

NEUTRINOS AT 66

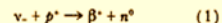
20 July 1956, Volume 124, Number 3212

SCIENCE

Detection of the Free Neutrino: a Confirmation

C. L. Cowan, Jr., F. Reines, F. B. Harrison, H. W. Kruse, A. D. McGuire

A tentative identification of the free neutrino was made in an experiment performed at Hanford (1) in 1953. In that work the reaction



was employed wherein the intense neutrino flux from fission-fragment decay

present work was done (3). This work confirms the results obtained at Hanford and so verifies the neutrino hypothesis suggested by Pauli (4) and incorporated in a quantitative theory of beta decay by Fermi (5).

In this experiment, a detailed check of each term of Eq. 1 was made using a

both triads. The detector was completely enclosed by a paraffin and lead shield and was located in an underground room of the reactor building which provides excellent shielding from both the reactor neutrons and gamma rays and from cosmic rays.

The signals from a bank of preamplifiers connected to the scintillation tanks were transmitted via coaxial lines to an electronic analyzing system in a trailer van parked outside the reactor building. Two independent sets of equipment were used to analyze and record the operation of the two triad detectors. Linear amplifiers fed the signals to pulse-height selection gates and coincidence circuits. When the required pulse amplitudes and coincidences (prompt and delayed) were satisfied, the sweeps of two triple-beam oscilloscopes were triggered, and the pulses from the complete event were recorded photographically. The three beams of both oscilloscopes recorded signals from their respective scintillation

Gabriela Barenboim
UV-IFIC

XIV Latin American Symposium on High Energy Physics
USFQ, Quito, November 15, 2022



Hunting Invisibles: Dark sectors, Dark matter and Neutrinos



VNIVERSITAT
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GENERALITAT
VALENCIANA

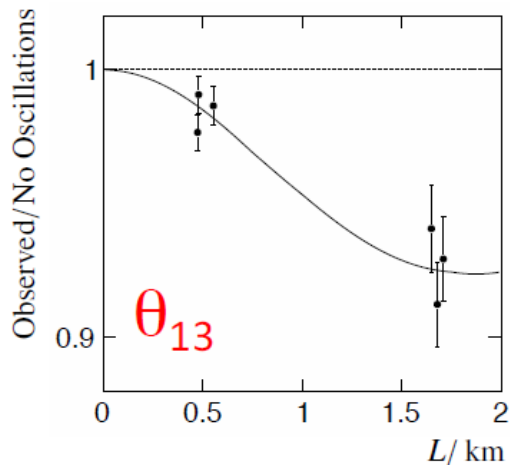
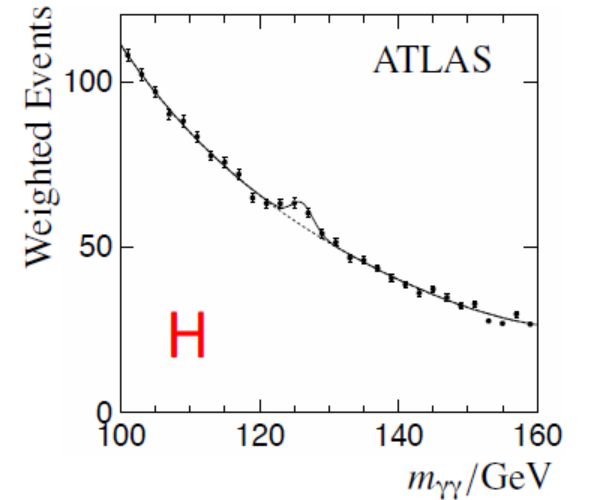


CSIC

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS

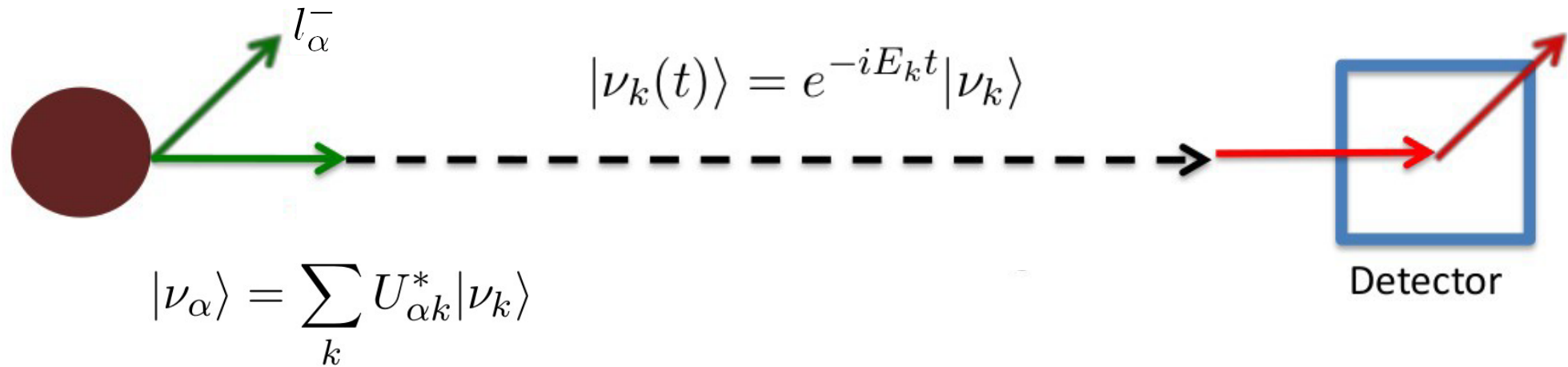
~~Two~~ 2012 One major discovery ~~ies~~ in particle physics

- A SM-like Higgs boson (ATLAS, CMS)
The key to EWSB and a possible window to



- $\theta_{13} \sim 10^\circ$ (T2K, MINOS, Daya Bay, RENO)
about as large as it could have been !
The door to CP Violation in the leptonic sector

Neutrino oscillations



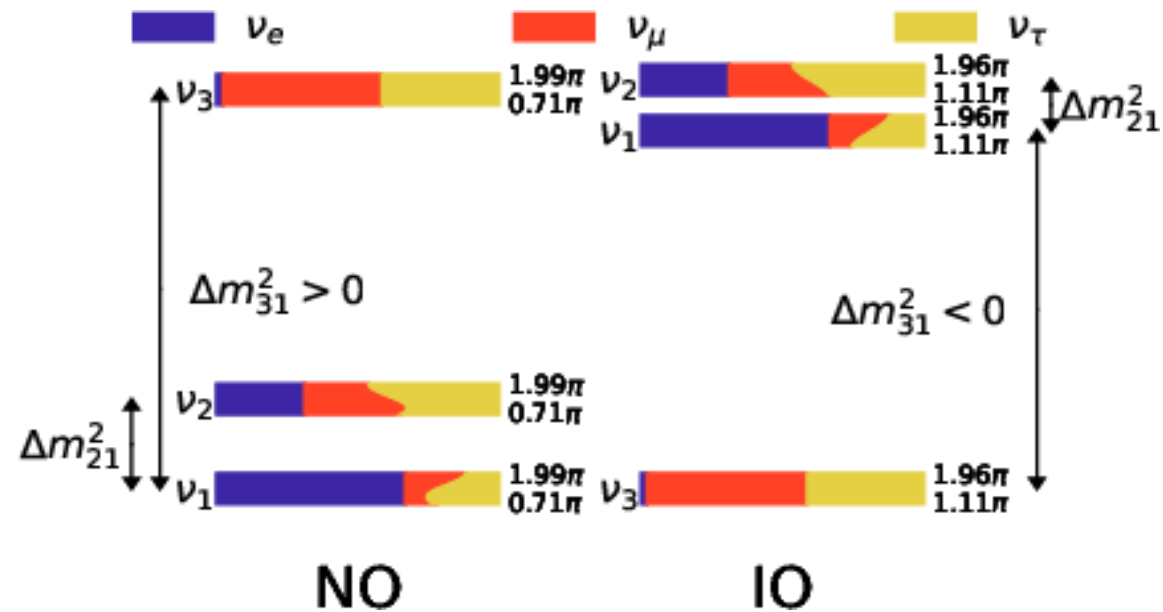
$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{k>j} \text{Re}[W_{\alpha\beta}^{jk}] \sin^2 \left(\frac{\Delta m_{jk}^2 L}{4E_\nu} \right)$$

$$\pm 2 \sum_{k>j} \text{Im}[W_{\alpha\beta}^{jk}] \sin \left(\frac{\Delta m_{jk}^2 L}{2E_\nu} \right)$$

Three-neutrino oscillations

Neutrino mixing matrix

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



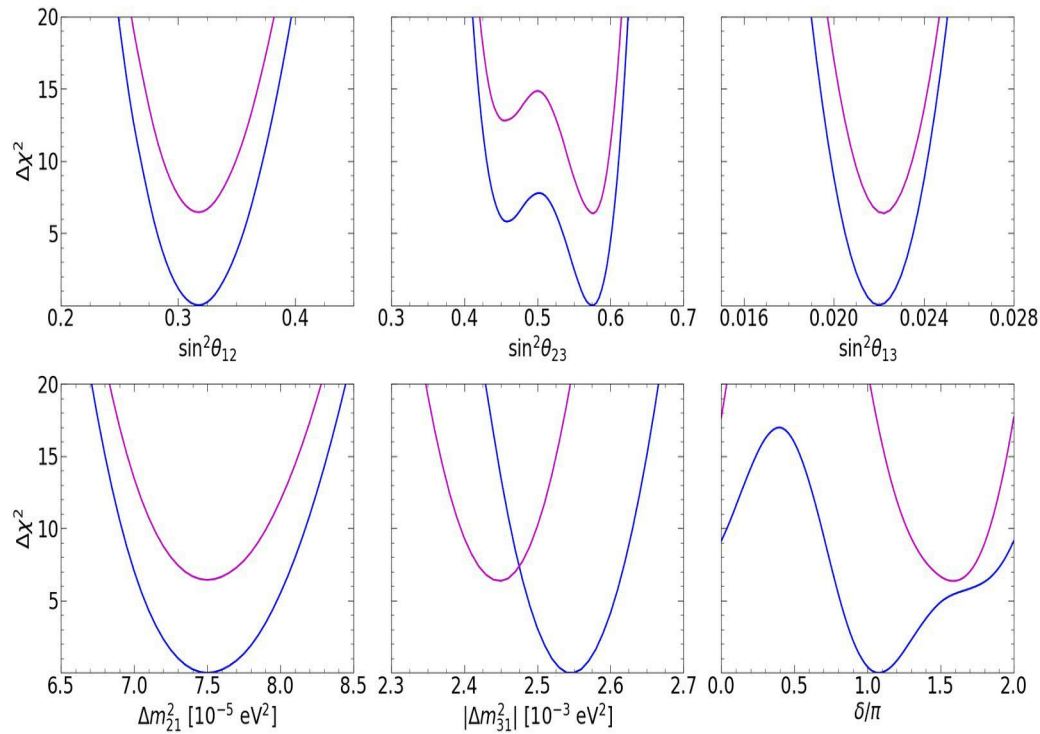
Parameter	Main contribution	Other contributions
θ_{12}	SOL	KamLAND
θ_{13}	REAC	ATM+LBL and SOL+KamLAND
θ_{23}	ATM+LBL	-
δ_{CP}	LBL	ATM
Δm_{21}^2	KamLAND	SOL
$ \Delta m_{31}^2 $	LBL+ATM+REAC	-
MO	LBL+REAC and ATM	-

SOL: Solar

ATM: Atmospheric neutrinos

LBL: Long baseline accelerator experiments

REAC: Short-baseline reactor experiments



de Salas et al, JHEP 02 (2021) 071[arXiv:2006.11237]

