

The Top quark

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LIP Lisbon

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- ❖ Introduction
- ❖ Discovery of the Top quark
- ❖ Decay and production
- ❖ Cross section measurements
- ❖ Properties
- ❖ Top quarks as window to New Physics



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Fundação para a Ciência e a Tecnologia
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Introduction

- Pre-discovery
- Motivation: theory and experiment
- First top quark events in the data!
- First measurements

1974

With the discovery of the J/Ψ :

quarks

$$\begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{pmatrix} c \\ s \end{pmatrix}$$

leptons

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}$$

1975-1977

- Tau (τ) lepton in Mark I data (ν_τ from the decay kinematics)
- Discovery of the Y at Fermilab

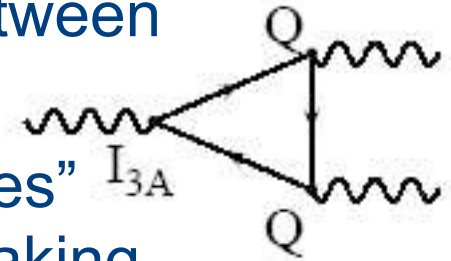
$$\begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{pmatrix} c \\ s \end{pmatrix} \quad \begin{pmatrix} \\ b \end{pmatrix}$$

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix} \quad \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}$$

- b: non SM? iso-singlet? SM iso-doublet?
- 1984: DESY measurement of $e^+e^- \rightarrow b\bar{b}$ FB asymmetry: $(22.5 \pm 6.5)\%$
 - cf. 25.2% SM iso-doublet, 0% iso-singlet
- If SM is correct there must be a iso-doublet partner, **the top quark**
- Mass? b/c/s 4.5/1.5/0.5: Mass=15 GeV?

The theory: Why?

- The SM is not a “renormalizable” gauge theory in the absence of the top quark
- **Renormalizability** is a crucial feature, enabling the SM to be theoretically consistent and be usable as a tool to compute the rate of subnuclear processes between quarks, leptons, and gauge bosons
- Diagrams containing so-called “triangle anomalies” (right), **cancel** their contributions, thus avoid breaking the renormalizability of the SM, only if **the sum of electric charges of all fermions** circulating in the triangular loop **is zero**:



$$\Sigma Q = -1 + 3 \times [2/3 + (-1/3)] = 0$$

lepton electric charge

quark (up/down) charge

Searches at e^+e^- colliders

- PETRA (DESY) could reach ~ 20 GeV (late '70s)
 - Search for narrow resonance
 - Look for increase in $R = (\# \text{ of hadron events}) / (\# \text{ of } \mu\mu \text{ events})$

$$R \equiv \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = 3 \sum_f Q_f^2 \quad \text{direct count of number of quarks}$$

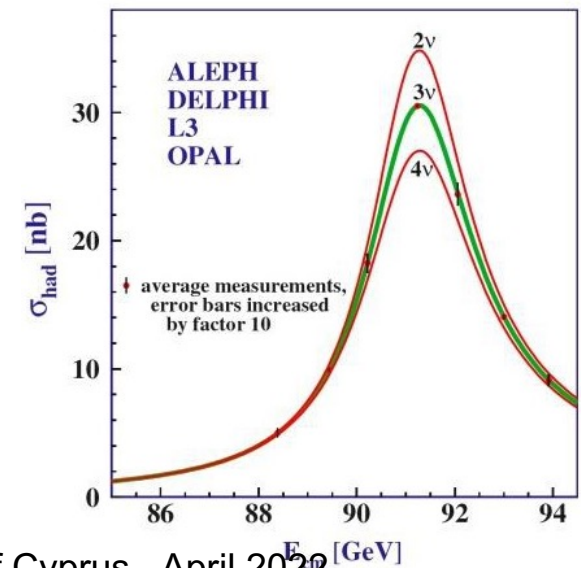
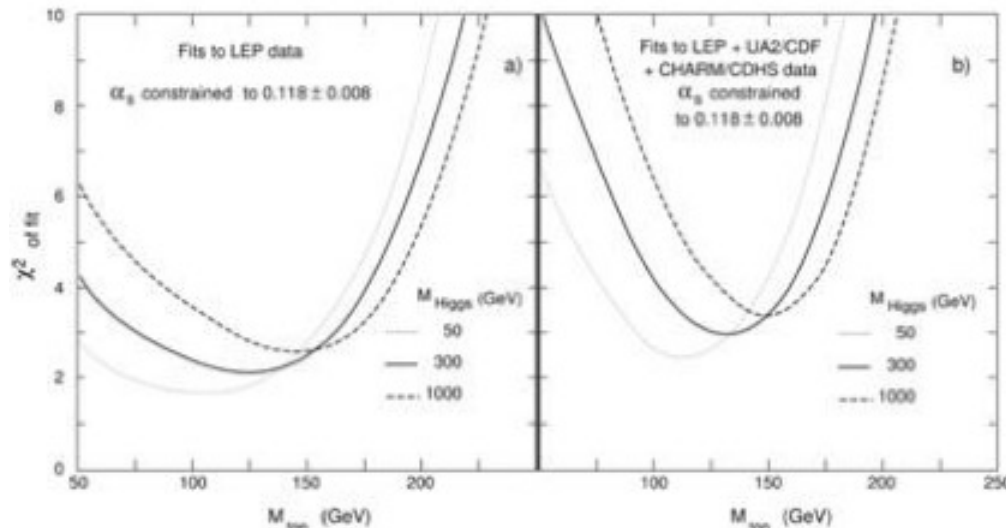
- Global event characteristics: look for spherical component
 - Negative results. Set limits: $M_t > 23$ GeV
- TRISTAN (Japan) built to study the top quark (early '80s)
 - Similar search technique:
 - Could reach ~ 30 GeV: $M_t > 30$ GeV
- SLC/LEP (SLAC)
 - Look for $Z \rightarrow t\bar{t}$
 - $M_t > 45$ GeV
- Reached kinematic limit for direct searches at e^+e^- colliders

Indirect searches from e^+e^- colliders

- In the SM, various EWK observables depend on the mass of the top quark



- Precision measurements of the EWK parameters, allow to measure virtual corrections with sufficient precision to put constraints on M_{top}
 - Prediction upper limit $< 200\text{-}220$ GeV



Early searches at hadron colliders

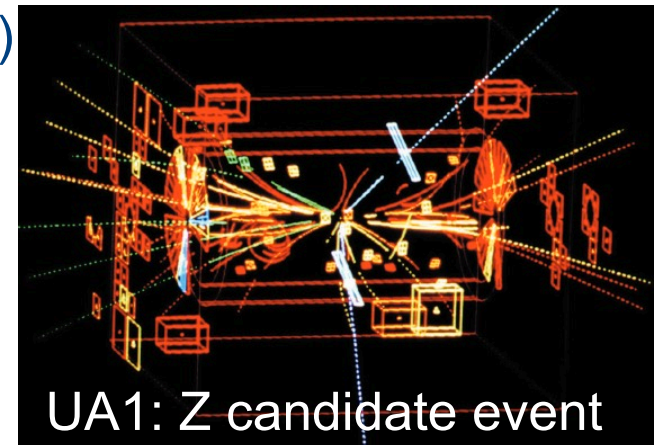
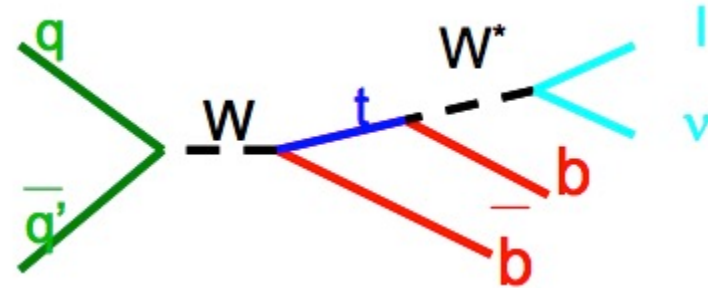
CERN Sp \bar{p} S ($\sqrt{s}=540$ GeV) built to observe W,Z

- Access to much higher energies
- Large backgrounds, low event rates
- Difficult reconstruction: jets

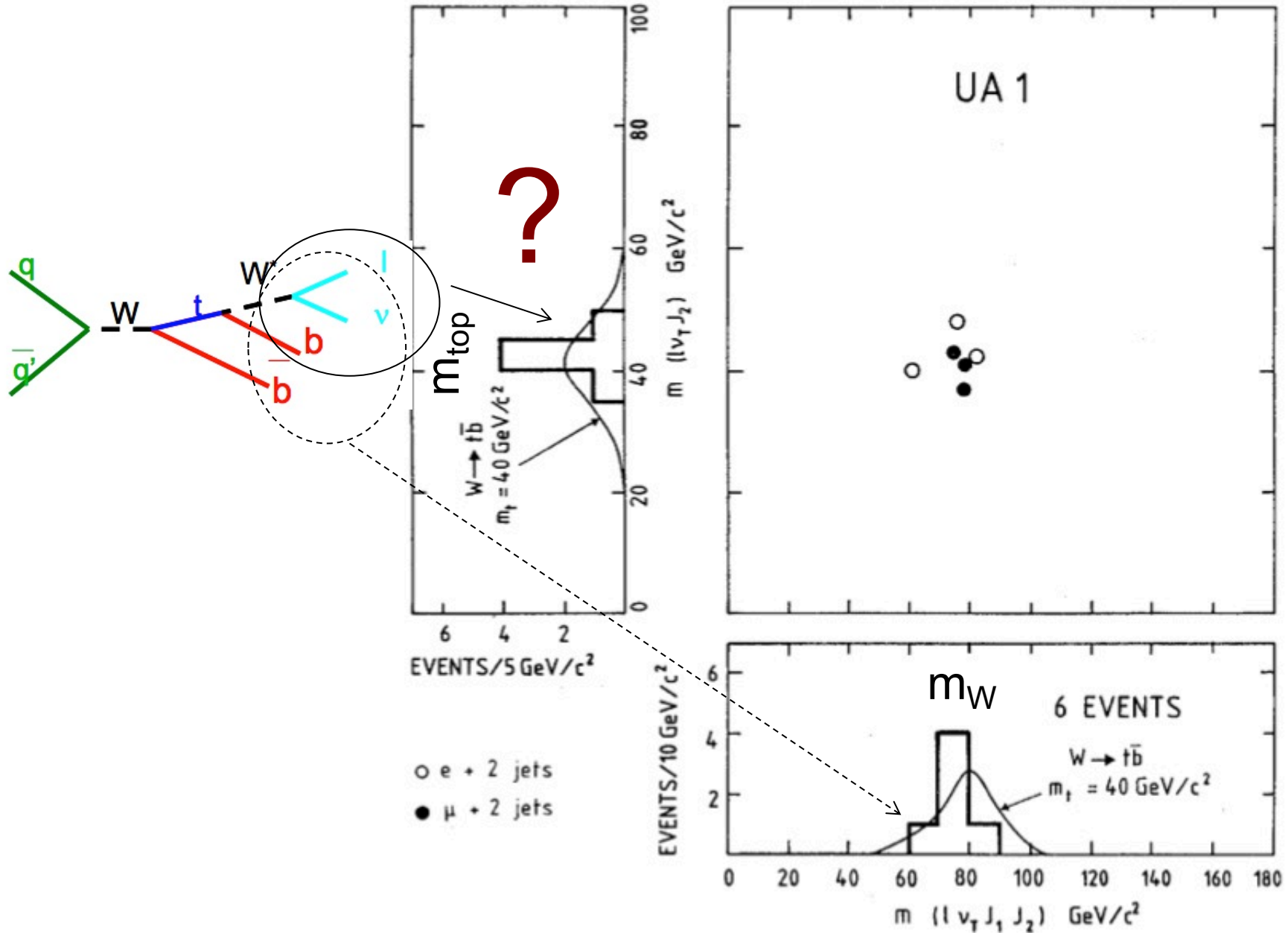
1984: UA1

- $W \rightarrow tb \rightarrow l\nu bb$
- Isolated high- p_T lepton
- 2 or 3 hadronic jets
- Observe 5 events ($e^+ \geq 2$ jets), 4 events ($\mu^+ \geq 2$ jets)
- Expected background: 0.2 events
 - Fake leptons dominate; $b\bar{b}/c\bar{c}$ negligible
- Result consistent with $M_{\text{top}} = 40 \pm 10$ GeV
- Stop before claiming discovery...

\Rightarrow W+jet background was underestimated

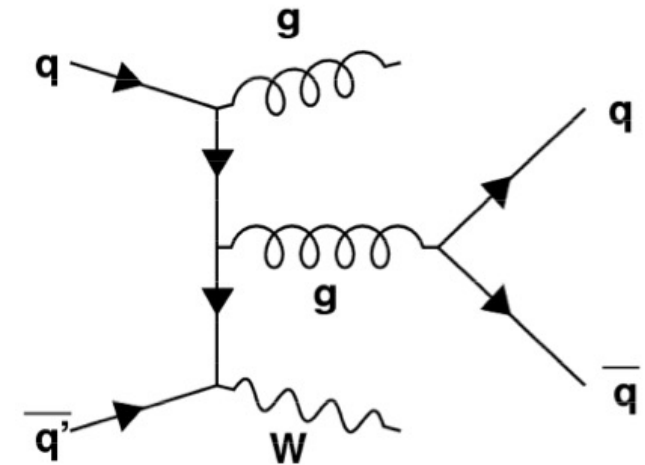


UA1: Z candidate event



Searches at hadron colliders

- 1988 UA1
- Larger data sample (x6, total of 600nb⁻¹)
- Improved understanding of the backgrounds
- Fake leptons, W+jets, DY, J/Ψ, bbar/ccbar



<u>channel</u>	<u>observed</u>	<u>expected background</u>
$\mu + \geq 2$ jets	10 events	11.5 ± 1.5 events
$e + \geq 1$ jets	26 events	23.4 ± 2.8 events

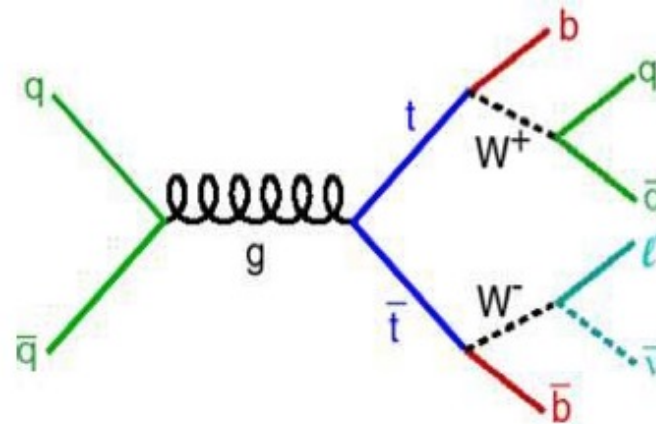
(+ 23 expected if $M_{\text{top}} = 40$ GeV)

⇒ conclude $M_{\text{top}} > 44$ GeV

Fermilab joins the hunt

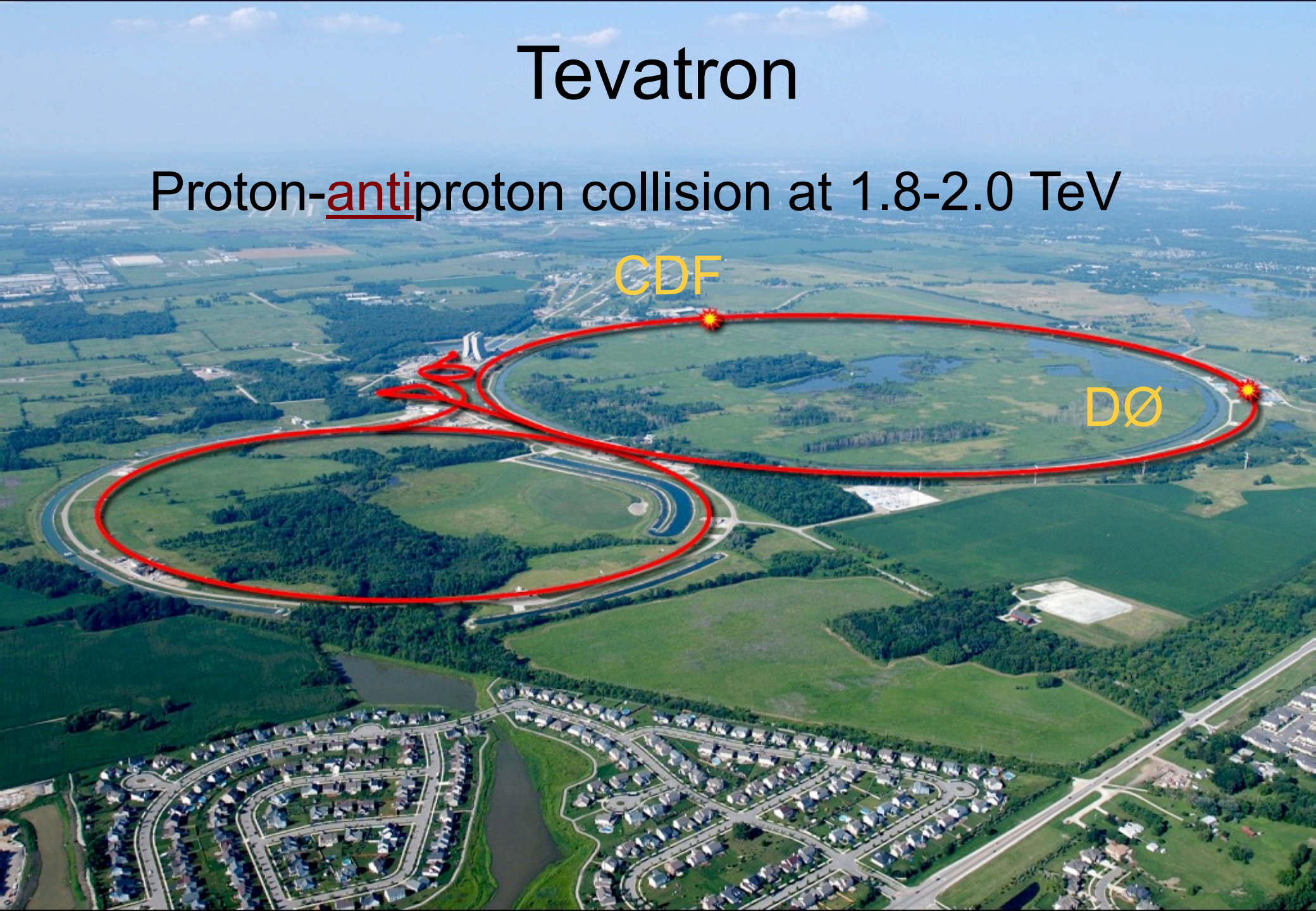
- 1988-89: at CERN, UA2 remains after the upgrades
- $\sqrt{1.8 \text{ TeV@Fermilab}}$ vs. $\sqrt{0.63 \text{ TeV@CERN}}$
- Much better reach for larger mass (only 75 GeV@UA2)
- At Tevatron, pair production dominates: $t\bar{t} \rightarrow Wb W\bar{b}$

%	$e\nu$	$\mu\nu$	$\tau\nu$	$q\bar{q}$
$e\nu$	1.2	2.5	2.5	14.8
$\mu\nu$		1.2	2.5	14.8
$\tau\nu$			1.2	14.8
$q\bar{q}$				44.4



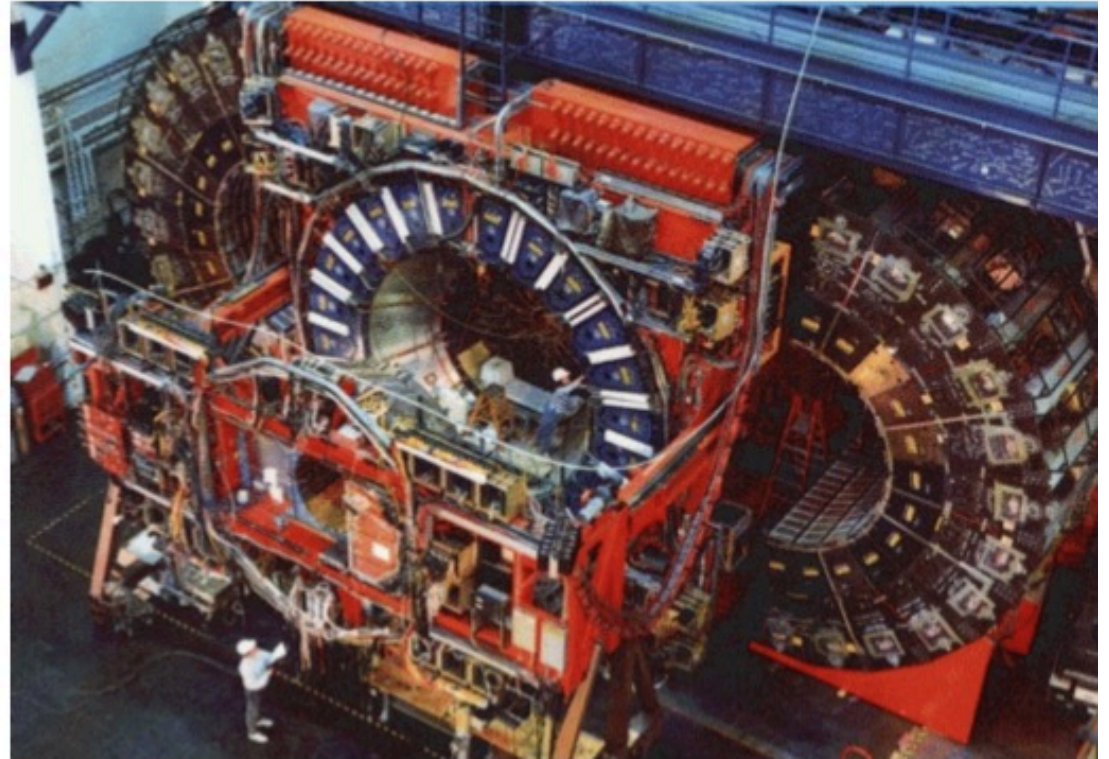
Tevatron

Proton-antiproton collision at 1.8-2.0 TeV





**12 countries, 62 institutions
767 physicists**



Searches at CDF

$e\mu$ channel

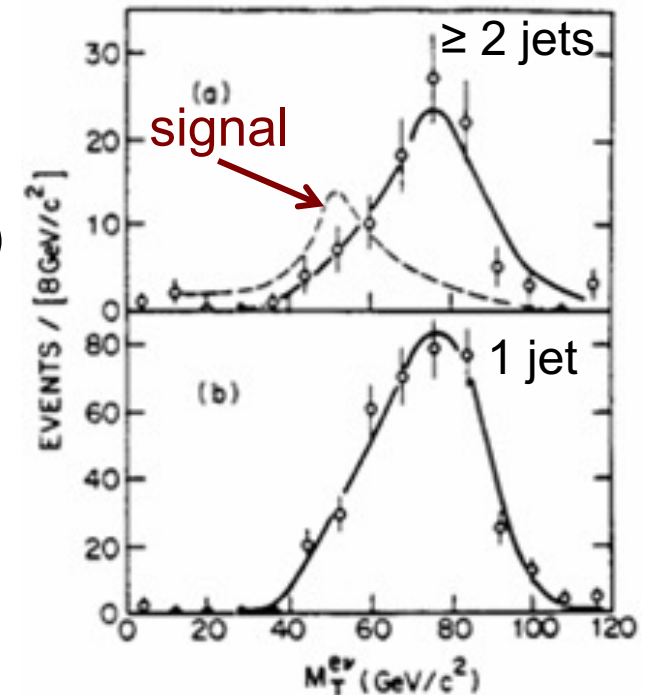
- Event rate lower: $2 \times \text{BR}(W \rightarrow e\nu)$
- Background small (no W +jets, no DY)
- Dominant background is $Z \rightarrow \tau\tau \rightarrow e\mu X$ (expect 1 evt)
- Observe 1 event (expect 7 evts for $M_{\text{top}}=70$ GeV)

$e\nu + \geq 2$ jets

- Dominant background: W +jets
- Discriminant: $e\nu$ transverse mass
 - Background: W on-shell
 - Signal: W off-shell for $M_{\text{top}}=40$ -80 GeV

$\Rightarrow M_{\text{top}} > 77$ GeV

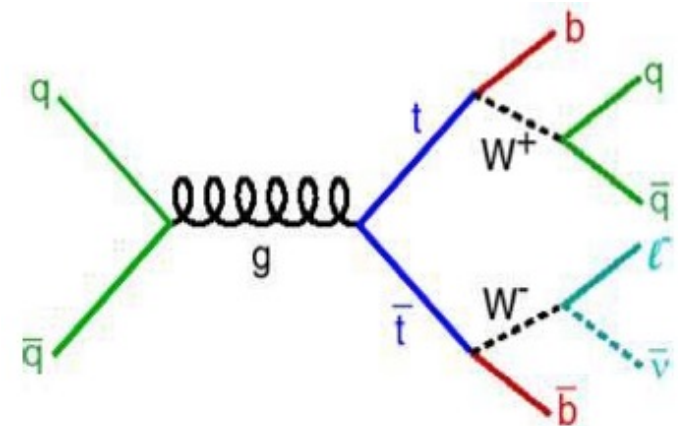
- UA2 uses similar technique: $M_{\text{top}} > 69$ GeV



Change of strategy: $M_{\text{top}} > M_b + M_W$

- Top quark decays to on-shell Ws: no $M_T(l\nu)$ discriminant
- Main differences:
 - background: W+jets (largely quarks and gluons)
 - signal: W+jets (2 jets are b-jets)
- CDF publication on 88-89 data:
 - Dilepton: include ee, $\mu\mu$, $e\mu$ (require missing ET, Z-veto)
 - Single lepton: require low p_T muon (semi-leptonic b-decays)

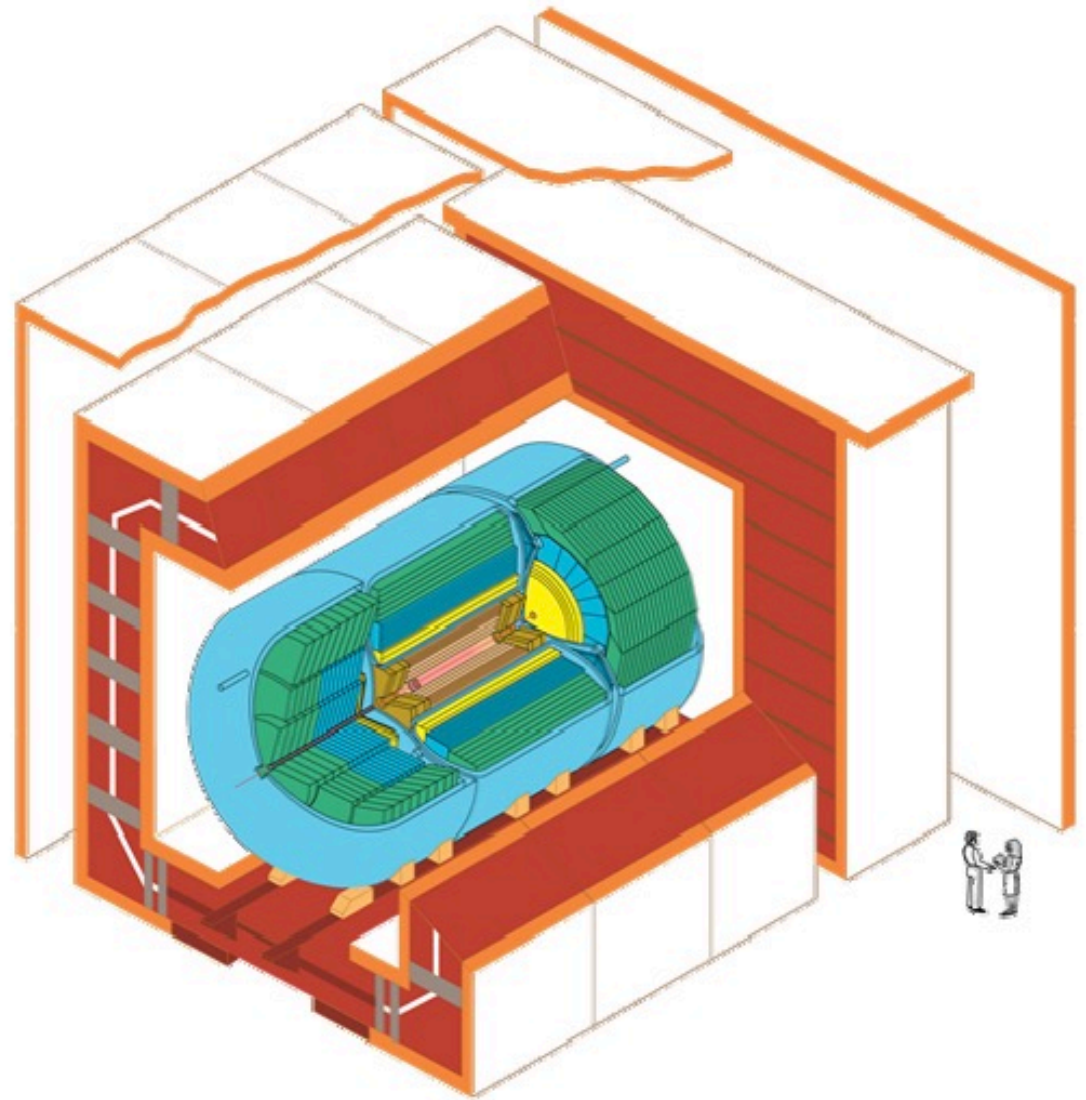
$\Rightarrow M_{\text{top}} > 91 \text{ GeV}$



