



ML4Jets 2022, 1-4 Nov

Infrared and collinear safe graph neural networks

Partha Konar, *Vishal Ngairangbam*, Michael Spannowsky

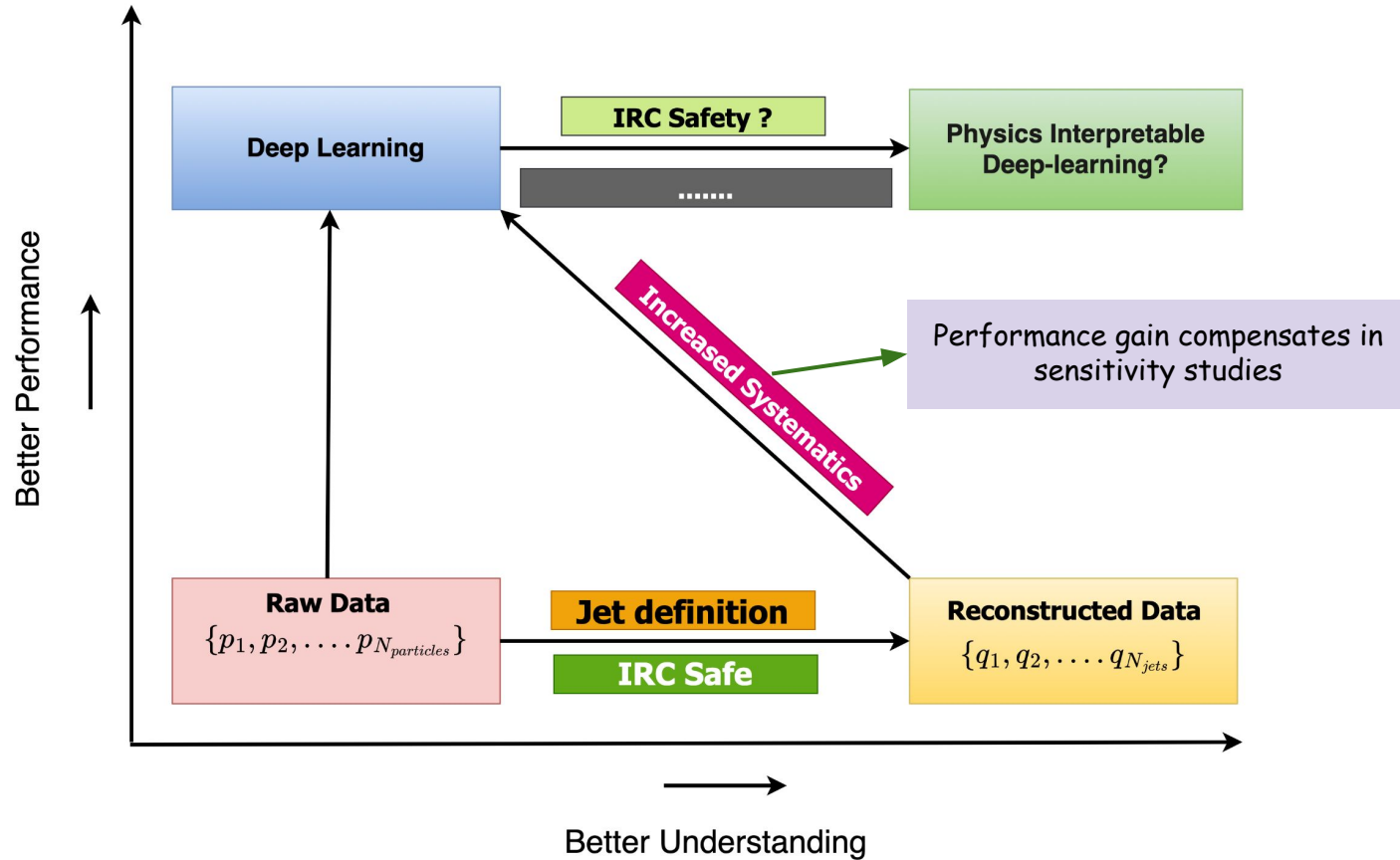
[arxiv: 2109.14636](https://arxiv.org/abs/2109.14636) [[JHEP 02 \(2022\) 060](https://arxiv.org/abs/2109.14636)]



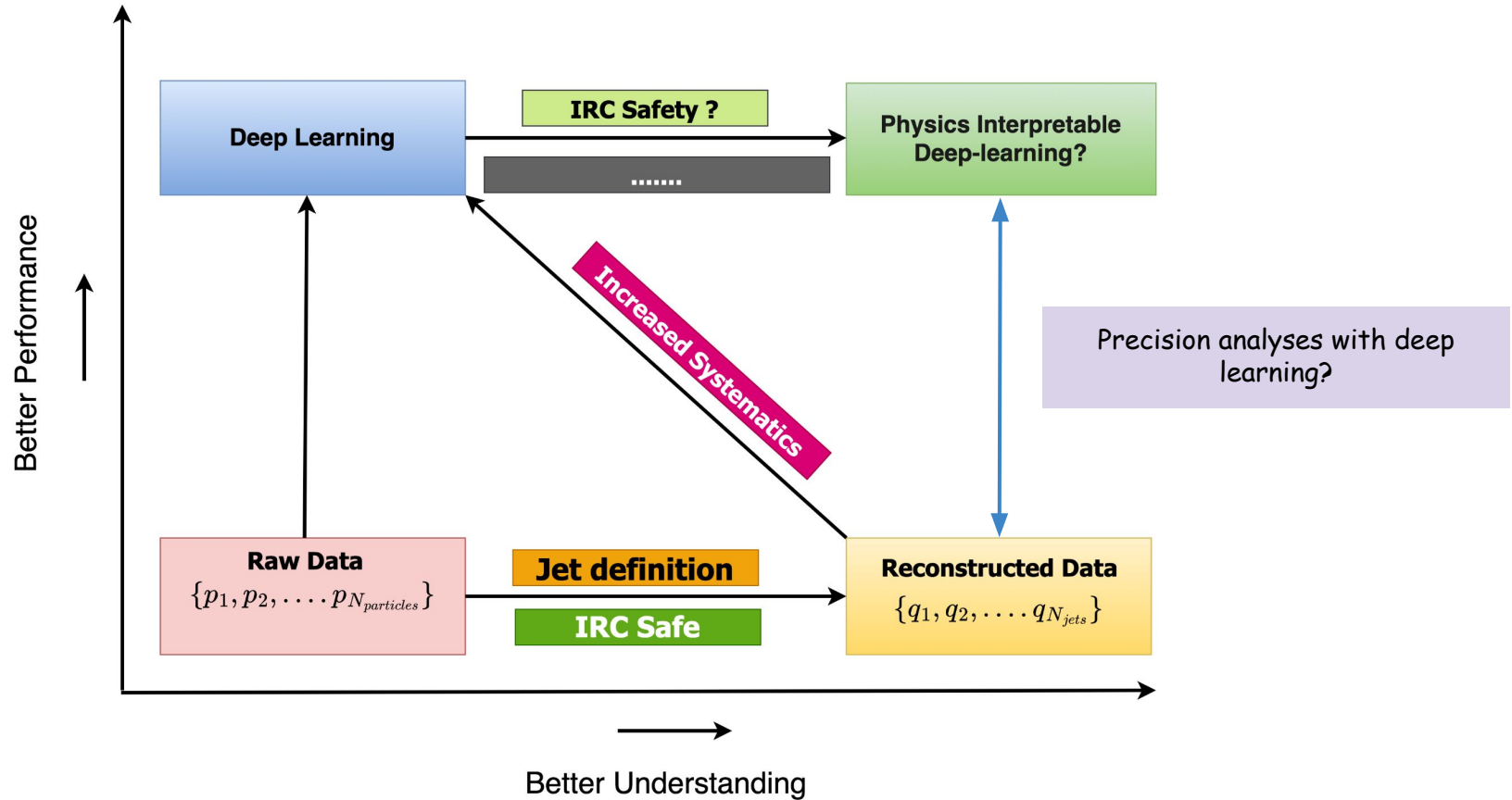
Outline

- Introduction
 - Why IRC safety in deep-learning?
 - Point clouds, graphs and message passing
- Energy-Weighted Message-passing (EPMN)
 - Building an IRC safe graph
 - IRC safe message-passing
- Results and discussions

Why Infra-red and collinear safety?



Why Infra-red and collinear safety?



Infra-red and Collinear (IRC) Safe observables

For an observable \mathcal{O}_n defined on n particles.

$$\mathcal{O}_{n+1}(p_a, \dots, p_b, \mathbf{p}_r, \mathbf{p}_s, p_c, \dots) \rightarrow \mathcal{O}_n(p_a, \dots, p_b, \mathbf{p}_q, p_c, \dots)$$

In the infra-red ($z_r \rightarrow 0$ or $z_s \rightarrow 0$) or collinear limits ($\Delta_{rs} \rightarrow 0$)

For a splitting: $q \rightarrow r + s$

$$p_q = p_r + p_s$$

$$p_q = (z_q, \hat{p}_q)$$

$$p_r = (z_r, \hat{p}_r)$$

$$p_s = (z_s, \hat{p}_s)$$

Calculable in pQCD!!

