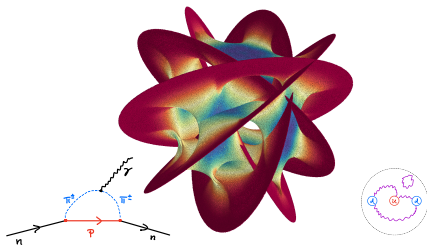


# PQ AXIVERSE



Calabi-Yau image by Geoff Fatin

based on [\[2204.06566\]](#) with Mehmet Demirtas, Naomi Gendler,  
Cody Long, and Liam McAllister

07/28/2022 at PASCOS 2022

Jakob Moritz (Cornell)

## KEY TAKE AWAYS

We study the [Peccei-Quinn mechanism](#) and its quality problem in a vast [landscape of type IIB models](#) on Calabi-Yau hypersurfaces in toric varieties.

We find that the quality problem is generically absent for  $N \gtrsim 20$  axions, [in the geometric regime](#).

Hierarchical large- $N$  structures of divisor volumes appear to solve the quality problem.

## GENERAL REMARKS

**general goal:** make string theory predictions accessible to low-energy observers (such as us).

**Obviously difficult** due to  $\frac{m_Z}{M_P} \sim 10^{-17} \ll 1$ .

Instead of tackling QG feature directly, **study the landscape** of low-energy theories arising in string theory.

One of the most ubiquitous features of string compactifications:



**promising candidate:** inflaton, dark matter, ...,  
solution to strong CP problem

## PLAN

1. Strong CP problem, PQ-Axion solution, PQ quality problem and all that.
2. Evading a quality problem from small QCD instantons.
3. Evading a quality problem from stringy instantons.
4. Conclusions

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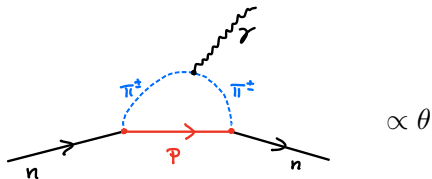
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# STRONG CP PROBLEM

Strong CP problem is a **tuning problem** of the standard model:

$$S_{QCD} \supset \frac{1}{8\pi} \int \frac{\theta}{2\pi} \text{Tr} (G \wedge G) \quad \text{breaks CP.}$$

Gives electric dipole moment to neutron:



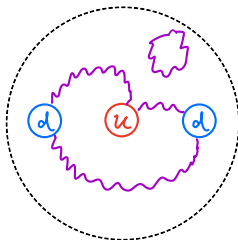
## STRONG CP PROBLEM (continued)

Experiment constrains neutron EDM, leading to sharp bound:

$$\theta \lesssim 10^{-10}$$

Strong CP problem:

Why is the neutron so incredibly neutral?!



## THE PQ MECHANISM

Axion-solution [Peccei,Quinn'77]:  $\theta$  is vev of dynamical pseudo-scalar:

$$\mathcal{L} \supset -\frac{f_\theta^2}{2}(\partial\theta)^2$$

As  $\theta$ -dependent non-perturbative potential induced by renormalizable QCD has  $\theta = 0$  as its minimum [Vafa,Witten'84],

$$V_{QCD}(\theta) = \frac{1}{2} \frac{m_u m_d}{(m_u + m_d)^2} \Lambda_{QCD}^4 \theta^2 + \mathcal{O}(\theta^4)$$

axion will relax dynamically to

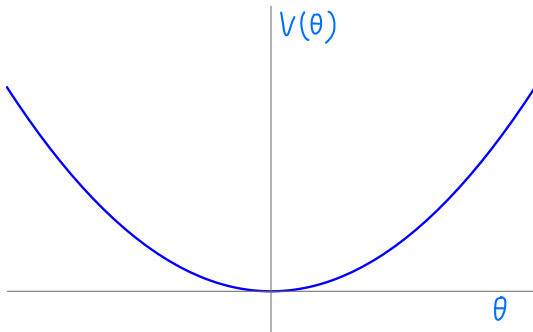
$$\langle \theta \rangle = 0$$



## THE PQ QUALITY PROBLEM

The axion solution comes with its own problem: one has to assume that the “pre-QCD” axion-potential is very small:

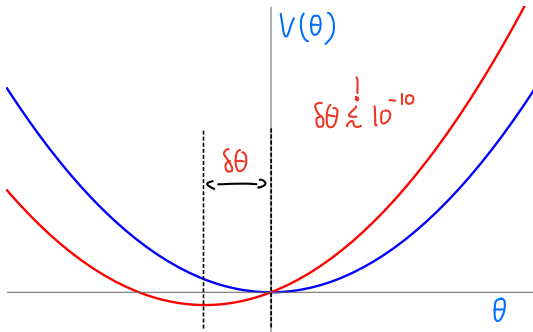
$$V(\theta) = V_{QCD}(\theta) \quad ,$$



# THE PQ QUALITY PROBLEM

The axion solution comes with its own problem: one has to assume that the “pre-QCD” axion-potential is very small:

$$V(\theta) = V_{QCD}(\theta) + V_{hidden}(\theta),$$



## THE PQ QUALITY PROBLEM

$$V_{hidden}(\theta) \stackrel{!}{\lesssim} 10^{-10} \Lambda_{QCD}^4 \sim e^{-200} M_P^4$$

or highly CP preserving, (or a combination of both).

At first glance, this looks just as bad as the original strong CP problem...

## STRING THEORY TO THE RESCUE ?

In string theory, **dynamical axions** coupling to non-abelian gauge groups **are ubiquitous**.

Under mild restrictions axion shift symmetries are broken **only non-perturbatively**, thus abating the PQ quality problem

[Dine'86; Conlon'06; Svrcek, Witten'06;...]

**But:** Need to check that this actually works!

Goal for the rest of this talk:

Show that PQ quality problem is generically evaded in type IIB Calabi-Yau orientifold models, with QCD hosted on stacks of seven-branes.

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## STRING THEORY TO THE RESCUE ?

PQ quality problem can come from two (related) sources:

1. **UV limit of QCD gauge instanton**. Rather model-independent, i.e. can study without **explicit** reference to string compactifications.
2. **Stringy instantons**. Meaningful analysis requires string theory.

→ will study both in turn.

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# A QUALITY PROBLEM FROM QCD ITSELF ?

Gauge-instantons in **renormalizable** QCD induce potential

$$V_{QCD}(\theta) = \int \frac{d\mu}{\mu} I_{inst}(\mu, \theta) + c.c.$$

$$I_{inst}(\mu, \theta) =$$

$$\sim \mu^4 \frac{\det(m)}{\mu^6} e^{-\frac{8\pi^2}{g^2(\mu)} - i\theta}$$

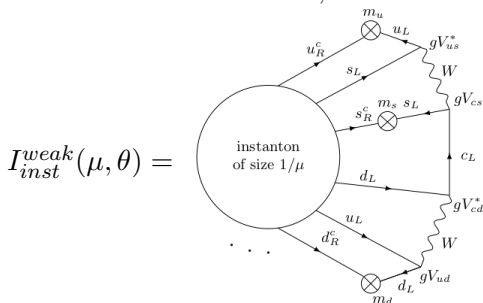
and this is minimized at  $\theta = 0$ :



# A QUALITY PROBLEM FROM QCD ITSELF ?

But QCD as an EFT below weak scale is **not renormalizable**.

CP breaking in the weak interactions already shifts the  $\theta$ -minimum,



Happily, this is within the allowed region:  $\langle \theta \rangle \rightarrow 10^{-19} \ll 10^{-10}$

[Georgi,Randall'86]

# A QUALITY PROBLEM FROM QCD ITSELF ?

Viewing the SM as an EFT with cutoff  $M$  we are allowed to consider further sources of CP breaking from dimension six operators, e.g.

$$S_{eff} \supset \int d^4x \frac{\lambda_{ijkl}}{M^2} \mathcal{O}_6^{ijkl} + c.c., \quad \mathcal{O}_6^{ijkl} = \epsilon_{ab} D^i Q^{j,a} U^k Q^{l,b}$$

$$I_{inst}^{BSM}(\mu, \theta) = \text{instanton of size } 1/\mu \cdot \frac{\lambda_{iiii}}{M^2} \cdot \sim \mu^4 \prod_{i=1}^3 \frac{\mu^2 \lambda_{iiii}}{M^2} e^{-\frac{8\pi}{g^2(\mu)} - i\theta}$$

