

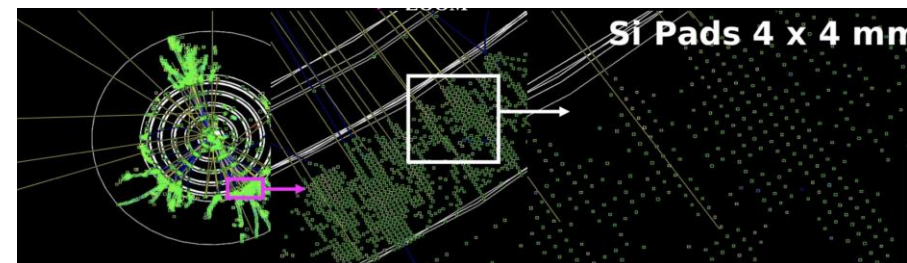
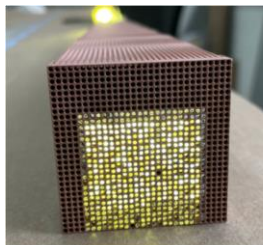
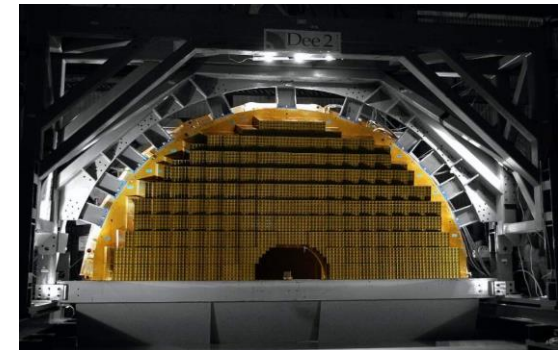
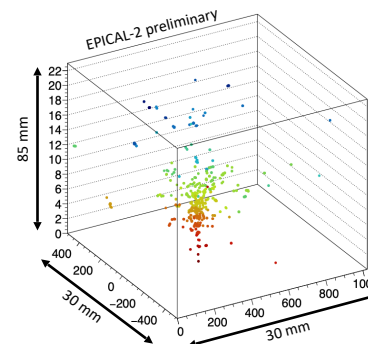
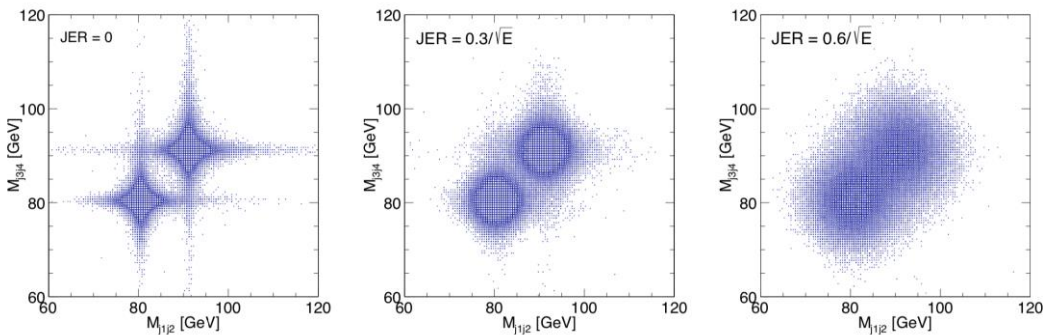
Calorimetry – UK opportunities

Nigel Watson (Birmingham)

with thanks to Iacopo Vivarelli and Fabrizio Salvatore (Sussex) for material

- Dual Readout
- High Granularity
- Opportunities

[Thanks to Roman Poeschl, Tim Rogoschinski, Hiroi Muryama, Yihui Lai]



