

EFT Mapping to UV Models

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Top Down or Bottoms up approach?

• Bottoms up:
$$L = L_{SM} + \sum \frac{C_i}{\Lambda^2} O_i^{d=6} + \sum \frac{C_i}{\Lambda^4} O_i^{d=8} + \dots$$

All BSM physics is in coefficient functions

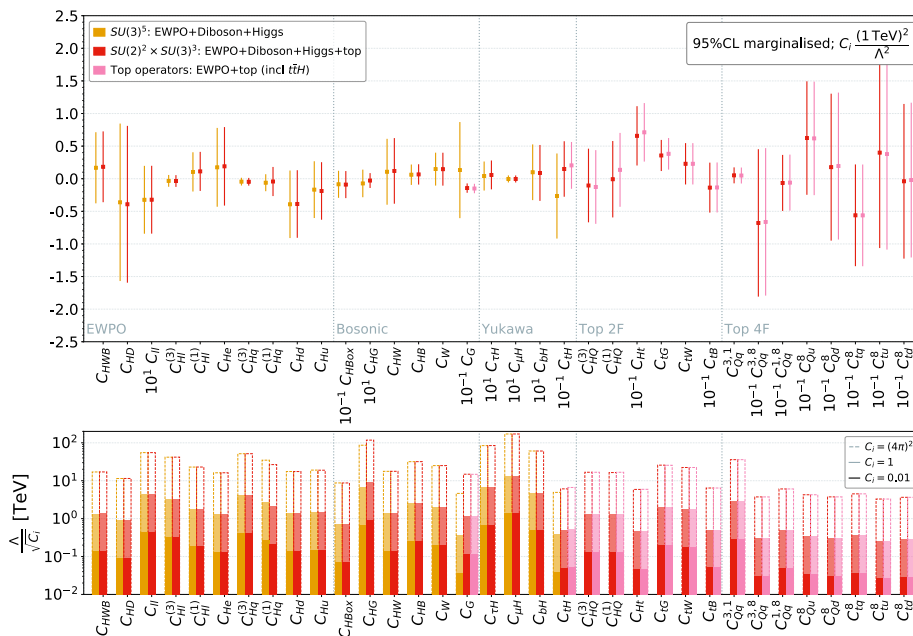
(LL)(LL)		(RR)(RR)		(LL)(RR)	
Q_{ll}	$(\bar{l}_p^i \gamma_\mu l_r^j)(\bar{l}_s^k \gamma^\mu l_t^l)$	Q_{cc}	$(\bar{c}_p^i \gamma_\mu c_r^j)(\bar{c}_s^k \gamma^\mu c_t^l)$	Q_{lc}	$(\bar{l}_p^i \gamma_\mu l_r^j)(\bar{c}_s^k \gamma^\mu c_t^l)$
$Q_{qq}^{(1)}$	$(\bar{q}_p^i \gamma_\mu q_r^j)(\bar{q}_s^k \gamma^\mu q_t^l)$	Q_{uu}	$(\bar{u}_p^i \gamma_\mu u_r^j)(\bar{u}_s^k \gamma^\mu u_t^l)$	Q_{lu}	$(\bar{l}_p^i \gamma_\mu l_r^j)(\bar{u}_s^k \gamma^\mu u_t^l)$
$Q_{qq}^{(2)}$	$(\bar{q}_p^i \gamma_\mu \tau^I q_r^j)(\bar{q}_s^k \gamma^\mu \tau^I q_t^l)$	Q_{dd}	$(\bar{d}_p^i \gamma_\mu d_r^j)(\bar{d}_s^k \gamma^\mu d_t^l)$	Q_{ld}	$(\bar{l}_p^i \gamma_\mu l_r^j)(\bar{d}_s^k \gamma^\mu d_t^l)$
$Q_{ll}^{(1)}$	$(\bar{l}_p^i \gamma_\mu l_r^j)(\bar{l}_s^k \gamma^\mu l_t^l)$	Q_{cu}	$(\bar{c}_p^i \gamma_\mu c_r^j)(\bar{u}_s^k \gamma^\mu u_t^l)$	Q_{qc}	$(\bar{q}_p^i \gamma_\mu q_r^j)(\bar{c}_s^k \gamma^\mu c_t^l)$
$Q_{ll}^{(2)}$	$(\bar{l}_p^i \gamma_\mu \tau^I l_r^j)(\bar{l}_s^k \gamma^\mu \tau^I l_t^l)$	Q_{cd}	$(\bar{c}_p^i \gamma_\mu c_r^j)(\bar{d}_s^k \gamma^\mu d_t^l)$	$Q_{qd}^{(1)}$	$(\bar{q}_p^i \gamma_\mu q_r^j)(\bar{d}_s^k \gamma^\mu d_t^l)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p^i \gamma_\mu u_r^j)(\bar{d}_s^k \gamma^\mu d_t^l)$	$Q_{qd}^{(2)}$	$(\bar{q}_p^i \gamma_\mu T^A q_r^j)(\bar{d}_s^k \gamma^\mu T^A d_t^l)$
		$Q_{ud}^{(2)}$	$(\bar{u}_p^i \gamma_\mu T^A u_r^j)(\bar{d}_s^k \gamma^\mu T^A d_t^l)$	$Q_{qd}^{(3)}$	$(\bar{q}_p^i \gamma_\mu T^A q_r^j)(\bar{d}_s^k \gamma^\mu T^A d_t^l)$
(LR)(RL) and (LR)(LR)		B-violating			
Q_{lckq}	$(\bar{l}_p^i \epsilon_{jk}^l)(\bar{d}_s^k q_t^j)$	Q_{duq}	$\epsilon^{\alpha\beta\gamma} \epsilon_{jkl} \left[(\bar{d}_p^\alpha)^T C u_r^\beta \right] \left[(q_s^\gamma)^T C l_t^k \right]$		
$Q_{qqqd}^{(1)}$	$(\bar{q}_p^i \epsilon_{jk}^l) \epsilon_{jkl} (\bar{q}_s^k d_t^j)$	Q_{qqu}	$\epsilon^{\alpha\beta\gamma} \epsilon_{jkl} \left[(\bar{q}_p^\alpha)^T C q_r^\beta \right] \left[(u_s^\gamma)^T C l_t^k \right]$		
$Q_{qqqd}^{(2)}$	$(\bar{q}_p^i T^A u_r^j) \epsilon_{jkl} (\bar{q}_s^k T^A d_t^l)$	Q_{qqq}	$\epsilon^{\alpha\beta\gamma} \epsilon_{jkl} \epsilon_{kmn} \left[(\bar{q}_p^\alpha)^T C q_r^\beta \right] \left[(q_s^\gamma)^T C l_t^m \right]$		
$Q_{lqqq}^{(1)}$	$(\bar{l}_p^i \epsilon_{jk}^l) \epsilon_{jkl} (\bar{q}_s^k u_t^j)$	Q_{duu}	$\epsilon^{\alpha\beta\gamma} \left[(\bar{d}_p^\alpha)^T C u_r^\beta \right] \left[(u_s^\gamma)^T C l_t^k \right]$		
$Q_{lqqq}^{(2)}$	$(\bar{l}_p^i \sigma_{\mu\nu} \epsilon_{jk}^l) \epsilon_{jkl} (\bar{q}_s^k \sigma^{\mu\nu} u_t^j)$				

