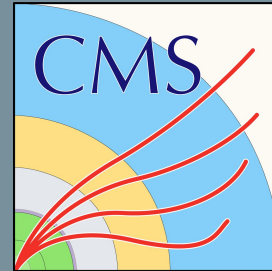


Measurements of jet substructure observables in ATLAS and CMS experiments

Cristian Baldenegro (LLR-École Polytechnique)
on behalf of the ATLAS and CMS Collaborations

QCD@LHC 2022
IJCLab Orsay, Paris

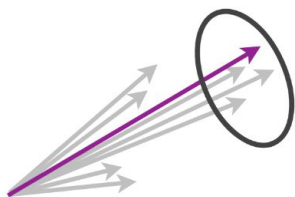


What is jet substructure?

Jet constituents four-momenta are mapped onto physically meaningful observables:

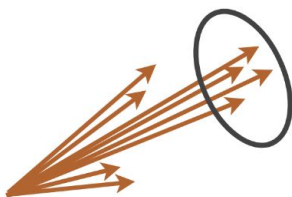
$$\{p_i\} \rightarrow \lambda$$

Fragmentation
Functions



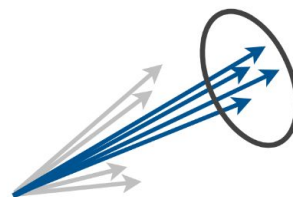
Single hadron

Classic
Jet Shapes



All hadrons

Groomed
Observables



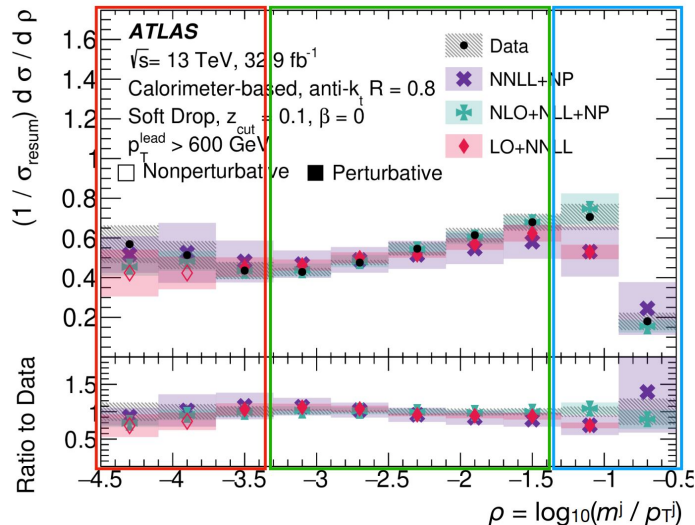
Subset of hadrons

sketch by Jesse Thaler

Why do we do jet substructure?

ATLAS, [arXiv:1912.09837](https://arxiv.org/abs/1912.09837),
PRD 101 (2020) 052007

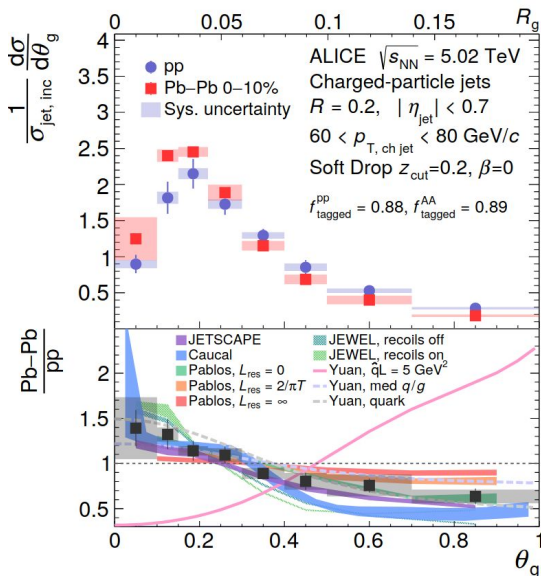
non-perturbative (hadronisation) resummation
 $\rho \propto -\alpha_s C_i (\dots)$ fixed-order



Test state-of-the-art pQCD calculations
(resummation + fixed-order).

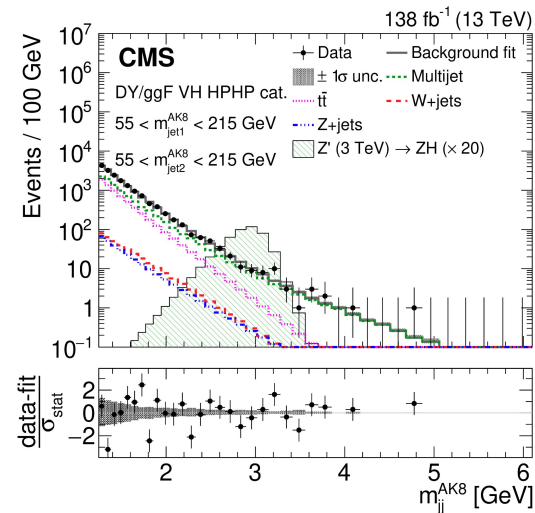
Constrain parton shower & hadronization
modeling in MC generators.

ALICE, [arXiv:2107.12984](https://arxiv.org/abs/2107.12984),
PRL 128 (2022) 102001



Space-time picture of interactions in
the quark-gluon plasma, color
coherence, ...
(see talk by [Raymond Ehlers](#)).

CMS, [arXiv:2210.00043](https://arxiv.org/abs/2210.00043),
submitted to PRL



BSM searches in boosted decay
topologies
(see talks by [Antimo Cagnotta](#),
[Francesco Carnevali](#)).

A field in rapid development!

What will be covered in this talk:

- Generalized angularities in dijet and Z-jet by CMS,
[arXiv:2109.03340](https://arxiv.org/abs/2109.03340), **JHEP 01 (2022) 188**
- Inclusive b -hadron decays in top quark pair events by ATLAS
[arXiv:2202.13901](https://arxiv.org/abs/2202.13901), **PRD 106 (2022) 032008**
- Exclusive b -hadron decays in inclusive jet events by ATLAS
[arXiv:2108.11650](https://arxiv.org/abs/2108.11650), **JHEP 12 (2021) 131**

Snapshot of recent jet substructure measurements at the LHC (LHC EW WG):

<https://twiki.cern.ch/twiki/bin/view/LHCPhysics/LHCJetSubstructureMeasurements>

Generalized angularities in Z+jet and dijets

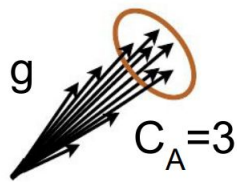
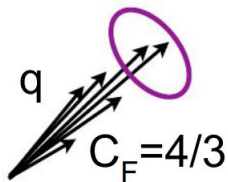
Observables suggested by theorists to separate quark and gluon jets
(sum over jet constituents)

$$\lambda_{\beta}^{\kappa} = \sum_{i \in \text{jet}} z_i^{\kappa} \left(\frac{\Delta R_i}{R} \right)^{\beta} \quad z_i \equiv \frac{p_{Ti}}{\sum_{j \in \text{jet}} p_{Tj}}$$

κ and β parameters control weight on momentum and angular scales

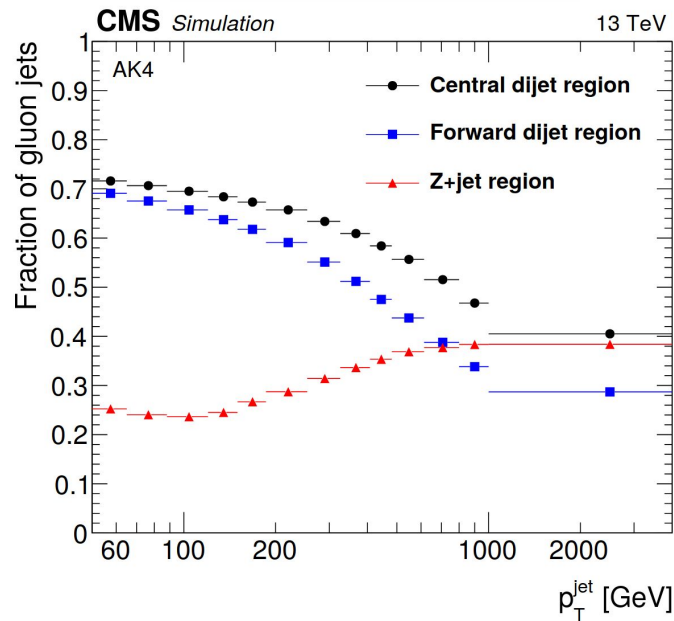
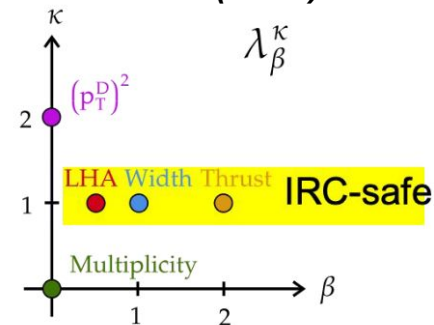
Z+jet, quark enriched

dijet, gluon enriched



Purities of up to $\sim 75\%$ for quark (gluon) jets

CMS, [arXiv:2109.03340](https://arxiv.org/abs/2109.03340),
JHEP 01 (2022) 188



Example: Les Houches Angularity distribution

$\kappa=1, \beta = 0.5$

CMS, [arXiv:2109.03340](https://arxiv.org/abs/2109.03340),
JHEP 01 (2022) 188

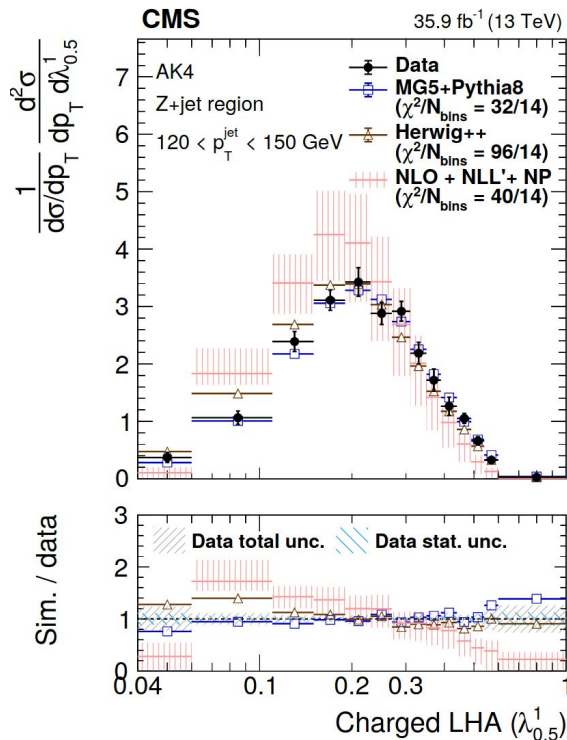
Data unfolded to particle level
 (regularized unfolding with Tikhonov
 algorithm).

