

Recent ATLAS results on exclusive dielectron and dimuon production in Pb+Pb UPC collisions

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for the ATLAS experiment

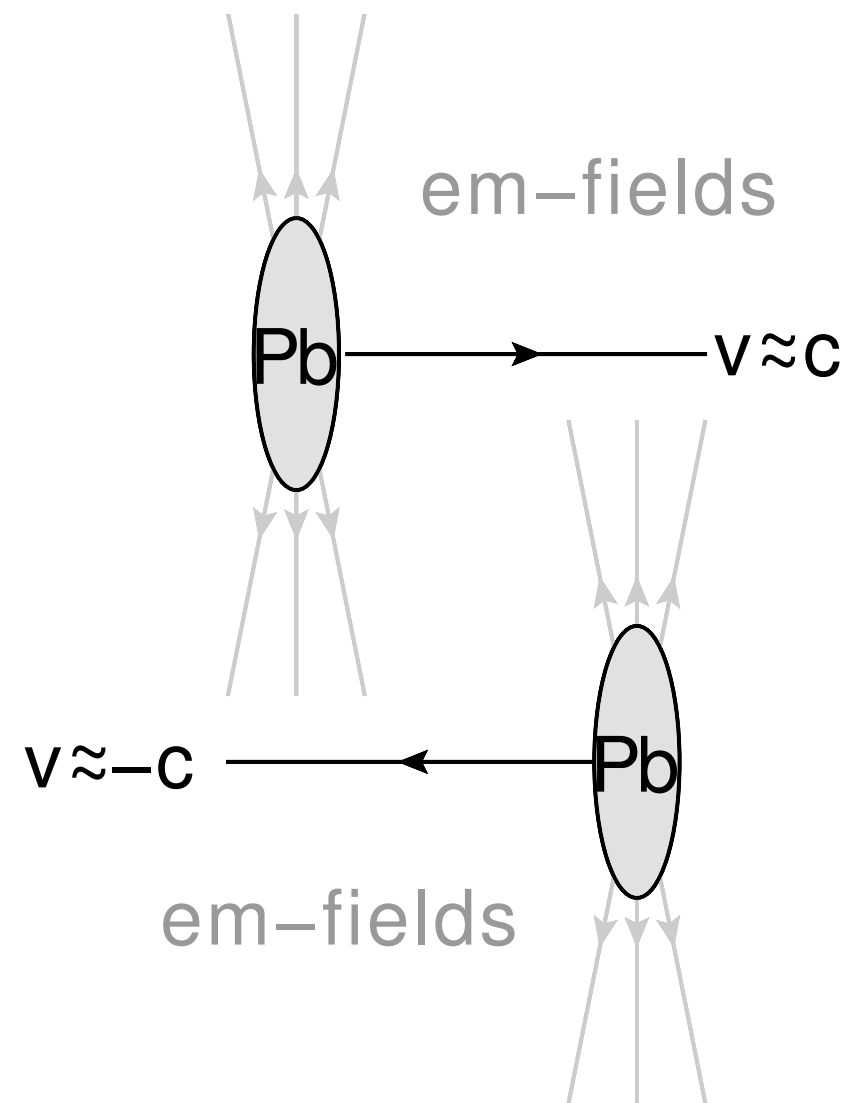


Photon Vistas, 19-22.09.2022

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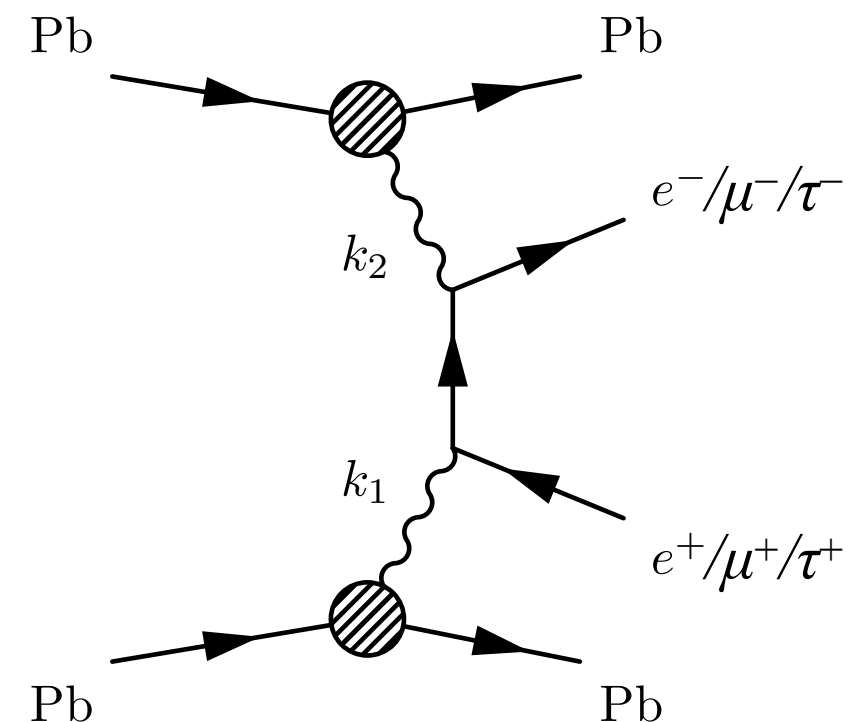
Ultra-peripheral collisions

- In **ultra-peripheral heavy-ion collisions (UPC)** photon-photon interactions can be observed what opens completely **new research opportunities**
- Large electromagnetic (EM) fields associated with relativistic ions can be considered as **fluxes of photons** (they scale with $\sim Z^2$)
- This is described in a **Equivalent Photon Approximation (EPA)** formalism
- Using EPA, the cross-sections for the reaction are calculated by **convolving** the respective **photon flux** with the **elementary cross-section** for the process
- **See Jakub Kremer's [talk](#) for overview of ATLAS results**



Motivation

- **Exclusive dilepton production** is one of the fundamental processes in photon-photon interactions
- It is a **benchmark process** for other photon-induced processes
 - Possible reduction of systematic uncertainties
see Lydia Beresford's talk on τ -lepton $g-2$
 - Important background (e.g. dielectrons in light-by-light)
 - Performance studies with a tag-and-probe technique
- New measurements of dilepton production performed by ATLAS Collaboration in UPC PbPb at 5.02 TeV:
 - **Exclusive dimuon production:**
[Phys. Rev. C 104 \(2021\) 024906](#)
 - **Exclusive dielectron production:**
[arXiv:2207.12781](#), submitted to JHEP



Models - two different implementations

- Two generators commonly used to simulate exclusive dilepton production: **STARlight** and **SuperChic**
- Both based on EPA approach, but with **some differences** in cross-sections calculation
- None of them simulates a FSR contribution

STARlight

[Comput.Phys.Comm. 212 \(2017\) 258-268](#)

Photon flux calculated in impact parameter space for $b_{1,2} > R$

Production forbidden at $b_{1,2} < R$

Includes process-independent survival factor and probability of forward neutron topology

SuperChic

[Eur.Phys.J.C 80 \(2020\) 10, 925](#)

Photon flux calculation without restriction on $b_{1,2}$

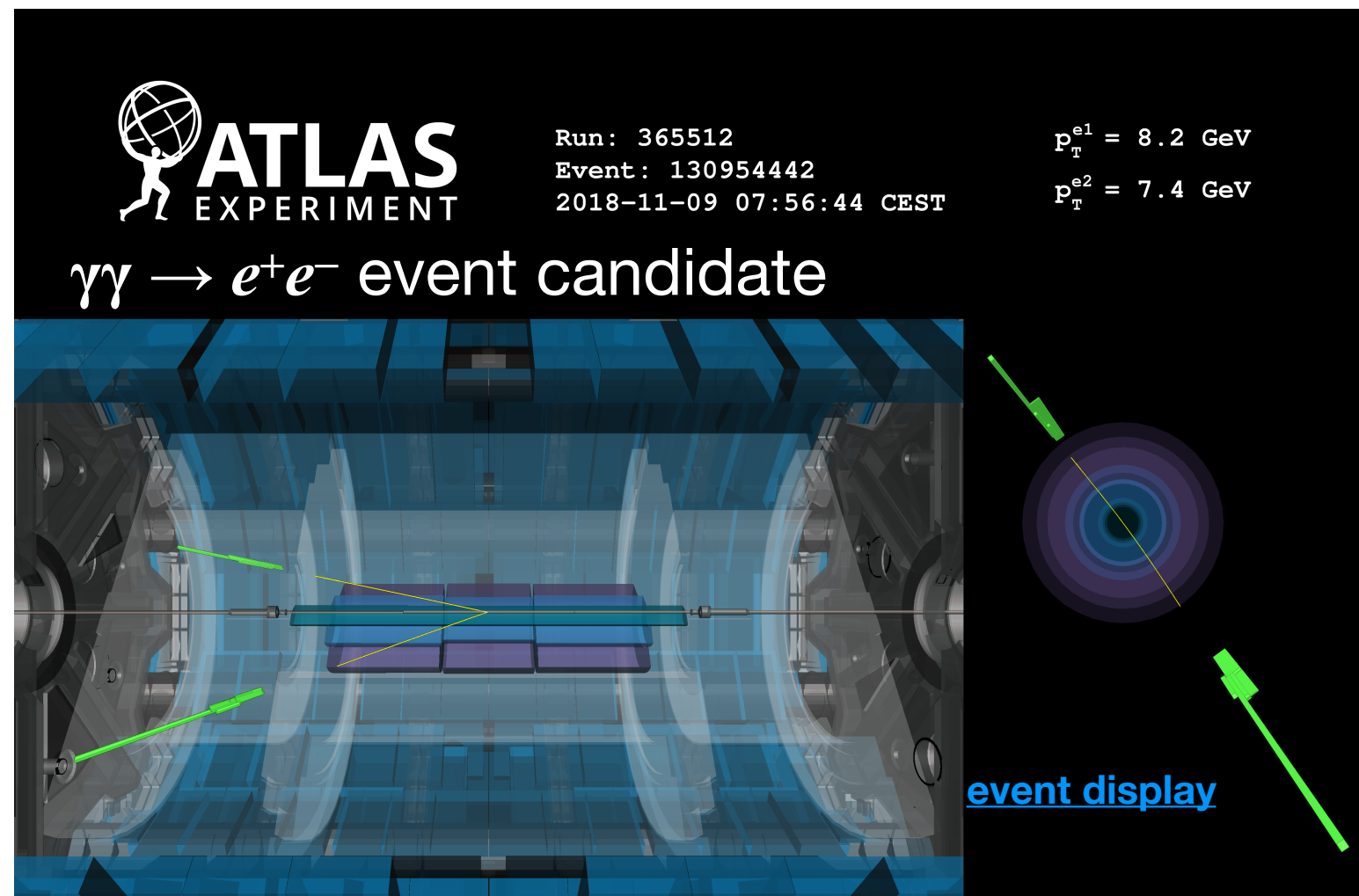
Production allowed at $b_{1,2} < R$

Includes process-dependent survival factor and polarization effects at amplitude level, but not forward neutrons

See also Lucian's talk on MC generators for UPC

Event characteristics & selection

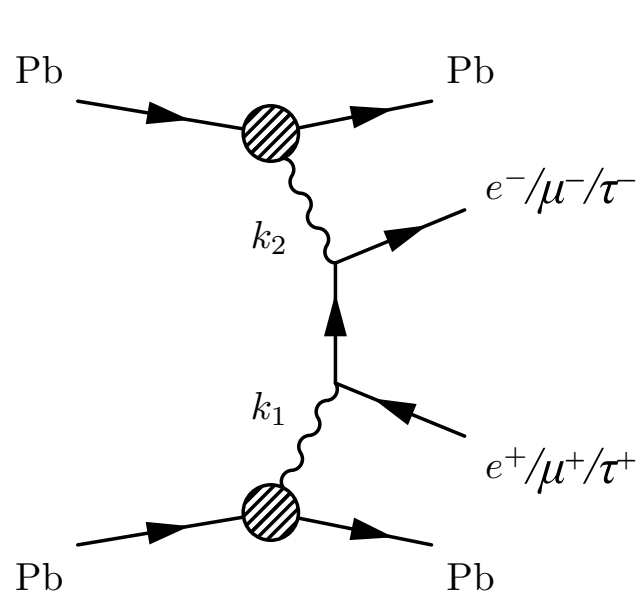
- Exclusive dilepton events are characterized by :
 - **Two low- p_T opposite sign leptons** (of the order of a few GeV) and otherwise empty detector
 - Leptons are produced **back-to-back** in azimuthal angle (described by low dilepton transverse momentum, $p_{T,\ell}$)
- ATLAS optimized to detect high-energy particles
 - careful estimation of trigger and particle reconstruction efficiency in low energy region



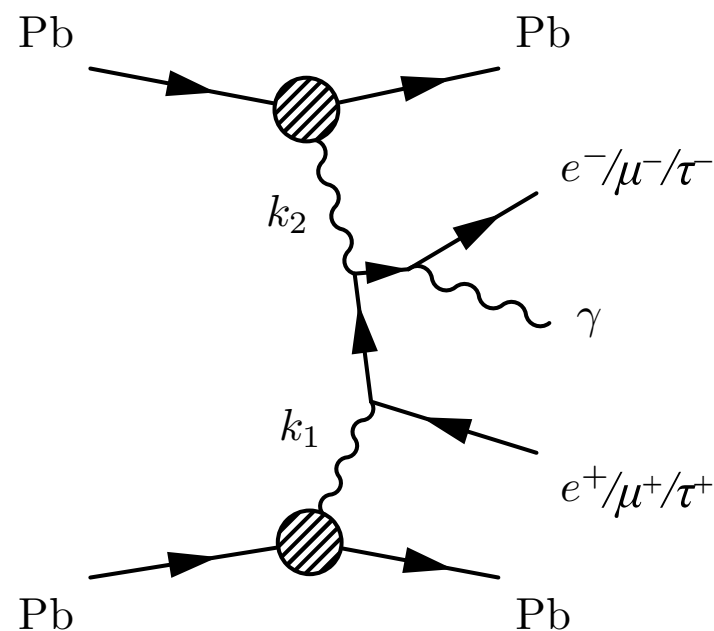
	muons	electrons
Int. Lumi [nb⁻¹]	0.48	1.72
$p_T^\ell >$	4 GeV	2.5 GeV
$ \eta_\ell <$	2.4	2.5
$m_{\ell\ell} >$	10 GeV	5 GeV
$p_{T^{\ell\ell}} <$	2 GeV	2 GeV

Background sources for $\mu\mu/ee$

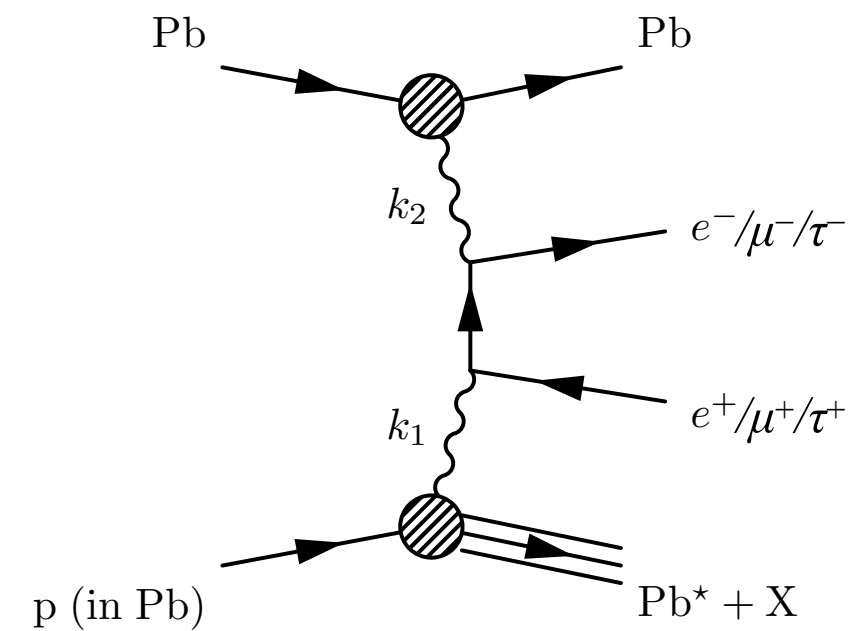
- Several background sources are considered:
 - **dissociative** production of $\ell^+\ell^-$ pairs - estimated with data-driven method (template taken from LPair/SuperChic4+Pythia8 in pp collisions)
 - **Upsilon(nS)** production - estimated with STARlight+Pythia8 MC samples (only in dielectron measurement)
 - exclusive **ditau** production - estimated with STARlight+Pythia8 MC samples (only in dielectron measurement)



Signal (LO)



