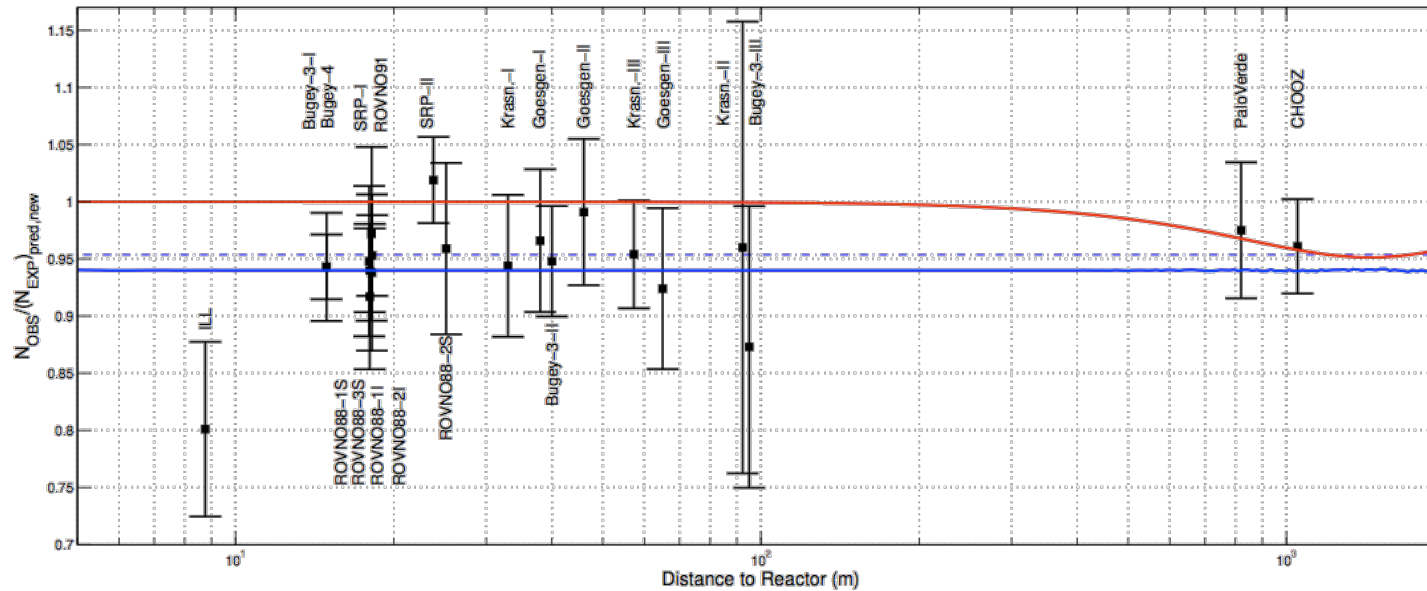


# The Reactor Antineutrino Anomaly



*G. Mention, M. Fechner, T. Lasserre,  
 M. Cribier, Th. Mueller, D. Lhuillier, A. Letourneau,  
 CEA / Irfu*

[arXiv:1101.2755 \[hep-ex\]](https://arxiv.org/abs/1101.2755), [PRD83, 073006 \(2011\)](https://arxiv.org/abs/1101.2755)

*linked to our new "improved prediction of reactor antineutrino spectra", done with Subatech group:*

*M. Fallot, S. Cormon, L. Giot,  
 J. Martino, A. Porta, F. Yerma*

[arXiv:1101.2663 \[hep-ex\]](https://arxiv.org/abs/1101.2663), [PRC83, 054615 \(2011\)](https://arxiv.org/abs/1101.2663)



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- **Reactor anti-neutrino spectra**
- **Reactor anti-neutrino anomaly**
- **Consequences**
- **Outlook**

# $\nu$ spectrum emitted by a reactor

T. A. Mueller et al., [PRC83, 054615 \(2011\)](#)



The prediction of reactor  $\nu$  spectrum is the **dominant** source of **systematic** error for **single detector** reactor neutrino experiments

**Electron antineutrinos** emitted through decays of **Fission**

**Products of  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$**   ${}^A_Z X \longrightarrow {}^A_{Z+1} Y + e^- + \bar{\nu}_e$

## Reactor data

Thermal power,  $\delta P_{th} \leq 1\%$

## Reactor evolution codes

Fraction of fissions from isotope  $k$ ,  $\delta \alpha_k = \text{few } \%$  but large anti-correl @ fixed  $P_{th}$

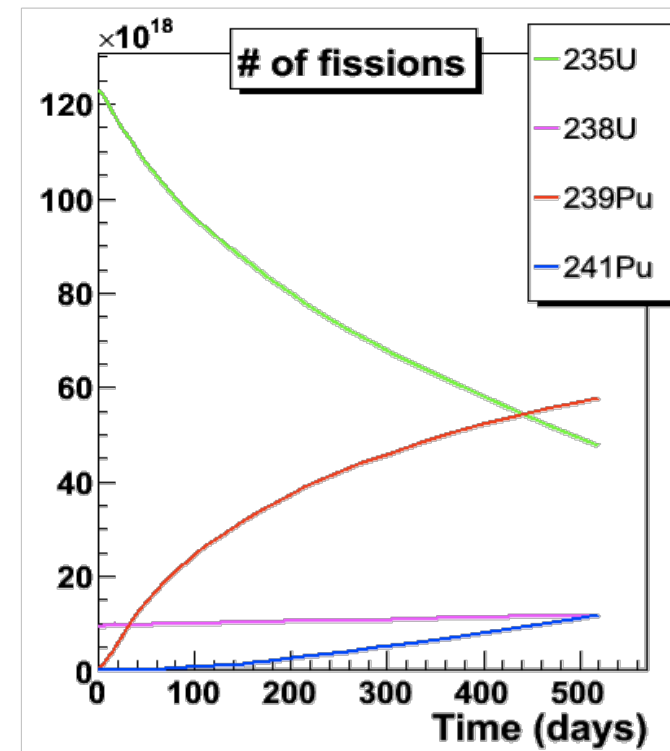
$$\Phi_\nu(E, t) = \frac{P_{th}(t)}{\sum_k \alpha_k(t) E_k} \times \sum_k \alpha_k(t) S_k(E)$$

## Nuclear databases

E released per fissions of isotope  $k$ ,  $\delta E_k \approx 0.3\%$

$k = {}^{235}\text{U}, {}^{238}\text{U}, {}^{239}\text{Pu}, {}^{241}\text{Pu}$

$\nu$  spectrum per fission  
**This work !**



# The guts of $S_k(E)$



$\nu$  spectrum per fission

$$S_k(E) = \sum_{fp=1}^{N_{fp}} A_{fp}(T) \times S_{fp}(E)$$

Sum of all fission products' activities  
**More than 800 nuclei!**

Sum of all  $\beta$ -branch of each fission product  
**Need ~10,000  $\beta$  branches!**

$$S_{fp}(E) = \sum_{b=1}^{N_b} BR_{fp}^b \times S_{fp}^b(Z_{fp}, A_{fp}, E_{0fp}^b, E)$$

Theory of  $\beta$ -decay

$$S_{fp}^b = \underbrace{K_{fp}^b}_{\text{Norm.}} \times \underbrace{\mathcal{F}(Z_{fp}, A_{fp}, E)}_{\text{Fermi function}} \times \underbrace{pE(E - E_{0fp}^b)^2}_{\text{Phase space}}$$

$$\times \underbrace{C_{fp}^b(E)}_{\text{Shape factor}} \times \underbrace{\left(1 + \delta_{fp}^b(Z_{fp}, A_{fp}, E)\right)}_{\text{Correction}}$$

$$\delta_{fp}^b(Z_{fp}, A_{fp}, E) = \delta_{QED}(E) + A_C(Z_{fp}, A_{fp}) \times E + A_W \times E$$

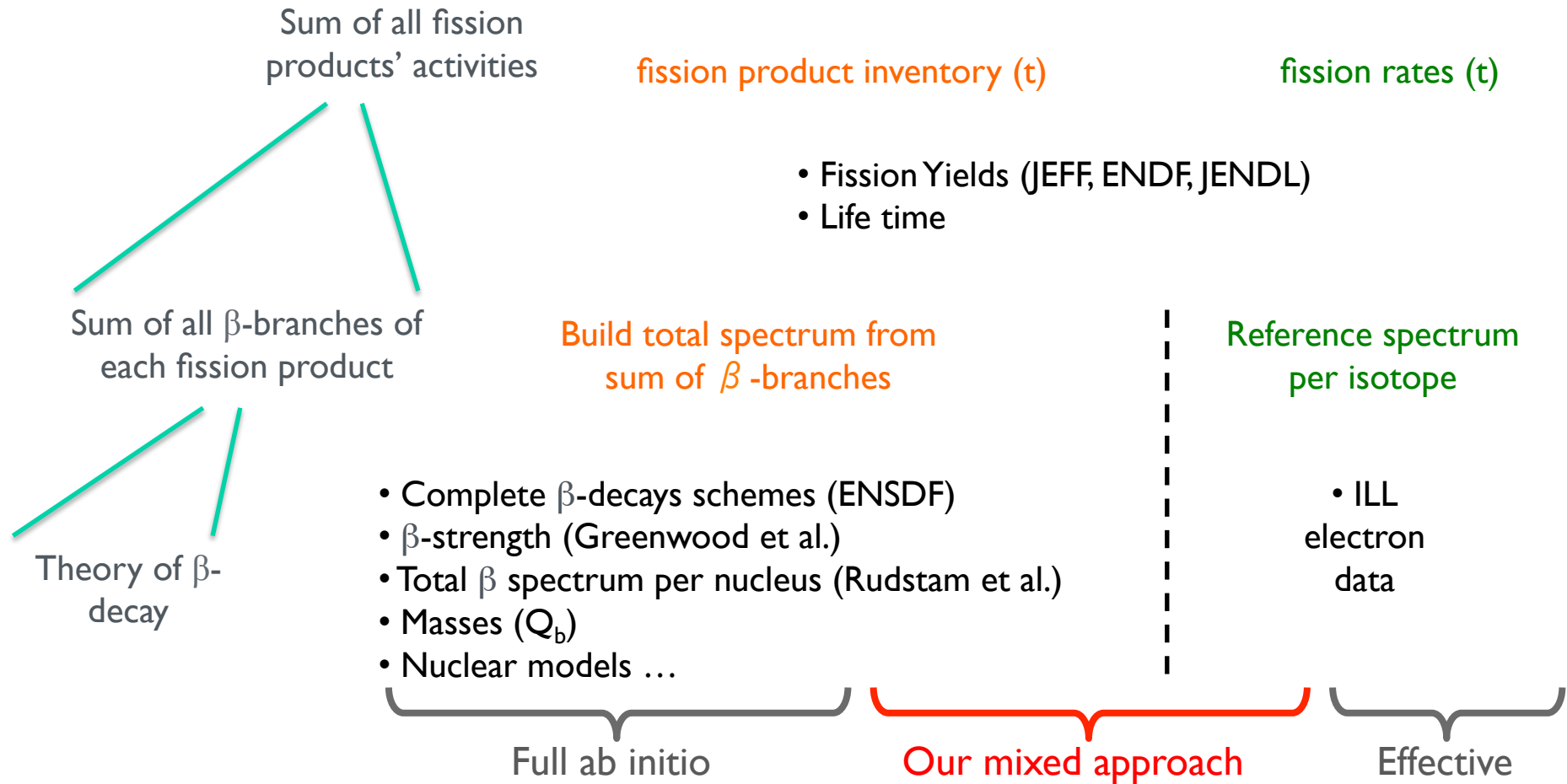
# Complementary approaches to compute the $\nu$ flux



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## Ab initio

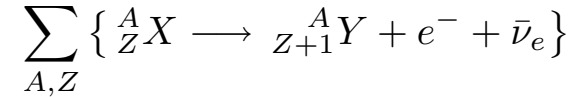
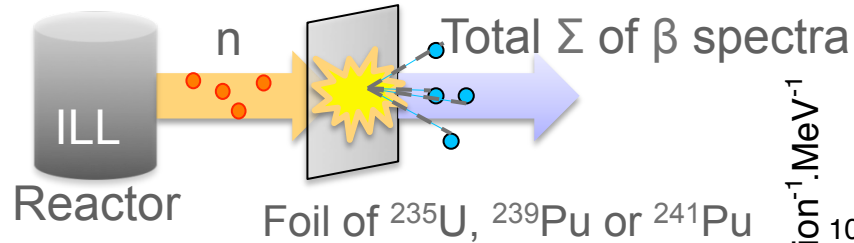
## Integral measurements



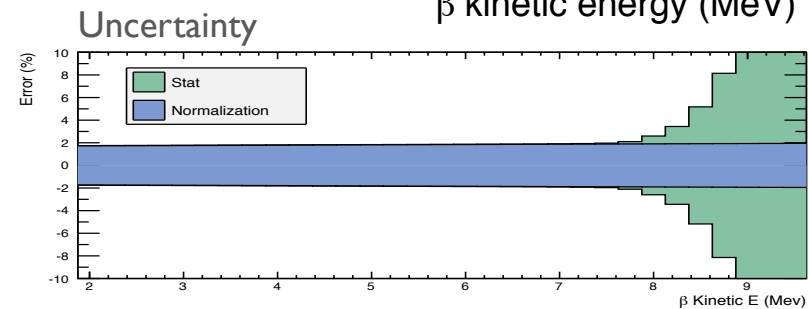
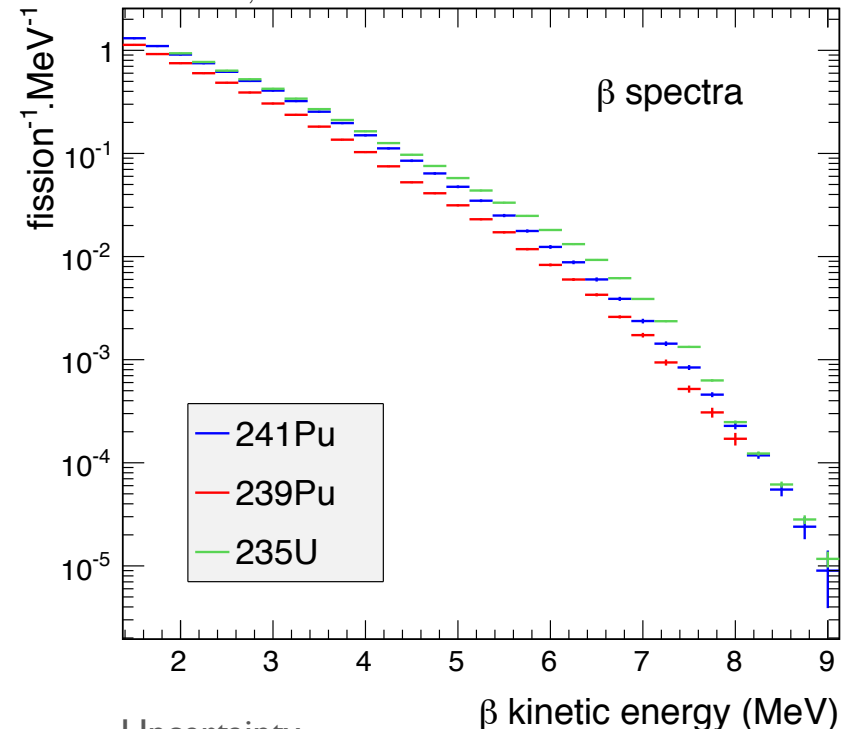
# $\nu$ flux prediction: Anchor point of ILL electron data



Unique reference to be met by any other measurement or calculation



- Accurate measurements @ ILL in Grenoble (1980-89):
- High resolution magn. Spectrometer
- Intense and pure thermal n spectrum from the core (not suitable for  $^{238}\text{U}$  which needs fast n)
- Measure total  $e^{-}$  spectrum from decays of fission product.
  
- Calibration through extensive use of reference internal conversion electron lines
- Normalization syst. @ **1.8%**

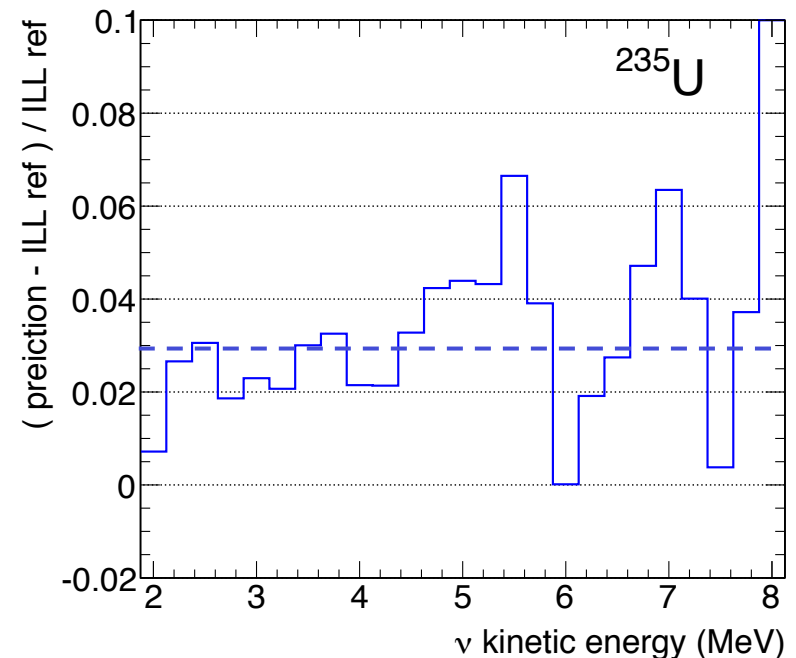
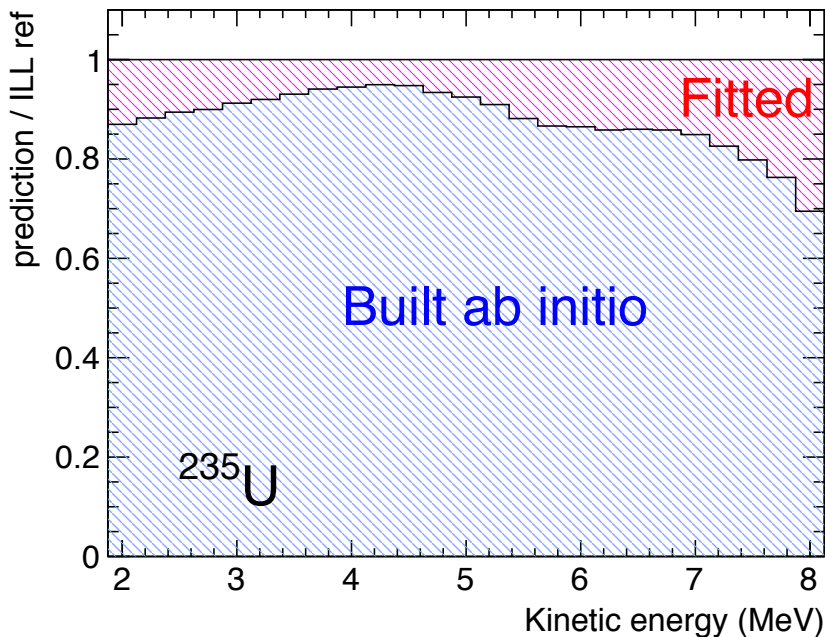


# The New Mixed Conversion Approach



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1. **SAME** ILL  $e^-$  data Anchorage
2. Ab-Initio: “true” distribution of  $\beta$ -branches reproduces  $>90\%$  of ILL  $e^-$  data.
3. Old-procedure: reduce use of effective anchorage-branches to the remaining 10%.