MG5+Pythia8 and **Herwig++** describe
 well the quark-enriched data; they
 envelop the gluon-enriched data.

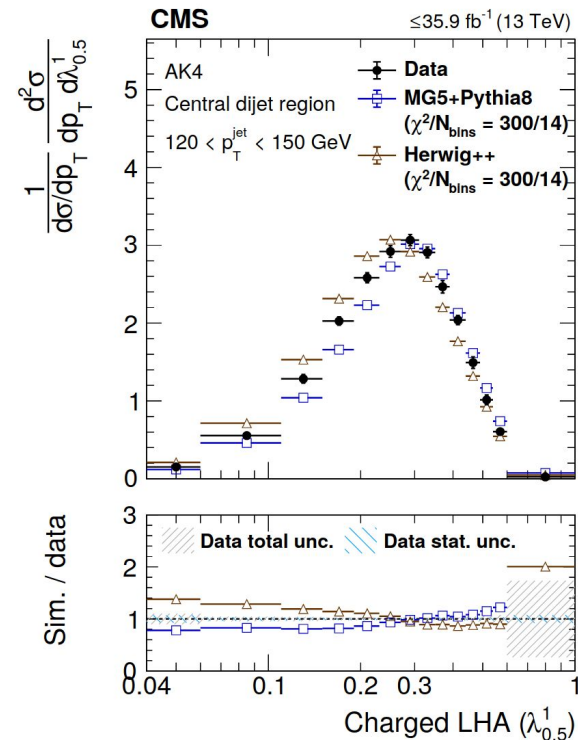
For Z+jet: resummation at NLL
 matched to fixed-order NLO matrix
 elements, with NP corrections from
 Sherpa (**NLO+NLL'+NP**)

D. Reichelt, S. Caletti, O. Fedkevych, S.
 Marzani, S. Schumann, G. Soyez
[arXiv:2112.09545](https://arxiv.org/abs/2112.09545)

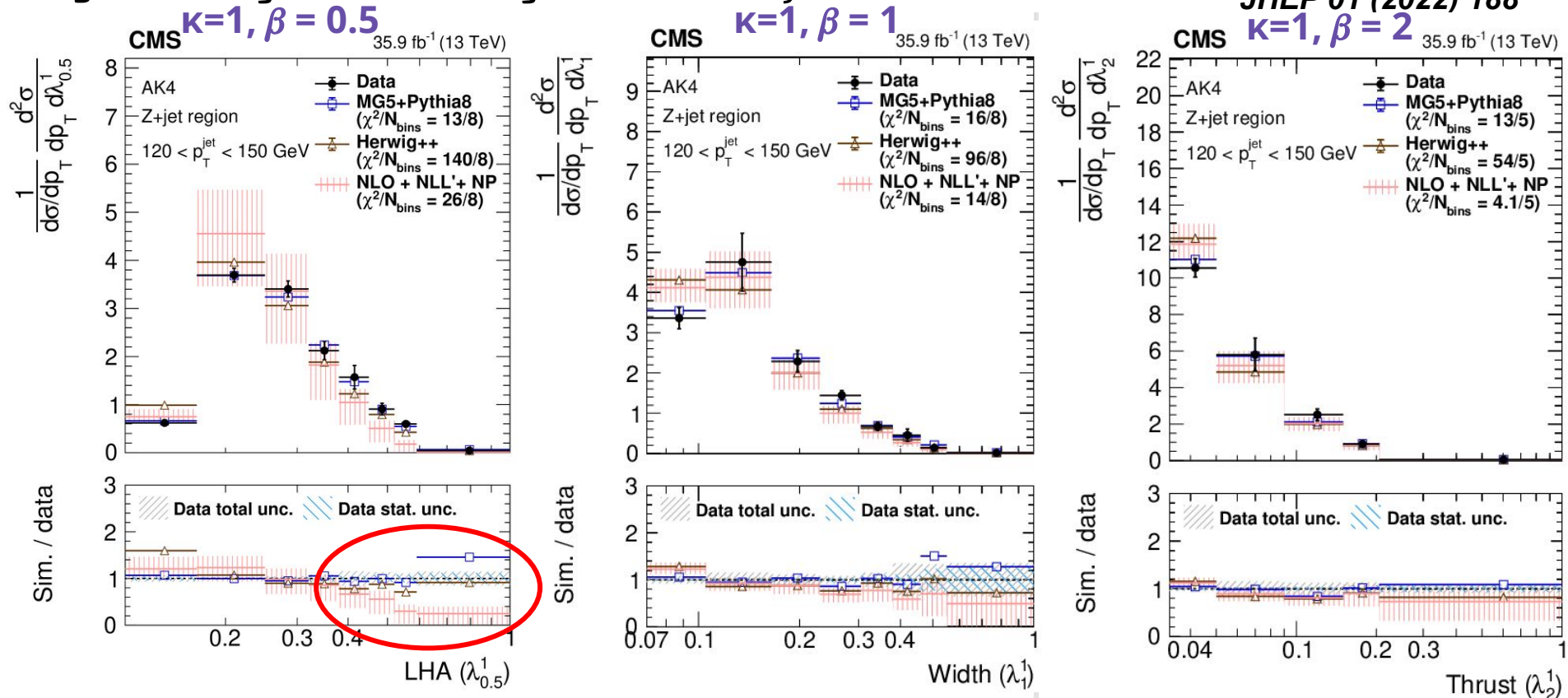
Z+jet, quark enriched



Dijet, gluon enriched



Ungroomed generalized angularities in Z-jet events

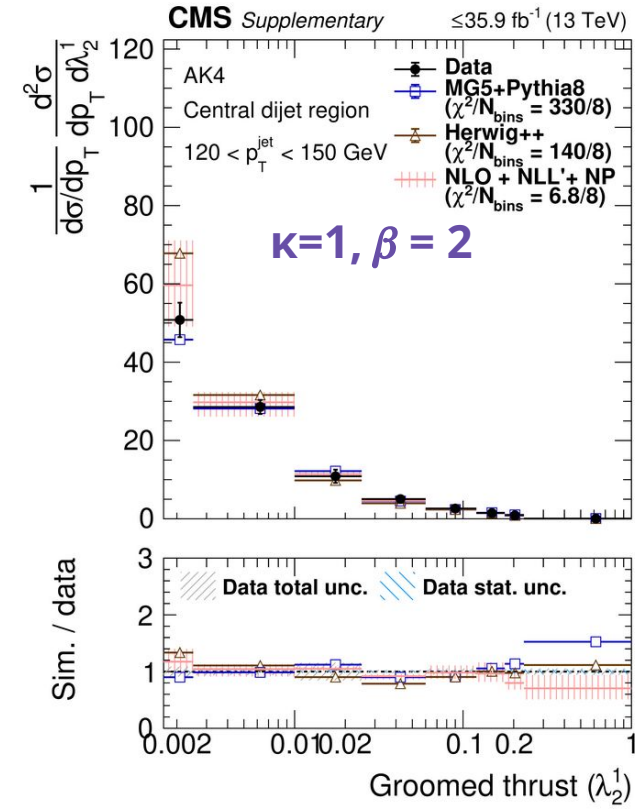
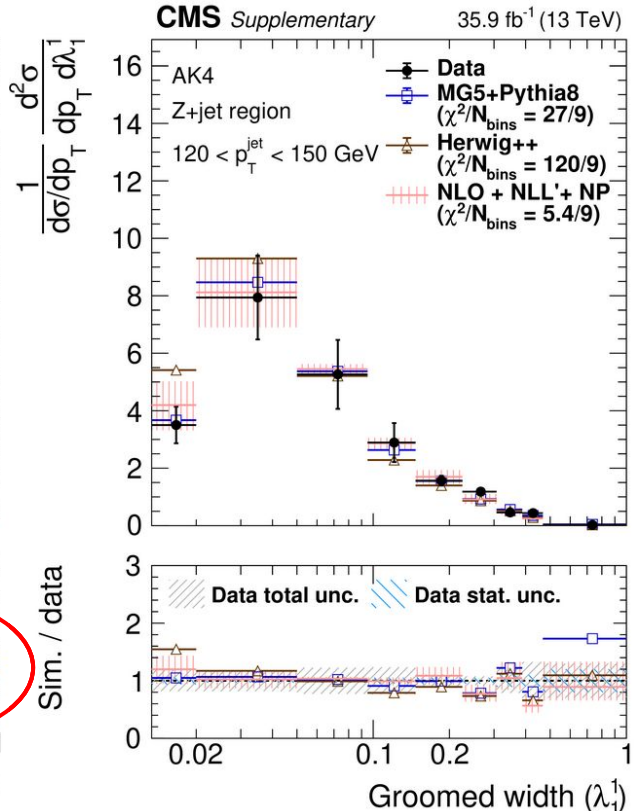
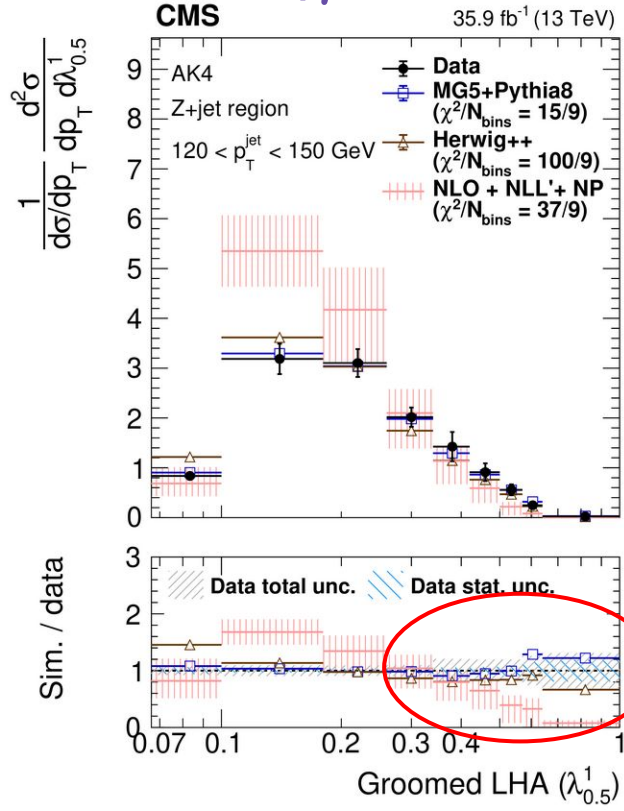


The more weight is given to angular scale, the better agreement of theory with data.