Physics Goals/Requirements

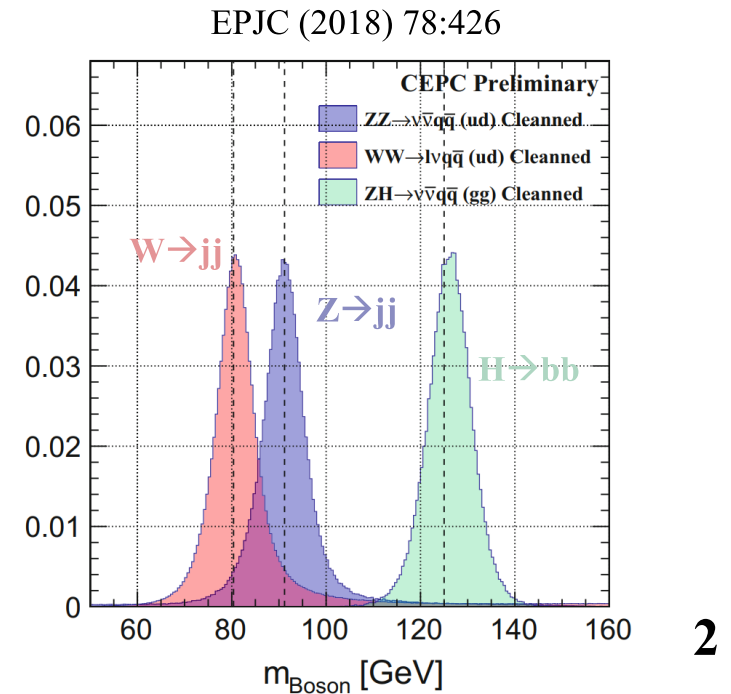
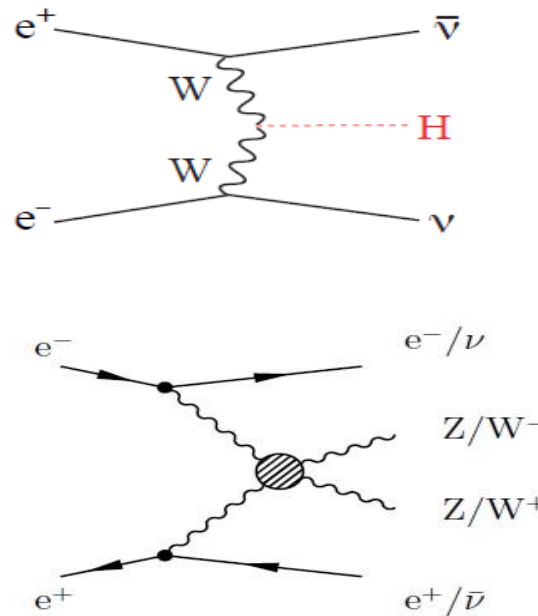
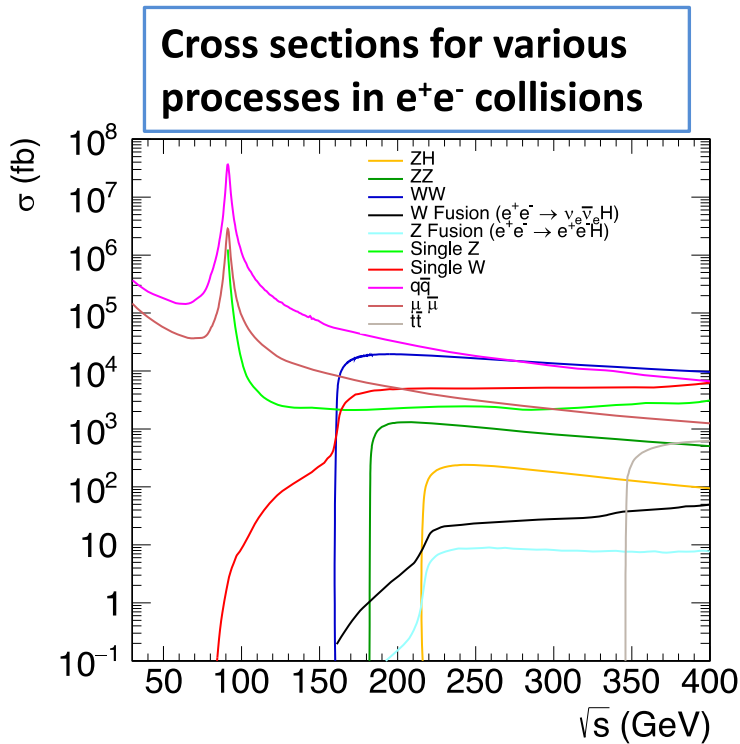
- Energy scale of all machines considered comparable
- Same measurable final states / physics
- Same energy resolution goals
- **Only** differences: bunch time structure and backgrounds
 - Use developments in fast timing, a la HL-LHC?
- Profit from huge overlap / re-use of ideas (slides)



