



Diffraction and LOW-X



24–30 Sept 2022
Corigliano Calabro (Cosenza)

HUNTING FOR QCD INSTANTONS AT THE LHC IN THE FORWARD PROTON MODE

VALERY KHOZE
(IPPP, Durham University)



30 years on

V. A. Khoze, V. V. Khoze, D. L. Milne and M. G. Ryskin, **PRD 104, 05401**
105,03600

Searches for QCD instantons with forward proton tagging

M. Tasevsky^a, V. A. Khoze^b, D. L. Milne^b and M. G. Ryskin^c

^a*Institute of Physics of the Czech Academy of Sciences, Na Slovance 1999/2, 18221 Prague 8, Czech Republic*

^b*IPPP, Department of Physics, University of Durham, Leazes Road, Durham, United Kingdom*

^c*Petersburg Nuclear Physics Institute, Gatchina, Russia*

We consider heavy ($M_{\text{inst}} > 60$ GeV) QCD instantons with one or two tagged leading protons. We simulate detector and pile-up effects. We show that the expected instanton signal in a single-tagged configuration is strongly affected by central detector effects. For double-tagged approach, where larger integrated luminosities and hence larger pile-up contaminations need to be considered, the combinatorial background overwhelms the expected signal. We suggest that additional time information about tracks at central and forward rapidities would be crucial for potential improvements.

Work still in Progress

In real life



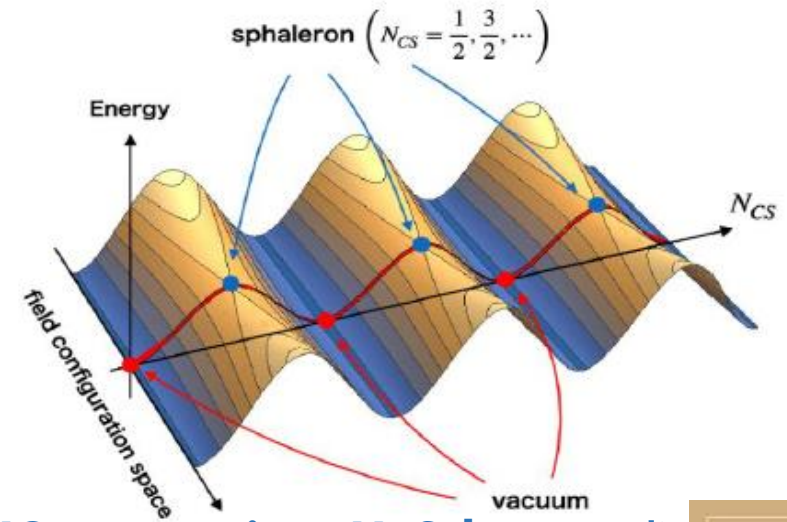
Accounting for detector effects and background

1.5 years

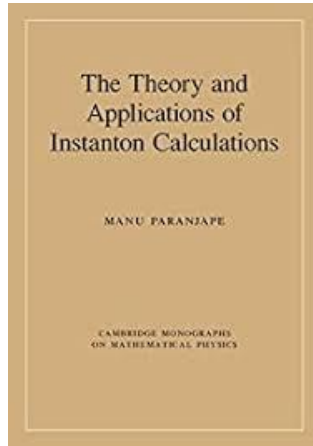
Currently focus mainly on searches for the BSM phenomena

While the existence of topological effects within the Standard Model, such as QCD instantons or electroweak Sphaleron signatures is well known, it is far from clear, how they can be experimentally observed

Recently –a renewal of interest, initiated by the experimental LHC community (M. Schott et al)



Belavin, Polyakov, Schwarz, Tyupkin, 1975



Instantons describe quantum tunneling between different vacuum sectors of the QCD and are arguably the best motivated yet experimentally unobserved nonperturbative effects predicted by the Standard Model.

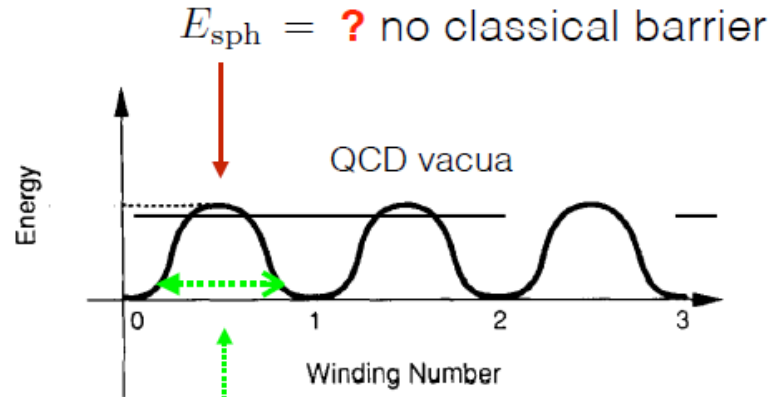
Recent calculations of Instanton-induced processes in pp collisions :

V.V. Khoze, F. Krauss, M. Schott, 1911.09726 : JHEP

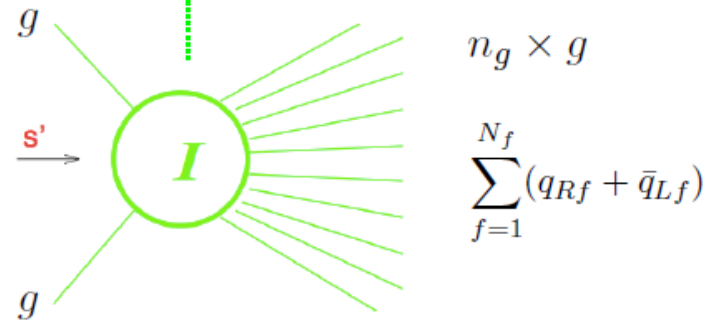
V.V.Khoze, D.Milne, M. Spannowsky, 2010.02287 : PRD

QCD Instantons

- Yang-Mills vacuum has a nontrivial structure
- *Instantons* are tunnelling solutions between the vacua.
- At the classical level there is no barrier in QCD. The *sphaleron* is a quantum effect
- Transitions between the vacua change chirality (result of the ABJ anomaly).
- All light quark-anti-quark pairs must participate in the reaction
- Not described by perturbation theory.



Sphaleron-transition on top of an energy barrier



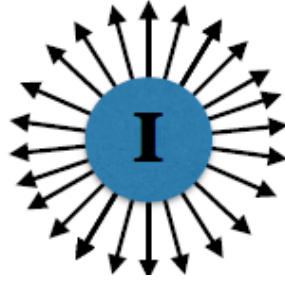
$$g + g \rightarrow n_g \times g + \sum_{f=1}^{N_f} (q_{Rf} + \bar{q}_{Lf})$$

Instantons have never been observed **experimentally**, however, they are playing very important role in the **theoretical** models of confinement and chiral symmetry breaking

a possible solution to the axial $U(1)$ problem

$$\langle 0 | G_{\mu\nu}^a G_{\mu\nu}^a | 0 \rangle \neq 0$$

Instanton signatures:



one of the biggest challenges for particle physics to date

LO Instanton vertex -> selection on final states at colliders with high sphericity

- large multiplicity $N_{jet} \sim 1/\alpha_s(\rho_{inst})$ $E_T \sim 1/\rho_{inst}$

'soft bombs' –high-multiplicity spherically symmetric distributions of relatively soft particles

- large 'Sphericity', $S \rightarrow 1$

- presence of an additional light $\bar{q}_R q_L$ pairs

(in particular pair of strange
(or charm. for the small size instanton) quarks)

Instanton \neq the particle (no peak in M_{inst})

Extended objects in space-time

It is a family of objects of different size, ρ ,
and orientations in Lorentz and colour spaces

Effectively –a family of new multiparton vertices in Feynman diagrams

Instanton-induced processes with 2 gluons in the initial state:

$$g + g \rightarrow n_g \times g + \sum_{f=1}^{N_f} (q_{Rf} + \bar{q}_{Lf})$$

\uparrow
 arbitrary
 (tends to be large $\sim 1/\alpha_s$)

All light flavours of quark-antiquark pairs must be present. Light =>
 $m_f \leq 1/\rho$.
 \uparrow
 \vdots
 instanton size

Can also have quark-initiated processes e.g. :

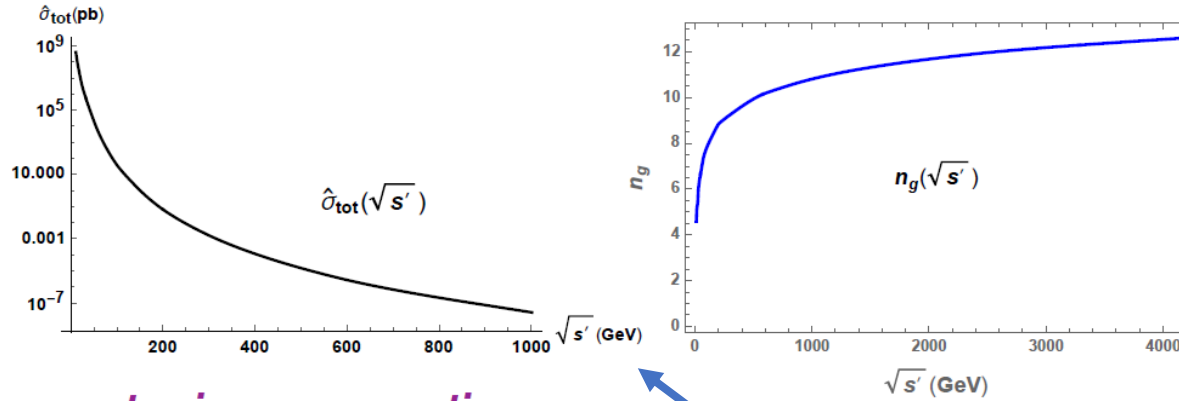
$$u_L + \bar{u}_R \rightarrow n_g \times g + \sum_{f=1}^{N_f-1} (q_{Rf} + \bar{q}_{Lf}),$$

$$u_L + d_L \rightarrow n_g \times g + u_R + d_R + \sum_{f=1}^{N_f-2} (q_{Rf} + \bar{q}_{Lf})$$