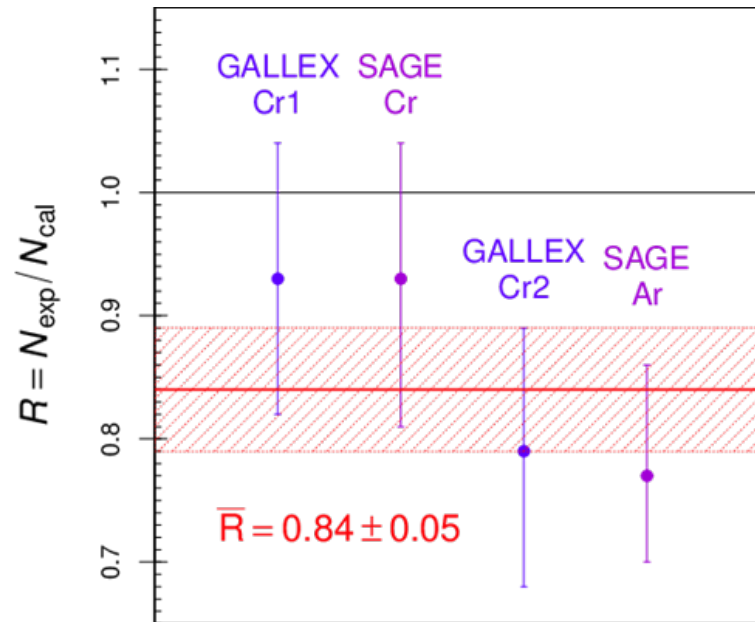
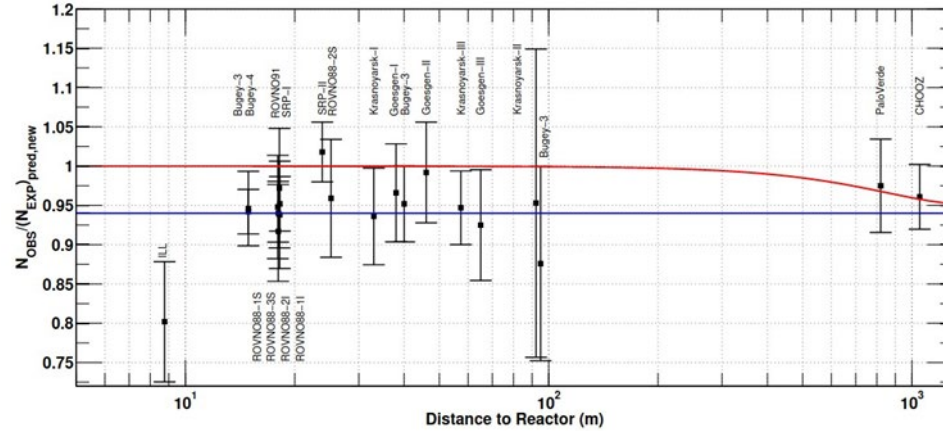
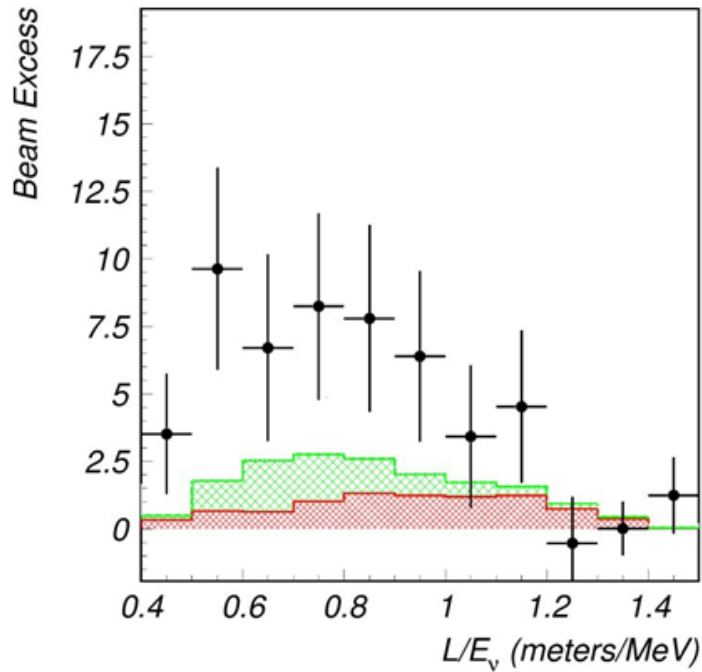
parameter	best fit $\pm 1\sigma$	2σ range	3σ range
$\Delta m_{21}^2 [10^{-5} \text{eV}^2]$	$7.50^{+0.22}_{-0.20}$	7.12–7.93	6.94–8.14
$ \Delta m_{31}^2 [10^{-3} \text{eV}^2]$ (NO)	$2.55^{+0.02}_{-0.03}$	2.49–2.60	2.47–2.63
$ \Delta m_{31}^2 [10^{-3} \text{eV}^2]$ (IO)	$2.45^{+0.02}_{-0.03}$	2.39–2.50	2.37–2.53
$\sin^2 \theta_{12} / 10^{-1}$	3.18 ± 0.16	2.86–3.52	2.71–3.69
$\sin^2 \theta_{23} / 10^{-1}$ (NO)	5.74 ± 0.14	5.41–5.99	4.34–6.10
$\sin^2 \theta_{23} / 10^{-1}$ (IO)	$5.78^{+0.10}_{-0.17}$	5.41–5.98	4.33–6.08
$\sin^2 \theta_{13} / 10^{-2}$ (NO)	$2.200^{+0.069}_{-0.062}$	2.069–2.337	2.000–2.405
$\sin^2 \theta_{13} / 10^{-2}$ (IO)	$2.225^{+0.064}_{-0.070}$	2.086–2.356	2.018–2.424
δ / π (NO)	$1.08^{+0.13}_{-0.12}$	0.84–1.42	0.71–1.99
δ / π (IO)	$1.58^{+0.15}_{-0.16}$	1.26–1.85	1.11–1.96

Relative 1σ uncertainty

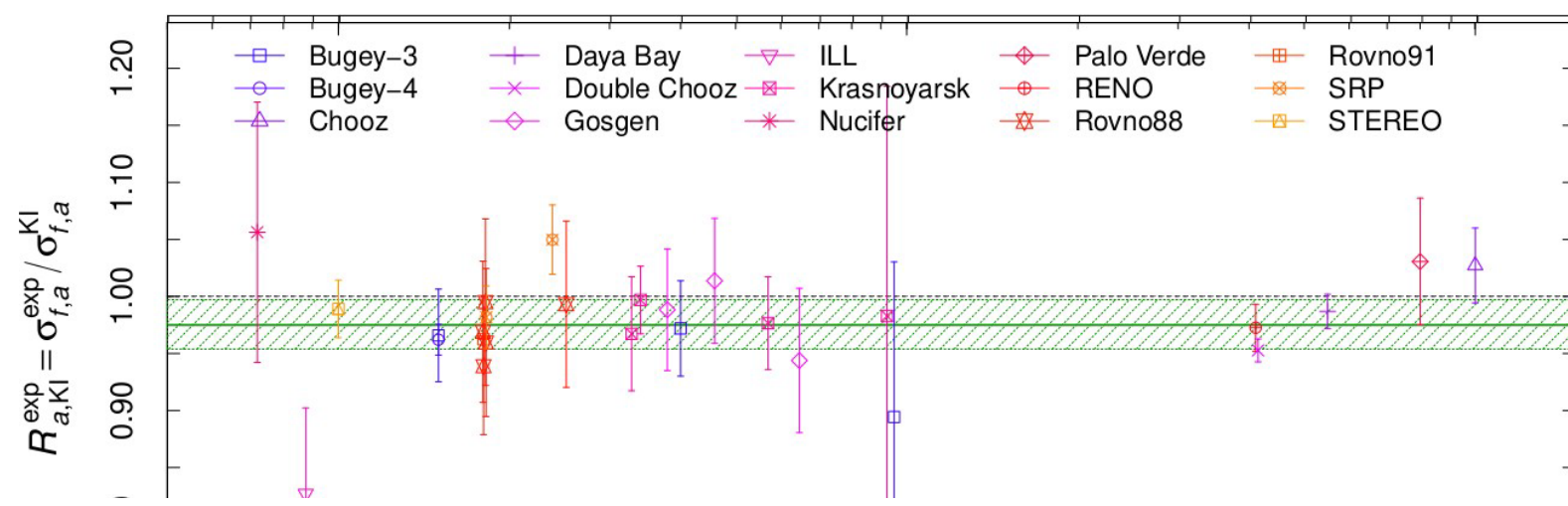
2.7% **PRECISION**
 1.1% **ORDERING?**
 5.2% **PRECISION**
 5.1% **OCTANT?**
 3.0% **PRECISION**
 20% **CPV?**
 9.0%



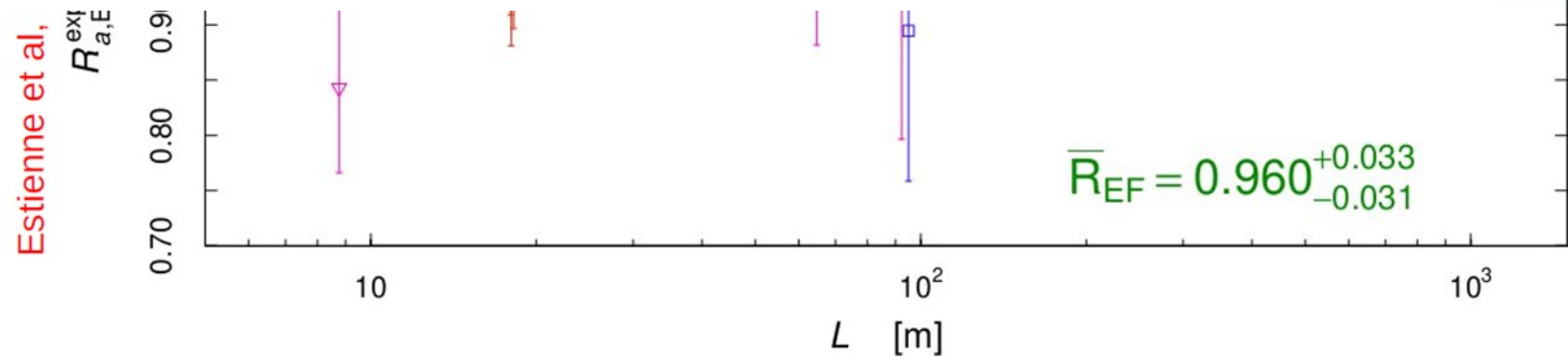
Anomalies



Need extra states !!!



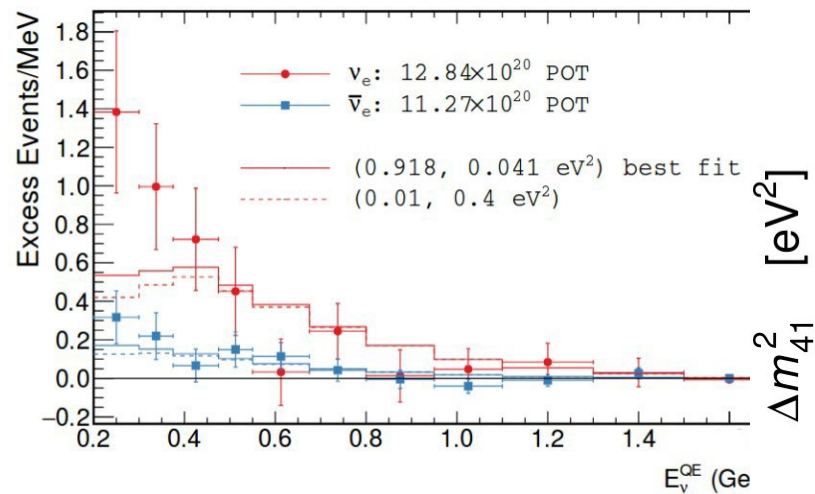
Model	Rates		Evolution		Rates + Evolution	
	\bar{R}_{mod}	RAA	\bar{R}_{mod}	RAA	\bar{R}_{mod}	RAA
HM	$0.936^{+0.024}_{-0.023}$	2.5σ	$0.933^{+0.025}_{-0.024}$	2.6σ	$0.930^{+0.024}_{-0.023}$	2.8σ
EF	$0.960^{+0.033}_{-0.031}$	1.2σ	$0.975^{+0.032}_{-0.030}$	0.8σ	$0.975^{+0.032}_{-0.030}$	0.8σ
HKSS	$0.925^{+0.025}_{-0.023}$	2.9σ	$0.925^{+0.026}_{-0.024}$	2.8σ	$0.922^{+0.024}_{-0.023}$	3.0σ
KI	$0.975^{+0.022}_{-0.021}$	1.1σ	$0.973^{+0.023}_{-0.022}$	1.2σ	0.970 ± 0.021	1.4σ
HKSS-KI	$0.964^{+0.023}_{-0.022}$	1.5σ	$0.955^{+0.024}_{-0.023}$	1.9σ	$0.960^{+0.022}_{-0.021}$	1.8σ