Groomed generalized angularities in Z-jet events

$\kappa=1, \beta=0.5$

$\kappa=1, \beta=1$

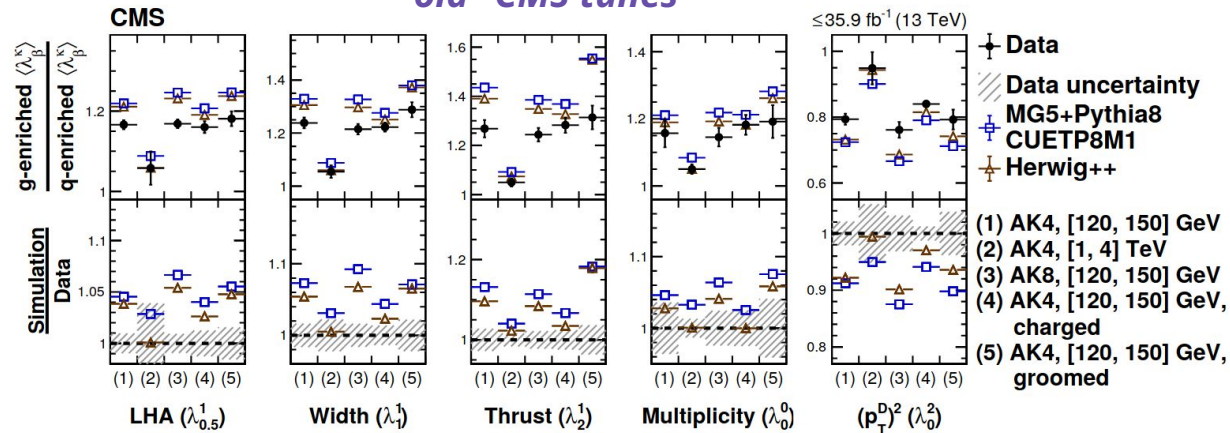


Soft-drop grooming to remove soft, wide-angle radiation ($z_{\text{cut}} = 0.1, \beta = 0$)
 Tension at small $\beta=0.5$ persists, related to hard collinear splittings description?

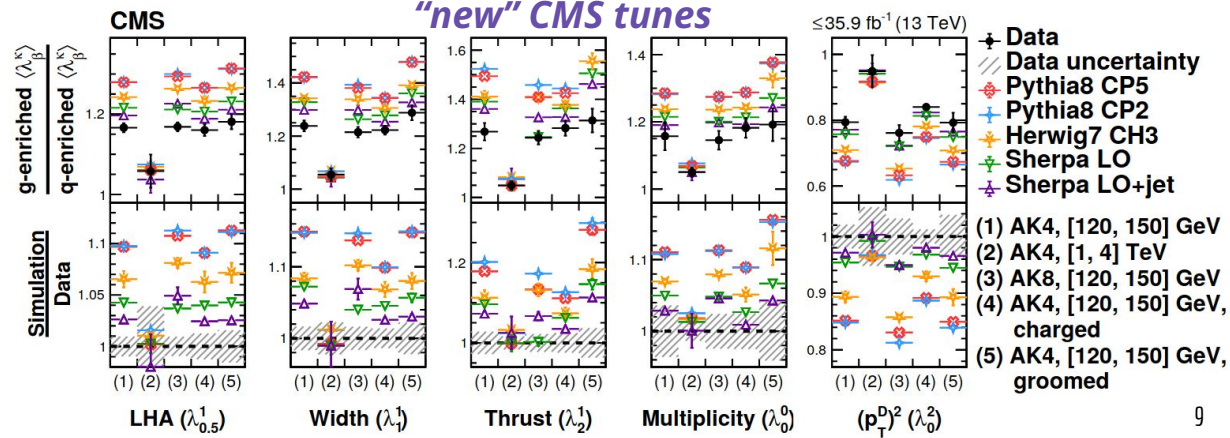
Dijet/Z+jet ratio (gluon-like/quark-like jet ratio)

- experimental uncertainties partially cancel in dijet/Z+jet ratio
- LO+PS preds. overestimate the g-enriched/q-enriched ratio
- g-enriched / q-enriched ratio is better modelled with “old” PYTHIA8 and HERWIG7 CMS tunes.

“old” CMS tunes



“new” CMS tunes



Fragmentation of b-quarks

- Fragmentation pattern of b -jets significantly different than their light-quark counterparts (harder fragmentation, dead cone effect as observed by ALICE for c -jets, [arXiv:2106.05713](https://arxiv.org/abs/2106.05713), Nature 605 (2022) 440).

- Important for top quark and $H \rightarrow b\bar{b}$ measurements.

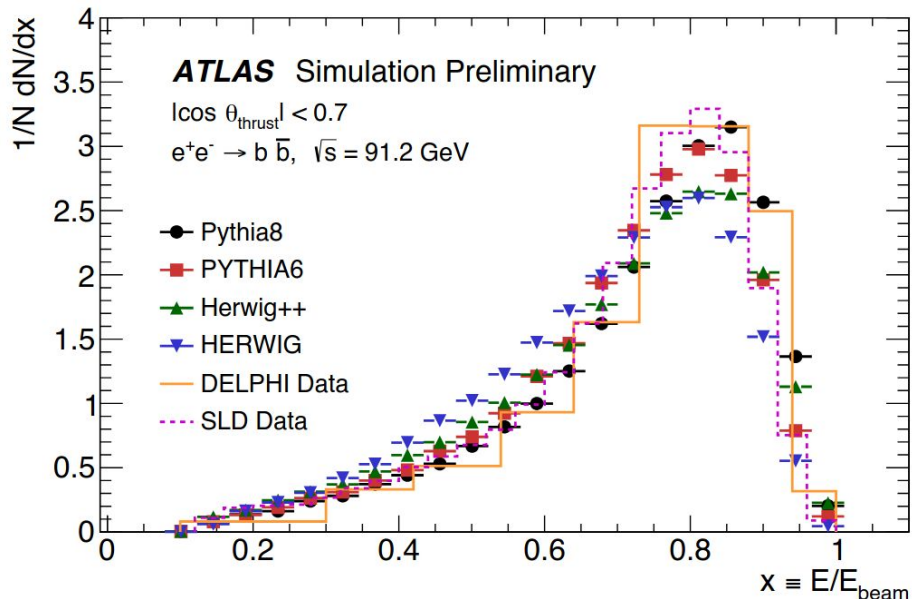
- b -fragmentation tuned to e^+e^- data, then extrapolated to LHC.

To what extent is this correct?

(different color environment at LHC due to beam remnants + UE + ISR)

ATLAS-PHYS-PUB-2014-008

e^+e^- collisions

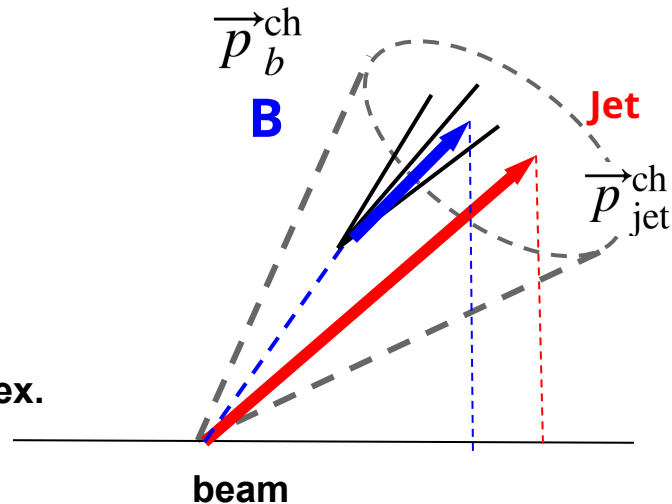


Predicted fragmentation function in e^+e^- collisions (after parton shower + hadronization)

Differences of $O(10\%)$ between different PS and hadronization models.

b-quark fragmentation in top quark pair events

- Top quark sample rich in “primary” b-quark jets.
 1 μ 1e golden channel is used for this measurement.
- exactly 2 b-jets with $p_{\text{T}} > 30$ GeV, $|\eta| < 2.1$, and $\Delta R(\text{jet}, \text{jet}) > 0.5$,
 clustered with anti- k_t algorithm with $R = 0.4$.
- **Proxy for b-hadron using charged particles in secondary vertex.**



