

# Study of jet substructure in photoproduction events.

*in ep collisions at EIC proposed energies.*

Siddharth Singh  
Master's Student Researcher, MNIT-Jaipur  
2020pph5107@mnit.ac.in

**Siddharth Narayan Singh**, MNIT Jaipur

Email : [siddx25@gmail.com](mailto:siddx25@gmail.com)

Alt. Email : [2020pph5107@mnit.ac.in](mailto:2020pph5107@mnit.ac.in)

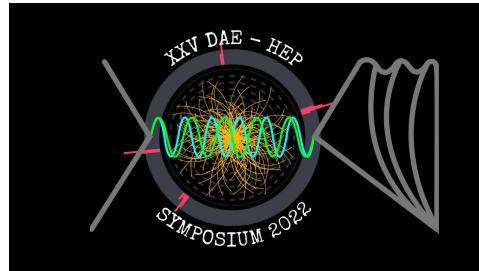
GitHub : <https://github.com/swayze25>

Phone : +91-9838964668

## Abstract:

**Study of jet substructure in the Photoproduction events in ep collisions at the EIC proposed energies.**

14<sup>th</sup> December, 2022  
XXV DAE-HEP Symposium  
WG4 - Parallel 3



## SUPERVISORS :

**Prof. M. Kaur**, Panjab University and  
Amity University, Punjab

**Dr. R. Aggarwal**, USAR-GGSIPU, East  
Campus, Delhi

## SOFTWARES USED :

1. Pythia 8.306
2. ROOT 6.24.06
3. FastJet 3.4.0



# Why *ep* Collisions?

Counter rotating beams of electrons and ions collide at an interaction point (IP), where,

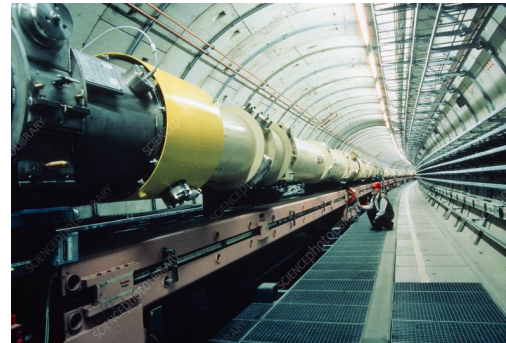
**Lepton beams** act as a clean, color-neutral probe and as a source of virtual or quasi-real photons.

**Proton beams** act as a *target* (which could also be light nuclei or any heavy nuclei), ie, a source of quarks and gluons.

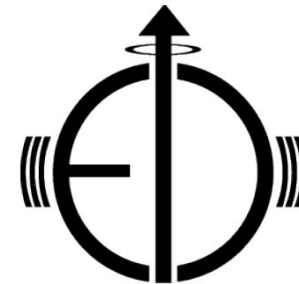
Some of the past experiments include LEP1 and 2, HERA, and now EIC, which will pave the way for lepton colliders in the future.



**LEP** : 1989 - 2000



**HERA** 1992 - 2007



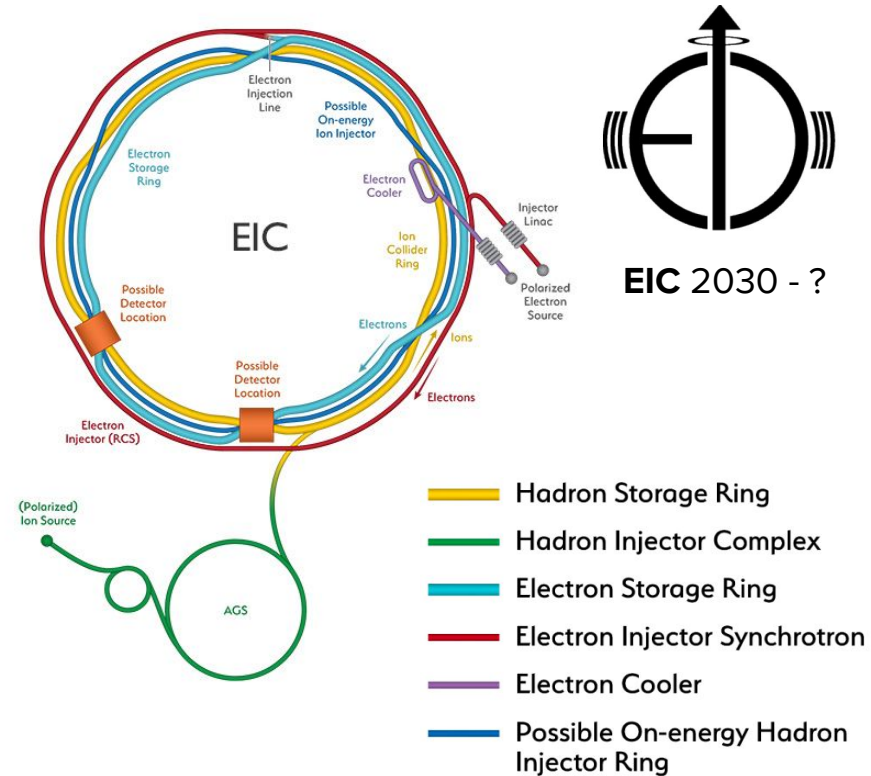
**EIC** 2030 - ?

# Electron Ion Collider

The Electron-Ion Collider (EIC) is a powerful and versatile new accelerator facility that will be built in the U.S. and is on track to see first collisions in early **2030**'s.

EIC, with a versatile range of kinematics, beam polarizations, high luminosity and beam species, will allow for the exploration of new landscapes in QCD.

One of the main questions it aims to answer is *How do color-charged quarks and gluons, and jets, interact with a nuclear medium? How do the confined hadronic states emerge from these quarks and gluons? And many more...*



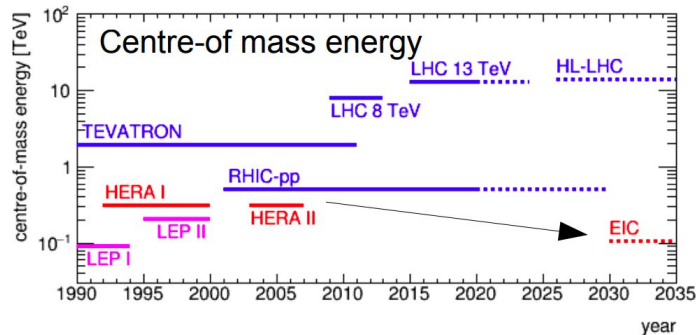
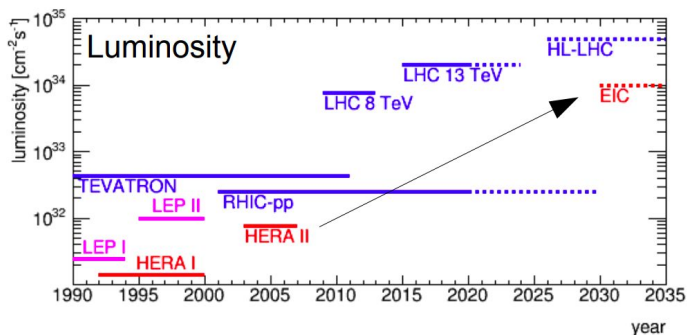
*Brookhaven National Laboratory has a declared design for an EIC scheduled to be built in the 2020 decade*

# HERA vs EIC

Physics scopes of HERA and EIC differ but have significant overlap. EIC as compared to HERA:

- Reduced center-of-mass energy
- Higher luminosity  $\times 100$
- Better lepton polarisation
- Target polarisation
- Heavy targets
- Improved detectors

	HERA	EIC
<b>Operation</b>	1992-2007	>2030
<b>Electron/Positron</b>	27.5 GeV (polarised)	5-18 GeV (polarised)
<b>Protons</b>	820→920 GeV Not Available	60→280 GeV Polarised
<b>Ions</b>	Not Available	Up to 100 GeV/u (polarised)
<b><math>E_{\text{COM}}</math></b>	300→318 GeV	20→140 GeV
<b>Luminosity</b>	$10^{32} \text{ cm}^{-2}\text{s}^{-1}$	$10^{34} \text{ cm}^{-2}\text{s}^{-1}$



*Fig: Stefan Schmitt, Workshop on EW and BSM physics at the EIC, May 2020*

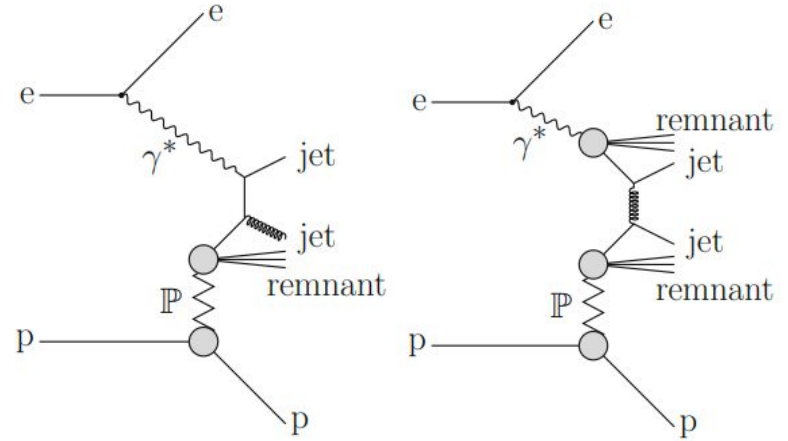
# Photoproduction

High energy photon beams are generally generated by high energy electron or positron beams. Photoproduction processes are divided into two classes: **'direct'** and **'resolved'**:

In the **direct processes**, the photon participates as a point-like particle, interacting with a gluon (photon gluon fusion) or a quark (QCD Compton scattering) in the target proton.

In the **resolved processes**, the photon interacts through its partonic content.

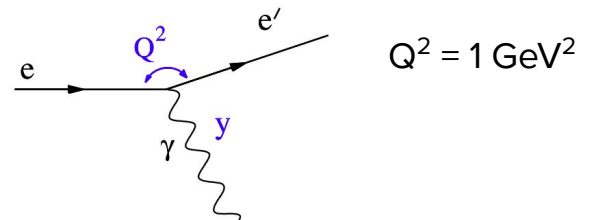
The dual identity of the photon as a fundamental gauge boson and a hadron-like object provides a number of unique opportunities for exploring QCD



(a) Direct

(b) Resolved

*Leading order Feynman diagrams for dijet production with photons in ep collisions.*



# Jets

*"Truth is a place we can not go but, we can take pictures of it"*

A jet can be defined as a collimated spray of stable particles arising from the fragmentation and hadronisation of a parton (quark or gluon) after a collision.

The jets provide a link between the observed colourless stable particles and the underlying physics at the partonic level.

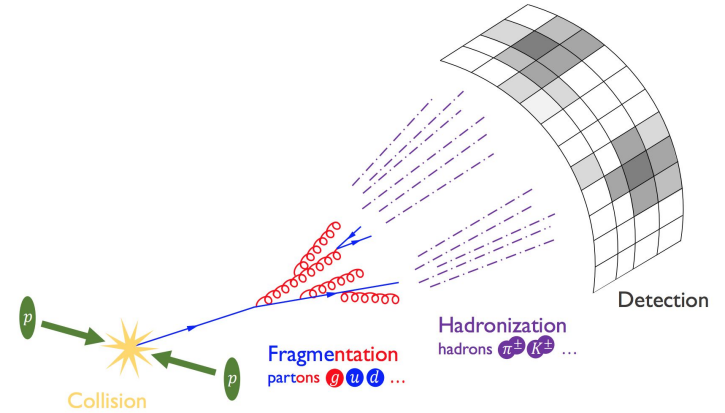
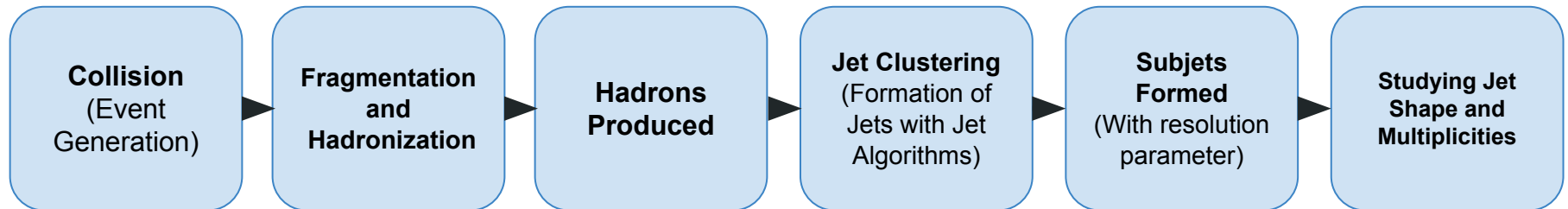


fig: <https://www.ericmetodiev.com/post/jetformation/>



# Jet Algorithms - *grouping particles into jets*

Jet algorithms indicate how close two particles must be for them to belong to the same jet, they help to cluster the final state hadrons as jet constituents to make up jets.

Longitudinally invariant  $k_t$  jet algorithm all input objects  $i$ ,  $i = 1, \dots, n$ , according to the following iterative steps:

1. For each pair of particles  $i, j$  work out the  $k_t$  distance  $d_{ij}$  where  $p_{ti}$ ,  $y_i$  and  $\phi_i$  are the transverse momentum (with respect to the beam direction), rapidity and azimuth of particle  $i$ .  
 $R$  is a jet-radius parameter usually taken of order 1.
2. Calculate the beam distances,  $d_{iB}$
3. Find the minimum  $d_{min}$  of all the  $d_{ij}$ ,  $d_{iB}$ .  
If  $d_{min} = d_{ij}$  merge particles  $i$  and  $j$  into a single particle, summing their four-momenta; if  $d_{min} = d_{iB}$  then declare particle  $i$  to be a final jet and remove it from the list.
4. Repeat Steps 1  $\rightarrow$  3

