



Weakly supervised methods for LHC analyses

Based on [JHEP08\(2022\)015](#) TF, Michael Krämer,
Maximilian Lipp and Alexander Mück

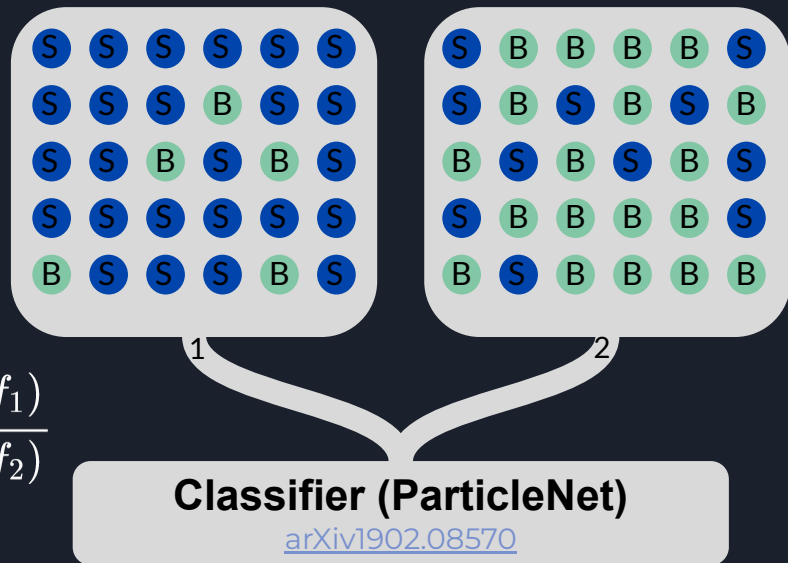
Thorben Finke (finke@physik.rwth-aachen.de)
RWTH Aachen University
Institute for Theoretical Particle Physics and
Cosmology

Classification without labels (CWoLa) [arXiv1708.02949](https://arxiv.org/abs/1708.02949)

- Two samples M_1 and M_2 with signal fractions f_1 and f_2 with $f_1 > f_2$
- Optimal classifier for M_1 and M_2 also optimal for signal (S) and background (B)

$$L_{M_1/M_2} = \frac{p_{M_1}}{p_{M_2}} = \frac{f_1 p_S + (1 - f_1) p_B}{f_2 p_S + (1 - f_2) p_B} = \frac{f_1 L_{S/B} + (1 - f_1)}{f_2 L_{S/B} + (1 - f_2)}$$

$$\partial_{L_{S/B}} L_{M_1/M_2} = \frac{(f_1 - f_2)}{(f_2 L_{S/B} + 1 - f_2)^2} > 0$$





The ATLAS mono-jet search [arXiv2102.10874](https://arxiv.org/abs/2102.10874)

Selection cuts:

- $E_T^{\text{miss}} > 200 \text{ GeV}$
- leading AK4 jet with $p_T > 150 \text{ GeV}$ and $|\eta| < 2.4$
- < 4 additional jets with $p_T > 30 \text{ GeV}$ and $|\eta| < 2.8$
- $\Delta\phi(\mathbf{p}_T^{\text{jet}}, \mathbf{E}_T^{\text{miss}}) > 0.4$
- lepton veto

SM backgrounds:

- Z+jet production with invisibly decaying Z (61 %)
- W+jet production with leptonically decaying W and non-identification of the charged lepton (31 %)
- Top quark production (3.5 %)
- Di-boson production (2 %)

Resulting in $\mathcal{O}(10^6)$ background events and a model agnostic limit of 40k additional events at 95 % CL

What is our data?

- Simulation using Pythia and Delphes
- Low level inputs
 - 40 leading constituents of leading p_T fat jet ($R=0.8$)
 - 7 features per constituent
- Jet of $Z+j$ indistinguishable for $Z \rightarrow ll$ (CR) and $Z \rightarrow \nu\nu$ (SR) \Rightarrow same background simulation for $M1$ and $M2$

SR

- Background of signal region
- Varying fraction $f1$ of semi-visible jets

1

CR

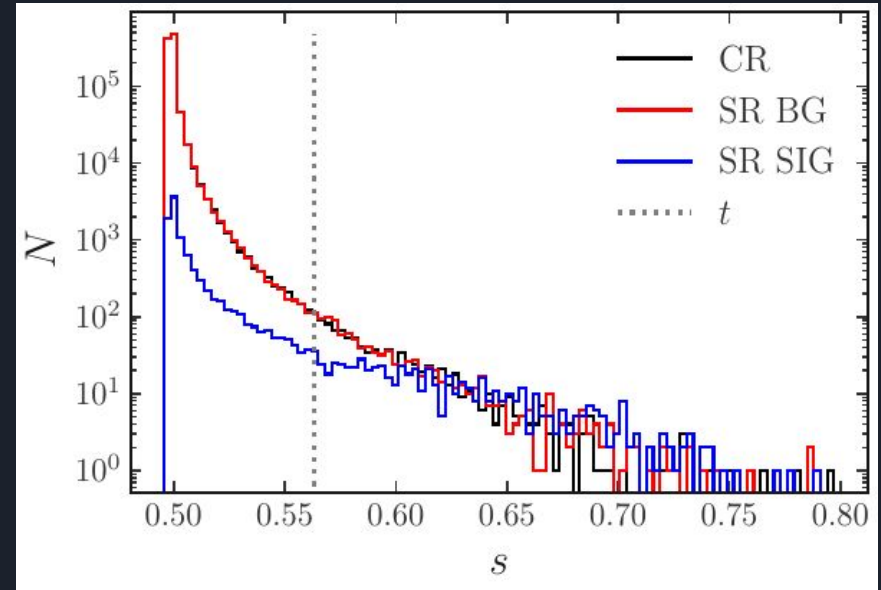
- Background of signal region
- No signal ($f2=0$)

2

Classifier (ParticleNet) [arXiv1902.08570](https://arxiv.org/abs/1902.08570)

Classifier output ($f_1 = 1\%$; only $Z+j$ background)

- Peak at ~ 0.5
 - Expected from indistinguishable background
- Background in signal and control region follow same distribution
- Choose a threshold based on control region
 - Set to keep 0.1% (1000 events)
- Beyond threshold significant enhancement of S/B






Results using only main background (Z+jet)

- CWoLa does not introduce fake signal
- High sensitivity beyond current ATLAS limits (<40k events at 95 % CL)

f_1	n^{SR}	n^{SIG}	stat. sign.
0 %	1048	0	1.07
0.6 %	1306	247	6.84
1 %	1666	625	14.89

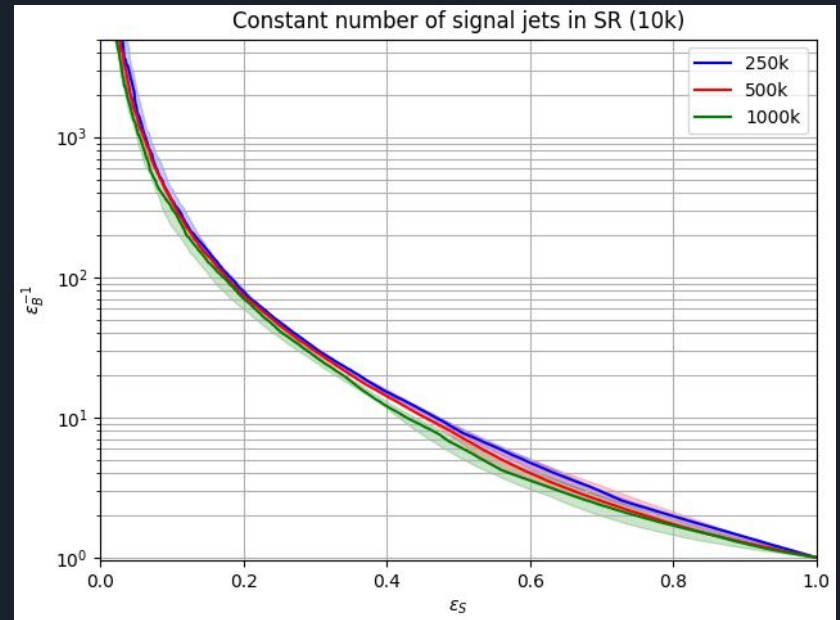
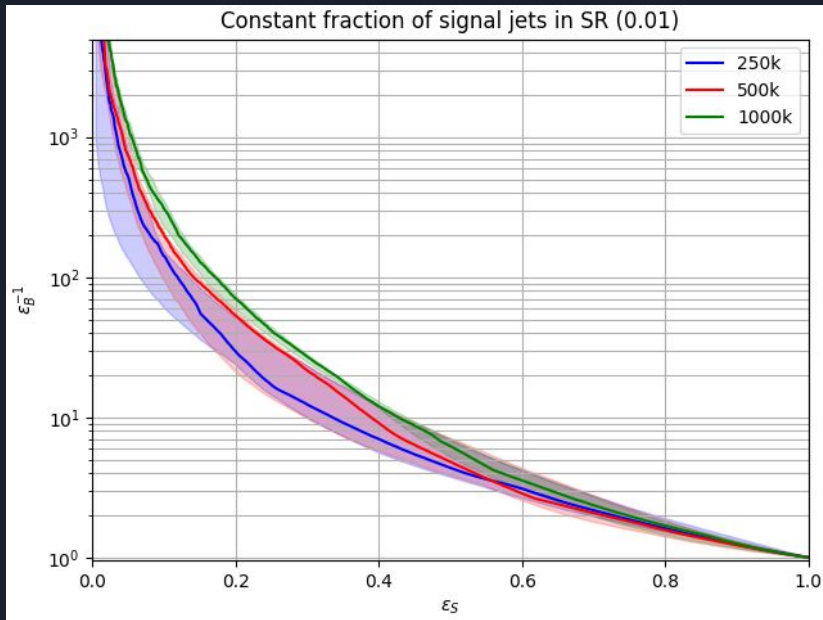


Results using also additional backgrounds

r_{tt}^{CR}	r_{VV}^{CR}	n^{SR}	n^{DM}
0 %	0 %	4383	223
2.8 %	1.6 %	1465	456
3.5 %	2.0 %	1686	633

- Added 3.5 % top and 2 % di-boson background to 1 % signal in signal region
- Ignoring additional backgrounds in control region leads to wrong signal
- Matching the background perfectly recovers the previous performance
- Not matching the background perfectly decreases performance, but does not spoil it completely \Rightarrow Control region does not need to be perfect

How does the method scale with region size and signal fraction?



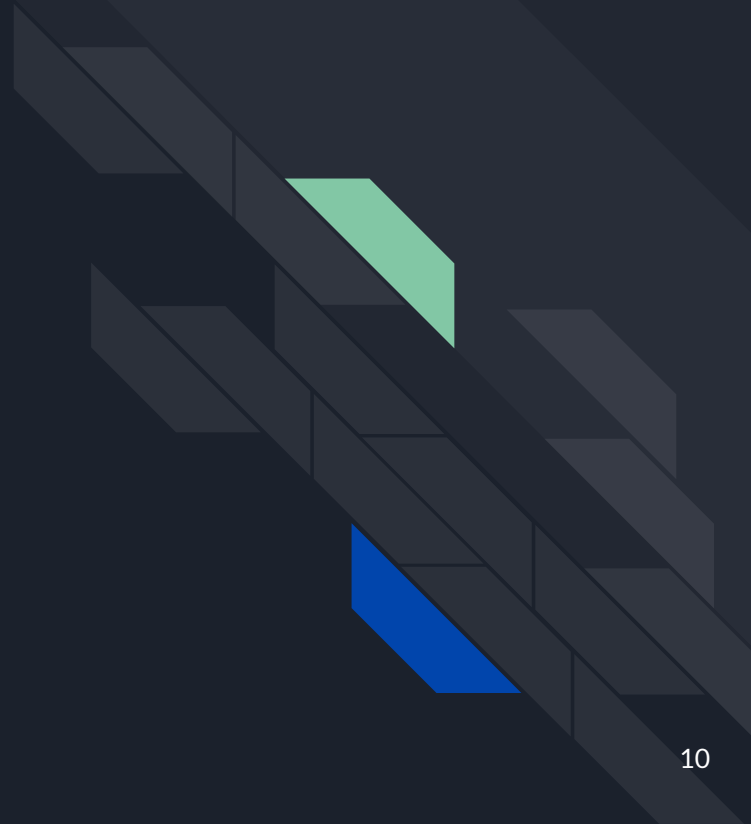
- The total number of signal events sets the performance
 - Statistics needed in high dimensions for the signal to stand out of noise



The LHCO R&D dataset_{LHCO} and CATHODE_{arXiv2109.00546}

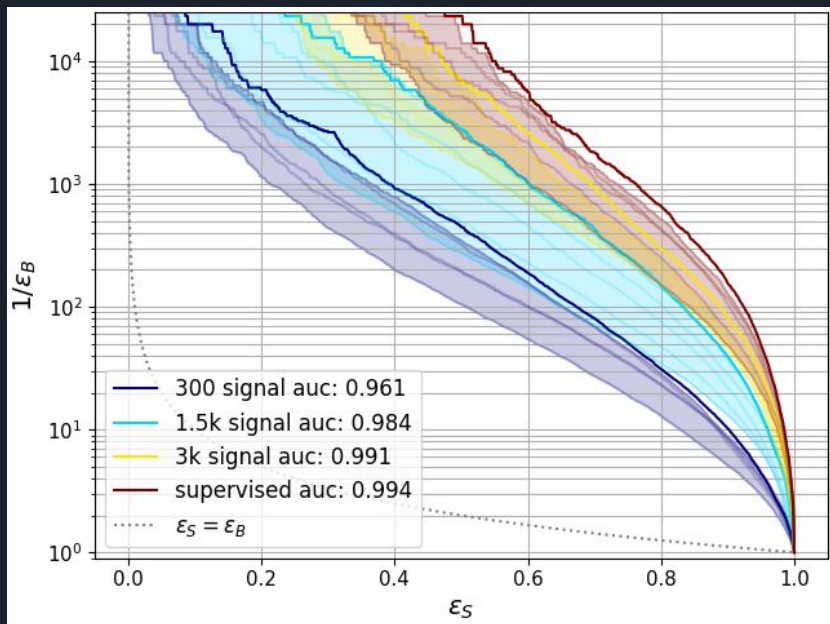
- The LHCO dataset consists of high p_T di-jet events with a resonant signal
 - Modified classifier setup with two EdgeConv heads processing the leading two jets
- CATHODE gives an alternative way to define $M1$ and $M2$
 - Use resonant feature (m_{jj}) to define SR and CR (SB)
 - Use conditional density estimation and sample background in SR by interpolation
- Idealized setup: perfect background samples in SR \Leftrightarrow our setup before