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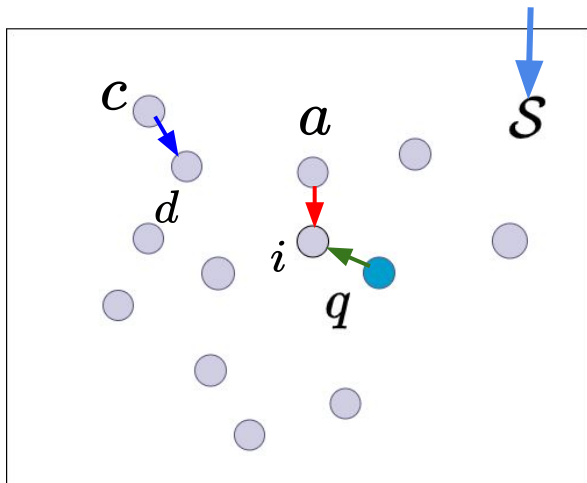
=> concentrates on information of the hard interaction

=> relatively insensitive to low-energy non-perturbative effects

Graphs: Compact efficient data structures

$$\mathcal{S} = \{a, b, i, q, \dots\}$$

Node Set: all particles within a jet



$$\mathcal{E} = \{(i, a), (i, q), (d, c), \dots\}$$

Edge set

A graph $G(\mathcal{S}, \mathcal{E})$ defined on a set \mathcal{S} , with edge set \mathcal{E}

Node-features: $\{\mathbf{h}_a, \mathbf{h}_b, \mathbf{h}_c, \dots\}$

Four-momenta, charge, etc,

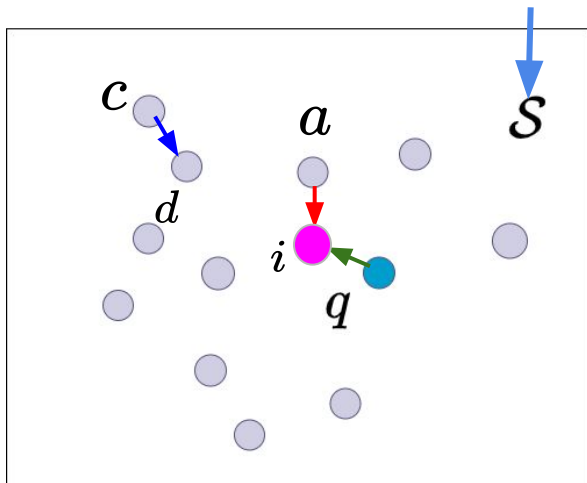
Edge-features: $\{\mathbf{e}_{ia}, \mathbf{e}_{iq}, \mathbf{e}_{dc}, \dots\}$

$m_{ia}, \Delta R_{ia}$ etc,

Message-passing neural networks

$$\mathcal{S} = \{a, b, i, q, \dots\}$$

Node Set: all particles within a jet



$$\mathcal{E} = \{(i, a), (i, q), (d, c), \dots\}$$

Edge set

Message passing operation

Message-passing (edge-centric)

$$\Phi(\mathbf{h}_i, \mathbf{h}_q)$$

Node readout (node-centric)

$$\mathbf{H}_i = \sum_{j \in \mathcal{N}[i]} \Phi(\mathbf{h}_i, \mathbf{h}_j)$$

$\mathcal{N}[i]$ = Set of all nodes with incoming connections to i

Graph-readout (full graph)

$$\mathbf{g} = \sum_{j \in \mathcal{S}} \mathbf{H}_j$$

To Dense network

Deep-sets vs Message-passing neural networks(MPNN)

Deep-sets per-particle map: $\Phi(p_i)$	MPNNs Message-function: $\Phi(p_i, p_j)$
Cannot extract inter-particle correlations	Can extract inter-particle correlations
Only single particle information	Graph construction algorithm controls information extraction at first layer(via node-readout)
Iterative application has no additional complexity on feature extraction, except functional composition $\Phi'(\Phi(p_i))$	Gradual increase in information in node-features, after each iteration
No such control	Number of iterations control the scope of information contained in the final node-feature

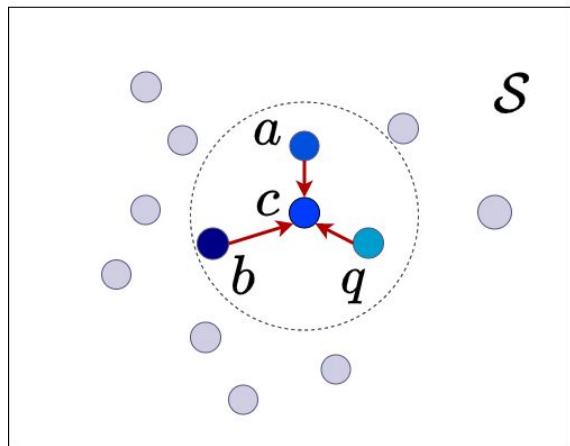
Energy Flow Networks : IRC safe deep-sets framework

[JHEP 01 \(2019\) 121, Komiske, Metodiev, Thaler](#)

IRC safe Graph Construction

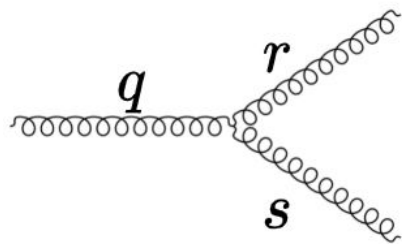
Jet-graph: k -nearest neighbour

(η, ϕ) -plane

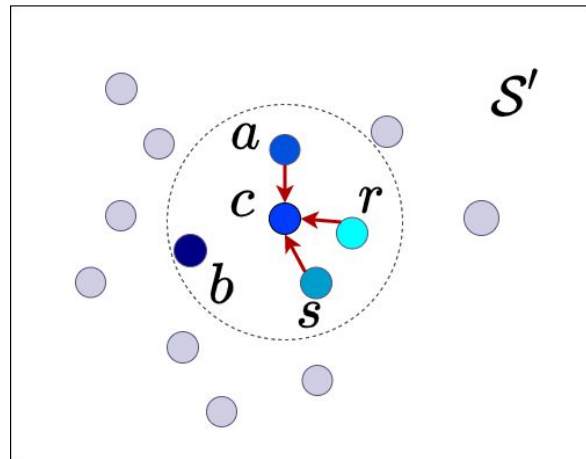


$$\mathcal{N}(c) = \{a, b, q\}$$

k -NN graph structurally IRC unsafe!



$$k = 3$$



$$\mathcal{N}'(c) = \{a, r, s\}$$

$$\mathbf{h}_i^{(l+1)} = \square_{j \in \mathcal{N}(i)}^{local} \mathbf{m}_j \quad \boxed{\lim_{z_r \rightarrow 0} \mathbf{h}'^{(l+1)}_c \neq \mathbf{h}^{(l+1)}_c}$$

Similar in the collinear limit

Node readout and IRC safety

For a splitting: $q \rightarrow r + s$

$$p_q = p_r + p_s$$

$$p_q = (z_q, \hat{p}_q)$$

$$p_r = (z_r, \hat{p}_r)$$

$$p_s = (z_s, \hat{p}_s)$$

In the infra-red ($z_r \rightarrow 0$ or $z_s \rightarrow 0$) or collinear limits ($\Delta_{rs} \rightarrow 0$)

min / max

= Selects a single node in the neighbourhood

\Rightarrow C unsafe when the particular node splits

mean

= Depends explicitly on the number of nodes in $\mathcal{N}(i)$

\Rightarrow Arbitrary number of emissions in the enhanced regions (soft or collinear)

sum

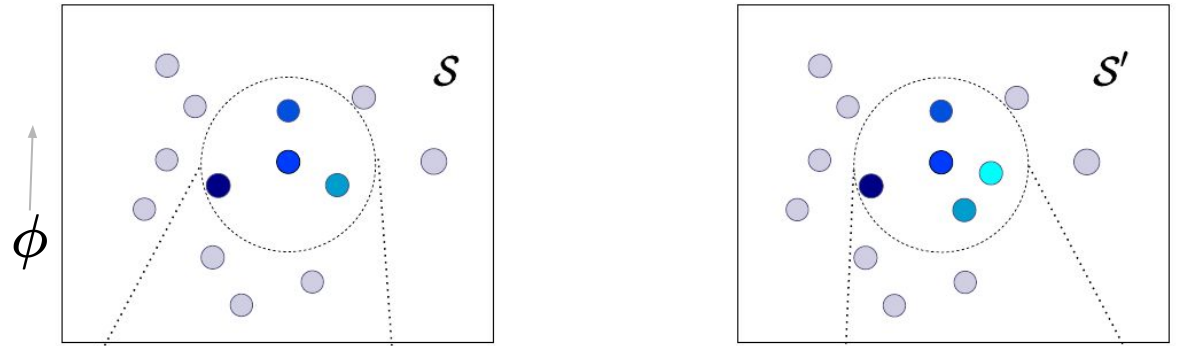
= (semi) inclusive with no explicit dependence on the number of nodes in $\mathcal{N}(i)$

semi??