D0 joins the hunt



19 countries
83 institutions, 664 physicists



D0 Detector

Searches at Tevatron: CDF and D0

1992-1995

- Tevatron with higher luminosity
- D0: excellent calorimetry, large solid angle and coverage
- CDF: precision vertex detector, good tracker, magnetic spectrometer

Run 1A:

- D0: optimized search for $M_{\text{top}}=100$ GeV

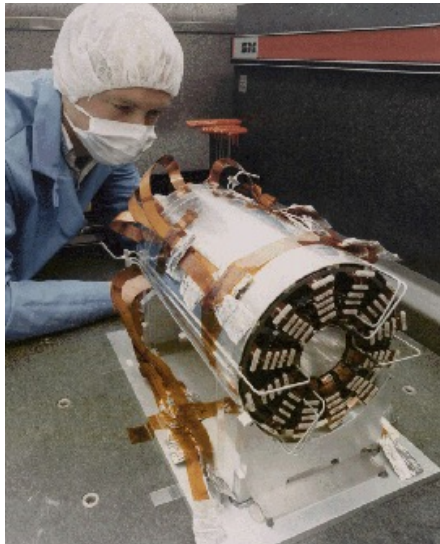
– $e\mu+\geq 1\text{jet}+\text{MET}$	1 evt	(1.1 bkg)
– $ee+\geq 1\text{jet}+\text{MET}$	1	(0.5)
– $e+\geq 4\text{jets}+\text{MET}$	1	(2.7)
– $\mu+\geq 4\text{jets}+\text{MET}$	0	(1.6)

$\Rightarrow M_{\text{top}} > 131$ GeV@95%CL

Detecting the top quark at CDF

- Strategy

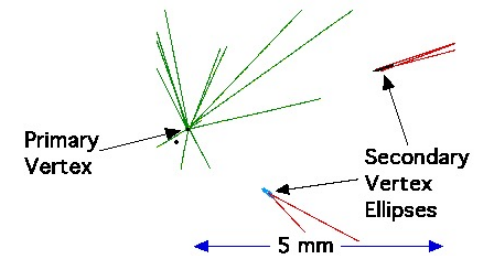
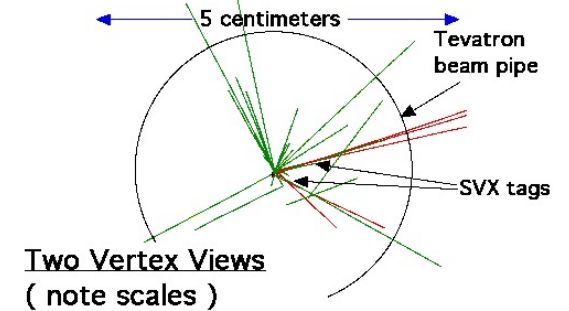
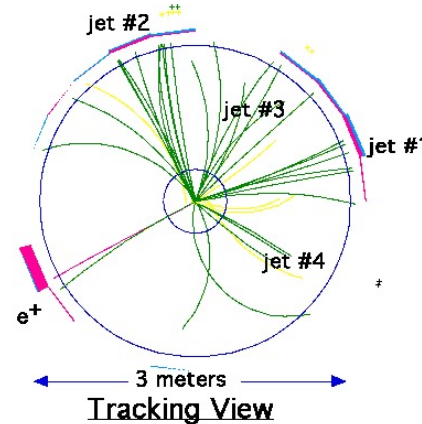
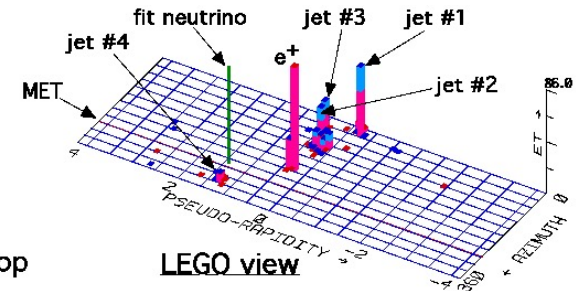
- dilepton: +2 jets
- single lepton: b-tagging
 - 1) soft e/μ : semi-leptonic b-decay
 - 2) secondary vertex



New: CDF vertex detector (SVX)
 (40 μm impact parameter resolution)
 powerful discriminant against background

$e + 4$ jet event
 40758_44414
 24-September, 1992

TWO jets tagged by SVX
 fit top mass is 170 ± 10 GeV
 e^+ , Missing E_T , jet #4 from top
 jets 1,2,3 from top (2&3 from W)

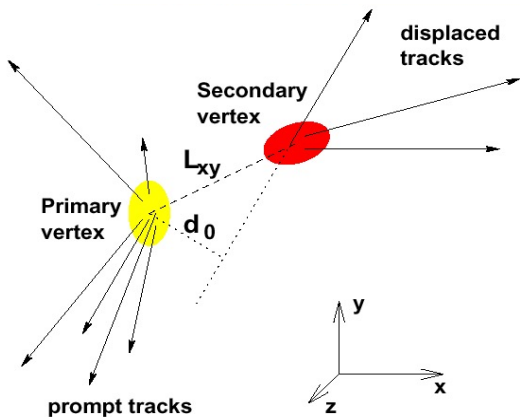


Tagging b-jets

- Top events contain B hadrons
- Only 1-2% of dominant W +jets background contains heavy flavor

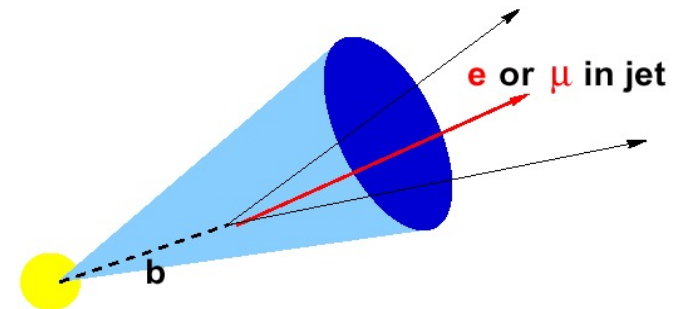
B hadrons are long-lived

Vertex displaced tracks



semileptonic B hadron decay

Soft Lepton Tagging



- $b \rightarrow lvc$ (BR $\sim 20\%$)
- $b \rightarrow c \rightarrow lvs$ (BR $\sim 20\%$)

55%

0.5%

Top Event Tagging Efficiency

False Tag Rate (QCD jets)

15%

3.6%

1993

Coll. Meeting, Aug. 1993:

- Status report from each group (dilepton, single lepton)
- Small, not significant excess in all channels

Type	observed	background
DIL	2 events	$0.56^{+0.25}_{-0.13}$
SVX	6 tags	2.3 ± 0.3
SLT	7 tags	3.1 ± 0.3
total	12 events	---

← 3 events in
← common

- In total, an excess of events
- Background fluctuation probability: 2.8σ
- Skepticism, additional studies, cross-checks
- Additional 8 months before making the results public

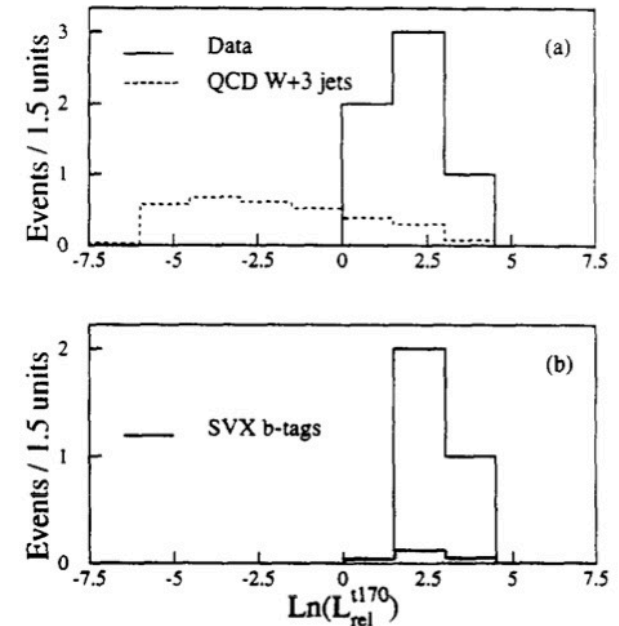
Final steps: CDF and D0

CDF: counting experiment yields 2.8σ

- Few checks: no major discrepancy
- Other checks consistent with presence of signal
- Mass distribution looked good
- There were also other analyses at CDF
 - Difference of jet E_T spectra for signal and bkg
 - Separate two component for signal and bkg
 - CDF chose not to use those for first publication
- Use “counting” experiment

D0: added more data and re-optimized for heavy top (single and dilepton)

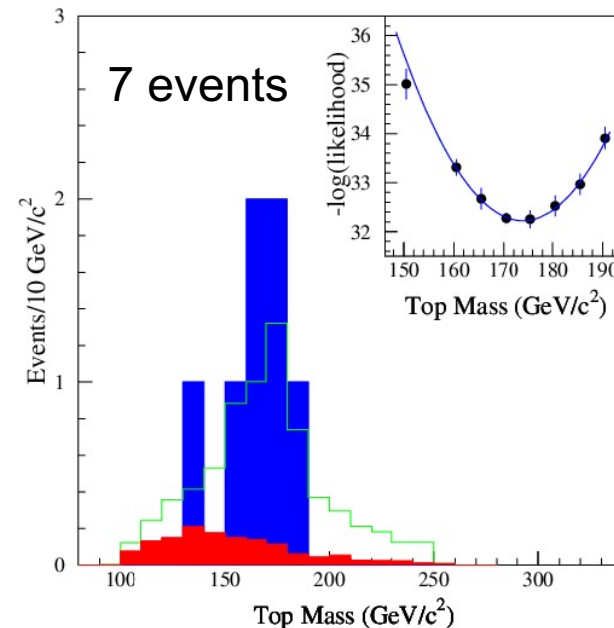
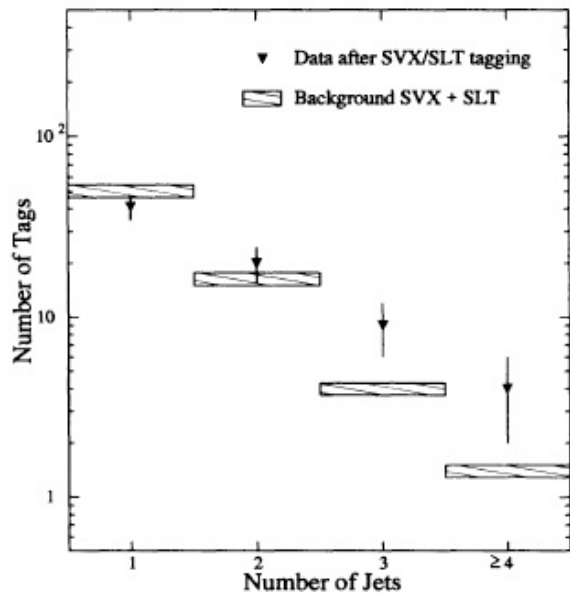
- Observed 7 events (expect 4-6 from bkg)
- No independent evidence



First evidence (1994)

Evidence for Top Quark Production in $\bar{p}p$ Collisions at $\sqrt{s} = 1.8$ TeV

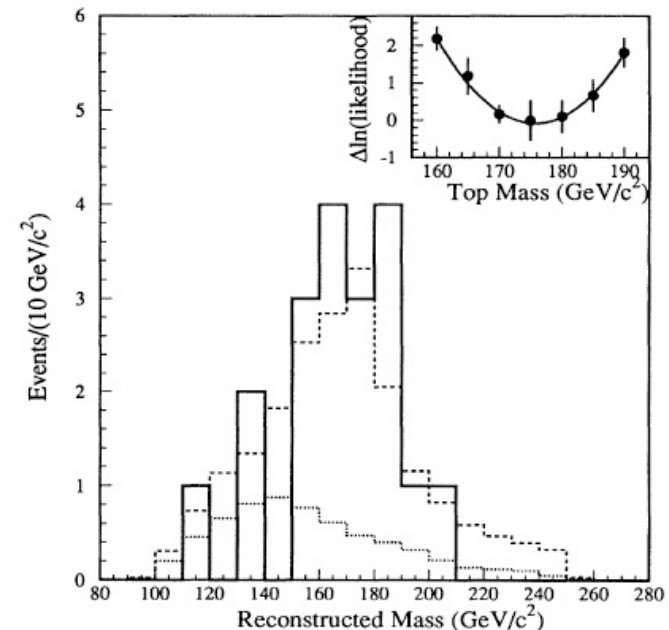
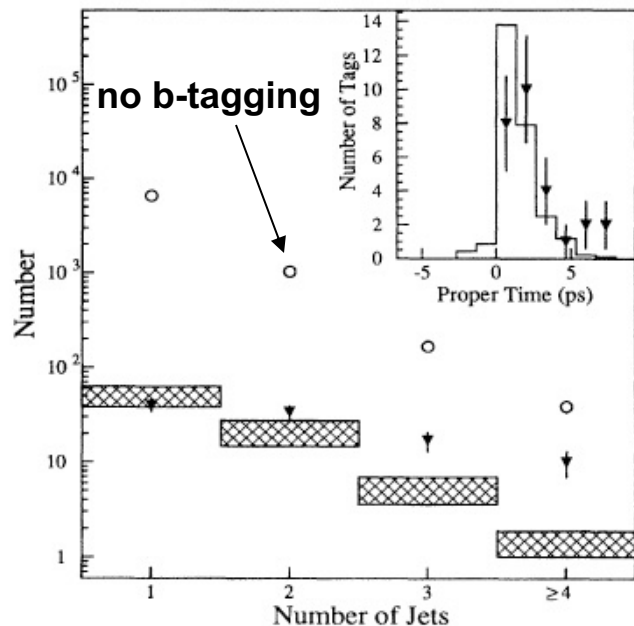
We summarize a search for the top quark with the Collider Detector at Fermilab (CDF) in a sample of $\bar{p}p$ collisions at $\sqrt{s} = 1.8$ TeV with an integrated luminosity of 19.3 pb^{-1} . We find **12 events** consistent with either two W bosons, or a W boson and at least one b jet. The probability that the measured yield is consistent with the background is 0.26%. Though the statistics are too limited to establish firmly the existence of the top quark, a natural interpretation of the excess is that it is due to $t\bar{t}$ production. Under this assumption, constrained fits to individual events yield a top quark mass of **$174 \pm 10 \pm 3$** GeV/c^2 . The $t\bar{t}$ production cross section is measured to be **13.9 ± 6.1** pb .

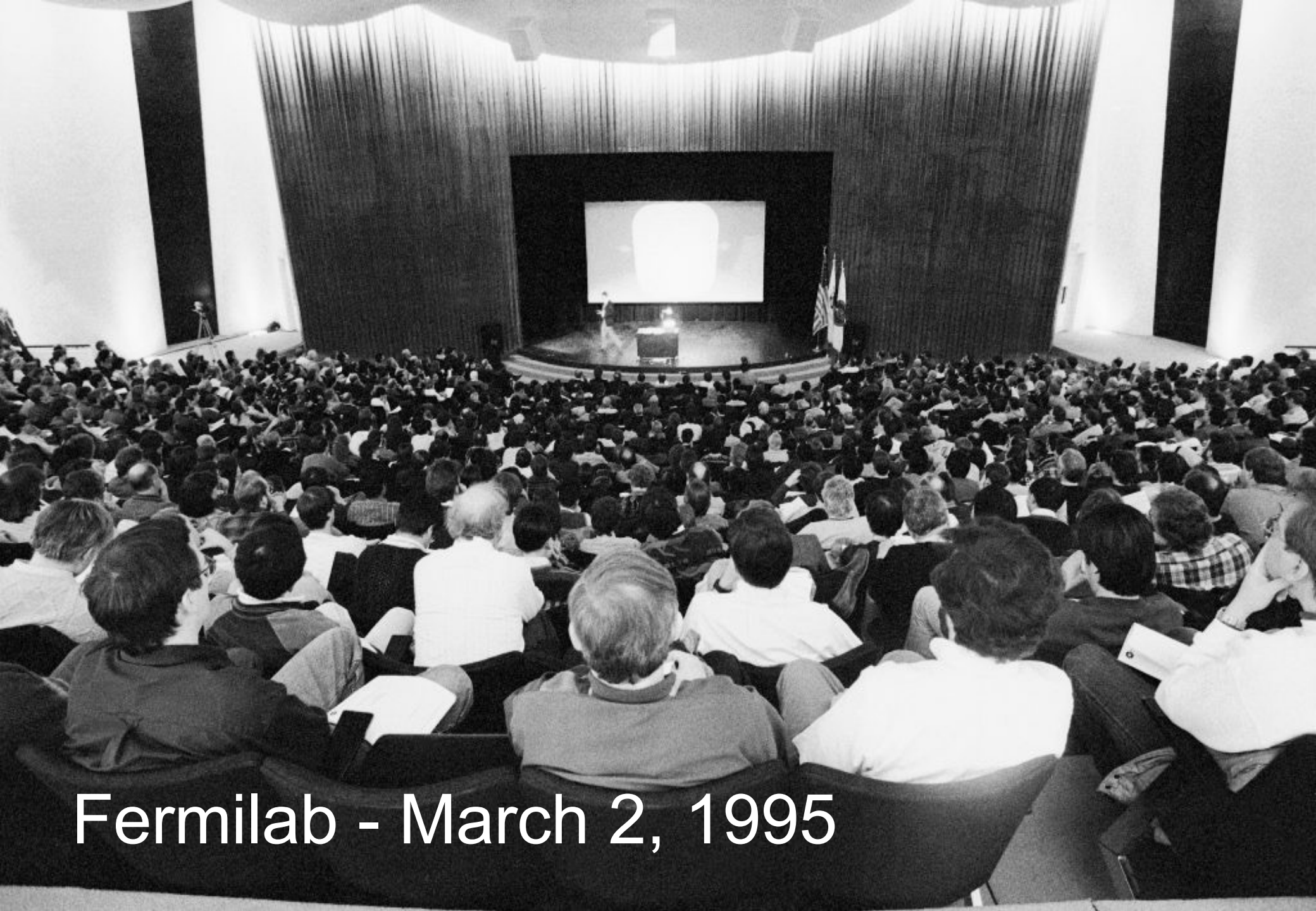


First measurements

Observation of Top Quark Production in $\bar{p}p$ Collisions with the Collider Detector at Fermilab

We establish the existence of the top quark using a 67 pb^{-1} data sample of $\bar{p}p$ collisions at $\sqrt{s} = 1.8 \text{ TeV}$ collected with the Collider Detector at Fermilab (CDF). Employing techniques similar to those we previously published, we observe a signal consistent with $t\bar{t}$ decay to $Wb\bar{b}$, but inconsistent with the background prediction by 4.8σ . Additional evidence for the top quark is provided by a peak in the reconstructed mass distribution. We measure the top quark mass to be $176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}/c^2$, and the $t\bar{t}$ production cross section to be $6.8_{-2.4}^{+3.6} \text{ pb}$



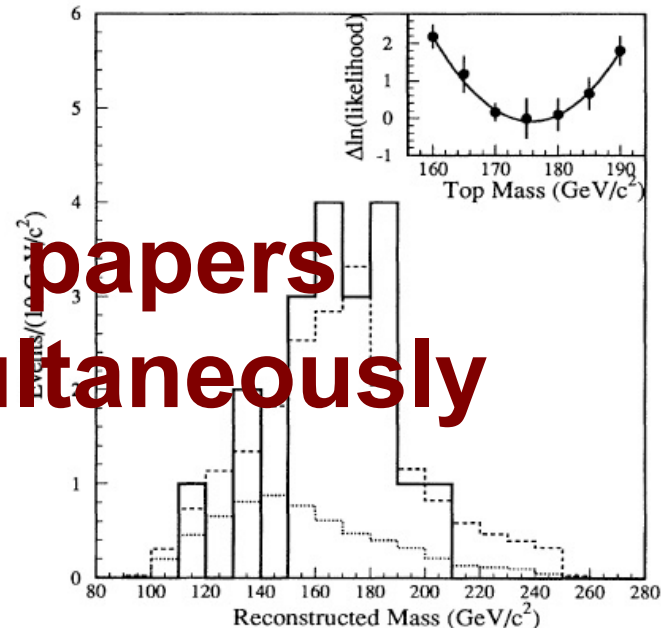
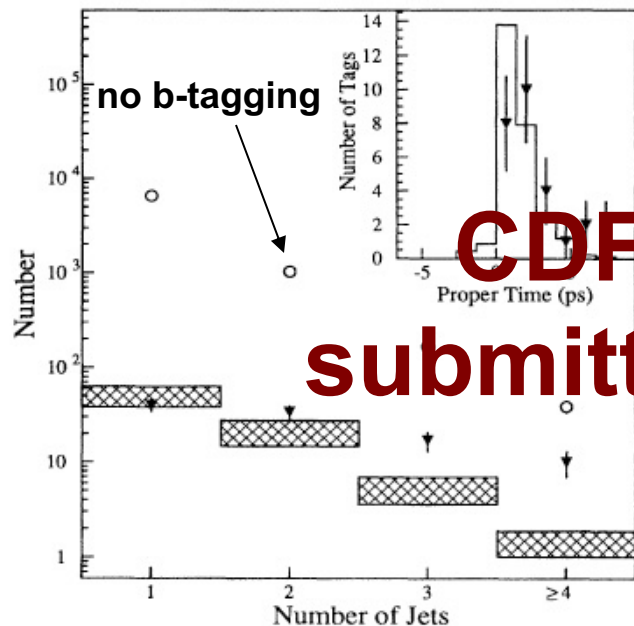


Fermilab - March 2, 1995

First measurements

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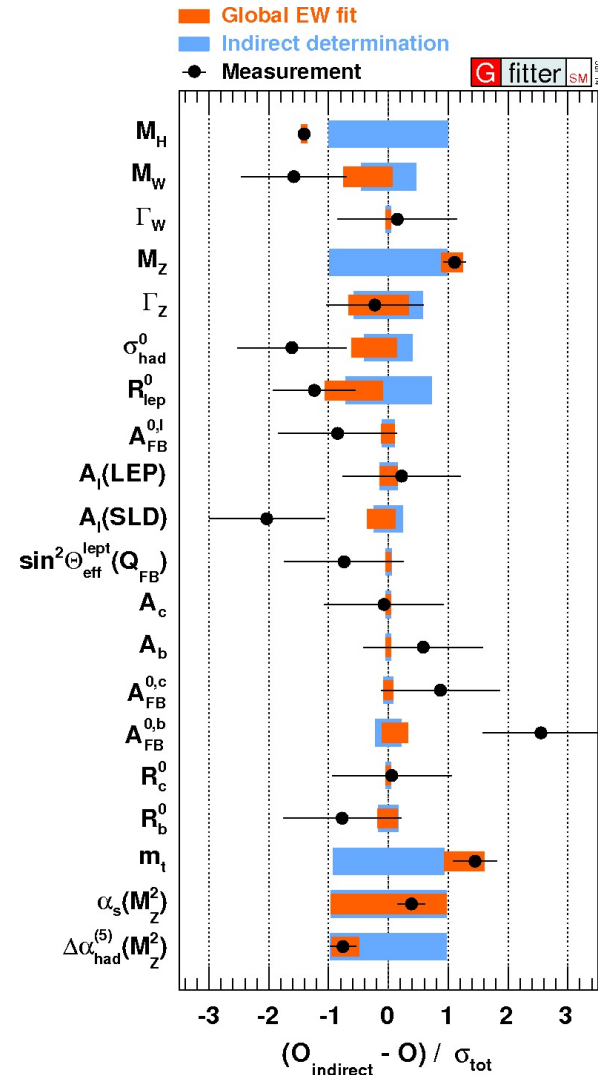
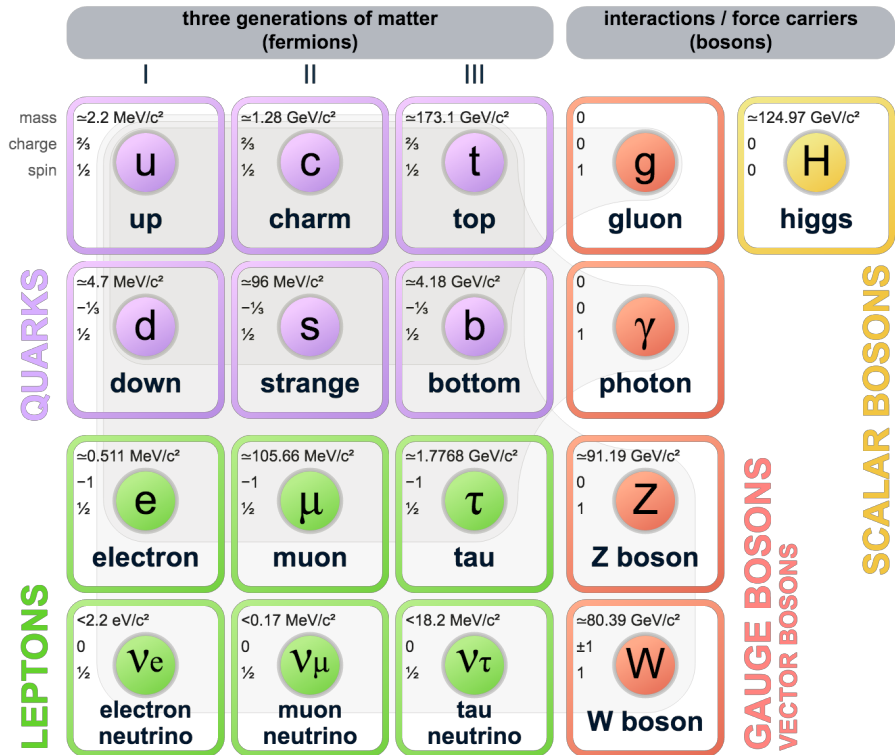
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**CDF and D0 papers
submitted simultaneously**

SM confirmed by the data

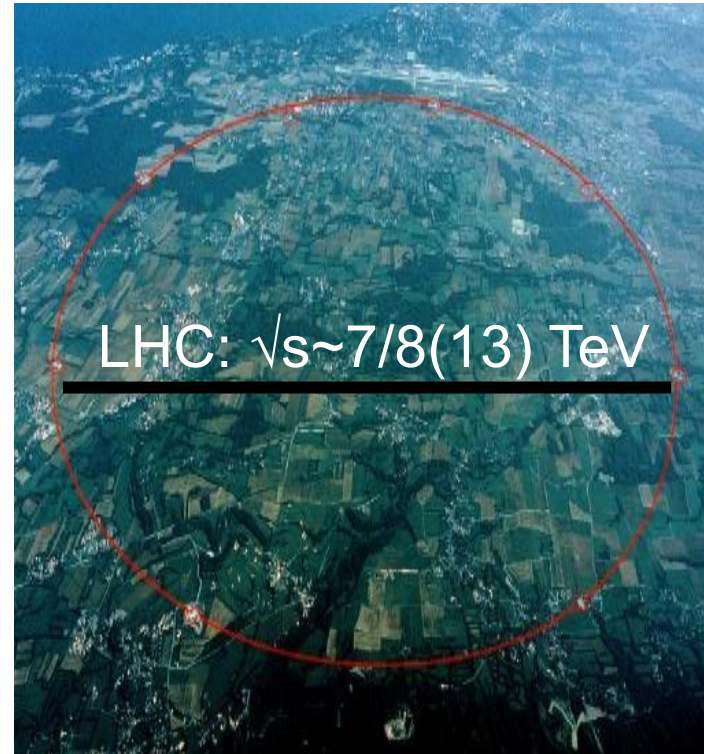
Standard Model of Elementary Particles



Excellent agreement with all experimental results

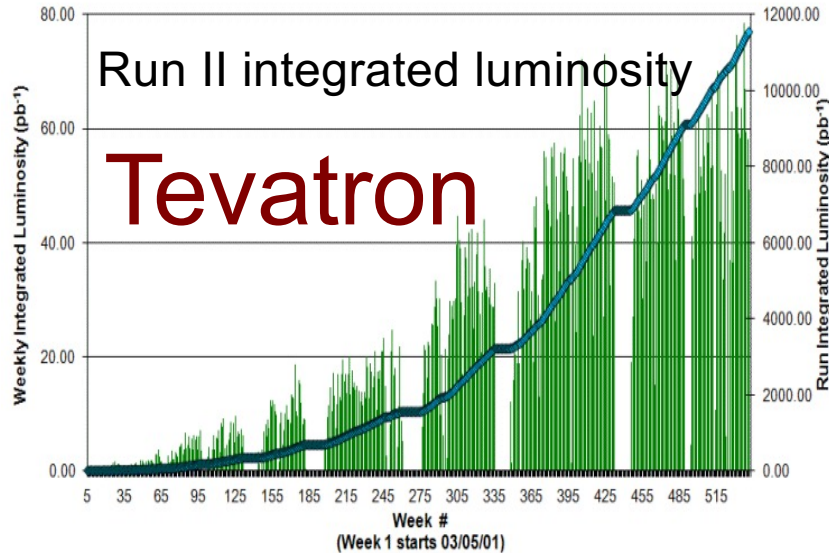
The Large Hadron Collider

- Built to explore new energy frontiers
 - First colliding beams in 2009
 - started with “low” luminosity in 2010
 - $\sim 5 \text{ fb}^{-1}$ @ 7 TeV delivered in 2011
 - $\sim 20 \text{ fb}^{-1}$ @ 8 TeV in 2012
 - $> 150 \text{ fb}^{-1}$ @ 13 TeV in 2015-2018
- re-establish SM measurements
- access to new physics processes

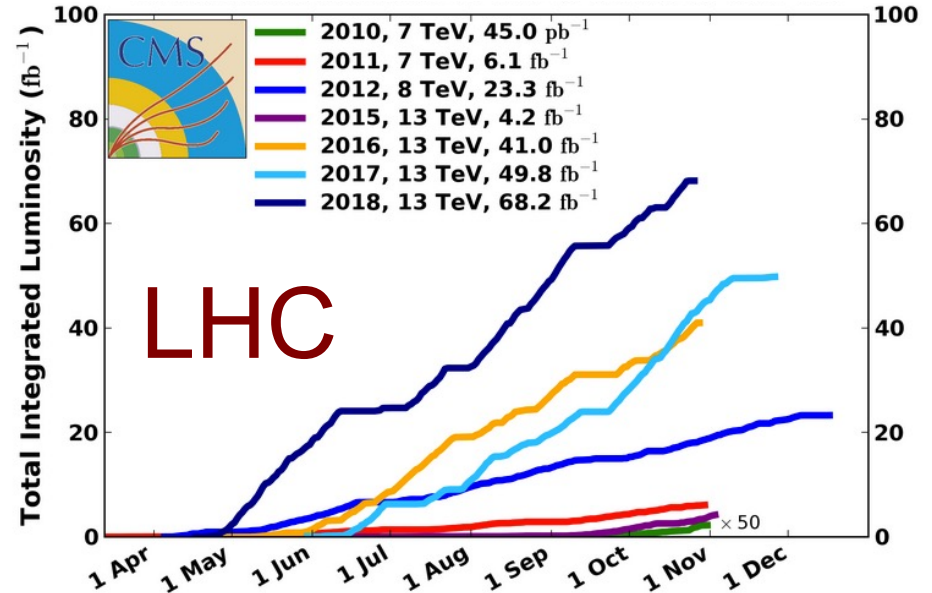


⇒ Top quarks give access to SM and BSM (?)

