

# Diffractive Processes at HERA



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#### LISHEP 2011

#### **Outline:**

- Diffraction in ep scattering
- Latest results on inclusive diffraction
- QCD fits and diffractive PDFs extraction
- Latest results on exclusive vector meson production







#### **HERA experiments**





HERA-I : 1992-2000 p : 820 GeV 920 GeV

HERA-II : 2001-2007 p : 920 GeV 575 GeV 460 GeV

0.5 fb<sup>-1</sup> collected by H1 and ZEUS experiments Final analyses of HERA data are underway





# **Kinematics and cross sections**



- $\mathbf{Q}^2$  = virtuality of exchanged photon
- 🗙 = Bjorken scaling variable
- **y** = inelasticity of virtual photon
- W = invariant mass of  $\gamma^*$ -p system
- $M_X$  = invariant mass of  $\gamma^*$ -IP system
- $\boldsymbol{\beta} = x/x_{\text{IP}}$  = fraction of IP momentum carried by struck parton
- t = (4-momentum exchanged at p vertex)<sup>2</sup> typically: |t| < 1 GeV<sup>2</sup>



♦ N = proton t → Single Diffractive (SD) events

♦ N = proton dissociative system
 → Double Diffractive (DD) events

$$\frac{d^{4}\sigma^{e_{p} \to e'Xp'}}{d\beta dQ^{2} dx_{IP} dt} = \frac{2\pi a^{2}}{\beta Q^{4}} Y_{+} [F_{2}^{D(4)}(\beta, Q^{2}, x_{IP}, t) - \frac{Y^{2}}{Y_{+}} F_{L}^{D(4)}(\beta, Q^{2}, x_{IP}, t)]$$

where  $Y_{+} = 1 + (1-y)^{2}$ 

 $= \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t) \longrightarrow \begin{array}{c} \text{Reduced} \\ \text{cross section} \end{array}$ 

When t is not measured  $\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) = \int \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t) dt$ 





pQCD framework as long as a hard scale is present :

QCD factorisation theorem, proven for DDIS by J.Collins [PR D57 (1998) 3051]

$$\sigma^{D}(\gamma^{*}p \rightarrow Xp) = \sum_{i} \hat{\sigma} \otimes f_{i}^{D}(x_{IP}, t, z, Q^{2})$$
Hard subprocess ME  
pQCD calculable
DPDFs = proton PDFs when a fast  
proton is in the final state,  
universal for diffractive DIS processes
Proton-vertex factorisation assumption, supported by H1 and ZEUS data
$$f_{i}^{D}(x_{IP}, t, z, Q^{2}) = f_{IP}(x_{IP}, t)f_{i}^{IP}(z, Q^{2}) + f_{IR}(x_{IP}, t)f_{i}^{IR}(z, Q^{2})$$
Flux parametrisation
$$f(x_{IP}, t) = \frac{Ae^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$
With  $\alpha(t) = \alpha(0) + \alpha't$ 

Use inclusive diffractive data to extract DPDFs via NLO QCD fits,
 fitting z and Q<sup>2</sup> dependence at fixed x<sub>IP</sub> and t
 (z = momentum fraction of the diffrection exchange entering the hard scattering)

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#### t dependence





- t-slope does not change with  $Q^2$  or  $M_X/\beta$  at fixed  $x_{IP}$  $\rightarrow$  data consistent with **proton-vertex factorization**
- H1 results exibit an x<sub>IP</sub> dependence of the t-slope in (Q<sup>2</sup>,β) bins
   → IR contribution at high x<sub>IP</sub>

# $x_{IP}$ dependence of $\sigma_r^{D(4)}$



H1 HERA-II PS data (156 pb<sup>-1</sup>) improve stats by a factor of 20, allowing to :

 $\rightarrow$  measure three t bins  $\rightarrow$  reach higher Q<sup>2</sup>

syst uncertainty ~ 8% norm uncertainty ~ 4.3%

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# $x_{\text{IP}}$ dependence of $\sigma_{\text{r}}^{\text{D(3)}}$







#### LRG vs PS







# $Q^2$ dependence of $\sigma_r^{D(3)}$



#### H1prelim 10-011

ZEUS, NP B816 (2009) 1



ZEUS corrected to  $M_N < 1.6$  GeV with PYTHIA MC

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### **Diffractive PDFs from NLO fits**



