





# Start of Biophysics in Hadron Therapy and LBL

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This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101008548

#### **Disclosures**

*I will discuss investigative use of Hadron Therapy* 

Affiliation



Retired Sr. Staff Biophysicist LBNL (DOE National Lab)

Operated by UC Berkeley, CA



Affiliations



Adjunct Research Professor Dept. Radiation Medicine & Dept. Basic Sciences LLU School of Medicine

*None- Nothing to Declare* 

Commercial



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#### Goals of Presentation

- To provide an historical perspective on the early preclinical and Phase I/II trials using charged particle beams for the treatment of cancer in Berkeley, California 1952-1993.
- To provide some personal insights for the path forward for particle biophysics and hadron therapy.

#### Berkeley Lab is >90 Years Old!





# Ernest Orlando Lawrence Physicist, UC Berkeley 1930's

#### The Radiation Laboratory, 1933



The Rad Lab was established within the UC Berkeley Physics Department with Ernest O. Lawrence as Director. Eventually the Rad Lab became the EO Lawrence Berkeley National Laboratory.

#### Invention of the Cyclotron

Ernest Orlando Lawrence 1931 - Invented the cyclotron 1939-Nobel Prize in Physics

*Prof. E. O. Lawrence and M. Stanley Livingston of UC Berkeley, constructed a 13-cm diameter cyclotron, which accelerated protons to 80,000 volts using less than 1,000 volts* 







EO Lawrence and MS Livingstone, Phys. Rev 37: 1707 (1931); and MS Livingston, The Production of High-Velocity Hydrogen Ions Without the Use of High Voltages, PhD thesis, University of California, Berkeley (1931).



# 1939 Nobel Prize in Physics

# Pioneering Days in the Berkeley Hills Before the 184" Cyclotron Was Built.











## 184-Inch Cyclotron (1947)





#### Ernest and John Lawrence who started Donner Biomedical Laboratory at Berkeley Lab that is now known as the Biosciences Area of LBNL





#### **Donner Laboratory Dedication 14 March 1941**



#### Hadron Therapy

- *First begun in 1938 when neutron beams were used in cancer therapy.*
- Charged hadron beams (protons & carbon ions) have more favorable depth-dose interaction which is maximal at the end of their range.
- Initially in Europe "hadron" therapy meant proton therapy, but "charged particles" includes protons, carbon or any charged ion beam.
- Both macroscopic & microscopic differences exist in the physical properties of various charged ion beams.

#### $\alpha \& \beta$ tracks showing the difference in ionizing power of the particles



From the work of M. Curie presented in Rutherford et al., 1930

#### Sir William Henry Bragg first reported "Bragg Curve" 1903





#### R.R. Wilson and Rationale for Bragg Peak Therapy



In 1946, Prof. Robert Wilson proposed the use of the Bragg Peak for radiation therapy R.R. Wilson, "Radiological use of fast protons," Radiology. 1946; 47: 487-491



Dose localization
Lower entrance dose
No or low exit dose

#### FIRST PROTON THERAPY PATIENT TREATED-SEPT. 1954



- 1948: Biology experiments using protons
  1952: Human exposure to deuteron & helium ion beams.
  1954: Human exposure to accelerated protons.
- •1956-1986: Clinical Trials-1500 patients treated



**Prof.** Cornelius A. Tobias



## Heavy-Charged Particle Radiosurgery of the Pituitary Gland: Clinical Results of 840 Patients

- Initial 30 Pts. Treated with Protons
- Subsequent 820 were treated with He plateau, 30-36 Gy in 3-4 Fx over 5 days.
- Marked and sustained biochemical & clinical improvement observed in majority of the Pts.
- Focal necrosis/nerve injury in only 1%

Levy, Fabrikant, Frankel, Phillips, Lyman, Lawrence, Tobias, Stereotact Funct Neurosurg, 1991

#### Intracranial Arteriovenous Malformations (AVMs)



*20-yr ola Female-2.5 cm<sup>2</sup> AVM temporal lobe* 

21-yr old Male 45 cm<sup>3</sup> AVM Basal ganglia And thalamus

Phillips, Kessler, Chuang, Frankel, Lyman, Fabrikant, and Levy, Int. J. Radiat Biol Phys 1991

Kaplan-Meier Cumulative Obliteration Plots for 71 Patients with Intracranial AVM with Angiography Before and After Treatment with a Single 7.7-19.2 Gy dose of 225 MeV/u Helium



Steinberg, Fabrikant, Mark, Levy, Frankel, Phillips, Shuer, and Silverberg, NEJM, 1990



#### Precision, He High Dose Radiotherapy: Treatment of Uveal Melanoma



XBL 8311-651

Fig. 3. Output from Massachusetts General Hospital treatment planning program.<sup>7</sup>