Physics Motivation – hadronic jets

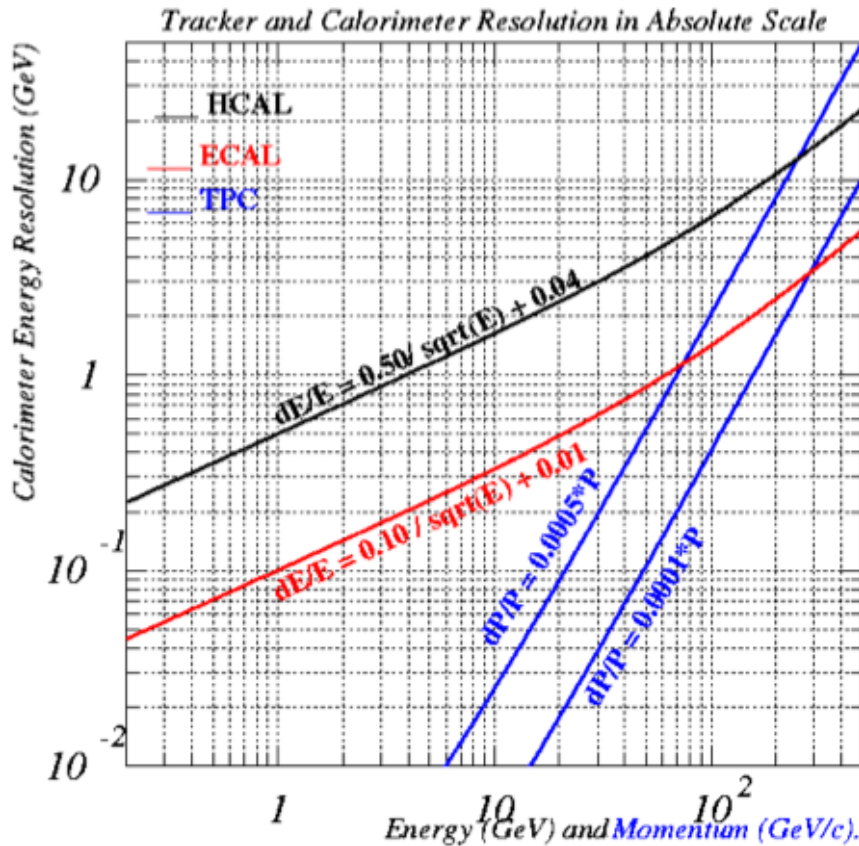
Motivation

- Jet energy resolution is a key benchmark of the e^+e^- detector performance because 97% of the SM Higgsstrahlung signal has jets in the final states
- A critical metric is how well the hadronically-decayed W/Z bosons can be separated
 - 3~4% jet energy resolution ~100GeV gives decent W/Z separation $\sim 2.5 \sigma$
- Very hard to achieve with a traditional approach to calorimetry



Jet Energy Resolution

Final state contains high energetic jets from e.g. Z,W decays
Need to reconstruct the jet energy to the utmost precision !
Goal is around $dE_{jet}/E_{jet} - 3-4\%$ (e.g. 2x better than ALEPH)



TPC Momentum Resolution (GeV/c)

Jet energy carried by ...