Tevatron vs LHC



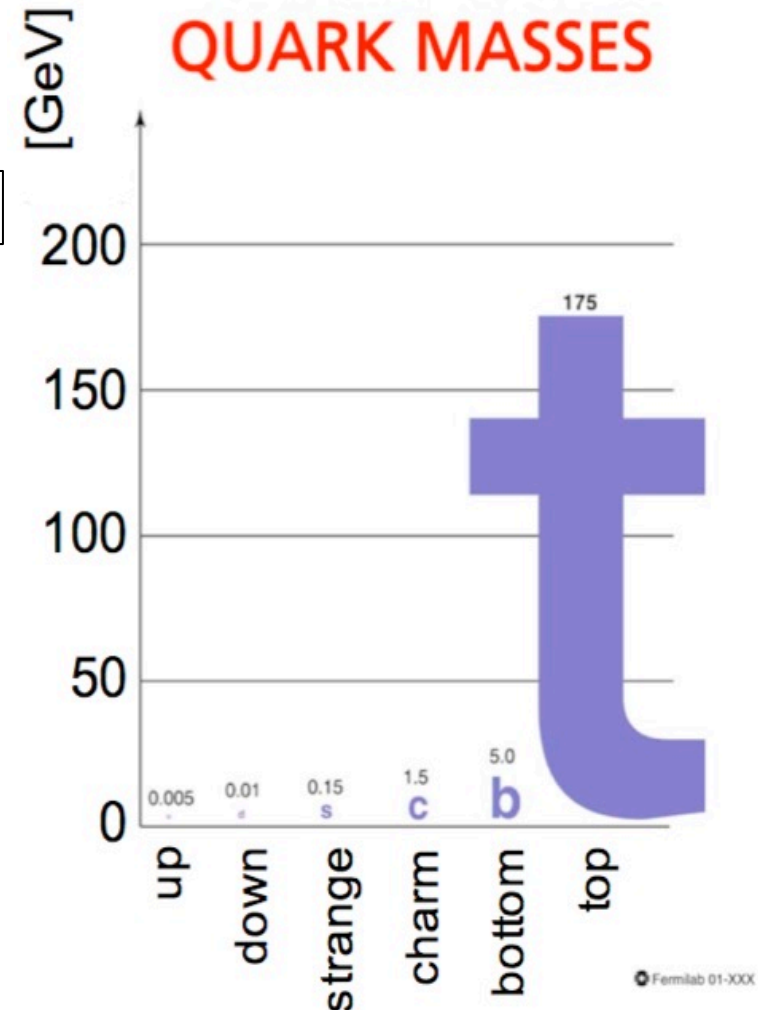
Energy: 1.96 TeV
 Int. Luminosity: 12 fb⁻¹
 Age: ~25 years
 Events/exp (1 fb⁻¹)
 350 ee eμ, μμ
 2k lepton + jets



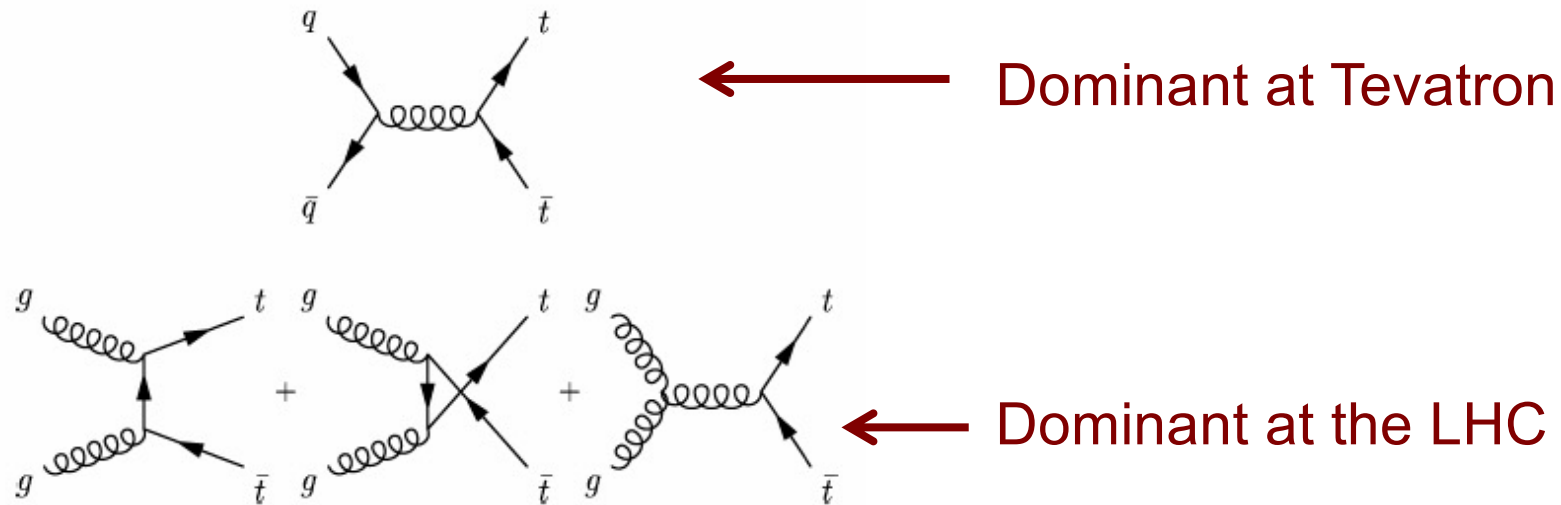
Energy: 7/8/(13) TeV
 Int. Luminosity: 5/20/(150) fb⁻¹
 Age: ~9 years
 Events/exp (1 fb⁻¹)
 40k ee eμ, μμ
 250k lepton + jets

The top quark

- The heaviest known elementary particle
- Large coupling to the Higgs: ~ 1
- Short lifetime $\tau = 0.4 \times 10^{-24}$ sec
 - for $m_{\text{top}} = 175$ GeV $\Rightarrow \Gamma = 1.4$ GeV \Rightarrow no hadronization
 - large contributions to EWK corrections $\sim G_F m_{\text{top}}^2$
 - very short lifetime \Rightarrow bound states are not formed \Rightarrow opportunity to study a free quark
- Large samples of top quarks available
- Top quarks are main background for many New Physics searches
- Precision measurements may provide insight into physics beyond SM



How is the top quark produced?



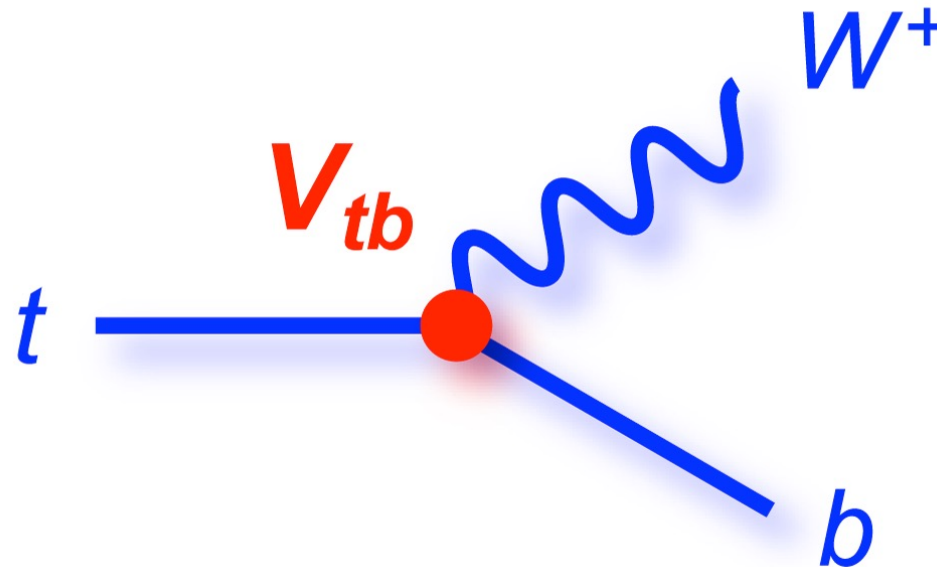
Predicted cross sections:

Collider	σ_{tot} [pb]	scales [pb]	PDF [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) -5.8(3.4%)	+4.7(2.7%) -4.8(2.8%)
LHC 8 TeV	245.8	+6.2(2.5%) -8.4(3.4%)	+6.2(2.5%) -6.4(2.6%)
LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

	LHC	Tevatron
gg	~85%	~10%
qq	~15%	~90%

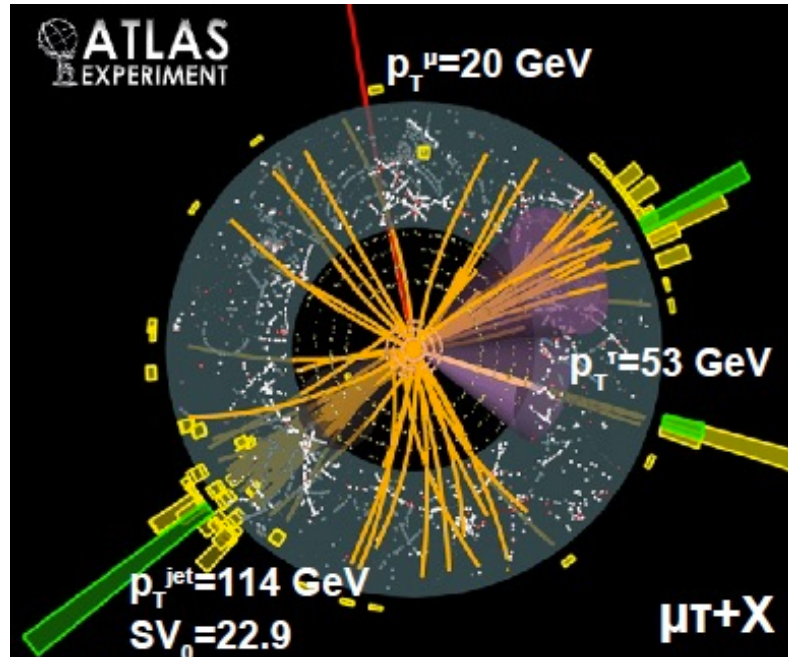
Czakon et al. PRL 110, 252004 (2013)

How does a top quark decay?

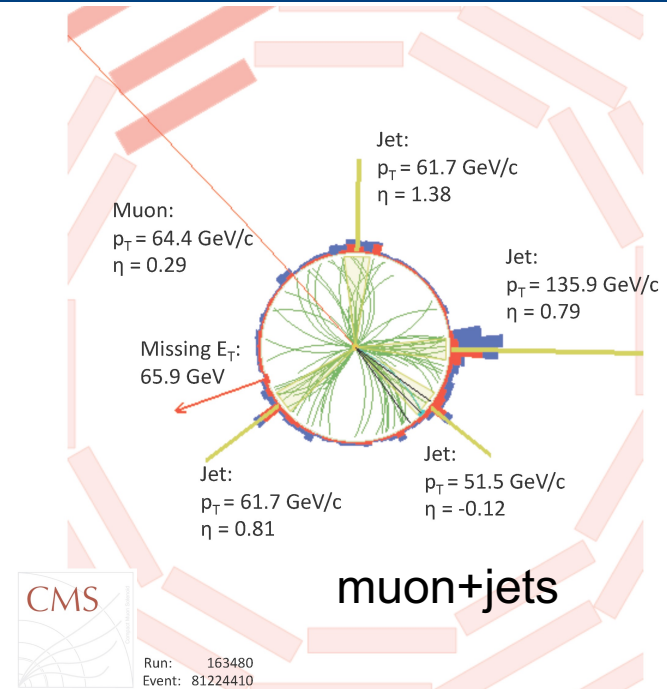


- almost always $t \rightarrow Wb$ (i.e. $V_{tb} \sim 1$)
- lifetime is short, and it decays before hadronizing
- the W is real:
 - can decay $W \rightarrow l\nu$ ($l=e,\mu,\tau$), $BR \sim 1/9$ per lepton
 - can decay $W \rightarrow qq$, $BR \sim 2/3$

Selection of top quark events



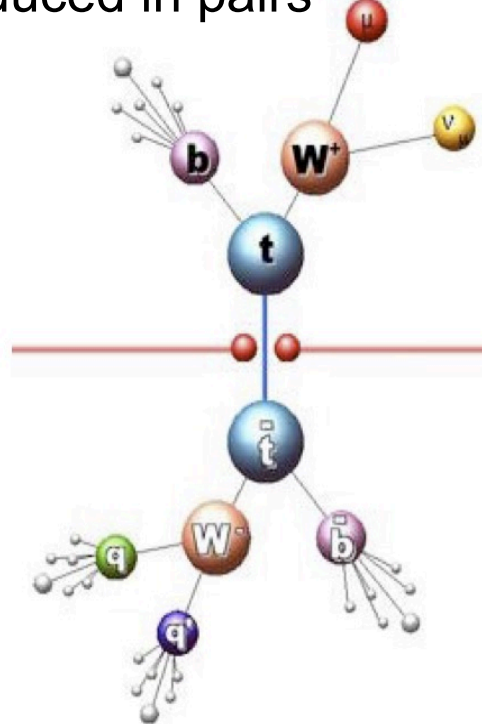
- Trigger:
 - single or double (isolated) lepton
- Leptons:
 - e/μ , $p_T > 20/30$ GeV, $|\eta| < 2.5$
 - Identification/reconstruction
 - Tracker/calorimeter isolation



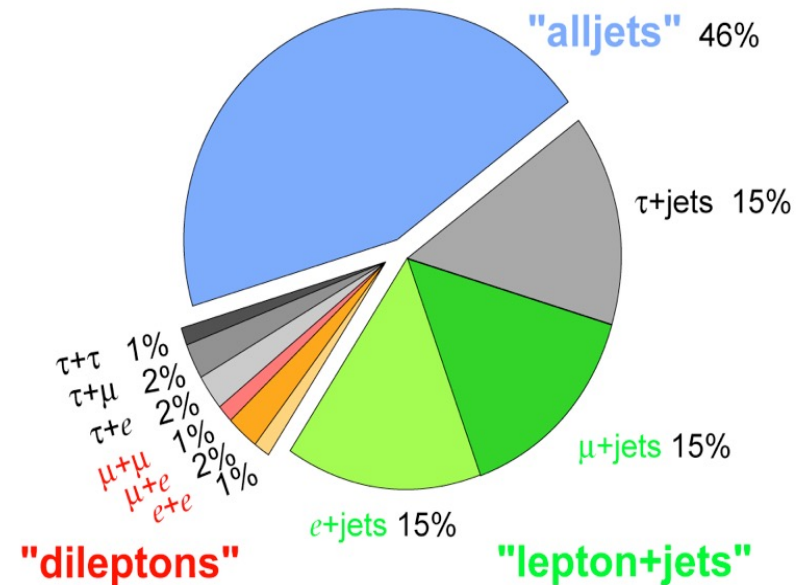
- Jets:
 - at least 2 jets, $p_T > 30$ GeV, $|\eta| < 2.5$
 - anti-kT algorithm, with cone 0.4-0.5
 - b-tagging is optional
- Missing transverse energy:
 - Typically require 30-40 GeV

Top quark decays

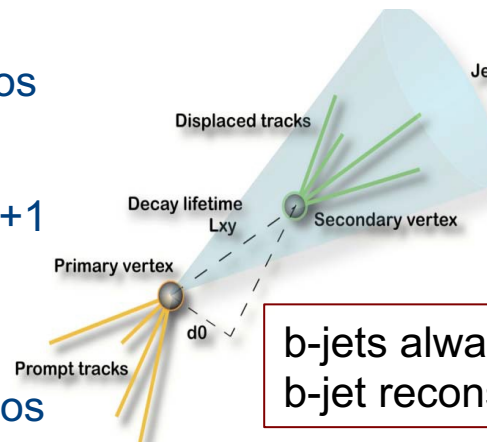
Top quarks (mostly) produced in pairs



Top Pair Branching Fractions

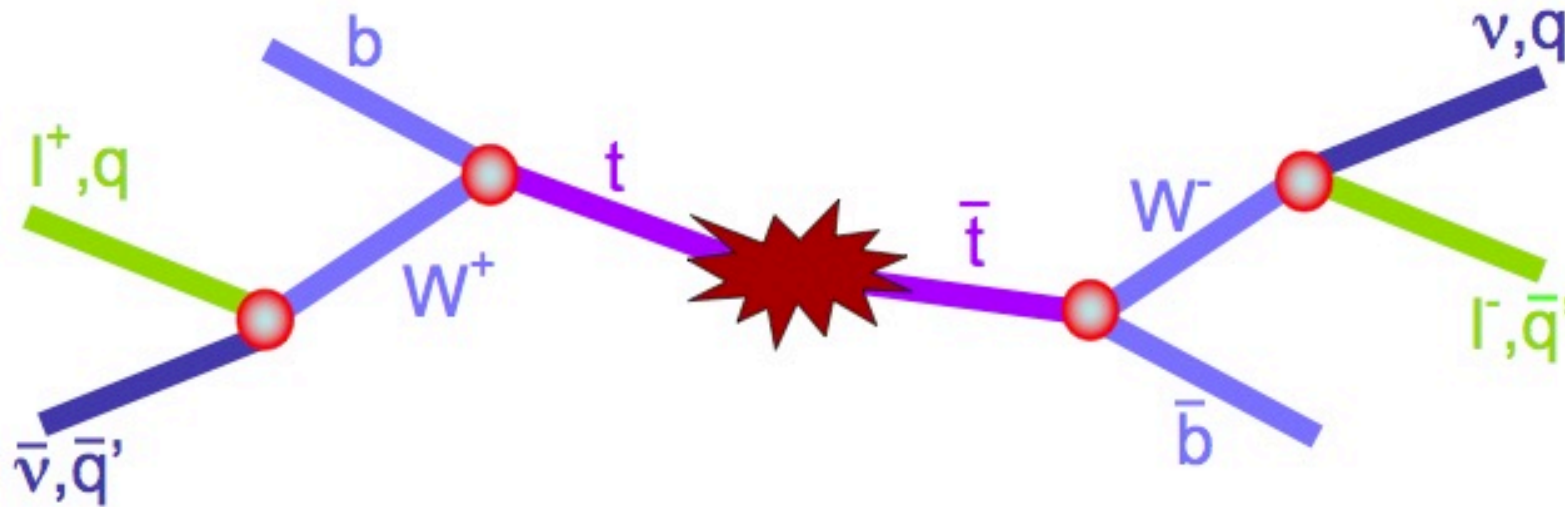


- Dilepton (ee , $\mu\mu$, $e\mu$):
 - BR~5%, 2 leptons+2 b-jets+2 neutrinos
- Lepton (e or μ) + jets
 - BR~30%, one lepton+4jets (2 from b)+1 neutrino
- All hadronic
 - BR~44%, 6 jets (2 from b), no neutrinos



b-jets always present
b-jet reconstruction plays important role

Interesting physics with Top quark



PRODUCTION

Cross section
Resonances $X \rightarrow tt$
Fourth generation t'
Spin-correlations
New physics (SUSY)
Flavour physics (FCNC)

...

PROPERTIES

Mass
Kinematics
Charge
Lifetime and width
W helicity
Spin

...

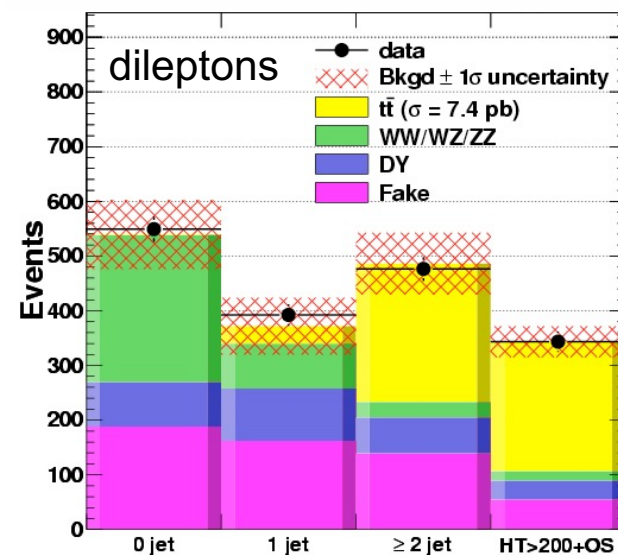
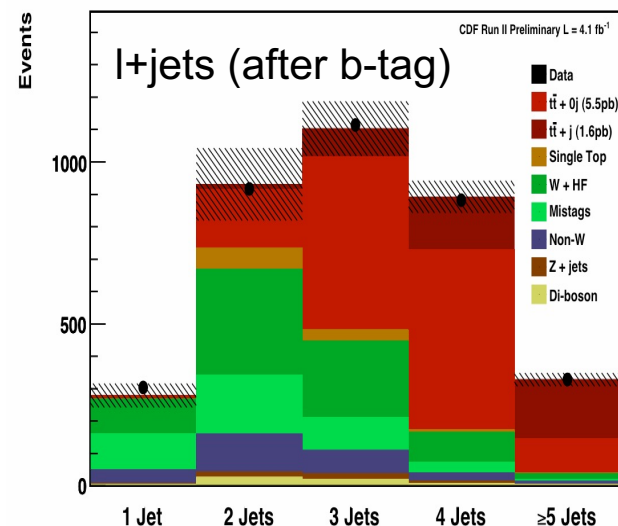
DECAY

Branching ratios
Charged Higgs (non-SM)
Anomalous couplings
Rare decays
CKM matrix elements
Calibration sample @LHC

...

Top quark events

- LHC@13TeV cross section ~ 100 times larger than Tevatron
- select $t\bar{t}$ events at LHC:
 - understand/calibrate detector
 - measure properties
- event selection includes SM control events
- $t\bar{t}$ final state is complex (ie not mass peak)
- Top quarks and new physics:
 - $t\bar{t}$ sample may contain new physics
 - look at jet multiplicity bins (since $t\bar{t}$ is background e.g. for SUSY), or other variables



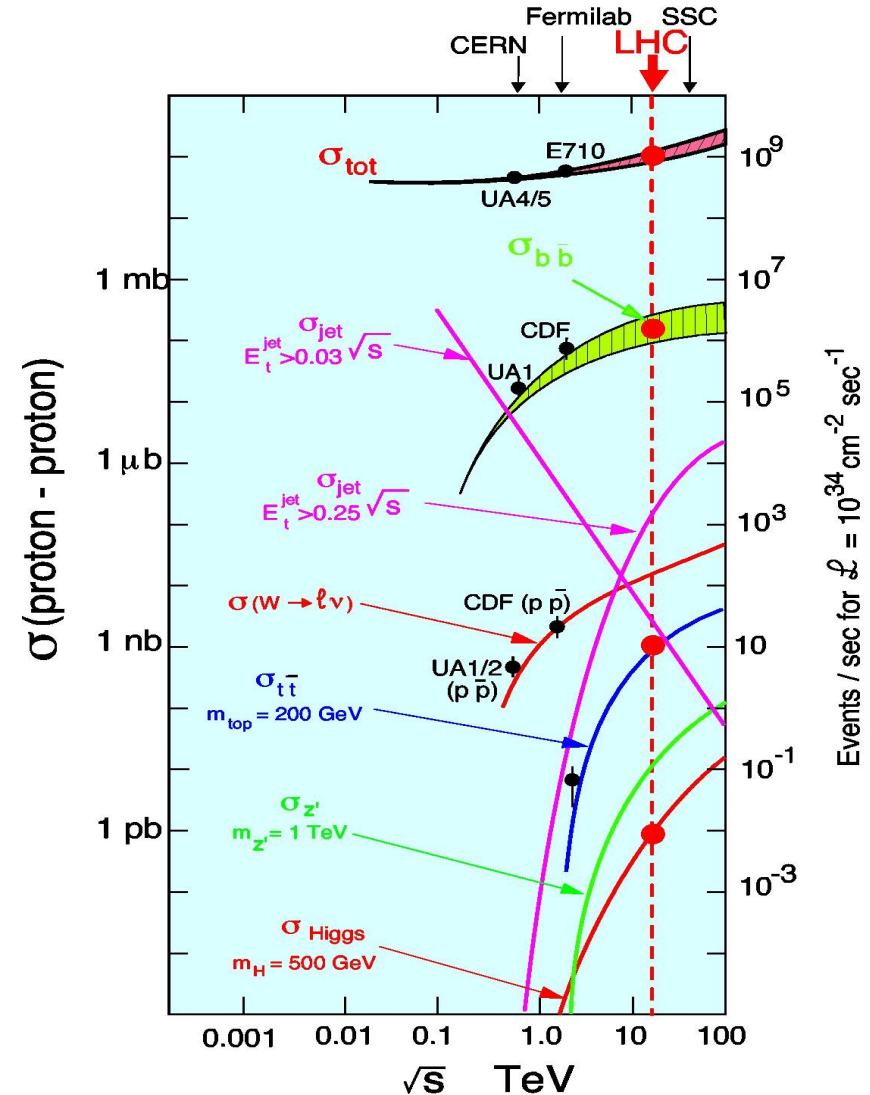
Theory cross sections: TeV vs LHC

Collider	σ_{tot} [pb]	scales [pb]	PDF [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) -5.8(3.4%)	+4.7(2.7%) -4.8(2.8%)
LHC 8 TeV	245.8	+6.2(2.5%) -8.4(3.4%)	+6.2(2.5%) -6.4(2.6%)
LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

Including NNLO+NNLL approximations
PRL 110, 252004 (2013) (M. Czakon et al.)

