

# Dynamical NEC Violation in Finite Volumes

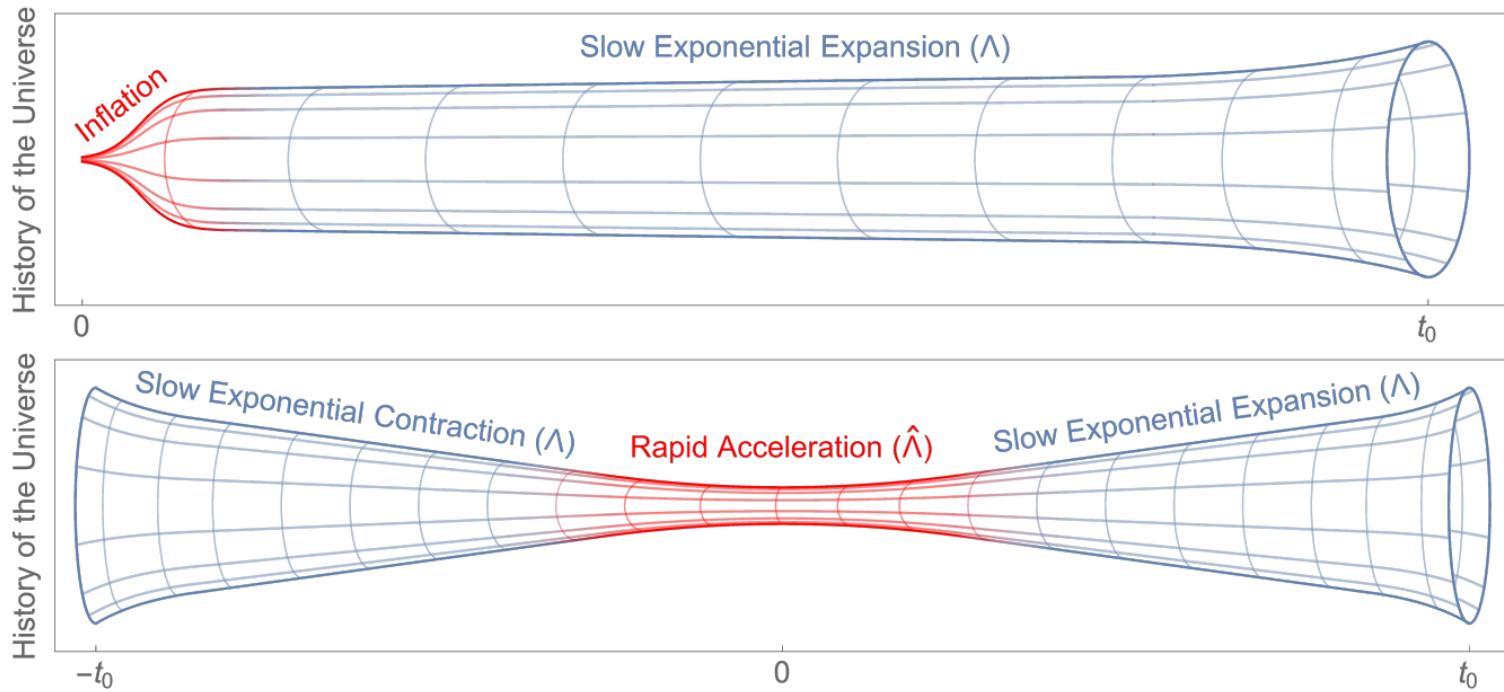
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## I. MOTIVATION

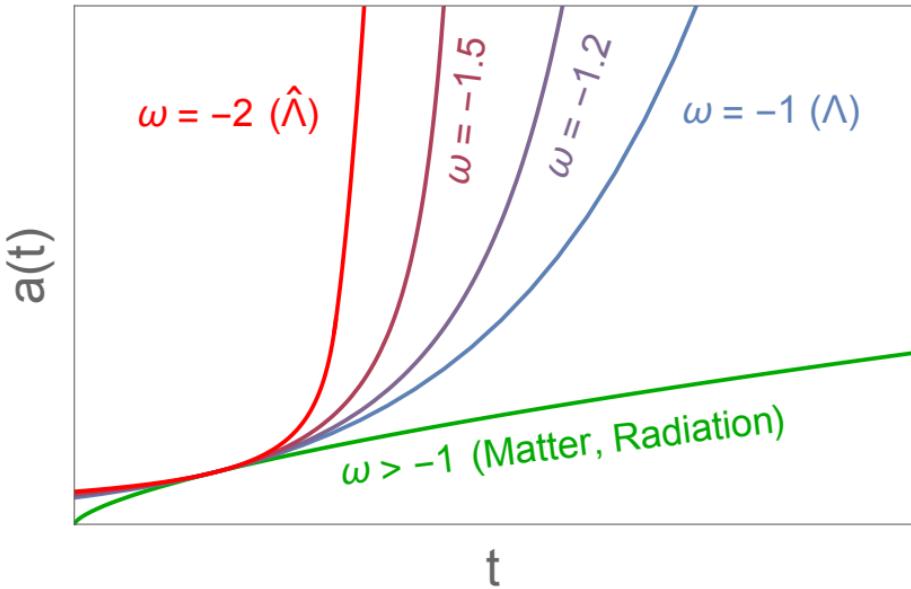
### A. The Horizon Problem

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## I. MOTIVATION

### B. Fluid Content of the Universe



General Equation of State:  $p = \omega\rho$

$$a(t, \omega \neq -1) = \left[ (1 + \omega) \frac{t}{t_0} - \omega \right]^{\frac{2}{3(1+\omega)}}$$

