

# More on Fake GUT



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(arXiv: 2205.01336)

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1. Motivation

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# Introduction of the SU(5) GUT

Goal : force unification and charge quantization

by a single group

Why was the SU(5) selected ?

$$Q = \begin{pmatrix} u \\ d \end{pmatrix}$$

$$L = \begin{pmatrix} \nu \\ e \end{pmatrix}$$

$$\bar{u} \quad \bar{d} \quad \bar{e}$$

**just enough!**

$$\bar{5} = (\bar{d}_R \quad \bar{d}_G \quad \bar{d}_B \quad e \quad -\nu),$$

$$10 = \begin{pmatrix} 0 & \bar{u}_B & -\bar{u}_G & u_R & d_R \\ -\bar{u}_B & 0 & \bar{u}_R & u_G & d_G \\ \bar{u}_G & -\bar{u}_R & 0 & u_B & d_B \\ -u_R & -u_G & -u_B & 0 & \bar{e} \\ -d_R & -d_G & -d_B & -\bar{e} & 0 \end{pmatrix}$$

# A mysterious fact in the SM

$$Q = \begin{pmatrix} u \\ d \end{pmatrix}$$

$$L = \begin{pmatrix} \nu \\ e \end{pmatrix}$$

$$\bar{u} \quad \bar{d} \quad \bar{e}$$

  
**remarkable!**

$$\bar{5} = (\bar{d}_R \quad \bar{d}_G \quad \bar{d}_B \quad e \quad -\nu),$$
$$10 = \begin{pmatrix} 0 & \bar{u}_B & -\bar{u}_G & u_R & d_R \\ -\bar{u}_B & 0 & \bar{u}_R & u_G & d_G \\ \bar{u}_G & -\bar{u}_R & 0 & u_B & d_B \\ -u_R & -u_G & -u_B & 0 & \bar{e} \\ -d_R & -d_G & -d_B & -\bar{e} & 0 \end{pmatrix}$$

There are many models composed of chiral fermions with SM gauge charges, which do not satisfy this property.

R. Foot et al., PRD 39 (1989)

**Why is the above structure unified into  $\bar{5}$ , 10 realized ?**



**Fake GUT !**

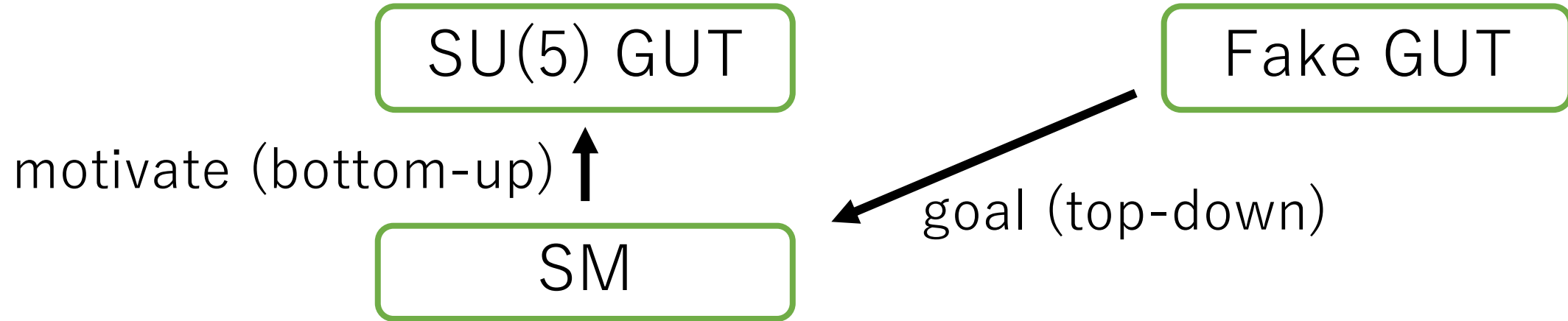
# Contents

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# Position of the fake GUT



Different framework from GUT where  $f_{\text{SM}}$ 's are unified into common multiplets at the HE.

“Fake” means the fake GUT explains the SM matter structure as well as GUT, but this is not GUT.

# What is Fake GUT ?

fermions		SM fermions at the HE
chiral	$\bar{5}, 10$	<b>Not necessarily</b> embedded into $\bar{5}, 10$
<b>vector-like</b>	<b><math>H, \bar{H}</math></b>	<b>can be</b> embedded into $H, \bar{H}$

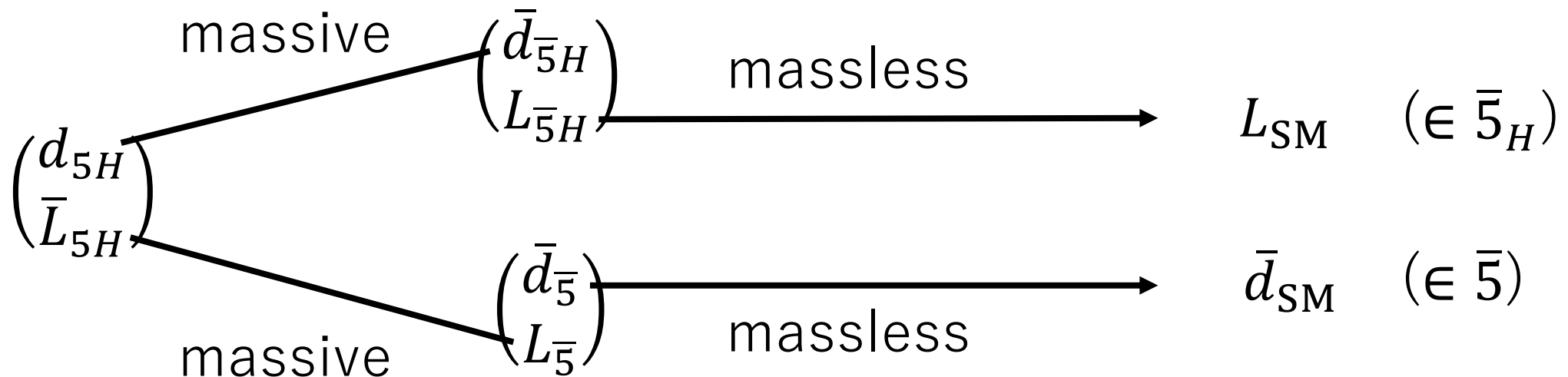
Even if  $f_{\text{SM}}$ 's are not embedded into  $\bar{5}$  and  $10$  at the HE, only  $f_{\text{SM}}$ 's remain automatically at the LE.