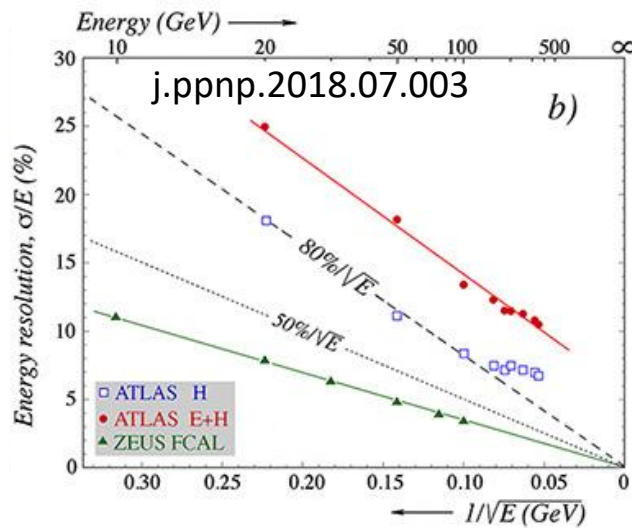
- Charged particles (e^\pm, h^\pm, μ^\pm 65% :((
Most precise measurement by Tracker
Up to 100 GeV
- Photons: 25%
Measurement by Electromagnetic
Calorimeter (ECAL)
- Neutral Hadrons: 10%
Measurement by Hadronic
Calorimeter (HCAL) and ECAL

$$\sigma_{Jet} = \sqrt{\sigma_{Track}^2 + \sigma_{Had.}^2 + \sigma_{elm.}^2 + \sigma_{Confusion}^2}$$

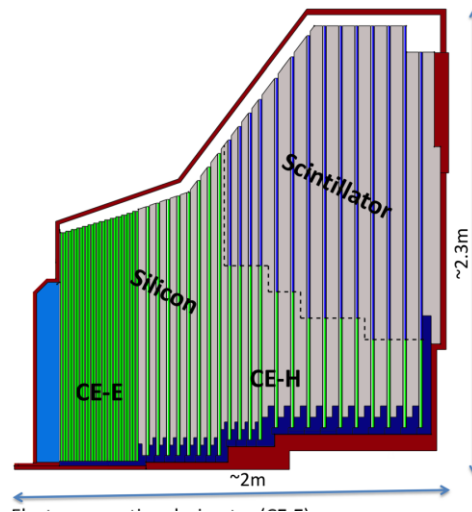
High-performance calorimetry: options

Motivation

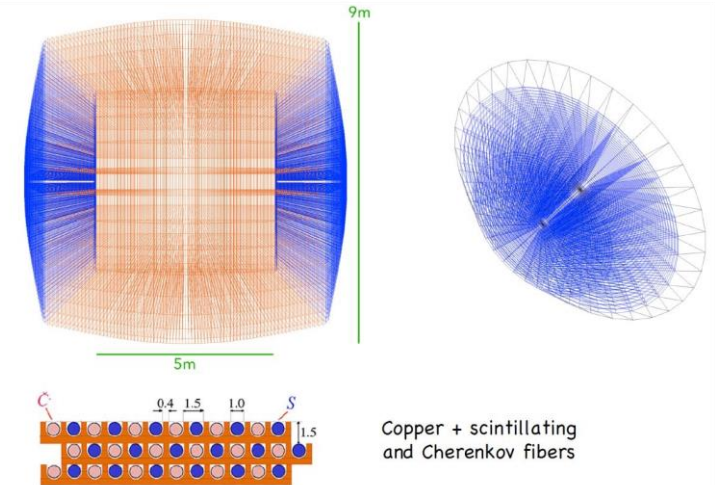
- A typical HCAL resolution of $\sigma_{HAD}/E > 50\%/\sqrt{E}$
- Two different but complementary approaches:
 - Particle Flow Algorithm (PFA) oriented, using High granularity calorimeter (HGC)
 - Dual Readout (DRO) calorimeter, improve the resolution by additional information from Cherenkov light and identify the EM fraction



