



DNNs and Jet Substructure for HH Searches at HL-LHC

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This Talk

- Introduction & **Motivation**
- **Signal & Background** Modelling
- Analysis **Strategies**
- Conclusion

Based on [arXiv:2004.04240](https://arxiv.org/abs/2004.04240)

High Energy Physics – Phenomenology

[Submitted on 8 Apr 2020 (v1), last revised 12 Oct 2020 (this version, v3)]

Higgs self-coupling measurements using deep learning in the $b\bar{b}b\bar{b}$ final state

Jacob Amacker, William Balunas, Lydia Beresford, Daniela Bortoletto, James Frost, Cigdem Issever, Jesse Liu, James McKee, Alessandro Micheli, Santiago Paredes Saenz, Michael Spannowsky, Beojan Stanislaus

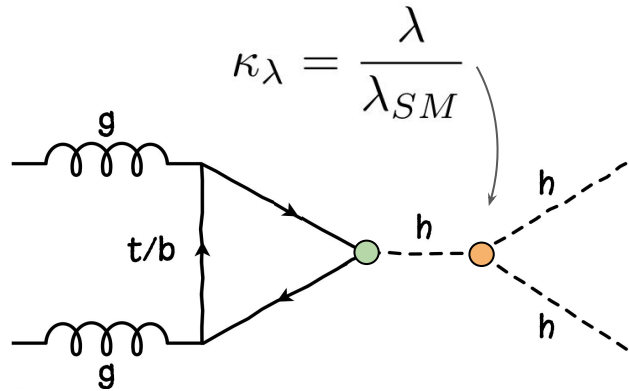
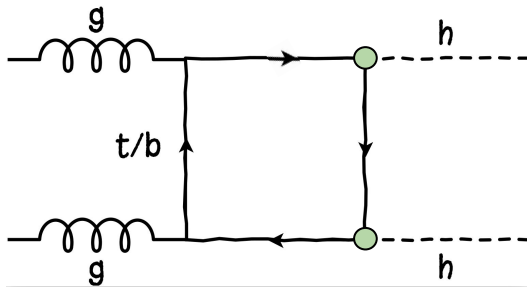
Measuring the Higgs trilinear self-coupling λ_{hhh} is experimentally demanding but fundamental for understanding the shape of the Higgs potential. We present a comprehensive analysis strategy for the HL-LHC using di-Higgs events in the four b -quark channel ($hh \rightarrow 4b$), extending current methods in several directions. We perform deep learning to suppress the formidable multijet background with dedicated optimisation for BSM λ_{hhh} scenarios. We compare the λ_{hhh} constraining power of events using different multiplicities of large radius jets with a two-prong structure that reconstruct boosted $h \rightarrow b\bar{b}$ decays. We show that current uncertainties in the SM top Yukawa coupling y_t can modify λ_{hhh} constraints by $\sim 20\%$. For SM y_t , we find prospects of $-0.8 < \lambda_{hhh}/\lambda_{hhh}^{\text{SM}} < 6.6$ at 68% CL under simplified assumptions for 3000-fb^{-1} of HL-LHC data. Our results provide a careful assessment of di-Higgs identification and machine learning techniques for all-hadronic measurements of the Higgs self-coupling and sharpens the requirements for future improvement.

Comments: 36 pages, 15 figures + bibliography and appendices
Subjects: **High Energy Physics – Phenomenology (hep-ph)**; High Energy Physics – Experiment (hep-ex)
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DOI: [10.1007/JHEP12\(2020\)115](https://doi.org/10.1007/JHEP12(2020)115)
Report number: IPPP/20/11
Cite as: arXiv:2004.04240 [hep-ph]
(or [arXiv:2004.04240v3](https://arxiv.org/abs/2004.04240v3) [hep-ph] for this version)

Introduction & Motivation

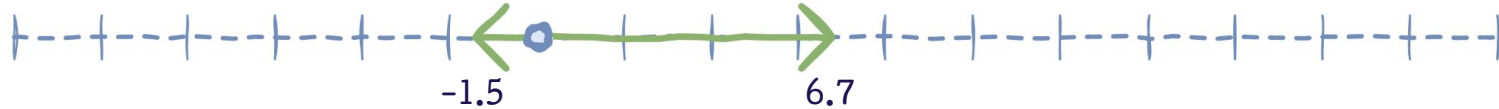


Why hh?

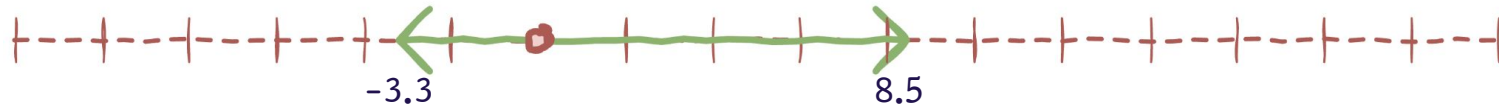


- **Key parameter** in the standard model
 ↳ **Not only** for collider physics
- **hh** is the **most sensitive probe** of the Higgs self-coupling

full Run II data - $bb\gamma\gamma$ - 95% C.L. κ_λ constraints*

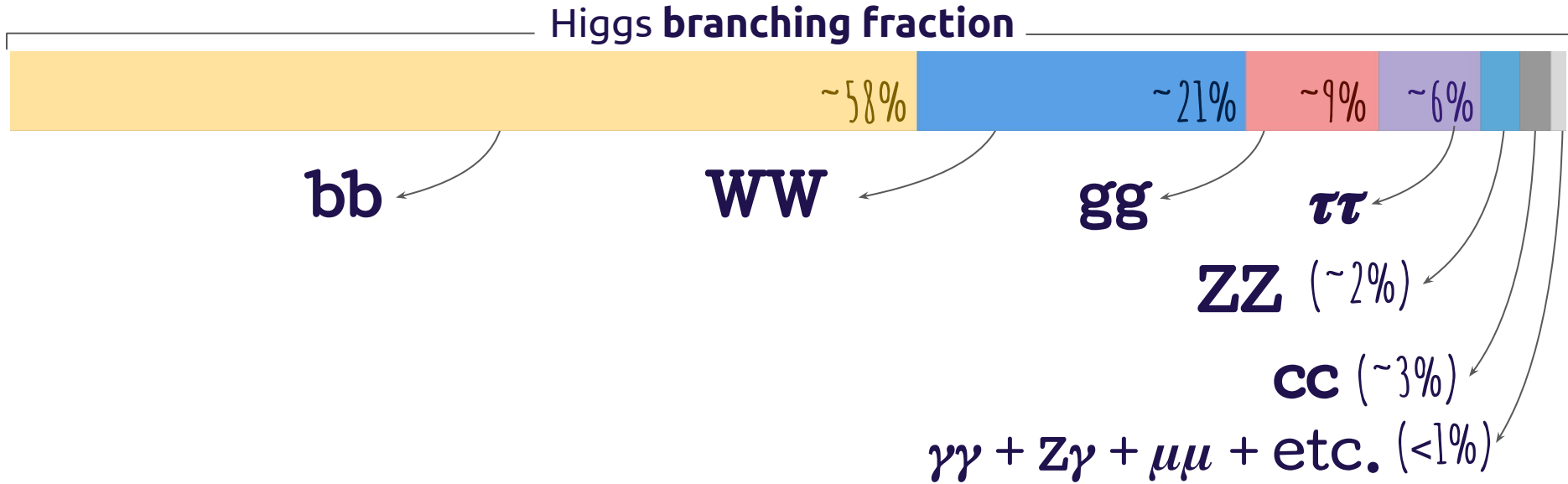


[ATLAS-CONF-2021-016](#)