$$a(t, \omega = -1) = e^{\frac{2}{3t_0}(t-t_0)}$$

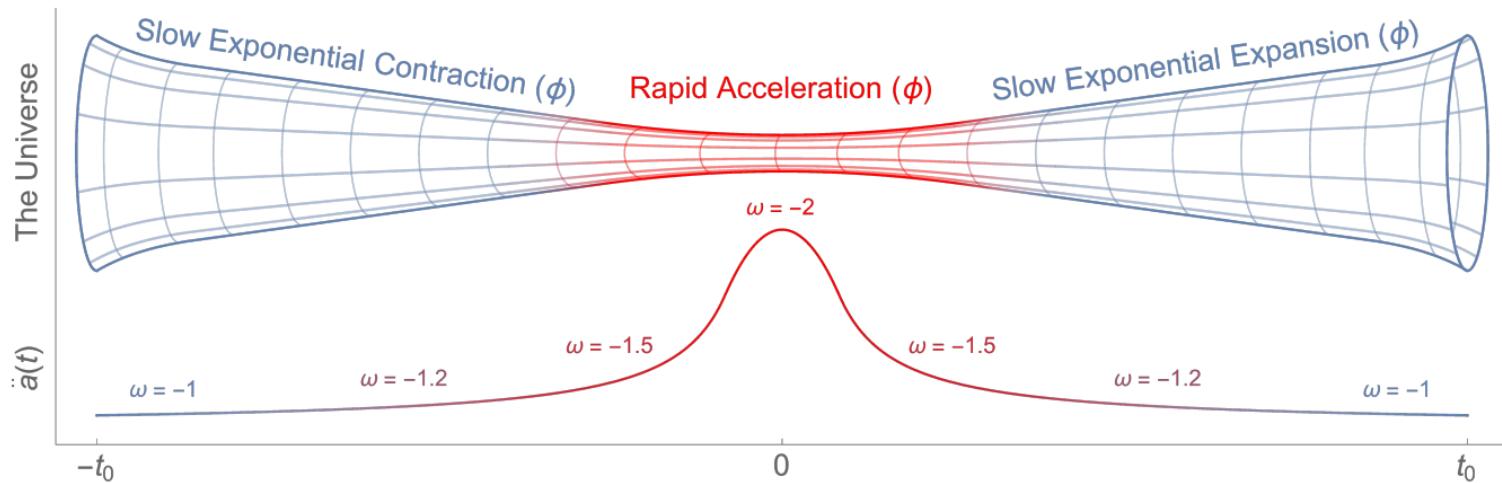
## I. MOTIVATION

### C. Time Dependent Equation of State

$$\Lambda \rightarrow \phi$$

EoS:  $p = \omega(t)\rho$

Where:  $\omega(t) = -\left(1 + \frac{\alpha}{a^3(t)}\right)$



$$\omega < -1 \iff \rho + p < 0$$

## II. INTRODUCTION

### A. Finite Volume

O(4) Spacetime Box



$$V^{(4)} = (La(t))^3 \beta \quad \text{where: } \beta \equiv \frac{1}{T}$$

$$\beta \equiv L \implies V^{(4)} = L^4 a^3(t) \implies \text{O}(4) \text{ symmetry}$$

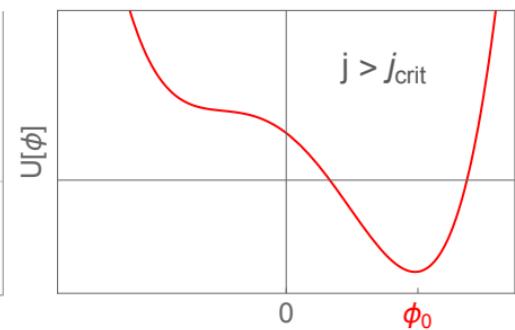
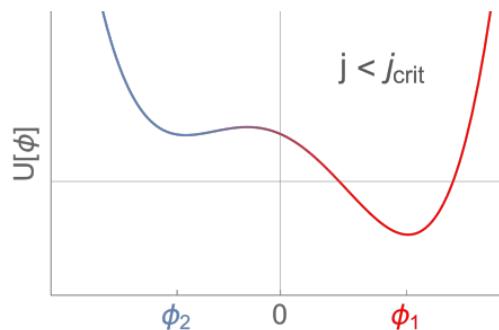
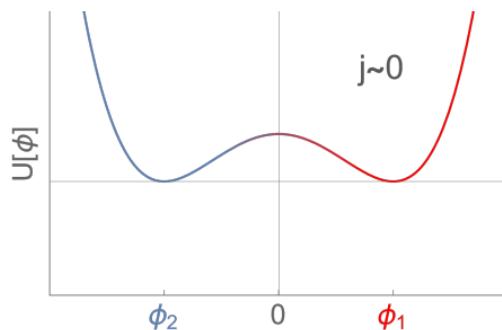
Field Theory:  $L \gg \lambda_B \sim \frac{1}{\sqrt{\lambda}v} \sim 10^{-17} \text{m}$  (Higgs)

