

Albert Escrivà

Formation of PBHs during the QCD phase transition

In preparation

with E. Bagui and S. Clesse



PASCOS 2022

26th July, 2022

What are PBHs????

Black holes that could have been formed in the very early Universe (no estelar origin)



- First proposal by Novikov & Zel'dovič (1960), but refined later by Carr & Hawking (1974)
- PBHs can have many implications in the history of the Universe, but importantly, they can constitute the dark matter! (or a significant fraction)

Carr & Kuhnel. ArXiv:2006.02838

PBHs from cosmological fluctuations

- A lot of mechanisms for PBH production! (bubbles, strings, domain walls, Q-balls , quark confinement ,etc, etc)

The most considered case: from cosmological fluctuations!

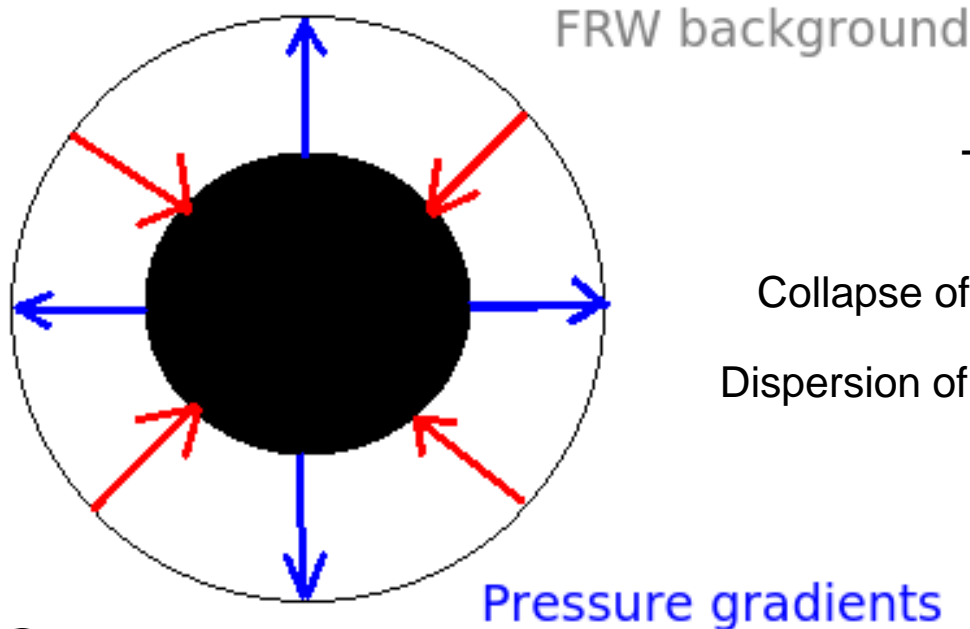
- Some fluctuations generated during inflation could be sufficiently large (**very rare events**) and collapse during **radiation epoch** when they reenter the cosmological horizon
- These very rare fluctuations will have roughly spherical symmetry (spherical peaks)

J. M. Bardeen, J. R. Bond, N. Kaiser and A. S. Szalay 1986

Collapse of fluctuations

Spherical Collapse of cosmological perturbations leading to PBH formation

Gravitational collapse



Threshold $\rightarrow \delta_c$

Collapse of the perturbation: $\delta > \delta_c$

Dispersion of the perturbation: $\delta < \delta_c$



“amplitude of the perturbation”

$$p = w\rho \quad \text{Perfect fluid}$$

$$w = 1/3 \quad (\text{radiation})$$

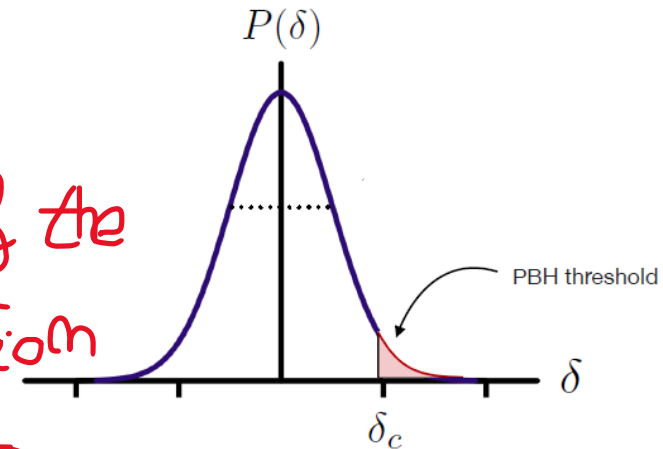
The abundance...