How SM fermions,  $f_{\text{SM}}$ , remain at the low energy  
 (Fake GUT,  $G=\text{SU}(5)$  )

Fermions                  chiral       $\bar{5}, 10$       **vector-like**       $5_H, \bar{5}_H$

$\bar{d}_{\text{SM}}$  or  $L_{\text{SM}}$  can be contained in  $\bar{5}_H$

— simple case





How SM fermions,  $f_{\text{SM}}$ , remain at the low energy

– Mass term

$$-\mathcal{L}_{mass} = \mathbf{5}_H (M_{5V} + \langle 24_H \rangle) \bar{\mathbf{5}}_H + \mathbf{5}_H (M_{5C} + \langle 24_H \rangle) \bar{\mathbf{5}}$$

previous simple case,

$$M_{5V} + \langle 24_H \rangle = \text{diag}(v, v, v, 0, 0),$$

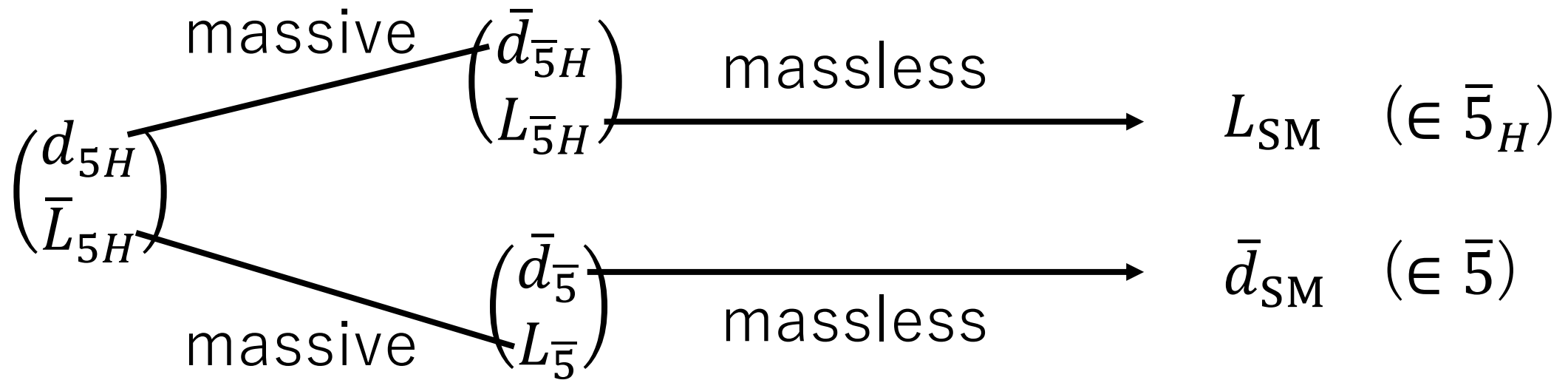
$$M_{5C} + \langle 24_H \rangle = \text{diag}(0, 0, 0, v, v)$$

In this time,

$$\begin{aligned} -\mathcal{L}_{mass} &= \mathbf{5}_H (M_{5V} + \langle 24_H \rangle) \bar{\mathbf{5}}_H + \mathbf{5}_H (M_{5C} + \langle 24_H \rangle) \bar{\mathbf{5}} \\ &= v d_{5H} \bar{d}_{\bar{5}H} + \mathbf{0} \times \bar{L}_{5H} L_{\bar{5}H} + \mathbf{0} \times d_{5H} \bar{d}_{\bar{5}} + v \bar{L}_{5H} L_{\bar{5}} \end{aligned}$$

How SM fermions,  $f_{\text{SM}}$ , remain at the low energy

$$-\mathcal{L}_{\text{mass}} = v d_{5H} \bar{d}_{\bar{5}H} + \mathbf{0} \times \bar{L}_{5H} L_{\bar{5}H} + \mathbf{0} \times d_{5H} \bar{d}_{\bar{5}} + v \bar{L}_{5H} L_{\bar{5}}$$



Even if  $f_{\text{SM}}$ 's are not embedded into  $\bar{5}$  and  $10$  at the high energy, only  $f_{\text{SM}}$ 's remain automatically.

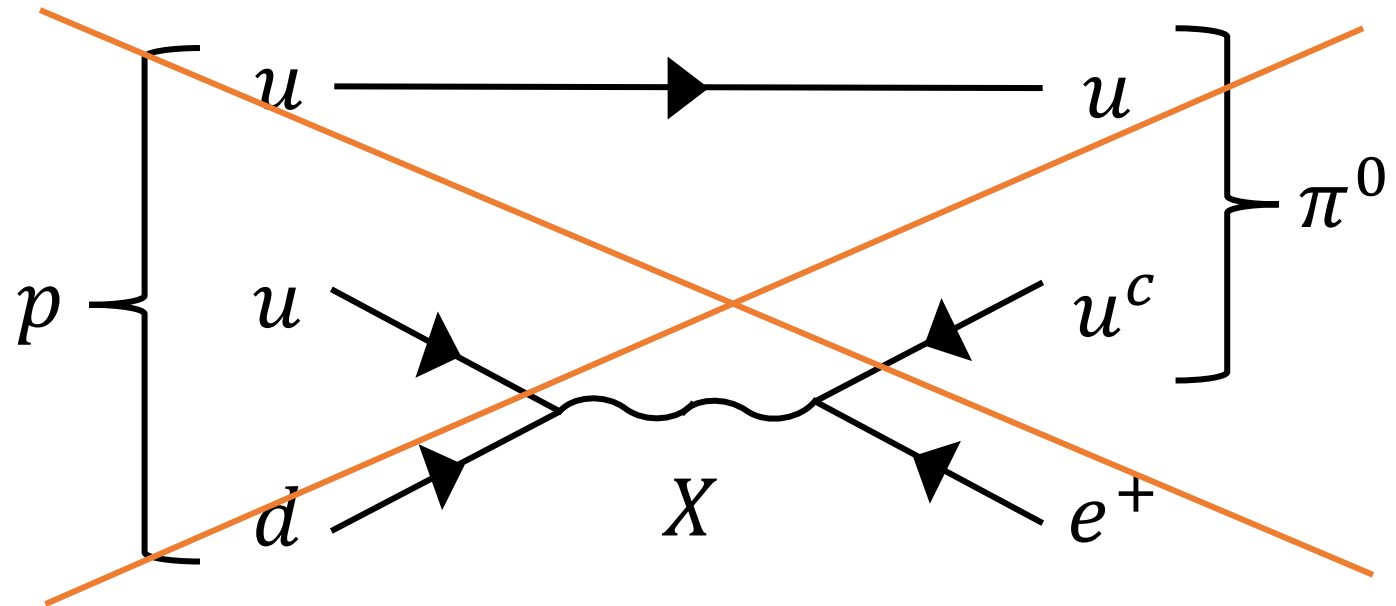
# Proton decay in the Fake GUT

If the quarks and leptons have the completely different origin,



Proton does not decay.

