

Adaptive Experimentation to assist the detector design of the future EIC

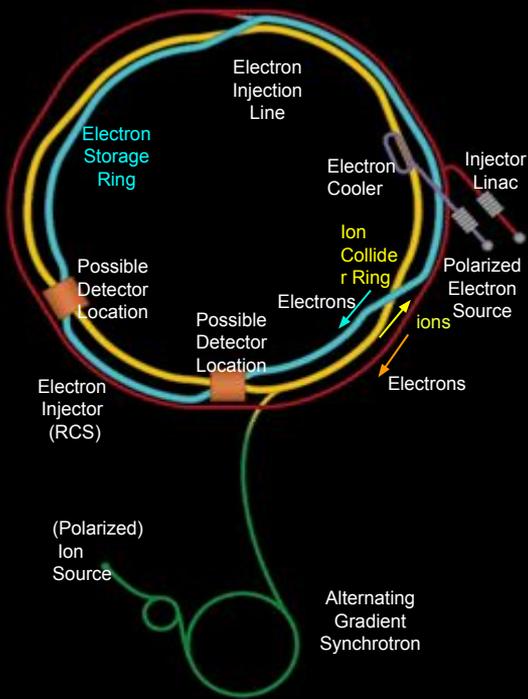
Cristiano Fanelli



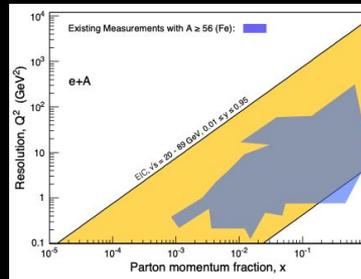
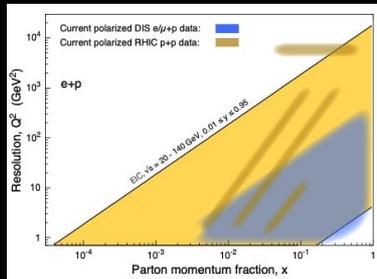
Electron Ion Collider (EIC)

A precision tool to study the glue that binds visible matter

polarized electron - polarized protons/ions



uncovered $x-Q^2$ range

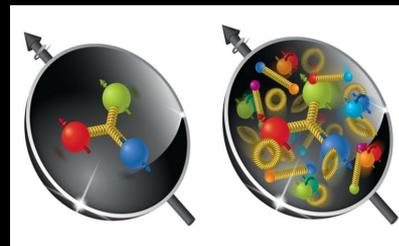


address 3 profound questions:

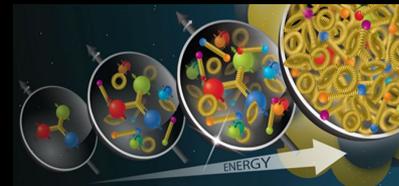
How does the **mass of the nucleon** arise?



How does the **spin of the nucleon** arise?



What are the **emergent properties of dense systems of gluons**?

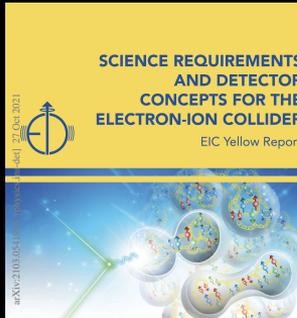


constructed over ten years
estimated cost
between \$1.6 and \$2.6 billion

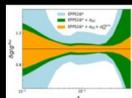
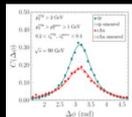
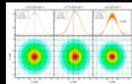
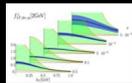
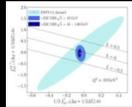
<https://www.bnl.gov/newsroom/news.php?a=219454>

EIC Yellow Report (2021)

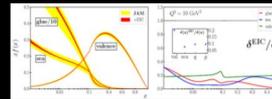
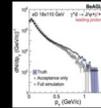
arXiv:2103.05419, Nuclear Physics A 1026, 122447



- Origin of Nucleon Spin
- Confined motion of partons
- 3D imaging quarks and gluons
- Nucleon mass
- High gluon densities in nuclei
- Quarks and gluons in the nucleus



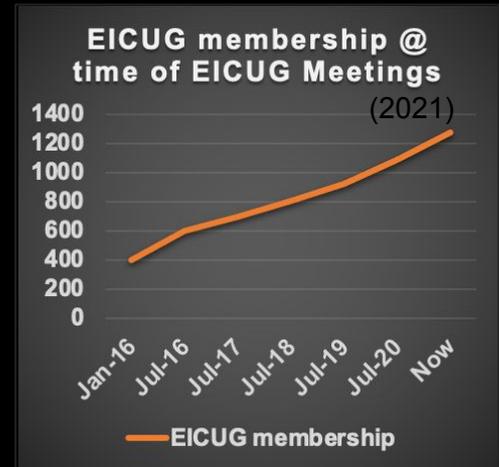
- Light-ion tagging
- Pion/Kaon structure
- Diffractive jets?
- Nuclear modifications and in-medium evolution
 - D/D* reconstruction and heavy-flavor in jets



Khalek, R. Abdul, et al. "Science requirements and detector concepts for the electron-ion collider: EIC yellow report." [arXiv:2103.05419](https://arxiv.org/abs/2103.05419), 2021

Slide taken from J. Lajoie, [The EIC Experiment Workshop VIII Streaming Readout](#), 2021

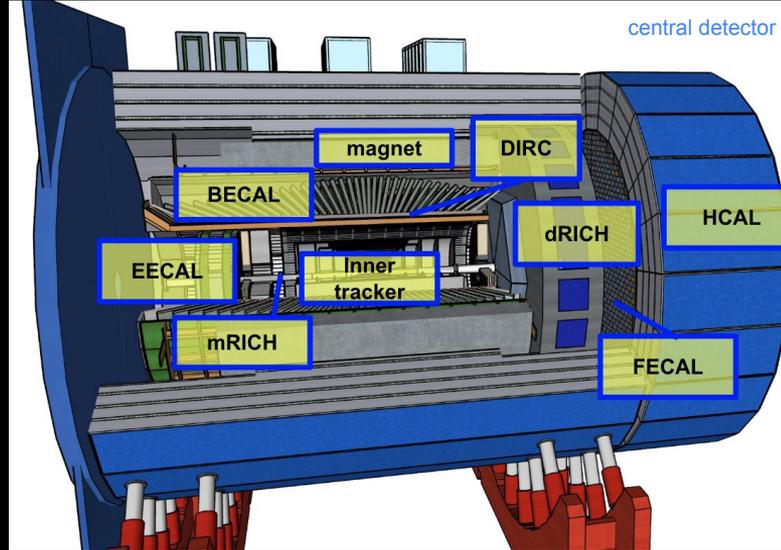
World-wide interest



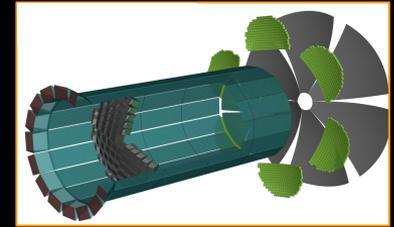
The Reference Detector

EIC: large-scale experiment with an integrated detector that extends for $\sim \pm 35$ m to include the central, far-forward, and far-backward regions.

