

# Single Sector SUSY Breaking in Field Theory and Gravity

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**Based on work with:** Benini, Craig, Dymarsky, Essig, Kachru, Simic, Torroba  
and Verlinde

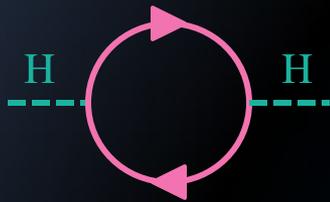
# Motivation

Electroweak symmetry  
breaking



condensation of a scalar field (Higgs)  
with appropriate charges

- ◉ Hierarchy problem: stability of a light Higgs



$$+m_H^2 \sim \lambda^2 \Lambda_{UV}^2$$

In the absence of fine-tuning, it  
must be cut-off around the TeV scale

Supersymmetry provides a nice way to stabilize the small mass of the Higgs

- ◉ Flavor physics: structure of couplings of the Higgs to other fields

$$\mathcal{L}_{\text{int}} = \lambda_{\text{top}} \Psi^{(3)} H \Psi^{(3)} + \lambda_{\text{charm}} \Psi^{(2)} H \Psi^{(2)} + \lambda_{\text{up}} \Psi^{(1)} H \Psi^{(1)}$$

$$\lambda_{\text{top}} : \lambda_{\text{charm}} : \lambda_{\text{up}} \sim 1 : 10^{-3} : 10^{-5}$$

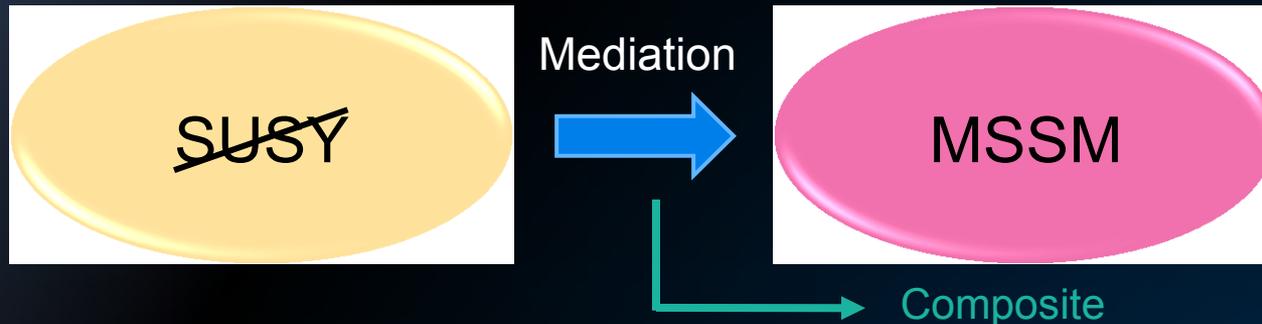
span 5 orders of  
magnitude!

Is it possible to construct models that simultaneously explain both features?

# Alternative motivation

- ⊙ Theorem: under certain assumptions, SUSY breaking in the MSSM would lead to a colored scalar (squark) lighter than the down quark

Dimopoulos, Georgi



- Gravity mediation → heavy, Planck scale, fields (higher dim. ops.)
- Gauge mediation → massive fields with SM charges (loops)

- ⊙ Are there models with a less modular structure? Arkani-Hamed, Luty, Terning

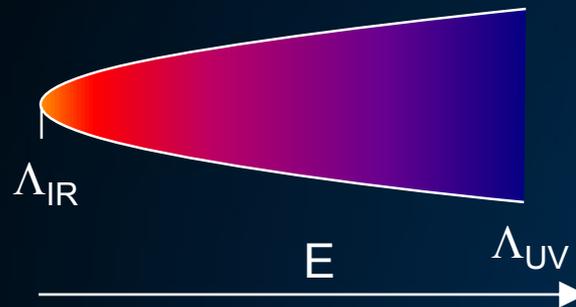
## Single-sector SUSY breaking

Strongly coupled sector which both breaks SUSY and produces (some of) the quarks and leptons of the Standard Model as composites of the same dynamics

- ⊙ So far, all available realizations of this idea were **non-calculable**

# Taming strong coupling

- ⊙ Dynamical SUSY breaking in general, and single-sector models in particular, involve **strong coupling**
- ⊙ Two useful tools to deal with strongly coupled quantum field theories:
  - Duality
  - Holography (calculating, modeling and motivating)
- ⊙ Holography provides an intuitive **visualization** of dynamics through the geometrization of the **energy scale** as a 5<sup>th</sup> dimension

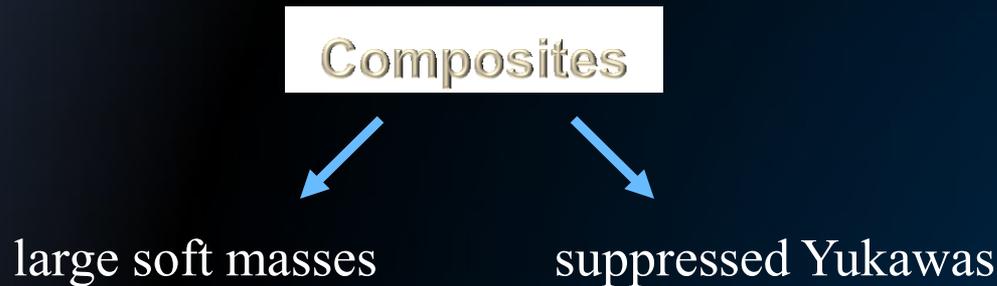


- ⊙ Today: exploit these tools to build **calculable** single-sector models

# Single-sector SUSY breaking

- It correlates in a predictive way SUSY-breaking **soft terms** with a possible model of **flavor physics**
- Composites generations:
  - Products of basic fields
  - Coupling to Higgs comes from higher dimension operator suppressed by a flavor scale  $M_{\text{flavor}}$