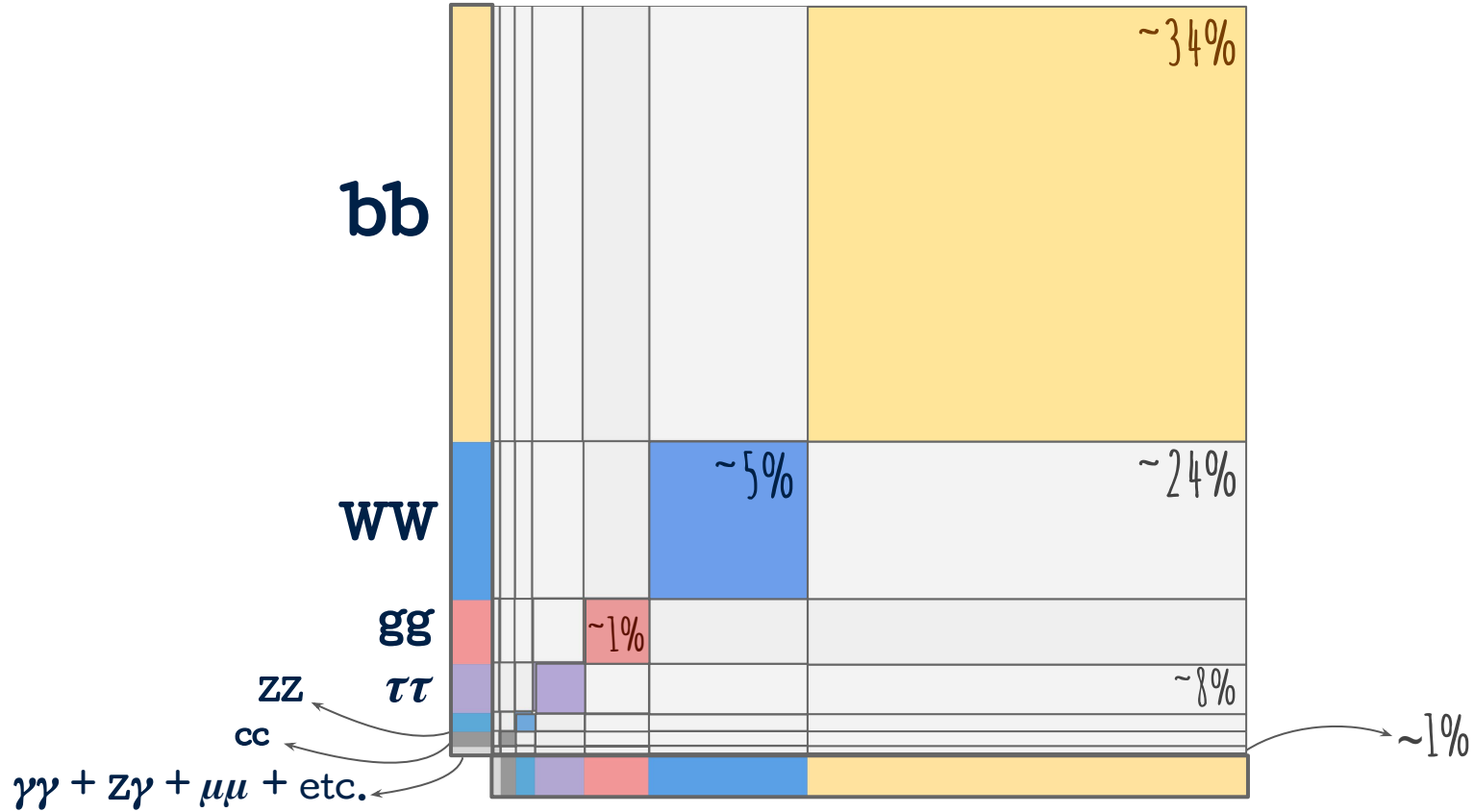


[JHEP03\(2021\)257](#)

Why $hh \rightarrow 4b$?



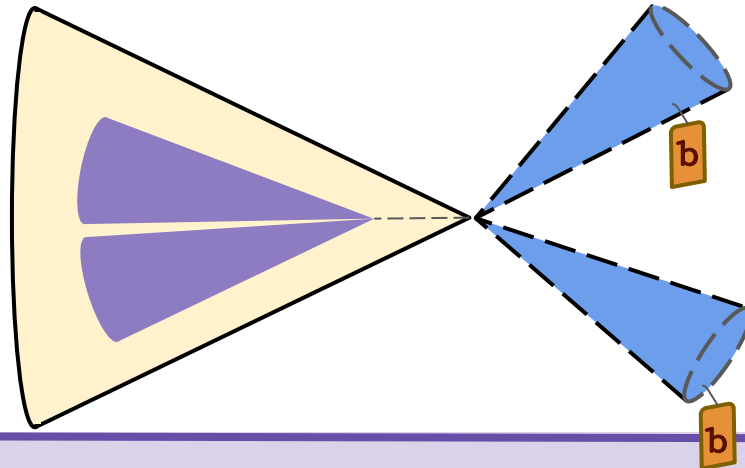
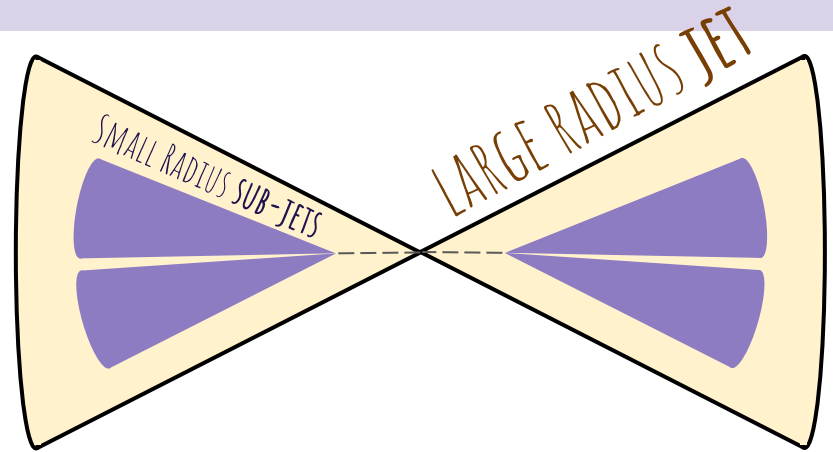
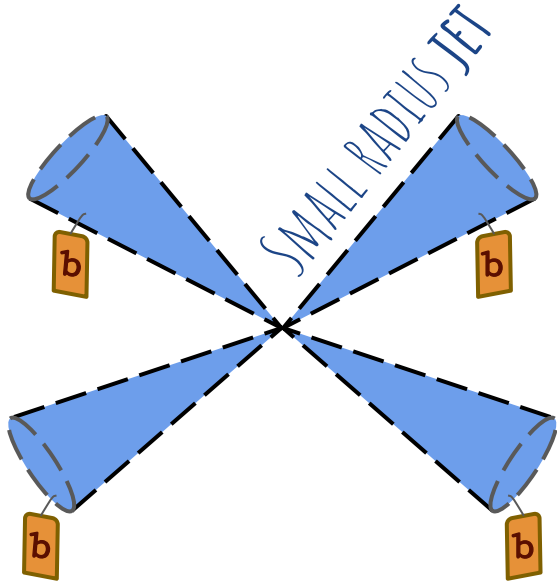
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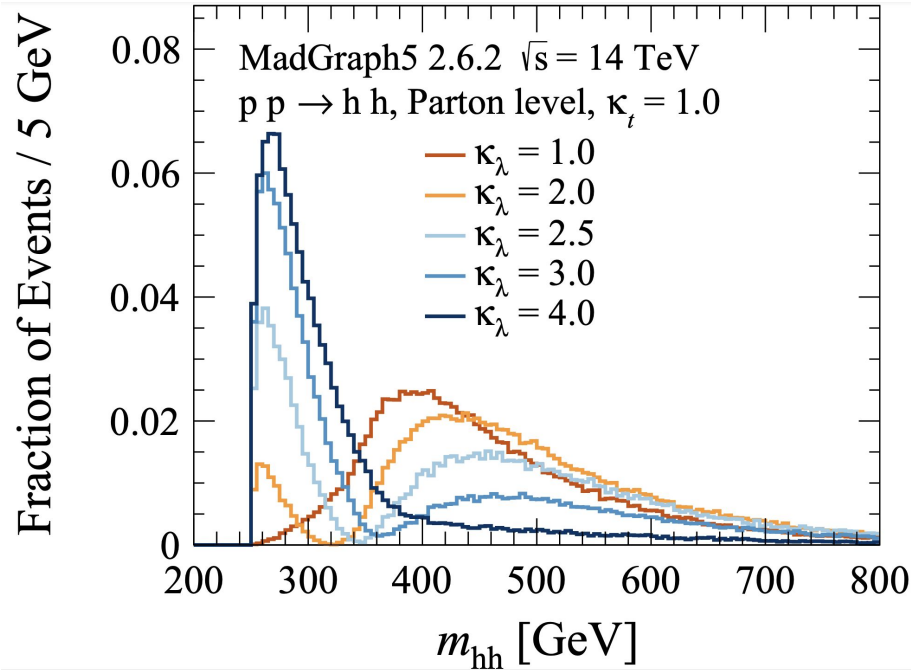
Signal & Background Modelling



Signal Topology



Signal Samples

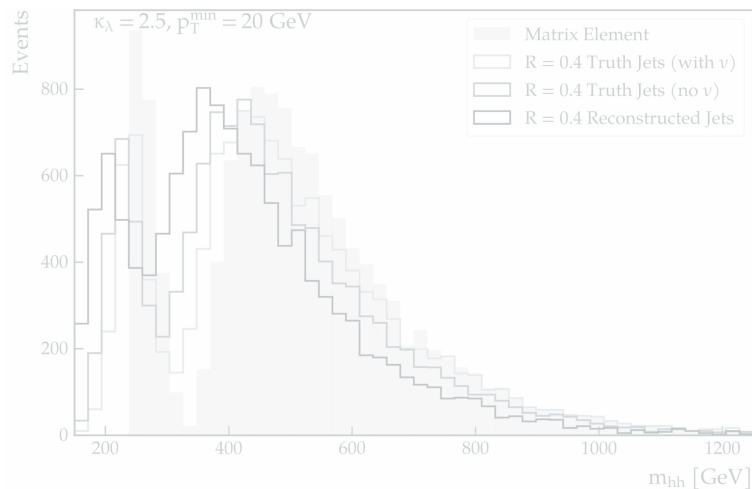
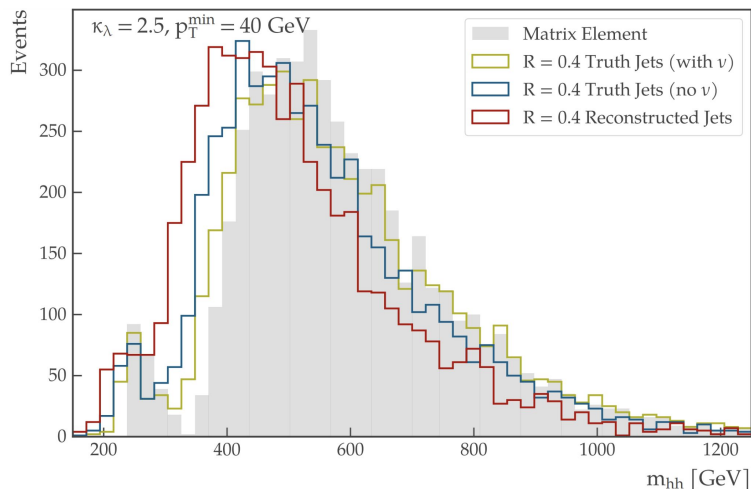


- $gg \rightarrow hh$ production
 - **Inclusive h decay**
- Points with **varied** coupling to **top** quark and **self couplings**
- Extra $\kappa_t=1$ samples for **training**
 - **More** events per point
 - **Exclusive** decay **$h \rightarrow bb$**