To enable the EIC physics we need a central detector that is:
hermetic and asymmetric



*Particle Identification (PID) Cherenkov detectors



- dual radiator ring-imaging Cherenkov detector (RICH) in the hadron direction
- DIRC (detection of internally reflected Cherenkov light) in the barrel
- modular RICH in the electron direction.

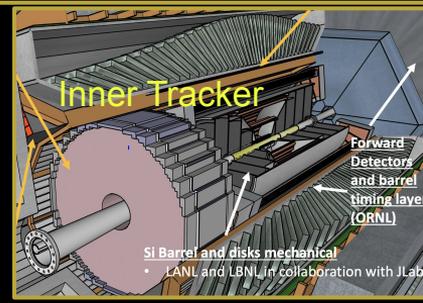
Simulating these detectors is typically compute expensive, involving many photons that need to be tracked through complex surfaces.

All three rely on pattern recognition of ring images in reconstruction, and the DIRC is the one having the more complex ring patterns!

*Tracker

Combines:

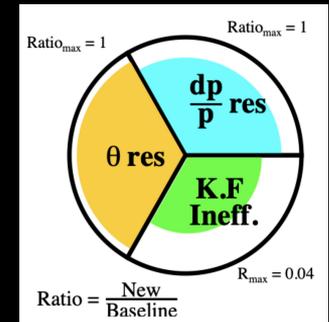
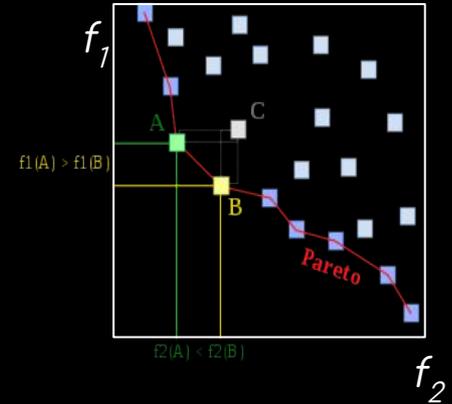
- ITS-3 Si technology
- Gaseous detectors
- AC-LGAD ToFs



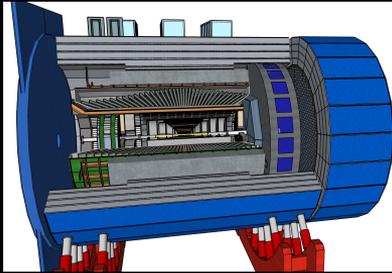
*Highlighting parts that will be discussed in this talk suitable for optimization

“Complexity” of large-scale detector design

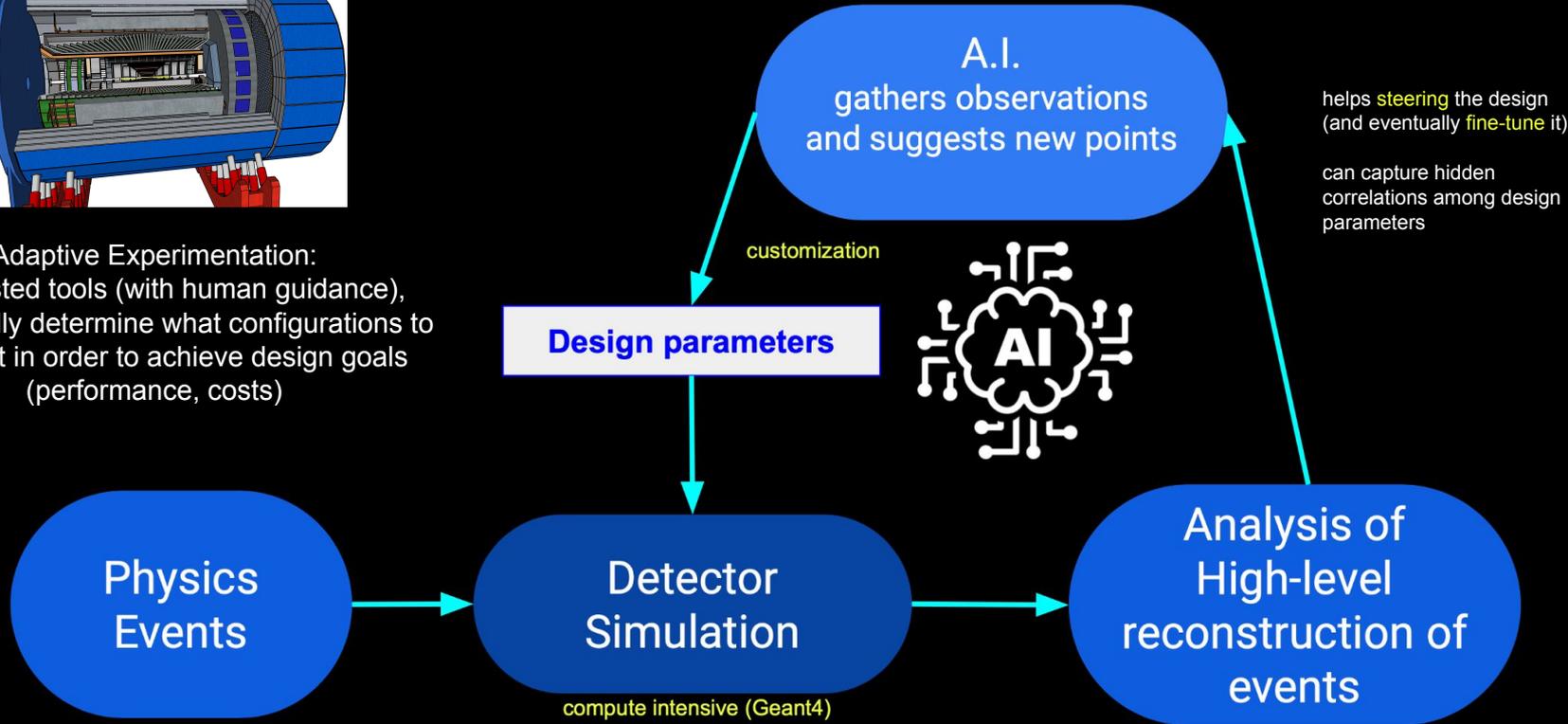
- Need to use advanced simulations which are **computationally expensive** (Geant).
- For years the full detector design studied after the subsystem prototypes are ready (taking into account the phase **constraints** from the full detector or outer layers).
 - It is of course desirable to optimize instead all the sub-detectors simultaneously taking into account the constraints in a dynamic way
- Modern complex design are characterized by **many parameters** (and **multiple objective functions**) → curse of dimensionality.
 - Objectives can be competing to each other and they can give rise to trade-off solutions, known as **Pareto-front**.
 - E.g., in the EIC Tracker Design we considered so far: **momentum**, **angular**, pointing resolution, **Kalman filter** efficiency, in addition to mechanical constraints
- AI-assisted strategies can help designing more efficiently and reduce computing resources...