Reconstruct 3-momentum of “charged” b-hadron \vec{p}_b^{ch}

Compare with charged component of jet $\vec{p}_{\text{jet}}^{\text{ch}}$

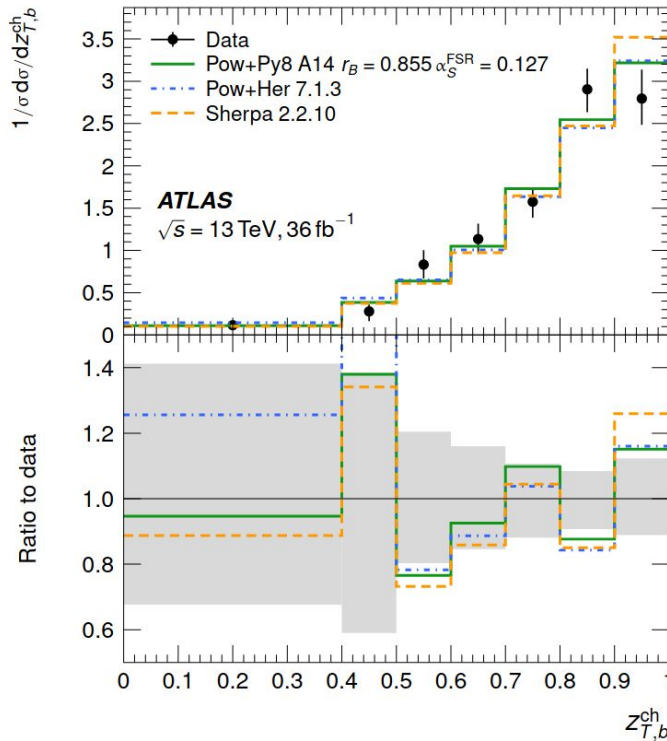
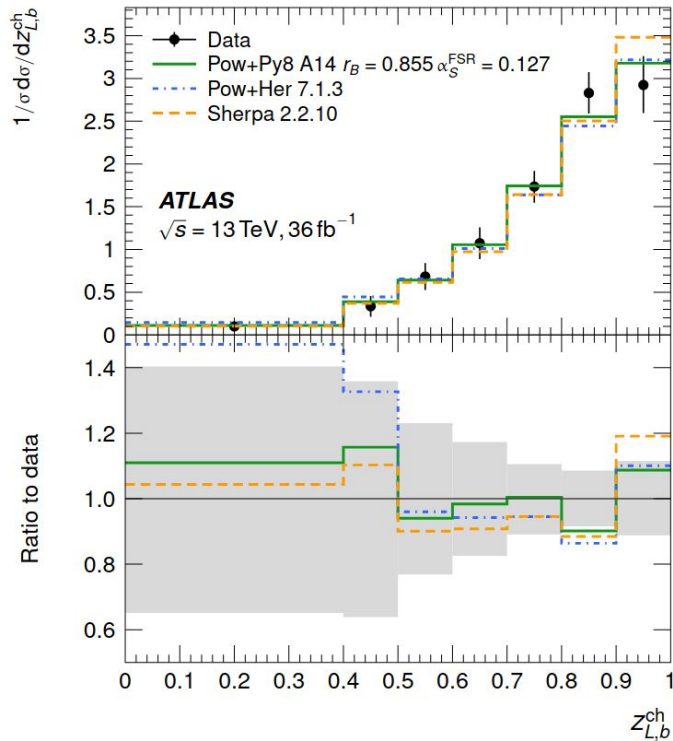
Longitudinal and transverse fragmentation variables

ATLAS, [arXiv:2202.13901](https://arxiv.org/abs/2202.13901),
PRD 106 (2022) 032008

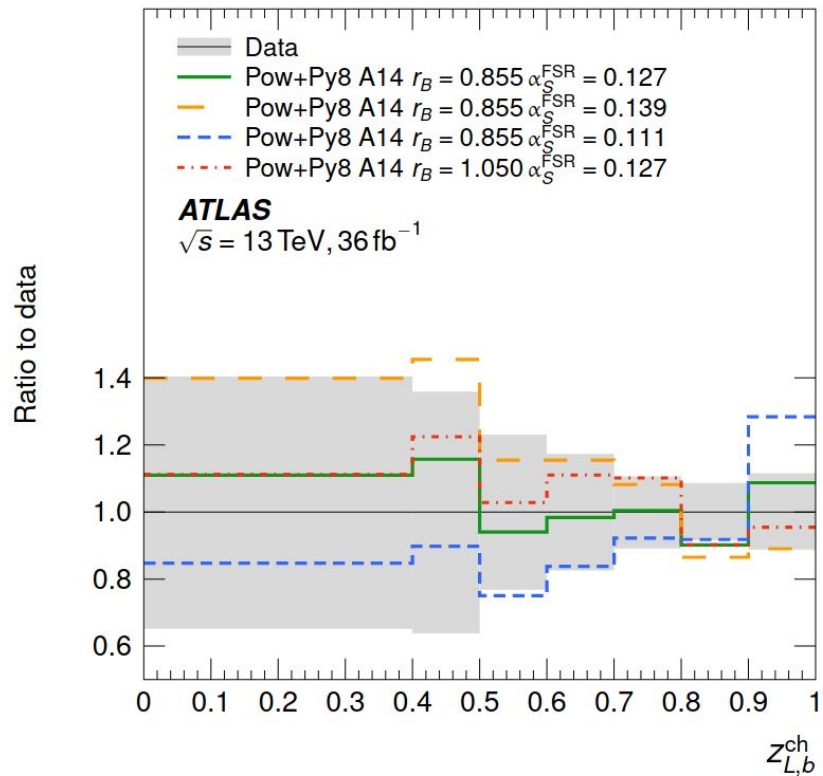
Fragmentation variables are fully corrected to particle-level with fully Bayesian unfolding method.

$$z_{L,b}^{\text{ch}} = \frac{\vec{p}_b^{\text{ch}} \cdot \vec{p}_{\text{jet}}^{\text{ch}}}{|p_{\text{jet}}^{\text{ch}}|^2}$$

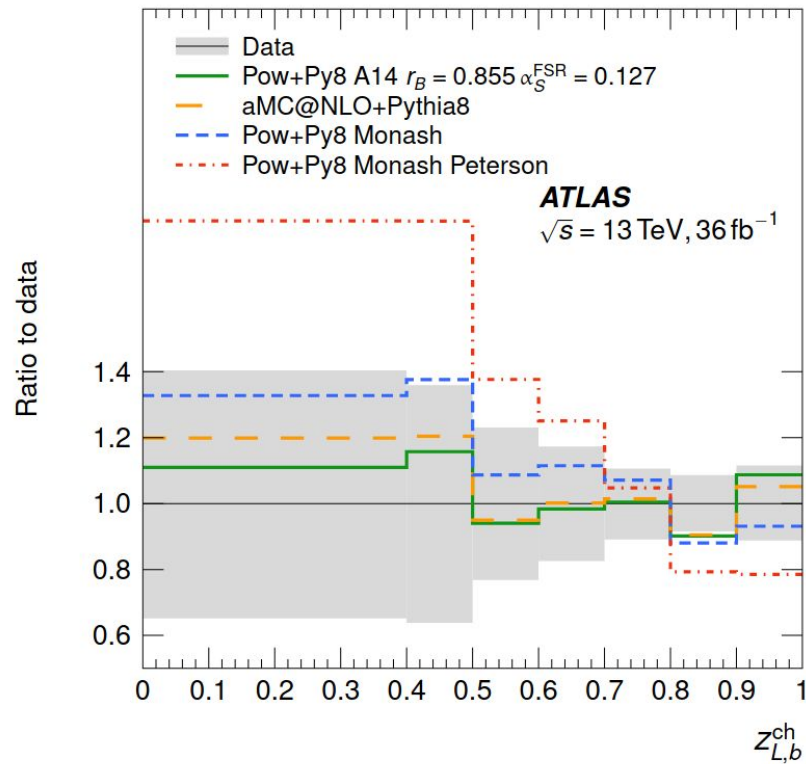
$$z_{T,b}^{\text{ch}} = \frac{p_{T,b}^{\text{ch}}}{p_{T,\text{jet}}^{\text{ch}}}$$



Simulations do a reasonable job in describing fragmentation variables



Lund-Bowler parametrization of frag. funct.

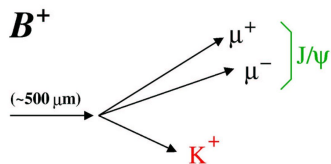


Tension with **Peterson** parametrization (when used with NLO+PS) at low $z_{L,b}^{\text{ch}}$

b-quark fragmentation via $B^\pm \rightarrow J/\psi K^\pm \rightarrow \mu^+ \mu^- K^\pm$ decays

Full reconstruction of B^\pm hadrons in b-jets using exclusive decay channel:

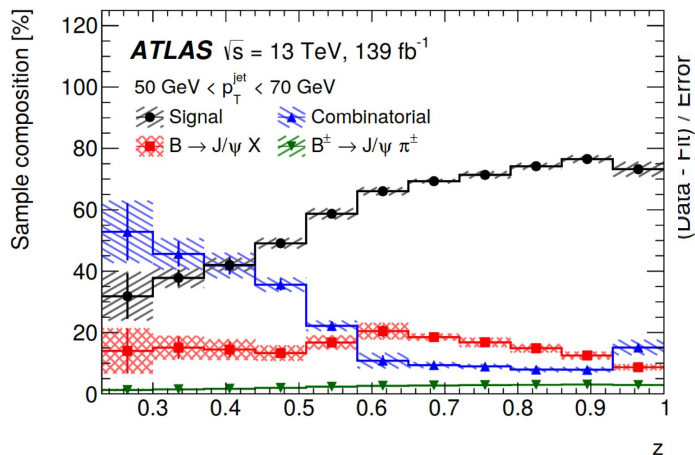
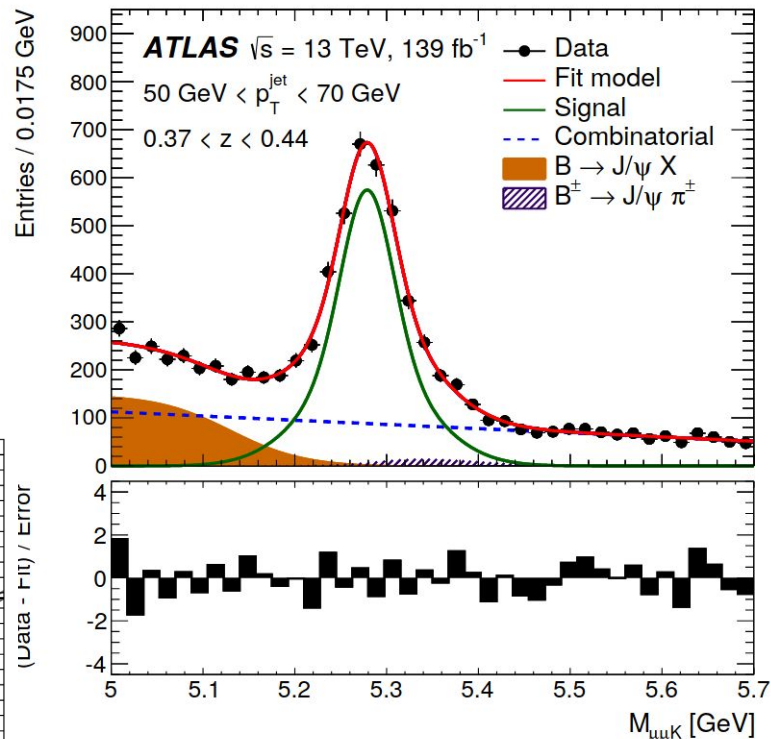
ATLAS, [arXiv:2108.11650](https://arxiv.org/abs/2108.11650), *JHEP* 12 (2021) 131



Analysis in inclusive jet sample (contributions from flavor excitation + flavor creation + gluon splitting) using $R = 0.4$ anti- k_T jets, $p_T > 35$ GeV and $|\eta| < 2.1$.