$$p \rightarrow \pi^0 + e^+$$



# The case of mixing

Consider the more general case,

$$M_{5V} + \langle 24_H \rangle = \text{diag}(v, v, v, \delta, \delta),$$

$$M_{5C} + \langle 24_H \rangle = \text{diag}(0, 0, 0, v, v)$$

In this time,

$$\begin{aligned} -\mathcal{L}_{mass} &= 5_H (M_{5V} + \langle 24_H \rangle) \bar{5}_H + 5_H (M_{5C} + \langle 24_H \rangle) \bar{5} \\ &= \underline{v d_{5H} \bar{d}_{\bar{5}H}} + \delta \times \bar{L}_{5H} L_{\bar{5}H} + \underline{0 \times d_{5H} \bar{d}_{\bar{5}}} + v \bar{L}_{5H} L_{\bar{5}} \end{aligned}$$

$$\longrightarrow \bar{d}_{\bar{5}} = \bar{d}_{SM}$$

# The case of mixing

– Mass terms of  $L$  component

$$\begin{aligned} -\mathcal{L}_{L\text{ mass}} &= v \bar{L}_{5H} L_{\bar{5}} + \delta \times \bar{L}_{5H} L_{\bar{5}H} \\ &= \bar{L}_{5H} (v \quad \delta) \begin{pmatrix} L_{\bar{5}} \\ L_{\bar{5}H} \end{pmatrix} = \bar{L}_{5H} (M \quad \mathbf{0}) \begin{pmatrix} L_M \\ L_{SM} \end{pmatrix} \end{aligned}$$

**Even in the mixing case ( $L_{\bar{5}} - L_{\bar{5}H}$ ),  
only  $f_{SM}$ 's remain automatically.**

– Fate of the proton ( $\bar{d}_{\bar{5}}, Q_{10}, \bar{u}_{10} = \text{SM quarks}$ )

Proton can decay ( $\because L_{\bar{5}} \ni L_{SM}$ ).

$$O_{p\text{-decay}} \propto (\bar{d}_{\bar{5}}^\dagger \bar{u}_{10}^\dagger) (L_{\bar{5}} Q_{10}) \ni \sin \theta \times \underline{(\bar{d}_{SM}^\dagger \bar{u}_{SM}^\dagger) (L_{SM} Q_{SM})}$$

The case of mixing  
mass terms of  $L$  component

$$\begin{aligned}
 -\mathcal{L}_{L\text{ mass}} &= v \bar{L}_{5H} L_{\bar{5}} + \delta \times \bar{L}_{5H} L_{\bar{5}H} \\
 &= \bar{L}_{5H} (v \quad \delta) \begin{pmatrix} L_{\bar{5}} \\ L_{\bar{5}H} \end{pmatrix} = \bar{L}_{5H} (M \quad 0) \begin{pmatrix} L_M \\ L_{SM} \end{pmatrix}
 \end{aligned}$$

generally

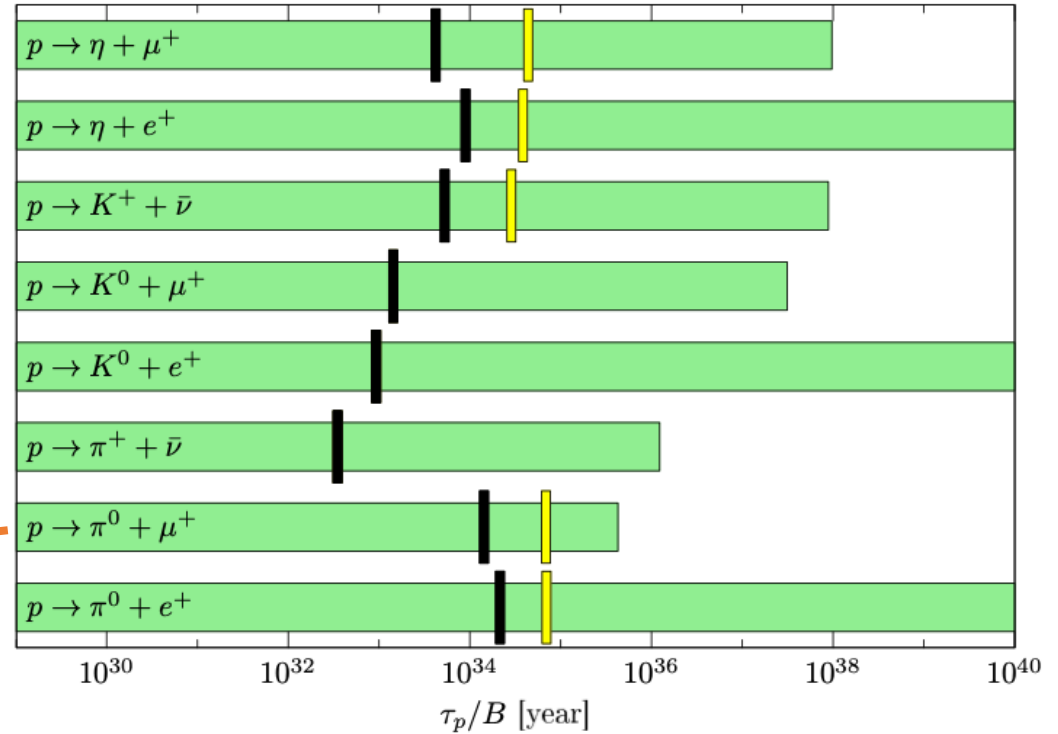
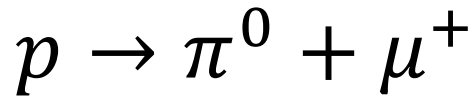
$$\longrightarrow \bar{L}_{5H,i} (M_{ij} \quad M'_{ik}) \begin{pmatrix} L_{\bar{5},j} \\ L_{\bar{5}H,k} \end{pmatrix} = \bar{L}_{5H,i} (M_i \delta_{ij} \quad 0) \begin{pmatrix} L_{M,j} \\ L_{SM,k} \end{pmatrix}$$

**Generally,**  
**different predictions of proton decay from SU(5) GUT**  
 ( $\because$  generation mixing, e.g.  $L_{\bar{5},1} \ni L_{SM,\mu}$ ).