Top cross section at 7/8 vs 13 TeV

- LHC collisions started at 7/8 TeV
- LHC design is at 14 TeV
- Top cross section drops faster than background processes at lower \sqrt{s}
 - top $\sigma(7\text{TeV}) = 172 \text{ pb}$
 - top $\sigma(8\text{TeV}) = 246 \text{ pb}$
 - top $\sigma(13\text{TeV}) = 832 \text{ pb}$
- Background is more “flat”



Cross section measurement

$$\sigma_{t\bar{t}} = \frac{N_{obs} - N_{bgd}}{\epsilon_{t\bar{t}} \cdot \int L dt}$$

Number of observed events

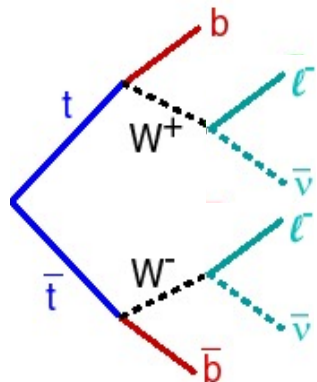
Number of background events
(from data, calculated from theory)

Acceptance
(experimental: detector, efficiencies)

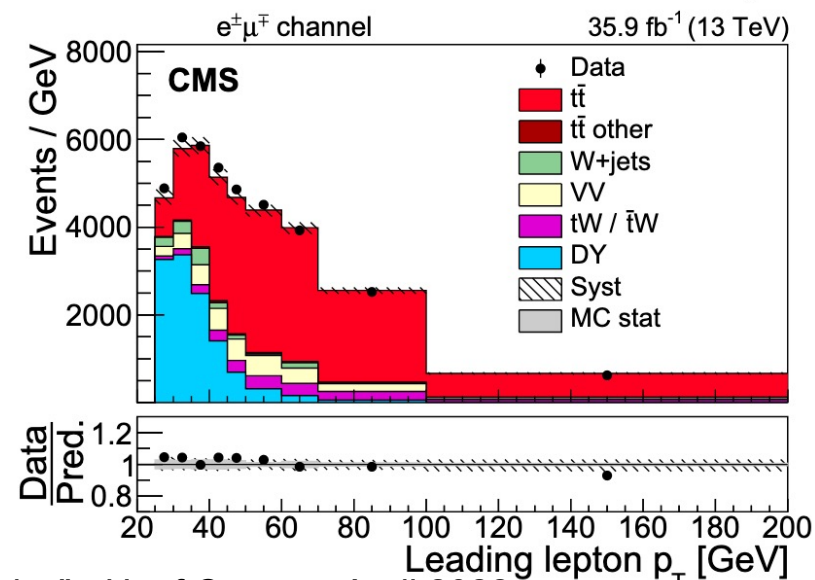
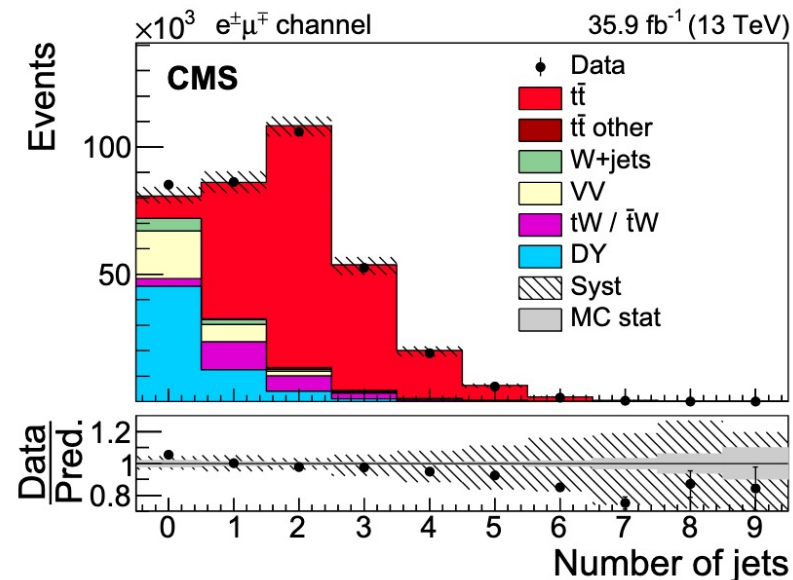
Luminosity
(determined by amount of data, accelerator, triggers, etc)

Dilepton channel

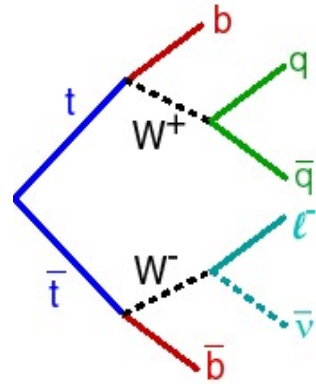
EPJC 79(2019)368



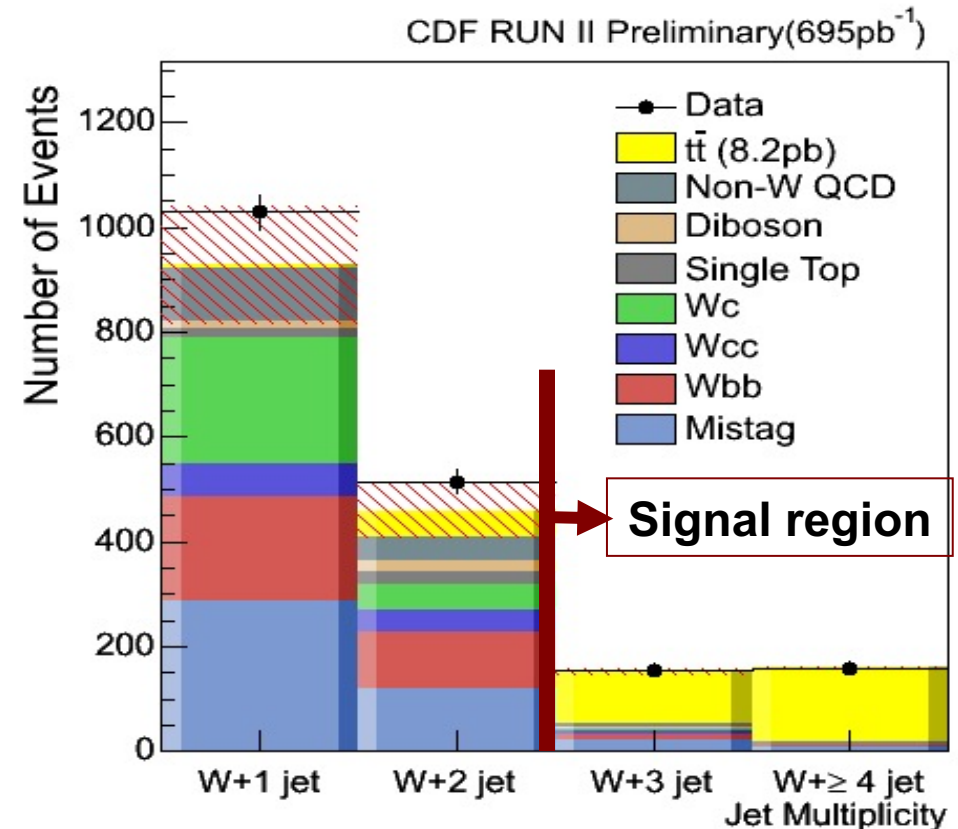
- Branching ratio (BR) $\sim 5\%$
- Background: **small**
- Clean final state
 - two leptons + ≥ 2 jets + MET
 - kinematic variables
- Signal visible w/without b-tagging
- Main systematics: JES, lepton ID, (pileup, b-tag, signal modeling)



Lepton + jets



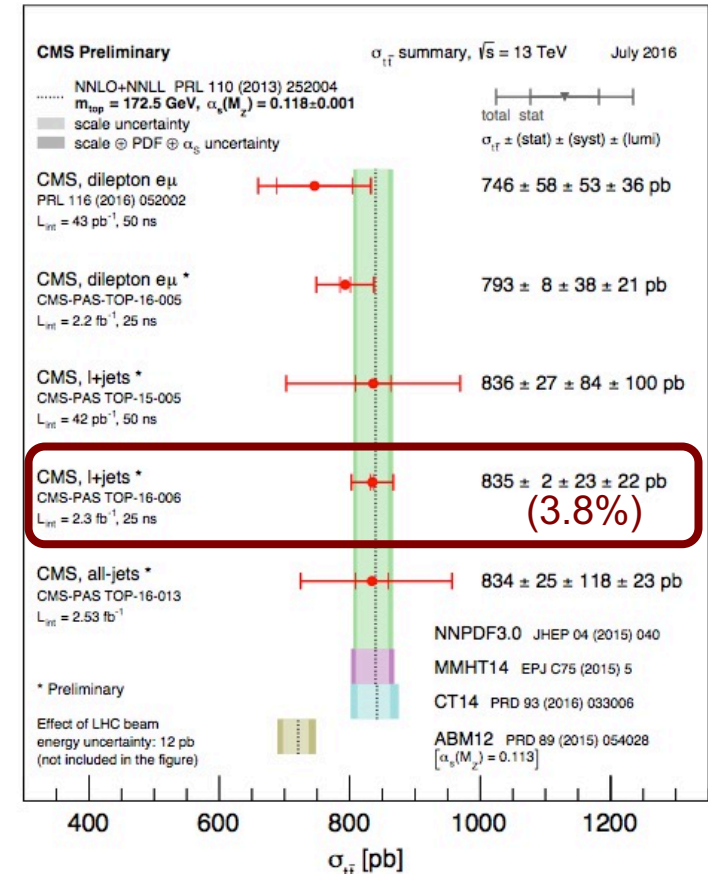
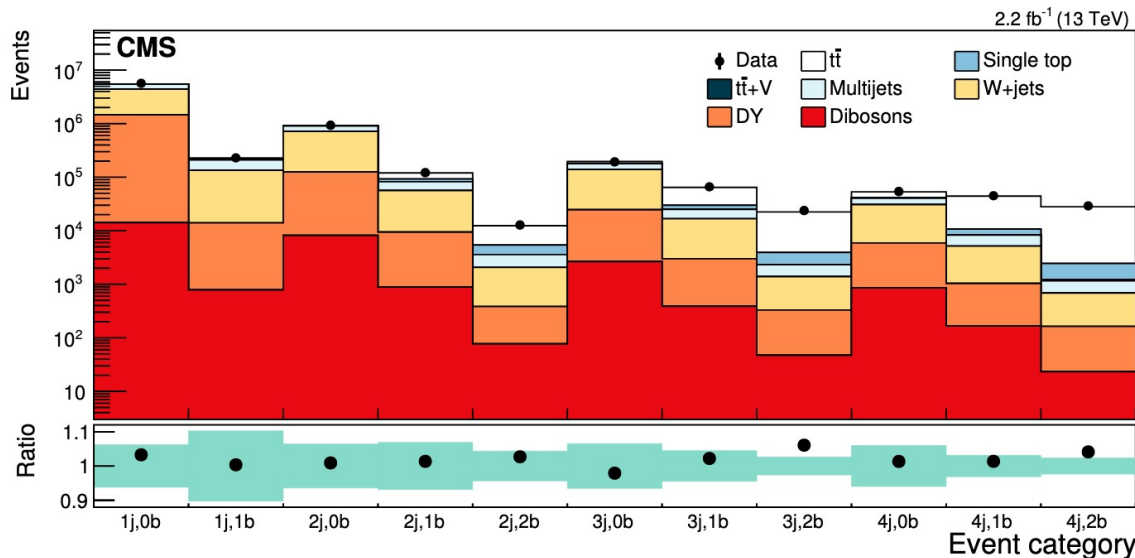
- BR $\sim 30\%$
- Background: moderate
- Selection:
 - one lepton + ≥ 3 jets + MET
 - may require b-tag
- Main backgrounds:
 - hadronic multi-jet, W+jets



Cross section: multi-dimensional fit

JHEP 09(2017)051

- Lepton+jet final state
- Keep selection as inclusive as possible
- Categorize events according to (b-) jet multiplicity
 - high-purity vs background dominated
 - Constrain systematics (JES, ISR/FSR, modeling, etc)
- Combined fit of M_{lb} to signal and backgrounds
- Precise cross section measurement



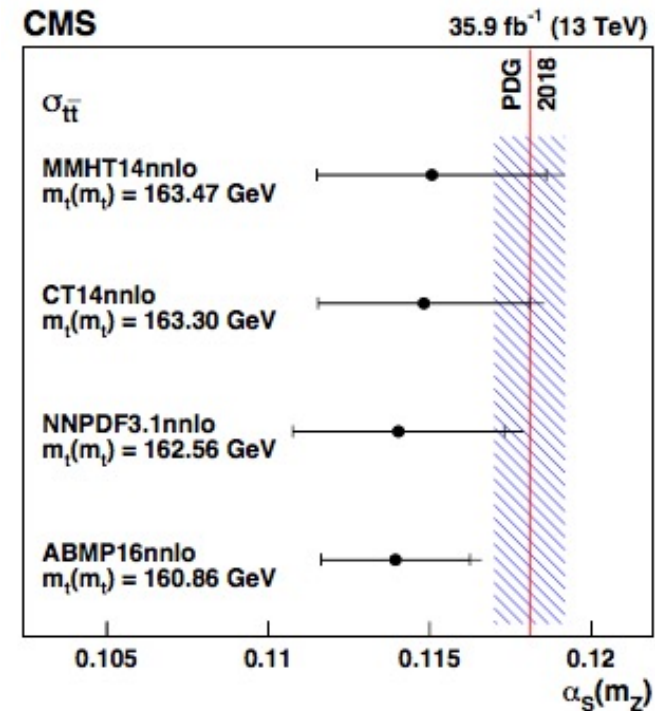
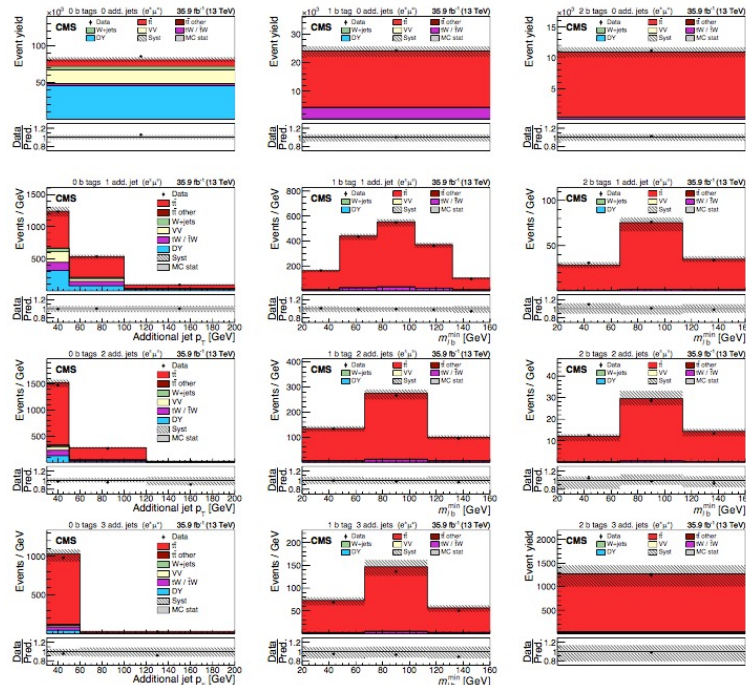
Cross section: multi-dimensional fit

- Dilepton final state
- Simultaneous fit in ($N_{\text{additional jet}}, N_{\text{b-jet}}$) categories
- Fit of $\sigma_{t\bar{t}}$ and $m(\text{top})$

(~4%)

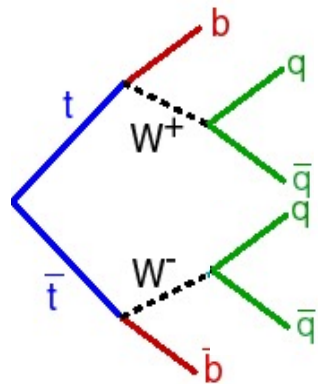
$$\sigma_{t\bar{t}} = 803 \pm 2 (\text{stat}) \pm 25 (\text{syst}) \pm 20 (\text{lumi}) \text{ pb}$$

$$m_t^{\text{MC}} = 172.33 \pm 0.14 (\text{stat})^{+0.66}_{-0.72} (\text{syst}) \text{ GeV}$$

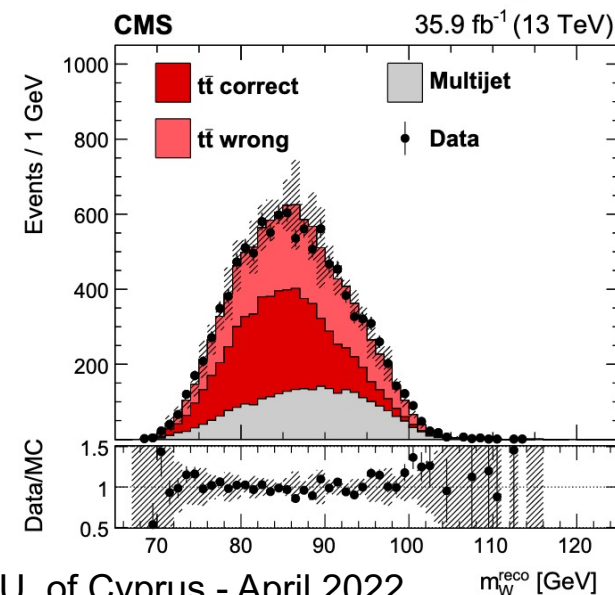
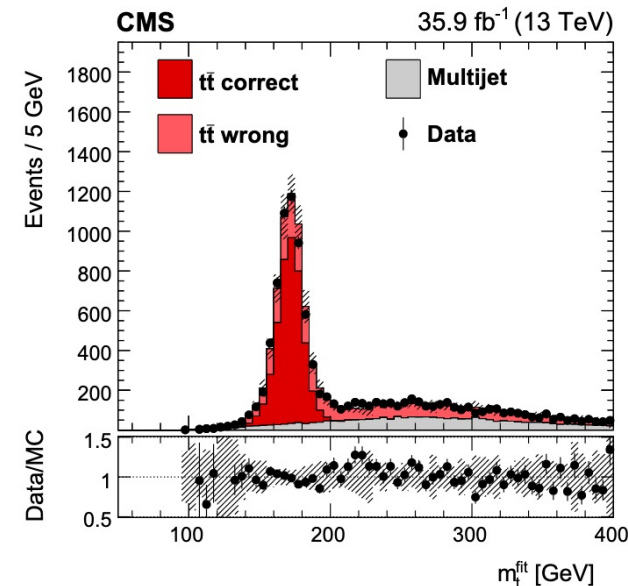


All hadronic

EPJC 79(2019)313

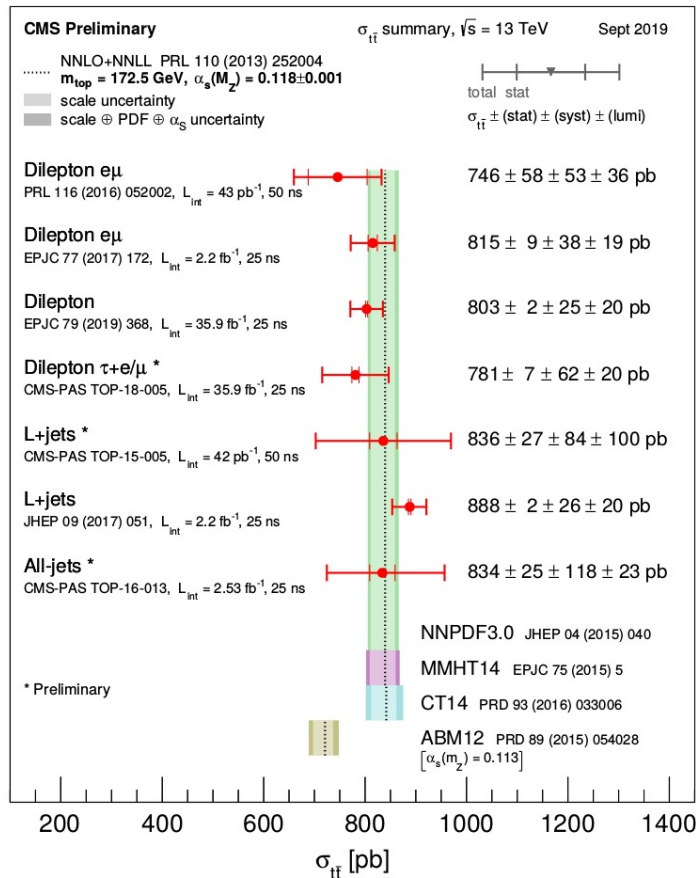


- BR $\sim 46\%$
- Background: **large**
- Selection:
 - ≥ 6 jets + kinematical selection
 - require 2 b-tags
- Main backgrounds:
 - hadronic multi-jet
 - **same selection without b-tag**



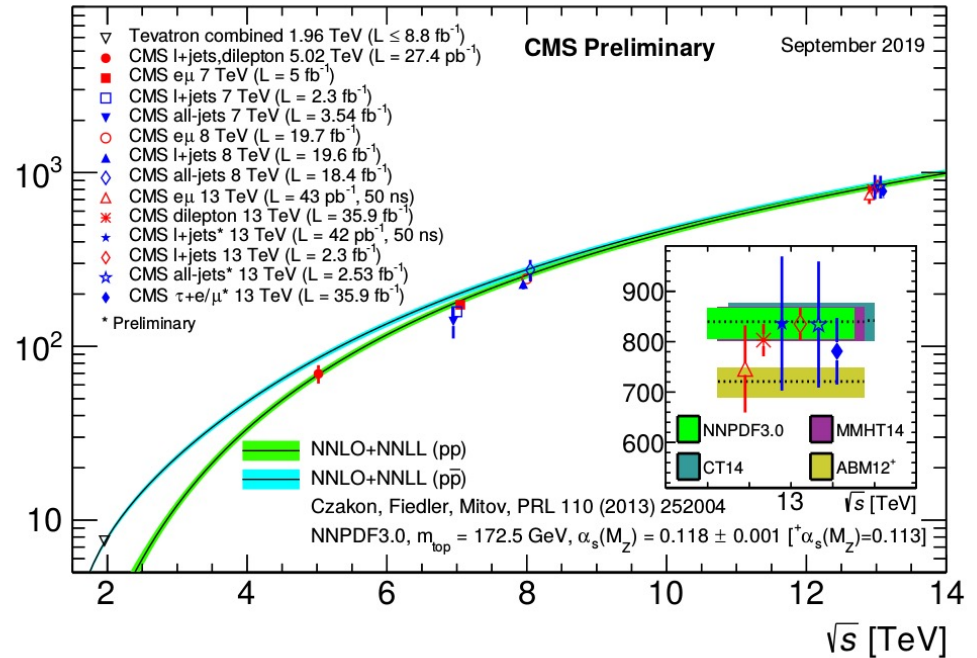
Cross sections

$\pm 4\%$



\Rightarrow measurements challenging theory

Inclusive $t\bar{t}$ cross section [pb]



Collider	σ_{tot} [pb]	scales [pb]	pdf [pb]
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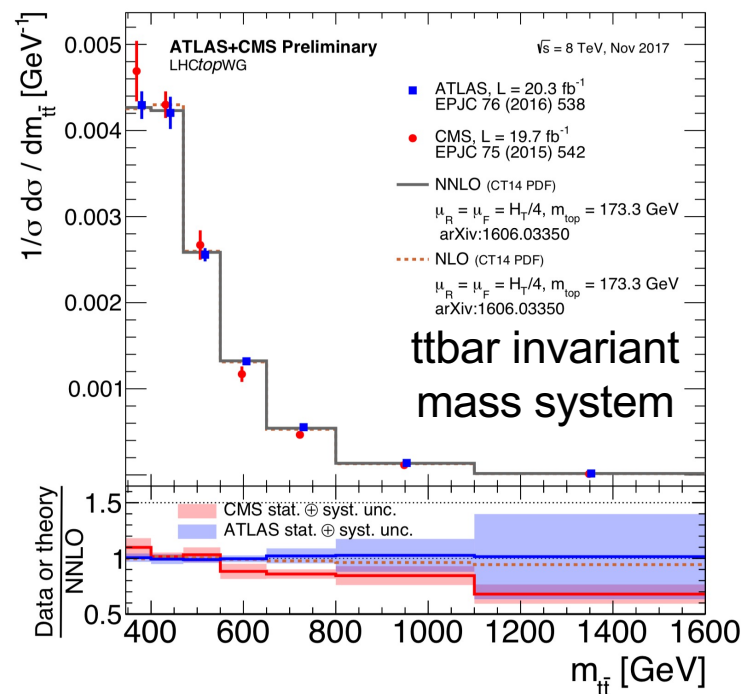
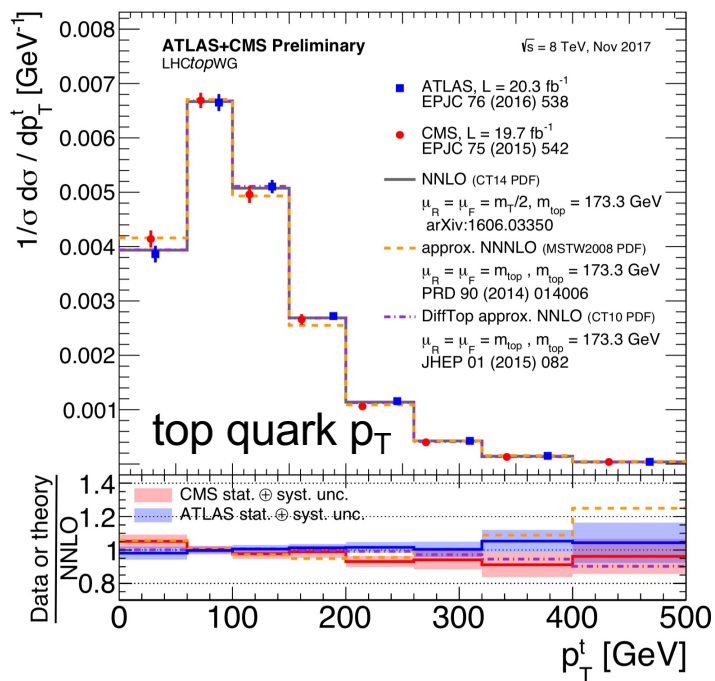
$\pm 3-5\%$

Differential cross section

EPJC 73(2013) 2339, arXiv:1610.04191

- Measure differential cross section
 - Test perturbative QCD
 - Test BSM scenarios (Z' decays, etc)
- Cross sections measured as a function of p_T , η , invariant mass of the final state leptons, top quarks, ttbar system, etc.
- Good agreement with expectations

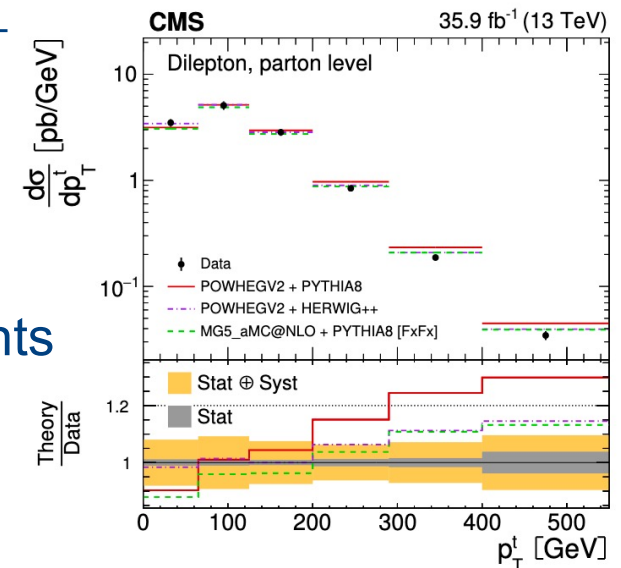
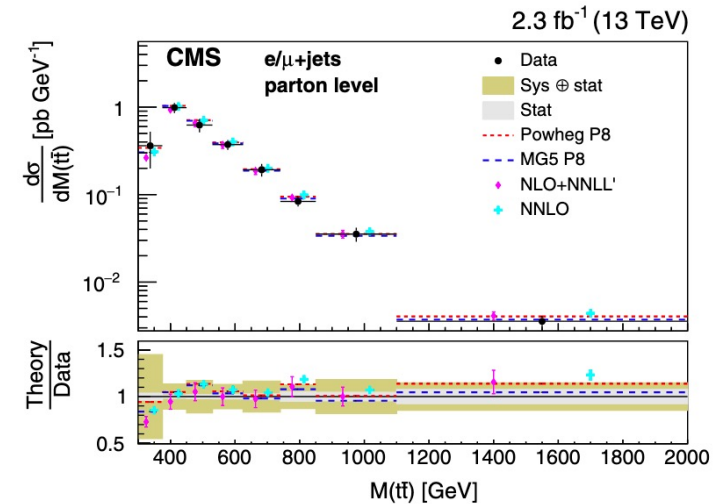
$$\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$$



Differential cross section (cont.)