- Statistically, the prob. to have a large fluctuation is exponentially small

The abundance of PBHs is exponentially sensitive to the threshold

$$P(\delta) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{\delta^2}{2\sigma^2}}$$

→ depends on ω
 → depends on the shape of the fluctuation



$$\beta \equiv \frac{\rho_{\text{PBH}}}{\rho_{\text{tot}}} = 2 \int_{\delta_c}^{\infty} \frac{M_{\text{PBH}}}{M_{\text{H}}} P(\delta) d\delta \sim \text{erf} \left(\frac{\delta_c}{\sqrt{2}\sigma} \right)$$

Press–Schechter formalism

The threshold...

- There are some analytical approximations,

Harada, Yoo, Kohri. ArXiv:1309.4201

$$\delta_{HYK} = \frac{3(1+w)}{5+3w} \sin^2 \left(\frac{\pi\sqrt{w}}{1+3w} \right) \approx 0.41$$

(Jeans length approximation), B. Carr 1975

$$\delta_{\text{Carr}} = w = 1/3 \quad \text{In radiation}$$

The threshold was considered time ago as a constant value, **but actually, it depends on the specific shape of the cosmological fluctuation**

Germani, Musco.
ArXiv:1805.04087

Only can be got numerically with accuracy!



Do simulations is essential!!
(ACTUALLY NOT!)



→ Escrivà, Germani, K. Sheth. ArXiv:2007.05564.

Escrivà, Germani, K. Sheth. Arxiv:1907,13311

The QCD scenario!

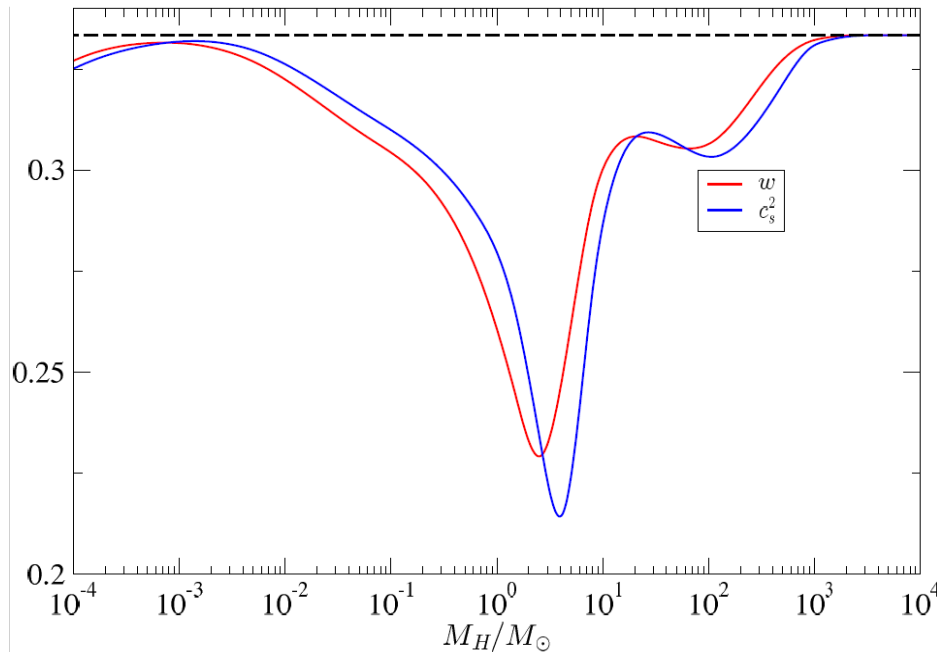
The problem for a constant eq. of state (especially for radiation fluid) is very well studied

BUT

Scenario with a QCD phase transition?



enhances the probability of forming PBH with stellar masses



$$p = w(\rho)\rho$$

From lattice QCD simulations
Arxiv: 1606.07494

Never explored numerically, we need to do simulations!