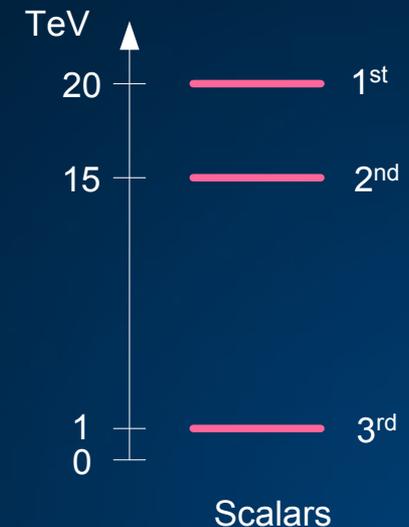
$$\mathcal{W}_{\text{Yuk}} \sim \frac{1}{M_{\text{flavor}}^2} (Q\tilde{Q})H(Q\tilde{Q}) + \frac{1}{M_{\text{flavor}}} (Q\tilde{Q})H\Psi^{(3)} + \Psi^{(3)}H\Psi^{(3)}$$



*e.g.* two composite generations (1<sup>st</sup> and 2<sup>nd</sup>)

Similar to: Dimopoulos, Giudice

Cohen, Kaplan, Nelson



# Calculable single-sector models from SQCD

Franco, Kachru

Supersymmetric QCD:  $SU(N_c)$  super Yang-Mills with  $N_f$  flavors  $Q$  and  $\tilde{Q}$

(A) SQCD with massive flavors

Seiberg duality:  
theories A and B are  
equivalent in the IR



(B) IR-free dual with calculable ~~SUSY~~

Intriligator,  
Seiberg, Shih

Gauge group

$SU(N)$  with  $N = N_f - N_c$

Matter content

➤  $N_f$  dual quarks:  $q \quad \tilde{q}$

➤ Mesons:  $\Phi = Q \tilde{Q}$

⊙ Full models: we realize two full composite generations via the mesons  $\Phi = Q \tilde{Q}$

- As is standard, we embed the SM gauge group as a weakly gauged subgroup of the global symmetry group of the SUSY breaking (SQCD) dynamics

Toy example: one composite  $\bar{5}$

- Consider  $SU(6)$  SQCD with  $N_F = 7$  massive flavors

$$\begin{array}{ccc}
 SU(7)_{\text{Flavor}} & \xrightarrow{\text{ISS vacuum}} & SU(6) \supset SU(5)_{\text{SM}} \\
 & & \left\{ \begin{array}{l} Q = (5 + 1) + 1 \\ \tilde{Q} = (\bar{5} + 1) + 1 \end{array} \right.
 \end{array}$$

- Composites from dual mesons:

$$\begin{array}{ccc}
 \Phi = \begin{pmatrix} Y_{1 \times 1} & Z_{1 \times 6}^T \\ \tilde{Z}_{6 \times 1} & \Phi_{0,6 \times 6} \end{pmatrix} & \begin{array}{c} SU(6) \\ \text{Adj} + 1 \end{array} & \xrightarrow{\quad} & \begin{array}{c} SU(5)_{\text{SM}} \\ \bar{5} + [24 + 5 + 1 + 1] \end{array} \\
 & \searrow & & \\
 & \text{composites} & & \\
 & \text{(pseudomoduli)} & & 
 \end{array}$$

- Extra mesons charged under the MSSM get massive by coupling to spectators:

$$W_3 = \lambda \left[ (Q\tilde{Q})_5 X_{\bar{5}} + (Q\tilde{Q})_{24} X_{24} \right] \quad \xrightarrow{\quad} \quad m \sim \Lambda$$

# Dimensional hierarchy

- ⊙ In models where the first two generations come from composite, **dimension 2** operators in the UV theory, assuming **elementary Higgs**:

$$Y \sim \begin{pmatrix} \varepsilon^2 & \varepsilon^2 & \varepsilon \\ \varepsilon^2 & \varepsilon^2 & \varepsilon \\ \varepsilon & \varepsilon & 1 \end{pmatrix} \quad \varepsilon = \frac{\Lambda}{M_{\text{flavor}}} \quad \text{Reasonable starting point for } \varepsilon \sim 10^{-2}$$

- ⊙ We can **improve** the flavor structure by engineering:

$$Y \sim \begin{pmatrix} \varepsilon^4 & \varepsilon^3 & \varepsilon^2 \\ \varepsilon^3 & \varepsilon^2 & \varepsilon \\ \varepsilon^2 & \varepsilon & 1 \end{pmatrix} \quad \varepsilon \sim 10^{-1}$$

- 3<sup>rd</sup> generation: elementary
- 2<sup>nd</sup> generation: **dimension 2**
- 1<sup>st</sup> generation: **dimension 3**

- ⊙ Implementation: field theories that break SUSY and have dual descriptions with mesons of various dimensionalities