Instanton size is cut-off by partonic energy $\sim \sqrt{s}$
 this is what sets the
 effective QCD sphalrenon scale

Quantum corrections
 due to in-in states
 interactions

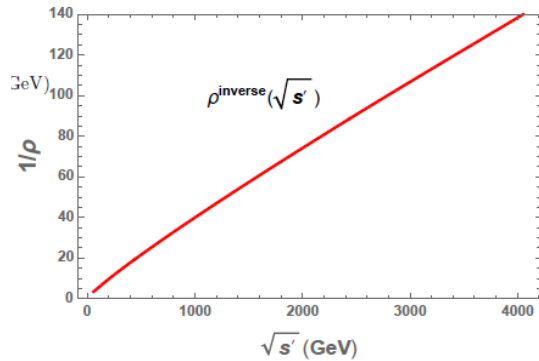
Mueller 1991



partonic cross-sections

Instanton size is cut-off by partonic energy $\sim \sqrt{s}$
 this is what sets the effective QCD sphalrenon scale

Quantum corrections due to in-in states interactions



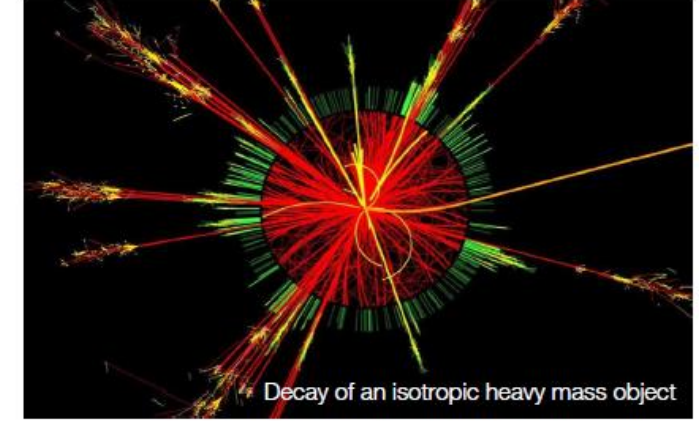
Small instanton masses-large rates, but difficult to distinguish from soft QCD activity (+ PU 'complications' at high lumi).
 A large mass-striking multijet signature, but a very low rate.
 The S/B ratio falls very rapidly with l-mass increasing –a higher chance of observing the signal in the low-mass range.



Elementary $gg \rightarrow I + \dots$ cross section at $\sqrt{s'} = M_{inst}$

$\sqrt{s'}$ [GeV]	$1/\rho$ [GeV]	$\alpha_S(1/\rho)$	$\langle n_g \rangle$	$\hat{\sigma}$ [pb]
10.7	0.99	0.416	4.59	$4.922 \cdot 10^9$
15.7	1.31	0.360	5.13	$728.9 \cdot 10^6$
22.9	1.76	0.315	5.44	$85.94 \cdot 10^6$
29.7	2.12	0.293	6.02	$17.25 \cdot 10^6$
40.8	2.72	0.267	6.47	$2.121 \cdot 10^6$
56.1	3.50	0.245	6.92	$229.0 \cdot 10^3$
61.8	3.64	0.223	7.28	$72.97 \cdot 10^3$

Now in SHERPA



$\sqrt{s'_{min}}$ [GeV]	20	50	100	200	500
$\sigma_{pp \rightarrow I}$	6.32 mb	$40.82 \mu\text{b}$	79.95 nb	105.4 pb	3.54 fb

Table 2. Hadronic cross sections for instanton production through initial gluons, at the 13 TeV LHC, using the NNPDF3.1 NNLO set with $\alpha_s(M_Z) = 0.118$ [67].

V.V. Khoze, F. Krauss, M. Schott, 1911.09726

$$\sigma(pp \rightarrow I) \sim M_{inst}^{-6}$$

Note infrared divergence at large ρ (small M_{inst})

Background

1. Multiple parton interactions
(Double/Triple/... parton scattering)

Large at small M_{inst}

$$\frac{d\sigma}{dE_1^2 \dots dE_n^2} \sim \left(\frac{d\sigma}{\sigma_{eff} dE_1^2} \dots \frac{d\sigma}{\sigma_{eff} dE_n^2} \right) \sigma_{eff}$$

$$d\sigma/d\vec{E}_i^2 \sim \pi\alpha_s^2/E_i^4 \quad \sigma_{eff} \sim 10 \text{ mb}$$

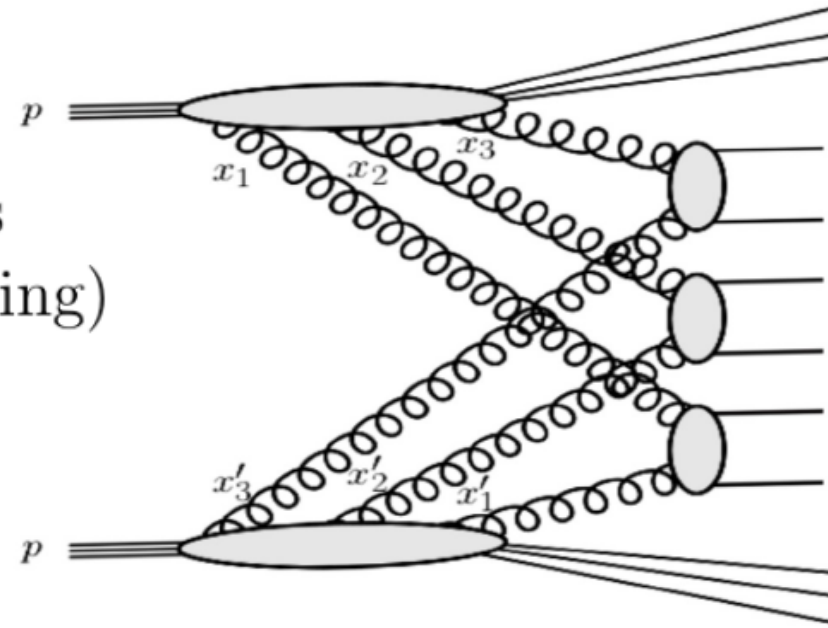
E_i denotes the transverse energy of a jet in the i dijet system,

$$S^2 \leq 0.1$$

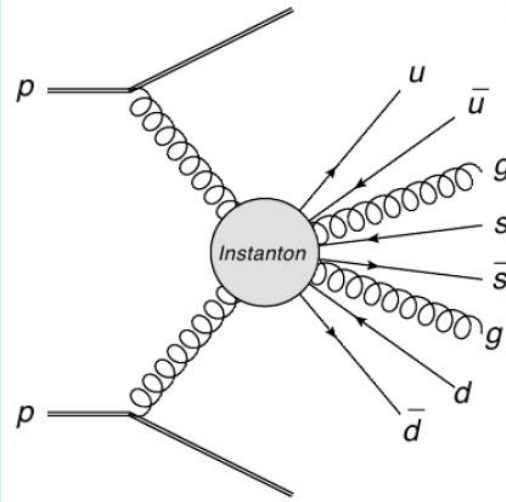
Thus the probability to observe n additional branches

in LRG events is suppressed by the factor $(S^2)^n$.

events with an LRG mainly occur at large value of b_t ,

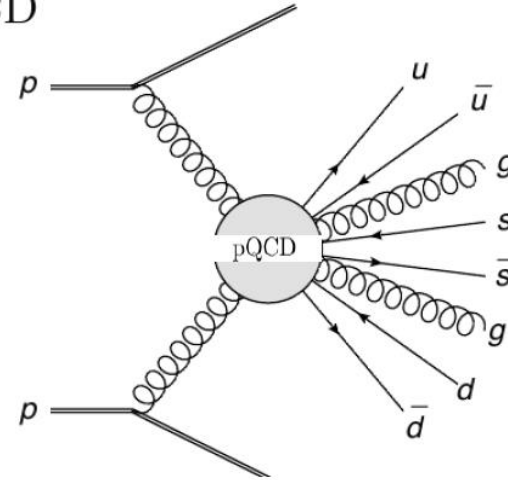


2. pQCD



$$\sigma(pp \rightarrow I) \sim 1/M_{inst}^7$$

$$\sigma(gg \rightarrow N jets) \sim \sigma(gg \rightarrow I) \text{ at } M_{inst} > 200 \text{ GeV}$$



$$\sigma(gg \rightarrow N \cdot jets) \sim (16\pi/M_{inst}^2)\alpha_s^N$$

(hedgehog-like)

Thus, at sufficiently large values of M_{inst} the instanton signal will become negligible relative to the purely perturbative QCD background.

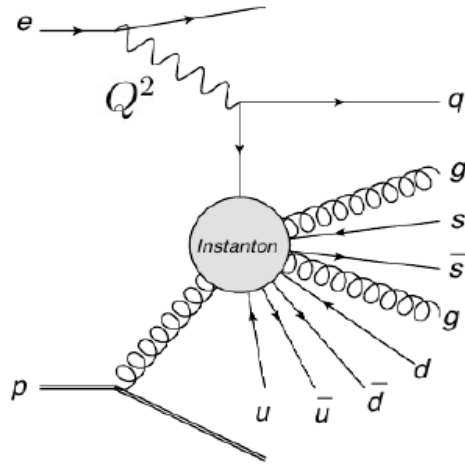


Figure 2. Depiction of a QCD Instanton processes in electron-proton (left) and proton-proton (right) collisions, where an external scale parameter Q' is required.