Parentheses - m_{hh} shape degradation

- m_{hh} spectrum, various jets
 - $p_T > 40 \text{ GeV}$ → Same as analysis
 - $\kappa_\lambda = 2.5$ → Max. interference
- **Double-peak is degraded**

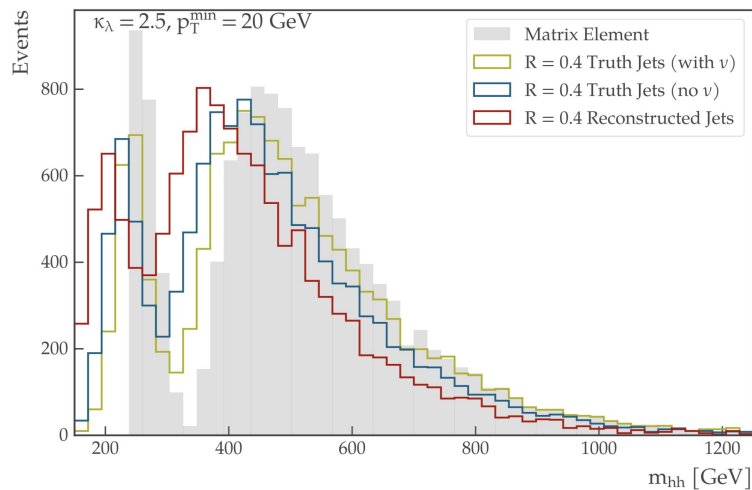
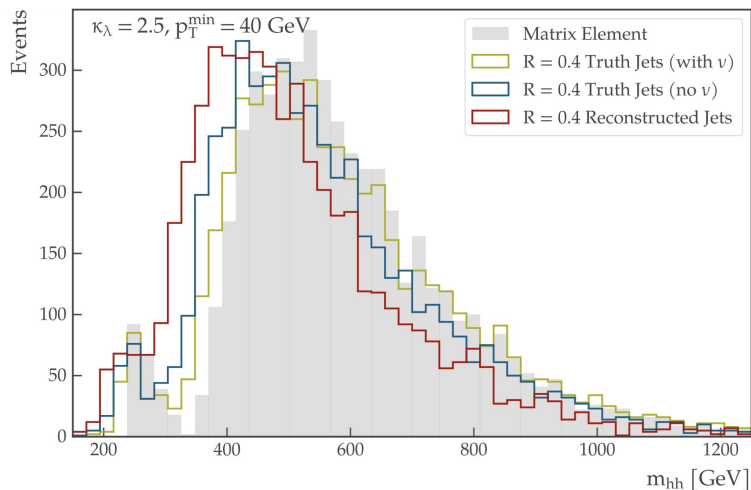
- Same plot, except:
 - $p_T > 20 \text{ GeV}$
- **Recover double peak**



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- Same plot, except:
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- **Recover double peak**



Background Samples

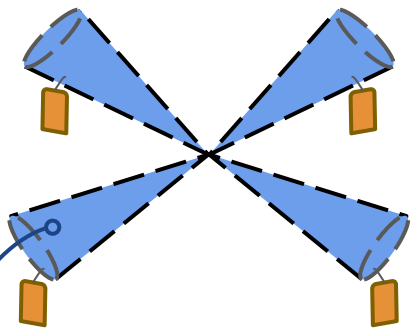
- Similar generation process to signals
- **Main** backgrounds:
 - » **Multijet** → 4b and 2b-2j
 - » **Top quark backgrounds** → $t\bar{t}$ (+ $b\bar{b}$) and $t\bar{t}h$
- Other backgrounds:
 - » $b\bar{b}h$
 - » ZZ
 - » Zh
 - » Wh

Analysis Strategies

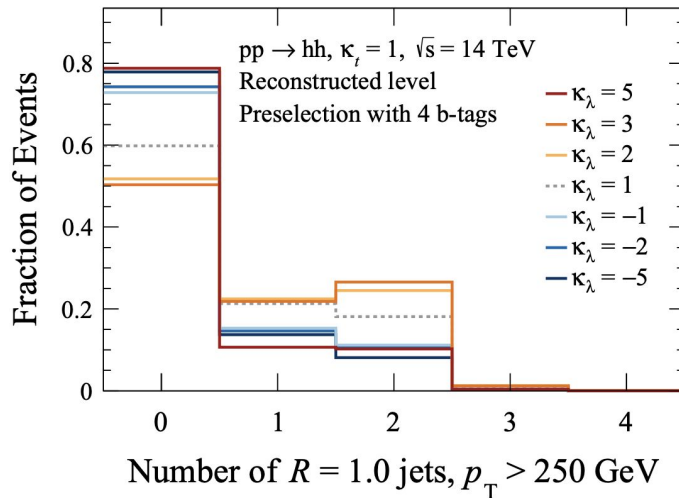


Channels

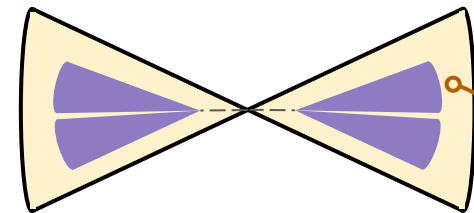
Resolved



$$j_s \left| \begin{array}{l} R = 0.4 \\ p_T > 40 \text{ GeV} \\ |\eta| < 2.5 \end{array} \right.$$

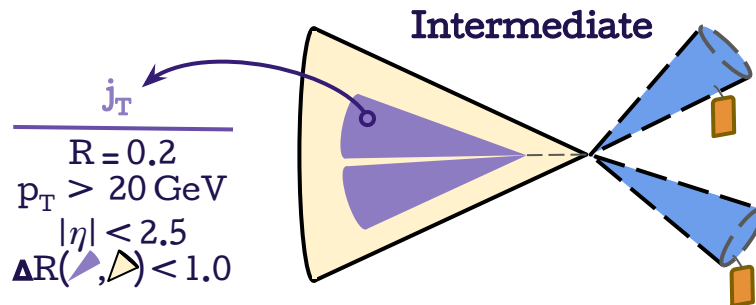


Boosted

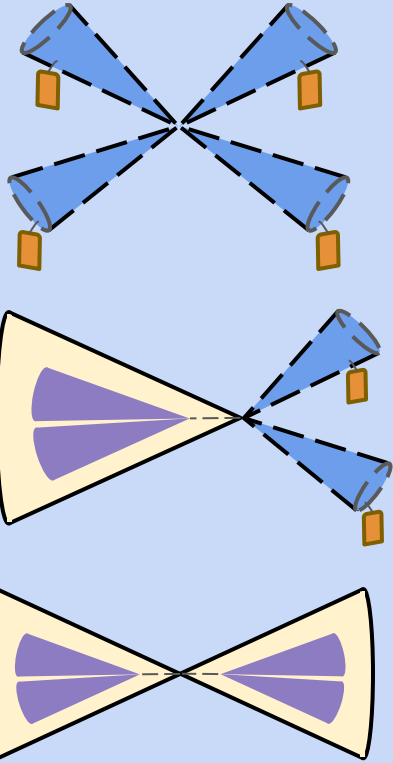


$$p_T > 250 \text{ GeV} \left| \begin{array}{l} R = 1.0 \\ j_L \\ |\eta| < 2.0 \end{array} \right.$$

Intermediate

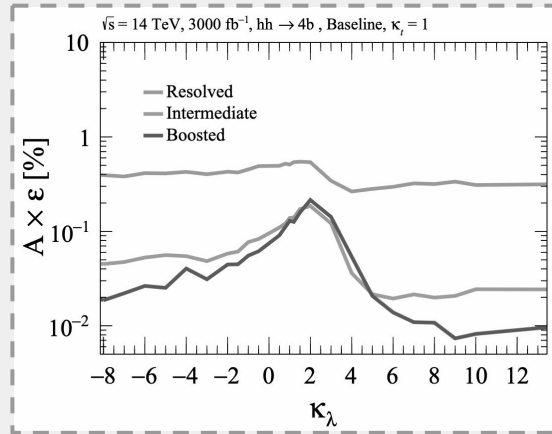


Analysis Strategy



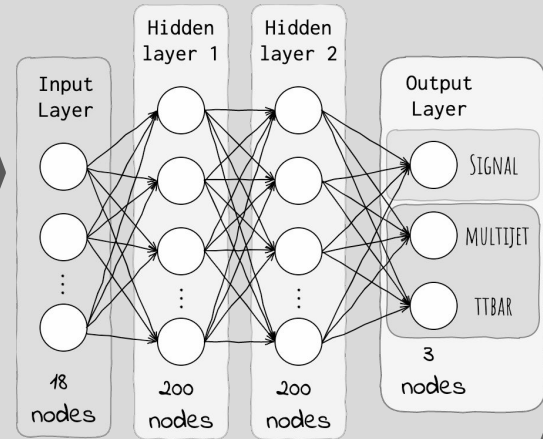
Baseline Analysis

- **Cut Based**
- **ATLAS/CMS-inspired**



DNN Analysis

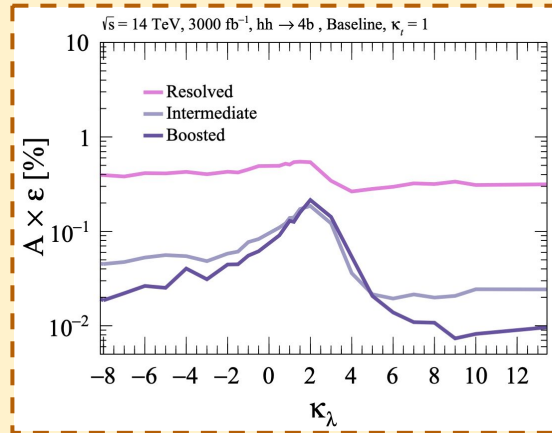
- **Trained NN classifier**
- **Cut on NN score**