Work in progress

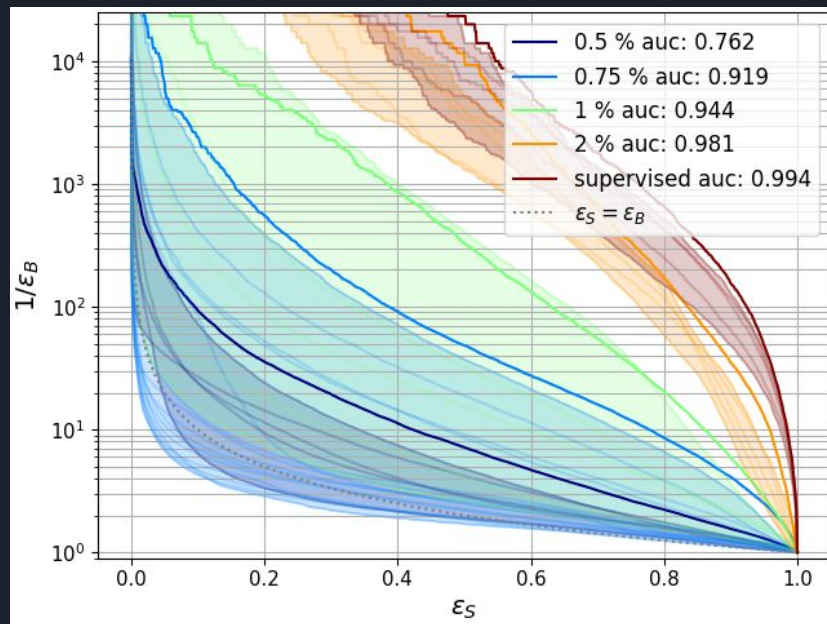


Imbalanced supervised vs. weakly supervised training

Supervised varying #signals

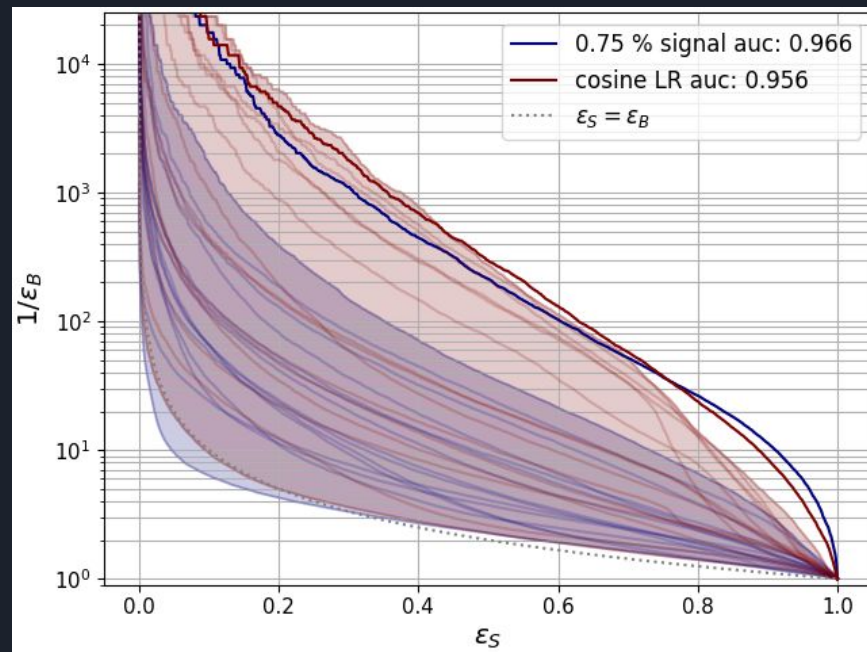


Weakly supervised varying f1



Ensembles for boosting performance

- The mean prediction of 15 classifiers outperforms individual classifiers significantly
- If a strong classifier exists, weak classifiers do not harm performance much
- Training can be optimized to need fewer networks

