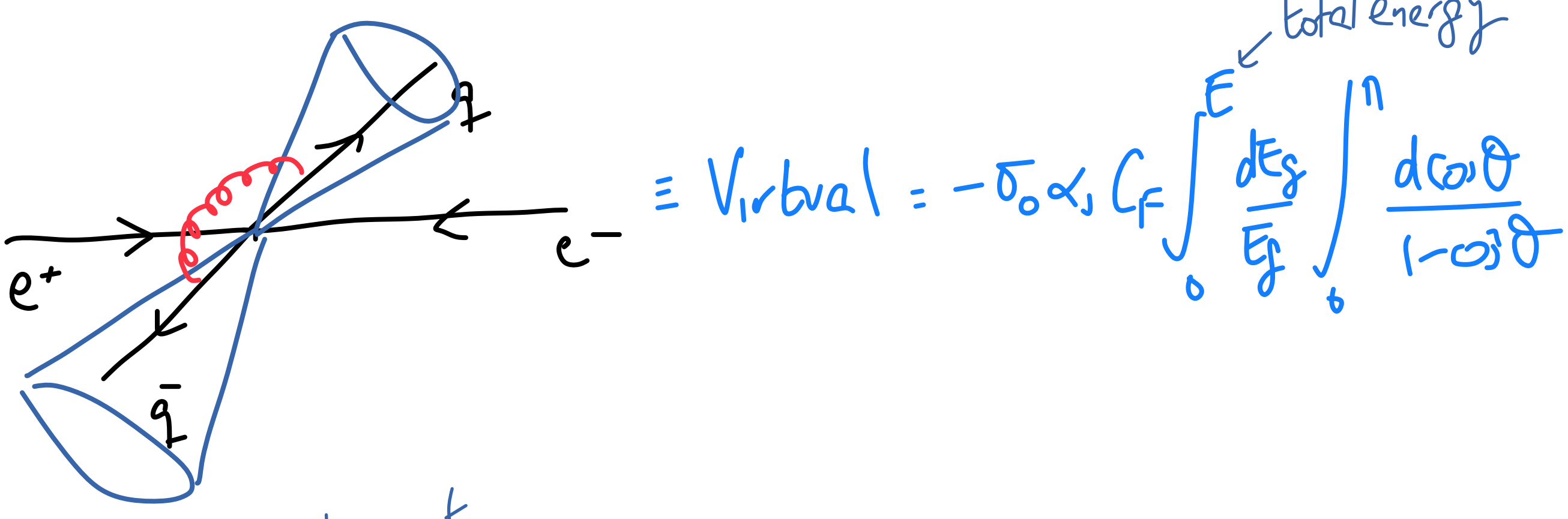
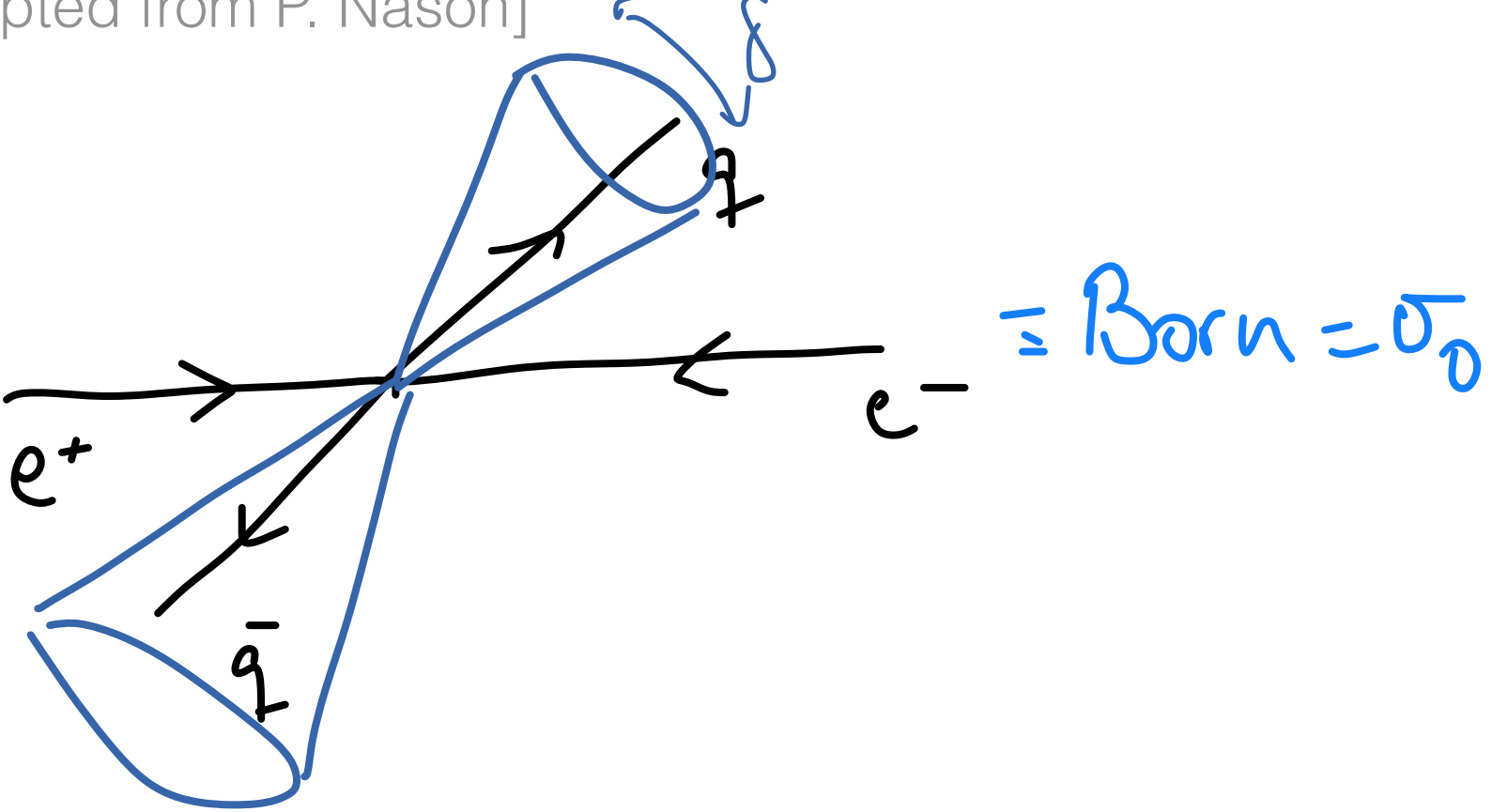
To study jets, we consider the partial cross section  $\sigma(E, \theta, \Omega, \epsilon, \delta)$  for  $e^+e^-$  hadron production events, in which all but a fraction  $\epsilon \ll 1$  of the total  $e^+e^-$  energy  $E$  is emitted within some pair of oppositely directed cones of half-angle  $\delta \ll 1$ , lying within two fixed cones of solid angle  $\Omega$  (with  $\pi\delta^2 \ll \Omega \ll 1$ ) at an angle  $\theta$  to the  $e^+e^-$  beam line. We expect this to be measur-

An event contributes to the jet x-section, if we can find 2 cones of opening angle  $\delta$  that contain a fraction  $1 - \epsilon$  of the total energy (i.e. most of the event's energy)



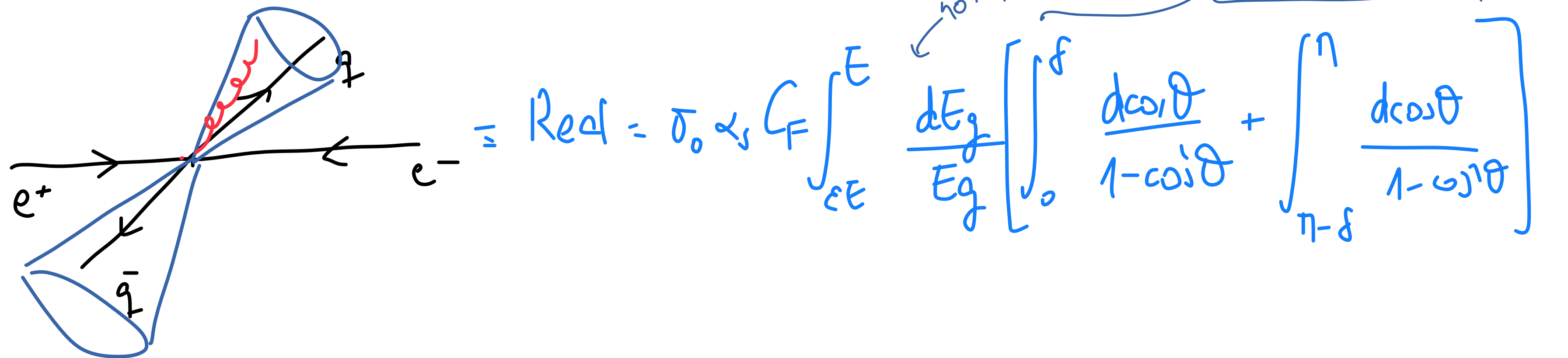
# Sterman-Weinberg jet cross section

[Adapted from P. Nason]



← gluon takes at most  $\epsilon E$

← outside the jet cone



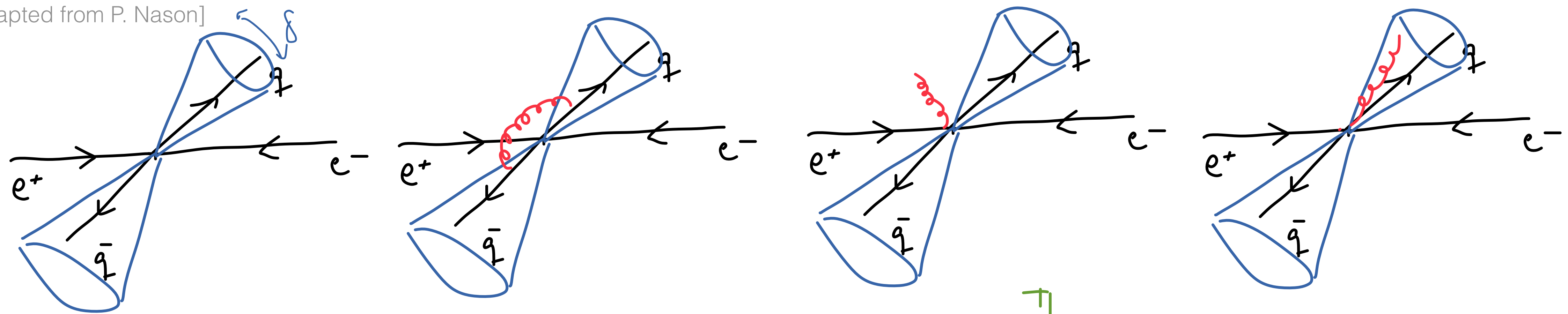
← no soft divergence

It falls outside the jet cones



# Sterman-Weinberg jet cross section

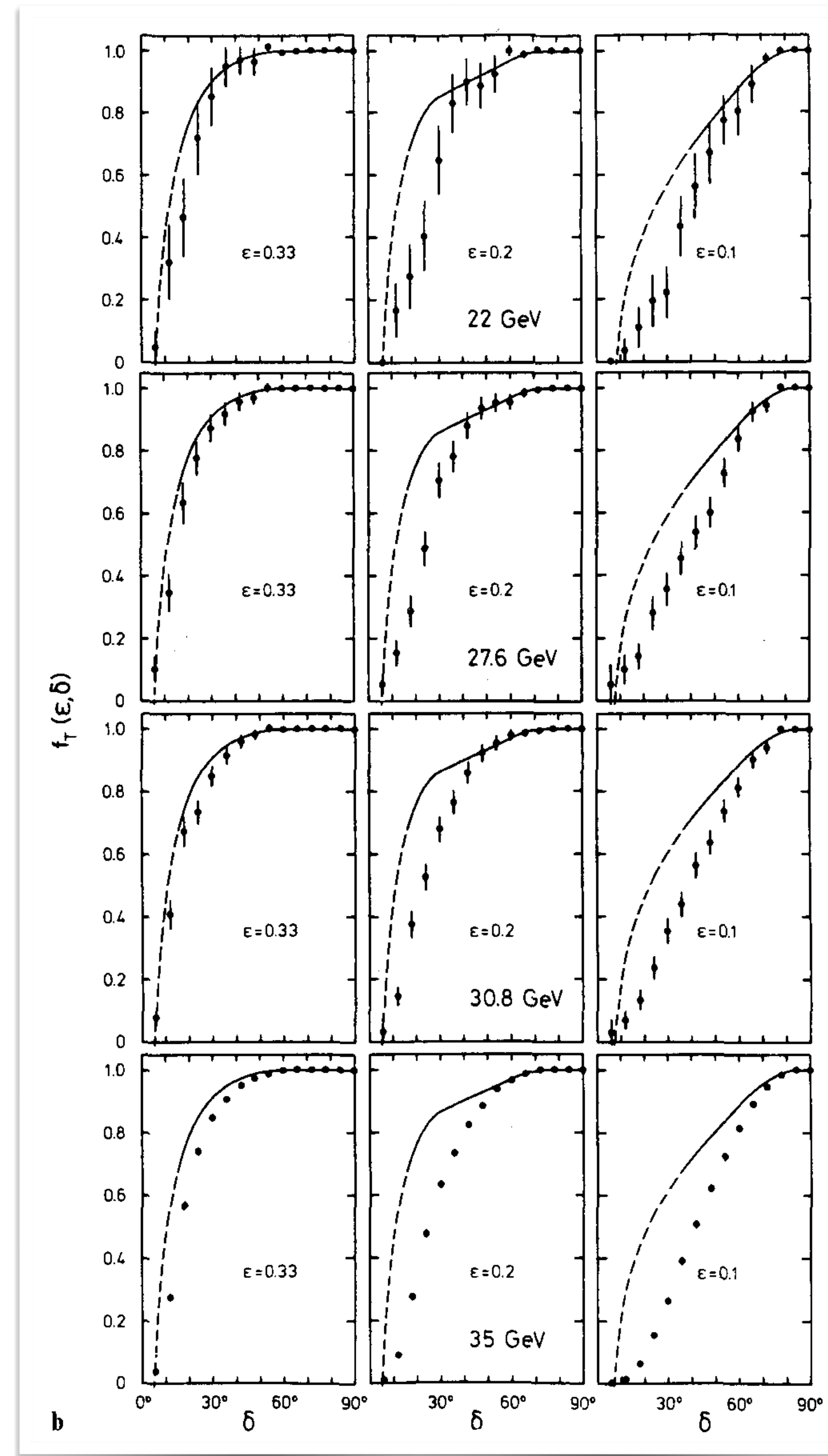
[Adapted from P. Nason]



$$\begin{aligned}
 \sigma_{NLO} &= \sigma_0 - \sigma_0 \alpha_s C_F \int_{\epsilon E}^E \frac{dE_g}{E_g} \int_{\theta=\delta}^{\pi-\delta} \frac{d\cos\theta}{1-\cos^2\theta} \\
 &= \sigma_0 (1 - \alpha_s C_F \ln E \ln \delta)
 \end{aligned}$$

At high energy (small  $\alpha_s$ ) most events are 2 jet events

# Sterman-Weinberg jet cross section: theory-vs-data



Solid line: calculation in the previous slide

Dots: experimental data from PLUTO

[PLUTO Collab., Z.Phys.C 27 (1985) 167]

Key property of Sterman-Weinberg jets:  
calculable in QCD due to IRC safety

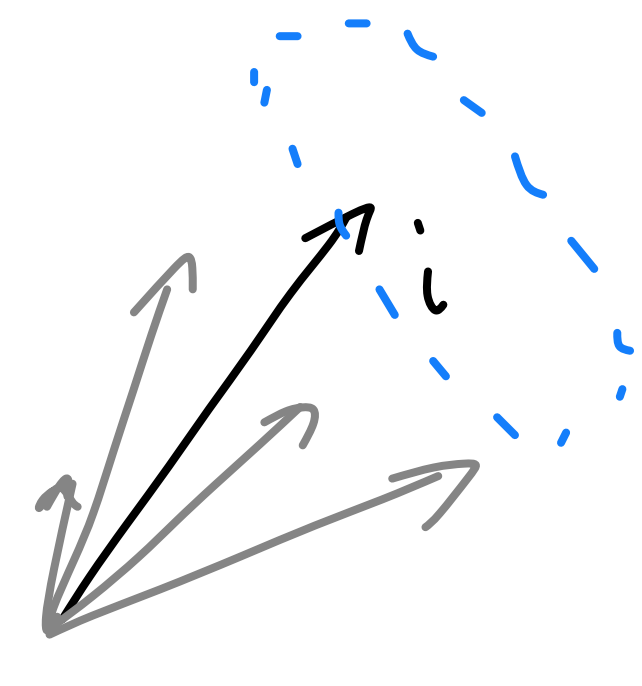
Not obvious how to extend Sterman-Weinberg jets to hadron colliders. Total energy? More than two jets?