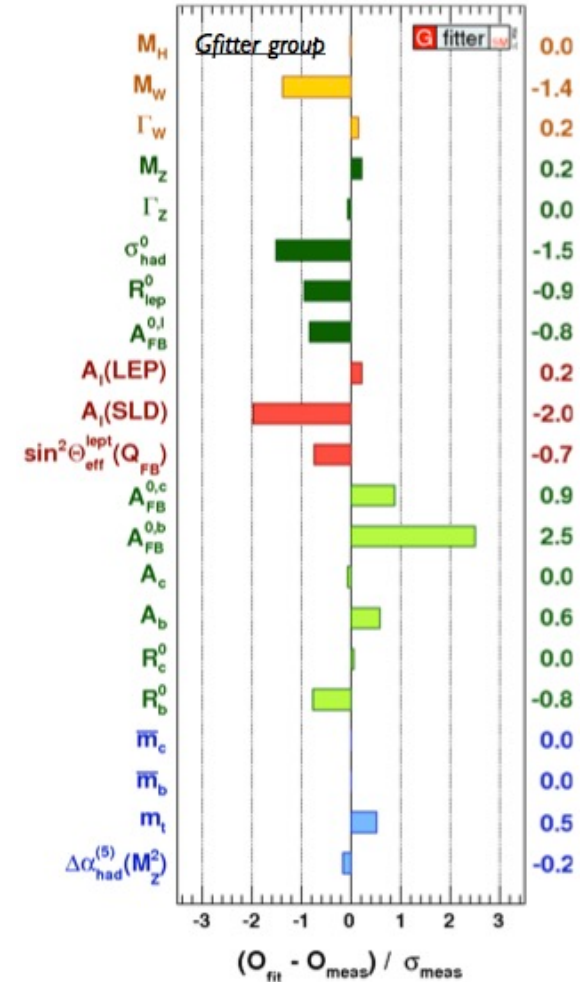
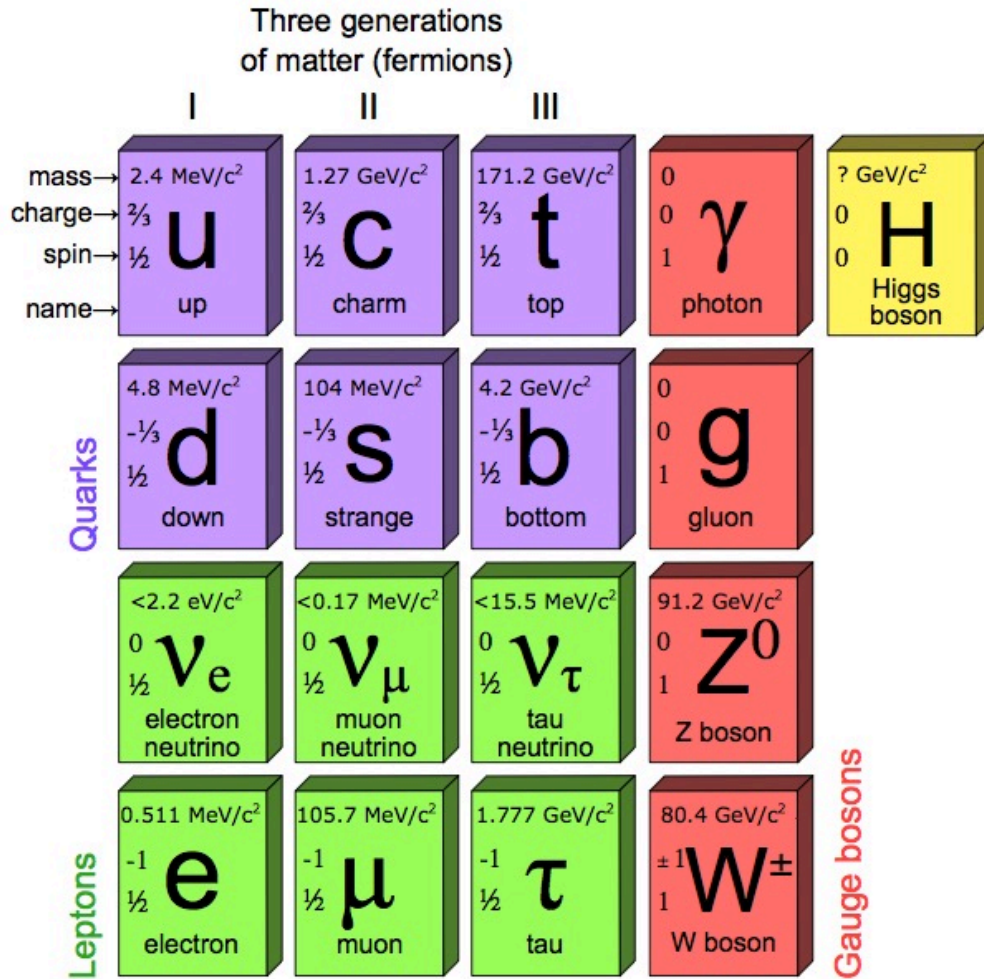
PRD 95(2017)092001, JHEP 02(2019)149

- Correct for detector effects and acceptances
- **Softer top p_T (CMS)**, agreement in ATLAS at high p_T
 - Due to momentum reshuffling, P.Nason, cern.ch/event/301787
 - FSR shower changes mass of final state partons. light partons can build sizeable mass, and t/\bar{t} do not radiate
 - short term solution: consider difference as uncertainty
- Impact on $t\bar{t}H$ /SUSY/etc searches, tails of $t\bar{t}$ events
- Measure $t\bar{t}$ invariant mass
 - Rate/shape reproduced within uncertainties



SM confirmed by the data

Standard model of elementary particles

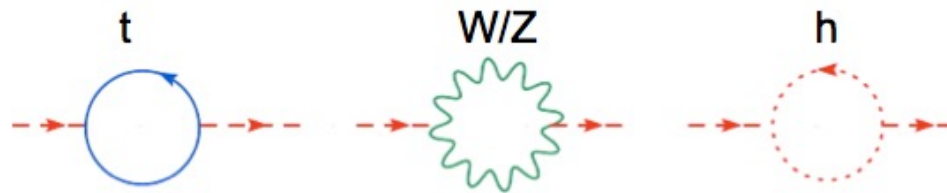


Excellent agreement with all experimental results

Top quarks as window to BSM physics

Top quark affects stability of Higgs mass

Contributions grow with Λ :



$$m^2 = m_0^2 + g^2 \Lambda^2$$

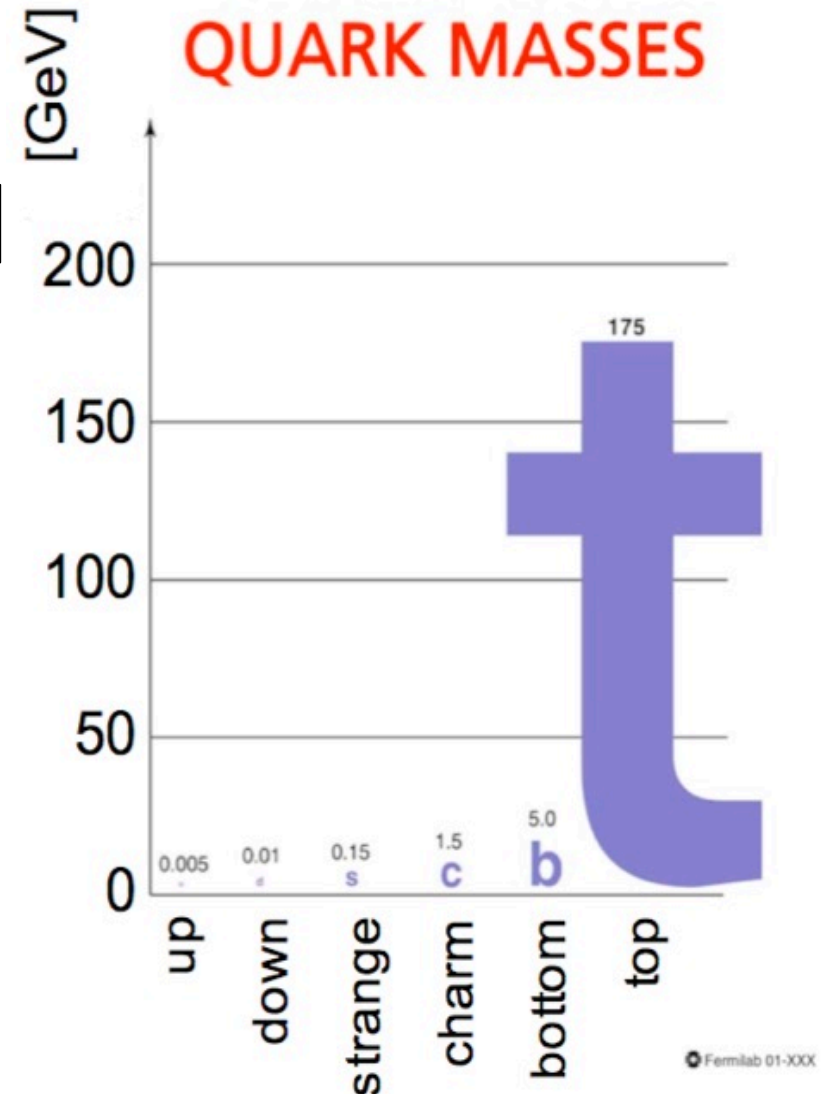
Cancellation?

Solutions:

- **Naturalness:** There is no problem
- **Weakly-coupled model at TeV scale**
 - New particles to cancel SM divergences
 - Top partners: new scalar/vectors coupled to top, exotic top decays
- **Strongly-coupled model at TeV scale**
 - $t\bar{t}$ resonances, bound states, 4-top production, etc.
- **New space-time structure**
 - Introduce extra space dimensions to lower Planck scale cutoff to $\sim 1\text{TeV}$
 - KK excitations

The top quark

- The heaviest known elementary particle
- Large coupling to the Higgs: ~ 1
- Short lifetime $\tau = 0.4 \times 10^{-24}$ sec
 - for $m_{\text{top}} = 175$ GeV $\Rightarrow \Gamma = 1.4$ GeV \Rightarrow no hadronization
 - large contributions to EWK corrections $\sim G_F m_{\text{top}}^2$
 - very short lifetime \Rightarrow bound states are not formed \Rightarrow opportunity to study a free quark
- Large samples of top quarks available
- Top quarks are main background for many New Physics searches
- Precision measurements may provide insight into physics beyond SM

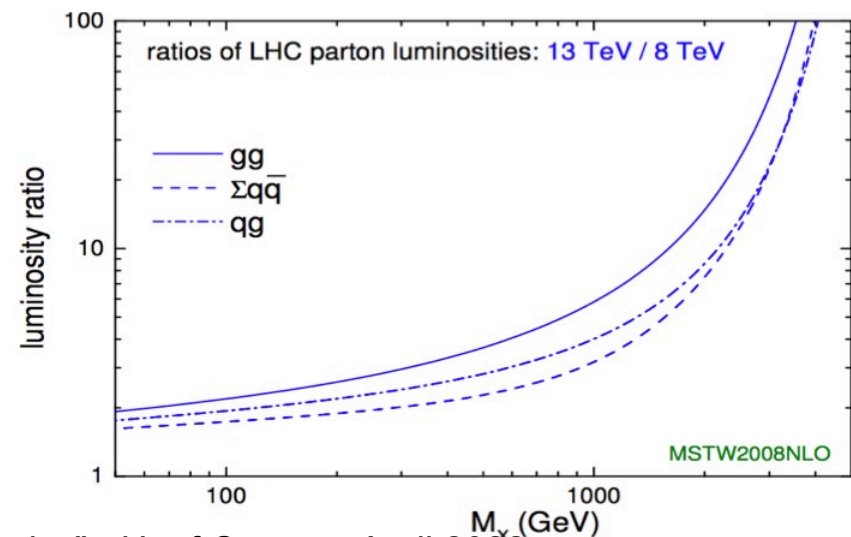
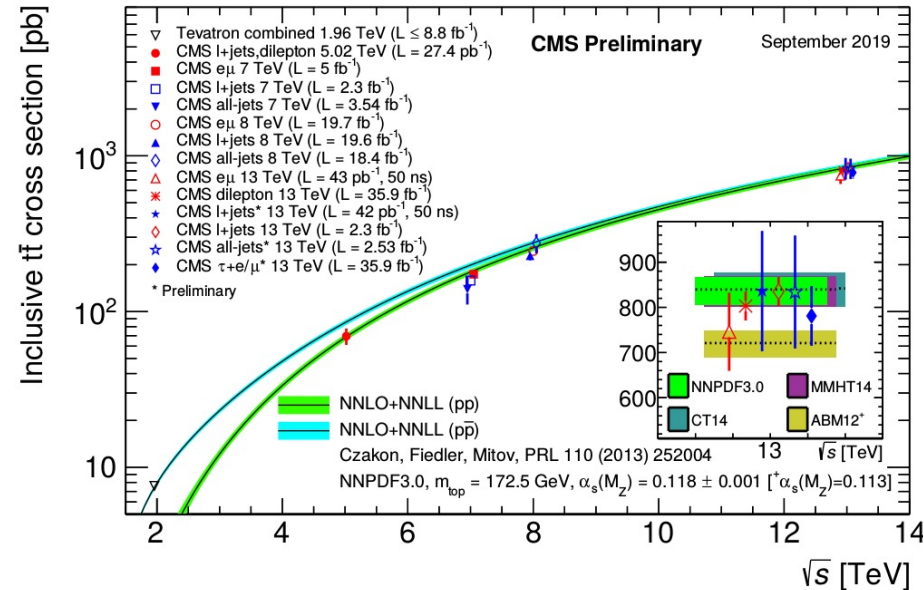


Role of top quark physics

- Top quark physics after the Higgs discovery

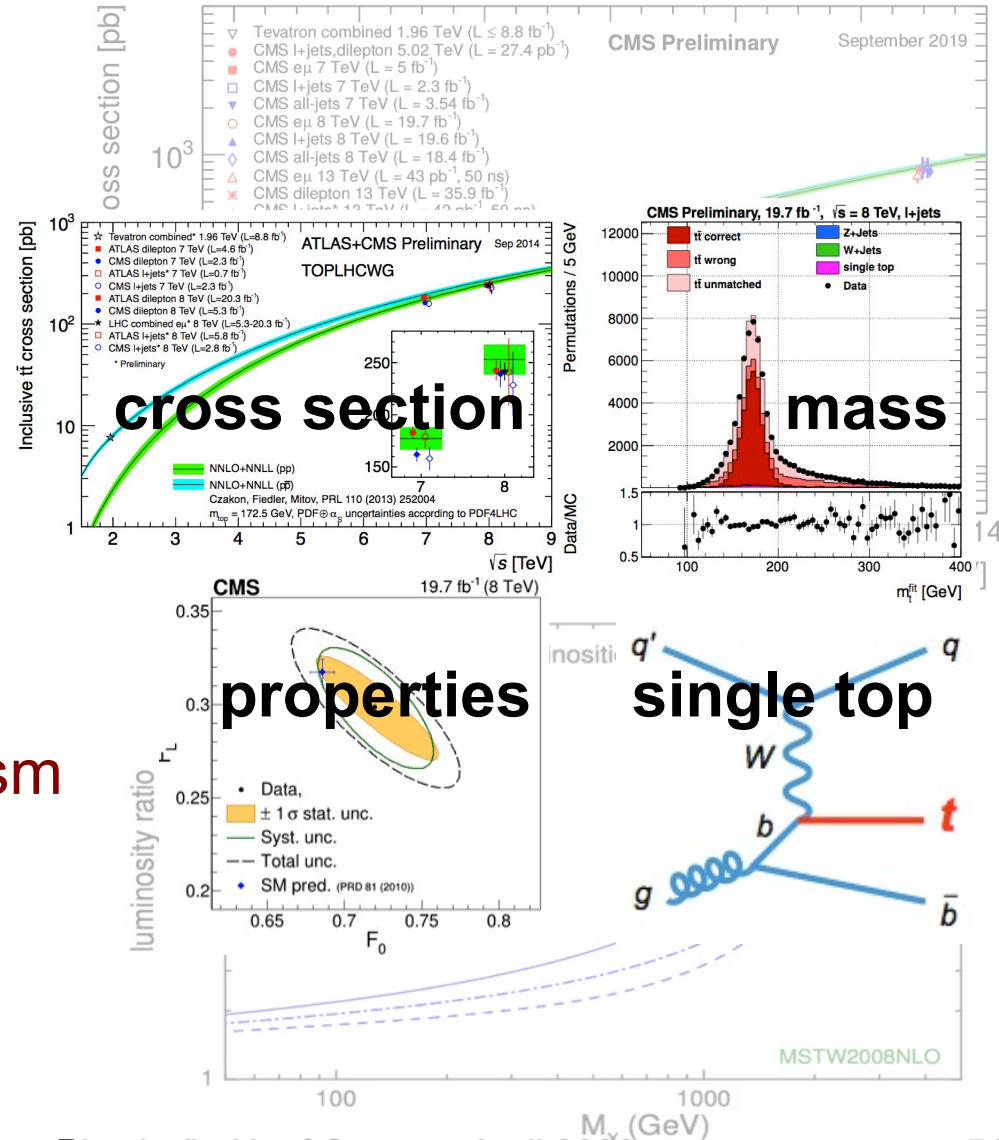
- Heavy particle, preferential coupling?
- Special role in EWSB mechanism?
- Does it play a role in non-SM physics?
- Are the couplings affected?
- Main background for many NP searches

- Monitoring of production mechanism
- Is there any sign of NP in top production/decay?

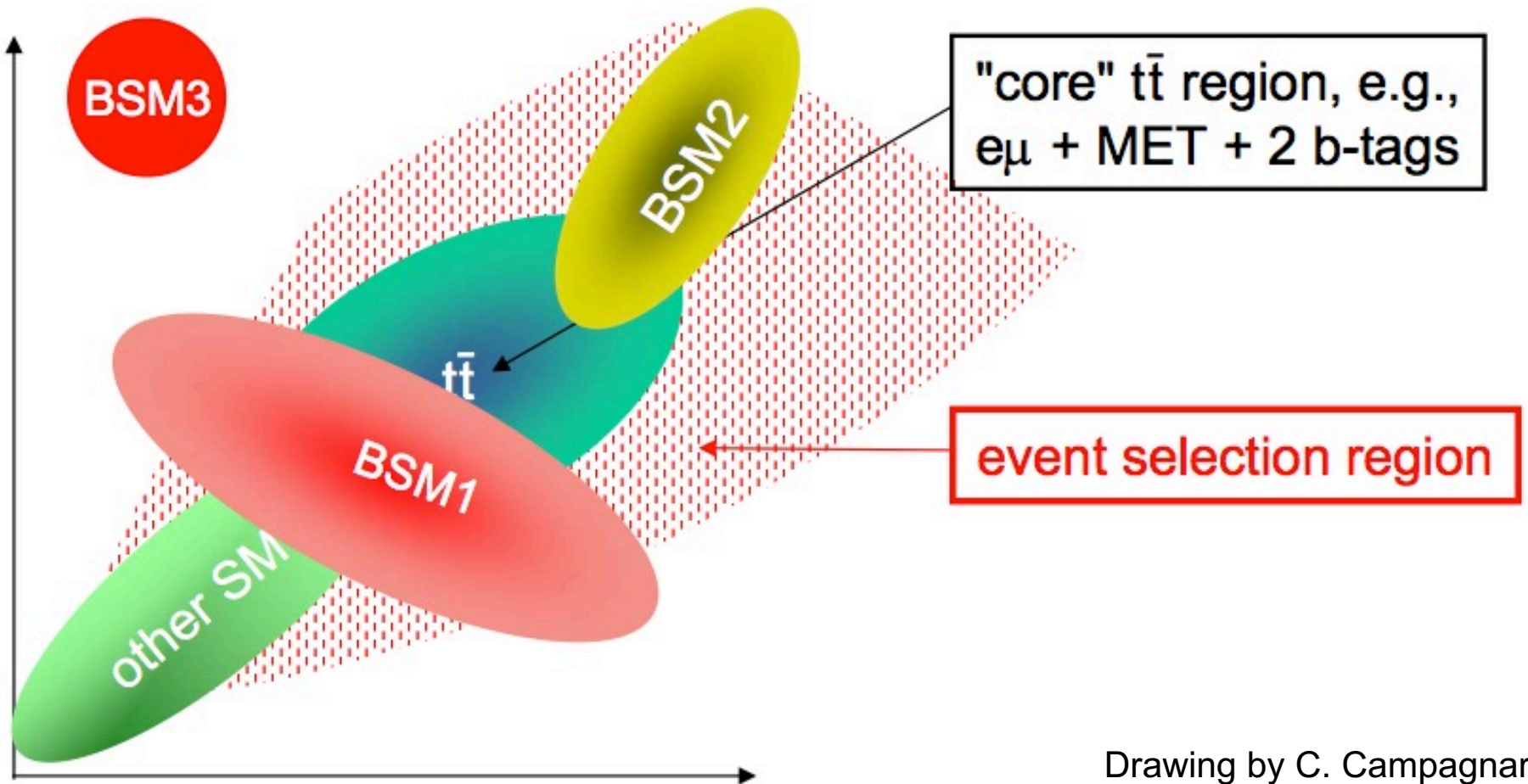


Role of top quark physics

- Top quark physics after the Higgs discovery
 - Heavy particle, preferential coupling?
 - Special role in EWSB mechanism?
 - Does it play a role in non-SM physics?
 - Are the couplings affected?
 - Main background for many NP searches
- Monitoring of production mechanism
- Is there any sign of NP in top production/decay?

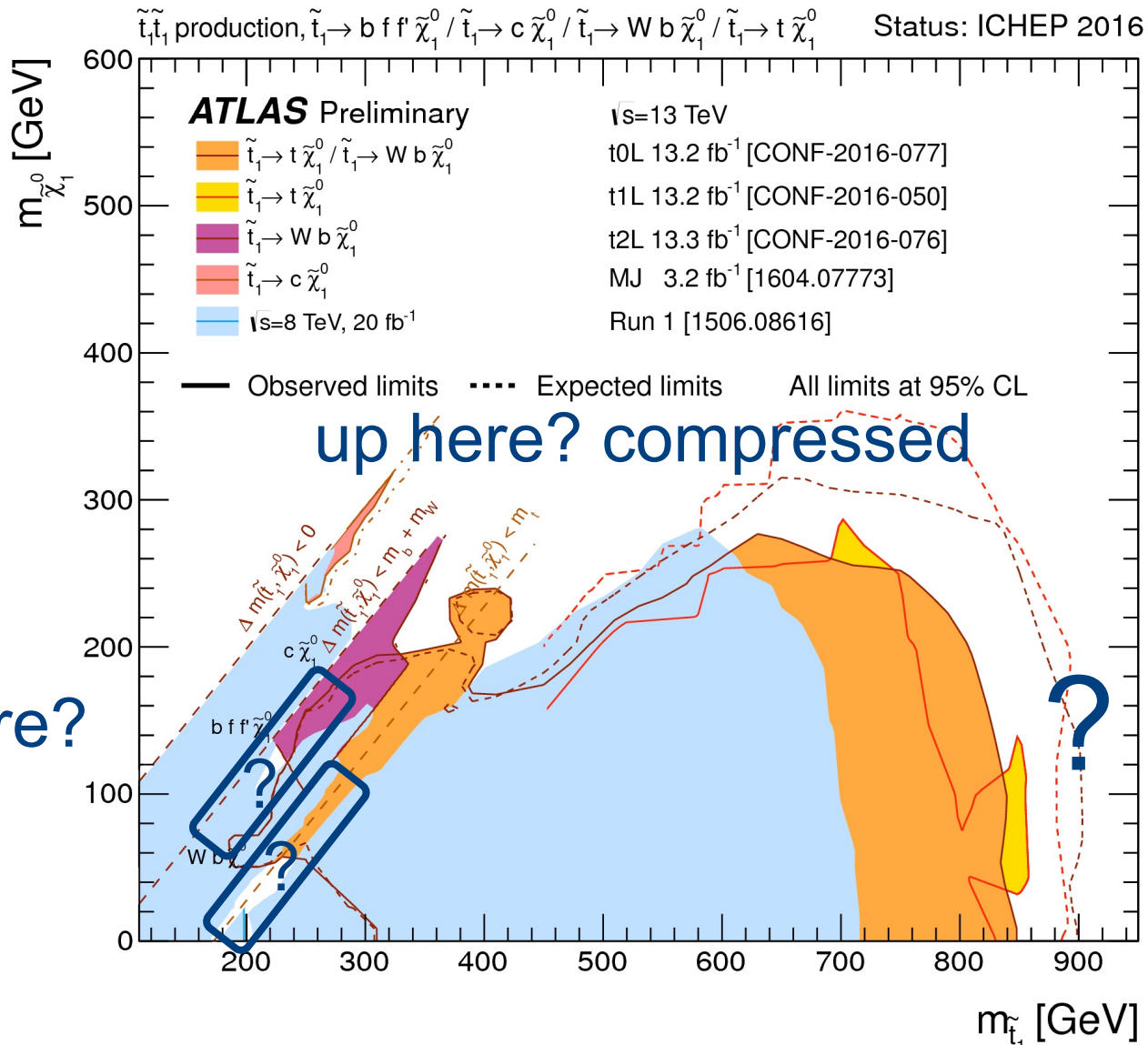


Study characteristics



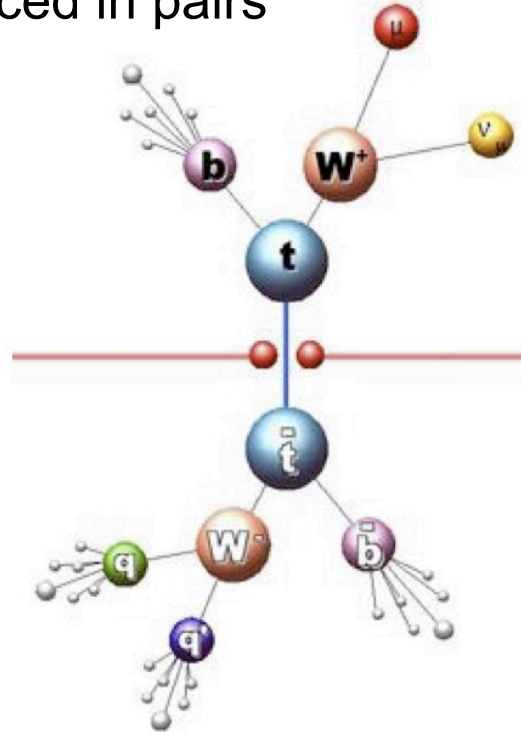
Drawing by C. Campagnari

Regions hard to explore

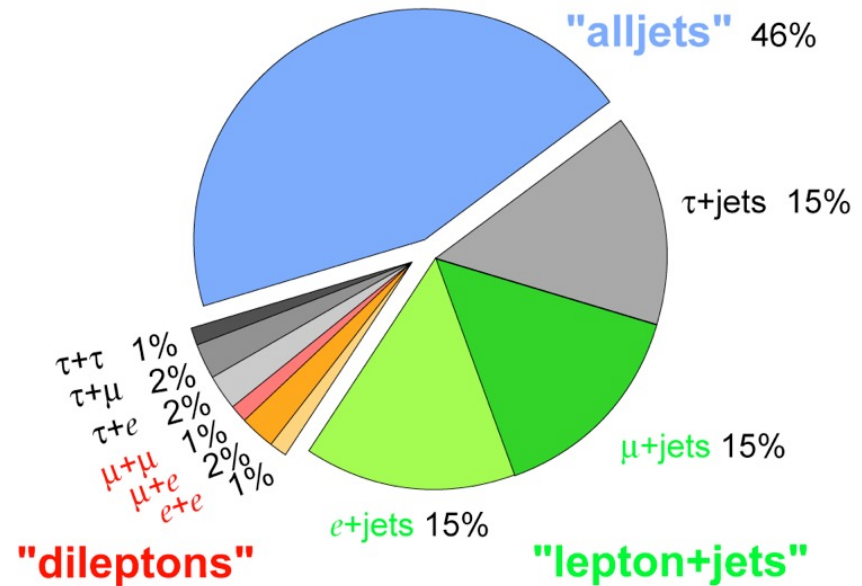


Top quark decays

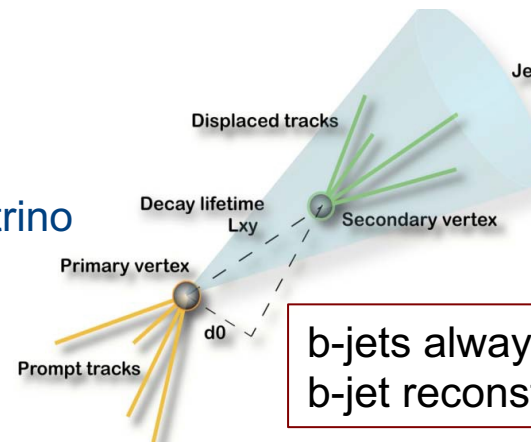
Top quarks (mostly) produced in pairs



Top Pair Branching Fractions



- Dilepton (ee , $\mu\mu$, $e\mu$):
 - BR~5%, 2 leptons+2 b-jets+2 neutrinos
- Lepton (e or μ) + jets
 - BR~30%, one lepton+4jets (2 from b)+1 neutrino
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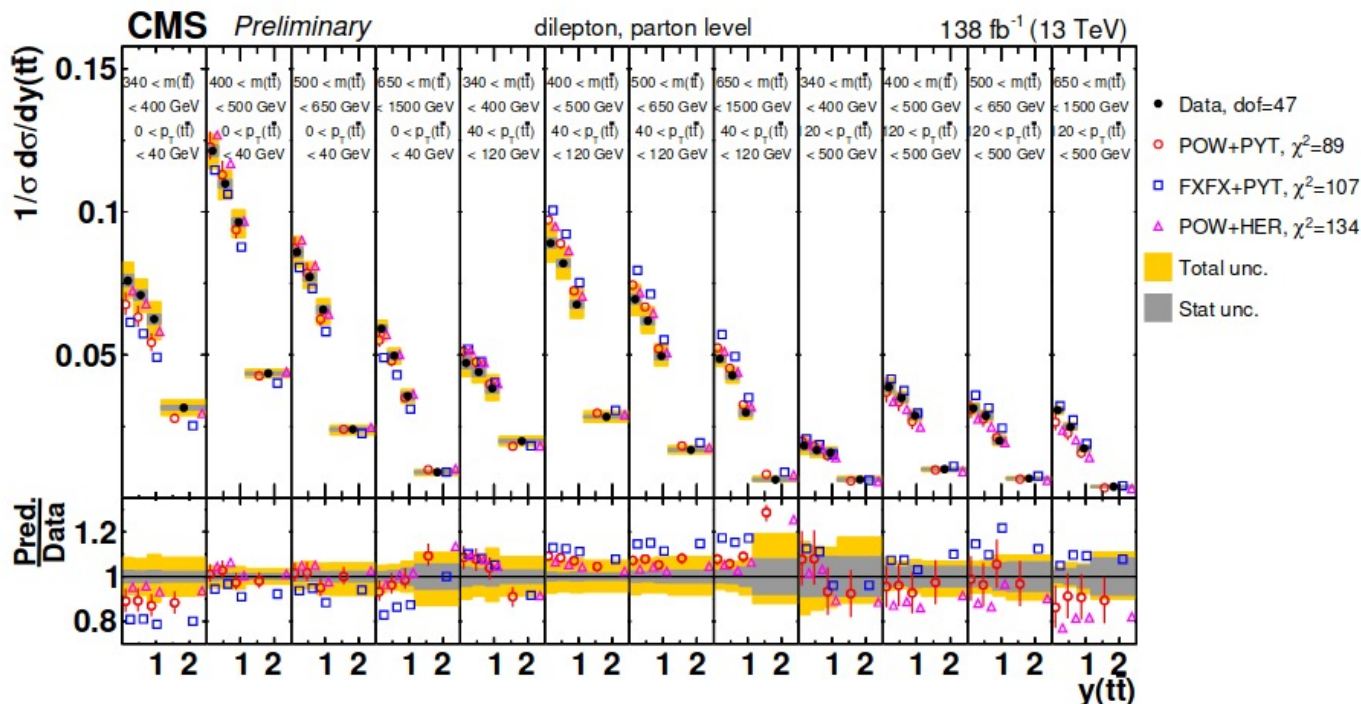
b-jets always present
b-jet reconstruction plays important role