Analysis Strategy

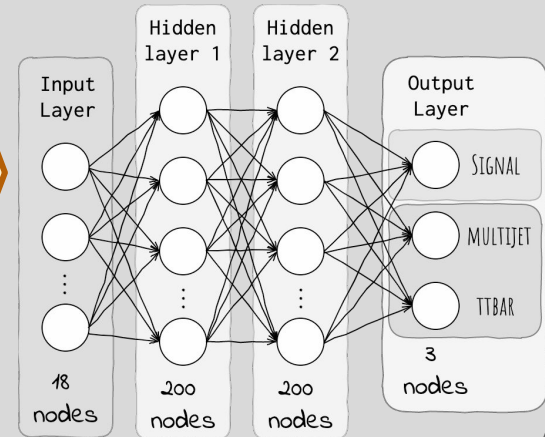
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DNN Analysis

- Trained NN **classifier**
- **Cut on NN score**



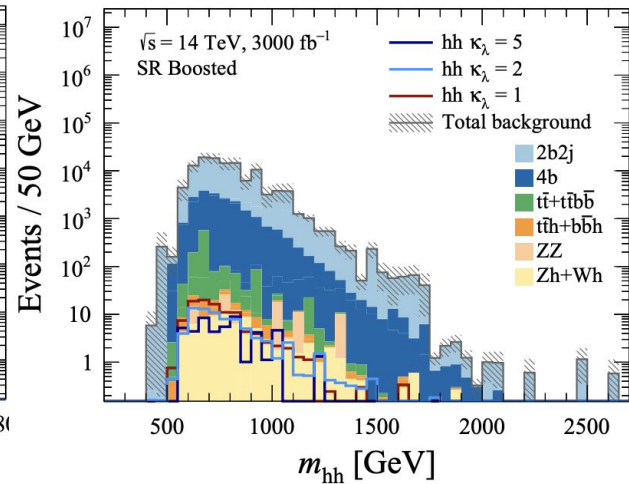
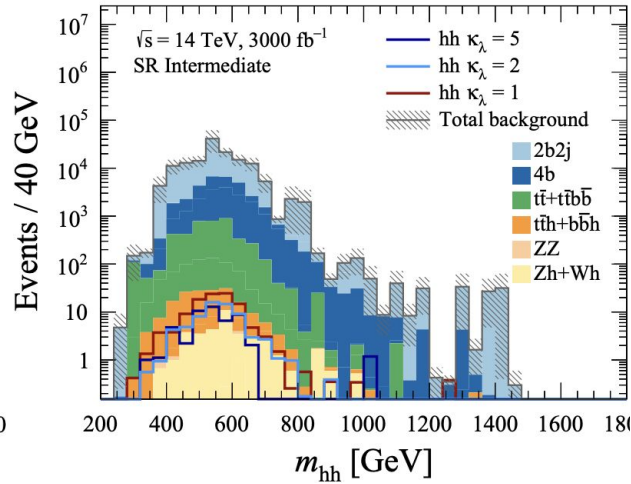
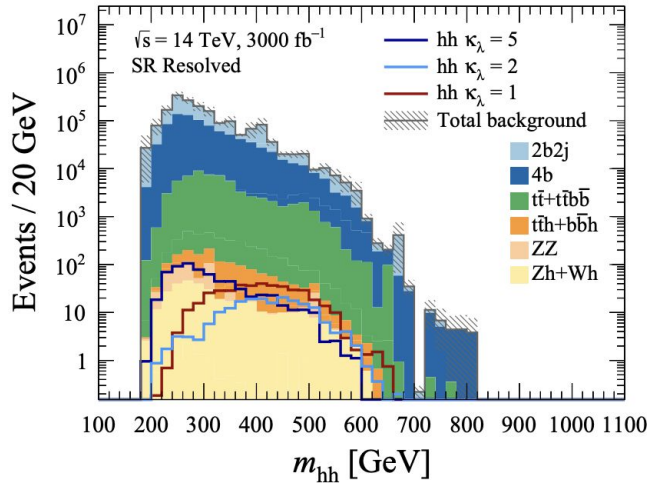
Baseline Analysis

• **Channel-specific** cuts \Rightarrow define Signal Region (**SR**) in $m_{hh} \Rightarrow$ systematics

- $\hookrightarrow N(j_L \triangle) = 0$
- $\hookrightarrow N(j_S \triangle) \geq 4$
- \hookrightarrow Lepton, MET veto
- \hookrightarrow 4 b-tags
- $\hookrightarrow \Delta R(j_S^1 \triangle, j_S^2 \triangle)$ cut

- $\hookrightarrow N(j_L \triangle) = 1$
- $\hookrightarrow N(j_S \triangle) \geq 2$
- \hookrightarrow Lepton, MET veto
- \hookrightarrow 4 b-tags

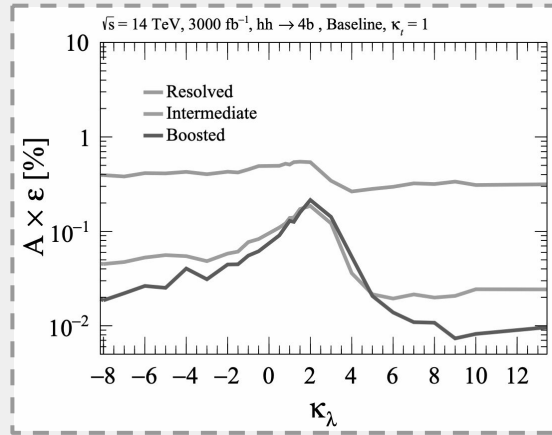
- $\hookrightarrow N(j_L \triangle) = 2$
- $\hookrightarrow N(j_S \triangle) \geq 0$
- \hookrightarrow Lepton, MET veto
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Analysis Strategy

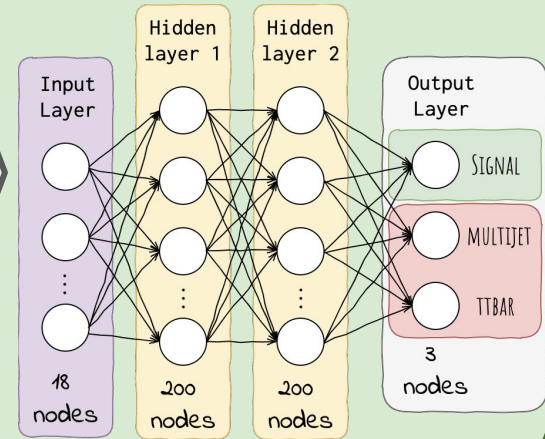
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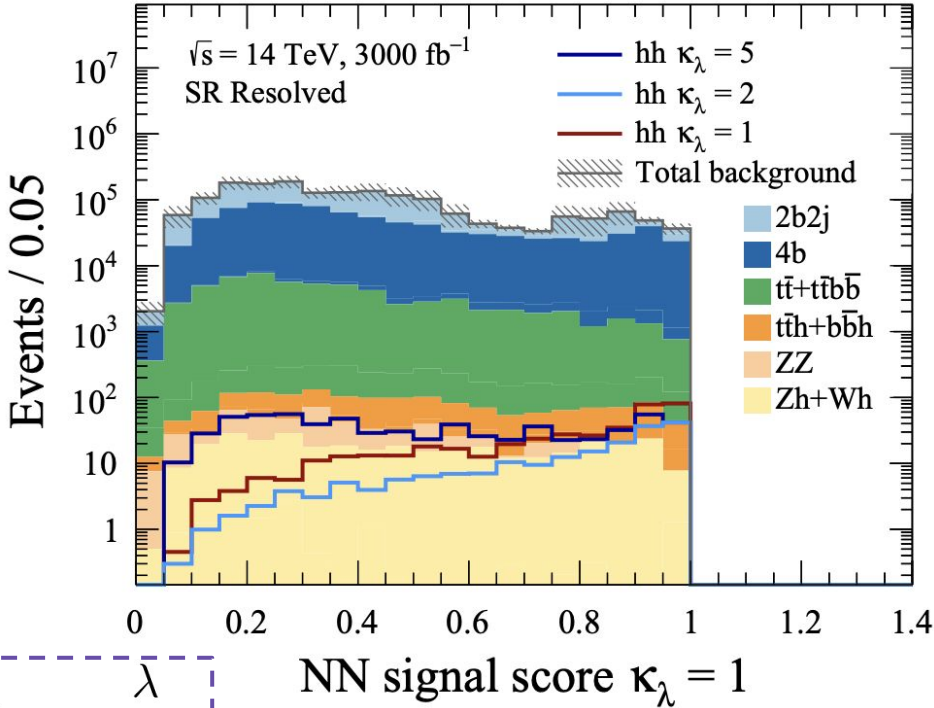