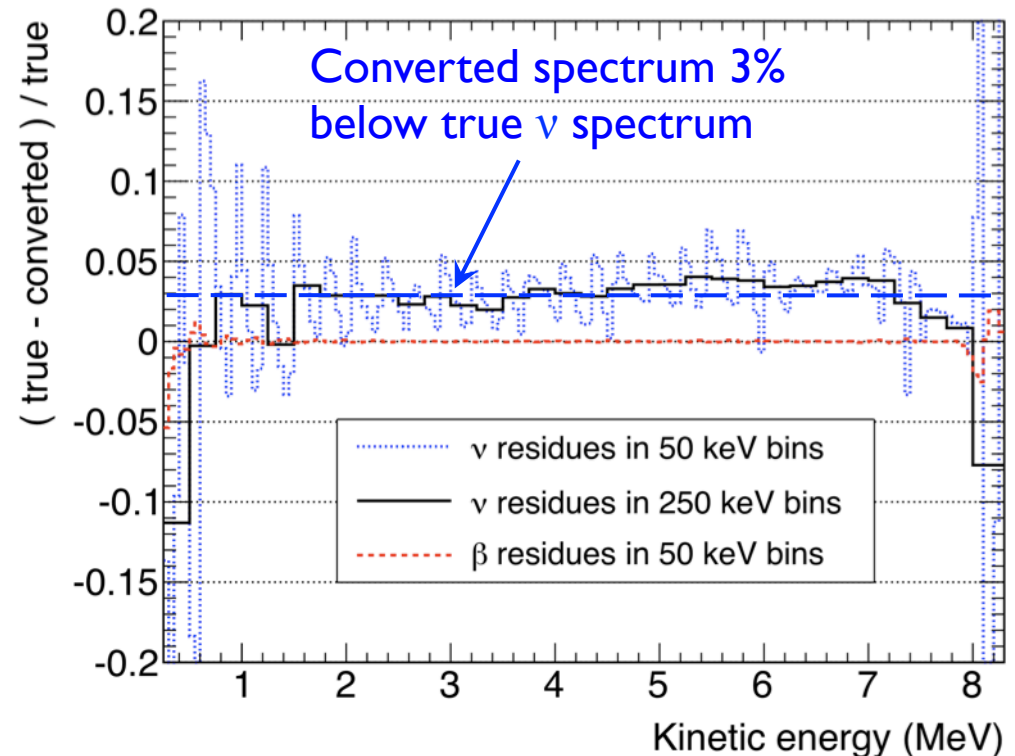
- **About +3% normalization shift with respect to old  $\nu$  spectrum**
- **Similar result for all isotopes ( $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ )**
- **Stringent Test Performed – Origin of the bias identified**

*Average  $\sim +3\%$  shift now independently confirmed by P. Huber: arXiv:1106.0687 although some difference in shape.*

# Consistency Check



1. Define “true”  $e^-$  and  $\nu$  spectra **from reduced set of well-known branches** from ENSDF nuclei data base. “Perfect knowledge” of both  $e^-$  and  $\nu$  spectra.
2. Apply exact same **OLD** conversion procedure to true  $e^-$  spectrum.
3. Compare the converted  $\nu$  spectrum to the true one.
4. OLD technique gives a 3% bias compared to the true  $\nu$  spectrum  
→ **OLD** effective conversion method biases the predicted  $\nu$  spectrum at the level of -3% in normalization





# Off-equilibrium corrections to ILL $\beta$ -spectra measurements



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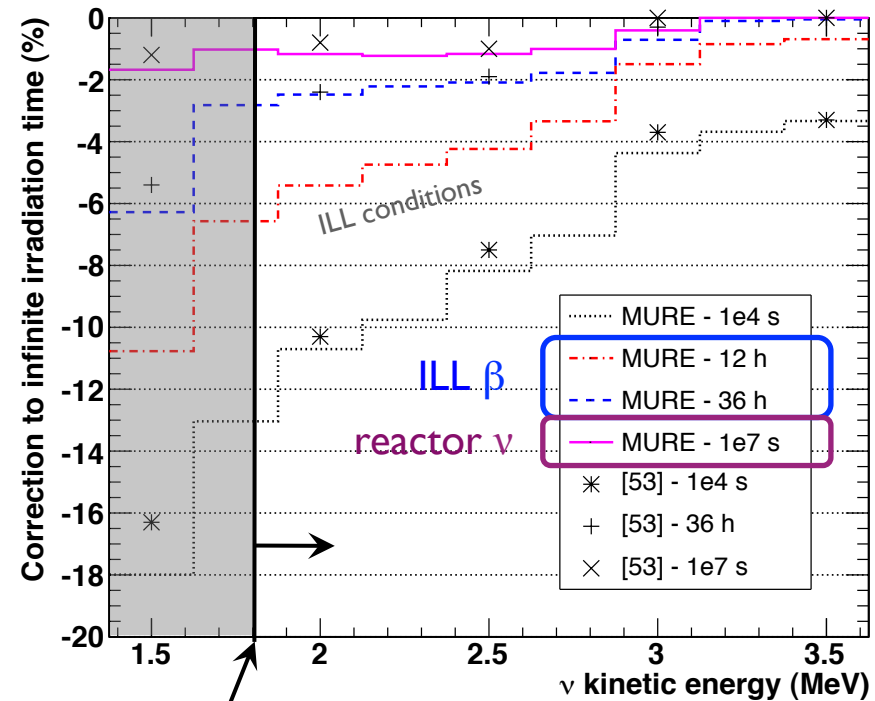
MURE evolution code: core composition and off equilibrium effects

(Subatech Nantes)

$$S_k(E) = \sum_{fp=1}^{N_{fp}} \mathcal{A}_{fp}(T) \times S_{fp}(E)$$

- Full simulation of reactor core  
→ absolute prediction of isotopes inventory.
- Relative off-equilibrium effect: close to beta-inverse threshold, **a significant fraction of the  $\nu$  spectrum takes weeks to reach equilibrium**  
  
→ Sizeable correction in the  $\nu$  oscillation range that depends on the exact chronology of ILL data taking.

Relative change of  $\nu$  spectrum w.r.t. infinite irradiation time



$\bar{\nu}_e + p \longrightarrow e^+ + n$  reaction threshold

# Anti- $\nu_e$ Detection: V-A Cross Section



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- **Inverse Beta Decay:**  $\bar{\nu}_e + p \rightarrow e^+ + n$

- **Theoretical predictions: our results agree with**

- Vogel 1984 (Phys Rev D29 p1918)
- Fayans 1985 (Sov J Nucl Phys 42)
- Vogel-Beacom 1999: “supersedes” Vogel 84 (Phys Prev D60 053003)

$$\sigma_{V-A}(E_e) = \kappa p_e E_e (1 + \delta_{rec} + \delta_{wm} + \delta_{rad})$$

- **The pre-factor  $\kappa$**  (two pseudo-independent approaches)

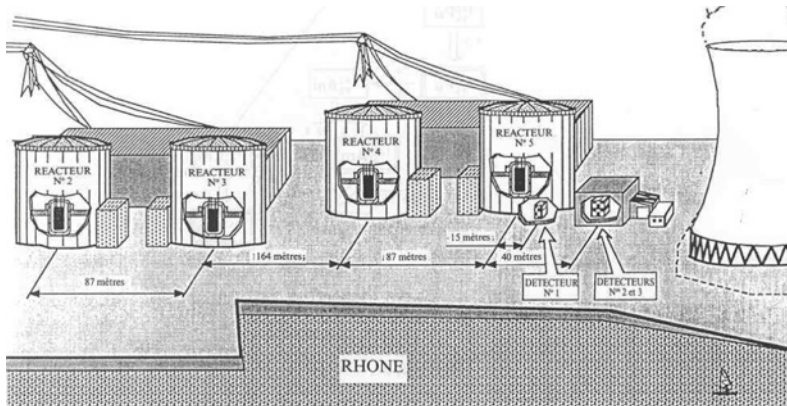
$$\kappa = \frac{G_F^2 \cos^2(\theta_C)}{\pi} (1 + \Delta_{inner}^R)(1 + 3\lambda^2) = \frac{2\pi^2}{m_e^5 f^R \tau_n} \quad \lambda = \left| \frac{g_A}{g_V} \right|$$

- **$\kappa$ 's value raised over the history**, from  $0.914 \cdot 10^{-42} \text{ cm}^2 \text{ MeV}^{-2}$  in 1981
  - Vogel/Beacom 1999 :  $\kappa = 0.952 \cdot 10^{-42} \text{ cm}^2 \text{ MeV}^{-2}$
  - **Our work is based on 2010 PDG  $\tau_n$  :  $\kappa = 0.956 \cdot 10^{-42} \text{ cm}^2 \text{ MeV}^{-2}$**
  - But we anticipate 2011  $\kappa = 0.961 \cdot 10^{-42} \text{ cm}^2 \text{ MeV}^{-2}$  ( $\langle \tau_n \rangle$  revision)

# Computing the expected rate/spectrum

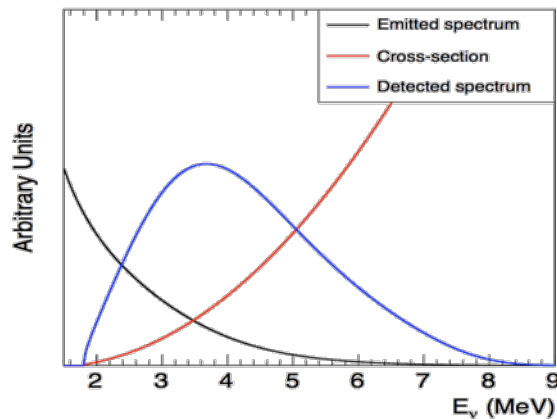


$$\sigma_f^{pred} = \int_0^\infty S_{tot}(E_\nu) \sigma_{V-A}(E_\nu) dE_\nu = \sum_k f_k \sigma_{f,k}^{pred}$$



## • Bugey-4 Benchmark

- Phys. Lett. B 338(1994) 383
- $\tau_n = 887.4$  s
- “old” spectra (30 effective branches)
- no off-equilibrium corrections



$10^{-43} \text{cm}^2 / \text{fission}$	$^{235}\text{U}$	$^{239}\text{Pu}$	$^{241}\text{Pu}$
BUGEY-4	$6.39 \pm 1.9\%$	$4.18 \pm 2.4\%$	$5.76 \pm 2.1\%$
This work	$6.39 \pm 1.8\%$	$4.19 \pm 2.3\%$	$5.73 \pm 1.9\%$

- Final agreement to better than 0.1% on best known  $^{235}\text{U}$  w.r.t. their computations
- This validates our calculation code.

# The New Cross Section Per Fission



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- **v-flux:**  $^{235}\text{U}$  : +2.5%,  $^{239}\text{Pu}$  +3.1%,  $^{241}\text{Pu}$  +3.7%,  $^{238}\text{U}$  +9.8% ( $\sigma_f^{\text{pred}}$  ↗)
- **Off-equilibrium corrections** now included ( $\sigma_f^{\text{pred}}$  ↗)
- **Neutron lifetime** decrease by a few % ( $\sigma_f^{\text{pred}}$  ↗) ( $\sigma_{\text{V-A}}(E_\nu) \propto 1/\tau_n$ )
- Slight evolution of the phase space factor ( $\sigma_f^{\text{pred}}$  →)
- Slight evolution of the energy per fission per isotope ( $\sigma_f^{\text{pred}}$  →)
- Burnup dependence  $\sigma_f^{\text{pred}} = \sum_k f_k \sigma_{f,k}^{\text{pred}}$  ( $\sigma_f^{\text{pred}}$  →)

$10^{-43}$ cm <sup>2</sup> /fission	old [3]	new
$\sigma_{f,235\text{U}}^{\text{pred}}$	6.39±1.9%	6.61±2.11%
$\sigma_{f,239\text{Pu}}^{\text{pred}}$	4.19±2.4%	4.34±2.45%
$\sigma_{f,238\text{U}}^{\text{pred}}$	9.21±10%	10.10±8.15%
$\sigma_{f,241\text{Pu}}^{\text{pred}}$	5.73±2.1%	5.97±2.15%

relative effect



**+3.4%**

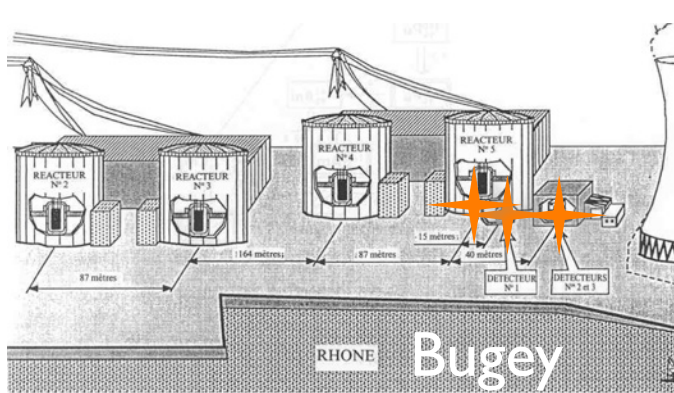
**+3.6%**

**+9.6%**

**+4.2%**

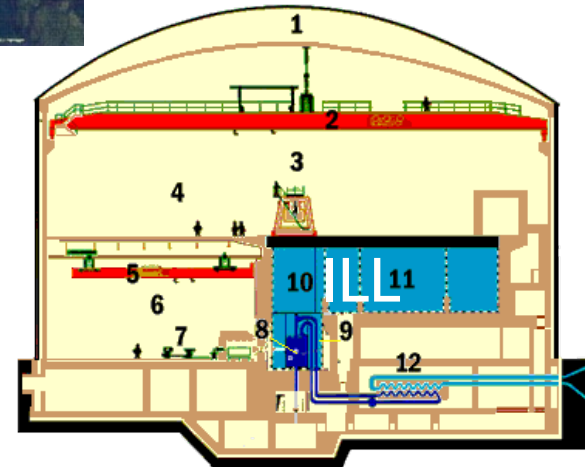
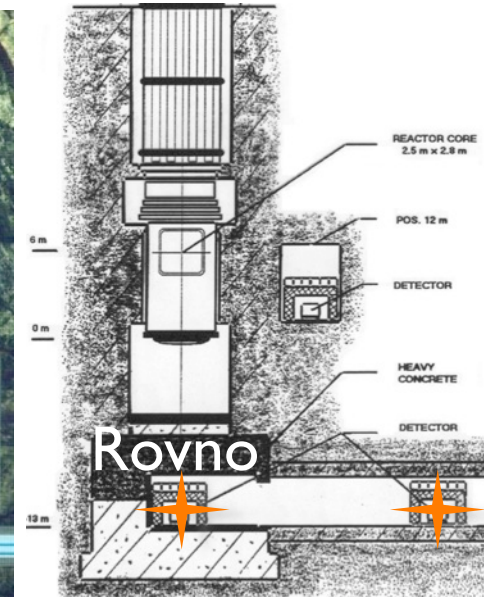
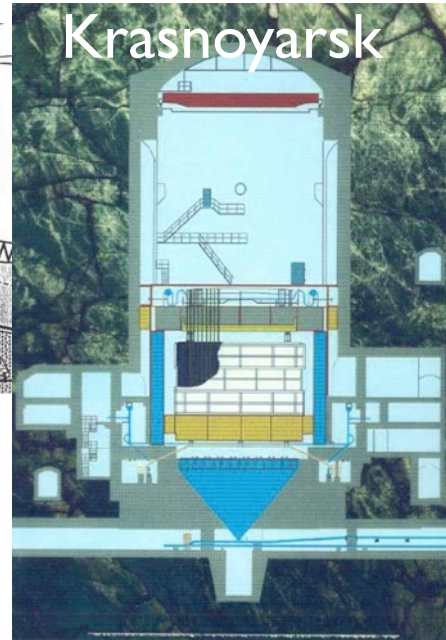
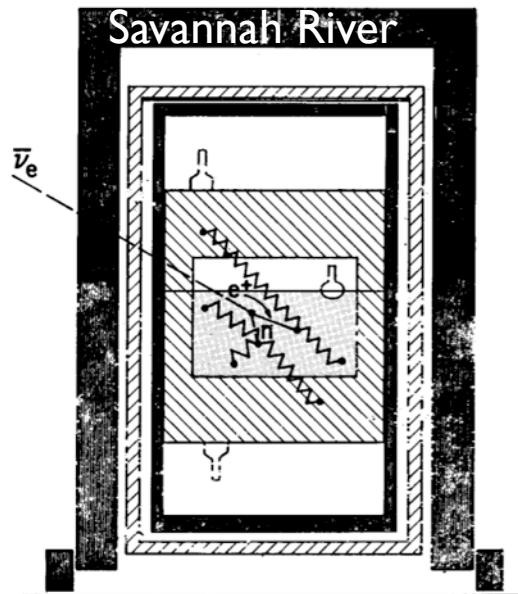
# I 9 Experimental results at distances below 100 m

G. Mention et al. [PRD83,073006 \(2011\)](#)



Neutrino Oscillation Detector

Savannah River



Measured neutrino rates and cross sections per fission  $\sigma_f$



# I 9 Experimental Results Revisited (L<100m)

#	Technology							Baseline				
	result	techno	$\tau_n$ (s)	$^{235}\text{U}$	$^{239}\text{Pu}$	$^{238}\text{U}$	$^{241}\text{Pu}$	old	new	err(%)	corr(%)	L(m)
1	Bugey-4	$^3\text{He}+\text{H}_2\text{O}$	888.7	0.538	0.328	0.078	0.056	0.987	0.943	3.0	3.0	15
2	ROVNO91	$^3\text{He}+\text{H}_2\text{O}$	888.6	0.614	0.274	0.074	0.038	0.985	0.940	3.9	3.0	18
3	Bugey-3-I	$^6\text{Li-LS}$	889	0.538	0.328	0.078	0.056	0.988	0.943	5.0	5.0	15
4	Bugey-3-II	Li-LS	889	0.538	0.328	0.078	0.056	0.994	0.948	5.1	5.0	40
5	Bugey-3-III	Li-LS	889	0.538	0.328	0.078	0.056	0.915	0.873	14.1	5.0	95
6	Goesgen-I	$^3\text{He}+\text{LS}$	897	0.6198	0.274	0.074	0.042	1.018	0.966	6.5	6.0	38
7	Goesgen-II	$^3\text{He}+\text{LS}$	897	0.584	0.298	0.068	0.050	1.045	0.991	6.5	6.0	45
8	Goesgen-II	$^3\text{He}+\text{LS}$	897	0.543	0.329	0.070	0.058	0.975	0.924	7.6	6.0	65
9	ILL	$^3\text{He}+\text{LS}$	889	$\simeq 1$	<0.01	<0.01	<0.01	0.832	0.801	9.5	6.0	9
10	Krasn. I	$^3\text{He}+\text{PE}$	899	$\simeq 1$	<0.01	<0.01	<0.01	1.013	0.944	5.1	4.1	33
11	Krasn. II	$^3\text{He}+\text{PE}$	899	$\simeq 1$	<0.01	<0.01	<0.01	1.031	0.960	20.3	4.1	92
12	Krasn. II	$^3\text{He}+\text{PE}$	899	$\simeq 1$	<0.01	<0.01	<0.01	0.989	0.954	4.1	4.1	57
13	SRP I	Gd-LS	887	$\simeq 1$	<0.01	<0.01	<0.01	0.987	0.953	3.7	3.7	18
14	SRP II	Gd-LS	887	$\simeq 1$	<0.01	<0.01	<0.01	1.055	1.019	3.8	3.7	24
15	ROVNO88-1I	$^3\text{He}+\text{PE}$	898.8	0.607	0.277	0.074	0.042	0.969	0.917	6.9	6.9	18
16	ROVNO88-2I	$^3\text{He}+\text{PE}$	898.8	0.603	0.276	0.076	0.045	1.001	0.948	6.9	6.9	18
17	ROVNO88-1S	Gd-LS	898.8	0.606	0.277	0.074	0.043	1.026	0.972	7.8	7.8	18
18	ROVNO88-2S	Gd-LS	898.8	0.557	0.313	0.076	0.054	1.013	0.959	7.8	7.8	25
19	ROVNO88-3S	Gd-LS	898.8	0.606	0.274	0.074	0.046	0.990	0.938	7.2	7.2	18

# I 9 Experimental Results Revisited (L<100m)

## Neutron lifetime

#	result	techno	$\tau_n$ (s)	$^{235}\text{U}$	$^{239}\text{Pu}$	$^{238}\text{U}$	$^{241}\text{Pu}$	old	new	err(%)	corr(%)	L(m)
1	Bugey-4	$^3\text{He}+\text{H}_2\text{O}$	888.7	0.538	0.328	0.078	0.056	0.987	0.943	3.0	3.0	15
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# I 9 Experimental Results Revisited (L<100m)

## Averaged Fuel Composition

#	result	techno	$\tau_n$ (s)	$^{235}\text{U}$	$^{239}\text{Pu}$	$^{238}\text{U}$	$^{241}\text{Pu}$	old	new	err(%)	corr(%)	L(m)
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# I 9 Experimental Results Revisited (L<100m)