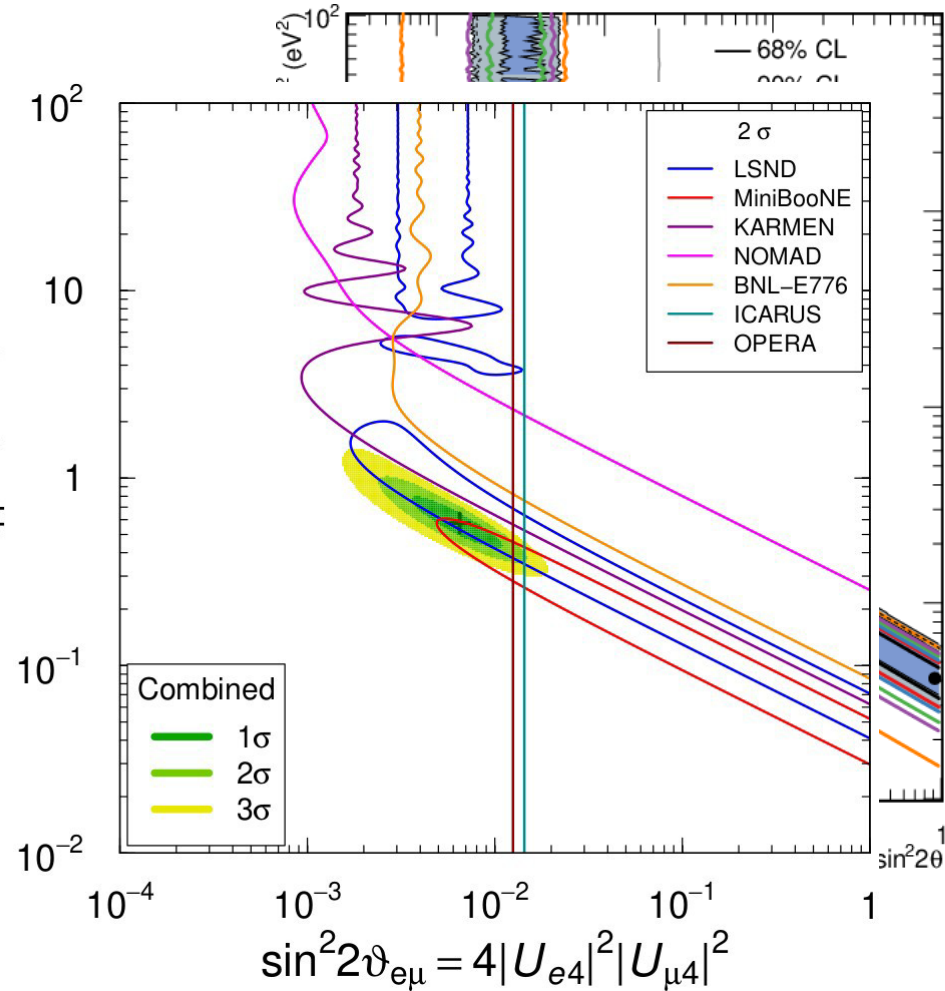
MiniBooNE

MiniBooNE was built to check the LSND results with a different baseline, but similar L/E

MiniBooNE has no near det



MiniBooNE sees an excess at $\sim 5\sigma$ at low energies



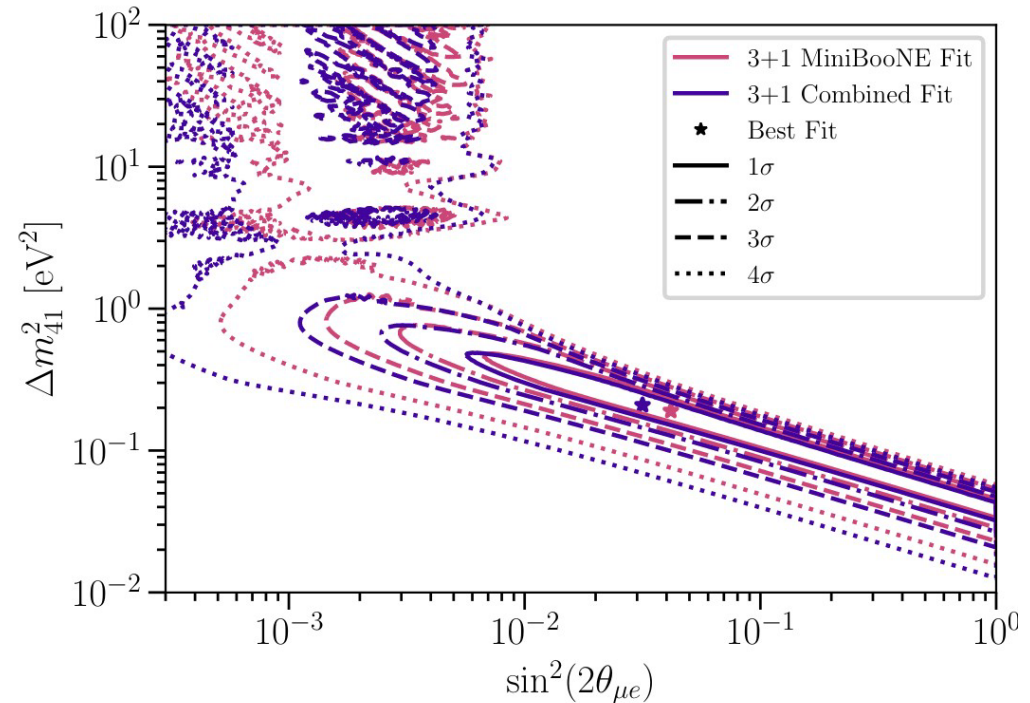
MicroBooNE

MicroBooNE was built to check the MiniBooNE results!

Looking for signals using several final state channels

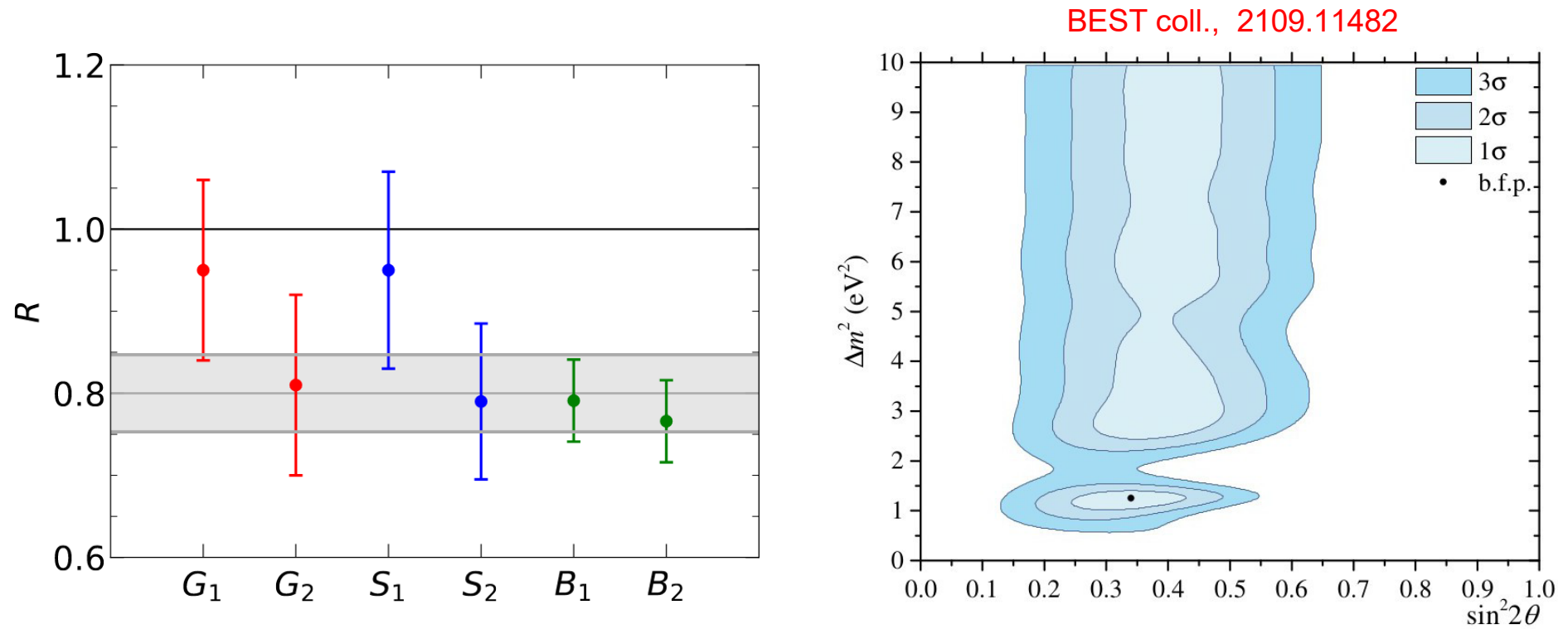
The collaboration did not perform an oscillation analysis

A combined analysis shows that MicroBooNE can not exclude the region of parameter space preferred by MiniBooNE

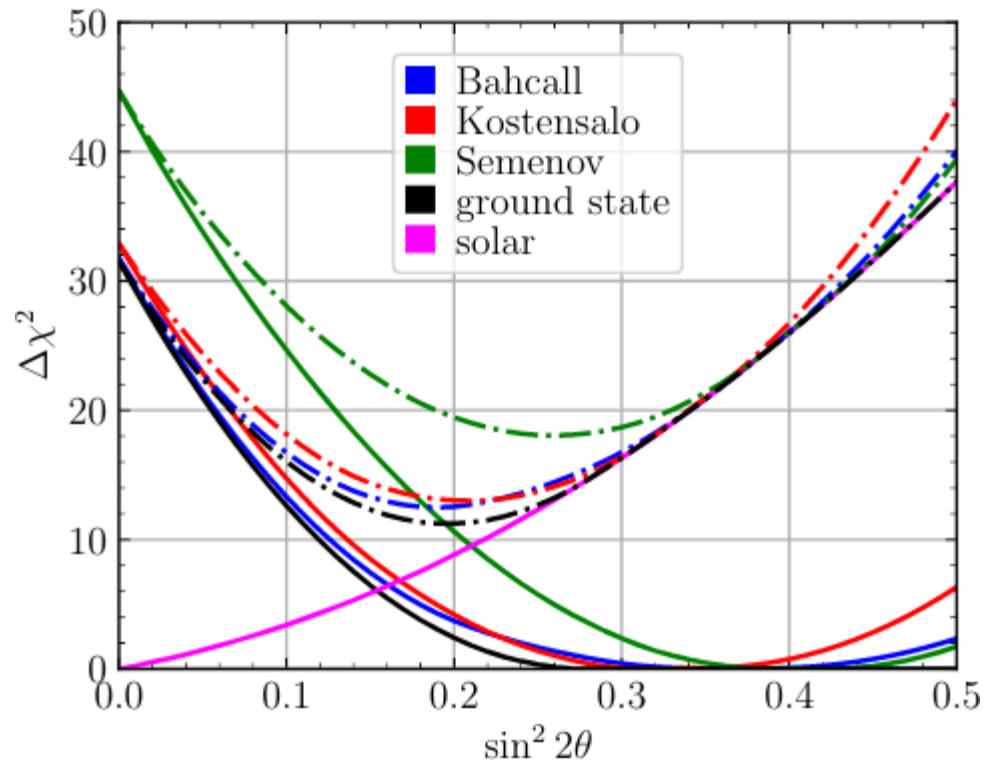


2201.01724

The Gallium anomaly



The Gallium anomaly is now at more than 5 σ significance



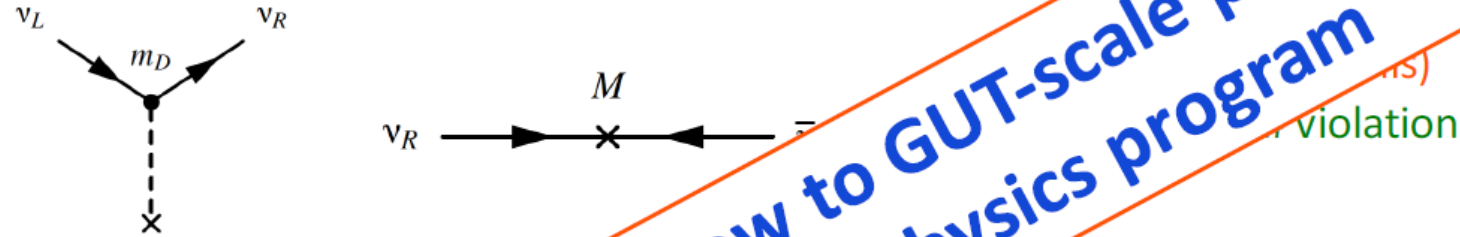
Berryman et al, 2111.12530, JHEP 2022

Can not be explained due to cross section mistakes

a connection to BSM physics

★ Is there a connection to the GUT scale?