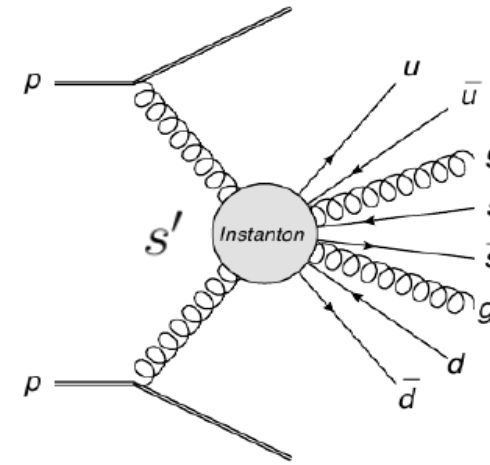
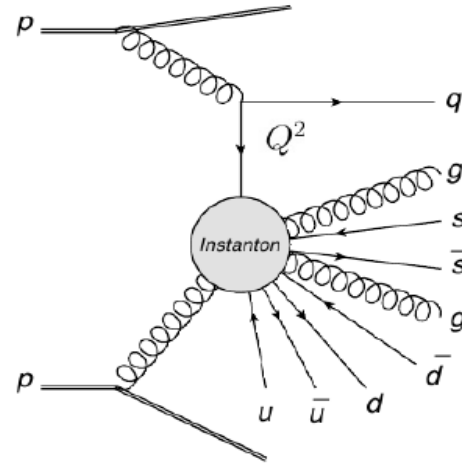


Figure 3. Depiction of a QCD Instanton processes in proton-proton (right) collisions.

a) To select Q^2 in DIS (or $q_{T,jet}$)
 (A. Ringwald, F.Schrempp, PL B438 (1998) 217)

b) To select events with $\sum_i E_{T,i} > E_{cut}$

If the instanton is recoiled by a high p_T jet emitted from one of the initial state gluons => hadronic cross-section is tiny



- Instanton event – large N_{ch} (due to N_{jets}) but not too large $\Sigma E_{T,i}$

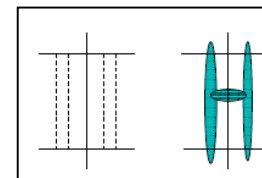
- Sphericity $S = (3/2)(\lambda_2 + \lambda_3)$ close to 1
 $\lambda_1 > \lambda_2 > \lambda_3$ are the eigenvalues of $S^{\alpha\beta}$

(dijet events lead to sphericity of $S = 0$)

$$S^{\alpha\beta} = \frac{\Sigma p_i^\alpha p_i^\beta}{\Sigma |\vec{p}_i|^2}$$

- extra $(\bar{s}s)$ pair of strange particles

$$g + g \rightarrow n_g \times g + \sum_{f=1}^{N_f} (q_{Rf} + \bar{q}_{Lf})$$



UA8 and double-Pomeron production

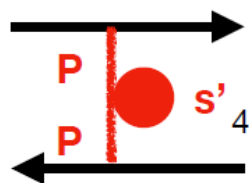
A Study of Inclusive
Double-Pomeron-Exchange
in $p\bar{p} \rightarrow pX\bar{p}$ at $\sqrt{s} = 630$ GeV

A. Brandt¹, S. Erhan², A. Kuzucu², M. Medinnis³,
N. Ozdes^{2,4}, P.E. Schlein⁵, M.T. Zeyrek⁵, J.G. Zweizig⁶
University of California¹, Los Angeles, California 90024, U.S.A.

J.B. Cheze, J. Zembery
Centre d'Etudes Nucleaires-Saclay, 91191 Gif-sur-Yvette, France.

hep-ex/0205037

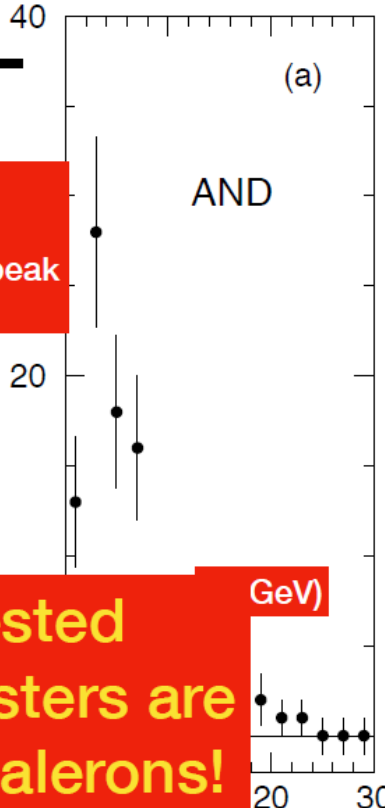
hep-ex/0205037v3 21 Jul 2002



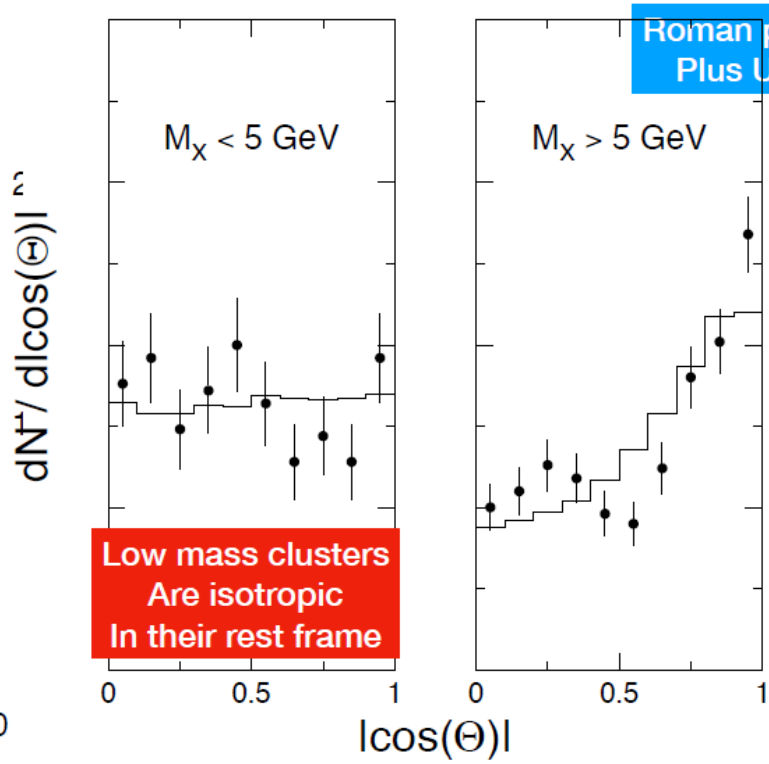
$$\frac{d^6\sigma_{DPE}}{d\xi_1 d\xi_2 dt_1 dt_2 d\phi_1 d\phi_2} = F_{P/p}(t_1, \xi_1) \cdot F_{P/p}(t_2, \xi_2) \cdot \sigma_{PP}^{tot}(s').$$

$s'=M^2$ is the cluster mass squared

Mass Distribution
Has unpredicted peak
At 2-8 GeV



We suggested
 $M < 5$ GeV clusters are
The QCD sphalerons!



Roman pots on both sides (AND)
Plus UA2 central calorimeter

Small and large M
Production is different
In magnitude and
Angular distributions

Low mass clusters
Are isotropic
In their rest frame

It may make sense
to repeat this
measurement at
the LHC/RHIC ?

Instanton in diffractive events

V. A. Khoze, V. V. Khoze, D. L. Milne and M. G. Ryskin,

PRD 104, 054013
105,036008

**Lower background since
No multiparton interactions**

Event selection::

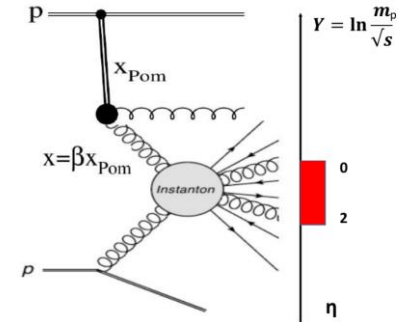
$$N_{ch} > 20 \quad \sum_i E_{T,i} > 15 \text{ GeV}$$
$$(0 < \eta < 2 \quad p_{T,i} > 0.5 \text{ GeV})$$

**No charged at $-2 < \eta < 2$ with $p_T > 2 \text{ GeV}$
(to exclude high E_T jets)**

use low luminosity runs to avoid problems with large pile-up

Searching for the Instanton as a multiparticle cluster/fireball with a mass $\sim 20\text{-}60 \text{ GeV}$ in events with an LRG

LRG can be detected either by detecting the leading forward proton with beam momentum fraction, $x_L = 1 - \xi$, very close to 1 ($\xi = x_{Pom} \leq 0.01$), or by observing no hadron activity in the forward calorimeters.



Low Luminosity run (no PU)