## II. INTRODUCTION

### B. Real Scalar Field

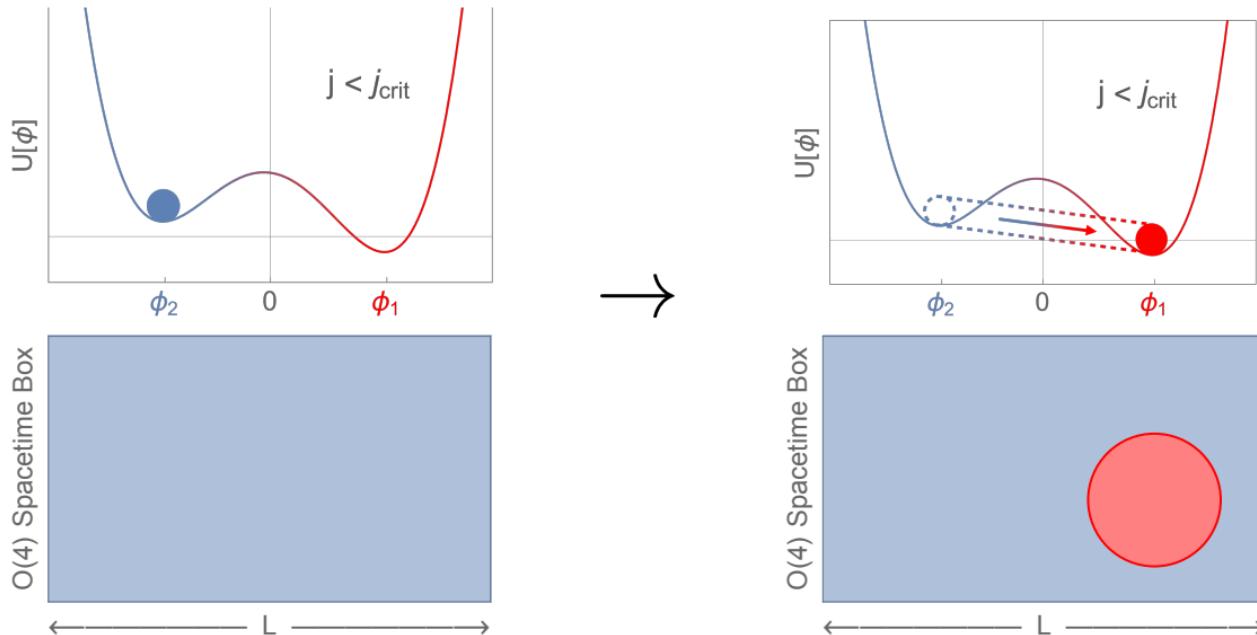
$$S[\phi] = \int d^4x \left( \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{\lambda}{24} (\phi^2 - v^2)^2 + j\phi \right)$$

$$U[\phi] = \frac{\lambda}{24} (\phi^2 - v^2)^2 + j\phi$$



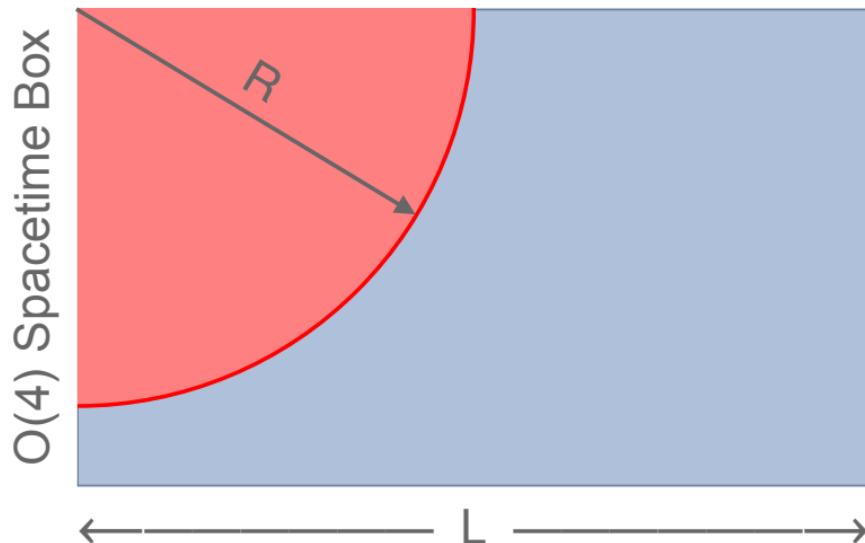
### III. TUNNELING

#### A. Vacuum Bubble Nucleation (1/3)



### III. TUNNELING

#### A. Vacuum Bubble Nucleation (2/3)

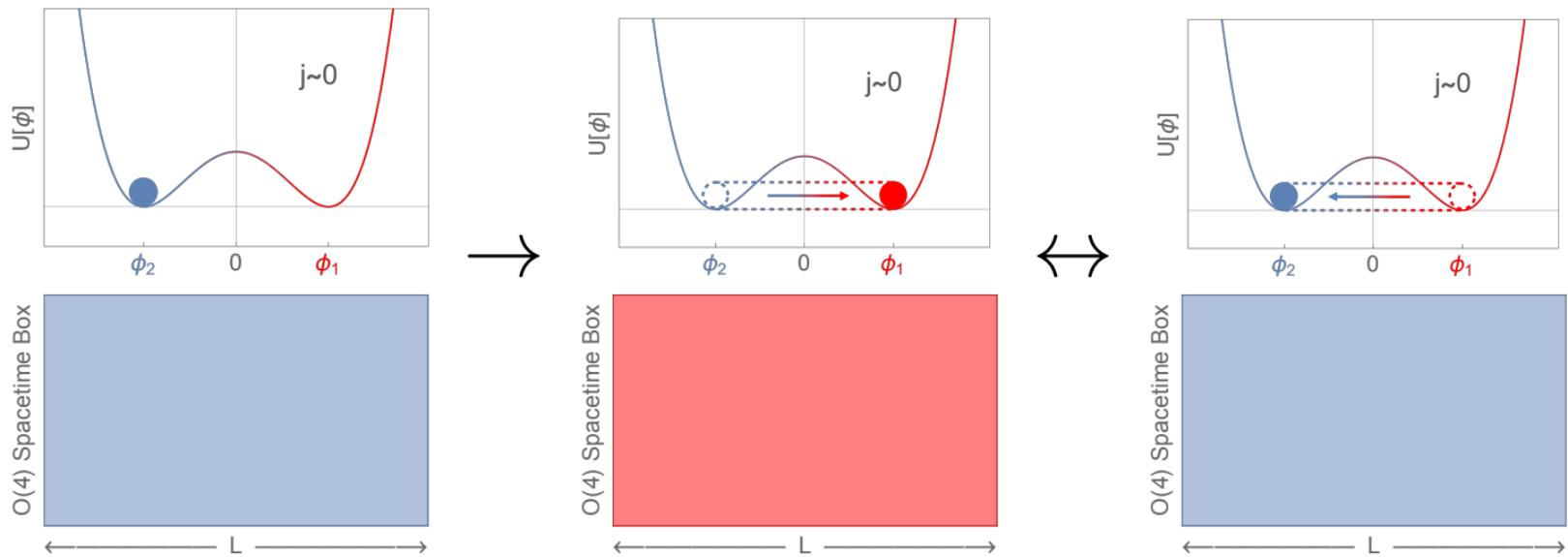


$$R \propto \frac{1}{j}$$

[1] S. R. Coleman (1977); C. G. Callan, Jr. and S. R. Coleman (1977)