- If both Dirac and Majorana mass terms are present



→ $\mathcal{L} \sim -\frac{1}{2} \left(\overline{\nu_L} \dots \right) \dots \left(\nu_R \right)$

- The seesaw mechanism provides physical “mass eigenstates” are those where the mass matrix is diagonal

**Neutrinos may provide a window to GUT-scale physics
argues for a precision neutrino physics program**

light neutrino $m_\nu \approx \frac{m_D^2}{M}$ + heavy RH neutrino $m_N \approx M$

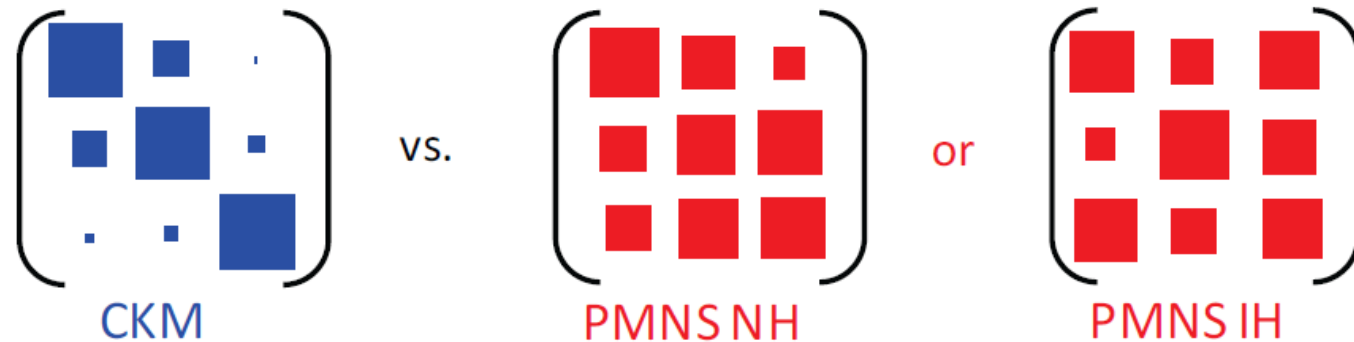
$m_D \sim m_\ell$ to get to right range of small neutrino masses:

$$M \sim 10^{12} - 10^{16} \text{ GeV}$$

The Known Unknowns

★ Next generation Long-Baseline experiments (such as **DUNE**) can address three of these questions:

- Are neutrinos Dirac or Majorana ?
 - Is there a connection to the GUT scale?
- **Are there light sterile neutrino states ?** → Breaks 3-flavor paradigm
 - No clear theoretical guidance on mass scale, M , ...
- **What is the neutrino mass hierarchy ?**
 - An important question in flavor physics, e.g. CKM vs. PNMS



- **Is CP violated in the leptonic sector ?**
 - Are ν s key to understanding the matter-antimatter asymmetry?

In principle, it is straightforward

- ★ CPV \Rightarrow different oscillation rates for ν s and $\bar{\nu}$ s

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = 4s_{12}s_{13}c_{13}^2s_{23}c_{23} \sin \delta \quad \leftarrow \text{vacuum osc.}$$
$$\times \left[\sin \left(\frac{\Delta m_{21}^2 L}{4E} \right) \times \sin \left(\frac{\Delta m_{23}^2 L}{4E} \right) \times \sin \left(\frac{\Delta m_{31}^2 L}{4E} \right) \right]$$

- ★ Requires $\{\theta_{12}, \theta_{13}, \theta_{23}\} \neq \{0, \pi\}$
 - now know that this is true, $\theta_{13} \approx 9^\circ$
 - but, despite hints, don't yet know "much" about δ
- ★ So "just" measure $P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$?
- ★ Not quite, there is a complication...

Neutrino Oscillations in Matter

- ★ Accounting for this potential term, gives a Hamiltonian that is **not diagonal** in the basis of the mass eigenstates

$$\mathcal{H} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix} = i \frac{d}{dt} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix} = \begin{pmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix} + V|\nu_e\rangle \leftarrow \boxed{\text{ME}}$$

- ★ Complicates the simple picture !!!!

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) =$$

ME $\frac{16A}{\Delta m_{31}^2} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2)$

ME $-\frac{2AL}{E} \sin \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2)$

CPV $-8 \frac{\Delta m_{21}^2 L}{2E} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) \sin \delta \cdot s_{13} c_{13}^2 c_{23} s_{23} c_{12} s_{12}$

with $A = 2\sqrt{2}G_{\text{F}}n_e E = 7.6 \times 10^{-5} \text{eV}^2 \cdot \frac{\rho}{\text{g cm}^{-3}} \cdot \frac{E}{\text{GeV}}$

Experimental Strategy

EITHER:

- ★ Keep L small (~200 km): so that matter effects are insignificant

- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \Rightarrow \quad E_\nu < 1 \text{ GeV}$$

- Want high flux at oscillation maximum

⇒ **Off-axis beam:** narrow range of neutrino energies

OR:

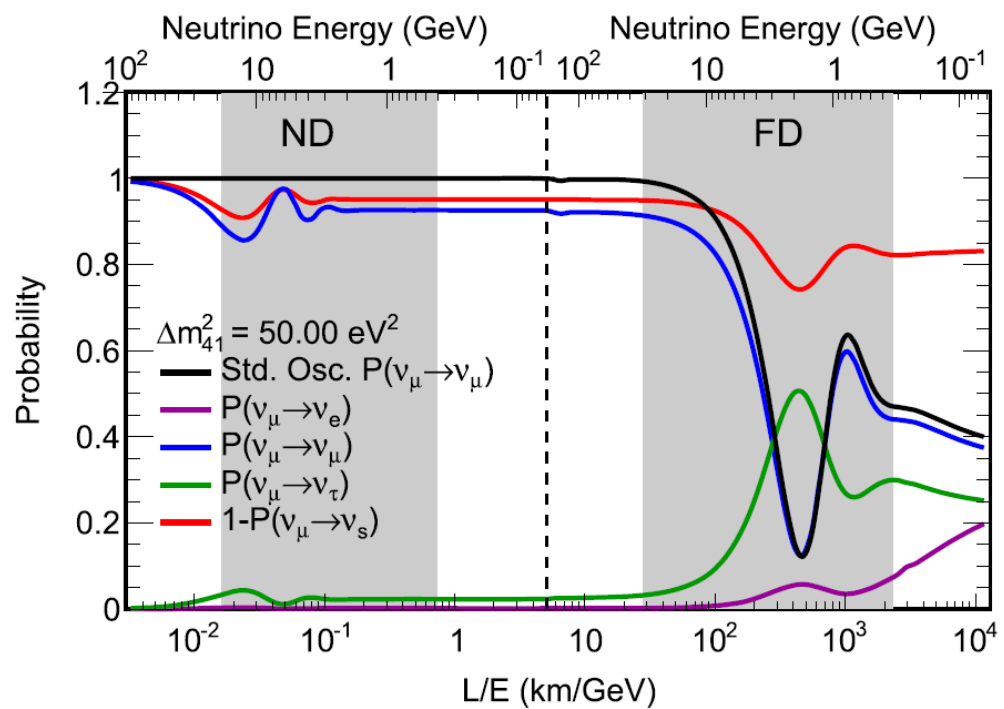
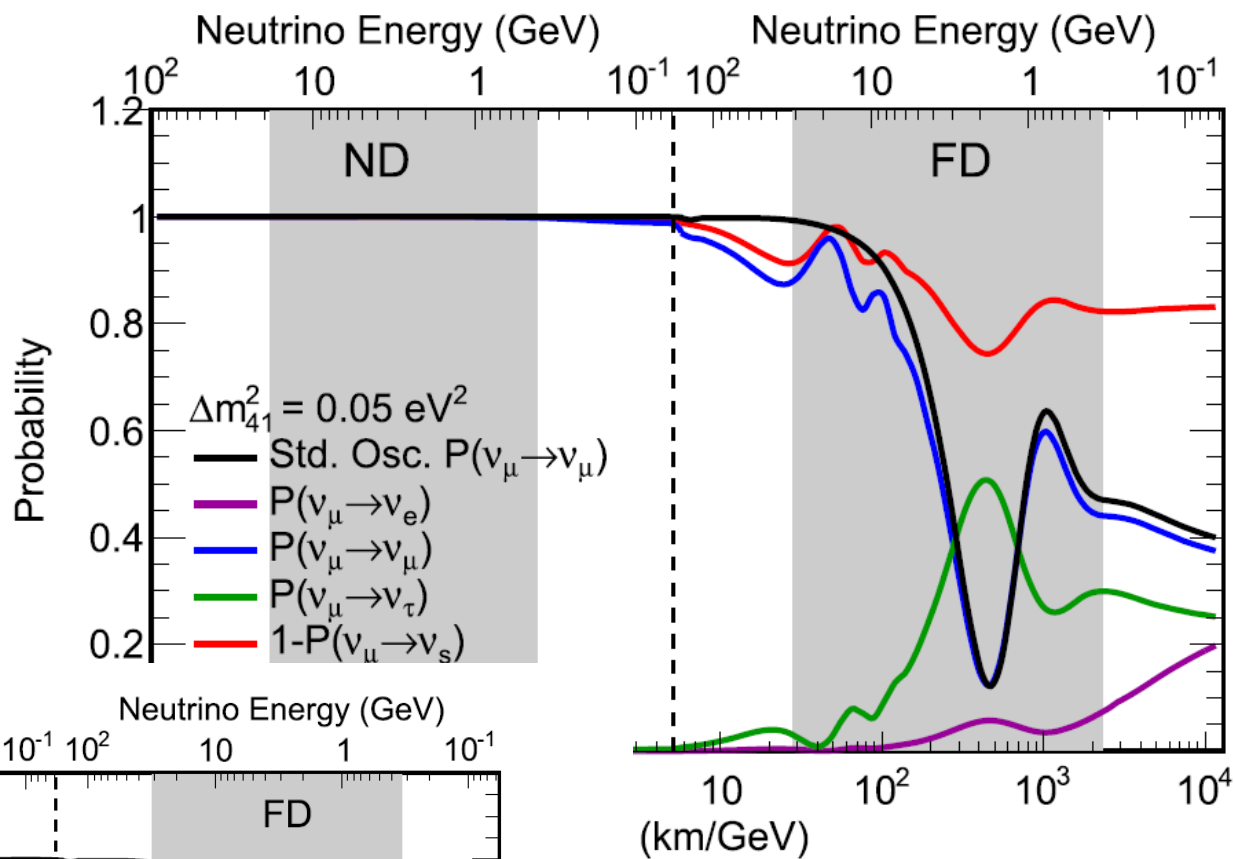
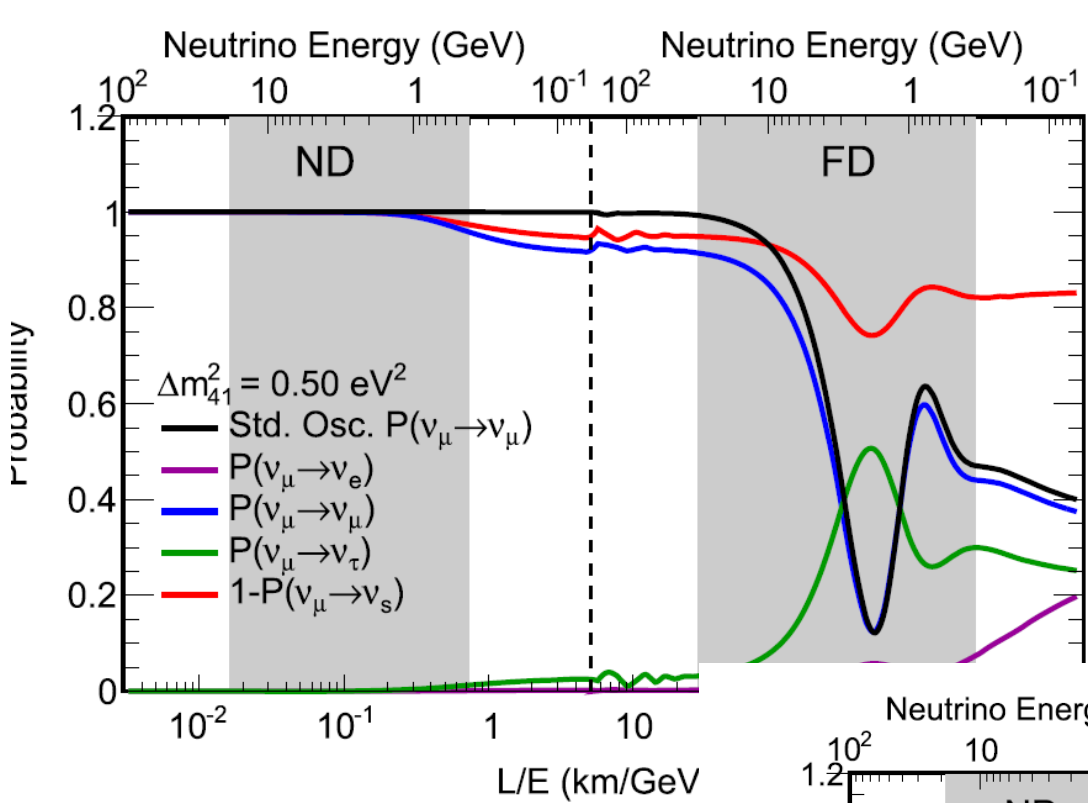
- ★ Make L large (>1000 km): measure the matter effects (i.e. MH)