DNN Analysis

- Trained NN **classifier**
- **Cut on NN score**



DNN Analysis



$$\kappa_\lambda = \frac{\lambda}{\lambda_{SM}}$$

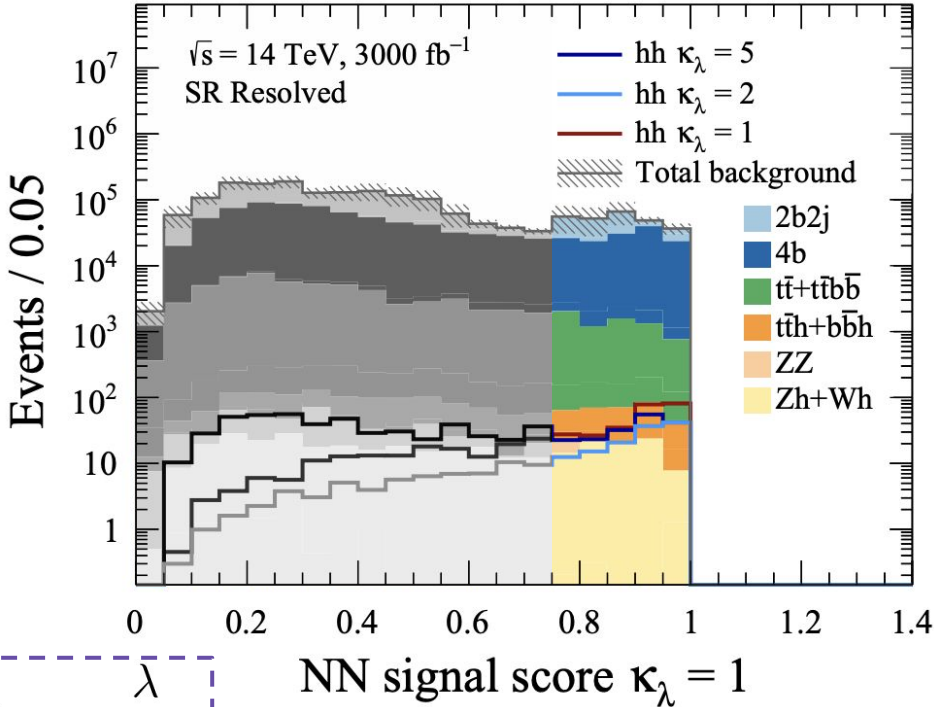
- **Multi-class** classifier
 - Signal vs multijet vs $t\bar{t}$

TRAINING VARIABLES

- | | | |
|--------------|-----------------|-----------------------|
| ➤ p_T^{HH} | ➤ MET | ➤ SUB-JET η |
| ➤ M^{HH} | ➤ MET ϕ | ➤ SUB-JET ϕ |
| ➤ #MUONS | ➤ SUB-JET MASS | ➤ SUB-JETS ΔR |
| ➤ #ELEC | ➤ SUB-JET p_T | ➤ SUB-JETS B-TAG |

- Cut \Rightarrow NN signal score > 0.75
- Trained with **multiple** κ_λ signals
 - **Use $\kappa_\lambda = 5$** network

DNN Analysis



$$\kappa_\lambda = \frac{\lambda}{\lambda_{SM}}$$

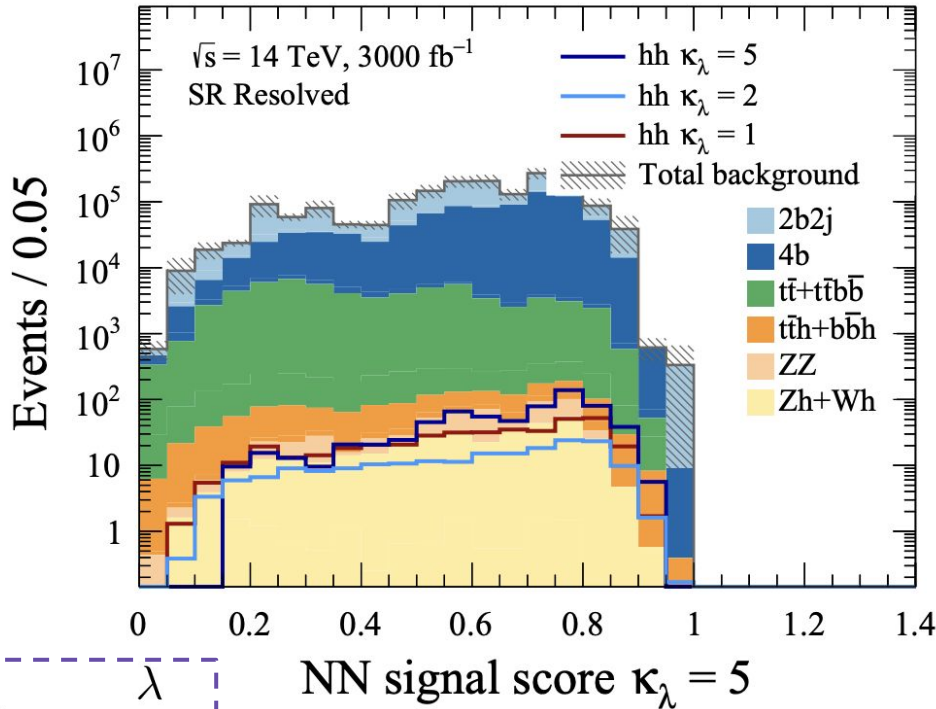
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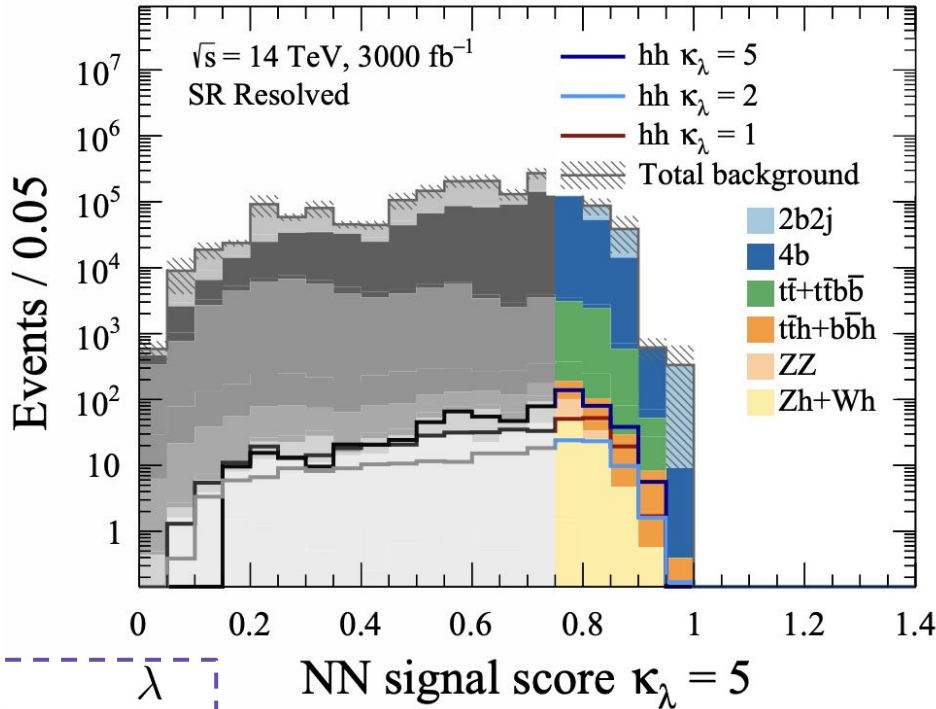
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TRAINING VARIABLES

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DNN Analysis



- **Multi-class** classifier
 ➤➤ Signal vs multijet vs $t\bar{t}$

TRAINING VARIABLES

➤➤ p_T^{HH}	➤➤ MET	➤➤ SUB-JET η
➤➤ M^{HH}	➤➤ MET ϕ	➤➤ SUB-JET ϕ
➤➤ #MUONS	➤➤ SUB-JET MASS	➤➤ SUB-JETS ΔR
➤➤ #ELEC	➤➤ SUB-JET p_T	➤➤ SUB-JETS B-TAG

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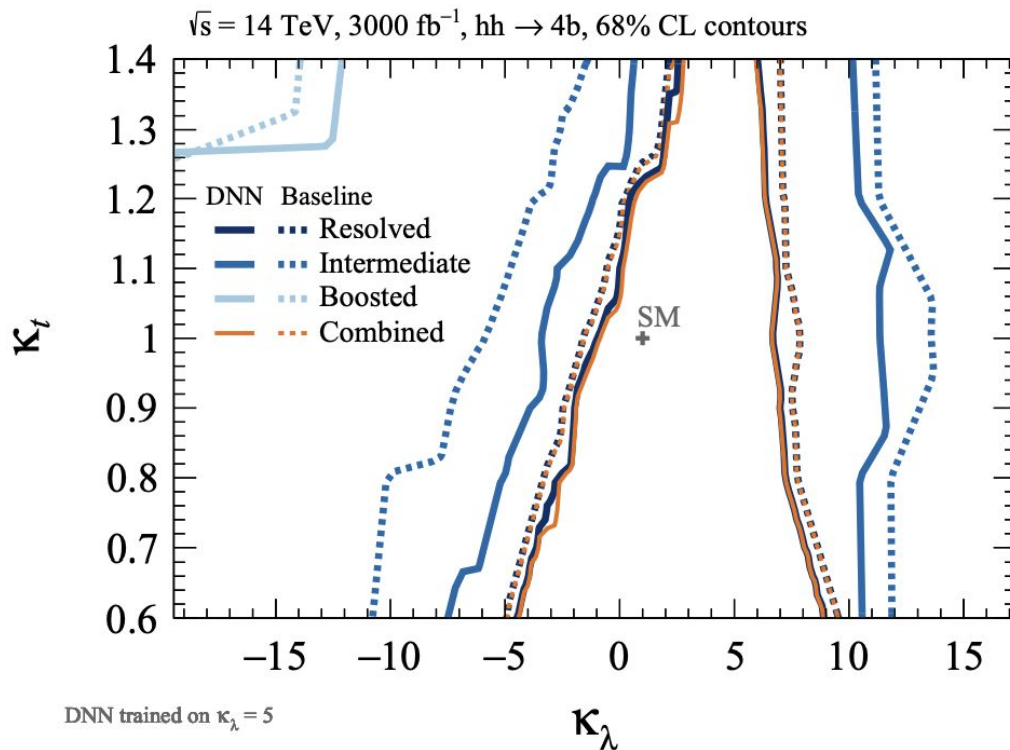
$$\kappa_\lambda = \frac{\lambda}{\lambda_{SM}}$$

Self-Coupling Constraints



Constraints on κ_λ - κ_t Plane

- Resolved \rightarrow **most powerful**
 - \Rightarrow Intermediate \rightarrow **non-negligible**
 - \Rightarrow Boosted \rightarrow **negligible***...but made it to the plot!
- **Improvement** in constraint after **applying cut** on **DNN signal score**
- **Strong dependence** on κ_t



Conclusion



Conclusions

- Showed a **comparison** of λ_{hhh} **constraints** in $\text{hh} \rightarrow 4\text{b}$ resolved, intermediate and boosted channels, in the context of HL-LHC
 - **Resolved most constraining**, then intermediate and then boosted
- A basic **DNN analysis** provided **noticeable improvement** over the cut based baseline analysis
- Best constraints came from NN trained on BSM signal
 - $\text{hh} \rightarrow 4\text{b}$ analyses **optimized** for **discovery of SM hh** may be **suboptimal** to constrain λ_{hhh}

Conclusions

- **Experimental challenges** at the HL-LHC , such as jet triggering and reconstruction, **affect** the **key discriminating variable** m_{hh}
- **Uncertainty** on k_t has a strong impact on sensitivity to k_λ
- **4b** is a **challenging** hh channel for λ_{hhh} constraints, but can provide important **independent information** for statistical **combinations**



Thanks!

The logo for the University of Brussels (ULB). It consists of the letters 'ULB' in a large, bold, white, sans-serif font, set against a dark grey rectangular background.

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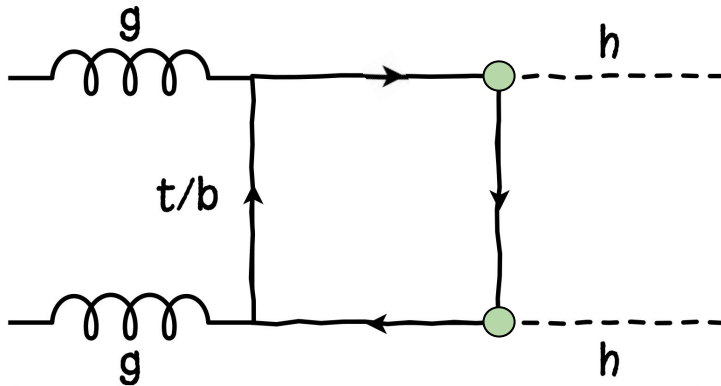
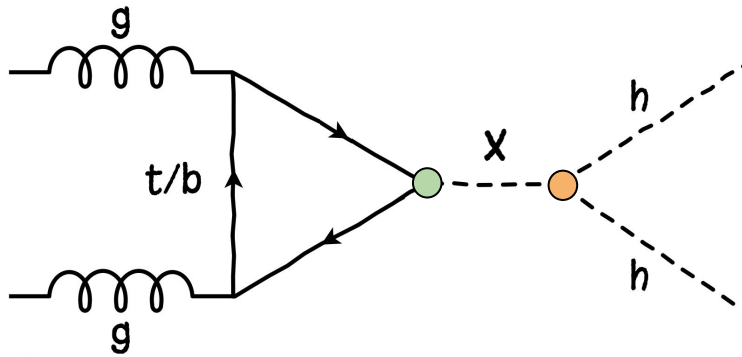
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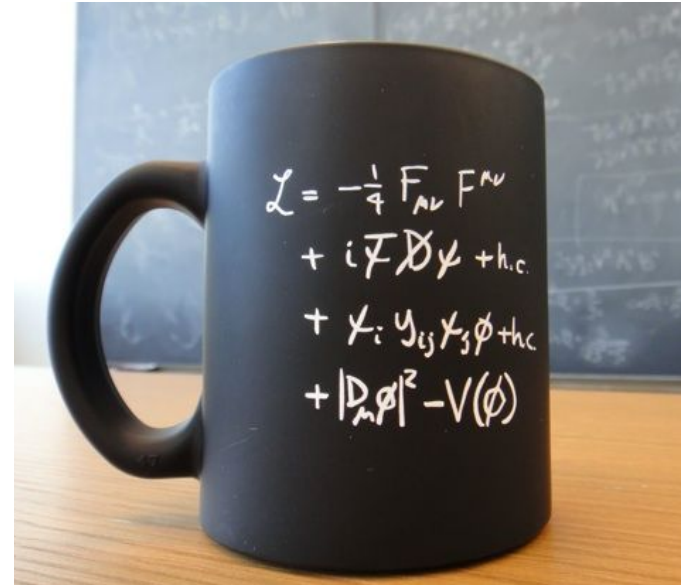
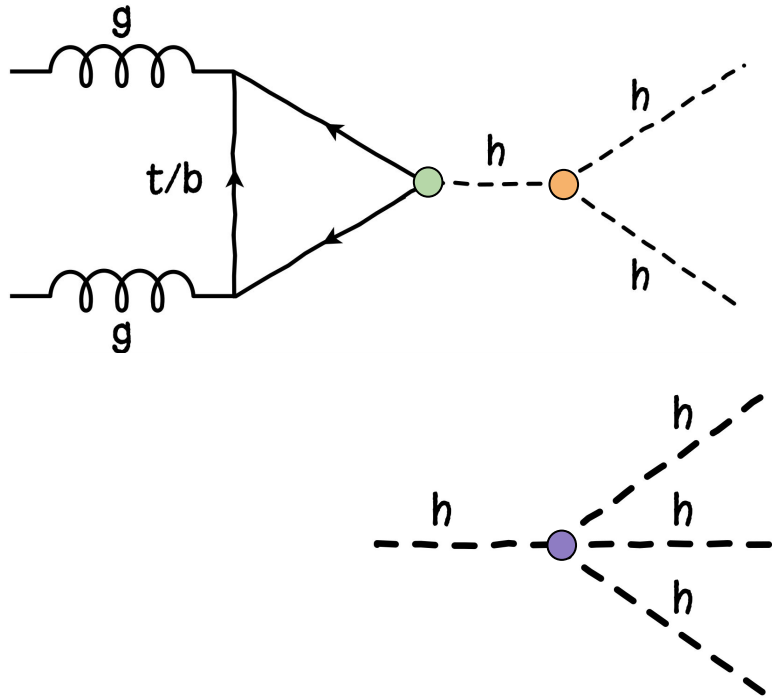


Why hh?



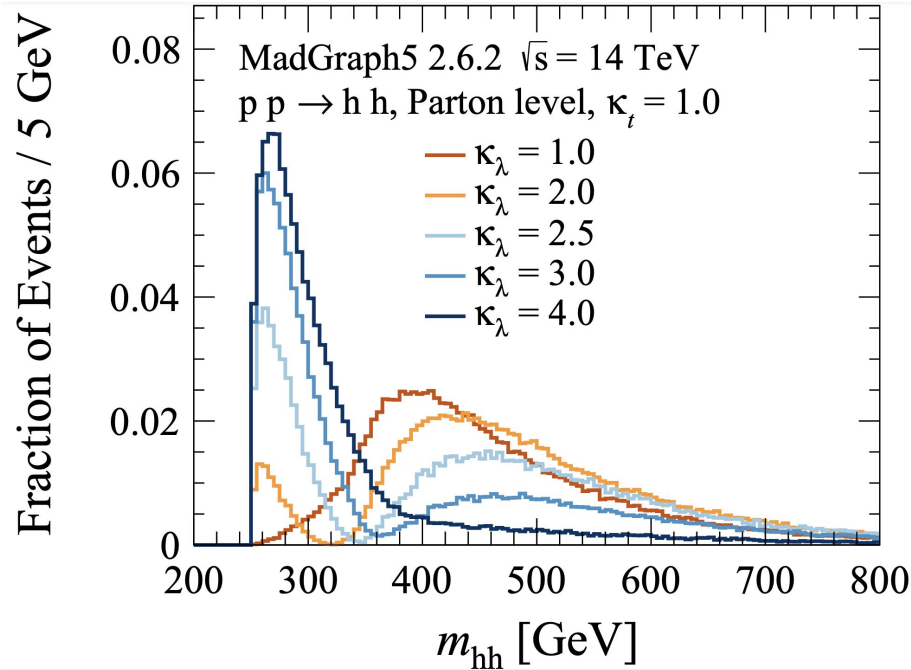
- Standard Model
 - ⇒ Sensitive to the higgs **self-coupling** ●
 - ⇒ Also to the **tth** ● vertex
- Beyond the SM
 - ⇒ **New physics** effects in ● & ● loops
 - ⇒ **Heavy resonances** (X) decaying to di-higgs

Why di-higgs?