# Proton lifetime

(  $\bar{5}, 10 \ni$  SM quarks,  $L_{\bar{5},1} (\bar{e}_{10,1}) \ni L_{SM,\mu} (\bar{e}_{SM,\mu})$  )

black : SK constraint  
yellow : HK prospect



M. Ibe et al., JHEP 07 (2022) 087

Note that proton lifetime depends on size of mixings and proton decay is not necessarily invisible in the HK.

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1. Motivation 

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# 3. Conclusion

- At HE

$$\left[ \begin{array}{c} \bar{5}, 10 \\ \bar{d} \quad Q \quad \bar{u} \end{array} \right] \left[ \begin{array}{c} H, \bar{H} \\ L \quad \bar{e} \end{array} \right] \longrightarrow$$

At LE

$$Q = \begin{pmatrix} u \\ d \end{pmatrix} \quad L = \begin{pmatrix} \nu \\ e \end{pmatrix}$$

$$\bar{u} \quad \bar{d} \quad \bar{e}$$

Let's consider without being deceived by the appearance of the matter unification.

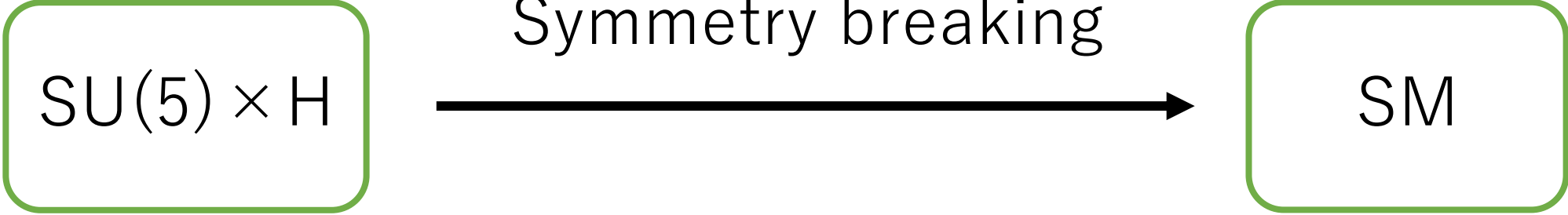
- $$\Gamma_{\text{fake GUT}} (p \rightarrow A + \dots) \neq \Gamma_{\text{conventional GUT}} (p \rightarrow A + \dots),$$

$$\frac{\Gamma_{\text{fake GUT}} (p \rightarrow A + \dots)}{\Gamma_{\text{fake GUT}} (p \rightarrow B + \dots)} \neq \frac{\Gamma_{\text{conventional GUT}} (p \rightarrow A + \dots)}{\Gamma_{\text{conventional GUT}} (p \rightarrow B + \dots)}$$

THANK YOU  
FOR YOUR  
ATTENTION!

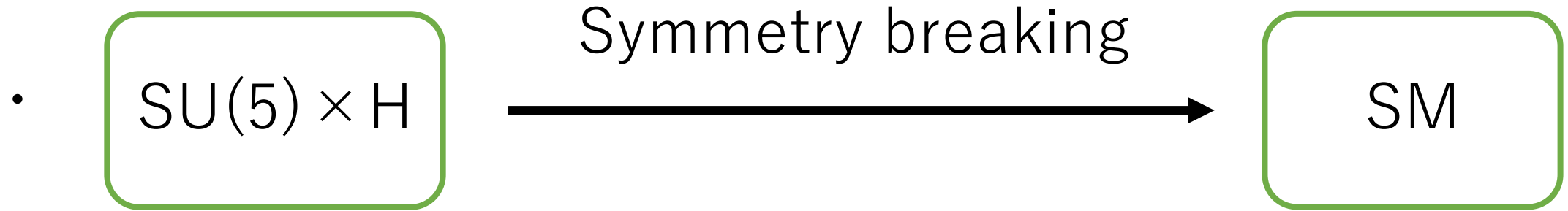
BACK UP

# Definition of the fake GUT

- 

$SU(5) \times H$   $\xrightarrow{\text{Symmetry breaking}}$  SM
- Cartan of  $SU(5) \supset$  Cartan of  $(SU(3)_c, SU(2)_L, U(1)_Y)$
- Fermions  
Chiral fermion  $\bar{5}, 10$   
Vector-like fermion  $H, \bar{H}$  (under  $SU(5) \times H$ )
- (Some of  $SU(3)_c, SU(2)_L$  and  $U(1)_Y$  may be diagonal subgroup of  $SU(5) \times H$ )

# Definition of the fake GUT



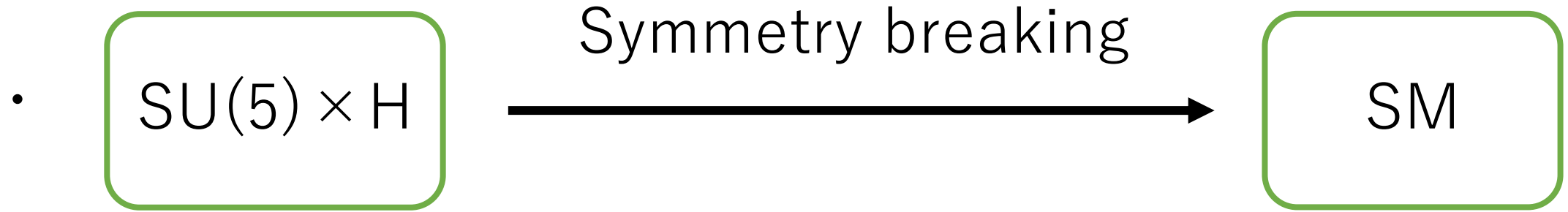
- Fermions

Chiral fermion  $\bar{5}, 10$

Vector-like fermion  $H, \bar{H}$

In the SU(5) GUT, all  $f_{SM}$ 's are contained in  $\bar{5}$  and 10.

# Definition of the fake GUT



- Fermions

Chiral fermion  $\bar{5}, 10$

Vector-like fermion  $H, \bar{H}$

In the fake GUT,  $f_{SM}$ 's can be contained in vector-like fermions.