#### Inclusive data : LRG + LPS

#### NLO QCD fits:

- assume proton-vertex factorization, fit z and Q<sup>2</sup> dependence at fixed  $x_{IP}$  and t - parametrize IP PDFs at Q<sup>2</sup><sub>0</sub> = 1.8 GeV<sup>2</sup>

$$\begin{array}{l} z \; f^{IP}_{u,d,s} \left( z, Q^2_{\; 0} \right) = A_q \, z^{Bq} \left( 1 {\text -} z \right) \, {}^{Cq} \\ z \; f^{IP}_{\; g} \left( z, Q_0^{\; 2} \right) = A_g \; z^{Bg} \left( 1 {\text -} z \right) \, {}^{Cg} \end{array}$$

- evolve with NLO DGLAP

#### Different parametrizations:

- "Standard": **fit S** with  $B_g$  and  $C_g$  free
- "Constant": fit C with  $B_g = C_g = 0$ (as for H1 2006 fit B - H1, EPJ C48 (2006) 715)

Both fits give a comparably good description of inclusive data for  $Q^2 > 5 \text{ GeV}^2$ , but...

# Quark densities well constrained by reduced cross sections



ZEUS, NP B831 (2010) 1

**Gluon density** weakly constrained in the high  $z_{IP}$  region (only indirectly by scaling violations)

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## **Comparison with DDIS dijet data**

#### **ZEUS, EPJ C52 (2007) 813**



Use dijet data (photongluon fusion at LO) for a combined **fit S inclusive+dijets (SJ) :** 



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Х

p(P')

e(k')

~ γ\*(q)

p(P)



#### **DPDFs from fit SJ**



#### Inclusive + dijet data





 $\sigma_r^{D} = F_2^{D}$ 

#### Direct measurement of F<sup>D</sup>

 $F_1^{D} \sim a_S \times q(x)$ 



H1prelim 09-011 H1prelim 10-017

Challenging measurement, requires good understanding of the detector Measurement is performed with data taken at **3 proton beam energies**: 920, 460 and 575 GeV

 $\Rightarrow$  At fixed Q<sup>2</sup> and x<sub>IP</sub>, high y corresponds to low  $\beta$  (Q<sup>2</sup> = sxy, x =  $\beta$ x<sub>IP</sub>)



 $\rightarrow$  Data consistent with NLO predictions based on H1 2006 fit B



# **D\* and dijets in diffractive DIS**

Open charm: H1, EPJ C50 (2007) 1 ZEUS, NP B672 (2003) 3 Dijets: H1, JHEP 0710:042 (2007) ZEUS, EPJ C52 (2007) 813

Universality of DPDFs has been successfully tested comparing with semi-inclusive final states like open charm and dijets in DIS where hard scales in the process ensure use of pQCD

#### DDIS dijets with a **tagged proton** in **FPS** - **H1prelim-10-013**





#### $\rightarrow$ NLO DGLAP with H1 DPDFs gives a good description of the data

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# Factorization breaking at Tevatron and gap survival probability



CDF, PRL 84 (2000) 5043 + P.Newman/H1



Diffractive dijet measurement in ppbar by CDF

Comparison with NLO predictions with **HERA DPDFs as input**:

Significant **overestimation** (~ factor 10) of the data by NLO calculations and **different shape** 

#### Factorisation not expected to hold for diffractive hadron-hadron collisions

 Violation of factorisation is understood in terms of (soft) rescattering between spectator partons, in initial and final states, suppressing the large rapidity gap: suppression ↔ 'rapidity gap survival probability'

• Models including rescattering corrections via multi-pomeron exchanges are able to describe the suppression observed [KKMR, EPJ C21 (2001) 521]

#### Of great interest for LHC!





At HERA we have something similar to a hadron: **quasi-real photons** (Q<sup>2</sup> ~ 0) can develop a **hadronic structure** 

Direct photon  $(x_{\gamma} \sim 1)$ Resolved photon ( $x_v < 1$ ) (at LO) High  $E_{T}$  of the jets Remnant provides the hard scale б(u) Jet Jet | M<sub>12</sub> Jet Х Jet **Z**IP  $x_{v}$  = fraction of photon's momentum in hard subprocess Remnant Remnant XIP IP QCD factorisation is expected QCD factorisation is expected to break like in hadron-hadron: to hold like in DIS Secondary interactions γp between spectators Expected suppression ~ 0.34 for resolved  $\gamma$  [KKMR, PL B567 (2003) 61]

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# **Dijets in diffractive photoproduction**





(a)

ZEUS

0.6

0.6

ZEUS diff dijet yp 99-00

energy scale uncertainty ZEUS DPDF SJ DPDF exp. uncertainty H1 Fit 2007 Jets × 0.81





**H1**: data/NLO = 0.58 0.12(exp)  $\pm$  0.14(scale)  $\pm$  0.09(DPDF)

Both H1 and ZEUS see **no difference between direct and resolved regions** and prefer a global suppression factor

**ZEUS**: no evidence for a gap suppression

0.8

0.8

ZEUS has higher jet- $E_T$  cuts than H1:  $E_T^{1(2)} > 7.5(6.5)$  GeV<sup>2</sup>

1 X<sup>obs</sup>

X<sup>obs</sup>

(b)

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dơ/dx<sub>y</sub><sup>obs</sup> (pb)

500

400

300

200

100

0.5

0

1.4

1.2

0.8

0.6

ratio to ZEUS DPDF SJ

0.4

0.4

ZEUS diff dijet γp 99-00

DPDF exp. uncertaint

ZEUS DPDF SJ



$$\sigma(W) \propto W^{\delta}$$

 Expect δ to increase from soft (~0.2, 'soft Pomeron' value) to hard (~0.8, reflecting large gluon density at low x)





# **GPDs and DVCS**





 $\sigma \propto H(x_1, x_2, t, Q^2)$ 

#### Generalised PDFs (GPDs):

- sensitive to **parton-parton momenta correlation** in the proton
- t-dependence gives 2dim distribution of partons in the transverse plane
- important ingredient for estimating central exclusive production at LHC

#### **Deeply Virtual Compton Scattering (DVCS):**



Asimmetry amplidutes related to GPDs





#### W dependence in photoproduction





 $M_{VM}$  is the scale  $\rightarrow$  same observed when varying Q<sup>2</sup> for a given VM density!



#### Soft to hard $-\sigma(W)$



 $\Rightarrow$  Process becomes hard as the scale (Q<sup>2</sup> + M<sup>2</sup>) becomes larger



#### Soft to hard – t-slope



 $r_{gluons} \sim 0.6 \text{ fm}$  - radius of gluon density in proton  $r_{proton} \sim 0.8 \text{ fm}$  - charge density in the proton



# Summary



- ✓ Unique diffractive data continue to arrive from H1 and ZEUS
- Consistency reached between different experiments, methods and data sets
   Ready to combine inclusive cross sections between experiments
- ✓ Well constrained DPDFs can be obtained from a combined fit to inclusive and dijet data and used to predict other processes in diffractive DIS, proving QCD factorisation
   ⇒ DPDFs are gluon dominated
- ✓ Direct measurement of F<sup>D</sup>
  - ⇒ Independent test of the diffractive gluon density
- Diffractive dijet photoproduction has been studied to test possible factorisation breaking as in proton-antiproton collisions at Tevatron
   Progress in understanding rapidity gap survival probability
- ✓ Lot of inputs from exclusive vector meson production and DVCS
  - ⇒ Transition from soft to hard regime is visible
  - ⇒ Precision measurements can constrain the gluon density
  - ⇒ Sensitivity to the GPDs





#### Backup slides

# **Diffraction in hadron scattering**

Diffraction is a feature of hadron-hadron interactions (30% of  $\sigma_{tot}$ )



- ⇒ Beam particles emerge intact or dissociated into low-mass states → Very small fractional momentum losses (within a few %)
- ⇒ Final-state systems separated by large polar angle (or pseudorapidity η = - ln[tan(θ/2)])
   → Large Rapidity Gap (LRG)
- ⇒ Interaction mediated by t-channel exchange of an object with vacuum quantum numbers (no colour)
   → Pomeron (IP)



# Why diffraction?







Forward peak for q=0 (diffractive peak)

Diffraction pattern related to size of target and wavelength of beam

Propagation/interaction of a a hadron  $\Rightarrow$  absorption of its wave function





|t| ≈ (p()<sup>2</sup> 4-momentum transfer
(scattering angle
b = R<sup>2</sup>/4

R transverse distance projectile-target



#### **Proton vertex factorisation**



Measure the  $x_{IP}$  dependence of the data as a function of  $\beta$  and  $Q^2$ The proton vertex factorisation approximation holds within the experimental precision  $\rightarrow$  allow NLO QCD analysis of the  $\beta$  and  $Q^2$  dependences

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# $Q^2$ dependence of $\sigma_r^{D(3)}$ from PS data





Reasonable agreement between H1-FPS and ZEUS-LPS

# Positive scaling violation for $\beta < 0.2$

# H1 $\sigma_r^{D(3)}$ summary plot



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Pomeron PDFs parametrised at initial  $Q_0^2 = 1.8 \text{ GeV}^2$ ,  $Q^2$  evolution with DGLAP :

$$zf_{k}^{IP}(z,Q^{2}) = A_{k}z^{B_{k}}(1-z)^{C_{k}}$$
 with k = g,S

- for all flavours q = qbar
- assume d = u = s
- heavy quarks dynamically generated above thresholds:  $m_c = 1.35 \text{ GeV}$ ,  $m_b = 4.3 \text{ GeV}$ using the General-Mass Variable-Flavour-Number-Scheme of Thorne and Roberts
  - $\rightarrow$  6 parameters +  $\alpha_{IP}(0)$ ,  $\alpha_{IR}(0)$ ,  $A_{IR}$  (b and  $\alpha$ ' fixed by Regge fits to ep and pp data)

Gluons expected to be poorly constrained by inclusive data ( $\ln Q^2$  dependence of  $F_2^D$ )

→ two cases: "Standard": fit S with  $B_g$  and  $C_g$  free "Constant": fit C with  $B_g = C_g = 0$  (as for H1 2006 fit B)

Latest inclusive ZEUS data:- LRG and LPS (229 + 36 points)ZEUS, NP B816 (2009) 1- only data with Q2 > 5 GeV2 used- overlapping LPS data not used

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#### Fit vs data



#### ZEUS, NP B831 (2010) 1





#### Fit parameters and $\chi^2/ndf$



#### Table 3

Parameters obtained with the different fits and their experimental uncertainties.

Parameter	Fit value DPDF S	Fit value DPDF C	Fit value DPDF SJ
Aq	$0.135 \pm 0.025$	$0.161 \pm 0.030$	$0.151 \pm 0.020$
$B_q$	$1.34 \pm 0.05$	$1.25 \pm 0.03$	$1.23 \pm 0.04$
$C_q$	$0.340 \pm 0.043$	$0.358 \pm 0.043$	$0.332 \pm 0.049$
Ag	$0.131 \pm 0.035$	$0.434 \pm 0.074$	$0.301 \pm 0.025$
$B_g$	$-0.422 \pm 0.066$	0	$-0.161 \pm 0.051$
$C_g$	$-0.725 \pm 0.082$	0	$-0.232 \pm 0.058$
$\alpha_{\mathbb{P}}(0)$	$1.12 \pm 0.02$	$1.11 \pm 0.02$	$1.11 \pm 0.02$
$\alpha_{\mathbb{R}}(0)$	$0.732 \pm 0.031$	$0.668 \pm 0.040$	$0.699 \pm 0.043$
$A_{\mathbb{R}}$	$2.50 \pm 0.52$	$3.41 \pm 1.27$	$2.70 \pm 0.66$
$\chi^2/ndf$	315/265 = 1.19	312/265 = 1.18	336/293 = 1.15



Table 1

The values of the parameters fixed in the fits and the measurements providing this input.

Parameter	Fixed to (GeV <sup>-2</sup> )	Measurement (GeV <sup>-2</sup> )	Ref.
$\alpha'_{p}$	0	$-0.01 \pm 0.06$ (stat.) $^{+0.04}_{-0.08}$ (syst.) $\pm 0.04$ (model)	[10]
$\alpha_{\mathbb{R}}^{\vec{l}}$	0.9	0.90±0.10	[32]
$B_{\mathbb{P}}$	7.0	$7.1 \pm 0.7 \text{ (stat.)}^{+1.4}_{-0.7} \text{ (syst.)}$	[10]
$B_{\mathbb{R}}$	2.0	$2.0 \pm 2.0$	[32]

#### Comparison with H1 2006 fit B





#### H1 predictions corrected to $M_{Y} = M_{P}$ as for ZEUS via the scaling factor 0.81

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Use DPDFs extracted from inclusive DDIS for calculating NLO predictions to semi-inclusive final states: **test universality of DPDFs** 

 $\rightarrow$  Open charm and dijets in DIS: hard scales in the process ensure use of pQCD







Use DPDFs extracted from inclusive DDIS for calculating NLO predictions to semi-inclusive final states: **test universality of DPDFs** 

 $\rightarrow$  Open charm and dijets in DIS: hard scales in the process ensure use of pQCD



→ QCD factorisation holds in DDIS!

First measurement of dijets in DDIS with a tagged proton (H1 FPS) - H1prelim-10-013

Dijets:

H1, JHEP 0710:042 (2007)

ZEUS, EPJ C52 (2007) 813



Deviations might be related to missing pomeron remnant in NLO predictions (NLOJET++) Deviations at high  $\Delta \eta^* \rightarrow$  interesting to look at forward jets



# Forward jets in DDIS with proton tag



Dijet system: Forward jet:  $p_T^* > 4.5 \text{ GeV}, \ 1 < \eta_{fwd} < 2.8$ Central jet:  $p_T^* > 3.5 \text{ GeV}, \ -1 < \eta_{cen} < \eta_{fwd}$ (previous 2 central jets:  $p_{T1}^* > 5 \text{ GeV}, \ p_{T2}^* > 4 \text{ GeV}, \ -1 < \eta < 2.5$ )

Predictions scaled by 1.23 due to proton dissociation not present in FPS data



NLO DGLAP with H1 fit B DPDFs gives a good description of the data

# **Dijets in diffractive photoproduction**



H1 Diffractive Dijet Photoproduction



H1, EPJ C51 (2007) 549

- $E_T^{jet1} > 5 \text{ GeV}, E_T^{jet2} > 4 \text{ GeV}$
- Cross section include p dissoc. with  $M_{\rm Y}$  < 1.6 GeV
- Cross section corrected at hadron level

NLO overestimates the measured cross section by a factor ~ 2, both in the direct and resolved region

Suppression in γp is much smaller than in ppbar

NLO predictions assuming factorization with Frixione et al. program [NP B467 (1996) 399; B507 (1997) 295]

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(q d) 800

d₀/dx₀<sup>obs</sup> 09

**400** 

200

dơ/dx<sub>γ</sub><sup>obs</sup> (data/NLO)

hadr. corr.

# **Dijets in diffractive photoproduction**



ZEUS, EPJ C55 (2008) 177

- $E_T^{jet1} > 7.5 \text{ GeV}, E_T^{jet2} > 6.5 \text{ GeV}$
- Cross section scaled down for p-dissoc. contribution: (16 4)%
- Cross section corrected at hadron level
- Suppression factor 0.34 applied to resolved component only

Within uncertainties data show a weak (if any) suppression: 0.6-0.9

ZEUS as H1 do not see any difference between the resolved and direct regions, in contrast to theory!

NLO predictions assuming factorization with Klasen & Kramer program [EPJ C38 (2004) 9]

0.6

ZEUS

ZEUS 77 pb<sup>-1</sup>

ZEUS LPS, GRV

----- H1 2006 B, GRV (x 0.87)

211111111111

0.4

H1 2006 A, AFG (x 0.87)

H1 2006 A, GRV (x 0.87)

(a)

**(b)** 

 $x_{\gamma}^{obs}$ 

0.8

# **E<sub>T</sub> dependence of suppression?**

ZEUS

Difference between H1 and ZEUS possibly due to different  $E_{\rm T}$  regions?









Refined gap survival model (KKMR, hep-ph/0911.3716) predicts a significantly weaker suppression:

- direct γ unsuppressed
- hadron-like part of resolved  $\gamma$  suppressed by ~0.34 (only  $x_{\gamma} < 0.1$ )
- point-like part of resolved  $\gamma$  less suppressed, ~0.7-0.8

 $E_T$  dependence: lower  $E_T$  cuts on the jets increase hadronisation corrections and absorptive effects, producing a higher suppression

#### Both H1 and ZEUS data prefer a global suppression factor

#### Diffractive/inclusive dijet yp cross sections

ZEUS



Influence of **multiple interactions** in inclusive data is large in the kinematic range of the analysis, which preclude strong conclusions about rapidity gap survival