# Cone algorithms: top-down approach (widely used @Tevatron)

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● A seed particle  $i$  (e.g. the hardest in the event) sets some initial direction

● Find all particles in the vicinity and sum their 4-momenta



The diagram shows a central point with several arrows representing particle momenta. One arrow is labeled 'i'. A dashed blue circle is drawn around the central point, representing a cone. The distance from the center to the tip of arrow 'i' is also marked with a dashed line.

$$\Delta R_{ij}^2 = (\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2 < R^2$$
$$\sum_j P_j$$

● The direction of the resulting sum is then used as a new seed direction

● Iterate until the direction of the resulting cone is stable and call it a jet

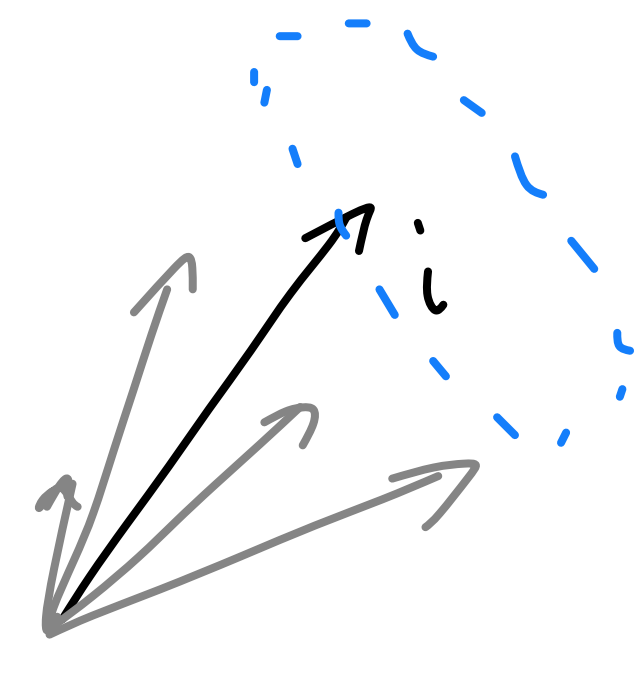
Underlying idea: momentum flow within a cone only marginally modified by QCD branching

# Cone algorithms: top-down approach

[CDF Collab Phys.Rev.D 74 (2006) 071103]

● A seed particle  $i$  (e.g. the hardest in the event) sets some initial direction

● Find all particles in the vicinity and sum their 4-momenta



The diagram shows a central point with several arrows pointing outwards. One arrow is labeled 'i'. A dashed blue circle is drawn around the central point, representing a cone. The distance from the center to the edge of the cone is labeled 'R'. The distance between two particles 'i' and 'j' is labeled  $\Delta R_{ij}$ . The formula  $\Delta R_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2 < R^2$  is written in blue. Below the formula is the summation  $\sum_j P_j$ .

$$\Delta R_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2 < R^2$$
$$\sum_j P_j$$

● The direction of the resulting sum is then used as a new seed direction

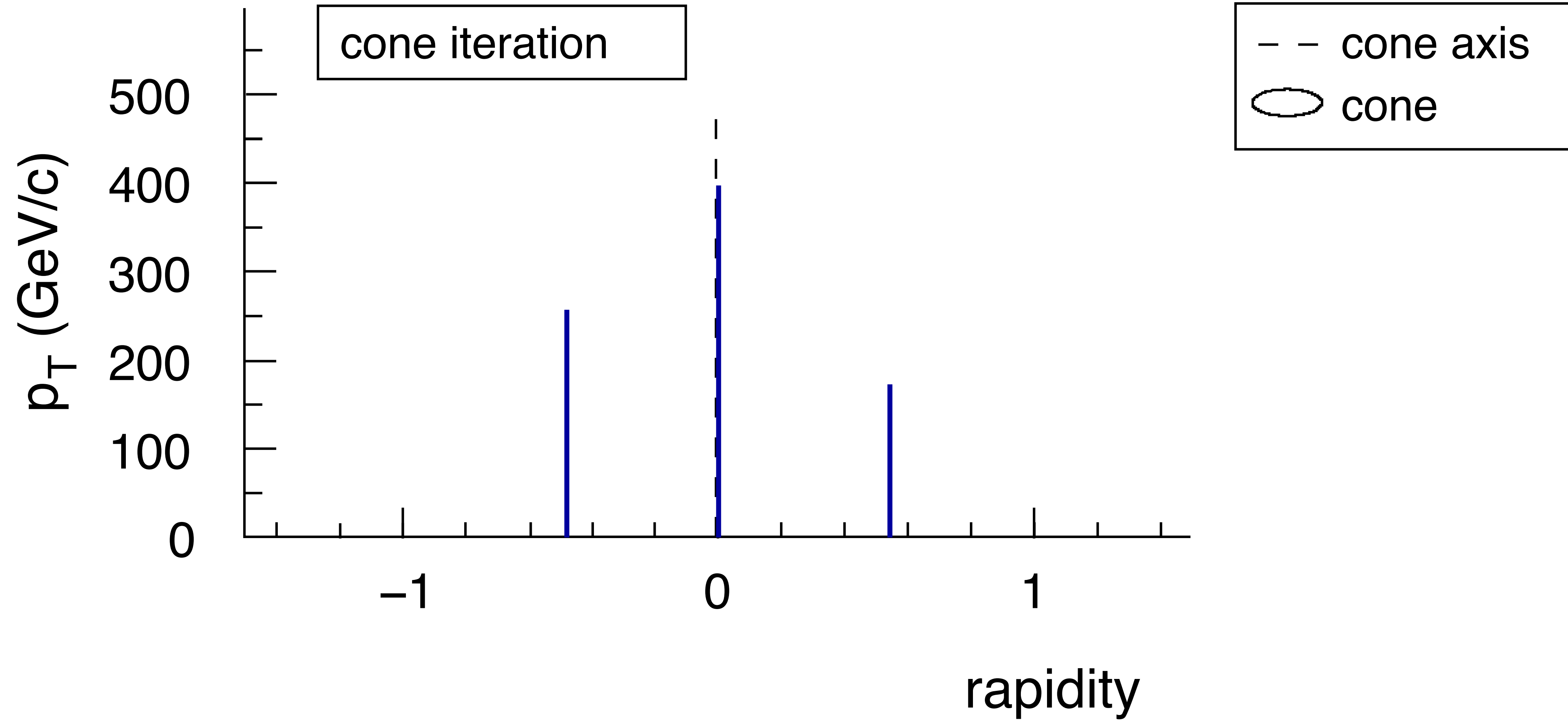
● Iterate until the direction of the resulting cone is stable and call it a jet

What should one take as seed? What happens when cones share particles? Many answers, see *Towards Jetography*

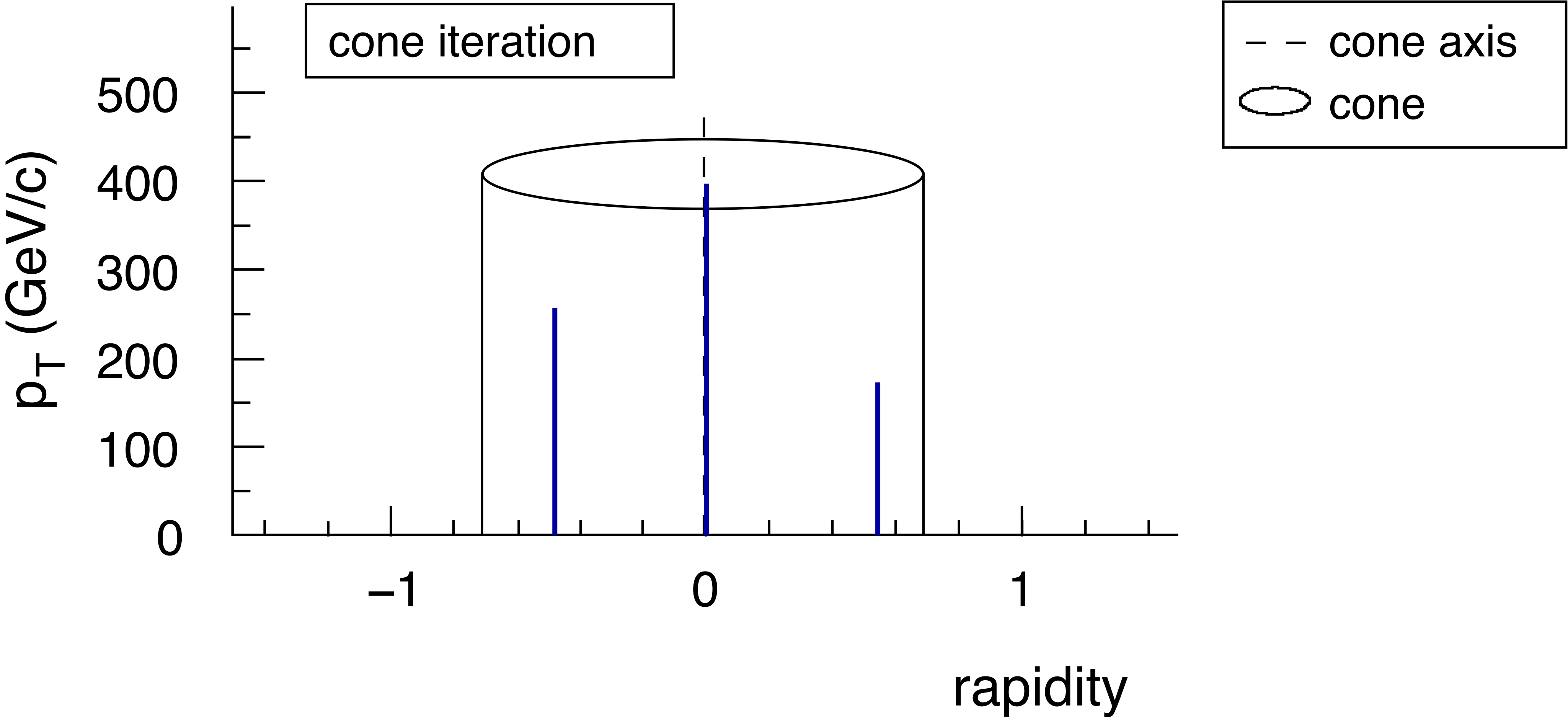
# Issues with cone algorithms: IRC unsafety (exception: SIS Cone)

[Adapted from G.P. Salam]

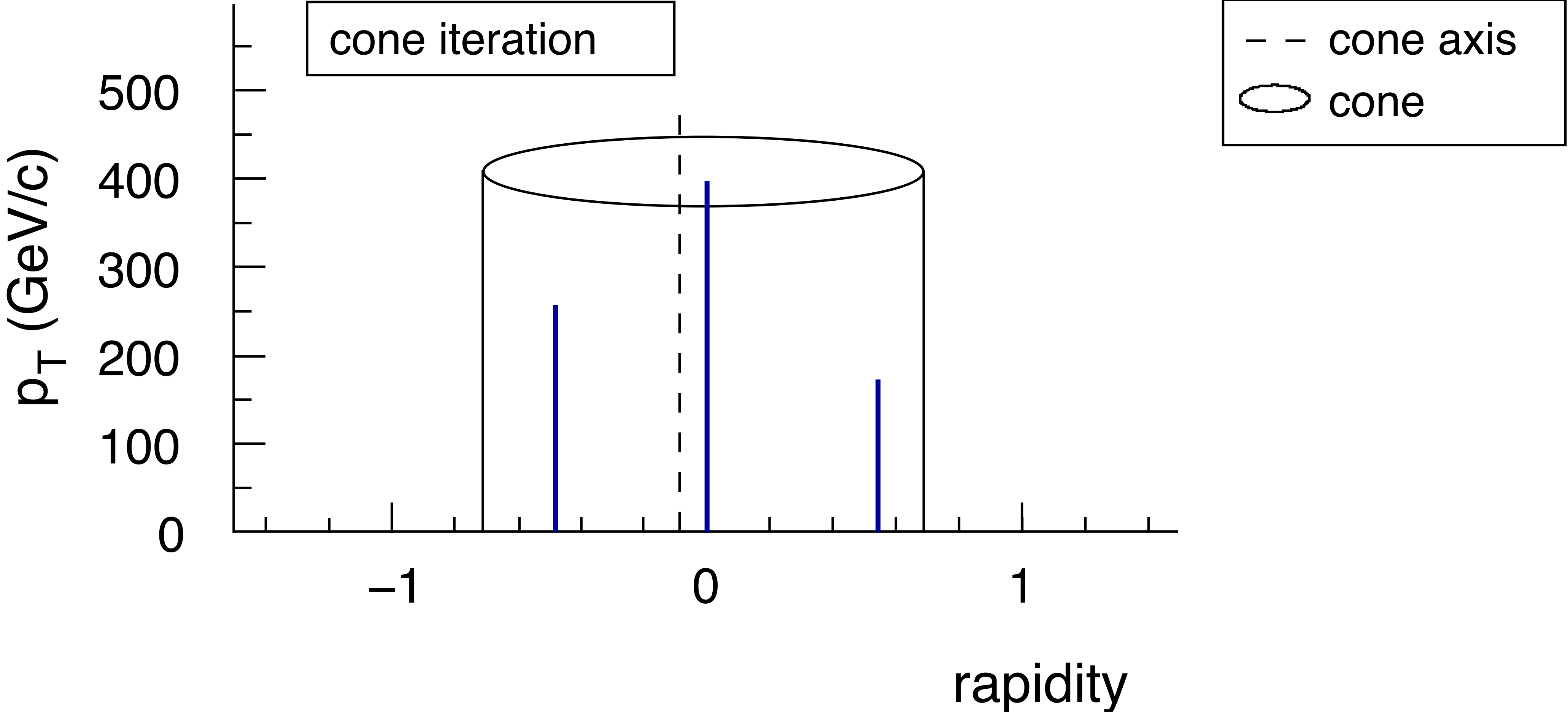
[SIS Cone: Salam, Soyez, JHEP 05 (2007) 086]



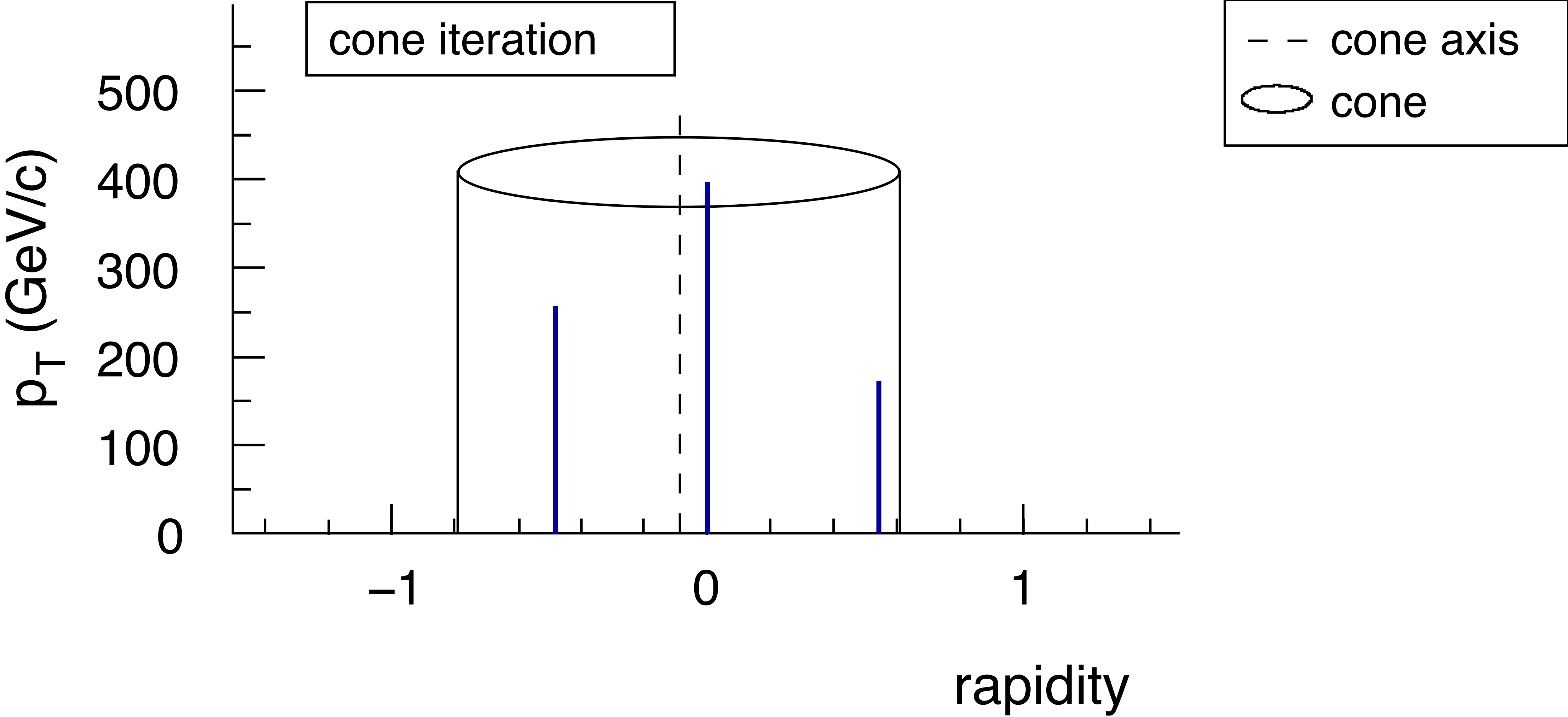
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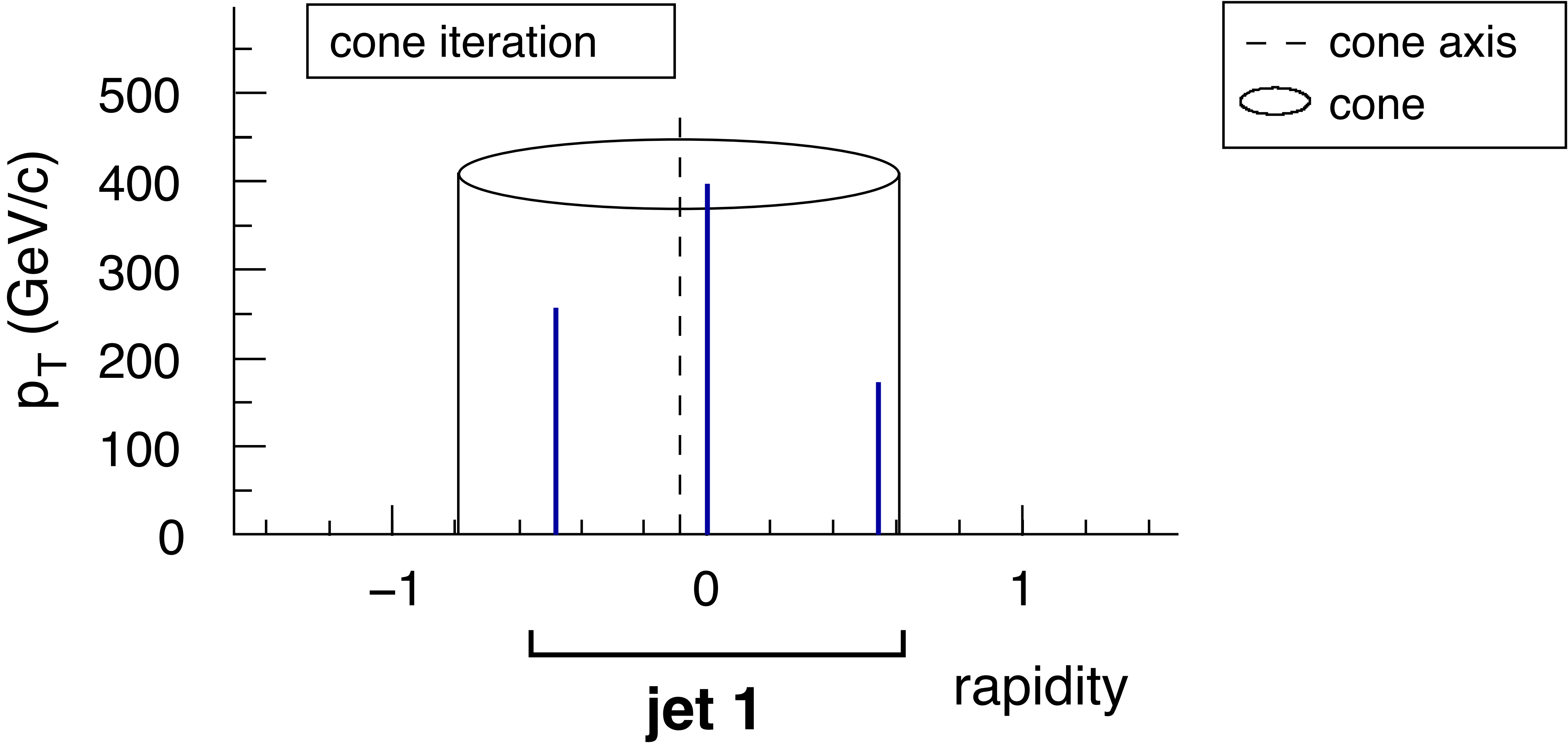