$i \notin \mathcal{N}(i)$ [open neighbourhood]

$i \in \mathcal{N}[i]$ [closed neighbourhood]

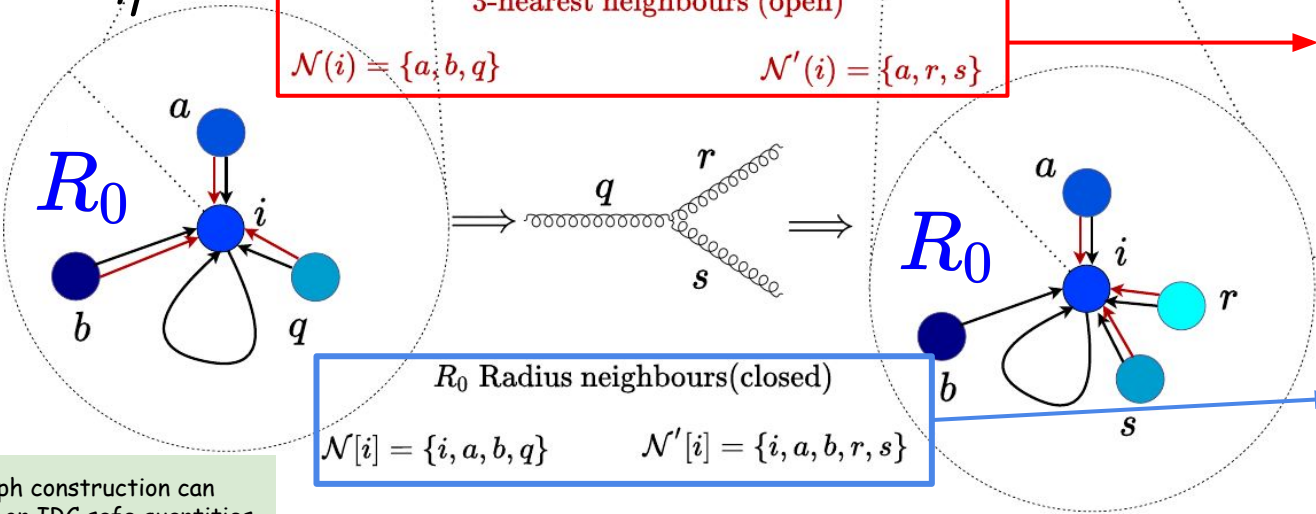
IRC safe jet-graphs



- (1) Total energy conserved
- (2) Both r, s present in $\mathcal{N}'[i]$ if mother in $\mathcal{N}[i]$

3-nearest neighbours (open)
 $\mathcal{N}(i) = \{a, b, q\}$ $\mathcal{N}'(i) = \{a, r, s\}$

Popular choice (k-NN)
IRC unsafe!!!



R_0 Radius neighbours(closed)
 $\mathcal{N}[i] = \{i, a, b, q\}$ $\mathcal{N}'[i] = \{i, a, b, r, s\}$

IRC safe prescription!

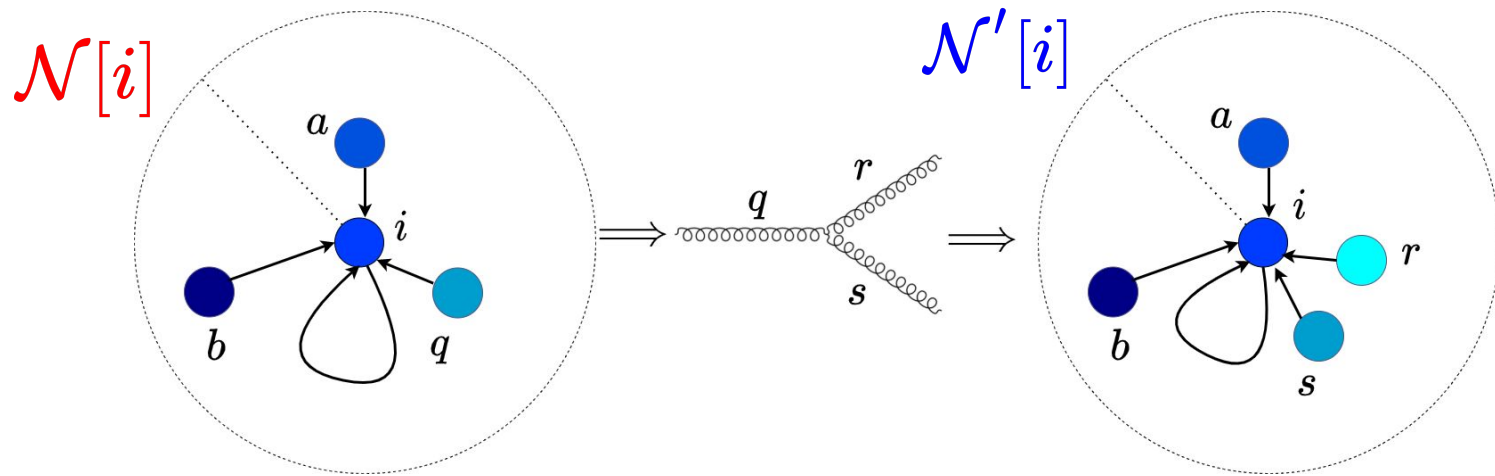
Graph construction can depend on IRC safe quantities defined on and within the jet



Energy-weighted Message Passing Network



Energy-weighted Message-passing Network

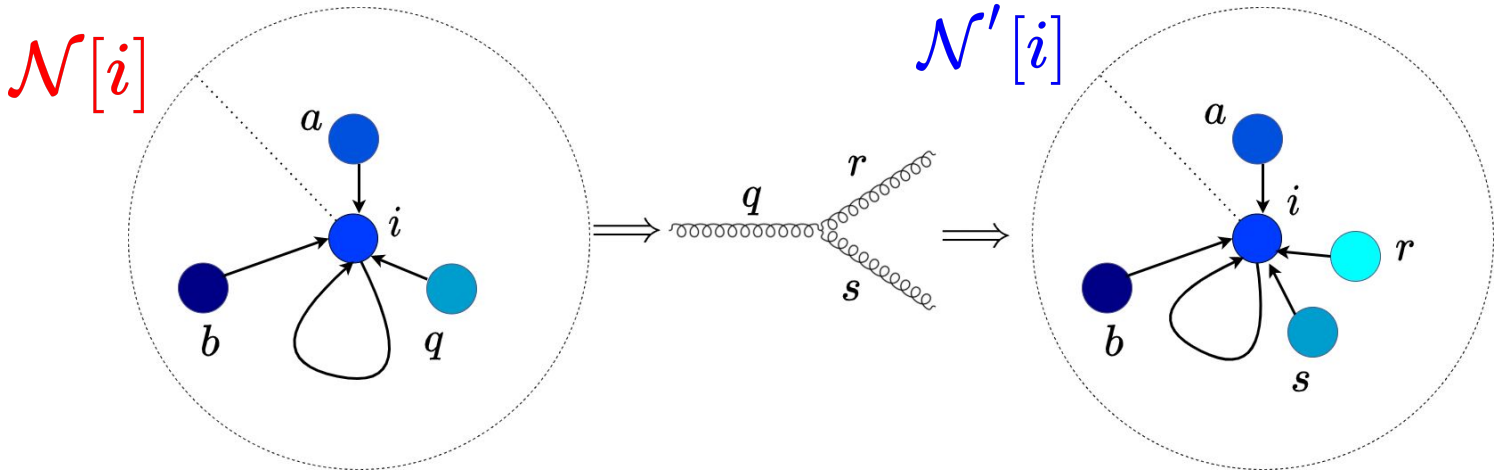


Message-passing operation

$$\mathbf{H}_i = \sum_{j \in \mathcal{N}[i]} \omega_j^{(\mathcal{N}[i])} \hat{\Phi}(\hat{p}_i, \hat{p}_j)$$

$$\omega_j^{(\mathcal{N}[i])} = \frac{p_T^j}{\sum_{k \in \mathcal{N}[i]} p_T^k}$$