The diagram illustrates the interaction of an instanton with fermions. A large circle on the left is labeled "instanton of size 1/μ". Four arrows originate from the right side of this circle and point towards a vertex labeled  $\frac{\lambda_{iiii}}{M^2}$ . The arrows are labeled from top to bottom as  $u_L$ ,  $u_R^c$ ,  $d_L$ , and  $d_R^c$ . To the right of the vertex, there is a series of dots and a tilde symbol, followed by the mathematical expression  $\sim \mu^4 \prod_{i=1}^3 \frac{\mu^2 \lambda_{iiii}}{M^2} e^{-\frac{8\pi}{g^2(\mu)} - i\theta}$ .

Whether or not this generates a sufficiently small  $V_{hidden}(\theta)$  depends on the UV!

## THE UV QCD POTENTIAL

Let us make the following (mild?) assumptions:

1. The standard model is supersymmetrically completed at some scale  $M_{SUSY}$ . No further light states charged under QCD.
2. 4d EFT breaks down at some UV scale  $M$ , where CP becomes fully broken.

“SUSY-desert scenario”

Following the (one-loop) RG flow we find

[Demirtas,Gendler,Long,McAllister,JM'21]

$$\delta V_{hidden}(\theta) \sim 10^{-12} \left( \frac{1 \text{ TeV}}{M_{SUSY}} \right)^3 \Lambda_{QCD}^4 (1 - \cos(\theta + \phi_0))$$

(up to factor logarithmic in  $M/m_Z$ )

## THE UV QCD POTENTIAL (continued)

### Some upshots:

- ▶ Generic CP breaking in the deep UV **almost** spoils the PQ mechanism for low SUSY breaking scale!
- ▶ **High SUSY breaking scale** suppresses the effects of small instantons because the QCD coupling runs faster without SUSY.
- ▶ Adding light **vector-like pairs endangers the PQ solution more**, and can spoil it.

In **SUSY-desert scenario** small instantons do **not** lead to a quality problem.

Rest of talk: Assume this scenario, and inquire about **stringy instantons** in the type IIB landscape.

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## A STRINGY SETUP

To fully assess PQ quality, need to restrict to a workable part of the string landscape:

- ▶ Consider type IIB O3/O7 orientifolds of Calabi-Yau threefolds  $X$ , with QCD realized on stack of seven-branes wrapping divisor  $D$ , **at large volume**:

$$\text{Vol}(D) > 1$$

- ▶ Chern-Simons action of D-branes implies that

$$\theta = 2\pi \int_D C_4, ,$$

so presence of **QCD axion is automatic**.

- ▶ In general,  $\theta$  is linear combination of  $h^{1,1}(X)$  independent axions  $\theta = \sum_{A=1}^{h^{1,1}} q_A \xi^A$ .
- ▶  $V_{hidden}(\xi)$  is generated by euclidean D3-branes wrapped on divisors (this includes the UV-limit of the QCD instanton).

## STRATEGY

- ▶ Consider the Kreuzer-Skarke dataset of Calabi-Yau hypersurfaces  $X$  in toric fourfolds  $V$ .
- ▶ For each CY scan over distinct choices of QCD-divisors  $D$  in set of  $h^{1,1}(X) + 4$  toric divisors intersecting hypersurface.
- ▶ Assume  $M_{SUSY} = 1$  TeV to endanger PQ mechanism most.
- ▶ Dilate overall volume to reproduce correct QCD coupling at low energies.
- ▶ compute all BPS instanton actions and demand that they do not collectively spoil  $\langle \theta \rangle \lesssim 10^{-10}$ .

