# LONGITUDINAL DYNAMICS



#### Frank Tecker CERN, ATS-DO





#### Accelerator Technologies course 21-22 April 2022

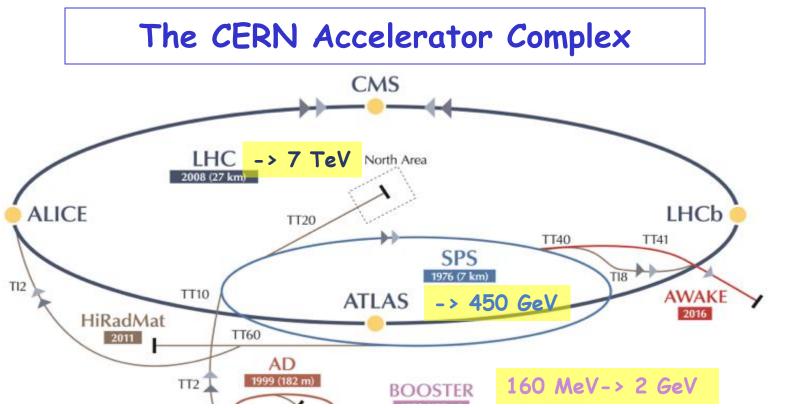
# Scope and Summary of the lectures:

The goal of an accelerator is to provide a stable particle beam.

The particles nevertheless perform transverse betatron oscillations. We will see that they also perform oscillations in the longitudinal plane and in energy.

We will look at the stability of these oscillations, and their dynamics.

- Acceleration methods
- Accelerating structures
- Linac: Phase Stability + Energy-Phase oscillations
- Circular accelerators: Cyclotron / Synchrotron
- Stability in a Synchrotron
- Longitudinal Phase Space Motion
- Bunch and Bucket
- Injection Matching + Filamentation
- RF manipulations in the PS



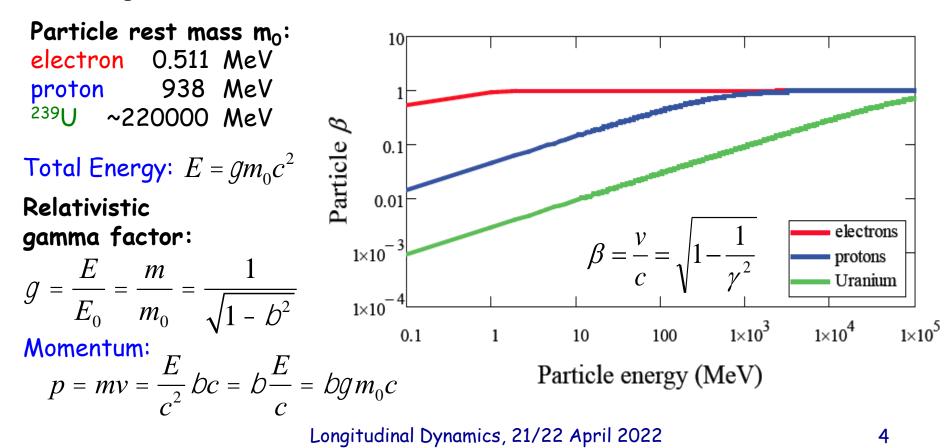
- Linear accelerators scale in size and cost(!) ~linearly with the energy.
- Circular accelerators can each turn reuse
  - the accelerating system
  - the vacuum chamber
  - the bending/focusing magnets
  - beam instrumentation, ...

-> economic solution to reach higher particle energies But each accelerator has a limited energy range.

# Particle types and acceleration

The accelerating system will depend upon the evolution of the particle velocity:

- electrons reach a constant velocity (~speed of light) at relatively low energy
- heavy particles reach a constant velocity only at very high energy
  - -> we need different types of resonators, optimized for different velocities
  - -> the **revolution frequency will vary**, so the **RF frequency** will be **changing**
  - -> magnetic field needs to follow the momentum increase



# **Revolution frequency variation**

The revolution and RF frequency will be changing during acceleration Much more important for lower energies (values are kinetic energy - protons).

<b>PS Booster:</b> (pre LS2) (post LS2):	50 MeV (β= 0.314) -> 1.4 GeV (β=0.915) 602 kHz -> 1746 kHz => <b>190% frequency increase</b> 160 MeV (β= 0.520) -> 2 GeV (β=0.948) => <b>95% increase</b>
PS:	1.4 GeV (β=0.915) -> 25.4 GeV (β =0.9994)
	437 KHz -> 477 kHz => <b>9% increase</b>
(post LS2):	2 GeV (β=0.948) -> 25.4 GeV (β =0.9994) => <b>5% increase</b>
SPS:	25.4 GeV -> 450 GeV (β=0.999998)
	=> 0.06% frequency increase
LHC:	450 GeV -> 7 TeV (β= 0.999999999) => only <b>2 10<sup>-6</sup> increase</b>

RF system needs more flexibility in lower energy accelerators.

#### Acceleration: May the force be with you

To accelerate, we need a force in the direction of motion!

a charged particle with charge e (electron, proton)  $\vec{F} = \frac{d\vec{p}}{dt} = e\left(\vec{E} + \vec{V}\vec{B}\right)$   $2^{nd}$  term always perpendicular to motion => no acceleration

Hence, it is necessary to have an electric field E (preferably) along the direction of the initial momentum (z), which changes the momentum of the particle.

The 2<sup>nd</sup> term - larger at high velocities - is used for:

- BENDING: generated by a magnetic field perpendicular to the plane of the particle trajectory. The bending radius  $\rho$  obeys to the relation :

$$\frac{p}{e} = B\rho \qquad \text{in practical units:} \quad B \land [\text{Tm}] \gg \frac{p [\text{GeV/c}]}{0.3}$$

- FOCUSING: the bending effect is used to bring the particles trajectory closer to the axis, hence to increase the beam density.





 $\frac{dp}{dt} = eE_z$ 

# **Energy Gain**

The acceleration increases the **momentum**, providing **kinetic energy** to the charged particles.

In relativistic dynamics, total energy E and momentum p are linked by

$$E^{2} = E_{0}^{2} + p^{2}c^{2} \qquad (E = E_{0} + W) \qquad W \text{ kinetic energy} \\ E_{0} \text{ rest energy}$$

Hence: dE = vdp  $(2EdE = 2c^2pdp \Leftrightarrow dE = c^2mv/Edp = vdp)$ 

The rate of energy gain per unit length of acceleration (along z) is then:

$$\frac{dE}{dz} = v\frac{dp}{dz} = \frac{dp}{dt} = eE_z$$

and the kinetic energy gained from the field along the z path is:

$$dW = dE = eE_z dz \quad \rightarrow \quad W = e \grave{0} E_z dz = eV$$

where V is just a potential.

# Unit of Energy

Today's accelerators and future projects work/aim at the TeV energy range.

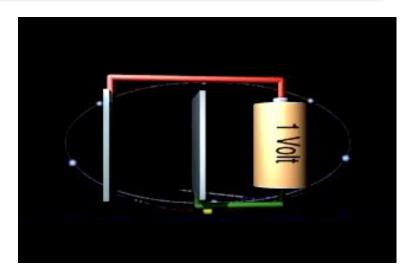
LHC: 7 TeV -> 14 TeV CLIC: 3 TeV FCC: ~100 TeV

In fact, this energy unit comes from acceleration:

1 eV (electron Volt) is the energy that 1 elementary charge e (like one electron or proton) gains when it is accelerated in a potential (voltage) difference of 1 Volt.

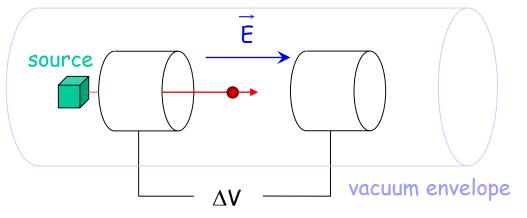
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Basic Unit: eV (electron Volt)
keV = 1000 \text{ eV} = 10^3 \text{ eV}
MeV = 10^6 \text{ eV}
GeV = 10^9 \text{ eV}
TeV = 10^{12} \text{ eV}
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LHC = ~450 Million km of batteries!!! 3x distance Earth-Sun



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#### **Electrostatic Acceleration**



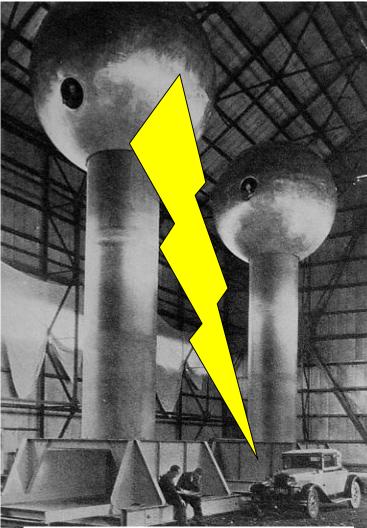
#### Electrostatic Field:

Force: 
$$\vec{F} = \frac{\mathrm{d}\vec{p}}{\mathrm{dt}} = q \ \vec{E}$$

Energy gain:  $W = q \Delta V$ 

used for first stage of acceleration: particle sources, electron guns, x-ray tubes

Limitation: insulation problems maximum high voltage (~ 10 MV)



Van-de-Graaf generator at MIT

# Radio-Frequency (RF) Acceleration



Electrostatic acceleration limited by insulation possibilities => use time-varying fields

1924: Ising suggests drift-tubes with time-varying fields

1928: Widerøe builds first demonstration linac



R.Widerøe

Prinzip einer Methode zur Herstellung von

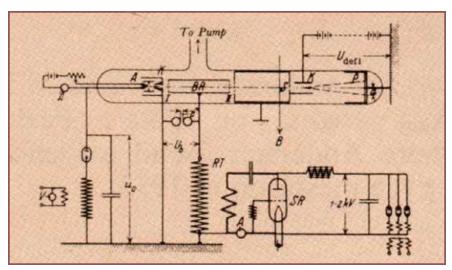
Kanalstrahlen hoher Voltzahl.

Von

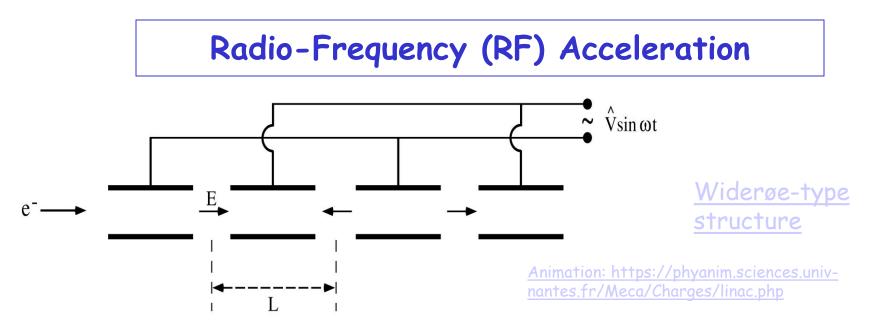
GUSTAF ISING.

Mit 2 Figuren im Texte.

Mitgeteilt am 12. März 1924 durch C. W. OSERN und M. SIRGBAEN. K To Pumpz R R R Ground



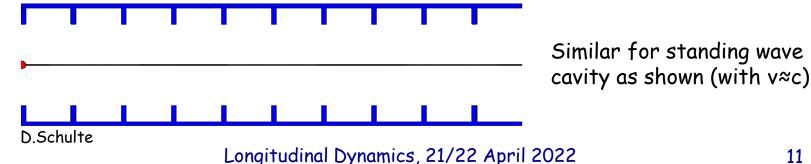
P.Lebrun



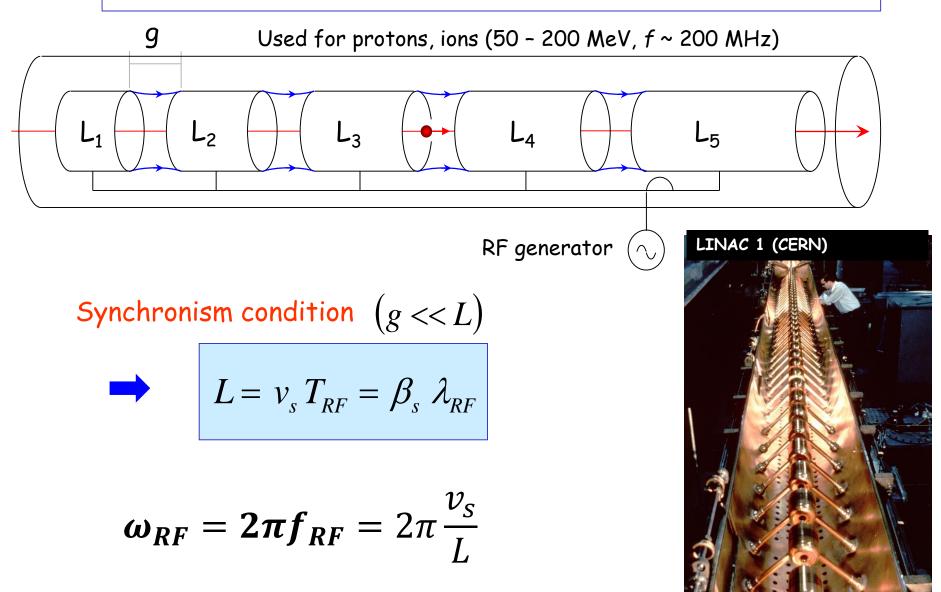
Cylindrical electrodes (drift tubes) separated by gaps and fed by a RF generator, as shown above, lead to an alternating electric field polarity

L = v T/2Synchronism condition v = particle velocity T = RF period

#### Consequence: We can only accelerate bunched beam!

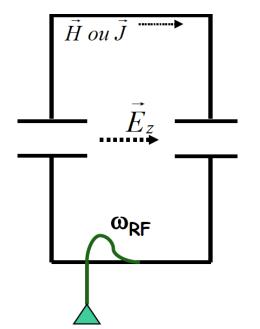


# **RF** acceleration: Alvarez Structure



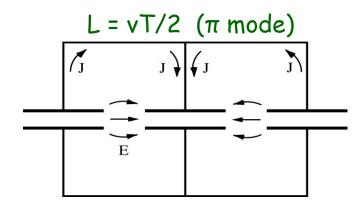
# **Resonant RF Cavities**

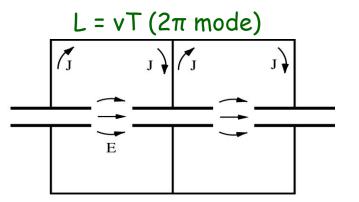
- Considering RF acceleration, it is obvious that when particles get high velocities the drift spaces get longer and one looses on the efficiency.
   The solution consists of using a higher operating frequency.
- The power lost by radiation, due to circulating currents on the electrodes, is proportional to the RF frequency.
  - => The solution consists of enclosing the system in a cavity which resonant frequency matches the RF generator frequency.

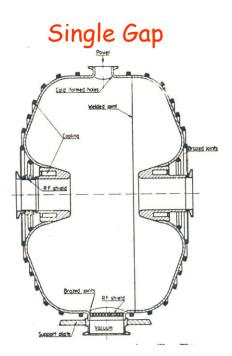


- The electromagnetic power is now constrained in the resonant volume
- Each such cavity can be independently powered from the RF generator
- Note however that joule losses will occur in the cavity walls (unless made of superconducting materials)

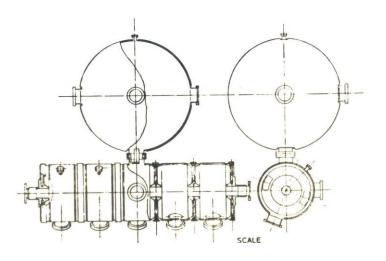
#### Some RF Cavity Examples







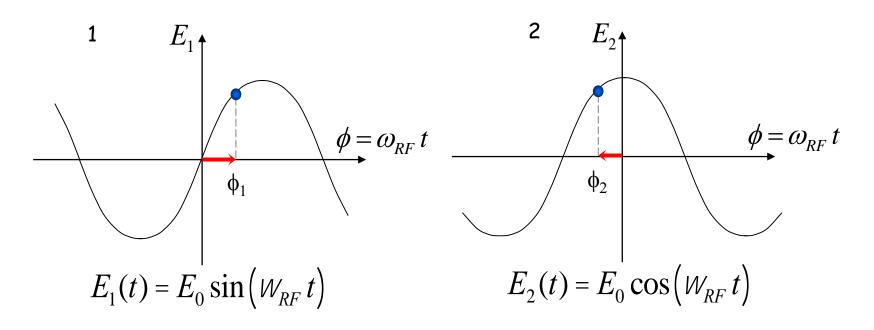
Multi-Gap



#### **Common Phase Conventions**

- 1. For circular accelerators, the origin of time is taken at the zero crossing of the RF voltage with positive slope
- 2. For linear accelerators, the origin of time is taken at the positive crest of the RF voltage

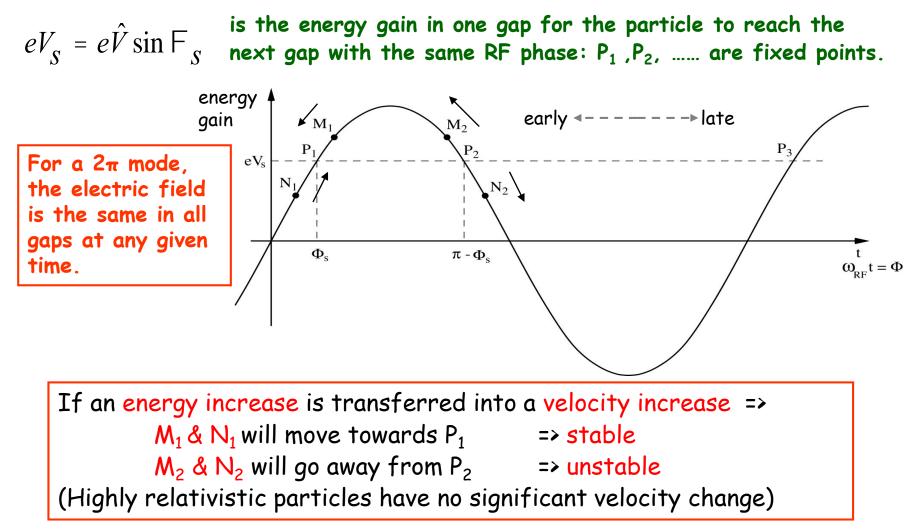
Time t= 0 chosen such that:



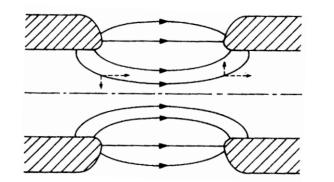
3. I will stick to convention 1 in the following to avoid confusion

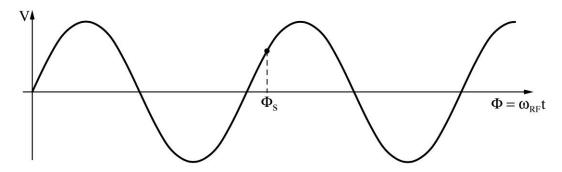
## Principle of Phase Stability (Linac)

Let's consider a succession of accelerating gaps, operating in the  $2\pi$  mode, for which the synchronism condition is fulfilled for a phase  $\Phi_s$ .



#### A Consequence of Phase Stability





The divergence of the field is zero according to Maxwell :

 $\nabla \vec{E} = 0 \implies \frac{\partial E_x}{\partial x} + \frac{\partial E_z}{\partial z} = 0 \implies \frac{\partial E_x}{\partial x} = -\frac{\partial E_z}{\partial z}$ 

Transverse fields

- focusing at the entrance and
- defocusing at the exit of the cavity.

Electrostatic case: Energy gain inside the cavity leads to focusing RF case: Field increases during passage => transverse defocusing!

External focusing (solenoid, quadrupole) is then necessary

## Energy-phase Oscillations (Small Amplitude) (1)

- Rate of energy gain for the synchronous particle:

$$\frac{dE_s}{dz} = \frac{dp_s}{dt} = eE_0 \sin f_s$$

- Use reduced variables with respect to synchronous particle

$$w = W - W_s = E - E_s \qquad \qquad \varphi = \phi - \phi_s$$

Energy gain

$$\frac{dw}{dz} = eE_0[\sin(\phi_s + \varphi) - \sin\phi_s] \approx eE_0\cos\phi_s.\varphi \quad (small \ \varphi)$$

- Rate of phase change with respect to the synchronous one:

$$\frac{d\varphi}{dz} = \omega_{RF} \left( \frac{dt}{dz} - \left( \frac{dt}{dz} \right)_s \right) = \omega_{RF} \left( \frac{1}{v} - \frac{1}{v_s} \right) \cong -\frac{\omega_{RF}}{v_s^2} \left( v - v_s \right)$$

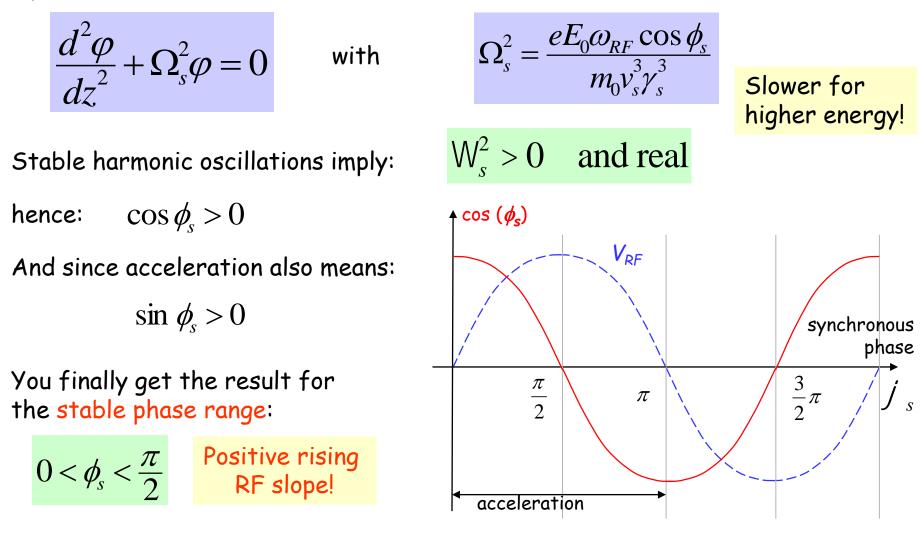
Leads finally to:

$$\frac{d\varphi}{dz} = -\frac{\omega_{RF}}{m_0 v_s^3 \gamma_s^3} W$$

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# Energy-phase Oscillations (Small Amplitude) (2)

Combining the two 1<sup>st</sup> order equations into a 2<sup>nd</sup> order equation gives the equation of a harmonic oscillator:



#### Summary up to here...

- Acceleration by electric fields, static fields limited
   time-varying fields
- Synchronous condition needs to be fulfilled for acceleration
- Particles perform oscillation around synchronous phase
- Stable acceleration on the rising slope in a linac.

- Electrons are quickly relativistic, speed does not change
- Protons and ions need changing structure geometry and certain RF frequency range

#### **Circular accelerators**

Betatron Cyclotron Synchrotron

# Methods of Acceleration in circular accelerators

Electrostatic field limited by insulation, magnetic field doesn't accelerate at all. Circular machine: DC acceleration impossible since  $\oint \vec{E} \cdot d\vec{s} = 0$ Vacuum Insulator chamber (ceramic) First attracted Acceleration Then or an an racted Deceleration Voltage source no Acceleration +

The electric field is derived from a scalar potential  $\phi$  and a vector potential A The time variation of the magnetic field H generates an electric field E

The solution: => time varying electric fields

- Induction
- RF frequency fields

$$\oint \vec{E} \cdot d\vec{s} = -\iint \frac{\partial \vec{B}}{\partial t} \cdot d\vec{A}$$

# Acceleration by Induction: The Betatron

#### A ramping magnetic field

- Guides particles on a circular trajectory and
- Creates a tangential electric field that accelerates the particles

B(t)

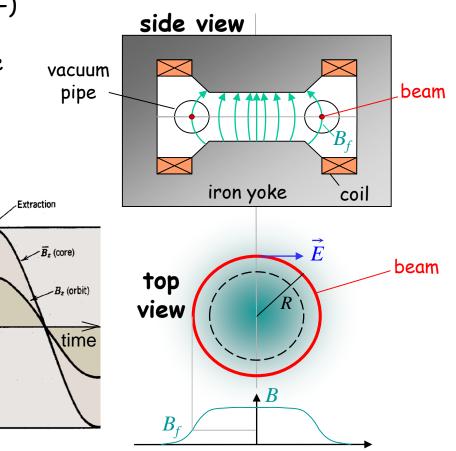
Injection

Limited by saturation in iron (~300 MeV e-)

Used in industry and medicine, as they are compact accelerators for electrons



Donald Kerst with the first betatron, invented at the University of Illinois in 1940

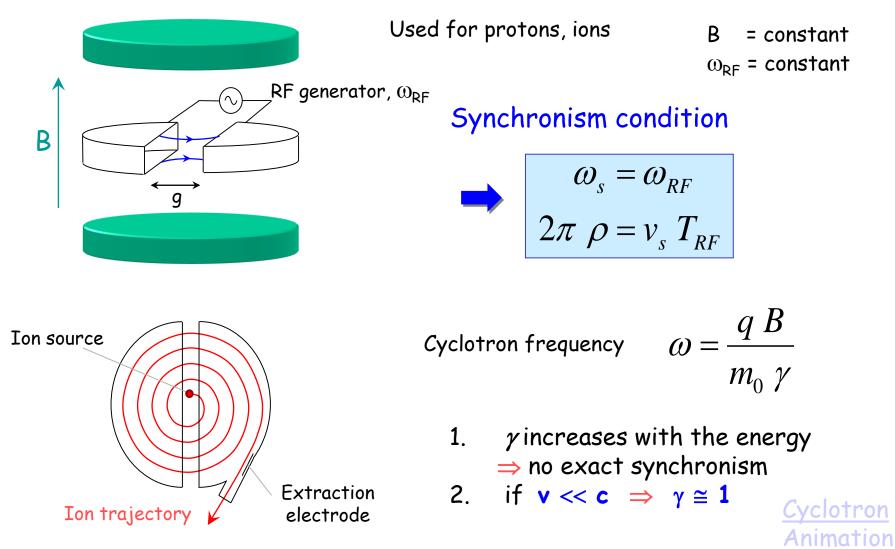


#### Circular accelerators: Cyclotron



Courtesy: EdukiteLearning, https://youtu.be/cNnNM2ZqIsc

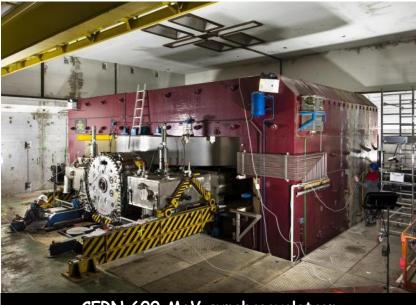
## Circular accelerators: Cyclotron



Animation: https://phyanim.sciences.univ-nantes.fr/Meca/Charges/cyclotron.php

# Cyclotron / Synchrocyclotron





CERN 600 MeV synchrocyclotron

Synchrocyclotron: Same as cyclotron, except a modulation of  $\omega_{\text{RF}}$ 

B = constant  $\gamma \omega_{\text{RF}}$  = constant  $\omega_{\text{RF}}$  decreases with time The condition:  $\omega_s(t) = \omega_{RF}(t) = \frac{q B}{m_0 \gamma(t)}$  Along

Allows to go beyond the non-relativistic energies

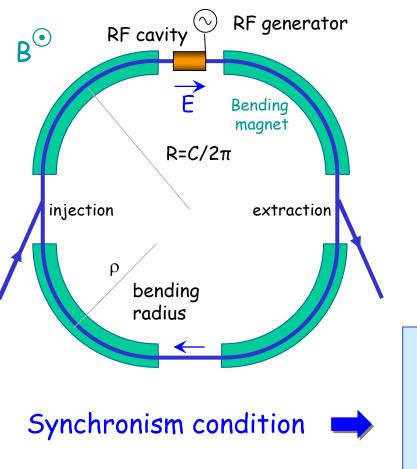
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#### Circular accelerators: Cyclotron



Courtesy Berkeley Lab, https://www.youtube.com/watch?v=cutKuFxeXmQ Longitudinal Dynamics, 21/22 April 2022

# Circular accelerators: The Synchrotron



- 1. Constant orbit during acceleration
- 2. To keep particles on the closed orbit, B should increase with time
- 3.  $\omega$  and  $\omega_{RF}$  increase with energy
- RF frequency can be multiple of revolution frequency

$$\omega_{RF} = h\omega$$

$$T_{s} = h T_{RF}$$
$$\frac{2\pi R}{v_{s}} = h T_{RF}$$

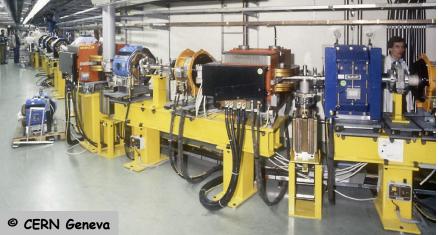
h integer, harmonic number: number of RF cycles per revolution

*h* is the maximum number of bunches in the synchrotron. Normally less bunches due to gaps for kickers, collision constraints,...

#### Circular accelerators: The Synchrotron



EPA (CERN) Electron Positron Accumulator



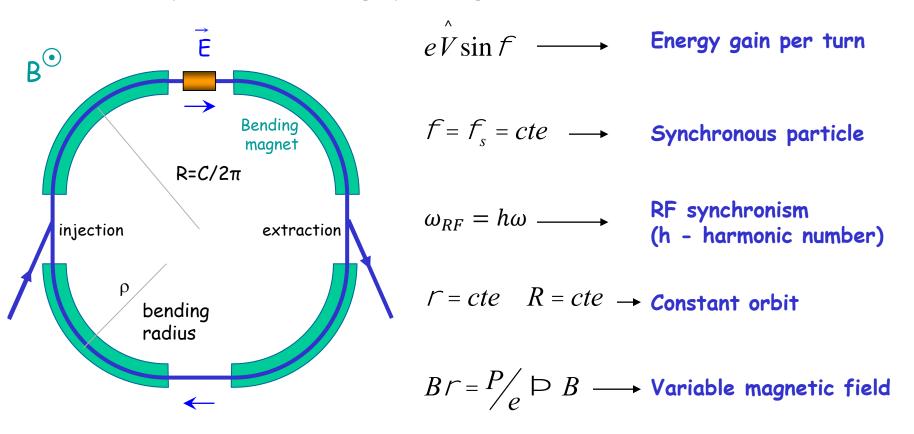
Examples of different proton and electron synchrotrons at CERN

+ LHC (of course!)

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# The Synchrotron

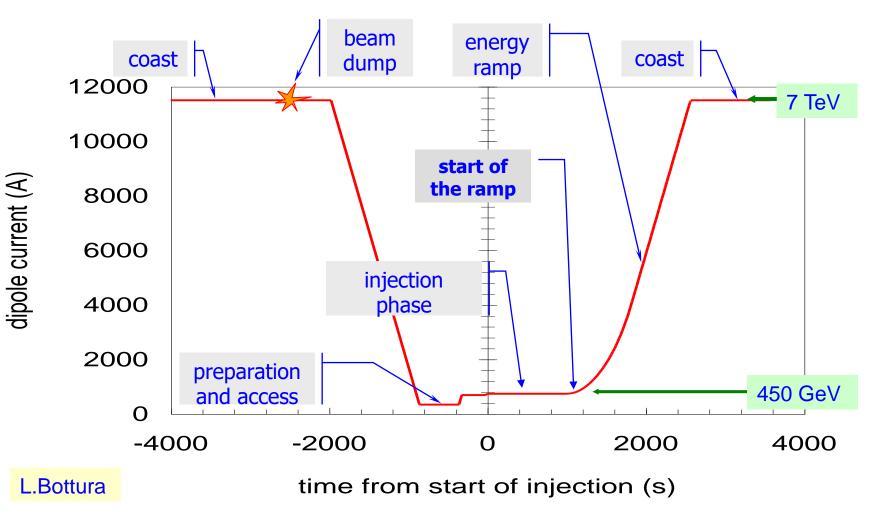
The synchrotron is a synchronous accelerator since there is a synchronous RF phase for which the energy gain fits the increase of the magnetic field at each turn. That implies the following operating conditions:



If v $\approx$ c,  $\omega$  hence  $\omega_{RF}$  remain constant (ultra-relativistic e<sup>-</sup>)

# The Synchrotron - LHC Operation Cycle

The magnetic field (dipole current) is increased during the acceleration.



# The Synchrotron - Energy ramping

Energy ramping by increasing the B field (frequency has to follow v):

$$p = eB\Gamma \underset{\rho \text{ const.}}{\Rightarrow} \frac{dp}{dt} = e\Gamma\dot{B} \implies (Dp)_{turn} = e\Gamma\dot{B}T_{r} = \frac{2\rho e\Gamma R\dot{B}}{v}$$

With  $E^2 = E_0^2 + p^2 c^2 \implies DE = vDp \quad (DE)_{turn} = (DW)_s = 2\rho e r R\dot{B} = e \hat{V} \sin f_s$ 

Synchronous phase  $\varphi_s$  changes during energy ramping

$$\sin \phi_s = 2\pi \rho R \frac{\dot{B}}{\dot{V}_{RF}} \quad \Longrightarrow$$

$$\phi_{s} = \arcsin\left(2\pi\rho R \ \frac{\dot{B}}{\hat{V}_{RF}}\right)$$

• The synchronous phase depends on

- the change of the magnetic field
- and the RF voltage

 $V_{RF}$   $\phi_{s}$   $\phi = \omega_{RF} t$ 

#### The Synchrotron - Frequency change

During the energy ramping, the RF frequency increases to follow the increase of the revolution frequency :

$$\omega = \frac{\omega_{RF}}{h} = \omega(B, R_s)$$

Hence: 
$$\frac{f_{RF}(t)}{h} = \frac{v(t)}{2\rho R_s} = \frac{1}{2\rho} \frac{ec^2}{E_s(t)} \frac{r}{R_s} B(t) \qquad \text{(using } p(t) = eB(t)r, \quad E = mc^2 \text{)}$$

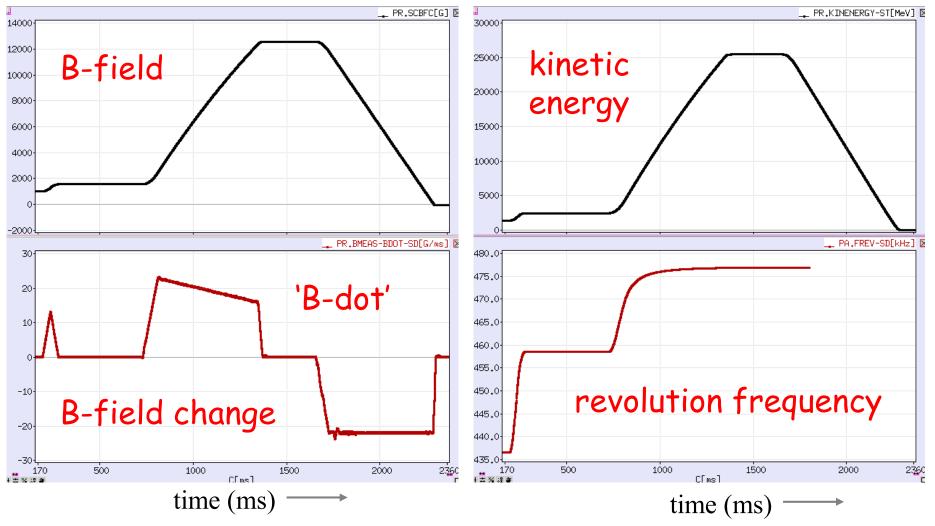
Since  $E^2 = (m_0 c^2)^2 + p^2 c^2$  the RF frequency must follow the variation of the B field with the law

$$\frac{f_{RF}(t)}{h} = \frac{c}{2\rho R_s} \int_{1}^{1} \frac{B(t)^2}{(m_0 c^2 / ec \Gamma)^2 + B(t)^2} \frac{\ddot{U}^{1/2}}{\dot{p}}$$

RF frequency program during acceleration determined by B-field !

# Example: PS - Field / Frequency change

During the energy ramping, the B-field and the revolution frequency increase



## Overtaking in a roundabout

Finally a real-life problem: what is the fastest way through a roundabout?

Most CERN people encounter this near the French entrance to CERN.



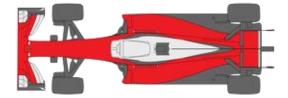
#### Optimize the roundabout!

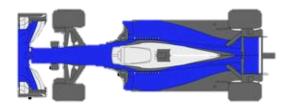


The magic roundabout in Swindon, UK! Video: <u>https://www.youtube.com/watch?v=60Gvj7GZSIo</u>

## Overtaking in a Formula 1 Race

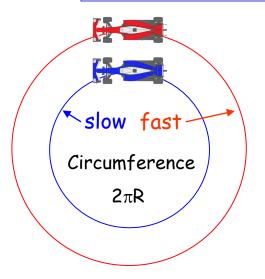






### Overtaking in a Formula 1 Race

### Overtaking in a Formula 1 Race



v=speed of the car R=track physical radius T=revolution period f<sub>r</sub>=revolution frequency A F1 car wants to overtake another car! It will have a

- a different track length due to a 'dispersion orbit'
- and a different velocity.

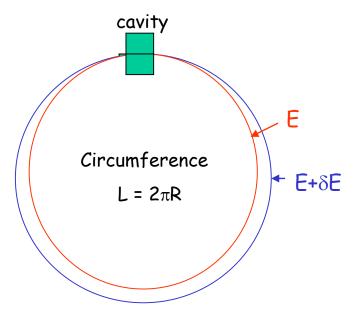
$$T=rac{L}{v}=rac{2\pi R}{v}$$
 and  $f_r=rac{1}{T}=rac{v}{2\pi R}$ 

$$=> \frac{\Delta T}{T} = \frac{\Delta R}{R} - \frac{\Delta v}{v}$$

The winner depends on the relative change in speed compared to the relative change in track length!

If the relative change in speed is larger than the relative change in track length => the red car will win!

### Overtaking in a Synchrotron



A particle slightly shifted in momentum will have a

- dispersion orbit and a different orbit length
- a different velocity.

As a result of both effects the revolution period T changes with a "slip factor"  $\eta$ :

 $\eta = \frac{dT/T}{dp/p}$ 

Note: you also find n defined with a minus sign!

p=particle momentum

R=synchrotron physical radius

T=revolution period

The "momentum compaction factor" is defined as relative orbit length change with momentum:

$$\alpha_c = \frac{dL/L}{dp/p} \qquad \alpha_c = \frac{p}{L}\frac{dL}{dp}$$

#### **Momentum Compaction Factor**

$$\alpha_{c} = \frac{p}{L} \frac{dL}{dp} \qquad \qquad ds_{0} = r dQ \\ ds = (r + x) dQ$$

#### The elementary path difference

from the two orbits is: definition of dispersion  $D_x$ 

$$\frac{dl}{ds_0} = \frac{ds - ds_0}{ds_0} = \frac{x}{r} \stackrel{\downarrow}{=} \frac{D_x}{r} \frac{dp}{p}$$

leading to the total change in the circumference:

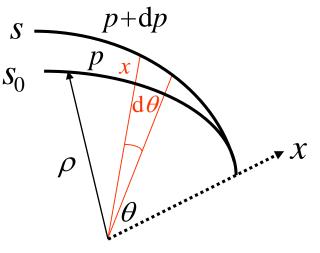
$$dL = \underset{C}{\circ} dl = \underset{C}{\circ} \frac{x}{r} ds_0 = \underset{C}{\circ} \frac{D_x}{r} \frac{dp}{p} ds_0$$

$$\alpha_{c} = \frac{1}{L} \int_{C} \frac{D_{x}(s)}{\rho(s)} ds_{0}$$
 With  $\rho = \infty$  in  
straight sections  $\alpha_{c}$  we get:

$$c_c = \frac{\langle D_x \rangle_m}{R}$$

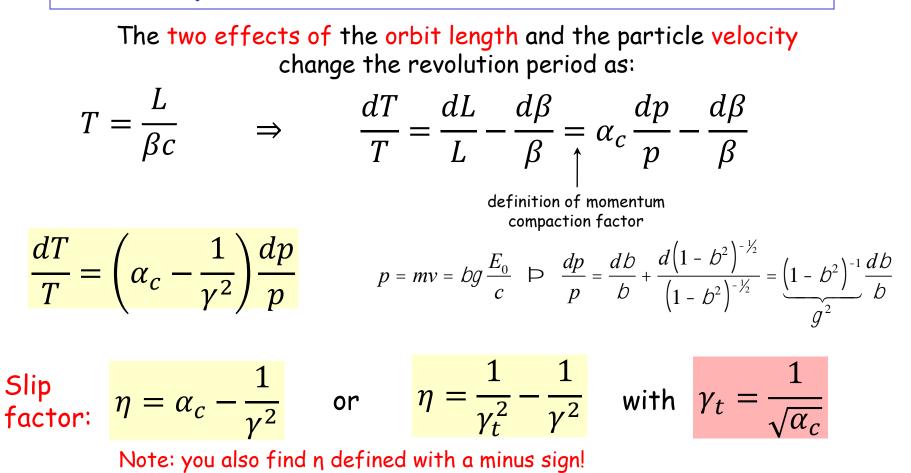
< >m means that
the average is
considered over
the bending
magnet only

Property of the transverse beam optics!



$$x = x_0 + D_x \frac{\Delta p}{p}$$

#### **Dispersion Effects - Revolution Period**

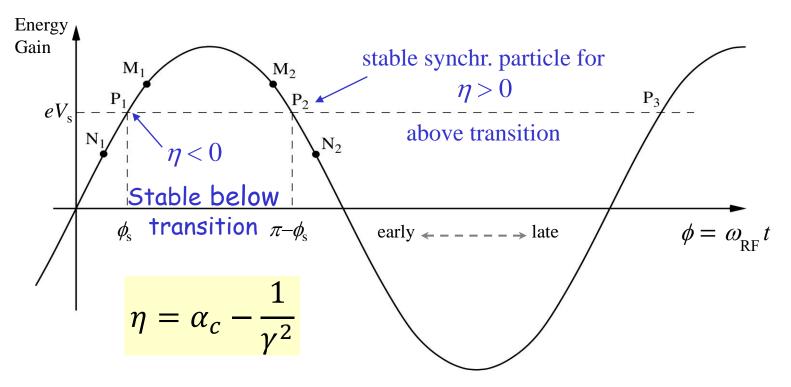


At transition energy,  $\eta = 0$ , the velocity change and the path length change with momentum compensate each other. So the revolution frequency there is independent from the momentum deviation.

### Phase Stability in a Synchrotron

From the definition of  $\eta\,$  it is clear that an increase in momentum gives

- below transition (η < 0) a higher revolution frequency (increase in velocity dominates) while
- above transition ( $\eta > 0$ ) a lower revolution frequency (v  $\approx$  c and longer path) where the momentum compaction (generally > 0) dominates.



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## **Crossing Transition**

At transition, the velocity change and the path length change with momentum compensate each other. So the revolution frequency there is independent from the momentum deviation.

Crossing transition during acceleration makes the previous stable synchronous phase unstable. The RF system needs to make a rapid change of the RF phase, a 'phase jump'.

f,

$$\alpha_c \sim \frac{1}{Q_x^2} \qquad \gamma_t = \frac{1}{\sqrt{\alpha_c}} \sim Q_x$$

In the PS:  $\gamma_{t}$  is at ~6 GeV In the SPS:  $\gamma_{t}$ = 22.8, injection at  $\gamma$ =27.7 => no transition crossing! In the LHC:  $\gamma_{t}$  is at ~55 GeV, also far below injection energy

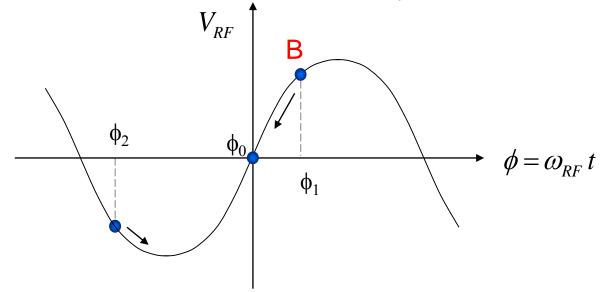
Transition crossing not needed in leptons machines, why? Longitudinal Dynamics, 21/22 April 2022

### Dynamics: Synchrotron oscillations

Simple case (no accel.): **B** = const., below transition  $\gamma < \gamma_t$ 

The phase of the synchronous particle must therefore be  $\phi_0 = 0$ .

- $\Phi_1$  The particle **B** is accelerated
  - Below transition, an energy increase means an increase in revolution frequency
  - The particle arrives earlier tends toward  $\phi_0$

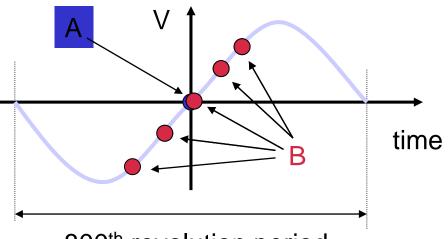


- The particle is decelerated

**\$**<sub>2</sub>

- decrease in energy decrease in revolution frequency
- The particle arrives later tends toward  $\phi_0$

#### Synchrotron oscillations



800<sup>th</sup> revolution period

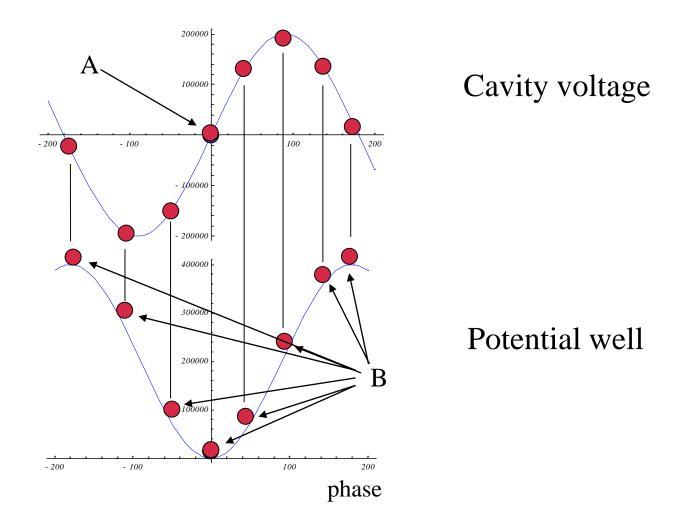
Particle B performs Synchrotron Oscillations around synchronous particle A.

The amplitude depends on the initial phase and energy.

The oscillation frequency is much slower than in the transverse plane. It takes a large number of revolutions for one complete oscillation. The restoring electric force is smaller than the magnetic force.

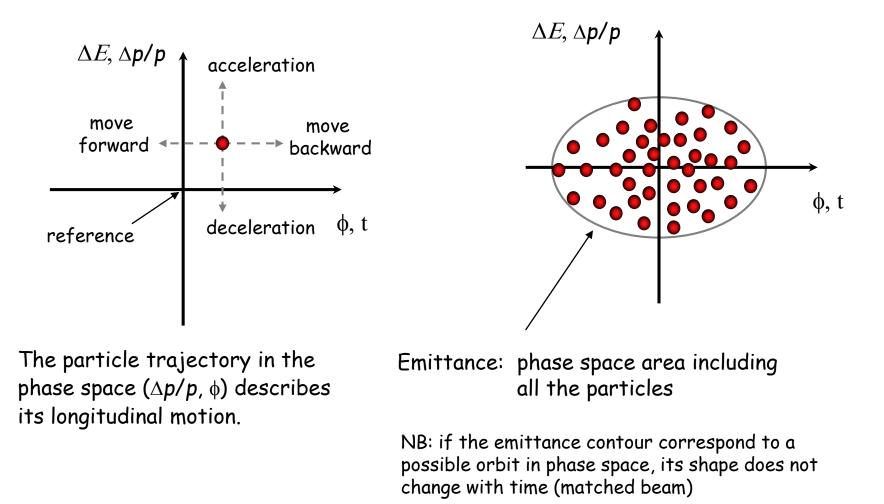
- proton synchrotrons of the order of 1000 turns
- electron storage rings of the order of ~10 turns

#### The Potential Well



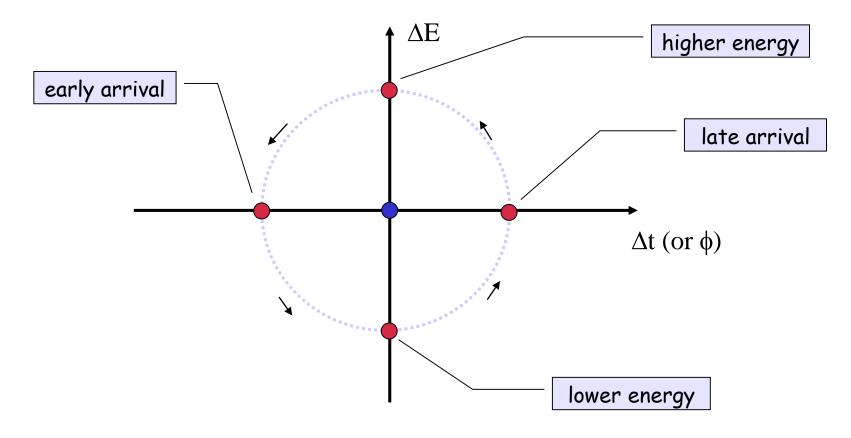
### Longitudinal phase space

The energy - phase oscillations can be drawn in phase space. Similar to transverse, but here it's TIME and ENERGY!



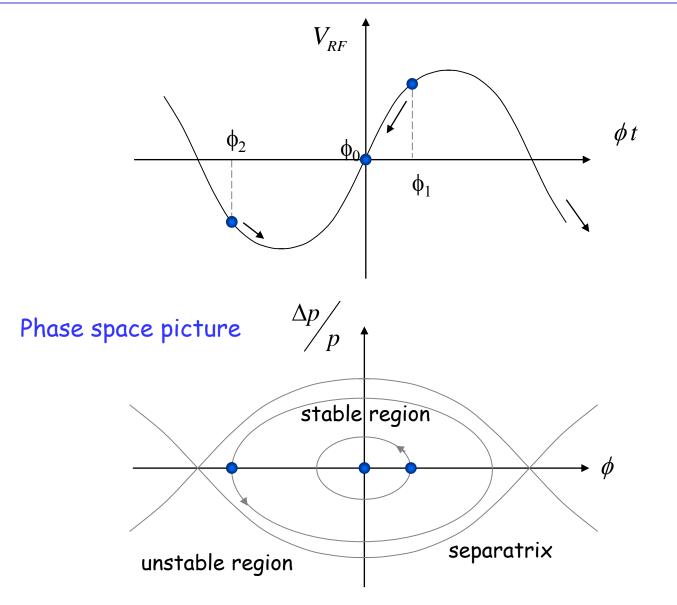
### Longitudinal Phase Space Motion

Particle B oscillates around particle A in a synchrotron oscillation. Plotting this motion in longitudinal phase space (time, energy) gives:



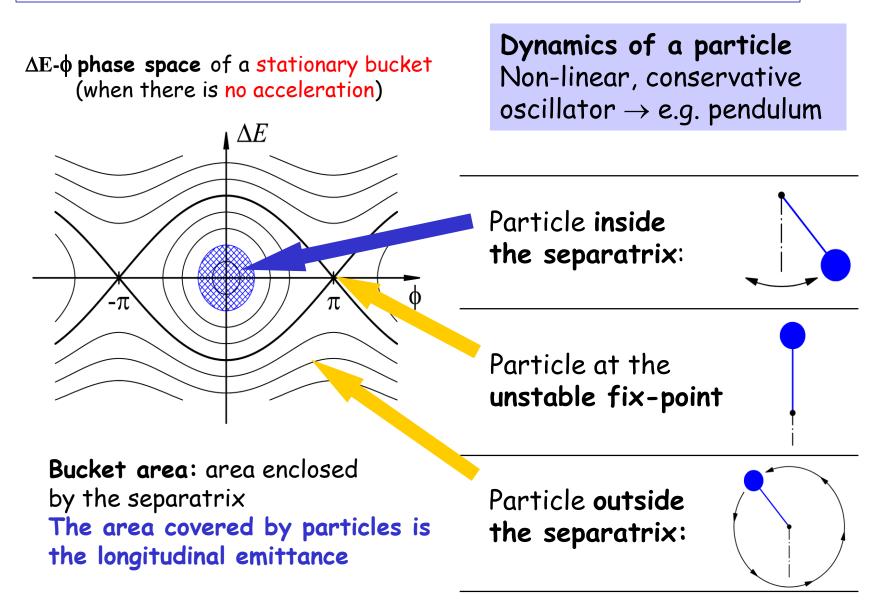
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#### Synchrotron oscillations - No acceleration



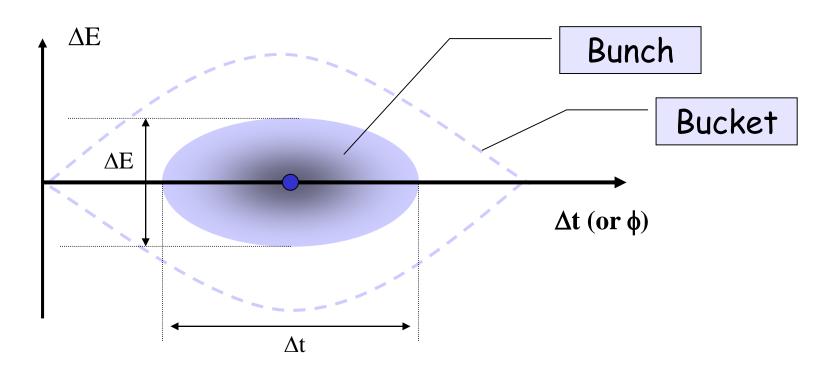
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### Synchrotron motion in phase space



### (Stationary) Bunch & Bucket

The bunches of the beam fill usually a part of the bucket area.

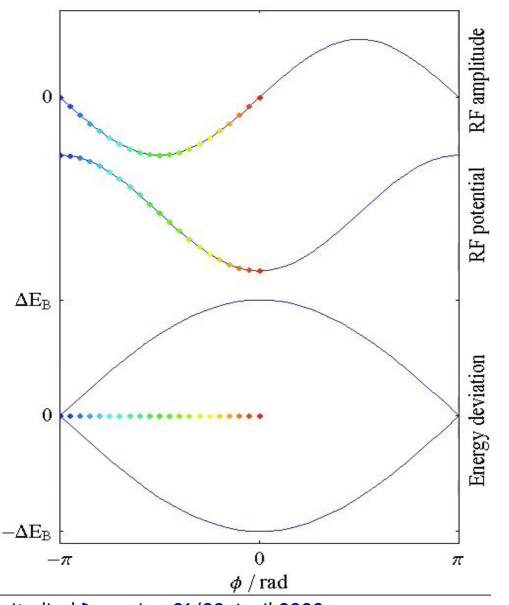


Bucket area = <u>longitudinal Acceptance</u> [eVs] Bunch area = <u>longitudinal beam emittance</u> =  $4\pi \sigma_E \sigma_t$  [eVs] Attention: Different definitions are used! Longitudinal Dynamics, 21/22 April 2022

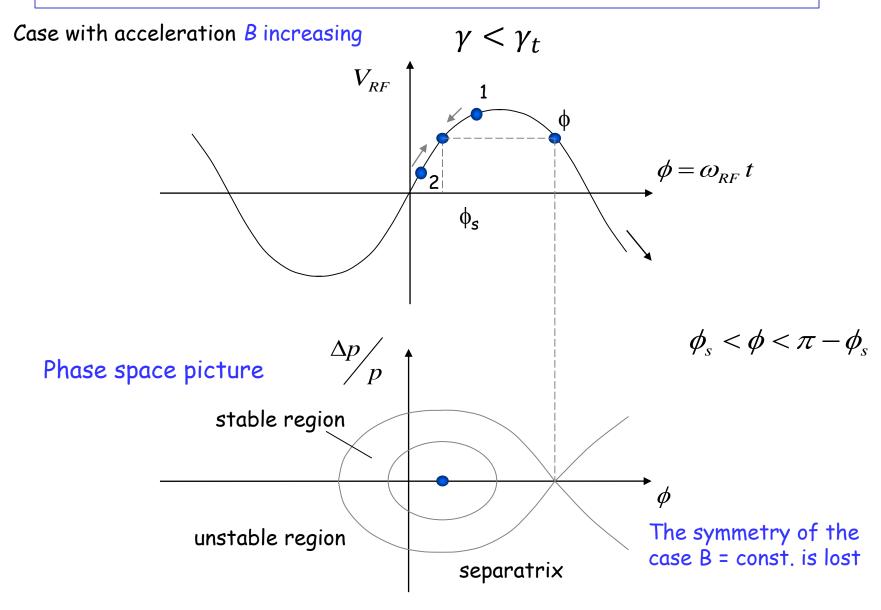
#### Synchrotron motion in phase space

The restoring force is non-linear. ⇒ speed of motion depends on position in phase-space

(here shown for a stationary bucket)

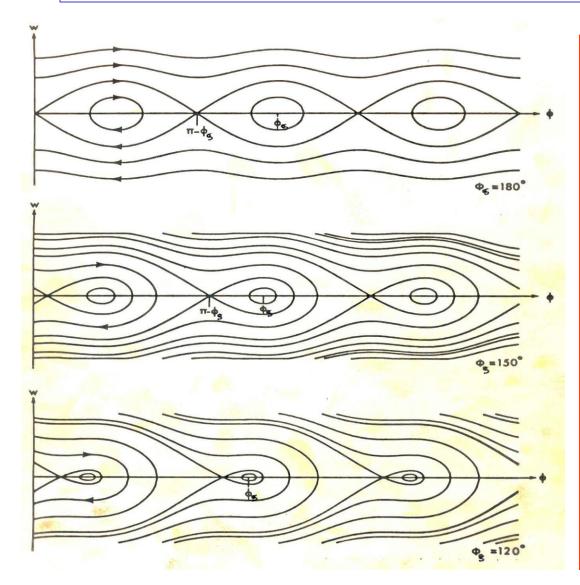


## Synchrotron oscillations (with acceleration)



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### **RF** Acceptance versus Synchronous Phase



The areas of stable motion (closed trajectories) are called "BUCKET". The number of circulating buckets is equal to "h".

The phase extension of the bucket is maximum for  $\phi_s = 180^\circ$  (or 0°) which means no acceleration.

During acceleration, the buckets get smaller, both in length and energy acceptance.

=> Injection preferably without acceleration.

# Longitudinal Motion with Synchrotron Radiation

Synchrotron radiation energy-loss energy dependant:

During one period of synchrotron oscillation:

- when the particle is in the upper half-plane, it loses more energy per turn, its energy gradually reduces  $e^{\otimes E} = \frac{U > U_0}{U}$ 

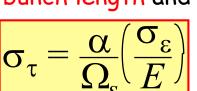
- when the particle is in the lower half-plane, it loses less energy per turn, but receives  $U_0$  on the average, so its energy deviation gradually reduces

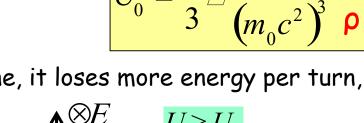
The phase space trajectory spirals towards the origin (limited by quantum excitations)

=> The synchrotron motion is damped toward an equilibrium bunch length and energy spread.

More details in the lectures on Electron Beam Dynamics

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 $U < U_0$ 

### Longitudinal Dynamics in Synchrotrons

Now we will look more quantitatively at the "synchrotron motion".

The RF acceleration process clearly emphasizes two coupled variables, the energy gained by the particle and the RF phase experienced by the same particle.

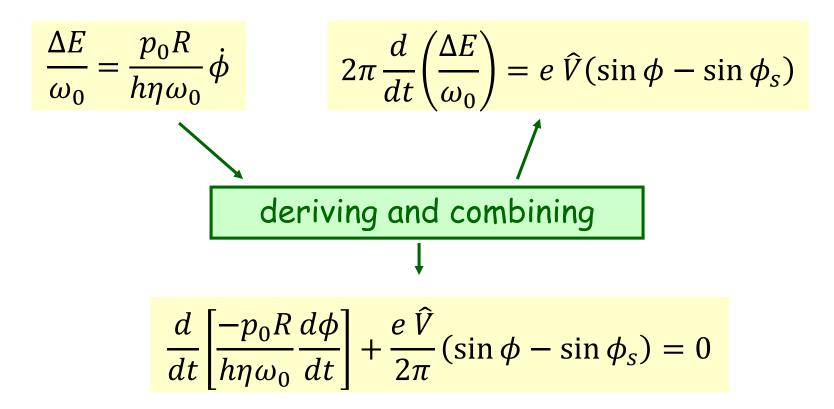
Since there is a well defined synchronous particle which has always the same phase  $\phi_s$ , and the nominal energy  $E_s$ , it is sufficient to follow other particles with respect to that particle.

So let's introduce the following reduced variables:

revolution frequency	· :	$\Delta f_r = f_r - f_{rs}$
particle RF phase	:	$\Delta \phi = \phi - \phi_s$
particle momentum	:	$\Delta p = p - p_s$
particle energy	:	$\Delta E = E - E_s$
azimuth angle	:	$\Delta \theta = \theta - \theta_{s}$

Look at difference from synchronous particle

## **Equations of Longitudinal Motion**



This second order equation is non linear. Moreover the parameters within the bracket are in general slowly varying with time.

We will study some cases in the following...

## Small Amplitude Oscillations

Let's assume constant parameters R,  $p_0$ ,  $\omega_0$  and  $\eta$ :

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s} (\sin\phi - \sin\phi_s) = 0 \quad \text{with} \quad \Omega_s^2 = \frac{-q\hat{V}_{RF}\eta h\omega_0}{2\pi Rp_0} \cos\phi_s$$

Consider now small phase deviations from the reference particle:  $\sin \phi - \sin \phi_s = \sin (\phi_s + \Delta \phi) - \sin \phi_s \cong \cos \phi_s \Delta \phi$  (for small  $\Delta \phi$ )

and the corresponding linearized motion reduces to a harmonic oscillation:

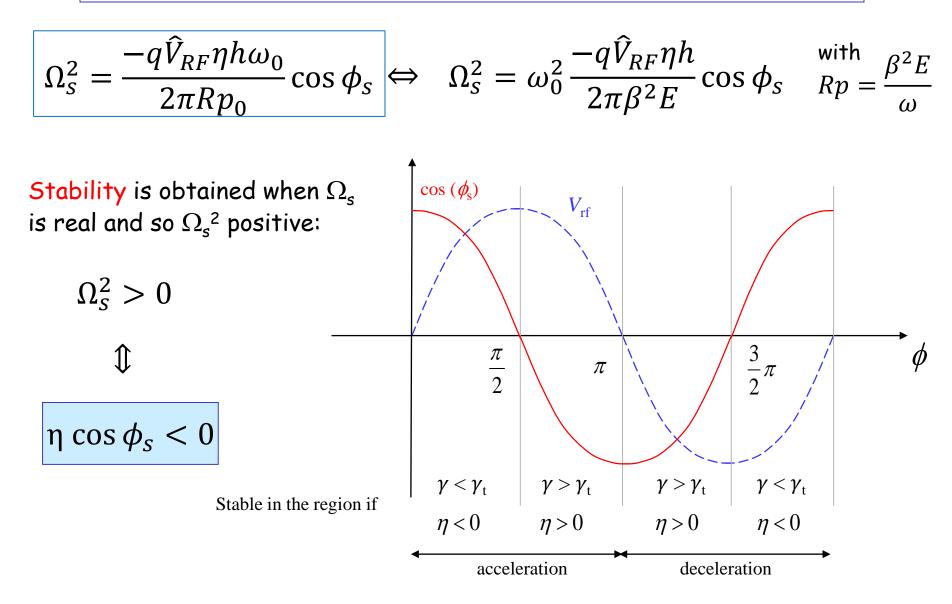
$$\dot{f} + W_s^2 D f = 0$$
 where  $\Omega_s$  is the synchrotron angular frequency.

The synchrotron tune  $v_s$  is the number of synchrotron oscillations per revolution:  $v_s = \Omega_s / \omega_0$ 

Typical values are <<1, as it takes several 10 - 1000 turns per oscillation.

- proton synchrotrons of the order  $10^{\text{-3}}$
- electron storage rings of the order 10<sup>-1</sup>

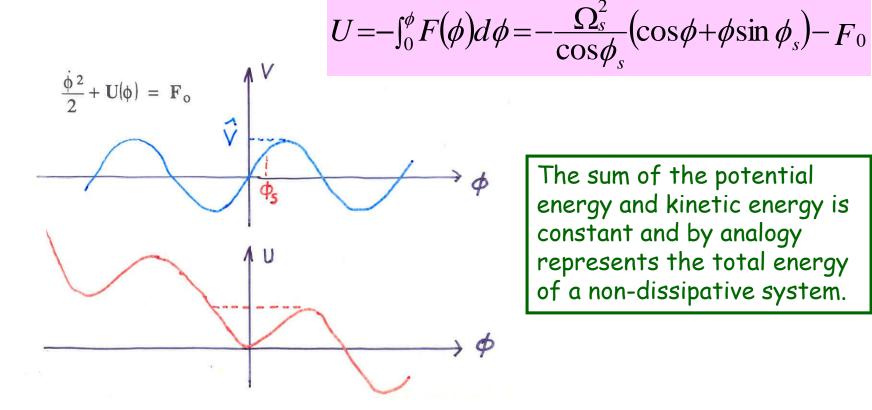
### Stability condition for $\phi_s$



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### **Potential Energy Function**

The longitudinal motion is produced by a force that can be derived from a scalar potential:  $\frac{d^2\phi}{dt^2} = F(\phi)$  $F(\phi) = -\frac{OU}{\partial \phi}$ 



The sum of the potential energy and kinetic energy is constant and by analogy represents the total energy of a non-dissipative system.

Introducing a new convenient variable, W, leads to the 1<sup>st</sup> order equations:

$$W = \frac{\Delta E}{\omega_0} \qquad \longrightarrow \qquad \frac{d\psi}{dt} = \frac{m_1\omega_0}{p_0R}W$$
$$\frac{dW}{dt} = \frac{e\hat{V}}{2\pi}(\sin\phi - \sin\phi_s)$$

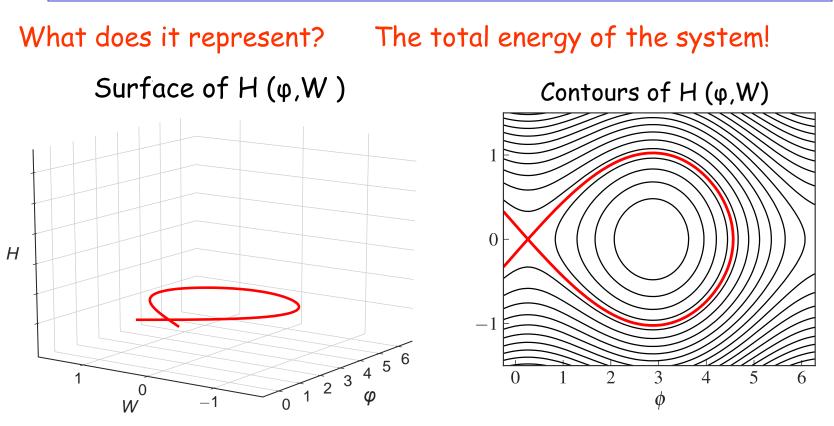
The two variables  $\phi$ , W are canonical since these equations of motion can be derived from a Hamiltonian H( $\phi$ ,W,t):

$$\frac{d\phi}{dt} = \frac{\partial H}{\partial W} \qquad \qquad \frac{dW}{dt} = -\frac{\partial H}{\partial \phi}$$

$$H(\phi, W) = \frac{1}{2} \frac{h\eta\omega_0}{p_0 R} W^2 + \frac{e\hat{V}}{2\pi} [\cos\phi - \cos\phi_s + (\phi - \phi_s)\sin\phi_s]$$

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# Hamiltonian of Longitudinal Motion



Contours of constant H are particle trajectories in phase space! (H is conserved)

Hamiltonian Mechanics can help us understand some fairly complicated dynamics (multiple harmonics, bunch splitting, ...)

### **Energy Acceptance**

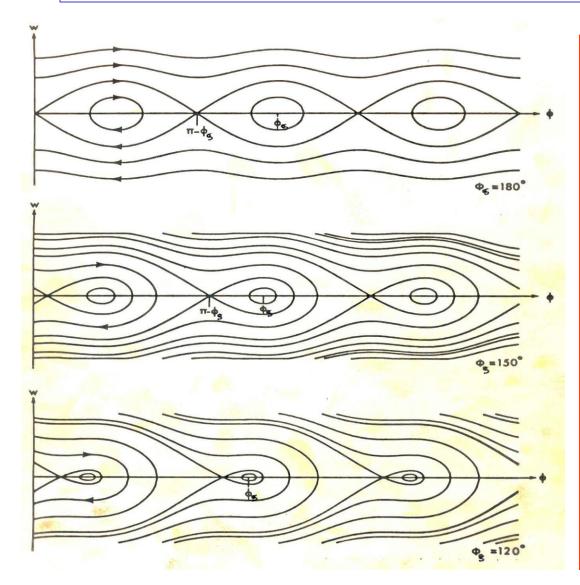
From the equation of motion it is seen that  $\phi$  reaches an extreme at  $\phi = \phi_s$ . Introducing this value into the equation of the separatrix gives:

$$\dot{f}_{\max}^{2} = 2W_{s}^{2} \left\{ 2 + \left( 2f_{s} - \rho \right) \tan f_{s} \right\}$$
hat translates into an energy acceptance:
$$\left( \frac{\Delta E}{E_{0}} \right)_{\max} = \pm \beta \sqrt{\frac{-q\hat{V}}{\pi h\eta E_{0}}} G(\phi_{s})$$

$$G(f_{s}) = \oint 2\cos f_{s} + \left( 2f_{s} - \rho \right) \sin f_{s} \oiint$$

This "RF acceptance" depends strongly on  $\phi_s$  and plays an important role for the capture at injection, and the stored beam lifetime. It's largest for  $\phi_s=0$  and  $\phi_s=\pi$  (no acceleration, depending on  $\eta$ ). It becomes smaller during acceleration, when  $\phi_s$  is changing Need a higher RF voltage for higher acceptance. For the same RF voltage it is smaller for higher harmonics h. Longitudinal Dynamics, 21/22 April 2022 64

### **RF** Acceptance versus Synchronous Phase



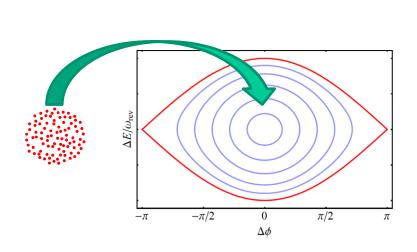
The areas of stable motion (closed trajectories) are called "BUCKET". The number of circulating buckets is equal to "h".

The phase extension of the bucket is maximum for  $\phi_s = 180^\circ$  (or 0°) which means no acceleration.

During acceleration, the buckets get smaller, both in length and energy acceptance.

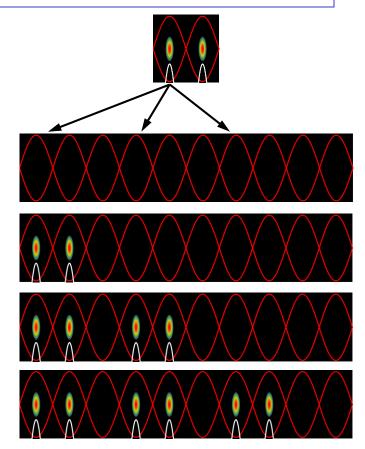
=> Injection preferably without acceleration.

### Injection: Bunch-to-bucket transfer



Bunch from sending accelerator

into the bucket of receiving



#### Advantages:

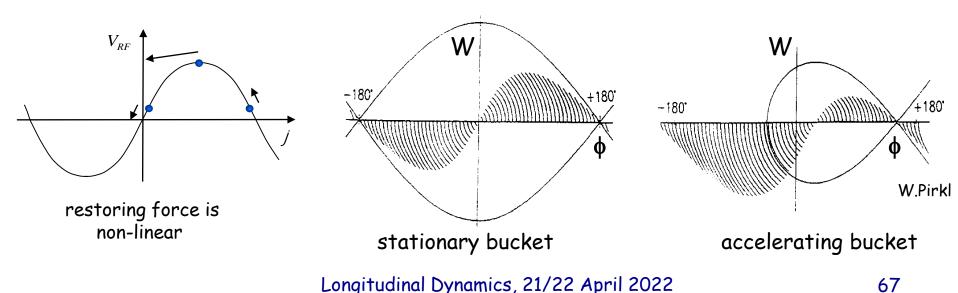
- $\rightarrow$  Particles always subject to longitudinal focusing
- $\rightarrow$  No need for RF capture of de-bunched beam in receiving accelerator
- $\rightarrow$  No particles at unstable fixed point
- $\rightarrow$  Time structure of beam preserved during transfer

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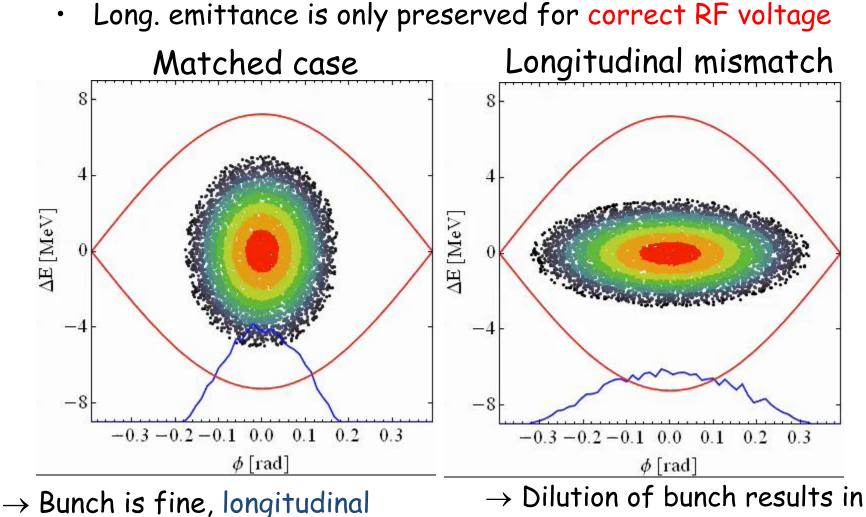
### Effect of a Mismatch

Injected bunch: short length and large energy spread after 1/4 synchrotron period: longer bunch with a smaller energy spread.

For larger amplitudes, the angular phase space motion is slower (1/8 period shown below) => can lead to filamentation and emittance growth



### Effect of a Mismatch (2)



emittance remains constant

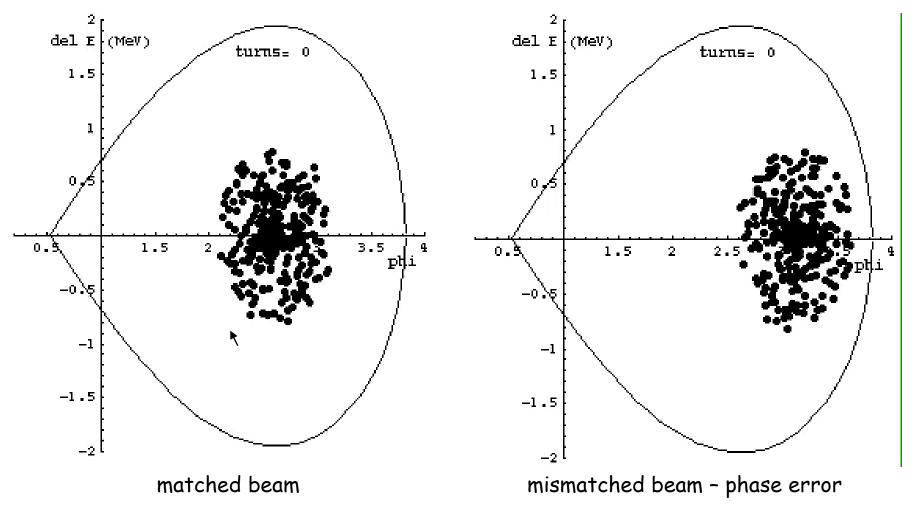
increase of long. emittance

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## Effect of a Mismatch (3)

Evolution of an injected beam for the first 100 turns.

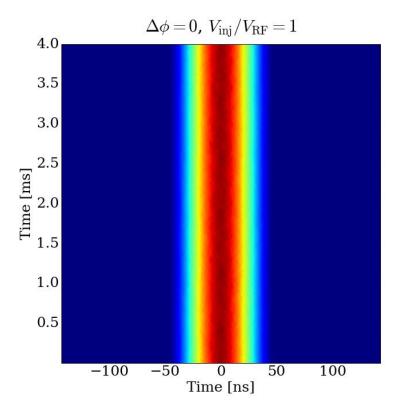
For a mismatched transfer, the emittance increases (right).



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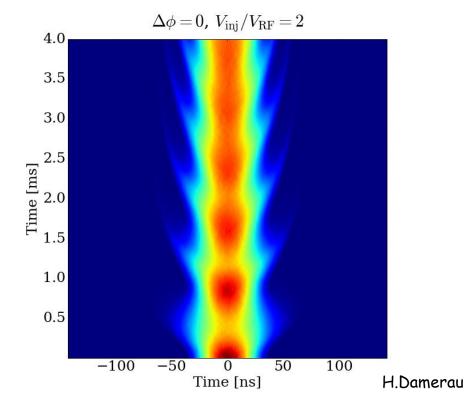
#### Longitudinal matching - Beam profile

### Matched case



#### → Bunch is fine, longitudinal emittance remains constant

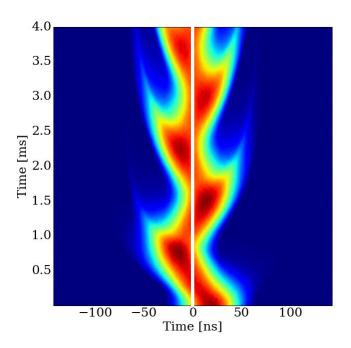
### Longitudinal mismatch

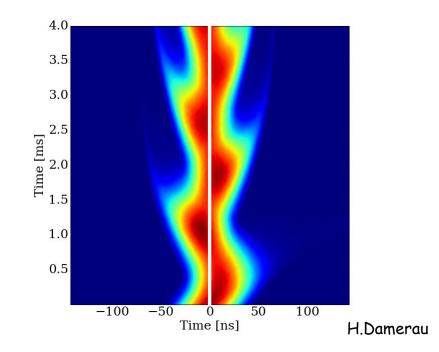


 $\rightarrow$  Dilution of bunch results in increase of long. emittance

# Matching quiz!

• Find the difference!





- $\rightarrow~\text{-45}^\circ$  phase error at injection
- $\rightarrow$  Can be easily corrected by bucket phase

- $\rightarrow$  Equivalent energy error
- $\rightarrow$  Phase does not help: requires beam energy change

## Phase Space Tomography

1. 1.23

0.23

[A] 0.75 0.1

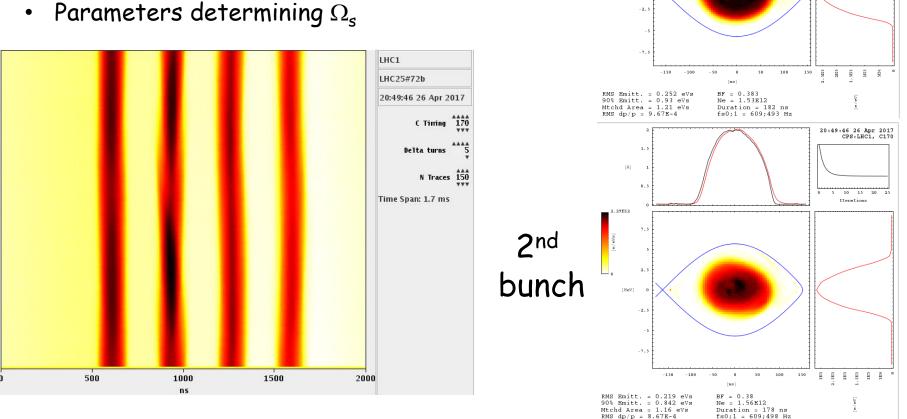
7E12

**1**st

bunch

We can reconstruct the phase space distribution of the beam.

- Longitudinal bunch profiles over • a number of turns



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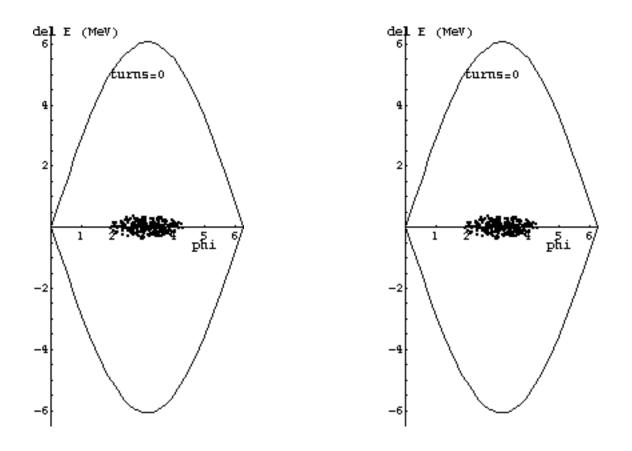
20:49:46 26 Apr 2017 CPS:LHC1, C170

10 15 20

Iterations

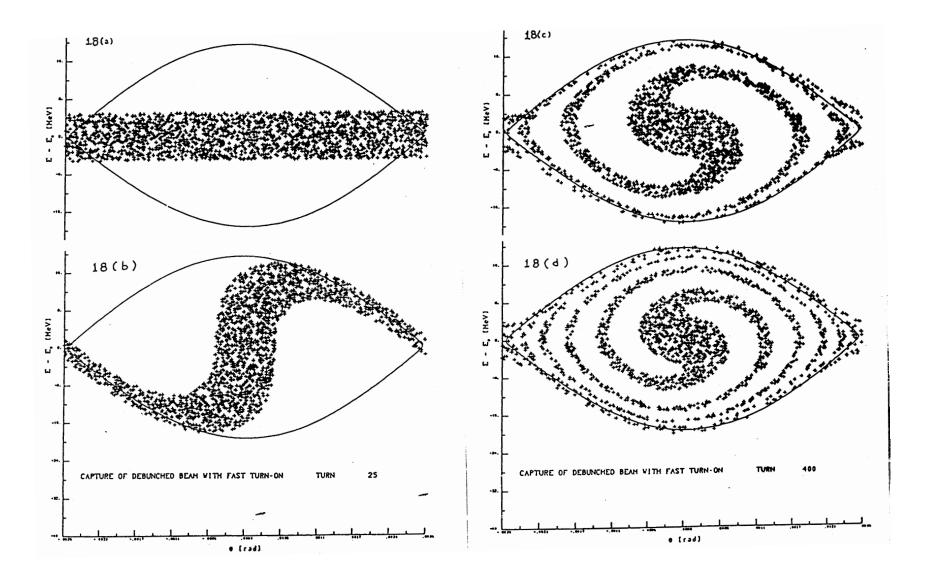
Phase space motion can be used to make short bunches.

Start with a long bunch and extract or recapture when it's short.

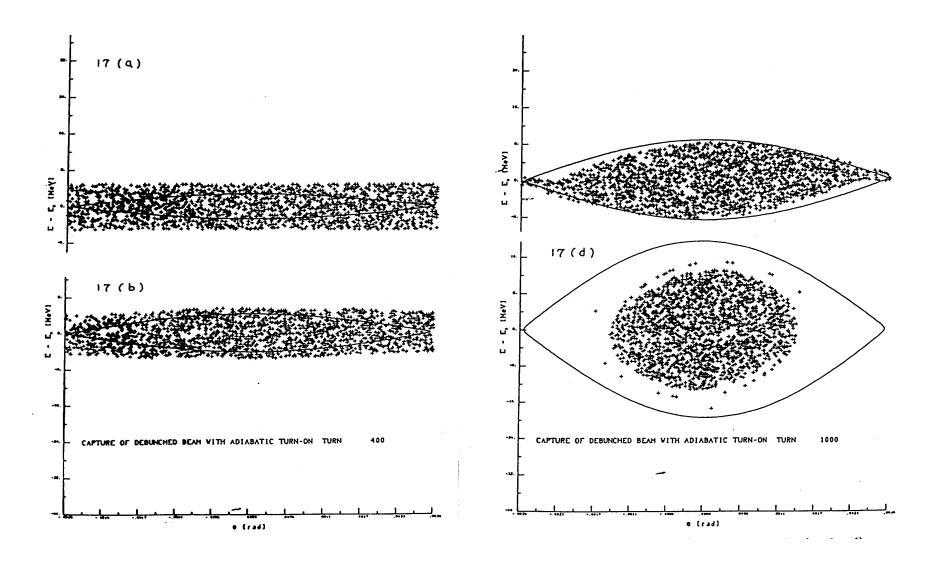


initial beam

### Capture of a Debunched Beam with Fast Turn-On

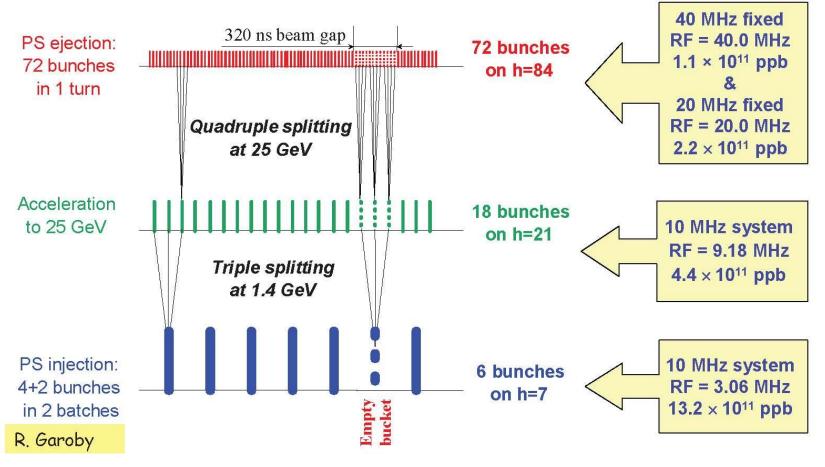


### Capture of a Debunched Beam with Adiabatic Turn-On



## Generating a 25ns Bunch Train in the PS

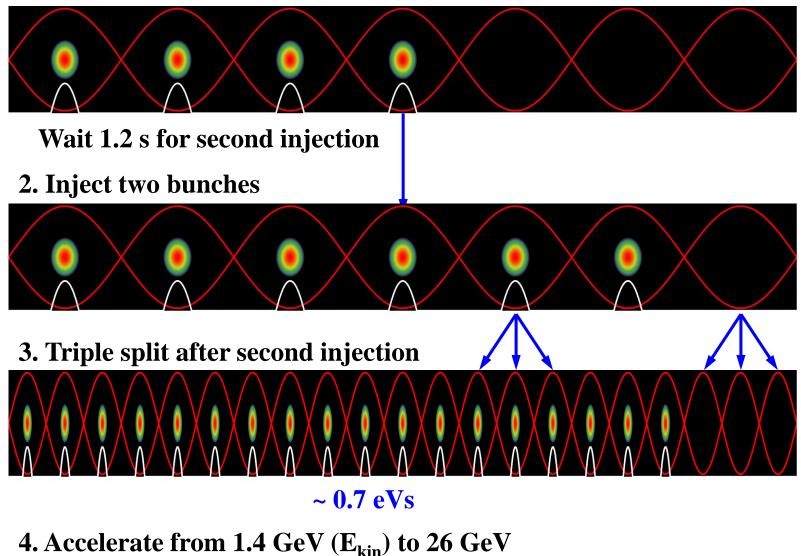
- Longitudinal bunch splitting (basic principle)
  - Reduce voltage on principal RF harmonic and simultaneously rise voltage on multiple harmonics (adiabatically with correct phase, etc.)



Use double splitting at 25 GeV to generate 50ns bunch trains instead

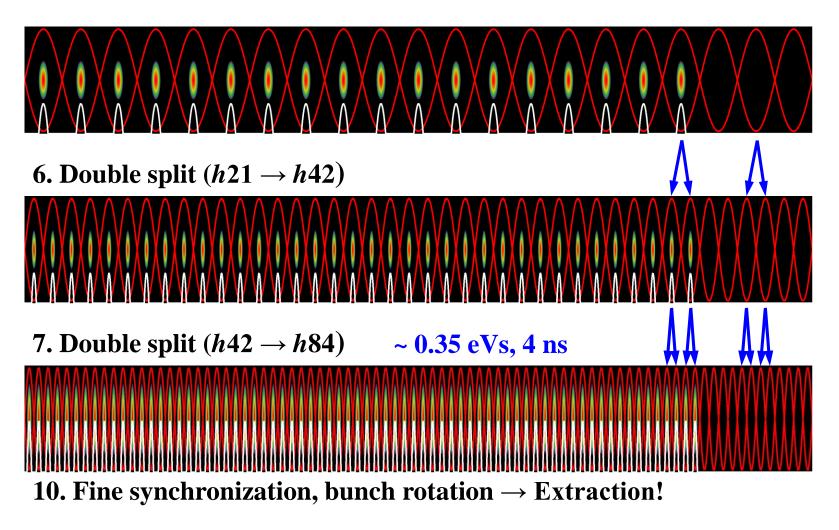
## Production of the LHC 25 ns beam

1. Inject four bunches ~ 180 ns, 1.3 eVs

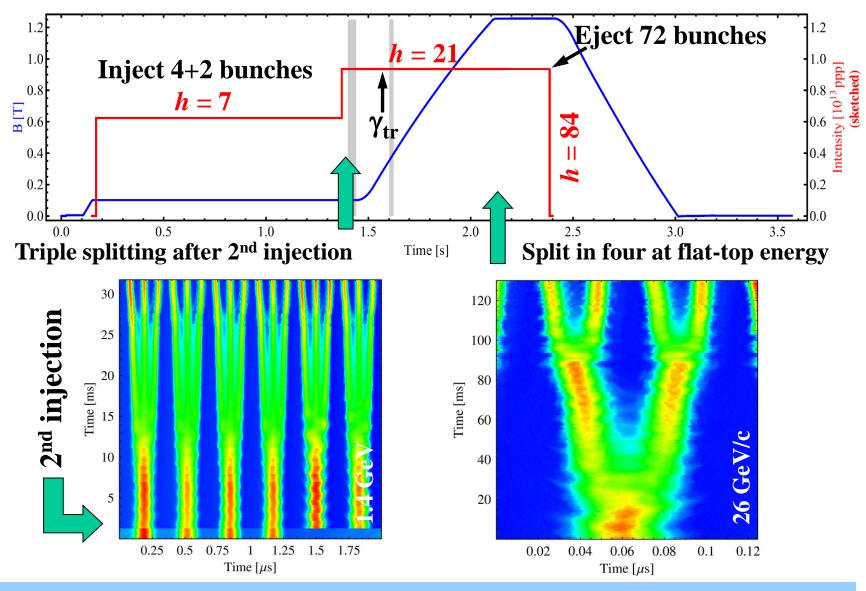


## Production of the LHC 25 ns beam

5. During acceleration: longitudinal emittance blow-up: 0.7 – 1.3 eVs

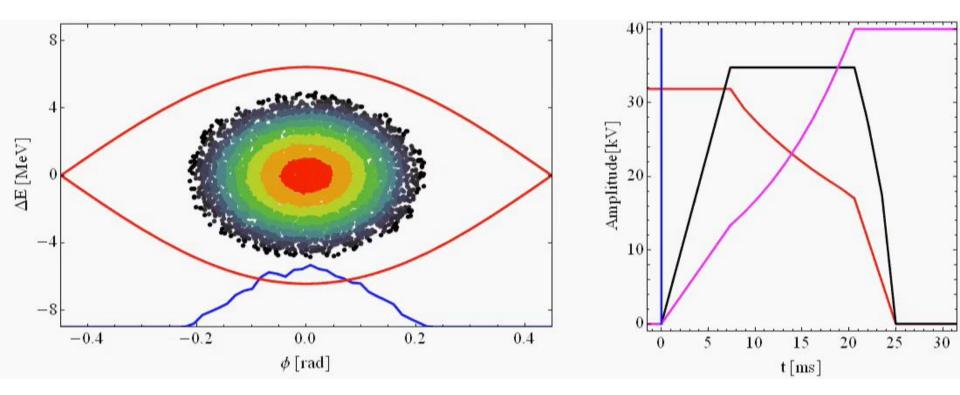


### The LHC25 (ns) cycle in the PS



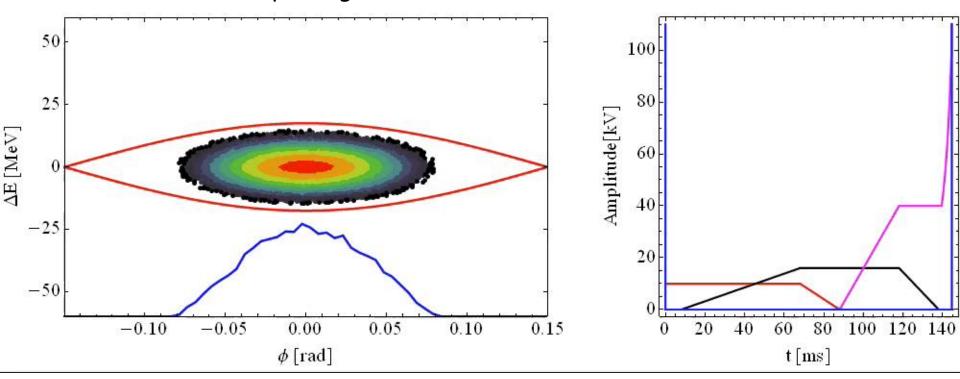
 $\rightarrow$  Each bunch from the Booster divided by 12  $\rightarrow$  6  $\times$  3  $\times$  2  $\times$  2 = 72

## Triple splitting in the PS



## Two times double splitting in the PS

Two times double splitting and bunch rotation:



- Bunch is divided twice using RF systems at
   h = 21/42 (10/20 MHz) and h = 42/84 (20/40 MHz)
- Rotation: first part h84 only + h168 (80 MHz) for final part

### Synchrotron tune measurement

Reminder: Non-linear force => Synchrotron tune depends on amplitude

**Principle A:** The synchrotron oscillation modulates the arrival time of a bunch.

li

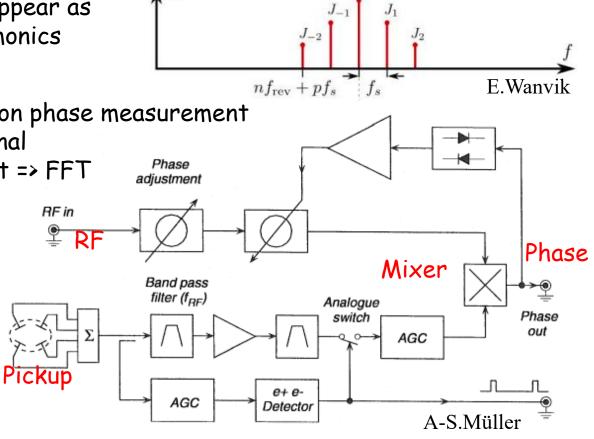
Use pick-up intensity signal and perform an FFT

⇒ The synchrotron tune will appear as sideband of revolution harmonics

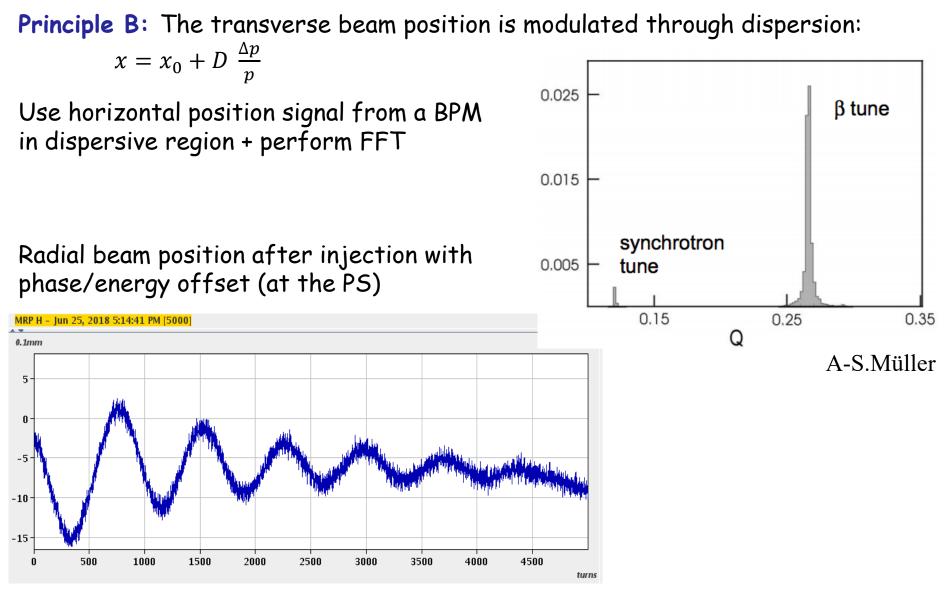
Practical approach: Synchrotron phase measurement Mix the signal with the RF signal => proportional to phase offset => FFT

Problem for proton machines since the synchrotron tune is very small.

The revolution harmonic lines are huge compared to the synchrotron lines, so a very good and narrow bandwidth filter is needed to separate them



### Synchrotron tune measurement - cont.



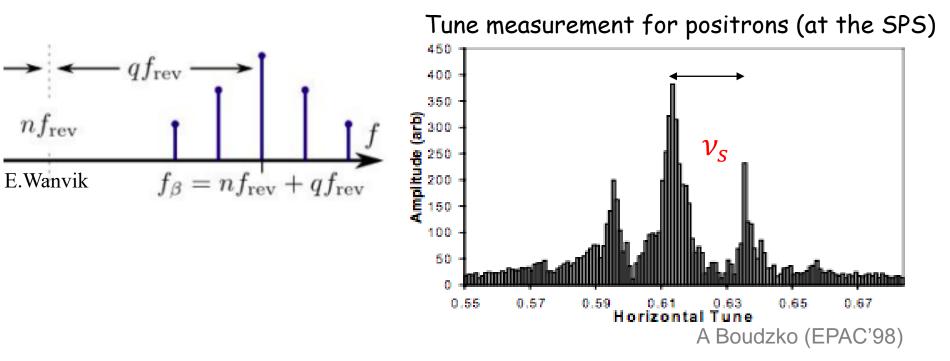
### Synchrotron tune measurement - cont.

Principle C: The transverse tune is modulated through chromaticity:  $Q = Q_0 + \xi \; \frac{\Delta p}{p}$ 

Frequency modulation (FM) of the betatron tunes.

Use horizontal position signal from a BPM + perform FFT

The synchrotron tune will appear as sidebands of the betatron tune.



### Summary

End of our crash course in longitudinal dynamics

### www.formula1.com

## Bibliography

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A. Chao, M. Tigner	Handbook of Accelerator Physics and Engineering (World Scientific 1998)
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And CERN Accelerator Schools (CAS) Proceedings In particular: <u>CERN-2014-009</u> Advanced Accelerator Physics - CAS

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- Mike Syphers
- Daniel Schulte
- Roberto Corsini
- Roland Garoby
- Luca Bottura
- Berkeley Lab
- Edukite Learning

## Appendix

- Summary Relativity and Energy Gain
- Velocity, Energy, and Momentum
- Momentum compaction factor
- Synchrotron energy-phase oscillations
- Stability condition
- Separatrix stationary bucket
- Large amplitude oscillations
- Bunch matching into stationary bucket

# Appendix: Relativity + Energy Gain

Newton-Lorentz Force 
$$\vec{F} = \frac{d\vec{p}}{dt} = e\left(\vec{E} + \vec{v} \quad \vec{B}\right)$$

2<sup>nd</sup> term always perpendicular to motion => no acceleration

**Relativistics** Dynamics  $\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{v^2}} \qquad g = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - b^2}}$  $p = mv = \frac{E}{c^2}bc = b\frac{E}{c} = bgm_0c$  $E^2 = E_0^2 + p^2 c^2 \longrightarrow dE = v dp$  $\frac{dE}{dz} = v\frac{dp}{dz} = \frac{dp}{dt} = eE_z$  $dE = dW = eE_z dz \rightarrow W = e \grave{0} E_z dz$ 

**RF Acceleration**  $E_{z} = \hat{E}_{z} \sin W_{RF} t = \hat{E}_{z} \sin f(t)$   $\hat{D} \hat{E}_{z} dz = \hat{V}$   $W = e\hat{V}\sin\phi$ 

#### (neglecting transit time factor)

The field will change during the passage of the particle through the cavity => effective energy gain is lower

## Appendix: Velocity, Energy and Momentum

normalized velocity 
$$\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$$

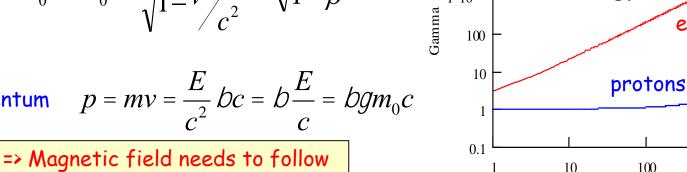
=> electrons almost reach the speed of light very quickly (few MeV range)

total energy rest energy

$$E = gm_0 c^2$$

$$\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{1}{\sqrt{1 - \beta^2}}$$

Momentum



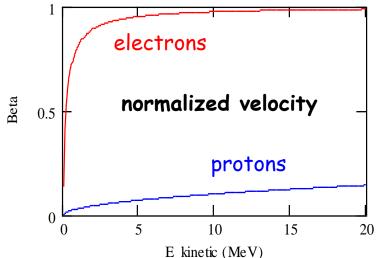
 $1.10^{5}$ 

 $1 \cdot 10^{4}$ 

 $1 \cdot 10^{3}$ 

the momentum increase

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total energy

rest energy

 $1 \cdot 10^4$ 

electrons

 $1 \cdot 10^{3}$ 

E kinetic (MeV)

### **Appendix: Momentum Compaction Factor**

$$\alpha_{c} = \frac{p}{L} \frac{dL}{dp} \qquad \qquad ds_{0} = rdq \\ ds = (r + x)dq$$

### The elementary path difference

from the two orbits is: definition of dispersion  $D_x$ 

$$\frac{dl}{ds_0} = \frac{ds - ds_0}{ds_0} = \frac{x}{r} \stackrel{\downarrow}{=} \frac{D_x}{r} \frac{dp}{p}$$

leading to the total change in the circumference:

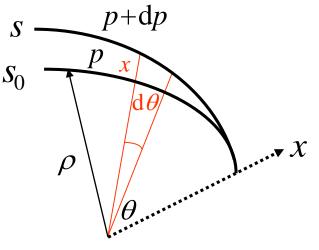
$$dL = \underset{C}{\flat} dl = \grave{0} \frac{x}{r} ds_0 = \grave{0} \frac{D_x}{r} \frac{dp}{p} ds_0$$

$$\alpha_{c} = \frac{1}{L} \int_{C} \frac{D_{x}(s)}{\rho(s)} ds_{0}$$
 With  $\rho = \infty$  in  
straight sections we get:

 $\alpha_c = \frac{\langle D_x \rangle_m}{D} \quad \text{the average is considered over}$ the bending magnet only

Property of the **transverse** beam optics!

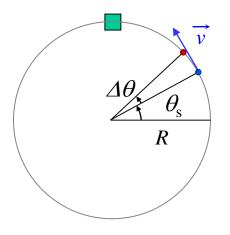
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$$x = x_0 + D_x \frac{\Delta p}{p}$$

 $< >_{m}$  means that

### Appendix: First Energy-Phase Equation



$$f_{RF} = hf_r \implies Df = -hDq \quad with \quad q = \int W \, dt$$

particle ahead arrives earlier => smaller RF phase

For a given particle with respect to the reference one:

$$\Delta \omega_{-} = \frac{d}{dt} (\Delta \theta) = -\frac{1}{h} \frac{d}{dt} (\Delta \phi) = -\frac{1}{h} \frac{d\phi}{dt}$$

Since: 
$$\eta = -\frac{p_0}{\omega_0} \left(\frac{d\omega}{dp}\right)_s$$
 and  $E^2 = E_0^2 + p^2 c^2$   
 $\Delta E = v_s \Delta p = \omega_0 R \Delta p$ 

one gets:

$$\frac{\Delta E}{\omega_0} = \frac{p_0 R}{h\eta\omega_0} \frac{d(\Delta\phi)}{dt} = \frac{p_0 R}{h\eta\omega_0} \dot{\phi}$$

### Appendix: Second Energy-Phase Equation

The rate of energy gained by a particle is:

$$\frac{dE}{dt} = e\hat{V}\sin\phi \frac{\omega_r}{2\pi}$$

The rate of relative energy gain with respect to the reference particle is then:  $(\dot{E})$ 

$$2\pi\Delta\left(\frac{L}{\omega_0}\right) = e\,\hat{V}(\sin\phi - \sin\phi_s)$$

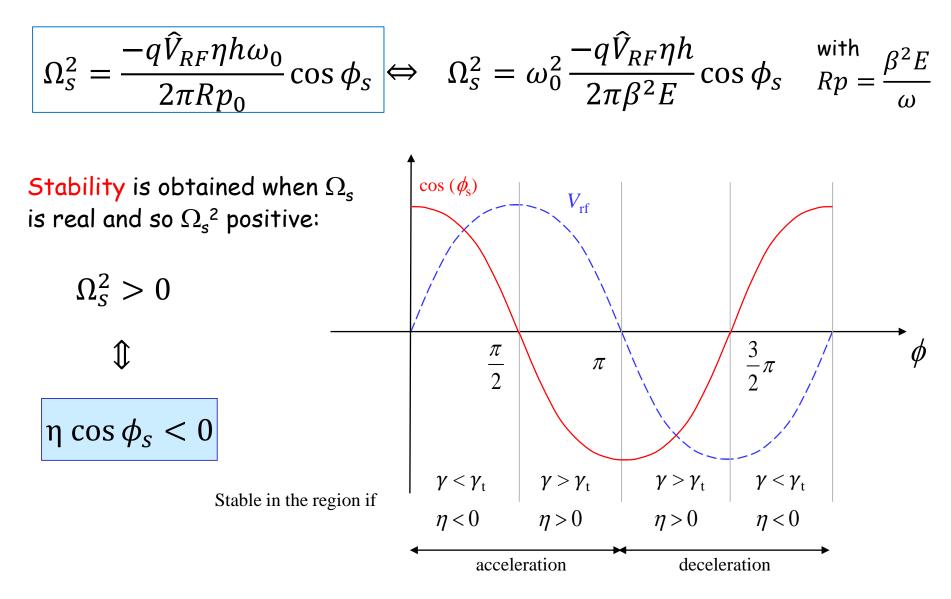
Expanding the left-hand side to first order:

$$\mathsf{D}(\dot{E}T_r) @ \dot{E}\mathsf{D}T_r + T_{rs}\mathsf{D}\dot{E} = \mathsf{D}E\dot{T}_r + T_{rs}\mathsf{D}\dot{E} = \frac{d}{dt}(T_{rs}\mathsf{D}E)$$

leads to the second energy-phase equation:

$$2\pi \frac{d}{dt} \left( \frac{\Delta E}{\omega_0} \right) = e \, \hat{V}(\sin \phi - \sin \phi_s)$$

### Appendix: Stability condition for $\phi_s$



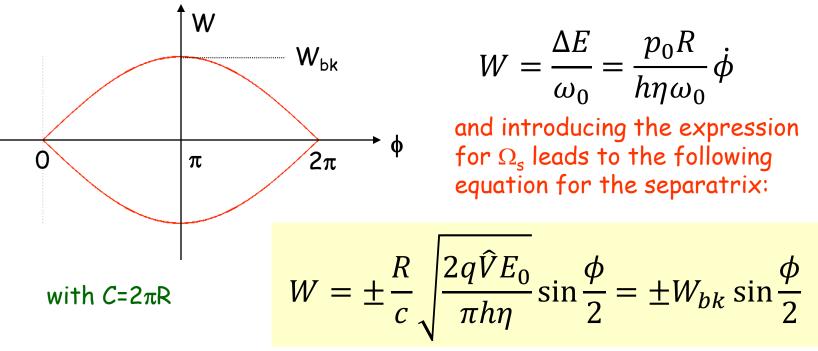
### Appendix: Stationnary Bucket - Separatrix

This is the case  $sin\phi_s=0$  (no acceleration) which means  $\phi_s=0$  or  $\pi$ . The equation of the separatrix for  $\phi_s=\pi$  (above transition) becomes:

$$\frac{\dot{\phi}^2}{2} + \Omega_s^2 \cos \phi = \Omega_s^2$$

$$\frac{\dot{\phi}^2}{2} = 2\Omega_s^2 \sin^2 \frac{\phi}{2}$$

Replacing the phase derivative by the (canonical) variable W:



Longitudinal Dynamics, 21/22 April 2022

Setting  $\phi = \pi$  in the previous equation gives the height of the stationary bucket:

$$W_{bk} = \frac{R}{c} \sqrt{\frac{2q\hat{V}E_0}{\pi h|\eta|}}$$
  
The bucket area is:  $A_{bk} = 2\int_0^{2\pi} W d\phi$   
Since:  $\int_0^{2\pi} \sin \frac{\phi}{2} d\phi = 4$   
one gets:  $A_{bk} = 8 W_{bk} = \frac{8R}{c} \sqrt{\frac{2q\hat{V}E_0}{\pi h|\eta|}} \longrightarrow W_{bk} = \frac{A_{bk}}{8}$ 

For an accelerating bucket, this area gets reduced by a factor depending on  $\Phi_s$ :

$$\alpha(\phi_s) \approx \frac{1 - \sin \phi_s}{1 + \sin \phi_s}$$

### Appendix: Large Amplitude Oscillations

For larger phase (or energy) deviations from the reference the second order differential equation is non-linear:

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s} (\sin\phi - \sin\phi_s) = 0 \qquad (\Omega_s \text{ as previously defined})$$

Multiplying by  $\phi$  and integrating gives an invariant of the motion:

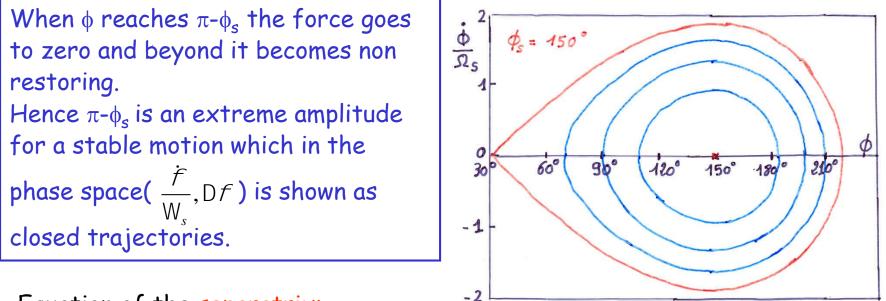
$$\frac{\phi^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} \left(\cos\phi + \phi\sin\phi_s\right) = I$$

which for small amplitudes reduces to:

 $\frac{\dot{f}^2}{2} + W_s^2 \frac{(Df)^2}{2} = I' \qquad \text{(the variable is } \Delta\phi, \text{ and } \phi_s \text{ is constant)}$ 

Similar equations exist for the second variable :  $\Delta E \propto d\phi/dt$ 

### Large Amplitude Oscillations (2)



Equation of the separatrix:

$$\frac{\phi^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} \left(\cos\phi + \phi\sin\phi_s\right) = -\frac{\Omega_s^2}{\cos\phi_s} \left(\cos(\pi - \phi_s) + (\pi - \phi_s)\sin\phi_s\right)$$

Second value  $\phi_m$  where the separatrix crosses the horizontal axis:

$$\cos\phi_m + \phi_m \sin\phi_s = \cos(\pi - \phi_s) + (\pi - \phi_s) \sin\phi_s$$

## **Energy Acceptance**

From the equation of motion it is seen that  $\phi$  reaches an extreme at  $\phi = \phi_s$ . Introducing this value into the equation of the separatrix gives:

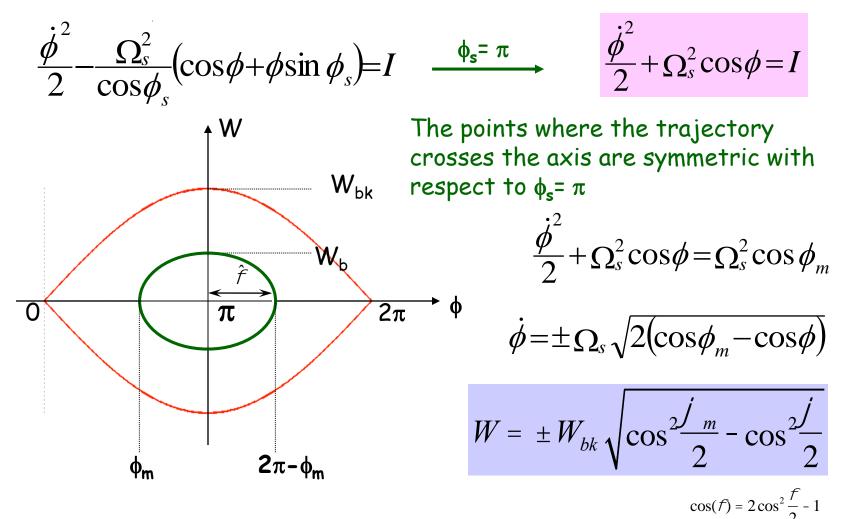
$$\dot{f}_{\max}^{2} = 2W_{s}^{2} \left\{ 2 + \left( 2f_{s} - \rho \right) \tan f_{s} \right\}$$
hat translates into an energy acceptance:
$$\left( \frac{\Delta E}{E_{0}} \right)_{\max} = \pm \beta \sqrt{\frac{-q\hat{V}}{\pi h\eta E_{0}}} G(\phi_{s})$$

$$G(f_{s}) = \oint 2\cos f_{s} + \left( 2f_{s} - \rho \right) \sin f_{s} \oiint$$

This "RF acceptance" depends strongly on  $\phi_s$  and plays an important role for the capture at injection, and the stored beam lifetime. It's largest for  $\phi_s=0$  and  $\phi_s=\pi$  (no acceleration, depending on  $\eta$ ). It becomes smaller during acceleration, when  $\phi_s$  is changing Need a higher RF voltage for higher acceptance. For the same RF voltage it is smaller for higher harmonics h. Longitudinal Dynamics, 21/22 April 2022 99

### Bunch Matching into a Stationary Bucket

A particle trajectory inside the separatrix is described by the equation:



## Bunch Matching into a Stationary Bucket (2)

Setting  $\phi = \pi$  in the previous formula allows to calculate the bunch height:

This formula shows that for a given bunch energy spread the proper matching of a shorter bunch ( $\phi_m$  close to  $\pi$ ,  $\hat{f}$  small) will require a bigger RF acceptance, hence a higher voltage

For small oscillation amplitudes the equation of the ellipse reduces to:

$$W = \frac{A_{bk}}{16} \sqrt{\hat{f}^2 - (Df)^2} \longrightarrow \left(\frac{16W}{A_{bk}\hat{f}}\right)^2 + \left(\frac{Df}{\hat{f}}\right)^2 = 1$$

Ellipse area is called longitudinal emittance

$$A_b = \frac{\rho}{16} A_{bk} \hat{f}^2$$