Energy-weighted Message-passing Network



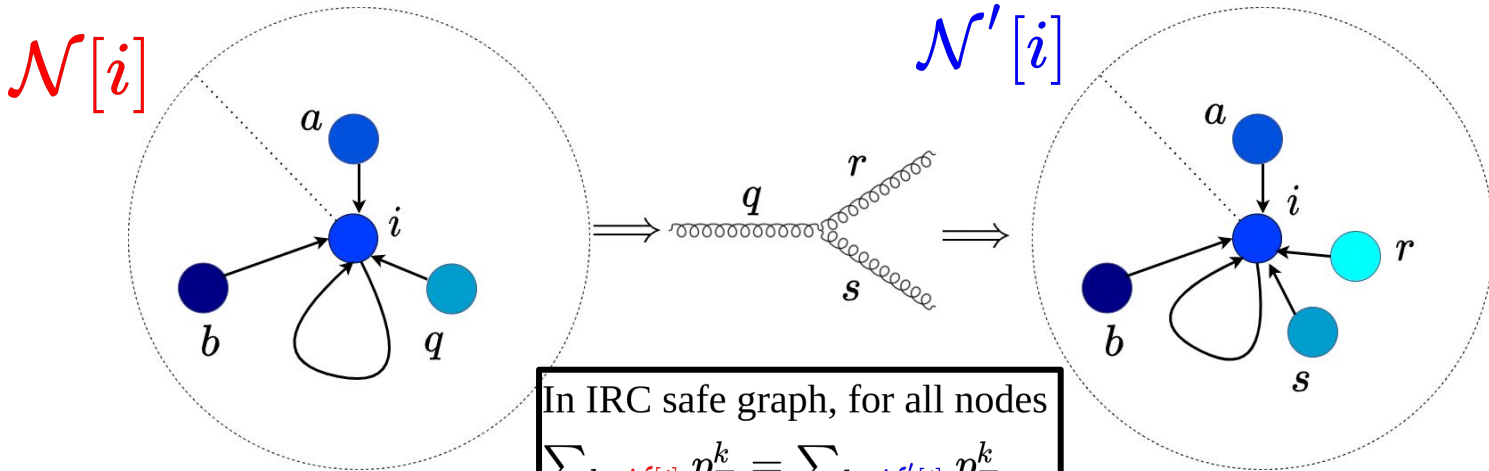
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IR Safety: $z_j \rightarrow 0 \Rightarrow \omega_j^{(\mathcal{K})} \rightarrow 0$ for any \mathcal{K}

Energy-weighted Message-passing Network



In IRC safe graph, for all nodes

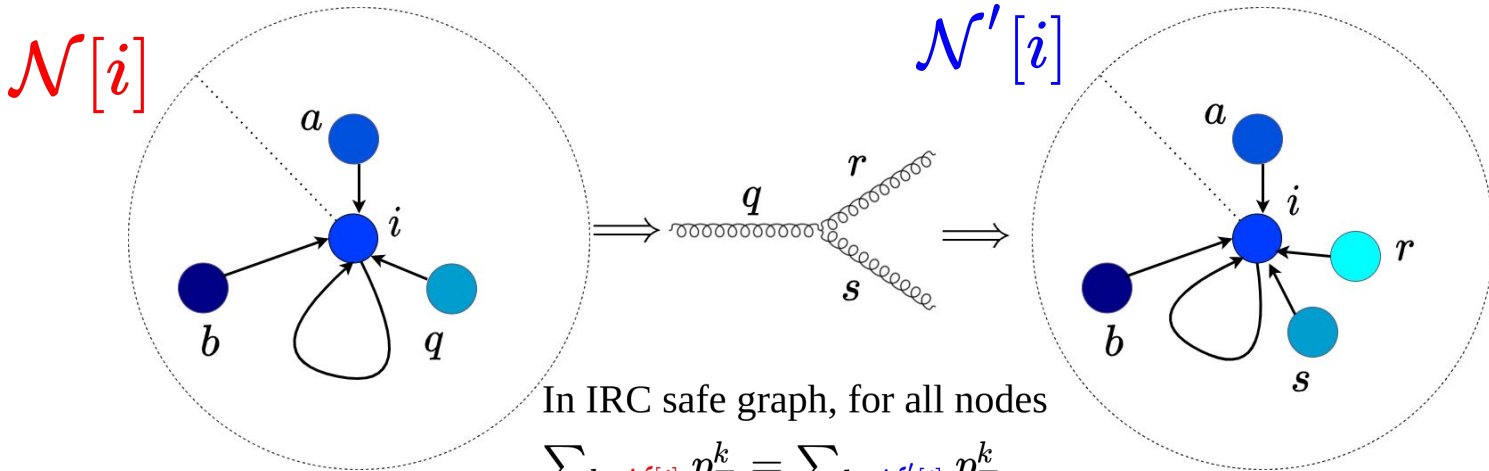
$$\sum_{k \in \mathcal{N}[i]} p_T^k = \sum_{k \in \mathcal{N}'[i]} p_T^k$$

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Energy-weighted Message-passing Network



In IRC safe graph, for all nodes

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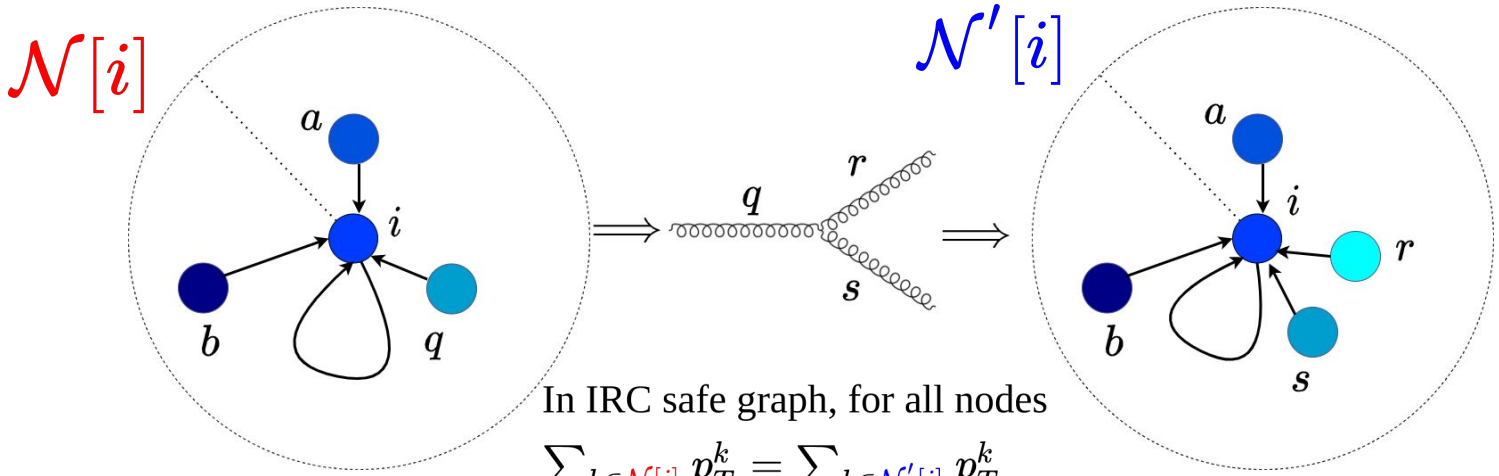
Message-passing operation

$$p_T^q = p_T^r + p_T^s \Rightarrow \omega_q^{(\mathcal{N}[i])} = \omega_r^{(\mathcal{N}'[i])} + \omega_s^{(\mathcal{N}'[i])}$$

$$\mathbf{H}_i = \sum_{j \in \mathcal{N}[i]} \omega_j^{(\mathcal{N}[i])} \hat{\Phi}(\hat{p}_i, \hat{p}_j)$$

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Energy-weighted Message-passing Network



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$$\sum_{k \in \mathcal{N}[i]} p_T^k = \sum_{k \in \mathcal{N}'[i]} p_T^k$$

