

Renormalization Group Evolution of Neutrino Angles and Masses

Ankur Panchal
PhD, High Energy Physics

Indian Institute of Science Education and Research, Bhopal

December, 2022



- ① Background
- ② The HSMU hypothesis
- ③ Wolfenstein Ansatz
- ④ Conclusion
- ⑤ Appendix

1 Background

2 The HSMU hypothesis

3 Wolfenstein Ansatz

4 Conclusion

5 Appendix

Neutrinos and Standard Model

- Neutrino detection: β decay process
$$n \rightarrow p + e^- + \bar{\nu}_e$$
- Three flavours (ν_e, ν_μ, ν_τ)
- In SM: Chargeless, massless leptons
- Interact weakly

Neutrino Oscillations

- Flavours mix
- Mathematically, mixing means rotations among flavour eigenstates
- Standard Parameterization

$$U_{PMNS} =$$

PMNS matrix

- Standard Parameterization

$$U_{PMNS} =$$

$$\begin{pmatrix} c_{12}c_{13} & s_{13}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}s_{13} \end{pmatrix}$$

- where c_{ij} and s_{ij} are $\cos \theta_{ij}$ and $\sin \theta_{ij}$ respectively

PMNS matrix

- Standard Parameterization

$$U_{PMNS} =$$

$$\begin{pmatrix} c_{12}c_{13} & s_{13}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}s_{13} \end{pmatrix}$$

- where c_{ij} and s_{ij} are $\cos \theta_{ij}$ and $\sin \theta_{ij}$ respectively
- δ_{CP} is Charge-Parity (CP) violations shown by leptons

PMNS matrix

- Standard Parameterization

$$U_{PMNS} =$$

$$\begin{pmatrix} c_{12}c_{13} & s_{13}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}s_{13} \end{pmatrix}$$

- where c_{ij} and s_{ij} are $\cos \theta_{ij}$ and $\sin \theta_{ij}$ respectively
- δ_{CP} is Charge-Parity (CP) violations shown by leptons
- Thus mixing implies $m_\nu \neq 0$

PMNS matrix

- Standard Parameterization

$$U_{PMNS} =$$

$$\begin{pmatrix} c_{12}c_{13} & s_{13}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}s_{13} \end{pmatrix}$$

- where c_{ij} and s_{ij} are $\cos \theta_{ij}$ and $\sin \theta_{ij}$ respectively
- δ_{CP} is Charge-Parity (CP) violations shown by leptons
- Thus mixing implies $m_\nu \neq 0$
- Contradicts with SM's prediction that neutrinos are massless

Open questions about neutrinos

- Dirac nature or Majorana nature?
- Why are mixing angles so different from that of quarks?

CKM and PMNS matrices

 U_{CKM}

$$= \begin{pmatrix} 0.97366 - 0.97384 & 0.2237 - 0.2253 & 0.00358 - 0.00406 \\ 0.217 - 0.225 & 0.976 - 0.998 & 0.0396 - 0.0424 \\ 0.0077 - 0.0083 & 0.0377 - 0.399 & 0.983 - 1.043 \end{pmatrix}$$

 U_{PMNS}

$$= \begin{pmatrix} 0.802 - 0.845 & 0.513 - 0.579 & 0.143 - 0.156 \\ 0.233 - 0.507 & 0.461 - 0.694 & 0.631 - 0.778 \\ 0.261 - 0.526 & 0.471 - 0.701 & 0.611 - 0.761 \end{pmatrix}$$

- ① Background
- ② The HSMU hypothesis
- ③ Wolfenstein Ansatz
- ④ Conclusion
- ⑤ Appendix

The idea

- New idea \implies Unification of mixing angles at *some* high energy scale [2]
- θ_q and θ are equal at high scale
- In past, Electromagnetism, EW force
- Present research, Grand Unification Theory (GUT)

RG equations

- How to calculate high scale values?

$$\dot{\theta}_{12} = -\frac{Cy_{\tau}^2}{32\pi^2} \sin(2\theta_{12}) s_{23}^2 \frac{|m_1 e^{i\varphi_1} + m_2 e^{i\varphi_2}|^2}{\Delta m_{sol}^2} + O(\theta_{13})$$

RG equations

- How to calculate high scale values?
- Renormalization Group Equations

$$\dot{\theta}_{12} = -\frac{C y_{\tau}^2}{32\pi^2} \sin(2\theta_{12}) s_{23}^2 \frac{|m_1 e^{i\varphi_1} + m_2 e^{i\varphi_2}|^2}{\Delta m_{sol}^2} + O(\theta_{13})$$

The execution

- Start with low scale quarks parameters (masses, mixing angles)

The execution

- Start with low scale quarks parameters (masses, mixing angles)
- Use RG eqns to run them to GUT scale

The execution

- Start with low scale quarks parameters (masses, mixing angles)
- Use RG eqns to run them to GUT scale
- **HSMU: Equate them to neutrino mixing angles**

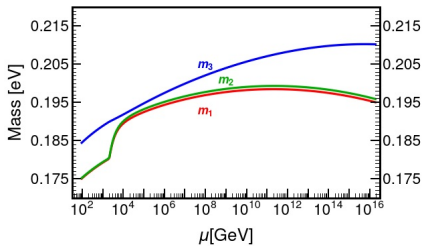
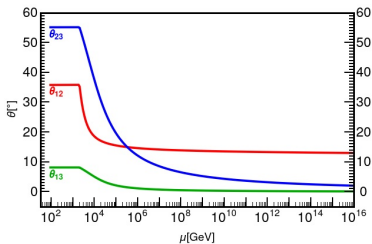
The execution

- Start with low scale quarks parameters (masses, mixing angles)
- Use RG eqns to run them to GUT scale
- HSMU: Equate them to neutrino mixing angles
- Run these neutrino angles down to M_Z scale (include masses at high scale)

The execution

- Start with low scale quarks parameters (masses, mixing angles)
- Use RG eqns to run them to GUT scale
- HSMU: Equate them to neutrino mixing angles
- Run these neutrino angles down to M_Z scale (include masses at high scale)
- Match the low scale parameters with experimentally measured values(at M_Z scale)

RG running of mixing angles and masses



Experimental values

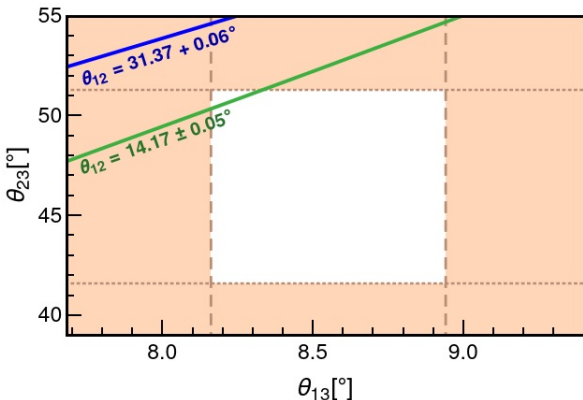
- Exeperimental values, precise upto $3 - \sigma$ range [1]

Oscillation parameters	$3-\sigma$ range	Best fit values
θ_{12}	$31.37^\circ - 37.46^\circ$	34.33°
θ_{13}	$8.16^\circ - 8.94^\circ$	8.58°
θ_{23}	$41.61^\circ - 51.30^\circ$	48.79°
Δm_{atm}^2	$2.39 \times 10^{-3} - 2.57 \times 10^{-3} eV^2$	$2.49 \times 10^{-3} eV^2$
Δm_{sol}^2	$6.94 \times 10^{-5} - 8.14 \times 10^{-5} eV^2$	$7.50 \times 10^{-5} eV^2$

Dirac Case

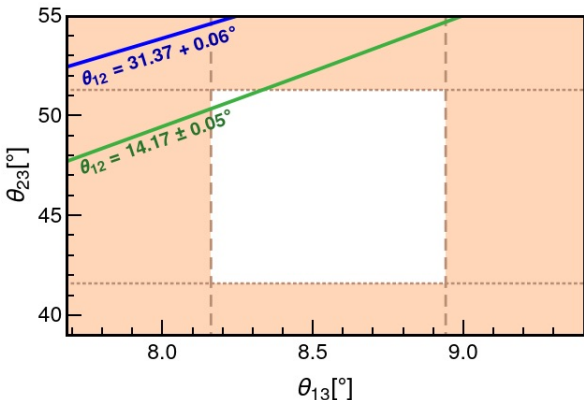
- Aim: To check whether all angles are inside
- Two subcases
- First: $\delta = 0^\circ$
- Second: $\delta \neq 0^\circ (= \delta_q)$

