





THE CMS CRYSTAL ECAL

November 26, 2010

from the end of the cold war to the LHC first physics



Outline

- The hunt
- Crystal R&D
- Construction & commissioning
- Running and first physics results









The (elusive) prey

- Di-photon channel
- □ For $m_H < 130 \text{ GeV}$
 - Clean signature
 - Higgs width O(MeV)
 - Smooth background
- 🗆 But
 - 10⁻³ branching fraction
 - Irreducible background as large as signal





A. David (LIP, Lisboa) Novemb

November 26, 2010



2007 J. Phys. G: Nucl. Part. Phys. 34 995





Anatomy of di-photon mass resolution



Energy and angular resolution

$$\frac{\sigma(E)}{E} = \frac{a}{\sqrt{E}} \oplus \frac{b}{E} \oplus c$$

a, stochastic term – photoemission/sampling fluctuations
b, "noise term" – electronics and pileup energy
c, "constant term" – non-uniformities, shower containment etc.

Energy resolution

• Each term should be ~the same at relevant energies (E= $m_{\rm H}/2 \sim 60~{\rm GeV}$)

• An homogeneous ECAL has the potential to achieve a stochastic term of $\sim 2\%/\sqrt{E}$ – but quite difficult to control the systematics that build-up the constant term

Angular resolution

• Primary vertex position along beam axis + photon incidence positions on ECAL $\rightarrow \theta$

• At high \mathcal{L} need to use hard tracks associated to Higgs production to define the correct vertex (there may be ~20 vertices spread over ~20 cm along the beam axis)

goal → a ~ 2.5% b < 200 MeV c ~ 0.5%

and an angular resolution $\sigma_{\rm e} \sim 50 \text{ mrad}/\sqrt{E}$



The making of the hunter







- □ 1990: HEP meeting in Aix-la-Chapelle
 - LHC and possible future experiments presented
- 1990: Creation of a CERN R&D programme (DRDC)
- 1991: Creation of the Crystal Clear collaboration (RD18)
 - R&D on scintillating inorganic crystals for the LHC
- 1992: 1st conference on inorganic scintillators organized by Crystal Clear
 - Chamonix Crystal 2000



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CMS chose to construct an homogeneous ECAL based on lead-tungstate (PbWO₄) crystals

Reason for PbWO₄ crystals

• potential to achieve 2% stochastic term

• very compact - $26X_0$ in <25cm ($X_0 = 0.89$ cm) – able to place entire calorimeter inside 4T solenoid of CMS

• small Molière radius (~2.2cm) – excellent granularity possible – for isolation efficiency, pileup rejection and spatial precision

- fast light emission (average \sim 25ns)
- radiation hard

Difficulties

- relatively low light yield need photodetectors with gain
- uniformity of light production and collection important
- light yield is temperature dependant need to stabilize xtal temperature to 0.1°C (see later)
- some low-level radiation damage need to monitor the xtal transparency using lasers (see later)
- test and assembly of ~80000 crystals A. David (LIP, Lisboa) November 26, 2010

15+ years of work with crystals

- 1990-1993: Several candidate technologies on the table
 Liquid Xe, CeF, Shashlik
- 1993/4: PWO chosen for CMS ECAL
- 1994-1998: intense R&D on PWO
- 1998-2000: pre-production of 6000 crystals in Russia
 - Increase production rate
 - Improve homogeneity of production quality
- 2001: start of production in Russia
- 2005: start of production in China
- 2007: last barrel crystal produced
- 2008: last endcap crystal produced





- The G8 founded the ISTC to retrofit/adapt the USSR military industry to peaceful results.
- In the mid-90s a study was commissioned to evaluate the ability of the USSR to produce:
 - Crystals, extensively used in sighting lasers.
 - Photodetectors, extensively used in night vision equipment.
- Funding to convert crystal factory secured
 Factory in Bogoroditsk, close to Kalachnikov factory.