Signal with FSR



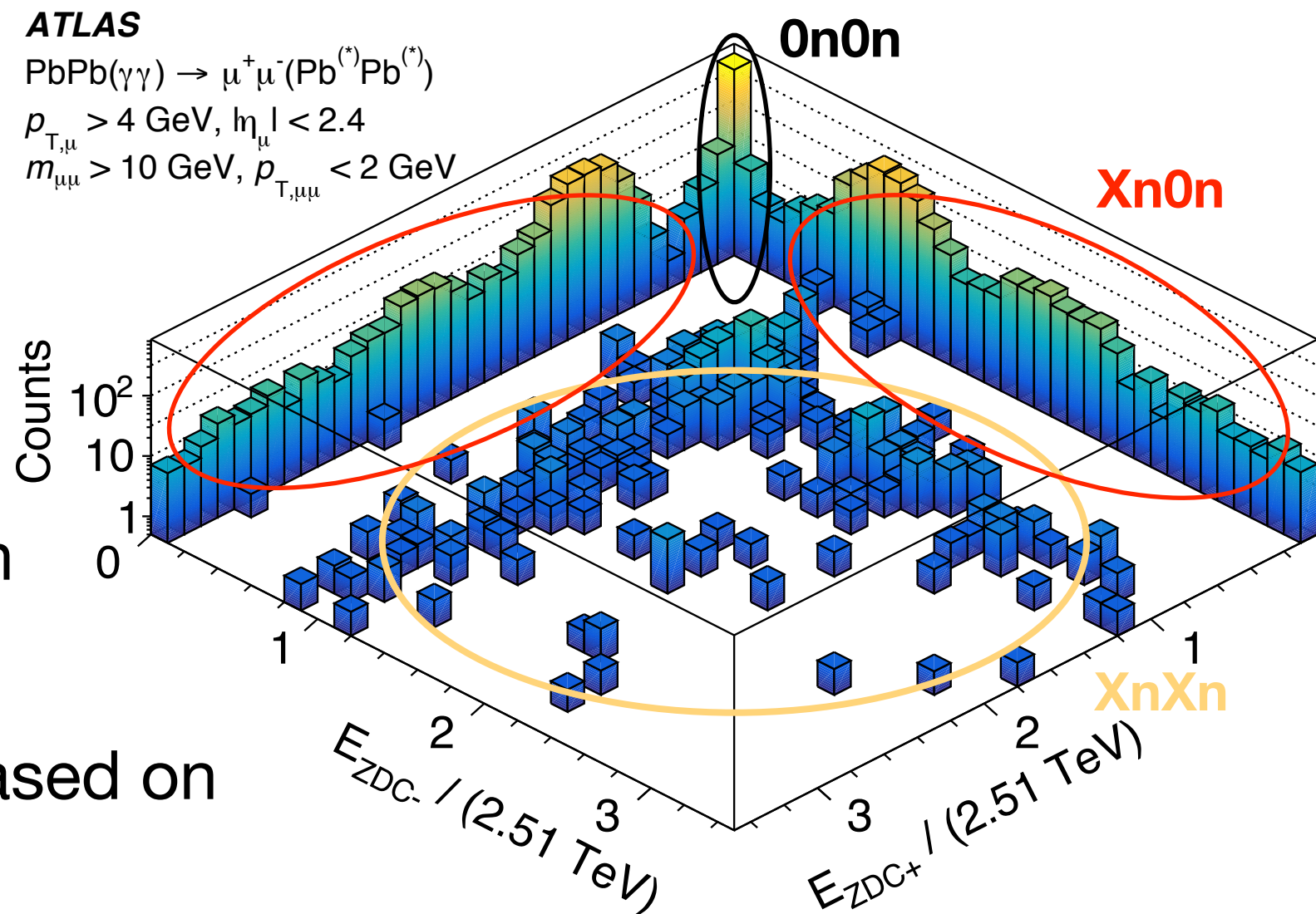
dissociative background

Signal categories - ZDC selection

Phys. Rev. C 104 (2021) 024906

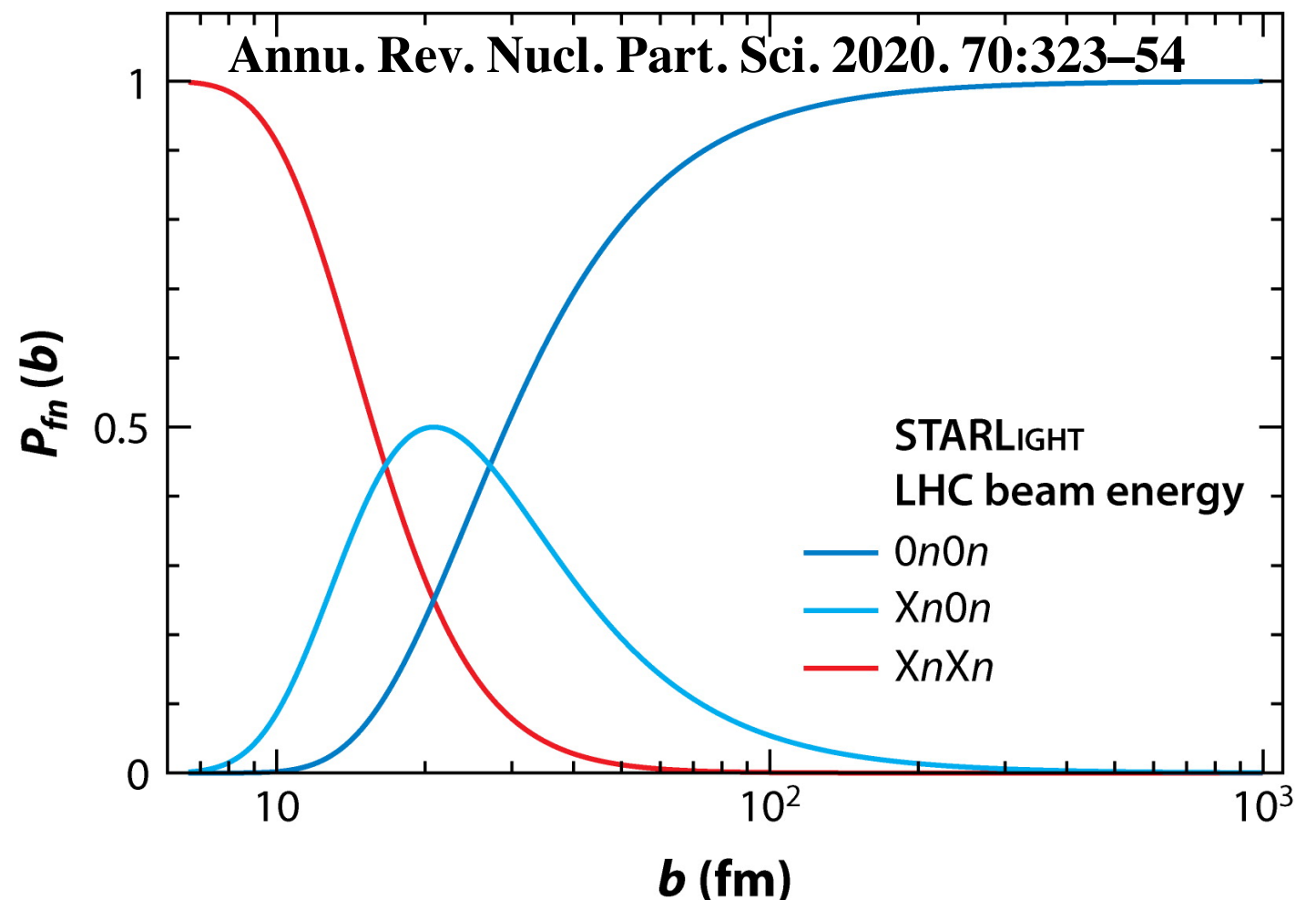
- Different processes present **different activity in the forward region:**

- Exclusive dilepton production - ions stay intact
- Background events with nuclear breakup
- **Three classes** defined, based on the signal in the ZDC
- The **association between given ZDC signal and given process is nontrivial**
 - Migrations due to ion excitation and presence of EM pile-up



ZDC fractions - b dependence

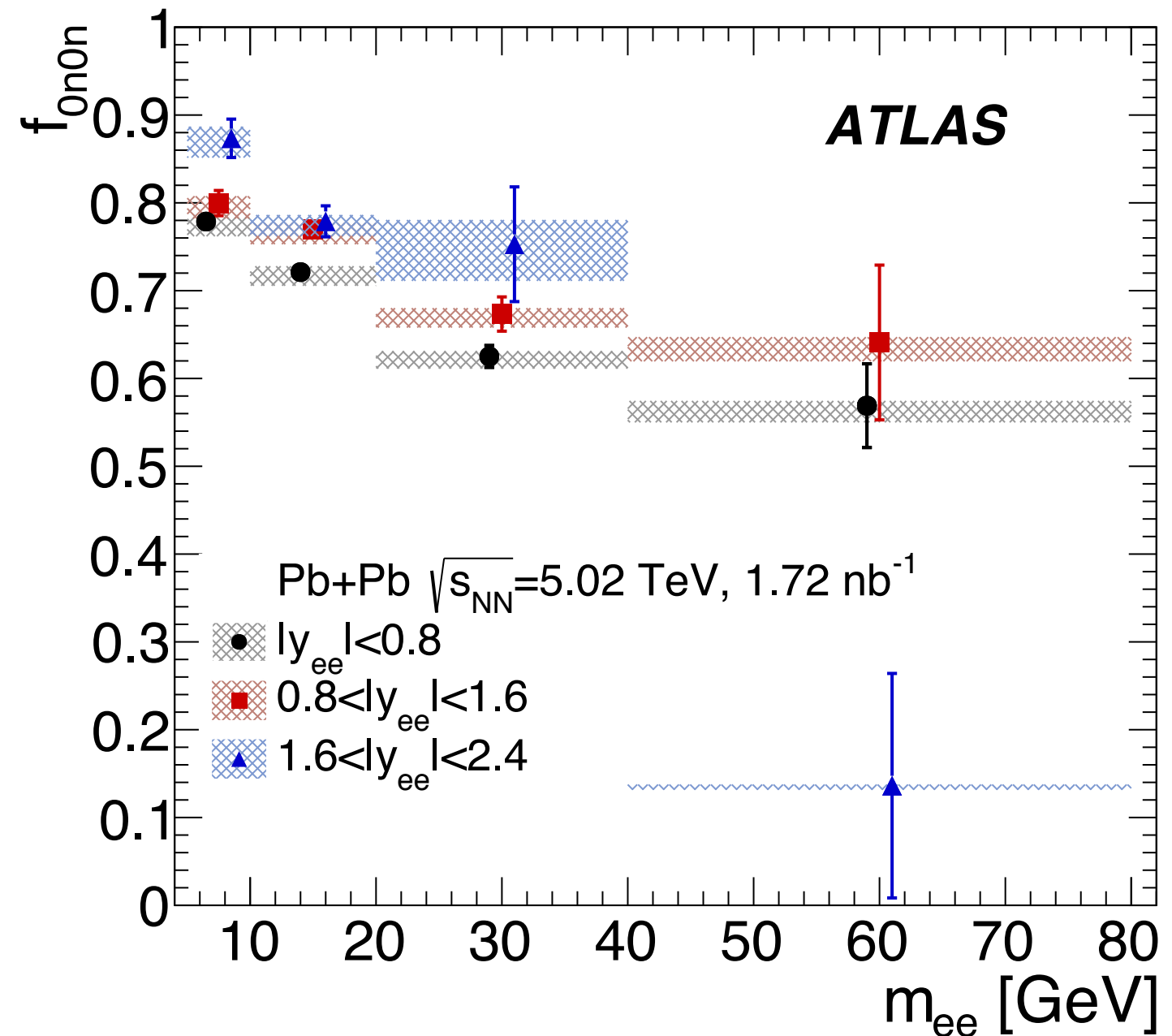
- The probability of producing a given **ZDC category depends on** the value of the **impact parameter, b** (based on the Coulomb excitation probabilities $\sim 1/b^2$)
- With different selections on the ZDC topology, we probe different ranges of dilepton mass and impact parameters, as photon fluxes vary with b



f_{0n0n} fractions - dielectrons

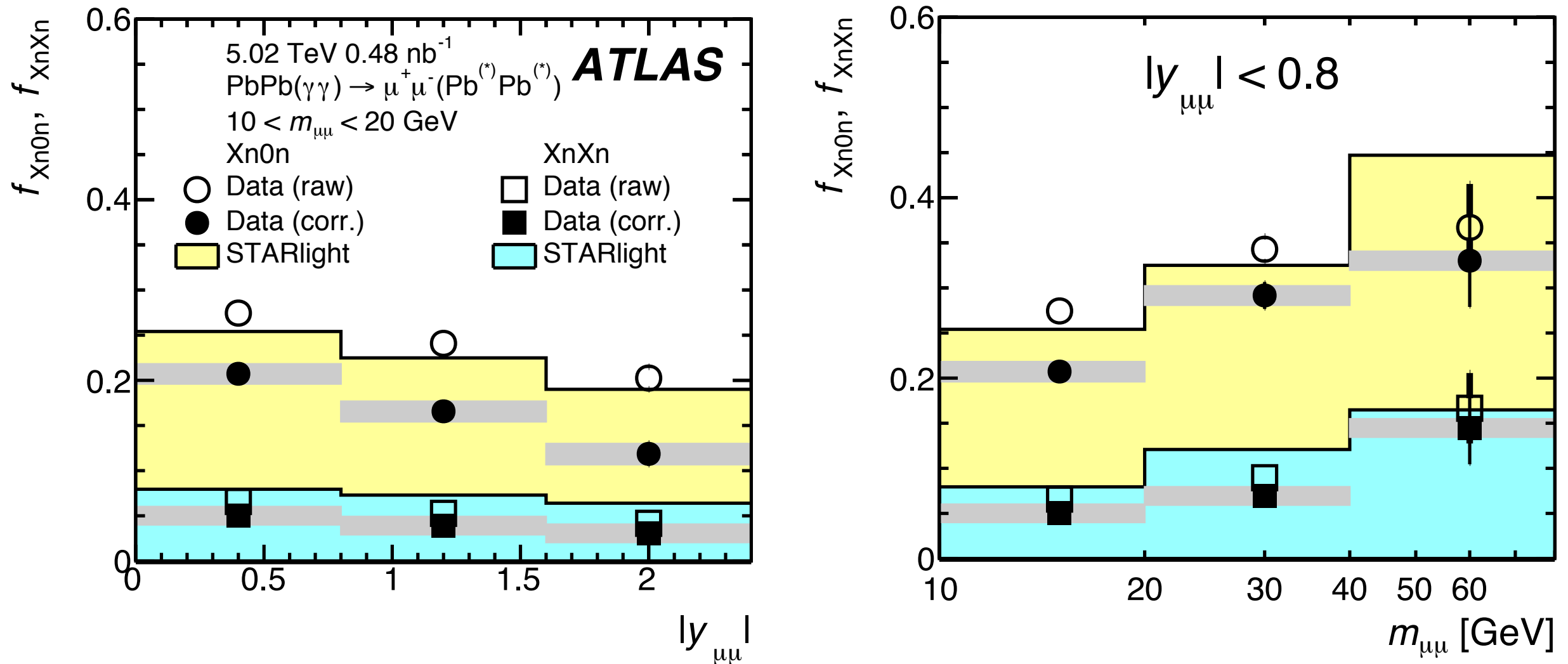
- The **0n0n** category should in principle be very **pure**, at least in terms of dissociative background
- To select 0n0n sample, events are required to have **low energy** deposits in the **ZDC** (below 1 TeV on each side)
- There is no ZDC simulation in the MC samples, so a dedicated approach, correcting also for **EM pileup** is used
- To be able to compare data with the prediction, the weight is applied as a function of truth variables for the MC samples

arXiv:2207.12781



f_{Xn0n} and f_{XnXn} fractions - dimuons

- The raw (open points) fractions higher than corrected (full markers)
- The corrected f_{Xn0n} and f_{XnXn} fractions are compared with the **STARlight predictions** — the latter are systematically **higher** for f_{Xn0n} and f_{XnXn} fractions



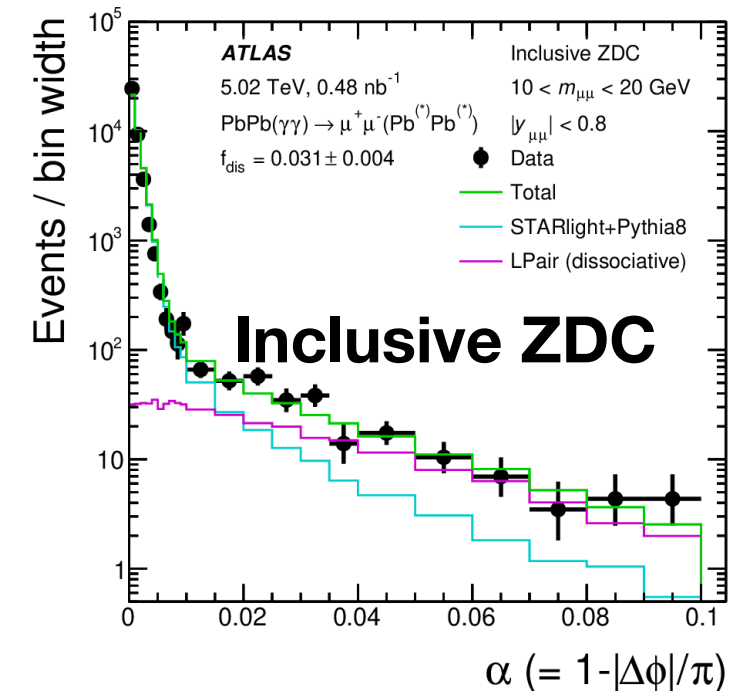
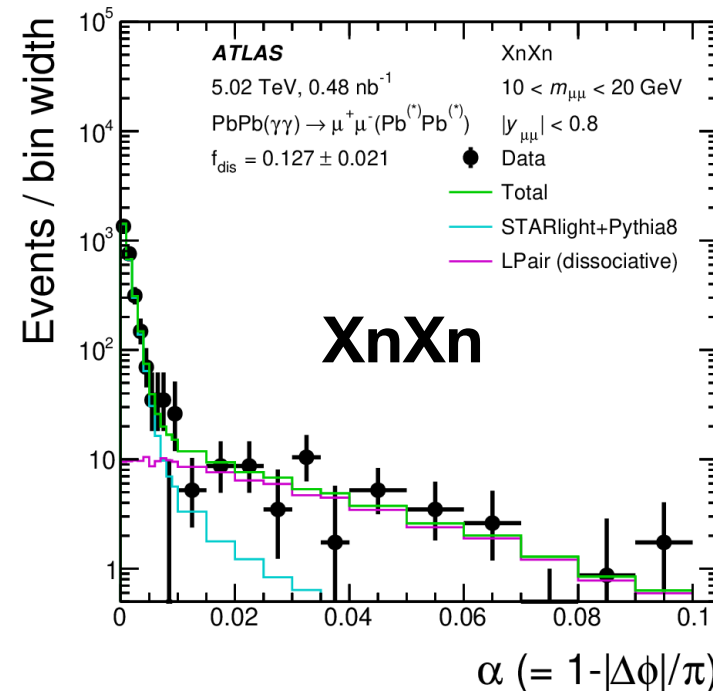
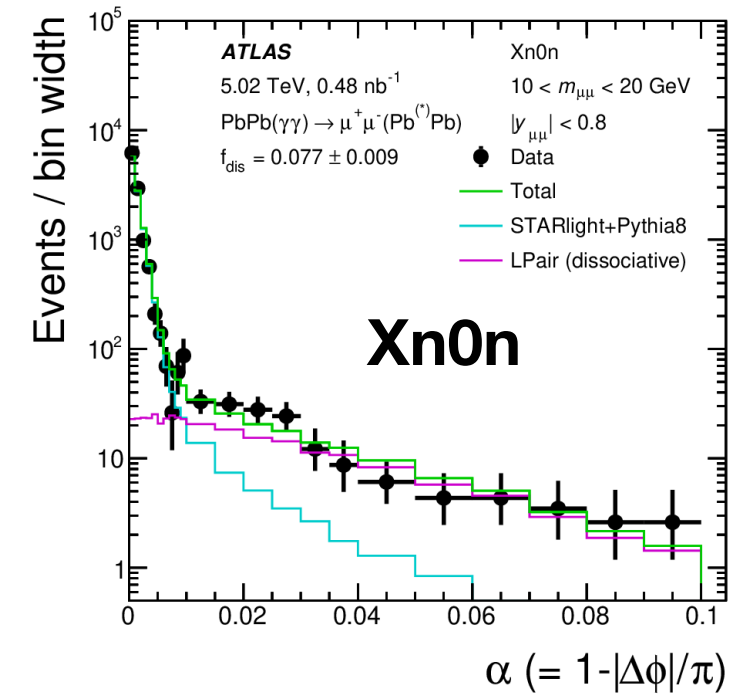
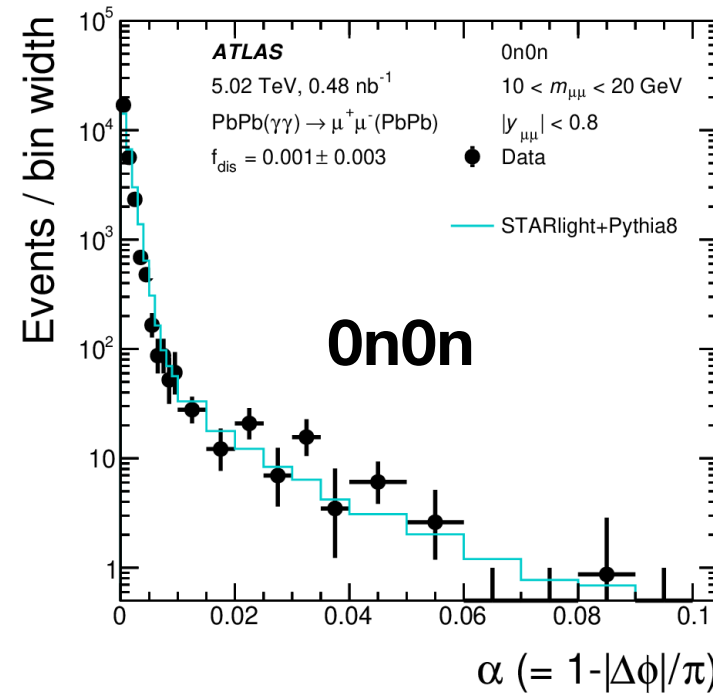
Phys. Rev. C 104 (2021) 024906

Dimuons

Dimuons - background

- Based on number of neutrons detected in ZDC, **events** are **categorized** in 0n0n, Xn0n and XnXn classes
- The differences between these classes are strongly pronounced in acoplanarity distribution
- The data is compared with STARlight+Pythia8 **simulation** for $\gamma\gamma \rightarrow \mu^+\mu^-$ process with FSR and LPair **for dissociative events** (for pp collisions)
- The **simultaneous fit** is performed in all ZDC topology classes to estimate fraction of dissociative events