OBSERVED/PREDICTED ratios: OLD & NEW (this work)

#	result	techno	$\tau_n$ (s)	$^{235}\text{U}$	$^{239}\text{Pu}$	$^{238}\text{U}$	$^{241}\text{Pu}$	old	new	err(%)	corr(%)	L(m)
1	Bugey-4	$^3\text{He}+\text{H}_2\text{O}$	888.7	0.538	0.328	0.078	0.056	0.987	0.943	3.0	3.0	15
2	ROVNO91	$^3\text{He}+\text{H}_2\text{O}$	888.6	0.614	0.274	0.074	0.038	0.985	0.940	3.9	3.0	18
3	Bugey-3-I	$^6\text{Li-LS}$	889	0.538	0.328	0.078	0.056	0.988	0.943	5.0	5.0	15
4	Bugey-3-II	Li-LS	889	0.538	0.328	0.078	0.056	0.994	0.948	5.1	5.0	40
5	Bugey-3-III	Li-LS	889	0.538	0.328	0.078	0.056	0.915	0.873	14.1	5.0	95
6	Goesgen-I	$^3\text{He}+\text{LS}$	897	0.6198	0.274	0.074	0.042	1.018	0.966	6.5	6.0	38
7	Goesgen-II	$^3\text{He}+\text{LS}$	897	0.584	0.298	0.068	0.050	1.045	0.991	6.5	6.0	45
8	Goesgen-II	$^3\text{He}+\text{LS}$	897	0.543	0.329	0.070	0.058	0.975	0.924	7.6	6.0	65
9	ILL	$^3\text{He}+\text{LS}$	889	$\simeq 1$	<0.01	<0.01	<0.01	0.832	0.801	9.5	6.0	9
10	Krasn. I	$^3\text{He}+\text{PE}$	899	$\simeq 1$	<0.01	<0.01	<0.01	1.013	0.944	5.1	4.1	33
11	Krasn. II	$^3\text{He}+\text{PE}$	899	$\simeq 1$	<0.01	<0.01	<0.01	1.031	0.960	20.3	4.1	92
12	Krasn. II	$^3\text{He}+\text{PE}$	899	$\simeq 1$	<0.01	<0.01	<0.01	0.989	0.954	4.1	4.1	57
13	SRP I	Gd-LS	887	$\simeq 1$	<0.01	<0.01	<0.01	0.987	0.953	3.7	3.7	18
14	SRP II	Gd-LS	887	$\simeq 1$	<0.01	<0.01	<0.01	1.055	1.019	3.8	3.7	24
15	ROVNO88-1I	$^3\text{He}+\text{PE}$	898.8	0.607	0.277	0.074	0.042	0.969	0.917	6.9	6.9	18
16	ROVNO88-2I	$^3\text{He}+\text{PE}$	898.8	0.603	0.276	0.076	0.045	1.001	0.948	6.9	6.9	18
17	ROVNO88-1S	Gd-LS	898.8	0.606	0.277	0.074	0.043	1.026	0.972	7.8	7.8	18
18	ROVNO88-2S	Gd-LS	898.8	0.557	0.313	0.076	0.054	1.013	0.959	7.8	7.8	25
19	ROVNO88-3S	Gd-LS	898.8	0.606	0.274	0.074	0.046	0.990	0.938	7.2	7.2	18

# I 9 Experimental Results Revisited (L<100m)

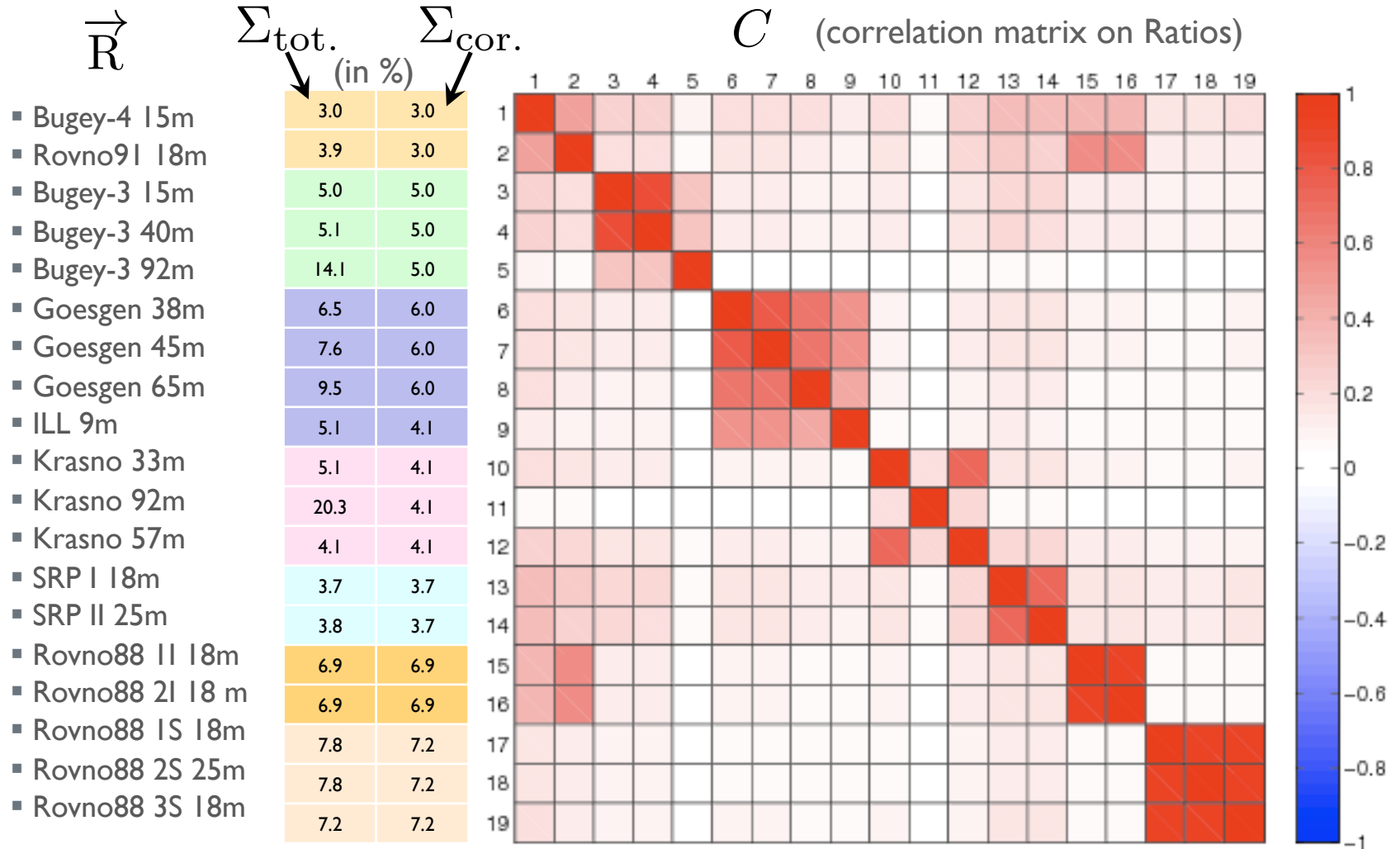
Total Errors Exp.+v-Spectra (%) & Correlated errors (%)

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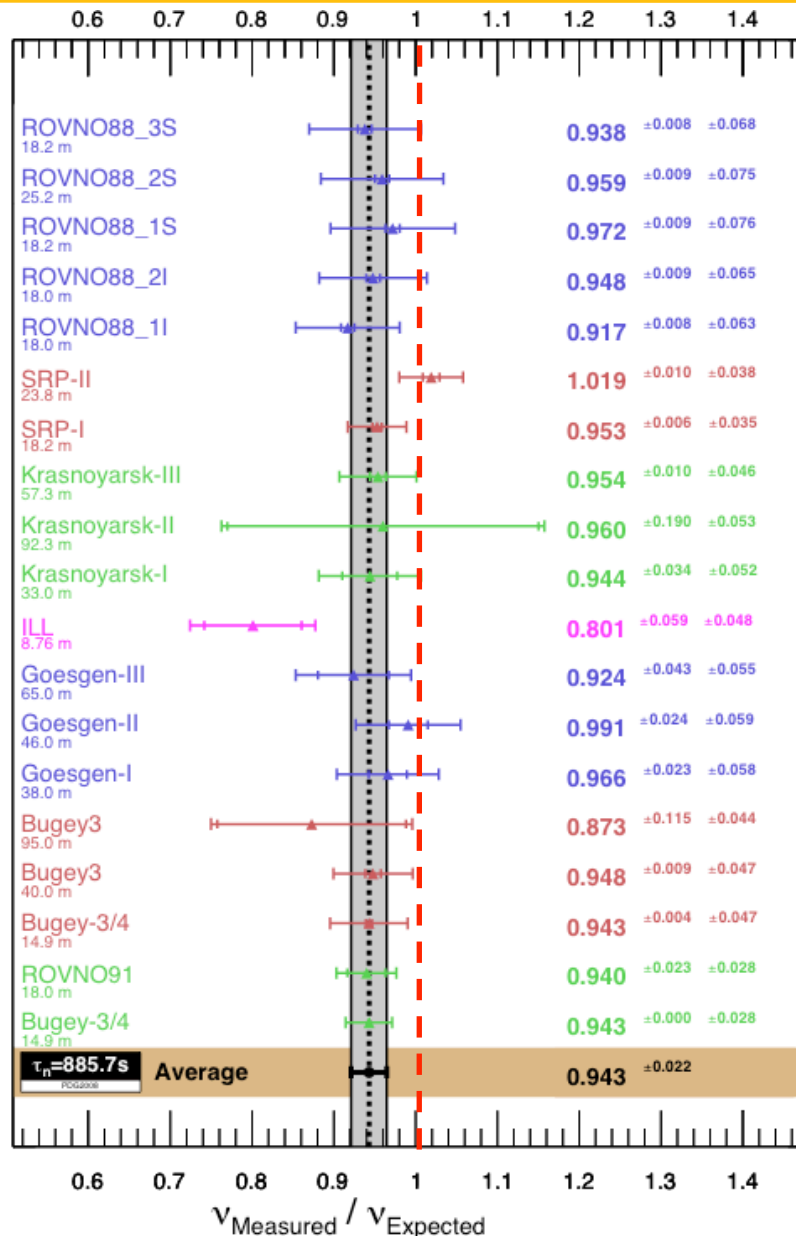
- Our guiding principles: Be conservative & stable numerically
  
- We correlated experiments in the following way:
  - 2% systematic on flux fully correlated over all measurements of  $\beta$ -spectra of ILL
  
- Non-flux systematic error correlations across measurements:
  - Same experiment with same technology: 100% correlated
  - ILL shares 6% correlated error with Gösgen although detector slightly different. Rest of ILL error is uncorrelated.
  - Rovno 88 integral measurements 100% corr. with Rovno 91 despite detector upgrade, but not with Rovno 88 LS data
  - Rovno 88 integral meas. 50% correlated with Bugey-4

# Experiments correlation matrix on ratios = meas./pred.



- Main pink color comes from the 2% systematic on ILL  $\beta$ -spectra normalization uncertainty
- The experiment block correlations come from identical detector, technology or neutrino source

# The reactor anti-neutrino anomaly



$$\chi^2 = \left( r - \vec{R} \right)^T W^{-1} \left( r - \vec{R} \right)$$

$$\text{Weights: } W = \Sigma_{\text{unc.}}^2 + \Sigma_{\text{cor.}} C \Sigma_{\text{cor.}}$$

$$\text{with } \Sigma_{\text{unc.}}^2 = \Sigma_{\text{tot.}}^2 - \Sigma_{\text{cor.}}^2$$

The synthesis of published experiments at reactor-detector distances  $\leq 100$  m leads to a ratio  $R$  of observed event rate to predicted rate of

$$\mu = 0.976 \pm 0.024 \text{ (OLD flux)}$$

With our **NEW flux** evaluation, this ratio shifts to

$$\mu = \mathbf{0.943 \pm 0.023},$$

leading to a deviation from unity at 98.6% C.L.

$$\chi^2_{\text{min}} = 19.6/18$$



# The reactor rate anomaly

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- 18/19 short baseline experiments  $< 100\text{m}$  from a reactor observed a deficit of anti- $\nu_e$  compared to the new prediction
- The effect is statistically significant at more 98.6%
- Effect partly due to re-evaluation of cross-section parameters, especially updated neutron lifetime, accounting for off equ. effect
- **At least three alternatives:**
  - Our conversion calculations are wrong. Anchorage at the ILL electron data is unchanged w.r.t. old prediction.
  - Bias in all short-baseline experiments near reactors : unlikely...
  - New physics at short baselines, explaining a deficit of anti- $\nu_e$  :
    - **Oscillation towards a 4<sup>th</sup>, sterile  $\nu$  ?**
    - **a 4<sup>th</sup> oscillation mode with  $\theta_{\text{new}}$  and  $\Delta m^2_{\text{new}}$**

# The 4<sup>th</sup> neutrino hypothesis

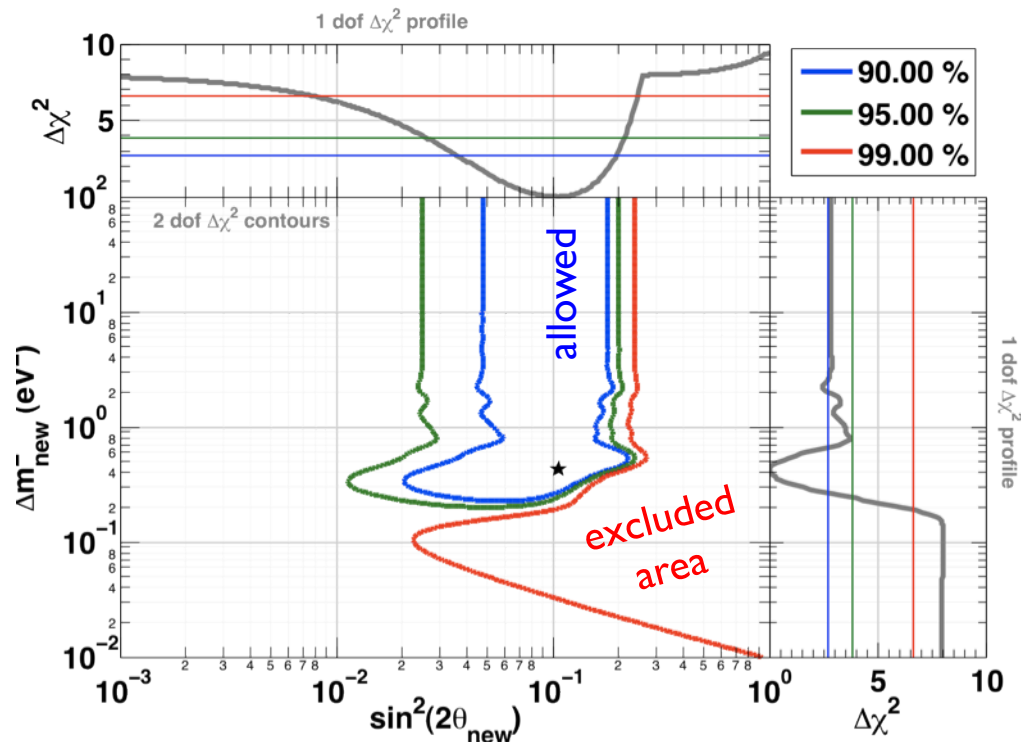


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- Combine all rate measurements, no spectral-shape information
- Fit to anti- $\nu_e$  disappearance hypothesis

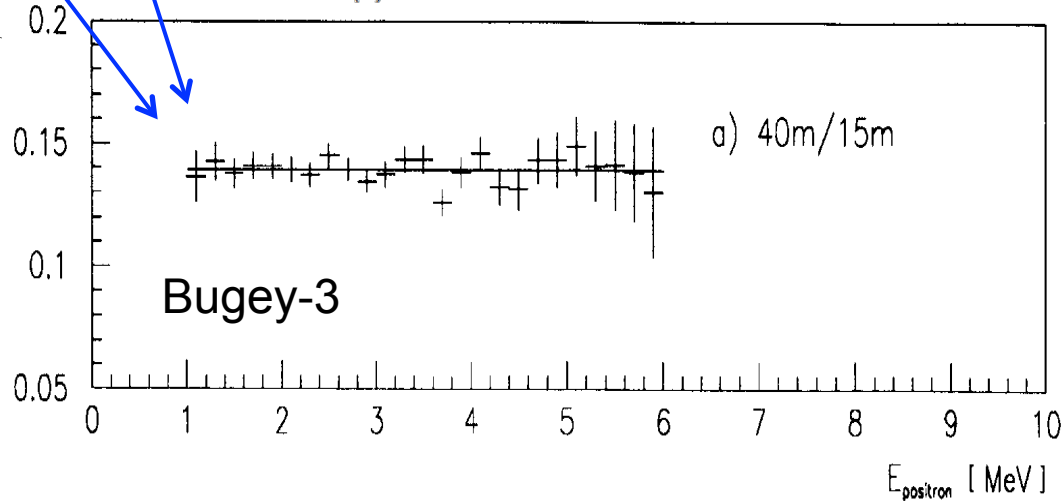
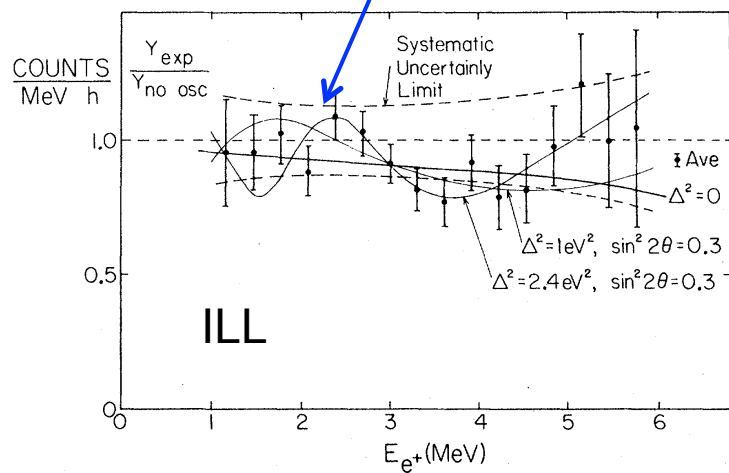
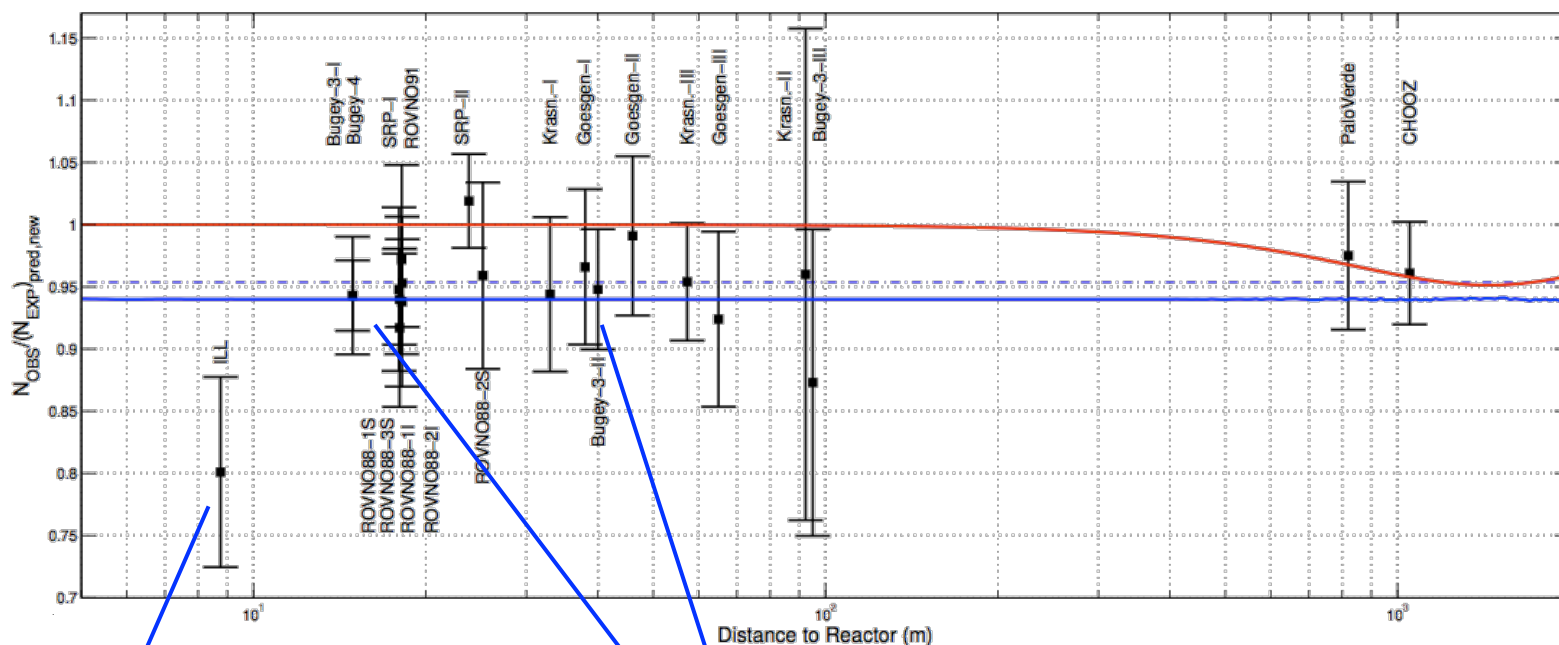
$$\begin{pmatrix} \nu_e \\ \nu_s \end{pmatrix} = \begin{pmatrix} \cos \theta_{\text{new}} & \sin \theta_{\text{new}} \\ -\sin \theta_{\text{new}} & \cos \theta_{\text{new}} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_{\text{new}} \end{pmatrix}$$

$$P_{\nu_e \rightarrow \nu_e}(L, E) = |\langle \nu_e(L) | \nu_e(L=0) \rangle|^2 = 1 - \sin^2(2\theta_{\text{new}}) \sin^2\left(\frac{\Delta m_{\text{new}}^2 L}{E}\right)$$