Graph and conclusion



- Plot of θ_{23} vs θ_{13} correlation

Graph and conclusion



- Plot of θ_{23} vs θ_{13} correlation
- Conclusion for Dirac case: All angles can't be brought inside

Majorana case

- Two more free parameters: φ_1 and φ_2

Majorana case

- Two more free parameters: φ_1 and φ_2
- Extra parameter to check: Effective Majorana Mass ($m_{\beta\beta}$)

Majorana case

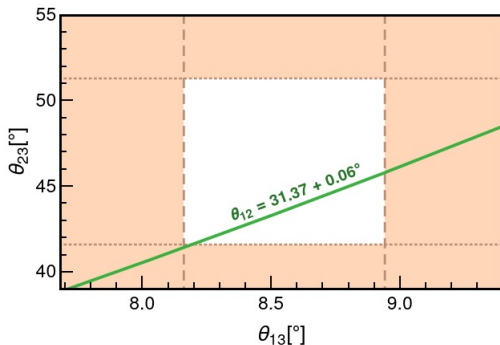
- Two more free parameters: φ_1 and φ_2
- Extra parameter to check: Effective Majorana Mass ($m_{\beta\beta}$)
- Experimental range: <0.165 eV

Majorana case

- Two more free parameters: φ_1 and φ_2
- Extra parameter to check: Effective Majorana Mass ($m_{\beta\beta}$)
- Experimental range: <0.165 eV
- First subcase: $\varphi_1 = \varphi_2 = 0^\circ \implies$ same result as Dirac case

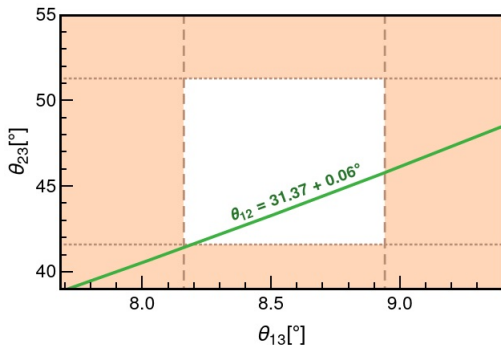
Majorana case: $\varphi_1, \varphi_2 \neq 0^\circ$

- Second subcase: $\varphi_1 = 120^\circ, \varphi_2 = 30^\circ$



Majorana case: $\varphi_1, \varphi_2 \neq 0^\circ$

- Second subcase: $\varphi_1 = 120^\circ, \varphi_2 = 30^\circ$
- Plot of θ_{23} vs θ_{13} correlation



Majorana case: $\varphi_1, \varphi_2 \neq 0^\circ$ Conclusion

- Conclusion: All angles are inside
- Can we bring in Δm_{atm}^2 , Δm_{sol}^2 and $m_{\beta\beta}$?
- Have to vary φ_1 and φ_2

Majorana case: Vary φ_1, φ_2

$\varphi_1(^{\circ})$	$\varphi_2(^{\circ})$	θ_{12}	θ_{13}	θ_{23}	Δm_{sol}^2	Δm_{atm}^2
50	0	✓	✓	✓	✓	-
100	0	✓	✓	✓	-	-
200	0	✓	✓	✓	-	-
300	0	✓	-	✓	✓	-
0	50	✓	-	✓	✓	-
50	50	✓	-	✓	✓	-
100	50	✓	✓	✓	-	-
200	50	✓	-	✓	-	-
300	50	✓	✓	✓	✓	-
0	100	✓	-	✓	✓	-
50	100	✓	-	✓	✓	-
100	100	✓	✓	✓	-	-
200	100	✓	-	✓	-	-
300	100	✓	-	✓	✓	-
0	200	✓	-	-	-	-
50	200	✓	-	✓	✓	-
100	200	✓	-	✓	-	-
200	200	✓	-	✓	-	-
300	200	✓	✓	-	-	✓
0	300	✓	-	✓	✓	-
50	300	✓	✓	✓	✓	-
100	300	✓	✓	✓	-	-
200	300	✓	✓	✓	✓	-
300	300	✓	-	✓	✓	-