Message-passing operation

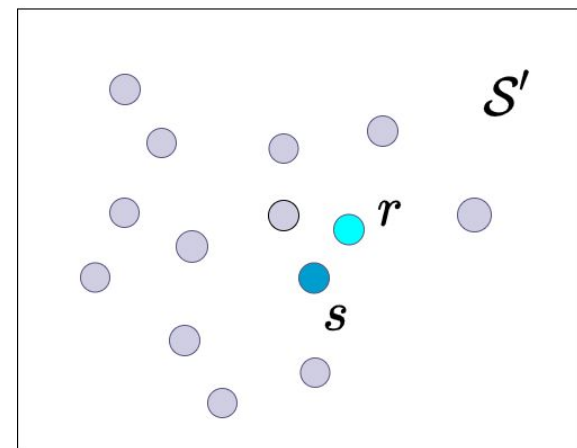
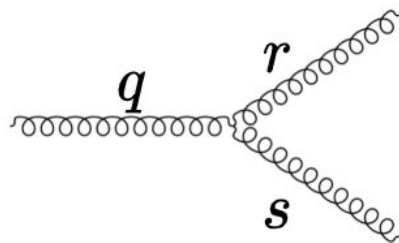
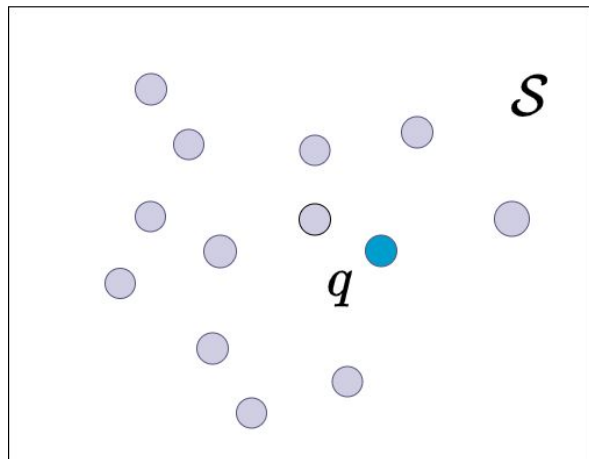
$$\mathbf{H}_i = \sum_{j \in \mathcal{N}[i]} \omega_j^{(\mathcal{N}[i])} \hat{\Phi}(\hat{p}_i, \hat{p}_j)$$

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$$p_T^q = p_T^r + p_T^s \Rightarrow \omega_q^{(\mathcal{N}[i])} = \omega_r^{(\mathcal{N}'[i])} + \omega_s^{(\mathcal{N}'[i])}$$

C Safety: $\hat{p}_q = \hat{p}_r = \hat{p}_s$
 $\omega^{(\mathcal{N}[i])} \hat{\Phi}(\hat{p}_i, \hat{p}_q) = \omega^{(\mathcal{N}'[i])} \hat{\Phi}(\hat{p}_i, \hat{p}_r) + \omega^{(\mathcal{N}'[i])} \hat{\Phi}(\hat{p}_i, \hat{p}_s)$

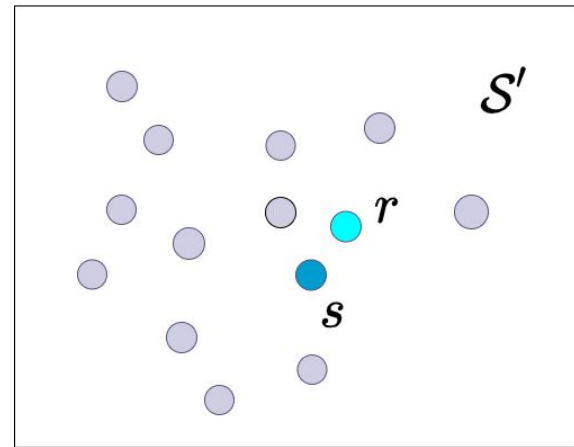
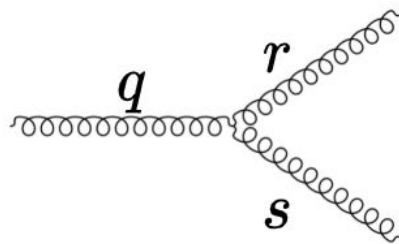
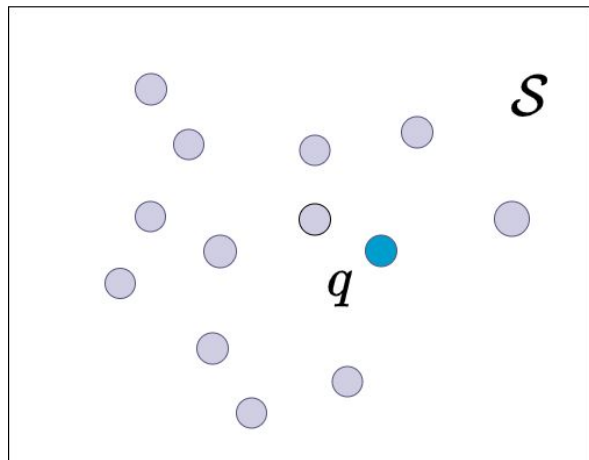
EMPN: Iterative application



C limit:

$$\mathbf{h}_q^{(1)} = \mathbf{h}_r^{(1)} = \mathbf{h}_s^{(1)}$$

EMPN: Iterative application

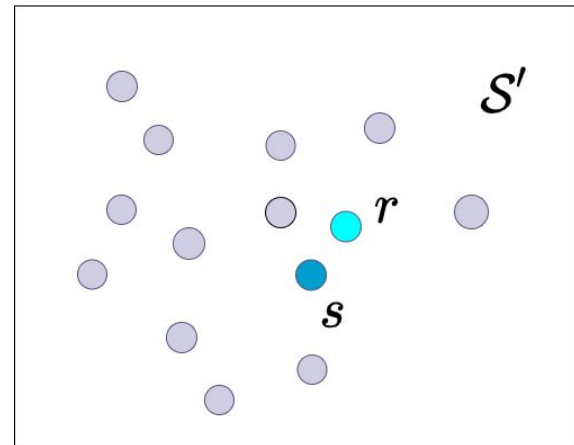
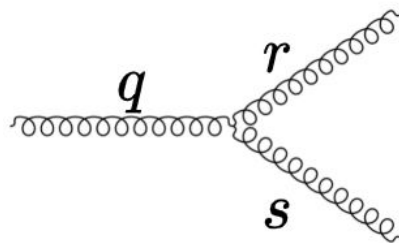
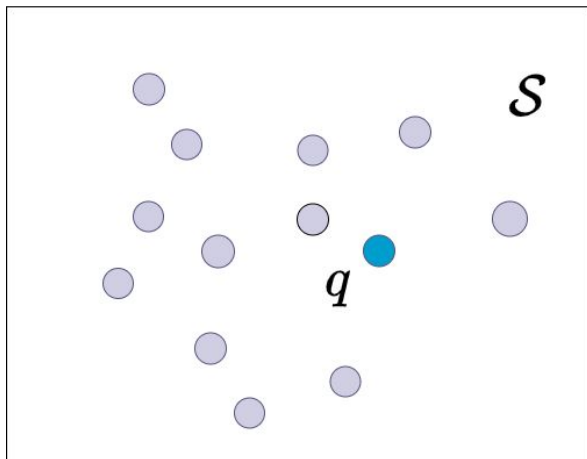


C limit:

$$\mathbf{h}_q^{(1)} = \mathbf{h}_r^{(1)} = \mathbf{h}_s^{(1)}$$

$$\mathbf{h}_i^{(2)} = \sum_{j \in \mathcal{N}[i]} \omega_j^{(\mathcal{N}[i])} \hat{\Phi}^{(1)}(\mathbf{h}_i^{(1)}, \mathbf{h}_j^{(1)})$$

EMPN: Iterative application



Iterative Application is possible!

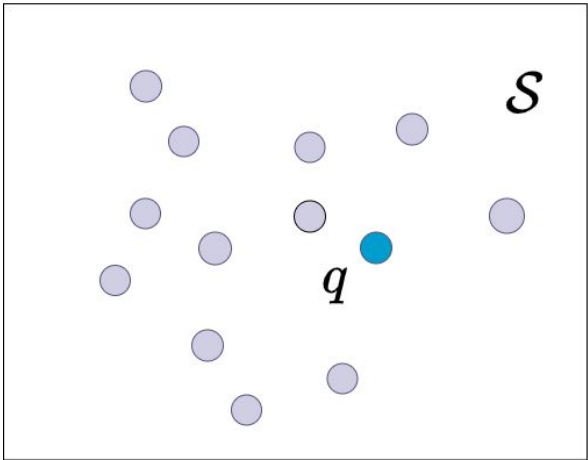
C limit:

$$\mathbf{h}_q^{(l)} = \mathbf{h}_r^{(l)} = \mathbf{h}_s^{(l)}$$

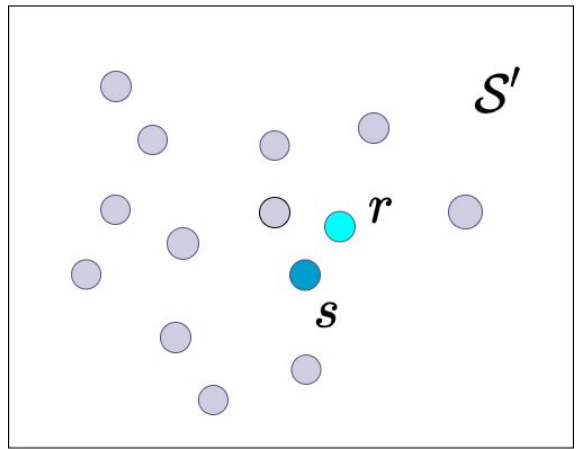
$$\mathbf{h}_i^{(l+1)} = \sum_{j \in \mathcal{N}[i]} \omega_j^{(\mathcal{N}[i])} \hat{\Phi}^{(l)}(\mathbf{h}_i^{(l)}, \mathbf{h}_j^{(l)})$$

$$\mathbf{h}_i^{(0)} = \hat{p}_i$$

EMPN: Iterative application



Can be radius neighbourhood in the space of $\mathbf{h}_i^{(l)}$
 \Rightarrow graph can be dynamic!



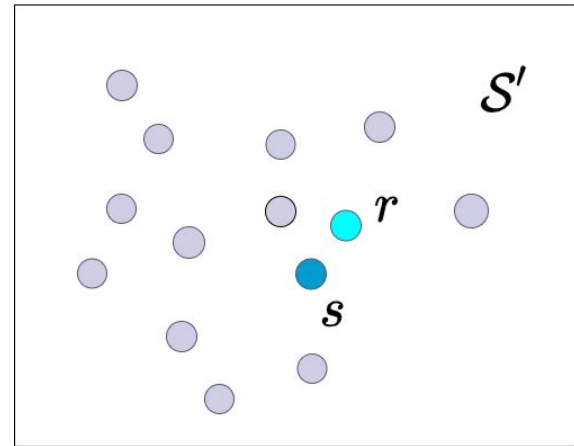
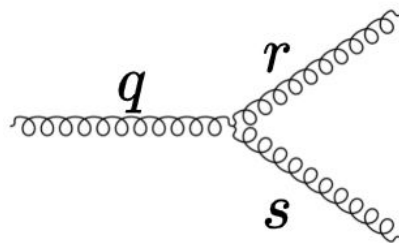
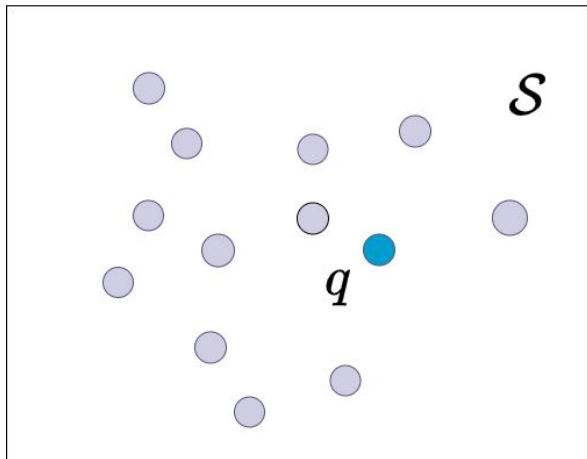
C limit:

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EMPN: Graph-readout



C limit:

$$\mathbf{h}_q^{(L)} = \mathbf{h}_r^{(L)} = \mathbf{h}_s^{(L)}$$

$L =$ num. iterations

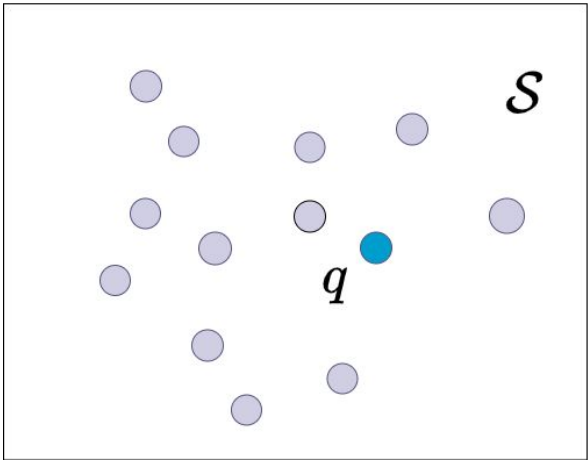
Soft or collinear nodes have a node representation even in the IRC limit!!!

=> IRC safety cannot be defined on a node representation!

=> need to define an IRC safe graph representation

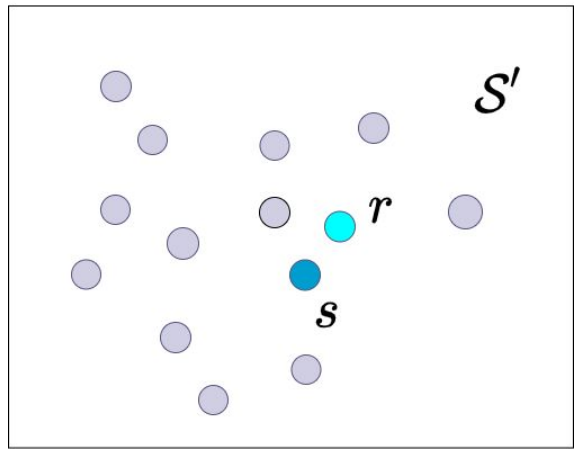
Graph on \mathcal{S}' contain $\mathbf{h}_r^{(L)}$ and $\mathbf{h}_s^{(L)}$

EMPN: Graph-readout



Graph readout

$$\mathbf{g} = \sum_{j \in S} \omega_j^{(S)} \mathbf{h}_j^{(L)}$$



C limit:

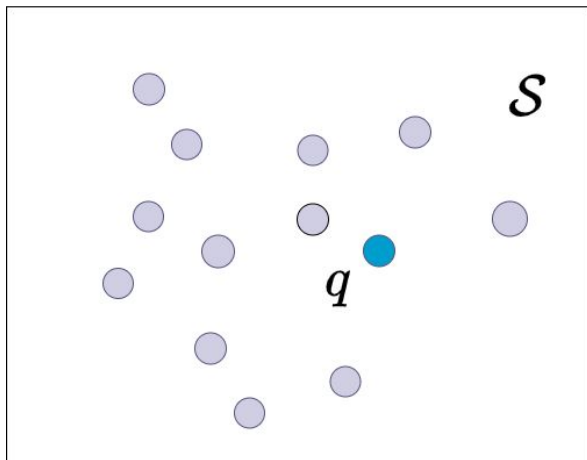
$$\mathbf{h}_q^{(L)} = \mathbf{h}_r^{(L)} = \mathbf{h}_s^{(L)}$$

$L =$ num. iterations

$$\omega_i^{(S)} = z_i$$

Representation of the full jet is IRC safe

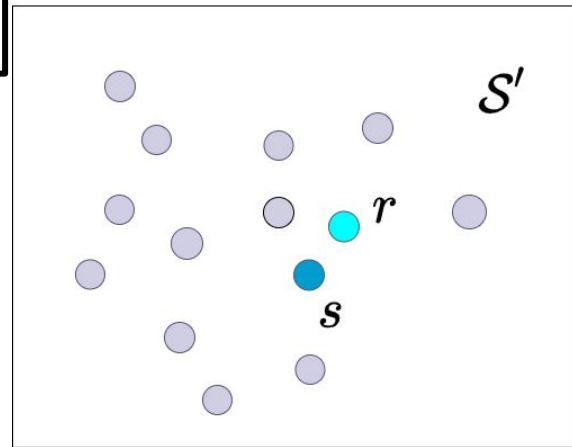
EMPN: Graph-readout



Information content
determined by R_0 and L

Graph readout

$$\mathbf{g} = \sum_{j \in \mathcal{S}} \omega_j^{(\mathcal{S})} \mathbf{h}_j^{(L)}$$