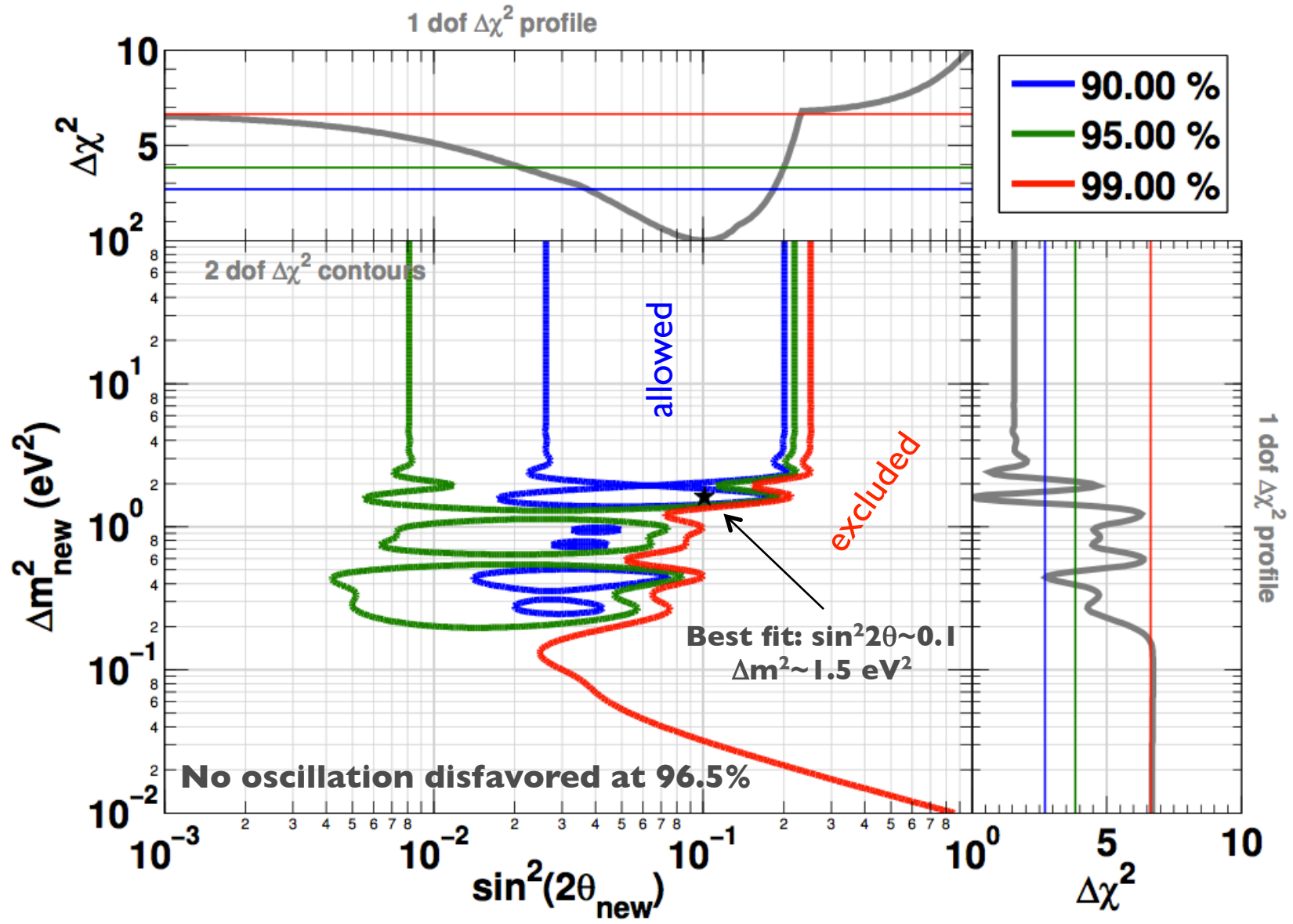
- Absence of oscillations disfavored at 98.6% C.L.

# Energy dependent information: shape distortion





# Combined Reactor Rate+Shape contours



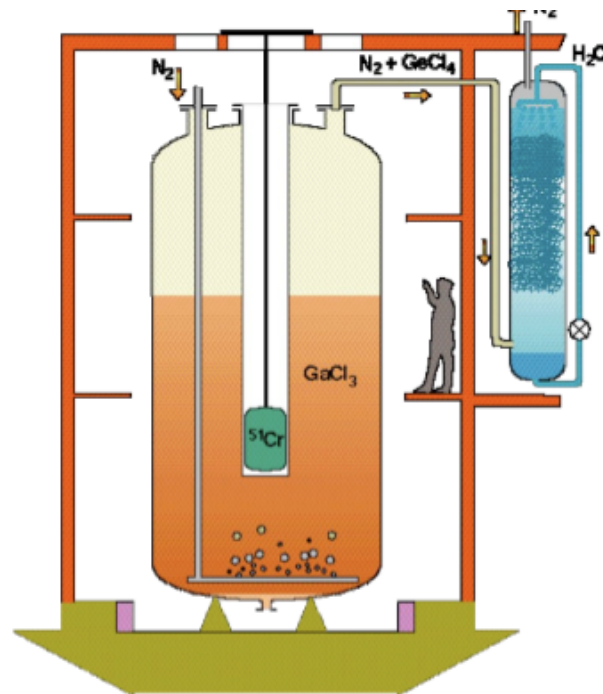
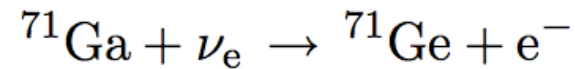
# THE GALLIUM ANOMALY

Based on Giunti & Laveder, [PRD82, 053005 \(2010\)](#)

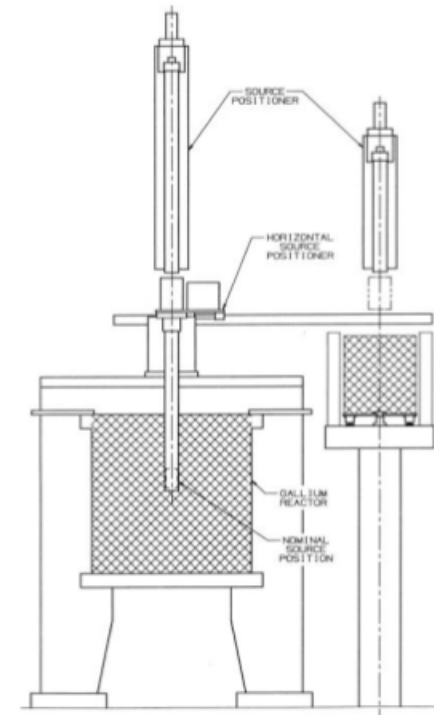


## Radiochemical experiments Gallex (left) & Sage (right)

GALLEX ( $\text{GaCl}_3$ ) and SAGE (liquid Ga) were radiochemical experiments, counting the conversion rate of  ${}^{71}\text{Ga}$  to  ${}^{71}\text{Ge}$  by (solar) neutrino capture [cannot detect anti- $\nu_e$ ]



30.3 tons of Gallium  
in an aqueous solution :  $\text{GaCl}_3 + \text{HCl}$

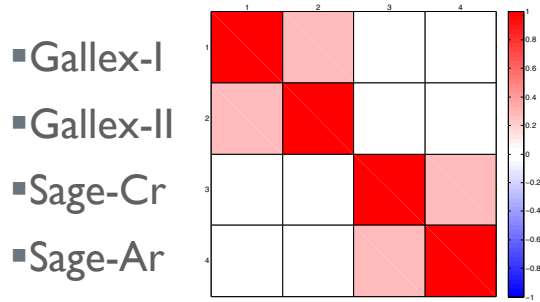
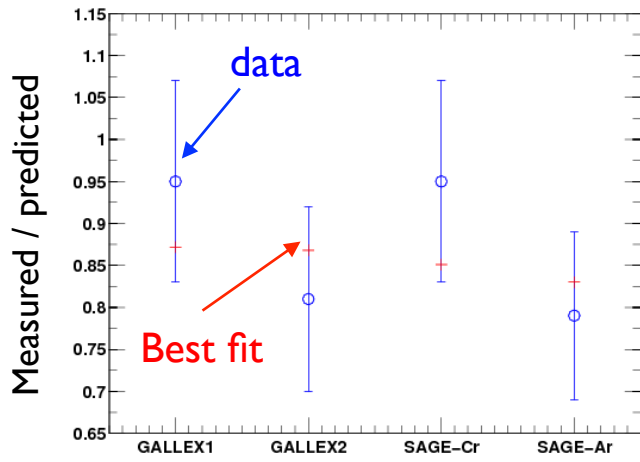


30 to 57 tons of Gallium (metal)  
In 10 tanks

# The Gallium anomaly

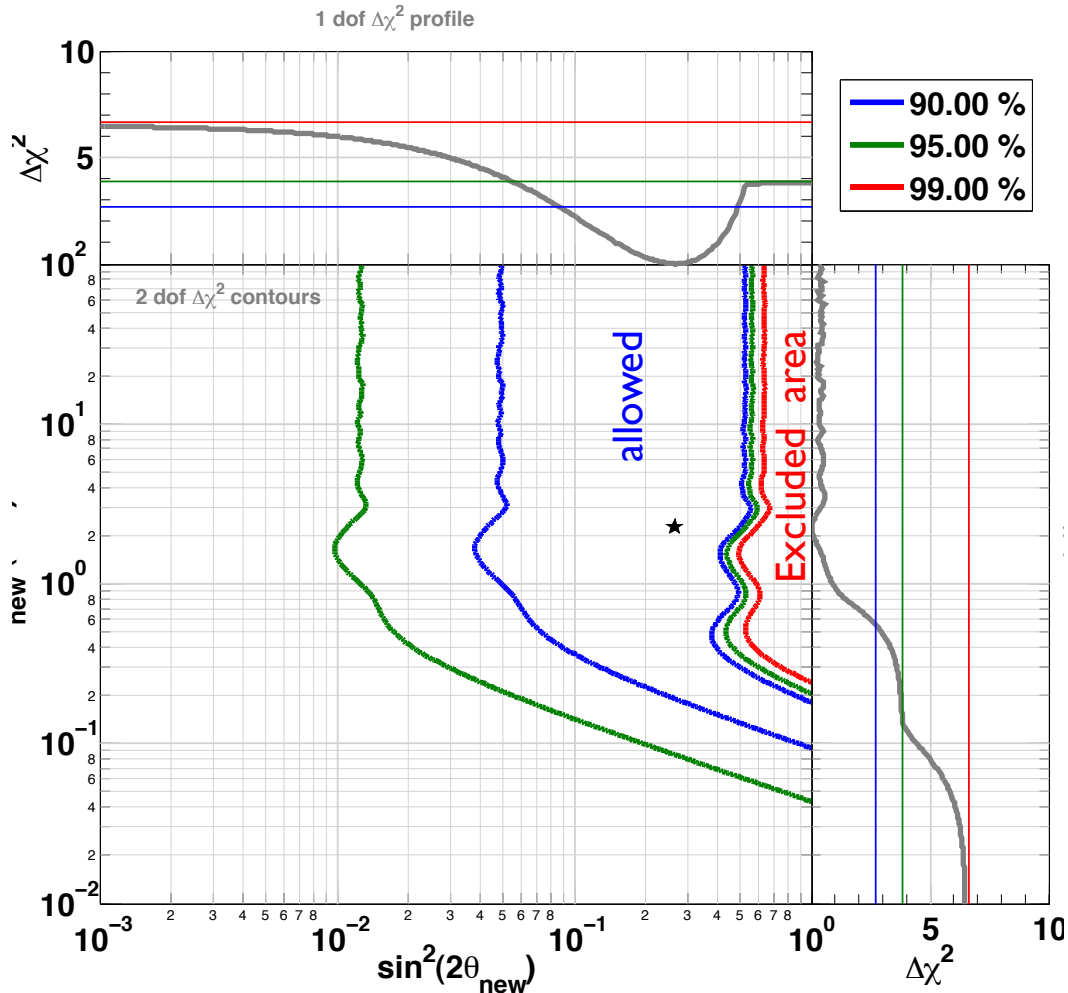


- 4 calibration runs with intense ( $\sim$  MCi)  $\nu_e$  (not anti- $\nu_e$ !) sources.
- Neutrinos detected through radiochemical counting of Ge nuclei:  ${}^{71}\text{Ga} + \nu_e \rightarrow {}^{71}\text{Ge} + e^-$ 
  - 2 runs at GALLEX with a  ${}^{51}\text{Cr}$  source (720 keV  $\nu_e$  emitter)
  - 1 run at SAGE with a  ${}^{51}\text{Cr}$  source
  - 1 run at SAGE with a  ${}^{37}\text{Ar}$  source (810 keV  $\nu_e$  emitter)
  - **All observed a deficit of neutrino interactions compared to the expected activity.**
- Our analysis:
  - Monte-Carlo simulation of GALLEX and SAGE + correlated the 2 GALLEX runs together and the 2 SAGE runs together (a bit more conservative than Giunti & Laveder PRD82 053005, 2010 to combine GALLEX & SAGE)



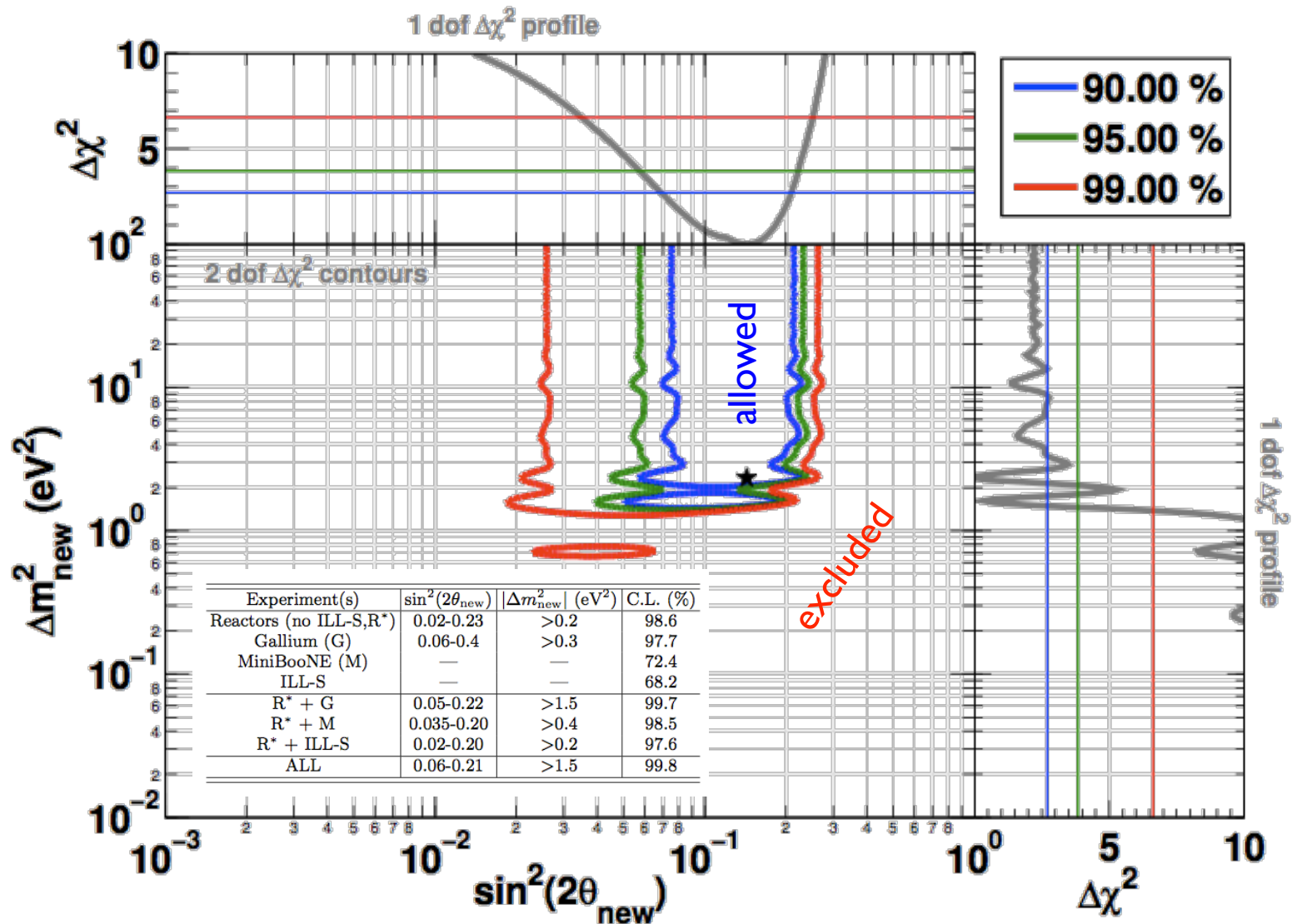
**R = meas./pred. rates =  $0.86 \pm 0.06$  ( $1\sigma$ )**

# The Gallium anomaly



- Effect reported in C. Giunti & M. Laveder in PRD82 053005 (2010)
- Significance reduced by additional correlations in our analysis
- No-oscillation hypothesis disfavored at 97.7% C.L.