# An example of anomaly-free chiral fermion set

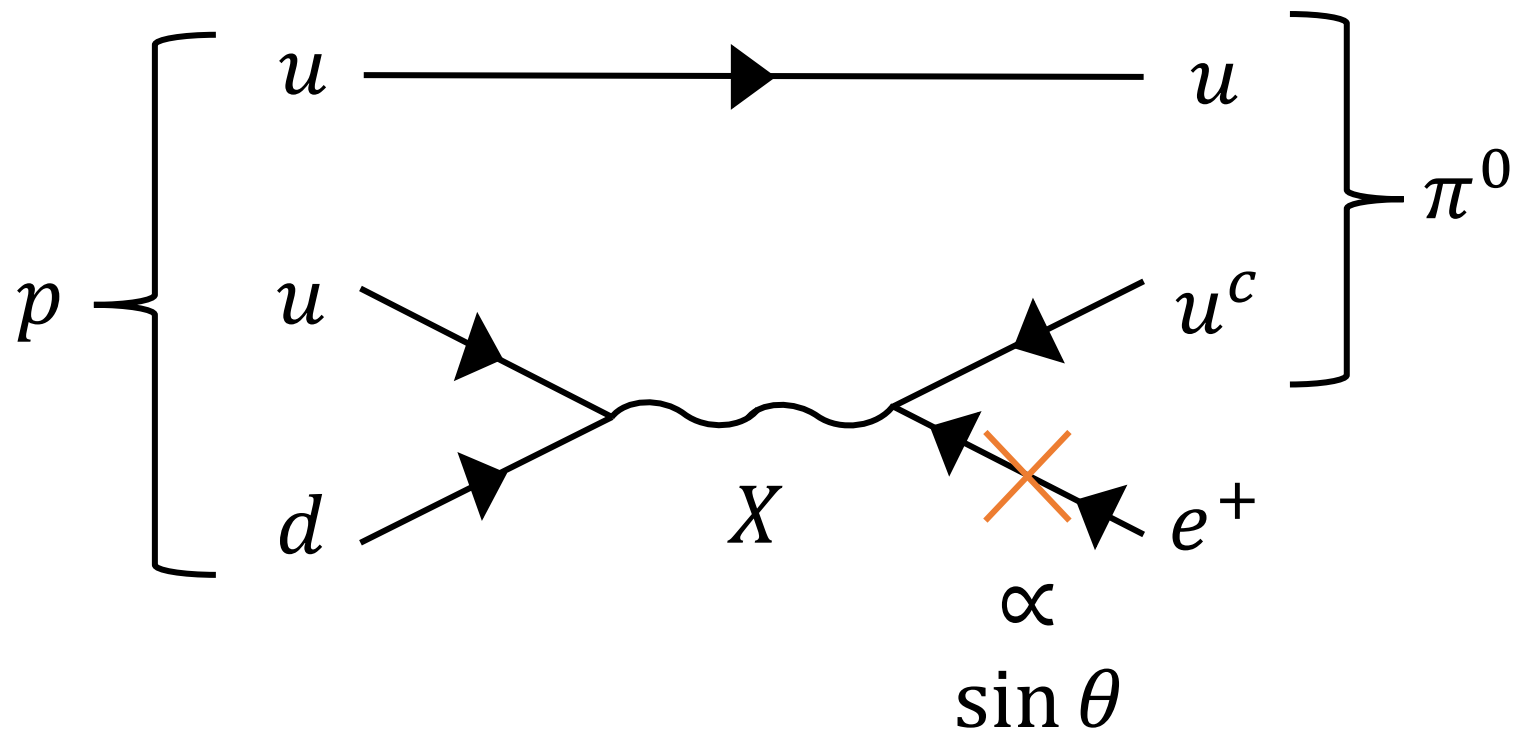
R. Foot et al, PRD 39 (1989) 3411-3424

	$Q_1$	$Q_2$	$Q_3$	$Q_4$	$L_1$	$L_2$	$L_3$	$L_4$
$SU(3)_c$	$\bar{3}$	3	3	$\bar{3}$	1	1	1	1
$SU(2)_L$	3	2	2	1	3	2	2	1
$U(1)_Y$	$-1/3$	$5/6$	$-1/6$	$-1/3$	1	$-3/2$	$-1/2$	1

Above chiral fermion set cannot be embedded into  $\bar{5}$  and  $10$ .

# Diagram of proton decay ( $p \rightarrow \pi^0 + e^+$ )

If  $L_{\bar{5}}$  and  $\bar{e}_{10}$  contain  $\sin \theta L_{\text{SM}}$  and  $\sin \theta \bar{e}_{\text{SM}}$  respectively,





# Proton lifetime

( $L_{\bar{5},1}$  and  $\bar{e}_{10,1}$  contain  $\sin \theta L_{\text{SM},e}$  and  $\sin \theta \bar{e}_{\text{SM},e}$  )

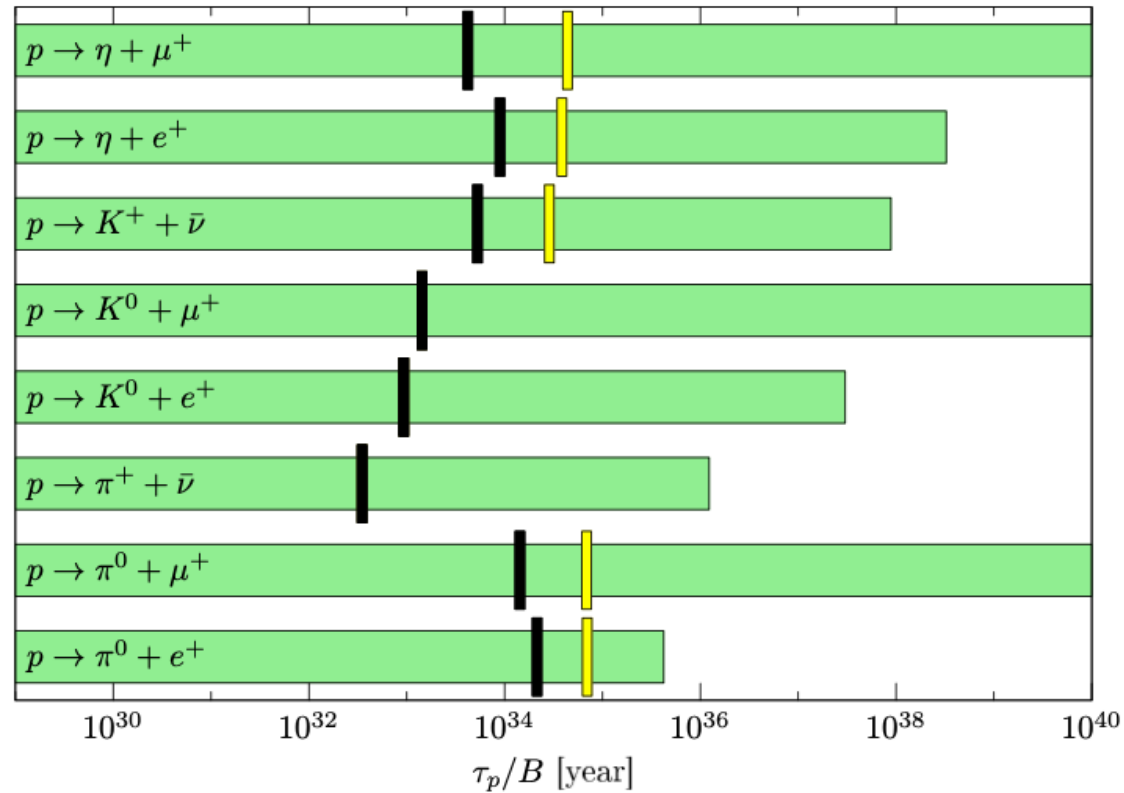
$$\tau(p \rightarrow \pi^0 + e^+) \cong 5 \times 10^{26} \frac{1}{\sin^2 \theta} \left( \frac{M_X / g_5}{10^{14} \text{ GeV}} \right)^4 \text{ yrs}$$