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
Q_G	$f^{ABC} G_\mu^A G_\nu^B G_\rho^C$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{c\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p^i \epsilon_{jk}^l \varphi)$
Q_G	$f^{ABC} \tilde{G}_\mu^A G_\nu^B G_\rho^C$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p^i u_r^j \varphi)$
Q_W	$\epsilon^{IJK} W_\mu^I W_\nu^J W_\rho^K$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p^i d_r^j \varphi)$
$Q_{\tilde{W}}$	$\epsilon^{IJK} \tilde{W}_\mu^I W_\nu^J W_\rho^K$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi C}$	$\varphi^\dagger \varphi G_\mu^A G^{\mu\nu}$	Q_{dW}	$(\bar{l}_p^i \sigma^{\mu\nu} \epsilon_{jk}^l) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p^i \gamma^\mu l_r^j)$
$Q_{\varphi \tilde{C}}$	$\varphi^\dagger \varphi \tilde{G}_\mu^A G^{\mu\nu}$	Q_{eB}	$(\bar{l}_p^i \sigma^{\mu\nu} \epsilon_{jk}^l) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(2)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p^i \tau^I \gamma^\mu l_r^j)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_\mu^I W^{\mu\nu}$	Q_{uG}	$(\bar{q}_p^i \sigma^{\mu\nu} T^A u_r^j) \varphi G_{\mu\nu}^A$	$Q_{\varphi c}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{c}_p^i \gamma^\mu c_r^j)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_\mu^I W^{\mu\nu}$	Q_{uW}	$(\bar{q}_p^i \sigma^{\mu\nu} u_r^j) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p^i \gamma^\mu q_r^j)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p^i \sigma^{\mu\nu} u_r^j) \varphi B_{\mu\nu}$	$Q_{\varphi q}^{(2)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p^i \tau^I \gamma^\mu q_r^j)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dC}	$(\bar{q}_p^i \sigma^{\mu\nu} T^A d_r^j) \varphi G_{\mu\nu}^A$	$Q_{\varphi cu}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p^i \gamma^\mu u_r^j)$
Q_{dWB}	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p^i \sigma^{\mu\nu} d_r^j) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi cd}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p^i \gamma^\mu d_r^j)$
$Q_{e\tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p^i \sigma^{\mu\nu} d_r^j) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\varphi^\dagger D_\mu \varphi)(\bar{u}_p^i \gamma^\mu d_r^j)$

In general,
2499 operators
at dimension-6

Many new fits (apologies to fit makers...)