Differential cross section

CMS-TOP-20-006

- Measure differential cross section
 - Test perturbative QCD
 - Test BSM scenarios (Z' decays, etc)
- Cross sections measured as a function of p_T , η , invariant mass of the final state leptons, top quarks, ttbar system, etc.
- Good agreement with expectations

$$\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$$



Probing the Wtb vertex

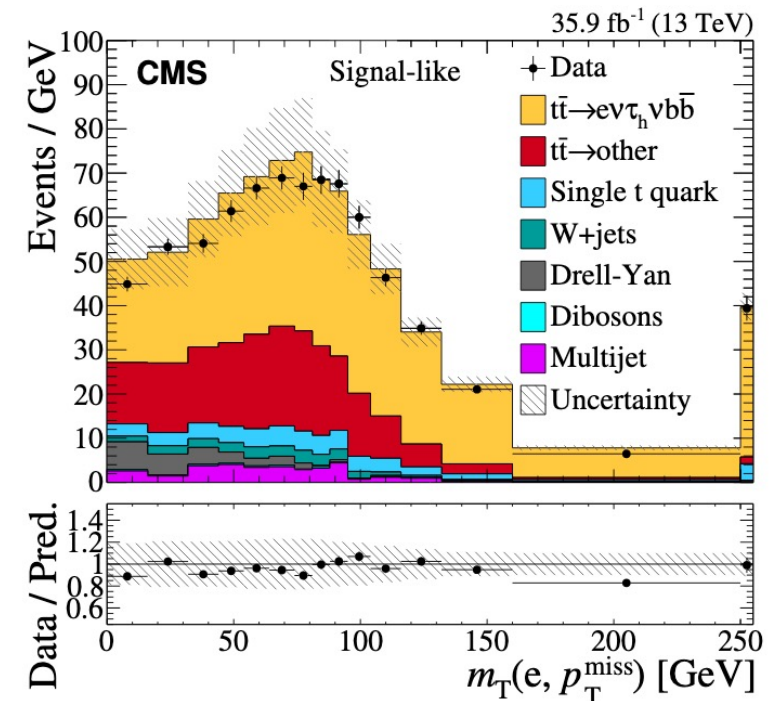
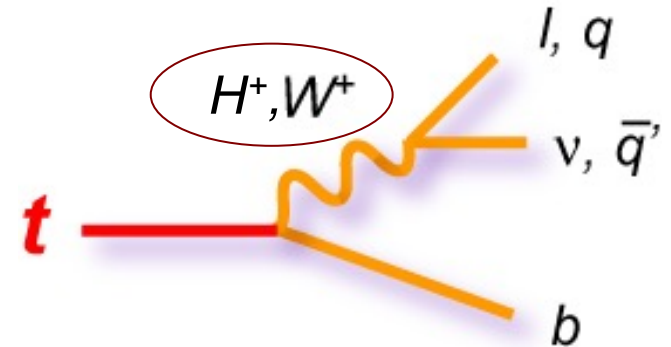
PRD 85(2012)112007, PLB 739(2014)23, JHEP 02(2020)191

Dileptons with taus

- cross section measurement including τ s
- Includes only 3rd generation quarks/leptons
- Syst unc: $t_{\text{au}}d$, fakes

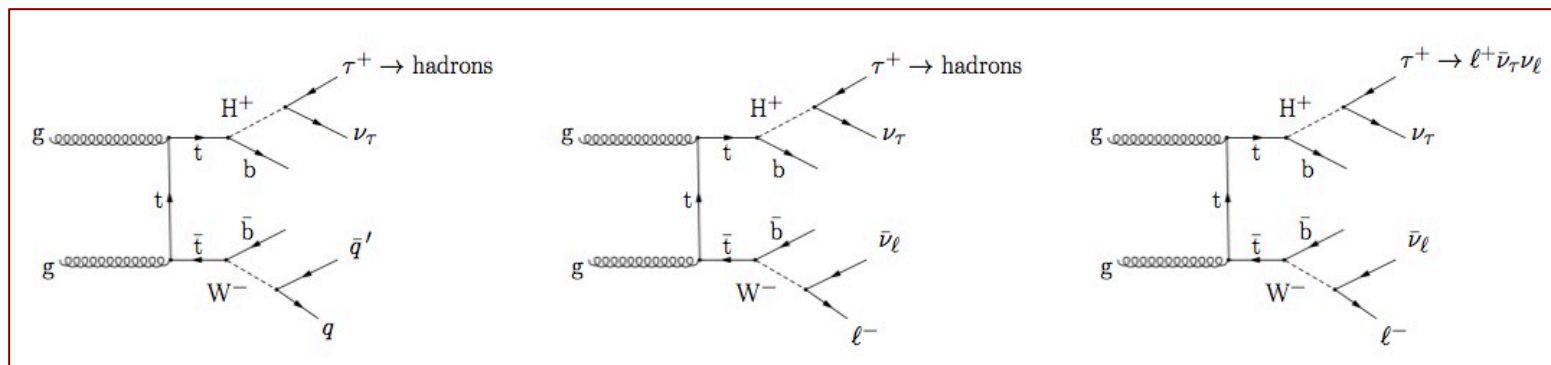
Channel	Signature	BR
Dilepton(e/μ)	$ee, \mu\mu, e\mu + 2b$ -jets	4/81
Single lepton	$e, \mu + \text{jets} + 2b$ -jets	24/81
All-hadronic	$\text{jets} + 2b$ -jets	36/81
Tau dilepton	$e\tau, \mu\tau + 2b$-jets	4/81
Tau+jets	$\tau + \text{jets} + 2b$ -jets	12/81

- If top quark plays special role in EWK symmetry breaking, couplings to W may change
- Charged Higgs may alter coupling to W
- Search for final states with **taus**: charged Higgs



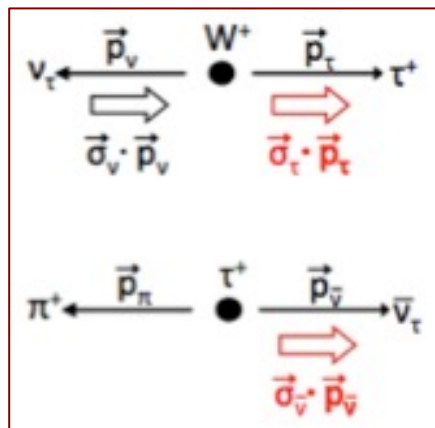
Looking at tau decays

CMS-HIG-12-052

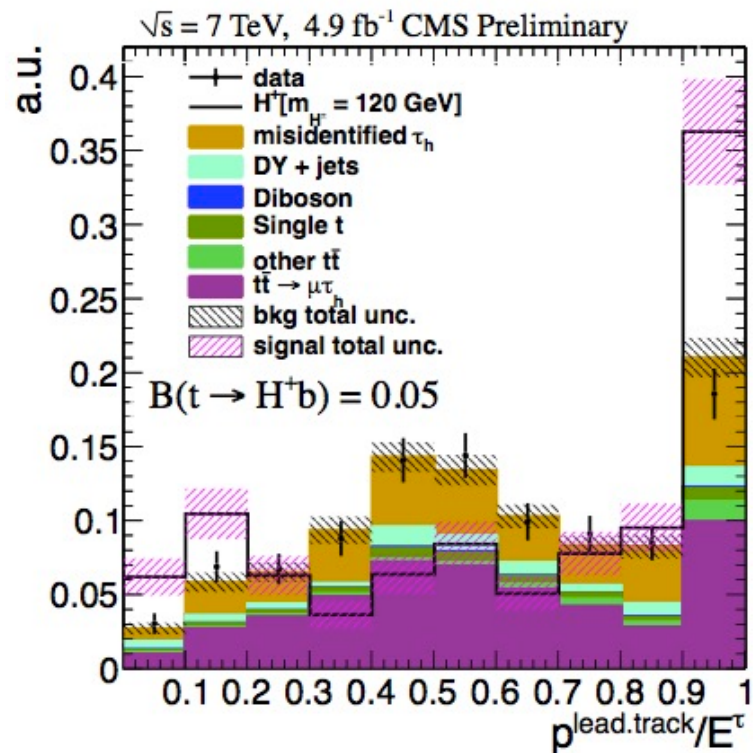
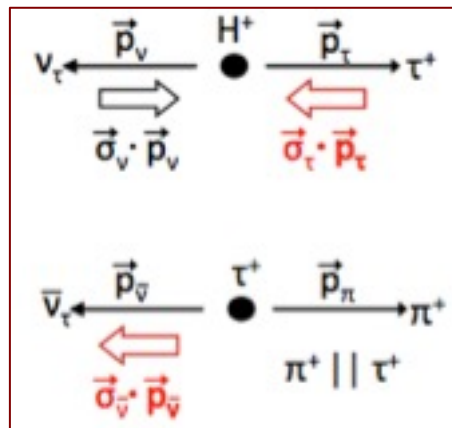


SM

BSM



VS

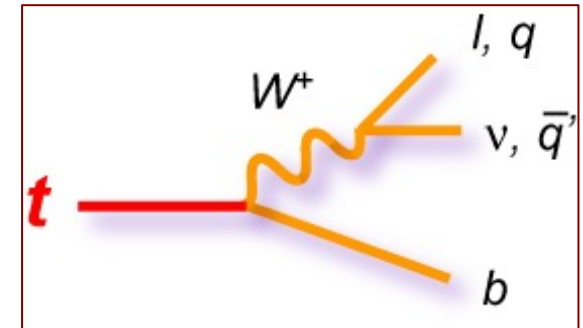


W boson branching fractions

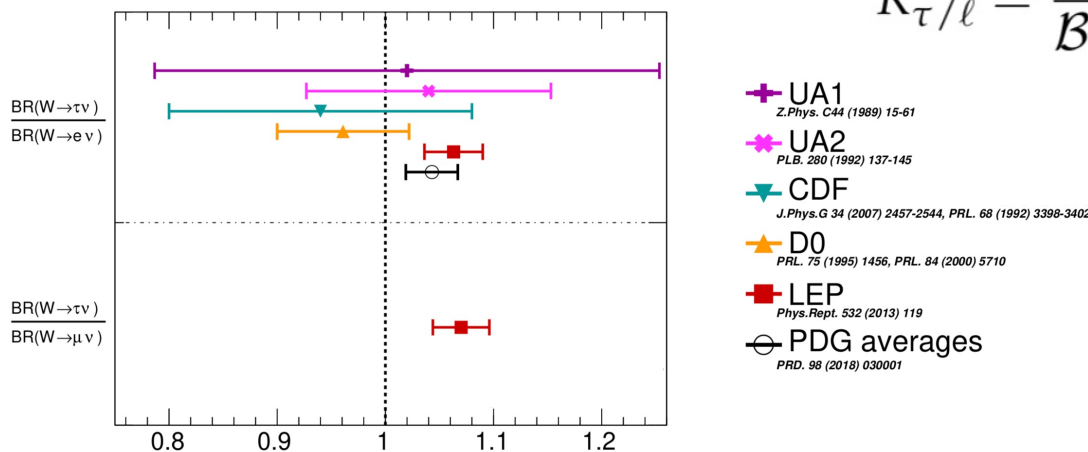
- Precise measurement of the W boson BRs (electrons, muons, taus)

- Use events with WW and W+jets
- Multiple categories used
- Maximum likelihood simultaneous fitting of templates to data in each category

- Most precise determination of $B(W \rightarrow l\nu)$ from LEP has 2.6σ deviation from LFU



$$R_{\tau/\ell} = \frac{2\mathcal{B}(W \rightarrow \tau\bar{\nu}_\tau)}{\mathcal{B}(W \rightarrow e\bar{\nu}_e) + \mathcal{B}(W \rightarrow \mu\bar{\nu}_\mu)} = 1.066 \pm 0.025$$



Lepton Flavour Universality

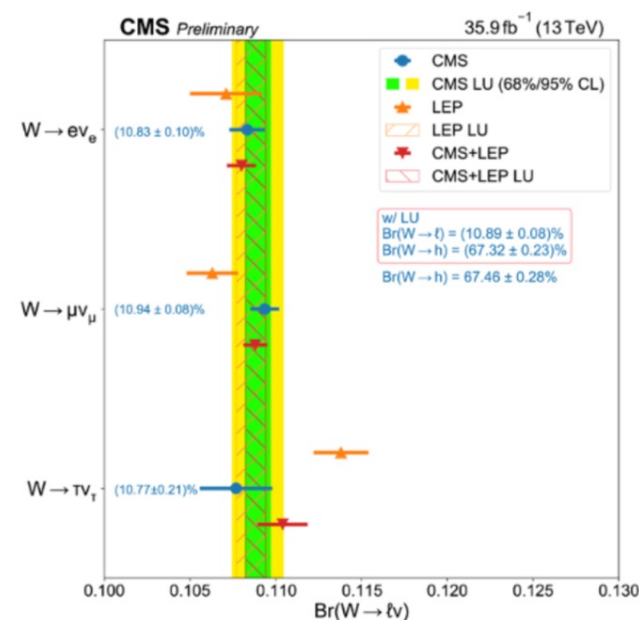
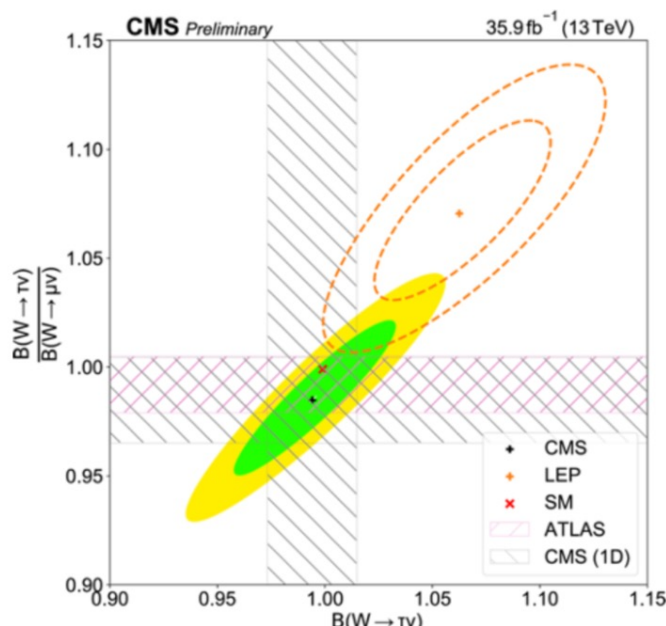
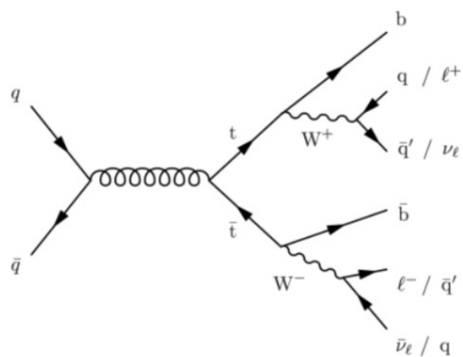
arXiv:2007.14040, CMS-SMP-18-011

Resolving an old discrepancy from LEP

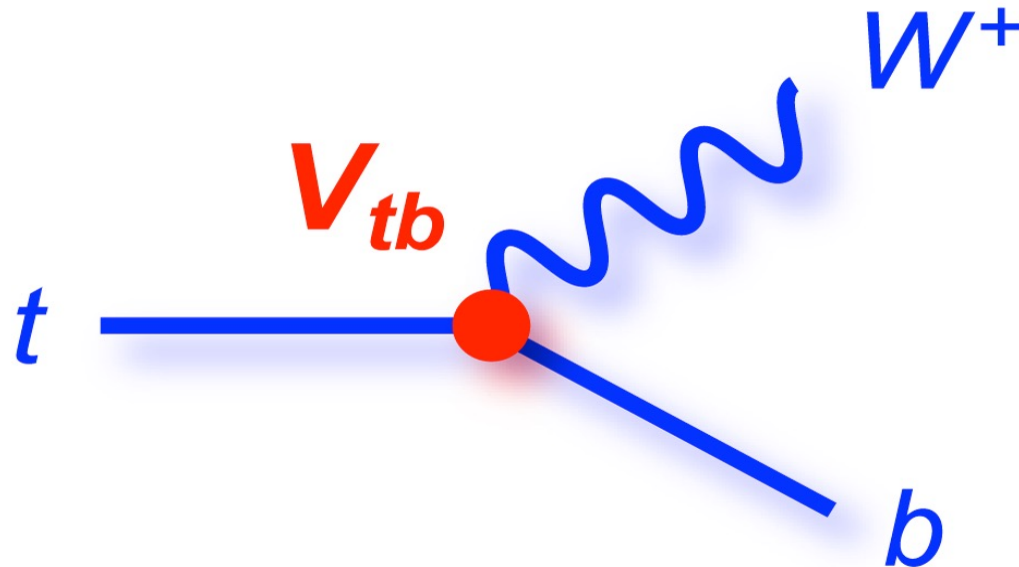
Strategy :
Use W bosons
from top quarks

Many more Ws
than at LEP

	CMS	LEP
$\mathcal{B}(W \rightarrow e\bar{\nu}_e)$	$(10.83 \pm 0.01 \pm 0.10)\%$	$(10.71 \pm 0.14 \pm 0.07)\%$
$\mathcal{B}(W \rightarrow \mu\bar{\nu}_\mu)$	$(10.94 \pm 0.01 \pm 0.08)\%$	$(10.63 \pm 0.13 \pm 0.07)\%$
$\mathcal{B}(W \rightarrow \tau\bar{\nu}_\tau)$	$(10.77 \pm 0.05 \pm 0.21)\%$	$(11.38 \pm 0.17 \pm 0.11)\%$
$\mathcal{B}(W \rightarrow h)$	$(67.46 \pm 0.04 \pm 0.28)\%$	
with LU		
$\mathcal{B}(W \rightarrow \ell\bar{\nu})$	$(10.89 \pm 0.01 \pm 0.08)\%$	$(10.86 \pm 0.06 \pm 0.09)\%$
$\mathcal{B}(W \rightarrow h)$	$(67.32 \pm 0.02 \pm 0.23)\%$	$(67.41 \pm 0.18 \pm 0.20)\%$



How does a top quark decay?



- almost always $t \rightarrow Wb$ (i.e. $V_{tb} \sim 1$)
- lifetime is short, and it decays before hadronizing
- the W is real:
 - can decay $W \rightarrow l\nu$ ($l=e, \mu, \tau$), $BR \sim 1/9$ per lepton
 - can decay $W \rightarrow qq$, $BR \sim 2/3$

Cross section in the R measurement

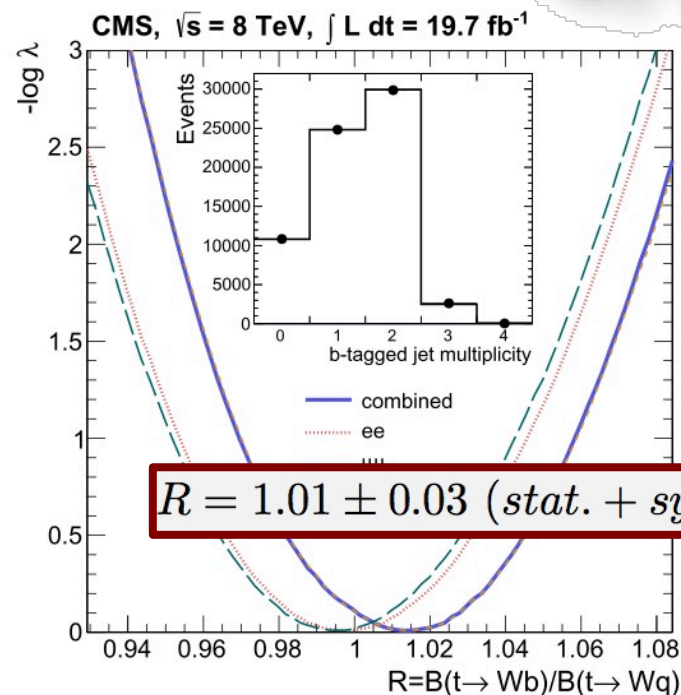
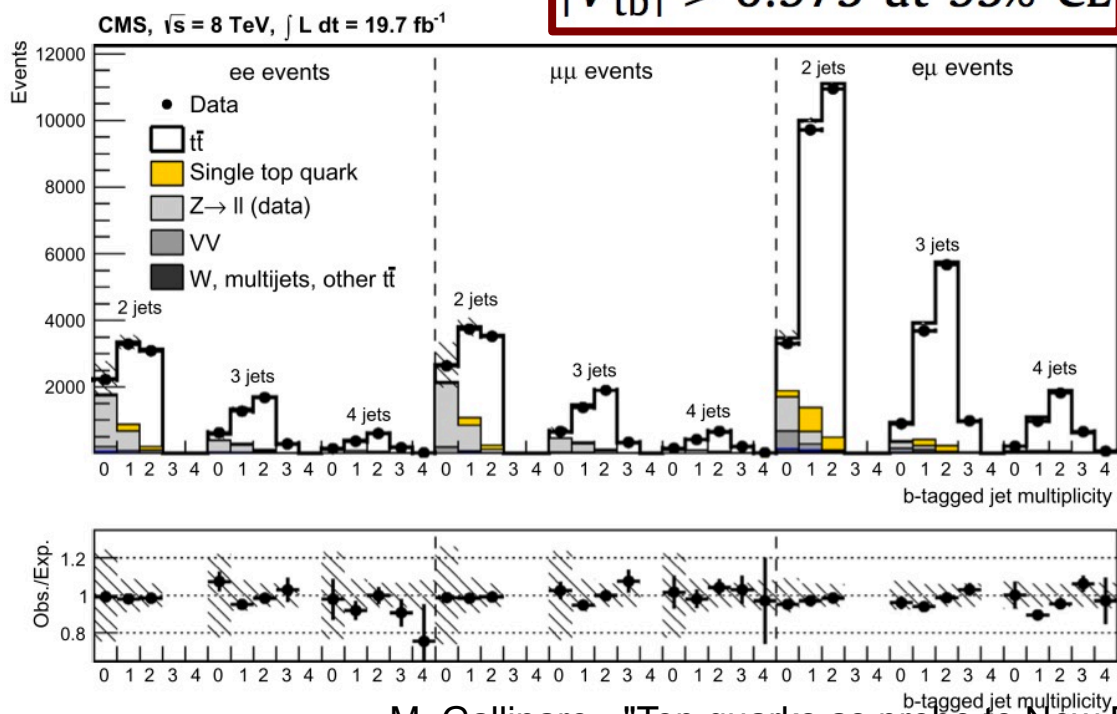
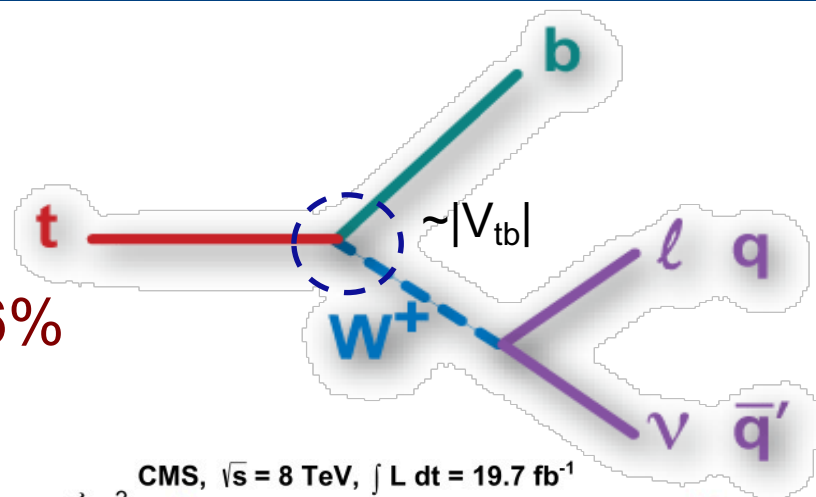
N.Cim. B125(2010)983, PLB 736(2014)33

- Measure R:
- Dilepton final state

$$R \equiv \frac{BR(t \rightarrow Wb)}{BR(t \rightarrow Wq)} \approx |V_{tb}|^2$$

$$\sigma(t\bar{t}) = 238 \pm 1 \text{ (stat.)} \pm 15 \text{ (syst.) pb} \pm 6\%$$

$$|V_{tb}| > 0.975 \text{ at 95\% CL}$$



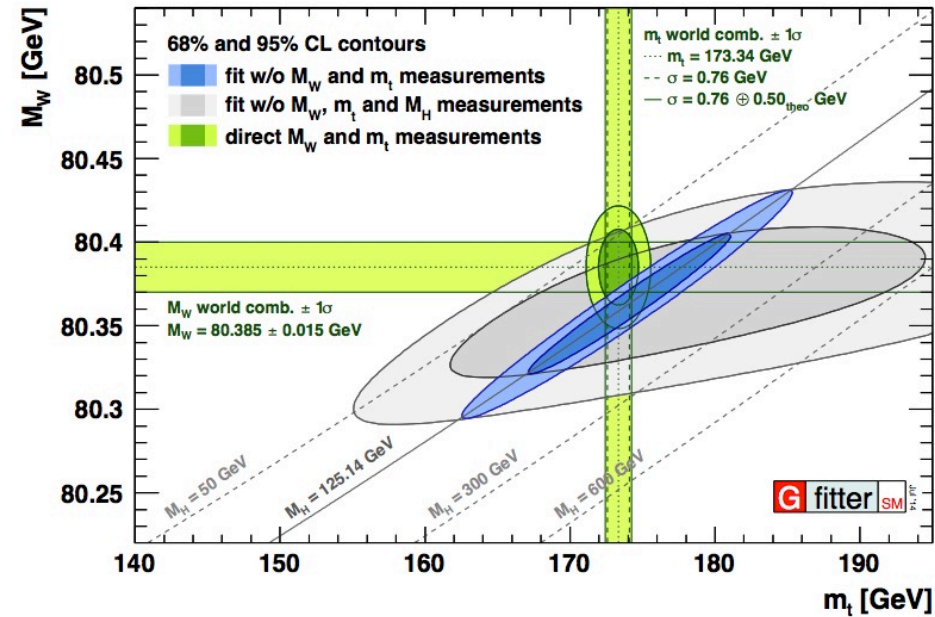
$$R = 1.01 \pm 0.03 \text{ (stat. + syst.)}$$