# Putting it all together: reactor rates + shape + Gallium



**The no-oscillation hypothesis is disfavored at 99.8% CL**



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# IMPLICATIONS FOR $\Theta_{13}$

# Implication for $\theta_{13}$ at 1-2 km baselines

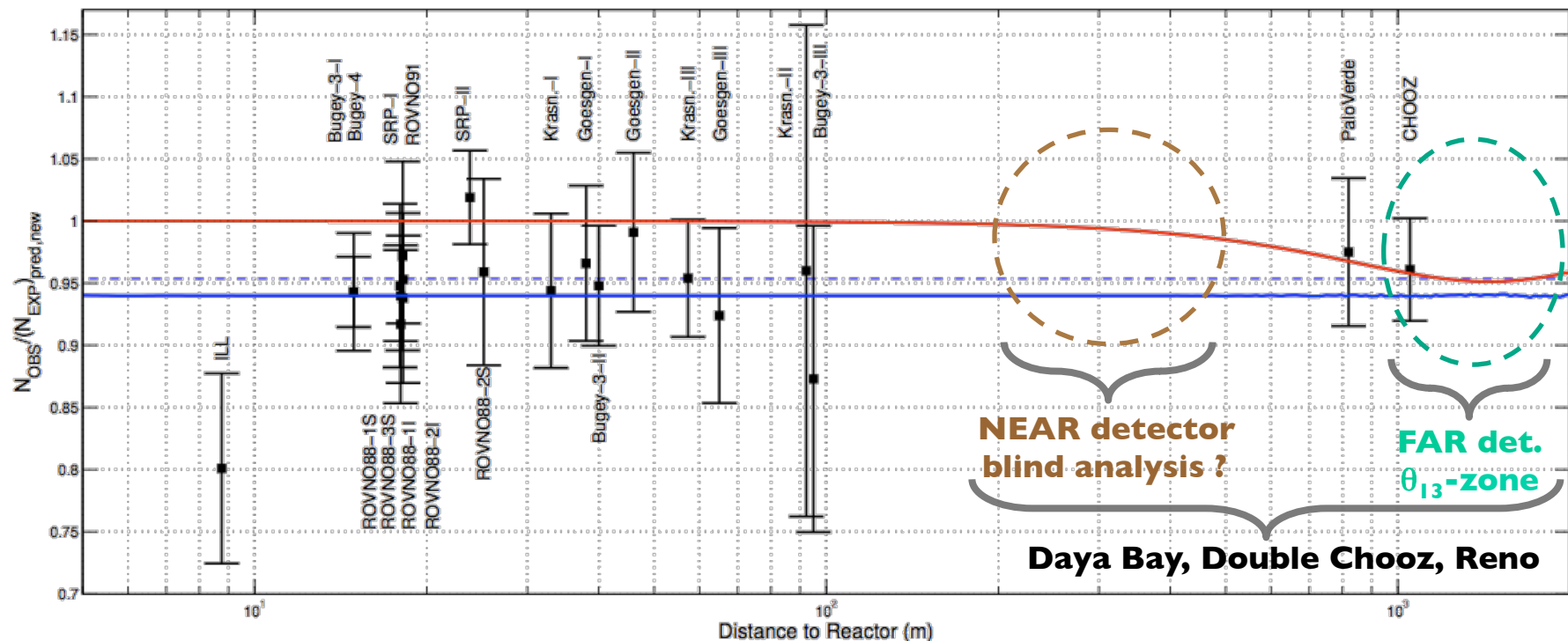


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- The choice of normalization is crucial for reactor experiments looking for  $\theta_{13}$  without near detector

$\sigma_f^{\text{pred,new}}$ : new prediction of the antineutrino fluxes

$\sigma_f^{\text{ano}}$ : experimental cross section (best fitted mean averaged)



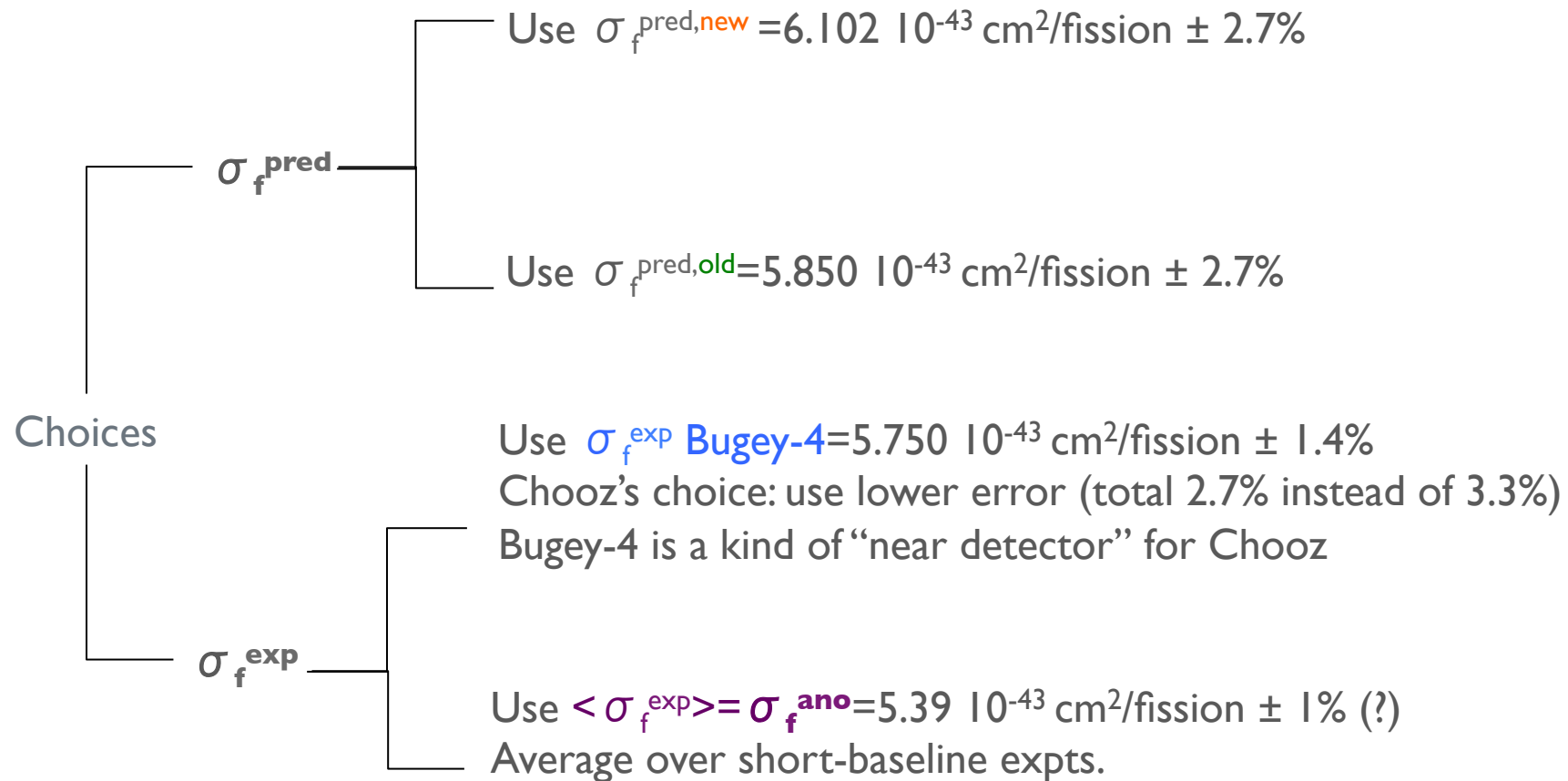
- A deficit observed at 1-2 km can either be induced by  $\theta_{13}$  induced oscillation BUT also by other explanations (experimental, biased flux, ...)

# Long baseline reactor experiments



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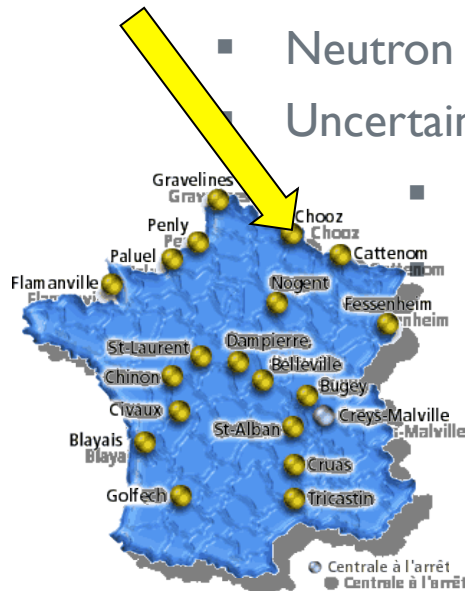
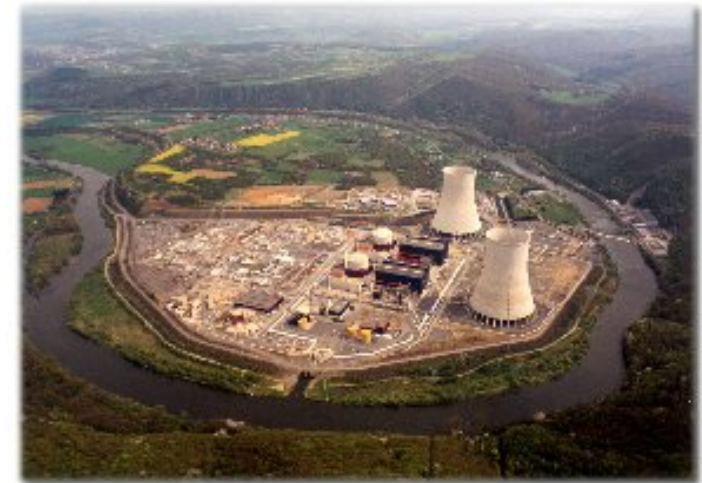
- Experiments with baselines > 500 m
- How do you normalize the expected flux, knowing the fuel composition?  
in this slide assume Bugey-4 fuel comp.
- If **near + far** detector, **not an issue** anymore





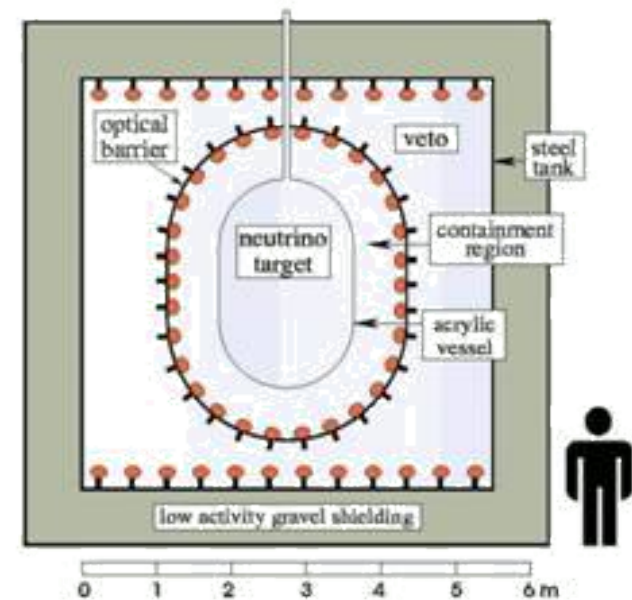


- Chooz (France) Power Station, late 90s , near French/Belgian border
- liquid scintillator doped with 1g/l Gd  
5 tons, 8.4 GW, 300 mwe
- Detector placed at 1050m for the 2 cores
- Look for an oscillation at atm. frequency  
 $\theta_{13}$  **mixing angle sensitivity, or more...**
- Fuel composition typical of starting PWR – 57.1%  $^{235}\text{U}$ , 29.5%  $^{239}\text{Pu}$ , 7.8%  $^{238}\text{U}$ , 5.6%  $^{241}\text{Pu}$
- Neutron lifetime used in original paper: 886.7 s
- **Uncertainties:**



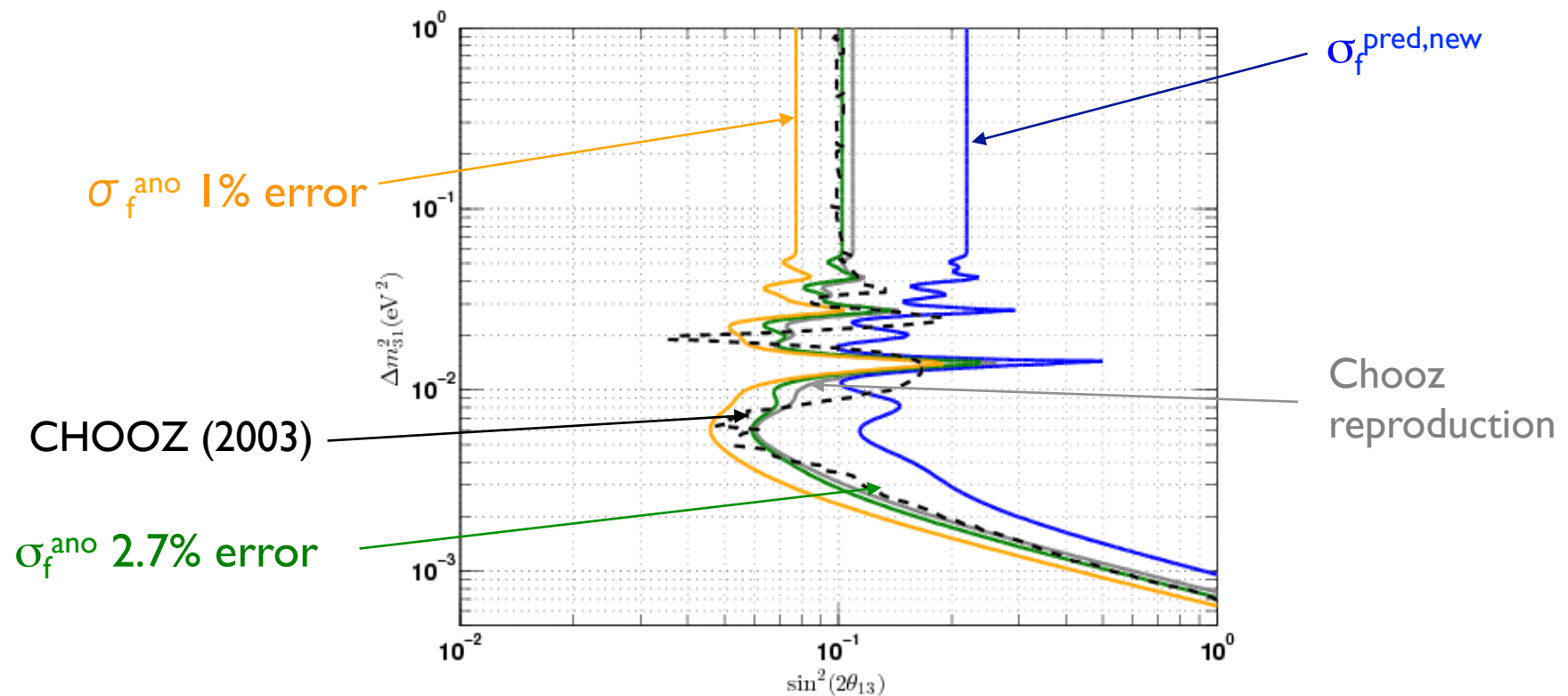
Stat: 2.8%

Syst : 2.7% (3.3% in our work)





- The choice of  $\sigma_f$  changes the limit on  $\theta_{13}$
- Chooz original choice was  $\sigma_f^{\text{exp}}$  from Bugey-4 with low error
- If  $\sigma_f^{\text{pred,new}}$  is used, limit is worse by factor of 2
- If  $\sigma_f^{\text{ano}}$  is used with 2.7%, we obtain the original limit but which error should we associate to  $\sigma_f^{\text{ano}}$ ?

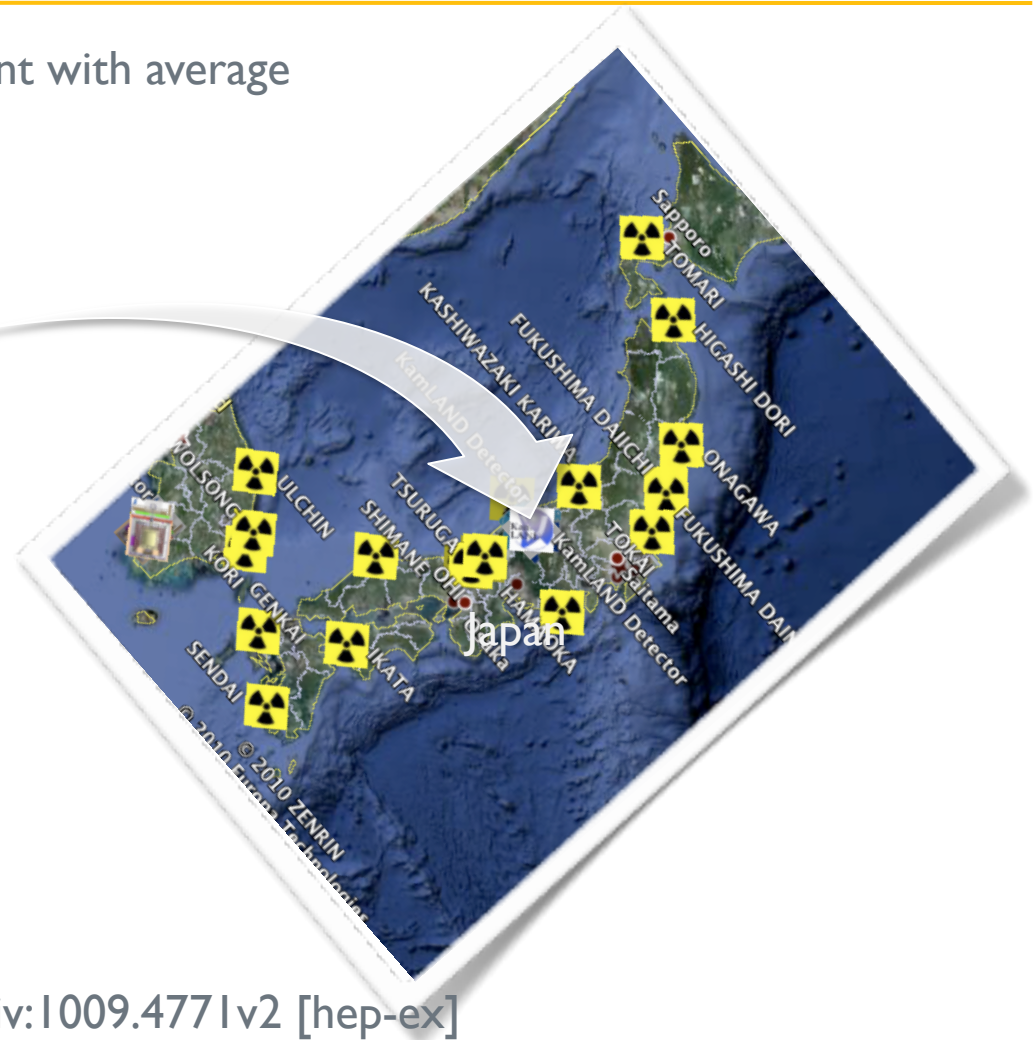
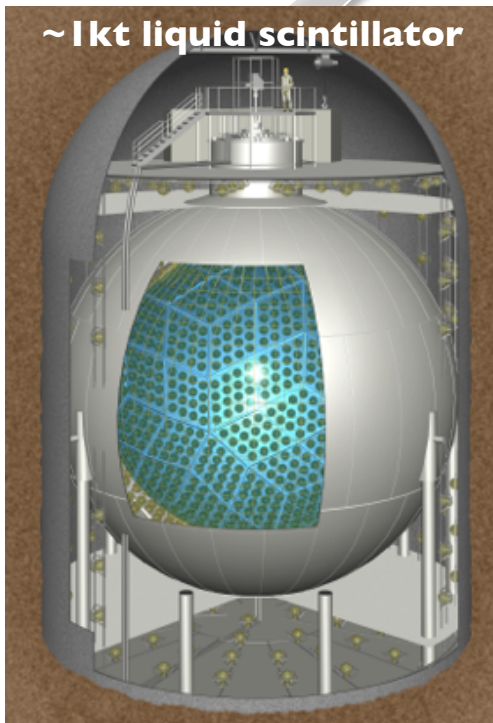


# KamLAND experiment



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- Reactor anti-neutrino experiment with average baseline around 180 km.
- 80% of total flux comes from reactors 140 to 210 km away.
- Sensitive to « solar » oscillation ( $\theta_{12}, \Delta m^2_{12}$ )



arXiv:1009.4771v2 [hep-ex]

KamLAND has some sensitivity to  $\theta_{13}$  as well through the overall normalization of the spectrum

# Reanalysis of KamLAND's 2010 results

arXiv:1009.4771v2 [hep-ex]