### III. TUNNELING

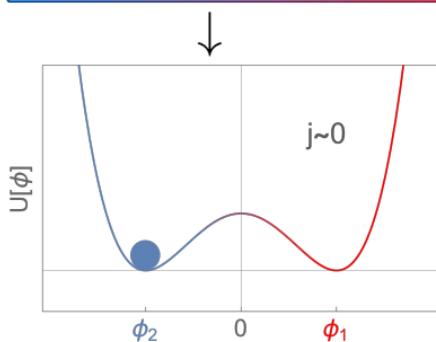
#### A. Vacuum Bubble Nucleation (3/3)



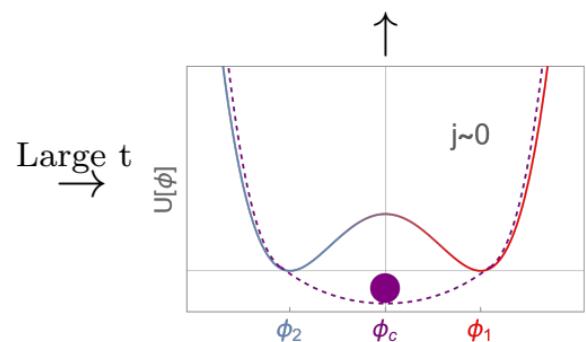
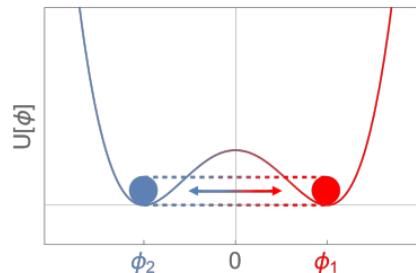
### III. TUNNELING

#### B. Equilibrium Field Theory (1/2)

$$S[\phi] = \int d^4x \left( \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + U(\phi) \right)$$



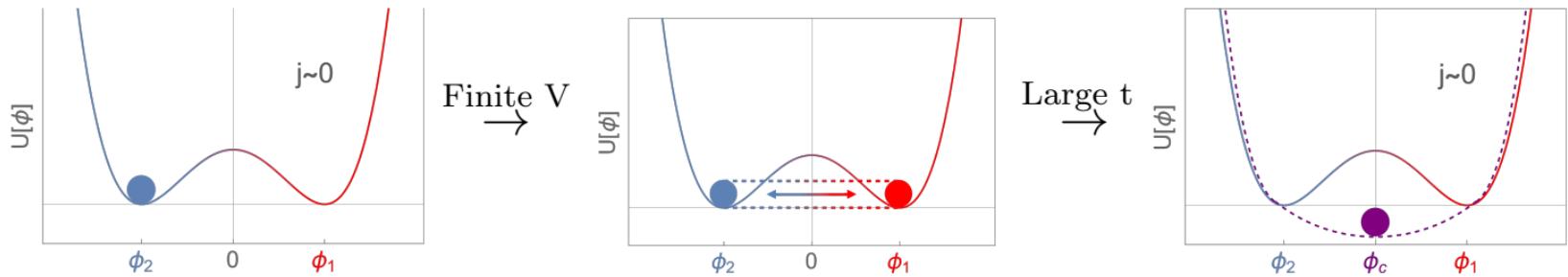
Finite  $V$



One-to-one mapping:  $\phi_c \leftrightarrow j$

### III. TUNNELING

#### B. Equilibrium Field Theory (2/2)



Cosmological constant couples to the classical effective theory

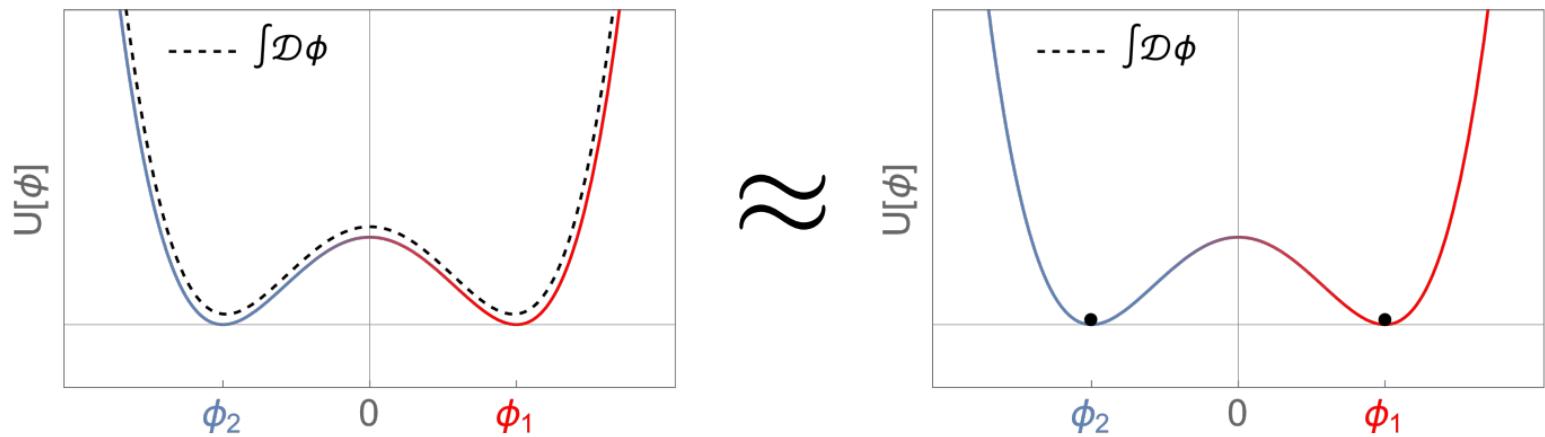
Adiabatic spacetime expansion

Symmetry restoration not via temperature fluctuations

[2] T. W. B. Kibble (1976); W. H. Zurek (1985)