ZEUS: 35% \sqrt{E}



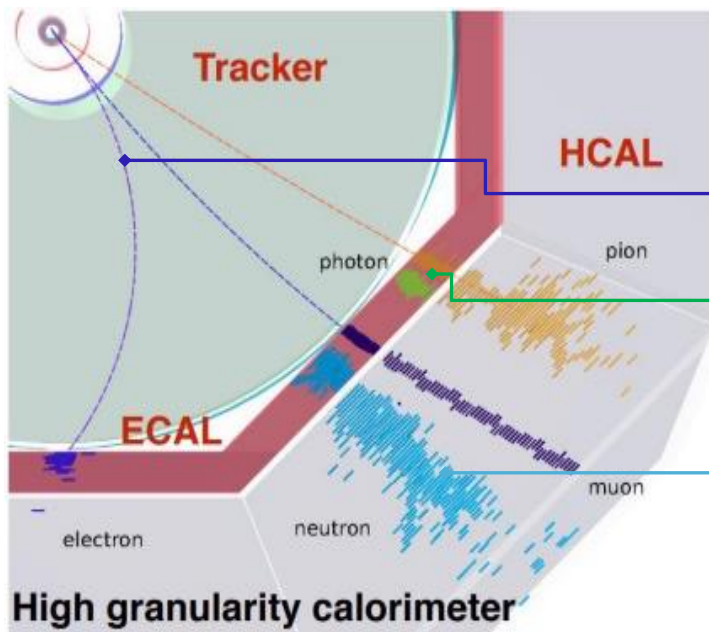
CMS High granularity calorimeter



Sketch of the IDEA calorimeter (left) and endcap geometry (right)

High Granularity Calorimetry

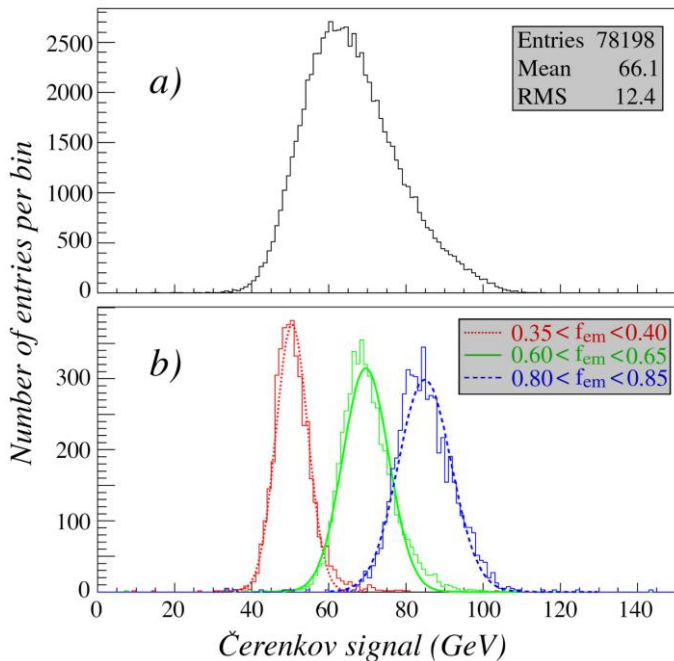
- HGCAL achieve excellent jet resolution by the tracker and shower pattern recognition
- Each individual particle in shower is reconstructed and identified with the subdetector providing the best energy resolution for that particle type
- Calorimeter resolution requirements not that stringent. EM $\sim 15\%/\sqrt{E}$ and HAD $\sim 55\%/\sqrt{E}$