→  $\sin \theta \lesssim 10^{-4}$  due to  $\tau(p \rightarrow \pi^0 + e^+) > 2.4 \times 10^{34} \text{ yrs}$

A. Takenaka et al. (SK collaboration) PRD102, 112011 (2020)

# Proton lifetime

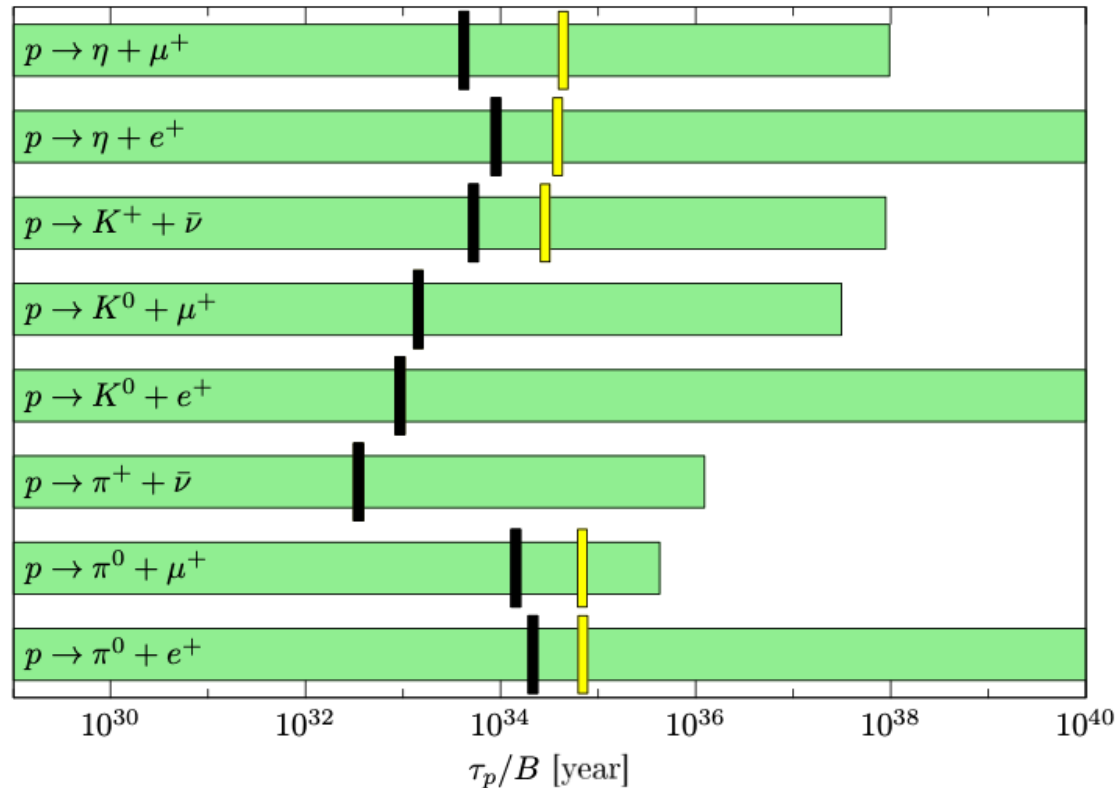
$$\begin{aligned}
 -\mathcal{L}_{mass} &= \nu (\bar{L}_{H,1} \quad \bar{L}_{H,2}) \begin{pmatrix} 1 & 0 & 10^{-4} & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} (L_{\bar{5},1} \quad L_{\bar{5},2} \quad L_{H,1} \quad L_{H,2})^T \\
 &= \nu \bar{L}_{H,1} (1 \quad 10^{-4}) (L_{\bar{5},1} \quad L_{H,1})^T + \nu \bar{L}_{H,2} L_{\bar{5},2}
 \end{aligned}$$



black : SK  
yellow : HK

# Proton lifetime

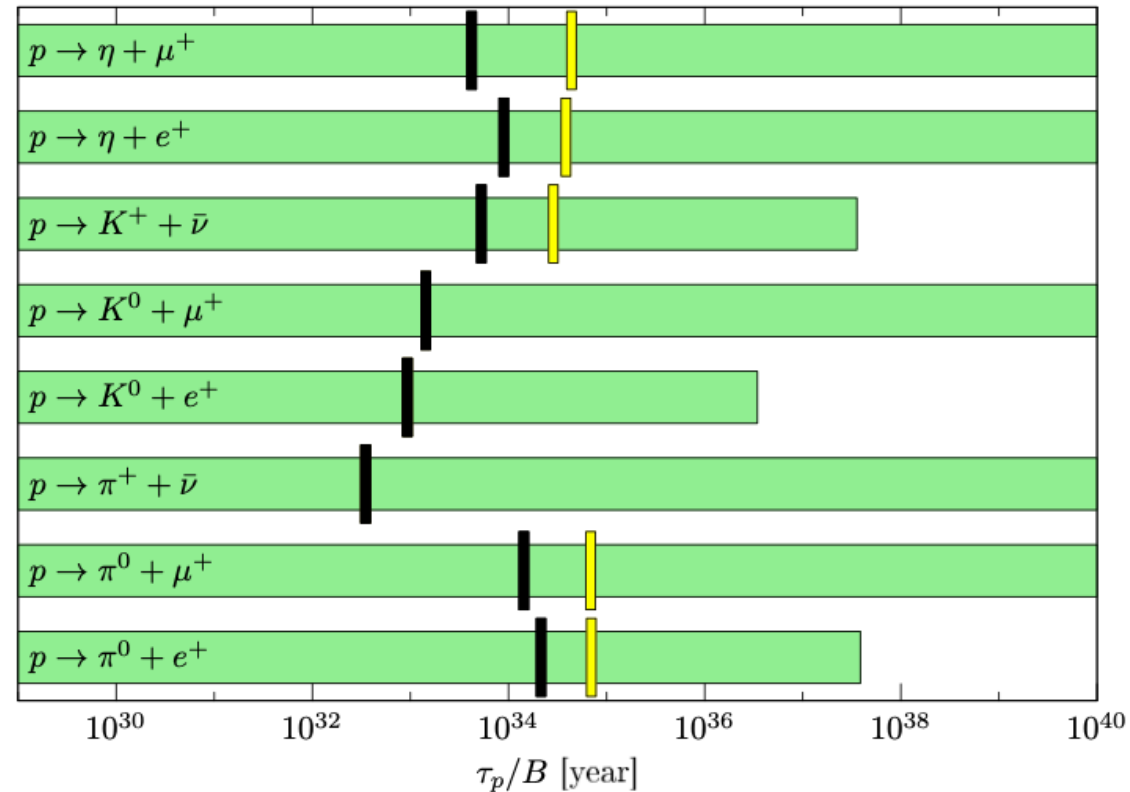
$$\begin{aligned}
 -\mathcal{L}_{mass} &= \nu(\bar{L}_{H,1} \quad \bar{L}_{H,2}) \begin{pmatrix} 1 & 0 & 0 & 10^{-4} \\ 0 & 1 & 0 & 0 \end{pmatrix} (L_{\bar{5},1} \quad L_{\bar{5},2} \quad L_{H1} \quad L_{H,2})^T \\
 &= \nu \bar{L}_{H,1} (1 \quad 10^{-4}) (L_{\bar{5},1} \quad L_{H,2})^T + \nu \bar{L}_{H,2} L_{\bar{5},2}
 \end{aligned}$$