How we simulate PBH formation?

Solving Misner-Sharp equations

Misner, Sharp. Phys.Rev. 136 (1964)

- The Misner-Sharp equations describes the motion of a relativistic fluid under a curved spacetime (comoving-gauge)

- We consider a perfect fluid with an equation of state $p = w(\rho)\rho$

$$T^{\mu\nu} = (\rho + p)u^\mu u^\nu + pg^{\mu\nu}$$

QCD pasc transition

- Spherically symmetric spacetime

$$ds^2 = -A^2(r, t)dt^2 + B^2(r, t)dr^2 + R^2(r, t)d\Omega^2$$

Misner-Sharp equations

$$\dot{U} = -A \left[\frac{c_s^2}{1 + w(\rho)} \frac{\Gamma^2 \rho'}{\rho R'} + \frac{M}{R^2} + 4\pi R w(\rho) \rho \right]$$

$$\dot{\rho} = -A \rho (1 + w(\rho)) \left(2 \frac{U}{R} + \frac{U'}{R'} \right)$$

$$\dot{M} = -4\pi A w(\rho) \rho U R^2$$

$$\dot{R} = AU$$

$$c_s^2 = w(\rho) + \rho \frac{\partial w(\rho)}{\partial \rho}$$

$$M = \int_0^R 4\pi R^2 \rho dR$$

$$\Gamma^2 = 1 + U^2 - 2 \frac{M}{R}$$

$$A' = -A \frac{\rho'}{\rho} \frac{c_s^2}{1 + w}$$



It is problematic now!!

Numerical procedure

How can we solve this numerical problem???

➤ **Spectral methods** (other procedures are possible)

[Escrivà.ArXiv:1907.13065](https://arxiv.org/abs/1907.13065)



RK4 for the time derivative



Spectral methods for spatial derivative

$$D_N = \begin{array}{|c|c|c|} \hline \frac{2N^2 + 1}{6} & 2 \frac{(-1)^j}{1 - x_j} & \frac{1}{2}(-1)^N \\ \hline -\frac{1}{2} \frac{(-1)^i}{1 - x_i} & \frac{-x_j}{2(1 - x_j^2)} & \frac{(-1)^{i+j}}{x_i - x_j} \\ \hline -\frac{1}{2}(-1)^N & -2 \frac{(-1)^{N+j}}{1 + x_j} & \frac{1}{2} \frac{(-1)^{N+i}}{1 + x_i} \\ \hline \end{array}$$

where $x_j = \cos(\frac{j\pi}{N}), j = 0, \dots, N$

$\vec{w} = D_N \cdot u(\vec{x}) \approx u'(\vec{x})$ with spectral accuracy.

$\vec{w} = D_N^2 \cdot u(\vec{x}) \approx u''(\vec{x})$ with spectral accuracy.

Essential when QCD phase transition is considered: solving the lapse equation is straightforward!



Basics on primordial black hole formation

Shibata, Sasaki. [ArXiv:gr-qc/9905064](#)

- PBHs could be formed by sufficiently large cosmological perturbations collapsing after re-entering the cosmological horizon. Assuming spherical symmetry, such regions can be described by the following approximate form of the metric at super-horizon scales (gradient expansion approach)

$$ds^2 = -dt^2 + a^2(t) \left[\frac{dr^2}{1 - K(r)r^2} + r^2 d\Omega^2 \right]$$

- The curvature profile $K(r)$ characterizes the cosmological perturbation $\frac{\delta\rho}{\rho}$

Polnarev, Musco. [ArXiv:gr-qc/0605122](#)