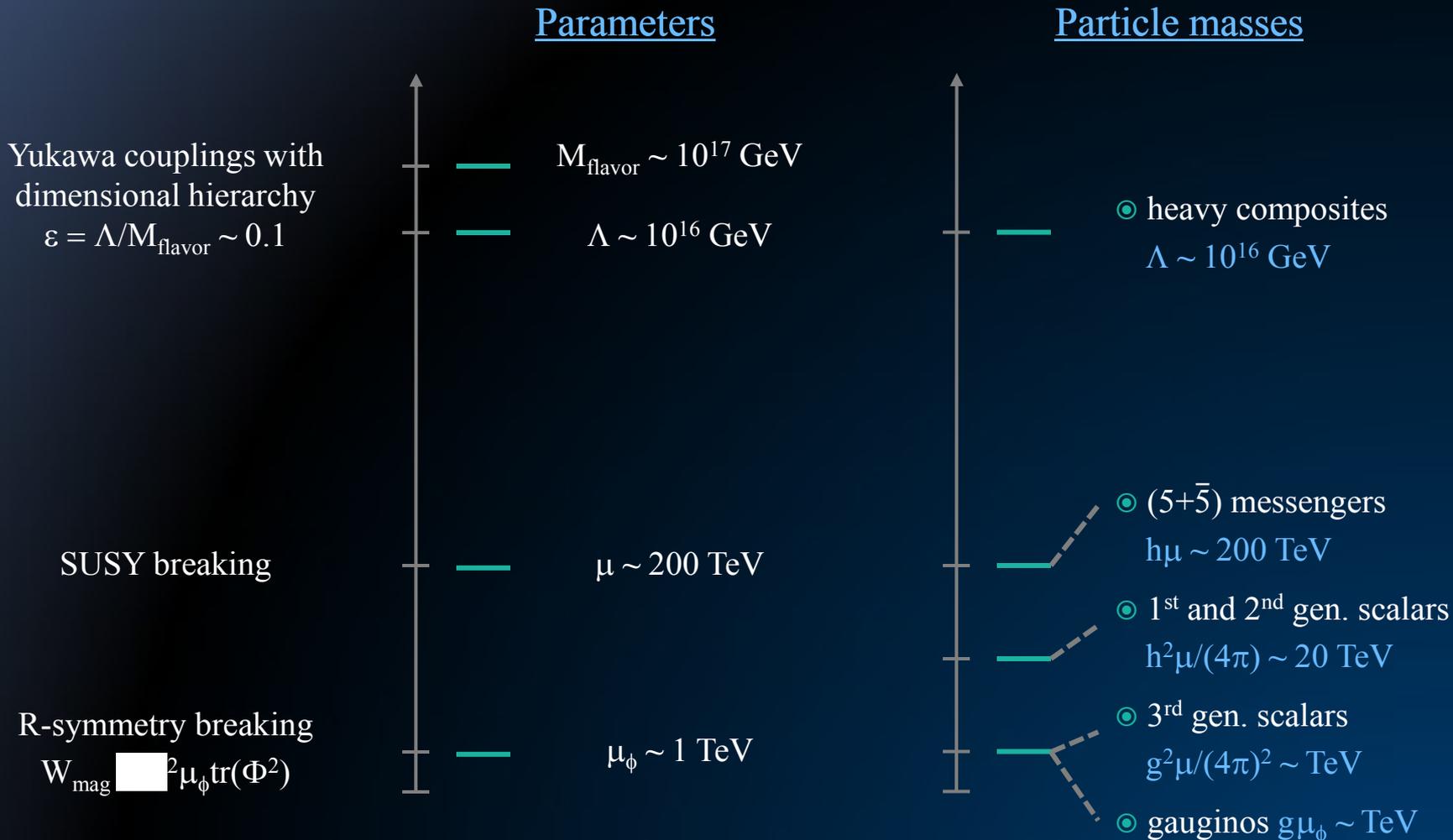
- ⊙ We constructed explicit models using SQCD with an **adjoint X**

Kutasov, Schwimmer, Seiberg

Craig, Essig, Franco, Kachru, Torroba

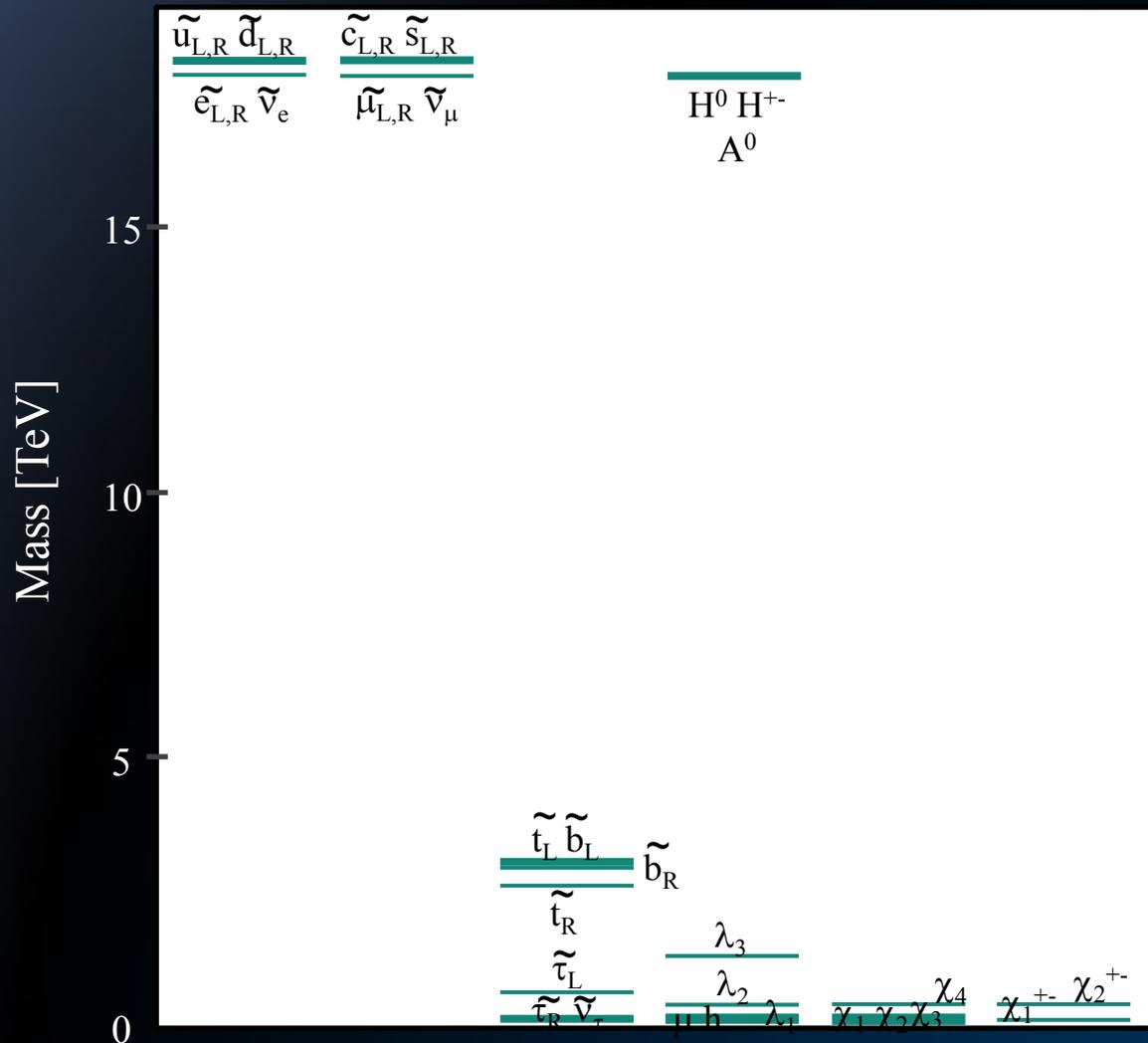
$$M_1 = Q \tilde{Q} \quad M_2 = Q X \tilde{Q}$$