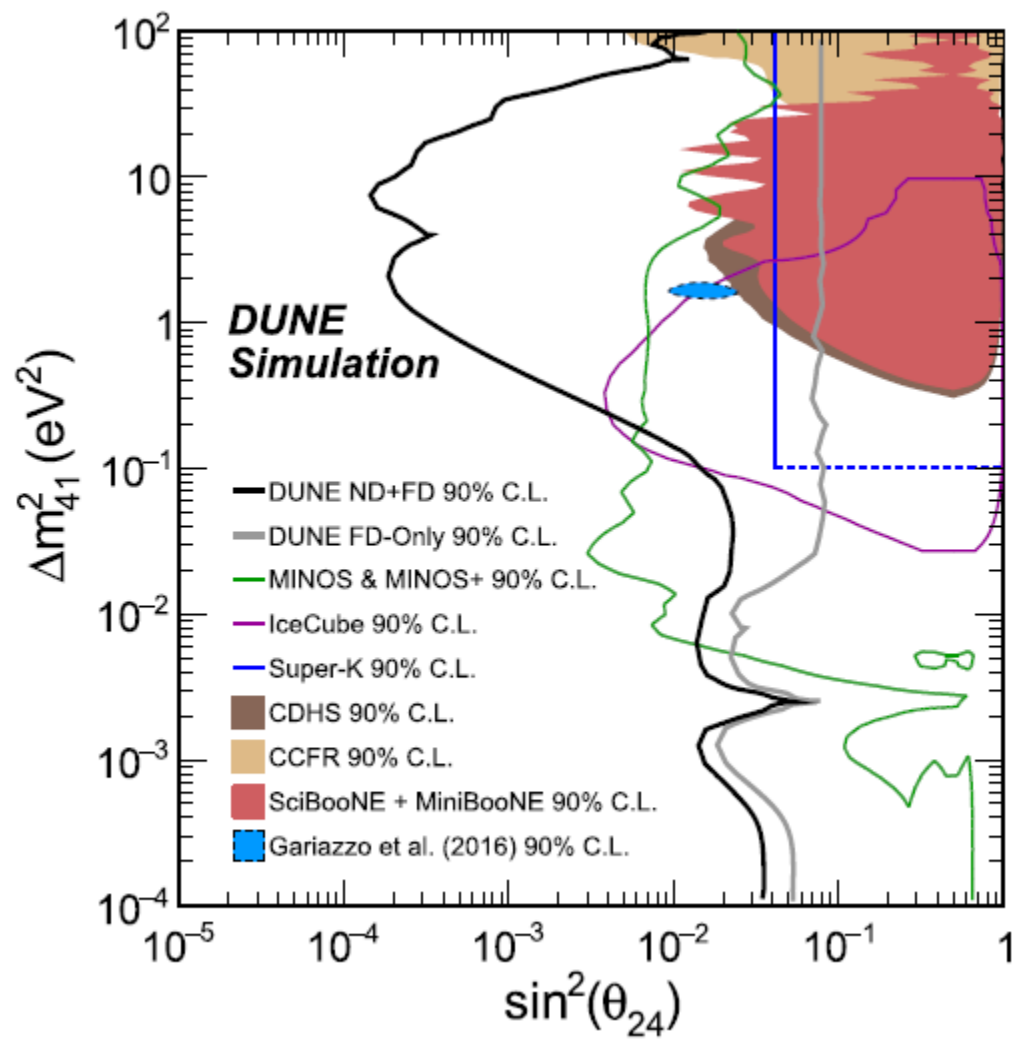
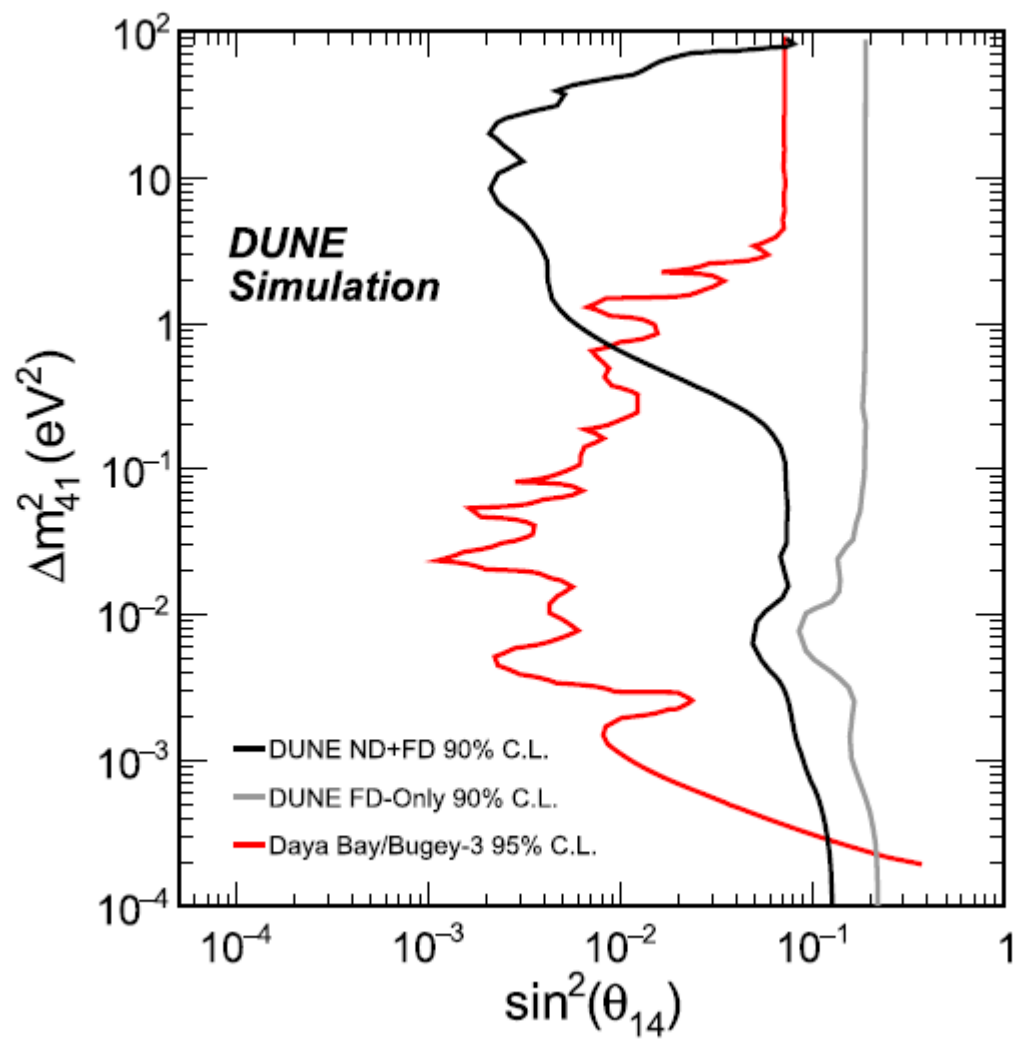
- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \Rightarrow \quad E_\nu > 2 \text{ GeV}$$

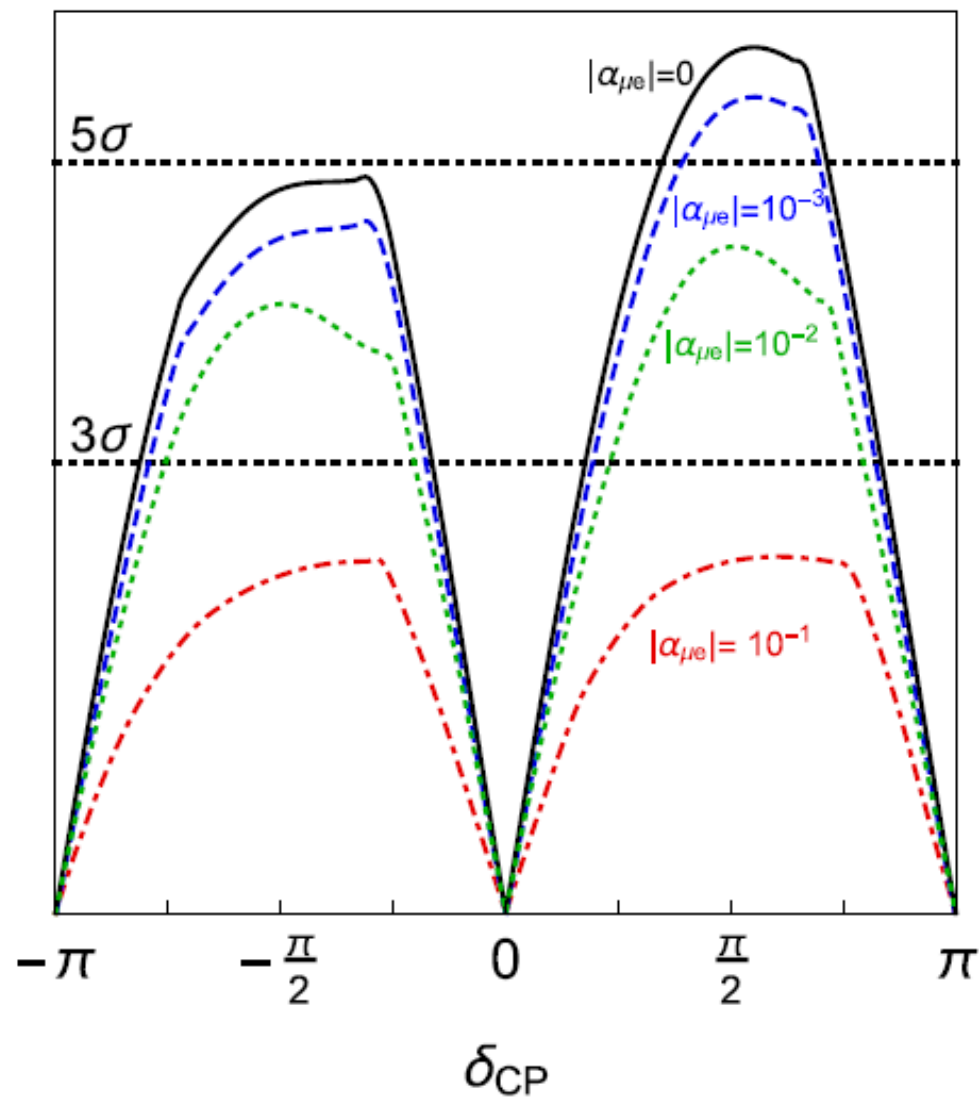
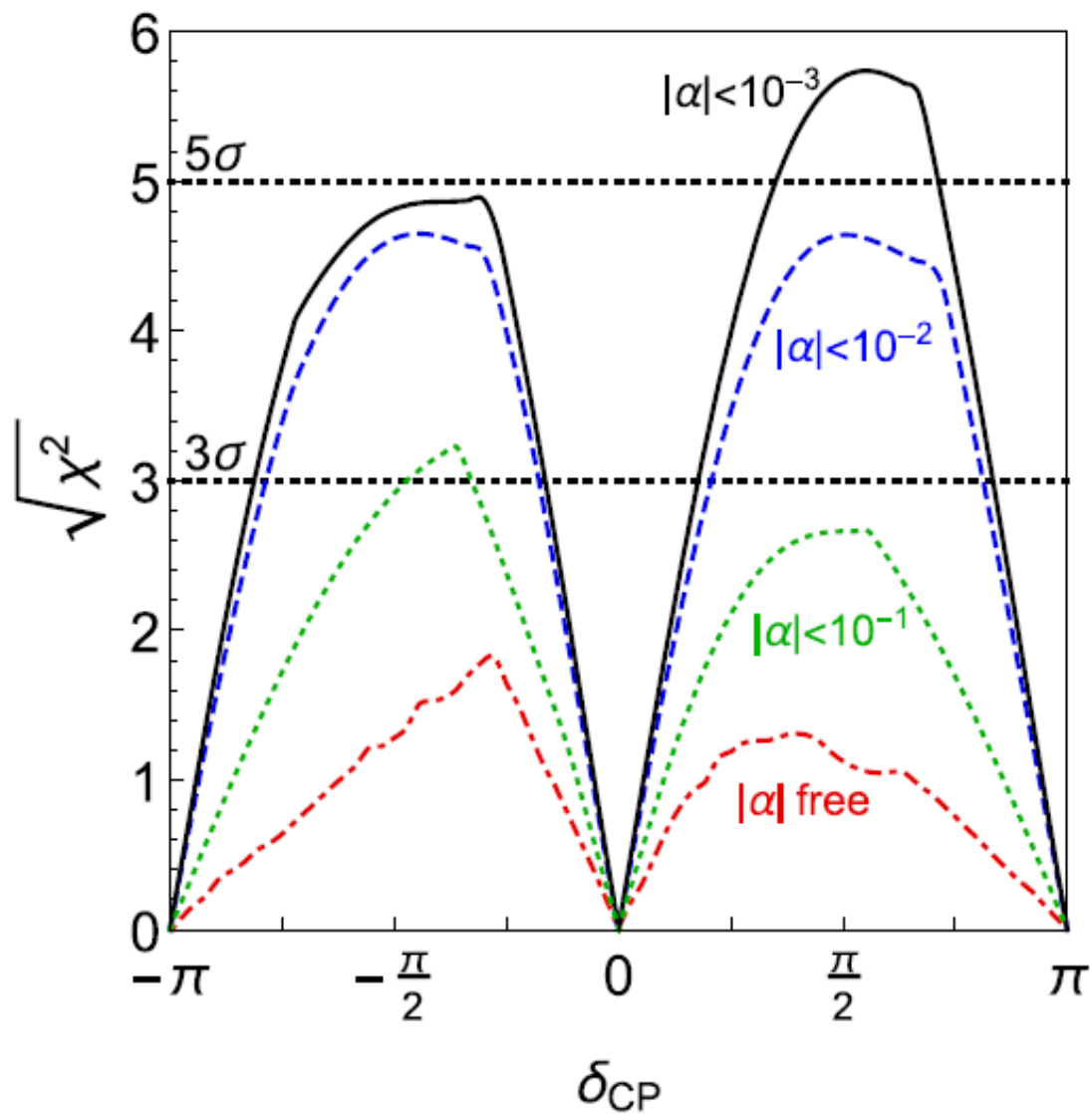
- **Unfold CPV from Matter Effects through E dependence**