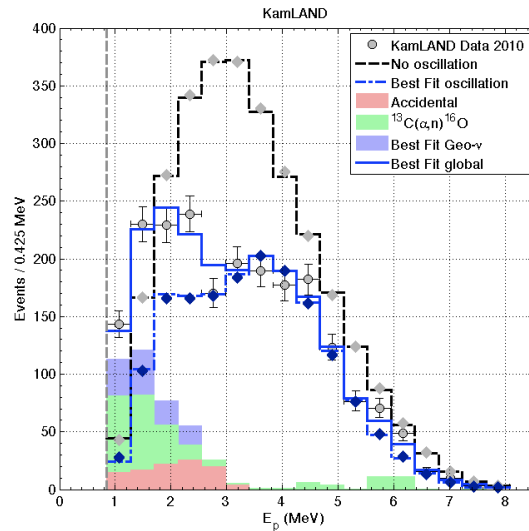


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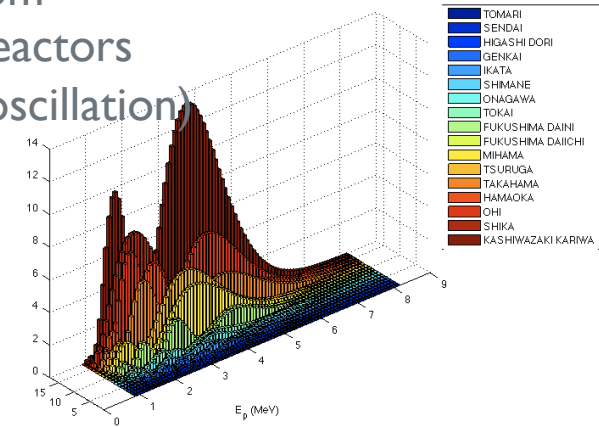
## Systematics

	Detector-related (%)		Reactor-related (%)	
$\Delta m_{21}^2$	Energy scale	1.8 / 1.8	$\bar{\nu}_e$ -spectra [31]	0.6 / 0.6
Rate	Fiducial volume	1.8 / 2.5	$\bar{\nu}_e$ -spectra	2.4 / 2.4
	Energy scale	1.1 / 1.3	Reactor power	2.1 / 2.1
	$L_{cut}(E_p)$ eff.	0.7 / 0.8	Fuel composition	1.0 / 1.0
	Cross section	0.2 / 0.2	Long-lived nuclei	0.3 / 0.4
Total	2.3 / 3.0	Total	3.3 / 3.4	

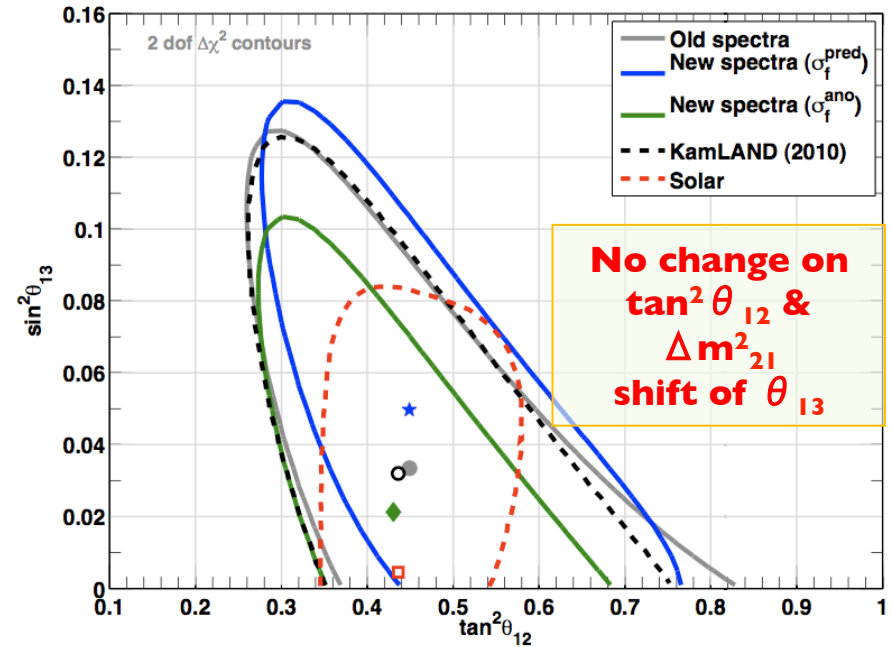
Reproduced KamLAND spectra within 1% in [1-6] MeV range



Spectra from Japanese reactors (with  $\nu_e$  oscillation)



With new spectra predictions

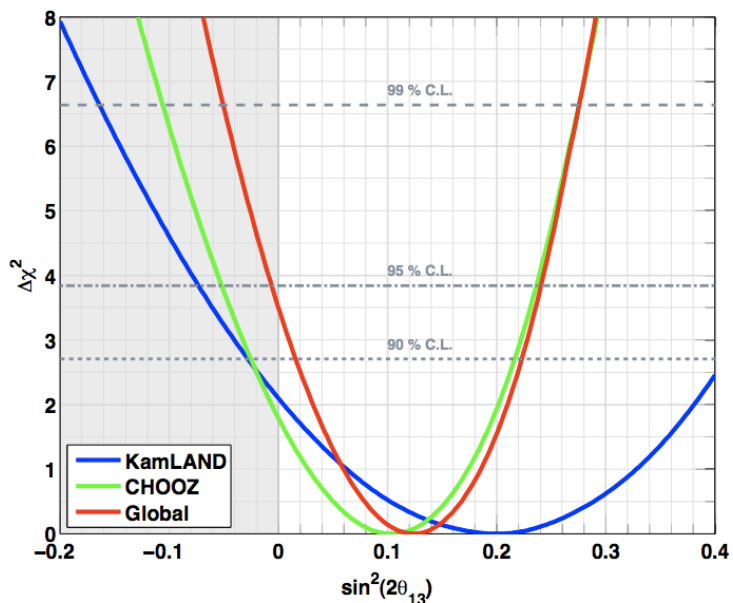


# CHOOZ and KamLAND combined limit on $\theta_{13}$



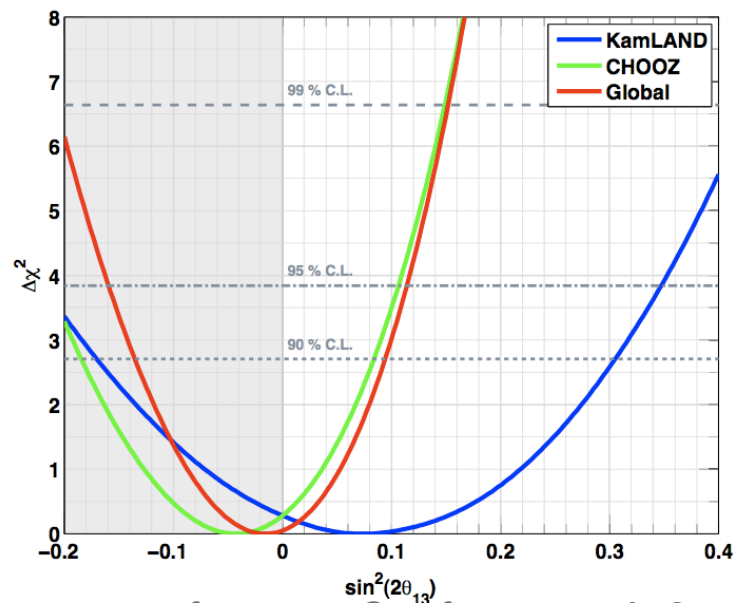
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## Normalization with $\sigma_f^{\text{pred,new}}$



use of  $\sigma_f^{\text{pred,new}}$ , 3- $\nu$  framework & 2.7% uncertainty

## Normalization using $\sigma_f^{\text{ano}}$

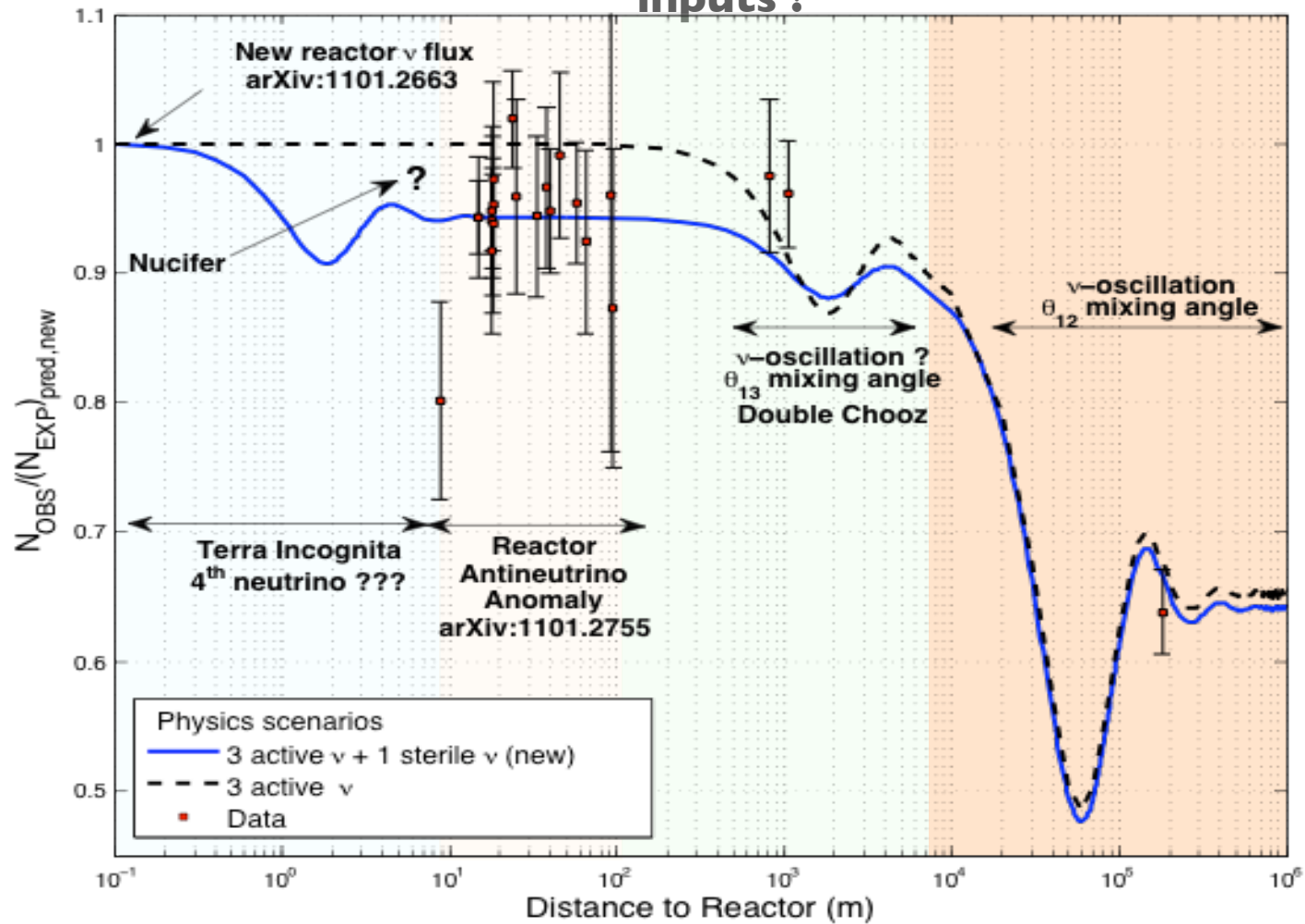


use of  $\sigma_f^{\text{ano}}$ , 3- $\nu$  framework & 2.7% uncertainty (arbitrary...)

- **Our interpretation** (different from Arxiv:1103.0734 by Schwetz *et al.* or arXiv:1106.6028 by Fogli *et al.*)
  - No hint on  $\theta_{13} > 0$  from reactor experiments:  $\sin^2(2\theta) < 0.10$  (90% C.L., 1 dof)
  - Global 90 % CL limit stays identical to previously published values
  - Multi-detector experiments are not affected



## The 4th Neutrino Hypothesis: need new experimental inputs !



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## **New Reactor Antineutrino Anomaly Discovered**

- Experimental bias to be deeply investigated
- New physics hypothesis tested: 4<sup>th</sup> neutrino
  - no-oscillation hypothesis disfavored at 99.8%

### **Clear experimental confirmation / infirmation is needed:**

- $L/E \approx$  few m/MeV or km/GeV

### **New Experiment at Reactor**

**Short Baseline – Shape + Rate Analysis: SCRAAM, Nucifer,...**

**MCi or kCi neutrino generator in/close to a large liquid scintillator**

Like SNO+, Borexino, KamLAND

**New neutrino beam experiment probing for electron GeV neutrino disappearance at 100 m & 1 km**

C. Rubbia's proposal at CERN-PS

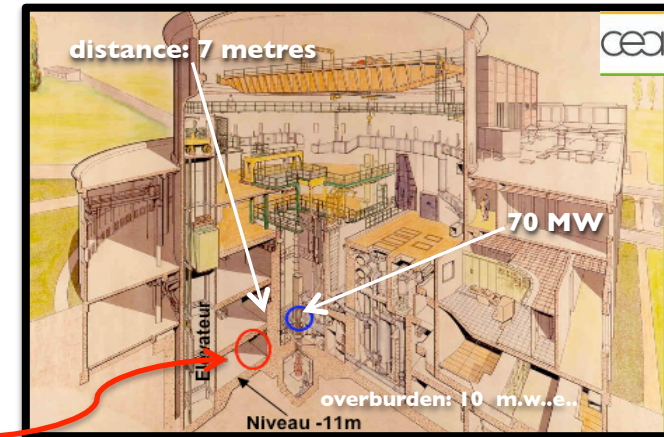
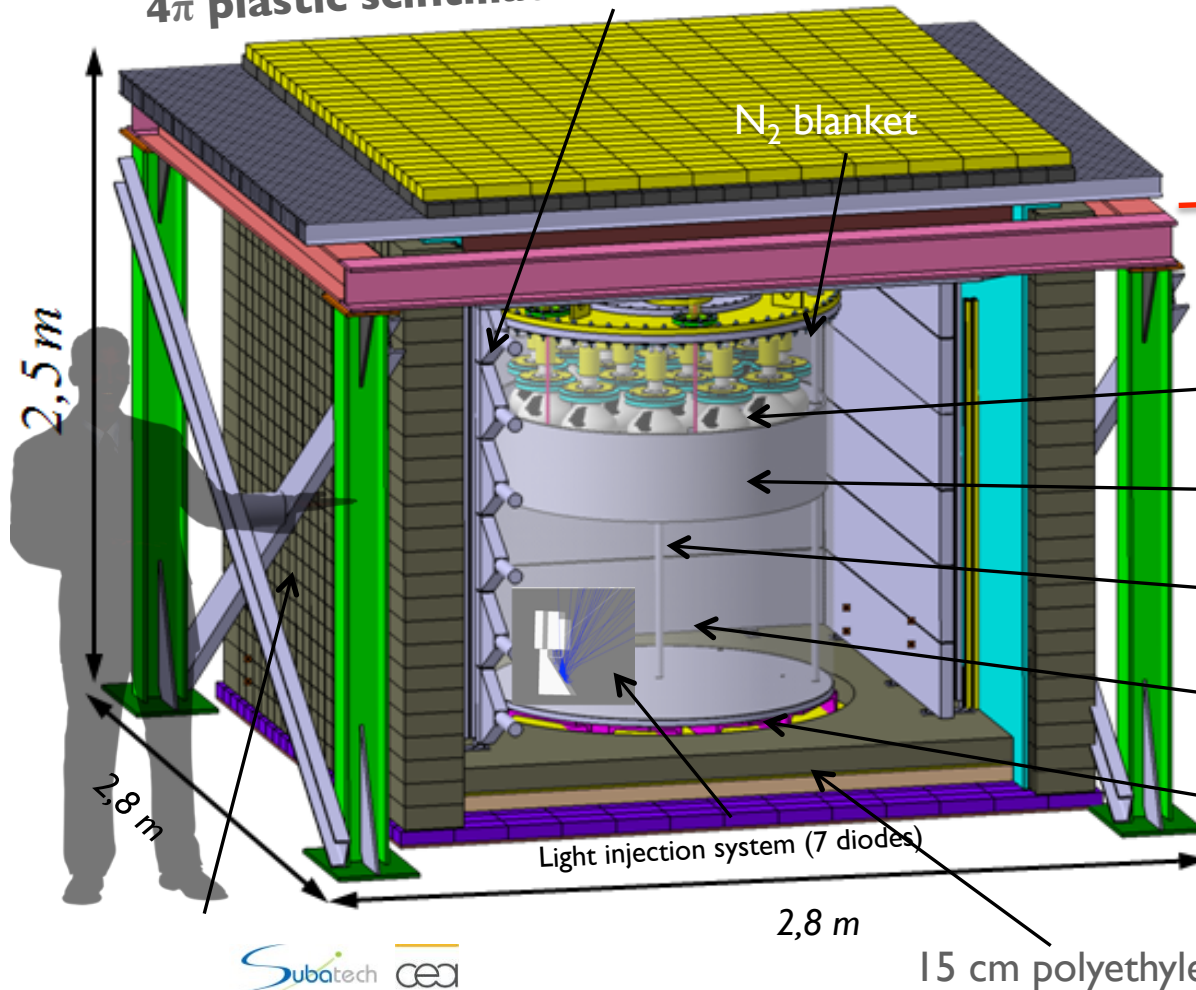
... and many others ...



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**First goal: Non Proliferation**  
 Thermal Power Measurement  
 Fuel Composition Measurement U/Pu

**4 $\pi$  plastic scintillator Muon Veto (30 PMTs)**



**Osiris research reactor**  
**CEA-Saclay (600 v/d)**  
**CEA - IN2P3 coll.**

**16 x 8' PMTs low background**

25 cm acrylics buffer

**Calibration pipe**

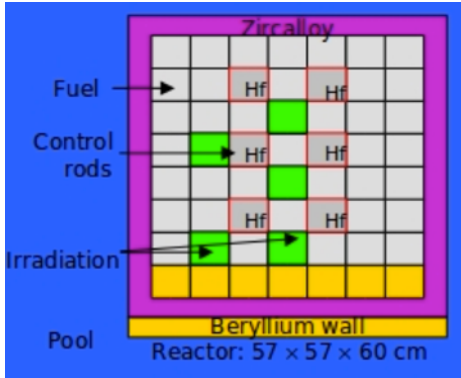
**Target: 0.85 m<sup>3</sup> Gd-LS (0.5%)**

Stainless steel double containment vessel coated with white Teflon inside

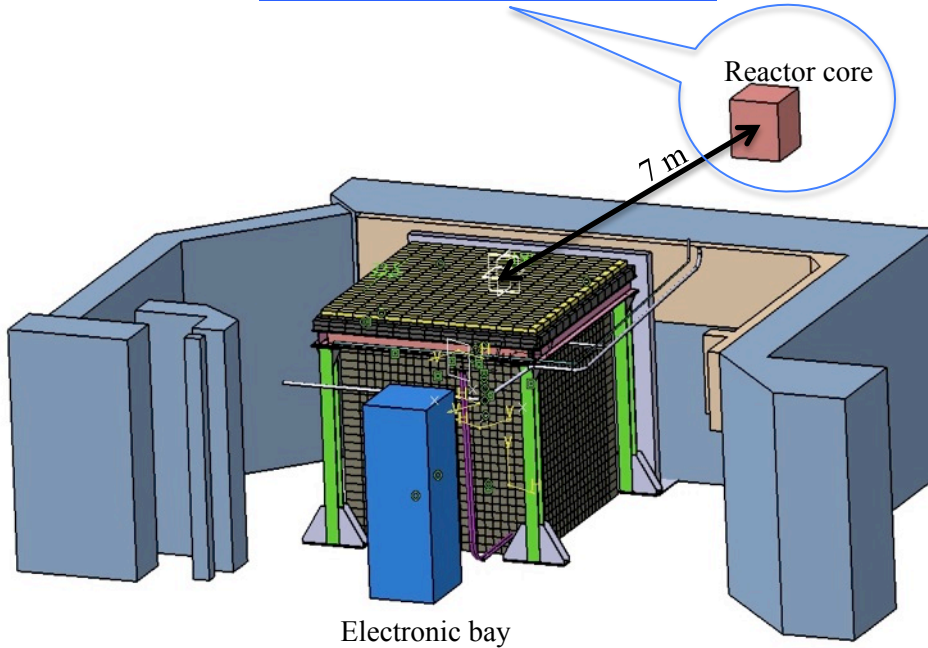




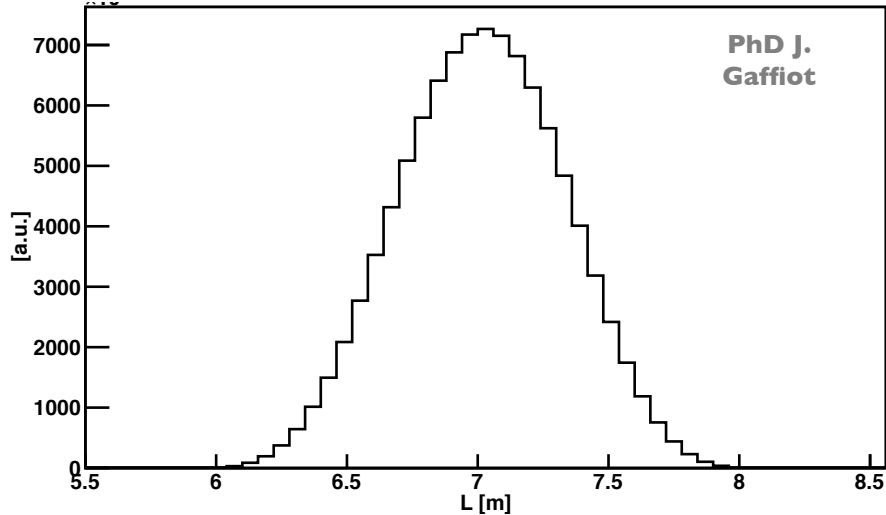
# The nuclear core compactness



- **Core Size: 57x57x60 cm**
- **Detector Size : 1.2x0.7m (850l)**
- **baseline distribution**
  - $\langle L \rangle = 7.0$  m
  - variance : 0.3 m
  - $eV^2$  oscillations are not washed out