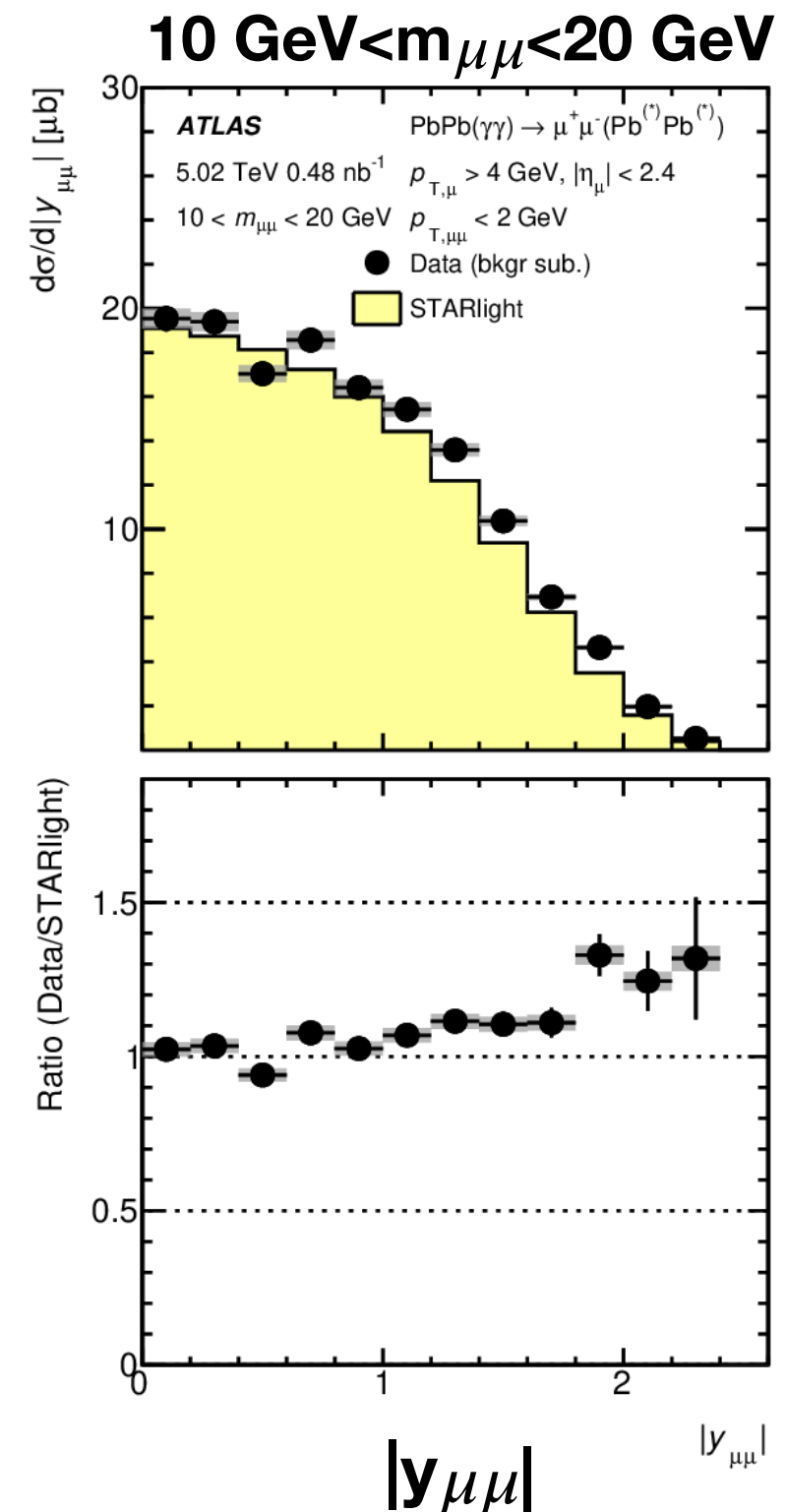
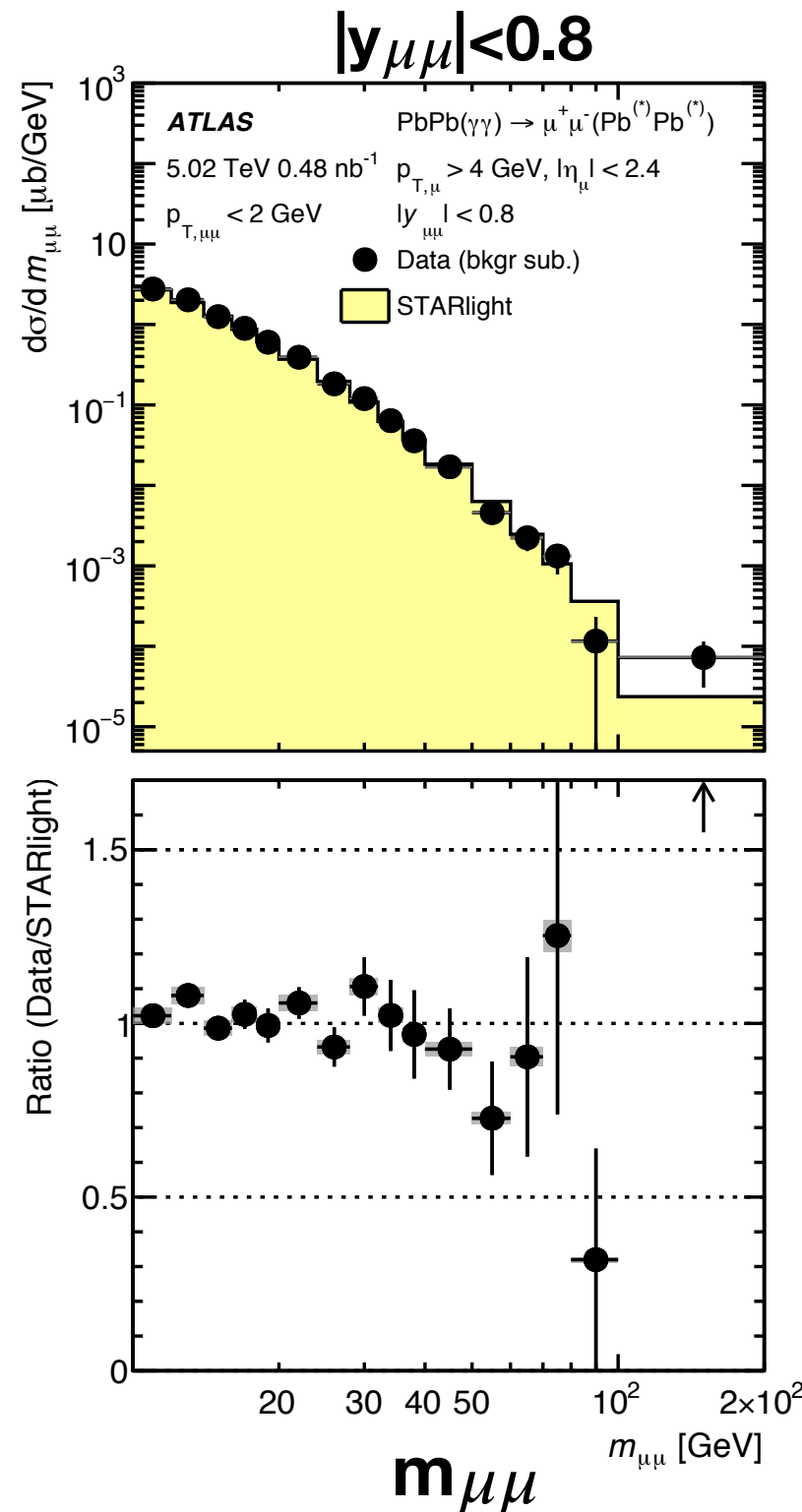
$$P(\alpha, m_{\mu\mu}, y_{\mu\mu}) = (1 - f_{\text{dis}}) P_{\text{EPA}}(\alpha, m_{\mu\mu}, y_{\mu\mu}) + f_{\text{dis}} P_{\text{dis}}(\alpha, m_{\mu\mu}, y_{\mu\mu})$$



Phys. Rev. C 104 (2021) 024906

Dimuons - results

- The **cross-sections** are **measured** as a function of $m_{\mu\mu}$ (in 3 slices of $|y_{\mu\mu}|$) and $|y_{\mu\mu}|$ (in 3 slices of $m_{\mu\mu}$)
- Data is **compared with STARlight** MC simulation of $\gamma\gamma \rightarrow \mu^+\mu^-$ process w/o FSR
- The overall shape of the spectra is **well described** out to the highest masses
- Some hints of decreasing ratio for larger $m_{\mu\mu}$
- **Good agreement** is found in central region of rapidity distribution (small $|y_{\mu\mu}|$), but data to simulation ratio increases with $|y_{\mu\mu}|$



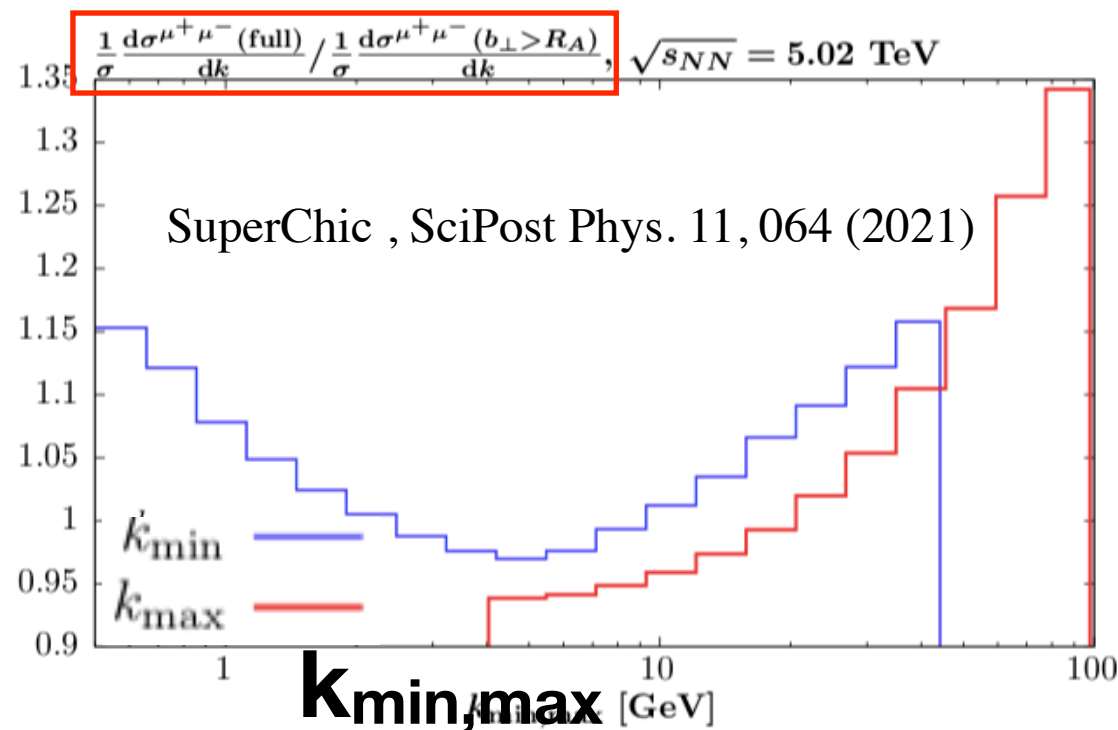
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What can we learn about initial photon fluxes?

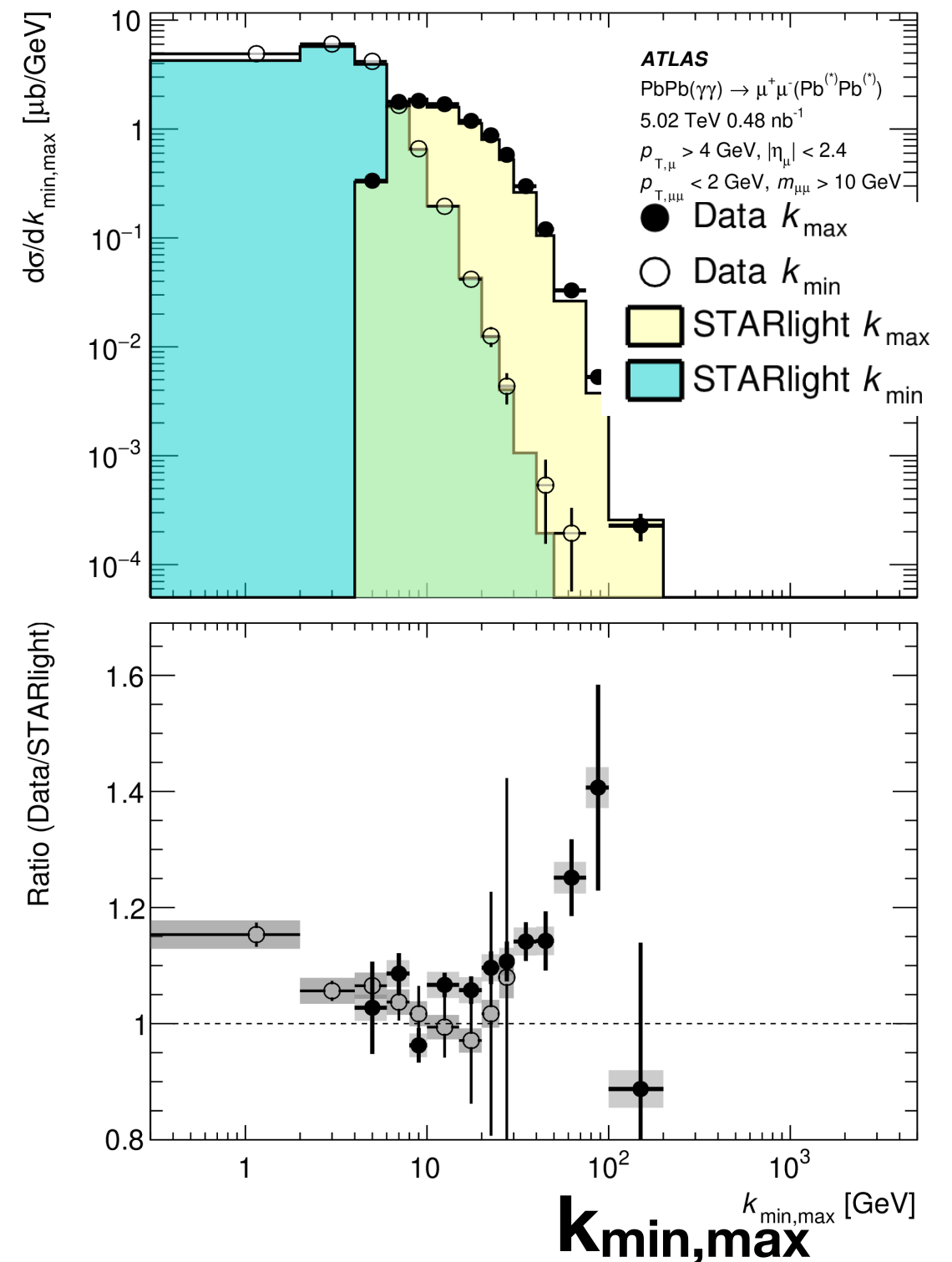
- The muon kinematics can be used to estimate **initial photon energies**

$$k_{\min, \max} = (1/2)m_{\mu\mu} \exp(\pm y_{\mu\mu})$$

- The **cross section** is presented as a function of maximum and minimum photon energies
- The STARlight predictions are correct in intermediate region 5-20 GeV
- Disagreement between the data and MC for lower k_{\min} and higher k_{\max} likely due to restriction of production to $b > R$



Phys. Rev. C 104 (2021) 024906

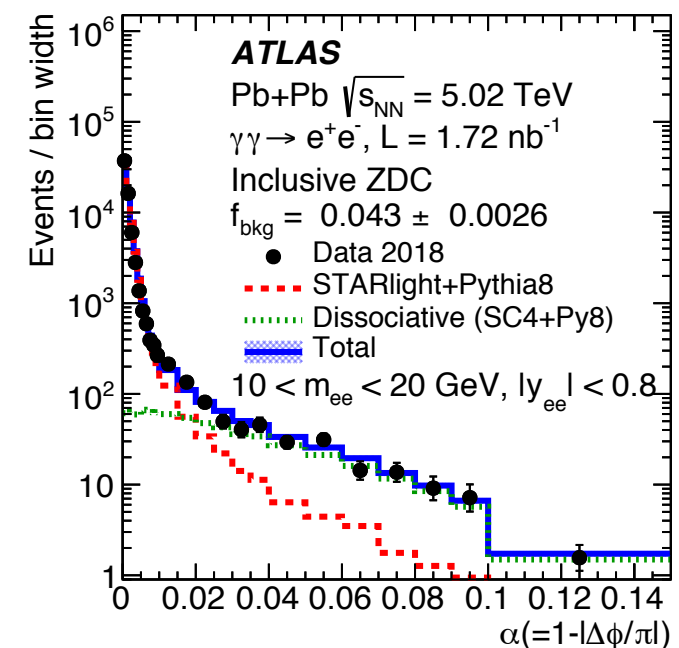
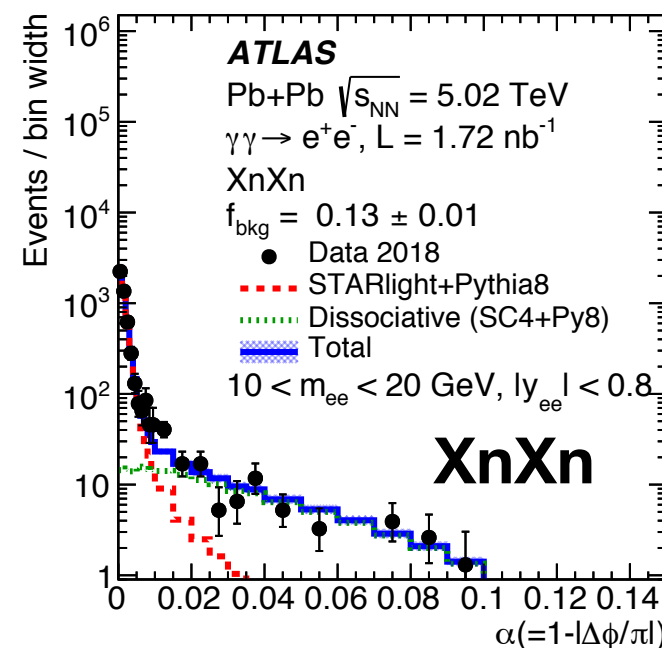
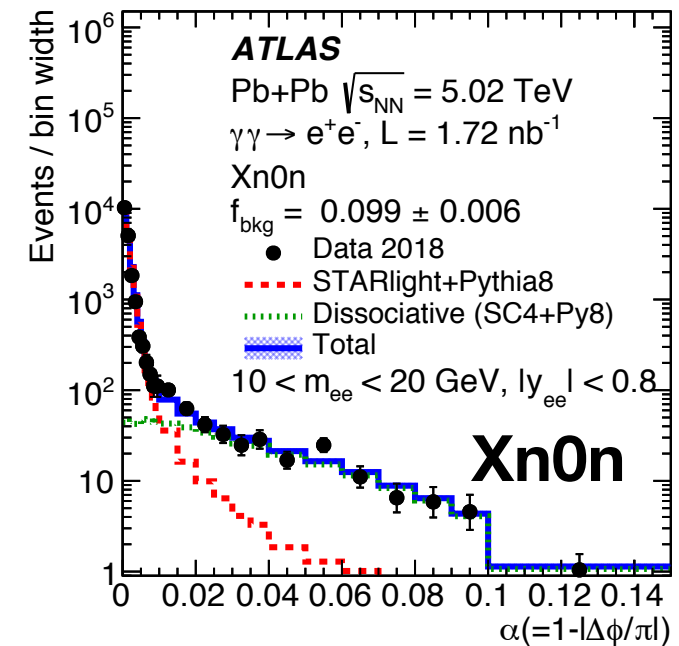
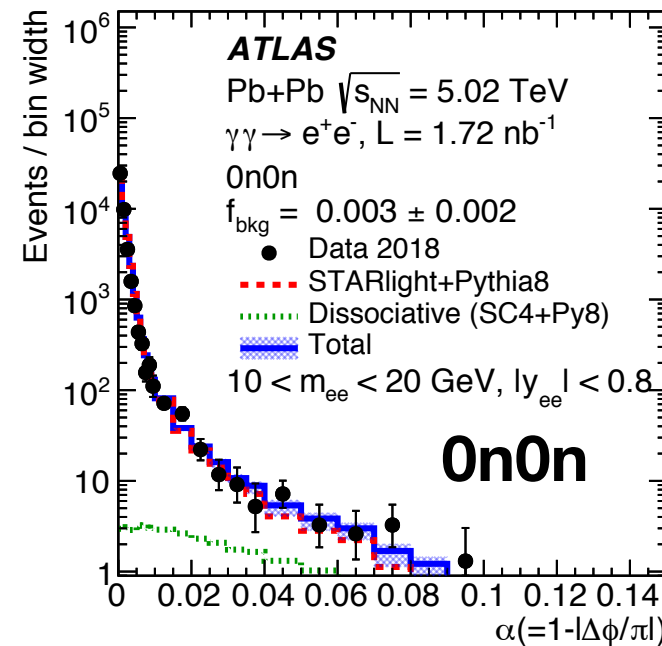


Dielectrons

Dielectrons - background

- The background samples for **single dissociation** from SuperChic4+Pythia8 are used instead of LPair
- Fitting procedure similar to the one used in dimuon measurement
- Small background contributions from **Upsilon(nS)** and **ditau** production also estimated

$$P(\alpha, m_{ee}, y_{ee}) = (1 - f_{\text{dis}}) P_{\text{EPA}}(\alpha, m_{ee}, y_{ee}) + f_{\text{dis}} P_{\text{dis}}(\alpha, m_{ee}, y_{ee})$$