$$d_{ij} = \min(p_{ti}^2, p_{tj}^2) \Delta R_{ij}^2 / R^2$$

$$\Delta R_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

$$d_{iB} = p_{ti}^2$$

$$d_{iB} < d_{ij} : \text{Merge}$$

$$d_{iB} > d_{ij} : \text{Jet Found}$$



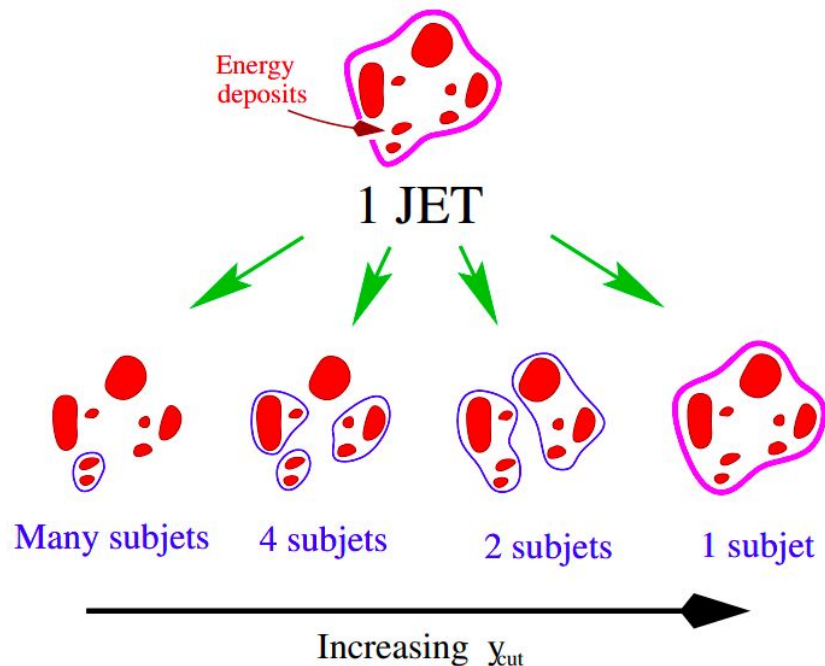
# Jet Substructure

Each jet has its own substructure, ie, an internal structure of the jets within a jet. It is defined by reapplying the  $k_T$  cluster algorithm until for every pair of particles the quantity

$$d_{ij} = \min(E_{Ti}, E_{Tj})^2 \cdot [(\eta_i - \eta_j)^2 + (\varphi_i - \varphi_j)^2]$$

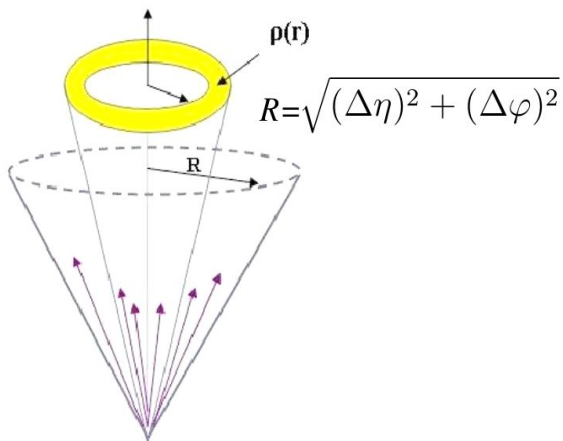
is above  $y_{cut} \cdot (E_T^{jet})^2$

Where,  $y_{cut}$  is the **resolution parameter**. It decides how many subjets are formed out of one jet, the number of subjets formed are called as subjet multiplicities



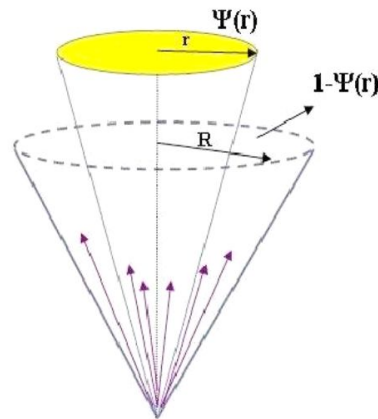
$$\langle n_{\text{subj}}(y_{\text{cut}}) \rangle = \frac{1}{N_{\text{jet}}} \sum_{\text{jets}} n_{\text{subj}}^{\text{jet}}(y_{\text{cut}})$$

# Jet Shapes



## Differential Jet

$$\rho(r) = \frac{1}{N_{jets}} \cdot \frac{1}{\Delta r} \cdot \sum_{jets} \frac{E_T(r - \frac{\Delta r}{2}, r + \frac{\Delta r}{2})}{E_T(0, r = 1)}$$



## Inclusive Jet Shape

$$\psi(r) = \frac{1}{N_{jets}} \cdot \sum_{jets} \frac{E_T(r)}{E_T(r = 1)}$$

## Differential jet shape ( $\rho$ )

is defined as the average fraction of the jet's transverse energy contained inside an annulus.

## Integrated jet shape ( $\Psi$ )

is defined as the average fraction of jet transverse momentum inside a cone of radius  $r$  concentric to the jet axis.

*figs: Pelin Kurt, CERN-TR Weekly Meeting, 23 September 2009*

# Quark and Gluon Jets

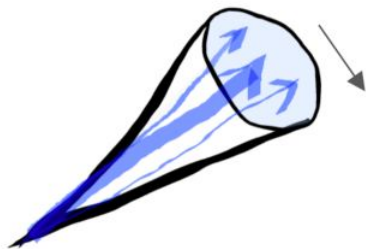
Because of **different colour interaction and hadronization**, gluon jets are wider and have a higher subjet multiplicity, while quark jets are more likely to produce narrow jets with hard constituents and have lesser mean subjet multiplicity.

There is a theoretical interest in the ratio of the mean multiplicity of gluon and quark jets,  $r = N_g/N_q$ . As it is predicted to be equal to the ratio  $C_A/C_F$  in the limit of asymptotically large energies, where  $C_A$  and  $C_F$  are the color factors.

$$\left| \text{q} \begin{array}{l} \text{gg} \\ \text{q} \end{array} \right|^2 \sim C_F = 4/3$$

$$\left| \text{gg} \begin{array}{l} \text{gg} \\ \text{gg} \end{array} \right|^2 \sim C_A = 3$$

Light Quark jet

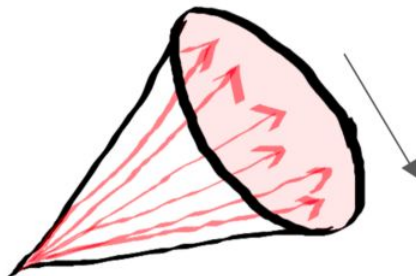


Different color factor

$$C_F = \frac{4}{3} < C_A = 3$$



Gluon Jet



*The ratio  $C_A/C_F$  is perhaps the most fundamental quantity in QCD besides the strong interaction coupling strength,  $\alpha_s$*

# Sample Generation

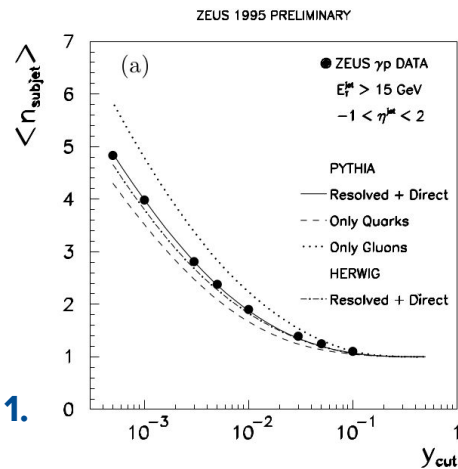
PYTHIA-8.306 + FASTJET-3.4

<b>PYTHIA</b> (Event Generation)
Events Generated: $10^6$ - $E_{\text{COM}}$ : 140 GeV
Lepton Beam: 18 GeV Proton Beam: 275 GeV
Flags: HardQCD:all = on, PhotonParton:all = on, Photon:Q2max = 1.0

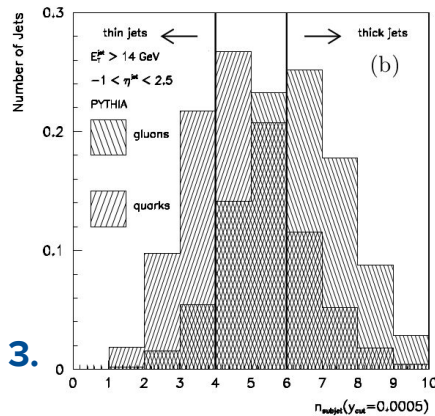
<b>FASTJET</b> (Jets and Subjet reconstruction)
Longitudinally invariant $k_T$ Algorithm
Jet Radius (R): 1 $y_{\text{cut}}$ : 0.0005 to 0.5
Jet Shapes (Inclusive and Differential) and Subjet Multiplicity

Events are simulated by Monte Carlo Event Generator PYTHIA 8.304 for  $\sqrt{s}_{\text{EIC}} = 140$  GeV (EIC proposed) and FASTJET3.4 is used for the Jet (and subjet) reconstruction via the longitudinally invariant  $k_T$  Algorithm.