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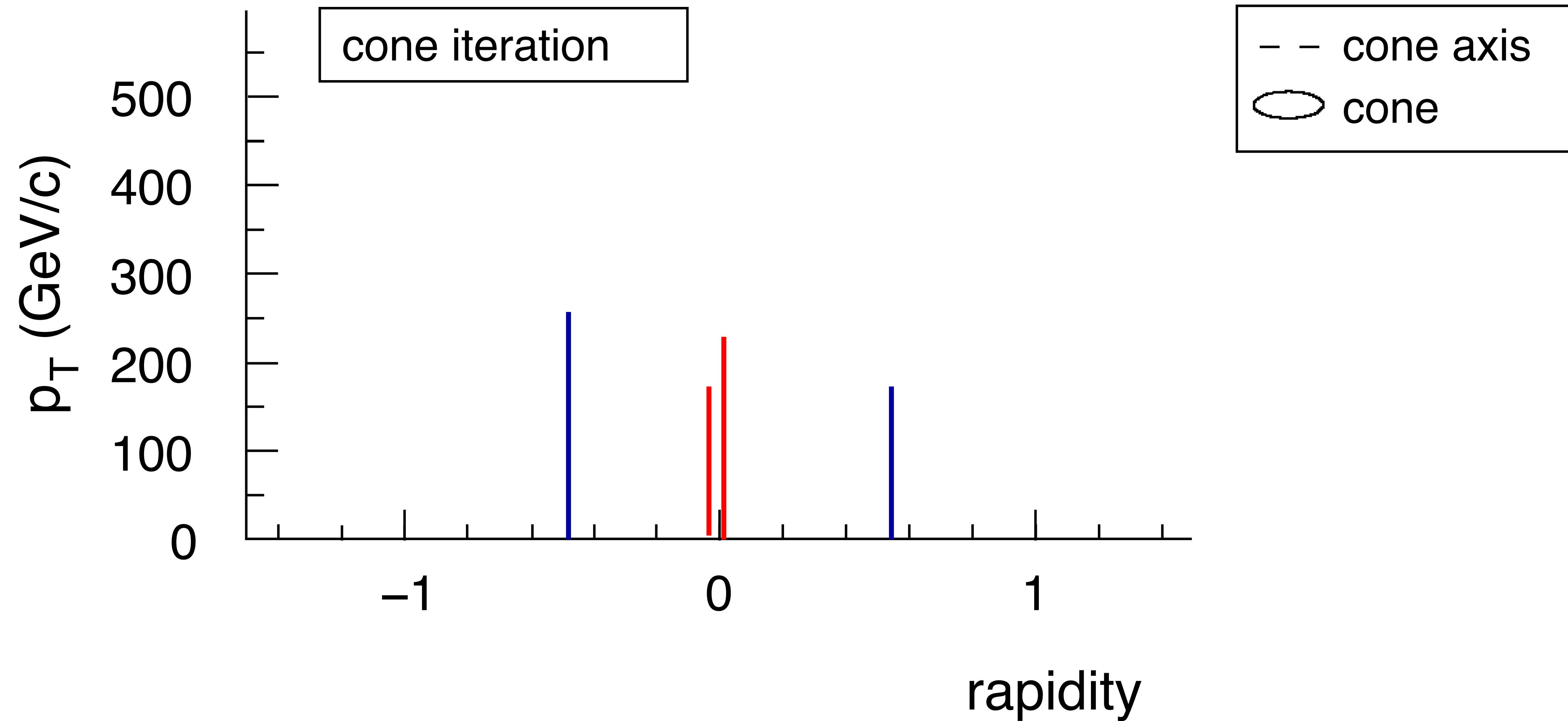


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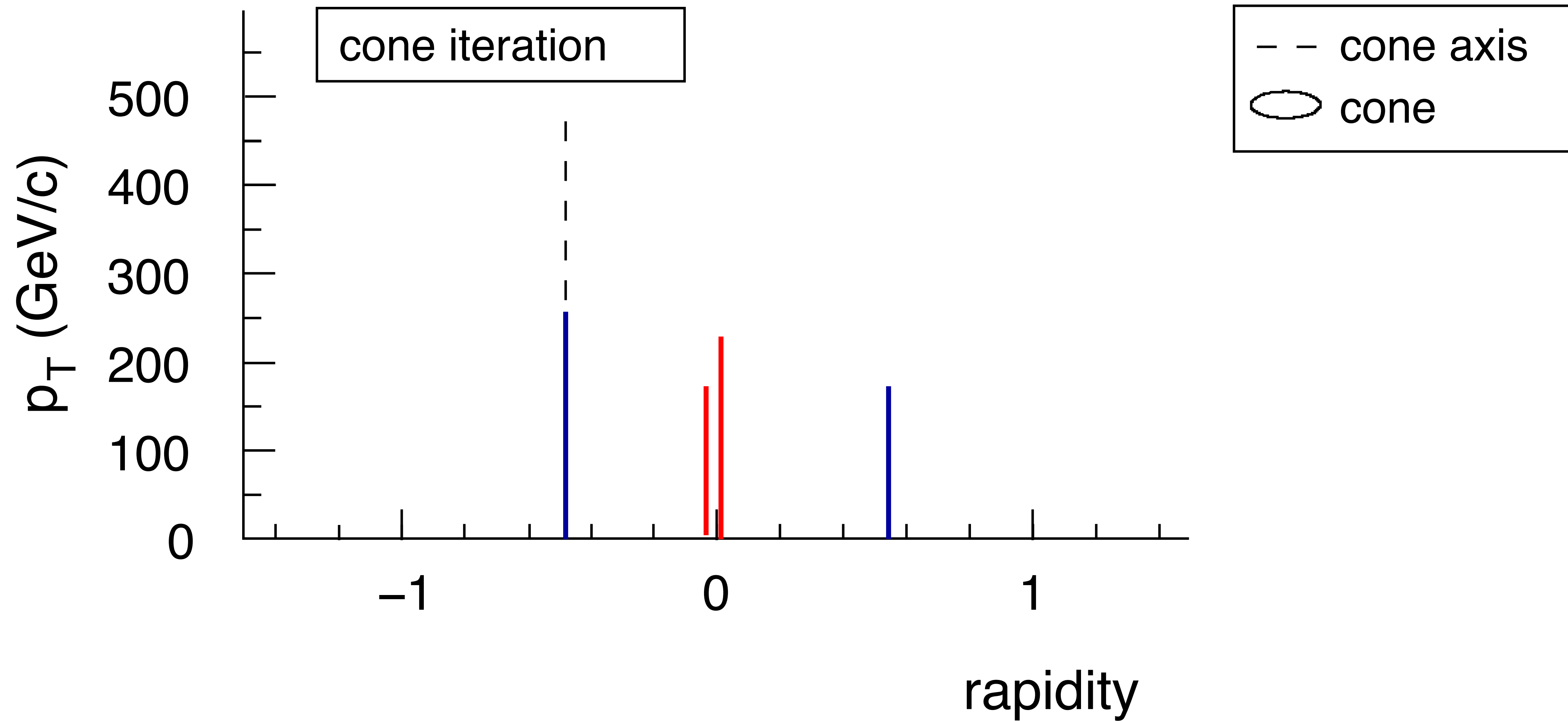
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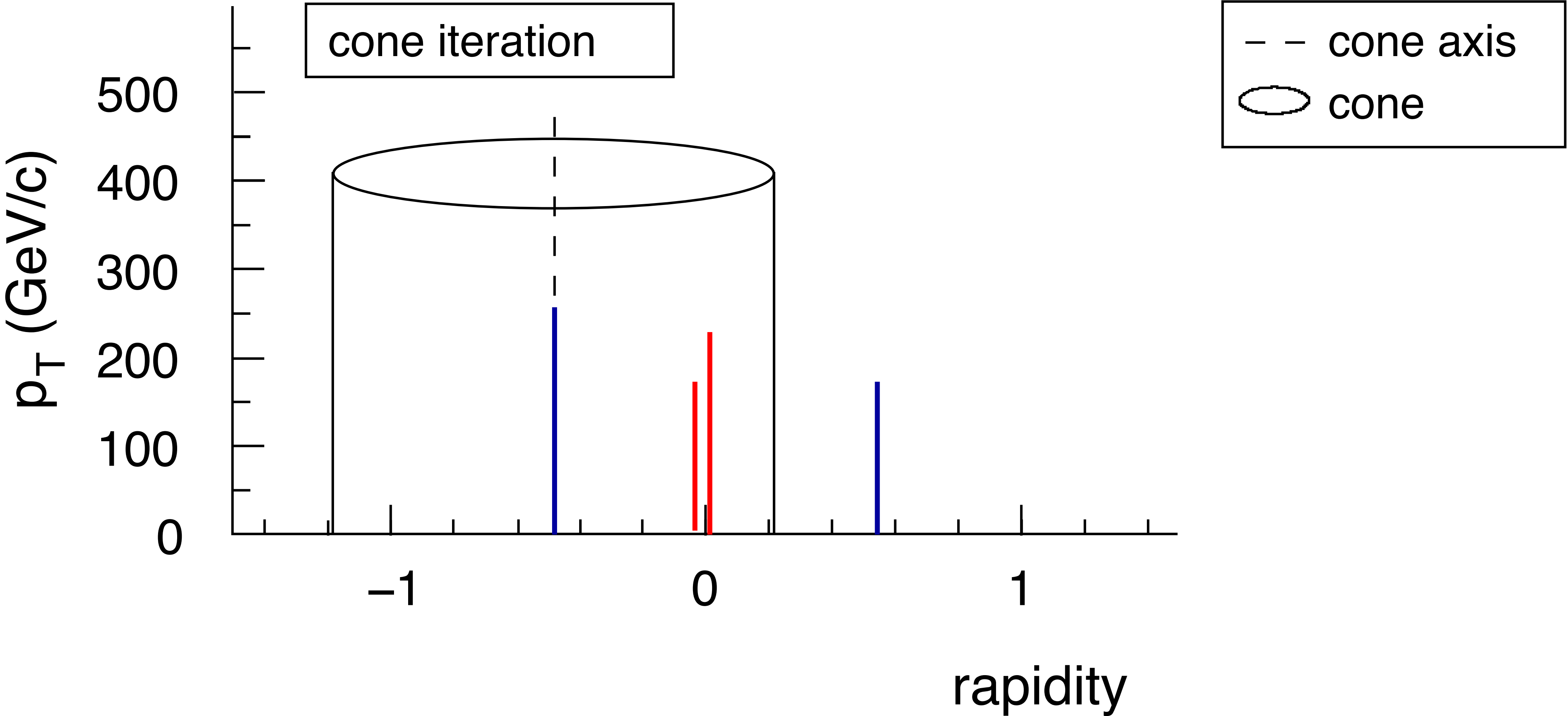


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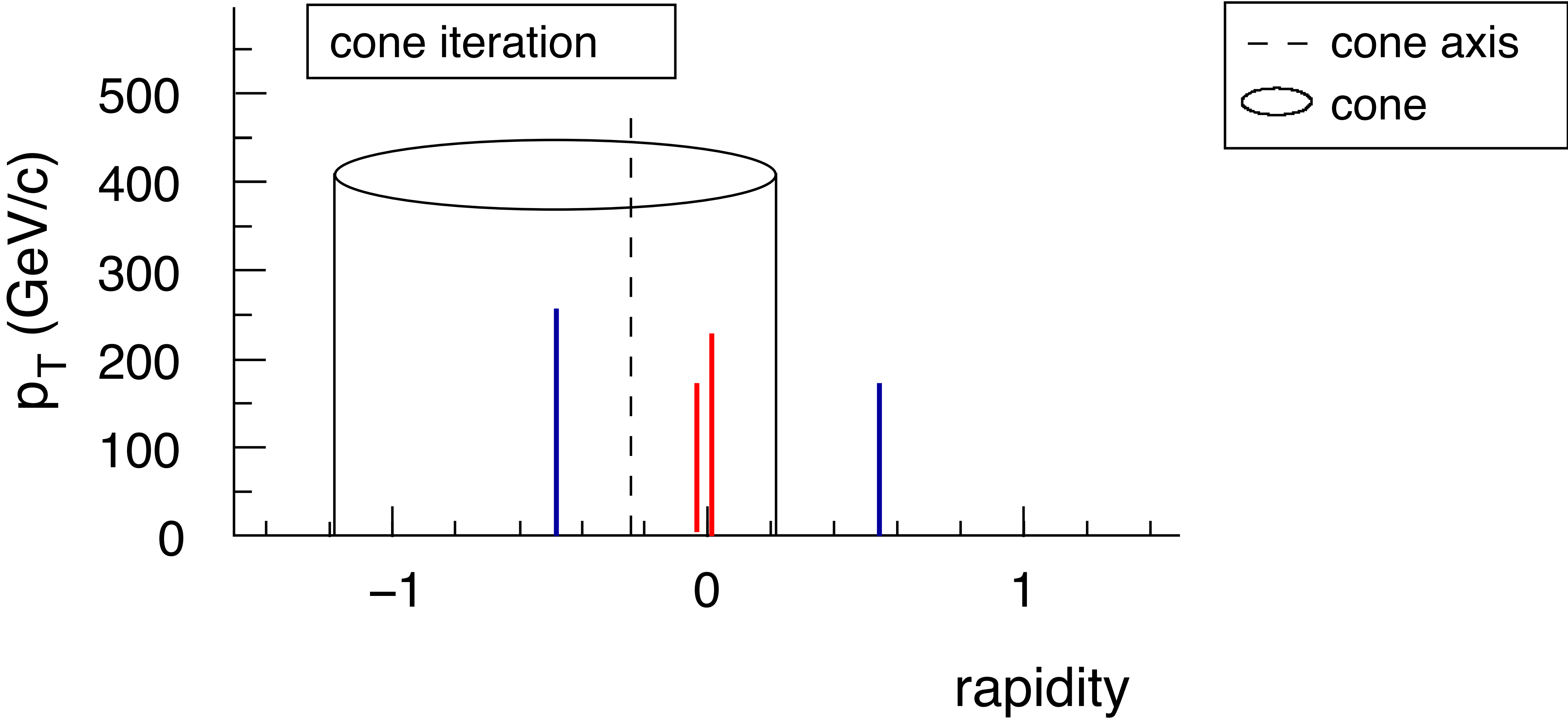
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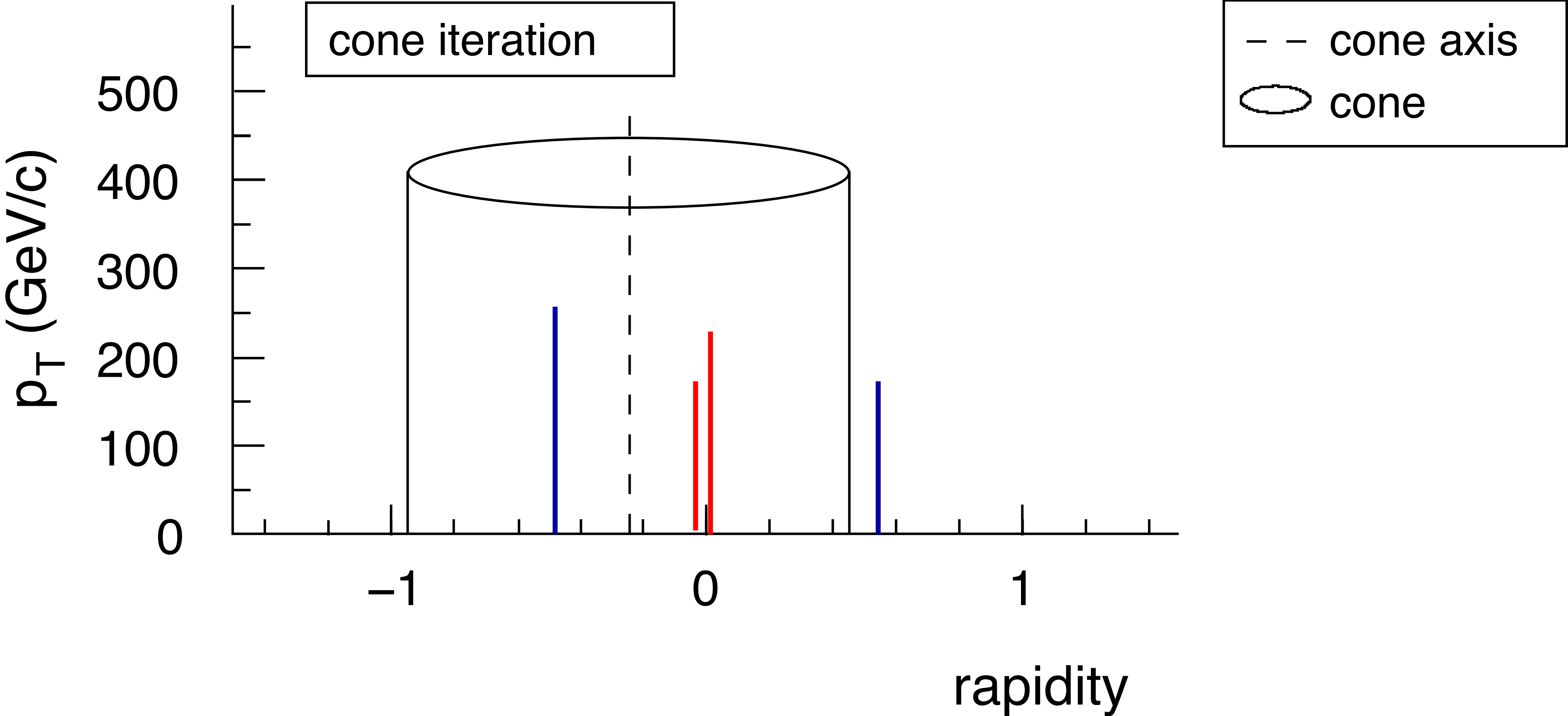
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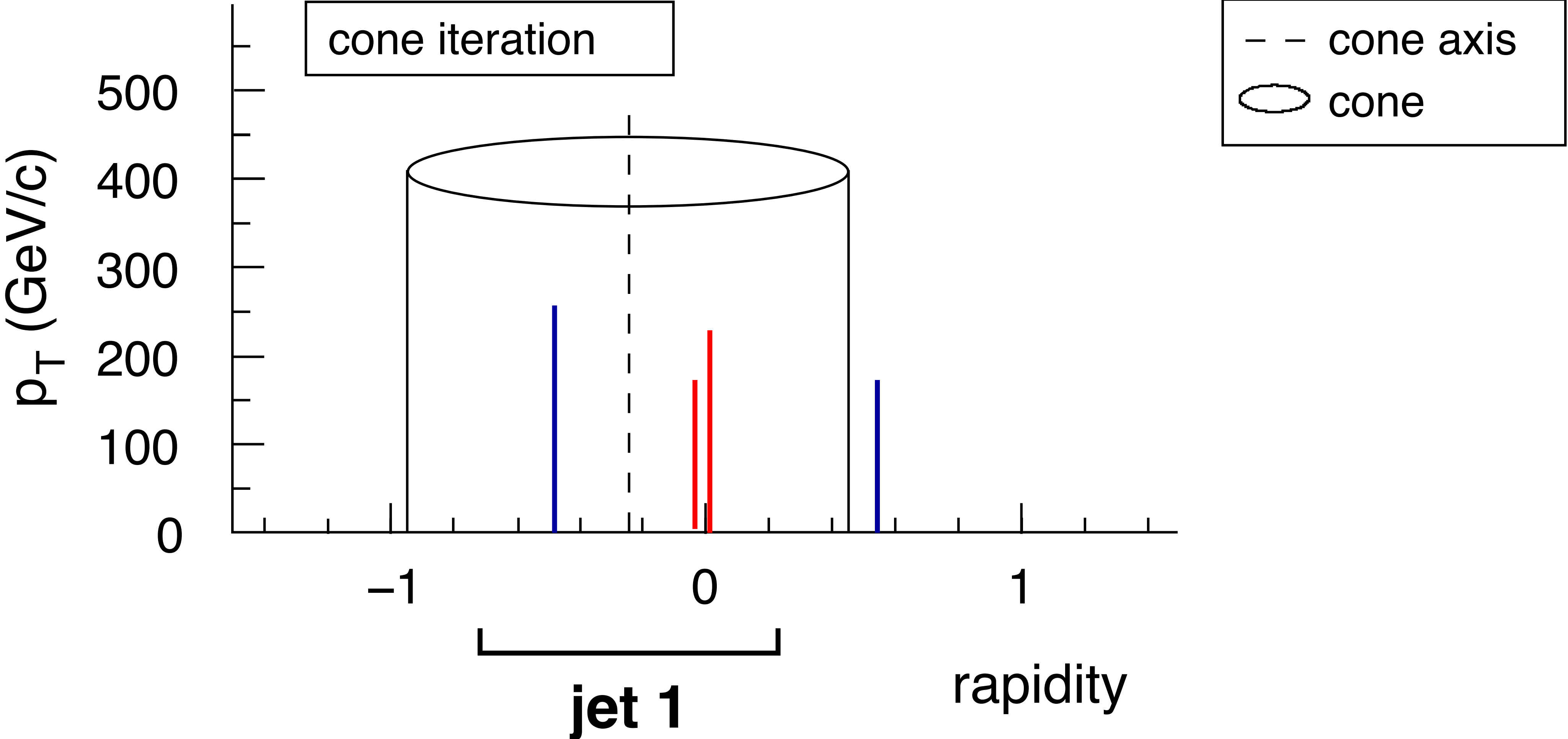
# Issues with cone algorithms: IRC unsafety (exception: SISCono)