## IV. CALCULATION

### A. Classical Approximation

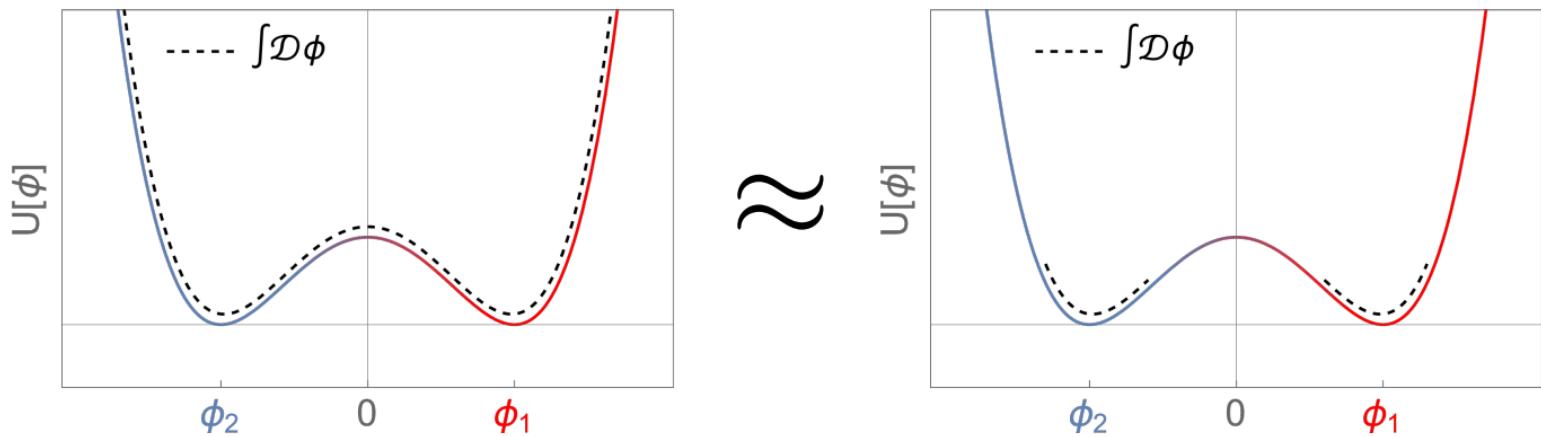


$$Z[j] = \int \mathcal{D}\phi \exp\left(-\frac{1}{\hbar} S[\phi]\right) \approx \exp\left(-\frac{1}{\hbar} S[\phi_2]\right) + \exp\left(-\frac{1}{\hbar} S[\phi_1]\right)$$

## IV. CALCULATION

### B. Semi-Classical Approximation

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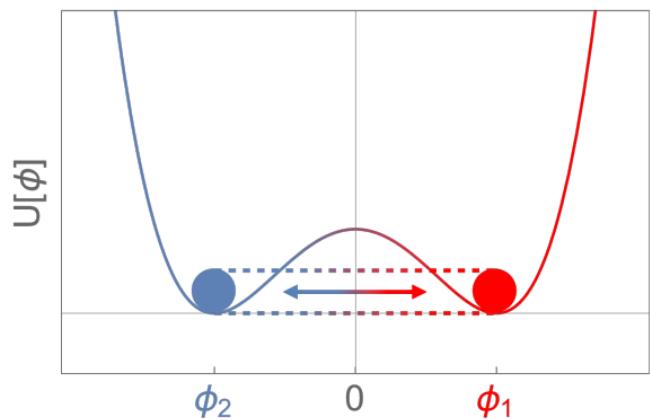


$$Z[j] \approx \int \mathcal{D}\phi \exp \left( -\frac{1}{\hbar} S[\phi_2] - \frac{1}{2} S''[\phi_2](\phi - \phi_2)^2 \right) + \int \mathcal{D}\phi \exp \left( -\frac{1}{\hbar} S[\phi_1] - \frac{1}{2} S''[\phi_1](\phi - \phi_1)^2 \right)$$

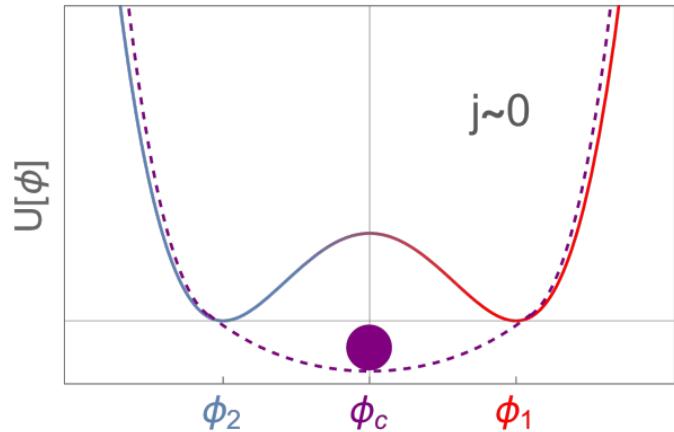
## IV. CALCULATION

### C. Legendre Transformation

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Large  $t$   
=



$$Z[j] \approx \exp\left(-\frac{1}{\hbar}\Sigma[\phi_2]\right) + \exp\left(-\frac{1}{\hbar}\Sigma[\phi_1]\right) = \exp\left(-\frac{1}{\hbar}\Sigma_c[\phi_c]\right)$$

IV. CALCULATION  
D. One-loop 1PI effective action

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**NON-EXTENSIVE**

$$\Gamma[\phi_c] = \hbar A_R \left( g_0 + \frac{g_2}{2} \left( \frac{\phi_c}{v_R} \right)^2 + \frac{g_4}{24} \left( \frac{\phi_c}{v_R} \right)^4 \right) + \mathcal{O}(\phi_c/v_R)^6$$

$$g_2[A_R] = \frac{8}{1 + 8A_R} + \frac{\hbar\lambda_R}{8\pi^2} \frac{16A_R + 7}{(1 + 8A_R)^2}$$