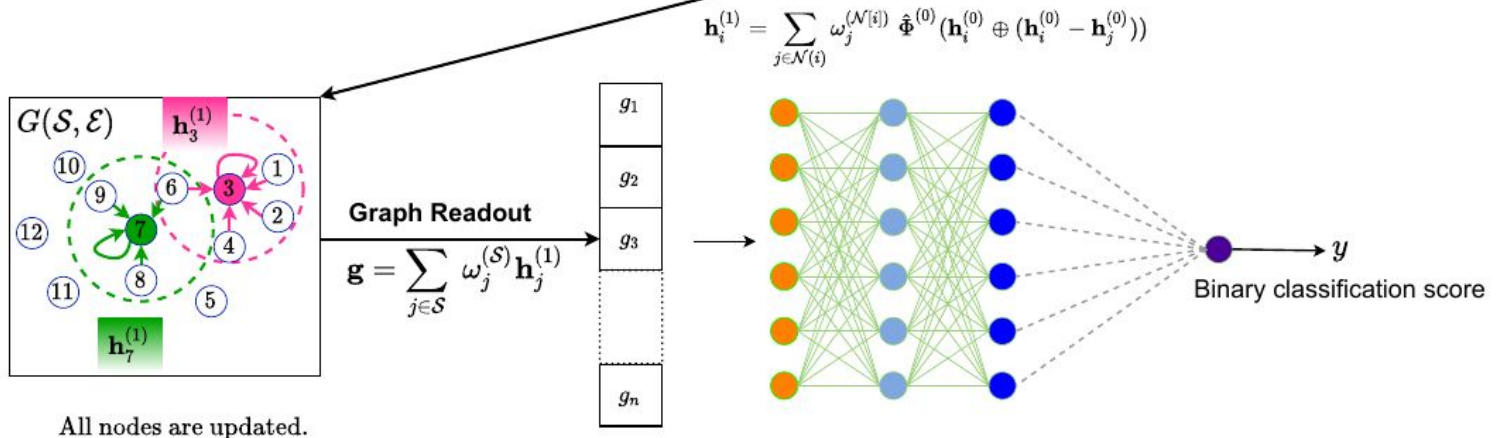
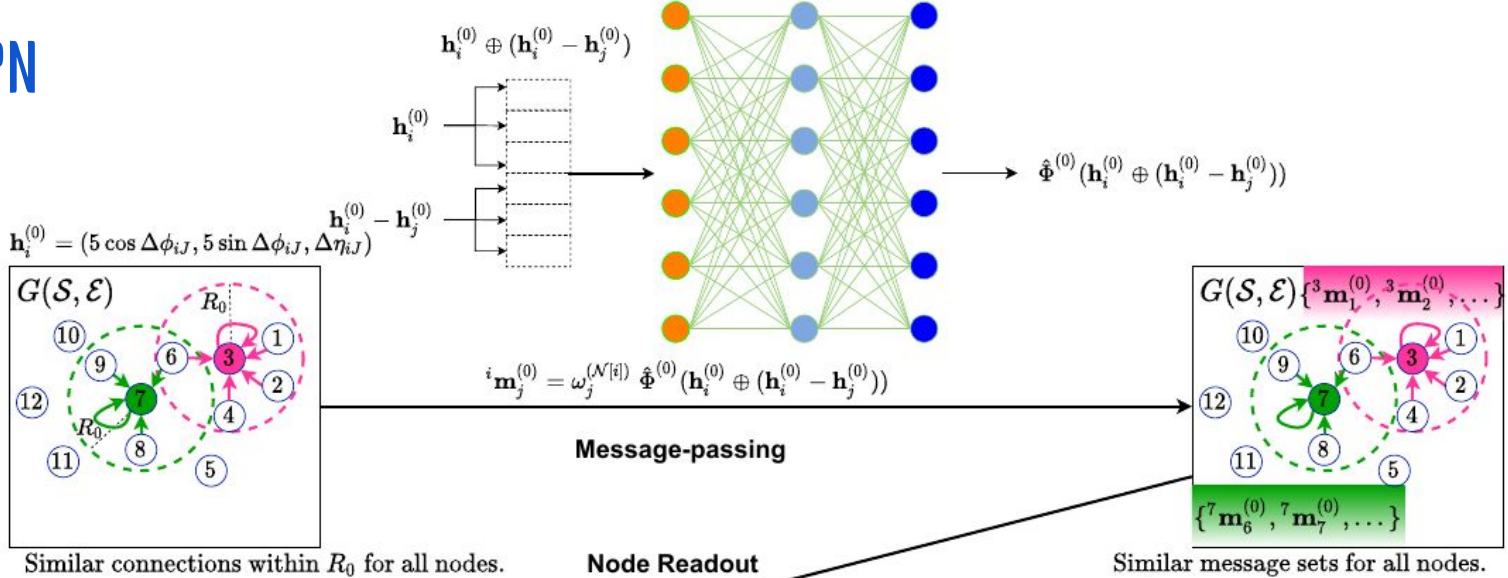


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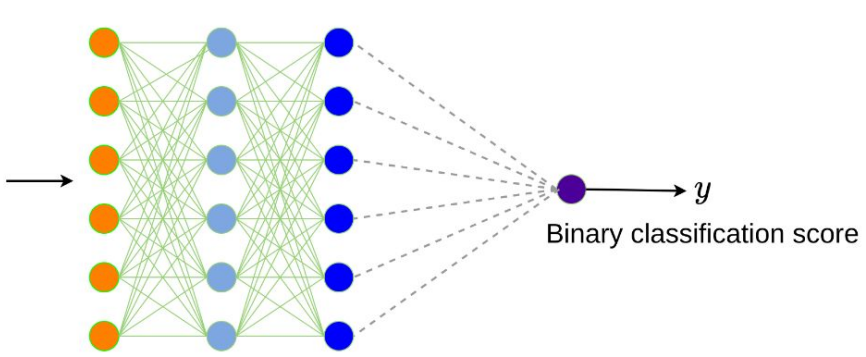
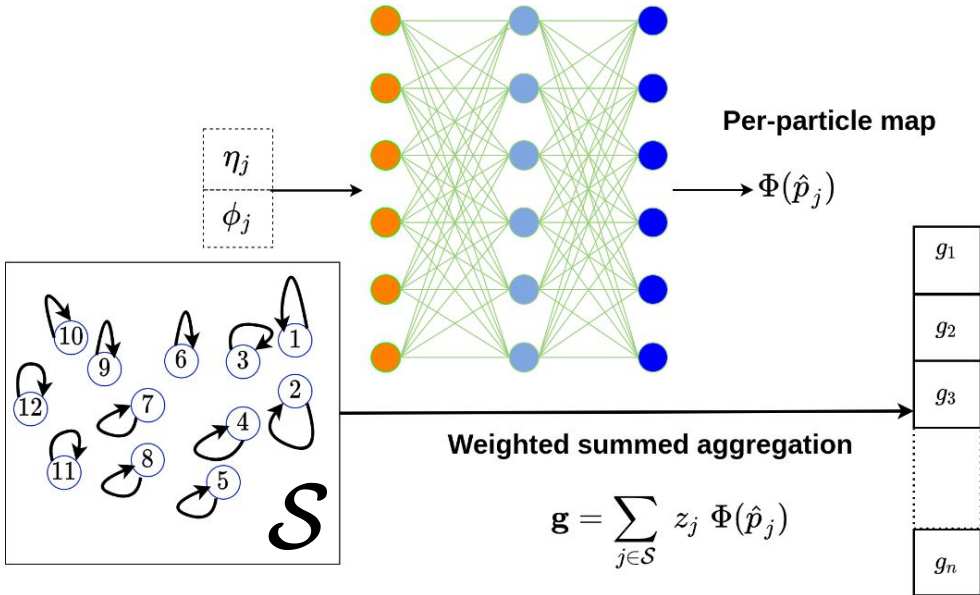
Generalising Energy Flow Networks (EFNs)

JHEP 01 (2019) 121, Komiske, Metodiev, Thaler

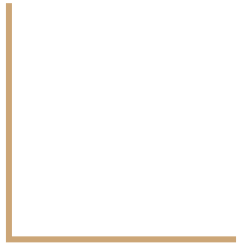
Per-particle map is a special message function constant for the second argument!

IR limit: $z_r = 0 \Rightarrow z_r \Phi(\hat{p}_r) = 0$

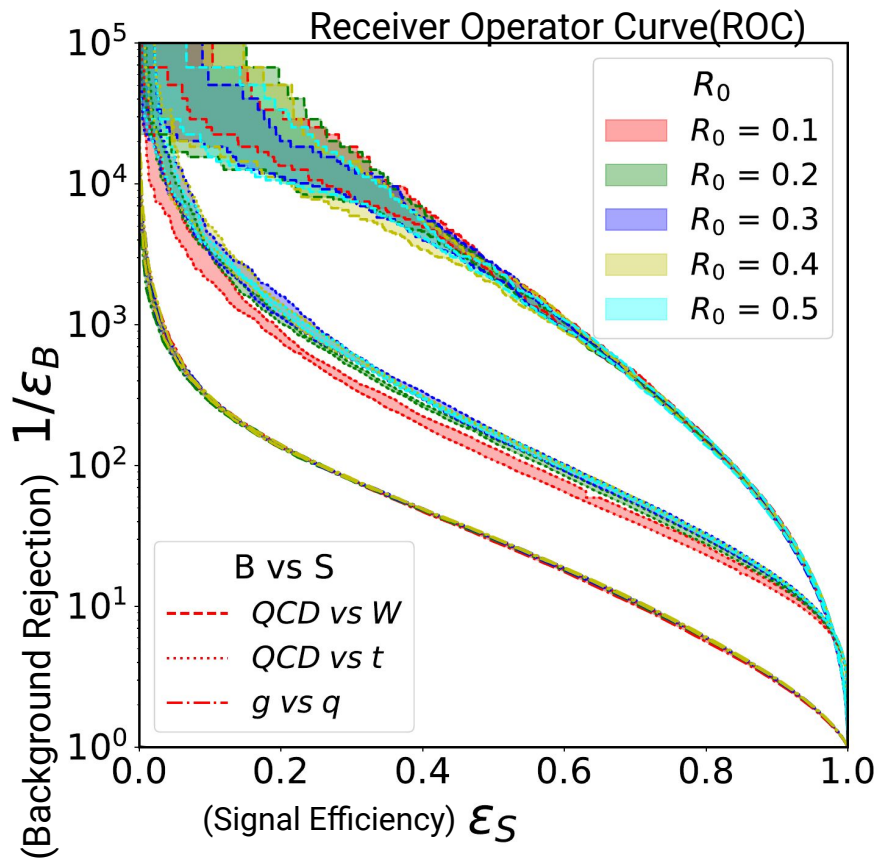
C limit: $\hat{p}_q = \hat{p}_r = \hat{p}_s$
 $\Rightarrow z_q \Phi(\hat{p}_q) = z_r \Phi(\hat{p}_r) + z_s \Phi(\hat{p}_s)$