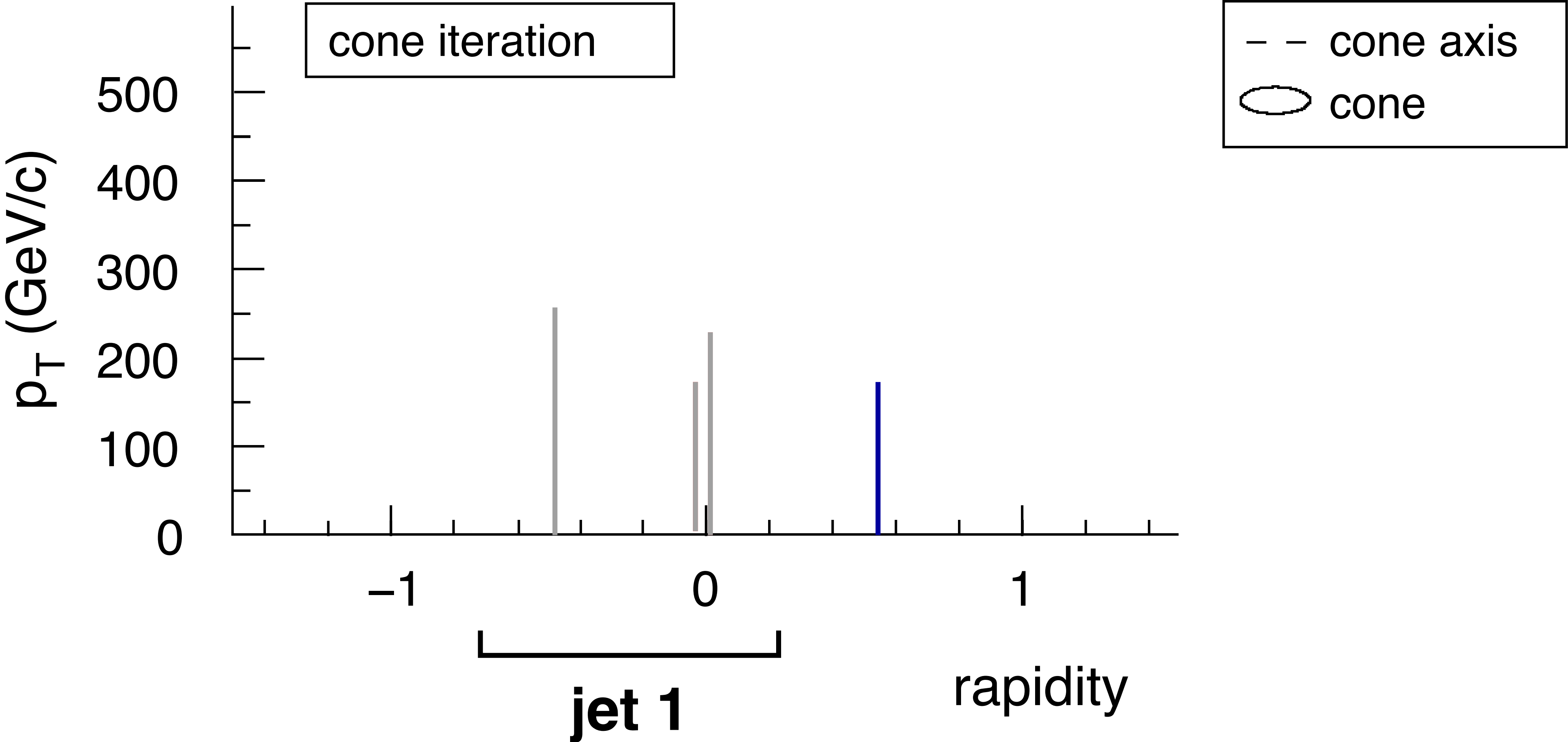
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# Issues with cone algorithms: IRC unsafety (exception: SISConc)

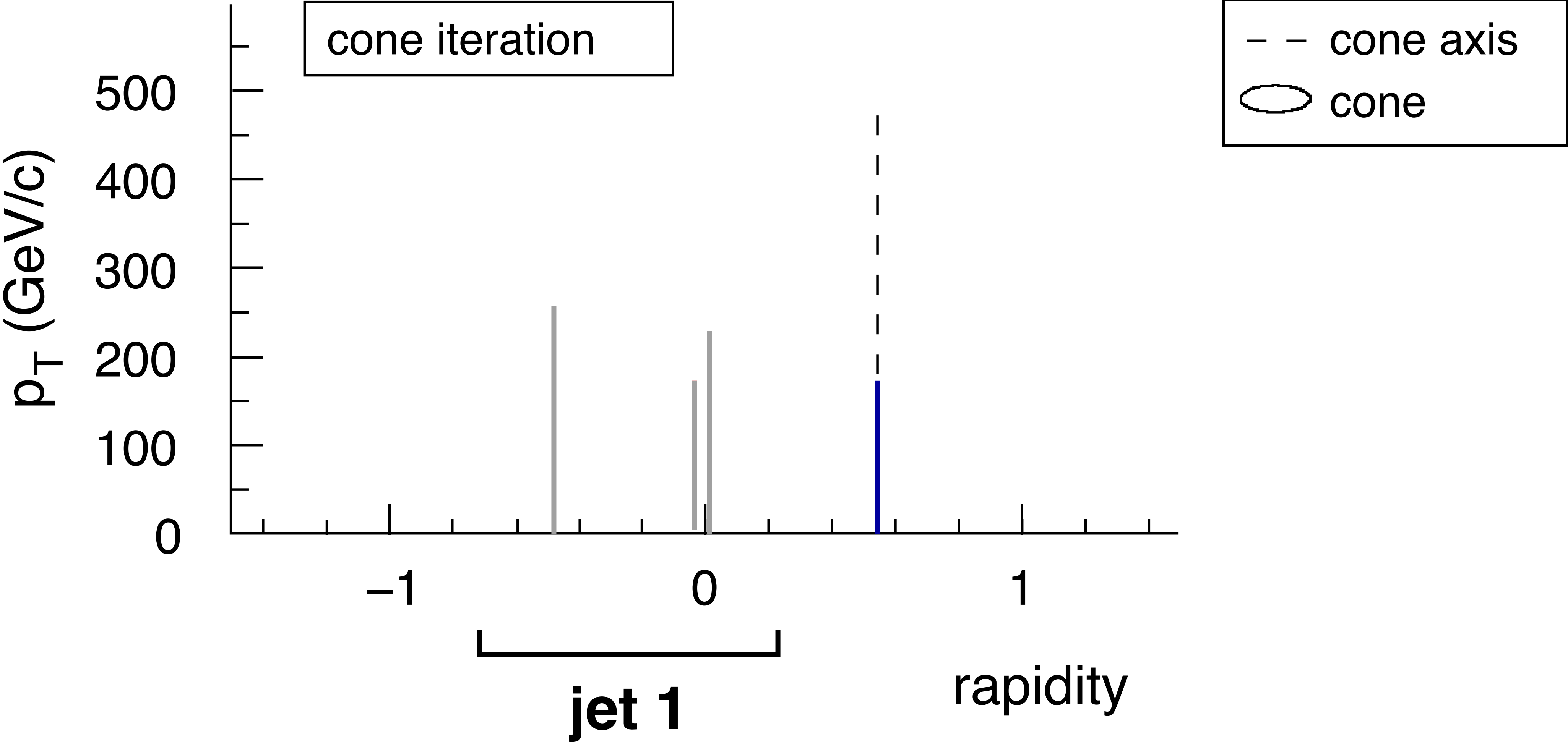


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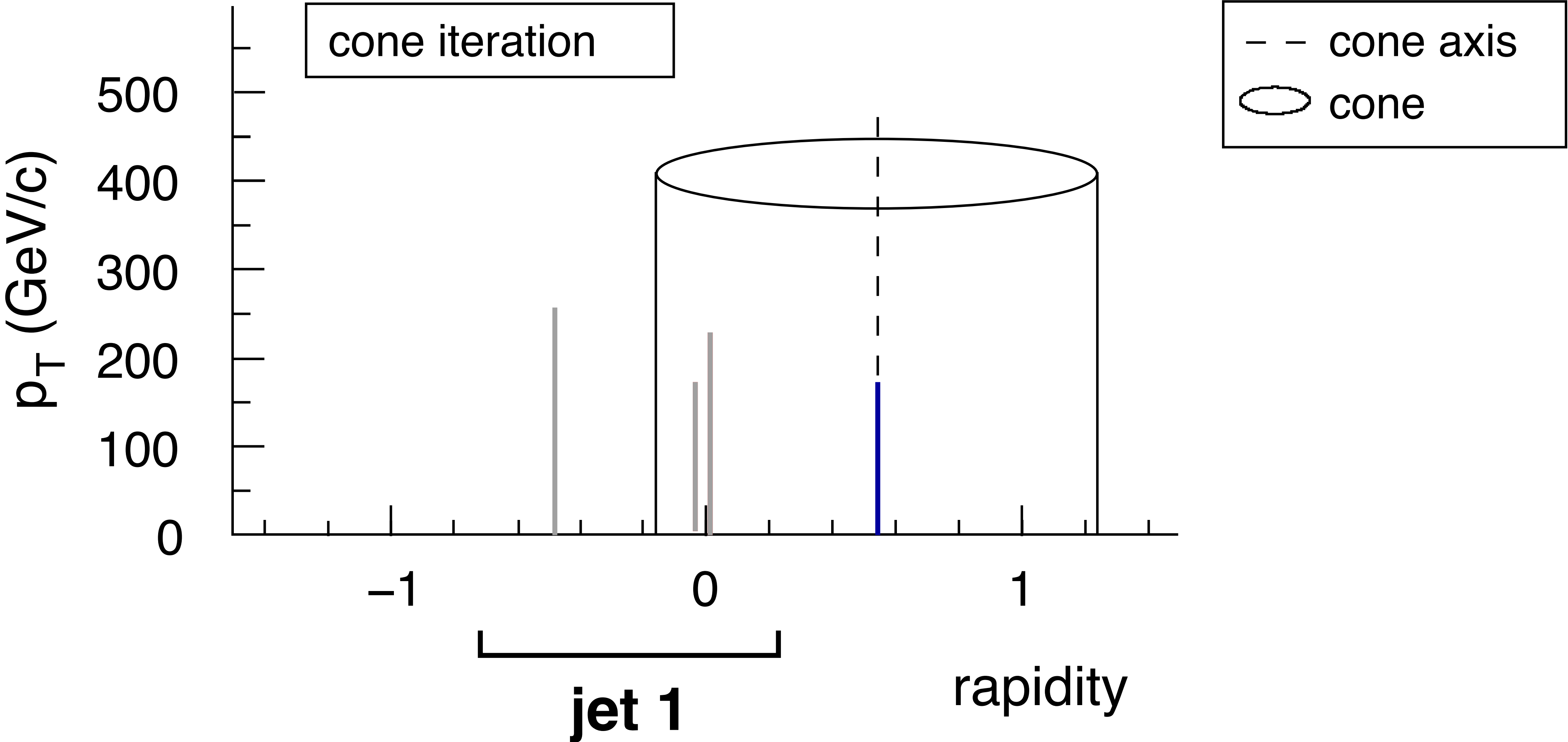




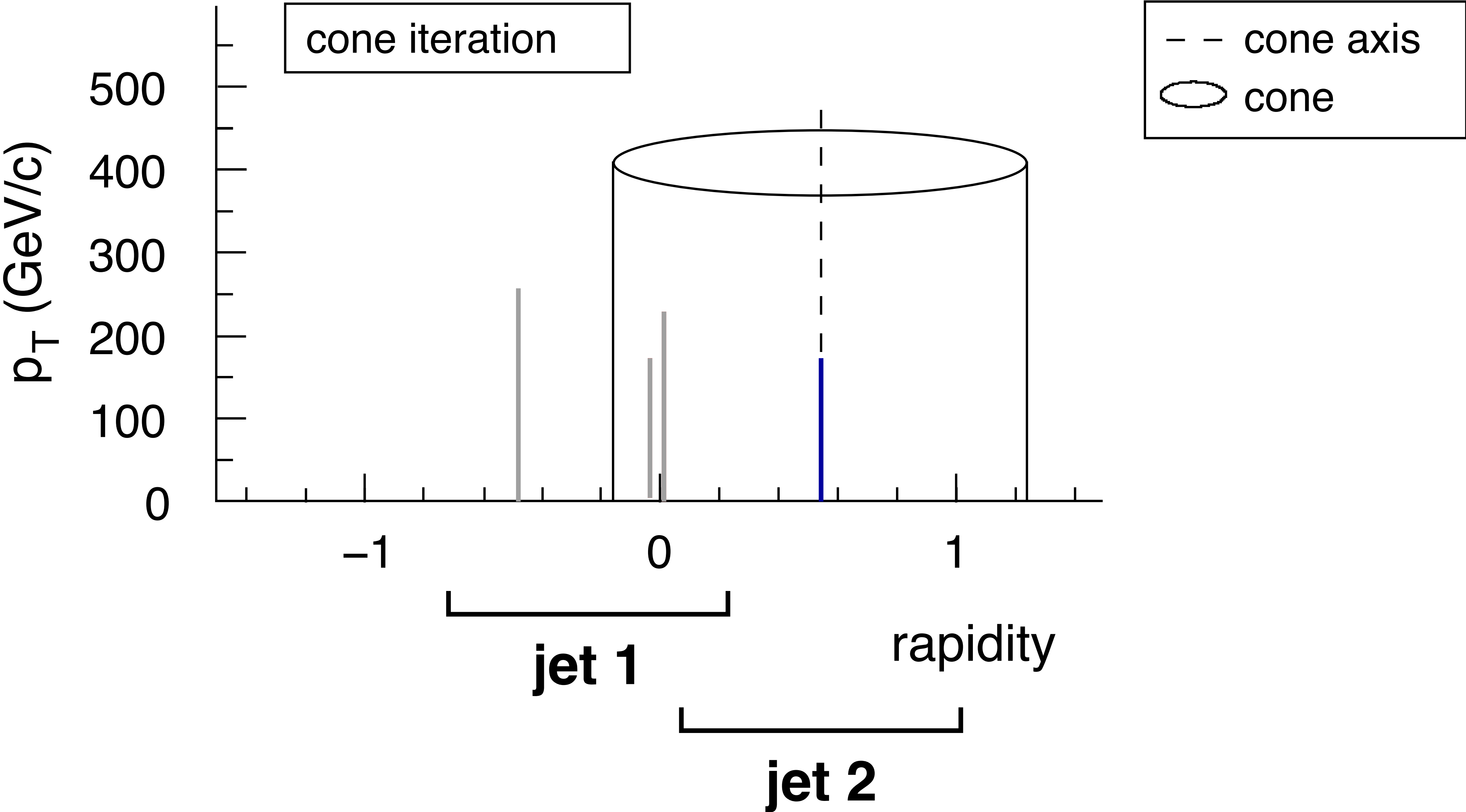
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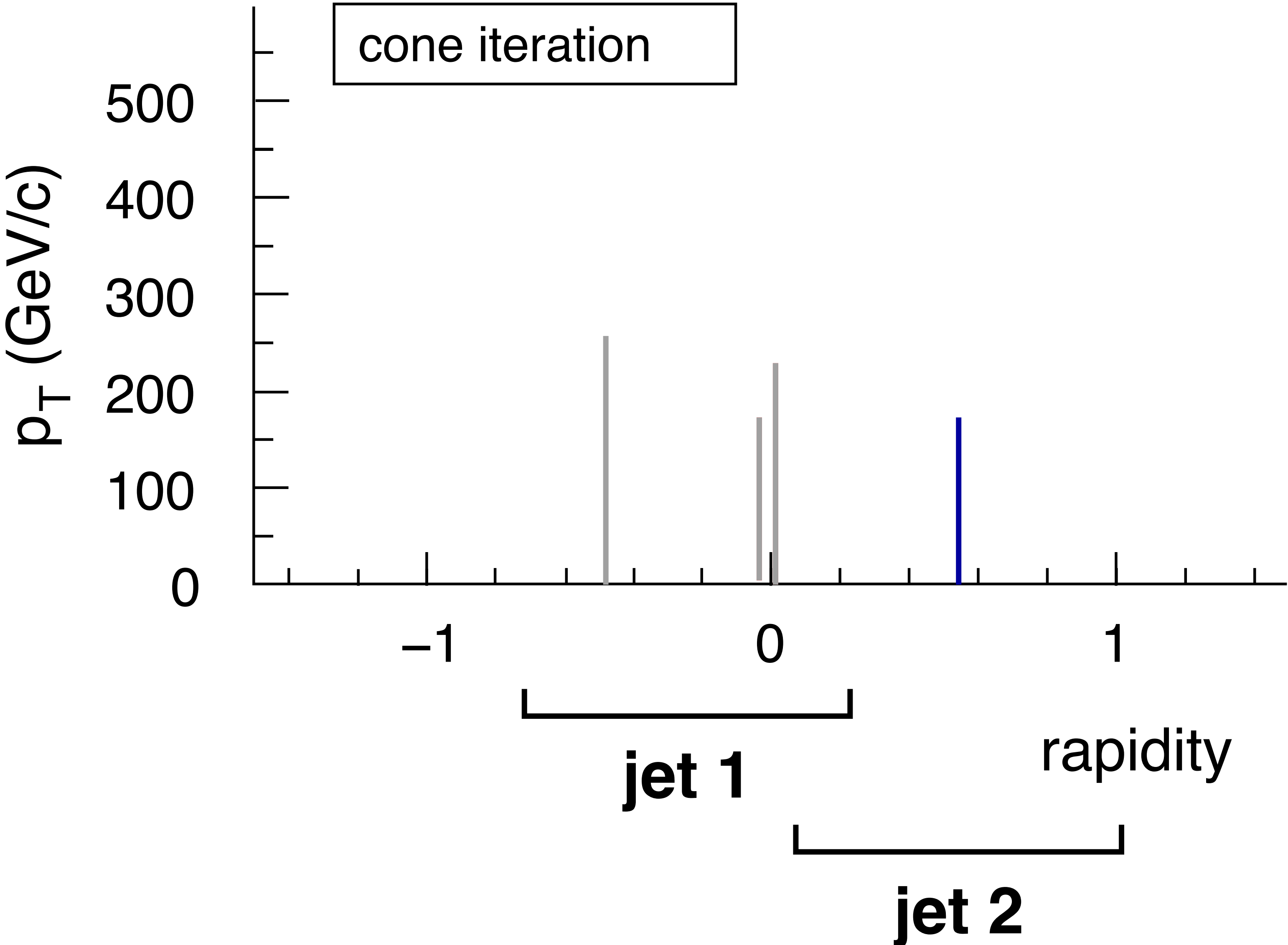
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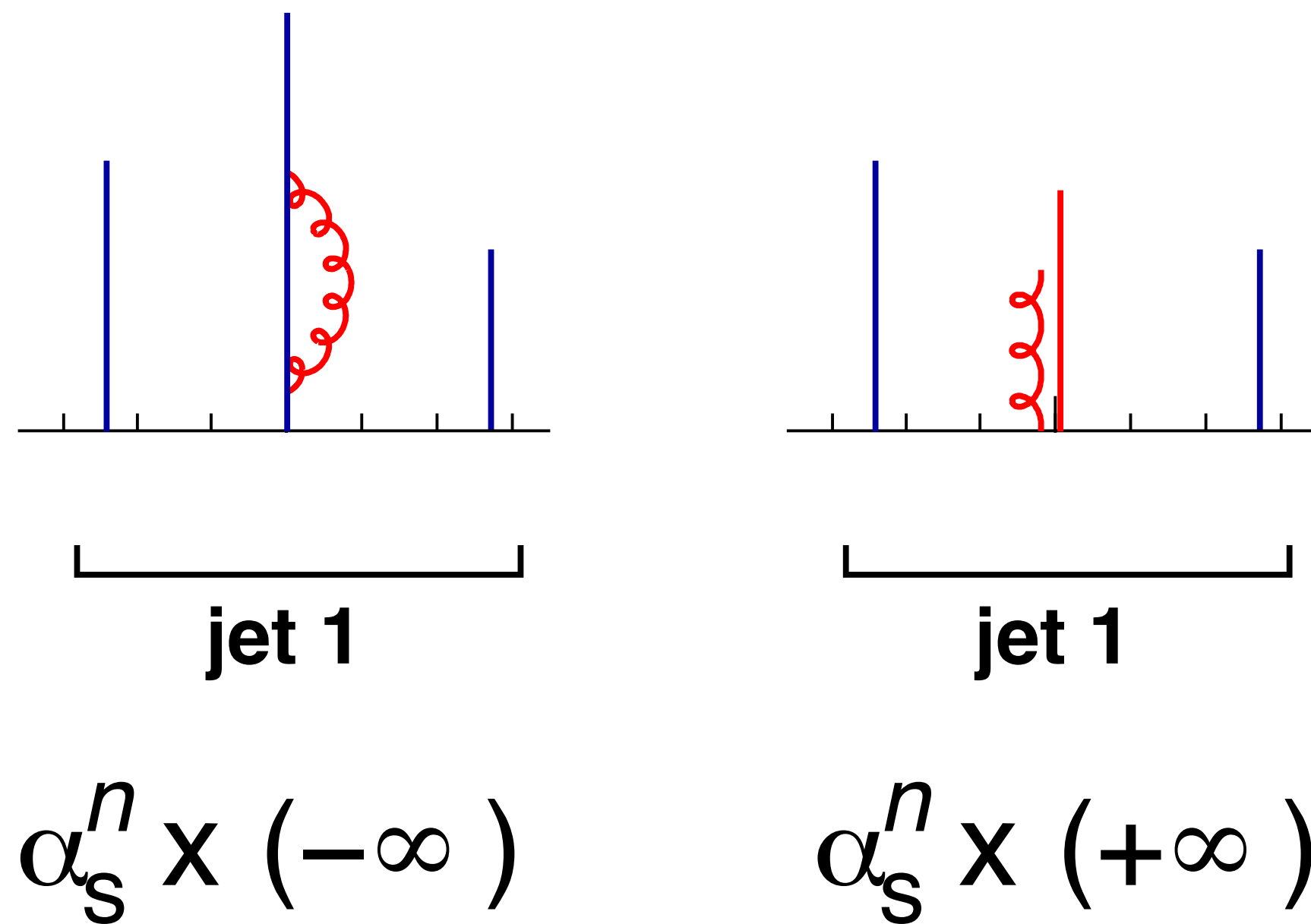
-- cone axis  
○ cone

Collinear splitting can modify the hard jets: IRC unsafe!

# IRC unsafety in a nutshell

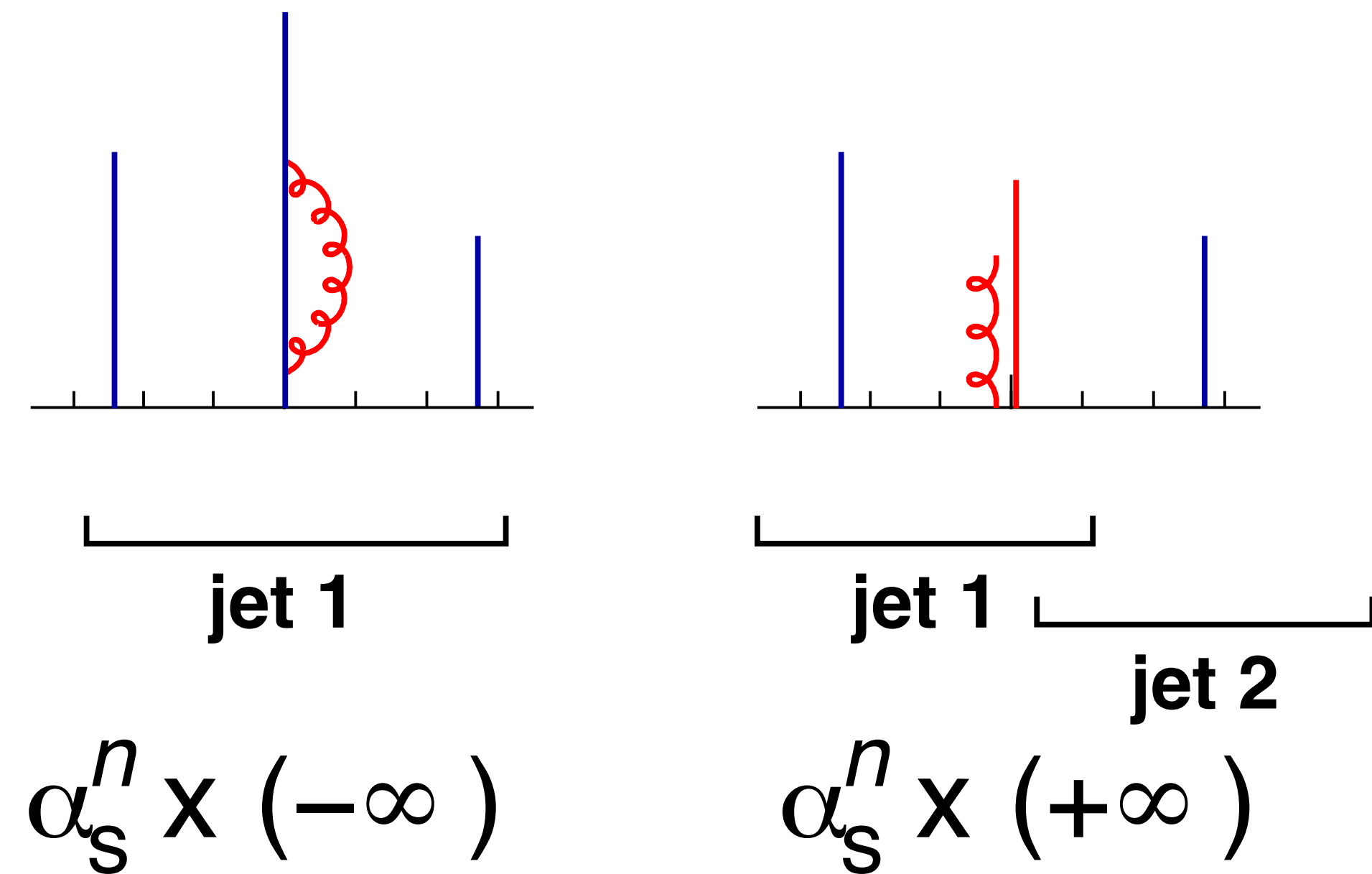
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## Collinear Safe



**Infinities cancel**

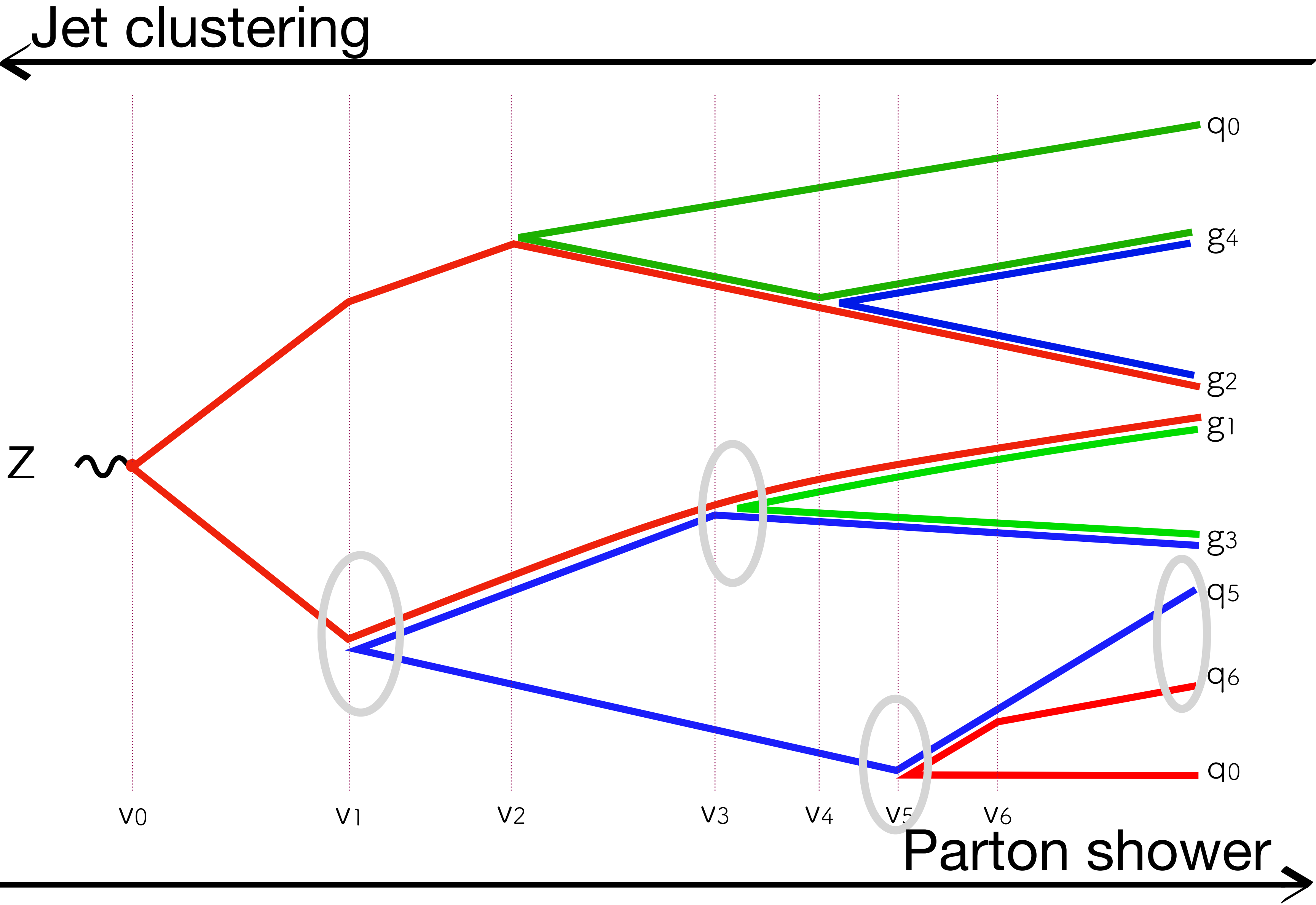
## Collinear Unsafe



**Infinities do not cancel**

An IRC unsafe jet definition invalidates perturbation theory

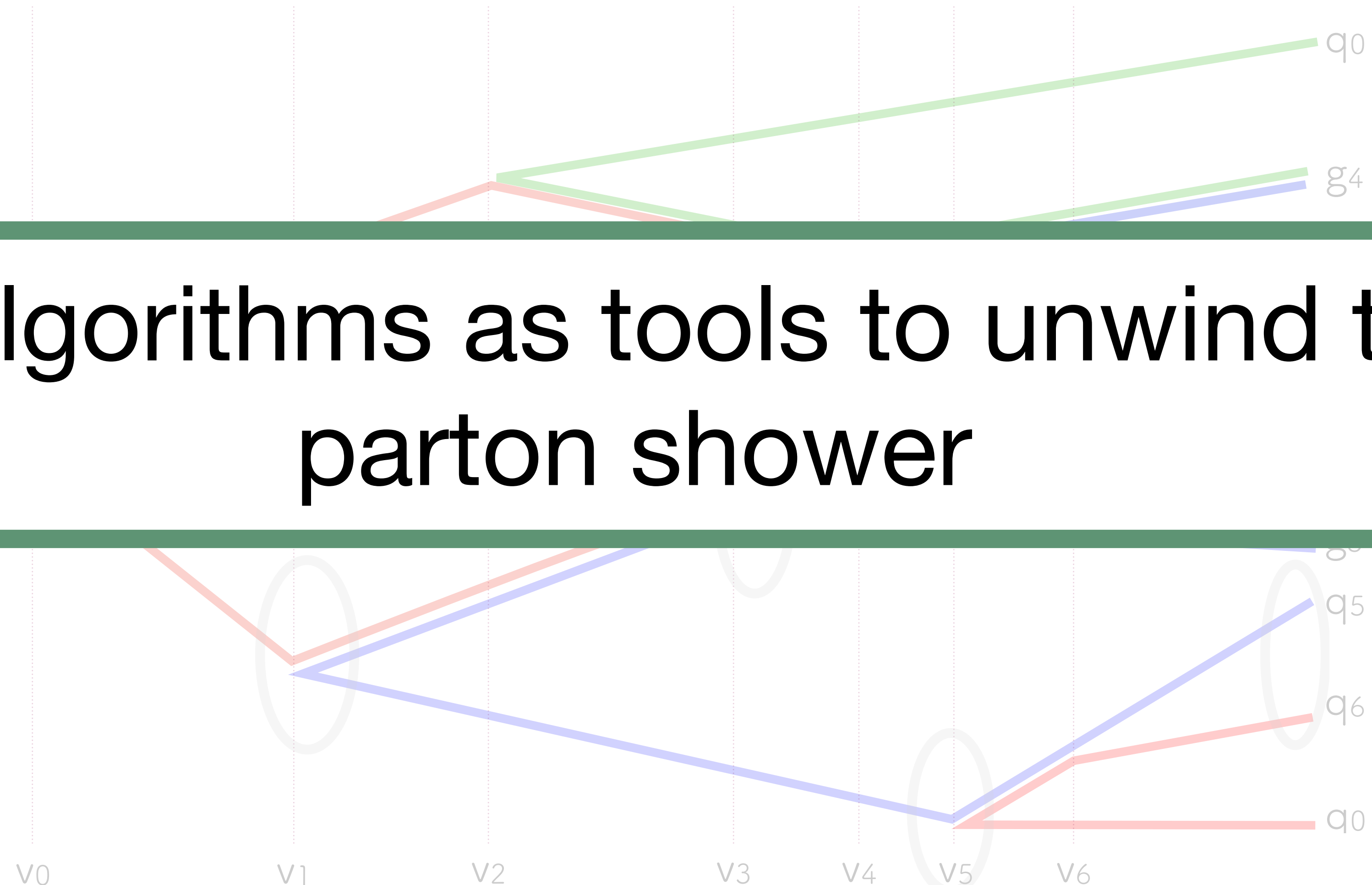
# Sequential recombination algorithms



# Sequential recombination algorithms

← Jet clustering

Jet algorithms as tools to unwind the parton shower



Parton shower →

# Sequential recombination algorithms: bottom-up approach

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For simplicity, let us begin with the  $e^+e^-k_t$  algorithm:

[Catani, Dokshitzer, Seymour, Webber Nucl.Phys.B 406 (1993) 187-224]

- For each pair of particles  $i, j$  work out the distance

$$y_{ij} = \frac{2 \min(E_i, E_j) (1 - \cos \theta_{ij})}{Q}$$



# Sequential recombination algorithms: bottom-up approach

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- For each pair of particles  $i, j$  work out the distance

$$y_{ij} = \frac{2 \min(E_i, E_j) (1 - \cos \theta_{ij})}{Q} \leftarrow \text{inverse of the splitting probability } \frac{dP_{i \rightarrow ij}}{dE_i d\theta_{ij}} \sim \frac{\alpha_s}{m_{ij}^2}$$

# Sequential recombination algorithms: bottom-up approach

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- For each pair of particles  $i, j$  work out the distance

$$y_{ij} = \frac{2 \min(E_i, E_j) (1 - \cos \theta_{ij})}{Q}$$

- Find the minimum  $y_{\min}$  of all the  $y_{ij}$

Sum their 4-momenta (E-scheme)

- If  $y_{ij} < y_{\text{cut}}$ , recombine  $i$  and  $j$  into a pseudo jet and repeat

Any soft/collinear particle will get recombined right at the start thus making the algorithm IRC safe, i.e. theory friendly

# Sequential recombination algorithms: bottom-up approach

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At hadron colliders one needs to introduce a couple of modifications

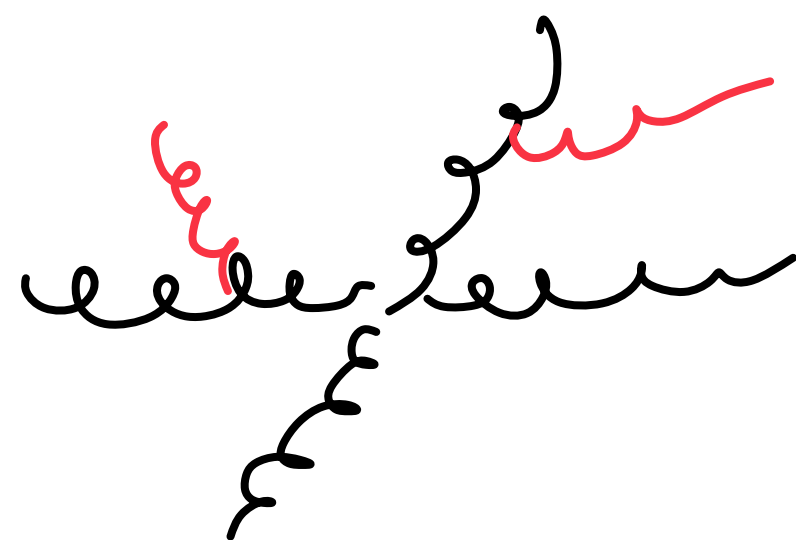
- Resolution parameter: jet radius

$$y_{cut} \rightarrow R$$

- Total energy unknown + boost invariance

$$d_{ij} = \min(P_{ti}^2, P_{tj}^2) \frac{\Delta R_{ij}^2}{R^2} \quad \text{with} \quad \Delta R_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

- QCD divergences wrt the beam



$$d_{is} = P_{ti}^2 \quad (\text{transverse momentum wrt beam})$$

# Sequential recombination algorithms: bottom-up approach

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- Work out all the  $d_{ij}$  and  $d_{iB}$
- Find the minimum of the  $d_{ij}, d_{iB}$
- If it is a  $d_{ij}$ , recombine  $i$  and  $j$  into a pseudo jet and repeat from 1
- If it is a  $d_{iB}$ , declare  $i$  to be a final state jet, and remove it from the list of particles. Return to step 1
- Stop when no particles remain