Two fragmentation variables are analyzed (showing z in this presentation)

$$z = \frac{\vec{p}_B \cdot \vec{p}_j}{|\vec{p}_j|^2}; \quad p_{T, \text{rel}} = \frac{|\vec{p}_B \times \vec{p}_j|}{|\vec{p}_j|}$$

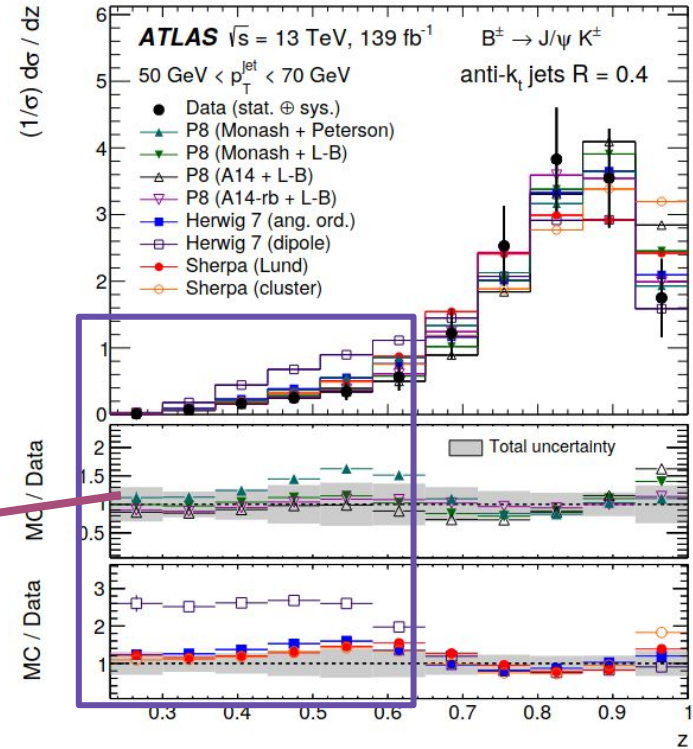
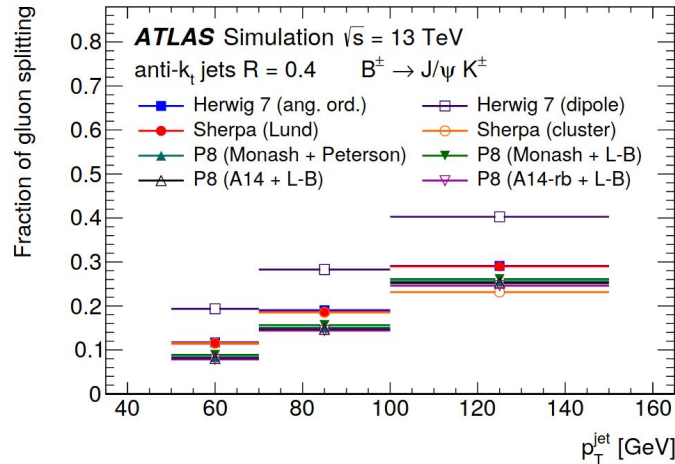


Fragmentation variable $z = \frac{\vec{p}_B \cdot \vec{p}_j}{|\vec{p}_j|^2}$

Observables fully corrected to particle level with iterative D'Agostini unfolding.

Tested PYTHIA8 tunes are consistent with data within the uncertainties.

Large differences between **angle-ordered** & **dipole** PS at low- z in Herwig7 associated with $g \rightarrow bb$ modeling.



Sensitivity to hadronization

Main uncertainties related to mass fits, unfolding modeling, jet energy calibration.

Summary and outlook

- Valuable input for a better understanding of quark-jet and gluon-jet substructure from Z-jet and dijet events.
- b -quark fragmentation properties in b-jets from top quark decays and by analyzing b -hadron exclusive decays.
- Measurements allow us to constrain pQCD calculations and PS and hadronization modeling in MC generators.

Snapshot of recent jet substructure measurements at the LHC (LHC EW WG):

<https://twiki.cern.ch/twiki/bin/view/LHCPhysics/LHCJetSubstructureMeasurements>

Back up

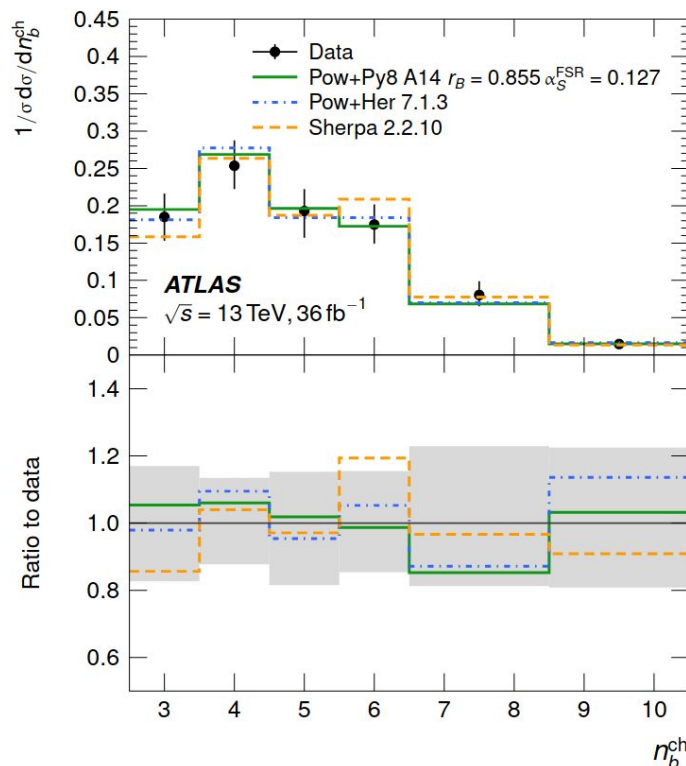
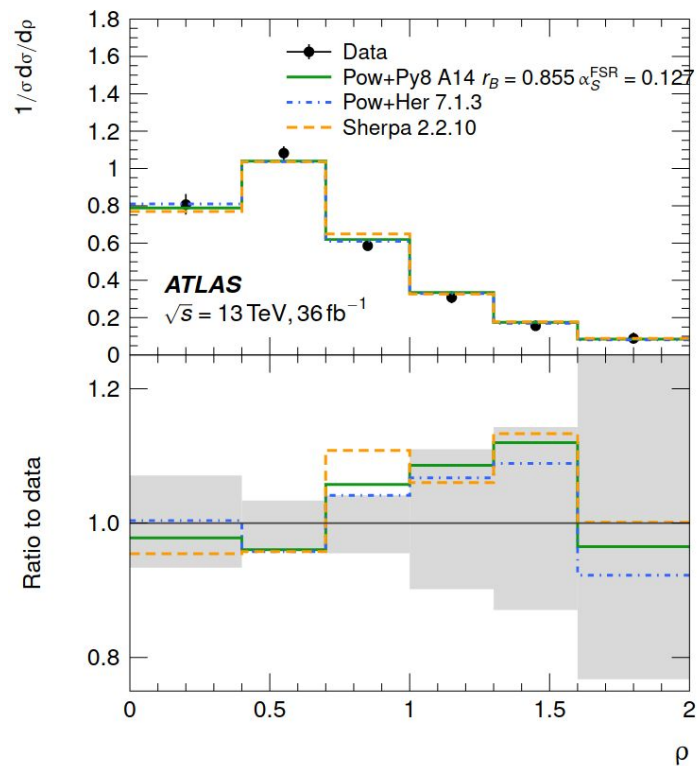
b -hadron p_T relative to leptons p_T and number of b -hadron decay products

ATLAS, [arXiv:2202.13901](https://arxiv.org/abs/2202.13901),
PRD 106 (2022) 032008

$$\rho = \frac{2p_{T,b}^{\text{ch}}}{p_T^e + p_T^\mu}$$

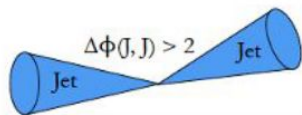
sensitive to α_s (FSR) and wide-angle radiation from top quark decay

number of b -hadron decay products, sensitive to b -hadron species production rates