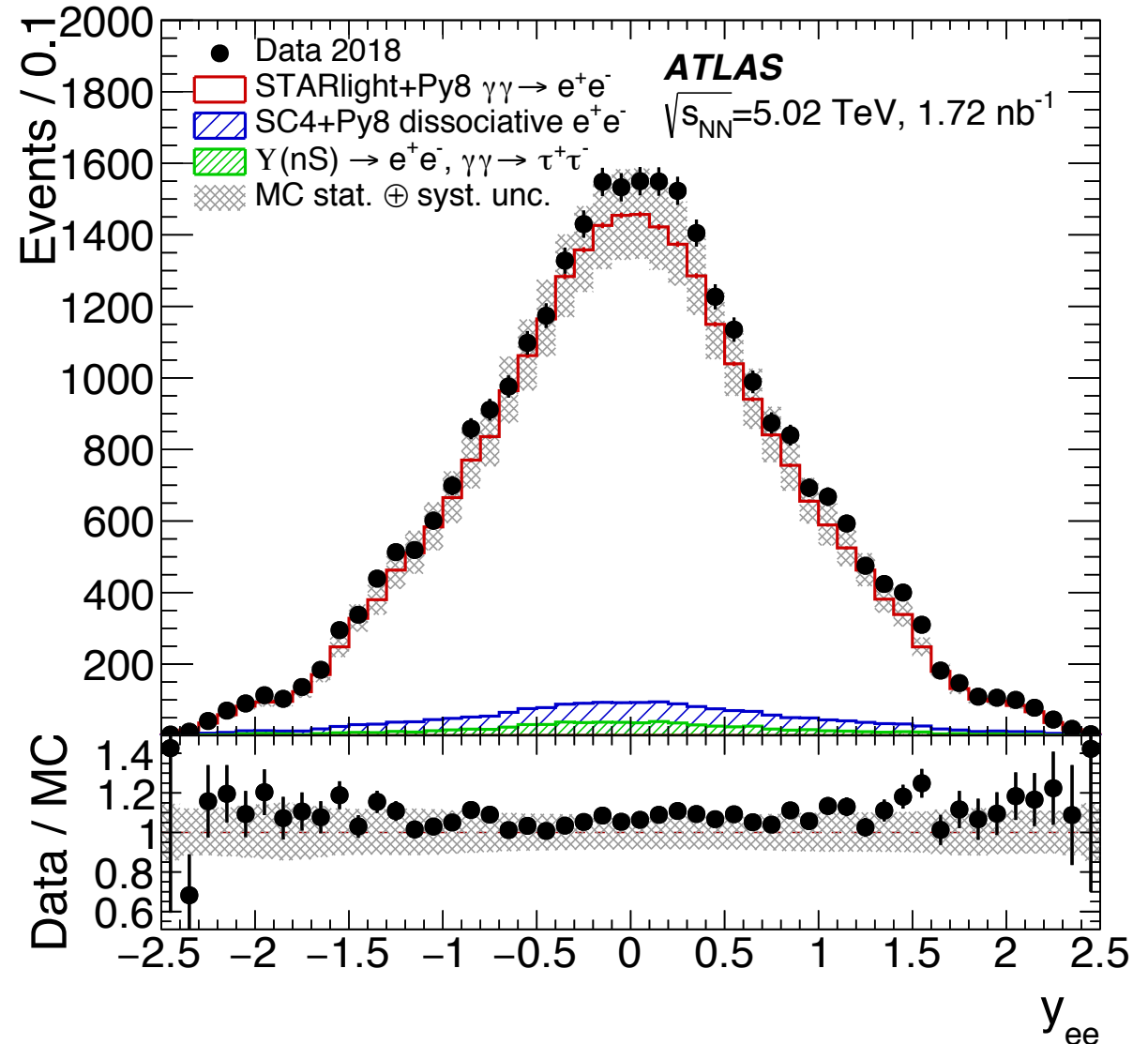
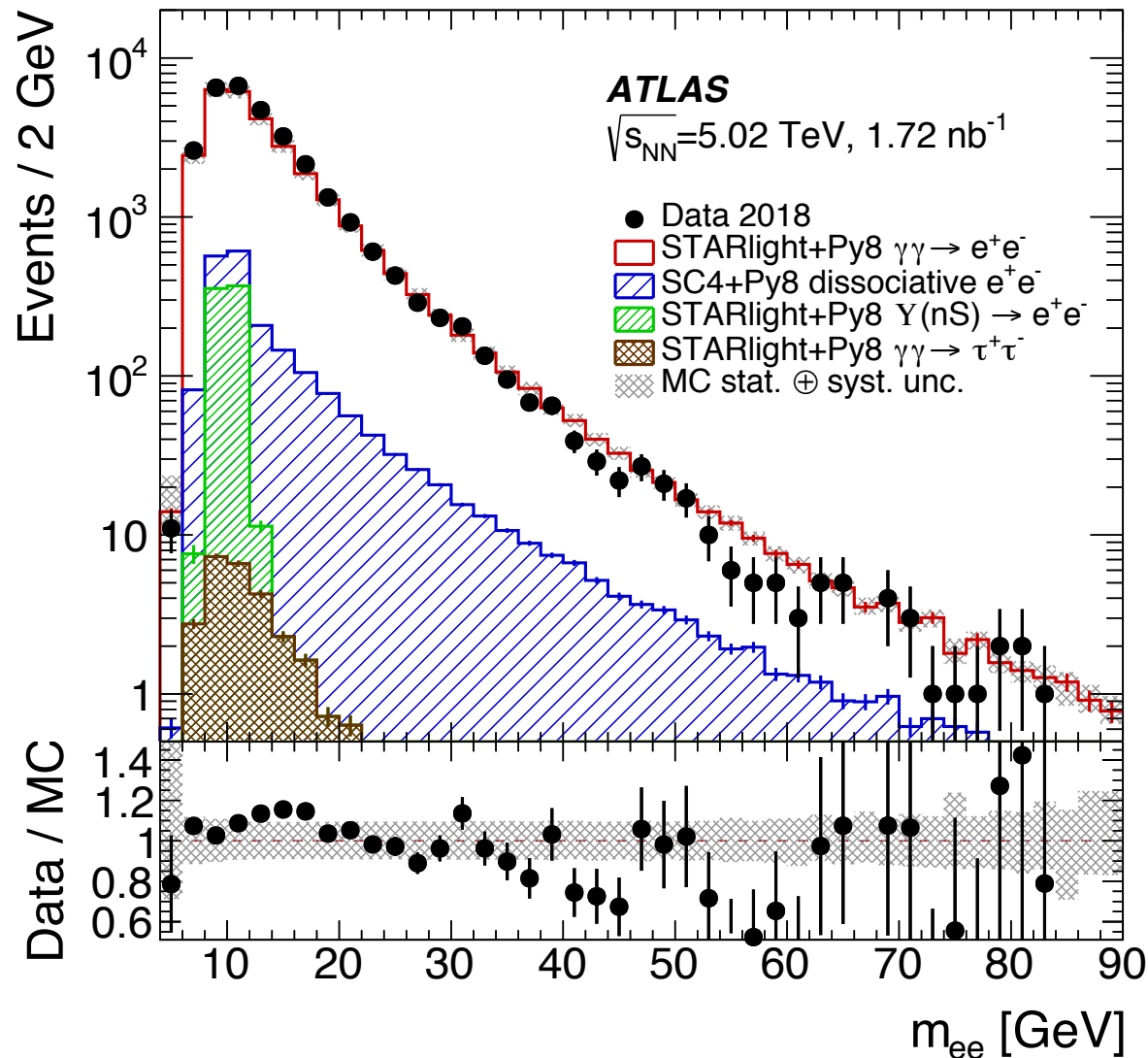
arXiv:2207.12781

Inclusive ZDC

Detector-level control plots

- The data sample is $\sim 93\%$ pure, with about 10% more counts in data than in the MC prediction

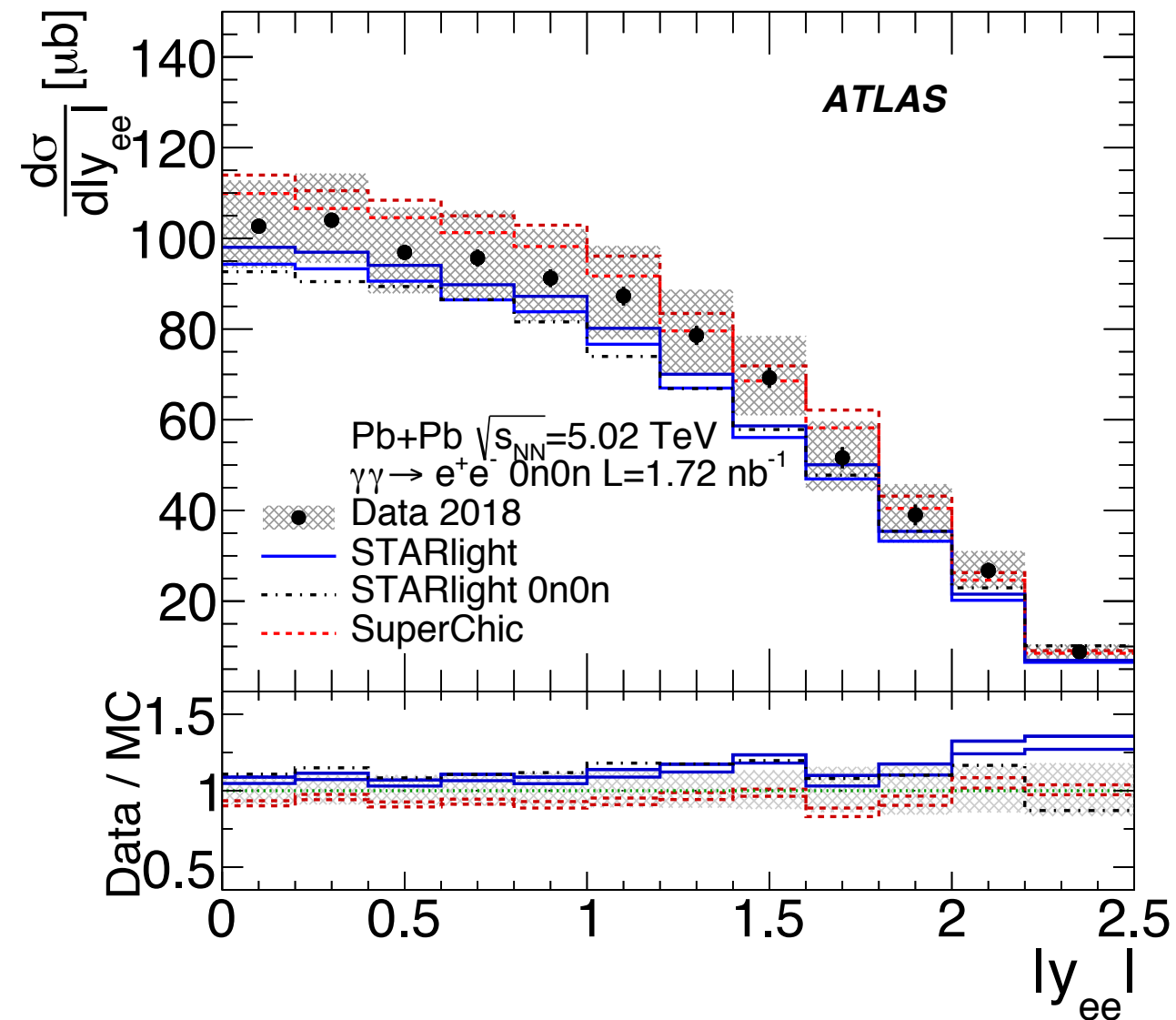
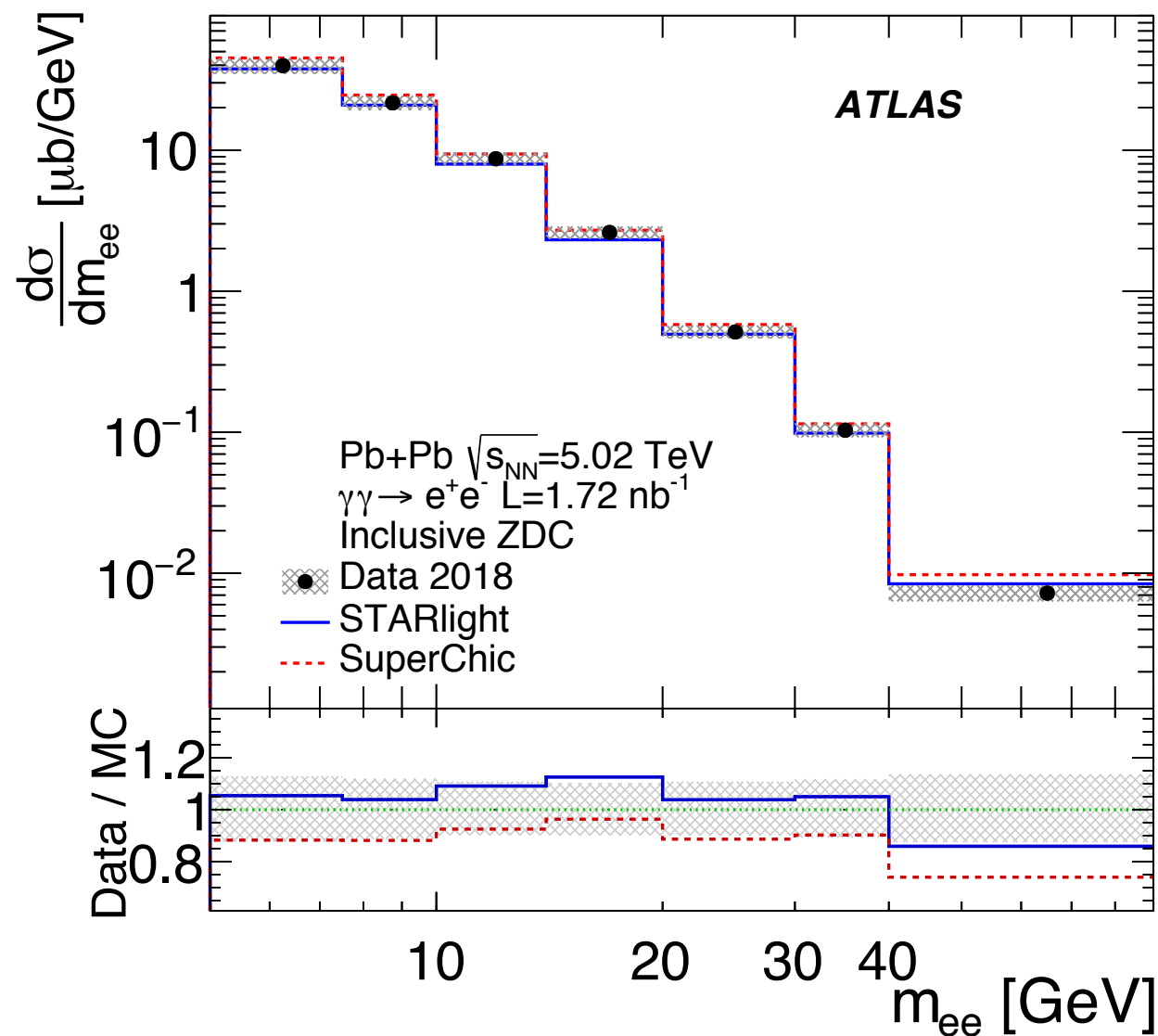
arXiv:2207.12781



Dielectrons - results

- **Good agreement** with STARlight and SuperChic is observed, differences in the same regions as in detector-level plots
- Results for mass compatible with dimuon measurement
- Two lines for predictions in 0n0n category show the predicted cross-section with f_{0n0n} varied up and down

arXiv:2207.12781



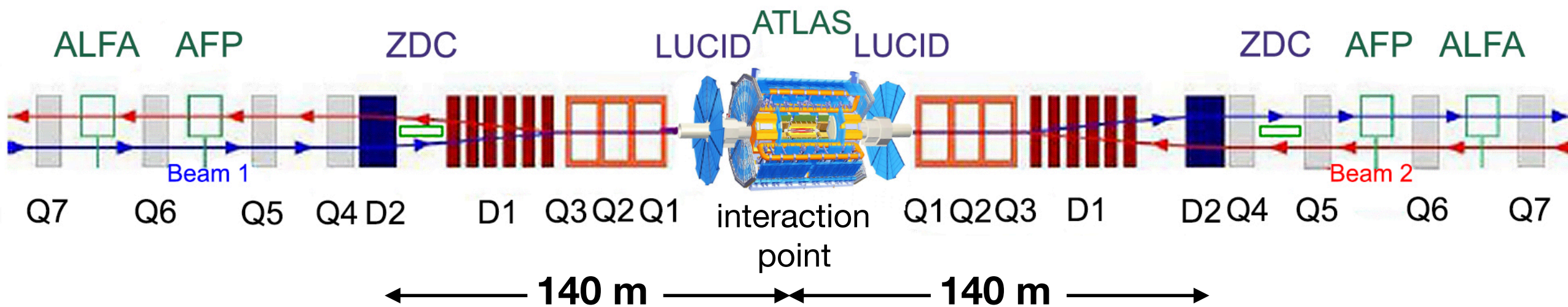
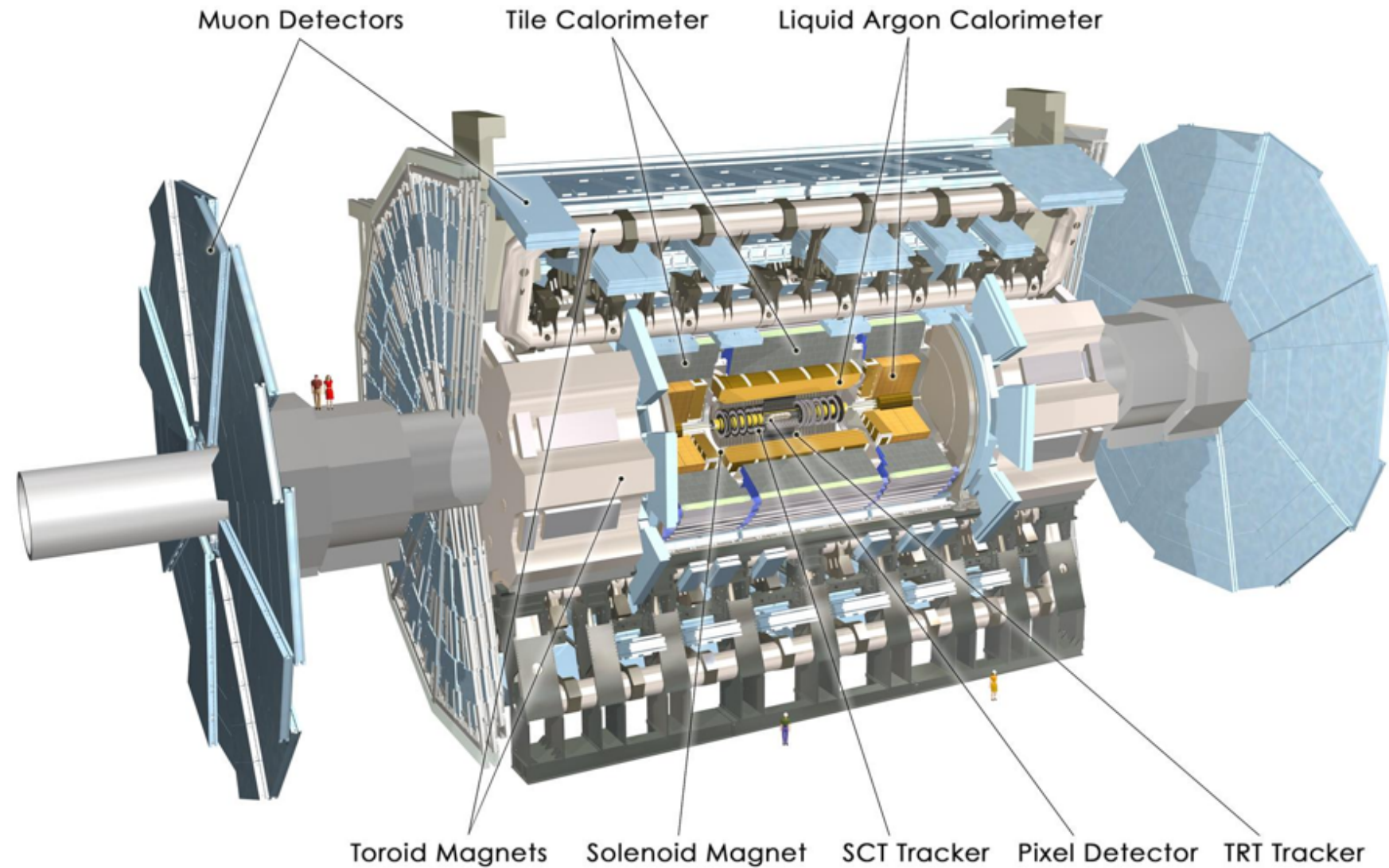
Summary

- The exclusive dilepton production was measured using data collected in 2015 and 2018 with the ATLAS detector
- Despite slightly different definitions of the fiducial region, the **conclusions** from dimuon and dielectron measurements are **consistent**
- Thanks to the ZDC, **activity in the forward region** could be measured
 - This should provide constraints for **impact-parameter dependence** of dilepton production
- Results from dielectrons and dimuons provide valuable constraints for **theoretical approaches** in the modeling of the **initial photon flux**