Top quark mass

- Top quark mass is a fundamental parameter of the SM



- Precise measurement needed for checking consistency of the SM

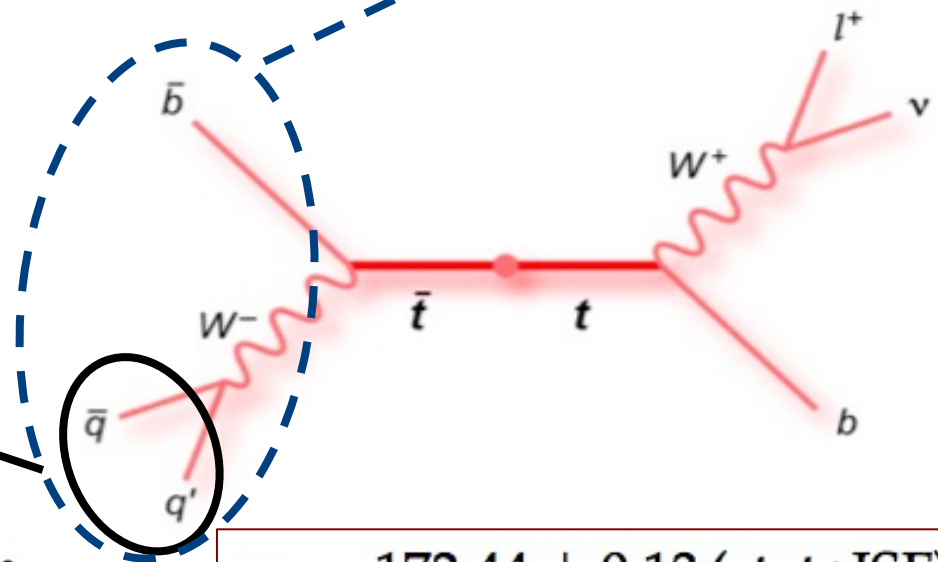
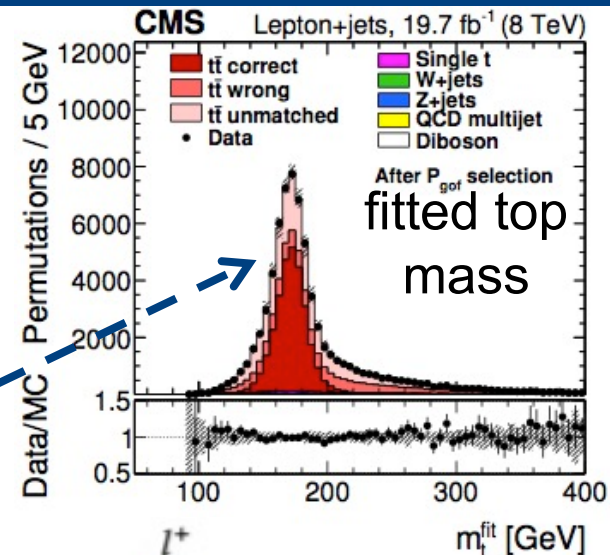
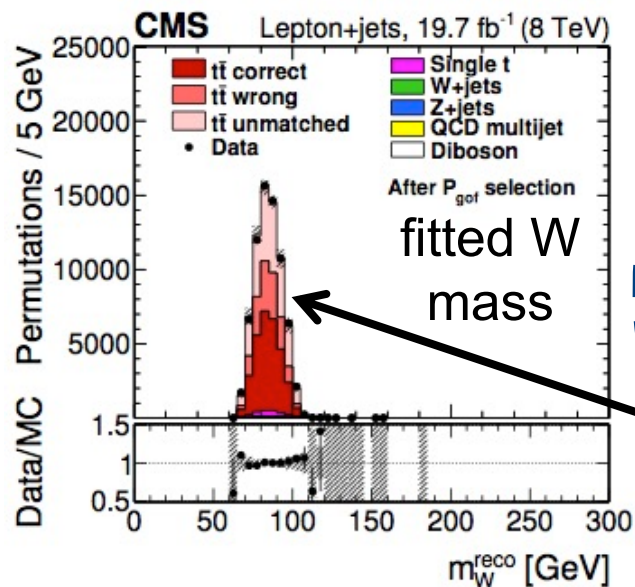


- Top is the only fermion with the mass of the order of EWSB scale
- Discovered Higgs boson fits well with precise determinations of m_W and m_{top}
- Other properties (EWK coupling, production asymmetries, etc.) are predicted by SM
- Precise measurements could reveal breakdown of SM

Precise mass measurement

arXiv:1509.04044, EPJC78(2018)891

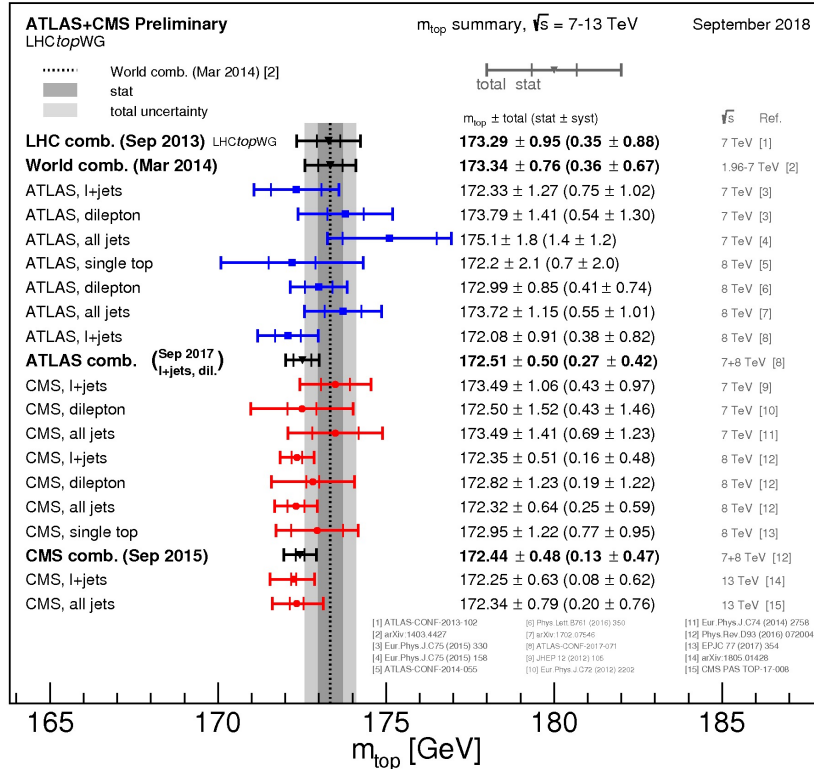
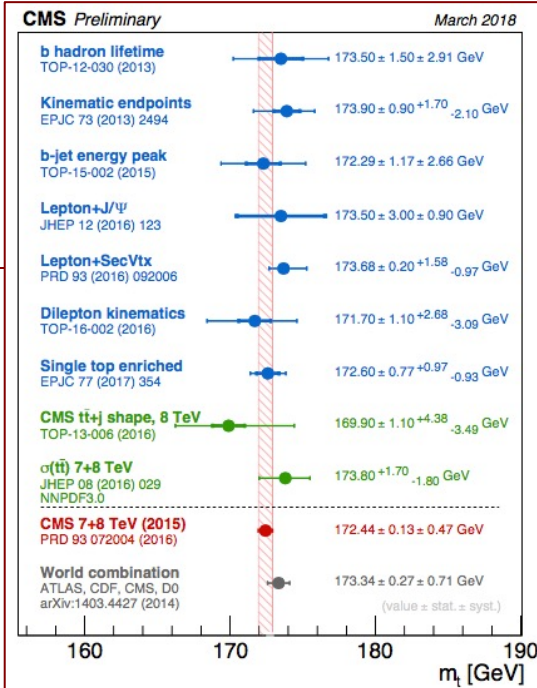
- Select lepton+jet final state
 - Best channel to measure m_{top}
 - well defined final state (1 lepton, 1 ν , 2b $W_{qq'}$)
- Select $t\bar{t}$ events: hadronic decays (m_{top} , m_W)
- Kinematic fit: constrain W mass, top-antitop masses
 - In-situ JES calibration
- Measure m_{top} and JSF



$$m_t = 172.44 \pm 0.13 \text{ (stat+JSF)} \pm 0.47 \text{ (syst)} \text{ GeV} \quad \pm 0.3\%$$

Top quark mass results

- accurate ($\sim 0.3\%$) measurement



The European Physical Journal volume 74 · number 4 · april · 2014

EPJ C

Recognized by European Physical Society

Particles and Fields

CMS, $\sqrt{s} = 7$ TeV

Measurement	Value (m_t [GeV])
CMS 2010, dilepton (JHEP 07 (2011) 049, L=56 pb ⁻¹)	$175.50 \pm 4.60 \pm 4.52$ GeV (value \pm stat. \pm syst.)
CMS 2011, dilepton (EPJC 72 (2012) 2202, L=3.0 fb ⁻¹)	$172.50 \pm 0.43 \pm 1.48$ GeV (value \pm stat. \pm syst.)
CMS 2011, lepton-jets (JHEP 12 (2012) 105, L=5.0 fb ⁻¹)	$173.49 \pm 0.27 \pm 1.03$ GeV (value \pm stat. \pm syst.)
CMS 2011 all-jets (This analysis, L=3.54 fb ⁻¹)	$173.49 \pm 0.69 \pm 1.21$ GeV (value \pm stat. \pm syst.)
CMS combination up to L=5.0 fb ⁻¹	$173.54 \pm 0.33 \pm 0.96$ GeV (value \pm stat. \pm syst.)
Tevatron combination (Phys. Rev. D 86 (2012) 092003, up to L=5.8 fb ⁻¹)	$173.18 \pm 0.56 \pm 0.75$ GeV (value \pm stat. \pm syst.)

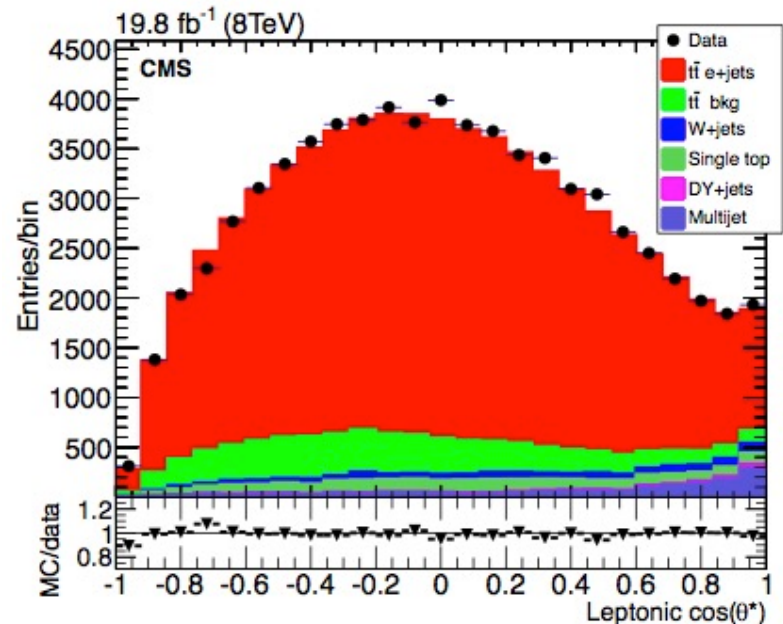
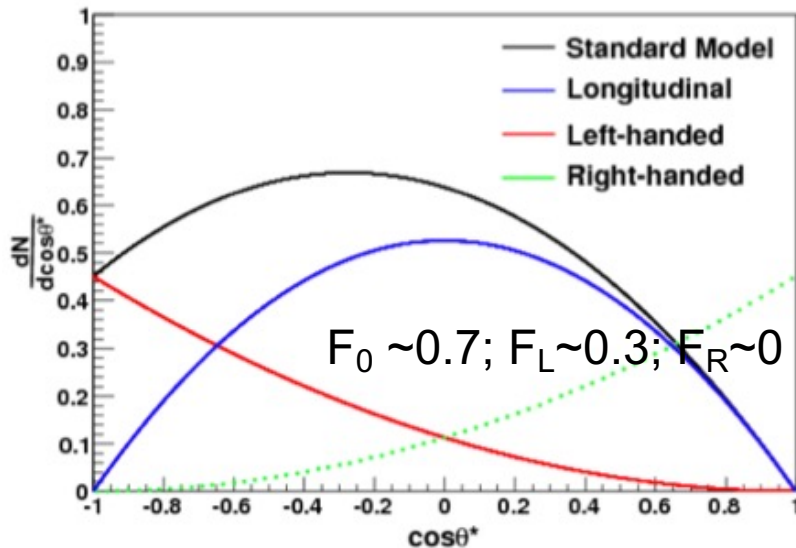
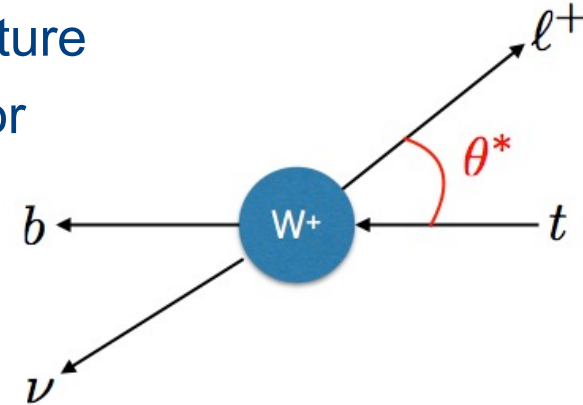
Overview of the CMS top-quark measurements, including the latest results of the all-jets channel. The shaded band shows the combined CMS result. The combined Tevatron average is also shown. From The CMS Collaboration: Measurement of the top-quark mass in all-jets it events in pp collisions at $\sqrt{s} = 7$ TeV.

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W boson polarization

arXiv:1612.02577, PRD 93(2016)052007

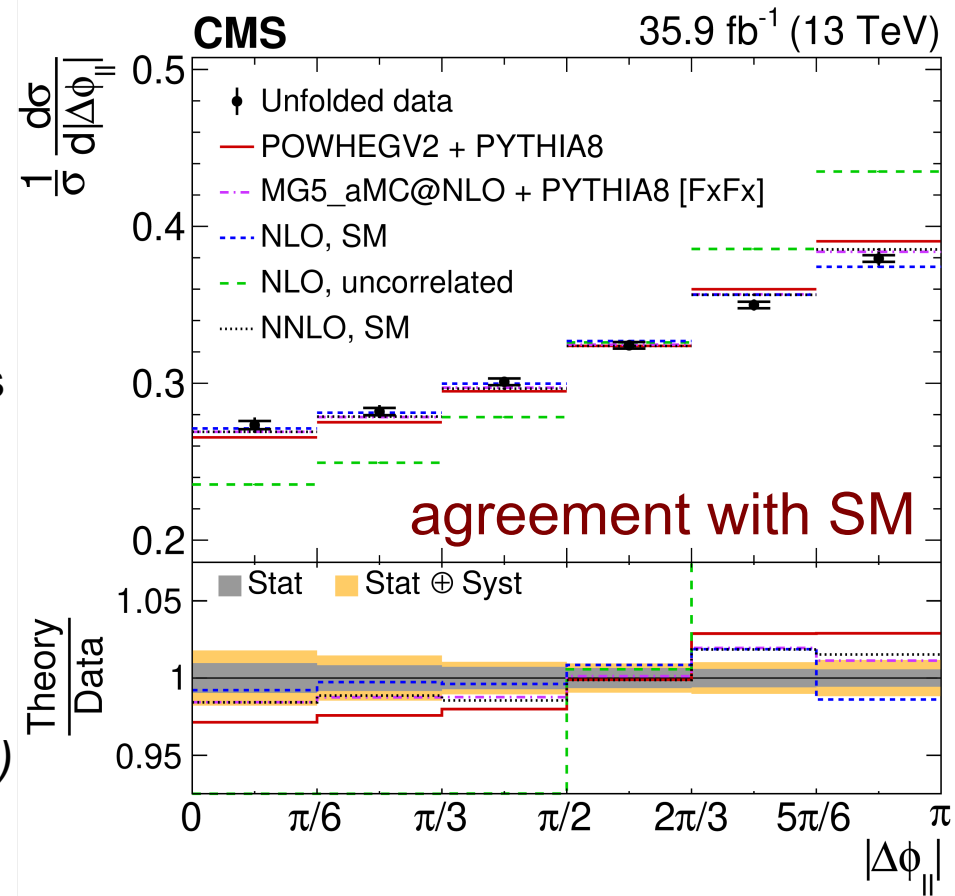
- Properties of Wtb vertex in SM is characterized by V-A structure
- W bosons can be produced with **left-handed**, **right-handed**, or **longitudinal** polarization
 - Fractions of polarization states are well predicted
- Can probe by measuring the angular distributions of the W boson decay products
- **New physics could alter the polarization**



Spin correlation

PRD 100(2019)072002, ATLAS-CONF-2018-027

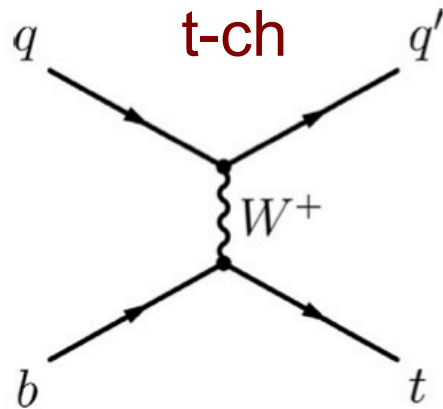
- Top quark produced are not polarized
 - ...but spins between quark and anti-quark are correlated
- Top quark decays before spins decorrelate
 - It decays before hadronization ($\tau \sim 10^{-25}$ s) \Rightarrow spin information transmitted to decay products
 - No need to reconstruct full $t\bar{t}$ system
- Spin correlation depends on production mode
- It may differ from SM expectations
 - Decays to charged Higgs and b quark ($t \rightarrow H^+b$)
 - Other BSM scenarios



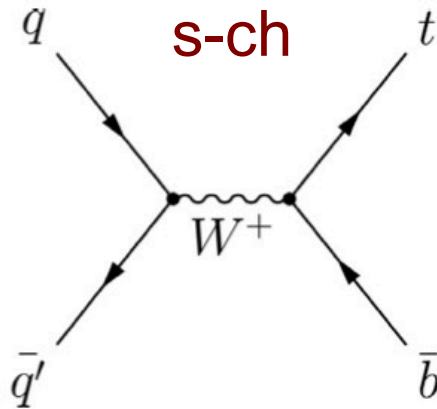
How else is Top produced?

PRD102(2009)182003, PRD81(2010)054028

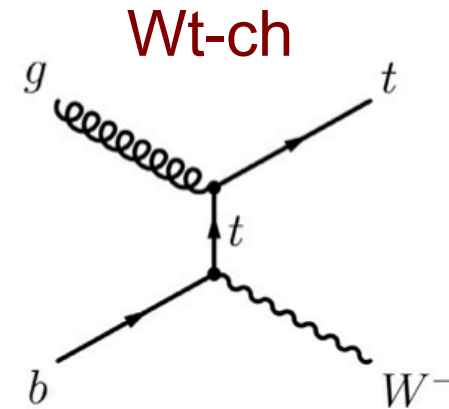
- Single top quark production



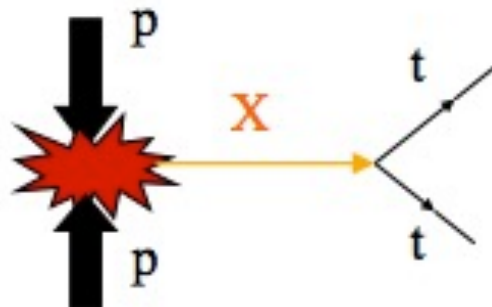
$\sigma(13\text{TeV}) = 217 \text{ pb}$



10 pb



72 pb



Resonance Production?
Top Color-Assisted Technicolor
OR
?????

Probing top quark production

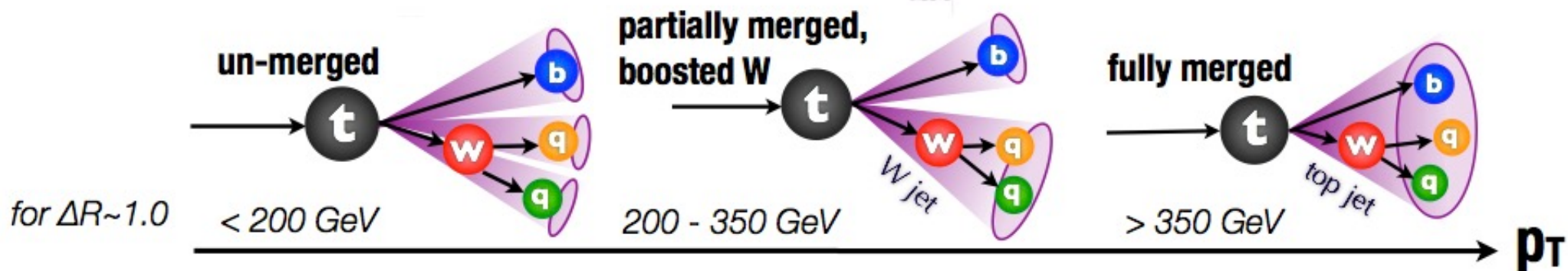
- Differential measurements

- Testing QCD, measuring properties, searching for new physics, ...
- Function of kinematics, global variables, associated production

- Increased sensitivity: top quark pairs produced at rest

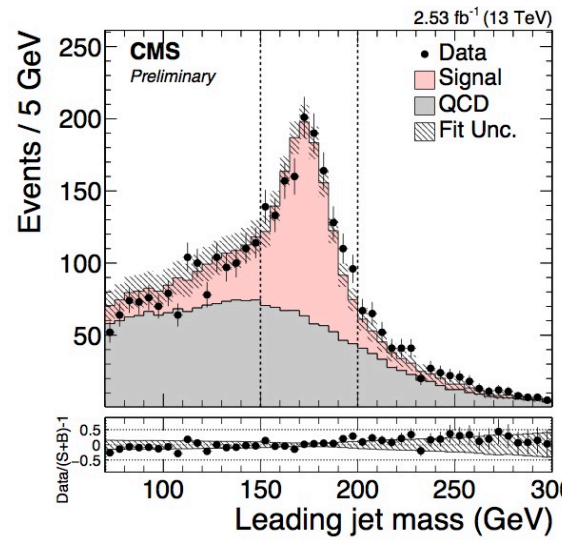
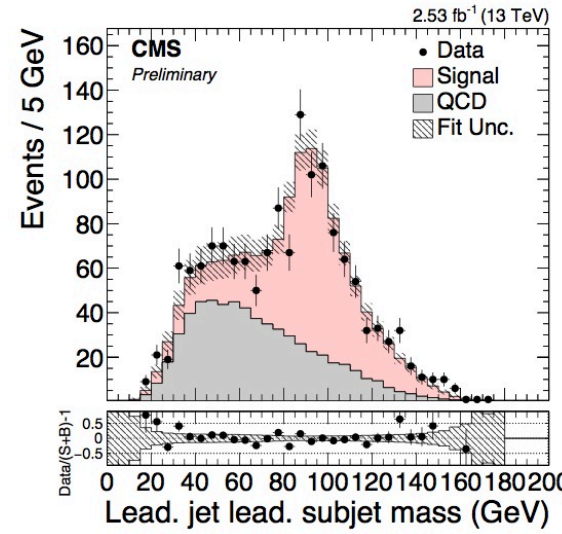
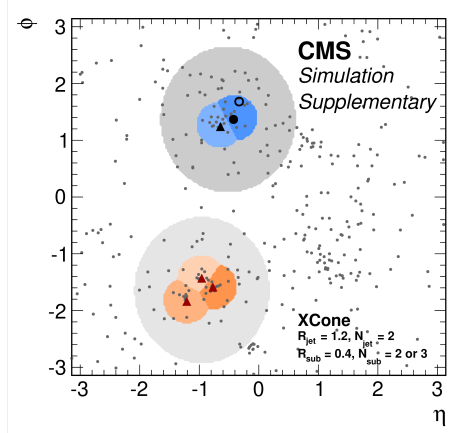
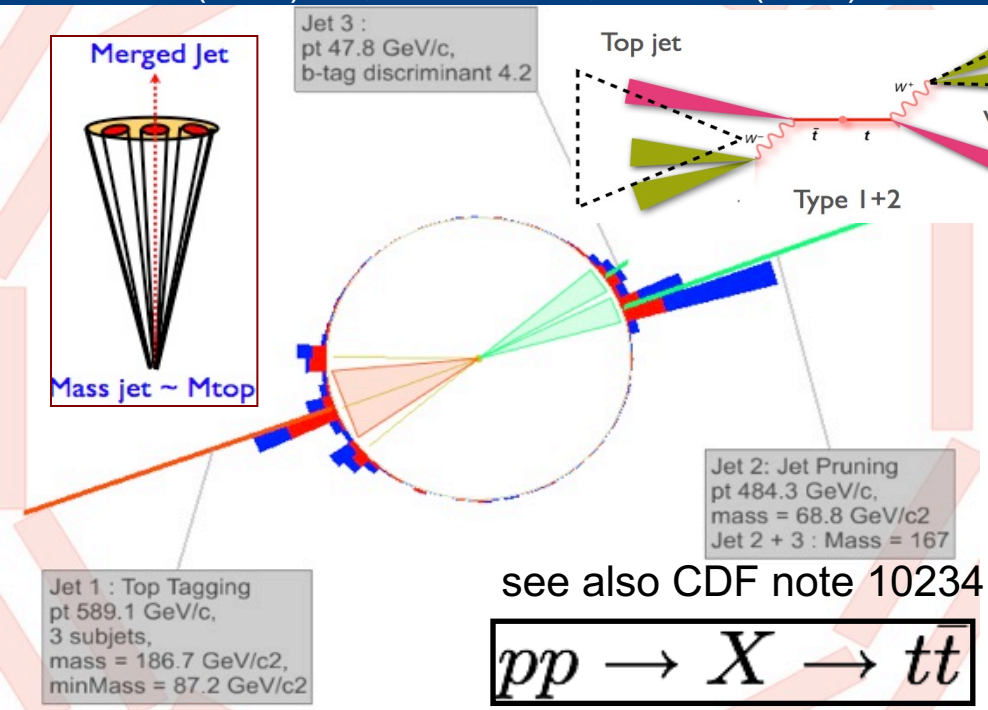
- $\sigma (M_{tt}>1 \text{ TeV at } 13 \text{ TeV}) = 8 \times \sigma(M_{tt}>1 \text{ at } 8 \text{ TeV})$

⇒ Unique opportunity to probe boosted production at 13 TeV



Boosted topology

JHEP 1209(2012)029, TOP-16-013, PRL 124(2020) 202001



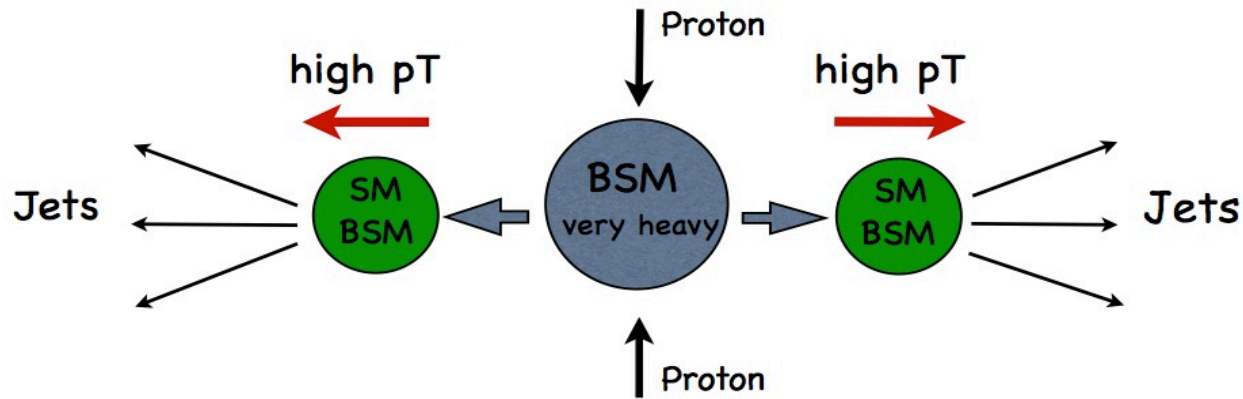
- At high energy, particles produced beyond threshold
- All-hadronic topology
 - Top p_T boosted, jets are collimated
 - Decay products and FSR collected in a “fat” jet
- Look at jet substructure
- Measure mass (no neutrinos)

Boosted topology

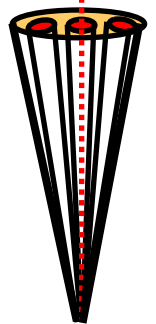
- In many models there is high potential to discover new physics in the top sector in search for heavy resonances

$$pp \rightarrow X \rightarrow t\bar{t}$$

- Simple approach to merge neighboring jets



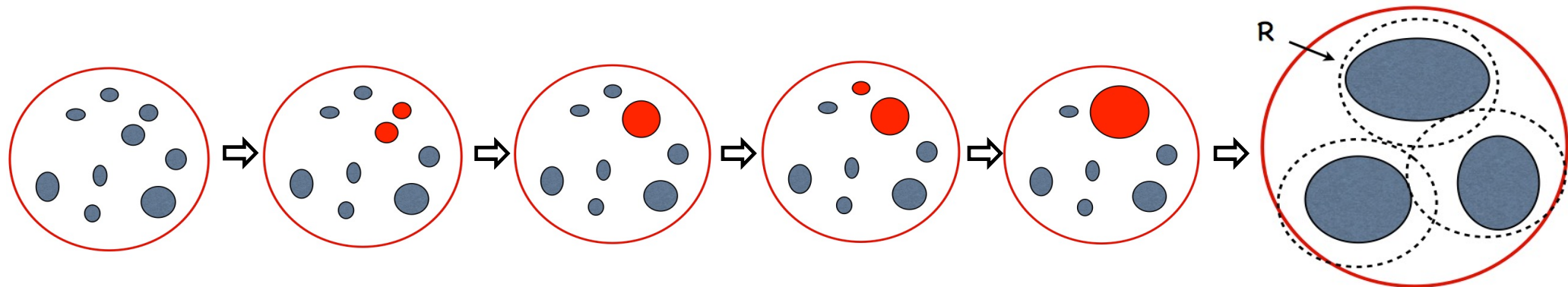
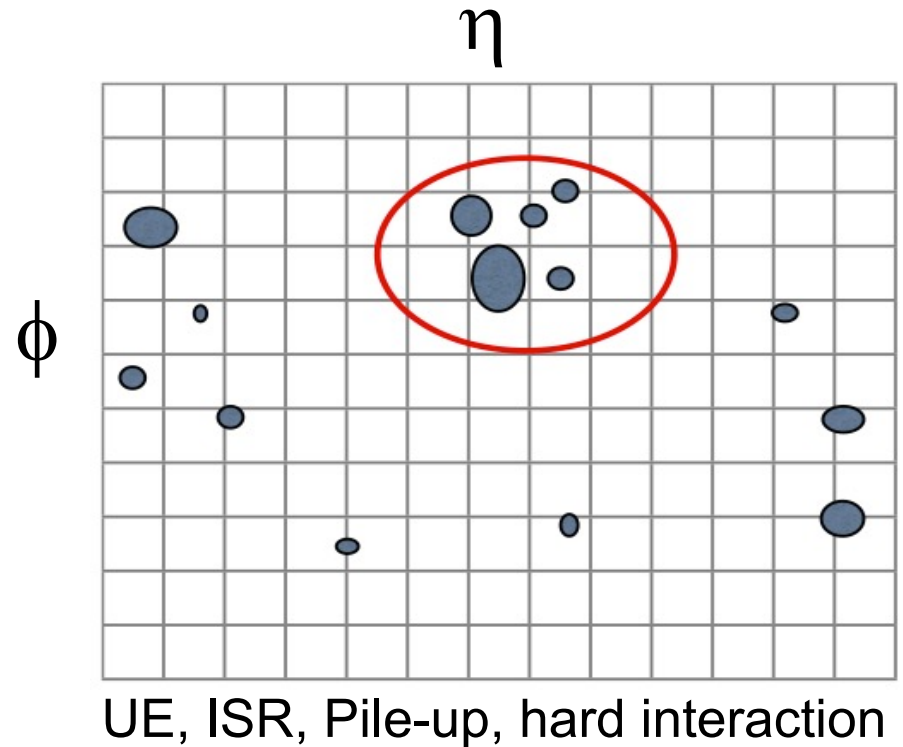
Merged Jet
Mass jet $\sim M_{\text{top}}$