# Summary of scales



- Interestingly, it is also possible to construct models based on SQCD with an adjoint in which **all soft masses** are generated by **gauge mediation** and are thus universal

# A fully calculable spectrum



Schafer-Nameki, Tamarit, Torroba

- Extremely economical, even in comparison to other “minimal” models!

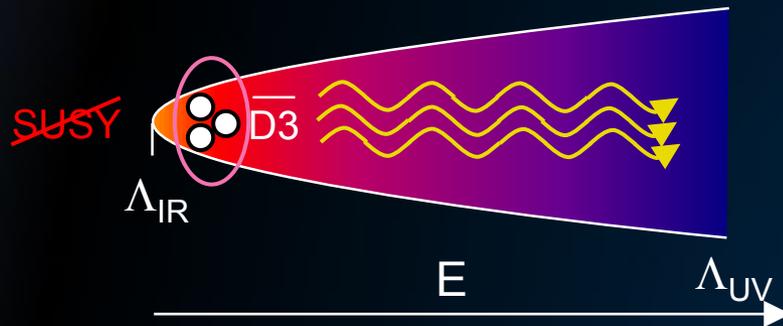
# Composite models from Gauge/Gravity duality

- Gauge/Gravity duality provides another avenue for producing similar calculable single-sector SUSY breaking models
- If the SUSY breaking sector has sufficiently large  $t'$  Hooft coupling and rank, we can trade the field theory for a classical supergravity theory

## Constructing a model

Benini, Dymarsky, Franco, Kachru, Simic, Verlinde  
Gabella, Gherghetta, Giedt

- 1) Gravity dual of a strongly coupled sector with a SUSY breaking metastable state at an exponentially small scale



Similar to a slice of AdS<sub>5</sub>:

$$ds^2 = (r/L)^2(\eta_{\mu\nu}dx^\mu dx^\nu) + (L/r)^2 dr^2$$

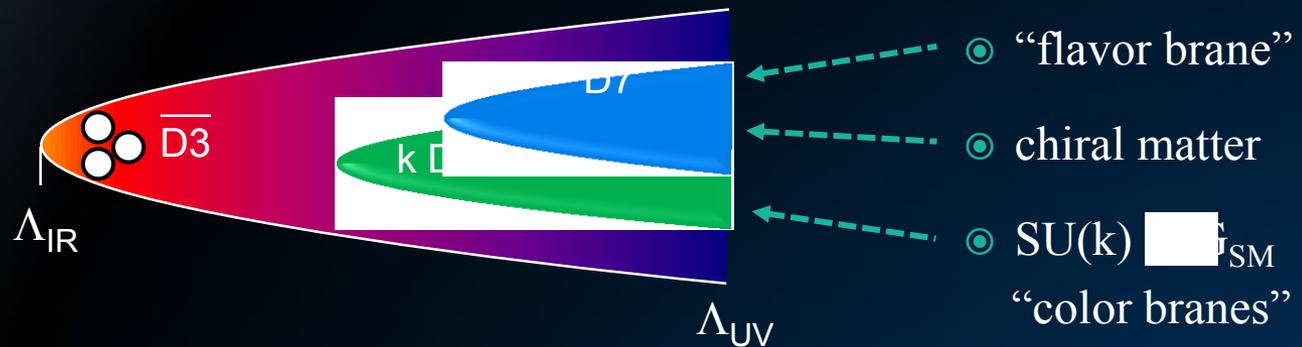
$$r > r_{\min}$$

Probe anti D3-branes in the dual of a confining theory (e.g. warped deformed conifold)

2) Embed SM gauge group into global symmetry group of the strong sector



In type IIB, this is achieved by a stack of D7-branes extending radially



3) We obtain chiral composites at intersections with other D7-brane stacks

- ⊙ The supergravity dual of the anti D3-brane state is known
- ⊙ Using this dual, the program described above can be carried out explicitly