$\varphi_1(^{\circ})$	$\varphi_2(^{\circ})$	θ_{12}	θ_{13}	θ_{23}	Δm_{sol}^2	Δm_{atm}^2
50	0	✓	✓	✓	Only one	
100	0	✓	✓	✓	Only one	
200	0	✓	✓	✓	Only one	
300	0	✓	Only one		Only one	
0	50	✓	Only one		Only one	
50	50	✓	Only one		Only one	
100	50	✓	✓	✓	Only one	
200	50	✓	Only one		Only one	
300	50	✓	✓	✓	Only one	
0	100	✓	Only one		Only one	
50	100	✓	Only one		Only one	
100	100	✓	✓	✓	Only one	
200	100	✓	Only one		Only one	
300	100	✓	Only one		Only one	
0	200	✓	Only one		Only one	
50	200	✓	Only one		Only one	
100	200	✓	Only one		Only one	
200	200	✓	Only one		Only one	
300	200	✓	Only one		Only one	
0	300	✓	Only one		Only one	
50	300	✓	✓	✓	Only one	
100	300	✓	✓	✓	Only one	
200	300	✓	✓	✓	Only one	
300	300	✓	Only one		Only one	

- Conclusion: At best, 4 parameters are brought in

- ① Background
- ② The HSMU hypothesis
- ③ Wolfenstein Ansatz**
- ④ Conclusion
- ⑤ Appendix

Wolfenstein Parameterization

- Introduce Wolfenstein parameter λ

Wolfenstein Parameterization

- Introduce Wolfenstein parameter λ
- $\lambda = \sin \theta_{12}$

Wolfenstein Parameterization

- Introduce Wolfenstein parameter λ
- $\lambda = \sin \theta_{12}$

$$\theta_{12} = \arcsin(\lambda)$$

$$\theta_{23} = \alpha \arcsin(\lambda^2)$$

$$\theta_{13} = \beta \arcsin(\lambda^3)$$

Wolfenstein Parameterization

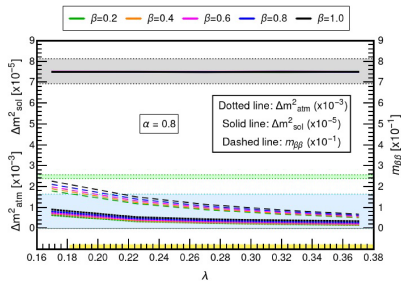
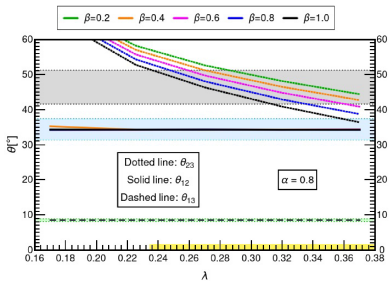
- Introduce Wolfenstein parameter λ
- $\lambda = \sin \theta_{12}$

$$\theta_{12} = \arcsin(\lambda)$$

$$\theta_{23} = \alpha \arcsin(\lambda^2)$$

$$\theta_{13} = \beta \arcsin(\lambda^3)$$

- α, β are linear coefficients

Effect of λ 

- λ varies in inverse correlation with Δm_{atm}^2 , Δm_{sol}^2 and $m_{\beta\beta}$

φ_1, φ_2 variations

- Select the best φ_1, φ_2 pairs from HSMU case

$$\varphi_1 = 50^\circ ; \varphi_2 = 0^\circ$$

$$\varphi_1 = 50^\circ ; \varphi_2 = 300^\circ$$

$$\varphi_1 = 200^\circ ; \varphi_2 = 300^\circ$$

$$\varphi_1 = 300^\circ ; \varphi_2 = 50^\circ$$

α, β variations

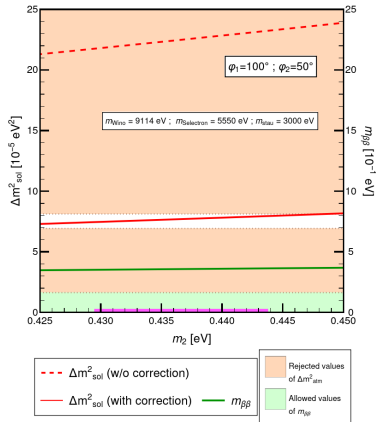
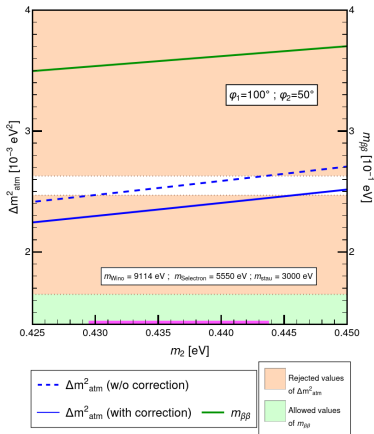
- For already chosen φ_1, φ_2 , vary α, β
- Ready for λ variations at the end