## OUR ENSEMBLE OF GEOMETRIES

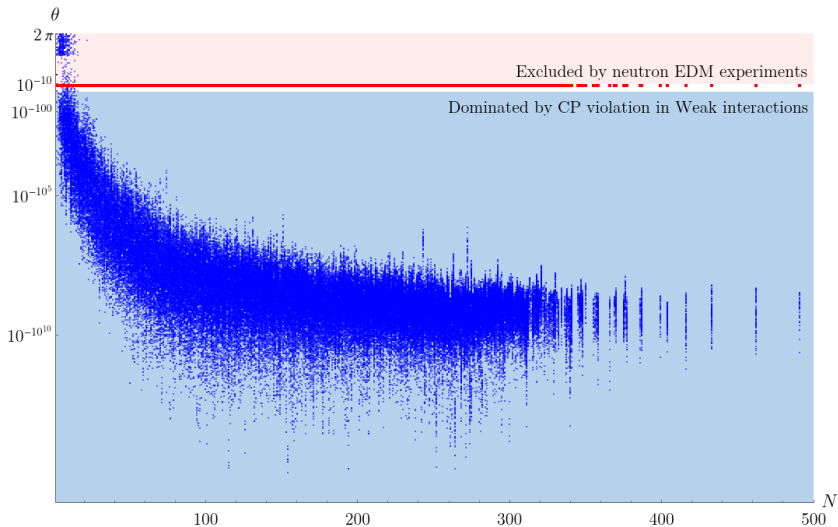
With above scheme we studied:

- ▶ 32,040 CY's with  $2 \leq h^{1,1} \leq 491$ .
- ▶ up to 10 polytopes per  $h^{1,1}$ , up to 10 triangulations per CY.
- ▶ up to 5 choices of QCD divisor.

Total ensemble size: 136,659 distinct models.



# RESULTS



No PQ quality problem for  $h^{1,1} \gtrsim 20$ :  $\delta\theta_{\text{stringy}} \sim e^{-1.8 \times (h^{1,1})^4}$

## COMMENTS

Why does the PQ mechanism work so well at large  $h^{1,1}$ ?

- ▶ Generating a quality problem in a many-axion theory requires existence of instantons with charges  $q_1, \dots, q_n$ , all more relevant than UV-QCD gauge instanton, and such that  $q_{QCD} \in \text{span}(q_1, \dots, q_n)$ .
- ▶ This does happen sometimes, even at large  $h^{1,1}$ , but once this occurs the smallest divisor volume is very small, thus outside of regime of computational control.

Absence of quality problem explained by hierarchical structures found in Calabi-Yau's at large  $h^{1,1}$ !

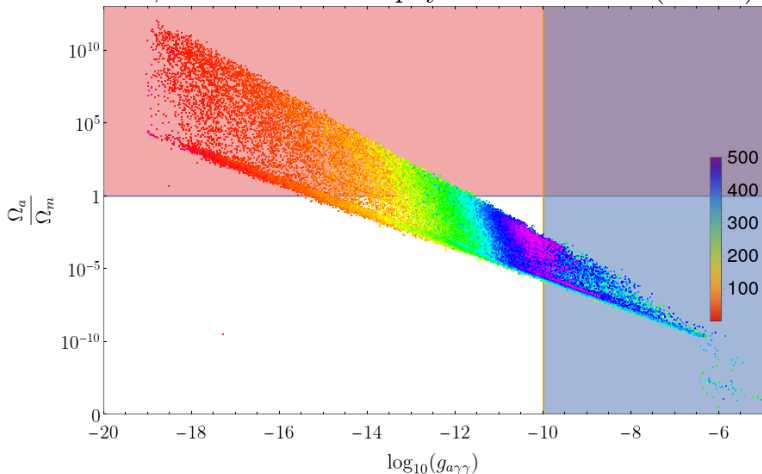
## CONCLUSIONS

- ▶ Studied the Peccei-Quinn mechanism and its potential quality problem in type IIB landscape.
- ▶ PQ quality is endangered most for low SUSY breaking scale. For  $M_{SUSY} = 1$  TeV, expect  $\theta_{QCD} \sim 10^{-12}$  due to small gauge instantons.
- ▶ For  $N \gtrsim 20$  axions stringy instantons are negligible and thus do not lead to a quality problem.
- ▶ *Aside:* Many models appear to be consistent with both dark matter and astrophysical exclusion bounds.

THANKS !

# COSMO AND ASTROBOUNDS

Aside: In our ensemble we have also computed dark matter relic abundances, and checked astrophysical constraints (CAST):



Many models appear not ruled out by either!

# VECTORLIKE PAIRS

More generally, consider adding  $n$  vector-like pairs in  $3 + \bar{3}$ .  
Then, small instantons can lead to a quality problem:

