



Photon-induced & diffractive physics results at CMS

Excited QCD'22, Sicily

24th Oct. 2022

David d'Enterria



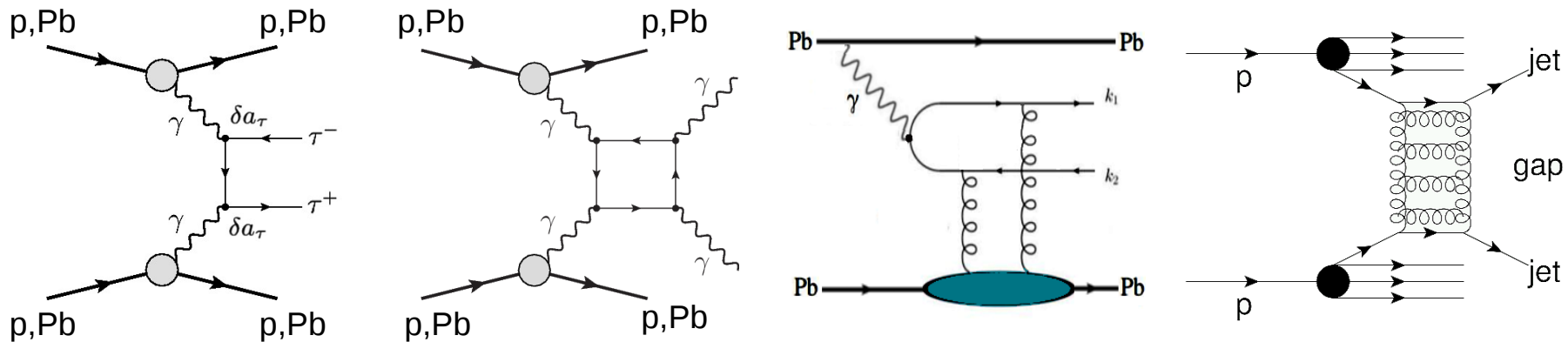
(for the CMS Collaboration)



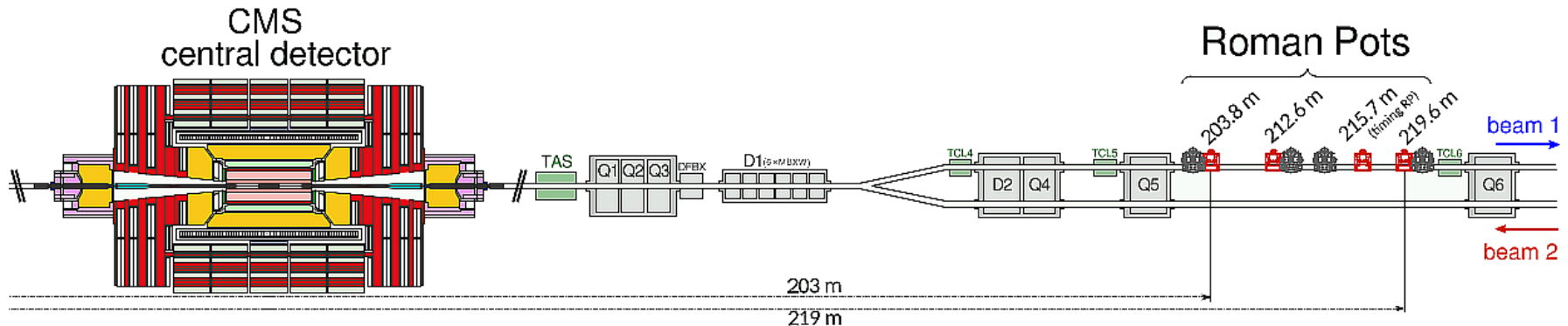
CERN

Color-singlet scatterings at CMS

- Many interesting scatterings at the LHC are mediated by **color-singlet** (photon and/or 2-gluon $|P\rangle$) exchanges with proton/ion surviving:



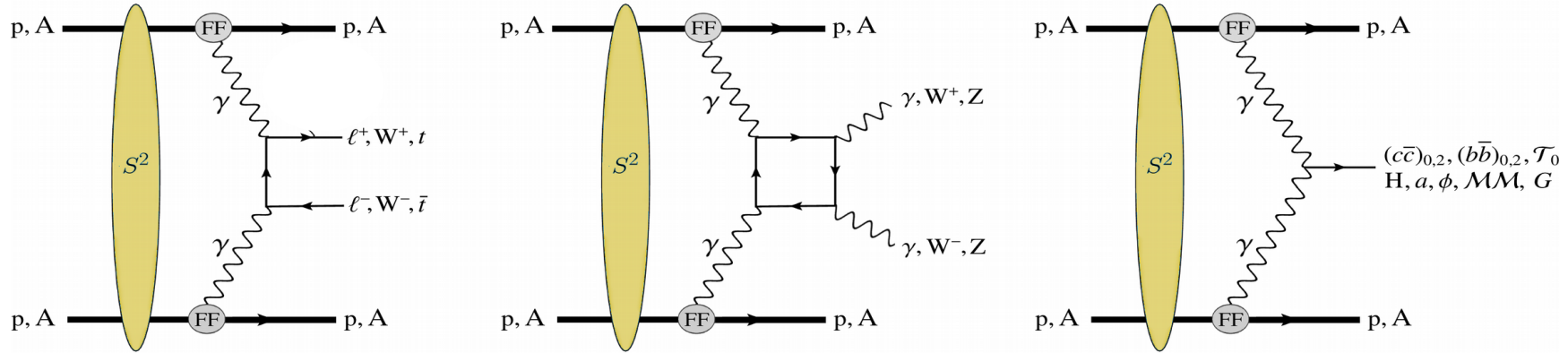
- Signatures: 1) **excl. central system**, 2) **rapidity gaps**, 3) **near-beam fwd. protons**



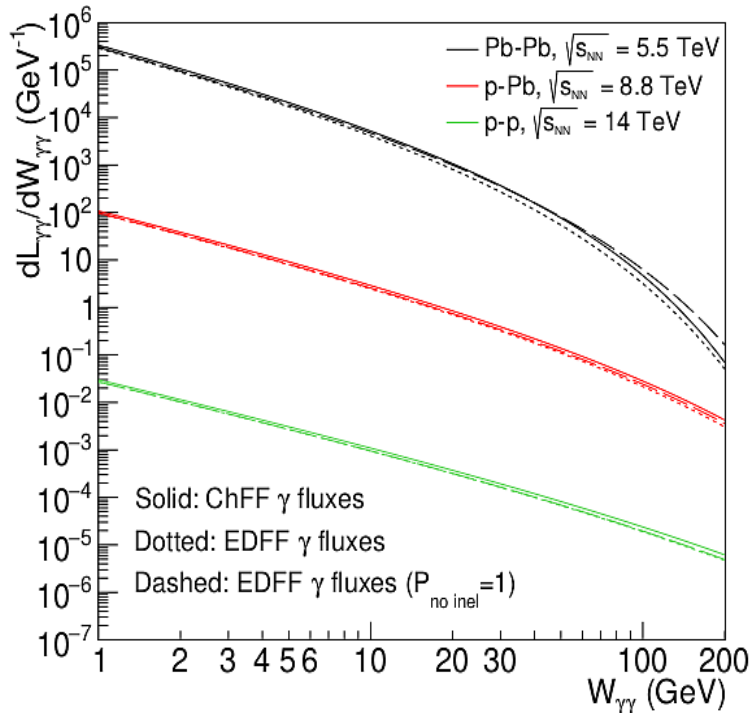
- PPS: **CMS+TOTEM tracking (2016–) & timing (2018–) detectors** inside beam pipe at $\pm 210\text{m}$
Measure **protons** that loose $\xi \sim 2\text{--}20\%$ of initial momenta. Double-RP acceptance at $m_x > 300\text{ GeV}$
- **Kinematics matching** (m_x, y_x) in central CMS and RPs for backgd reduction in signal searches

Rich & unique (B)SM $\gamma\gamma$ physics at the LHC

- Many interesting **photon fusion processes** in ultraperipheral collisions (UPCs):



... at the highest energies (\sim TeV)
& lumis ($\propto Z^4 \sim 5 \cdot 10^6$ for Pb) ever reached:



System	$\sqrt{s_{NN}}$	\mathcal{L}_{int}	γ_L	R_A	E_γ^{max}	$\sqrt{s_{\gamma\gamma}^{max}}$
Pb-Pb	5.52 TeV	5 nb $^{-1}$	2960	7.1 fm	80 GeV	160 GeV
p-Pb	8.8 TeV	1 pb $^{-1}$	7450, 2960	0.7, 7.1 fm	2.45 TeV, 130 GeV	2.6 TeV
p-p	14 TeV	150 fb $^{-1}$	7450	0.7 fm	2.45 TeV	4.5 TeV

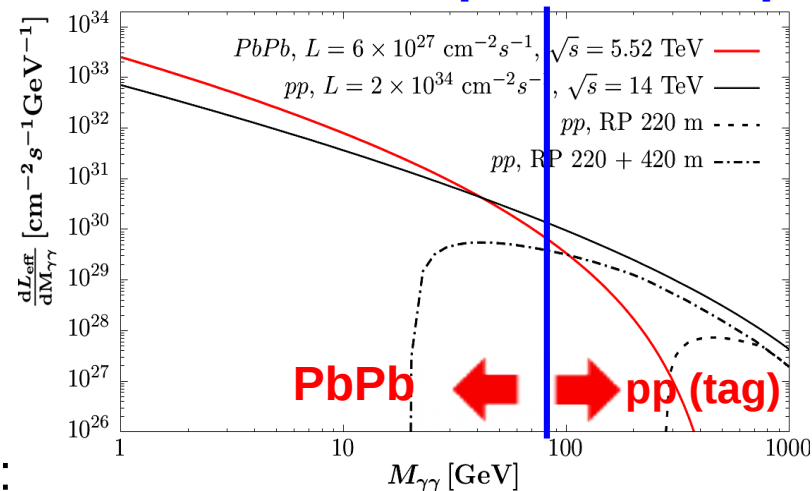
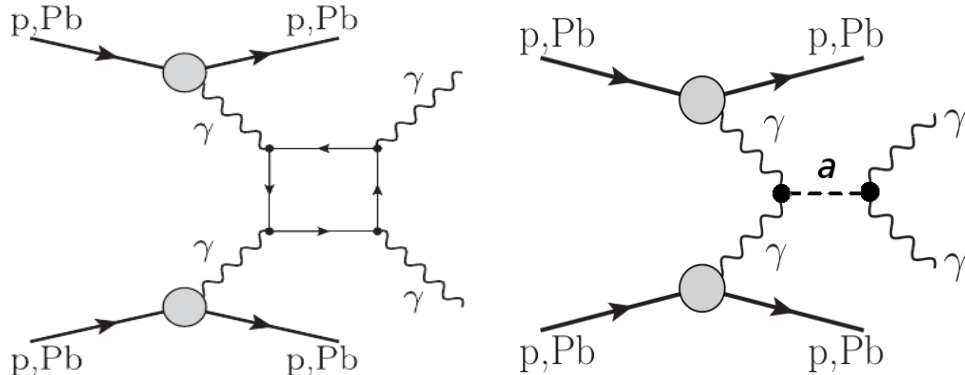
- MCs available to compute **EPA x-sections at LO** (soon NLO) QED plus NLO QCD accuracies.
- Uncertainties: 7–15% from p,Pb charge form factor (photon flux, survival probability $|S|^2$ factor)

[[gammaUPC: H.-S. Shao & DdE, arXiv:2207.03012](#)]

Exclusive diphotons in Pb-Pb & p-p

- **LbL scattering & ALP** searches via exclusive $\gamma\gamma$ production in UPCs:

[arXiv:1812.07688]



- Evt selection in Pb-Pb at 5.02 TeV (0.4 nb^{-1}):

Exclusivity: No track with $p_T > 0.1 \text{ GeV}$, $|\eta| < 2.4$

No neutral activity over $|\eta| < 5.2$

Photon: $E_T > 2 \text{ GeV}$, $|\eta| < 2.4$

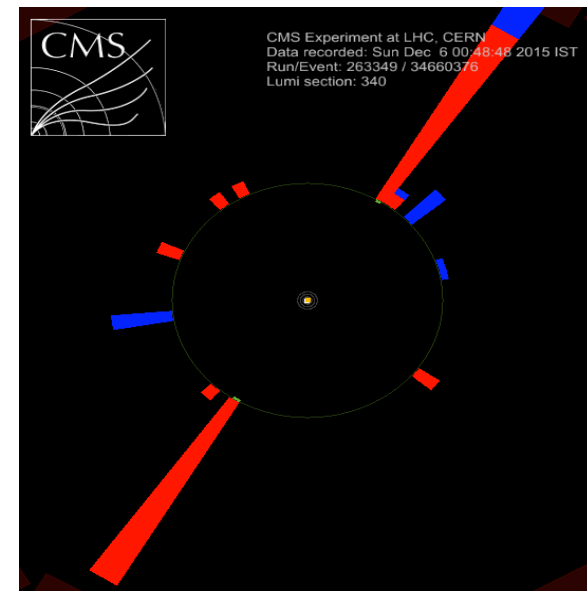
Diphoton: $m_{\gamma\gamma} > 5 \text{ GeV}$, $p_T < 1 \text{ GeV}$, $A_{\Delta\phi} < 0.01$

- Evt selection in p-p at 13 TeV ($9\text{--}103. \text{ fb}^{-1}$, pileup):

Diphoton: $m_{\gamma\gamma} > 350 \text{ GeV}$, $A_{\Delta\phi} < 0.005$

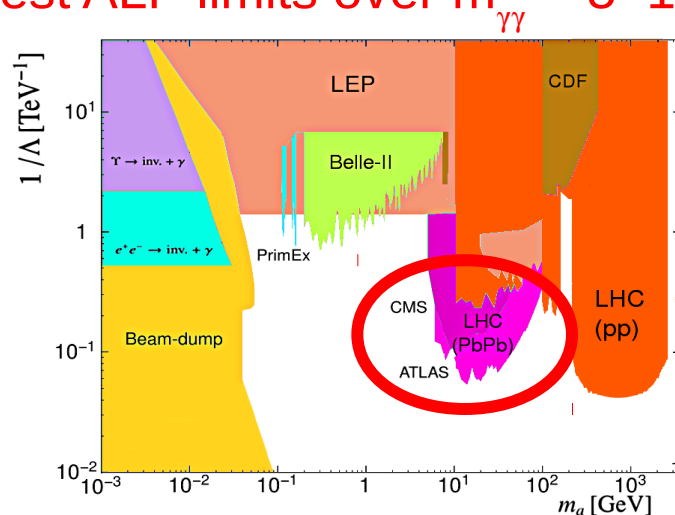
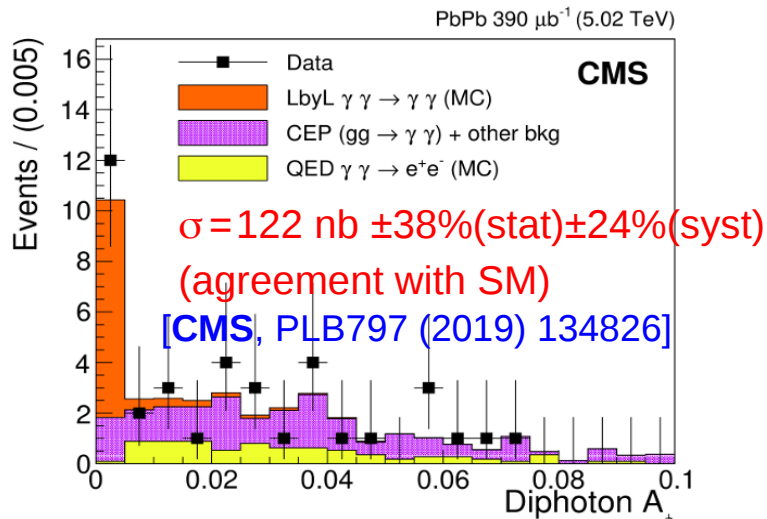
Match $X \rightarrow \gamma\gamma$ mass & rapidity in central CMS/RPs:

$$M_X = \sqrt{s \zeta_1 \zeta_2}, \quad y_X = \frac{1}{2} \ln \frac{\zeta_1}{\zeta_2}$$



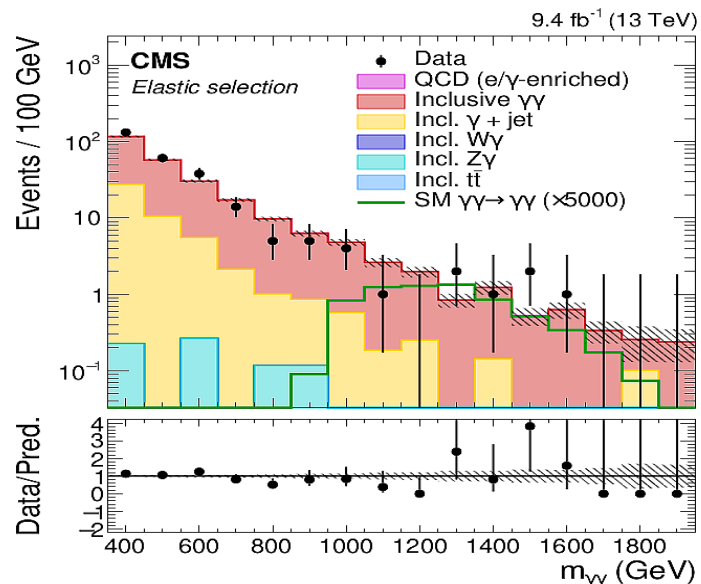
LbL scattering, ALPs, anomalous γ couplings

- PbPb: Evidence for LbL scattering & best ALP limits over $m_{\gamma\gamma} = 5-100$ GeV



- pp: Searches for high-mass LbL & limits on anomal. neutral quartic couplings:

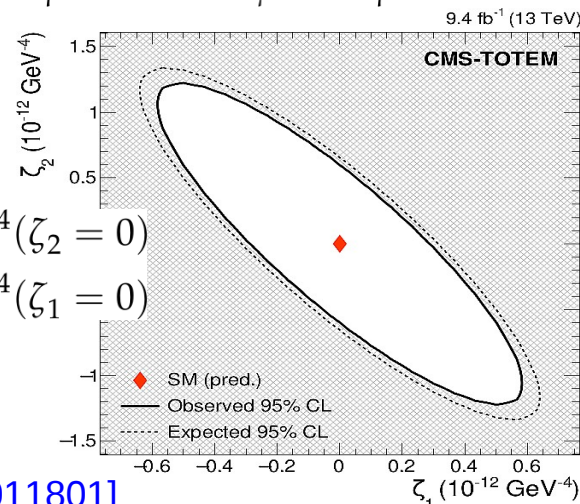
$$L_8^{\gamma\gamma\gamma\gamma} = \zeta_1 F_{\mu\nu} F^{\mu\nu} F_{\rho\sigma} F^{\rho\sigma} + \zeta_2 F_{\mu\nu} F^{\mu\rho} F_{\rho\sigma} F^{\sigma\nu}$$



$$|\zeta_1| < 2.9 \times 10^{-13} \text{ GeV}^{-4} (\zeta_2 = 0)$$

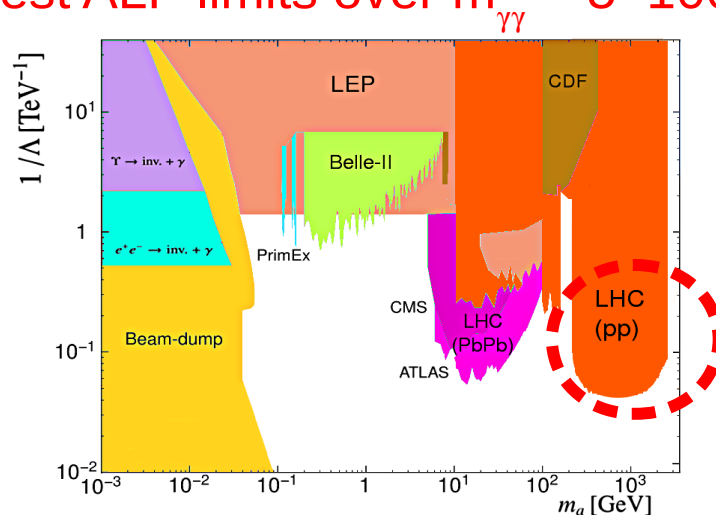
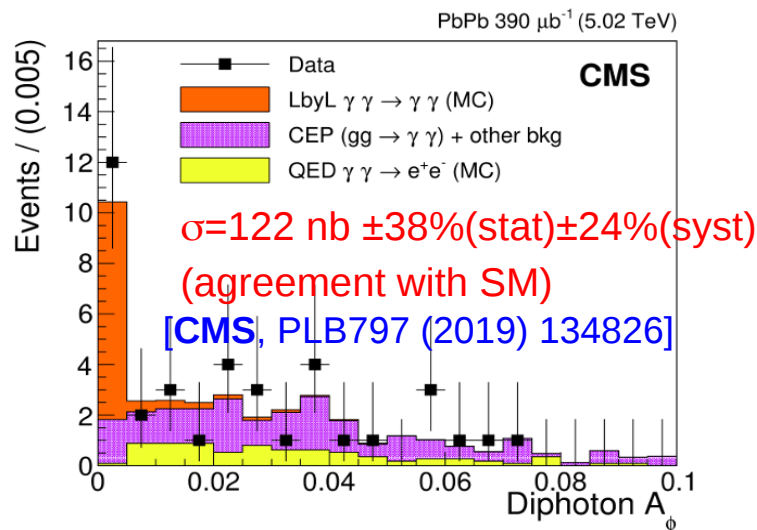
$$|\zeta_2| < 6.0 \times 10^{-13} \text{ GeV}^{-4} (\zeta_1 = 0)$$

[CMS, P11801]

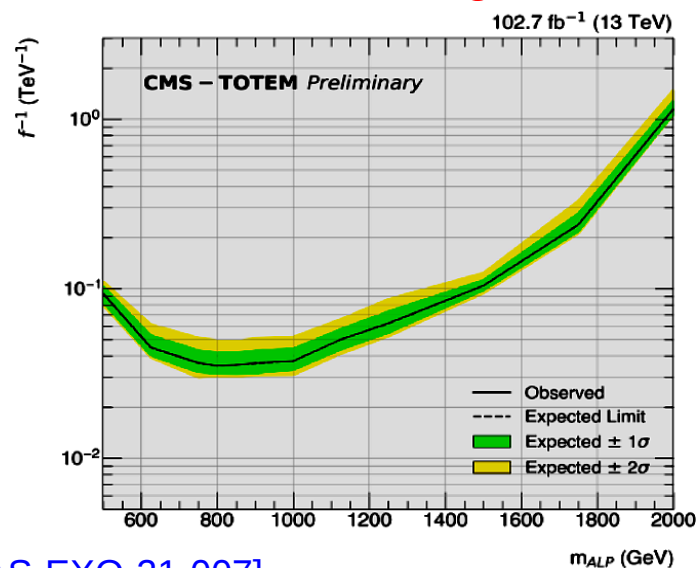
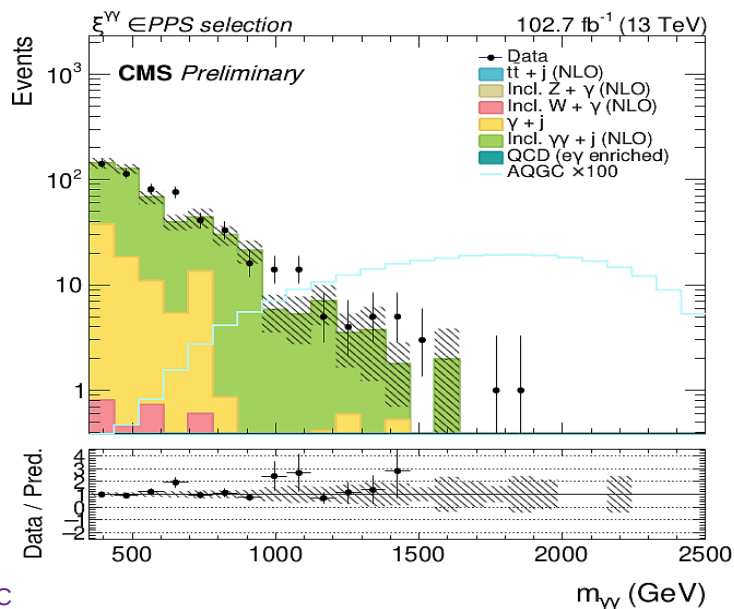


LbL scattering, ALPs, anomalous γ couplings

PbPb: Evidence for LbL scattering & best ALP limits over $m_{\gamma\gamma} = 5-100$ GeV



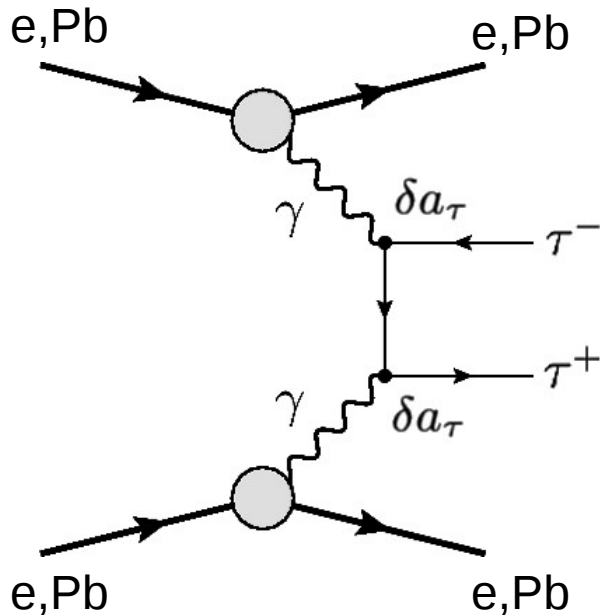
pp (full Run-2): Searches for high-mass LbL & limits on high-mass ALPs



[CMS, PAS-EXO-21-007]

Tau magnetic moment via $\gamma\gamma \rightarrow \tau^+\tau^-$

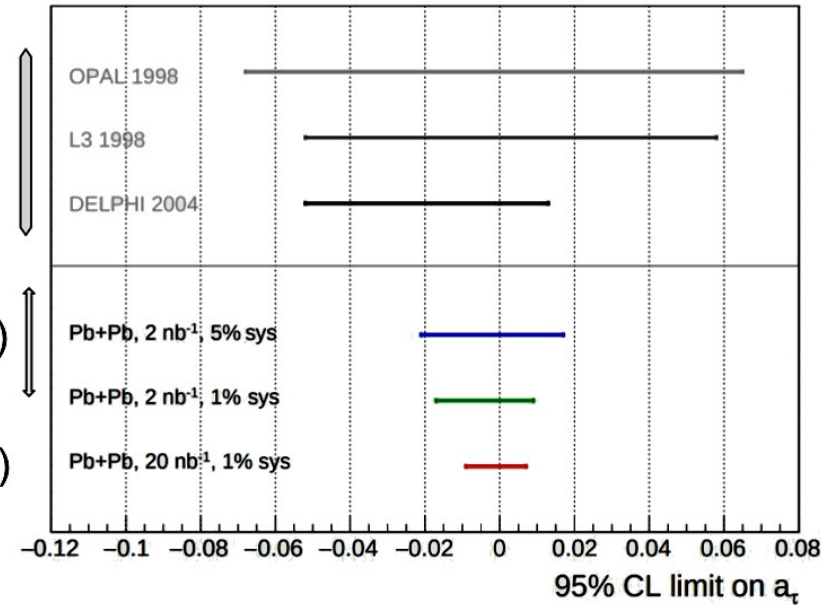
- Useful process to determine the **anomalous magnetic dipole moment of the tau** lepton: $a_\tau = (g_\tau - 2)/2$. Done at LEP, accessible at LHC via UPCs:



LEP

Run 2 (2 /nb)

Runs 3+4 (> 10 /nb)



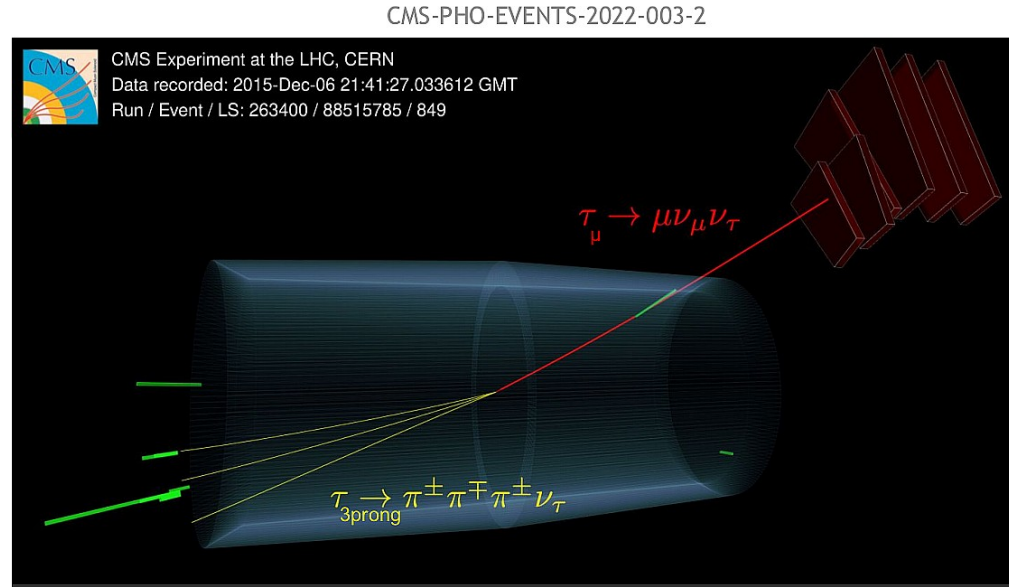
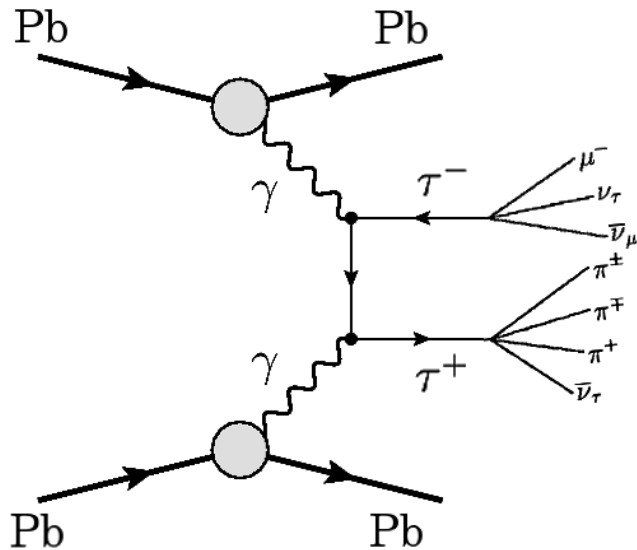
- Data-theory comparison of **absolute and/or diff. x-sections = sensitive to $(g_\tau - 2)$**
- Cross section in **UPCs with ions enhanced by Z^4 factor relative to e^+e^-** .

LHC can improve the precision on a_τ relative to best LEP (DELPHI) results

[F.del Aguila et al. (1991), Atag&Billur (2010), Beresford&Liu (2019), Dyndal et al. (2020)]

$\gamma\gamma \rightarrow \tau^+\tau^-$ measurement in PbPb

- Measurement in PbPb (5.02 TeV, 0.4 nb⁻¹) UPCs in **1 ℓ +3-prong decays**



[CMS, arXiv:2206.05192]

- Relatively small **BR \approx 3%** but clean final state with **controlled backgrounds** (“ABCD method” regions: 1 for signal, 3 for inverted cuts): **$\gamma\gamma \rightarrow \mu\mu, c\bar{c}, b\bar{b}, \dots$**

- Event Selection:

Trigger: **1 μ , 1+ tracks, zero activity in HF fwd calo**

Offline:

Muon $p_T > 3.5 \text{ GeV}$ for $|\eta| < 1.2$
 $p_T > 2.5 \text{ GeV}$ for $1.2 < |\eta| < 2.4$

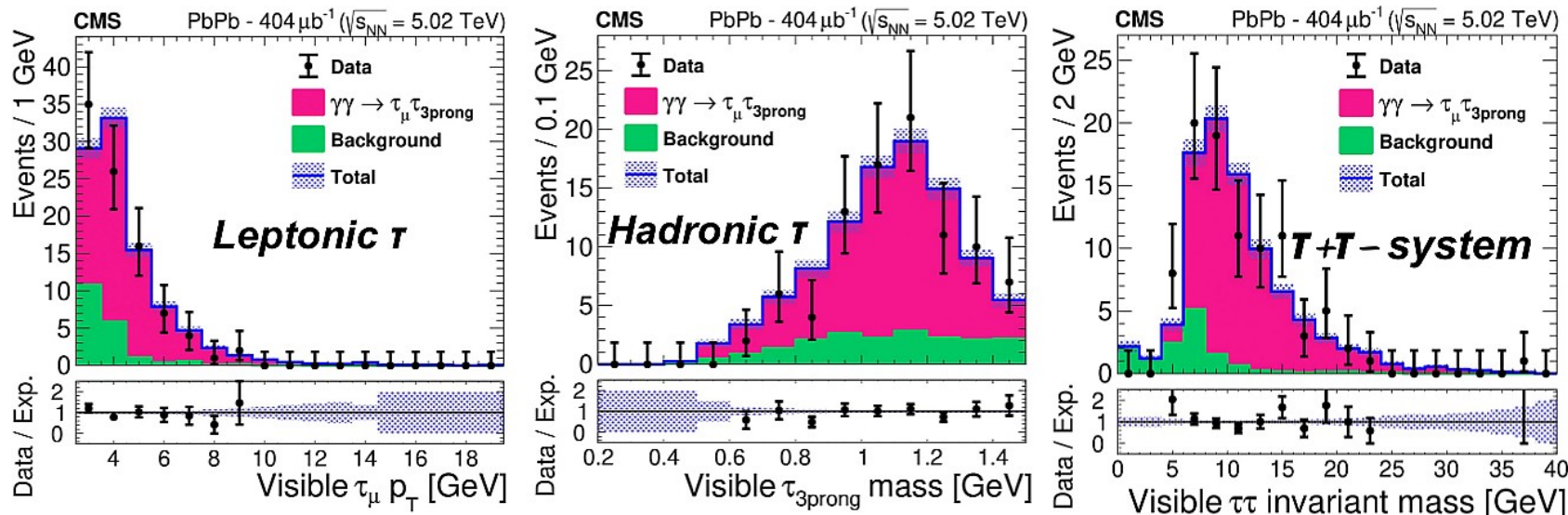
Pion $p_T > 0.5 \text{ GeV}$ for the leading
 $p_T > 0.3 \text{ GeV}$ for the (sub-)subleading
 $|\eta| < 2.5$

$\tau_{3\text{prong}}$ $p_T^{\text{vis}} > 2 \text{ GeV}$ and $0.2 < m_\tau^{\text{vis}} < 1.5 \text{ GeV}$

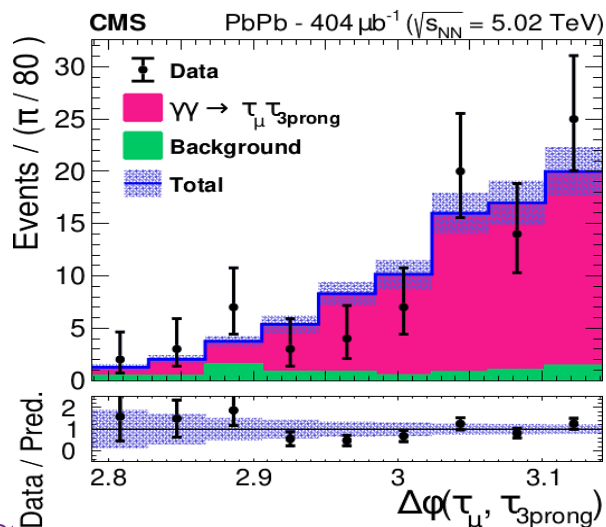
$\gamma\gamma \rightarrow \tau^+\tau^-$: Signal extraction

[CMS, arXiv:2206.05192]

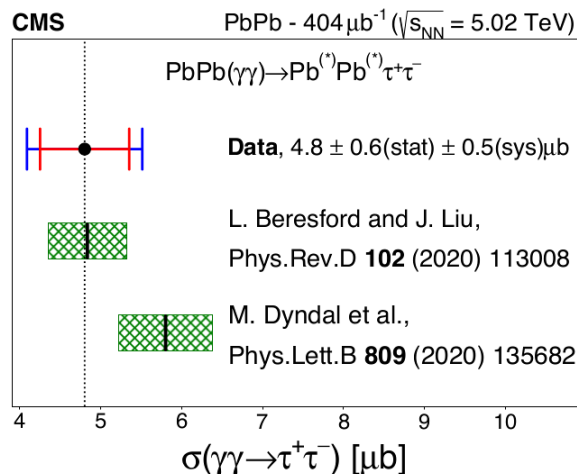
- Good agreement between reconstructed **data & MC kinematic distributions**:



- Yield extraction ($77 \pm 12, 5\sigma$) likelihood fit to $\Delta\phi(\tau_{lep}, \tau_{had})$ discriminating variable



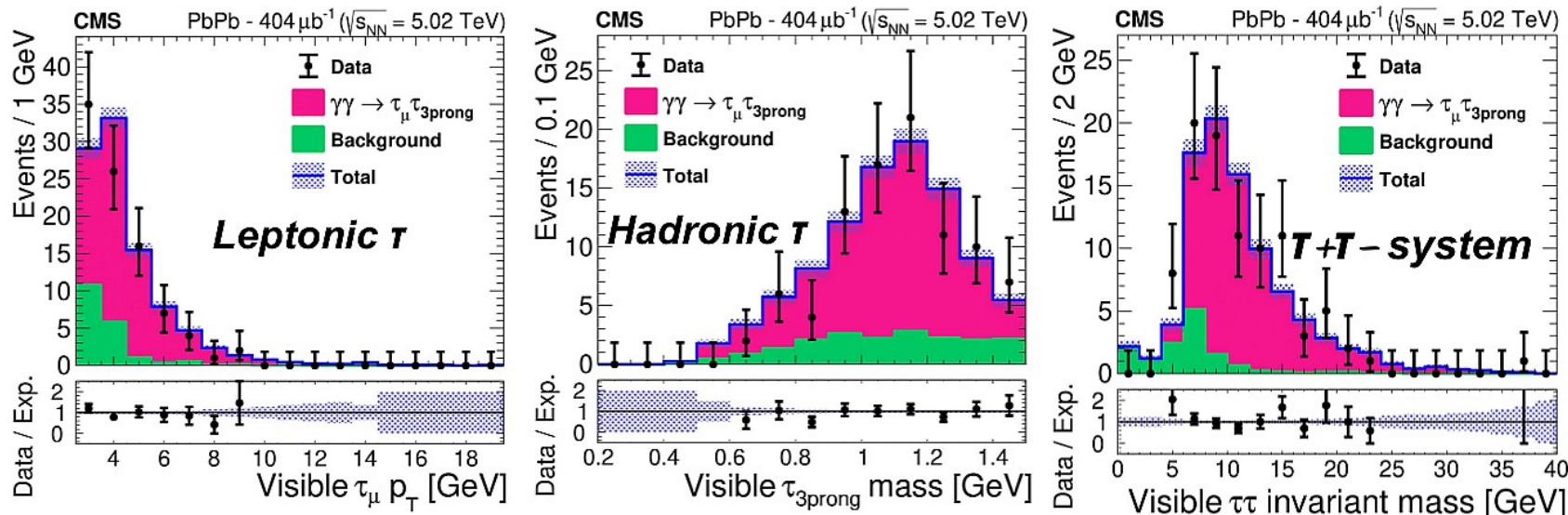
$$\sigma(\gamma\gamma \rightarrow \tau^+\tau^-) = 4.8 \pm 0.6 \text{ (stat)} \pm 0.5 \text{ (syst)} \mu\text{b}$$



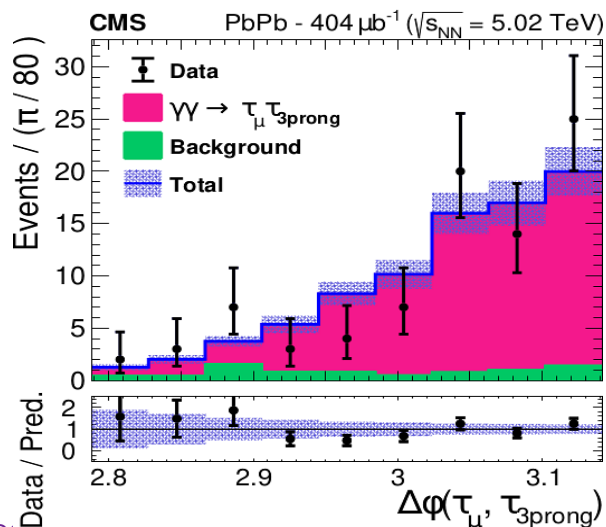
$\gamma\gamma \rightarrow \tau^+\tau^-$: Signal extraction & a_τ limits

[CMS, arXiv:2206.05192]

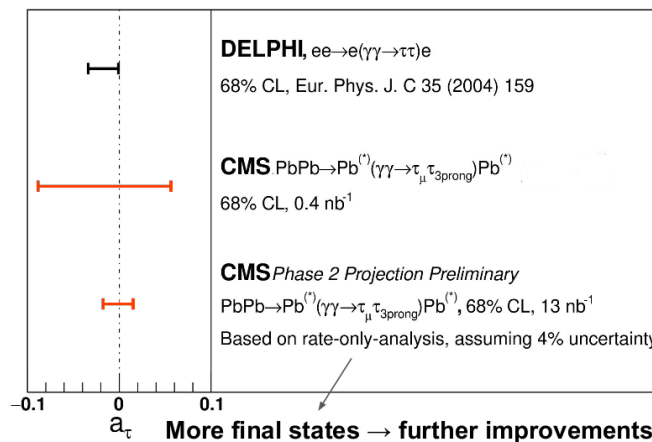
- Good agreement between reconstructed data & MC kinematic distributions:



- Comparison of experimental & theoretical x-sections: $(g-2)_\tau$ constraint

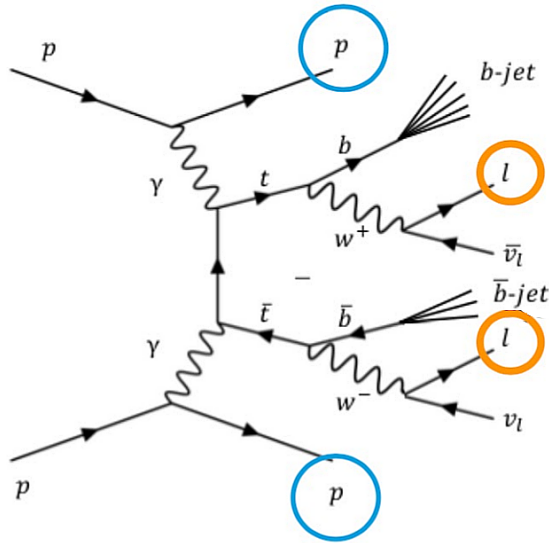


$$\sigma(\gamma\gamma \rightarrow \tau^+\tau^-) = 4.8 \pm 0.6 \text{ (stat)} \pm 0.5 \text{ (syst)} \mu\text{b,}$$



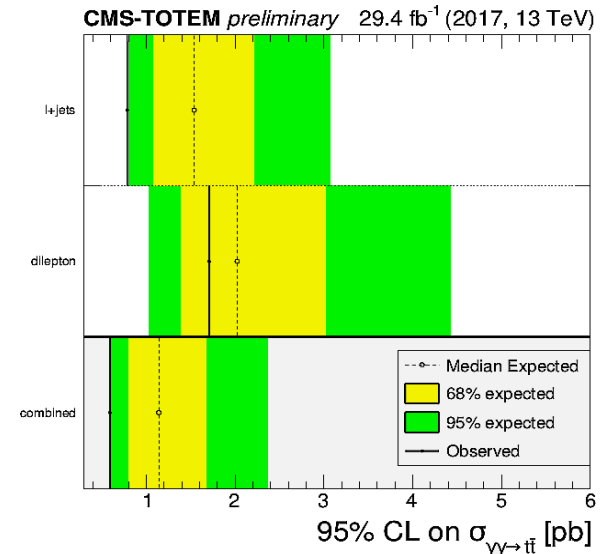
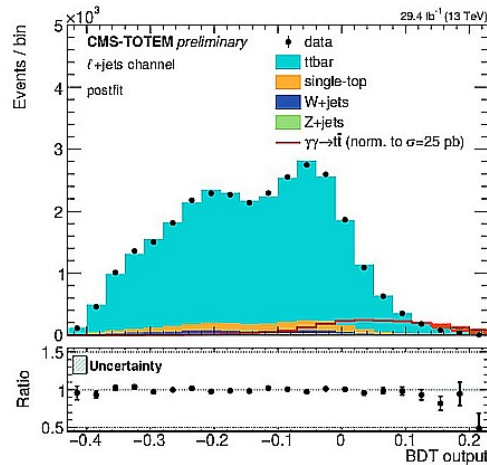
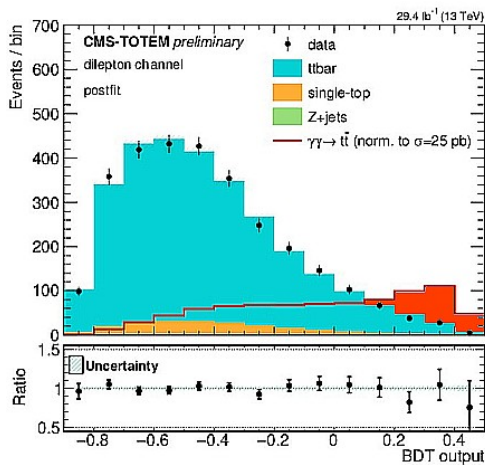
$\gamma\gamma \rightarrow t\bar{t}$ measurement in p-p

- Exclusive $t\bar{t}$: sensitivity to **anomalous top quark e.m. couplings**
Never observed so far: tiny $\sigma \approx 0.2 \text{ fb}$ at NLO. [CMS, PAS-TOP-21-007]



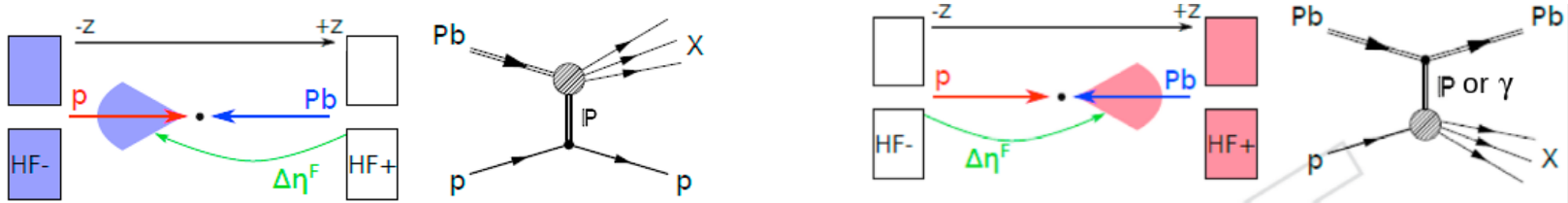
- Measurement in p-p (13 TeV, 29 fb⁻¹):
 - $t\bar{t}$ in central CMS: $l\bar{l}$ & l +jets decays
 - **Protons** reconstructed in RPs
- Search above **dominant inclusive $t\bar{t}$ backgd**
 Multivariate analysis: protons & $t\bar{t}$ kinem.vars.
- Cross-section limits (dominated by stats.):
 Upper limit $\sigma = 0.59 \text{ pb}$ 95% CL (1.14 pb expect)

- **Boosted Decision Trees outputs** for 2 decays:

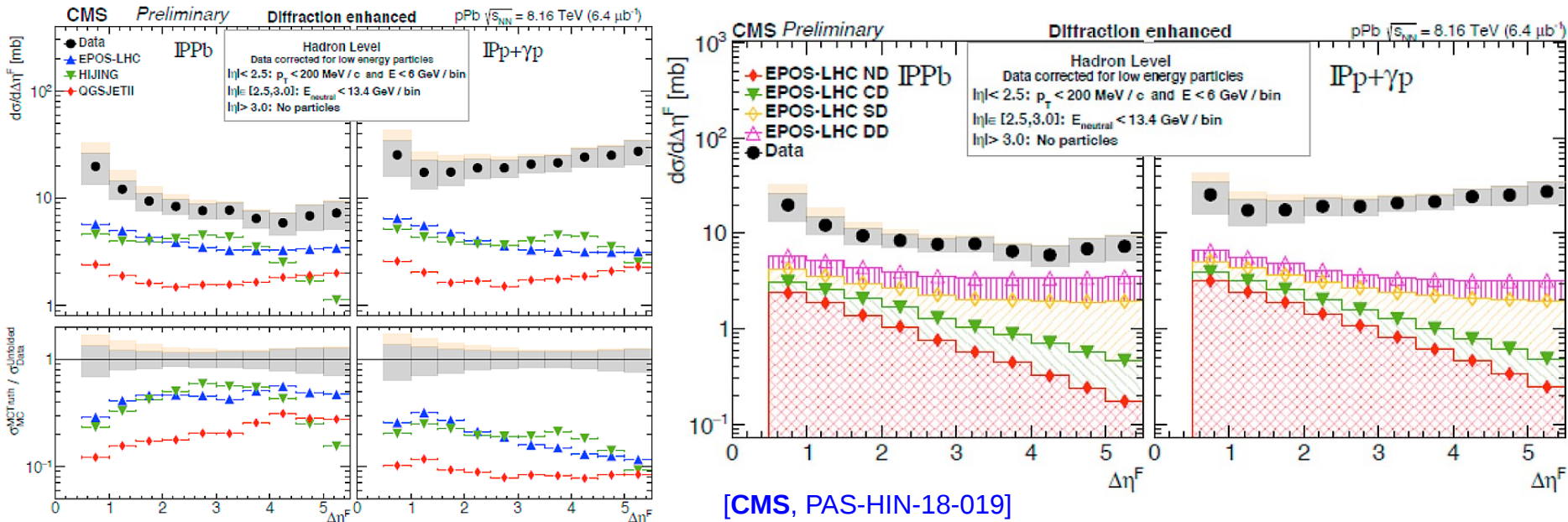


Rapidity-gap events in p-Pb

- p-Pb collisions allow the study of **both \mathbb{P} and γ** interactions with nuclei:



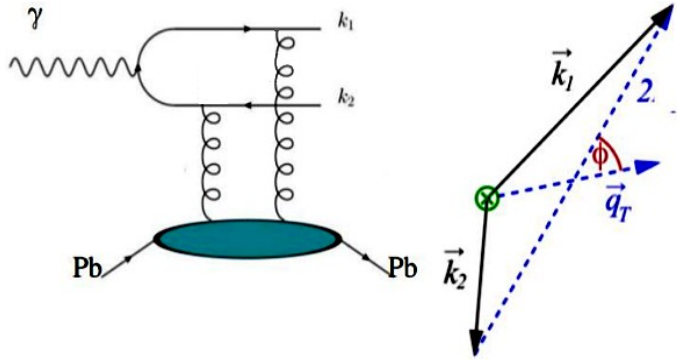
- **Rapidity gaps (Pb & p directions) distributions in data & MC for p-Pb(8.16 TeV):**



- **Hadronic MCs (EPOS, QGSJETII, HIJING) clearly underpredict size of rap.gaps**
 More prominent MC deficit for photon-induced collisions.
 Diffraction improvements needed for description of **air showers of UHE cosmic-rays**

Exclusive dijet photoproduction in PbPb

- Azimuthal decorrelation of **exclusive dijets in γ -lead interactions** sensitive to **polarization of gluons within nuclei** (depend on orientation of the $q\bar{q}$ dipole wrt. impact parameter vector):



Vector sum of 2 jets:

$$\vec{Q}_T = \vec{k}_1 + \vec{k}_2$$

Vector difference of 2 jets:

$$\vec{P}_T = \frac{1}{2}(\vec{k}_1 - \vec{k}_2)$$

Measure angle ϕ between \vec{Q}_T and \vec{P}_T vectors.

$$\cos(\phi) = \vec{Q}_T \cdot \vec{P}_T / (\|\vec{Q}_T\| \cdot \|\vec{P}_T\|)$$

$$v_2 = \langle \cos(2\phi) \rangle$$

Gluon polarization effects probed by analyzing 2nd Fourier moment v_2

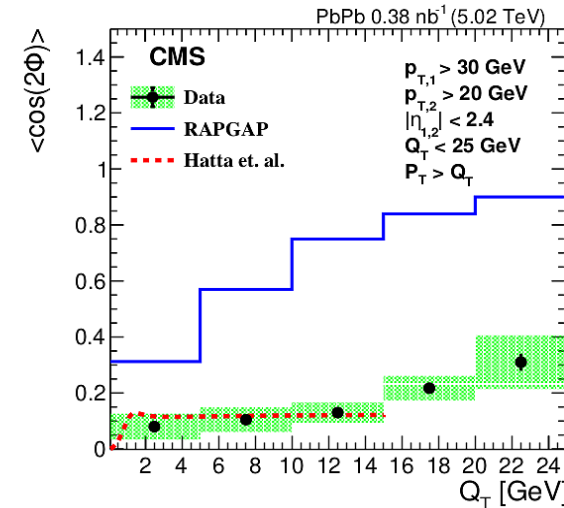
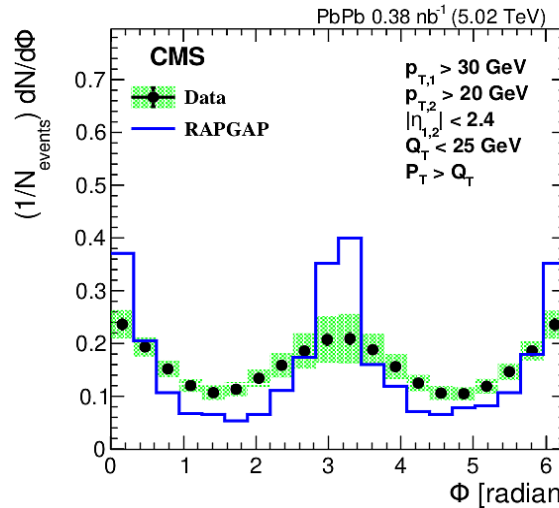
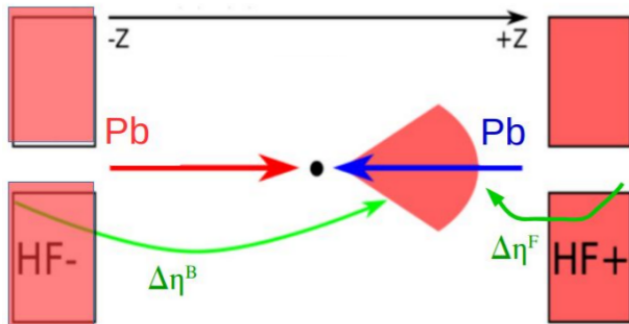
Hatta, et al, PRL 116, 202301 (2016)

- **TMD-based calc. reproduces data** (HERA-photoprod.-tuned **RAPGAP** does not)

- anti-kT R = 0.4 particle-flow jets.

- Two jets with $|\eta| < 2.4$, $p_T^{\text{lead}} > 30$ GeV and $p_T^{\text{sublead}} > 30$ GeV > 20 GeV.

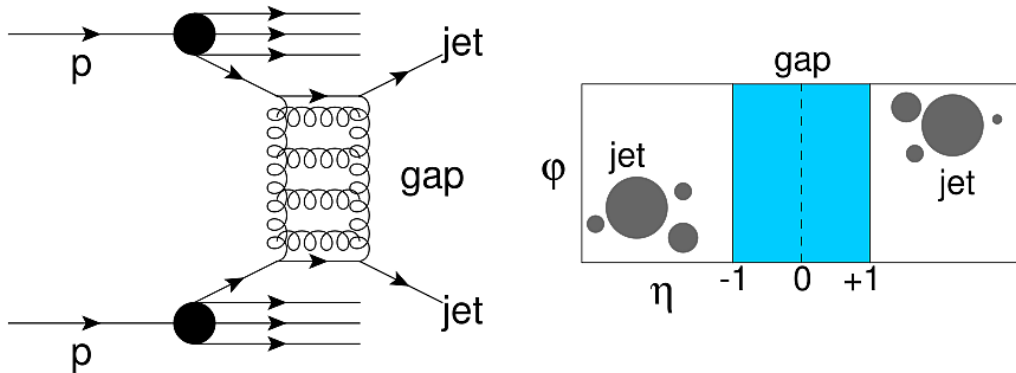
- Hadronic activity is vetoed in backward and forward regions ($2.8 < |\eta| < 5.2$)



[CMS, arXiv:2205.00045]

Jet-gap-jet events in p-p

- **Mueller-Tang jets** events characterized by production of 2 jets with a large rapidity gap: Hard **color-singlet exchange** (t-channel 2-gluon ladder in BFKL).

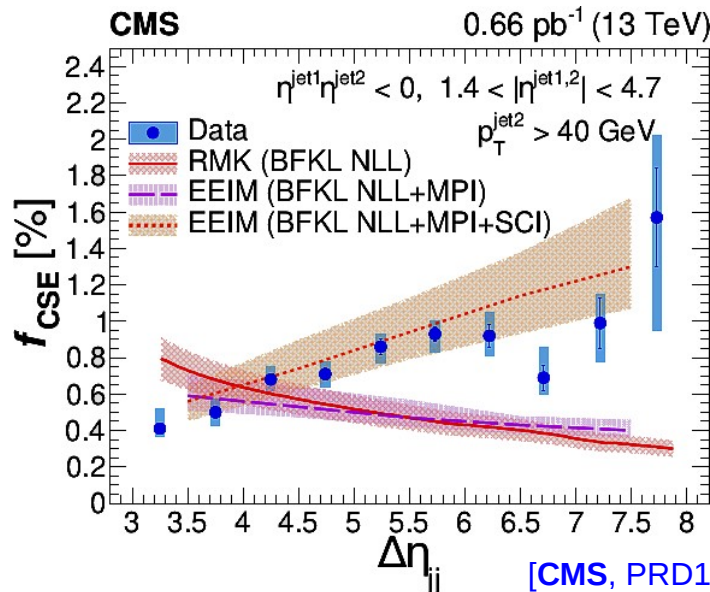


Jets: anti- k_T ($R=0.4$), $p_T > 40$ GeV,
 $|\eta_{\text{jet}}| = 1.4\text{--}4.7$, $|\eta_1 \eta_2| < 0$

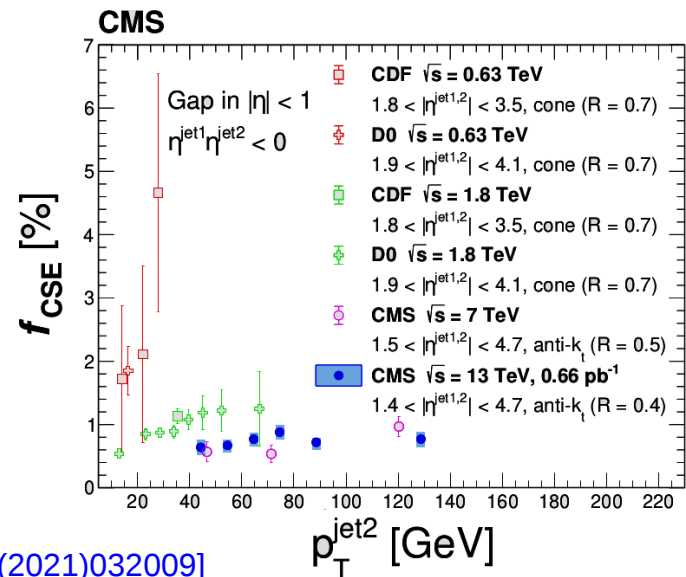
Gap: No ch.part. with $p_T > 0.2$ GeV
 and $|\eta| < 1$ between the jets
 (Low pileup high- β^* run)

- **Fraction color-singlet evts.=0.5–1%:** Rises w/ $j\bar{j}$ gap, flat w/ p_T , saturates at LHC

Constraints
 for **BFKL**
LO NLL
models

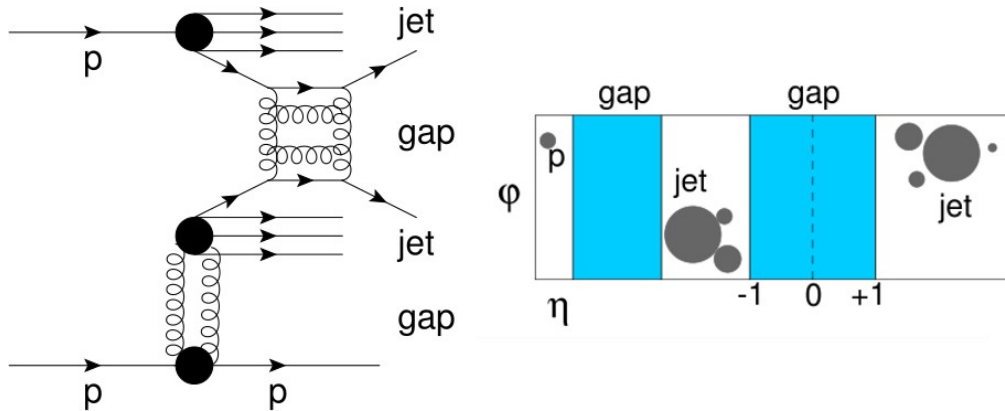


[CMS, PRD104 (2021)032009]



Jet-gap-jet events with intact proton in p-p

■ Mueller-Tang jets in events where one of the proton survives:

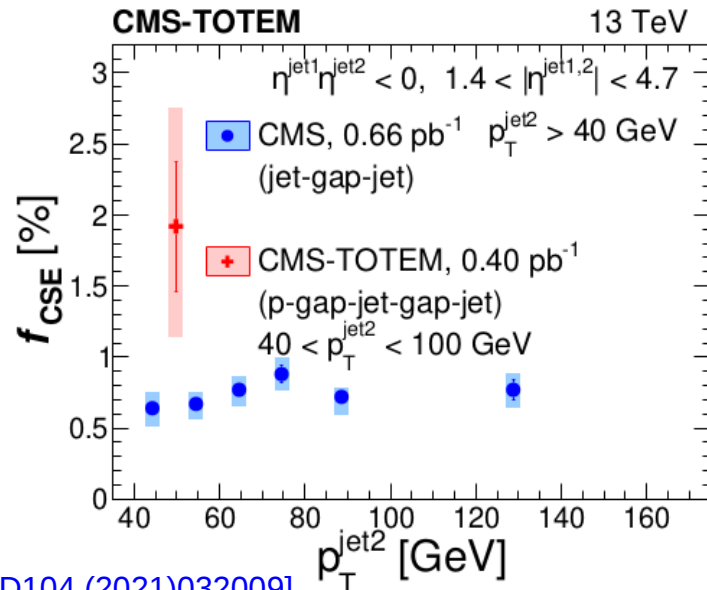
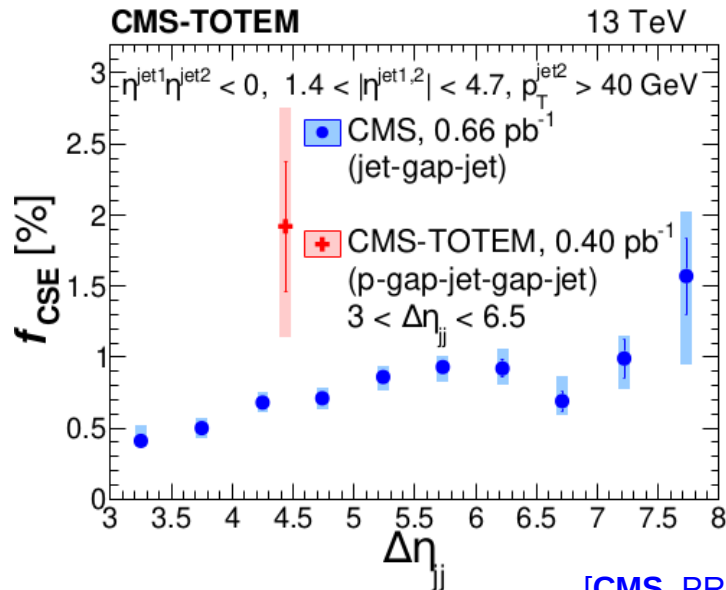


Jets: anti- k_T ($R=0.4$), $p_T > 40$ GeV,
 $|\eta_{\text{jet}}| = 1.4-4.7$, $|\eta_1 \eta_2| < 0$

Gap: No ch.part. with $p_T > 0.2$ GeV
 and $|\eta| < 1$ between the jets
 (Low pileup high- β^* run)

Forward proton: Tagged in RPs

■ Fraction of Mueller-Tang jets in diffractive events = $\sim 2\%$



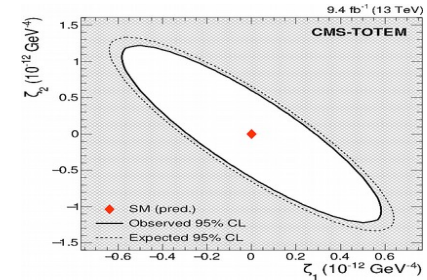
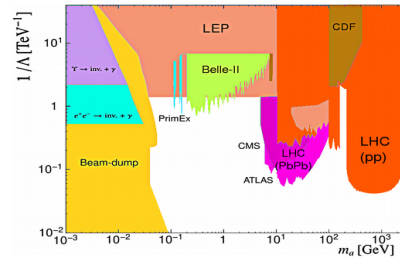
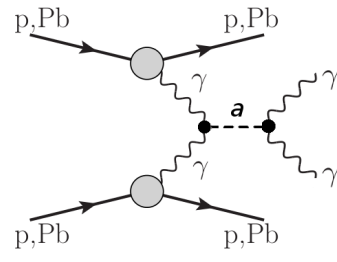
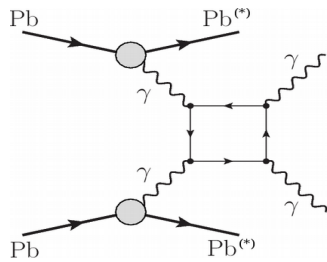
Diffractive requirement
 suppresses soft scatterings among
 2 protons
 (larger $|S|^2$)

[CMS, PRD104 (2021)032009]

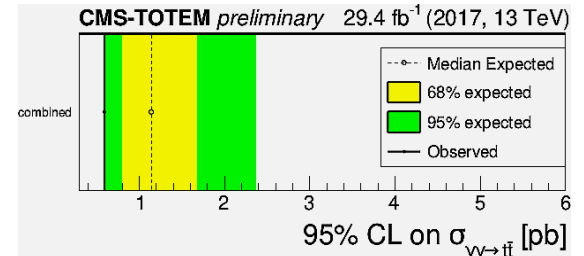
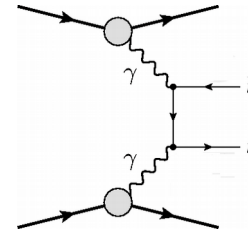
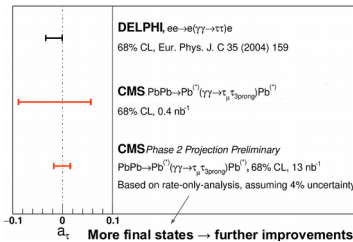
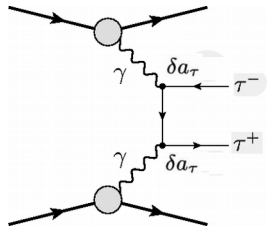
Summary

- Multiple studies of **color-singlet ($\gamma, |P\rangle$ exch. processes** in p-p, p-A, A-A colls. obtained via **exclusivity/rap.gaps and/or fwd. proton reconstruction**:

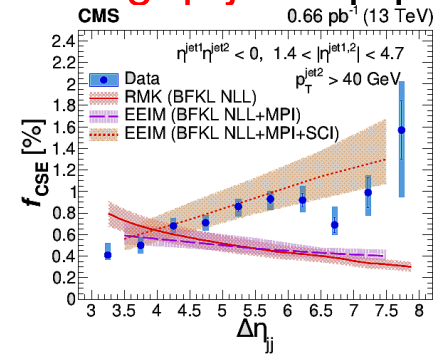
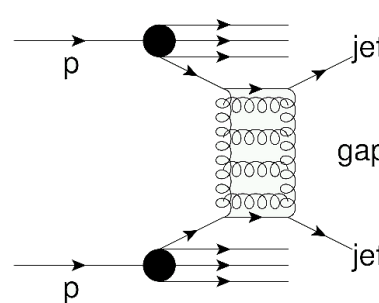
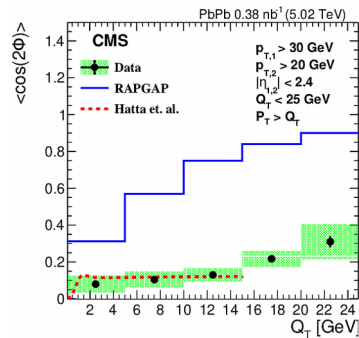
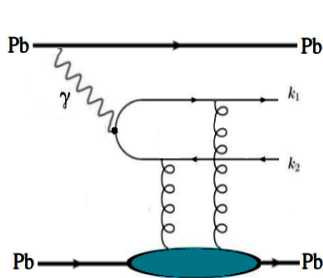
- Evidence for **LbL scatt.**, competitive limits: **ALPs, anomal. quartic γ couplings**



- Observation of $\gamma\gamma \rightarrow \tau\tau$, future $(g-2)_\tau$ constraints. Search for $\gamma\gamma \rightarrow t\bar{t}$



- Rap. gaps in p-A. **Exclusive dijet photoproduction in A-A. Jet-gap-jet in p-p:**



Back-up slides

$\gamma\gamma$ theoretical cross sections

■ Cross section:

$$\sigma(A B \xrightarrow{\gamma\gamma} A X B) = \int \frac{dE_{\gamma_1}}{E_{\gamma_1}} \frac{dE_{\gamma_2}}{E_{\gamma_2}} \frac{d^2 N_{\gamma_1/Z_1, \gamma_2/Z_2}^{(AB)}}{dE_{\gamma_1} dE_{\gamma_2}} \sigma_{\gamma\gamma \rightarrow X}(W_{\gamma\gamma})$$

■ Effective two-photon luminosity:

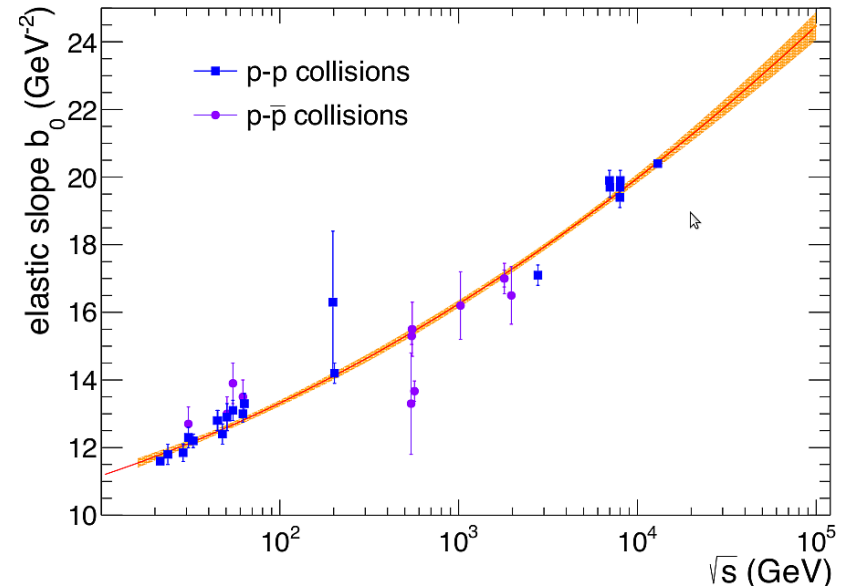
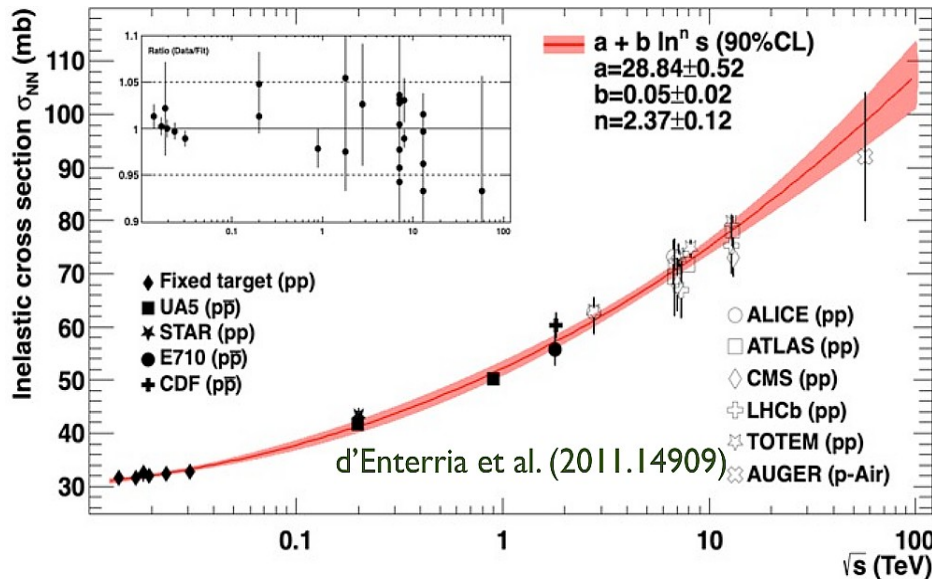
$$\frac{d^2 N_{\gamma_1/Z_1, \gamma_2/Z_2}^{(AB)}}{dE_{\gamma_1} dE_{\gamma_2}} = \int d^2 \mathbf{b}_1 d^2 \mathbf{b}_2 P_{\text{no inel}}(|\mathbf{b}_1 - \mathbf{b}_2|) N_{\gamma_1/Z_1}(E_{\gamma_1}, \mathbf{b}_1) N_{\gamma_2/Z_2}(E_{\gamma_2}, \mathbf{b}_2) \times \theta(b_1 - \epsilon R_A) \theta(b_2 - \epsilon R_B)$$

■ No hadronic/inelastic interaction probability density:

$$P_{\text{no inel}}(b) = \begin{cases} e^{-\sigma_{\text{inel}}^{\text{NN}} \cdot T_{AB}(b)}, \\ e^{-\sigma_{\text{inel}}^{\text{NN}} \cdot T_A(b)}, \\ |1 - \Gamma(s_{\text{NN}}, b)|^2, \text{ with } \Gamma(s_{\text{NN}}, b) \propto e^{-b^2/(2b_0)} \end{cases}$$

nucleus-nucleus
proton-nucleus
p-p

$T_{AB}(b)$ from
(parametrized)
Glauber MC



p,A form factors & γ fluxes: ChFF, EDFF

■ Electric dipole form factor (EDFF)

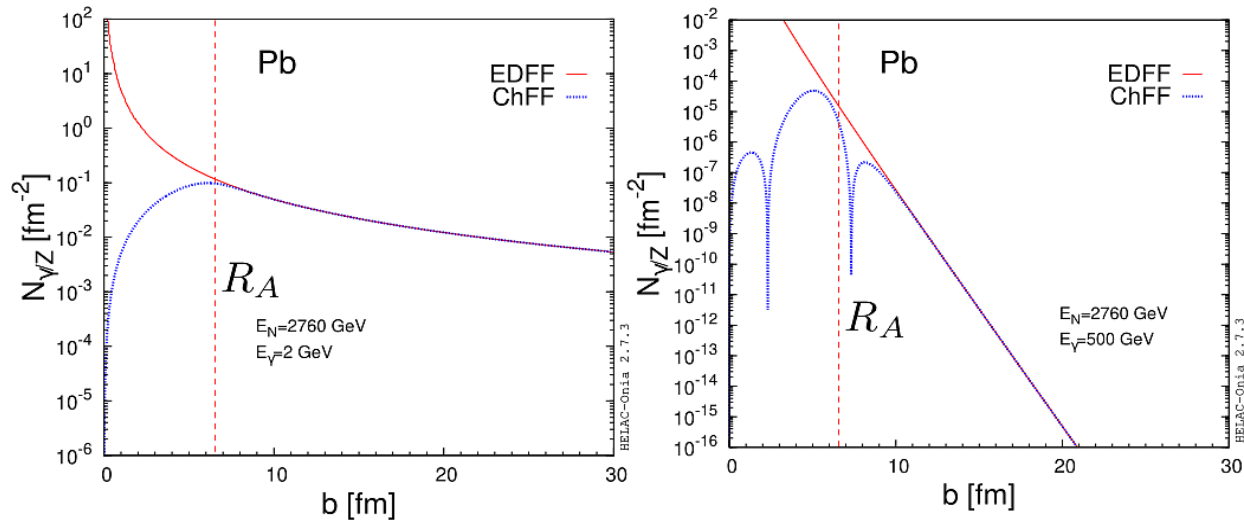
- Same as STARlight

$$N_{\gamma/Z}^{\text{EDFF}}(E_\gamma, b) = \frac{Z^2 \alpha}{\pi^2} \frac{\xi^2}{b^2} \left[K_1^2(\xi) + \frac{1}{\gamma_L^2} K_0^2(\xi) \right] \quad \xi = \frac{E_\gamma b}{\gamma_L}$$

■ Charge form factor (ChFF)

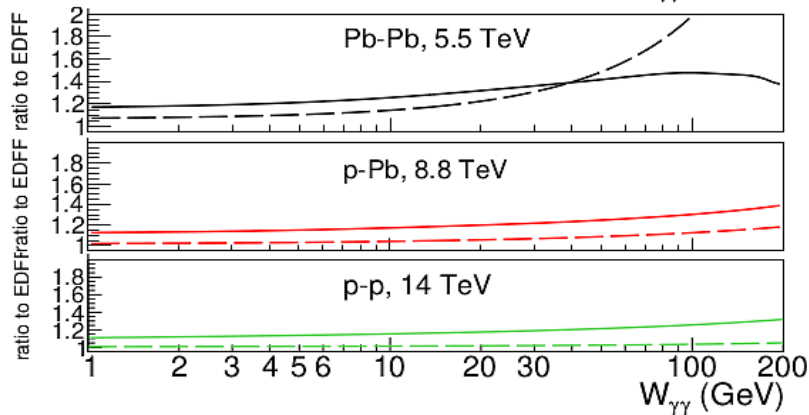
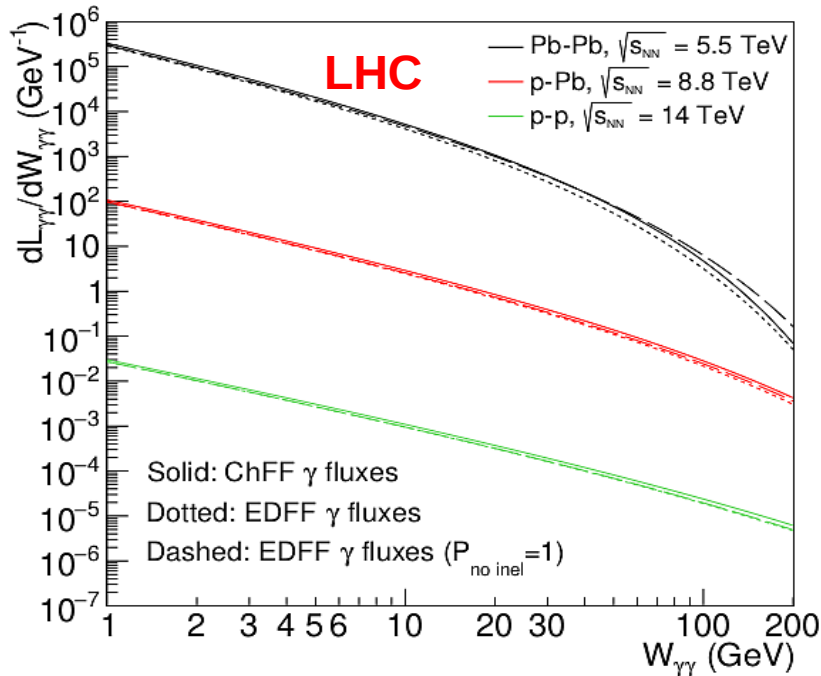
$$N_{\gamma/Z}^{\text{ChFF}}(E_\gamma, b) = \frac{Z^2 \alpha}{\pi^2} \left| \int_0^{+\infty} \frac{dk_\perp k_\perp^2}{k_\perp^2 + E_\gamma^2/\gamma_L^2} F_{\text{ch},A} \left(\sqrt{k_\perp^2 + E_\gamma^2/\gamma_L^2} \right) J_1(bk_\perp) \right|^2$$

$$F_{\text{ch},A}(q) = \int d^3\mathbf{r} e^{i\mathbf{q}\cdot\mathbf{r}} \rho_A(\mathbf{r}) = \frac{4\pi}{q} \int_0^{+\infty} dr \rho_A(r) r \sin(qr)$$



- Main difference comes from the $b < R_A$ regime
- EDFF photon number density is divergent at $b = 0$
 - Need a (arbitrary) cutoff when convoluting with ME

$\gamma\gamma$ effective luminosities



■ ChFF/EDFF γ -fluxes differences (pp–PbPb):
 Low masses: $\sim 7\text{--}15\%$. High masses: $20\text{--}50\%$