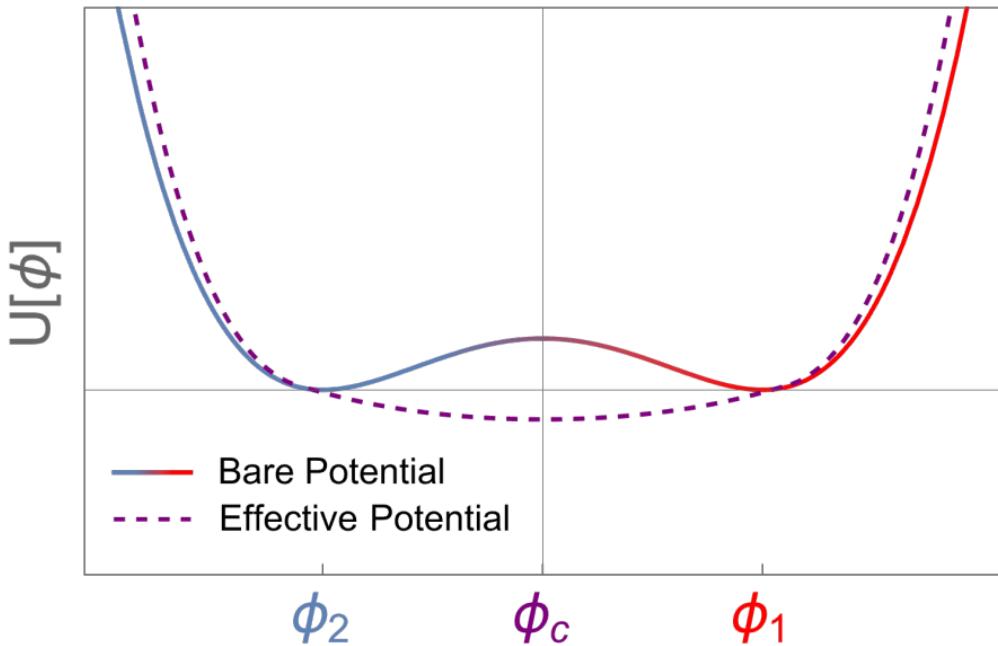
$$A_R \equiv \frac{\lambda_R v_R^4 V^{(4)}}{24\hbar}$$

$$g_4[A_R] = \frac{64(128A_R^3 + 12A_R - 3)}{(1 + 8A_R)^4} + \frac{\hbar\lambda_R}{4\pi^2} \frac{16384A_R^4 + 12288A_R^3 + 936A_R - 243}{(1 + 8A_R)^5}$$

## V. ENERGETICS

### A. Effective Potential

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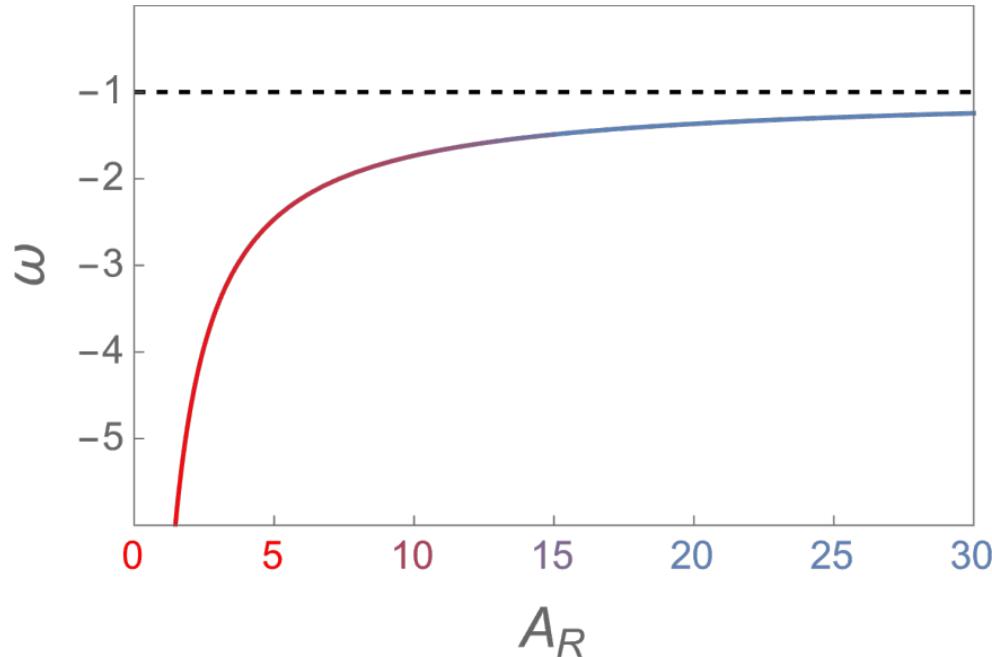
$$U(\phi) = \frac{\lambda}{24}(\phi^2 - v^2) + j\phi$$

$$U_{eff}^{(|k_R|<1)}(\phi_c) = \frac{1}{V^{(4)}}\Gamma[\phi_c]$$

$$U_{eff}^{(|k_R|>1)}(\phi_c) = U(\phi_c) + \mathcal{O}(\hbar)$$

V. ENERGETICS  
B. Equation of State

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$$\rho = \frac{\Gamma[0]}{V} \quad p = -\frac{\partial \Gamma[0]}{\partial V}$$