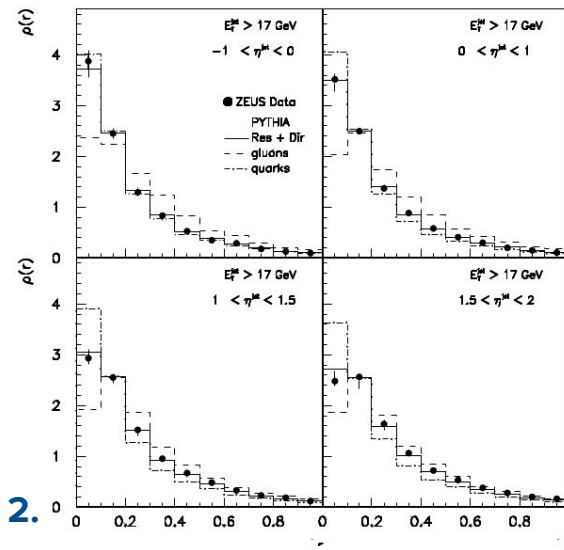
# HERA results



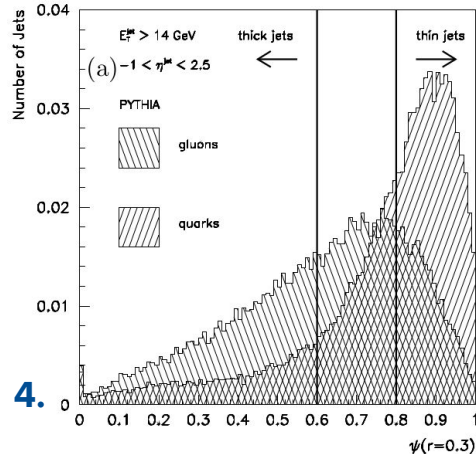
1.



3.



2.



4.

## Recaps from published ZEUS results:

1. Measured mean subject multiplicity  $\langle n_{\text{subject}} \rangle$  decreases as the value of  $y_{\text{cut}}$  is increased. Slopes of Combined, Quark only and Gluon only cases are studied,
2. Differential Jet shape is observed,
- 3&4. Quarks and Gluons are separated on the basis of thick and thin width, and their subject multiplicities are studied.

# Subjet Multiplicity

The “thin” jet sample is dominated by quark-initiated jets in the final state and the “thick” jet sample has a high content of gluon-initiated jets coming mainly from the final-state gluon of the subprocess  $q_\nu g_p \rightarrow qg$ .

**Thick Jets :  $\psi(r=0.3) < 0.6$  - gluon jets**

QQ Scattering  $\approx 33.01$

GG Scattering  $\approx 13.02$

**QG Scattering  $\approx 53.96$**

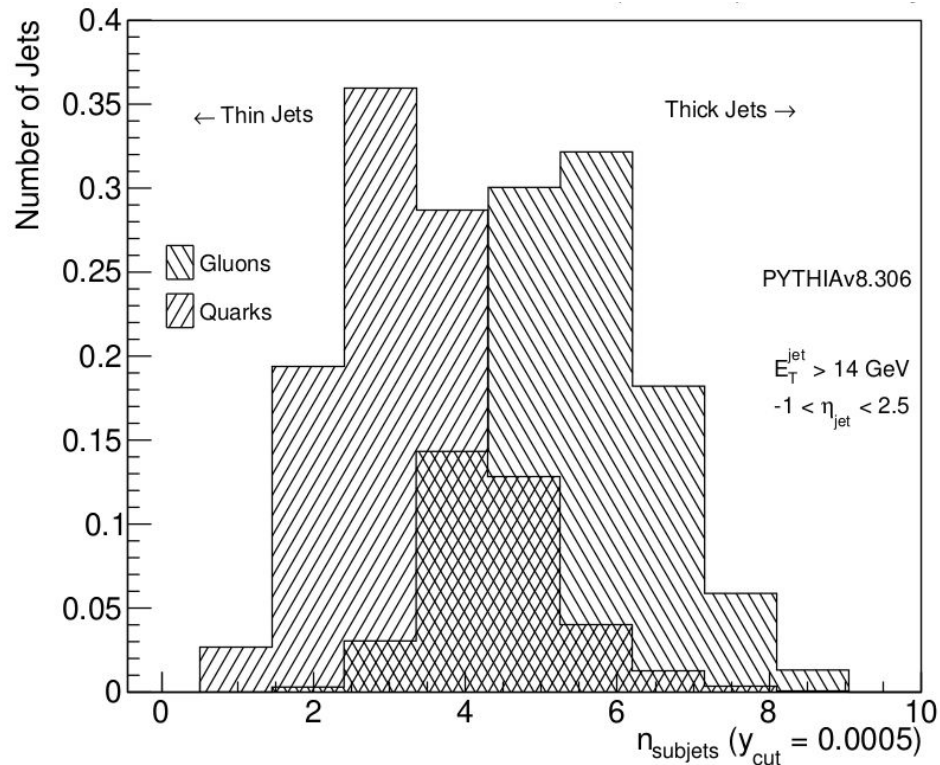
**Thin Jets :  $\psi(r=0.3) > 0.8$  - quark jets**

**QQ Scattering  $\approx 53.94$**

GG Scattering  $\approx 1.79$

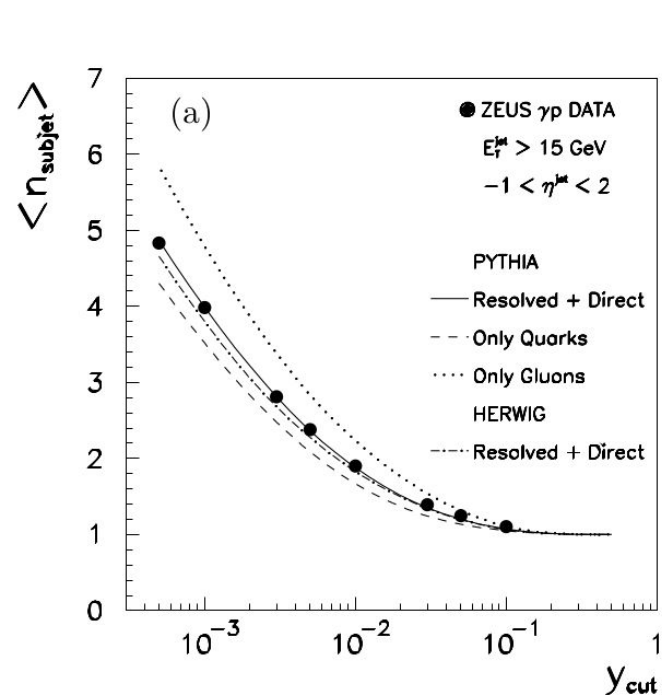
QG Scattering  $\approx 44.26$

Results:  $18 \times 275 \sqrt{s} = 140 \text{ GeV}$

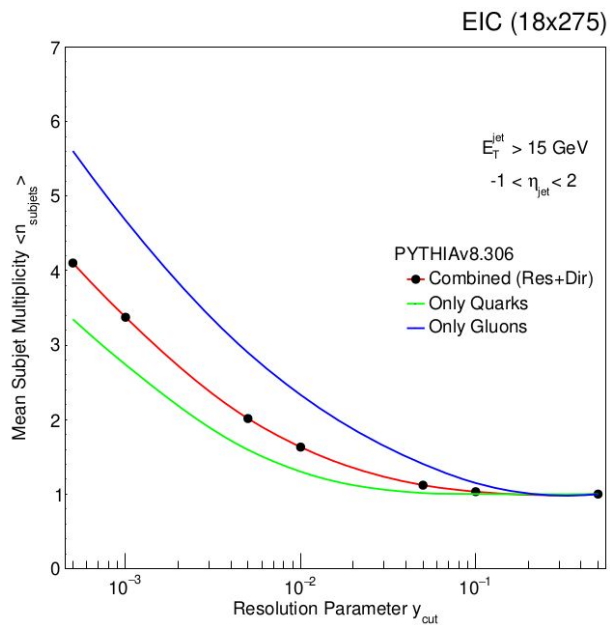


Jets separated on the basis of inclusive jet shapes

# Mean Subjet Multiplicity vs Resolution parameter



HERA  $E_{\text{COM}} = 300 \text{ GeV}$



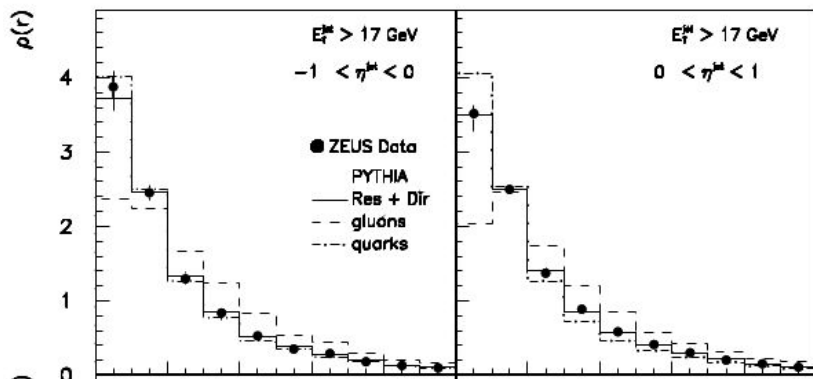
EIC  $E_{\text{COM}} = 140 \text{ GeV}$

$y_{\text{cut}}$	$\langle n_{\text{subjet}} \rangle$		
	Res+Dir	Quarks	Gluons
<b>0.0005</b>	4.10	3.34	5.60
<b>0.001</b>	3.37	2.73	4.67
<b>0.005</b>	2.01	1.59	2.89
<b>0.01</b>	1.63	1.30	2.33
<b>0.05</b>	1.12	1.02	1.40
<b>0.1</b>	1.03	1.01	1.14

As observed, the measured mean subjet multiplicity is inversely proportional to the resolution parameter

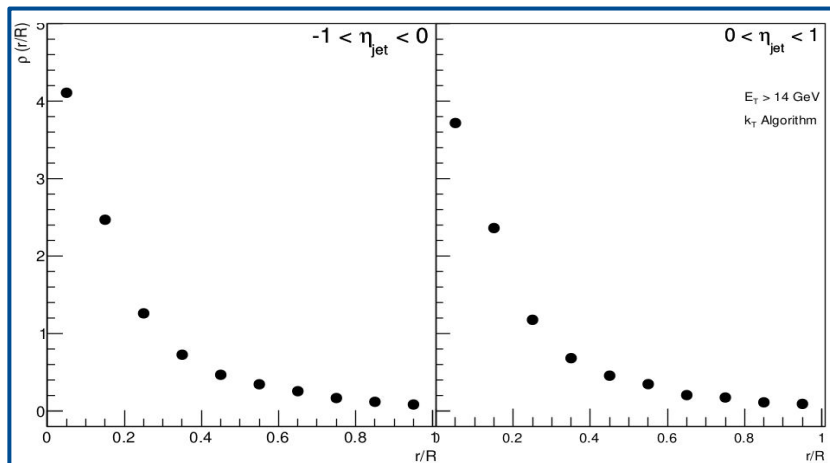
# Differential Jet Shapes

ZEUS 1995 – Preliminary



HERA  $E_{COM} = 300 \text{ GeV}$

EIC  $E_{COM} = 140 \text{ GeV}$



$r$ ( $r_a + r_a$ )/2	$\rho(r/R)$ $-1 < \eta < 0$	$\rho(r/R)$ $0 < \eta < -1$
<b>0.05</b>	4.10	3.71
<b>0.15</b>	2.46	2.36
<b>0.25</b>	1.26	1.17
<b>0.35</b>	0.73	0.68
<b>0.45</b>	0.46	0.46
<b>0.55</b>	0.34	0.34
<b>0.65</b>	0.26	0.20
<b>0.75</b>	0.17	0.17
<b>0.85</b>	0.12	0.11
<b>0.95</b>	0.08	0.09

Simulated Data for the differential Jet shape at the EIC energies  $E_{COM} = 140 \text{ GeV}$ , at two pseudorapidity ranges.



# Summary

- PYTHIAv8.306 is used as a Monte Carlo generator and FASTJETv3.4 is used to reconstruct jets,
- Jets (algorithm, shapes and subjet multiplicities) are briefly described,
- Measurements of jet shape and subjet multiplicity have been performed for inclusive jet samples for given values of  $E_T^{\text{Jet}}$  and  $\eta^{\text{Jet}}$ , in the kinematic region of  $Q^2 \leq 1$ ,
- The Monte Carlo models reproduce the measurements of the jet shape and subjet multiplicity and display the expected differences for quark- and gluon-initiated jets,
- Use of the jet shape and subjet multiplicity to select samples enriched in quark- and gluon-initiated jets to study the dynamics of the subprocesses.

**EIC, with its cutting-edge detectors and enhanced luminosity, along with advanced software tools, will help us understand more about the colour factors and give a highly precise measurement, which will further lead to a better understanding of QCD.**

# Thank You!

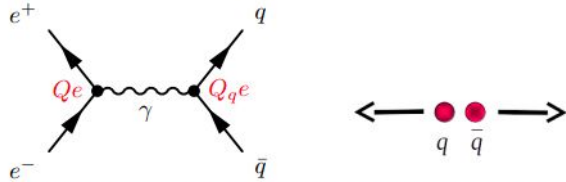
## References

1. High Energy Photoproduction - (<https://arxiv.org/pdf/hep-ex/0509018.pdf>)
2. Resolved Photon Processes - (<https://arxiv.org/pdf/hep-ph/9508221.pdf>)
3. Matteo Cacciari - ([https://indico.mitp.uni-mainz.de/event/167/attachments/651/689/Cacciari\\_1.pdf](https://indico.mitp.uni-mainz.de/event/167/attachments/651/689/Cacciari_1.pdf))
4. FastJet user manual - (<http://fastjet.fr/repo/fastjet-doc-3.3.2.pdf>)
5. Colour factors - Michiel Botje - (<https://www.nikhef.nl/~h24/qcdcourse/section-5.pdf>)
6. Subjet Multiplicities at LHC Energies and the QCD Color Factor Ratio - M Kaur and AP Kaur - (<https://www.hindawi.com/journals/ahep/2013/585809/>)
7. Inclusive-jet photoproduction at HERA and determination of alphas - (<https://arxiv.org/abs/1205.6153>)
8. Photoproduction at HERA - (<https://arxiv.org/abs/hep-ex/0311044>)
9. The Electron-Ion Collider - (<https://arxiv.org/pdf/2211.02785.pdf>)
10. Jet substructure at HERA - (<https://arxiv.org/pdf/0812.0757.pdf>)

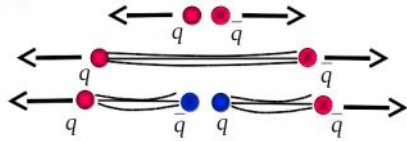
**backup**

# More on Jets

Consider the  $q\bar{q}$  pair produced in  $e^+e^- \rightarrow q\bar{q}$



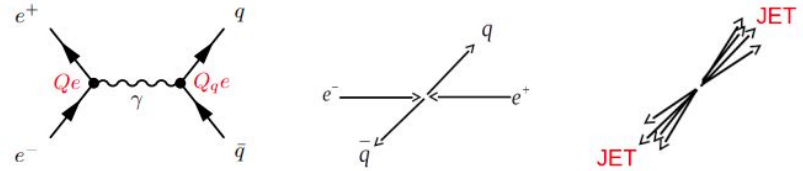
As the quarks separate, the potential energy in the colour field ("string") starts to increase linearly with separation. When the energy stored exceeds  $2m_q$ , new  $q\bar{q}$  pairs can be created.



As energy decreases, hadrons (mainly mesons) freeze out



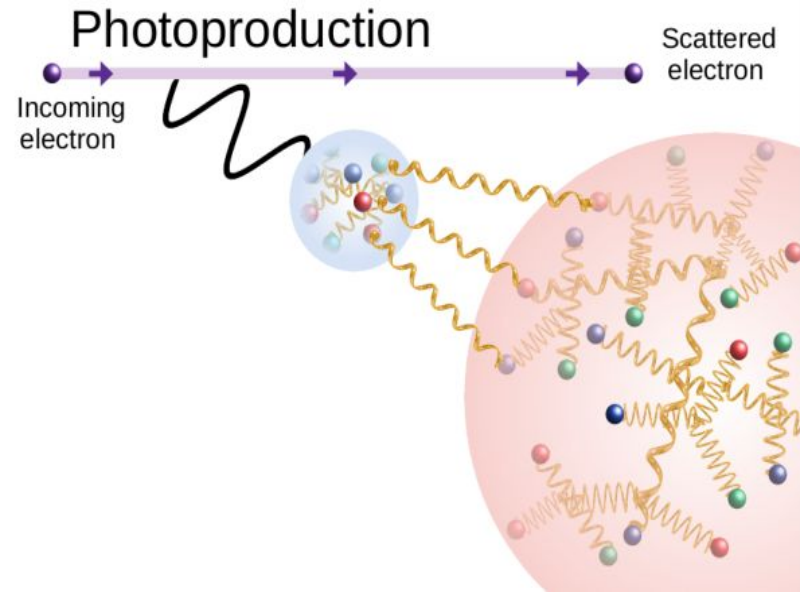
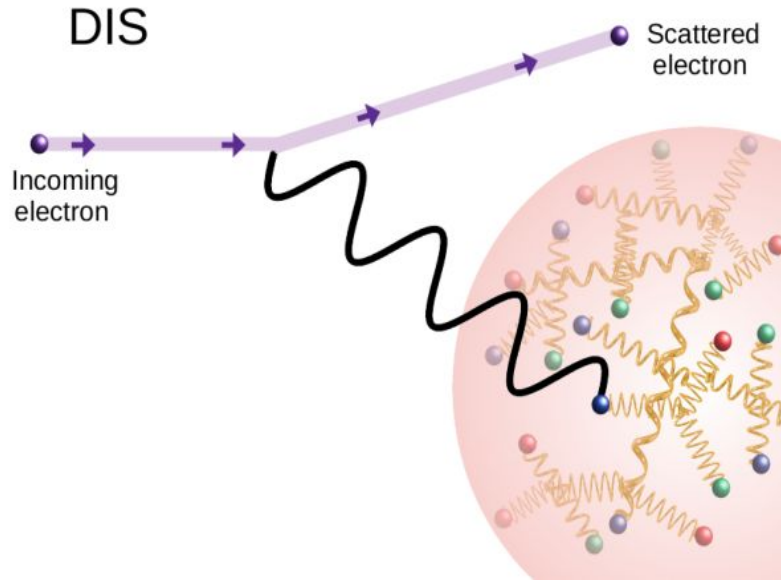
As quarks separate, more  $q\bar{q}$  pairs are produced. This process is called **hadronisation**. Start out with quarks and end up with narrowly collimated **jets** of **hadrons**.



## Typical $e^+e^- \rightarrow q\bar{q}$ event

The hadrons in a quark(antiquark) jet follow the direction of the original quark(antiquark). Consequently,  $e^+e^- \rightarrow q\bar{q}$  is observed as a pair of back-to-back jets.

# Difference between Photoproduction and DIS



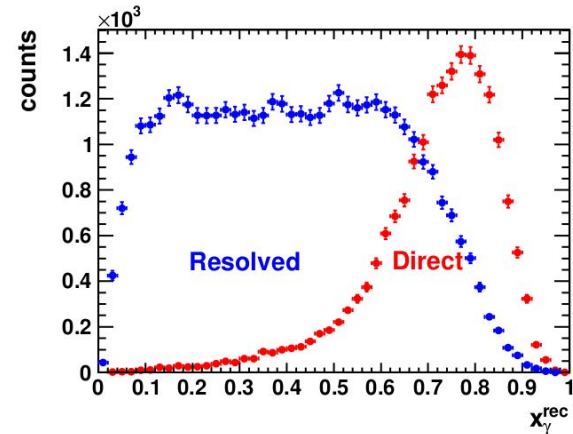
# Direct vs Resolved Processes

In photoproduction events, the dominant subprocesses are  $q_\gamma g_p \rightarrow qg$  in resolved and  $\gamma g \rightarrow q\bar{q}$  in direct.

This observable measures the energy invested by the photon in producing the dijet system and can be used to separate resolved and direct processes since they populate different regions of phase space.

On the other hand, direct processes are dominated by quark jets,

$$x_\gamma = \frac{1}{2E_e y} (p_{T,1} e^{-\eta_1} + p_{T,2} e^{-\eta_2})$$



# PDF used for Simulation of Photoproduction

Iset	PDF	Description	Alpha_s(Mz)	Lam4	Lam5	Table_File
1	CTEQ5M	Standard MSbar scheme	0.118	326	226	cteq5m.tbl
2	CTEQ5D	Standard DIS scheme	0.118	326	226	cteq5d.tbl
3	CTEQ5L	Leading Order	0.127	192	146	cteq5l.tbl
4	CTEQ5HJ	Large-x gluon enhanced	0.118	326	226	cteq5hj.tbl
5	CTEQ5HQ	Heavy Quark	0.118	326	226	cteq5hq.tbl
6	CTEQ5F3	Nf=3 FixedFlavorNumber	0.106	(Lam3=395)		cteq5f3.tbl
7	CTEQ5F4	Nf=4 FixedFlavorNumber	0.112	309	XXX	cteq5f4.tbl
8	CTEQ5M1	Improved CTEQ5M	0.118	326	226	cteq5m1.tbl
9	CTEQ5HQ1	Improved CTEQ5HQ	0.118	326	226	cteq5hq1.tbl

The **CTEQ5L (PYTHIA8+)** PDF is used for the proton, because contrary to modern PDFs (i.e., CT, NNPDF, HERAPDF, MSTW) its PDF is not frozen at its input scale  $Q_0^2$ , but allows description of the partonic structure of the proton at  $Q^2 \leq Q_0^2$

<https://www.physics.smu.edu/scalise/cteq/CTEQ5Table/>

Paper: DOI 10.1140/epjc/s10052-008-0598-2

PYTHIA8: <https://pythia.org/latest-manual/PDFSelection.html>

# PYTHIA Initializations & Subprocess

```
// Beam parameters :
pythia.readString("Beams:frameType = 2"); // Beams of unequal energies
pythia.readString("Beams:idA = -11"); // Beam A : Electrons/Positrons
pythia.readString("Beams:idB = 2212"); // Beam B : Protons
pythia.readString("Beams:eA = 18"); // electron_En : HERA=27.5GeV && EIC=18 GeV
pythia.readString("Beams:eB = 275"); // proton_En : HERA=820|920Gev && EIC=275 GeV
pythia.readString("PDF:beamA2gamma = on"); // Set PDF for beam photons

// Set Event Settings :
settings.mode("Photon:ProcessType", 0); // Switch on : Automatic Mix
pythia.readString("Photon:Q2max = 1.0"); // Maximal Q2
pythia.readString("MultipartonInteractions:pT0Ref = 3"); // Use tuned pT0ref for photon-hadron
pythia.readString("PhaseSpace:pThatMin = 10."); // Limit partonic pThat.
```

HardQCD

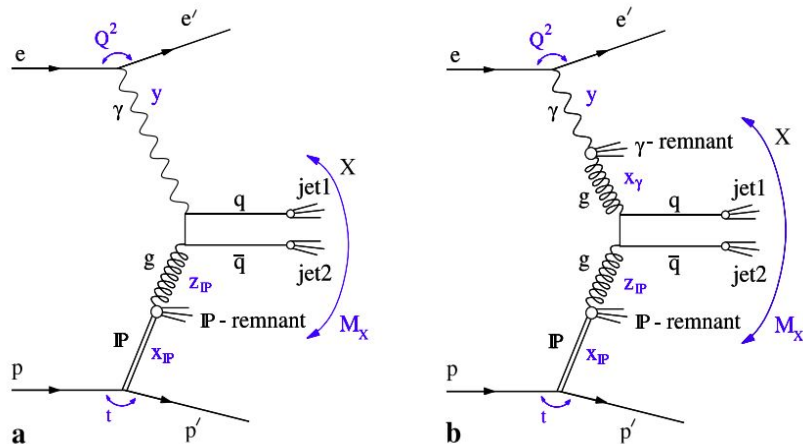
gg2gg, gg2qqbar, qg2qg, qq2qq, qqbar2gg,  
qqbar2qqbarNew, gg2ccbar, qqbar2ccbar,  
gg2bbbar, qqbar2bbbar

PromptPhoton

qg2qgamma, qqbar2gggamma, gg2gggamma,  
ffbar2gammagamma, gg2gammagamma



# Kinematics of Resolved and Direct Processes

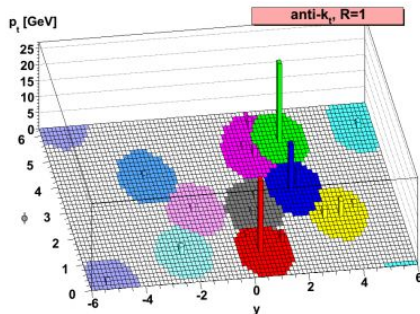
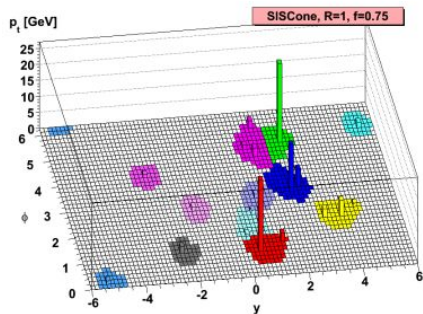
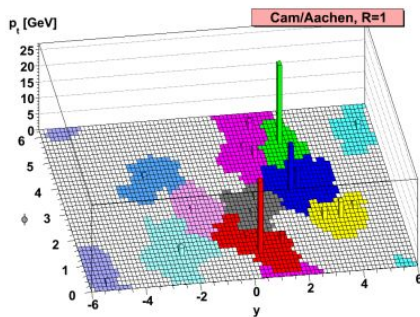
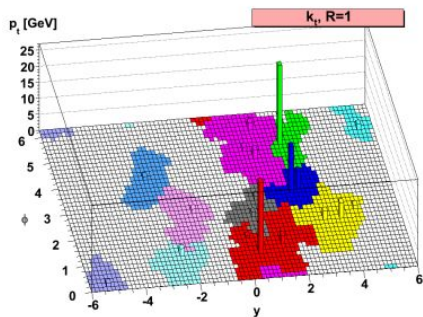


Leading-order diagrams for **a** direct and **b** resolved processes in photoproduction of dijets  
[DOI 10.1140/epjc/s10052-008-0598-2]

TABLE I: Kinematic variables

$q = (E_e - E_{e'}, \vec{l} - \vec{l}')$	4-momentum of the virtual photon
$Q^2 = -q^2$	Virtuality of the exchanged photon
$P$	4-momentum of the proton
$E_\gamma$	Energy of exchanged photon
$x_\gamma$	Momentum fraction of the parton from the exchanged photon
$x_p$	Momentum fraction of the parton from the proton
$y = \frac{P \cdot q}{P \cdot l}$	Energy fraction of virtual photon with respect to incoming electron
$\sqrt{s}$	Center of mass energy
$p_T$	Transverse momentum of final state particle(or jet) with respect to virtual photon
$\Delta\phi$	Azimuthal angle difference of the two highest $p_T$ jets
$\eta = -\ln \tan(\theta/2)$	pseudo-rapidity of the particles in lab frame
$\hat{s}, \hat{t}, \hat{u}$	Mandelstam variable for partonic processes

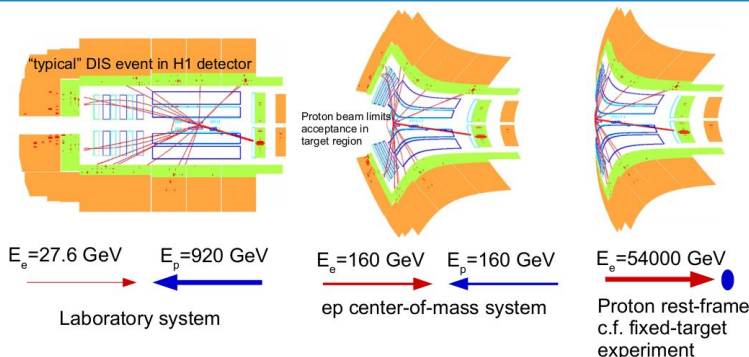
# Jet cluster visualization



Jets clustered with four different jets algorithms, illustrating the “active” catchment areas of the resulting hard jets.

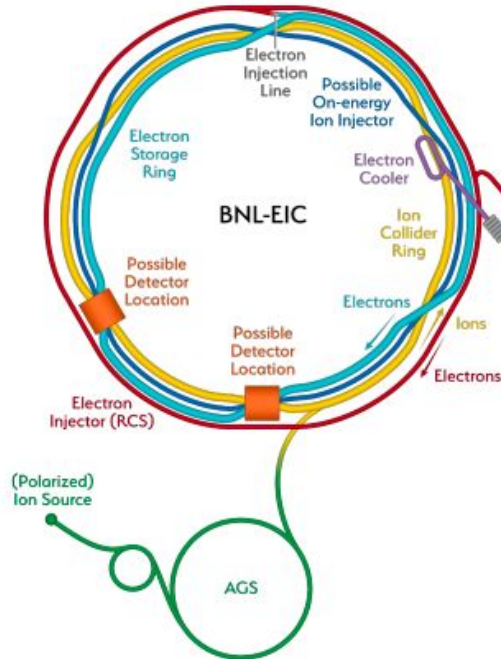
fig: Matteo Cacciari and Gavin P. Salam [arXiv: 0802.1189v2]

## HERA boost visualized



# EIC Accelerator Design

## □ EIC accelerator design



- Center of Mass Energies: 20 GeV - 141 GeV
- Maximum Luminosity:  $10^{34} \text{ cm}^{-2}\text{s}^{-1}$
- Hadron Beam Polarization: 80%
- Electron Beam Polarization: 80%
- Ion Species Range: p to Uranium
- Number of interaction regions: Up to two

[source](#)

- Hadron Storage Ring
- Hadron Injector Complex
- Electron Storage Ring
- Electron Injector Synchrotron
- Electron Cooler
- Possible On-energy Hadron Injector Ring

Talk by A. Seryi



LEP : 1989 - 2000



HERA 1992 - 2007

Workshop on Physics, Detector and Accelerator Opportunities at the EIC Online / Philadelphia, PA, July 27, 2020

# EIC Features

**High luminosity:** The EIC will produce particle collisions at a rate of  $1 \times 10^{34}$  per square centimetre per second. Lots of collisions (also referred to as high luminosity) means lots of data.

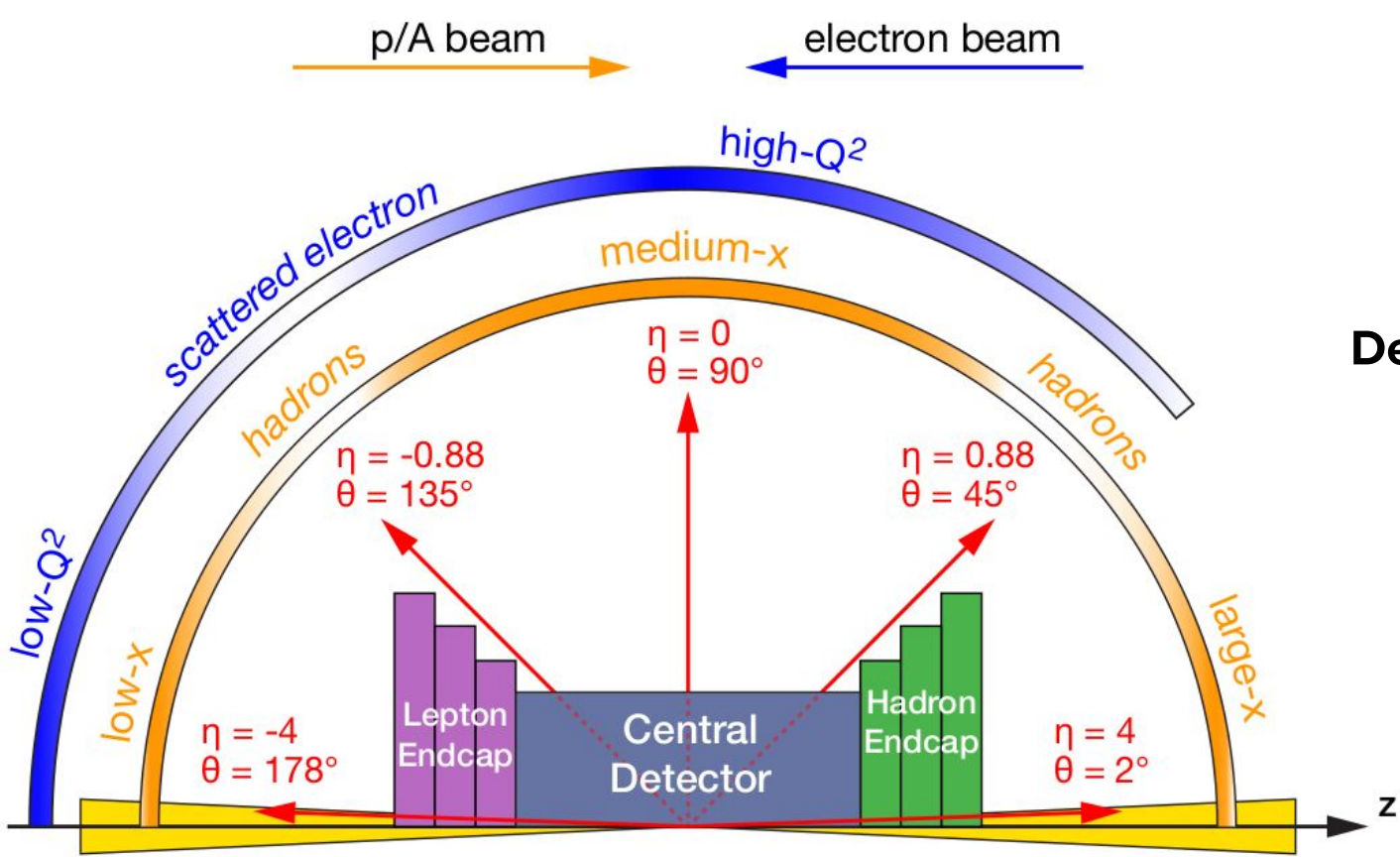
**High polarization:** Both the electrons and some ion beams will be polarized, making the EIC the only facility in the world with this capability. This means the particles' spins can be aligned in a particular way. EIC physicists will collide polarized particles to study how the spins and orbital motion of their internal building blocks contribute to their overall spin.

**High energy:** The EIC will produce collisions at variable center-of-mass energies, from 20 billion to 140 billion electron volts (GeV). At the scale of ordinary things, that is less energy than two mosquitoes colliding. But, at the scale of an electron colliding with a proton, it is enough to produce high-resolution snapshots of the proton's internal components.

**Varied ion species:** The ion sources for the EIC, already in use at the Relativistic Heavy Ion Collider (RHIC) and the adjacent NASA Space Radiation Laboratory, can provide ions of almost any element on the periodic table. Light ion beams (protons, deuterons, and helium-3) will emerge polarized from their source. EIC scientists will use a wide range of beams, from protons to heavy ions, to explore how ion size and other features of nuclei affect properties and interactions of quarks and gluons.

## Detector Geometry

for ATHENA at EIC



A schematic showing how hadrons and the scattered lepton for different  $x$ - $Q^2$  are distributed over the detector rapidity coverage

# Predicting the Future