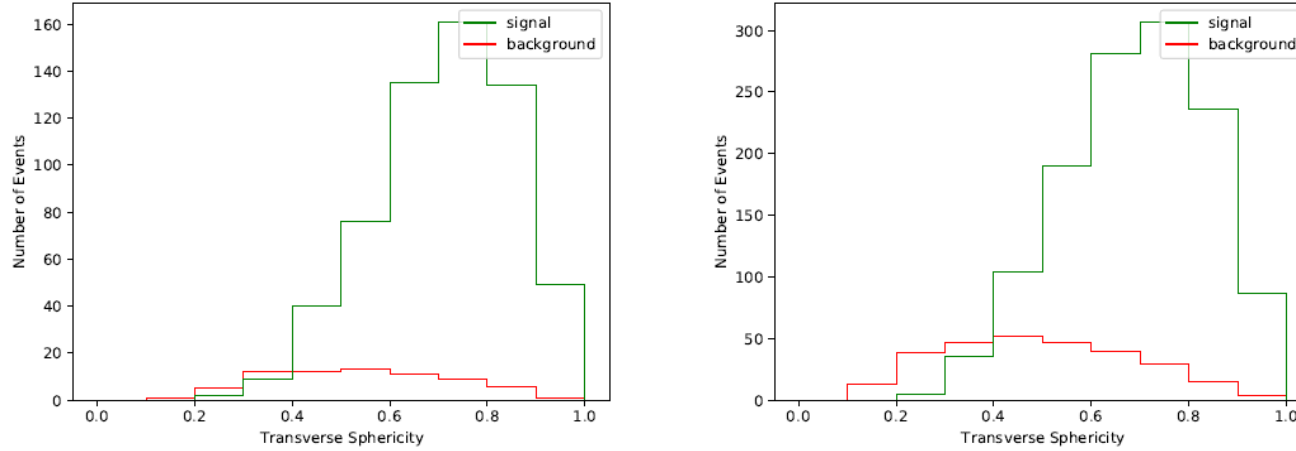


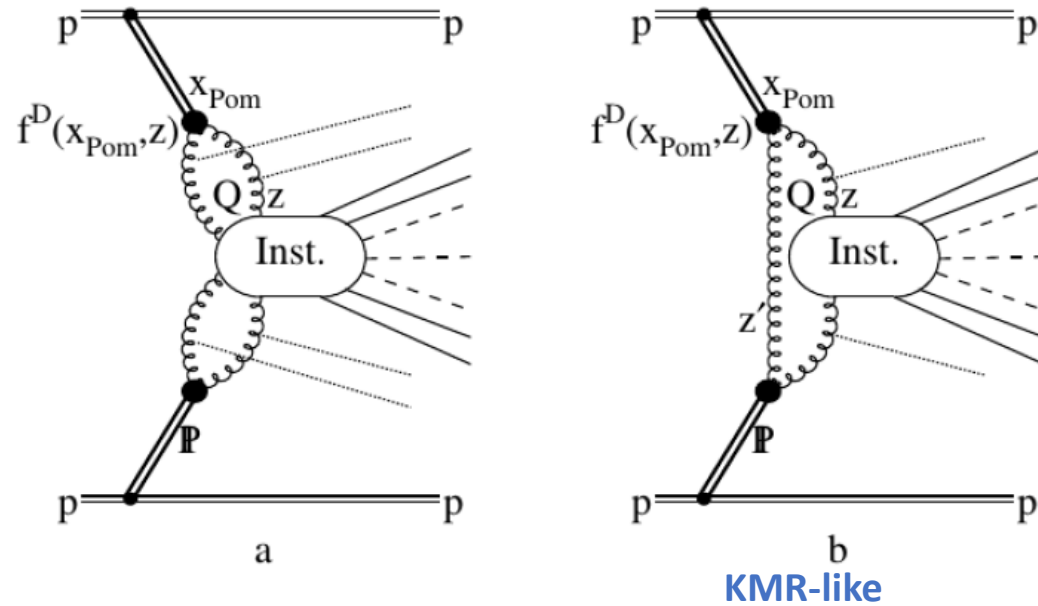
Figure 4: Distribution over the transverse sphericity S_T , Eq. (8), of the charged hadrons produced in the events with the instanton (green) in comparison with the expected background (red). The selection criteria used are the same as those described in Fig. 3

- It is shown that by imposing appropriate cuts on final states we can select the kinematical region where the I-signal exceeds BG by a factor of at least 2.5. At $\sum_i E_{T,i} > 15$ GeV, $N_{\text{ch}} > 20$ measured within the $0 < \eta < 2$ the rate is expected to be large enough to measure Instanton production in the events with LRG at low luminosity
- Even with these rather strong cuts in place, the expected instanton cross-section remains sufficiently large (~ 1 nb) to effectively produce and probe QCD instantons at the LHC, at low luminosity runs, avoiding pile-up problems.

Central Instanton Production

$$pp \rightarrow p + \mathbb{P} + \mathbb{P} + p \rightarrow p + X + p.$$

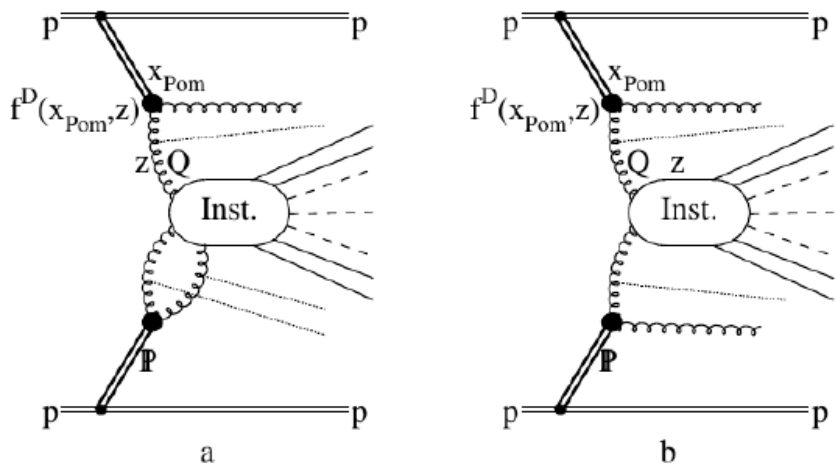
Fig.1



dominates

- ★ Detecting two outgoing protons would allow placing an upper limit on Instanton mass.
- ★ only a small part of the finally produced hadron state will avoid detection ('hermiticity')

Fig.2

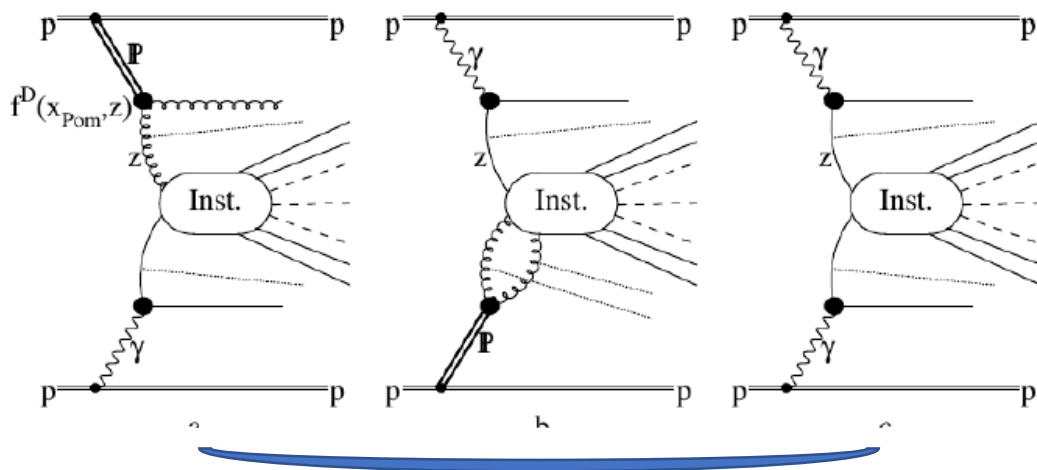


Dominant

For a reasonable instanton mass $M_{inst} \gtrsim 50$ GeV

$$\sigma_{pp \rightarrow I}^{(2b)} \sim \text{hundreds of pb}$$

Fig.3



UPC-no PU nightmare

L. Harland-Lang et al

$$\alpha_{em}^2 \sim 10^{-4}$$

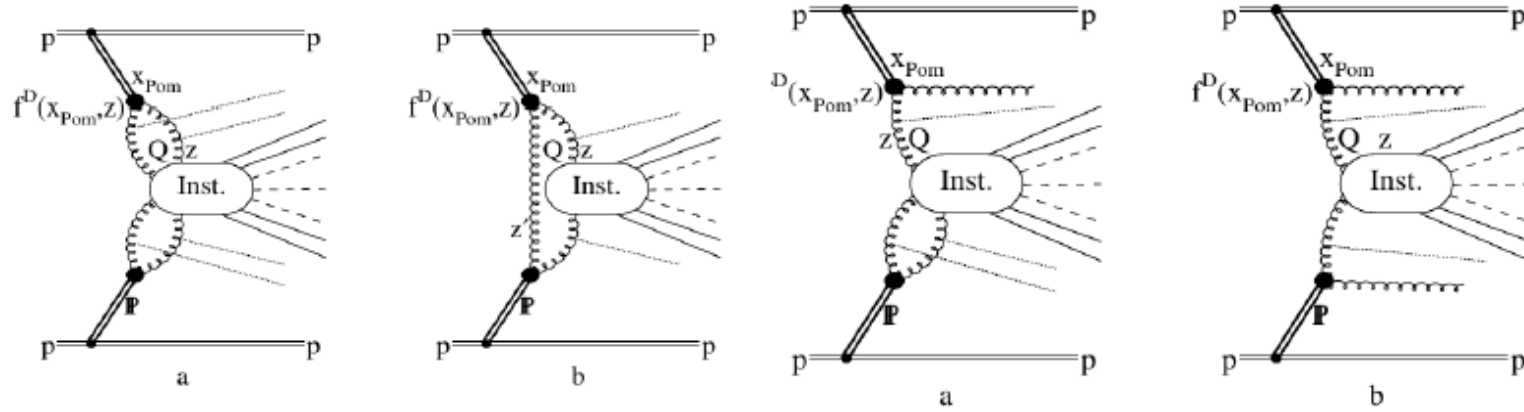
HIC

Full set of analytical formulas for the partonic processes, calculated for the first time

M_{inst} [GeV]	$d\sigma_{pp}^{(1a)}$ [pb]	$d\sigma_{pp}^{(1b)}$ [pb]	$d\sigma_{pp}^{(2a)}$ [pb]	$d\sigma_{pp}^{(2b)}$ [pb]	$d\sigma_{pp}^{(2b)}, Q_t > 20\text{GeV}$
15	13.3	$4.56 \cdot 10^4$	$3.72 \cdot 10^3$	$1.83 \cdot 10^5$	-
35	$6 \cdot 10^{-3}$	$1.69 \cdot 10^2$	8.10	$2.28 \cdot 10^3$	$1.99 \cdot 10^{-3}$
55	$3.82 \cdot 10^{-5}$	3.27	$1.19 \cdot 10^{-1}$	$8.96 \cdot 10^1$	$2.95 \cdot 10^{-3}$
75	$8.8 \cdot 10^{-7}$	$1.61 \cdot 10^{-1}$	$4.72 \cdot 10^{-3}$	7.06	$1.70 \cdot 10^{-3}$
95	$4.27 \cdot 10^{-8}$	$1.38 \cdot 10^{-2}$	$3.42 \cdot 10^{-4}$	$8.58 \cdot 10^{-1}$	$7.26 \cdot 10^{-4}$
115	$3.37 \cdot 10^{-9}$	$1.74 \cdot 10^{-3}$	$3.68 \cdot 10^{-5}$	$1.39 \cdot 10^{-1}$	$2.80 \cdot 10^{-4}$
135	$3.77 \cdot 10^{-10}$	$2.86 \cdot 10^{-4}$	$5.29 \cdot 10^{-6}$	$2.75 \cdot 10^{-2}$	$1.04 \cdot 10^{-4}$

Table 1: Instanton cross-sections at the 14 TeV LHC. The differential cross-sections for the process in Figs.1a, 1b and 2a, 2b, given by Eqs. (5.1) and (5.2), are computed for a range of instanton masses M_{inst} .