- At LHC energy, EWK scale particles produced beyond threshold
- Jets are highly collimated
- Decay products and FSR collected in a fat jet

Jet/Event selection

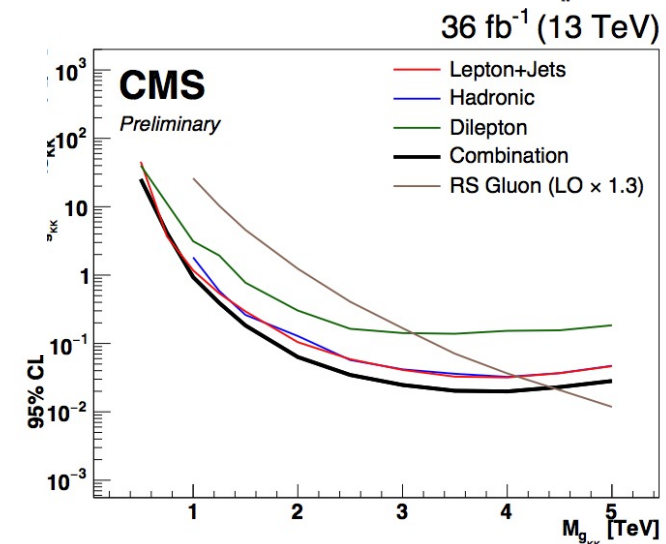
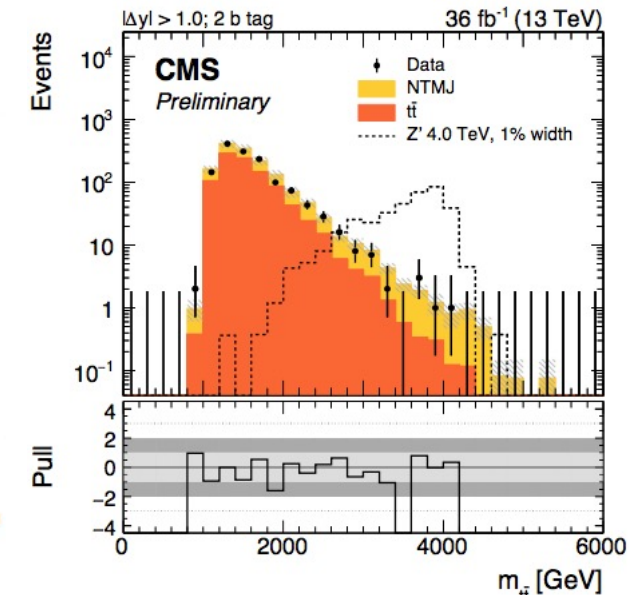
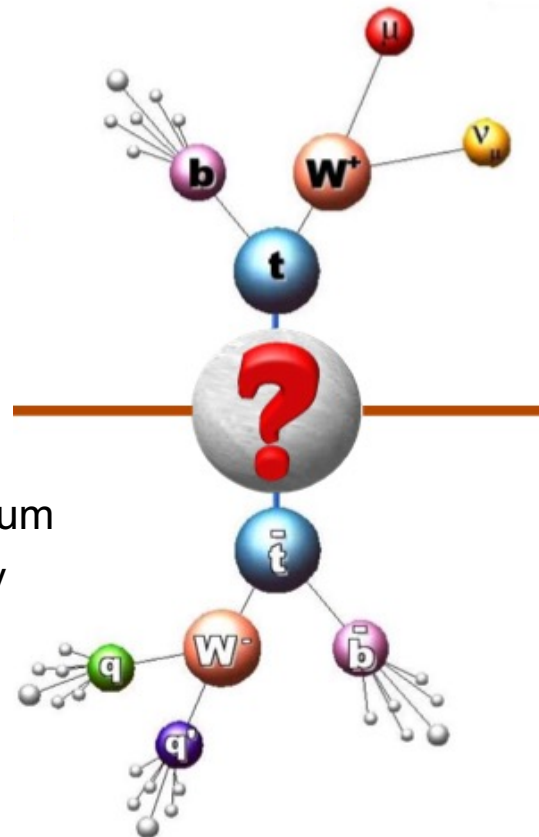
- Locate hadronic energy deposit in detector by choosing initial jet finding algorithm
- Impose jet selection cuts on fat jet
 - Recombine jet constituents with new algorithm
 - Filtering: recombine n sub-jets min $d(i,j)$
 - Trimming: recombine sub-jets with min p_T
- Minimum distance between jets is R



Top quark pair resonance

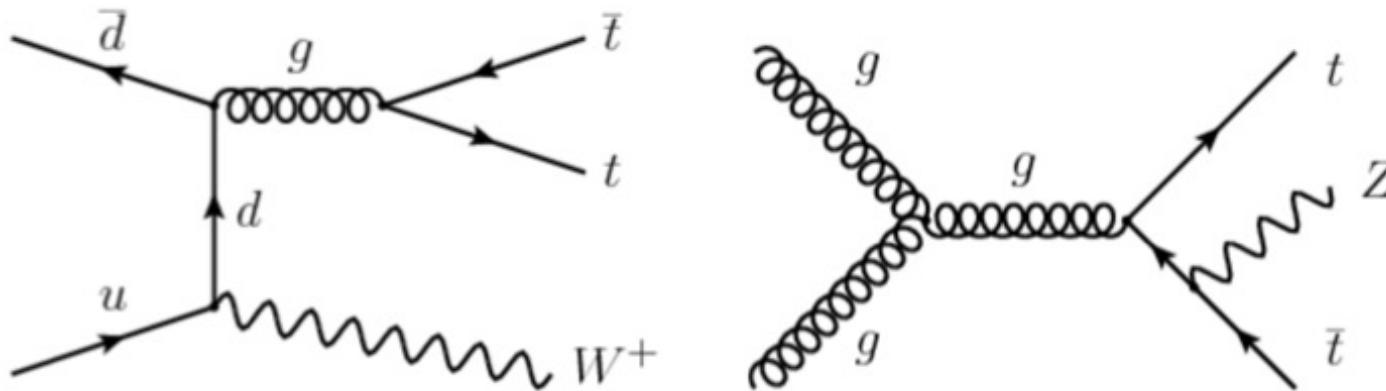
CMS-B2G-17-017, EPJC78(2018)565

- No resonance expected in SM
- Why is top so heavy?
 - new physics?
 - is third generation ‘special’?
- Search for massive neutral bosons decaying via a $t\bar{t}$ quark pair
- Experimental check
 - search for bump in the inv. mass spectrum
 - progressive loss in reconstruction ability due to jet merging
 - reconstruct $M_{t\bar{t}}$ in different categories (e/μ , n -jets, n b-tags)
 - l+jet events: full event reconstruction
 - Subdivide in categories



ttV production ($V=\gamma, W, Z$)

- Large datasets give access to rare $tt+W$ and $tt+Z$ processes
- ttZ : direct probe of top- Z coupling (new physics?)
- ttW : important background to NP searches

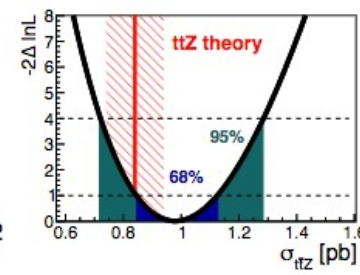
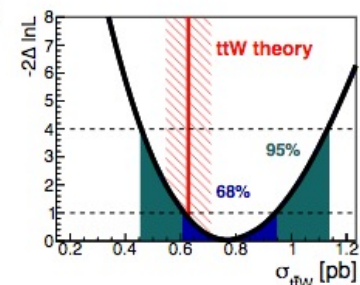
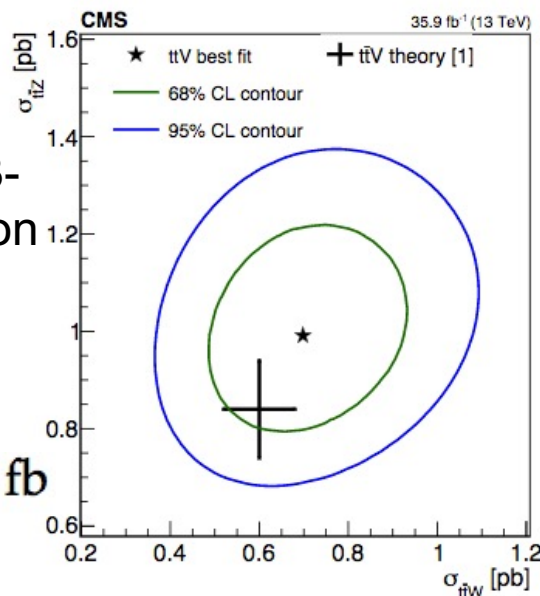
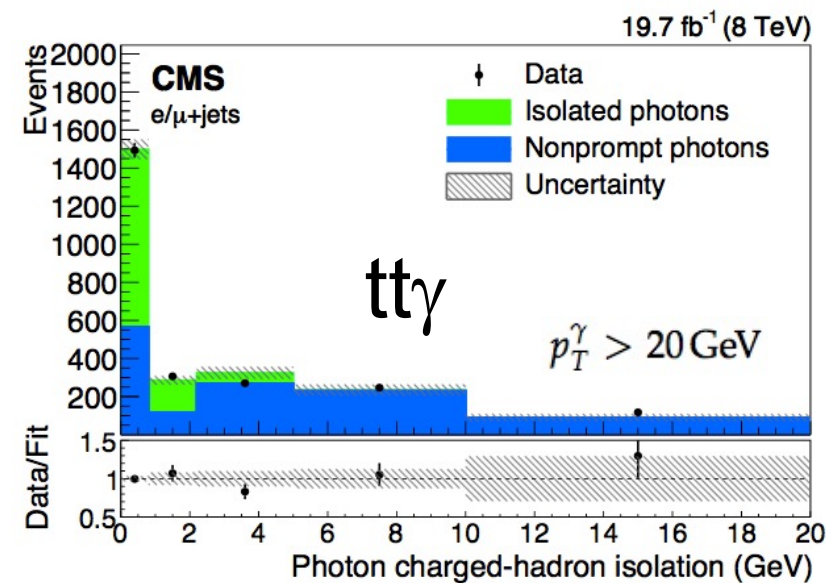
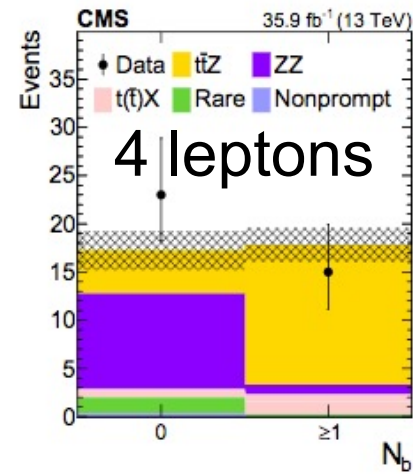
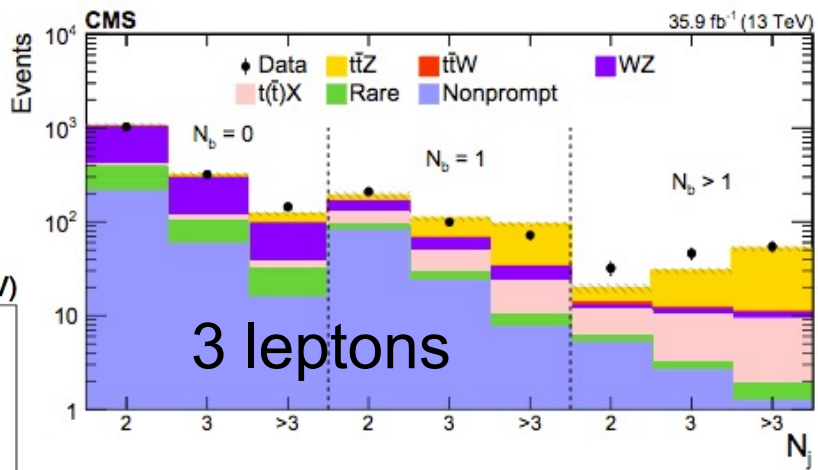


- Use multi-lepton final states
 - 2 same-sign charge leptons, 3 or 4 lepton final states

ttV production (V=γ,W,Z)

arXiv:1808.02913, JHEP08(2018)011, JHEP10(2017)006

- Measurements gives access to EW couplings of the top



Measure $\sigma(\tau\tau\gamma) = 127 \pm 27$ fb

$\sigma(pp \rightarrow t\gamma j) \mathcal{B}(t \rightarrow \mu\nu b) = 115 \pm 17$ (stat) ± 30 (syst) fb

⇒ Consistent with SM predictions

Flavor Changing Neutral Currents

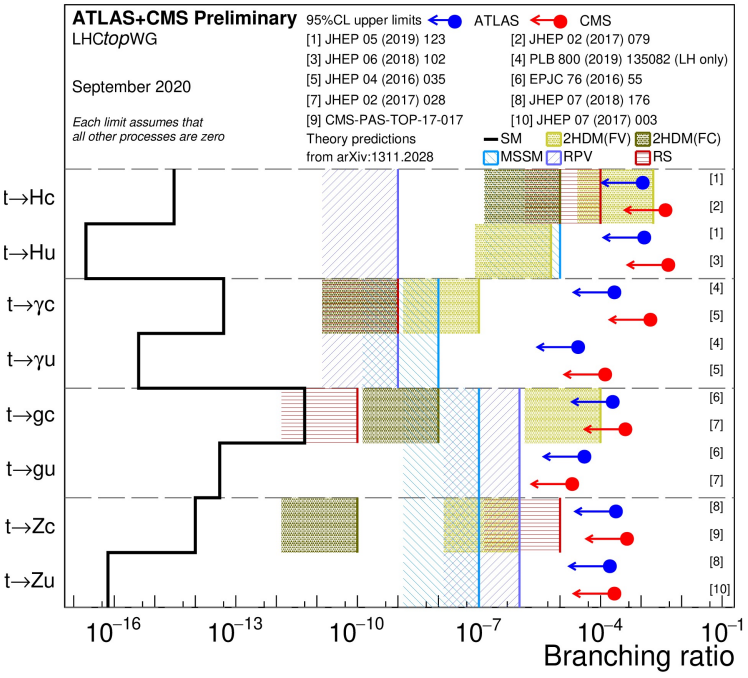
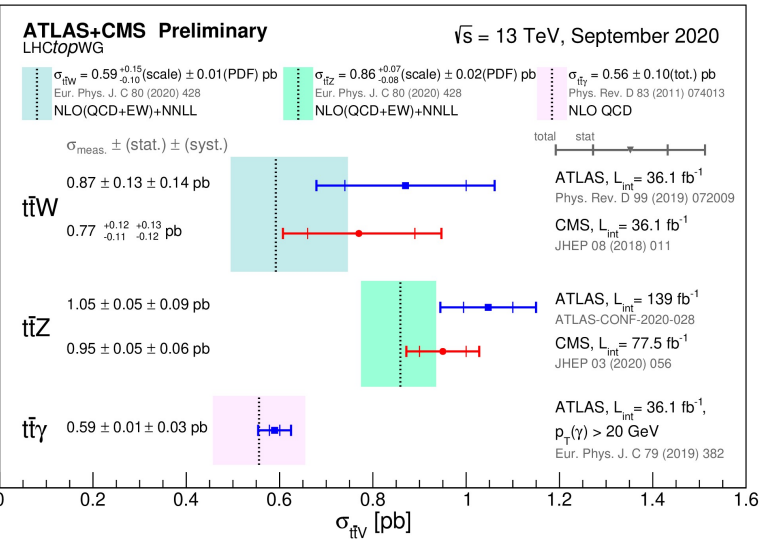
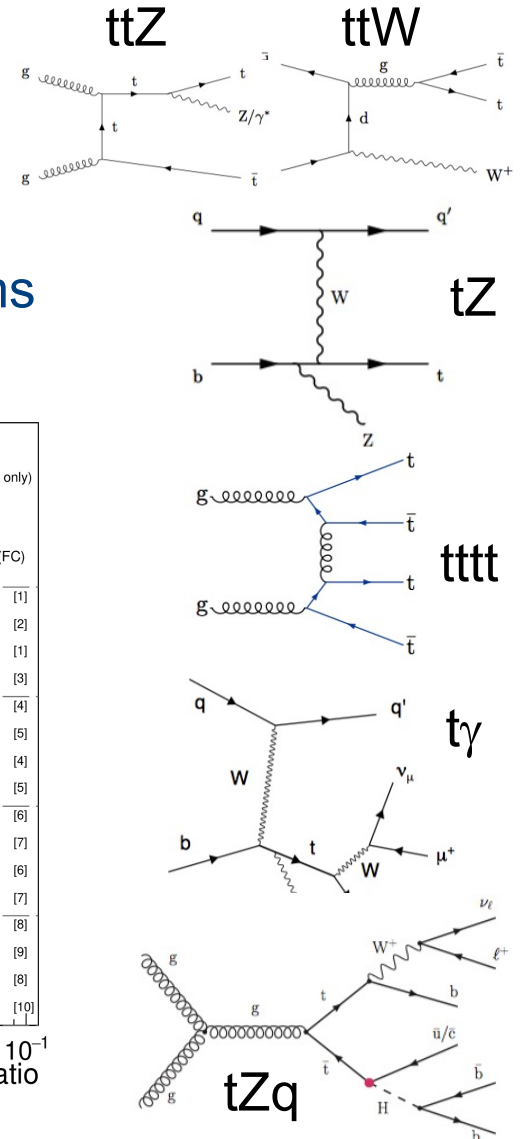
- FCNC: top couples to light quarks (u/c) and neutral bosons (γ, Z, H, g)
- Forbidden at tree level in SM
- Very small rates predicted
- Deviations would give hint for NP

Process	SM	2HDM(FV)	2HDM(FC)	MSSM	RPV	RS
$t \rightarrow Zu$	7×10^{-17}	–	–	$\leq 10^{-7}$	$\leq 10^{-6}$	–
$t \rightarrow Zc$	1×10^{-14}	$\leq 10^{-6}$	$\leq 10^{-10}$	$\leq 10^{-7}$	$\leq 10^{-6}$	$\leq 10^{-5}$
$t \rightarrow gu$	4×10^{-14}	–	–	$\leq 10^{-7}$	$\leq 10^{-6}$	–
$t \rightarrow gc$	5×10^{-12}	$\leq 10^{-4}$	$\leq 10^{-8}$	$\leq 10^{-7}$	$\leq 10^{-6}$	$\leq 10^{-10}$
$t \rightarrow \gamma u$	4×10^{-16}	–	–	$\leq 10^{-8}$	$\leq 10^{-9}$	–
$t \rightarrow \gamma c$	5×10^{-14}	$\leq 10^{-7}$	$\leq 10^{-9}$	$\leq 10^{-8}$	$\leq 10^{-9}$	$\leq 10^{-9}$
$t \rightarrow hu$	2×10^{-17}	6×10^{-6}	–	$\leq 10^{-5}$	$\leq 10^{-9}$	–
$t \rightarrow hc$	3×10^{-15}	2×10^{-3}	$\leq 10^{-5}$	$\leq 10^{-5}$	$\leq 10^{-9}$	$\leq 10^{-4}$

Top quarks and rare decays

arXiv:1711.02547, PLB779(2018)358, EPJC78(2018)140, PRL 121(2018)221802

- Heaviest fundamental particle
- Study naked quark, decays before hadronization
- Strongly interacting with EWK sector and Higgs
- Anomalous couplings: Wtb vertex may include BSM terms



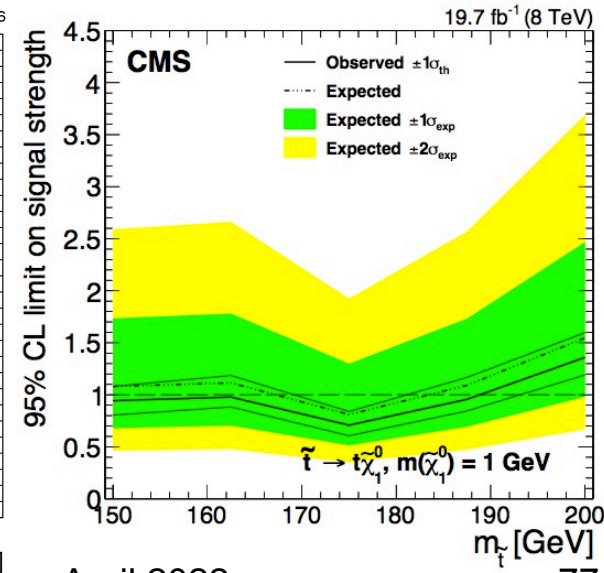
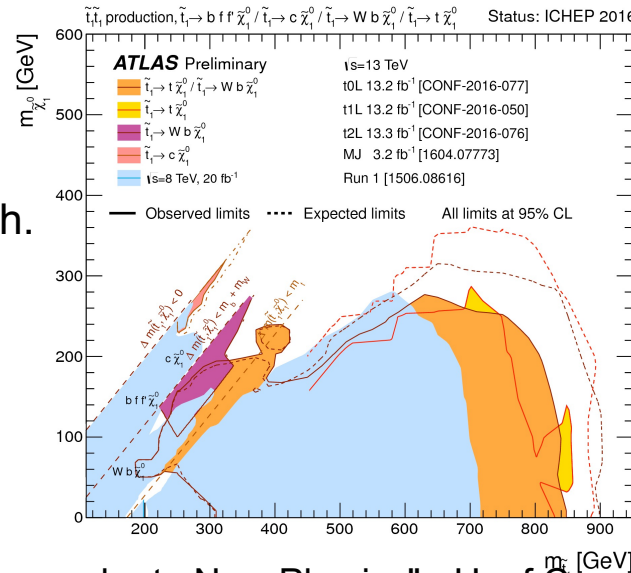
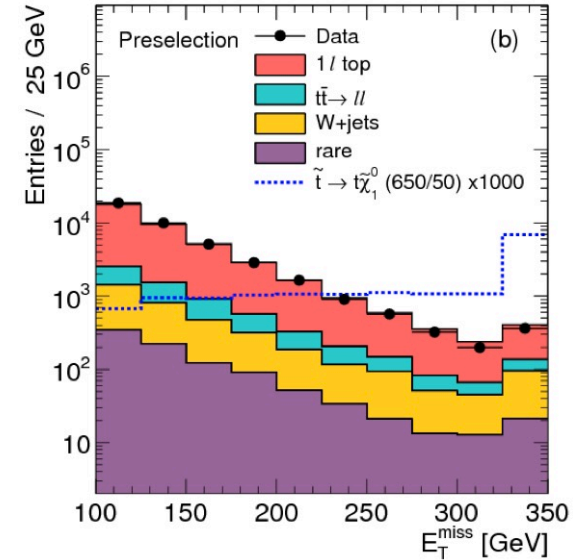
Top and SUSY

EPJC 74 (2014) 3109, arXiv:1603.02303, SUS-16-002, JHEP10(2017)019

- If SUSY exists and is responsible for solution of hierarchy problem, naturalness arguments suggest that SUSY partners of top quark (*stop*) may have mass close to m_{top} to cancel top quark loop contributions to Higgs mass

$$\begin{aligned} \tilde{t} &\rightarrow t \tilde{\chi}_1^0 \rightarrow b W \tilde{\chi}_1^0 \text{ "heavy"} \\ \tilde{t} &\rightarrow b \tilde{\chi}_1^+ \rightarrow b W \tilde{\chi}_1^0 \text{ "light"} \end{aligned}$$

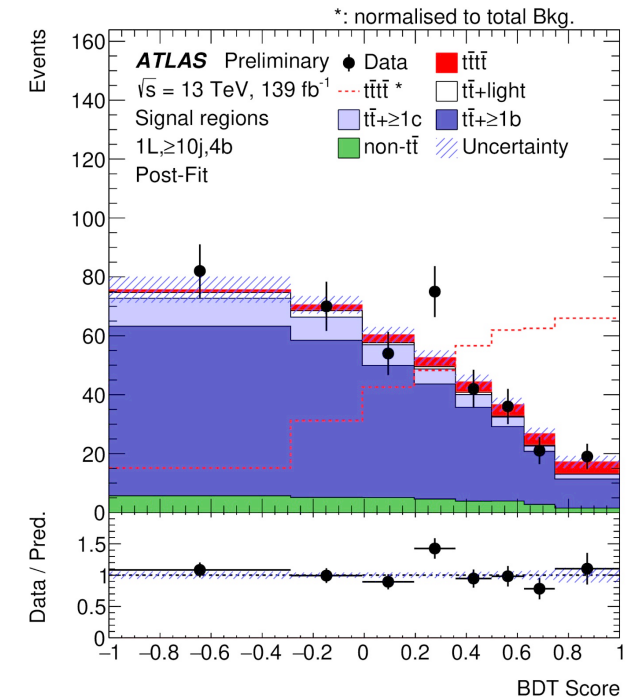
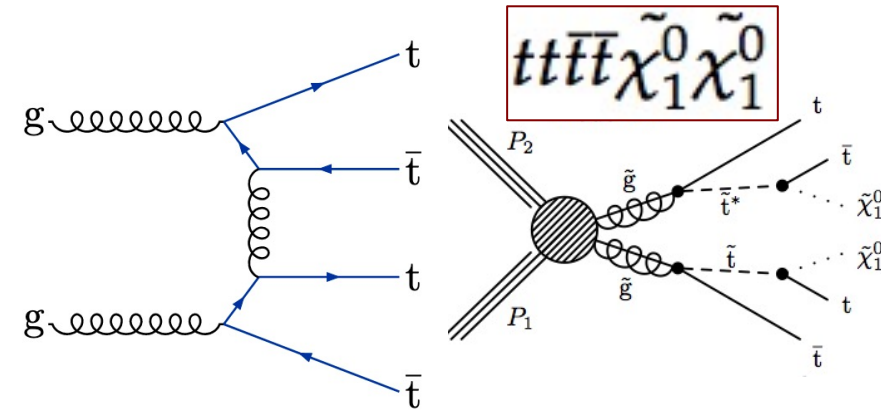
- Small predicted cross section
 - for 175GeV: 40pb@8TeV
- Stop pair production: $t\bar{t} \tilde{\chi}_1^0 \tilde{\chi}_1^0$
 - similar to $t\bar{t}$ lepton+jet and dilepton ch.
 - additional MET from neutralinos
- change in $t\bar{t}$ cross section



Multi-top production

arXiv:1605.03171, 1702.06164, EPJC 80(2020)75, ATLAS-CONF-2021-013

- Production of 4 tops is an attractive scenario in a number of new physics models
- **The SM cross section is 12fb@13TeV**
- Use dilepton and lepton+jets final states
- Combination of kinematical variables and BDT
- Search for same-sign dileptons, or >2 leptons
- Consider multiple **control-** and **search-regions** defined by MET, hadronic energy, number of (b-) jets, and p_T of the leptons in the events
- **Measure cross section: $\sigma = 12.6^{+5.8}_{-5.2}$ fb.**
- **Limits on Yukawa couplings: $|y_t/y_t^{\text{SM}}| < 1.7$.**



Summary

- Top quarks are valuable probes of SM
- Excellent consistency but **SM is incomplete**
 - Extensions foresee existence of additional bosons
 - Searches for BSM bosons ongoing
- Dominant background for New Physics searches
- Due to large mass, top quarks may couple to heavy objects
- Deviations from SM may indicate New Physics
- More data and improved algorithms will enhance the sensitivity
 - Higgs, multi-top, boosted objects, SUSY, Dark matter, etc.