- Fits have different assumptions, different sets of data included
- Probing TeV scale new physics



Fit includes top, Higgs, EWPO, diboson

Compare results with and without top data

$C \sim 1$: New physics generated at tree level

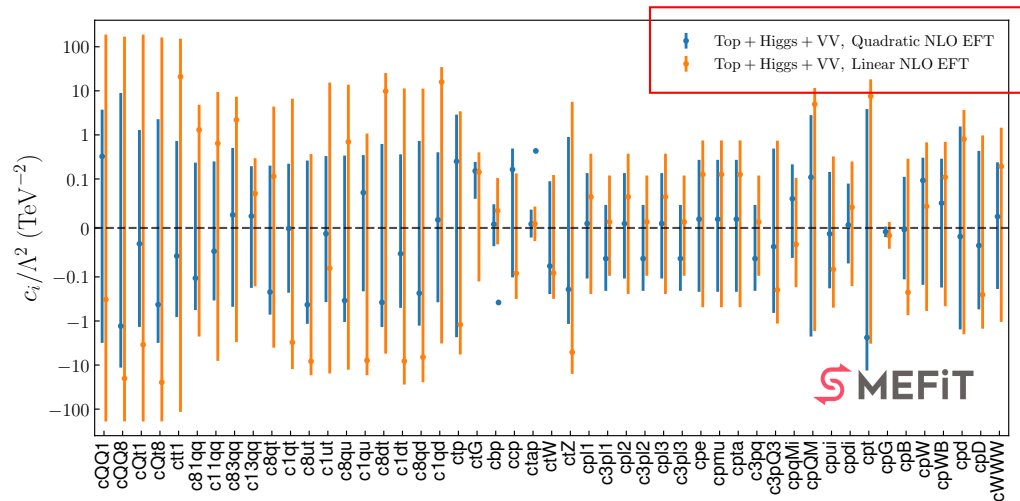
$C \sim 1/(4\pi)^2$: New physics generated at loop level

At dim-6 only sensitive to C/Λ^2

[See Madigan talk, 2012.02779](#)

Many global fits to data

- Precision on operators varies over many order of magnitude
- Exact values of couplings sensitive to assumptions
- Compare effects of $1/\Lambda^2$ expansion



2105.00006

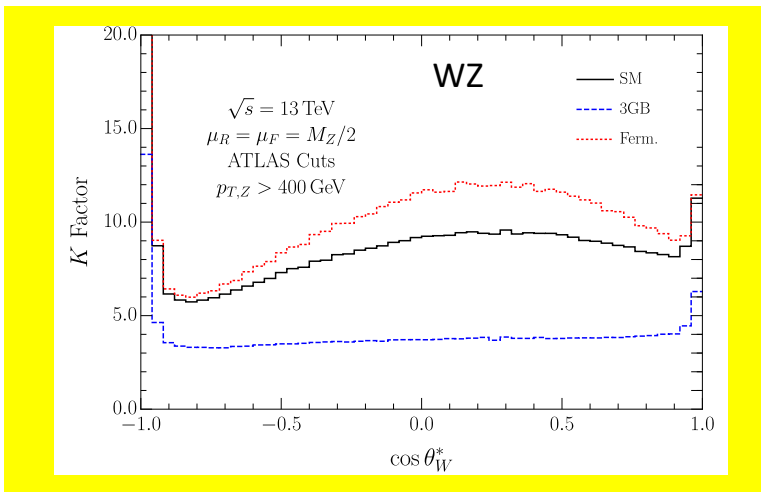
Understanding uncertainties in UV matching

- The order of the expansion in $1/\Lambda^2$
- Radiative corrections
- The order of the UV matching (tree or loop)
- RGE effects

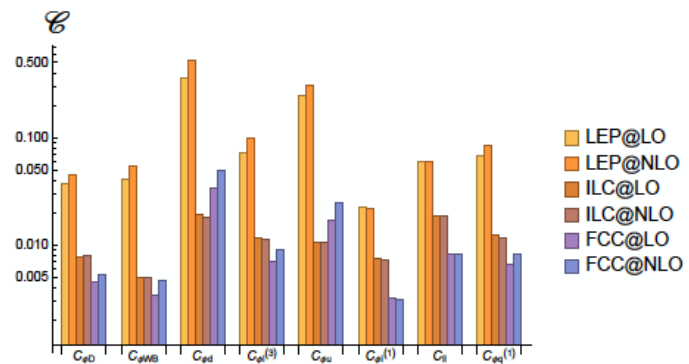
Study a few models as an example

Radiative corrections matter

- QCD is automated for SMEFT fits
- EW is case by case basis
 - Problem with EW corrections is that they typically introduce a dependence on many new operators beyond that of LO fits



[1909.11576](#)



Simple examples

- When there is only 1 new high scale particle, finding the operators generated at tree and loop level is a solved problem at dimension-6
- Examples:
 - Scalar singlet S
 - Scalar $Y=0$ triplet ζ
 - $U(1)$ Z' , B
 - Gauge vector triplet, W
 - Top partner, T
 - Lepton $Y=-1/2$ doublet fermions (N,E)

* Table on next page includes possible contributions generated from dim-5 operators

[1711.10391](https://arxiv.org/abs/1711.10391)

Patterns (table is incomplete!)

- **Hope:** Measuring a pattern of coefficients will give information about UV model

	$O_{\phi D}$	$O_{\phi \square}$	$O_{\phi W}$	$O_{\phi B}$	$O_{\phi WB}$	$O_{d\phi}$	$O_{u\phi}$	$O_{e\phi}$	$O_{qq}^{(1)}$	$O_{qq}^{(3)}$
S		✓	✓	✓		✓	✓	✓		
ζ	✓	✓			✓	✓	✓	✓		
Z'	✓	✓				✓	✓	✓	✓	
W	✓	✓				✓	✓	✓		✓
T							✓			
(N,E)								✓		

Example: Spontaneously broken Higgs singlet model without Z_2 Symmetry

$$V(\phi, S) = V_{SM}(\phi) + V_{\phi S}(\phi, S) + V_S(S)$$
$$V_{\phi S}(\phi, S) = \frac{a_1}{2} (\phi^\dagger \phi) S + \frac{a_2}{2} (\phi^\dagger \phi) S^2$$
$$V_S(S) = b_1 S + \frac{b_2}{2} S^2 + \frac{b_3}{3} S^3 + \frac{b_4}{4} S^4$$

- Models without Z_2 symmetry motivated by desire to explain electroweak baryogenesis
- (They typically prefer negative a_1 , b_3 and lighter H)
- Can set $\tan \beta=0$ in this case (because we have included all possible terms: this just amounts to redefining parameters)

Can be studied in terms of mass of H, coupling of h to SM fermions ($\cos \theta$), coupling of Hhh, b_3 , b_4

Singlet continued

- Tree level matching:

$$\frac{v^2}{\Lambda^2} C_{\phi\Box} = -\frac{1}{2} \tan^2 \theta$$

$$C_{\phi} = -C_{\phi\Box} \left(\tan \theta \frac{b_3}{3v} - b_2 \right)$$

$$\delta\lambda = -\frac{a_1^2}{b_2}$$

$$O_{\phi\Box} = (\phi^\dagger \phi) \partial^2 (\phi^\dagger \phi)$$

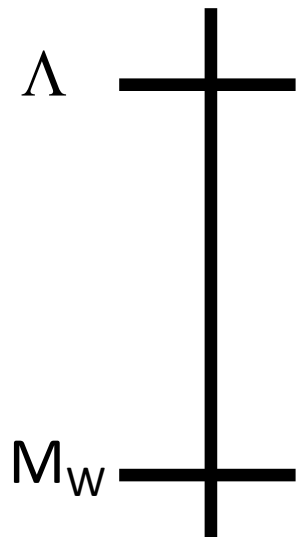
$$O_{\phi} = (\phi^\dagger \phi)^3$$

Coefficients predicted in terms of
UV model parameters

Scales and the EFT

$$C_{H\Box}(M_W) = C_{H\Box}(\Lambda) + \frac{10e^2}{3c_W^2} C_{HD} \log\left(\frac{M_W^2}{\Lambda^2}\right)$$

$C_{HD} \sim \Delta T$ highly constrained



UV Model \rightarrow $C(\Lambda)$

Matching

$$\frac{dC_i(\mu)}{d \log \mu} = \sum_j \frac{1}{16\pi^2} \gamma_{ij} C_j$$

Running

Note that γ_{ij} is not diagonal in general

EFT \rightarrow $C(M_W)$

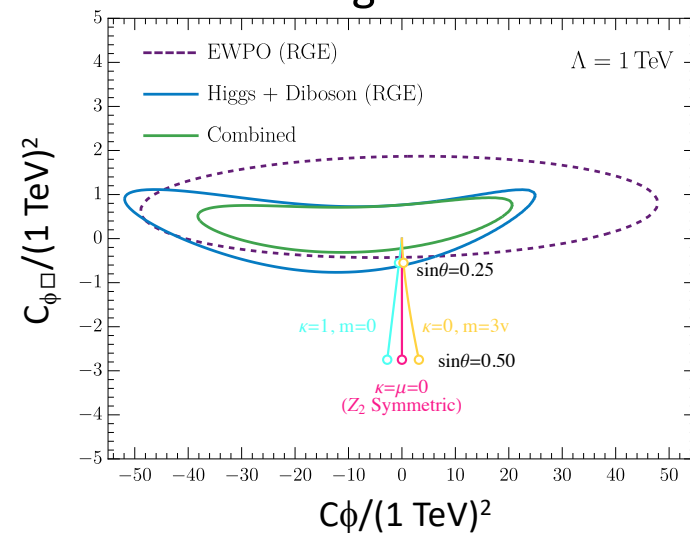
Precision

Assume large separation of scales

Information about Singlet model from fits

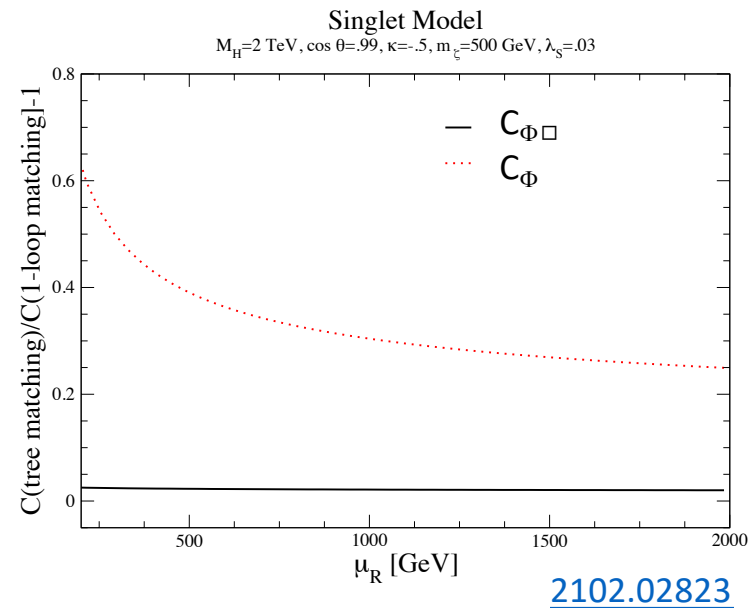
- Including RGE running has significant effect on numerical fits
- Fit is done to arbitrary C_ϕ and $C_{\phi\Box}$, but **model points lie on colored lines**
- Uncertainty in combining C_ϕ and $C_{\phi\Box}$

Fit to coefficients occurring in scalar singlet model



Singlet One-loop matching

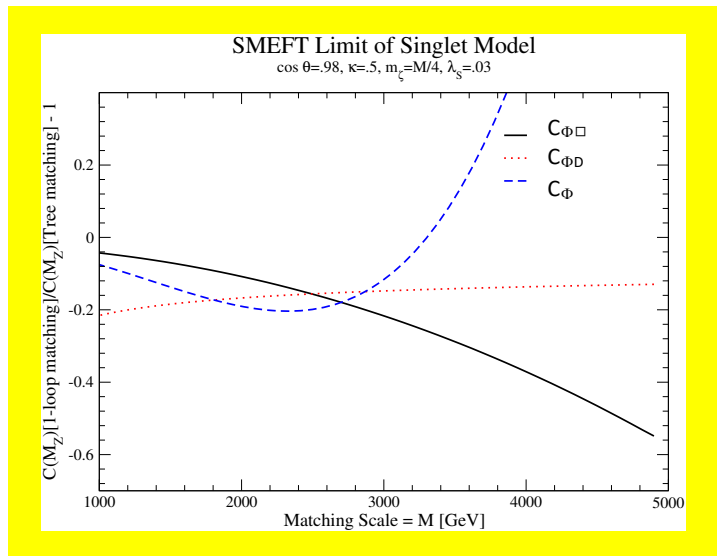
- Does it matter for interpretation?
- Many more coefficients generated at 1-loop
 - Most of them are proportional to tree level $C_{\Phi\Box}$
 - 1-loop contributions to C_{Φ} and $C_{\Phi\Box}$ complicated



[1811.08878](#)

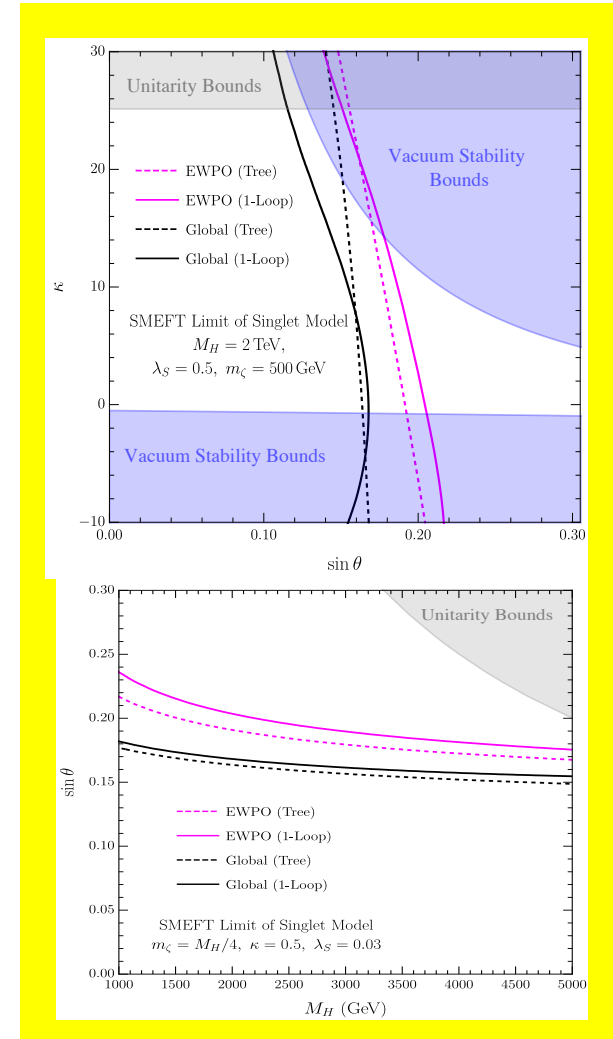
$$V(\Phi, S) \sim \frac{m_\xi}{2} \Phi^\dagger \Phi S + \frac{\kappa}{2} \Phi^\dagger \Phi S^2 + t_S S + \frac{M^2}{2} S^2 + \frac{m_\zeta}{3} S^3 + \frac{\lambda_S}{4} S^4$$

Singlet one-loop matching



In principle, one loop matching requires 2-loop RGEs

$$V(\Phi, S) \sim \frac{m_\xi}{2} \Phi^\dagger \Phi S + \frac{\kappa}{2} \Phi^\dagger \Phi S^2 + t_S S + \frac{M^2}{2} S^2 + \frac{m_\zeta}{3} S^3 + \frac{\lambda_S}{4} S^4$$



[2102.02823](https://arxiv.org/abs/2102.02823)

Uncertainties at dimension-8?

$$A \sim A_{SM} + \frac{C_6}{\Lambda^2} A_6 + \frac{C_8}{\Lambda^4} A_8$$
$$d\sigma \sim |A_{SM}|^2 + 2\text{Re}(A_{SM} A_6^*) \frac{C_6}{\Lambda^2} + \left(\frac{C_6^2}{\Lambda^4} |A_6|^2 + 2\text{Re}(A_{SM} A_8^*) \frac{C_8}{\Lambda^4} \right)$$

- Expanding a dimension-6 amplitude to $O(1/\Lambda^2)$ and squaring amplitude generates $1/\Lambda^4$ terms
- The interference of the SM with the dimension-8 amplitude also gives $1/\Lambda^4$ terms
- Agnostic approach, look at effects of generic dimension-8 operators
- Model specific approach, consider effects in typical models

T VLQ model

- Model is simple: add charge 2/3 vector-like Top partner T_L, T_R

$$L \sim -\lambda_t \bar{q}_L \tilde{H} t_R - \lambda_T \bar{q}_L \tilde{H} T_R - m_T \bar{T}_L \tilde{H} T_R + h.c.$$

- At tree level, generate 3 operators

$$Y_t C_{t\phi} = 2C_{\phi q,33}^{(1)} = -2C_{\phi q,33}^{(3)}$$

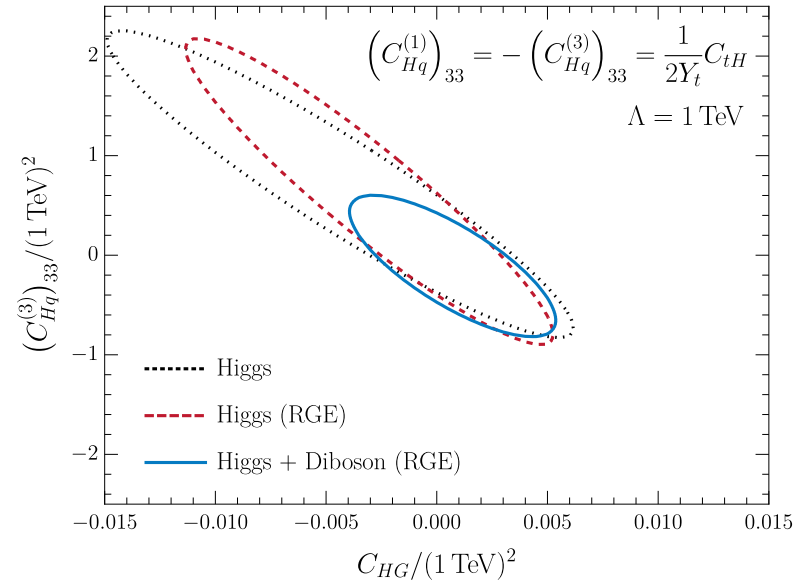
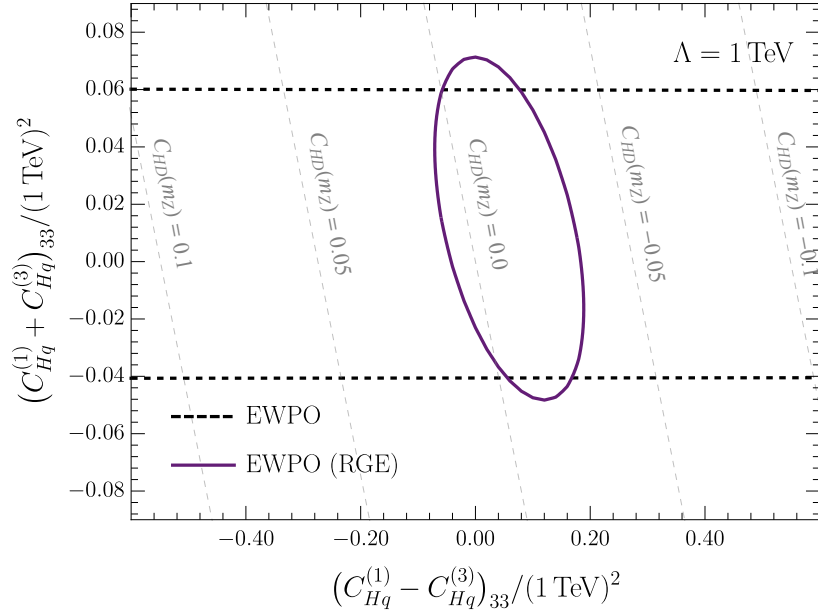
Note blind direction

$$\frac{v^2}{\Lambda^2} C_{\phi q}^{(1)} = \frac{1}{2} (\sin \theta_L)^2$$

- At 1-loop, generate (among others) $O_{\Phi G}$ (effective ggH vertex)