$\varphi_1 = 300^\circ$, $\varphi_2 = 50^\circ$ with α, β variations

α	β	θ_{23}	Δm_{atm}^2	$m_{\beta\beta}$
1.0	1.0	★	●	●
1.0	0.8	★	●	●
1.0	0.6	★	●	●
1.0	0.4	★	●	●
1.0	0.2	★	●	●
0.8	1.0	★	●	●
0.8	0.8	★	●	●
0.8	0.6	★	●	●
0.8	0.4	★	●	●
0.8	0.2	★	●	●
0.6	1.0	★	●	●
0.6	0.8	★	●	●
0.6	0.6	★	●	●
0.6	0.4	★	●	●
0.6	0.2	★	●	●
0.4	1.0	★	●	●
0.4	0.8	★	●	●
0.4	0.6	★	●	●
0.4	0.4	★	●	●
0.4	0.2	★	●	●
0.2	1.0	★	●	★
0.2	0.8	★	●	●
0.2	0.6	★	●	●
0.2	0.4	★	●	●
0.2	0.2	★	●	●

- We can rule out invalid set of values from this easily

Threshold corrections



- ① Background
- ② The HSMU hypothesis
- ③ Wolfenstein Ansatz
- ④ Conclusion**
- ⑤ Appendix

Conclusion

- HSMU \implies Leaning towards Majorana nature of neutrinos; but too stringent constraints

Conclusion

- HSMU \implies Leaning towards Majorana nature of neutrinos; but too stringent constraints
- Wolfenstein ansatz \implies Better; but still can't get all parameters in

Conclusion

- HSMU \implies Leaning towards Majorana nature of neutrinos; but too stringent constraints
- Wolfenstein ansatz \implies Better; but still can't get all parameters in
- Threshold corrections \implies 5 out of 6 low scale parameters are successfully brought in (Only one Δm^2 remains)

Conclusion

- HSMU \implies Leaning towards Majorana nature of neutrinos; but too stringent constraints
- Wolfenstein ansatz \implies Better; but still can't get all parameters in
- Threshold corrections \implies 5 out of 6 low scale parameters are successfully brought in (Only one Δm^2 remains)
- Time to put a full stop on SUSY high scale unification models

- ① Background
- ② The HSMU hypothesis
- ③ Wolfenstein Ansatz
- ④ Conclusion
- ⑤ Appendix**

RG equations: Angles

$$\dot{\theta}_{12} = -\frac{C y_{\tau}^2}{32\pi^2} \sin(2\theta_{12}) s_{23}^2 \frac{|m_1 e^{i\varphi_1} + m_2 e^{i\varphi_2}|^2}{\Delta m_{sol}^2} + O(\theta_{13})$$

$$\dot{\theta}_{13} = \frac{C y_{\tau}^2}{32\pi^2} \sin(2\theta_{12}) \sin(2\theta_{23}) \frac{m_3}{\Delta m_{atm}^2 (1 + \zeta)}$$

$$\times \left[m_1 \cos(\varphi_1 - \delta) - (1 + \zeta) m_2 \cos(\varphi_2 - \delta) - \zeta m_3 \cos(\delta) \right] + O(\theta_{13})$$

$$\dot{\theta}_{23} = -\frac{C y_{\tau}^2}{32\pi^2} \sin 2\theta_{23} \frac{1}{\Delta m_{atm}^2}$$

$$\left[c_{12}^2 |m_2 e^{i\varphi_2} + m_3|^2 + s_{12}^2 \frac{m_2 e^{i\varphi_2} + m_3}{1 + \zeta} \right] + O(\theta_{13})$$

RG equations: Masses

$$16\pi^2 \dot{m}_1 = [\alpha + Cy_\tau^2(2s_{12}^2 s_{23}^2 + F_1)] m_1$$

$$16\pi^2 \dot{m}_2 = [\alpha + Cy_\tau^2(2c_{12}^2 s_{23}^2 + F_2)] m_2$$

$$16\pi^2 \dot{m}_3 = [\alpha + 2Cy_\tau^2 c_{13}^2 c_{23}^2] m_3$$

$$8\pi^2(\dot{\Delta m_{sol}^2}) = \alpha \Delta m_{sol}^2 + Cy_\tau^2 [2s_{23}^2(m_2^2 c_{12}^2 - m_1^2 s_{12}^2) + F_{sol}]$$

$$8\pi^2(\dot{\Delta m_{atm}^2}) = \alpha \Delta m_{atm}^2 + Cy_\tau^2 [2m_3^2 s_{13}^2 c_{23}^2 - 2m_2^2 c_{12}^2 s_{23}^2 + F_{atm}]$$