Crystal Production – 1996 to 2008









Eventually, increased production rate to

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Crystal Cutting





Not a trivial procedure – crystals are not simply cut along their axes as they have to be tapered







Barrel crystal production







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CMS ECAL photodetectors







- Barrel: Avalanche Photodiodes (APD, Hamamatsu)
- Characteristics optimized with an extensive R&D Program
- insensitive to B-field as PIN diodes
- Internal gain (M=50 used, M=200 for cosmics calibration)
- good match to Lead Tungstate scintillation spectrum (Q.E. ~ 70%)
- dM/dV = 3%/V and dM/dT = -2.3%/°C :
- → T and V stabilization needed

Endcaps: Vacuum phototriodes (VPT by Research Institute Electron in St. Petersburg)

- A VPT is a single-gain-stage photomultiplier tube
- Diameter 25.4 mm

D

- Quantum eff. ~22 % at 420nm
- Gain at 0 magnetic field ~10
- Rad. tolerance <10% loss after 20 kGy
- Magn. field resp. loss at 4T < 15% w.r.t. 0T



1999: prototype energy resolution





The making of the hunter



70000 PbWO₄ crystals

 Si-preshower in the endcaps





Both isolation and π^{o} rejection require high granularity detectors

A π^0 with $p_T \sim 60$ GeV will produce 2 photons separated by a small distance in CMS ~ 1 cm in the barrel after travelling ~ 1 -3m

 \sim few mm in the endcaps after travelling > 3m





CMS electromagnetic calorimeter



 $\frac{\sigma(E)}{E} = \frac{2.7\%}{\sqrt{E}} \oplus 0.55\% \oplus \frac{155MeV}{E}$

Pb/Si preshower

Granularity Barrel ΔηxΔφ=0.0174x0.0174 A. David (LIP, Lisboa) November 26, 2010

EndCaps: 1.48 < |η| < 3.0 14648 crystals (3x3x22cm³)

> PbWO₄ 75468 crystals produced in China (SIC) and Russia X₀ 0.89 cm LY~100 pe/MeV (PMT)

VPT



Supermodule Assembly (1) at CERN











Supermodule Assembly (2) at CERN



Then the electronics need to be added!

36+1 supermodules assembled at CERN between 2003 and 2007



Assembly of front-end electronics





ECAL front-end electronics

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25 xtals 800 Mbit/s



ECAL off-detector electronics

- Part of the CMS Level 1 trigger
- Readout of 10
 time samples at
 100 kHz
- Data reduction of factor 20 needed
 - Internal Selective Readout Processor to preserve energy resolution





Selective readout

- Factor 20 reduction in data size needed to fit within CMS event budget
- Simple zero suppression would spoil energy resolution
- Perform selective readout of zones neighboring large deposits
 - Selective = ignore, 2 ZS thresholds or full readout





Highlights from the CMS ECAL Timeline



2006: Magnet Test and Cosmic Challenge







Summer 2006/7: ECAL barrel calibration



 First operation of the trigger electronics of the ECAL

- Large fraction of ECAL barrel intercalibrated with electron beam
- All ECAL barrel collected
 cosmic muon data

{E,H}CAL combined
 performance test beams



Barrel ECAL: Calibration & Test Beam



Test Beam: Containment ratios E1/E9, E1/E25 and E1/E49 versus rapidity.




Beam structure using the trigger electronics





Beam timing analysis using trigger electronics

Time structure of the trigger primitive distribution: $T = 23.1 \ \mu s$ (SPS revolution)



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400

600

800

1000 Bunch

200

0

Jan 2007: lowering the first endcap wheel





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Electronics to test the electronics



Barrel OD electronics triplets in the CMS Electronics Integration Area (904) January-March 2007





April 2007: ECAL electronics integration



 Integrated tests of Data, Trigger and Control cards prior to installation

12 crates with 110 cards intensively tested

>10 hours of continuous testing per crate





2007: lowering of the central barrel





Touch down !





May 2007: ECAL barrel installation





Start ECAL Barrel installation

- 36 Supermodules tested before and after insertion in the central barrel:
 - Front-end functionality
 - Data acquisition functionality
 - Trigger primitive generation functionality

Sample logbook entry

- 1) Token rings OK
- 2) I2c devices access OK
- 3) HV TT57 and TT58 draw high current (~200uA),
 - this problem has appeared on the floor, current was 50uA.
- 4) DCU OK, except channel 1427 (TT58) has high APD current (~200uA) except APD temperature TT9, cry245 bad DCU measurement (known from floor) except APD temperature TT57, cry1441 bad DCU measurement (~15 C, known from floor)
- 6) Pedestal run 1591 OK, except
 - ch 1427 (TT58) is noisy (RMS12=41.2),
 - ch 115 has rms6=1.8 rms12=4.2 (new problem)
 - all MEM box channels are noisy in gain 16, as before
- 7) Test pulse run 1592 OK, except channel 331 (TT15);
 - it had big HV current and has been disconnected from the HV in 867
- 8) Pedestal HV off run 1593 OK, except channel 331 (TT15) as explained above
- 9) Trigger links OK

ECAL Barrel installation half-way





July 2007: ECAL barrel fully installed







August 2007: muons seen in the ECAL





2007/8 – Putting the pieces together

Integration of subdetectors and trigger: considered separately: 19 items, each equally weighted



ECAL Endcap – a flash commissioning

- First piece of the detector in P5 on July 8, 2008
- Hand over to commissioning team on August 6
- All done by August 16
- Issues
 - Fibres broken inside EE
 1 / 550 data
 - 1 / 3000 trigger

Installing and commissioning of the ECAL endcaps

On August 18th, the ECAL Field Coordinators K.Bell and W. Funk announced the end of installation and initial commissioning of the complete Endcap Electromagnetic Calorimeter (EE) in CMS.