$$\omega[A_R] \approx -1 - \frac{22}{3A_R}$$

$$L \gg \frac{1}{\sqrt{\lambda}v} \rightarrow A_R \gg 1$$

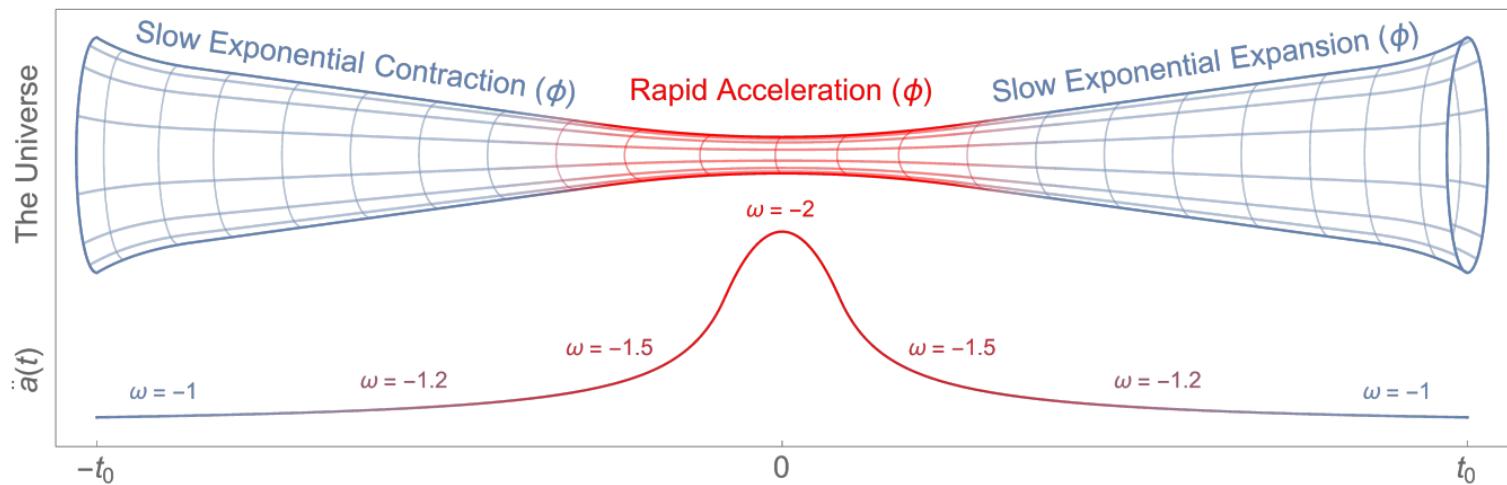
## V. ENERGETICS

### C. Cosmological Bounce

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$$A_R \equiv \frac{\lambda_R v_R^4 V^{(4)}}{24\hbar}$$

$$\Rightarrow \omega[t] \approx -1 - \frac{\alpha}{a^3(t)} \quad \text{where: } \alpha \equiv \frac{176\hbar}{\lambda_R v_R^4 L^4}$$



## VI. OUTLOOK

### A. Thermal Field Theory

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Rather than taking  $\beta \equiv L$ , let  $T$  be a free parameter

Considering only a spacial average (QM not QFT):

$$\omega \sim -1 - \frac{1}{V^{(3)}\beta}$$

## VI. OUTLOOK

### B. Curved Spacetime

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Couple to gravity → calculate stress energy tensor → properly calculate  $\rho$  and  $p$

## VII. REFERENCES

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- [1] S. R. Coleman, Phys. Rev. D 15 (1977), 2929-2936 [erratum: Phys. Rev. D 16 (1977), 1248];  
C. G. Callan, Jr. and S. R. Coleman, Phys. Rev. D 16 (1977), 1762-1769
- [2] T. W. B. Kibble, J. Phys. A 9 (1976), 1387-1398;  
W. H. Zurek, Nature 317 (1985), 505-508
- [3] J. Alexandre and A. Tsapalis, Phys. Rev. D 87 (2013) no.2, 025028 [[arXiv:1211.0921 \[hep-th\]](https://arxiv.org/abs/1211.0921)]
- [4] J. Alexandre and D. Backhouse, Phys. Rev. D 105, 105018 (2022) [[arXiv:2203.12543 \[hep-th\]](https://arxiv.org/abs/2203.12543)]
- [5] J. Alexandre and J. Polonyi, [[arXiv:2205.00768 \[hep-th\]](https://arxiv.org/abs/2205.00768)]

## VIII. APPENDICES

### A. Discrete versus continuous momentum components

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$$Z[j] \approx \sum_{i=1,2} \exp \left( -\frac{1}{\hbar} S[\phi_i] - \frac{1}{2} \text{Tr} \{ \ln (S''[\phi_i]) \} \right) \equiv \sum_{i=1,2} \exp \left( -\frac{1}{\hbar} \Sigma[\phi_i] \right)$$

$$\text{Tr} \{ \ln (S''[\phi_i]) \} = \sum_{n_\mu}^{N_\mu} \ln \left( \frac{(2\pi/L)^2 n_\mu n_\mu + U''(\phi_i)}{(2\pi/L)^2 n_\mu n_\mu + U''(\phi_i(0))} \right)$$

$$\text{Tr} \{ \ln (S''[\phi_i]) \} \simeq V \int_0^\Lambda \frac{d^4 p}{(2\pi)^4} \ln \left( \frac{p^2 + U''(\phi_i(k))}{p^2 + U''(\phi_i(0))} \right)$$

## VIII. APPENDICES

### B. Renormalisation

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$$Z[j] \approx \sum_{i=1,2} \exp \left( -\frac{1}{\hbar} S[\phi_i] - \frac{1}{2} V \int_0^\Lambda \frac{d^4 p}{(2\pi)^4} \ln \left( \frac{p^2 + U''(\phi_i(k))}{p^2 + U''(\phi_i(0))} \right) \right) \equiv \sum_{i=1,2} \exp \left( -\frac{1}{\hbar} \Sigma[\phi_i] \right)$$

$$Z[j] \approx \sum_{i=1,2} \exp \left( -\frac{1}{\hbar} \Sigma[\phi_i(k), V, v, \lambda, \Lambda] \right)$$

$$\Sigma[\phi_i(k), V, v, \lambda, \Lambda] = \Sigma_R[\phi_{iR}(k_R), V, v_R, \lambda_R]$$