$$\lambda v^2 h^2 + \lambda v h^3 + \frac{\lambda}{4} h^4$$

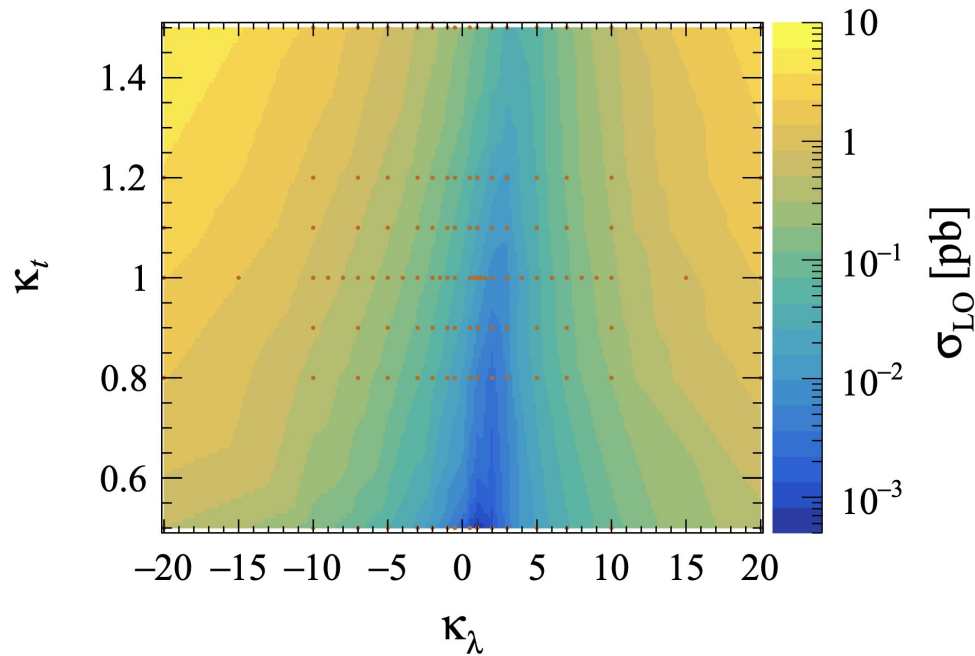
Signal Samples



- $gg \rightarrow hh$ production
 - ↪ **100k events** per point
 - ↪ MadGraph 2.6.2
 - ↪ **Inclusive h decay**
- Decay, parton shower, hadronization, and underlying event \rightarrow Pythia 8.230
- **Varied** coupling to **top** quark and **self couplings**
 - ↪ All **BSM couplings** set to **0**
- Extra $\kappa_t=1$ samples for **ML training**
 - ↪ **250k** events per point
 - ↪ Exclusive decay $h \rightarrow b\bar{b}$

Signal Samples

MadGraph5 2.6.2 $\sqrt{s} = 14$ TeV, $pp \rightarrow hh$ • Points sampled



- $gg \rightarrow hh$ production
 - ↪ **100k events** per point
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 - ↪ **Inclusive h decay**
- Decay, parton shower, hadronization, and underlying event
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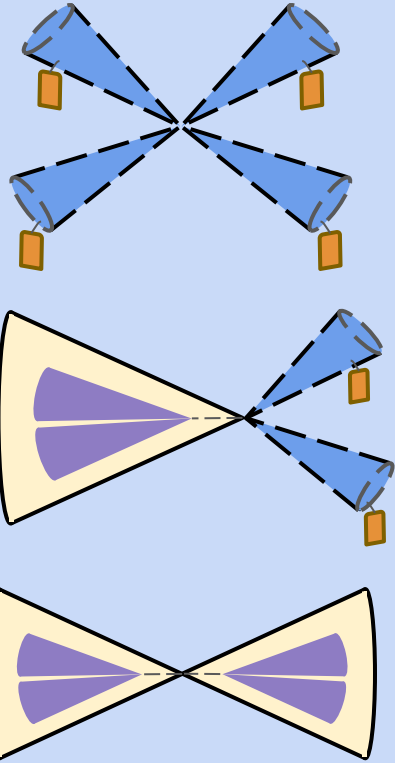
Event and Object Selection

Observable	Preselection		
Large jet j_L	$R = 1.0, p_T > 250 \text{ GeV}, \eta < 2.0$		
Small jet j_S	$R = 0.4, p_T > 40 \text{ GeV}, \eta < 2.5$		
Track jet j_T	$R = 0.2, p_T > 20 \text{ GeV}, \eta < 2.5$		
$j_T \in j_L$	$\Delta R(j_T, j_L) < 1.0$		
	Resolved	Intermediate	Boosted
$N(j_L)$	$= 0$	$= 1$	$= 2$
$N(j_S)$	≥ 4	≥ 2	≥ 0
h_1^{cand}	$j_S^{(i)}$ pair	j_L	$j_L^{(1)}$
h_2^{cand}	$j_S^{(i)}$ pair	$j_S^{(i)}$ pair, $\Delta R(j_S^{(i)}, j_L) > 1.2$	$j_L^{(2)}$
ΔR_{jj}	See Eqs. 3.2, 3.3	—	—

Signal region definitions

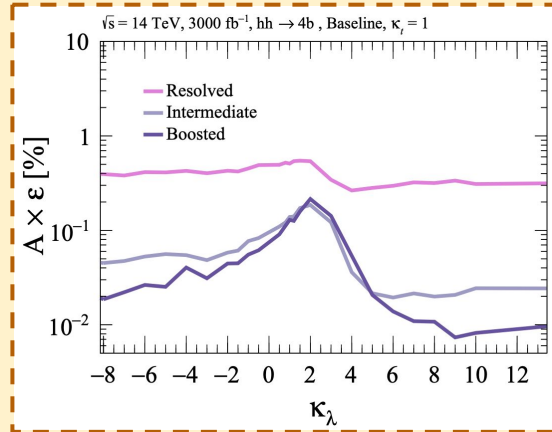
Signal region			
$j_T \in h_1^{\text{cand}}$	—	≥ 2	≥ 2
$j_T \in h_2^{\text{cand}}$	—	—	≥ 2
b -tagging	Two b -tags for each h_i^{cand}		
$ \Delta\eta(h_1, h_2) $	< 1.5		
E_T^{miss}	< 150 GeV		
$p_T^\ell, \eta_\ell $	> 10 GeV, < 2.5		
N_ℓ	$= 0$		
$p_{\text{signal}}^{\text{DNN}}$	> 0.75 (<i>neural network analysis only</i>)		
	Resolved	Intermediate	Boosted
$m(h_1)$ [GeV]	[90, 140]	[90, 140]	[90, 140]
$m(h_2)$ [GeV]	[90, 140]	[90, 140]	[90, 140]
Lower bin edges for m_{hh} binning [GeV]			
Resolved	[200, 250, 300, 350, 400, 500]		
Intermediate	[200, 500, 600]		
Boosted	[500, 800]		

Analysis Strategy



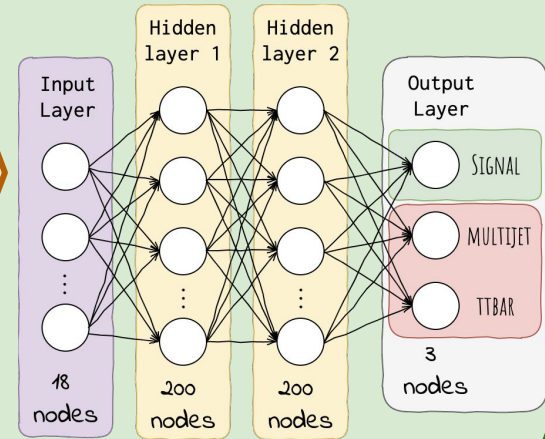
Baseline Analysis

- **Cut Based**
- **ATLAS/CMS-inspired**



DNN Analysis

- Trained NN **classifier**
- **Cut on NN score**

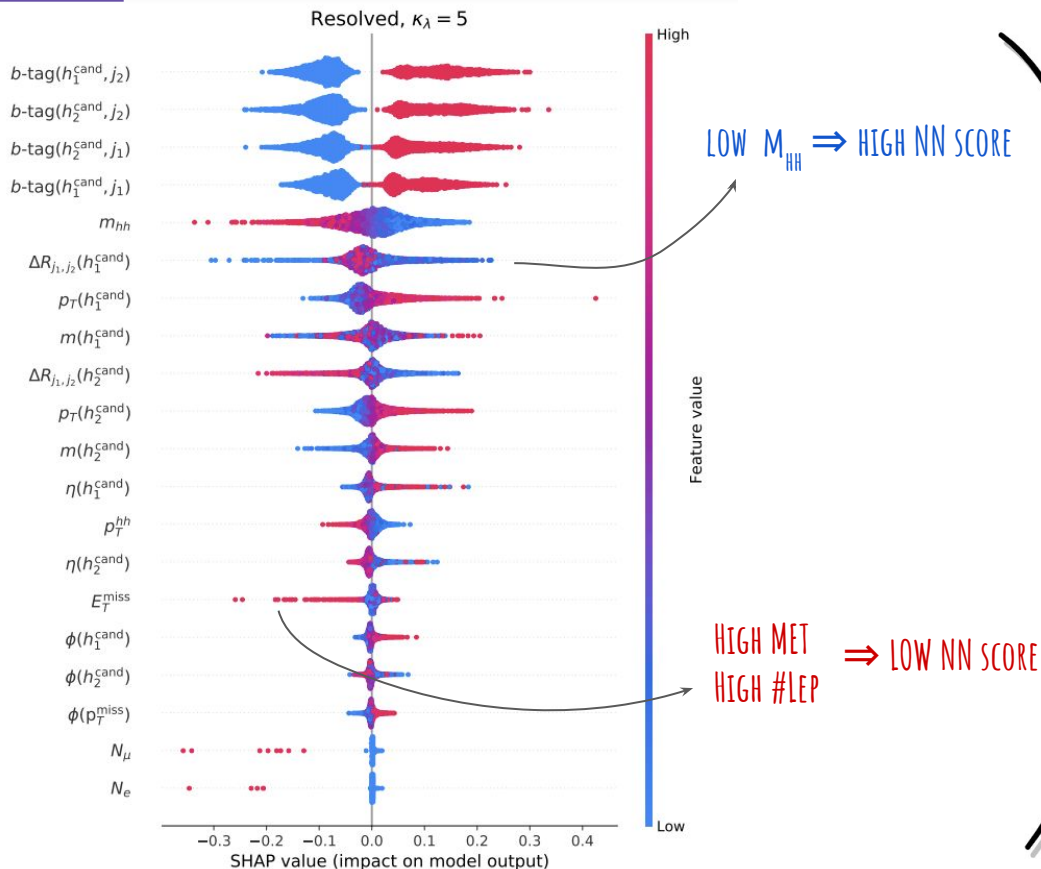


Parentheses - What did our machine learn?