EE consists of 4 "Dees" each comprising 3,662 scintillating lead tungstate crystals. As mentioned in the CMS Times issue of <u>July 21st</u>, the first Dee had arrived at point 5 on July 8th. It took therefore less than 6 weeks to mechanically install, connect the services and the data/trigger links and finally commission the readout of this complex detector. About 80 physicists, engineers and technicians were involved in this huge effort, which required multiple shifts work, 7 days a week. Many of them even had to postpone their summer holidays to fulfill the strong mid-August deadline set up by the overall planning of the LHC for EE installation.

Approaching Dee2 to Dee1 with a very small clearance was one of the most difficult operations in the mechanical installation. Copyright: STFC

Probably one of the most stressful moments was when the second Dee (a 12-tonne, 3.5-metre-high object containing the fragile crystals) had to approach close to the first one with a clearance of less than one millimetre. Other exciting times happened when the load of the Dees was transferred from their

Dee1 and Dee2 attached to HE.

assembly "OPAL" frames to the Hadron Calorimeter, HE. As usual, experience plays an important role and the installation of Dee 3 and Dee 4, on the other side of CMS, was easier and faster.

The commissioning of the DAQ and of the readout electronics was also a challenge. However it took only 8 days to take the EE from a state where it was just powered on to a state where a fair fraction ran in a global run in mid-August.

Submitted by:

A. David (LIP, Lisboa)

November 26, 2010

Welcoming the ECAL Endcaps

Muon Chambers

Barrel ECAL

Endcap ECAL

A. David (LIP, Lisboa)

HCAL

November 20,

September 3, 2008 at 20:30

B field OFF (beam)

All silicon OFF (safety)

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2008 beams in the LHC

- September 7
 - Beam 1 on collimators (upstream of CMS)
- September 10 (D-day)
 - Beam 1, then Beam 2 circulating (hundreds of turns)
- September 11
 - RF capture (millions of orbits)
 - Beam halo through CMS
 - Beam-gas events
- About 40 hours of beam at or through CMS
 - All systems ON except Tracker and Solenoid

Beam Splash Event Display

HCAL energy

And then, Sep 19...

The coming of age of the hunter

Cosmics Run at 4 Tesla – CRAFT

- Four weeks of continuous running
 - 19 days with B = 3.8 T
 - gain operational experience in 24/7 operation
- □ 370 M cosmic events
 - 290 M events at B = 3.8 T
 - 87 % events with muons
 - 3 % also have Si strip hits
 - 0.03 % have Si pixel hits
- Data Operations
 - 600 TB transferred
 - Prompt reconstruction within hours
 - Reconstructed 10+ times
 - Increasing understanding

From the CMS Album

Muon stopping power in PbWO4

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BACKUP: Time Resolution

- The plot shows the time resolution as a function of the effective amplitude, derived by comparing the time in nearby crystals, in the same cluster
 - The noise and the systematic term in the time resolution are extracted from a parametric fit to data (see CFT-09-006 for a discussion of the analysis procedure)
 - The observed noise term is consistent with expectations from test beam data and measurements during Cosmic Run at 4 Tesla (2008)
 - The constant term in the time resolution due to local systematic effects is of about 200 ps

Fighting for the constant term

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TEST-BEAM

Performance with collisions

- Important source of calibration
- Important customer of calibration

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 10^{3}

 10^{2}

10

10

10-2

50

100

number of events / 2 GeV

 $\sqrt{s} = 7 \text{ TeV}$

= 2.9 pb⁻¹

data Z⁰ → e*e[.] QCD EWK

150

M(e⁺e⁻) [GeV]

200

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η and π^0 reconstruction/calibration

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Isolated photons

- Studied experimentally since 30 years
 - Large contamination from the decay of energetic neutral mesons.
 - Experimentally accessible objects: isolated photons.
 - Main handles:
 - track and calorimeter sums,
 - shower shapes.

Handles for photon signal yield extraction

- Main background for isolated photons are neutral mesons decaying into 2 γ.
- Two main tools to disentangle
 - Candidate isolation in Tracker, ECAL, HCAL.
 - Shower shape in ECAL.

Good fit to the data

- Signal shape from MC
 - Corrected by Zelectron data.
 - Not yet enough Z γ events
- Background from data in isolation sideband.

Differential cross section



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The data and the theory





 p_{τ}^{γ} (GeV)



The hunter



High-granularity in>70000 crystals

- Light yield monitoring to better than 0.2%
- APD HV stability better than 10 mV
- Temperature stability better than 0.05 C
- Selective full readout



Conclusions



- □ A long way since the 90s
- Excellent performance in first measurements
 - Single photons, Z and W bosons, etc

Careful follow-up of the detector

Monitoring, stability, calibration

□ First life of the calorimeter

- Not yet probing the constant term
- Looking out for the (elusive) prey !



Acknowledgement



Higgs, we're watching you.

The CMS ECAL collaboration All who were involved in making this detector an extraordinary reality. In particular J.-L. Faure, E. Auffray, D. Barney, E. Longo and P. Lecoq for sharing material and history.

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Recent results on isolated photons

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- PLB 639, 151 (2006) + 658, 285(E) (2008)
- □ DØ 2006/2008
 - ppbar at 1.96 TeV
 - Syst. Uncertainty 10 20%

PRD 80, 111106 (2009)

- CDF 2009
 - ppbar at 1.96 TeV
 - Syst. Uncertainty 10 15%





Anomalous signals ECAL anomalous signa

In a small fraction of collision data we observe anomalous signals in ECAL:

- distinct pulse shape
- different timing
- single crystal energy deposit
- uniformly distributed in EB
- not seen in EE (VPTs readout)

Origin: highly ionizing particles in the APDs

EB crystal pulse shape exhibits faster rising time and is inconsistent with the signal shape from scintillation

Easily identified and removed by a quality selection (e.g. an energy ratio **E4/E1**). Timing and pulse shape discriminants could also be deployed to tag these signals.





2nd

seed

Double spikes after swiss-cross cleaning

- Require
 - Photon ID
 - σ_{ηη} < 0.01
 Swiss-cross cleaning: 1-S₄/S₁ < 0.95
- Remaining double spikes clearly visible at $E_{2nd}/E_{3\times3 rim} \sim 1$
- □ Removed using $\sigma_{\eta \eta} > 0.001$ or $\sigma_{\varphi\varphi} > 0.001$





<u>contamination</u>

Spike

- Estimate remaining spikes in data
 - Crucial for ECAL-driven analysis
- Pre-select events with
 - **σ**_{ηη}<0.01
 - (1-S₄/S₁)<0.95 (Swiss-cross)</p>
- Perform ABCD on \rightarrow
 - Seed time vs pass/fail topological cleaning
 - $\sigma_{\eta \eta}$ >0.001 or $\sigma_{\varphi\varphi}$ >0.001
- Effect on the signal <0.2%</p>





Temperature stability

- nominal temperature of 18 °C
- water flow to stabilize the detector temperature
- thermistors with nominal sensitivity of 0.012 °C: on the back of each 5×2 (5×5) matrix of crystals in the barrel (endcap)
- the APD temperature dependence is absorbed into the transparency corrections
- local in-homogeneities are absorbed into the definition of the inter-calibration constants; only the time stability is relevant for the energy resolution.

average temperature of the ECAL barrel over one month of data taking





Laser monitoring system

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Laser monitoring system



Crystal transparency measurement

PN linearity correction

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 correction for the different shaping time of APD (VPT) and PN using the Single Pulse Response of each individual channel of APD (VPT) and PN convoluted with the laser shape from the 1 GHz digitization





• excellent stability: $< 4 \cdot 10^{-4}$



Photodetectors

•PbWO₄ crystals have fairly low light yield – need photodetectors with gain

•Need to work in a 4T field and an intense radiation environment





APDs (Hamamatsu), VPTs (RIE, Russia)



Energy resolution: stocastic term a

- photostatistics contribution, including
 - Light Yield
 - □ light collection efficiency
 - geometrical efficiency of the photodetector
 - photocatode quantum efficiency
 - $N_{pe}/GeV = 4000 \text{ for } 0.5 \text{ cm}^2 \text{ APD} \rightarrow 1.6\%$
- electron current multiplication in APD, contributing
 a square root of excess noise factor, F = 2
 - $1.6 \times 1.4 = 2.25\%$
- □ Lateral containment (5×5 matrix) \rightarrow 1.5%
- Total stochastic term

a = 2.7 %

Energy resolution: noise term b

40 ns shaping time, summed over 5x5 channels

- □ Serial noise (p.d. capacitance) ∝ 1/√t
 □ 150 MeV
- □ Parallel noise (dark current) ∝ √t, mostly radiation induced
 □ 100 MeV after one year at high luminosity
- Physics pile-up (simulated, with big uncertainties)
 - high luminosity 100 MeV

Total contribution

89

high luminosity 210 MeV

Energy resolution: constant term c

- leakage (front, rear, blind material)
 - CMS full shower simulation < 0.2 %
- □ system instabilities designed to be at the permill level
 - temperature stabilization < 0.1 °C (Δ LY = -1.9 % per °C)
 - APD bias stable at 20 mV (dM/dV = 3%/V)
- □ light collection uniformity,

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Specifications to stay < 0.3% ⇒ reached by single face depolishing



 $\hfill\square$ Key issue to have c ~ 0.5 %

 \Rightarrow intercalibration by monitoring and physics signals at 0.5 % including the radiation damage effect



Requirements for the EM calorimeters

	CMS	Atlas
 Large acceptance Extremely good energy and position resolution for high energy em showers up to η <2.5 	• Excellent energy resolution	•Good energy resolution
 Fast compact granular radiation tolerant Large dynamic range (from 200 MeV to ~2 TeV) linear Particle identification (e/jet and γ/π⁰ separation) 	 •Fast •compact • High granularity • Radiation resistance •E range MIP → TeV •Homogeneous calorimeter made of 75000 PbW0₄ scintillating crystals + PS FW 	 Fast High granularity Longitudinally segmented Radiation resistance E range MIP → TeV Sampling LAr-Pb, 3 Longitudinal layers + PS

Radiation environment in CMS



 $\eta = 1.1$ $\eta = 1.48$ EB 0.03 0.02 0.04 0.28 0.15 EE Ε n = 2.61.4 8 6.5 3.0 15.0 3.23 m

Dose rates [Gy/h] in ECAL at luminosity $L=10^{34}$ cm⁻²s⁻¹

Total dose in the barrel after 10 years at the LHC is ~2-4·10³Gy and neutron fluence 2·10¹³ n/cm²

Dose rate at high L in the Barrel is 0.15 - 0.3 Gy/h in the Endcaps 0.3-15 Gy/h

LISDUU, November 26, 2010

CMS ECAL Endcaps





ATLAS and CMS ECALs

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	Atlas		CMS	
Technology	Lead/Lar accordion		PbWO4 scintillating crystals	
	Barrel	Endcaps	Barrel	Endcaps
η coverage	0-1.475	1.4-3.2	0-1.48	1.48-3
channels	110208	63744	61200	14648
Granularity	ΔηχΔΦ		ΔηχΔΦ	
pre-sampler	0.025x0.1	0.025x0.1	-	-
Strips/Si-preshower	0.003x0.1	0.003-0.006x0.1	-	32x32 Si-Strips per 4 crystals
Main sampling	0.025x0.025	0.025x0.025	0.017x0.017	0.018x0.003 to 0.088x0.015
Back	0.05x0.025	0.05x0.025	-	-
Depth				
pre-sampler	10 mm	2x2mm	-	-
Strips/Si-preshower	~4.3 Xo	~4.0 Xo	-	~3 Xo
Main sampling	~16 Xo	~20 Xo	26 Xo	25 Xo
Back	~2 Xo	~2 Xo	-	-
Energy Resolution				
Stochastic Term	10%	10-12%	3%	5.50%
Local constant term	0.20%	0.35%	0.50%	0.50%
Noise per cluster(MeV)	250	250	200	550

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Radiation hardness progress highlights

1994: Nb doping

Suppress stable di-hole centres

Suppress induced 620nm band

- Lecoq et al., MRS Proceedings Vol348 p99
- Annenkov et al. et al., NIM A 365(1995) p291



1996: Y doping

- Suppress stable e- centre on Vo
- Suppress induced bands in visible
- 1318: best crystal in test beam
 - Lecoq, CMS LHCC 1996-146





Radiation hardness progress highlights

- 1997: More trivalent doping
 La, Lu, Y, Al
 - Improves transmission and decay
 - Improves Rad. hardness (see Y)
 - Kobayashi for La: KEK 1997-12
 - Lecoq et al., NIM A 402(1998) p75

- 1998: New optimization
 - Factor 2 better than Nb or La
 - No sign of the drawback seen with La
 - Annenkov et al., NIM A426 (1999) p486-490







Crystal Production – 1996 to 2008

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