RG equations: Variables defined

where $\dot{\theta}$, \dot{m} and \dot{m}^2 represent $\frac{d\theta}{dt}$, $\frac{dm}{dt}$ and $\frac{dm^2}{dt}$ respectively

$t = \ln\left(\frac{\mu}{\mu_0}\right)$, where μ is the variable energy scale and μ_0 is the initial energy scale from where RG running starts.

$\Delta m_{sol}^2 = (m_2^2 - m_1^2)$ & $\Delta m_{atm}^2 = (m_3^2 - m_2^2)$

s_{ij} and c_{ij} are $\sin\theta_{ij}$ and $\cos\theta_{ij}$ respectively.

$C = -3/2$ for MSSM and $C = 1$ for SM.

$$\zeta = \frac{\Delta m_{sol}^2}{\Delta m_{atm}^2}$$

y_{τ} is 3rd generation element of Yukawa coupling matrix Y_e .

RG equations: Variables defined

$$F_1 = -s_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \delta + 2s_{13}^2 c_{12}^2 c_{23}^2$$

$$F_2 = s_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \delta + 2s_{13}^2 s_{12}^2 c_{23}^2$$

$$F_{sol} = (m_1^2 + m_2^2) s_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \delta + 2s_{13}^2 c_{23}^2 (m_2^2 s_{12}^2 - m_1^2 c_{12}^2)$$

$$F_{atm} = -m_2^2 s_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \delta - 2m_2^2 s_{12}^2 s_{13}^2 c_{23}^2$$

Initial values

- M_Z scale = 91.1876 GeV
- GUT scale = 2×10^{16} GeV
- $\tan\beta = 55$ (β is the ratio of expectation values of Higgs doublets in 2HDM)
- SUSY cutoff scale = 2000 GeV
- Values of gauge coupling constants
 - Higgs coupling = 0.4615 (at M_Z scale) & 0.7013 (at GUT scale)
 - Weak coupling = 0.6519 (at M_Z scale) & 0.6904 (at GUT scale)
 - Strong coupling = 1.2198 (at M_Z scale) & 0.6928 (at GUT scale)

Majorana case sector

- “Effective Majorana mass” $m_{\beta\beta} \equiv \left[\sum_i U_{ei}^2 m_i \right]$

- PMNS matrix

$$U_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{13}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}s_{13} \end{pmatrix} \times \begin{pmatrix} e^{-\frac{i\varphi_1}{2}} & 0 & 0 \\ 0 & e^{-\frac{i\varphi_2}{2}} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Lagrangians used

Below SUSY breaking scale:

$$\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_5$$

Above SUSY breaking scale,

but below seesaw scale:

$$\mathcal{L} = \mathcal{L}_{MSSM} + \mathcal{L}_5$$

Above seesaw scale:

$$\begin{aligned} \mathcal{L} &= \mathcal{L}_{MSSM} + \mathcal{L}_{\text{seesaw}} \\ &= \mathcal{L}_{MSSM} - Y_{\nu}^{ij} \bar{L}^i \tilde{H} \nu_R^j - \frac{1}{2} \bar{\nu}^j M^{ij} \nu^j + \text{H.c.} \end{aligned}$$

$$\mathcal{L}_5 = -\frac{f_{ik}}{\Lambda_{ss}} (\epsilon_{ab} L_a^i H_b) (\epsilon_{cd} L_c^k H_d) + \text{H.c.}$$

- [1] P. F. de Salas, D. V. Forero, S. Gariazzo, P. Martínez-Miravé, O. Mena, C. A. Ternes, M. Tórtola, and J. W. F. Valle. 2020 global reassessment of the neutrino oscillation picture. *JHEP*, 02:071, 2021.
- [2] R. N. Mohapatra, M. K. Parida, and G. Rajasekaran. High scale mixing unification and large neutrino mixing angles. *Phys. Rev. D*, 69:053007, Mar 2004.