- The criteria of PBH formation is using the compact function

$$\mathcal{C} = 2 \frac{M - M_b}{R}$$

$$C(r) = f(\omega) K(r) r^2$$
$$K(r_m) + \frac{r_m}{2} K'(r_m) = 0$$

(at super-horizon scales)

$$\delta_c \equiv \mathcal{C}_c(r_{\text{peak}}) = \mathcal{C}_c(r_m)$$



Condition for PBH formation

- The peak of the compaction function must be greater than a given threshold

$$C_{\text{peak}} = C(r_m)$$

$$C_{\text{peak}} \geq \delta_c \longrightarrow \text{PBH formation}$$

$$C_{\text{peak}} < \delta_c \longrightarrow \text{NO PBH formation}$$

Shibata, Sasaki. [ArXiv:gr-qc/9905064](https://arxiv.org/abs/gr-qc/9905064)

Curvature profiles

➤ Consider a set of exponential profiles

$$K_{\text{exp}}(r) = \frac{\delta_m}{f(w)r_m^2} e^{\frac{1}{q} \left(1 - \left(\frac{r}{r_m}\right)^{2q}\right)}$$

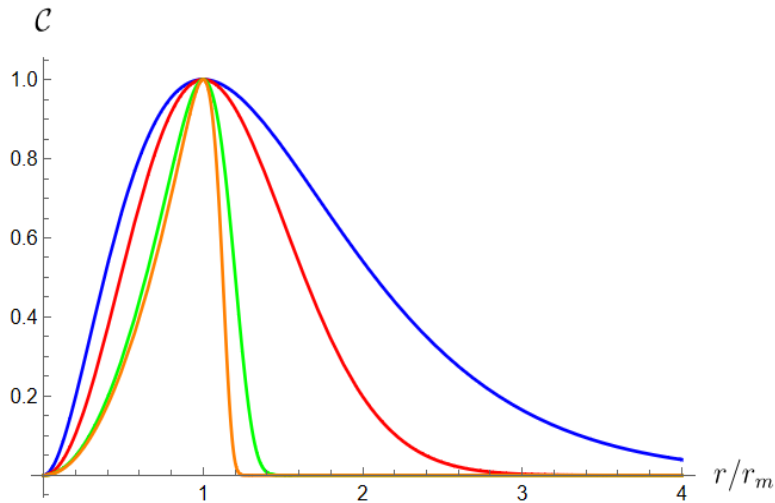
$$\frac{\delta\rho}{\rho_b}(r) = \frac{3(1+w)}{5+3w} \left(\frac{1}{aH}\right)^2 \left[K(r) + \frac{r}{3} K'(r) \right]$$