Particles	Energy fraction	Subdetector	Typical resolution
Charged particles	$\sim 65\%$	Tracker	$<5 \times 10^{-5} p_T$
Photons	$\sim 25\%$	ECAL	$\sim 15\%/\sqrt{E}$
Neutral hadrons	$\sim 10\%$	ECAL+HCAL	$\sim 55\%/\sqrt{E}$

Dual readout - the principle

- Resolution of the **hadronic energy measurement** affected by fluctuations in the fraction of **energy carried by $\pi^0 \rightarrow \gamma\gamma$ (f_{em})**.
- **Two readouts with different e/h** allow the extraction of f_{em} and of the **incoming energy E** .
- For example: **spaghetti calorimeter** with alternating **doped (Scintillating)** and **clear (Cherenkov) fibres**.
- More details [here](#).

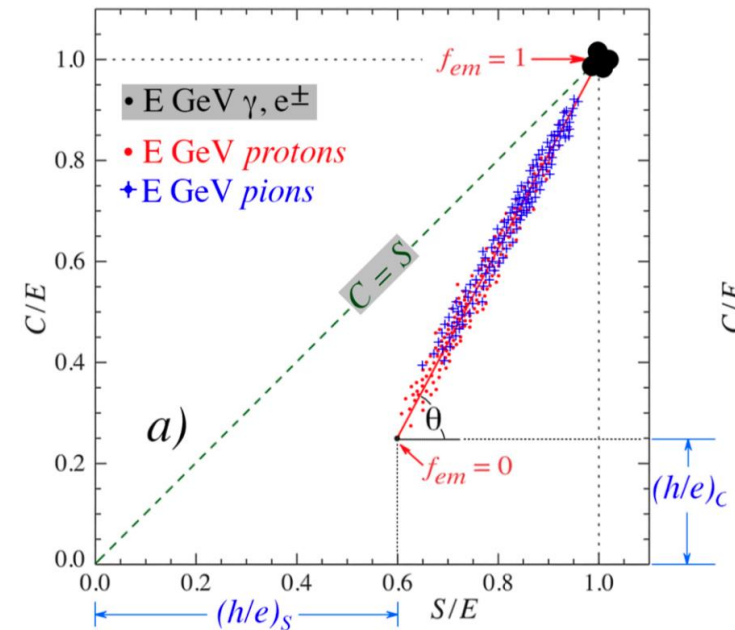