⇒ **On-axis beam:** wide range of neutrino energies





Non unitarity



Non standard neutrino interactions

They can be described by effective four-fermion operators of the form

$$2\sqrt{2}G_F \varepsilon_{\alpha\beta} \left(\bar{\nu}_\beta \gamma^\mu P_L l_\alpha \right) \left(\bar{f} \gamma_\mu P_{L,R} f' \right)$$

normalizing the operator with the Fermi constant

$$\varepsilon_{\alpha\beta} = \frac{M_W^2}{M_{NSI}^2}$$

We are left “only” with neutral current NSNI

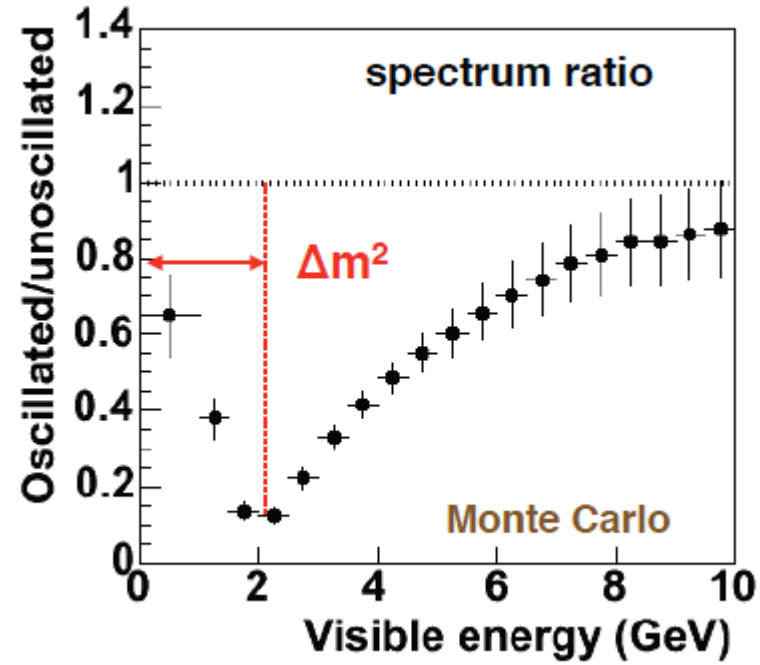
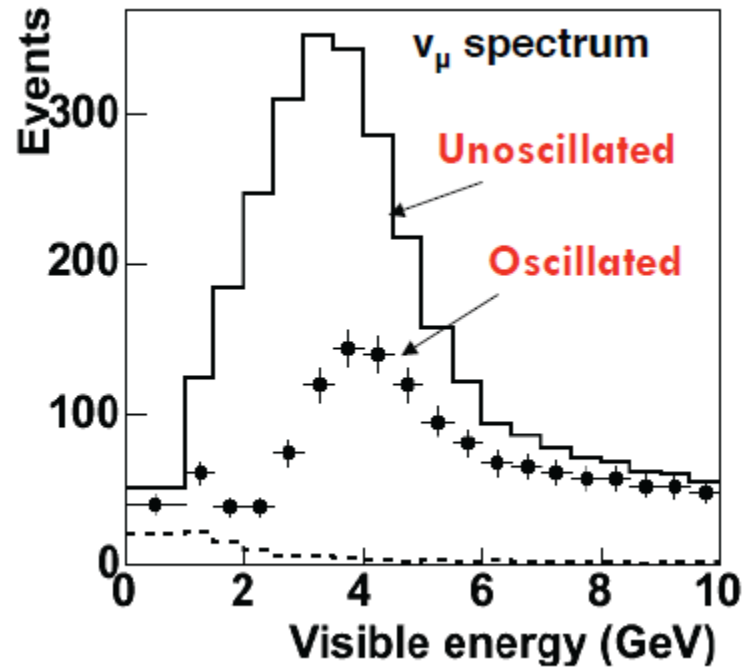
$$2\sqrt{2}G_F \varepsilon_{\alpha\beta} \left(\bar{\nu}_\beta \gamma^\mu P_L \nu_\alpha \right) \left(\bar{f} \gamma_\mu P_{L,R} f \right)$$

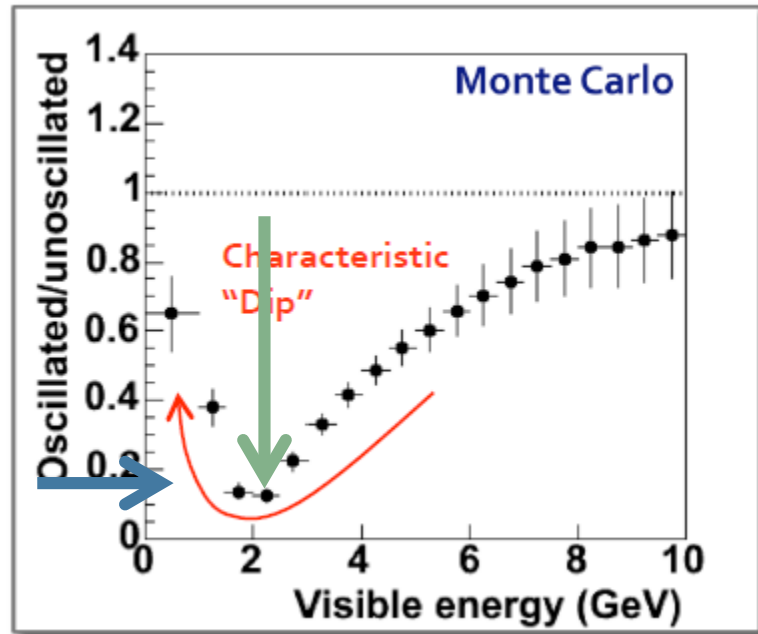
$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \frac{1}{2E} \left[U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} U^\dagger + a \begin{pmatrix} 1 + \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$a \equiv 2\sqrt{2}G_F n_e E$$

$$H = \frac{1}{2E} \left[U \begin{pmatrix} 0 & & \\ & \Delta m_{32}^2 & \\ & & \end{pmatrix} U^\dagger + a \begin{pmatrix} \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right]$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2(2\theta) \sin^2(1.27 \Delta m^2 L / E)$$





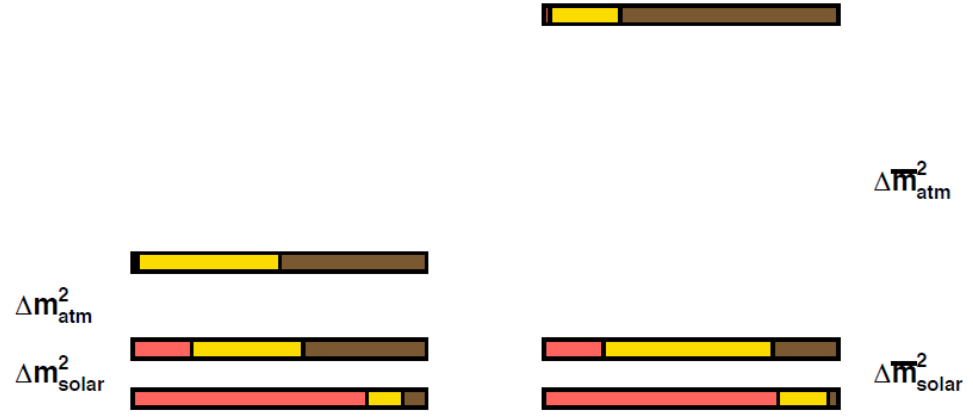
$\epsilon_{\mu\tau}$ changes the disappearance probability at large energies
shifts the position of the minimum in energy

$$\Delta m^2$$

$\epsilon_{\tau\tau}$ modifies the disappearance probability near the first oscillation minimum, especially the depth of the minimum

$$\sin^2(2\theta_{23})$$

CPT violation



$$\frac{|m(K_0) - m(\overline{K_0})|}{m_{K-av}} < 10^{-18}$$

$$m_{K-av} \approx \frac{1}{2} 10^9 \text{ eV}$$

$$(m(K_0) - m(\overline{K_0}))(m(K_0) + m(\overline{K_0})) < 2 \cdot 10^{-18} m_{K-av}^2$$

$$|m^2(K_0) - m^2(\overline{K_0})| \approx \frac{1}{2} \text{ eV}^2$$

CPT tests

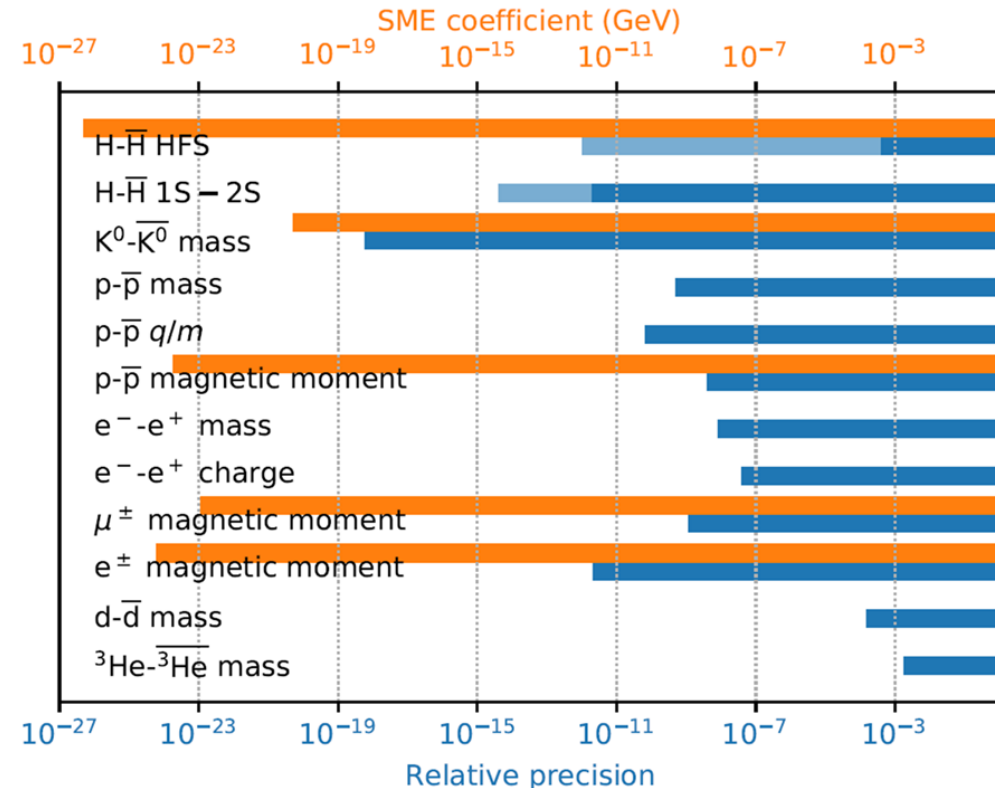
CPT invariance tested in several matter-antimatter systems:

neutral kaons

electron/positron

proton/antiproton

H/anti-H



Several experiments at the Antiproton Decelerator and ELENA (Extra Low Energy Antiproton) @CERN