# Generalised $k_t$ family of sequential recombination algorithms

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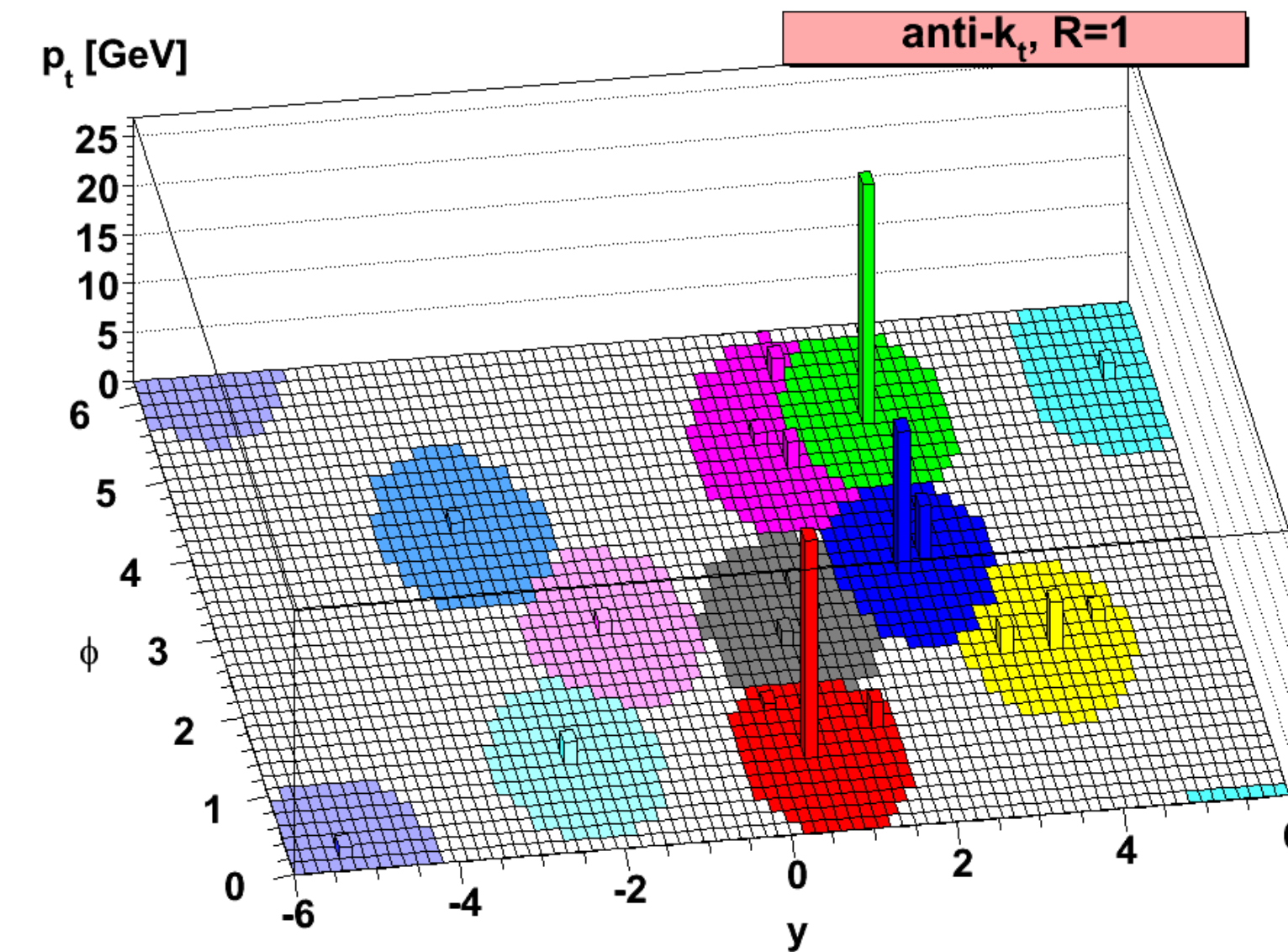
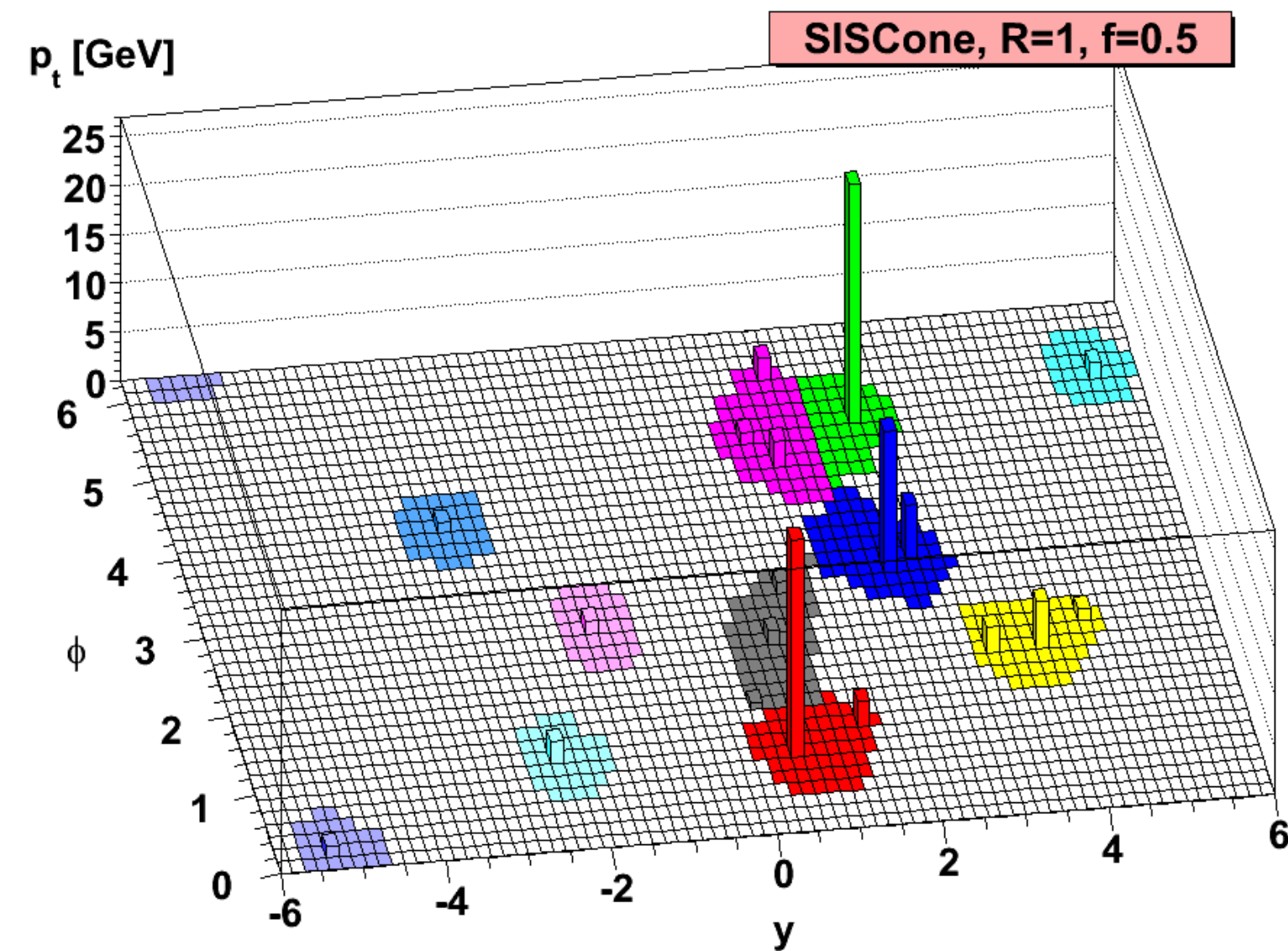
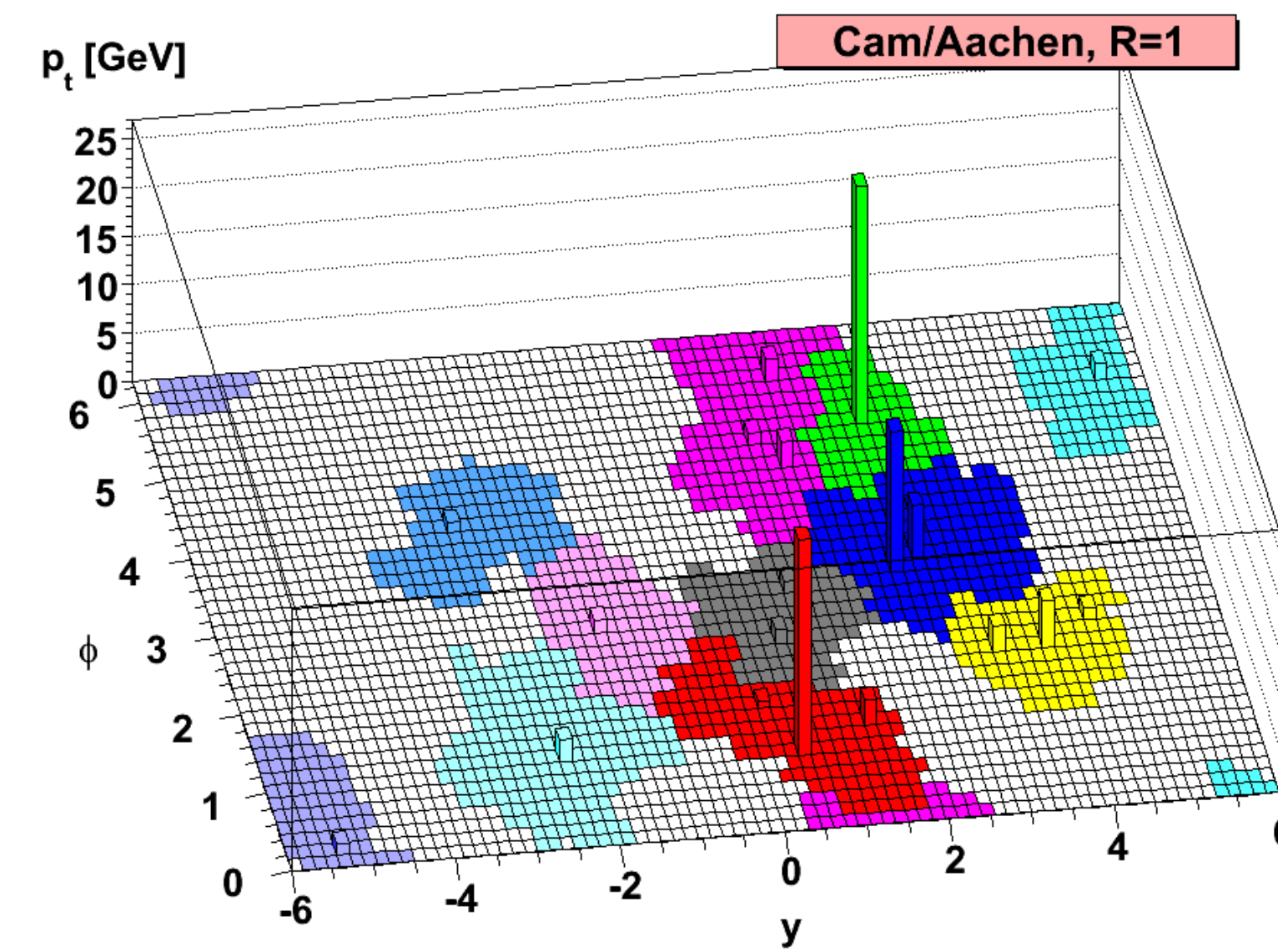
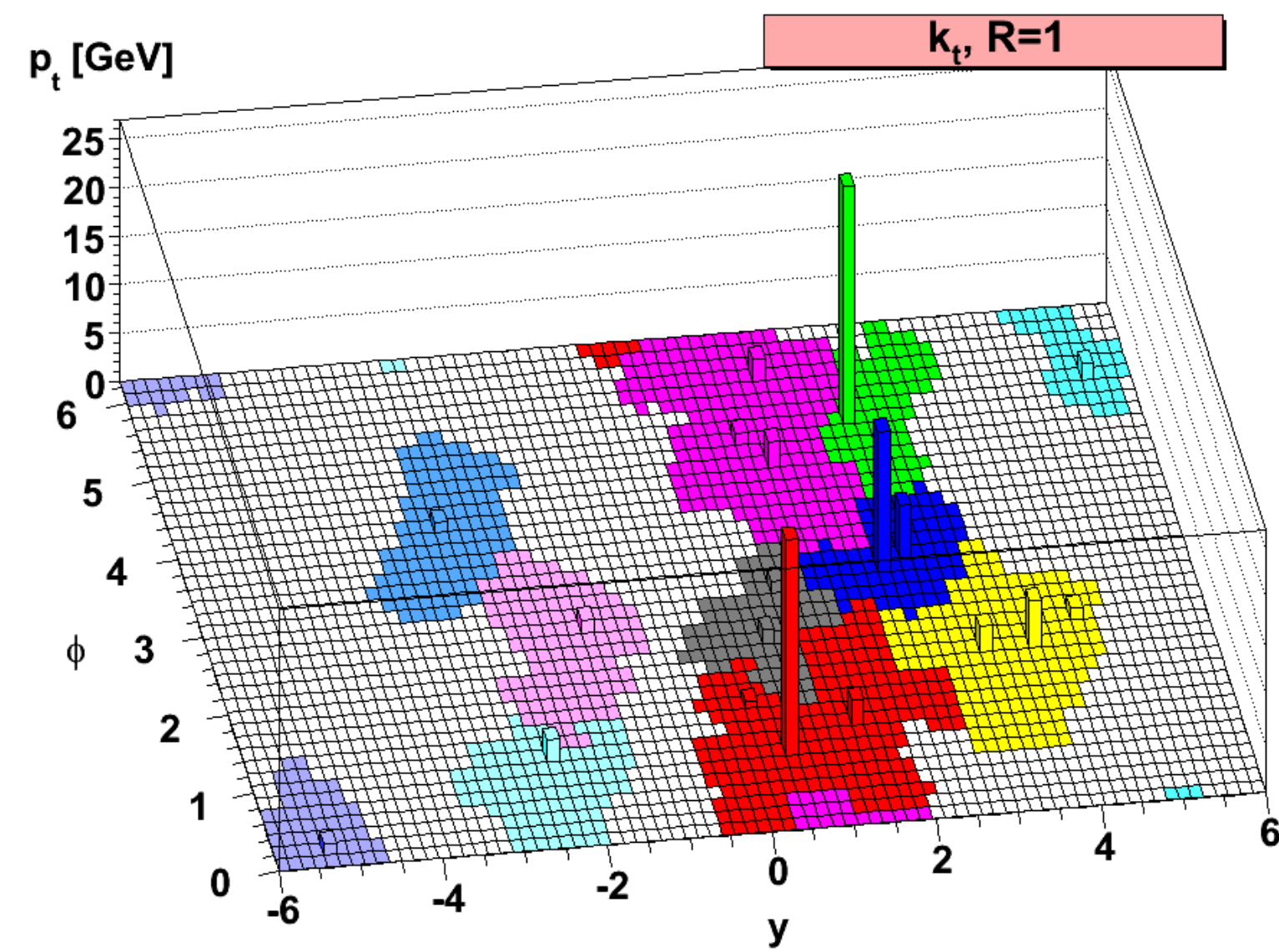
Introduce an additional free parameter,  $p$ , in the definition of the metric

$$d_{ij} = \min(p_{t_i}^{2p}, p_{t_j}^{2p}) \frac{\Delta R_{ij}^2}{R^2}, \quad d_{iB} = p_{t_i}^{2p}$$

A few physically relevant choices for  $p$ :

- $p = 0$  : Cambridge/Aachen algorithm. Hierarchical in angle  
[Dokshitzer, Leder, Moretti, Webber JHEP 08 (1997) 001]
- $p = 0.5$  :  $\tau$  algorithm. Hierarchical in mass/inverse formation time  
[Apolinario, Cordeiro, Zapp Eur.Phys.J.C 81 (2021) 6, 561]
- $p = 1$  :  $k_t$  algorithm. Hierarchical in relative transverse momentum  
[Catani, Dokshitzer, Seymour, Webber Nucl.Phys.B 406 (1993) 187-224]
- $p = -1$  : anti- $k_t$  algorithm. Hierarchy meaningless  
[Cacciari, Salam, Soyez JHEP 04 (2008) 063]

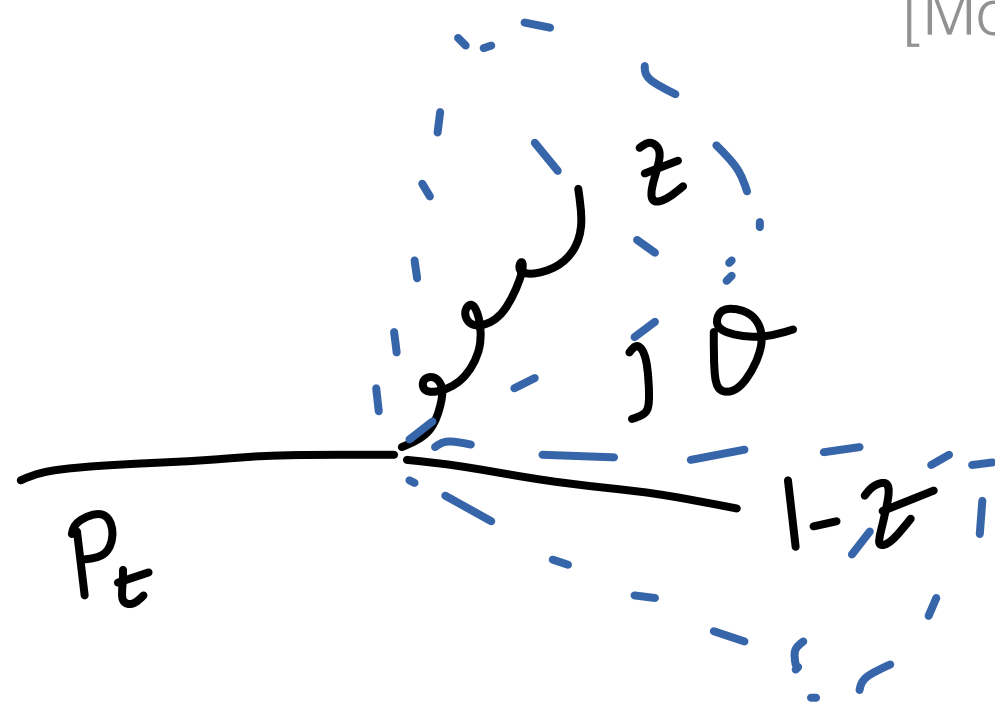
# Generalised $k_t$ family of sequential recombination algorithms



# How close does a jet resemble a (MC) parton? Perturbative

[More details in 'Towards jetography']