- SHAP value framework
→ Shapley values for ML interpretability

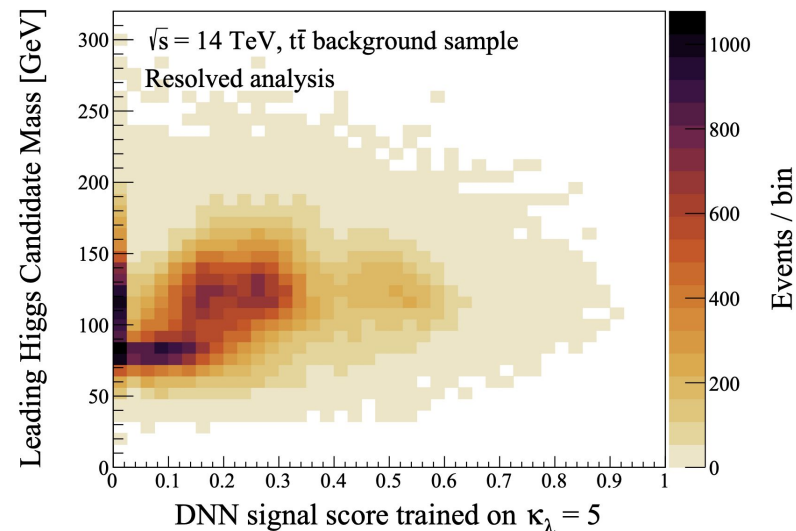
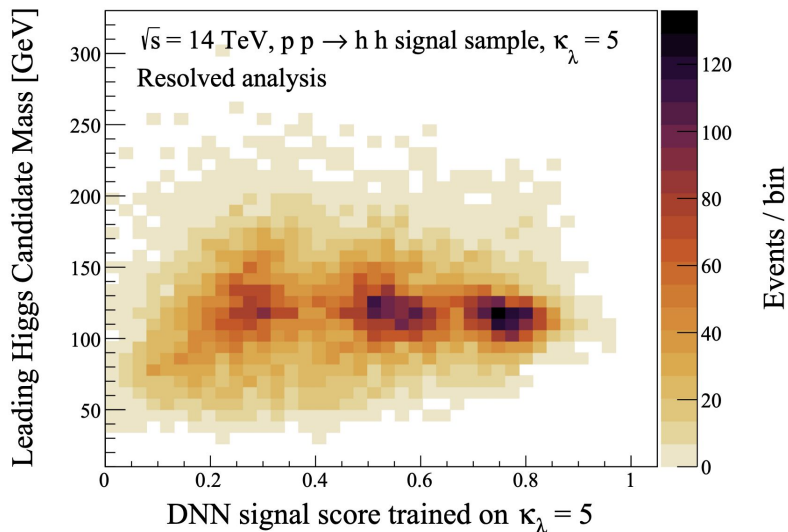
NN variables

Ranked by impact on NN score



Parentheses - Other DNN checks

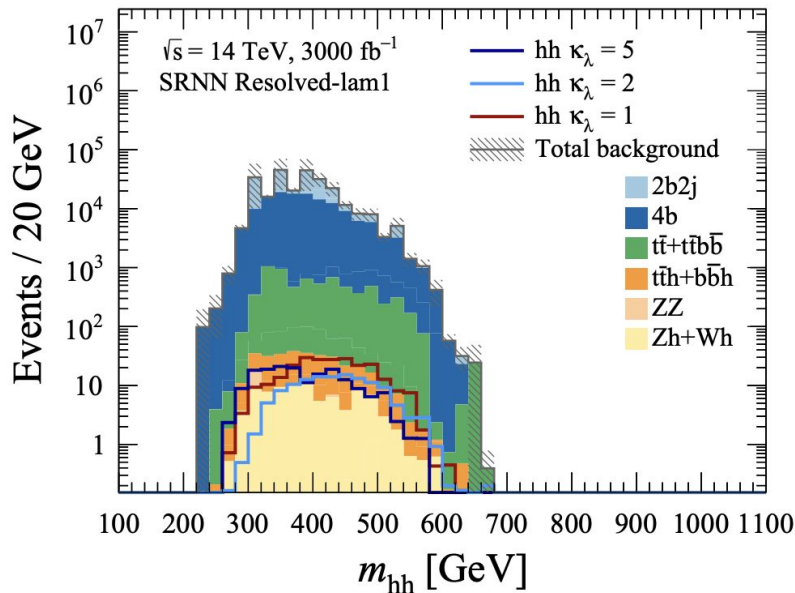
- **Physical features** present in DNN score correlation plots
 - ↳ **Peaks** => #of b-tags
 - blobs** => W-decays



Parentheses - BSM κ_λ training

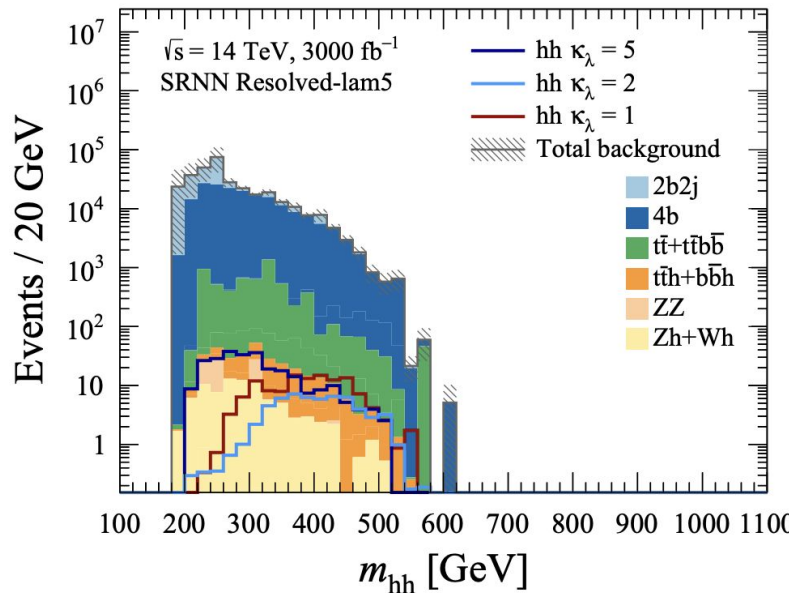
$\kappa_\lambda = 1$ NN cut

- **Background rejection** ✓
- **Signal characterization** ✗



$\kappa_\lambda = 5$ NN cut

- **Background rejection** ✓
- **Signal characterization** ✓



Fixed $k_t=1$

BSM k_λ
yield

SM k_λ
yield

Category	Systematic ζ_b
Resolved	0.3%
Intermediate	1%
Boosted	5%

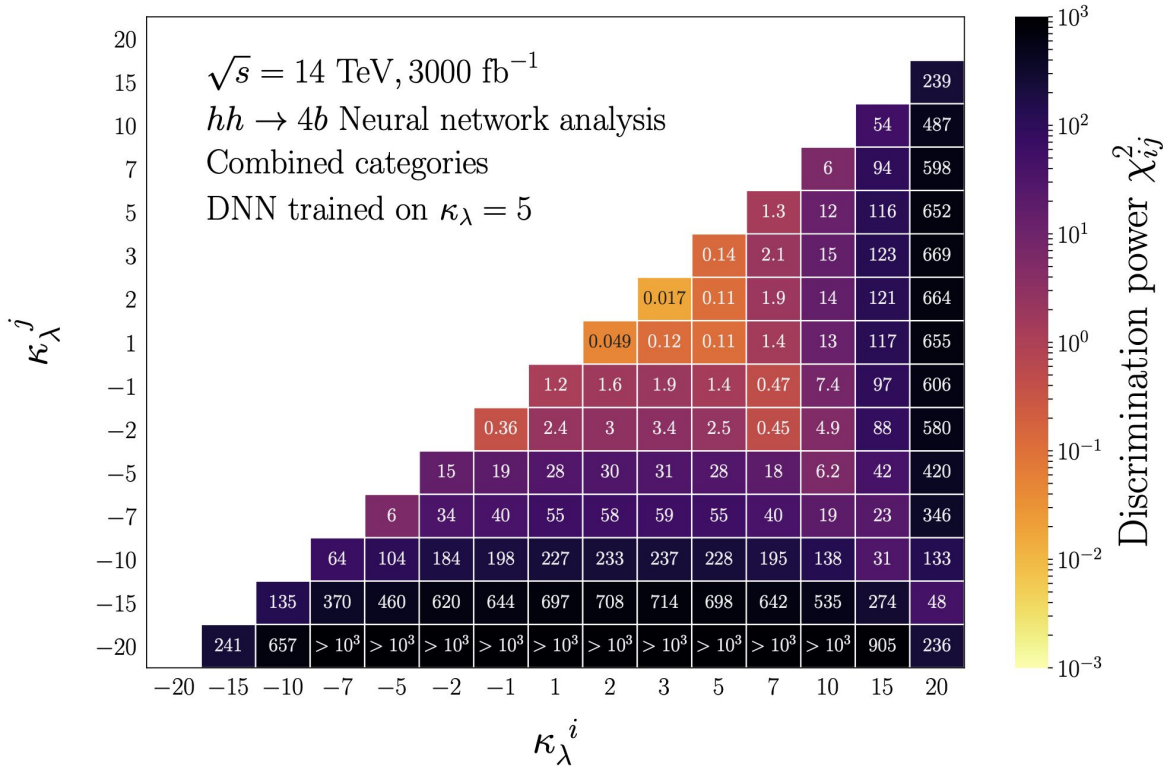
$$\chi^2 = \sum_i \left[\frac{(S - S_{\text{SM}})^2}{S + B + (\zeta_b B)^2 + (\zeta_s S)^2} \right]_i$$

m_{hh}
bins

background
uncertainty

signal
uncertainty

Parentheses - discriminatin between λ 's



Parentheses - Impact of BKG Uncertainty

- **Background uncertainty** has **large impact** on sensitivity
↳ **Often a large uncertainty** in $hh \rightarrow 4b$ searches