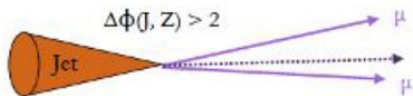


Simulations do a reasonable job in describing fragmentation variables

Event samples

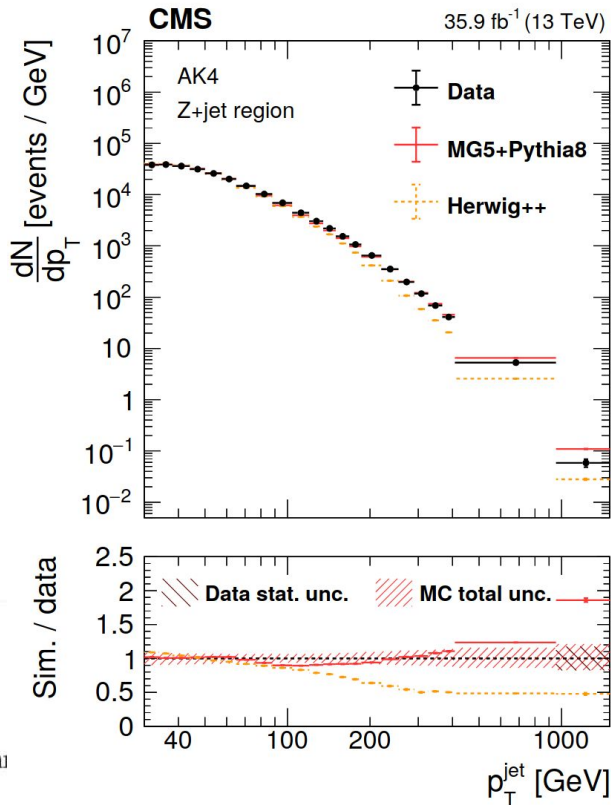


≥ 2 jets with $|y| < 1.7$ and $p_T^j > 30$ GeV
 $\Delta\phi(j_1, j_2) > 2$
 $|p_T^{j_1} - p_T^{j_2}| / (p_T^{j_1} + p_T^{j_2}) < 0.3$

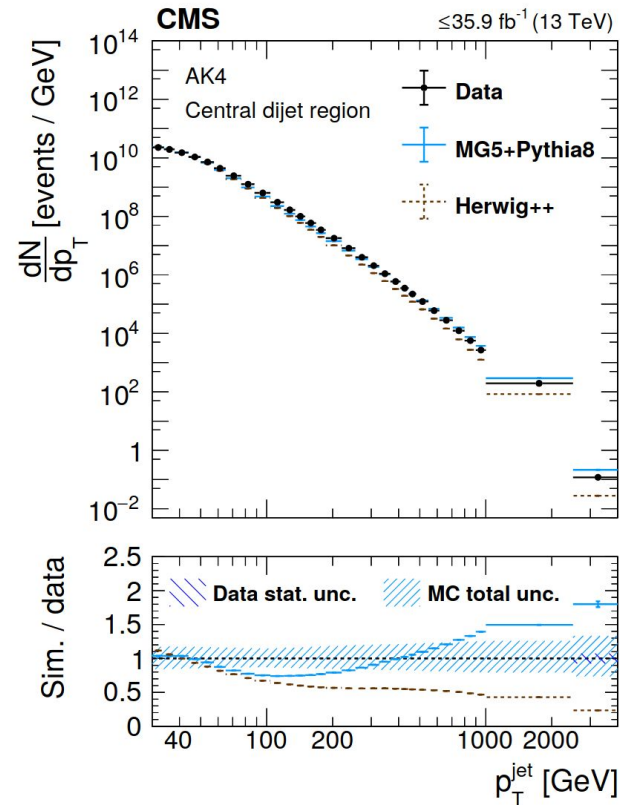


≥ 2 muons with $|\eta| < 2.4$ and $p_T^\mu > 26$ GeV
 Opposite charge muons
 $|m_{\mu\mu} - m_Z| < 20$ GeV
 ≥ 1 jet with $|y| < 1.7$ and $p_T^j > 30$ GeV,
 not overlapping with muons of the Z boson cal
 $\Delta\phi(j_1, Z) > 2$
 $|p_T^{j_1} - p_T^Z| / (p_T^{j_1} + p_T^Z) < 0.3$

Z+jet, quark enriched

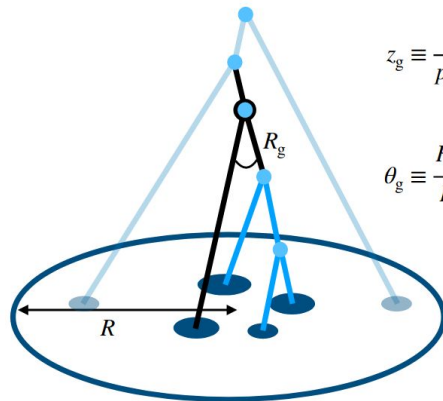


dijet, gluon enriched



Several points to consider in practice

- Ungroomed vs groomed jet substructure observables.
- Charged substructure (precision measurements, but IRC-unsafe) versus charged+neutrals (IRC-safe, more limitations on angular and momentum resolution).
- Quark vs gluon fraction (color factors), controllable via flavor tagging (Z-jet vs dijet, top quark decays, ...).
- Jet distance parameter (trade-off between pileup sensitivity vs out-of-cone radiation)



$$z_g \equiv \frac{p_{T,\text{subleading}}}{p_{T,\text{leading}} + p_{T,\text{subleading}}}$$

$$\theta_g \equiv \frac{R_g}{R} \equiv \frac{\sqrt{\Delta y^2 + \Delta\phi^2}}{R}$$

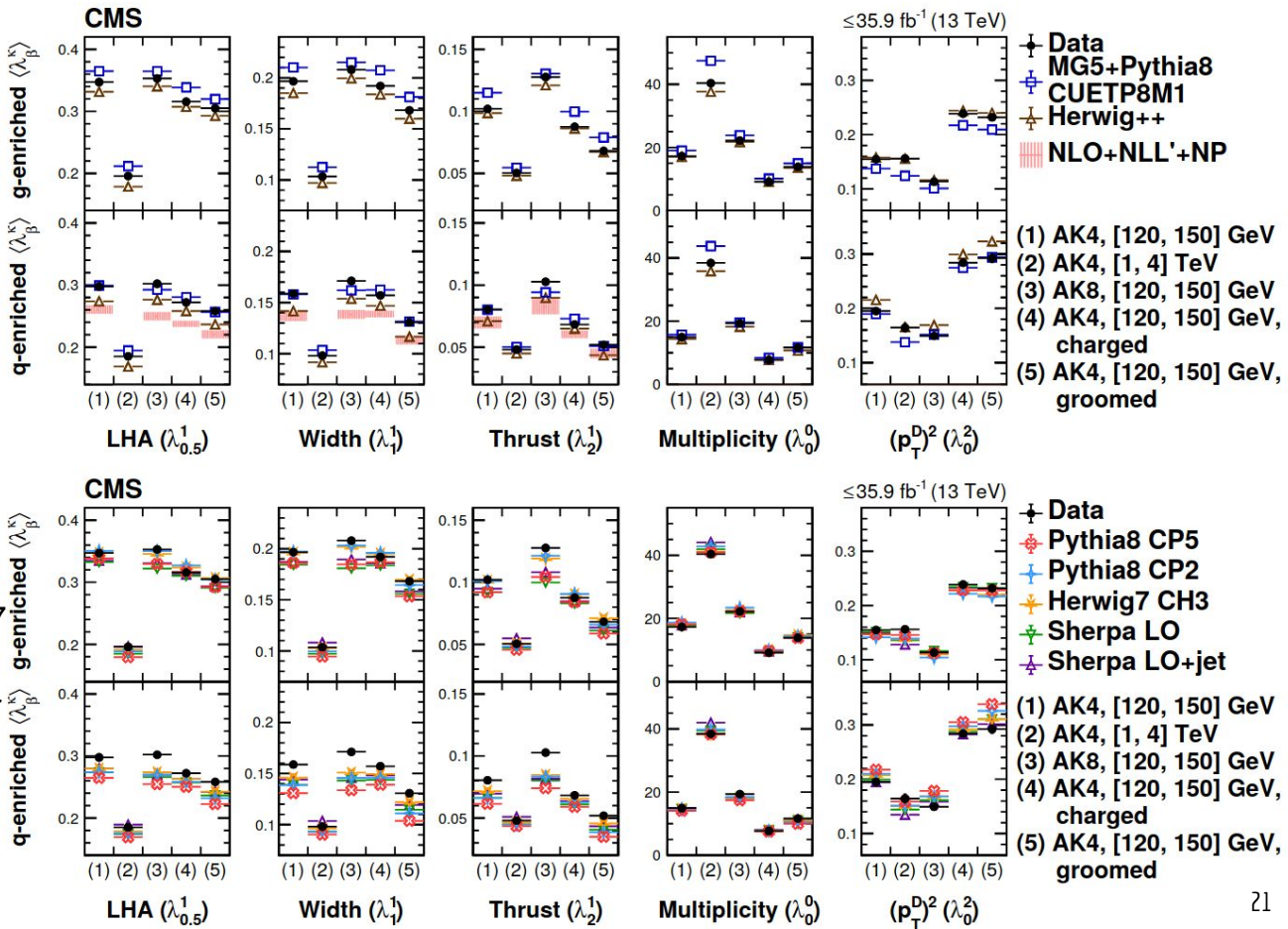
*Soft drop grooming
(algorithm to find the 1st
hard subjet).*