black : SK  
yellow : HK

# Proton lifetime

$$\begin{aligned}
 -\mathcal{L}_{mass} &= \nu(\bar{L}_{H,1} \quad \bar{L}_{H,2}) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 10^{-4} & 0 \end{pmatrix} (L_{\bar{5},1} \quad L_{\bar{5},2} \quad L_{H1} \quad L_{H,2})^T \\
 &= \nu \bar{L}_{H,2} (1 \quad 10^{-4}) (L_{\bar{5},2} \quad L_{H,1})^T + \nu \bar{L}_{H1} L_{\bar{5},1}
 \end{aligned}$$



black : SK  
yellow : HK

# $SU(5) \times U(2)_H$ model

Fermions (  $SU(5), SU(2)_H, U(1)_H$  )

$$\bar{5} : (\bar{5}, 1, 0) \qquad 10 : (10, 1, 0)$$

$$L_H : (1, 2, -1/2) \qquad \bar{L}_H : (1, 2, 1/2)$$

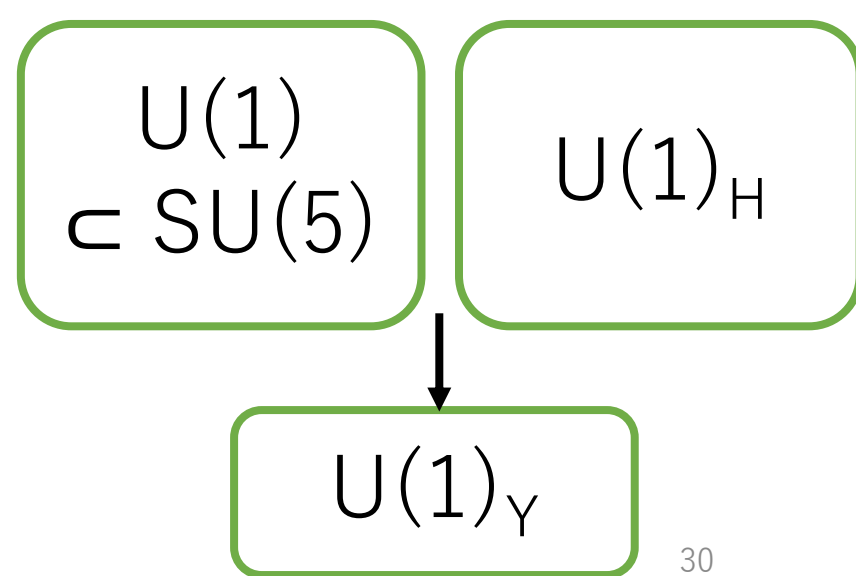
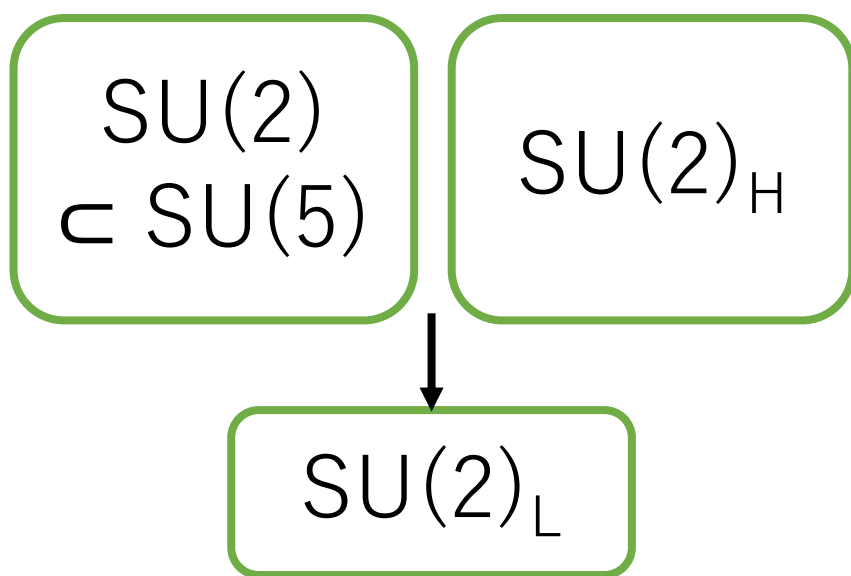
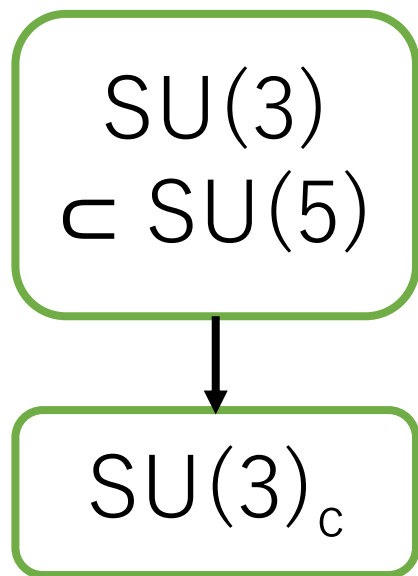
$$E_H : (1, 1, -1) \qquad \bar{E}_H : (1, 1, 1)$$

- As we will show later, SM leptons are mostly contained in  $L_H$  and  $\bar{E}_H$ .
- SM quarks are all contained in  $\bar{5}$  and  $10$ .