E. Widmann, arXiv:2111.04056 [hep-ex]

Current bounds

- We can use data of various experiments to calculate the neutrino and antineutrino oscillation parameters:

- Solar neutrino data: $\theta_{12}, \Delta m_{21}^2, \theta_{13}$

- Neutrino mode in LBL: $\theta_{23}, \Delta m_{31}^2, \theta_{13}$

- KamLAND data: $\bar{\theta}_{12}, \Delta \bar{m}_{21}^2, \bar{\theta}_{13}$

- SBL reactors: $\bar{\theta}_{13}, \Delta \bar{m}_{31}^2$

- Antineutrino mode in LBL: $\bar{\theta}_{23}, \Delta \bar{m}_{31}^2, \bar{\theta}_{13}$

- No bounds on CP-phases since all values are allowed

Parameter	Main contribution	Other contributions
θ_{12}	SOL	KamLAND
θ_{13}	REAC	ATM+LBL and SOL+KamLAND
θ_{23}	ATM+LBL	-
δ_{CP}	LBL	ATM
Δm_{21}^2	KamLAND	SOL
$ \Delta m_{31}^2 $	LBL+ATM+REAC	-
MO	LBL+REAC and ATM	-

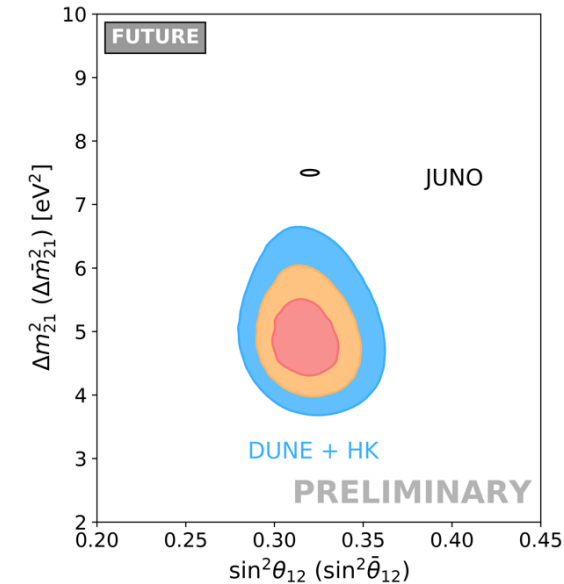
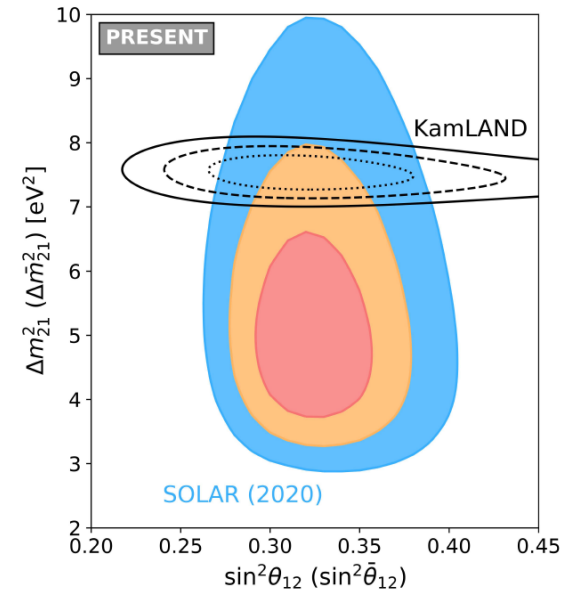
SOL: Solar
ATM: Atmospheric neutrinos

LBL: Long baseline accelerator experiments
REAC: Short-baseline reactor experiments

Current bounds

- We use the same data (except atmospheric neutrinos) as for the global fit to obtain

$$\begin{aligned}
 |\Delta m_{21}^2 - \Delta \bar{m}_{21}^2| &< 4.7 \times 10^{-5} \text{ eV}^2, \\
 |\Delta m_{31}^2 - \Delta \bar{m}_{31}^2| &< 2.5 \times 10^{-4} \text{ eV}^2, \\
 |\sin^2 \theta_{12} - \sin^2 \bar{\theta}_{12}| &< 0.14, \\
 |\sin^2 \theta_{13} - \sin^2 \bar{\theta}_{13}| &< 0.029, \\
 |\sin^2 \theta_{23} - \sin^2 \bar{\theta}_{23}| &< 0.19.
 \end{aligned}$$



G.B., C. Ternes and M. Tortola, 2005.05975, JHEP2020



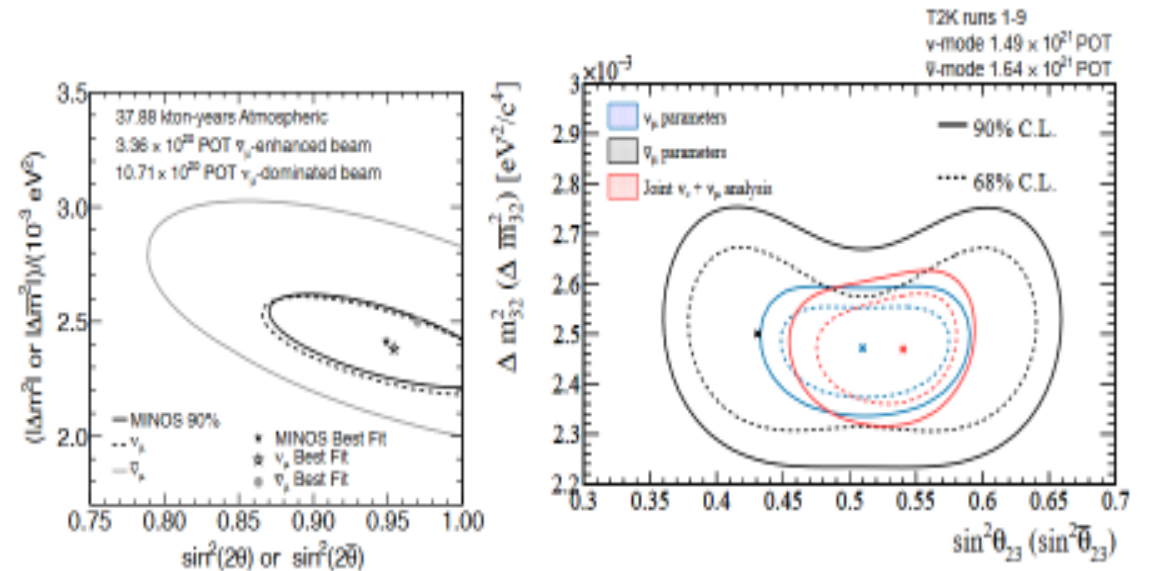
T2K results, a hint ?

- T2K studied neutrino and anti-neutrino oscillations separated

$$\sin^2 \theta_{23} = 0.51, \quad \Delta m_{32}^2 = 2.53 \times 10^{-3} \text{eV}^2$$

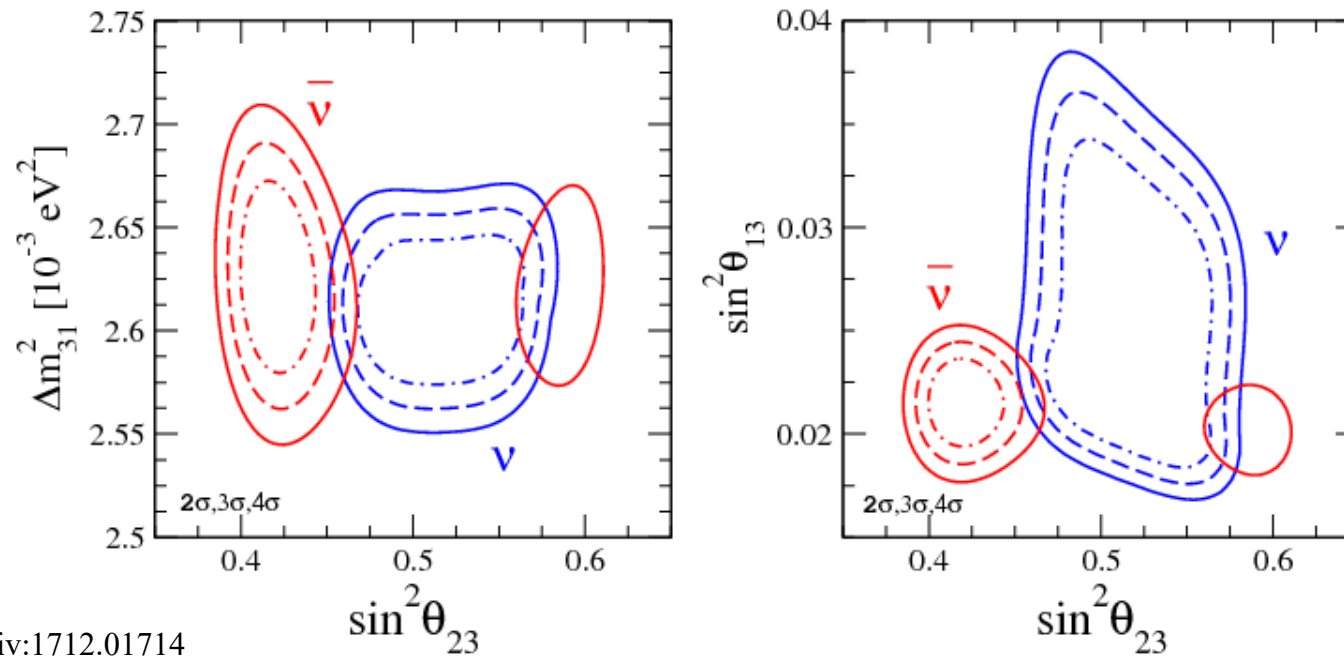
$$\sin^2 \bar{\theta}_{23} = 0.42, \quad \Delta \bar{m}_{32}^2 = 2.55 \times 10^{-3} \text{eV}^2$$

- Results are consistent with
- CPT-conservation



DUNE about T2K

- We find, that if these values turn out to be the true values, DUNE would measure CPT-violation at more than 3σ confidence level



- In experiments and in fits normally you assume CPT-conservation
- If CPT is not conserved this leads to impostor (fake) solutions in the fits
- To perform the standard fit you would calculate

$$\chi_{\text{total}}^2 = \chi^2(\nu) + \chi^2(\bar{\nu})$$

and then minimize this function

$$h(x, y) = f(x) + g(y)$$

$$\partial_x f(x) = 0 \qquad \partial_y g(y) = 0$$

$$x = y$$

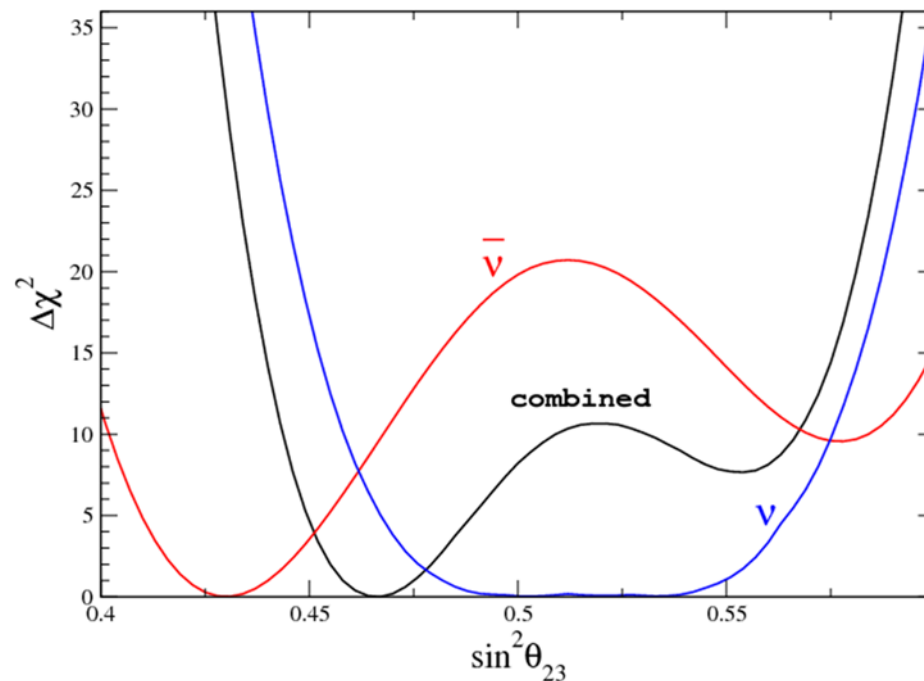
$$h(x) = f(x) + g(x)$$

$$\partial_x f(x) = \partial_x g(x) = 0$$

$$\partial_x f(x) = -\partial_x g(x)$$

Obtaining impostor solutions

- This was done for $\sin^2(\theta_{23}) = 0.5$, $\sin^2(\bar{\theta}_{23}) = 0.43$