Oversimplified



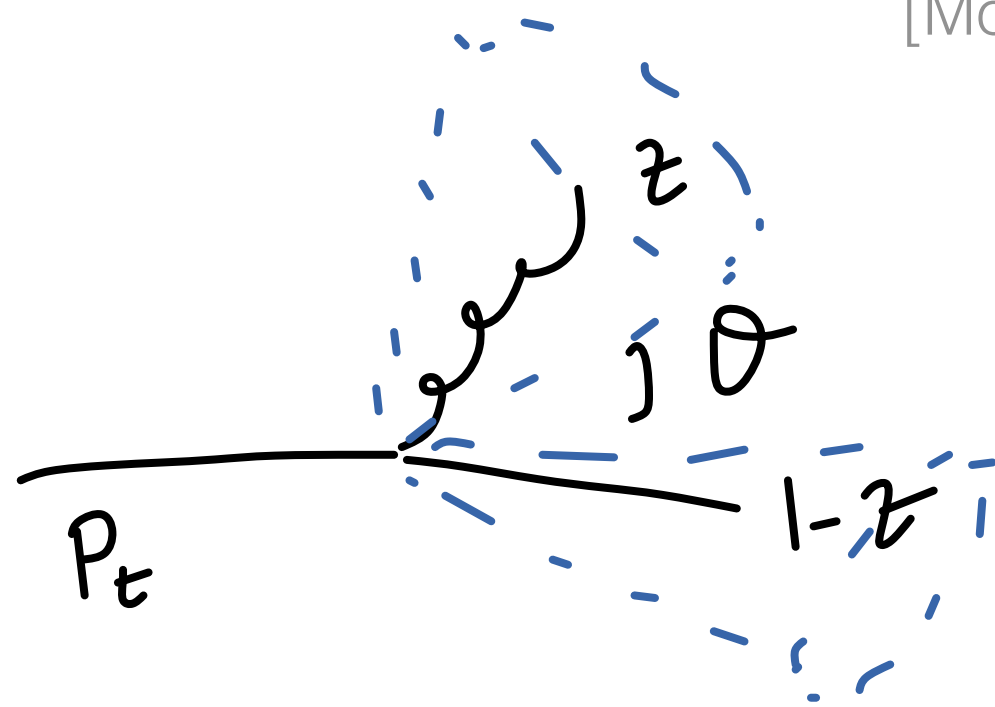
$$\langle dP_t \rangle = \int \frac{d\theta^2}{\theta^2} \int dz \frac{\alpha_s(z\theta P_t)}{2\pi} P(z)$$

$$\times \underbrace{P_t [\max(z, 1-z) - 1]}_{P_t - \text{loss}} \times \Theta(\theta > f_{\text{alg}}(z)R)$$

outside the jet  
 ↗  
 ↑ 1 for  
 $k_t, \text{anti-}k_t, \text{CA}$

# How close does a jet resemble a (MC) parton? Perturbative

[More details in 'Towards jetography']



$$\langle \delta p_t \rangle = \int \frac{d\theta^2}{\theta^2} \int dz \frac{\alpha_s(z \theta p_t)}{2\pi} P(z)$$

$$\times \underbrace{p_t [\max(z, 1-z) - 1]}_{p_t - \text{loss}} \times \Theta(\theta > f_{\text{alg}}(z) R)$$

outside the jet  
 $\Uparrow$   
 $\uparrow$   
 $1$  for  
 $k_t, \text{cafi-}k_t, \text{CA}$

$$\left. \frac{\langle \delta p_t \rangle}{p_t} \right|_{\text{pert}} = \frac{\alpha_s}{\pi} C_F \underbrace{\left( 2 \ln 2 - \frac{3}{8} \right)}_{\langle D \rangle} \ln R + \mathcal{O}(\alpha_s) + \mathcal{O}(R^2)$$

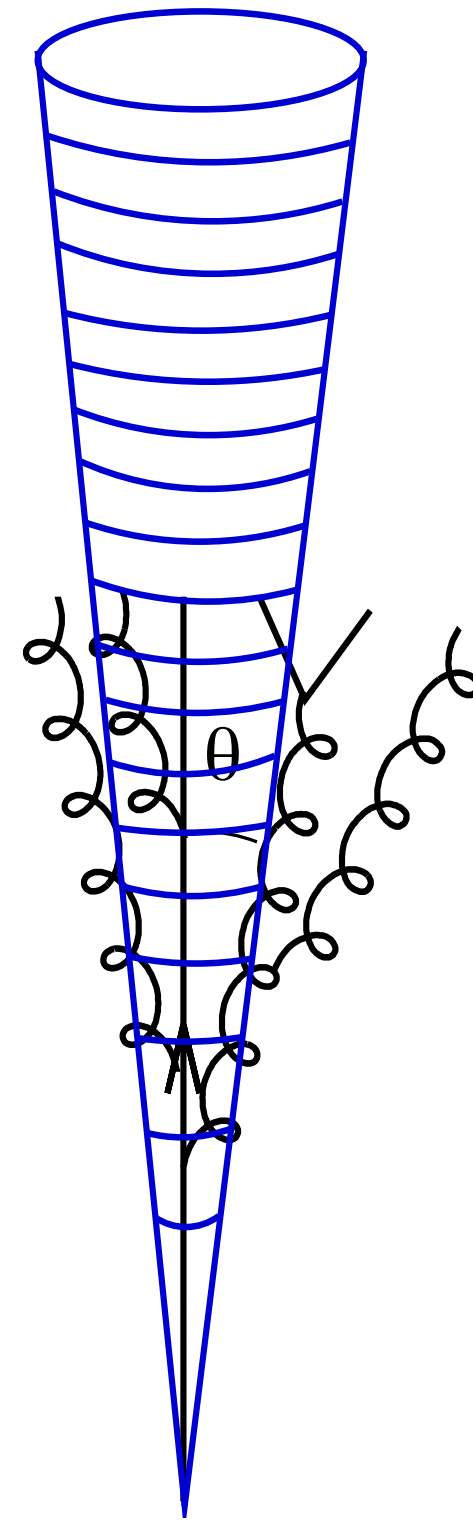
For  $R=0.4$ , a quark-induced jet loses  $\sim 5\%$  of initiating parton's  $p_t$



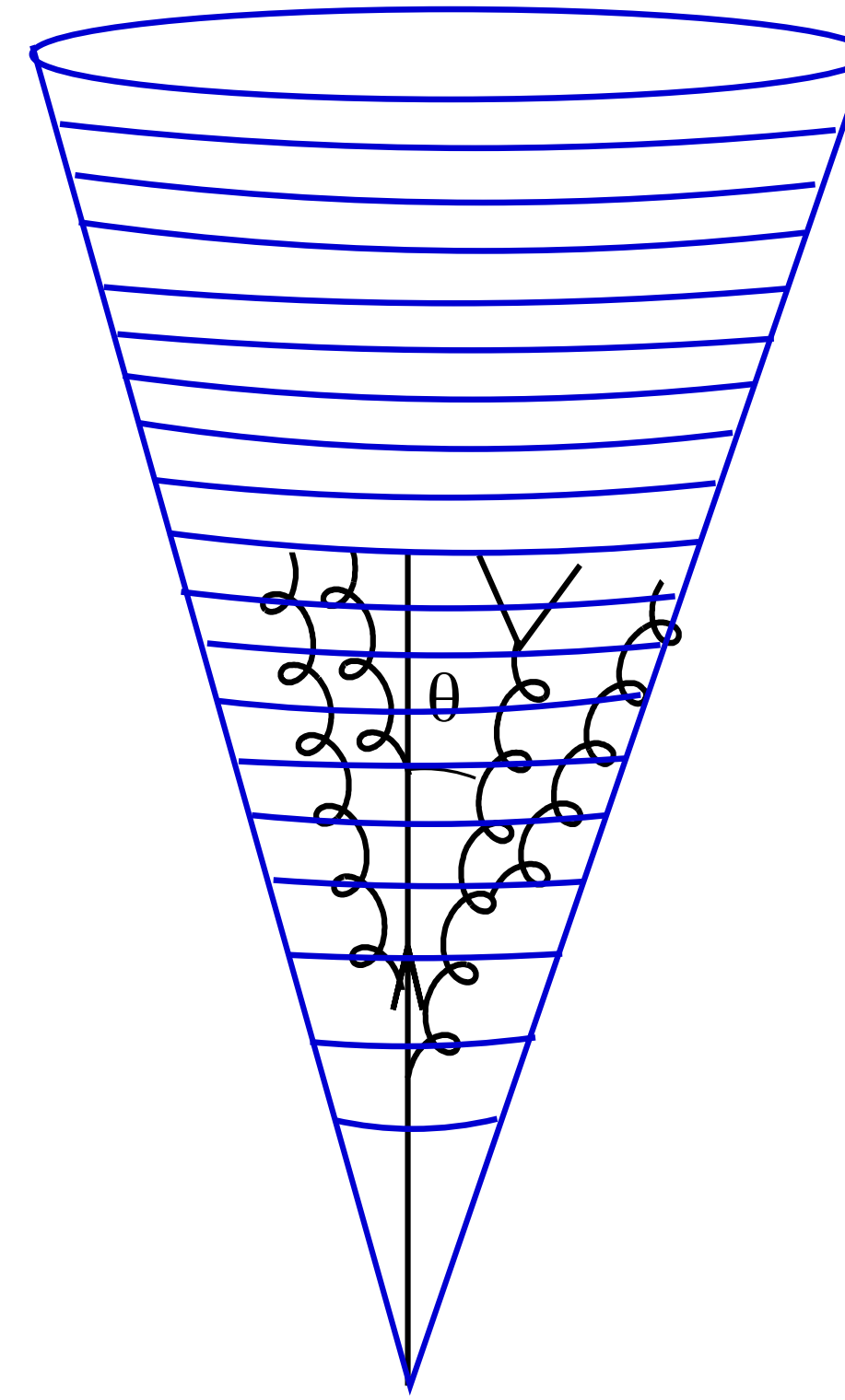
# How close does a jet resemble a (MC) parton? Perturbative

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Small jet radius



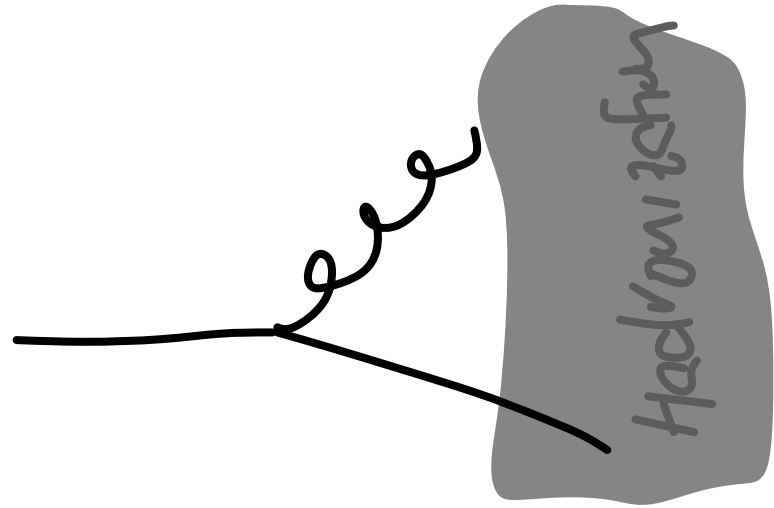
Large jet radius



Perturbative fragmentation: large radius better (it captures more)

# How close does a jet resemble a (MC) parton? Non-perturbative

[More details in 'Towards jetography']



$$\langle dP_t \rangle \Big|_{NP} = \int \frac{d\theta^2}{\theta^2} \int dz \frac{\alpha_s^{NP}(z P_t)}{2\pi} P(z)$$

$\alpha_s^{NP}(m) = \Lambda^d (m - \lambda)$  Landau scale  
 outside the jet

$$\times \underbrace{P_t [\max(z, 1-z) - 1]}_{P_t - \text{loss}} \times \Theta(\theta > f_{alg}(z)R)$$

$\uparrow$   
 $1$  for  
 $k_t, \text{anti-}k_t, CA$

$$\frac{\langle dP_t \rangle}{P_t} \Big|_{NP} \sim \frac{2C_F}{\pi} \frac{\lambda}{R}$$

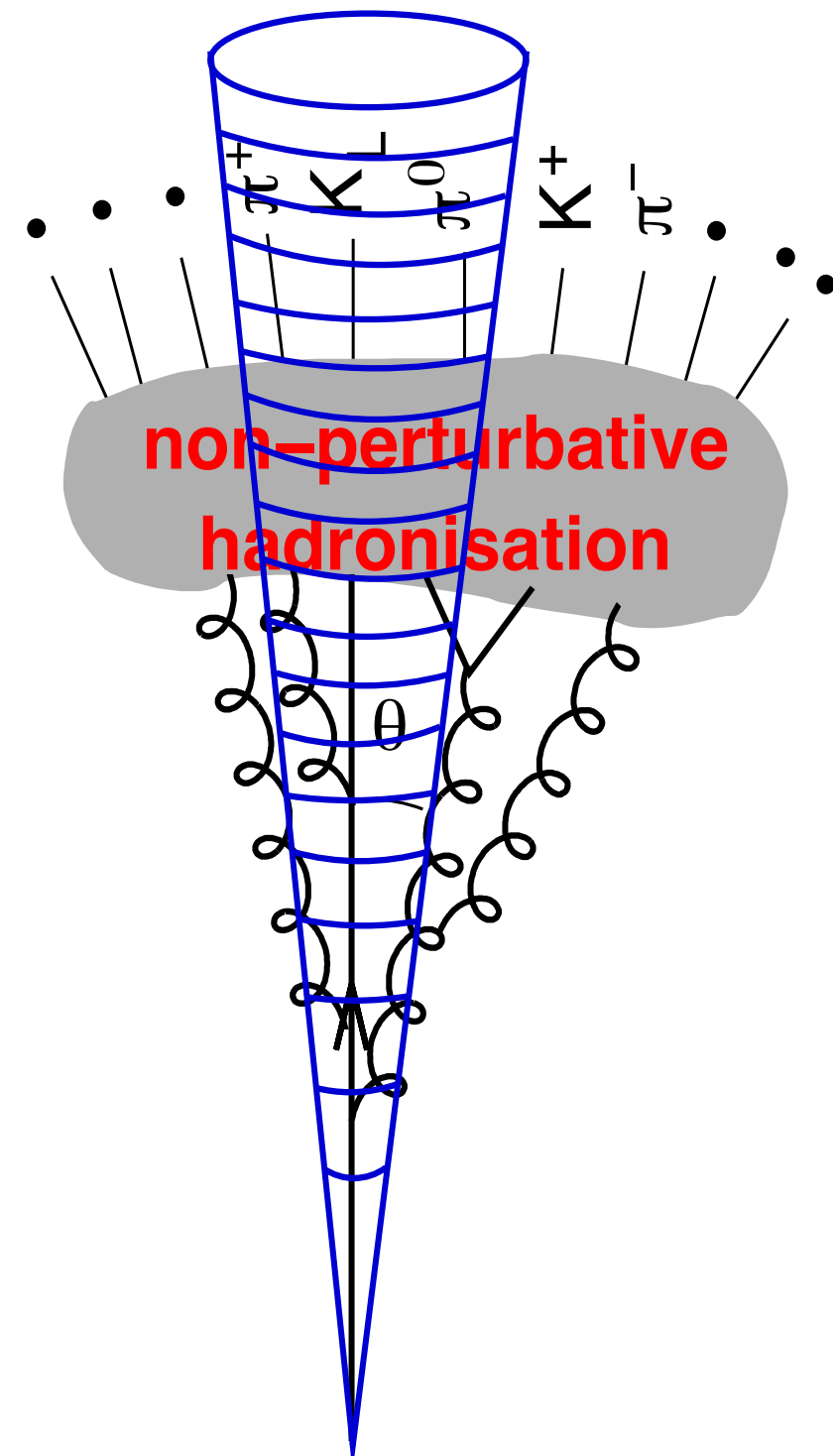
Very oversimplified

Hadronisation removes transverse momentum  $\mathcal{O}(\lambda/R)$  from a jet

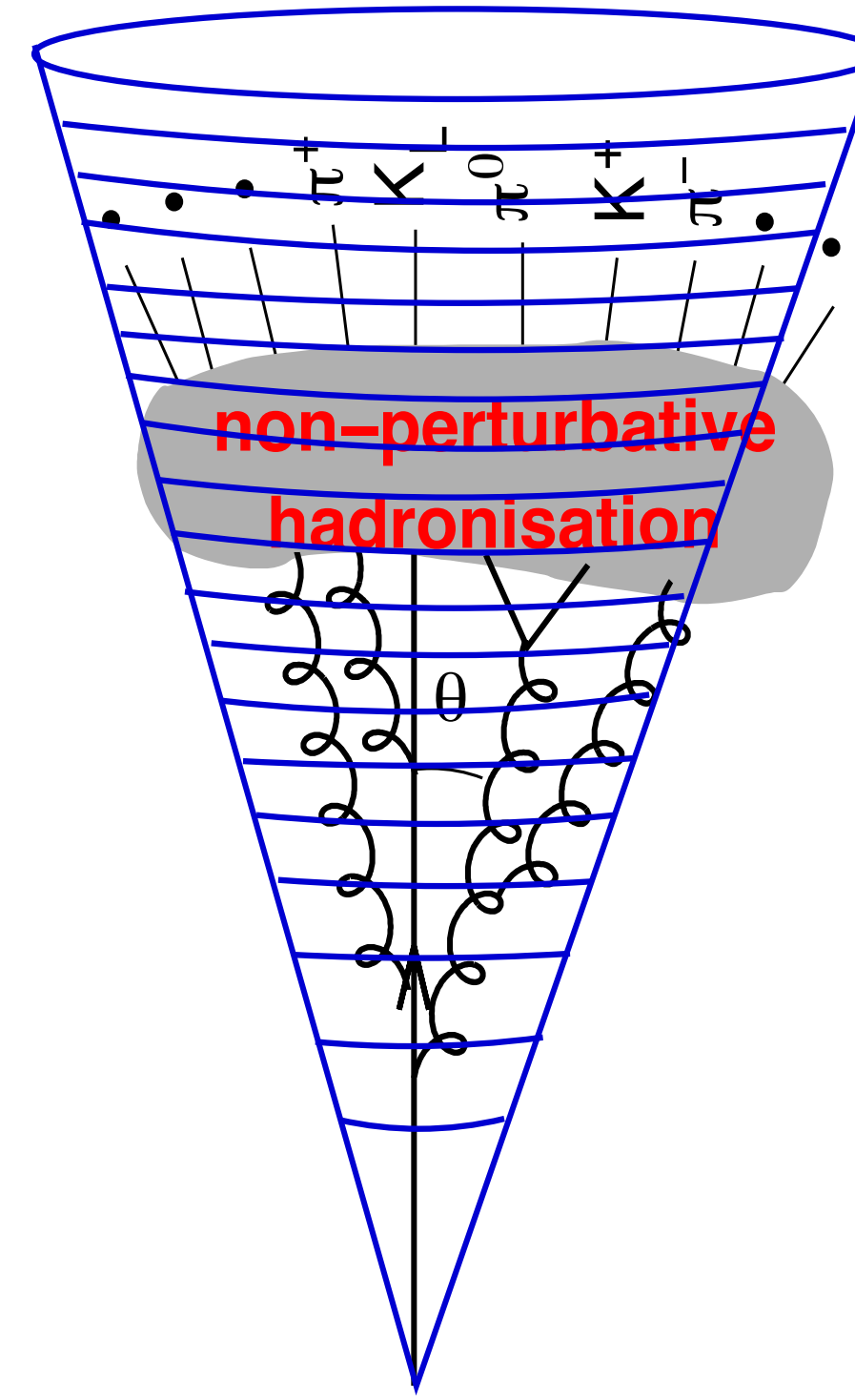
# How close does a jet resemble a (MC) parton? Non-perturbative