# Conclusions

## Gauge theory

- ⊙ Single sector models are an interesting and relatively unexplored class of SUSY models. We have provided calculable realizations of this scenario based on simple variations of SQCD
- ⊙ The models are calculable in a weakly coupled Seiberg dual description
- ⊙ Realistic Yukawa textures can be obtained via dimensional hierarchy

## Holography

- ⊙ It is possible to geometrize models with strongly coupled gauge mediation and compositeness using confining examples of AdS/CFT with massive flavors
- ⊙ It is possible to interpolate between different phenomenological scenarios by tuning the positions of D-brane intersections

# Conclusions

- It is possible to construct models with less extra matter (e.g. based on SQCD with  $Sp$  gauge group)

Franco, Kachru  
Behbahani, Craig, Torroba

- There are various model building directions, such as 10-centered models, which have a particularly minimal matter content

Behbahani, Craig, Torroba

- There are straightforward modifications with elementary  $H_u$ , and composite  $H_d$  that solve the  $\mu/B_\mu$  problem and explain why  $m_b, m_\tau \ll m_t$

Csaki, Falkowski, Nomura, Volansky  
Schafer-Nameki, Tamarit, Torroba

- Models with “more minimal SSM” spectrum (i.e. inverted hierarchy of scalar masses) can naturally give rise to new physics in some sectors without it showing up in others

Thank you!

**Additional slides**

# Gauge Theory

# Calculable single-sector models from SQCD

Franco, Kachru

Supersymmetric QCD:  $SU(N_c)$  super Yang-Mills with  $N_f$  flavors  $Q$  and  $\tilde{Q}$



Theory B:

*Gauge group*

$SU(N)$  with  $N = N_f - N_c$

*Matter content*

❖  $N_f$  dual quarks:  $q \quad \tilde{q}$

❖ Mesons:  $\Phi = Q\tilde{Q}$

$$W = h \text{Tr } q \Phi \tilde{q} - h\mu^2 \text{Tr } \Phi$$

$$\mu \quad \text{[redacted]} \quad \text{[redacted]} \quad 0^{16} \text{ GeV}$$

- As is standard, we embed the SM gauge group as a weakly gauged subgroup of the global symmetry group of the SUSY breaking (SQCD) dynamics
- We realize two full composite generations via the mesons  $\Phi = Q\tilde{Q}$

# The metastable ISS vacuum

$$q^T = \begin{pmatrix} \chi_{N \times N} \\ \rho_{N_c \times N} \end{pmatrix}$$

$$\tilde{q} = \begin{pmatrix} \tilde{\chi}_{N \times N} \\ \tilde{\rho}_{N_c \times N} \end{pmatrix}$$

$$\Phi = \begin{pmatrix} Y_{N \times N} & Z_{N \times N_c}^T \\ \tilde{Z}_{N_c \times N} & \Phi_{0, N_c \times N_c} \end{pmatrix}$$

Tree-level minimum:  $\langle \chi \tilde{\chi} \rangle = \mu^2$   $\Phi_0$  arbitrary

There are **pseudomoduli**. They are **lifted** by a 1-loop Coleman-Weinberg potential, resulting in a vacuum at:

$$\Phi_0 = 0$$

$$\chi = \tilde{\chi} = \mu \mathbf{1}_N$$

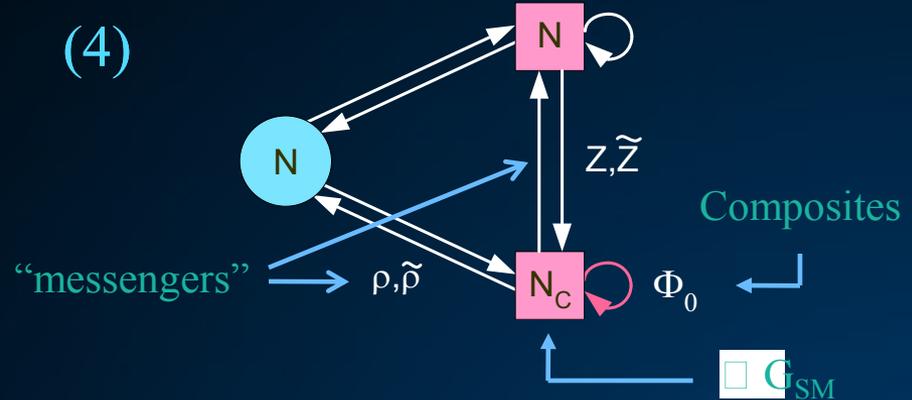
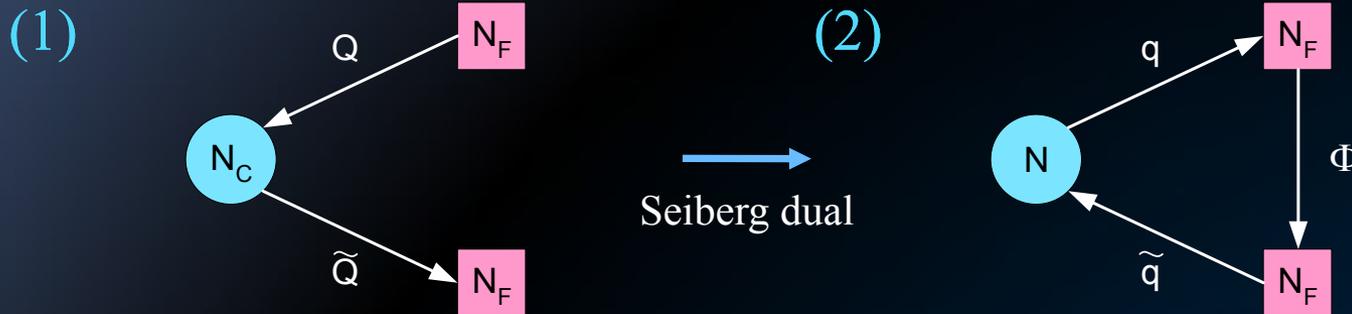
## Symmetries

$$SU(N) \times \cancel{SU(N)_D} \times \cancel{SU(N)_U} \times U(1)_{BB} \times U(1)_R \times U(1)_R \rightarrow G_{SM}$$