Baseline distribution

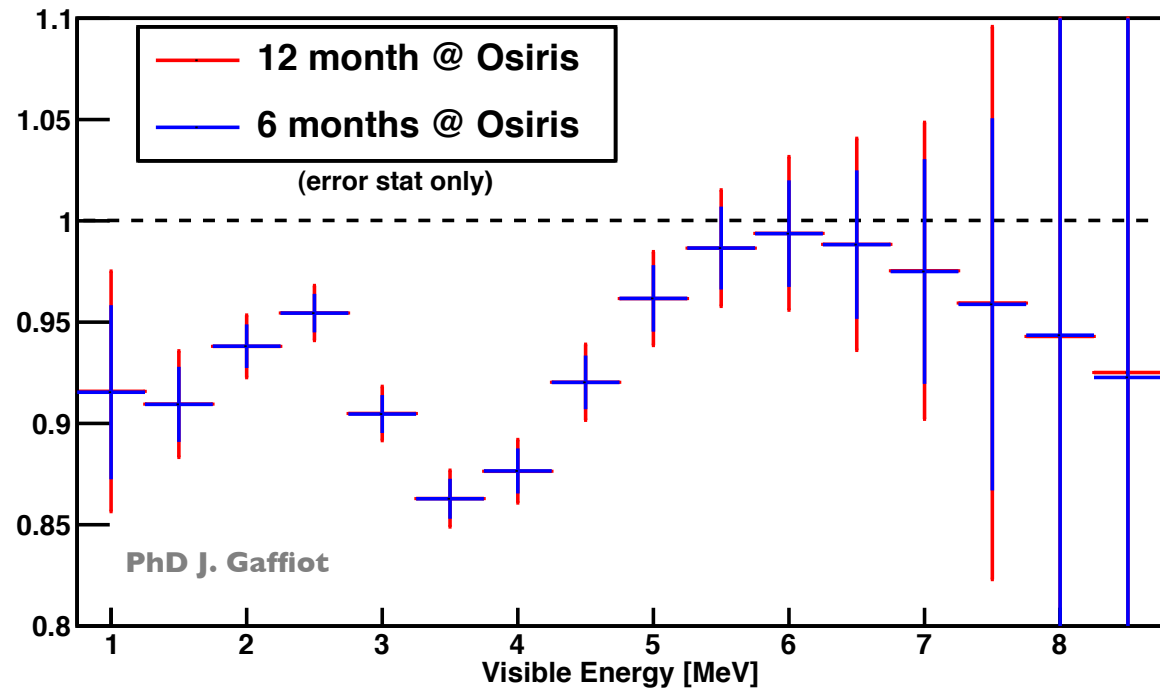
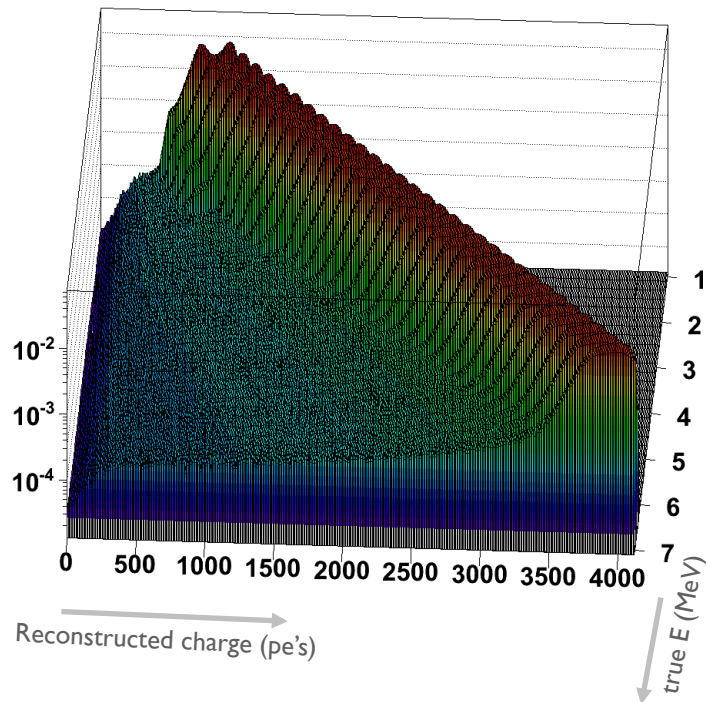


# Nucifer attempt testing the anomaly



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- Folding the Nucifer Geant4 Monte Carlo detector response
  - Energy resolution from Geant4 simulation (not fully tuned yet)
  - Statistical error bars for 12 & 24 months of data at Osiris
  - $\Delta m^2 = 2.4 \text{ eV}^2$  &  $\sin^2(2\theta) = 0.15$
  - No backgrounds. Thus to be taken with a grain of salt ...



# kCi Experiment Concept

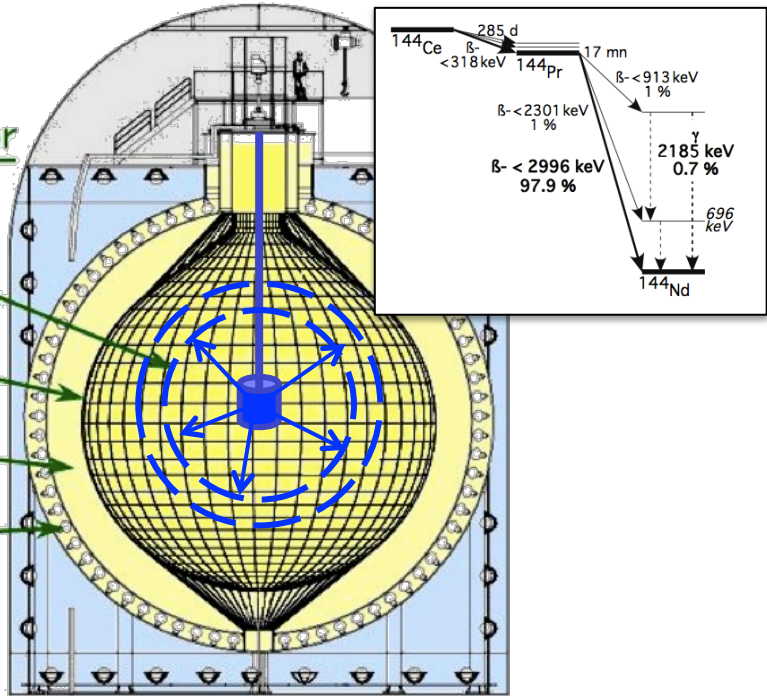
M. Cribier *et al.*, [arXiv: \[hep-ex\] \(2011\)](https://arxiv.org/abs/1107.3544)

- A strong 50 kCi  $^{144}\text{Ce}$  anti- $\nu_e$  source in the middle of a large LS detector
- Anti- $\nu_e$  detection (40,000 evts/yr)
- A good resolution in position (15 cm)
- Almost background free thanks to anti- $\nu_e$  coincidences
- Lifetime  $\sim 1$  yr (285 d)
- Compactness of the source ( $< 5\text{cm}$ )
- W and Cu shield

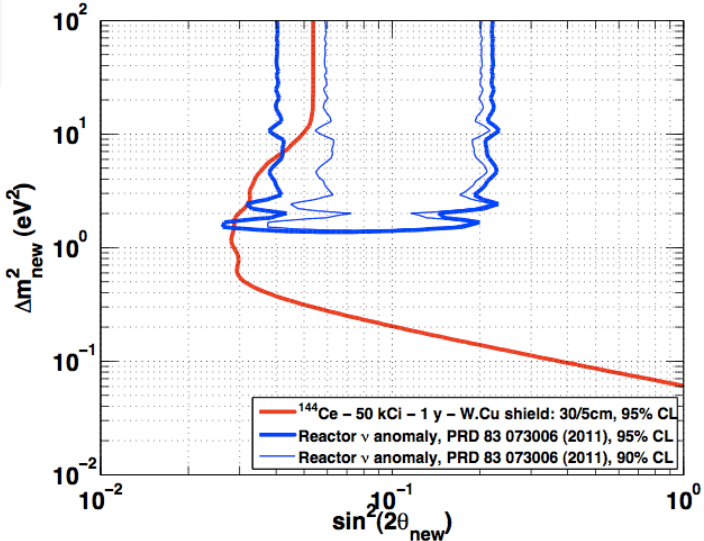
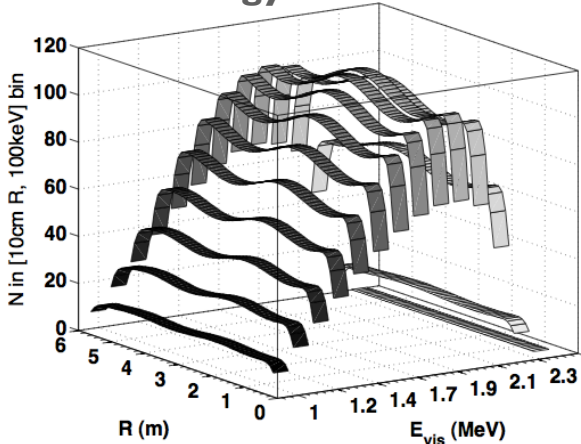


## Inner Detector

- Liquid Scintillator
- Plastic Balloon
- Mineral Oil
- PMT



## Real oscillation pattern vs. both radius & energy





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Thank you for your attention!



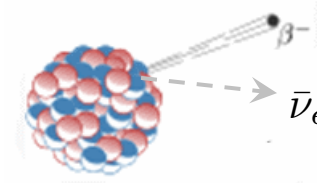
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# BACKUP

# From $e^-$ to anti- $\nu_e$ spectra

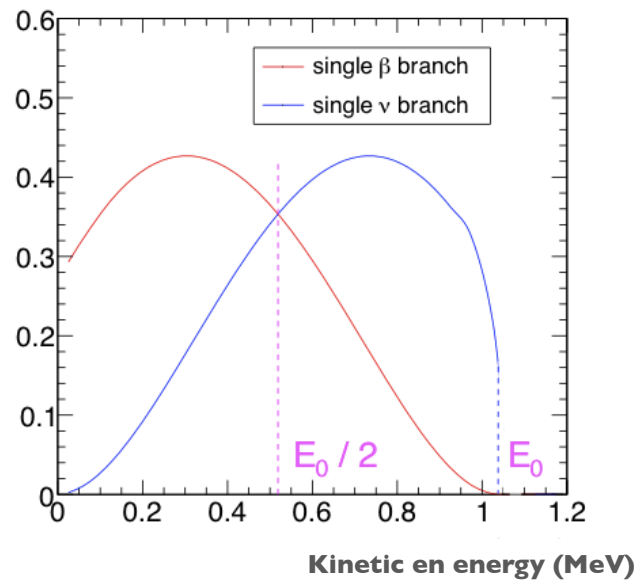


- A single beta decay branch:**  ${}^A_Z X \longrightarrow {}^A_{Z+1} Y + e^- + \bar{\nu}_e$ 
  - depends on: nucleus (Z), branching ratio (BR), end point (Q), spin-parity
  - Energy conservation:  $E_e + E_{\nu} = Q_e$

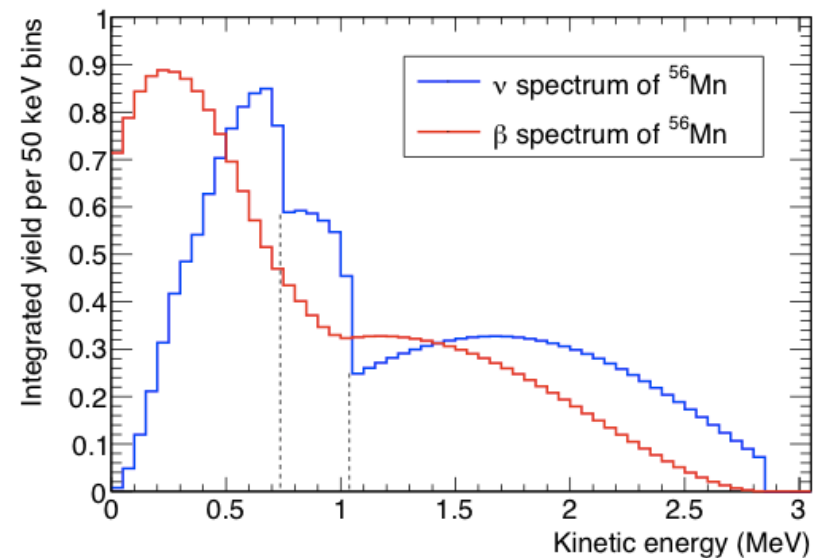


- Anti- $\nu$  spectra are computed from electron spectra by “inverting” each branch separately
- Cannot go from  $e^-$  to  $\nu$  from a global  $e^-$  spectrum, need each individual branch from each contributing nucleus

**$\beta$  branch level**



**Fission product level ( $\Sigma$  of  $\beta$  branches)**

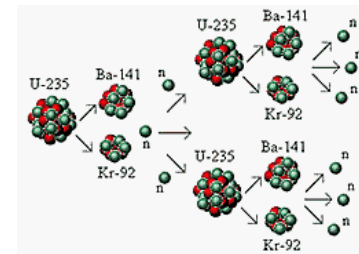




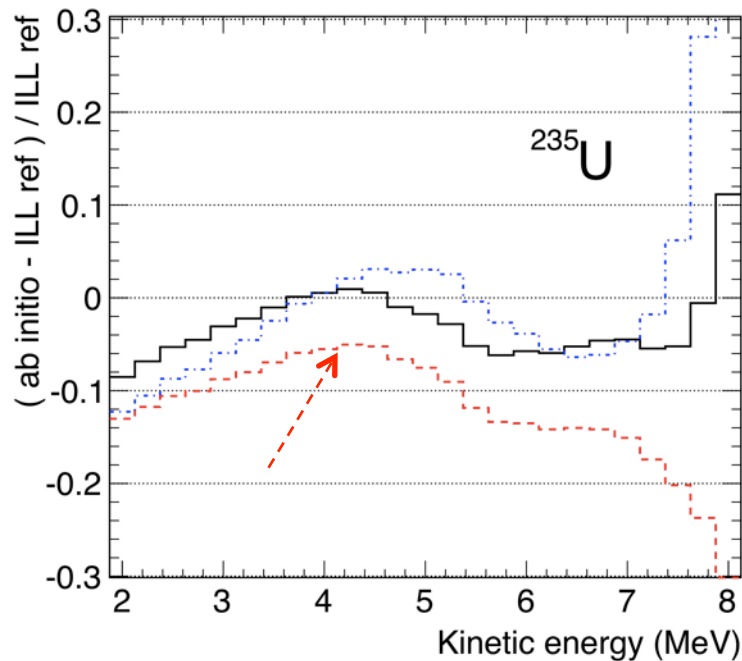
# Full Ab Initio Attempt



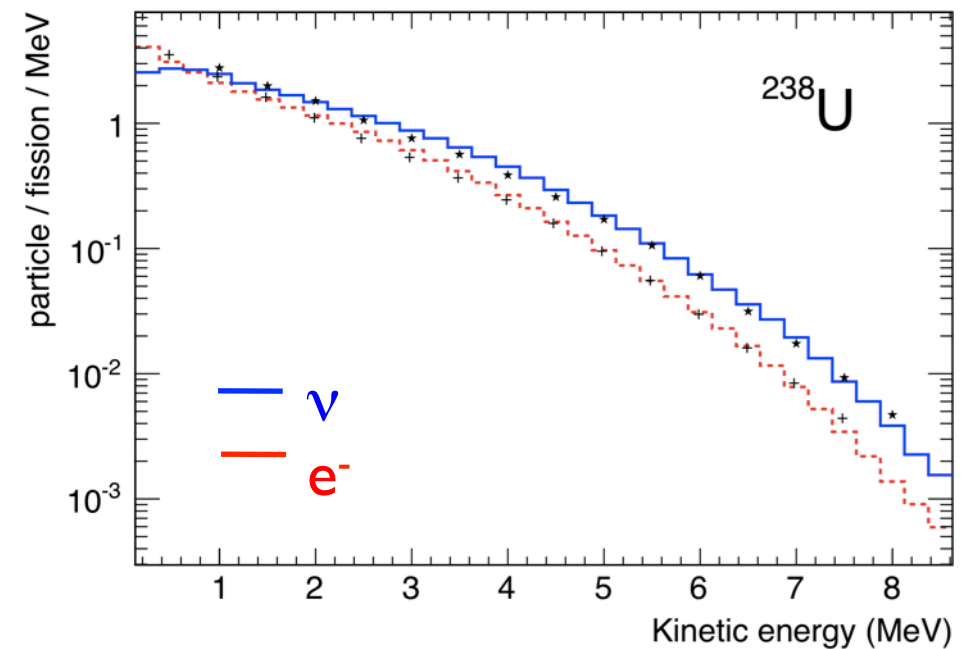
- MURE evolution code: nuclear reactor fuel composition and off equilibrium effects
- BESTIOLE code: build up database of ~800 nuclei and 10,000  $\beta$ -branches



Residuals w.r.t. reference ILL  $e^-$  data



New  $^{238}\text{U}$  spectrum prediction



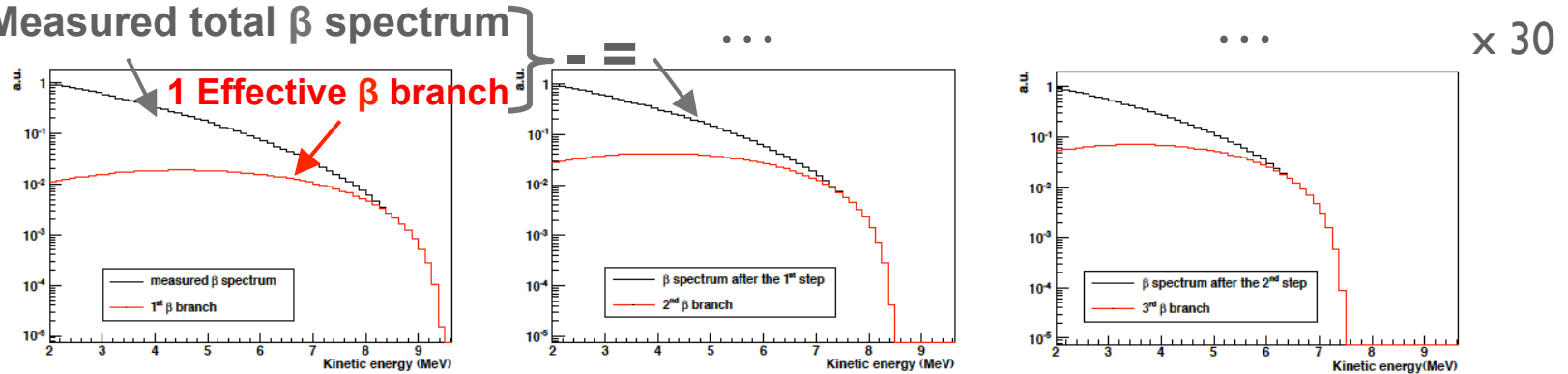
- $90 \pm 5\%$  of the spectrum reproduced but still not meeting required precision
- Useful estimate of  $^{238}\text{U}$  spectrum which couldn't be measured @ ILL
- Measurement at FRMII ongoing for  $^{238}\text{U}$  (N. Haag & K. Schreckenbach)

# ILL data: OLD effective conversion to $\nu$ spectra



- Fit  $e^-$  spectrum with a sum of 30 effective  $\beta$  branches chosen by iterative method (instead of 10,000+ real branches)
- Conversion of the effective branches to  $\nu$  spectra

Measured total  $\beta$  spectrum



- All theory included in these effective branches but:

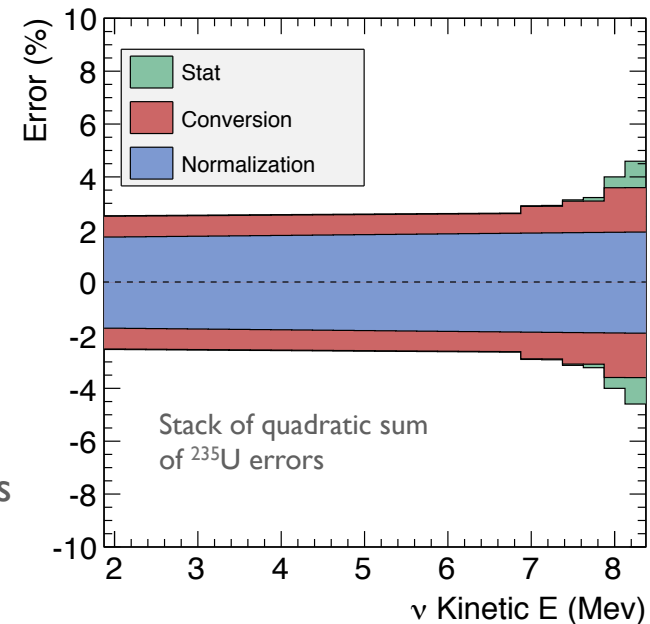
- What  $Z$ ? : Mean fit on nuclear data  $Z=f(E_0)$

$$Z(E_0) \approx 49.5 - 0.7E_0 - 0.09E_0^2, \quad Z \geq 34$$

- What  $A_{CW}$ ? : effective correction

$$\Delta N_{\nu}^{C,W}(E_{\nu}) \approx 0.65 \times (E_{\nu} - 4 \text{ MeV}) \quad \%$$

- Conversion error from envelop of all numerical studies
- Can we do better?