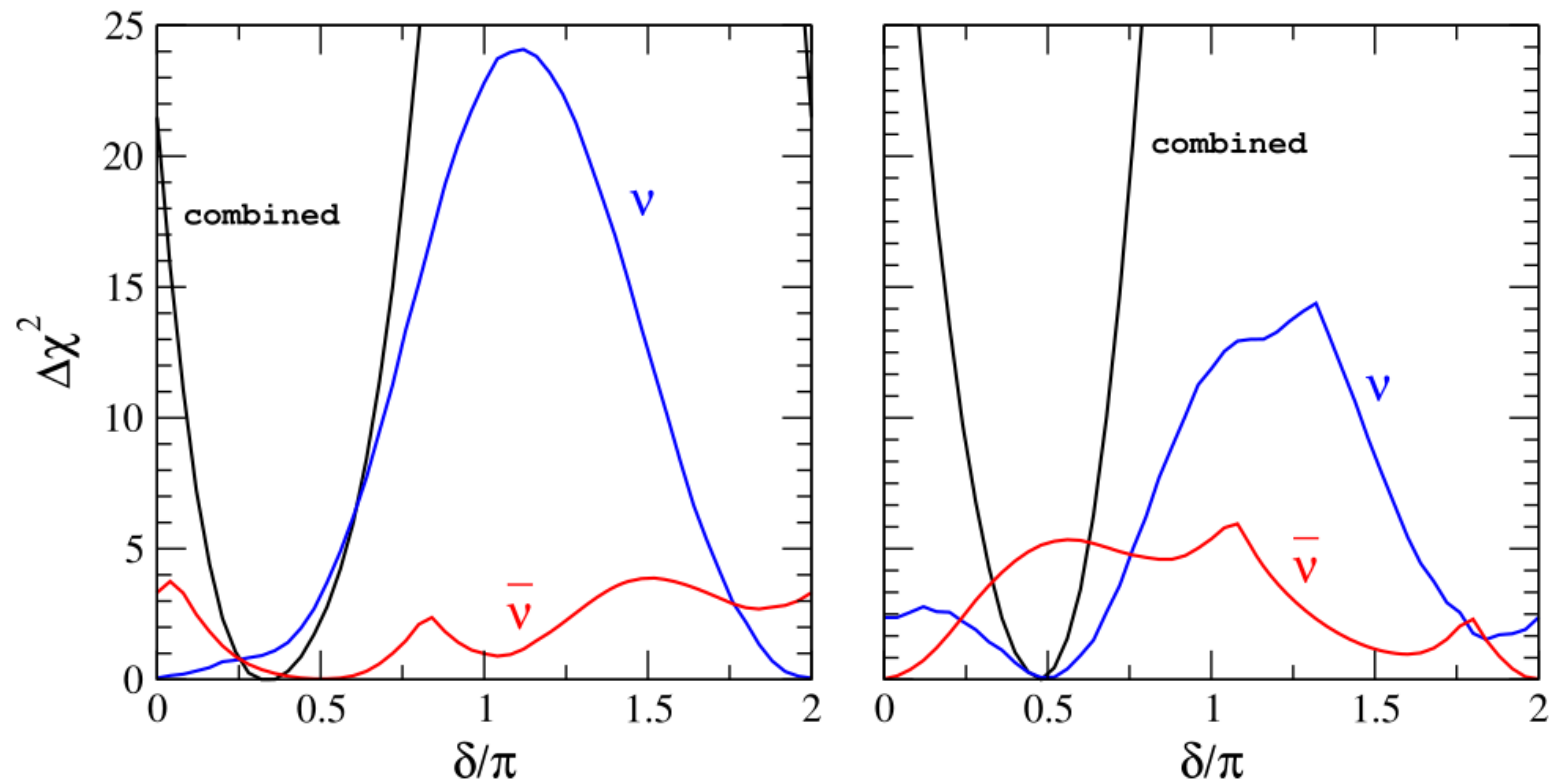
Combined best fit value is now

$$\sin^2(\theta_{23}^{\text{comb}}) = 0.467$$

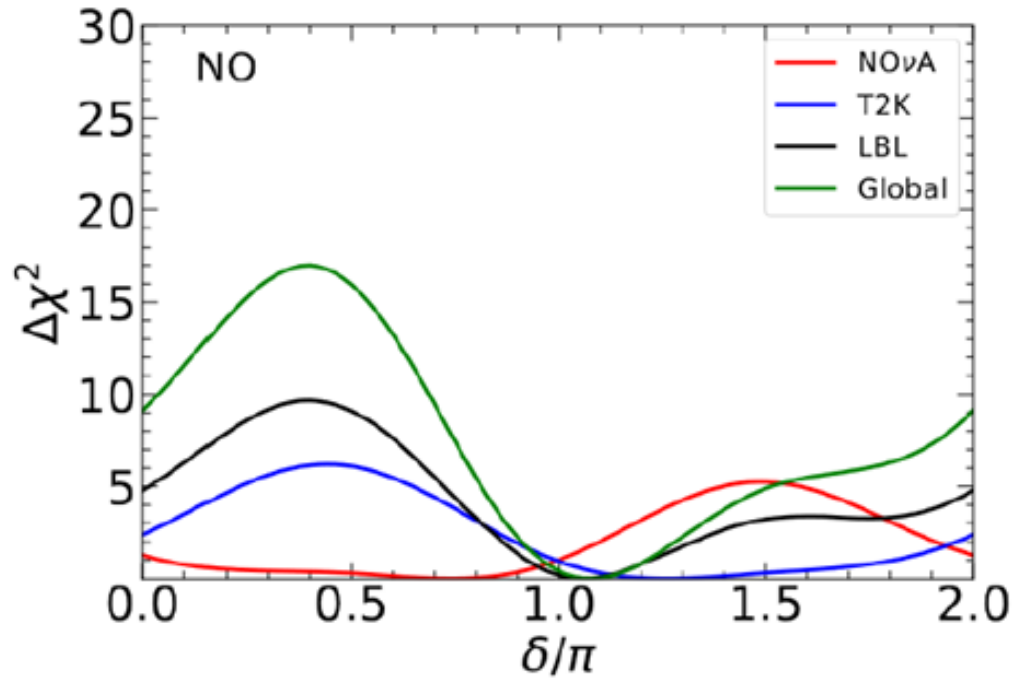
Real true values are disfavored at close to 3σ and more 5σ confidence levels

This can also happen

$$\delta = \begin{cases} \pi/2 \\ 0 \end{cases} \quad \text{and} \quad \bar{\delta} = \begin{cases} 0 \\ \pi/2 \end{cases}$$



$\theta_{13} \neq \bar{\theta}_{13}$ can account for different behavior in neutrino and antineutrino channels



all values of δ and $\bar{\delta}$ remain allowed at $\sim 1\sigma$

Tension between NOνA, T2K and SK atm. and $\delta_{bf} = 1.08\pi$

- Disfavours:
 - $\delta = \pi/2$ at 4.0σ
 - $\delta = 0$ at 3.0σ
 - $\delta = 3\pi/2$ with $\Delta\chi^2 = 4.9$

The increasing precision in neutrino oscillation measurements requires a thorough analysis of the assumptions considered.



Distinguishing CPT violation from NSNI

The muon neutrino survival probability in matter can be written as

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_\nu \sin^2 \left(\frac{\Delta m_\nu^2 L}{4E} \right) .$$

in matter

$$\begin{aligned} \Delta m_\nu^2 \cos 2\theta \\ \Delta m_\nu^2 \sin 2\theta \end{aligned}$$

$$4\Delta m^4 = \Delta m_\nu^4 + \Delta m_{\bar{\nu}}^4 + 2\Delta m_\nu^2 \Delta m_{\bar{\nu}}^2 \cos(2\theta_\nu - 2\theta_{\bar{\nu}})$$

$$\begin{aligned} - \epsilon_{\tau\tau} A, \\ - 2\epsilon_{\mu\tau} A. \end{aligned}$$

$$\sin^2(2\theta) = \frac{(\Delta m_\nu^2 \sin(2\theta_\nu) + \Delta m_{\bar{\nu}}^2 \sin(2\theta_{\bar{\nu}}))^2}{\Delta m_\nu^4 + \Delta m_{\bar{\nu}}^4 + 2\Delta m_\nu^2 \Delta m_{\bar{\nu}}^2 \cos(2\theta_\nu - 2\theta_{\bar{\nu}})}$$

$$2\epsilon_{\tau\tau}^m A = \Delta m_\nu^2 \cos(2\theta_\nu) - \Delta m_{\bar{\nu}}^2 \cos(2\theta_{\bar{\nu}})$$

$$4\epsilon_{\mu\tau}^m A = \Delta m_\nu^2 \sin(2\theta_\nu) - \Delta m_{\bar{\nu}}^2 \sin(2\theta_{\bar{\nu}})$$

G.B., C. Ternes and M. Tortola, Eur.Phys.J.C 79 (2019) 5, 390



Violations of Lorentz invariance

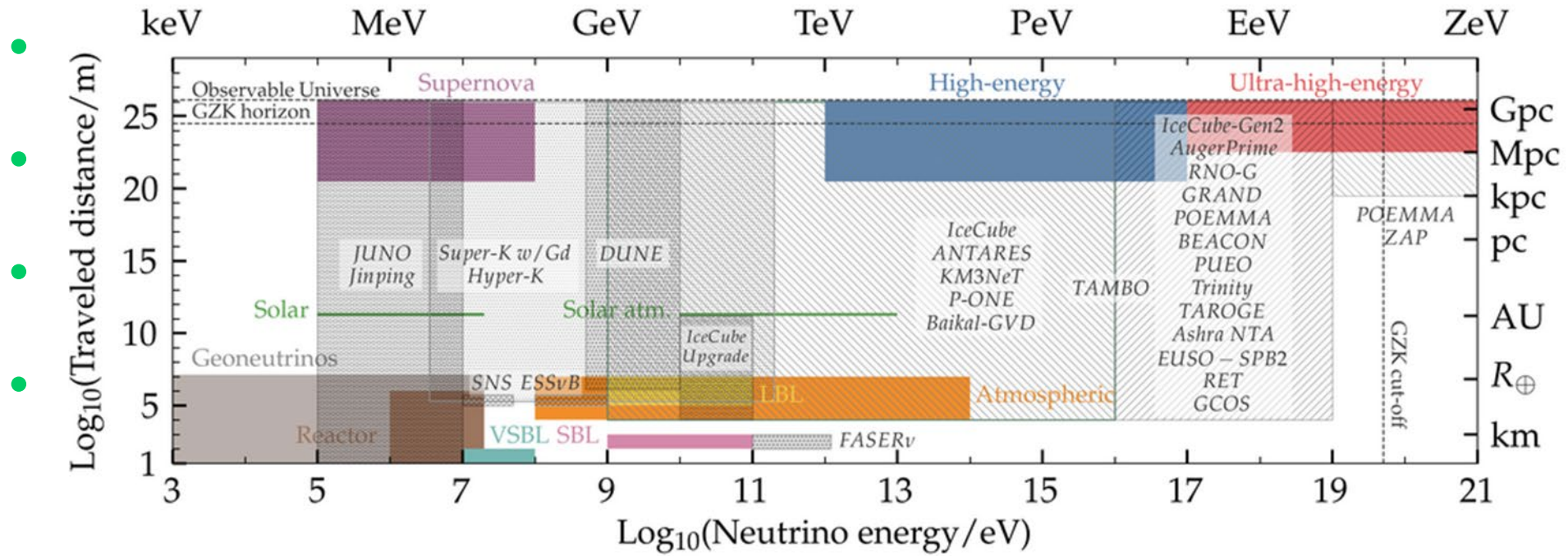
$$(h_{\text{eff}})_{ab} = \frac{m_{ab}^2}{2E} + \frac{1}{E} \left[(a_L)^\alpha p_\alpha - (c_L)^{\alpha\beta} p_\alpha p_\beta \right]_{ab}$$

standard Lorentz covariant term

violates both CPT and Lorentz invariance

Lorentz violation

Conclusions: Neutrino physics will continue delivering results



Extraordinary ~~claims~~ *precision* requires extraordinary ~~evidence~~ *caution*



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