Backup

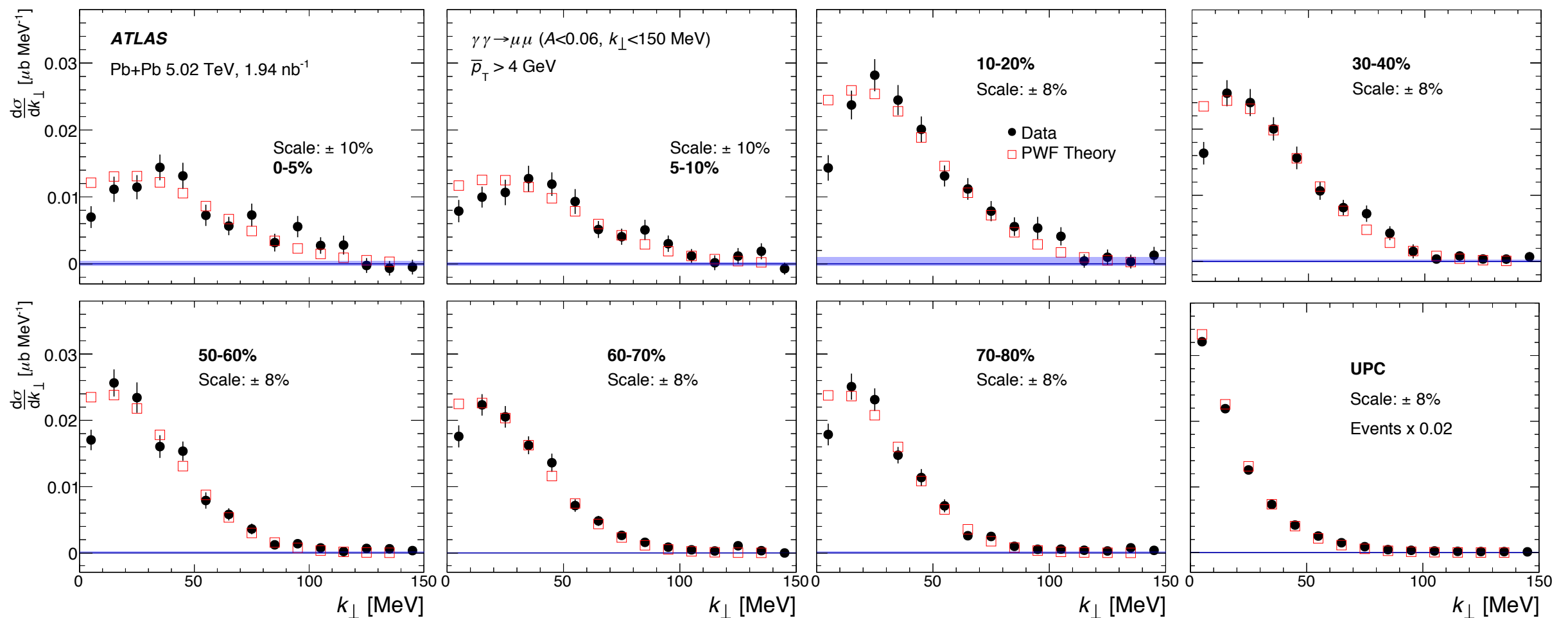
ATLAS detector

- Large general-purpose detector with almost 4π coverage
- $\eta = -\log(\tan(\theta/2))$
- Inner detector $|\eta| < 2.5$
- Muon system $|\eta| < 2.7$ (trig. 2.4)
- Calorimetry out to $|\eta| < 4.9$
- Zero-Degree-Calorimeters capture neutral particles with $|\eta| > 8.3$



Non-UPC dimuons

- The dimuons originating from photon-photon interactions were also observed in non-UPC events by ATLAS [arXiv:2206.12594](https://arxiv.org/abs/2206.12594)
- Studied α and k_T ($=\alpha\pi(p_{T,1}+p_{T,2})/2$) distributions as a function of event centrality
- Observed depletion in cross-section in the region of low- k_T , not predicted by models



ZDC fractions

- The fractions of events in each ZDC class are affected by the presence of EM pile-up
- The probabilities of single and mutual dissociation (p_s, p_m) are determined using the same method both in dimuon and dielectron measurement, with p_s, p_m values calculated for given data taking period
- The fractions are determined in 4 bins in m_{ee} and 3 bins in $|y_{ee}|$ and corrected for dissociative background contribution
- Presented results are obtained using data

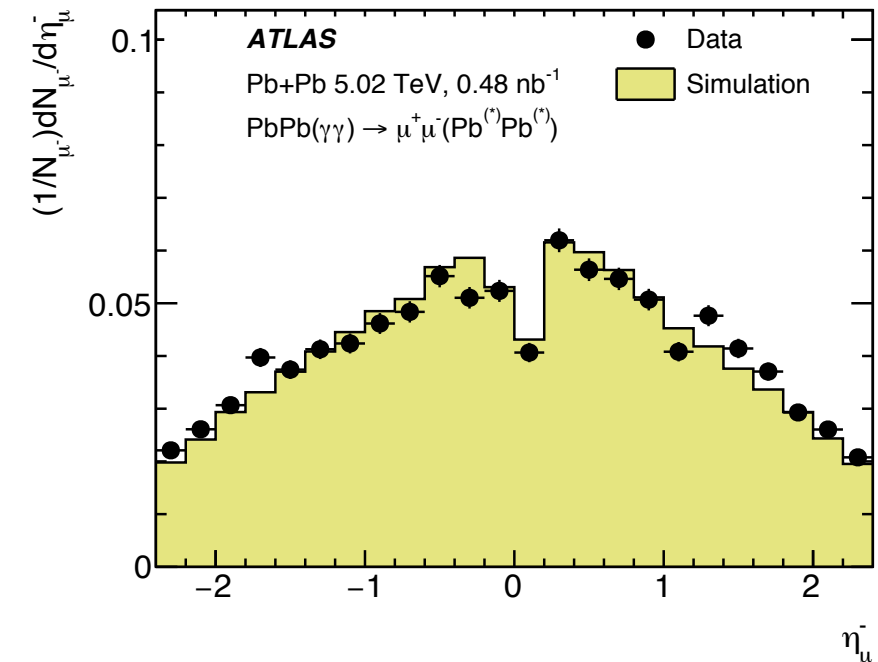
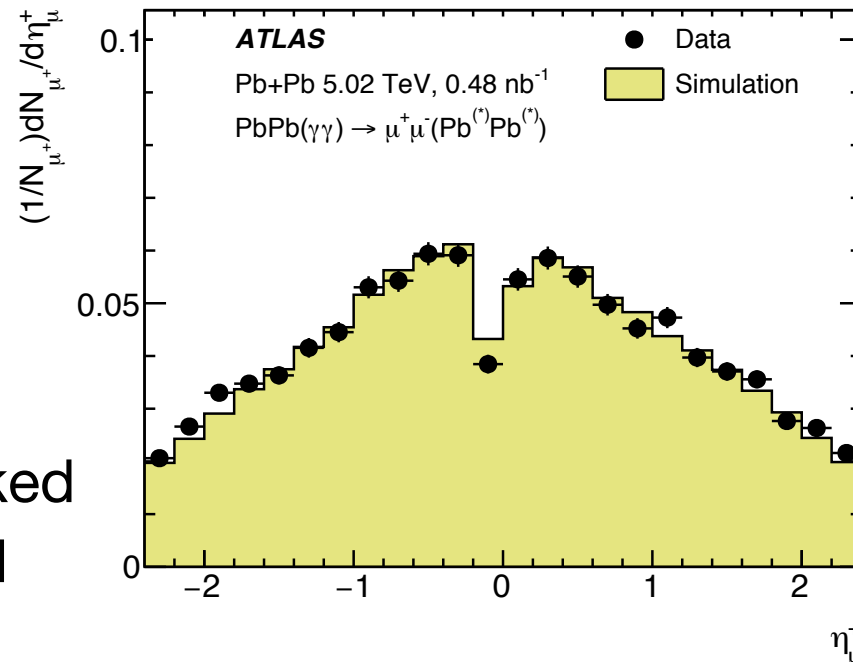
Observed fractions

$$\begin{bmatrix} f'_{0n0n} \\ f'_{Xn0n} \\ f'_{XnXn} \end{bmatrix} = \begin{bmatrix} (1 - p_s)(1 - p_m) & 0 & 0 \\ 2p_s(1 - p_s - p_m + p_m p_s/2) & (1 - p_s)(1 - p_m) & 0 \\ p_m + p_s^2 & p_m + p_s - p_m p_s & 1 \end{bmatrix} \begin{bmatrix} f_{0n0n} \\ f_{Xn0n} \\ f_{XnXn} \end{bmatrix}$$

Corrected fractions

Dimuons - efficiency corrections

- Single-muon L1 trigger efficiencies are derived using the minimum-bias data as a function of $q\eta_\mu$, and $p_{T\mu}$
- The results are cross-checked with tag-and-probe method using signal muons



- The total trigger efficiency is derived as: $\varepsilon_{T\mu\mu} = 1 - (1 - \varepsilon_T(\eta^+))(1 - \varepsilon_T(-\eta^-))$
- The typical trigger efficiency is 93% at $m_{\mu\mu} < 20$ GeV and $|y_{\mu\mu}| < 1$, and increases to 97% at $m_{\mu\mu} > 40$ GeV and $|y_{\mu\mu}| > 1.5$
- Good data to simulation agreement already after applying trigger correction
- The reconstruction efficiency is based on simulation, corrected with data-driven factor derived using tag-and probe method
- The impact of correcting for the reconstruction efficiency is about 40–50% for $m_{\mu\mu} < 20$ GeV and $|y_{\mu\mu}| < 0.8$, decreasing to 15% at larger values

Dimuons - results

- The cross-sections are measured as a function of several kinematic variables as:

$$\frac{d\sigma_{\mu\mu}}{dX_{\mu\mu}} = \frac{C_{\text{mig}}}{\mathcal{L}_{\text{int}}} \sum_{\text{events}} \frac{(1-f_{\text{dis}})}{\varepsilon_{\text{R}\mu\mu} \varepsilon_{\text{T}\mu\mu}}$$

Bin migration (curved arrow from C_{mig} to $d\sigma_{\mu\mu}/dX_{\mu\mu}$)
 Muon kinematic variable (curved arrow from $d\sigma_{\mu\mu}/dX_{\mu\mu}$ to $X_{\mu\mu}$)
 Background from dissociative events (curved arrow from f_{dis} to $(1-f_{\text{dis}})$)
 Reconstruction and trigger efficiencies (curved arrow from $\varepsilon_{\text{R}\mu\mu} \varepsilon_{\text{T}\mu\mu}$ to the denominator)

- Measured fiducial cross section is:

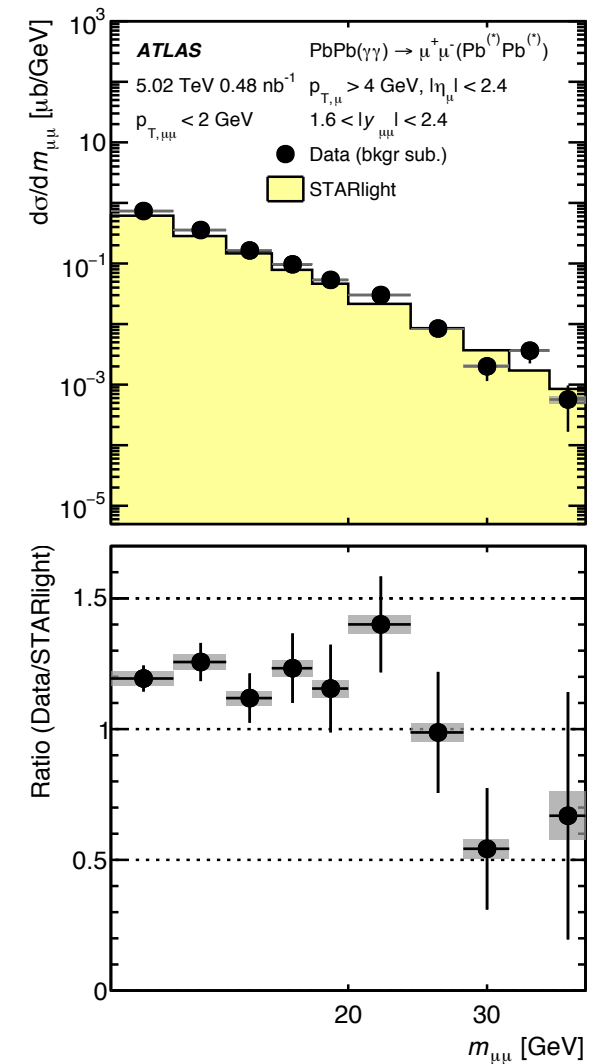
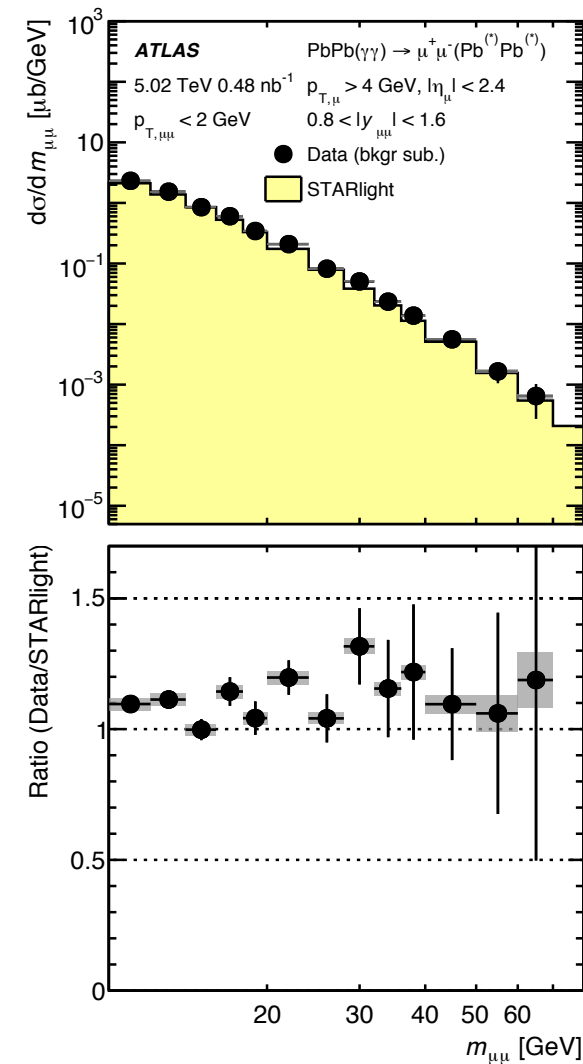
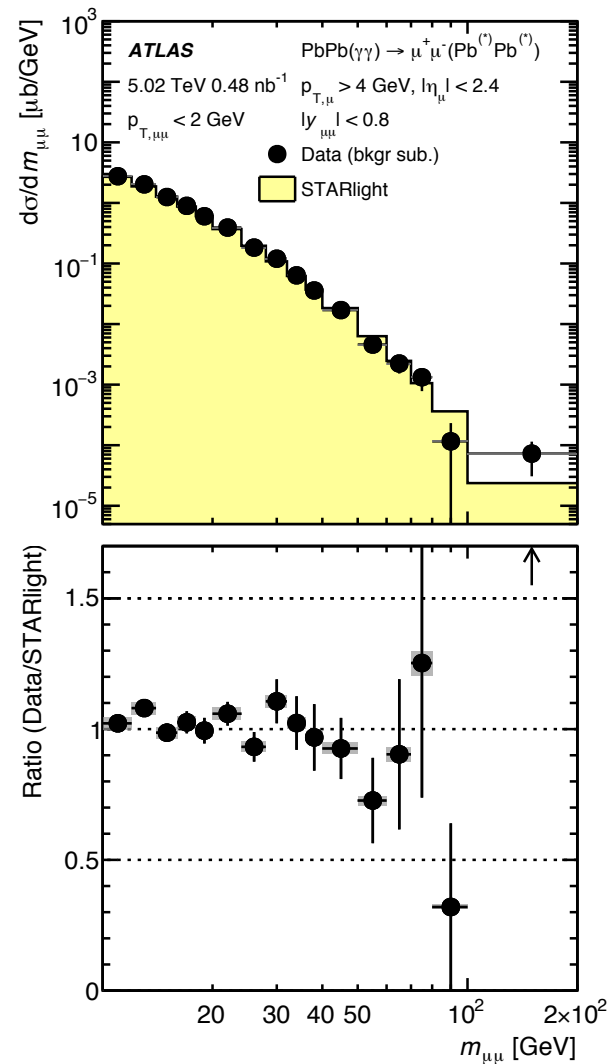
$$\sigma = 34.1 \pm 0.3(\text{stat.}) \pm 0.7(\text{syst.}) \mu\text{b},$$

compared with 32.1 μb from STARlight and 30.8 μb from STARlight+Pythia8

- The systematic uncertainty is dominant
- Differential cross-sections are determined as a function of $|y_{\mu\mu}|$, $m_{\mu\mu}$, $|\cos \theta^*|$, k_{min} and k_{max} in the inclusive sample
- Additionally the acoplanarity distribution is unfolded after selection data from 0n0n category

Dimuons - results

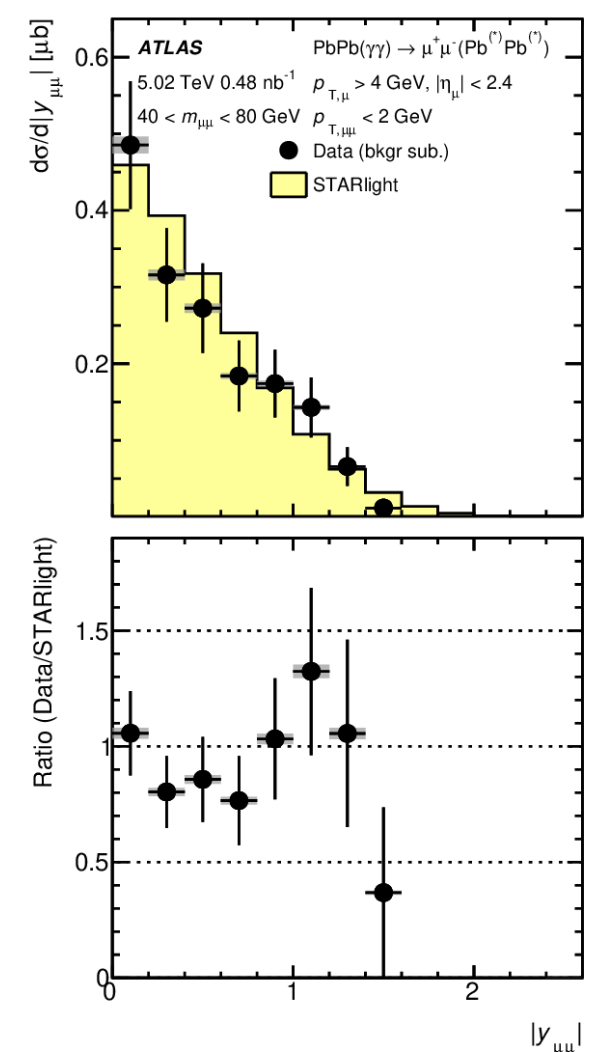
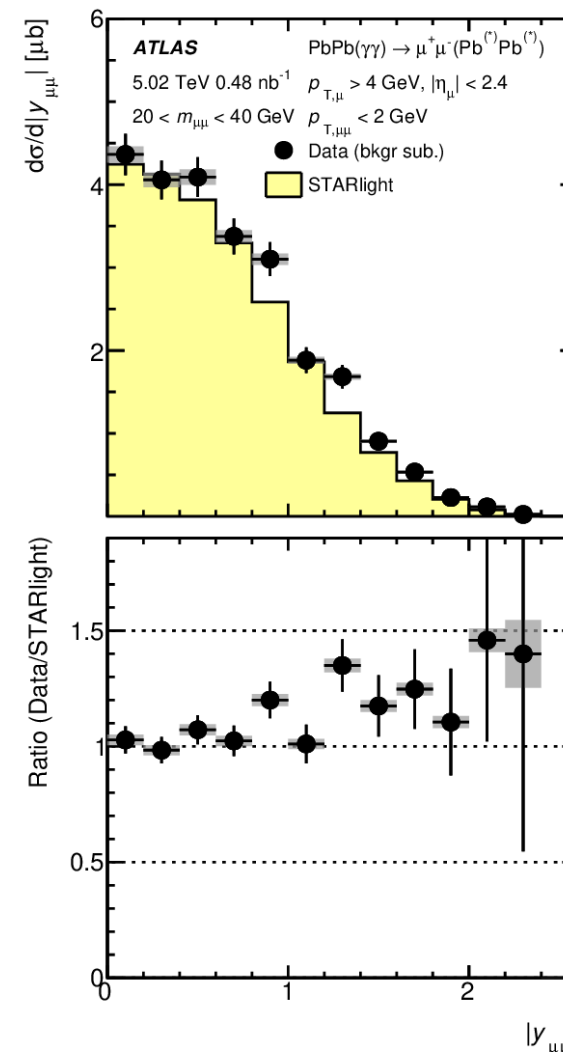
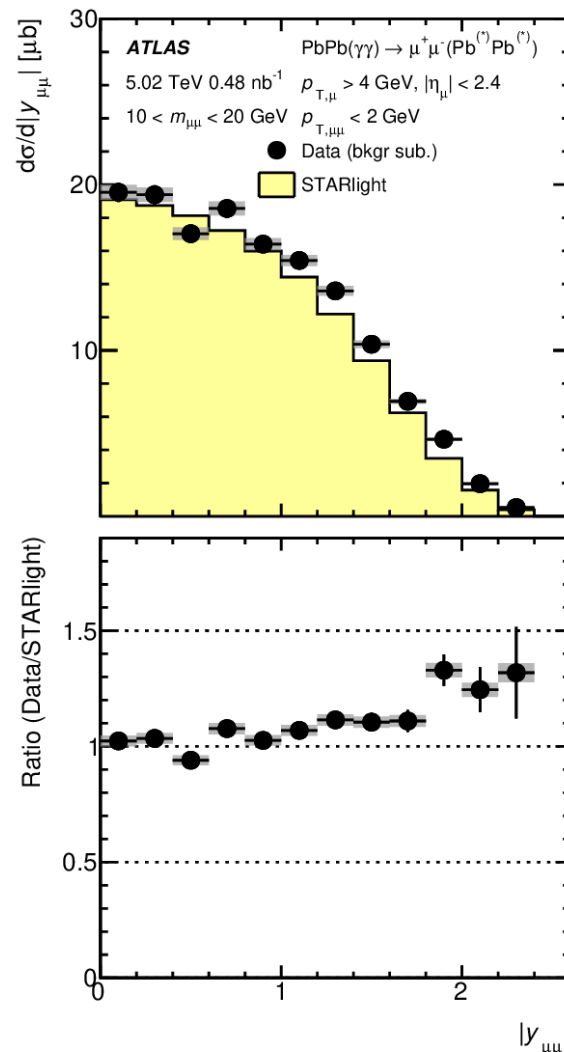
- The cross-sections are presented as a function of absolute dimuon mass in 3 rapidity slices
- Data is compared with STARlight MC simulation of $\gamma\gamma \rightarrow \mu^+\mu^-$ process w/o FSR
- The overall shape of the spectra is well described out to the highest masses in the available event sample
- Some hints of decreasing ratio for larger $m_{\mu\mu}$