Saunders, Char, Quivey, Castro, Chen, Collier, Cartigny, Blakely, Lyman, Zink and Tobias, Int, J Radiat Oncol Biol Phys, 1985,



*Gragoudas, Weisenfield Lecture, IOVS, 2006* 1975-1<sup>st</sup> Proton treatment of Uveal Melanoma





#### 20-Yr. Follow-Up of Phase III Randomized Trial--Helium vs. <sup>125</sup>Iodine Plaque for Choroidal & Ciliary Body Melanoma



Mishra, Quivey, Daftari, Weinberg, Cole, Patel, Castro Phillips, and Char, Int. J. Radiat. Oncol Biol Phys, 2015

#### 184-Inch Cyclotron and Hadron Therapy

1956 - 1986 Hadron Therapy Clinical Trials 1500 patients treated

Patient treatment on ISAH (Irradiation Stereotaxic Apparatus for Humans).



## 184-Inch Cyclotron and Hadron Therapy



#### The beginning, 1947



#### *The end*, 1986

## **Bevatron-APS Historic Site Dedication Ceremony**



Looking at a model of the Bevatron prior to its construction in 1949 were (left to right) Lloyd Smith, Ed McMillan, Ernest Lawrence, Ed Lofgren, Bill Brobeck, and Duane Shell.

On May 11, 2022. the American Physical Society recognized the Bevatron Particle Accelerator for its contributions to physics

#### Al Ghiorso's Idea to Create the Bevalac = Bevatron + Super Hilac





- In 1974, Transfer Line was completed, connecting the Super HILAC (built in 1958) to the Bevatron (built in 1954)
- Thus was formed the world's first accelerator capable of producing high-energy (>1 GeV/amu) beams of any element of the periodic table.
- The Bevalac finally ceased operations on February 21, 1993.

#### Bevalac (1971-1993) and Hadron Therapy

Harry Heckman, Ed McMillan, Cornelius Tobias, Tom Budinger, Ed Lofgren, Walt Hartsough (l. to r.)



Press conference announcing the acceleration of heavy ions in the **Bevatron** (August 1971).

#### **Biomedical Research Programs at the Bevalac**



- 1/3 of all Bevalac beam time was dedicated to Life Sciences
- Dedicated Biomedical area consisted of:
  - *Two therapy rooms*
  - One biology/biophysics area
  - Support facilities and patient staging areas



# Theoretical range energy and stopping power for various heavy ions in water



Steward, 1968

### Why Heavier Hadron Beams?

- *Precision Therapy Conformed to Tumor*
- Sparing of Normal Tissues
- Increased DNA Damage in Tumor
- Increased Effect on Hypoxic Tumors
- Less Repair of Sublethal and Potentially Lethal Damage in Cell Cycle
- Short Overall Treatment Course
- Use of Radioactive Beam Component for Treatment Verification

#### Clinical Trials at LBNL-UCSF, 1975–1992



*Total patient treated* 1314 1977–1992 *He patients* 858 456 *Heavier ions* 

Prof. Joseph Castro, UC San Francisco conducted the LBNL clinical trials.

Total He ions Pts 1952-1992 2054 1975-1992 He ions Neon ions

Total treated NCOG/RTOG 858 patients 700 patients 433 patients 300 patients







Prof. T. Phillips

Prof. J. Quivey

Prof. G. Chen

Dr. E. Blakely

#### Pristine and Extended Bragg Peaks of Carbon, Neon, Silicon & Argon



Blakely et al. 1982

#### LET Ranges for Pristine and Extended SOBP



# Aerobic & Hypoxic Cell Killing with Carbon or Argon Beams



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#### LET-Dependence of HZE RBE & OER is Maximal Near 150 keV/mm





# **Summary Table Comparing Radiation Modalities**

HIGH LET		-		and the second	-	Heav	y lons	Ar	
ADVANTAGE??	Protons	Helium	Pions	Neutrons		Ne		-Ai	
PHYSICAL DEPTH-DOSE	+++	+++	+++	no	+++	+++	++	+	
RBE	no	÷	٠	++	++	++	+++	+++	Blak
OER	no	+	÷	+++	+	<b>++</b>	+++	<b>4</b> 444	et al.

#### Treatment Outcome Comparing Neon, Neutrons and Conventional Xray Therapy for Selected Types of Tumors

meen	Neutrons	Aray
61%	60-70%	25-36%
a		
69%	30+%	32-40%
69%	50-86%	N/A
56%	50-54%	30-50%
59%	49-55%	21-33%
75%	77%	30-50%
	61% 69% 69% 56% 59%	61%       60-70%         a       30+%         69%       50-86%         56%       50-54%         59%       49-55%         75%       77%

Linstadt et al., 1991

#### HZE particle tracks in emulsion



Heckman et al.