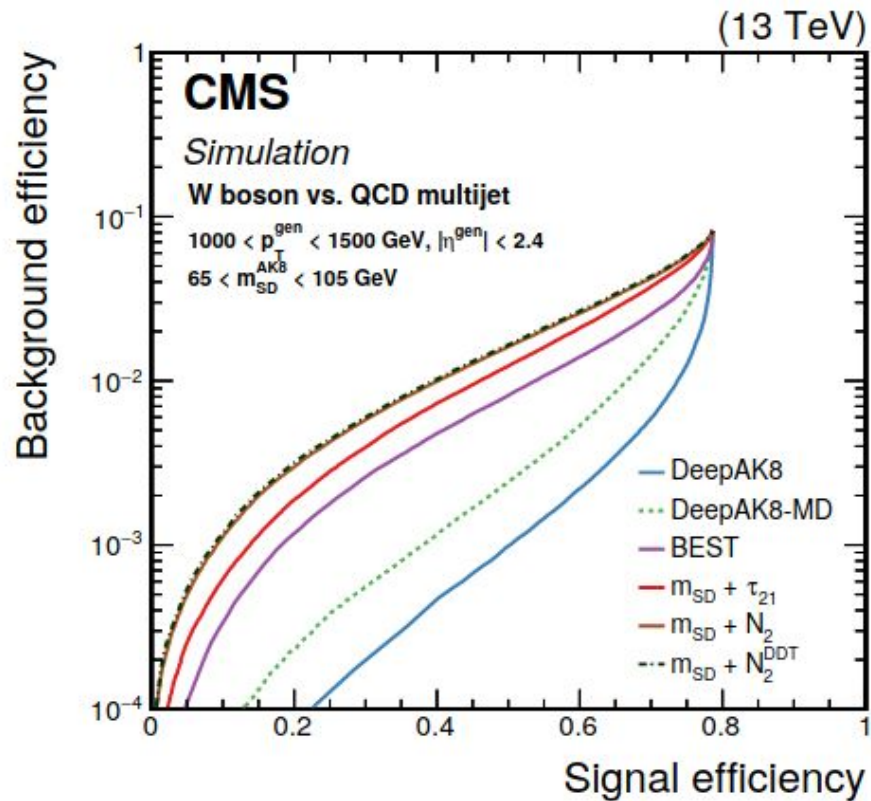
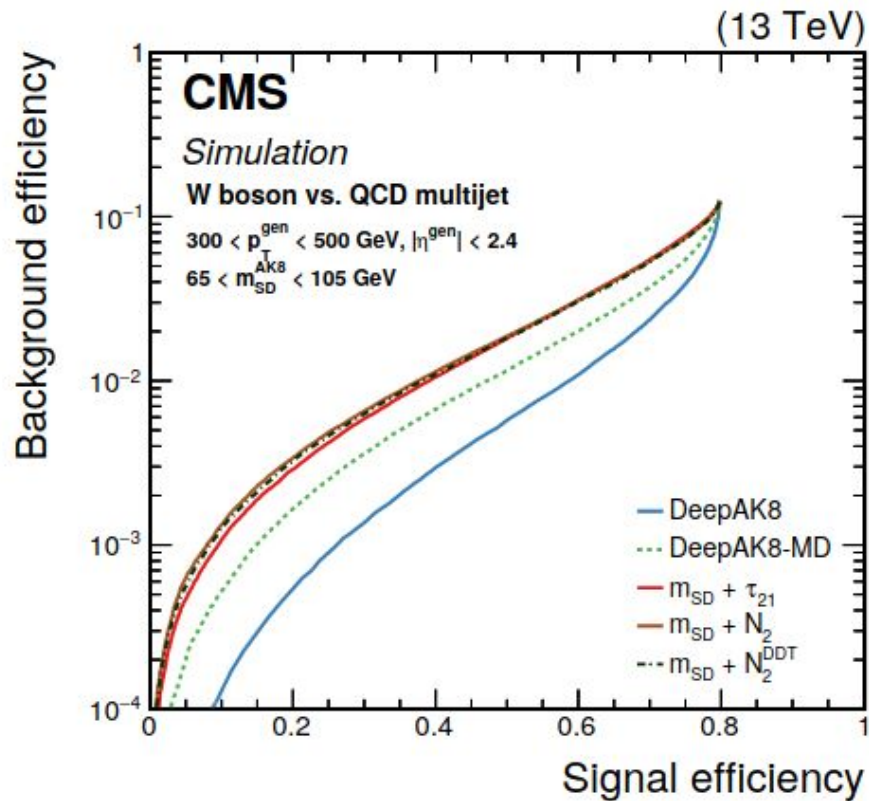
summary plot

Angularities are generally larger in gluon-enriched jet samples, consistent with LO picture.

Quark- and gluon-initiated parton showers are not well described by generators, *important for flavor tagging developments.*

Newest Pythia8 (CP2, CP5) and Herwig7 (CH3) tunes: description of gluon-like jets improves, not much improvement for quark-like jets.





Algorithm	Subsection	jet p_T [GeV]	t quark	W boson	Z boson	H boson
$m_{SD} + \tau_{32}$	6.1	400	✓			
$m_{SD} + \tau_{32} + b$	6.1	400	✓			
$m_{SD} + \tau_{21}$	6.1	200	✓	✓		
HOTVR	6.2	200	✓			
N_3 -BDT (CA15)	6.3	200	✓			
$m_{SD} + N_2$	6.3	200		✓	✓	✓
BEST	6.5	500	✓	✓	✓	✓
ImageTop	6.6	600	✓			
DeepAK8 ^(*)	6.7	200	✓	✓	✓	✓
Jet mass decorrelated algorithms						
$m_{SD} + N_2^{DDT}$	6.3	200		✓	✓	✓
double-b	6.4	300			✓	✓
ImageTop-MD	6.6	600	✓			
DeepAK8-MD ^(*)	6.7	200	✓	✓	✓	✓

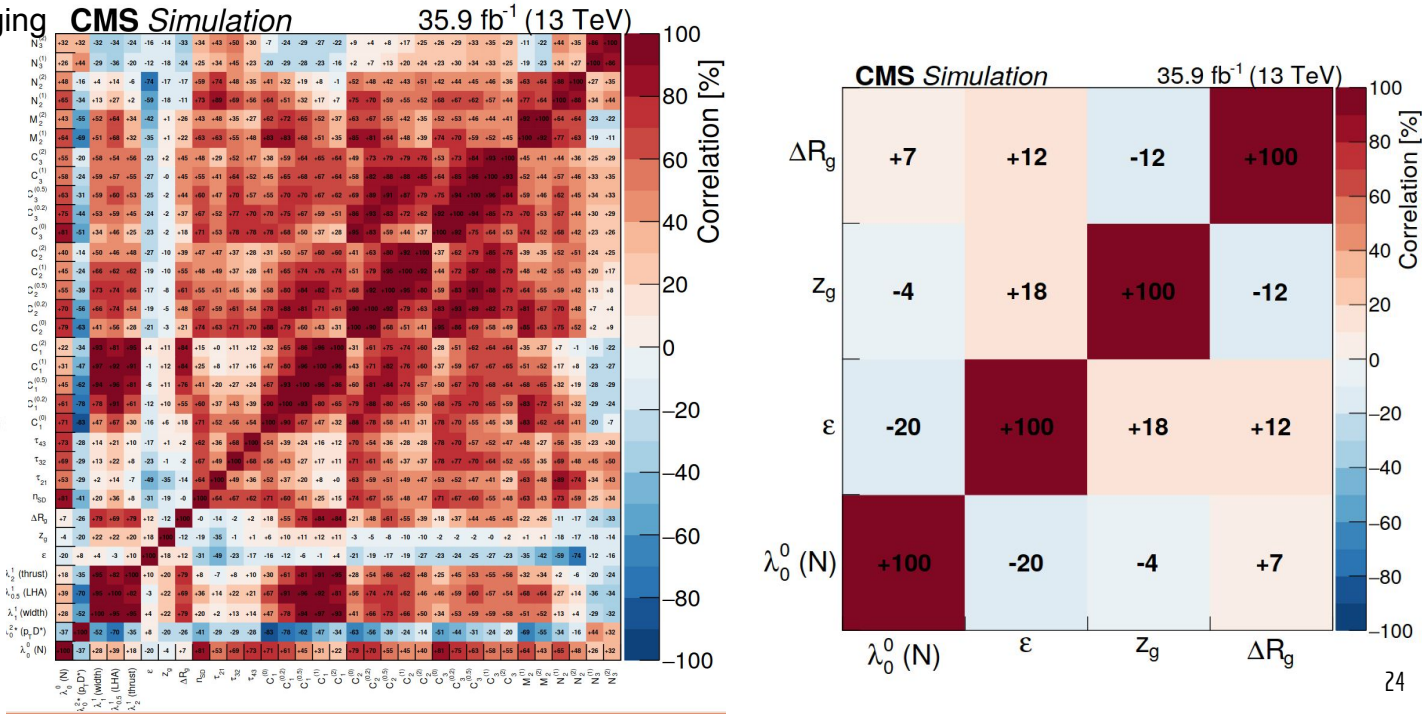
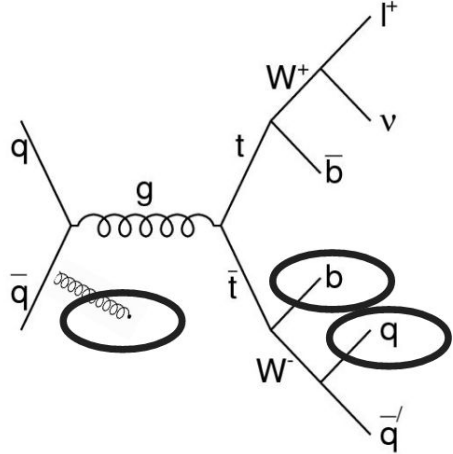
Jet substructure in top quark pair + jet events

Top quark pair production provides: bottom, light-quark enriched, and gluon-enriched jet samples:

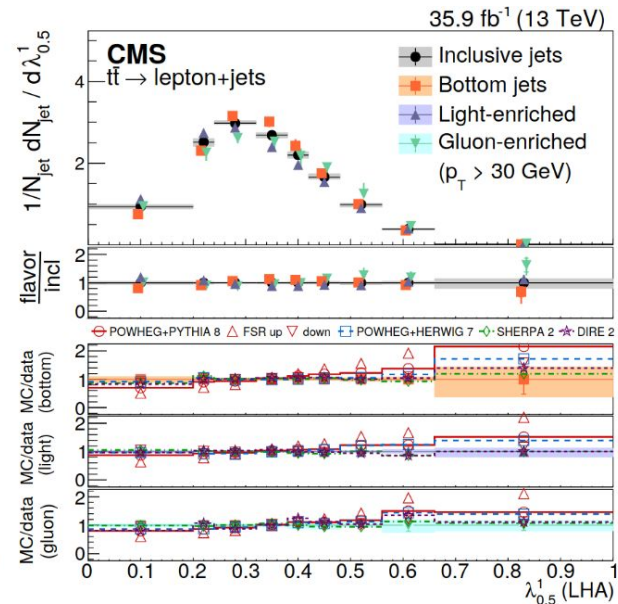
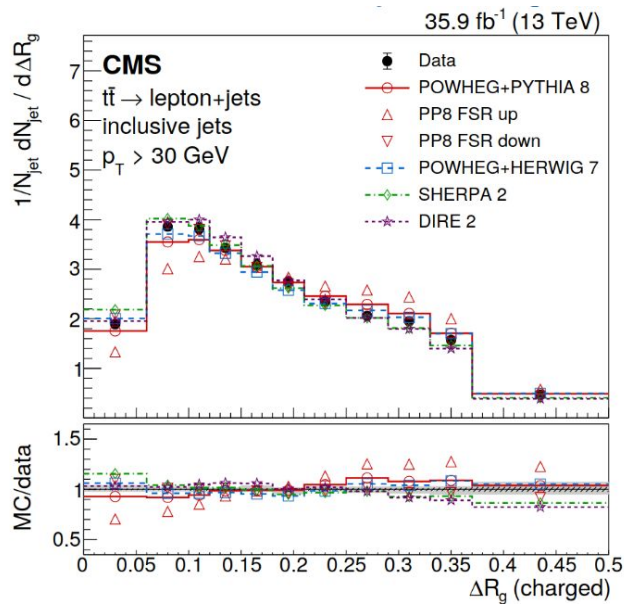
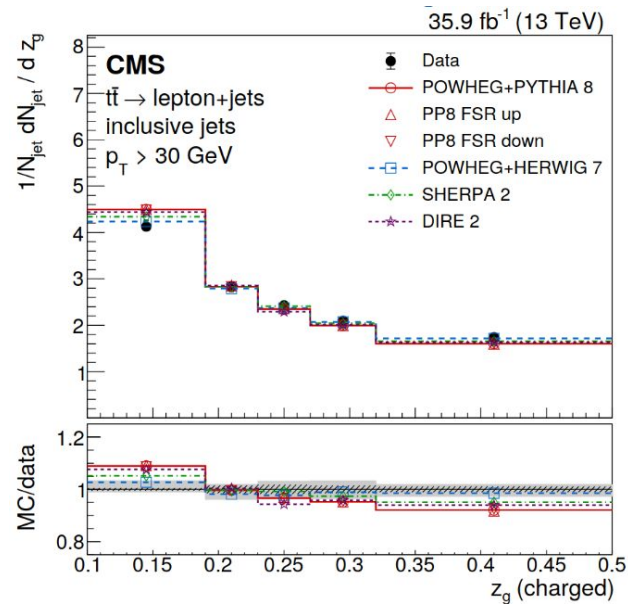
- **Light-enriched:** non b-tagged jets with $|m_{jj} - m_W| < 15$ GeV
- **Gluon-enriched:** non b-tagged jets with $|m_{jj} - m_W| > 15$ GeV
- **B-quark enriched** from b-tagging

33 observables were tested (Nsubjettiness, energy correlators, gen. angularities, ...). A set of minimally-correlated variables is analyzed in detail:

ΔR_g , z_g , multiplicity (λ_{00}), eccentricity (ϵ)



Measurement of jet substructure in top quark pair+jets



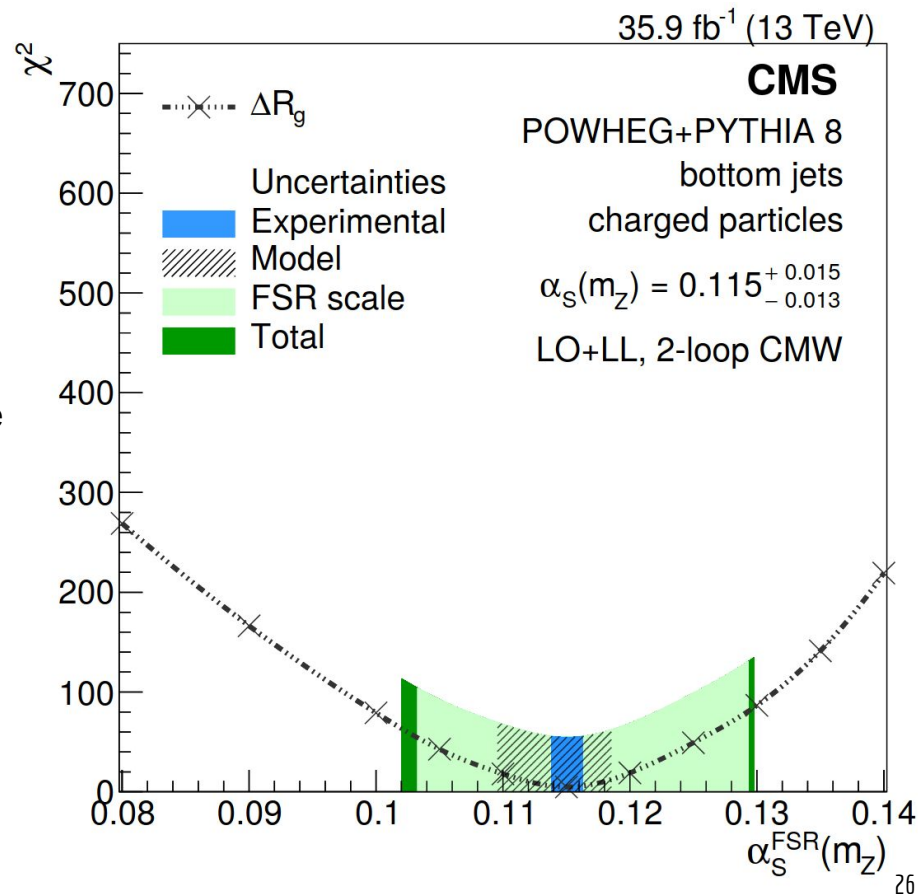
- Groomed momentum fraction z_g , related to the splitting function of QCD, at LO insensitive to α_S
- Angle between groomed subjects ΔR_g : sensitivity to α_S , robust against non-perturbative corrections.
- LHA is expected to be larger for gluon-jets than quark jets. B-quark jets are expected to have smaller LHA.

α_S extraction from $t\bar{t}$ lepton + jets

- ΔR_g is expected to be the least sensitive to NP effects.
- Substructure of b-jets is used for the extraction of α_S (NLO matrix element of top quark decay in PYTHIA8, effectively LO+LL b-jet substructure)
- Higher-order corrections supplemented in an effective way using 2-loop running and CMW rescaling of Λ_{QCD} .
- Result:

$$\alpha_S(m_Z) = 0.115^{+0.015}_{-0.013}$$

experimental uncertainties are less than 1% of α_S ,
uncertainty in α_S is dominated by FSR scale
uncertainties.

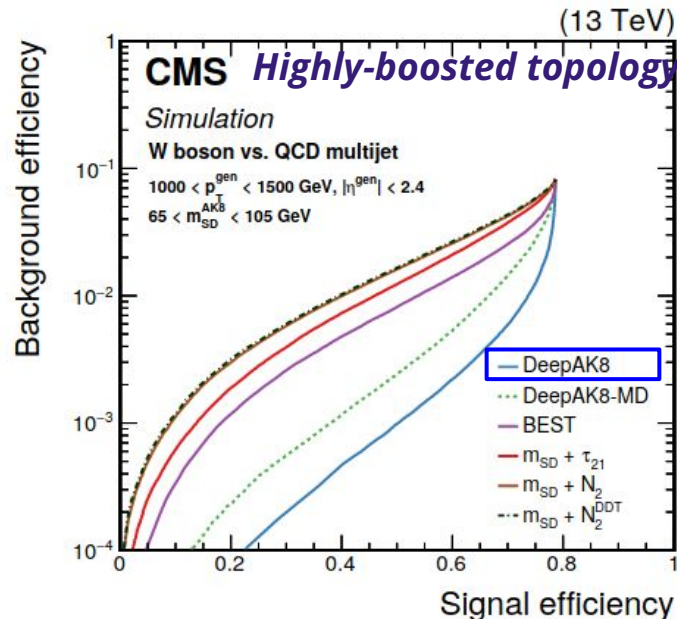
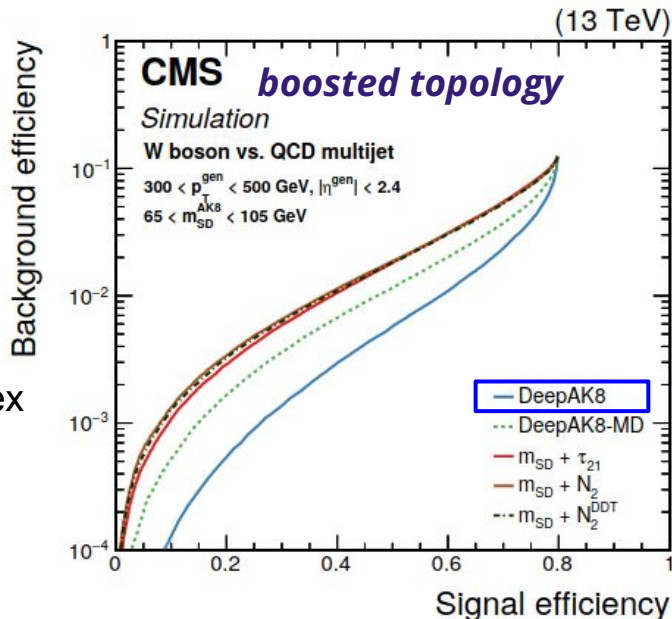


Boosted object tagging using machine learning algorithms

- Improved tagging performance with neural networks (NN) over cutoff methods
- DeepAK8 (convolutional NN) gives best performance for highly boosted topologies.

[arXiv:2004.08262](https://arxiv.org/abs/2004.08262)

DeepAK8:
100 particles
(4-momenta, charge,
track info, secondary vertex
info, angular separation
relative to jet axis, ...),
42 variables total



Performance of taggers & scale factors is highly sensitive to modeling of quark- and gluon-initiated jet parton showers. Important to have as many experimental inputs to constrain these uncertainties!!