Gaussian profile: $q=1$

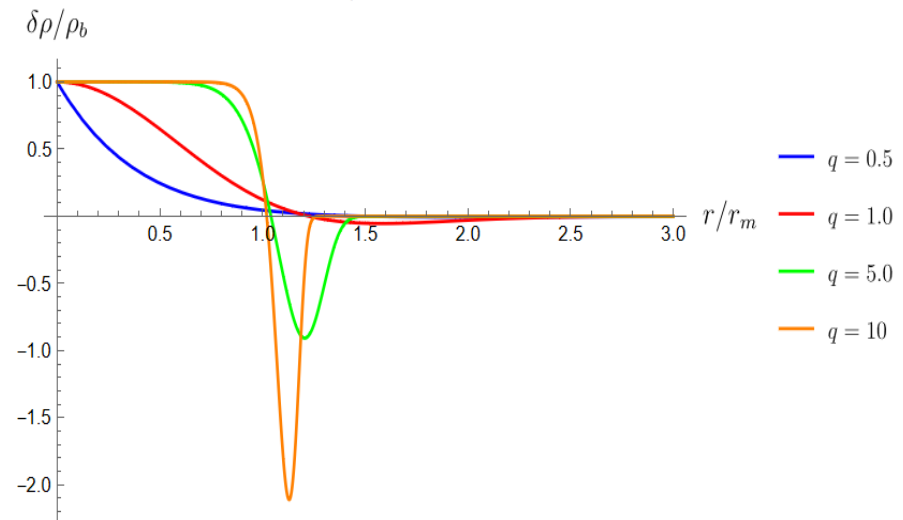
$$q = -\frac{r_m^2 C''(r_m)}{4C(r_m)}$$

Escrivà, Germani, K. Sheth
Arxiv:1907.13311