# Schematic Cross-Sectional View of a Heavy Particle Track



#### **Track-Dependent DNA Targets of Particle Radiation**



#### IT IS ALL ABOUT THE TRACKS!!

- If you compare protons and neon ions at the same LET (~30 keV/mm):
  - The ion beam with the lower charge (~ 1 MeV protons) has lower velocity and smaller track radii compared to the beam with the higher atomic number (~377 MeV/u Ne)
  - More energy is deposited by the lower energy ion (H) in a small target volume.
  - But more target molecules are hit by the higher energy (*Ne*) ion beam due to the delta ray dose
  - This leads to both qualitative and quantitative differences between H and Ne.

#### **Radiation-Induced Oxidative Species**

- Heavy ions and other high-LET ions produce oxidative species that are distinctive from those produced by low-LET radiations
- This leads to:
  - Decreased Oxygen Enhancement Ratios
  - •Decreased Cell Cycle Dependence
  - Activation/Deregulation of transcriptional gene pathways different from low-LET radiations
  - Decreased dependence on tumor cancer promoters
  - Development of distinct protective mechanisms
  - Unknown role for chronic inflammation
  - Uncertainties at low dose

## What Makes Particle Radiation So Effective?

- Track structure
- Clustered damage triggering different damage & repair pathways than low LET radiations
- *Production of short DNA fragments*
- Slower repair
- Evidence of misrepair
- *Genomic instabilities*
- *Microenvironmental changes, including stem & immune*
- LET-dependent gene responses

## A Personal Perspective on Contributions of the Berkeley Charged Particle Program

New scientific approaches:

- To investigate underlying mechanisms of action of densely ionizing radiations on different biological systems
- To investigate improvements in anatomical and functional imaging of normal and tumor treatments,
- •To develop novel ion beam delivery and treatment planning tools and mathematical and biophysical models to personalize medical care and treatment of disease.

•Opportunities to train other scientists, students, technologists to share the technology

Globally in 20 ~19.3 million cancer cases ~10.0 million	20- Vorla new Trea cancer	dwide Nur ated with 1	nbers of Patients Particle Beams*	Globally in 2040- Estimate~28.4 million new cancer burden (Sung et al., 2021)
deaths	Ion	Total Pt. #	# Operating Facilities	# in U.S.
	Protons.	279,148	95	42
	Carbon	41,294	13**	0
		<i>ı</i> )		
	He, Ne, $\pi$	3,587		
	Total	324,029	• Tabula PTCO Decemi <u>www.pr</u> June 2	ted by M. Jermann G for period through ber 2021 <u>tcog.ch</u> 022

#### Lancet Oncology 2015; 16: e93-100

# Carbon ion radiotherapy in Japan: an assessment of 20 years of clinical experience



Tadashi Kamada, Hirohiko Tsujii, Eleanor A Blakely, Jürgen Debus, Wilfried De Neve, Marco Durante, Oliver Jäkel, Ramona Mayer, Robert o Orecchia, Richard Pötter, Stanislav Vatnitsky, William T Chu



#### Overall assessment and recommendation Clinical

NIRS is a pioneer in carbon ion radiotherapy and has contributed major paradigm shifts for radiotherapy and more generally for oncology. Besides improvements over the already favourable results achieved for some rare cancers, such as bone and soft-tissue tumours, the results reported lately support the hypothesis that carbon ion radiotherapy improves outcomes for several common cancers with poor prognosis. Therefore, more patients worldwide should have access to treatments based on carbon ion radiotherapy.

#### Charged Particle Radiobiology Needs Continue

- •What are the risks of secondary cancers & late effects?
- •*Can we identify the radiosensitive patient who should be treated with a more conservative treatment plan?*
- How can we reduce unnecessary dose outside of treatment volume?
  Are there pediatric tumors we should not consider treating?
- •*Can specific chemotherapies enhance charged particle therapy?*
- Can we further optimize with hypofractionation?
- What is the best biological model for validating dose effectiveness?

#### **Factors Hampering Heavy Hadrons**

•Lack of Level 1 Evidence (e.g., Phase III Randomized Clinical Trials) needed for FDA approval.

•*Cost to build carbon ion clinical facilities.* 

•*Current lack of US insurance reimbursement to maintain a carbon facility.* 

•Lack of international consensus on treatment planning methods to facilitate comparisons of clinical trials.

#### Summary & Conclusions

•Hadron radiations have unique physical deposition patterns, and some novel characteristics of the biological response depending on the radiation type and quality

- •*There is a need for further basic biological investigations to clarify the significance of these unique lesions at the molecular, cellular & tissue level.*
- •*There are many powerful new technical tools and genomic and proteomic resources available to radiobiologists to study these effects.*
- •*Theoretical modeling of expected hadron biological effects is important to optimization of treatment planning for radiotherapy.*
- •*The future scientific opportunities for hadron therapy are promising.*



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Thank you for your attention and the invitation to make this presentation! Questions?

Advanced Light Source, Berkeley Lab