## VIII. APPENDICES

### C. Legendre transformation

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$$\langle \phi \rangle = \phi_c = \frac{1}{Z} \sum_{i=1,2} \phi_i \exp \left( -\frac{1}{\hbar} S[\phi_i] \right) = -\frac{\hbar}{Z} \frac{\delta Z[j]}{\delta j(x)} = -\frac{3\sqrt{3}}{8A_R} \frac{\partial \ln(Z(k_R))}{\partial k_R}$$

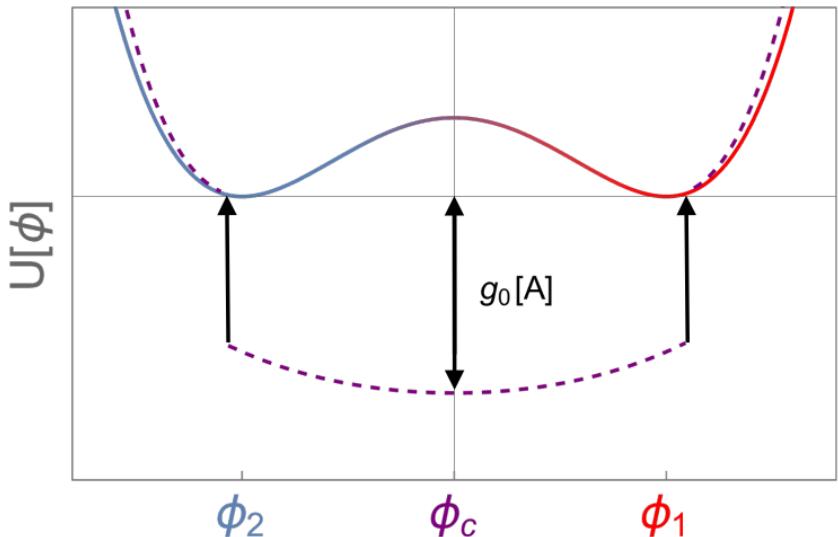
$$\frac{\phi_c}{v_R} = f_1(A_R)k_R + f_3(A_R)k_R^3 + \mathcal{O}(k_R^5)$$

$$k_R = -\frac{3\sqrt{3}}{8}g_2(A_R)\left(\frac{\phi_c}{v_R}\right) - \frac{\sqrt{3}}{16}g_4(A_R)\left(\frac{\phi_c}{v_R}\right)^3 + \mathcal{O}(\phi_c/v_R)^5$$

$$\Gamma[\phi_c] = -V \int j(\phi_c) d\phi_c = -\frac{8\hbar A_R}{3\sqrt{3}v_R} \int k_R(\phi_c) d\phi_c$$

## VIII. APPENDICES

### D. Origin of Energy

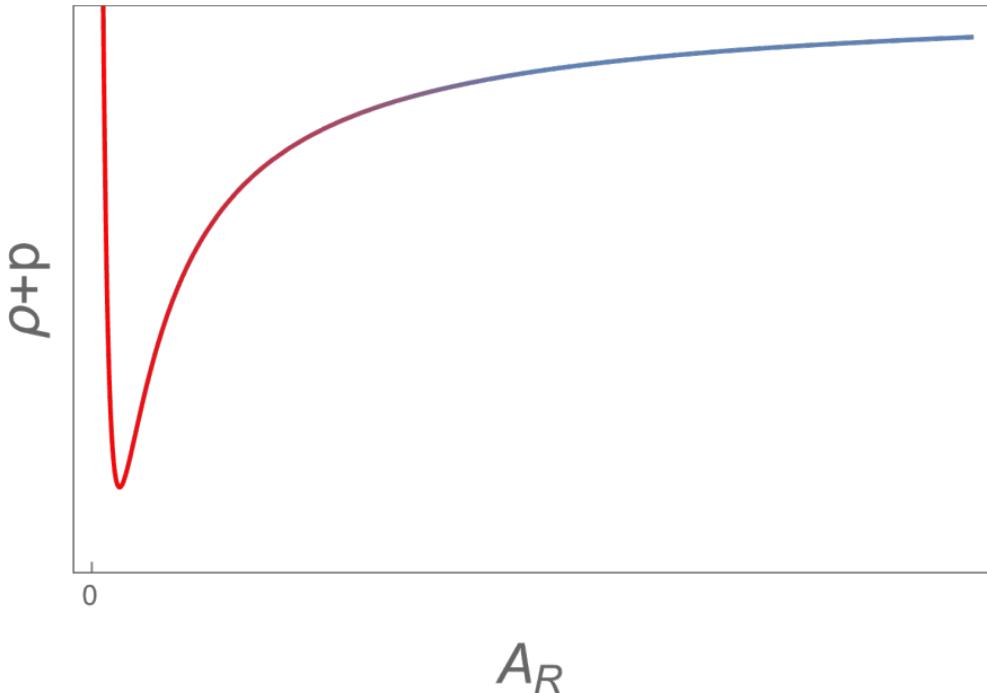


$$U_{eff}^{(|k_R|<1)}(\phi_c(k_R = 1)) = U_{eff}^{(|k_R|>1)}(\phi_c(k_R = 1))$$

$$g_0[A_R] = \frac{1}{9} + \frac{3\hbar\lambda_R}{32\pi^2} \ln\left(\frac{3}{2}\right) - \frac{2}{3}g_2[A_R] - \frac{2}{27}g_4[A_R]$$

## VIII. APPENDICES

### E. Null Energy Condition



$$\rho = \frac{\Gamma[0]}{V} \quad p = -\frac{\partial \Gamma[0]}{\partial V}$$

$$\rho + p = -\frac{\lambda_R v_R^4}{24} A_R \frac{\partial g_0[A_R]}{\partial A_R}$$

$$\rho + p \approx -\frac{22\hbar}{27V} + \mathcal{O}(\hbar^2)$$