Detector Design Workflow



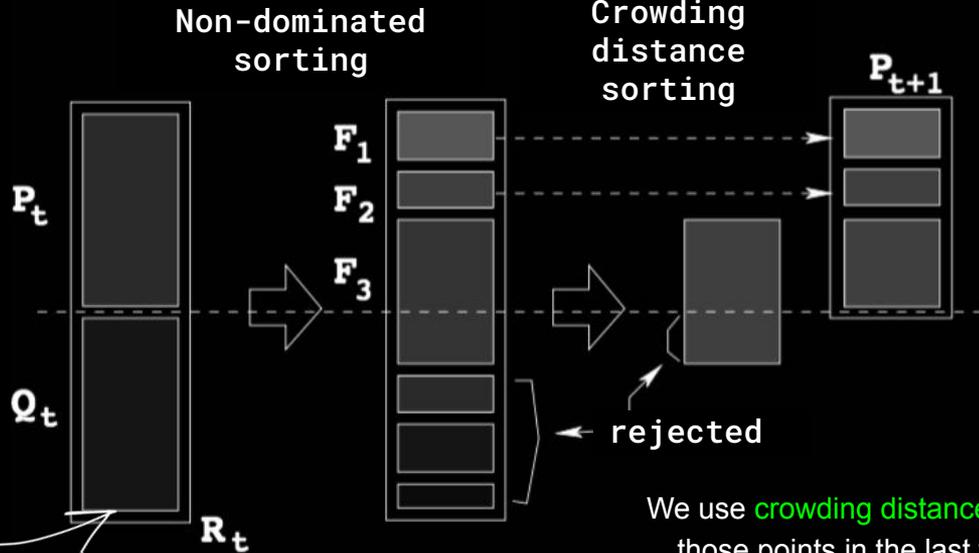
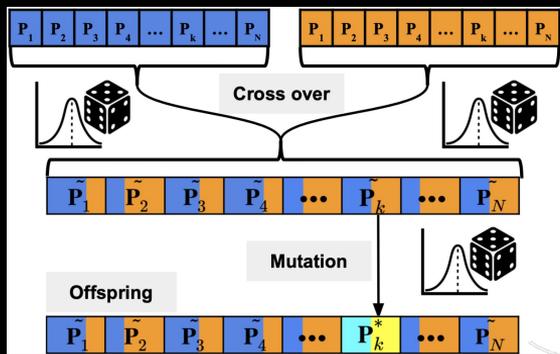
Adaptive Experimentation:
AI-assisted tools (with human guidance),
sequentially determine what configurations to
test next in order to achieve design goals
(performance, costs)



Forward simulations needed to simulate quantum phenomena
(interaction of particles with matter)

NSGA-II (ECCE proposal, 2021)

Use **Elitist principle**
Explicit **diversity** preserving mechanism
Emphasis in **non-dominated** solutions



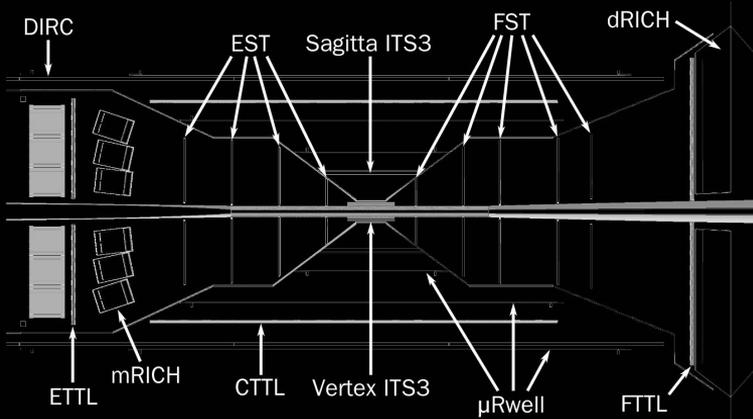
We use **crowding distance** to keep those points in the last front that contribute to the highest diversity.

The rationale behind this choice instead of, e.g., principled approaches such as Bayesian Optimization, emanated from the ECCE needs at the time of the detector proposal, such as the capability to quickly implement and run multiple parallel optimization pipelines implementing different technology choices and the possibility of dealing with non-differentiable objectives at the exploratory stage.

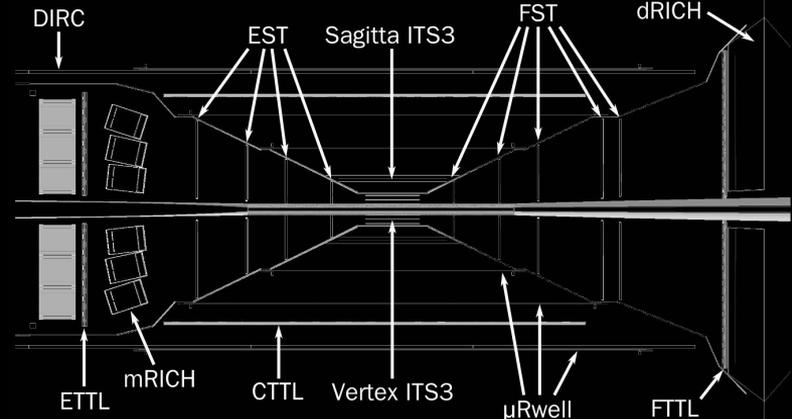
ECCE Tracker: Reference VS Projective

Parametrization underlies the AI-assisted design and can explore non-projective as well as projective

arXiv:2205.09185



non-projective



projective
(ongoing R&D)

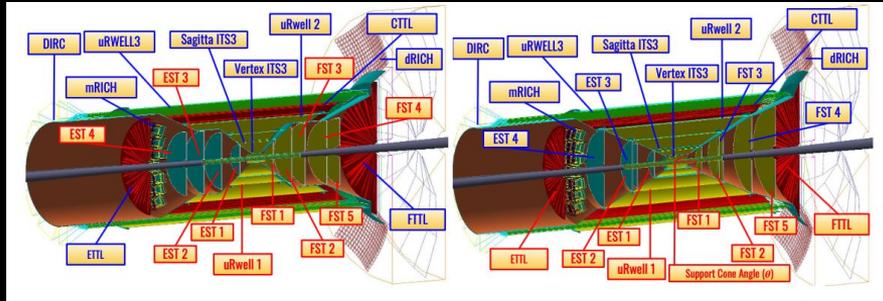
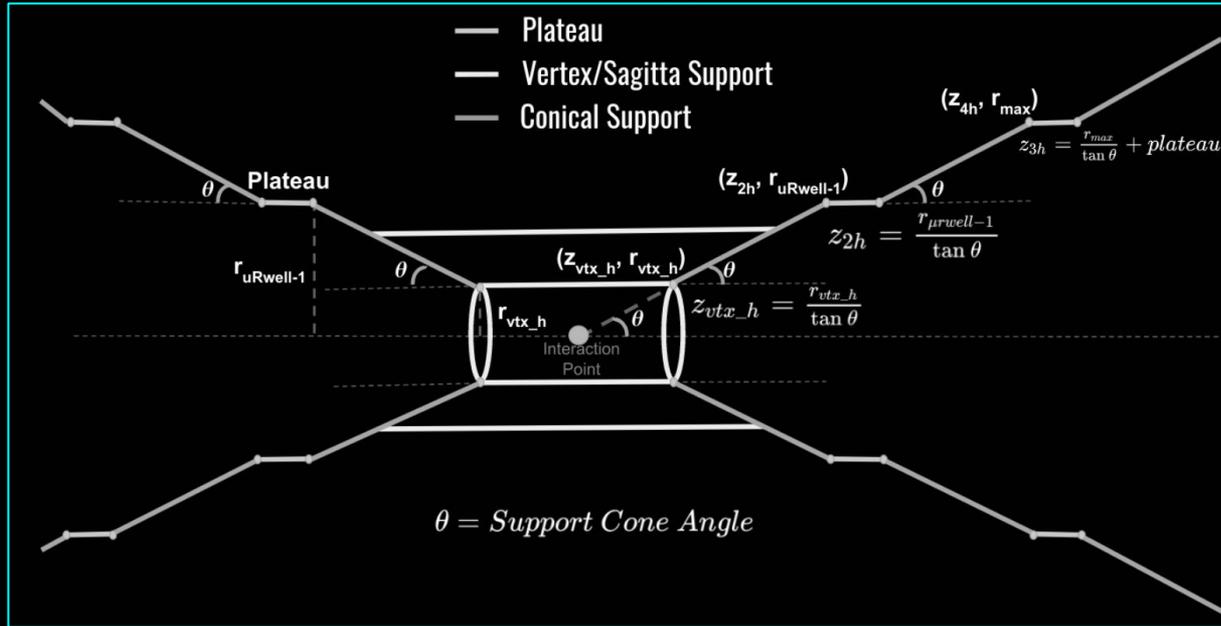


Figure 5: Tracking and PID system in the non-projective (left) and the ongoing R&D projective (right) designs: the two figures show the different geometry and parametrization of the ECCE non-projective design (left) and of the ongoing R&D projective design to optimize the support structure (right). Labels in red indicate the sub-detector systems that were optimized, while the labels in blue are the sub-detector systems that were kept fixed due to geometrical constraint. The non-projective geometry (left) is a result of an optimization on the inner tracker layers (labeled in red) while keeping the support structure fixed, The angle made by the support structure to the IP is fixed at about 36.5° . The projective geometry (right) is the result of an ongoing project R&D to reduce the impact of readout and services on tracking resolution.

ECCE Tracker: Parametrization

arXiv:2205.09185

Parametrization of the support structure



Parametrization of Disks, tracking layers, TTL

Geometric Constraints

Disks: r_{max} and r_{min} are calculated based on the support structure.

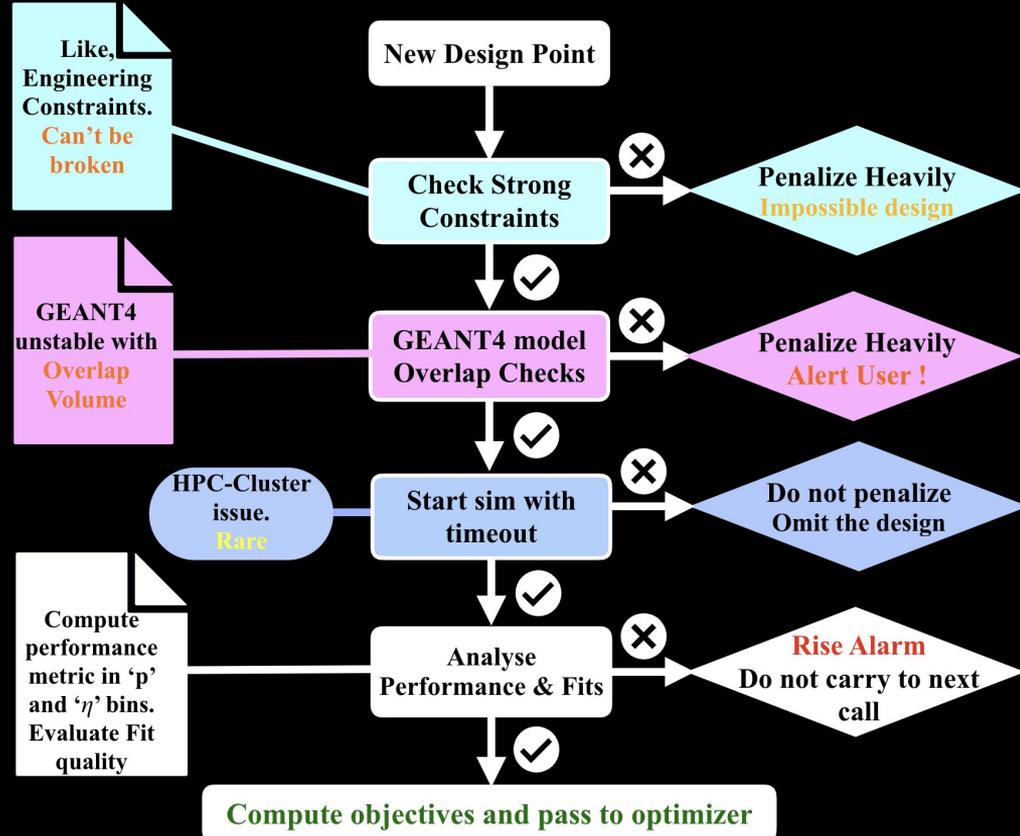
Sagitta: length fixed and radius changed based on the cone angle.

Parametrization underlies the AI-assisted design and can explore non-projective as well as projective

“Soft” / “Strong” Constraints and Checks

$$\begin{aligned} \min \mathbf{f}_m(\mathbf{x}) \quad & m = 1, \dots, M \\ \text{s.t. } \mathbf{g}_j(\mathbf{x}) \leq 0, \quad & j = 1, \dots, J \\ \mathbf{h}_k(\mathbf{x}) = 0, \quad & k = 1, \dots, K \\ x_i^L \leq x_i \leq x_i^U, \quad & i = 1, \dots, N \end{aligned}$$

sub-detector	constraint	description
EST/FST disks	$\min \left\{ \sum_i^{\text{disks}} \left \frac{R_{out}^i - R_{in}^i}{d} - \left[\frac{R_{out}^i - R_{in}^i}{d} \right] \right \right\}$	soft constraint: sum of residuals in sensor coverage for disks; sensor dimensions: $d = 17.8$ (30.0) mm
EST/FST disks	$z_{n+1} - z_n \geq 10.0$ cm	strong constraint: minimum distance between 2 consecutive disks
sagitta layers	$\min \left\{ \left \frac{2\pi r_{sagitta}}{w} - \left[\frac{2\pi r_{sagitta}}{w} \right] \right \right\}$	soft constraint: residual in sensor coverage for every layer; sensor strip width: $w = 17.8$ mm
μ RWELL	$r_{n+1} - r_n \geq 5.0$ cm	strong constraint: minimum distance between μ Rwell barrel layers



Like, Engineering Constraints. Can't be broken

GEANT4 unstable with Overlap Volume

HPC-Cluster issue. Rare

Compute performance metric in 'p' and 'η' bins. Evaluate Fit quality

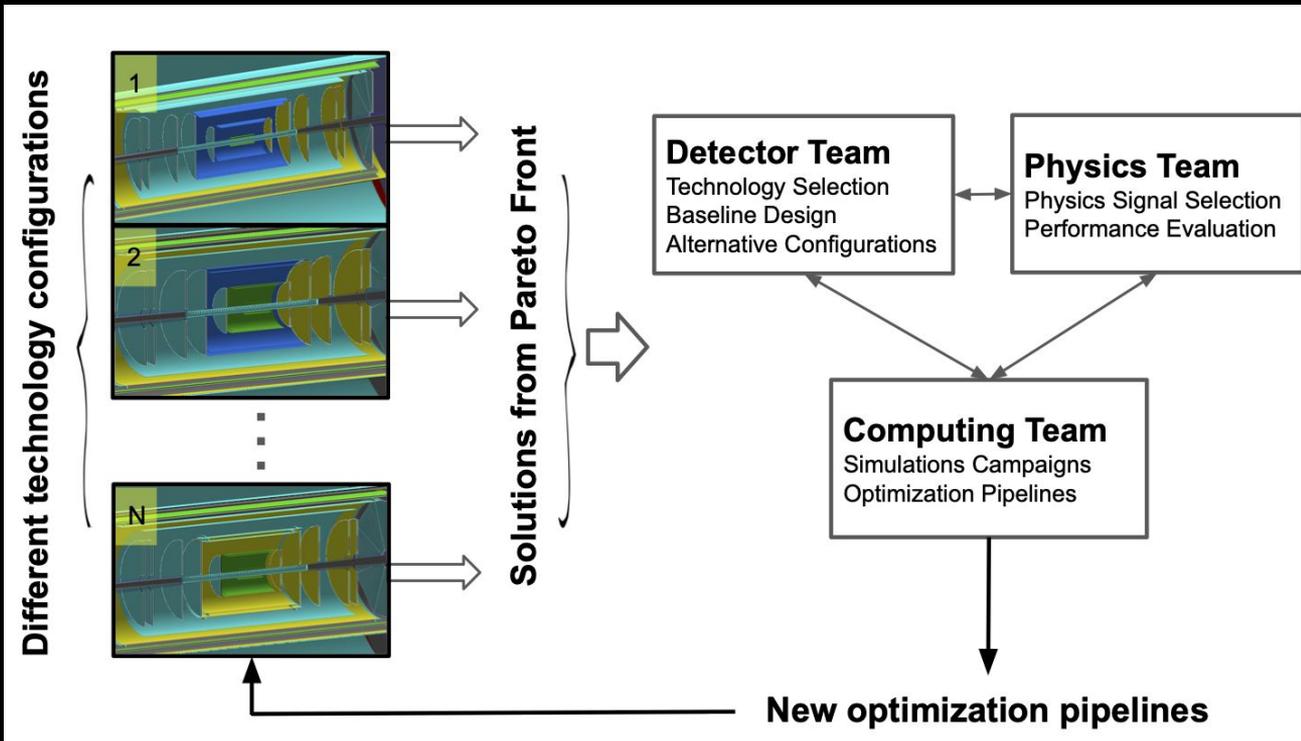
Compute objectives and pass to optimizer

Integration during the EIC Detector Proposal

AI-“Optimization” does not necessarily mean “fine-tuning”

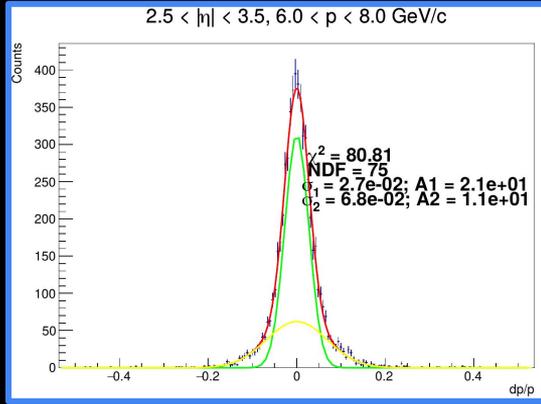
- We want to use these algorithms to: (1) **steer the design** and suggest parameters that a “manual”/brute-force optimization will likely miss to identify; (2) **further optimize** some particular detector technology (see [d-RICH paper](#), e.g., optics properties)
- AI allows to capture **hidden correlations** among the design parameters.
- All “steps” (physics, detector) involved in the AI optimization, **strong interplay between working groups**

Light/smart optimization pipelines ran during the “explorative” phase of the detector proposal



Implementation

- Objectives evaluated in fine-grained phase-space
- Propagate uncertainties from fits



Weighted sum with errors



Weighted sum with errors

$$\bar{x}_\eta = \frac{\sum_p x_p w_p}{\sum_p w_p} \quad \bar{x} = \frac{\sum_\eta N_\eta \bar{x}_\eta}{N_\eta}$$

(sum in bins of 14 bins of P) (Average objective in a η bin)

⇒ $R(f) = \frac{1}{N_\eta} \sum_\eta \left(\frac{\sum_p w_{p,\eta} \cdot R(f)_{p,\eta}}{\sum_p w_{p,\eta}} \right)$

Workflow during proposal and beyond



SINGULARITYCE

AI-optimization

pymoo



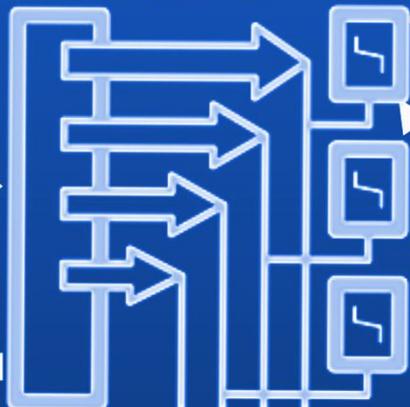
AI Suggested
Design points



Evaluation of the
Design points

Sort solutions
Approximate Pareto front
Suggest next set of design points

Parallelization



GEANT4-based simulations

new EPIC
software-stack



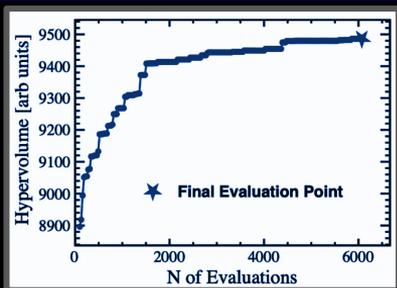
Fit objectives in η & p bins



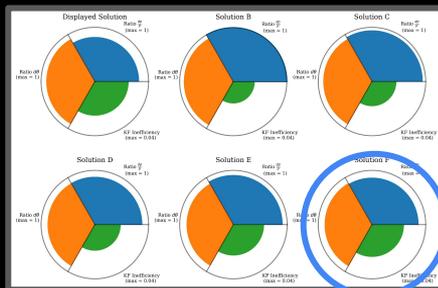
Compute Objectives and metrics

“Navigate” Pareto Front

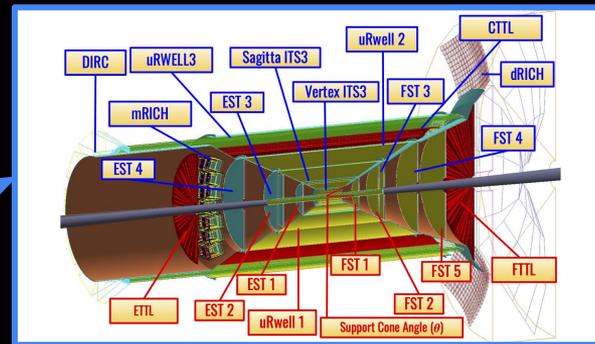
1 Can take a snapshot any time during evaluation



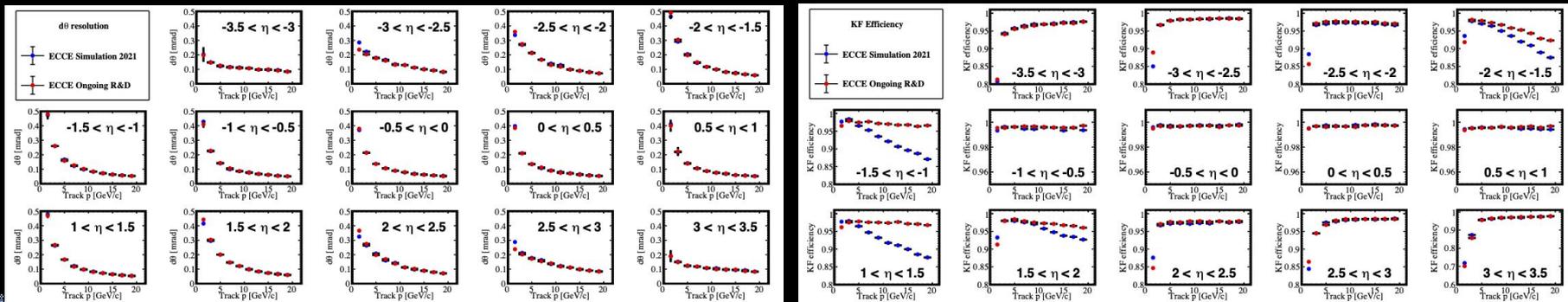
2 Updated Pareto Front at time t



3 At each point in the Pareto front corresponds a design



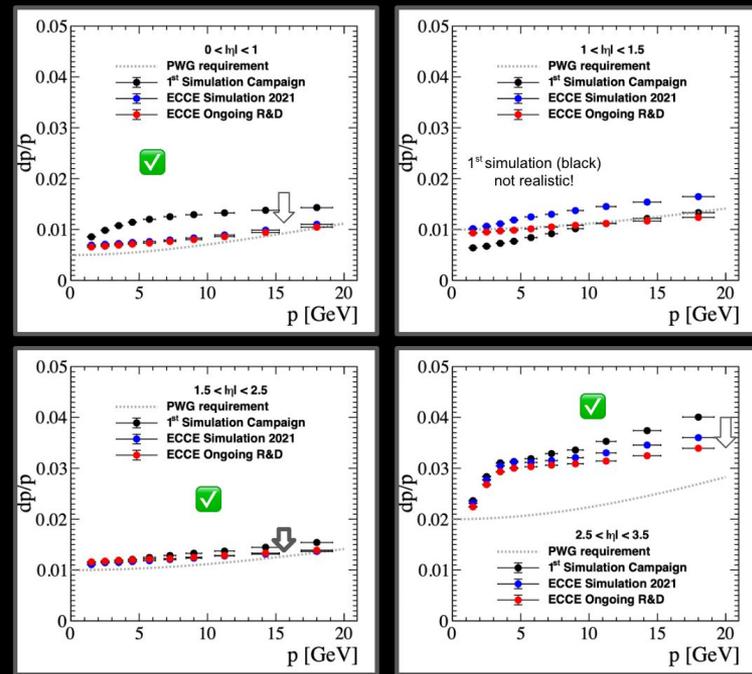
4 Analysis of Objectives (momentum resolution, angular resolution, KF efficiency)



Evolution and Validation

Evolution

- Black points: first simulation campaign, a preliminary detector concept in phase-I optimization with no developed support structure;
- Blue: fully developed simulations for final ECCE detector proposal; Red: the ongoing R&D for the optimization of the support structure.
- There is an improvement in performance in all η bins with the exception of the transition region, an artifact of the fact that black do not include a realistic simulation of the material budget in the transition region!
- In the transition region, it can be also appreciated the improvement provided by the projective design

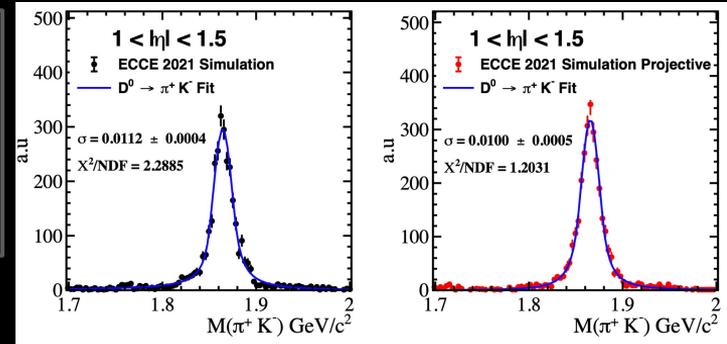


Validation

Observables not directly used in the optimization as objectives

Performance evaluated after optimization process (both designs) using standard analysis procedures

Notice red points are related to an ongoing project R&D with a projective support structure for the ECCE tracker.



E.g., D^0 invariant mass from semi-inclusive deep inelastic scattering

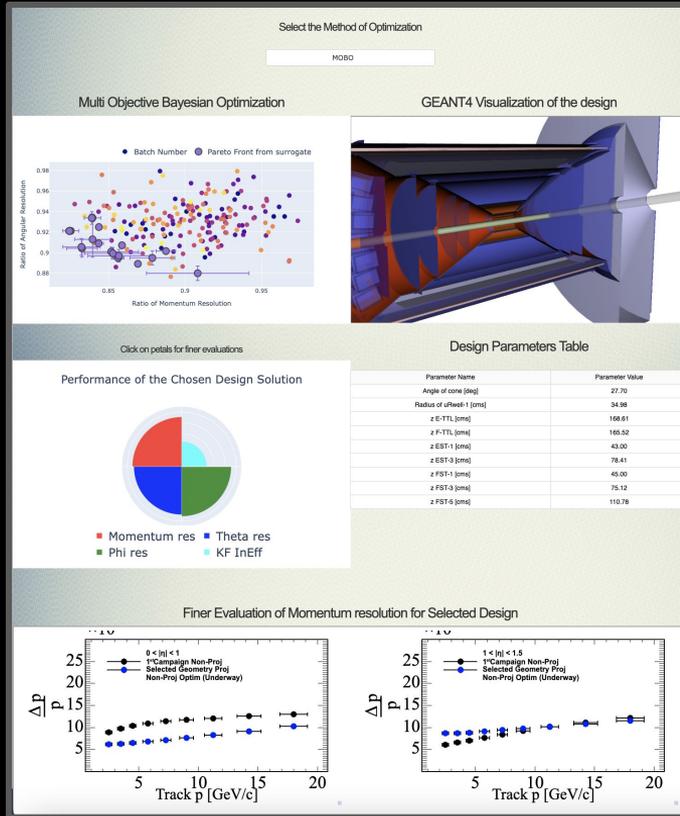
Multi-Objective Bayesian Optimization

- After the proposal stage, we started using a combination of Ax and BoTorch for the EPIC detector:
 - Ax is an accessible, general-purpose platform for understanding, managing, deploying, and automating adaptive experiments
 - BoTorch, built on PyTorch, is a flexible, modern library that advances the SOTA in Bayesian optimization. It leverages features of PyTorch, including auto-differentiation, massive parallelism, and DL.
- These methods enable to solve efficiently larger exploration problems without the need for large quantities of data:
 - Leverage probabilistic approach to model the relationship between limited and potentially noisy observed data and apply principled exploration strategies that are able to meaningfully quantify the costs and benefits of exploring new regions of the problem space
- They have been deployed at scale at Facebook: when used in tandem, Ax and BoTorch significantly accelerate the process of going from research to production



Interactive Navigation of Pareto front

<https://ai4eicdetopt.pythonanywhere.com>

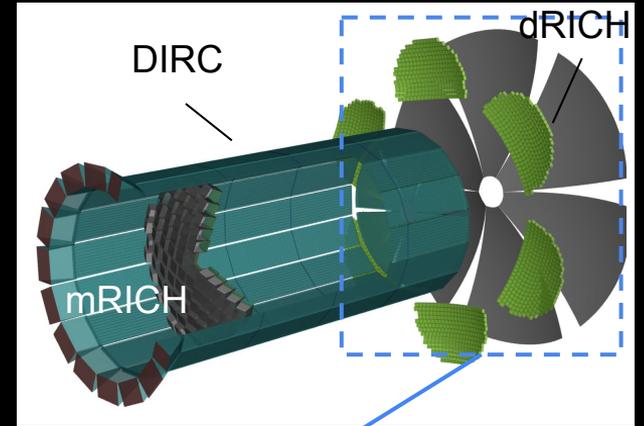


- Use cutting-edge data science tools for visualization of results from approximated Pareto front
- Exploration in a multiple objective space
- Facilitate study/comparison of trade-off solutions
- Here MOBO is implemented using BoTorch/Ax (benefit from strong community support — Meta AI)

Credits: K. Suresh (U. of Regina)

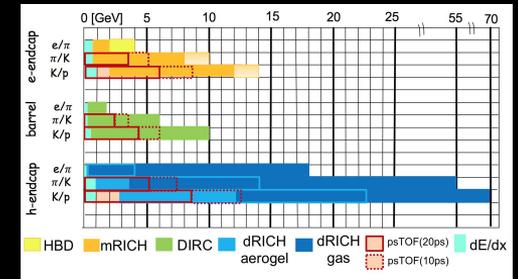
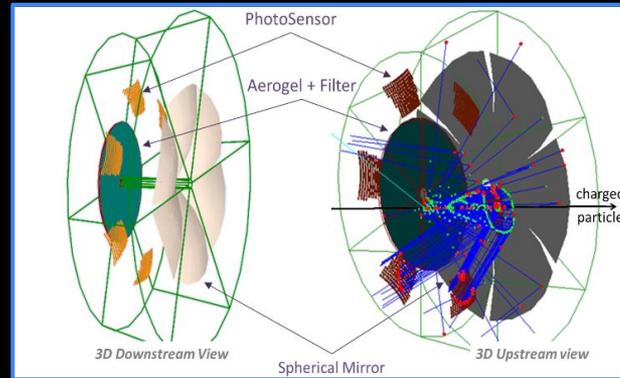
Extension to larger system of sub-detectors