Dimuons - results

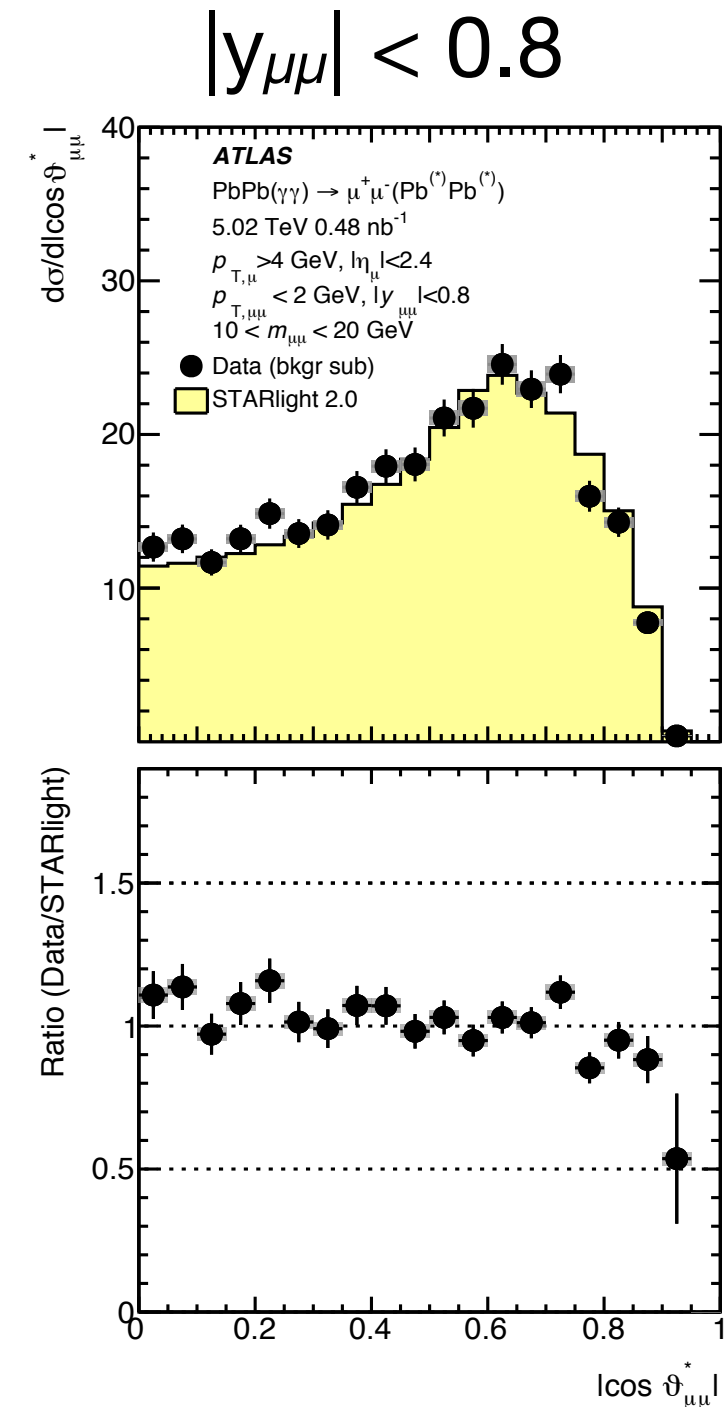
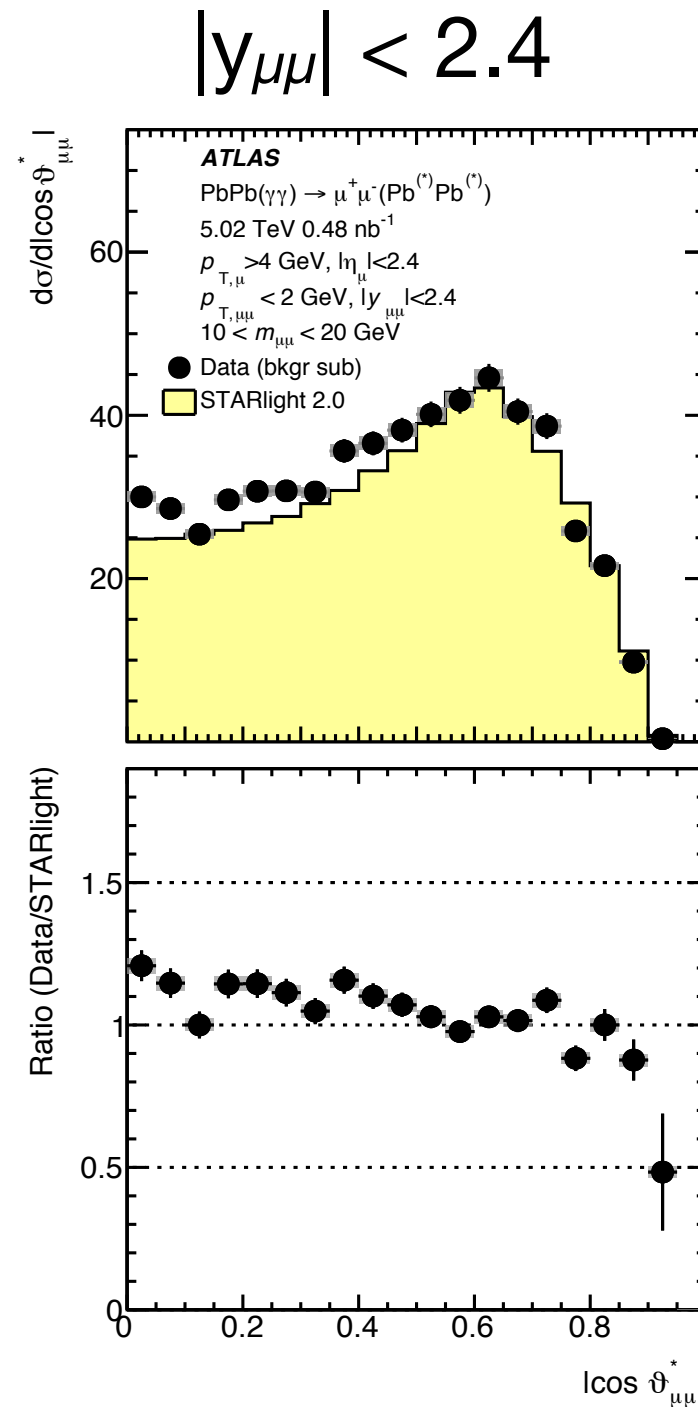
- The cross-sections are presented as a function of absolute dimuon rapidity in 3 mass slices
- Data is compared with STARlight MC simulation of $\gamma\gamma \rightarrow \mu^+\mu^-$ process w/o FSR

- Good agreement is found in central region of rapidity distribution (small $|y_{\mu\mu}|$), but data to simulation ratio increases with $|y_{\mu\mu}|$



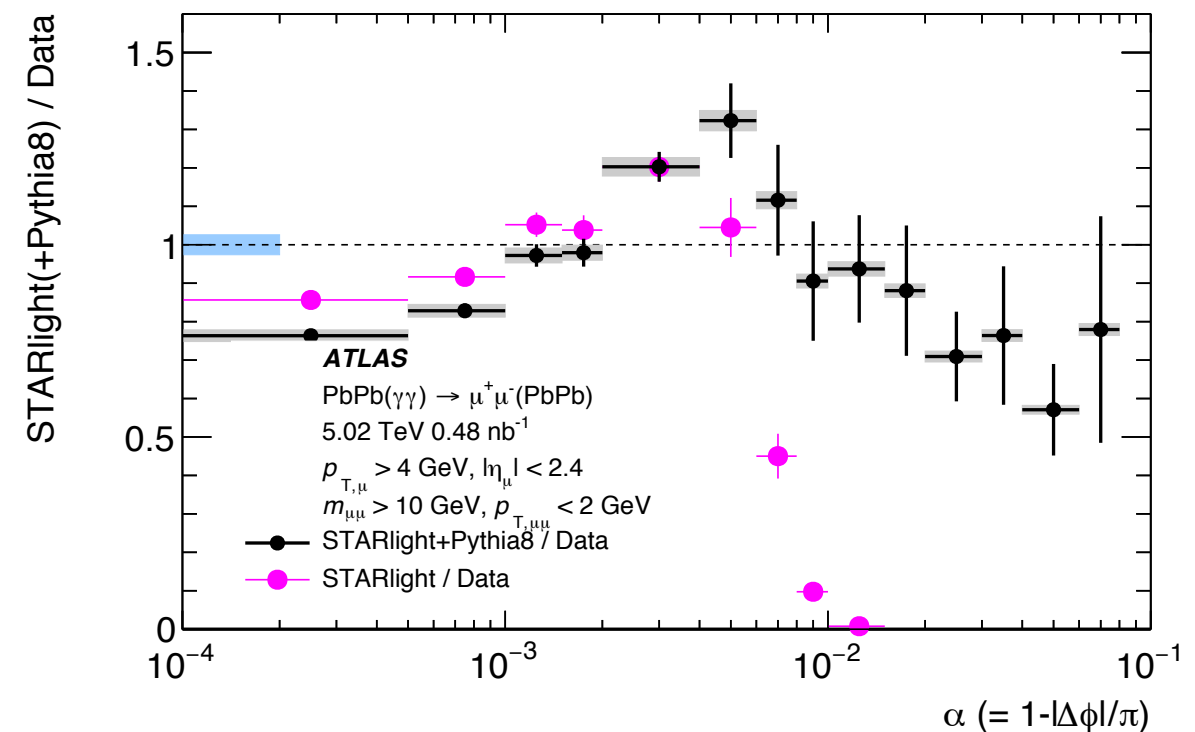
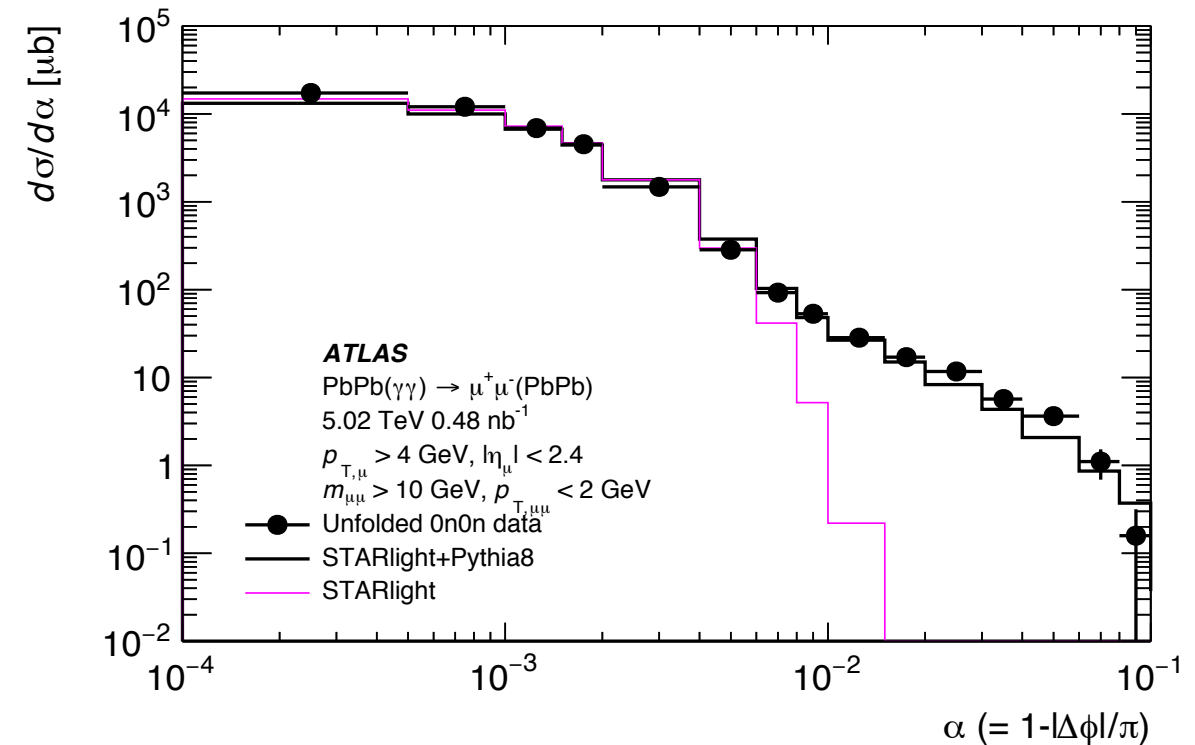
Dimuons - results

- The shape of the $|\cos \theta^*|$ ($= |\tanh(\Delta\eta_{\ell\ell})/2|$) is affected by the fiducial requirement of $|\eta_{\mu}| < 2.4$
- Thus, this distribution may be affected by the mismodelling observed at large $|y_{\mu\mu}|$
- Limiting the data with $|y_{\mu\mu}| < 0.8$ improves data to simulation agreement in $|\cos \theta^*|$



Dimuons - results

- Cross-section as a function of acoplanarity was measured in the 0n0n category, to limit the influence of dissociative background
- The acoplanarity peak is not perfectly described by the STARlight model
- Adding FSR in the modeling improves the description of the tail

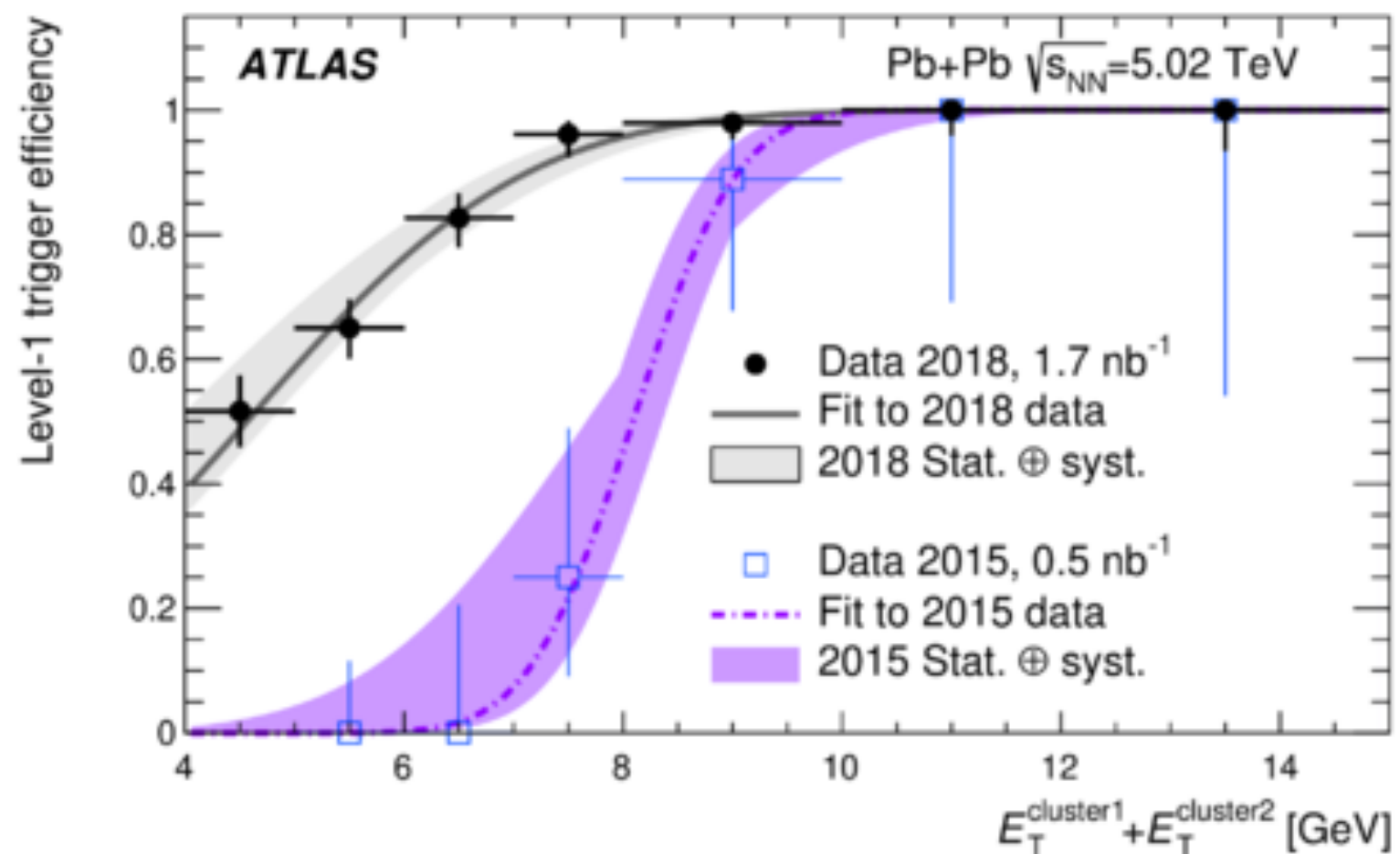


Dielectrons - efficiency corrections

- Trigger has been carefully optimised between 2015 and 2018 data taking campaigns
- Total trigger efficiency is used to reweigh the MC distribution:

$$\epsilon_T = \epsilon_{L1} \cdot \epsilon_{\text{PixVeto}} \cdot \epsilon_{\text{FCalVeto}}$$

- Pixel-veto efficiency is measured as a function of the dielectron rapidity and is just over 80% for $|y_{ee}| \sim 0$ and falls to about 50% for $|y_{ee}| > 2$