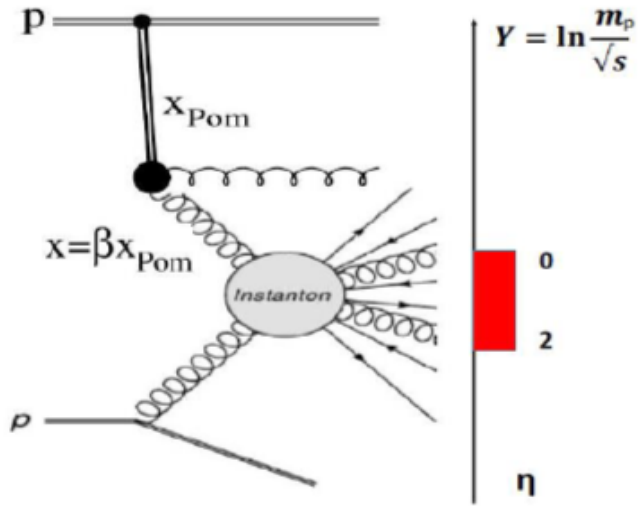
$$x_{P1} = x_{P2} = 0.03 \text{ integrated over } z.$$



CENTRAL PRODUCTION

- ★ It is shown [17] that for an instanton mass $M_{inst} \geq 50$ GeV the expected central production cross sections for the instanton-induced processes are of the order of picobarns in the pure exclusive case and increase up to hundreds of pb when the emission of spectator jets is allowed.
- ★ The cross sections are encouragingly large and under favourable background conditions there is a tantalising chance that QCD instanton effects can either be seen or ruled out.
- ★ The expected experimental signature for the instanton-induced process in the central detector is a large multiplicity and transverse energy ($\sum_i ET_i$) in relatively small rapidity interval ($\delta y \simeq 2 - 3$) and large sphericity $S > 0.8$ of the event.

IN REAL LIFE



Instanton produced in Single Diffraction:

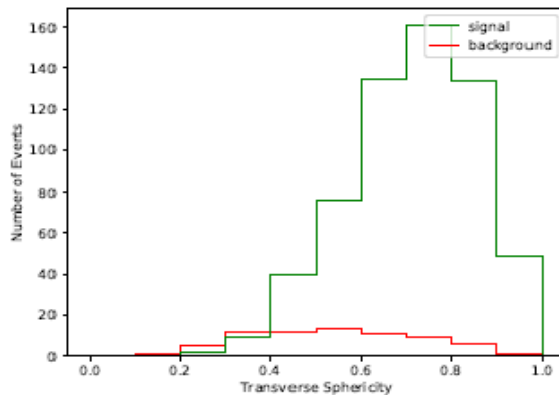
- Detect a proton in AFP on one side (e.g. $\eta < 0$) (large rapidity gap not required)

$$g+g \rightarrow n_g \times g + \sum_{f=1}^{N_f} (q_{Rf} + q_{Lf}):$$

Final state: multi-particle cluster (or a fireball) containing a large number of isotropically distributed gluon (mini)jets accompanied by N_f light-quark jets

1. High particle multiplicity
2. No high-pt jets
3. Large density of E_T

Main background: underlying event.
Multi-parton interactions shown to be suppressed theoretically in 2104.01861 for $20 < M_{inst} < 60$ GeV



Suppress gluons from Pomeron with too large x (where gluon PDF rapidly decreases with incr. x)
→ focus on $0 < \eta < 2$ (tagged proton has $\eta < 0$)

Check the impact of MPI at particle level.
What about detector effects? Pile-up? Look at $M_{inst} > 60$ GeV
→ 2208.14089

Search strategy: generator level

Variables used:

1) $0.02 < \xi < 0.05$ – avoid large ξ to suppress

a) Reggeon contributions

b) ND background surviving thanks to fluctuations in the process of hadronization

2) N_{ch05} = nr. of charged particles with $p_T > 0.5$ GeV and $0 < \eta < 2$

3) $N_{ch20(25,30)}$ = nr. of charged particles with $p_T > 2.0$ (2.5,3.0) GeV and $0 < \eta < 2$

4) $\sum E_T$ over charged particles with $p_T > 0.5$ GeV and $0 < \eta < 2$

5) N_{chfw05} = nr. of charged particles with $p_T > 0.5$ GeV and $2.5 < \eta < 4.9$

6) $\sum E_T^{fw}$ over charged particles with $p_T > 0.5$ GeV and $2.5 < \eta < 4.9$

Cuts: $N_{ch05} > 30, 40$; $N_{ch20(25,30)} = 0$; $\sum E_T > 30, 40$ GeV; $N_{chfw05} < 6$; $\sum E_T^{fw} < 4$ GeV

42 combinations tried → the golden cut scenario, giving the best S/B:

$$N_{ch05} > 40 \wedge N_{ch25} = 0 \wedge \sum E_T^{fw} < 4 \text{ GeV}$$

Event generation

□ Generator level:

Signal:

RAMBO event generator (hadronized by PYTHIA 8.2 (MPI on))

- SD (proton-Pomeron): $M_{inst} > 60$ GeV: 2.5M events: 39.6 pb (for $0.02 < \xi < 0.05$)
- SD (proton-Pomeron): $M_{inst} > 100$ GeV: 0.5M events: 1004.6 pb (for $0.02 < \xi < 0.05$)
- CD (Pomeron-Pomeron): $M_{inst} > 100$ GeV: 100k events: 500 pb (for $0.02 < \xi_{1,2} < 0.05$)

Background:

PYTHIA 8.2: ISR on, FSR on, MPI on

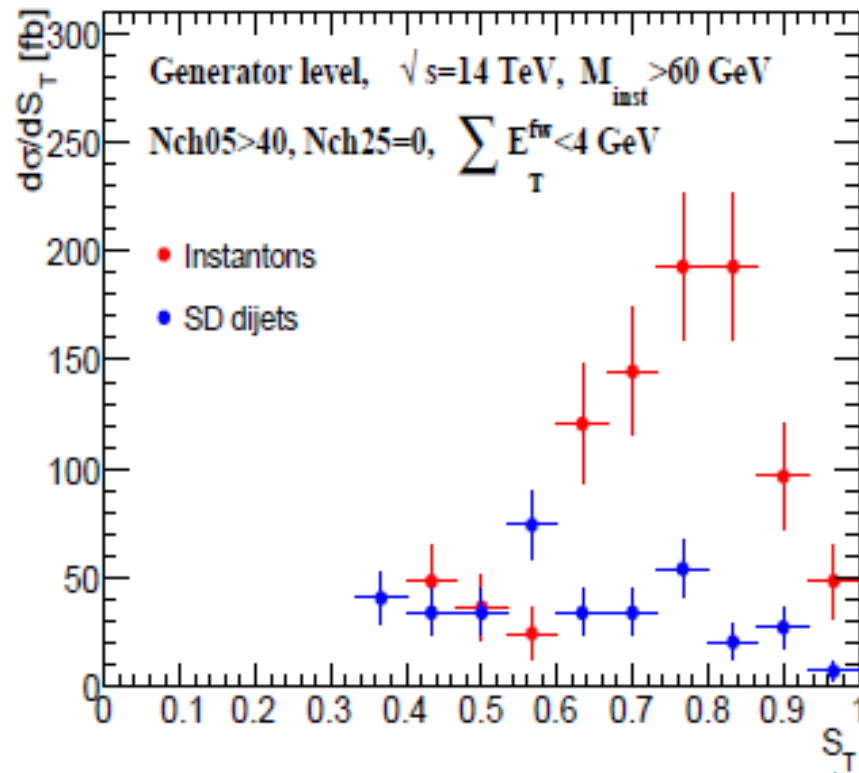
- SD: parton $p_T > 10$ GeV: 5.0×10^{11} events: 80 μ b (dynamical gap generation)
- ND: parton $p_T > 10$ GeV: 8.5×10^{11} events: 8.6 mb

N_{ev} after golden cut scenario: SD: 455 $\rightarrow \sigma_{SD}^{fid} \sim 73$ fb;

ND: 0 $\rightarrow \sigma_{ND}^{fid} < 10.2$ fb (< 14% of SD)

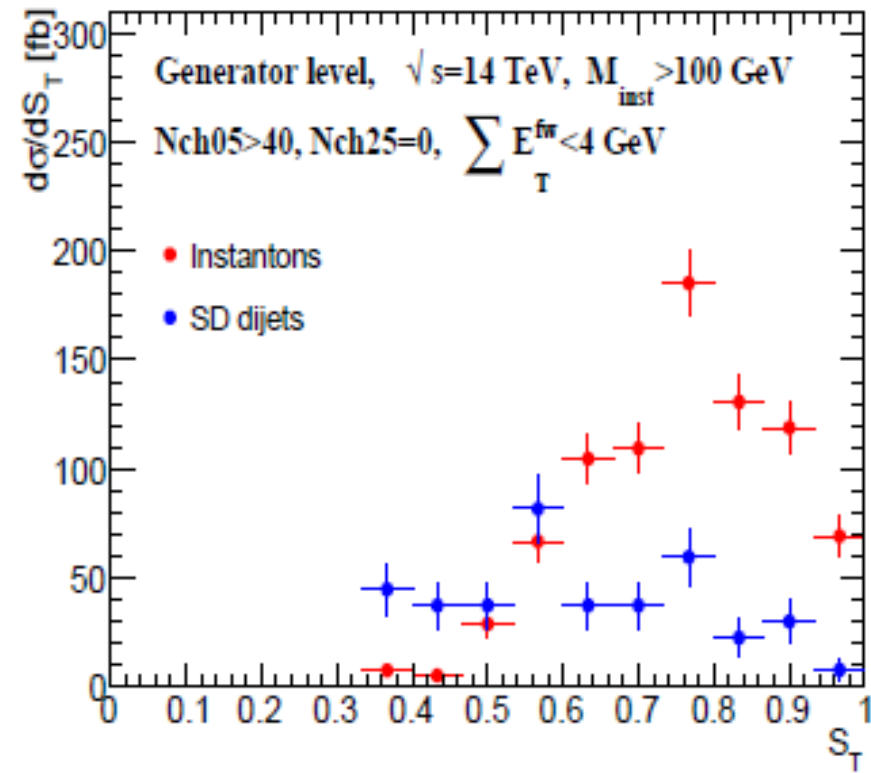
Single-tag: results at generator level

$$N_{ch05} > 40 \wedge N_{ch25} = 0 \wedge \sum E_T^{fw} < 4 \text{ GeV}$$



$M_{inst} > 60 \text{ GeV}$: S/B = 2.1

↑
 Transverse
 sphericity



$M_{inst} > 100 \text{ GeV}$: S/B = 2.3

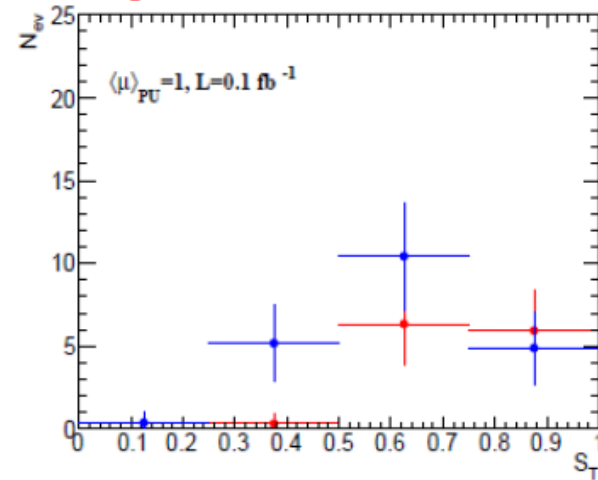
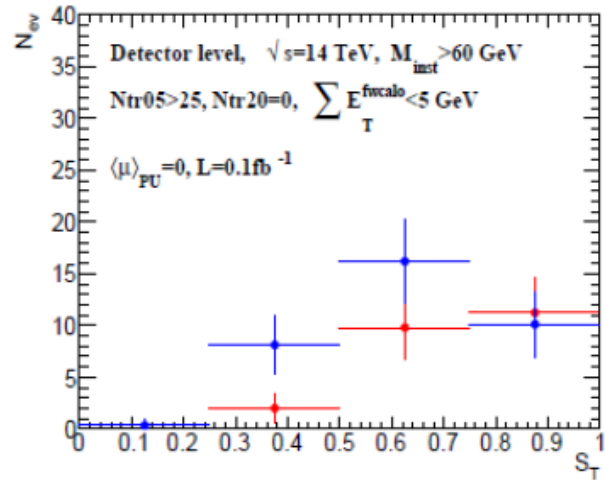
Detector level

Detector effects and pile-up effects simulated using DELPHES 2.5

- Track selection: the same as in 13 TeV charged particle paper (EPJC76(2016) no 9, 502):
- $(z_{vtx} - z_{trk}) \sin\theta < 1.5 \text{ mm}$, $d_0^{trk} < 1.5 \text{ mm}$ → suppresses tracks from pile-up
- $p_T > 0.5 \text{ GeV}$ and $0 < \eta < 2$
- Nominal ATLAS track reco efficiency: $\sim 80\%$ for $p_T > 0.5 \text{ GeV}$, not too close to tracker edges:
 $N_{ch05} > 40 \rightarrow N_{tr05} > 32$: adapt the first cut for detector level (Goal of this analysis is to estimate the situation after data taking, so real event yields)
- Adapt also the third cut: $\sum E_T^{fw} < 4 \text{ GeV} \rightarrow \sum E_T^{fwcalo} < 5 \text{ GeV}$: increase the threshold (supported also by ATLAS Run 1 Energy flow paper) but not too much since no control over pile-up penetration
- The second cut is tightened to increase S/B
 - $N_{tr05} > 25 \wedge N_{tr20} = 0 \wedge \sum E_T^{fwcalo} < 5 \text{ GeV}$ for $M_{inst} > 60 \text{ GeV}$
 - $N_{tr05} > 30 \wedge N_{tr25} = 0 \wedge \sum E_T^{fwcalo} < 5 \text{ GeV}$ for $M_{inst} > 100 \text{ GeV}$
- All signal events simulated
- SD background sample pre-selected (by cuts more relaxed than the golden scenario):
- Pre-selection cuts: $N_{ch05} > 30 \wedge N_{ch30} = 0 \wedge \sum E_T^{fw} < 6 \text{ GeV} \rightarrow 130\text{k}$ events simulated
- ND events not simulated – their impact estimated as 10% of SD
- Four luminosity scenarios ($\langle \mu \rangle$, L[fb⁻¹]): (0,0.1), (1,0.1), (2,1) and (5,10) for SD
- Two luminosity scenarios (20,60) and (50,300) for CD

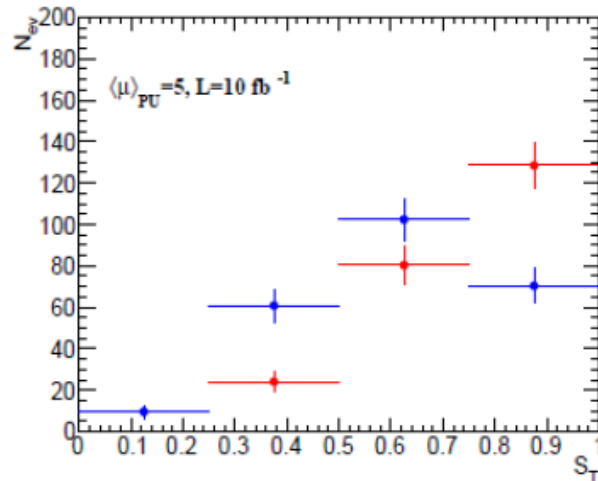
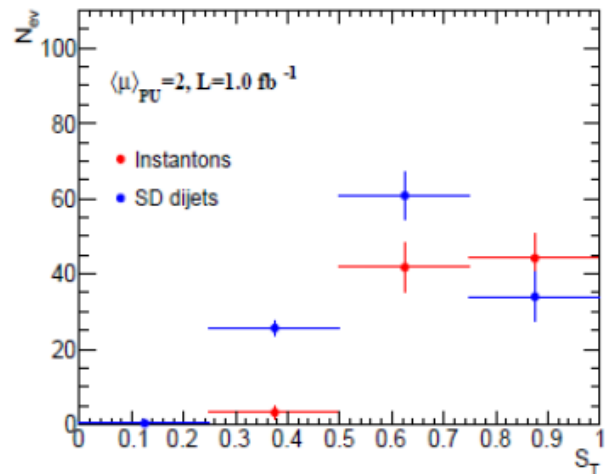
Single-tag: results at detector level

$$N_{tr05} > 25 \wedge N_{tr20} = 0 \wedge \sum E_T^{fwcalo} < 5 \text{ GeV}$$



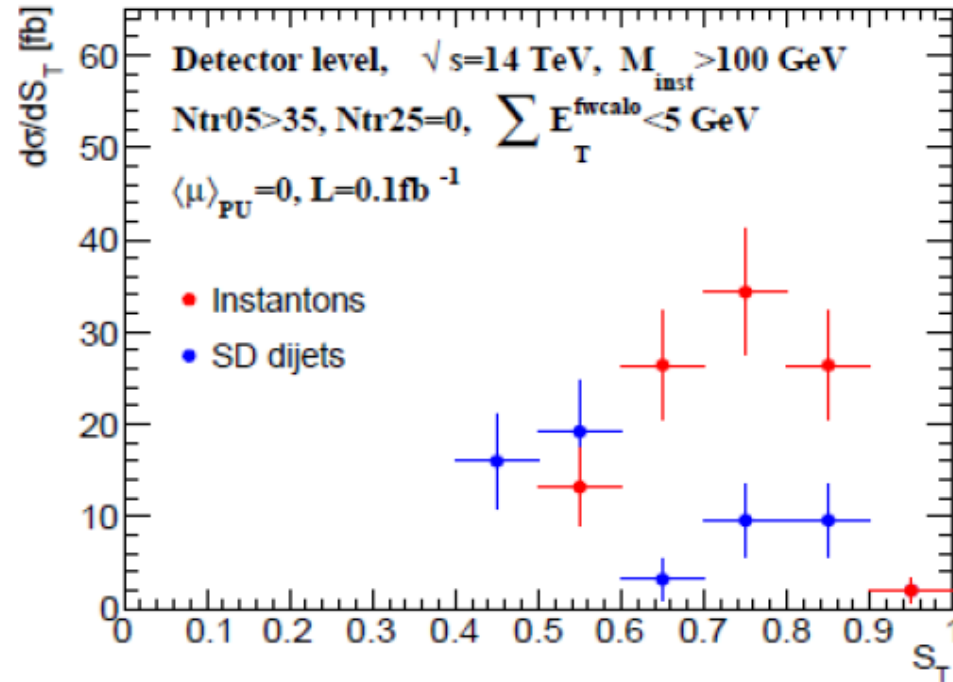
$M_{inst} > 60 \text{ GeV}$:

- S/B 3x worse than gen.level
- S/B $\sim 0.6-0.7$ for all pile-up scenarios \rightarrow pile-up effects not harmful
- Detector effects:
 $\langle \sum E_T^{fw} \rangle \sim \langle \sum E_T^{fwcalo} \rangle$ for $\mu=0$



\rightarrow track properties are essential (reconstruction efficiencies and resolutions).

Single-tag: results at detector level



Hunting for higher S/B:

$M_{inst} > 100$ GeV for $\mu=0$:

S/B ~ 1.5 for $N_{tr05} > 35 \wedge N_{tr25} = 0 \wedge \sum E_T^{fwcalo} < 5$ GeV
but too few signal events survive

Summary

Recent theory papers on searches for QCD instanton of low masses ($20 < M_{inst} < 60$ GeV) at LHC are encouraging.

Goal of this analysis is extension of previous theory studies:

- Higher masses ($M_{inst} > 60$ GeV, $M_{inst} > 100$ GeV)
- Inclusion of multi-parton interactions
- Inclusion of detector effects
- Inclusion of pile-up effects

Single-tag approach:

S/B \sim 2.1 (>60 GeV) or 2.3 (>100 GeV) at generator level deteriorate to S/B \sim 0.6-0.7 or 0.4 at detector level. Track reconstruction efficiencies and resolutions seem to be responsible.