# $SU(5) \times U(2)_H$ model

Scalar  $\phi_2 : (5, 2, -1/2)$  of  $(SU(5), SU(2)_H, U(1)_H)$

$$\langle \phi_2 \rangle = \left( \underbrace{\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}_{SU(3)} \quad \underbrace{\begin{pmatrix} v_2 & 0 \\ 0 & v_2 \end{pmatrix}}_{SU(2)} \right) \Bigg\} SU(2)_H$$



# SU(5) × U(2)<sub>H</sub> model

## Lagrangian

$$\mathcal{L} = m_L L_H \bar{L}_H + \lambda_L \bar{5} \phi_2 \bar{L}_H + m_E E_H \bar{E}_H + \frac{\lambda_E}{\Lambda} E_H \phi_2^\dagger \phi_2^\dagger \mathbf{10}$$

( $E_H$  and  $\bar{E}_H$  are omitted) ↓

$$\bar{L}_H \begin{pmatrix} \lambda_L \frac{v_2}{\sqrt{2}} & m_L \end{pmatrix} \begin{pmatrix} L_{\bar{5}} \\ L_H \end{pmatrix} \longrightarrow \bar{L}_H \begin{pmatrix} M_L & 0 \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix}$$

Only SM fermions remain massless at the low energy.

$$\begin{array}{ccc} \bar{d}_{\bar{5}} & \longrightarrow & \text{quark} \\ L_M & \xrightarrow{\langle \phi_2 \rangle, m_L} \bar{L}_H & \\ & L & \longrightarrow \text{lepton} \end{array}$$

# $SU(5) \times U(2)_H$ model

Mixing of lepton components

$L$  : SM lepton

$L_M$  : heavy lepton

$$\begin{pmatrix} L_{\bar{5}} \\ L_H \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix} \quad \tan \theta = \frac{m_L}{\lambda_L v_2}$$



# $SU(5) \times U(2)_H$ model

Yukawa interactions

We consider a case one SM Higgs remains in the low energy.

Scalar containing the SM Higgs

$$H_5 : (5, 1, 0)$$

$$H_5 = \begin{pmatrix} h_5^{color} \\ h_5^{SM} \end{pmatrix}$$

$$H_2 : (1, 2, 1/2)$$

$$H_2 = h_2^{SM}$$

Higgs mixing term

$$\mathcal{L}_{52\,mix} = \mu_{mix} H_2 \phi_2 H_5^* + h.c.$$

$$h^{SM} = \cos \theta_h h_2^{SM} - \sin \theta_h h_5^{SM}$$

# $SU(5) \times U(2)_H$ model

Yukawa interactions

$$\mathcal{L}_{YQ} = -(\mathbf{y}_5)_{ij} \bar{5}_i 10_j H_5^* - (\mathbf{y}_{10})_{ij} 10_i 10_j H_5 + h.c.$$

$$\mathcal{L}_{YL} = -(\mathbf{y}_{LE})_{ij} L_{Hi} \bar{E}_{Hj} H_2^* + h.c.$$

$$(\mathbf{y}_u^{SM})_{ij} = -\sin \theta_h (\mathbf{y}_{10})_{ij}$$

$$(\mathbf{y}_d^{SM})_{ij} = -\sin \theta_h (\mathbf{y}_5)_{ij}$$

$$(\mathbf{y}_e^{SM})_{ij} = \cos \theta_h (\mathbf{y}_{LE})_{ij} + \mathcal{O}(\theta_L \theta_E) \sin \theta_h (\mathbf{y}_5)_{ij}$$

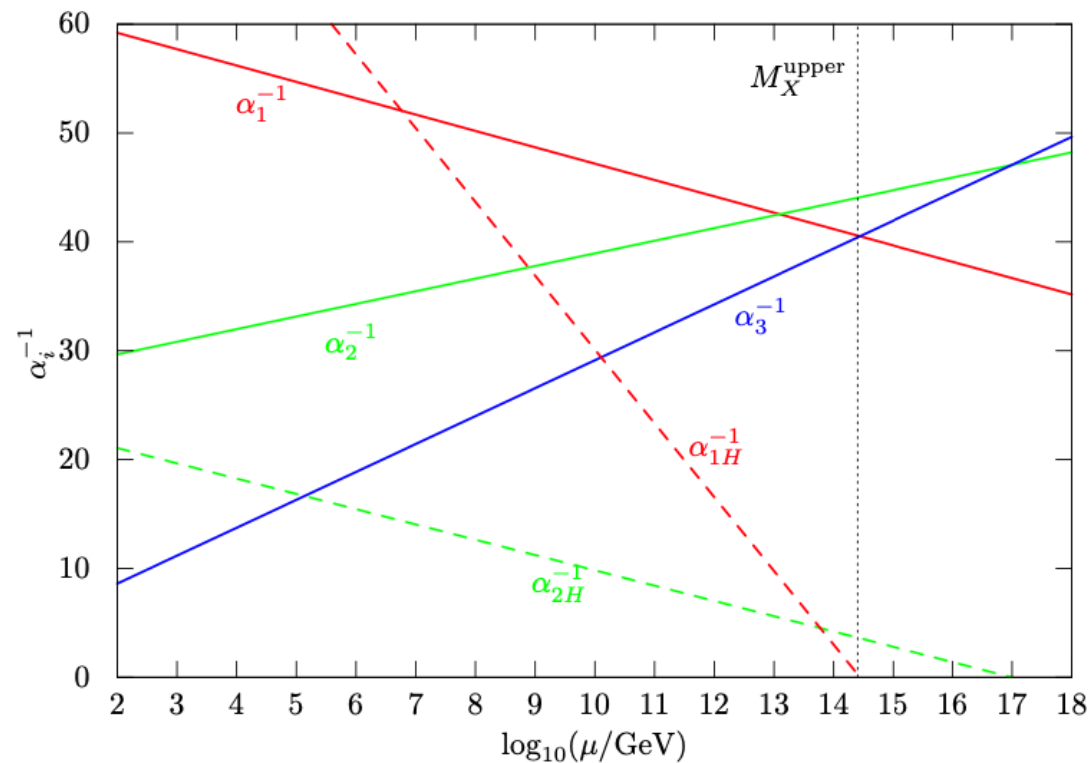
# SU(5) × U(2)<sub>H</sub> model

Gauge couplings

$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5} \alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$



$$M_X^{\text{upper}} \cong 10^{14.4} \text{ GeV}$$