- Cherenkov detectors are essential part of the PID system of EPIC
 - Simulating these detectors is typically compute expensive, involving many photons that need to be tracked through complex surfaces.
 - All of them rely on pattern recognition of ring images in reconstruction, and the DIRC is the one having the more complex ring patterns!
- Extension of design optimization to tracker + PID system
 - Potential to optimize parameters of the dRICH design in the hadronic endcap



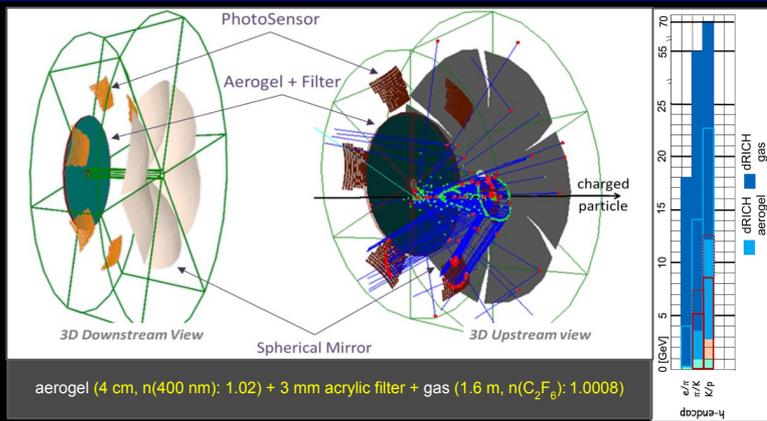
- E.g., dRICH design

- Large momentum coverage
- Two radiators: aerogel and gas
- Legacy design from INFN
 - 6 Identical open sectors
 - Large focusing mirror



Leveraging on work done before the proposal...

- Two radiators with different refractive indices for continuous momentum coverage.
- Simulation of detector and processes is compute-intensive
- Legacy design from INFN ([EICUG2017](#)).



1

Define design parametrization and space: optics + geometry

parameter	description	range [units]	tolerance [units]
R	mirror radius	[290,300] [cm]	100 [μm]
pos r	radial position of mirror center	[125,140] [cm]	100 [μm]
pos l	longitudinal position of mirror center	[-305,-295] [cm]	100 [μm]
tiles x	shift along x of tiles center	[-5,5] [cm]	100 [μm]
tiles y	shift along y of tiles center	[-5,5] [cm]	100 [μm]
tiles z	shift along z of tiles center	[-105,-95] [cm]	100 [μm]
n_{aerogel}	aerogel refractive index	[1.015,1.030]	0.2%
t_{aerogel}	aerogel thickness	[3.0,6.0] [cm]	1 [mm]

2

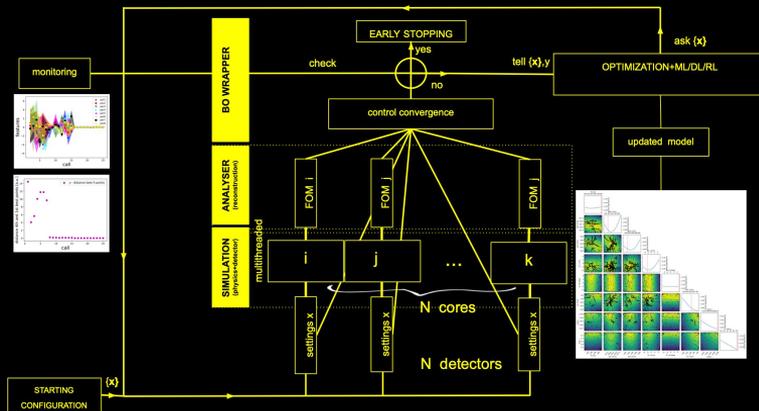
Come up with a smart objective; study / characterize properties (noise, stats needed etc): simulation + reconstruction

$$N\sigma = \frac{|\langle\theta_K\rangle - \langle\theta_\pi\rangle| \sqrt{N_\gamma}}{\sigma_\theta^{1p.e.}}$$

$$h = 2 \cdot \left[\frac{1}{(N\sigma)_1} + \frac{1}{(N\sigma)_2} \right]^{-1}$$

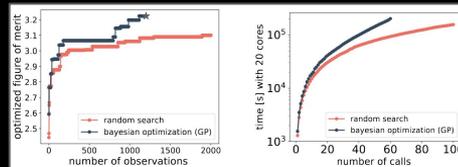
3

Optimization framework (embed convergence criteria)

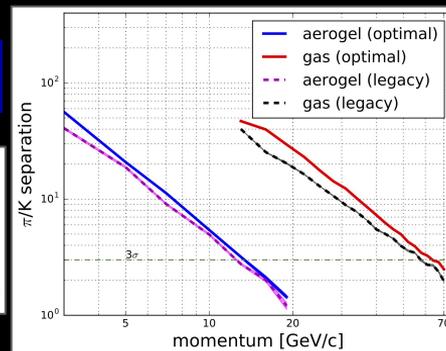


4

Analysis + Validation



principled vs random



Conclusions

- AI can assist the design and R&D of complex experimental systems by providing more efficient design (considering multiple objectives) utilizing effectively the computing resources needed to achieve that.
- **EIC is one of the first experiments to be designed with the support of AI.**

The ECCE reference detector has been already designed taking advantage of a multi-objective optimization approach and a complex parametrization of its design which takes into account constraints.

- This workflow can be further extended for EPIC to optimize the reference detector and to include:
 - More realistic effects in the simulation and reconstruction techniques
 - A larger system of sub-detectors, e.g, detectors like the dRICH





<https://indico.bnl.gov/e/AI4EIC>

**AI4EIC workshop
on October 10-14 2022 at W&M**



WILLIAM & MARY

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AI4EIC workshop sessions

- Design
- Theory/Experiment connections
- Reconstruction and PID
- Infrastructure and Frontiers
- Streaming
- Tutorials and Hackathon

AI4EIC - October 10-14, 2022

2nd General Workshop on Artificial Intelligence for the Electron Ion Collider

<https://indico.bnl.gov/e/AI4EIC>

Venue: William and Mary

Registration: <https://indico.bnl.gov/e/AI4EIC>

Directions to campus: <https://mason.wm.edu/about/visiting/directions/index.php>

There is a parking lot across Ukrop Way from the business school, and I hope to reserve some parking spots there.

Special hotel rates: <https://www.wm.edu/about/visiting/lodging/special-rates/>

Contacts:

support@eic.ai