- Non-vanishing  $\mu$
- $\chi$  and  $\tilde{\chi}$  vevs

# More details about embedding the MSSM

Franco, Kachru



- 3) Non-vanishing  $\mu$        $SU(N_F) \times SU(N_F) \rightarrow SU(N_F)$
- 4)  $\chi$  and  $\tilde{\chi}$  vevs       $SU(N_F) \rightarrow SU(N) \times SU(N_C)$

⊙ In order to circumvent the **Dimopoulos-Georgi** theorem, composites (i.e. **mesons**) must be massless at tree-level (i.e. **pseudomoduli**)

## More realistic models

- Given the large top quark Yukawa coupling, it is natural to make the first two generations composite and keep the **third one elementary**

### Two composite $(10+\bar{5})$ generations

- Take  $SU(16)$  SQCD with  $N_F = 17$  massive flavors

$$SU(17)_{\text{Flavor}} \longrightarrow SU(16) \supset SU(5)_{\text{SM}}$$

$$Q = (5 + 5 + \bar{5} + 1) + 1$$

$$\tilde{Q} = (\bar{5} + \bar{5} + 5 + 1) + 1$$

$$\Phi_0 = 2 \times (10 + \bar{5}) + [5 \times 24 + 2 \times 15 + 2 \times \bar{15} + 2 \times \bar{10} + 3 \times 5 + \bar{5} + 6 \times 1]$$

- Compare it to the non-calculable  $SU(13) \times SU(15) \times [SU(15) \times SU(3)]$  model

# A Calculable Spectrum

- The main virtue of this class of models is their **calculability**
- Spectrum of matter charged under  $G_{SM}$  in the  $g_{SM} \rightarrow 0$  limit

			Fermions	Bosons
MSSM	<i>elementary</i>	$\Psi^{(3)}$	0	0
	<i>composite</i>	$\Psi^{(1,2)}$	0	$h^2\mu$
Messengers	<i>composite</i>	<i>heavy</i>	$\Lambda$	$\Lambda$
		<i>light</i>	$h\mu$	$h\mu$
			$h\mu$	0

NG bosons from  $SU(N_F) \rightarrow SU(N) \times SU(N_C)$  breaking. They get a mass  $\sim g_{SM} \mu$

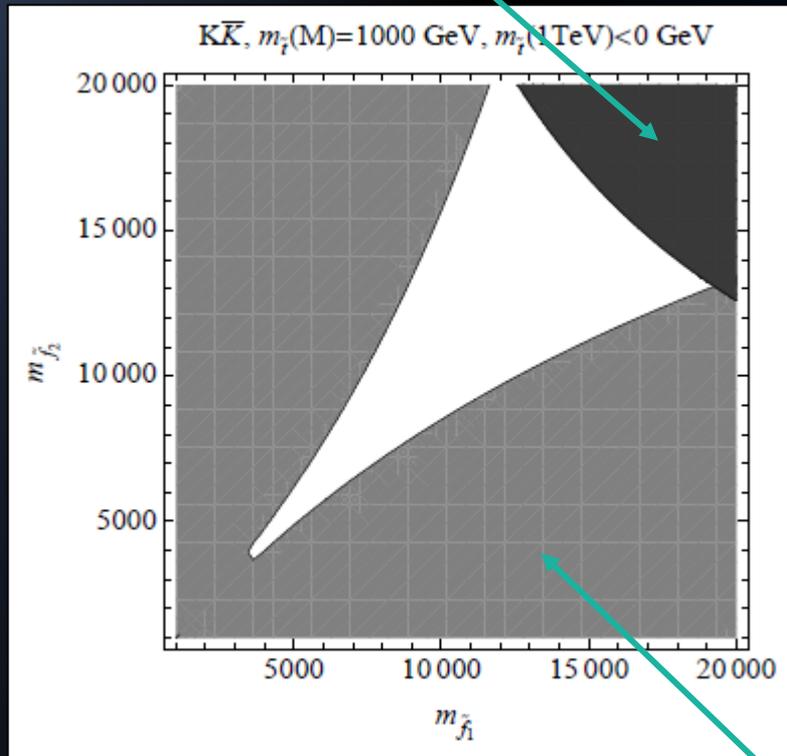
- MSSM **gauginos** get a mass  $m_\lambda \sim g_{SM}^2 \mu_\phi$

$$\left( \begin{array}{l} \text{R-symmetry breaking} \\ W_{\text{mag}} \blacksquare^2 \mu_\phi \text{tr}(\Phi^2) \end{array} \right)$$