Double-tag approach:

Since production cross section is 80x smaller than for SD, we have to consider larger values of $\langle \mu \rangle$ (20 and 50). There combinatorial effects are big and overwhelm the signal.

Potential improvements

1. Instanton-dedicated L1 triggers
2. Adding time information to central or forward region
3. Identify c-quark jets in the final state

CONCLUSION



- The direct experimental observation of Instanton-induced processes would be a real breakthrough in particle physics.
- QCD instanton cross-sections can be very large at hadron colliders (lower end of partonic energies 20-80 GeV).
- An existing lack of evidence by no means leads to the conclusion that QCD instanton “does not exist”, but rather that their actual production rate is on the low end of predictions.
- Potential for large sources of theoretical uncertainties covering orders of magnitude. A practical point for future progress is to test theory normalization of predicted instanton rate with data.
- Searches for the signal in non-diffractive events are very challenging: modeling the detailed final state, background suppression, and separation from the possible SM and BSM sources of the “hedgehog-like ” events. Events with a large p_T signature are too rare.
- Diffraction (single tag, CEP) promises some attractive advantages: cleaner signal, suppressed ‘standard’ backgrounds (MPI).
- Currently, after accounting for the detector effects the distributions are sufficiently washed out, and it becomes quite challenging to extract the pure instanton signal. On top of this, the PU background turns out to be large, especially for the case with two tagged protons requiring work at lower luminosities.





Strong need for enthusiastic experimental experts to join the efforts, addressing such issues as detector effects, PU at high luminosity, and timing resolution.....

(Marek Tasevsky et al)

One of the main obstacle currently – PU at high luminosity



Possible directions for further studies

- ✱ Feasibility of searches for moderate mass Instantons in UPC
- ✱ Using good timing from Central Detectors for both ST and DT events.
- ✱ Identification of charm quark jets in the final state

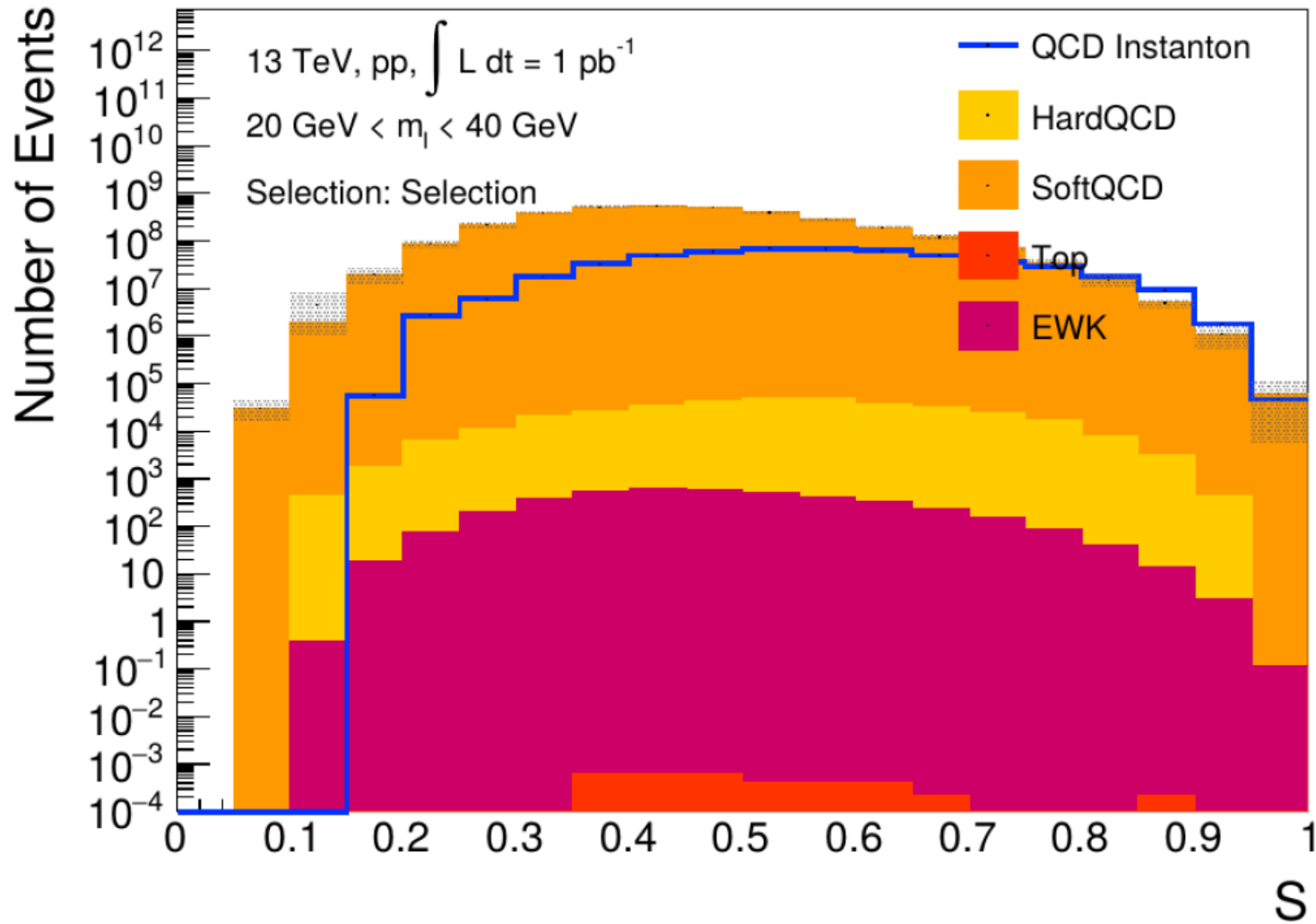
(L.Harland-Lang et al)

Conclusion





BACKUP



Instanton signal samples have been produced with a modified version of the SHERPA

BG *softQCD* processes in the PYTHIA used as baseline. simulated through the Delphes framework no PU

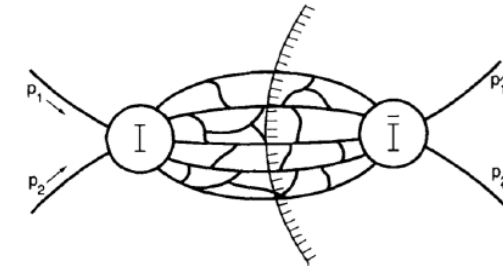
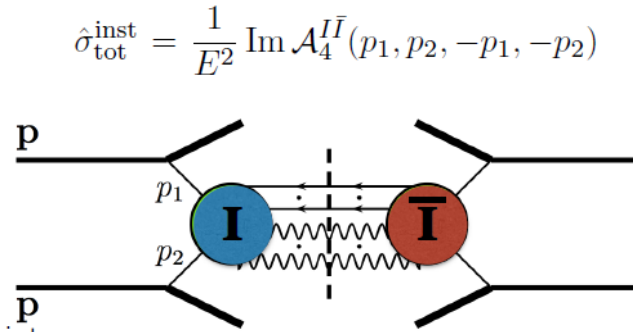


Simone Amoroso^a Deepak Kar^b Matthias Schott^c 2012.09120

First limits based on existing LHC Minimum Bias data

The Optical Theorem approach: to include final state interactions

- Cross-section is obtained by [squaring] the instanton amplitude.
- Final states have been instrumental in combatting the exp. suppression.
- Now also the interactions between the final states (and the improvement on the point-like I-vertex) are taken into account.



V.V.Khoze, A.Ringwald-1991

Total hadronic cross-sections for instanton processes are large

$$\sigma_{pp \rightarrow I}(\hat{s} > \hat{s}_{\min}) = \int_{\hat{s}_{\min}}^{s_{pp}} dx_1 dx_2 f(x_1, Q^2) f(x_2, Q^2) \hat{\sigma}(\hat{s} = x_1 x_2 s_{pp})$$

V.V.Khoze, F.Krauss, M.Schott-1911.0977

V.V.Khoze, D. Milne, M.Spannowsky-2010.02287

practical approach: vary minimal E

E_{\min} [GeV]	50	100	150	200	300	400	500
$\sigma_{p\bar{p} \rightarrow I}$ $\sqrt{s_{p\bar{p}}} = 1.96$ TeV	2.62 μb	2.61 nb	29.6 pb	1.59 pb	6.94 fb	105 ab	3.06 ab
$\sigma_{pp \rightarrow I}$ $\sqrt{s_{pp}} = 14$ TeV	58.19 μb	129.70 nb	2.769 nb	270.61 pb	3.04 pb	114.04 fb	8.293 fb
$\sigma_{pp \rightarrow I}$ $\sqrt{s_{pp}} = 30$ TeV	211.0 μb	400.9 nb	9.51 nb	1.02 nb	13.3 pb	559.3 fb	46.3 fb
$\sigma_{pp \rightarrow I}$ $\sqrt{s_{pp}} = 100$ TeV	771.0 μb	2.12 μb	48.3 nb	5.65 nb	88.3 pb	4.42 pb	395.0 fb