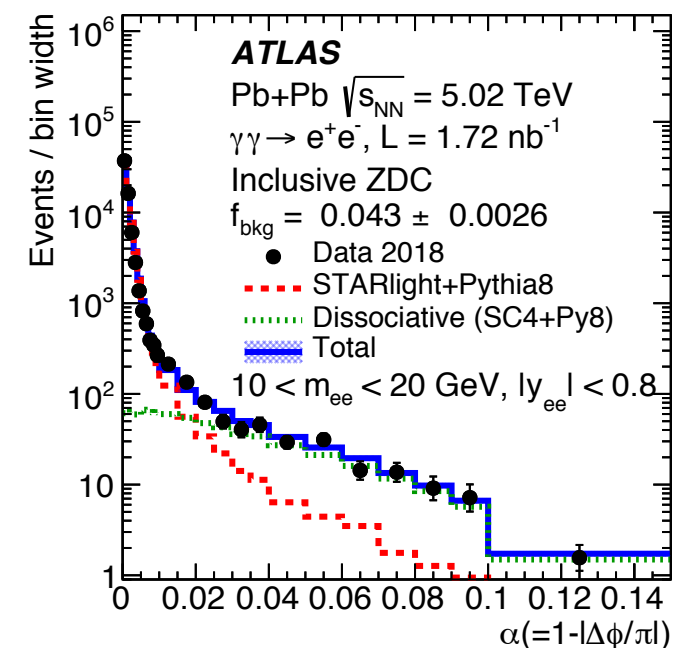
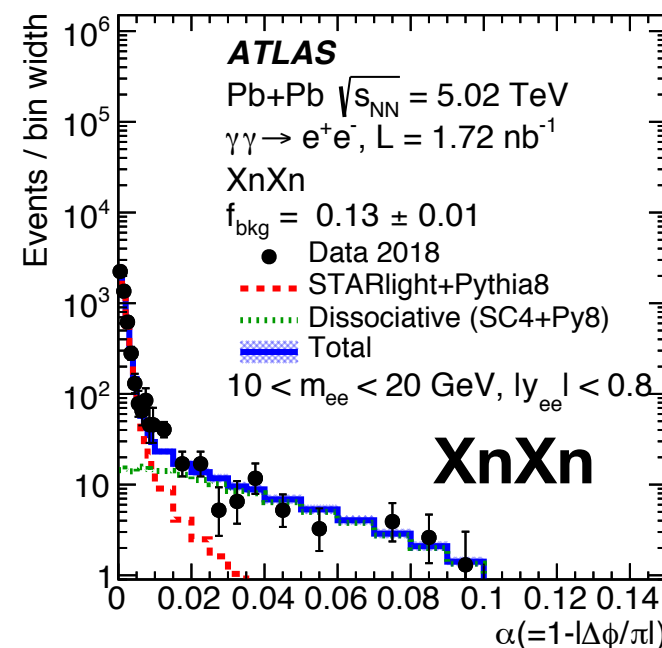
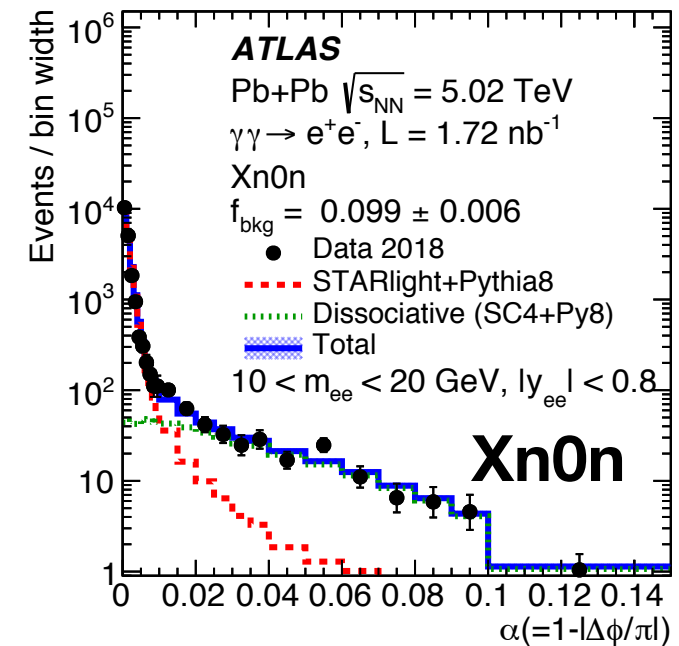
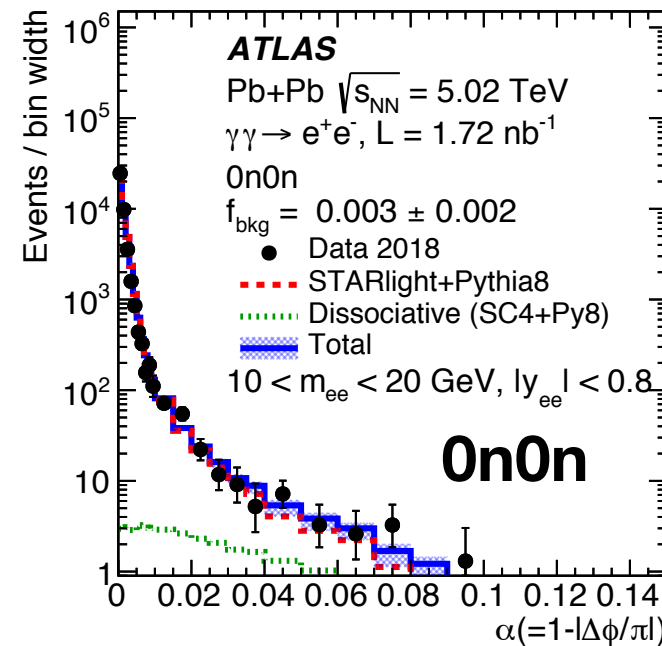


- Tag and probe method used to derive electron efficiency in data and MC simulation
- Electron reconstruction efficiency ranges from about 30% at $p_T = 2.5$ GeV to 95% above 15 GeV, PID efficiency flat in p_T , and vary weakly with η in range between 80 and 90%
- Ratio of the full reconstruction efficiency in data to that in simulation is defined as the SF

Dielectrons - background

$$P(\alpha, m_{ee}, y_{ee}) = (1 - f_{\text{dis}}) P_{\text{EPA}}(\alpha, m_{ee}, y_{ee}) + f_{\text{dis}} P_{\text{dis}}(\alpha, m_{ee}, y_{ee})$$

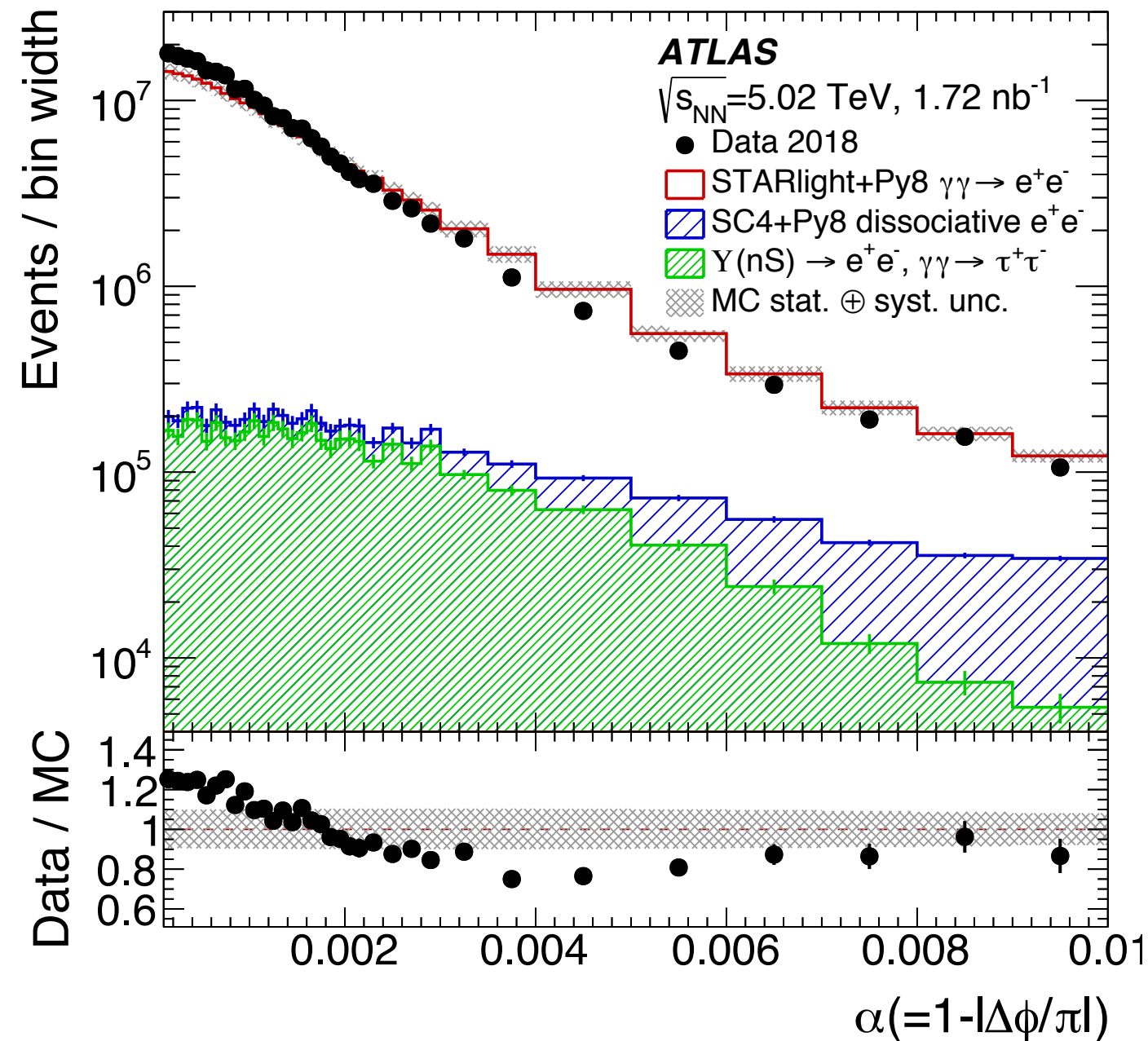
- The background samples for **single dissociation** from SuperChic4+Pythia8 are used instead of LPair
- The **fits** (binned fits using RooFit) are done in 4 bins in m_{ee} and 3 bins in $|y_{ee}|$, separately for 0n0n, Xn0n and XnXn classes, the inclusive result is their weighted sum
- **Ditau contribution**, at the level of 0.1%, is **included** in the fitted background fraction, due to similar shape of acoplanarity
- Background from **Upsilon(nS)** production estimated with using STARlight+Pythia8 **MC samples**, at the level of 2.4%
- The acoplanarity distribution for Upsilon(nS) is peaked at 0 and should not influence the background fit for dissociation



Inclusive ZDC

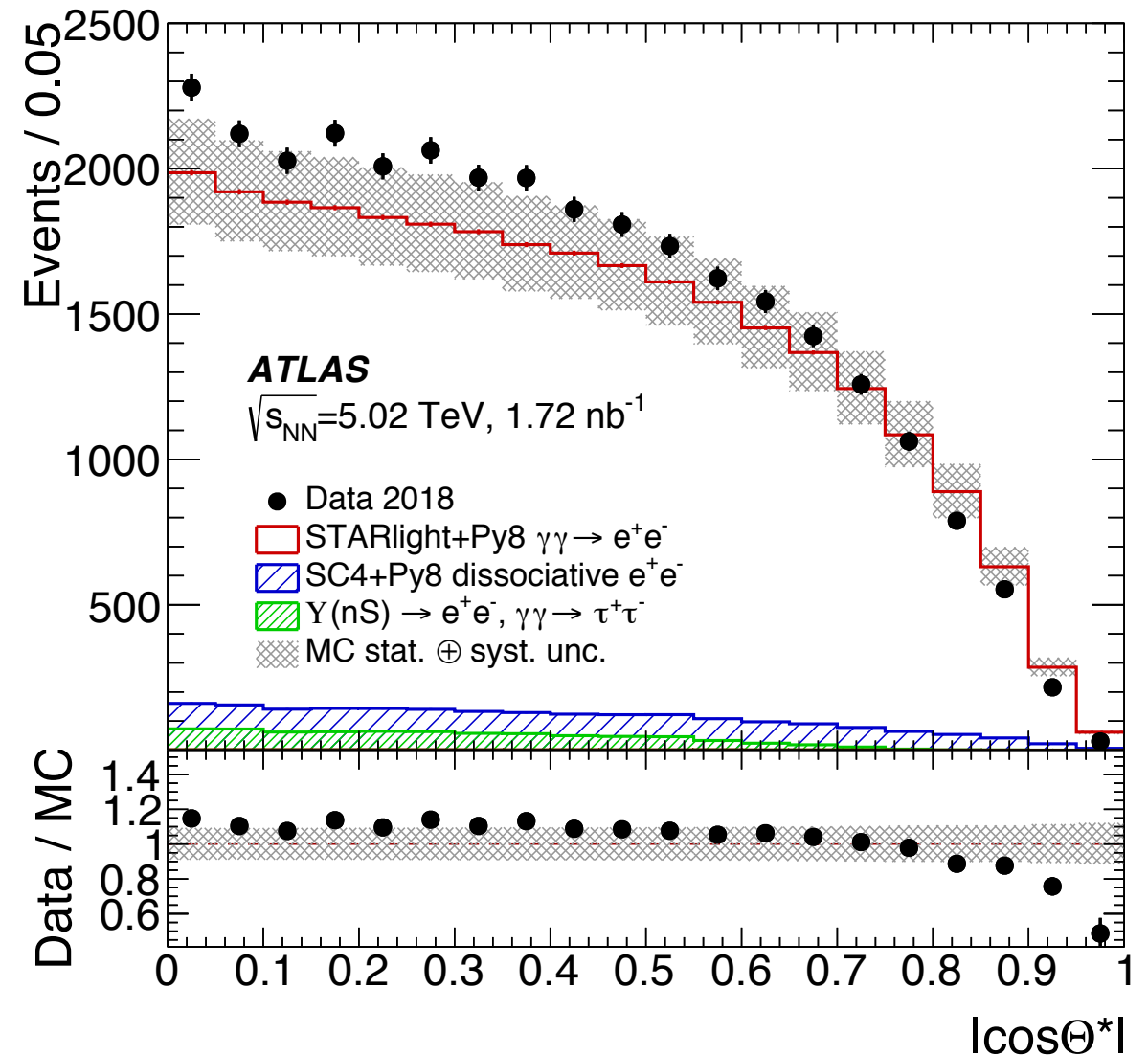
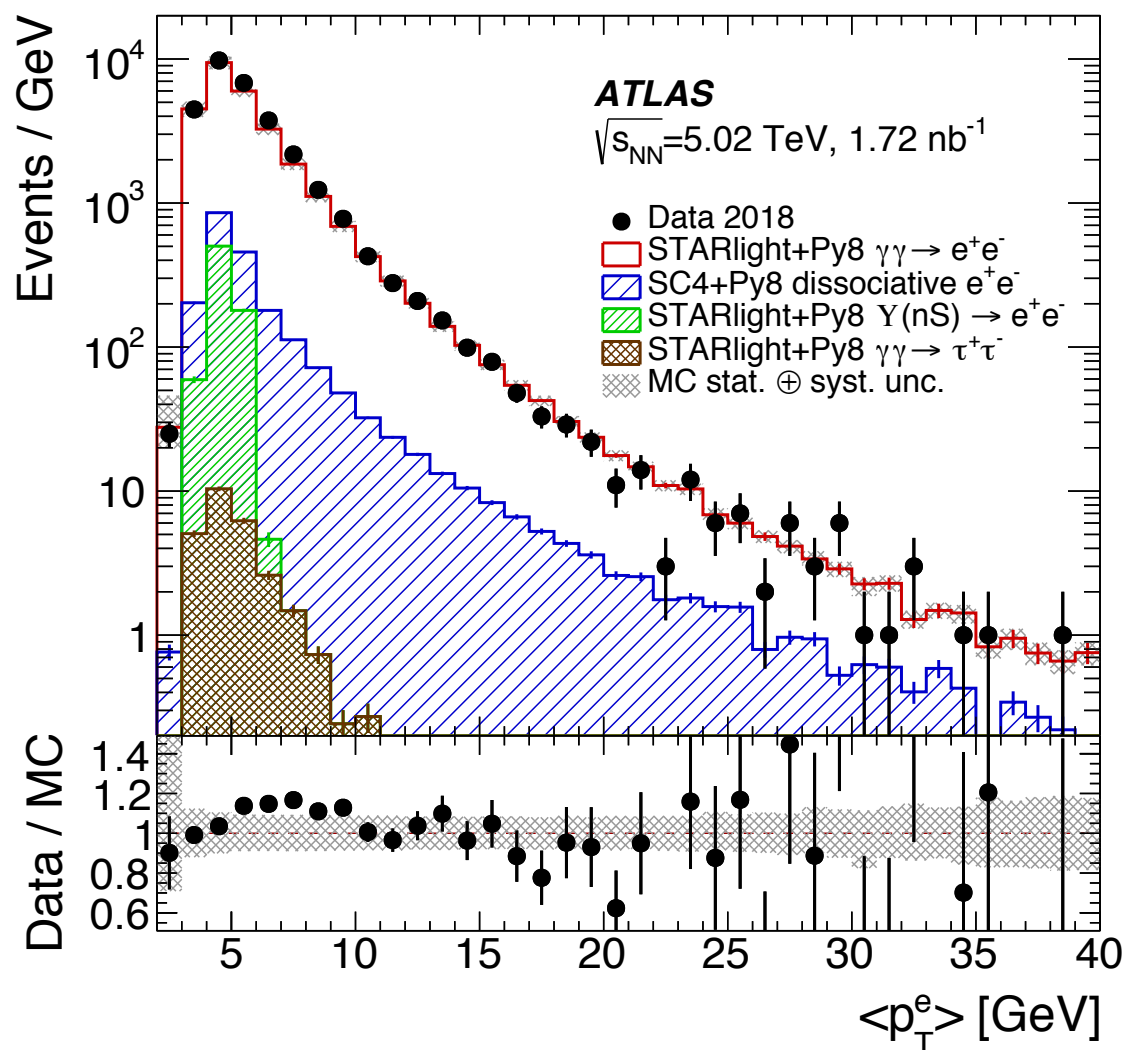
Background - epsilon

- The background from Upsilon(nS) decays to dielectrons is estimated using STARlight+Pythia8
- Upsilon 1S, 2S and 3S are considered
- The acoplanarity distribution for this background is peaked at 0 and should not influence the background fit for dissociation
- In total Upsilon background is at the level of 2.4% and is important only for small masses (but makes ~5.5% in mass range from 8 to 12 GeV)



Detector-level control plots

- The data sample is $\sim 93\%$ pure, with about 10% more counts in data than in the MC prediction
- The difference is higher for p_T in range 5-10 GeV, the data/MC ratio is almost flat in $|\cos \theta^*|$, but drops for higher $|\cos \theta^*|$
- The dissociative background is plotted using shape from the MC and using integrated background fraction

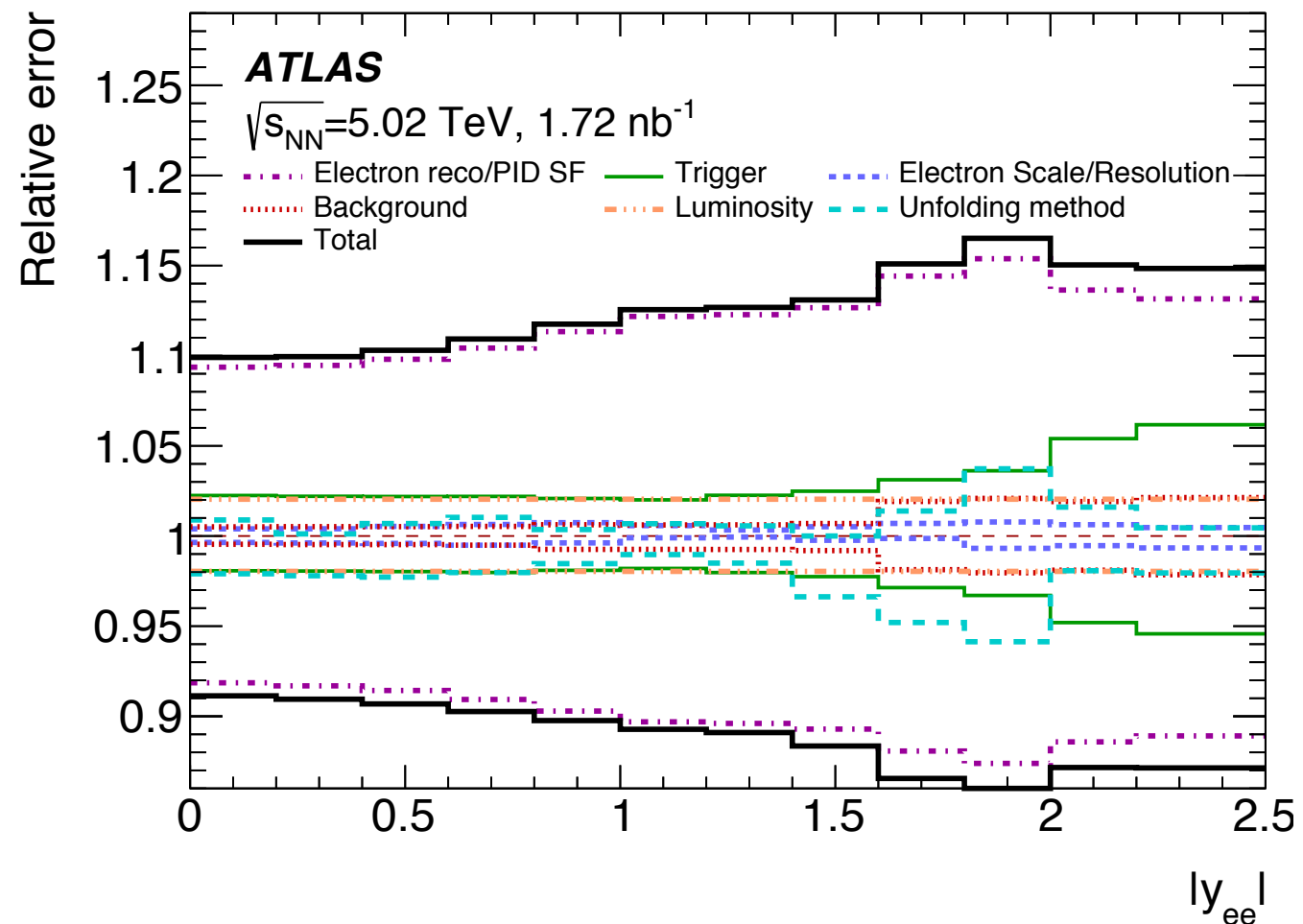
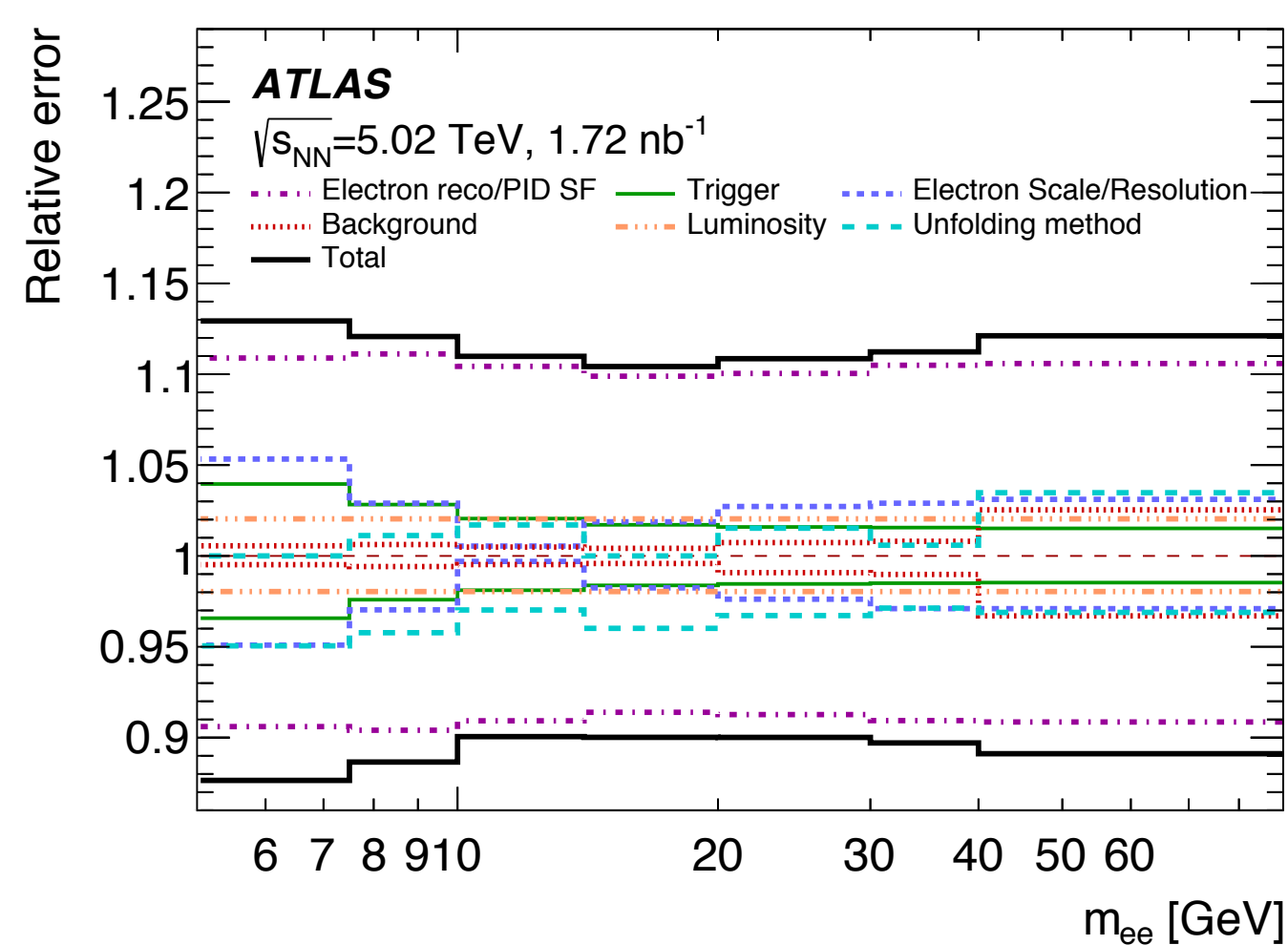