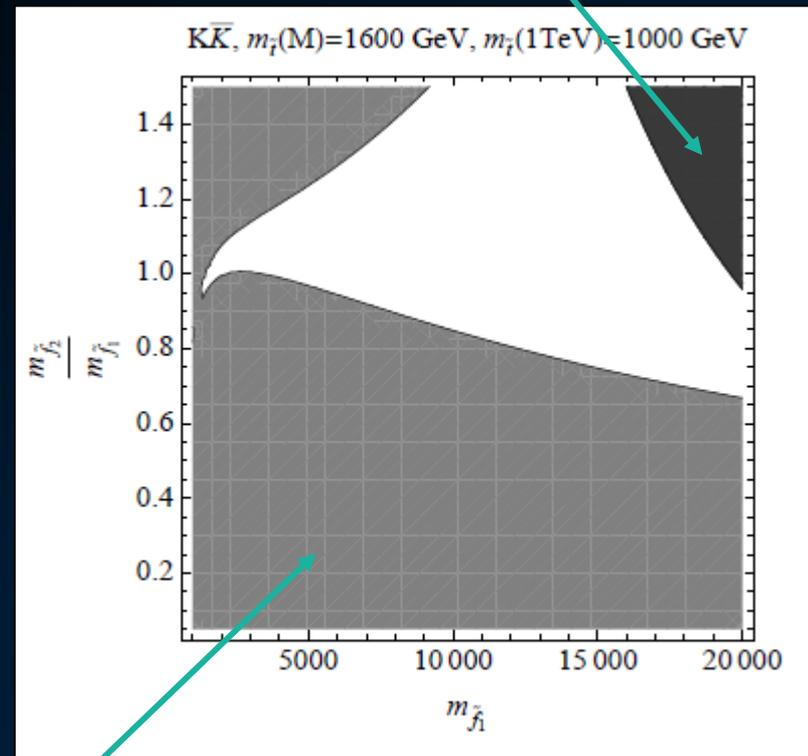
# Constraints on sfermion masses

- Constraints on 1<sup>st</sup> and 2<sup>nd</sup> generation sfermion masses from  $K^0-\bar{K}^0$  mixing and the stop mass

$$m_{\text{stop}}(1 \text{ TeV}) < 0$$



$$m_{\text{stop}}(1 \text{ TeV}) < 1\text{TeV}$$



$K^0-\bar{K}^0$  mixing

# Earlier single-sector models

## Generalities

Arkani-Hamed, Luty, Terning

- Previous constructions were based on appropriate dynamical SUSY breaking models that generalize the 3-2 model

- Gauge group:  $G_{\text{lift}} \times G_{\text{comp}} \quad \Lambda_{\text{lift}} \ll \Lambda_{\text{comp}}$

- $G_{\text{lift}}$  generates a dynamical superpotential that breaks SUSY

	$G_{\text{lift}}$	$G_{\text{comp}}$	$G_{\text{global}} \ll G_{\text{MSSM}}$
Q	$\square$	$\square$	1
L	$\bar{\square}$	1	$\bar{\square}$
$\bar{U}$	1	$\bar{\square}$	$\square$
“preon” fields $\rightarrow$ P	1	R	1

$$W = \lambda Q L \bar{U}$$

- There is a metastable vacuum with  $\langle \bar{U} \rangle \neq 0$

- Composites  $\sim P \bar{U}$

- Some general properties of the spectrum:
  - Scalar masses of composite generations **unify** at  $\Lambda_{\text{comp}}$
  - Gauginos and elementary sfermions get masses from **gauge mediation**
- The scalar mass<sup>2</sup> of preons is **non-calculable** in these models (not even the sign). Dynamical assumptions are necessary for the models to work.

### An example: two composite $(10+\bar{5})$ generations

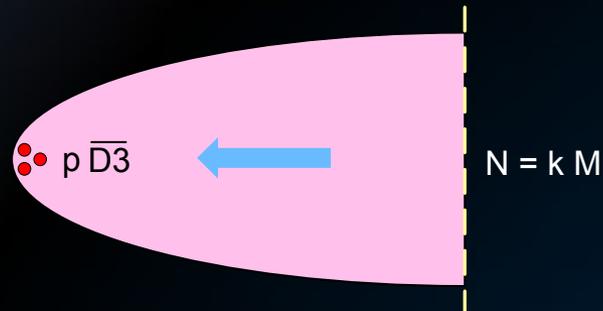
	SU(13)	SU(15)	SU(15)	SU(3)
Q	$\square$	$\square$	1	1
L	$\bar{\square}$	1	$\bar{\square}$	1
$\bar{U}$	1	$\bar{\square}$	$\square$	1
P {	$\bar{D}$	1	$\bar{\square}$	1
	S	1	$\square$	$\square$

- In what follows, we will build **calculable models** using our current understanding of dynamical SUSY breaking models

# Holography

# SUSY breaking state

- Exponentially small SUSY-breaking in KS throat  $\longrightarrow$  add  $\overline{\text{D3}}$ -branes



Kachru, Pearson, Verlinde  
Argurio, Bertolini, Kachru, Franco

$$g_s V_{\text{eff}}(\Phi) \simeq \sqrt{\det(G_{\parallel})} \left( p - i \frac{4\pi^2}{3} F_{kjl} \text{Tr}([\Phi^k, \Phi^j] \Phi^l) - \frac{\pi^2}{g_s^2} \text{Tr}([\Phi^i, \Phi^j]^2) + \dots \right)$$

$$[[\Phi^i, \Phi^j], \Phi^j] - i g_s^2 f \epsilon_{ijk} [\Phi^j, \Phi^k] = 0$$

$\longrightarrow$  p-dimensional SU(2) representation

- The  $\overline{\text{D3}}$ -branes expand to a radius:

$$R^2 \sim 4\pi^2 \frac{p^2}{M^2} R_{S^3}^2$$

- Vacuum energy:

$$V_0 \sim p T_{\overline{\text{D3}}} e^{-\frac{8\pi k}{3g_s M}}$$

# Supergravity dual of the anti D-brane state

DeWolfe, Kachru, Mulligan

$$ds^2 = r^2 e^{2a(r)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{-2a(r)} \left( \frac{dr^2}{r} + \sum_{i=1}^2 (e_{\theta_i}^2 + e_{\phi_i}^2) + e^{2b(r)} e_\psi^2 \right)$$

$$e^{-4a} = \frac{1}{4} g_s \bar{N} + \frac{1}{8} (g_s \bar{M})^2 + \frac{1}{2} (g_s \bar{M})^2 \log r$$