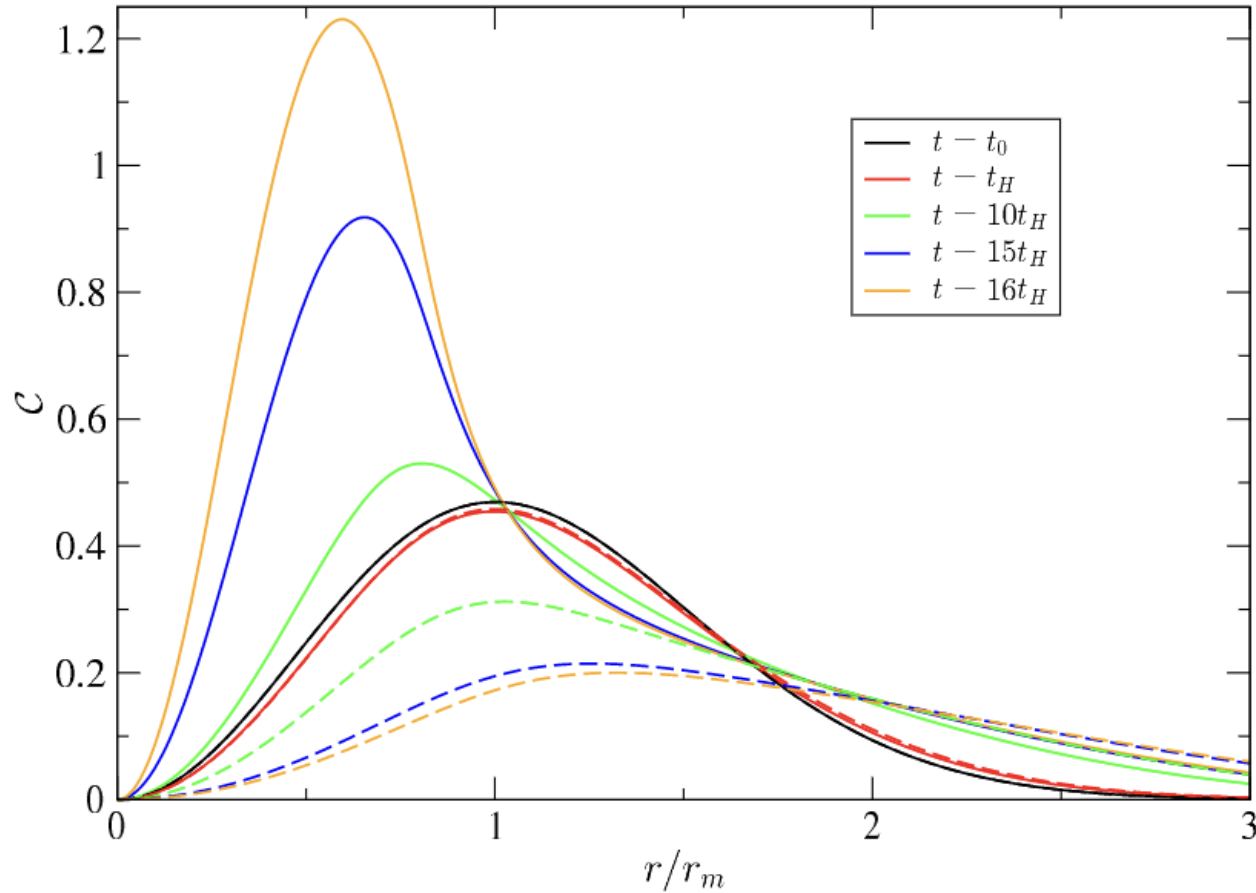
Compaction function



Density contrast

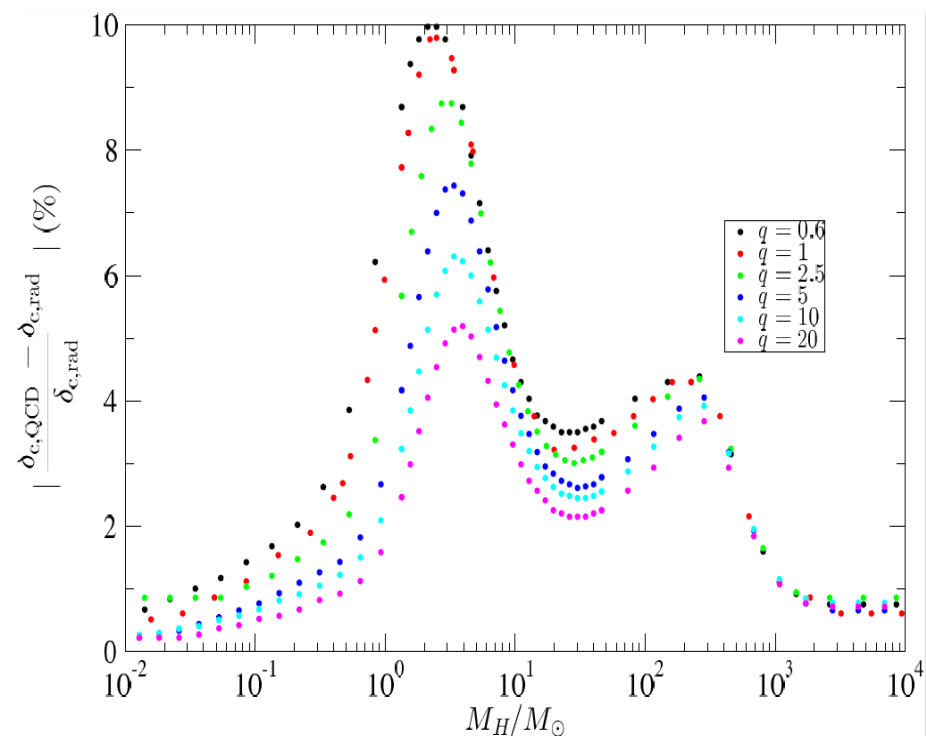
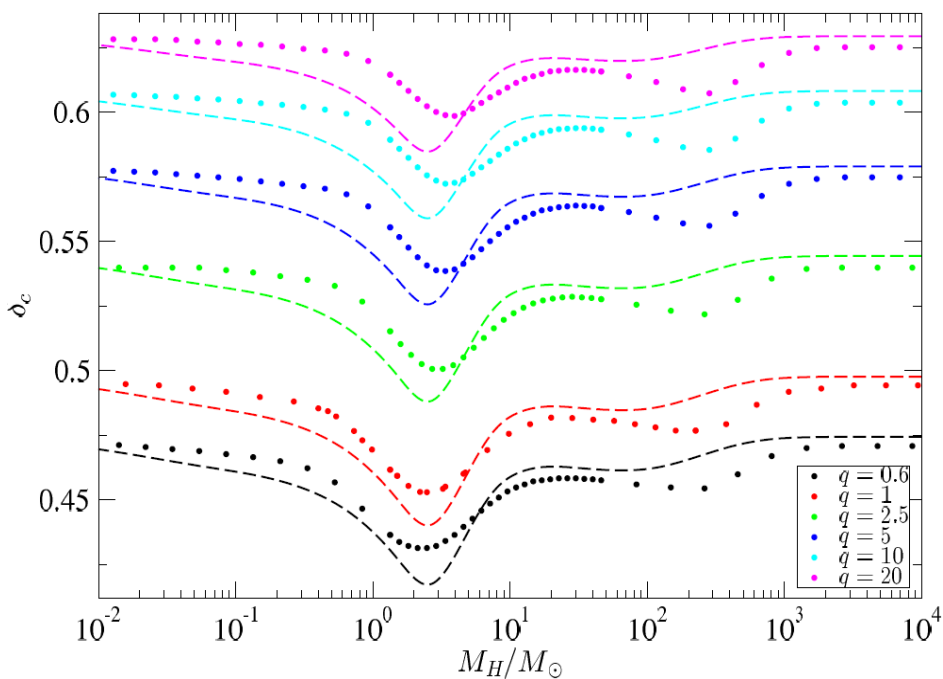