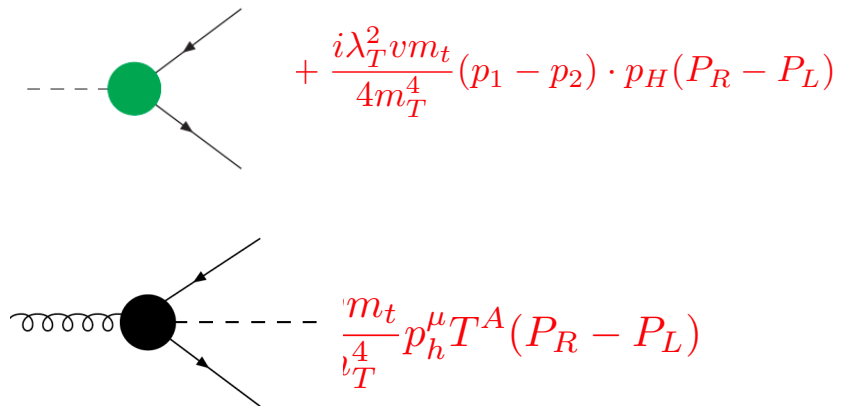
TVLQ at dimension-6

RGE generates C_{HD}



TVLQ at dimension-8

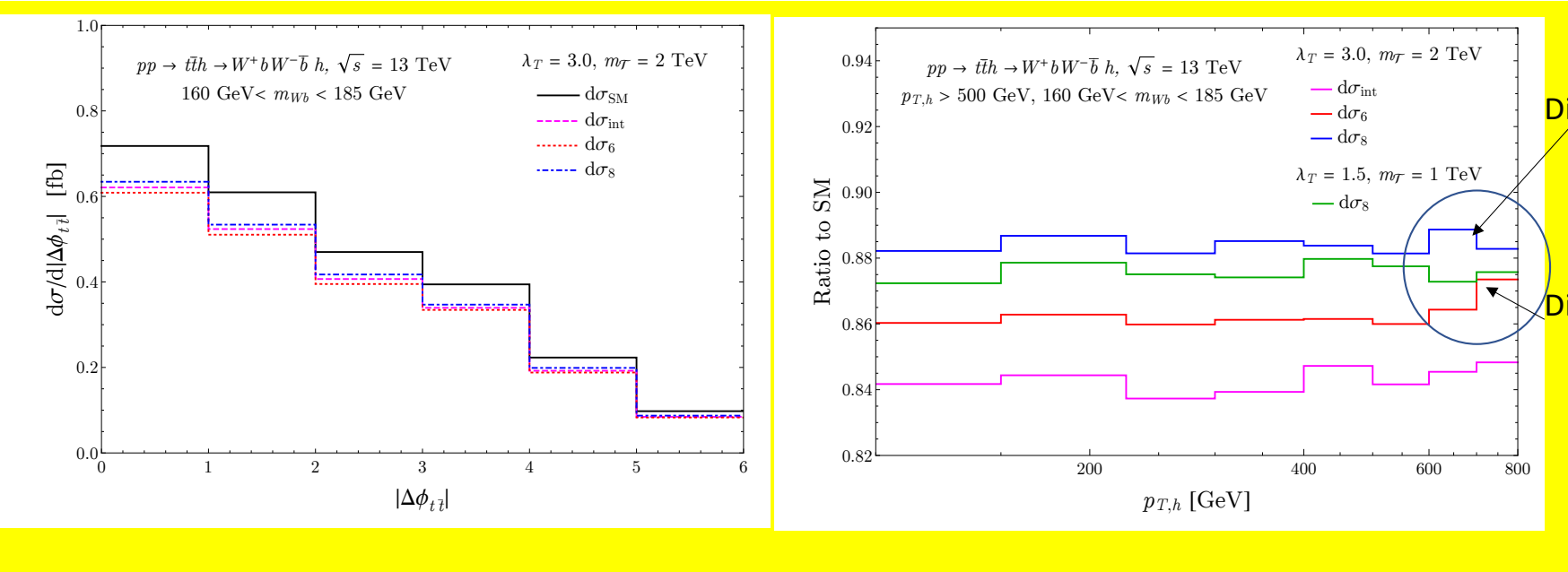
- At dimension-8, generate corrections to top Yukawa, but also momentum dependent contributions
- Can we see these effects in tails of distributions?



The image shows two Feynman diagrams representing dimension-8 corrections to the top Yukawa interaction. The top diagram features a green circular vertex with a dashed line entering from the left and two solid lines exiting to the right. The bottom diagram features a black circular vertex with a wavy line entering from the left, a dashed line entering from the right, and two solid lines exiting to the right.

$$+ \frac{i\lambda_T^2 v m_t}{4m_T^4} (p_1 - p_2) \cdot p_H (P_R - P_L)$$
$$- \frac{m_t}{\nu_T^4} p_h^\mu T^A (P_R - P_L)$$

TVLQ at dimension-8 (ttH production)



Dimension-8 effects very small in this example

2HDM at dimension-8

- Add 2 Higgs doublets, Φ_1 and Φ_2 , with VEVs v_1 and v_2
- Rotate to Higgs basis (H_1 and H_2) where only H_1 has VEV
- Consider usual fermion couplings to H_1 and H_2
- Assume H_2 is heavy and integrate it out using covariant derivative expansion
- At dimension-6 generate corrections to Yukawa-like interactions, $O_{\phi f}$, and to Higgs self coupling, C_Φ

$$L_6 = \frac{C_H}{M^2} (H^\dagger H)^3 + \left[\frac{C_{dH}}{M^2} (H^\dagger H) \bar{q}_L H d_R + \frac{C_{uH}}{M^2} (H^\dagger H) \bar{q}_L \tilde{H} u_R + hc \right]$$

New operators at dim-6 change Higgs-fermion Yukawas and Higgs tri-linear, but don't generate momentum dependence

2HDM to dim-8

- At dim-8, new coefficients generate **hVV** interactions along with momentum dependent operators contributing to hh, VV production