$$+ \frac{1}{r^4} \left[ \left( \frac{1}{32} g_s \bar{N} + \frac{13}{64} (g_s \bar{M})^2 + \frac{1}{4} (g_s \bar{M})^2 \log r \right) \mathcal{S} - \frac{1}{16} (g_s \bar{M})^2 \phi \right]$$

$$e^{2b} = 1 + \frac{1}{r^4} \mathcal{S}$$

$$k = g_s \bar{M} \log r + \frac{1}{r^4} \left[ \left( \frac{3}{8} \frac{\bar{N}}{\bar{M}} + \frac{11}{16} g_s \bar{M} + \frac{3}{2} g_s \bar{M} \log r \right) \mathcal{S} - \frac{1}{4} g_s \bar{M} \phi \right]$$

$$\Phi = \log g_s + \frac{1}{r^4} [\phi - 3\mathcal{S} \log r]$$

$$\mathcal{S} \sim \frac{p}{N} e^{\left( -\frac{8\pi N}{3g_s M^2} \right)}$$

Vacuum Energy

⊙ Normalizable perturbation → spontaneous SUSY breaking

# Composites from String Theory

Benini, Dymarsky, Franco, Kachru, Simic, Verlinde

- Two intersecting **Ouyang** D7-branes:

$$w_1 = \mu$$

$$w_4 = \nu$$

$$w_1 w_2 - w_3 w_4 = 0$$

- SUSY flux:

$$F = iC \left( \frac{3}{2} |\mu|^2 + |w|^2 \right) \frac{dw \wedge d\bar{w}}{(|w|^2 + |\mu|^2)^2}$$

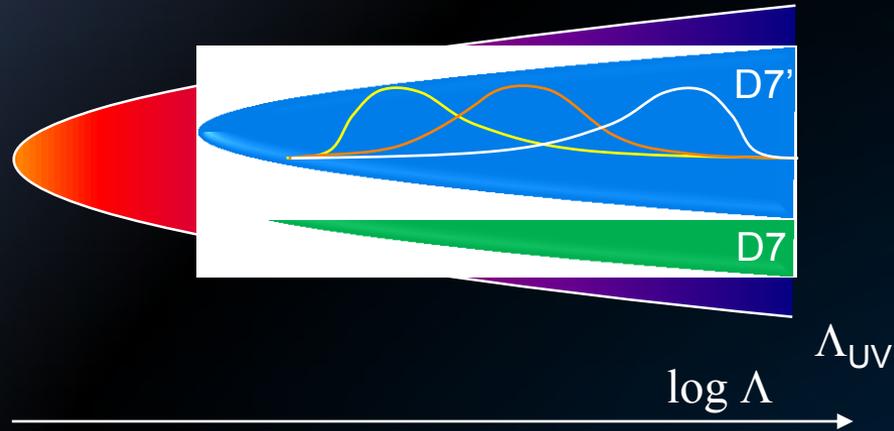
Marchesano, McGuirk, Shiu

- Zero modes:

$$\left. \begin{aligned} \psi_- &\sim w^j \left| \frac{w}{\mu} \right|^{-\frac{C}{2} - C \log \left| \frac{w}{\mu} \right|} dw^{1/2} & NN \\ \psi_+ &\sim \bar{w}^{-j-1} \left| \frac{w}{\mu} \right|^{\frac{C}{2} + C \log \left| \frac{w}{\mu} \right|} d\bar{w}^{1/2} & N \end{aligned} \right\} \begin{aligned} \Delta &= \frac{3}{2} (j - p) + \frac{9}{4} \\ R &= j + \frac{3}{2} \end{aligned}$$

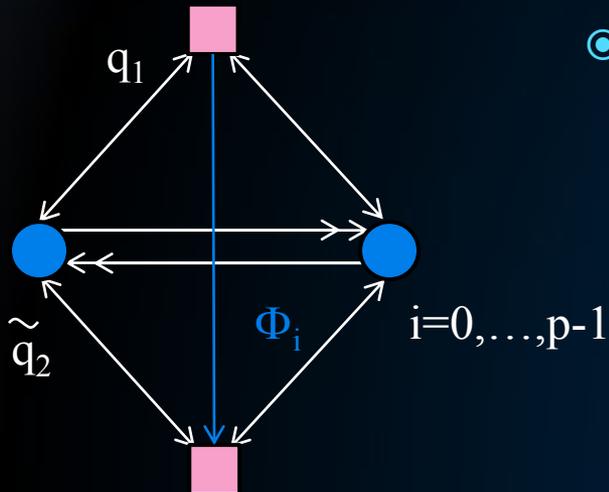
$$\left. \begin{aligned} \psi_+^\dagger &\sim w^k \left| \frac{w}{\mu} \right|^{\frac{C}{2} + C \log \left| \frac{w}{\mu} \right|} dw^{1/2} & NN \\ \psi_-^\dagger &\sim \bar{w}^{-k-1} \left| \frac{w}{\mu} \right|^{-\frac{C}{2} - C \log \left| \frac{w}{\mu} \right|} d\bar{w}^{1/2} & N \end{aligned} \right\} \begin{aligned} \Delta &= \frac{3}{2} (k + p) + \frac{9}{4} \\ R &= k + \frac{3}{2} \end{aligned}$$

# Wave functions



- Peaks at regular intervals in  $\log \Lambda$

# Gauge theory interpretation



- Couplings in the UV:

$$W \supset \sum_{r=0}^{p-1} \Phi_r \tilde{\mathcal{O}}_r$$

$$\tilde{\mathcal{O}}_j = \tilde{q}_2 (A_1 B_2)^j q_1$$