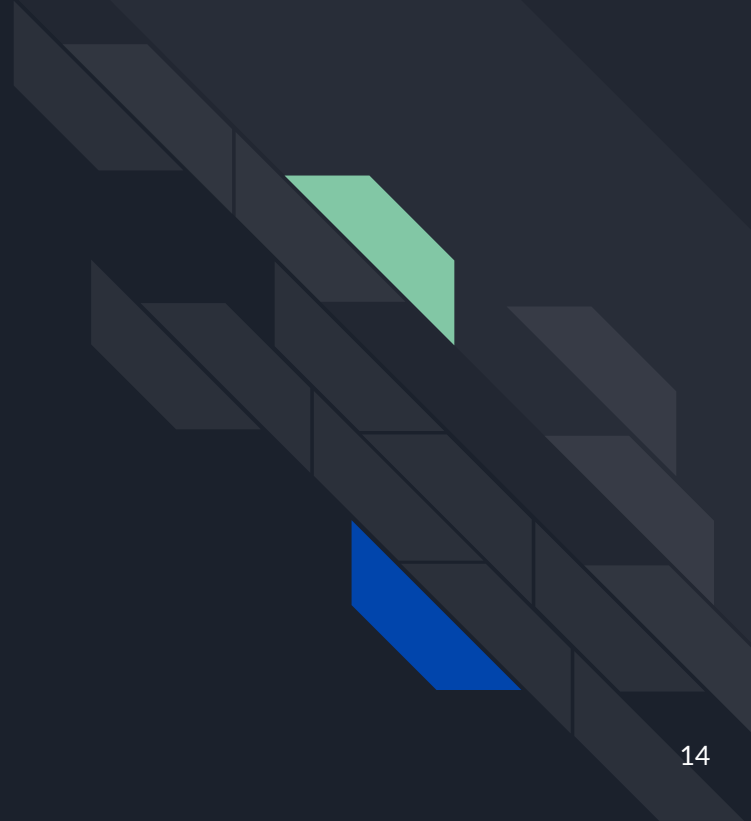




Conclusion

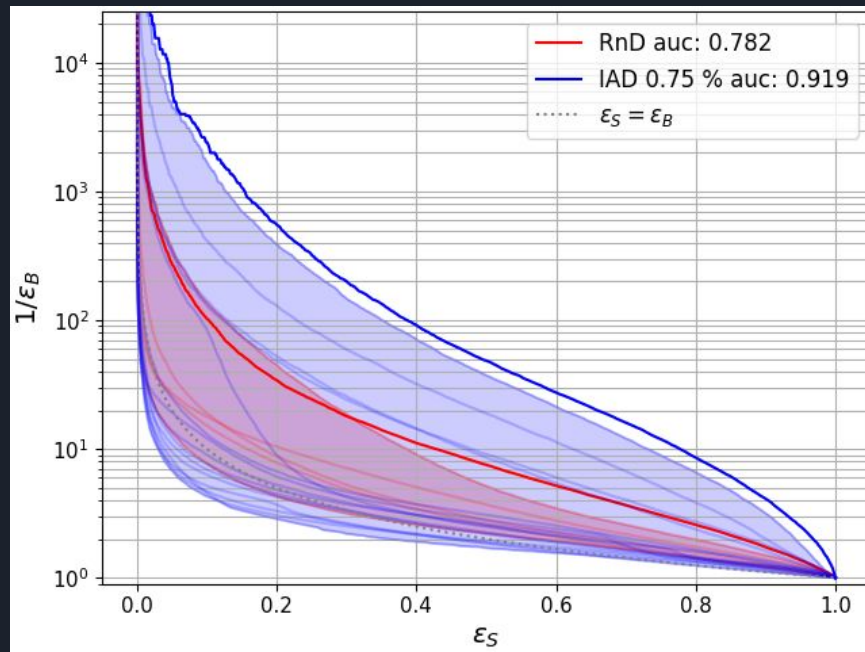
- The CWoLa method allows for enhanced sensitivity without using truth level information
- Sensitive to any difference in control and signal region
 - Can be used to check validity of the control region
- The method will benefit from more statistics as the total number of signal events is more crucial than the fraction
- Presented findings can be transferred to the idealized anomaly detection setup in CATHODE
 - Further studies within that framework are currently ongoing, especially the classifier training and improvements with ensembles
 - Adding symmetries to enhance training: LorentzNet or PELICAN?

Backup



CATHODE R&D setup

- R&D setup:
 - SR: 120k events, f1=0.64% (768 signal events)
 - CR: 300k events
- IAD 0.75:
 - SR: 300k events, f1=0.75 (2250 signal events)
 - CR: 300k events



Modified signal to stand out

- Modify signal such that $\mathbf{p}_7 = \mathbf{p}_2 + \mathbf{p}_5$
 - Leads to signal being outside of background distribution
- If this correlation is picked up, performance is better than supervised regular signal
⇒ Signal needs to be significantly different from background, either by number or by structure