Systematics

- Systematics considered in the cross-section measurement:
 - Variations of electron reconstruction and identification efficiency (on average 9-10%) and trigger efficiency (on average 2-3%)
 - Variations of energy scale and resolution (on average 0.5%)
 - Up and down variations of background contribution (on average 0.5%)
 - Luminosity uncertainty (2.0%)
 - For differential measurement - uncertainties related to unfolding (mostly within the 2-3% range but exceeding this value in some bins, up to 5%)
 - MC non-closure (split sample test, also used to optimize number of iterations)
 - Data-driven non-closure
 - Two-dimensional effects on unfolding

Breakdown of systematics

- For small masses the dominant systematics come from electron reconstruction and identification efficiency (about 10%), other systematics mostly below 5%
- For $|y_{ee}|$ dominant systematics come from electron reconstruction and identification efficiency (from 9% up to 15% in some bins), other systematics mostly below 5%



Integrated fiducial cross-section

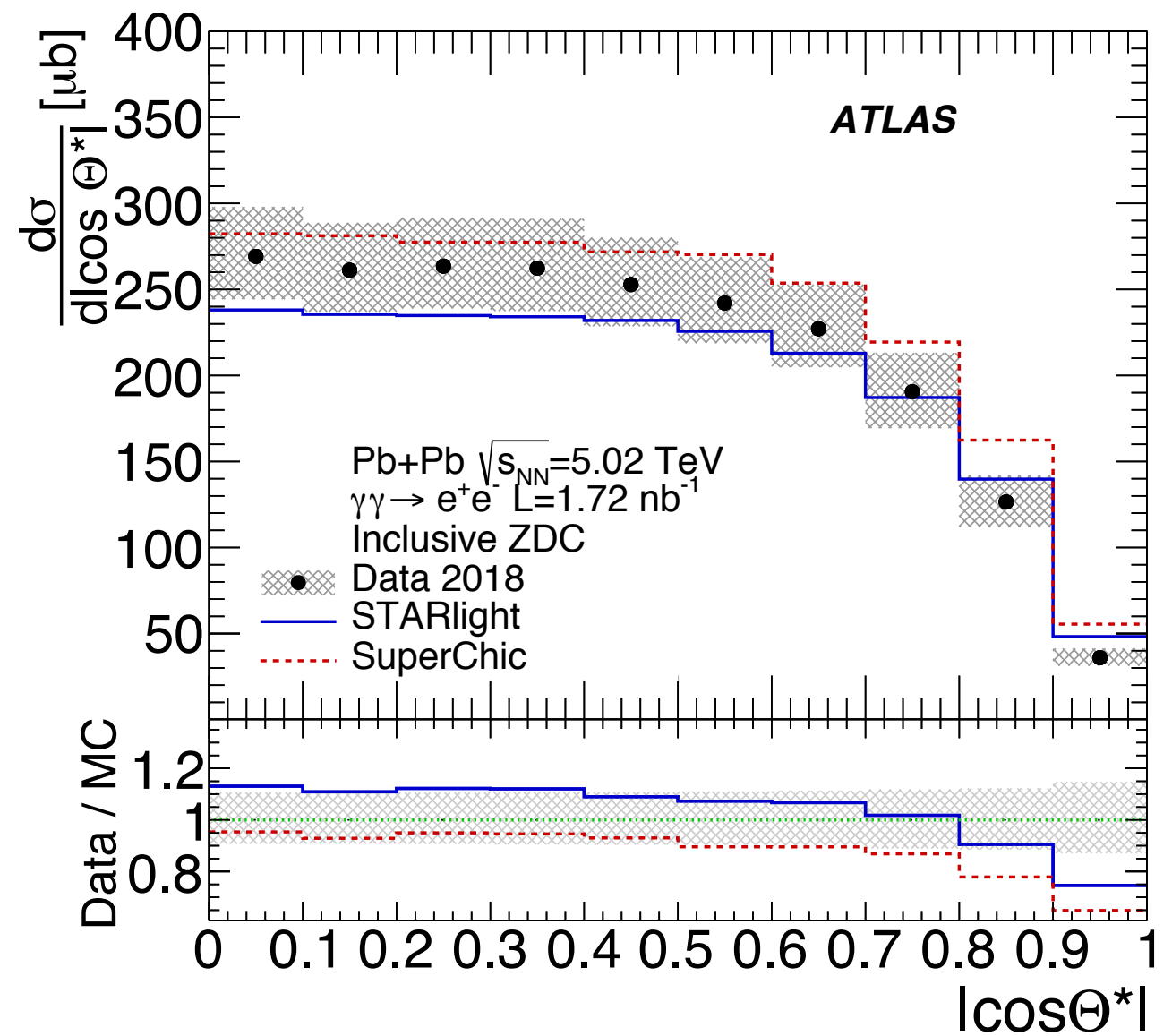
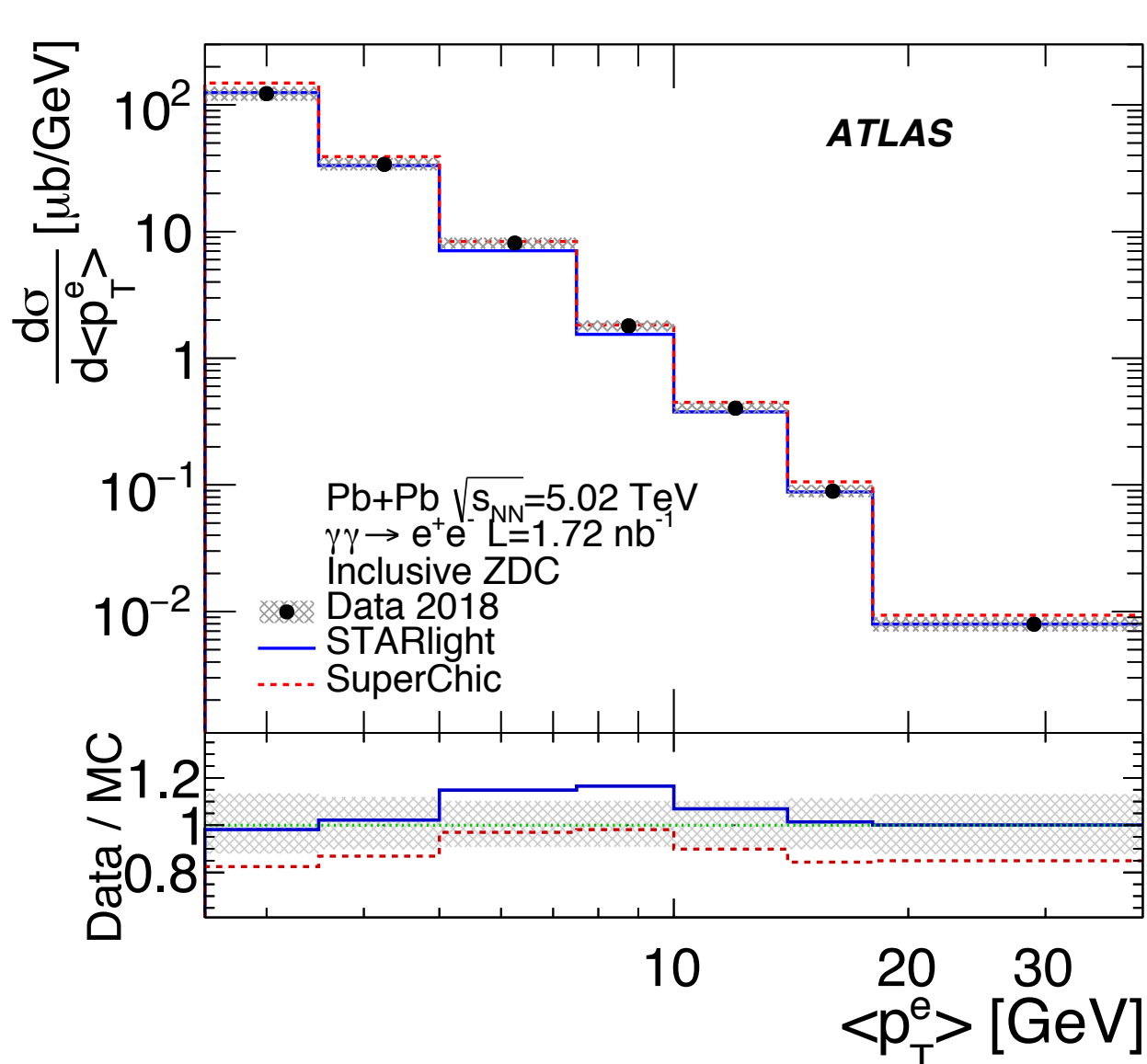
- The integrated fiducial cross-section is calculated as:
$$\sigma = \frac{N_{data} - N_{bkg}}{C \cdot A \cdot L}$$
- It is measured with respect to the truth particles at the Born level (before the FSR)
- The C factor is calculated as
$$C = \frac{N_{MC, reco}^{fid}}{N_{MC, truth}^{fid}}$$
- The A factor corrects for the exclusion of the crack region (and extrapolation from $|\eta_e| < 2.47$ to $|\eta_e| < 2.5$)
- The integrated cross-section is calculated in fiducial region determined by the event selection
- Besides mentioned reported below stat+syst uncertainties, there is 4 μb lumi uncertainty

$p_{T^e} >$	2.5 GeV
$ \eta_e <$	2.5
$m_{ee} >$	5 GeV
$p_{T^{ee}} <$	2 GeV

C	A	$\sigma (\pm(\text{stat+syst}) \text{ unc.}) [\mu\text{b}]$	STARlight		SuperChic	
			$\sigma_{MC} [\mu\text{b}]$	σ/σ_{MC}	$\sigma_{MC} [\mu\text{b}]$	σ/σ_{MC}
0.087	0.878	215.0 ⁺²³ ₋₂₀	196.9	1.09	235.1	0.91

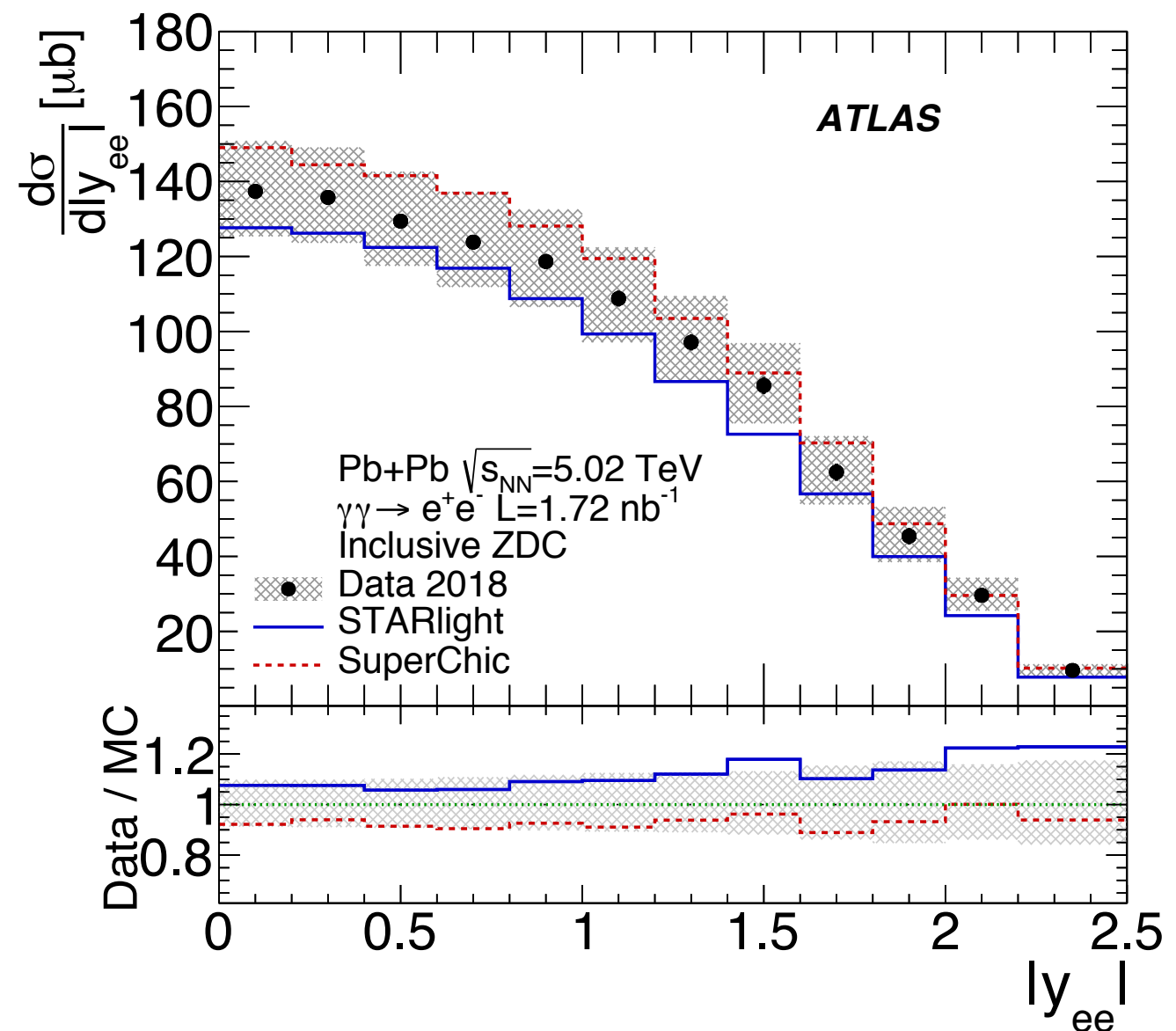
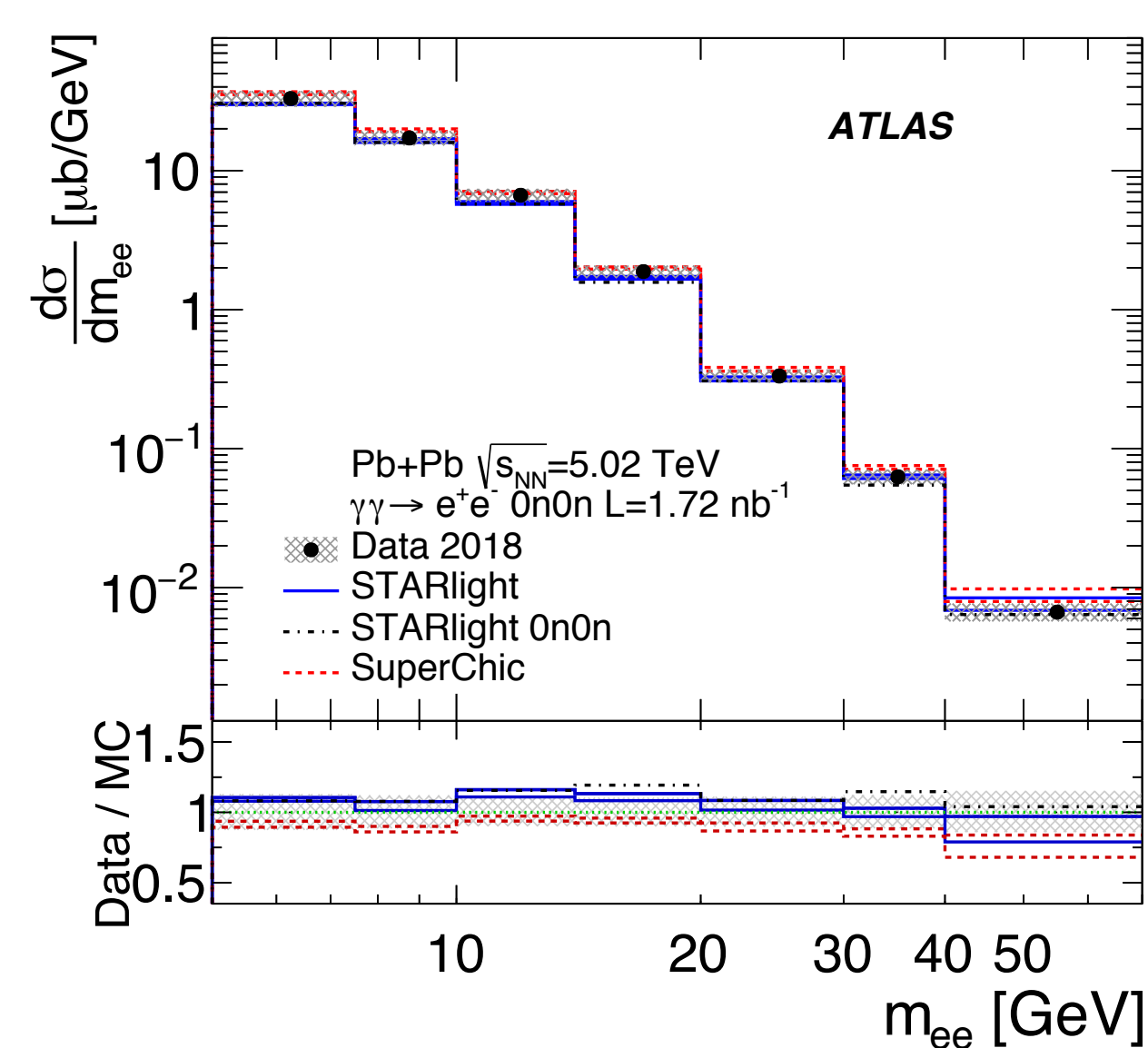
Dielectrons - results

- Good agreement with STARlight is observed, differences in the same regions as in detector-level plots
- Agreement with SuperChic is better than with STARlight in $|y_{ee}|$



Dielectrons - results 0n0n

- Two lines for predictions show the predicted cross-section with f_{0n0n} varied up na down



Dielectrons - results 0n0n

- Two lines for predictions show the predicted cross-section with f_{0n0n} varied up na down