# Reactor Electron Antineutrino Detection



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- **Inverse Beta Decay:**  $\bar{\nu}_e + p \rightarrow e^+ + n$ 
  - Threshold: 1.806 MeV

- **Anti- $\nu_e$  interaction rate**

$$n_\nu = \frac{1}{4\pi R^2} \frac{P_{th}}{\langle E_f \rangle} N_p \varepsilon \sigma_f$$

Thermal power
Target free protons

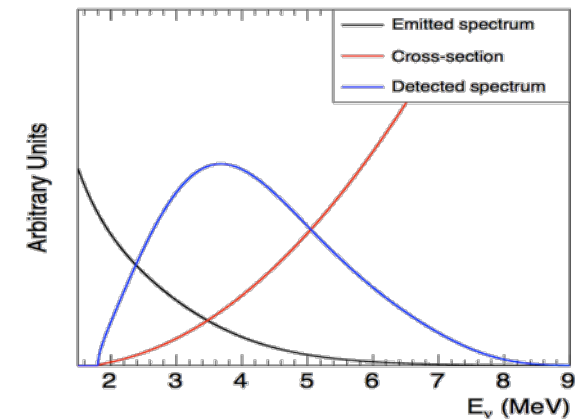
E released / fission
efficiency

- **Experimental cross section per fission:**  $\sigma_f$

$$\sigma_f^{\text{meas.}} = \frac{4\pi R^2 n_\nu^{\text{meas.}} \langle E_f \rangle}{N_p \varepsilon P_{th}}$$

- **Predicted cross section per fission:**  $\sigma_{\text{pred}}$

$$\sigma_f^{\text{pred.}} = \int_0^\infty \phi_f^{\text{pred.}}(E_\nu) \sigma_{V-A}(E_\nu) dE_\nu$$



# What you should remember

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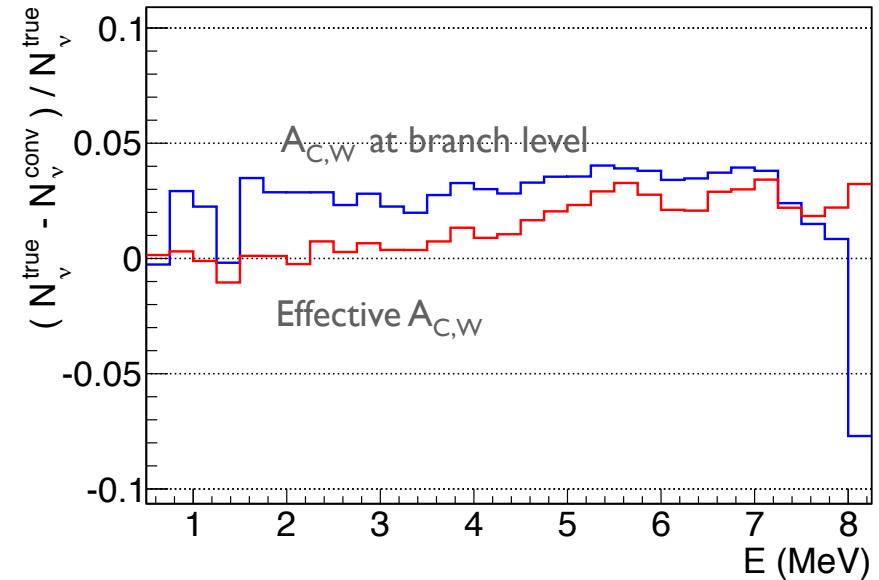
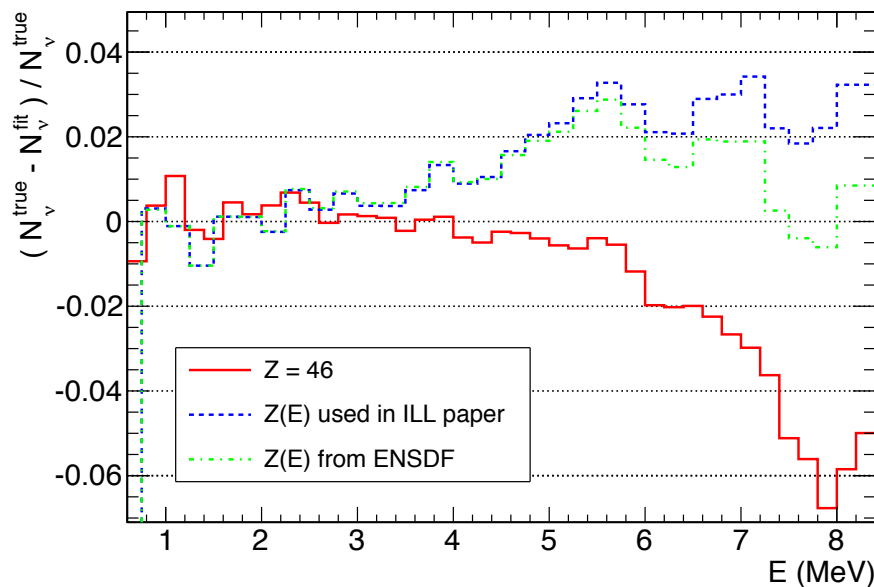
- Obtaining the neutrino spectrum emitted by a nuclear reactor is hard!
- "Ab-initio" approaches do not work fully yet: sum of all  $\beta$ -decay modes of all possible nuclei is only reproduces  $\sim 90\%$  of the measured spectra
  - Precision of 15% on  $^{238}\text{U}$  (which represents  $<10\%$  of the  $\nu$  flux, so not a problem in what follows)  $\rightarrow$  we updated the  $\nu$  spectrum from  $^{238}\text{U}$  fissions
- Solution: use precise electron data measured at ILL in the 1980's as an anchor point
  - **"OLD"** method used so far: fit these data to 30 "fake" electron  $\beta$ -spectra, which are then converted to  $\nu$  spectra
  - **NEW** method: use all knowledge accumulated so far to rebuild  $\sim 90\%$  of the ILL  $\beta$ -spectrum. Fit the 10% residual with 5 "fake" branches
- New method is superior because:
  - Corrections to  $\beta$ -spectra are applied branch by branch in a better fashion
  - Using all known  $\beta$ -branches matches distribution of Z and end-points Q better for 90% of the spectrum
- **New method shows that  $\nu$  spectra are 3% higher than previously thought**
- **What is the impact on all  $\nu$  experiments near nuclear power reactors?**

# Origin of the 3% shift



▪ **E < 4 MeV**: deviation from effective linear  $A_{C,W}$  correction of ILL data

$$\Delta N_v^{C,W}(E_v) \approx 0.65 \times (E_v - 4 \text{ MeV}) \%$$



▪ **E > 4 MeV**: mean fit of  $Z(E_0)$  doesn't take into account the very large dispersion of  $Z$  around the mean curve

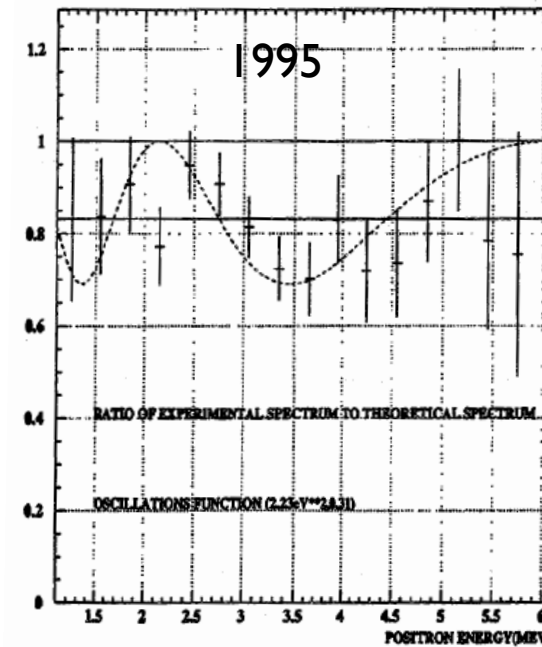
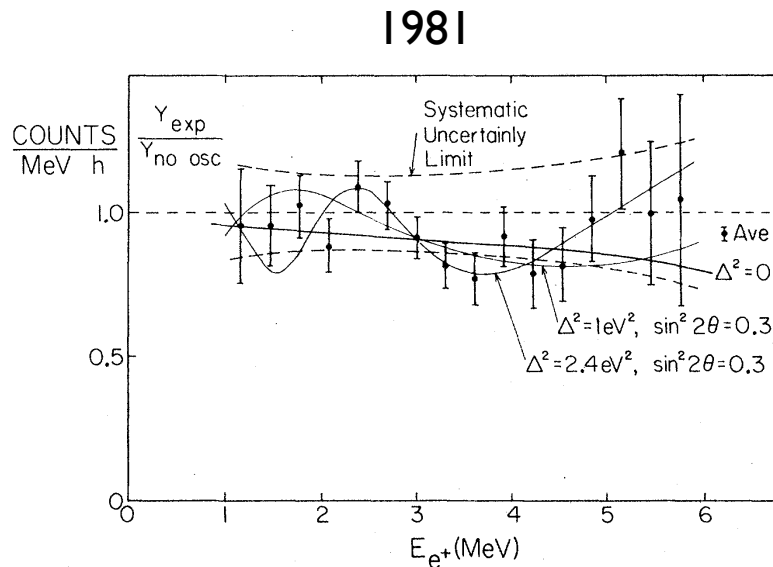
$$Z(E_0) \approx 49.5 - 0.7E_0 - 0.09E_0^2, \quad Z \geq 34$$

# The 1981 ILL measurement



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- Reactor at ILL with almost pure  $^{235}\text{U}$ , with small core
- Detector 8.76 m from core
- Reanalysis in 1995 by part of the collaboration to account for overestimation of flux at ILL reactor  
Affects the rate but not the shape analysis



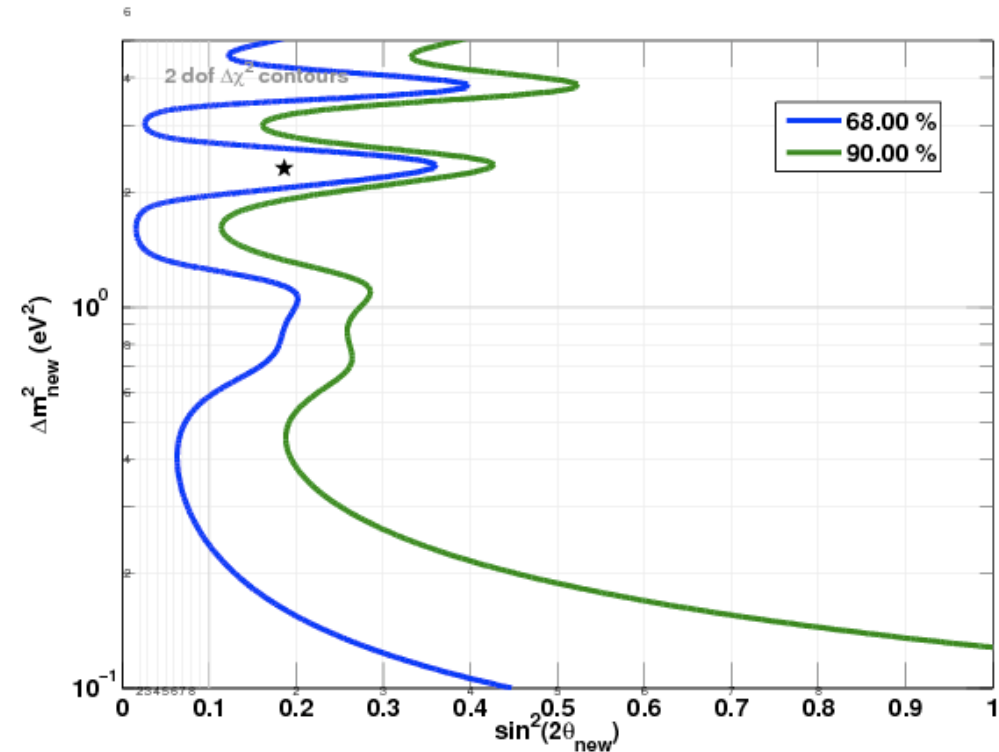
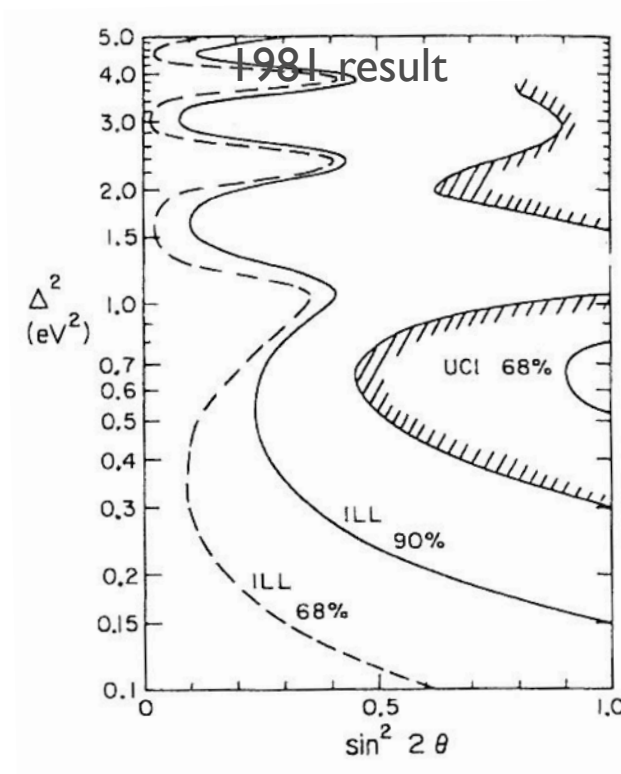
**Large errors, but looks like an oscillation pattern by eye ?**



# Our ILL analysis



- 1981: Try to reproduce published contour
- 1995: Contour plot hard to follow, reproduce claim that global fit disfavors no-oscillation at  $2\sigma$
- How? Add uncorrelated systematic in each bin until it's large enough
- Quick simulation: Required error = 11%, uncorrelated, in each bin (mostly equivalent to the finite size of the reactor core in full simulation).
- We can reproduce the results quite well

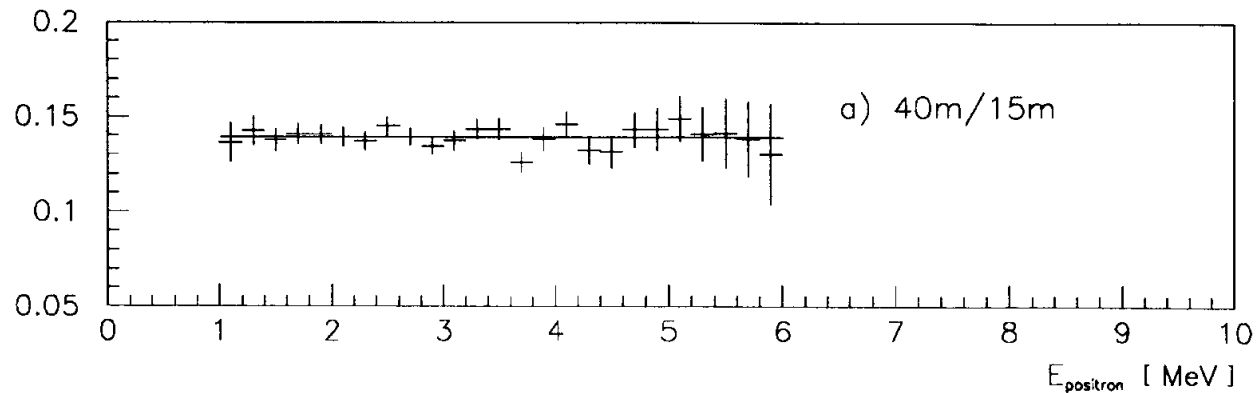


# Spectral shape analysis of Bugey-3



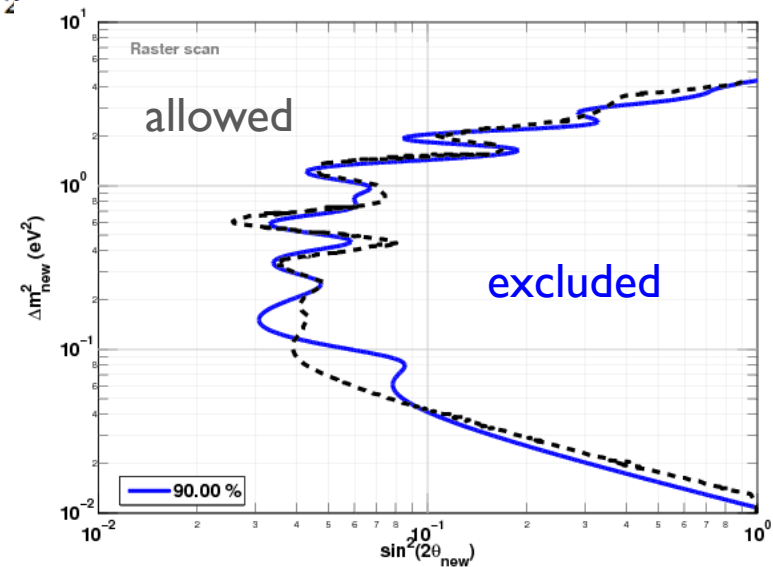
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- Bugey-3 spectral measurements at 15 m, 40 m, 90 m
  - Best constraint from high statistics R=40 m / 15 m ratio



$$\chi^2 = \sum_{i=1}^{N=25} \left( \frac{(1+a)R_{th}^i - R_{obs}^i}{\sigma_i} \right)^2 + \left( \frac{a}{\sigma_a} \right)^2$$

- 2% relative systematic error
- Reproduction of the collaboration's raster-scan analysis
- Use of a global-scan in combined analysis



# Promising experimental prospect testing the RAA!