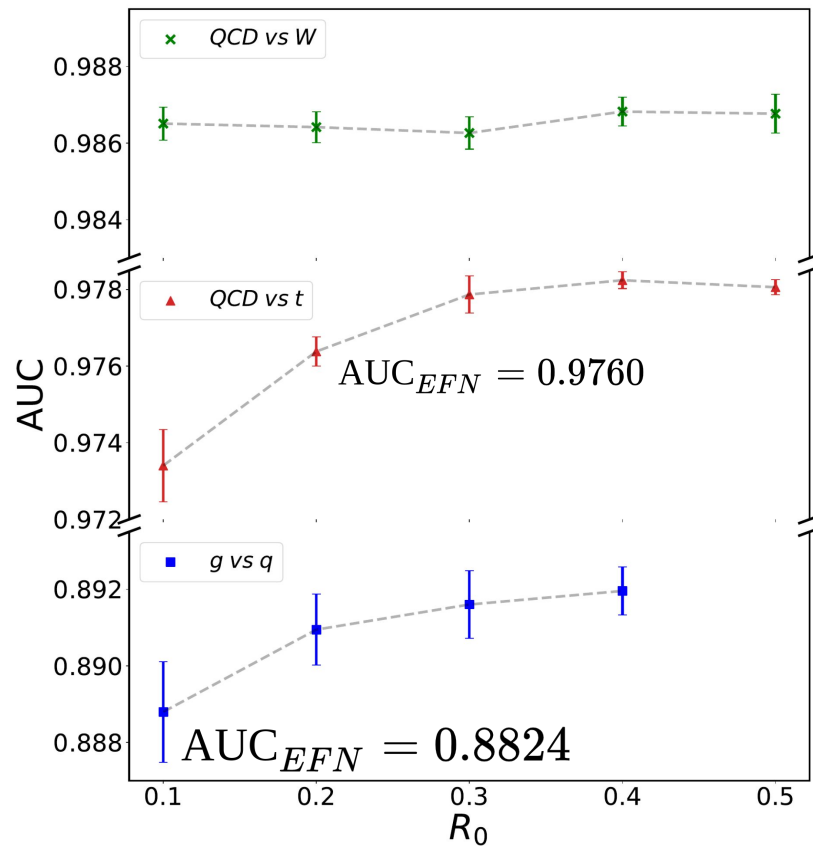
Results



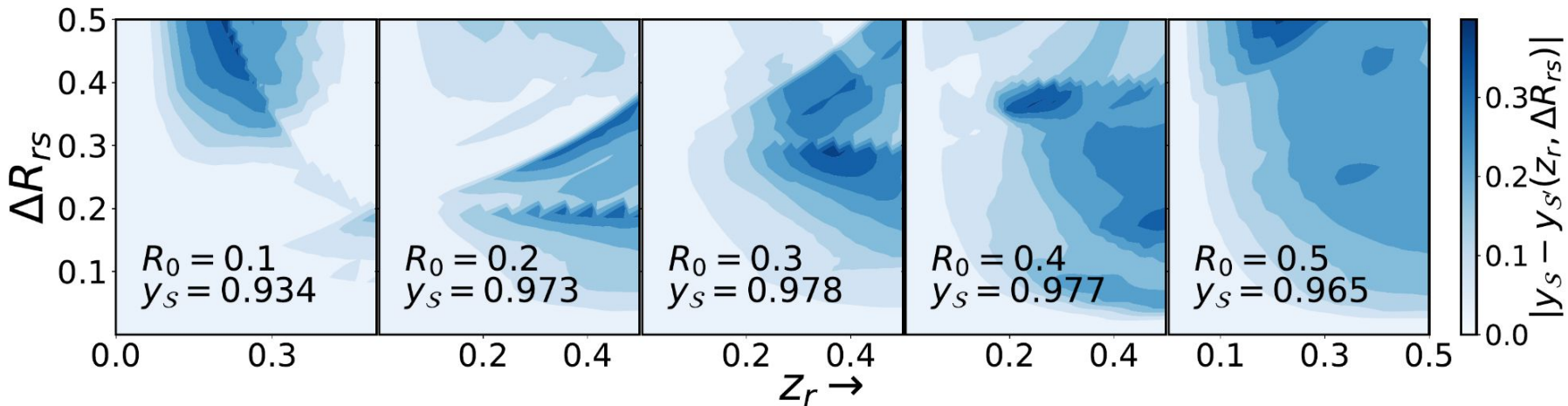
Network Performance (L=1)



Area-under(ROC)-curve(AUC) (ϵ_B, ϵ_S)



Examining IRC Safety (L=1)



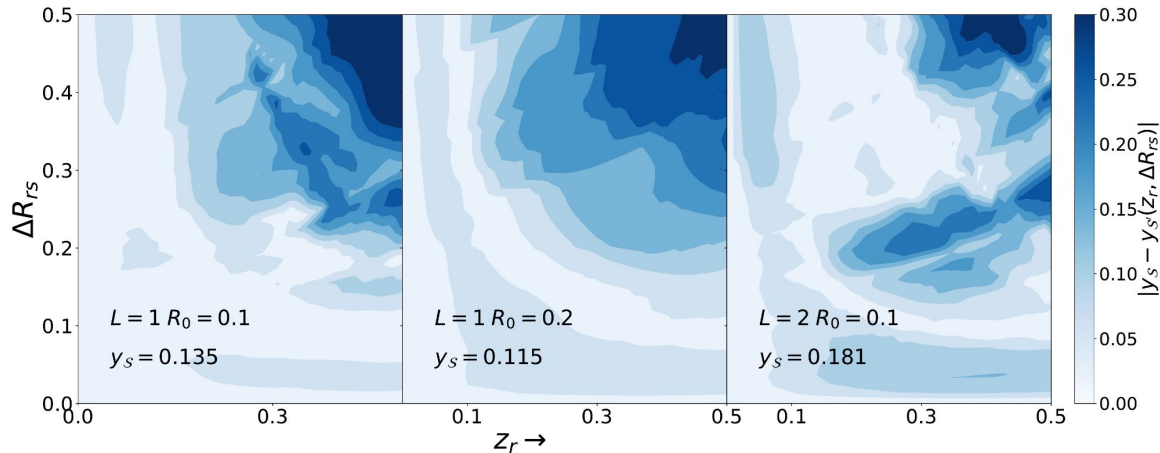
Split the hardest constituent in a jet and vary z_r and ΔR_{rs}

Network Output: $y_S \quad y_{S'}(z_r, \Delta R_{rs})$

Increasing R_0 **decreases stability** of network output to **additional emissions**

Iterative application (L=1 vs L=2) (Quark vs Gluon)

R_0	No. message passing (L)	AUC
0.1	1	0.8888 ± 0.0013
0.2	1	0.8909 ± 0.0009
0.4	1	0.8919 ± 0.0006
0.1	2	0.8932 ± 0.0006



Conclusions

- Generalised Energy Flow Networks (EFNs) to extract local correlations via message-passing operations
- Iterative application does not spoil IRC safety, performs better with reduced (?) sensitivity to soft and collinear emissions
- Devised generic graph construction algorithms which give invariant graph structure in the deletion of a soft or collinear vertex
- Possibility to structure graphs and networks with highly intuitive physics input
- **General enough to study event shapes**
- Can we understand the extracted features within pQCD?
- Higher point correlations with IRC safe hypergraphs?

Conclusions

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THANK YOU

Dataset Details

Sl. No	Jet Class	Parton-level	MPI	Detector Simulation (Delphes3)	Jet Radius (anti-kT)	Transverse momentum [GeV]	Classification Scenario
1.	Gluon	Pythia8	Yes	No	0.4	[500,550]	Gluon vs Quark
2.	Quark	Pythia8	Yes	No	0.4	[500,550]	Gluon vs Quark
3.	QCD	Pythia8	No	Yes	0.8	[550,650]	QCD vs Top/W
4.	Top	Pythia8	No	Yes	0.8	[550,650]	QCD vs Top
5.	W	Madgraph5	No	Yes	0.8	[550,650]	QCD vs W

[1-2] Publicly available q/g dataset
[\[Komiske et.al\]](#) (used in EFNs)

[3,4] Publicly available top tagging
dataset [\[Kasieczka et.al\]](#) (used in EFNs)

[5] Generated with same specifications as [3,4]