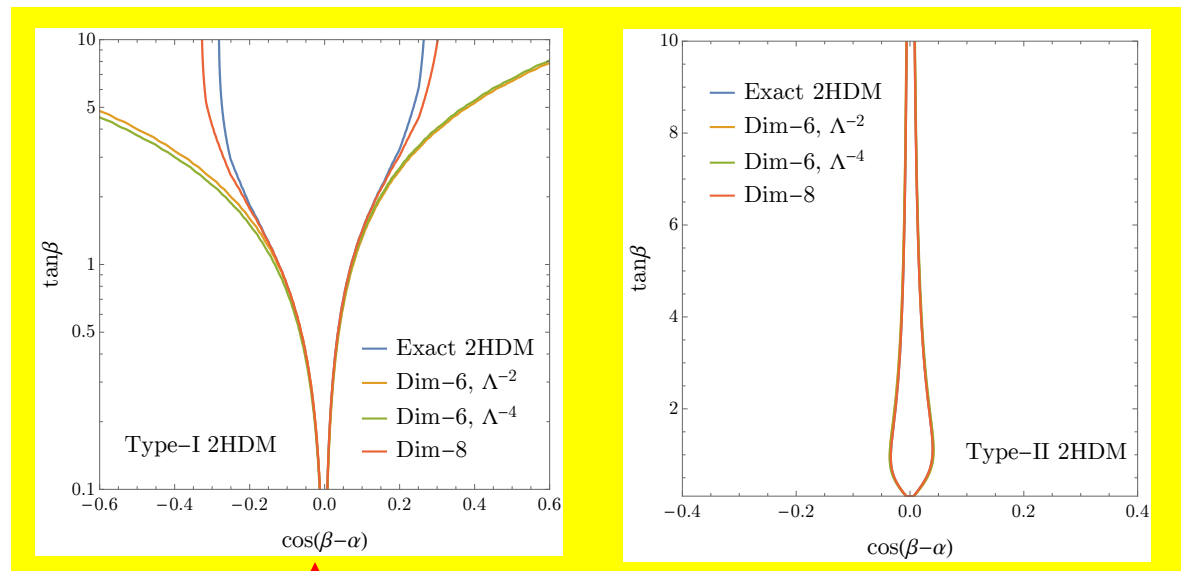
$$Y_f^{(2)} = \frac{\eta_f}{\tan\beta} \frac{\sqrt{2}m_f}{v}$$

	Type-I	Type-II
η_u	1	1
η_d	1	$-\tan^2\beta$
η	1	$-\tan^2\beta$

$$hVV \sim \sin(\beta - \alpha)$$

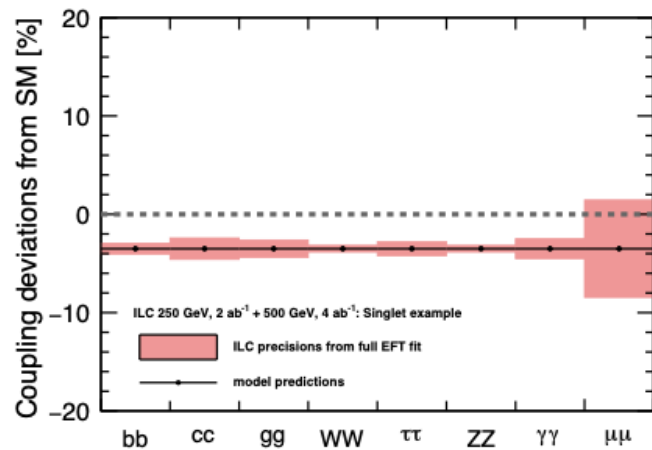
$$\cos(\beta - \alpha) \sim \frac{v^2}{M^2}$$

New physics at dim-8

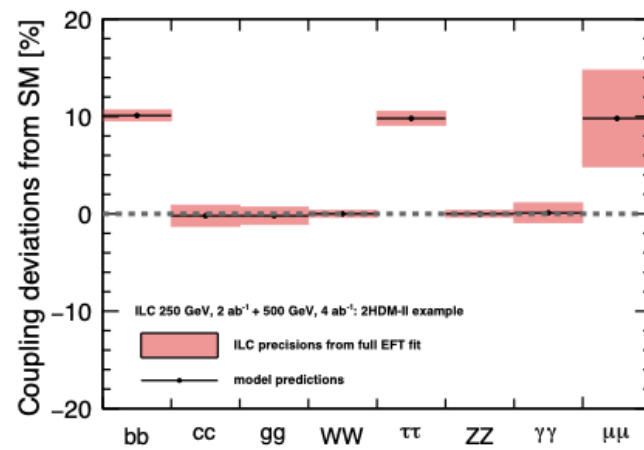


Higgs Inverse problem from an e^+e^- perspective

- Take precision expected on SMEFT couplings at ILC



Singlet model with $M_S=2.8$ TeV



Type-II 2HDM with $M_H=600$ GeV, $\tan \beta=7$

Uncertainties in UV matching to SMEFT

- Radiative corrections
 - QCD automatized
 - EW still on a case by case basis
- RGE running has significant effect on interpretations
- 1-loop matching automatized
 - But need 2-loop RGE for consistency
- Dimension-8 effects
 - Jury is still out