Example of the simulations: Gaussian profile



$$\delta_m \sim 0.47 \quad || \quad M_H(t_H) \sim 2.5 M_\odot$$

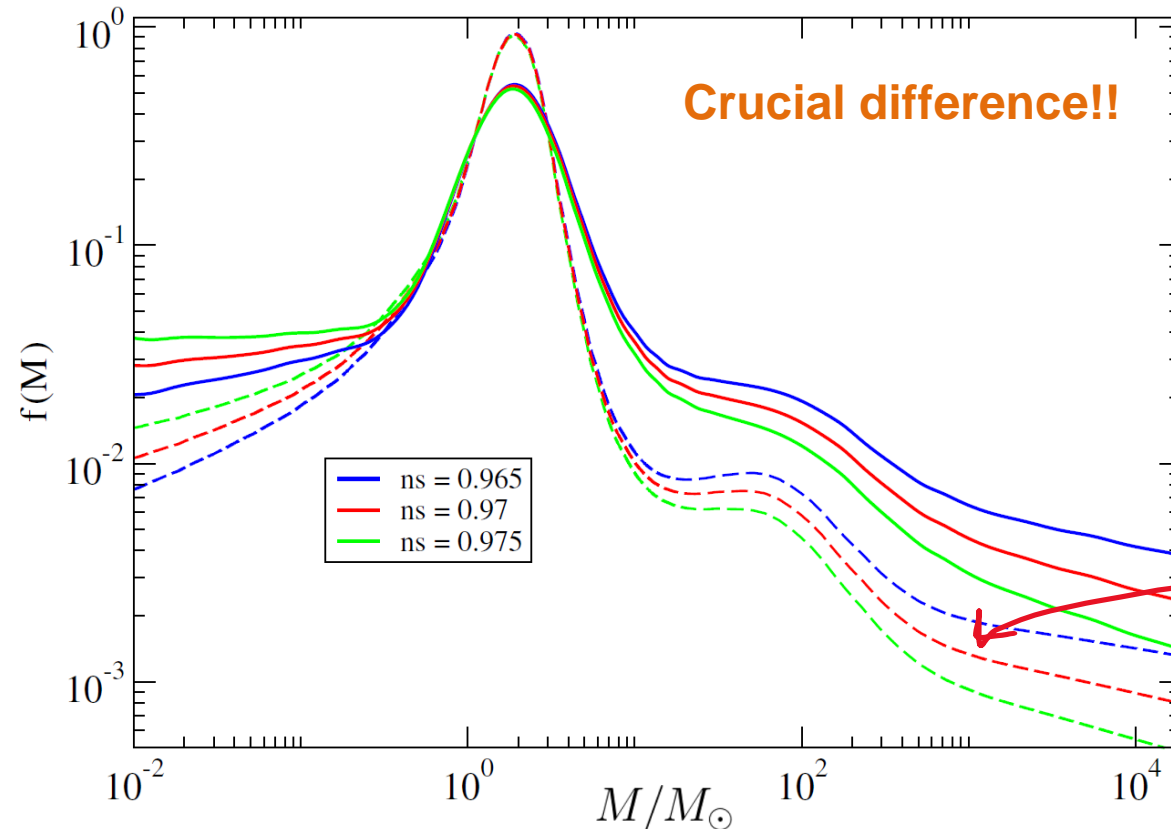
The Thresholds



The minimum threshold is located around:

$$[1 - 4] \frac{M_H}{M_\odot}$$

Crucial effect on the mass function (example)



**Fraction of PBHs
to be the dark matter**

$$\beta(M) = \operatorname{erfc} \left(\frac{\delta_c(M)}{\sqrt{2}\delta_{\text{rms}}(M)} \right)$$

Carr, Clesse, Garcia-Bellido, Kuhnel.
Arxiv:1906.08217

Computation of merging rates,
comparison with GWTC3
catalog

$$f(M) = \frac{1}{\rho_{\text{DM}}} \frac{d\rho_{\text{PBH}}}{d \ln(M)} \approx \frac{2.4}{f_{\text{PBH}}} \beta(M) \sqrt{\frac{M}{M_{\text{eq}}}}$$

Mass function!

Conclusions

- The QCD phase transition affects the process of PBH formation significantly compared with the radiation fluid
- The thresholds of PBH formation are clearly smaller in comparison with the standard case of radiation
- The QCD transition produce a peak in the mass function around two solar masses

Thanks for your attention!