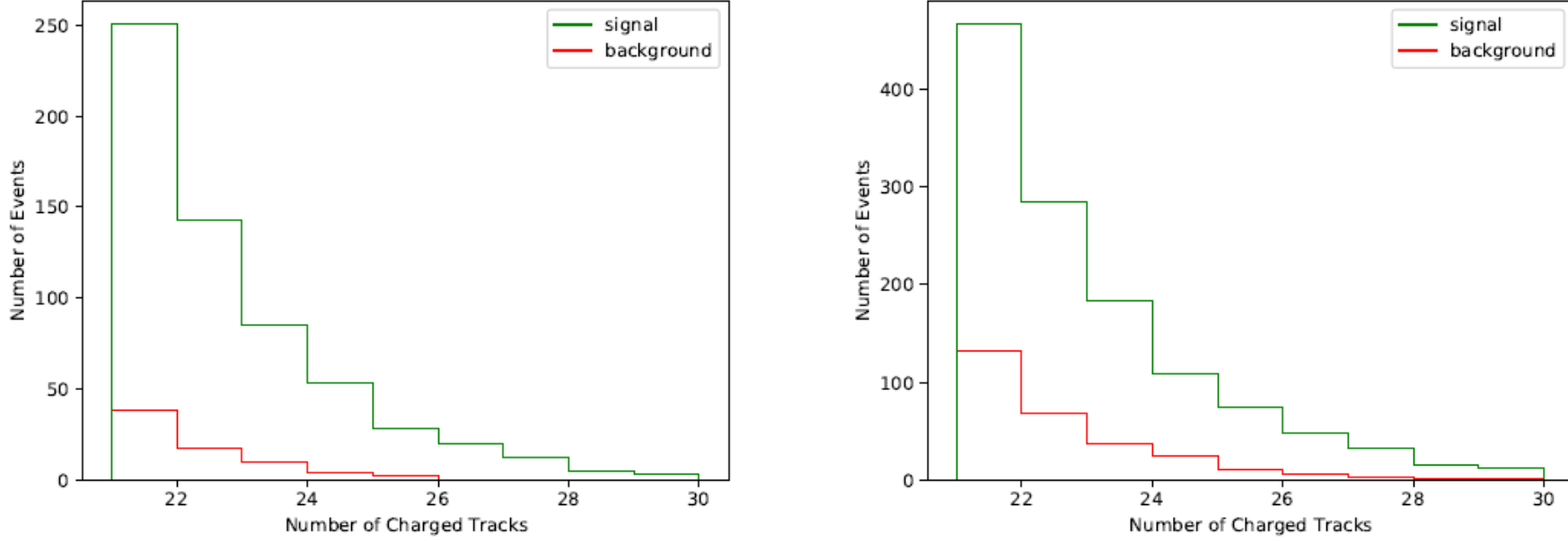
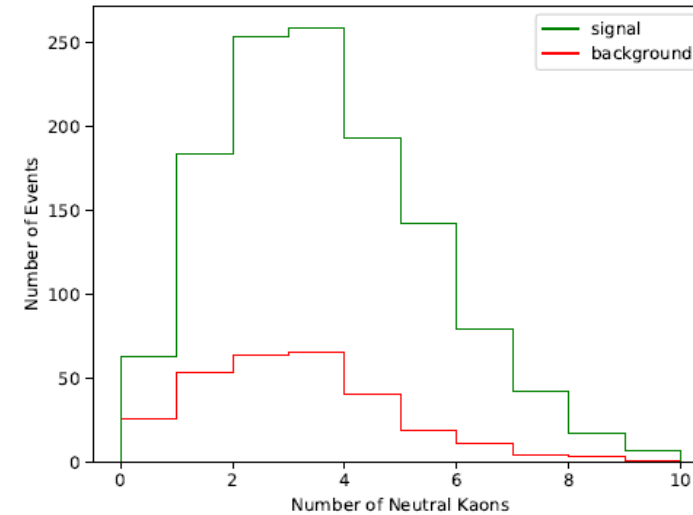
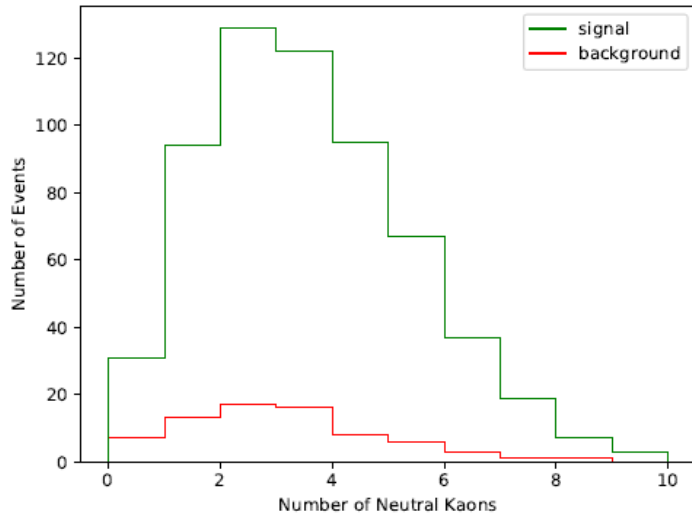


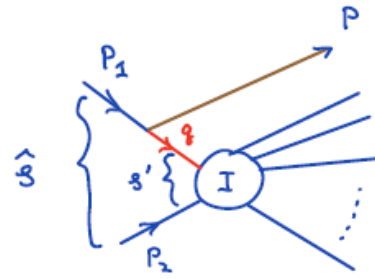
Figure 3: Multiplicity distribution of charged hadrons produced in the events with the instanton (green) in comparison with the expected background (red). The number of events is normalised to the integrated luminosity $L = 1 \text{ pb}^{-1}$ and $\Delta \ln(x_{Pom}) = 1$ interval. We required events to have $\sum_i E_{T,i} > 15 \text{ GeV}$ and $N_{ch} > 20$, summing only over charged particles in the region $0 < \eta < 2$ with $p_T > 0.5 \text{ GeV}$, with an additional constraint that there is no charged particle in this region with $p_T > 2 \text{ GeV}$ (left figure), or no charged particle in the region $-2 < \eta < 2$ with $p_T > 2.5 \text{ GeV}$ (right figure).



- It is shown that by imposing appropriate cuts on final states we can select the kinematical region where the I-signal exceeds BG by a factor of at least 2.5. At $\sum_i E_{T,i} > 15$ GeV, $N_{ch} > 20$ measured within the $0 < \eta < 2$ the rate is expected to be large enough to measure Instanton production in the events with LRG at low luminosity

- Even with these rather strong cuts in place, the expected instanton cross-section remains sufficiently large (~ 1 nb) to effectively produce and probe QCD instantons at the LHC, at low luminosity runs, avoiding pile-up problems.

HOWEVER: If the instanton is recoiled by a high p_T jet emitted from one of the initial state gluons \Rightarrow hadronic cross-section is tiny



$$Q^2 = -q^2 = \sqrt{\hat{s}} p_T$$

$$s' = (q+p_2)^2 = \hat{s} - 2Q^2$$

A virtual log

$$\Rightarrow e^{-Qq} \leftarrow \text{formfactor.}$$

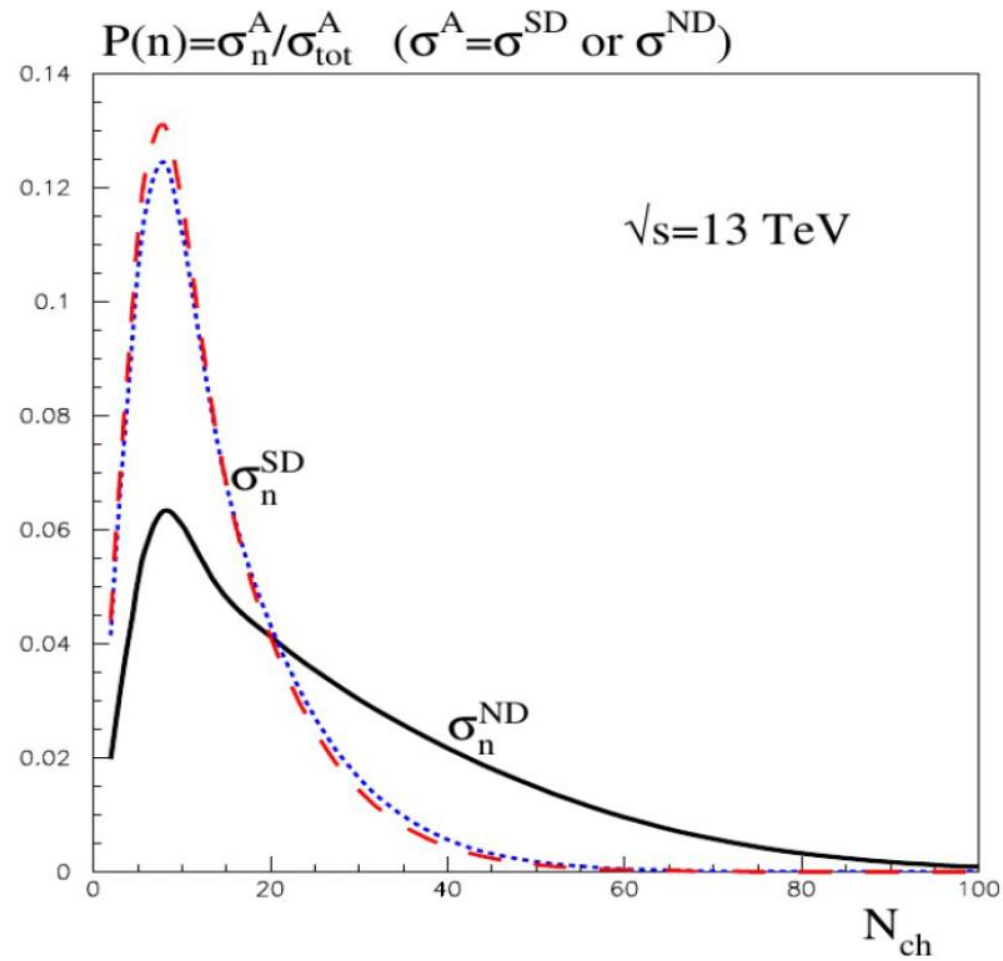
↑ cuts-off
low-energy range.

Mueller corr.s
cuts-off high-energy
range (as before.)

$$\exp(-Q(\rho + \bar{\rho})) = \exp\left(-\frac{Q}{E} \sqrt{y(x+1/x+2)}\right)$$

$\sqrt{\hat{s}}$ [GeV]	310	350	375	400	450	500
$\hat{\sigma}_{\text{tot}}^{\text{inst}}$ [pb]	3.42×10^{-23}	1.35×10^{-18}	1.06×10^{-17}	1.13×10^{-16}	9.23×10^{-16}	3.10×10^{-15}

Table 3. The instanton partonic cross-section recoiled against a hard jet with $p_T = 150$ GeV emitted from an initial state and calculated using Eq. (3.7). Results for the cross-section are shown for a range of partonic C.o.M. energies $\sqrt{\hat{s}}$.



; the instanton of mass 30 GeV produces about 17 jets (9 gluons plus 4 light $\bar{q}q$ pairs). The energy of each jet $E_{T_i} \sim 1/\rho \sim 2$ GeV. After hadronization in such an event we expect about 40-60 particles. The large multiplicity can be used as the main (or additional) trigger to select the events of interest.