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Small jet radius



Large jet radius

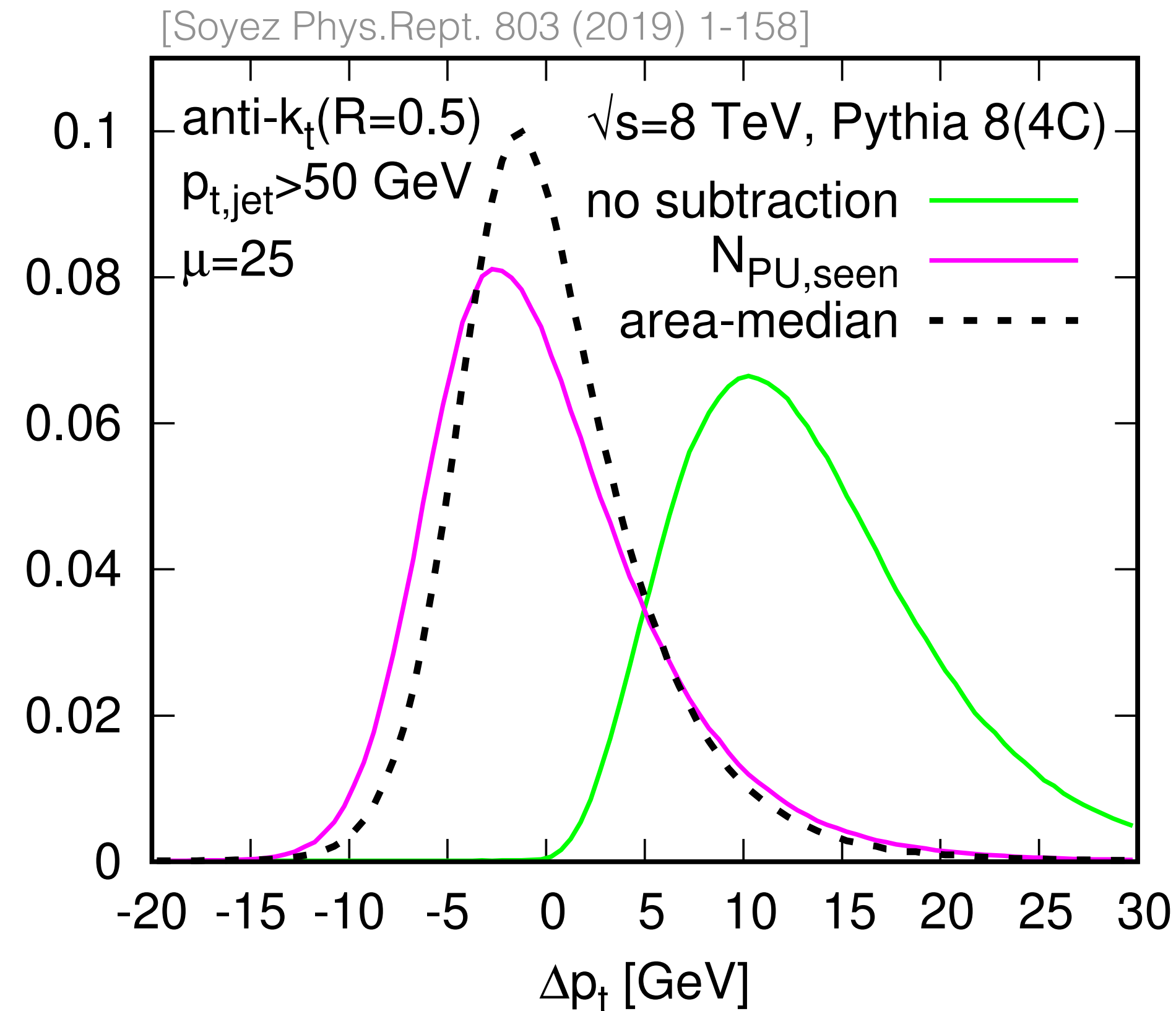


Non-perturbative fragmentation: large radius better (it captures more)

# How close does a jet resemble a (MC) parton? Underlying event

Jets at hadron colliders sit on top of a QCD background (pileup, MPI)

Assuming a uniform distribution, it will induce an extra amount of  $p_t$



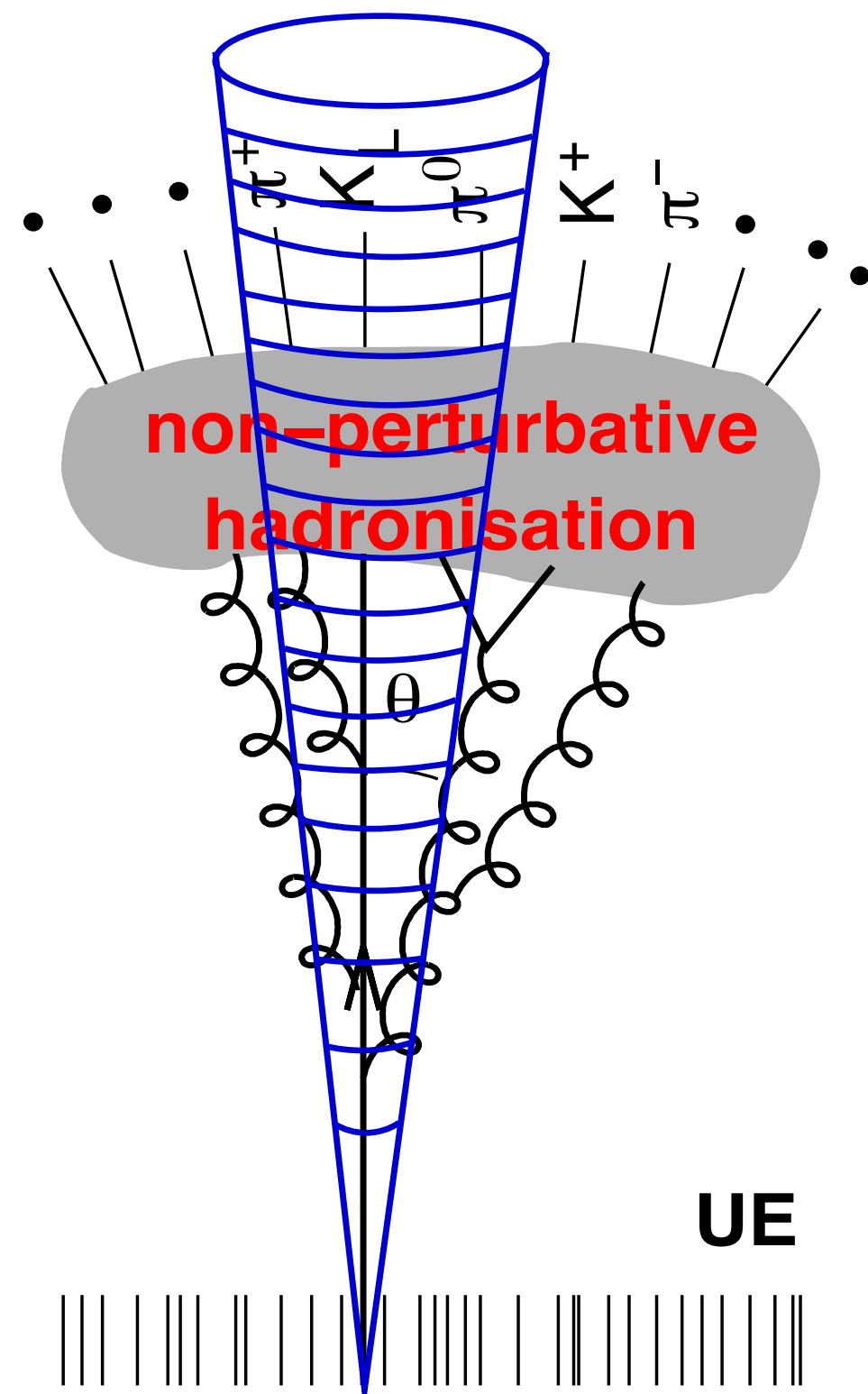
$$\langle \delta p_t \rangle_{UE} \sim \Lambda_{UE} \left( \frac{R^2}{2} - \frac{R^4}{8} \right)$$

$$\Lambda_{UE} \sim 20 \text{ GeV (in pp)}$$

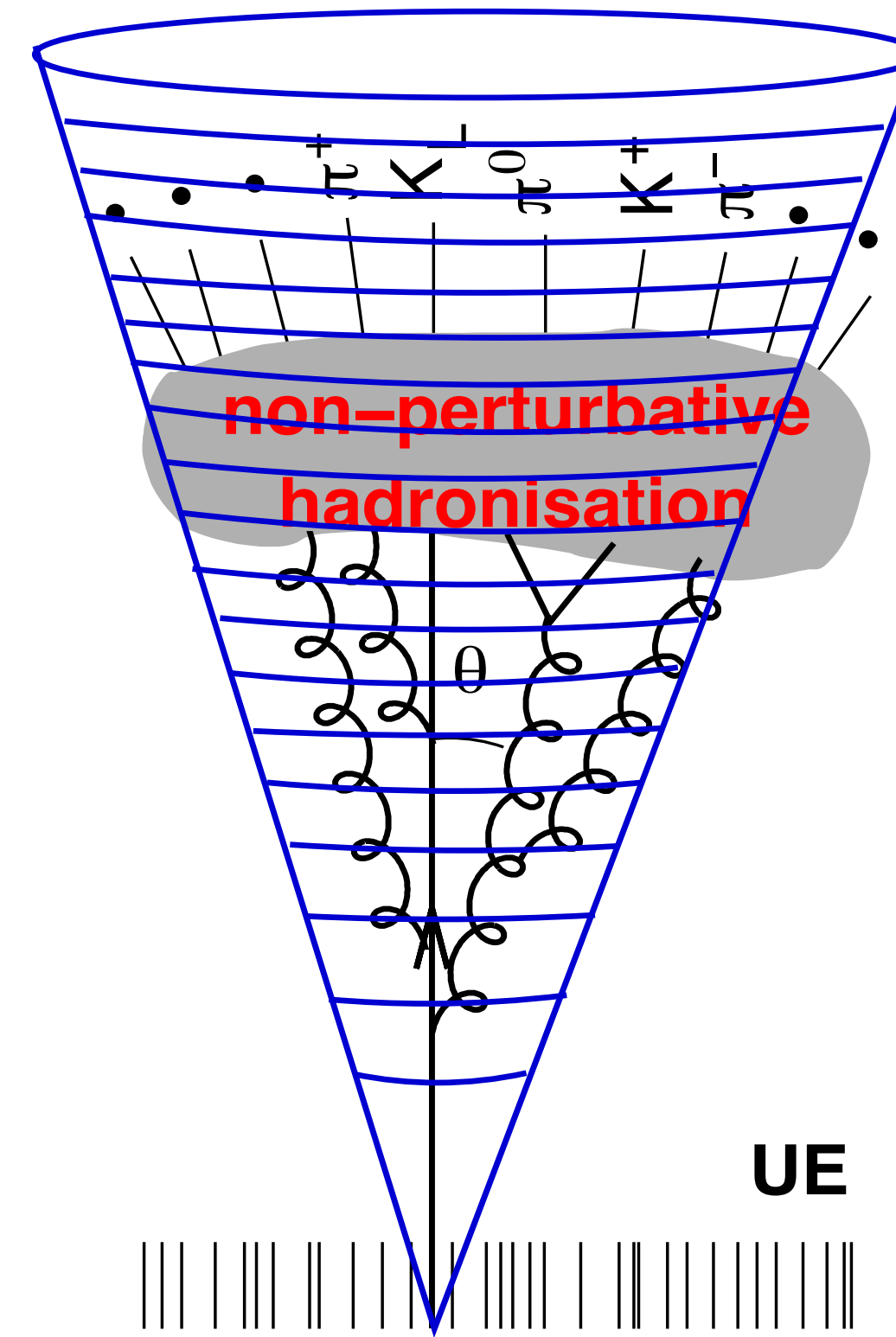
$$\sim 250 \text{ GeV (in PbPb)}$$

# How close does a jet resemble a (MC) parton? Underlying event

Small jet radius

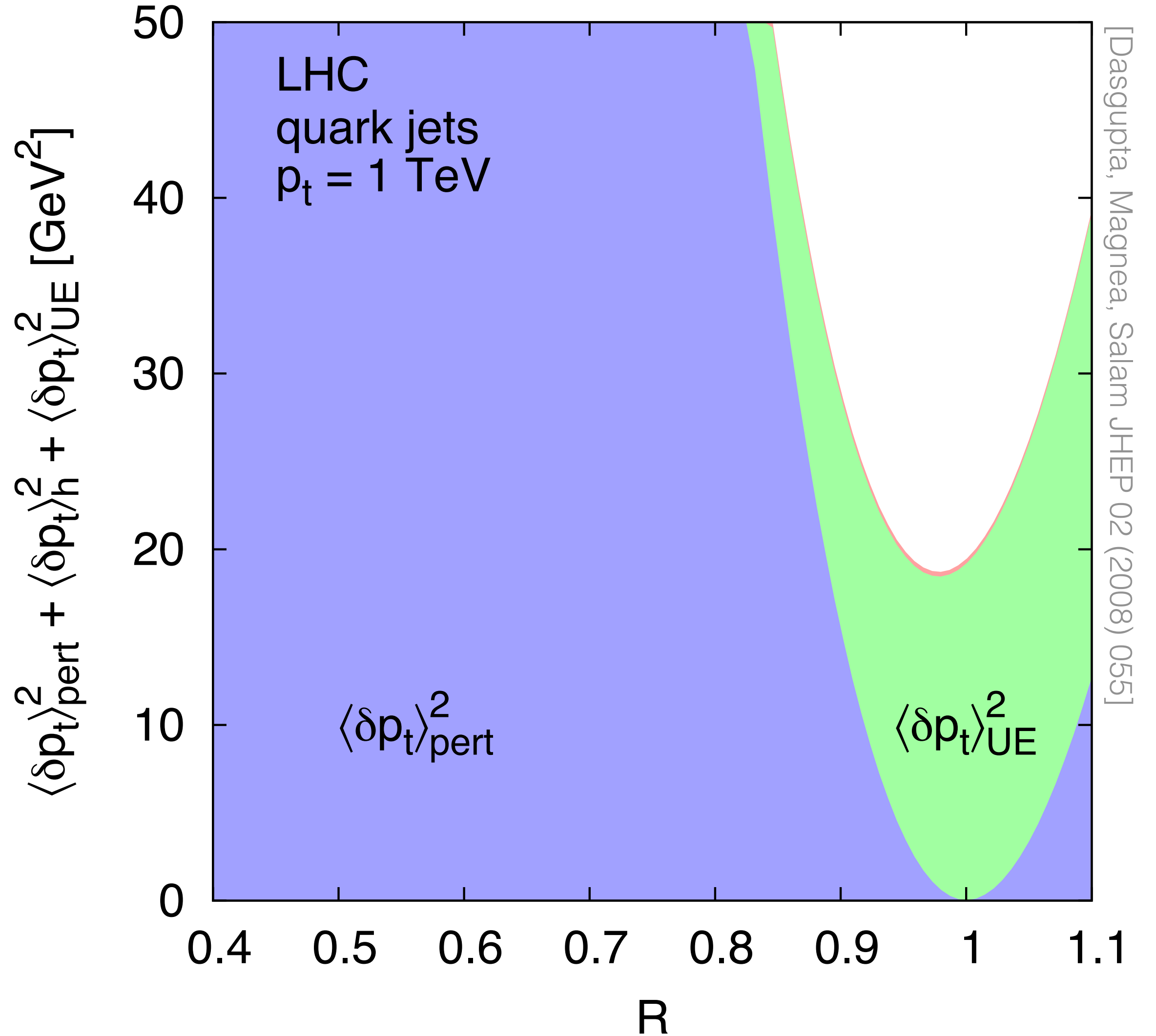
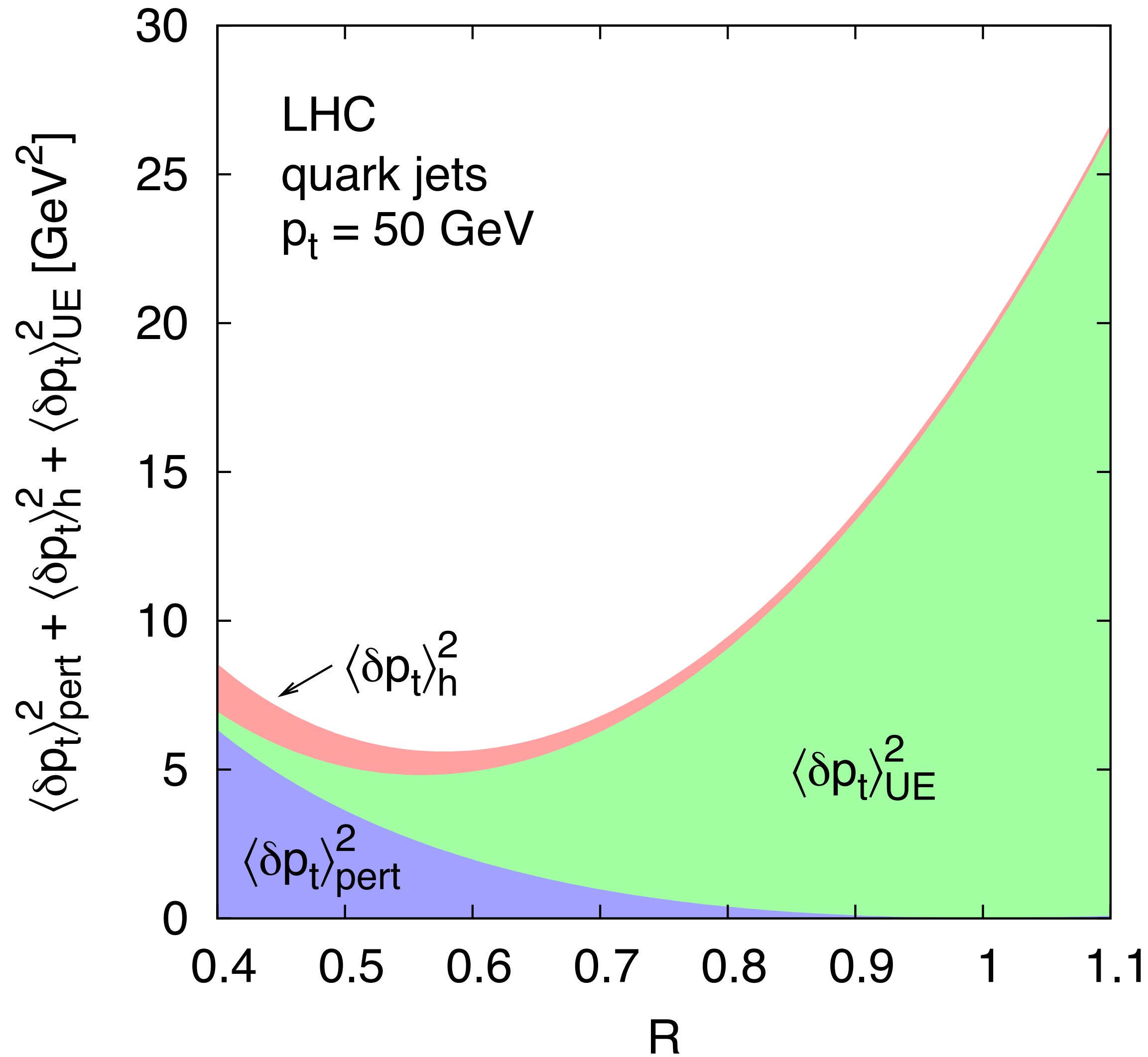


Large jet radius



UE and pileup: small radius better (it capture less)

# Putting everything together



[Dasgupta, Magnea, Salam JHEP 02 (2008) 055]

At low  $p_t$ , small R limits UE impact. At high  $p_t$ , pQCD dominates

## To conclude: standard LHC jet finding

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- Uses the anti- $k_t$  algorithm
- Uses a jet radius  $R = 0.4$
- Uses a transverse momentum threshold that is typically at least 20 GeV (exact value depends on the analysis)
- Radius and  $p_t$  threshold choices give a good compromise between:
  - ▶ ability to resolve multi-jet physics
  - ▶ loss of radiation from jets
  - ▶ additional spurious jets
  - ▶ contamination from pileup