$$E_S = E \left(f_{em} + \left(\frac{h}{e} \right)_S (1 - f_{em}) \right)$$

$$E_C = E \left(f_{em} + \left(\frac{h}{e} \right)_C (1 - f_{em}) \right)$$



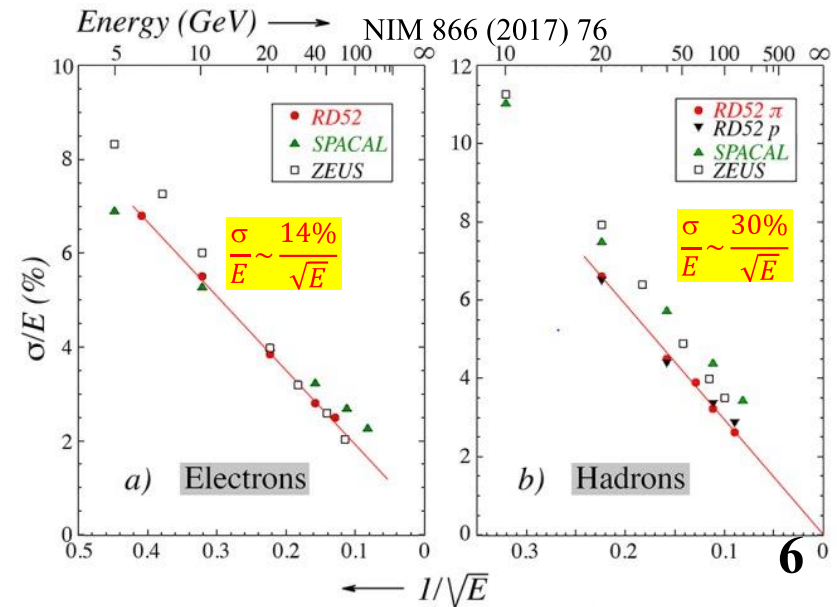
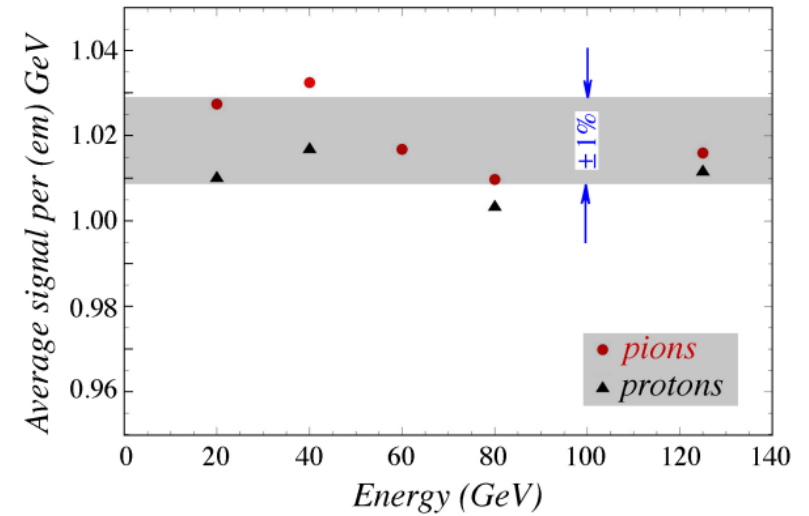
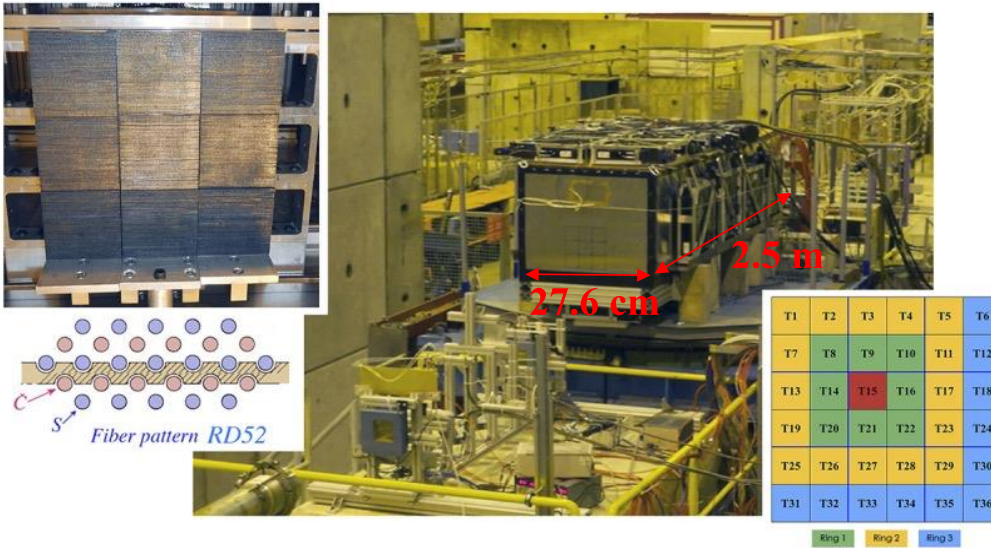
Solve for
 f_{em} and E



Dual Readout Calorimetry

- Extensive R&D by the DREAM/RD52/IDEA collaborations (Rev. Mod. Phys. Vol 90, April 2018):
 - Sampling calorimeter with lead or copper absorber
 - Clear plastic fibers and scintillation fibers for C/S readout
- Linearity and HAD energy resolution are excellent. While the EM resolution is good enough to achieve the W/Z separation goal, could it be better ?

Lead absorber, 9 modules with ~36k fibers

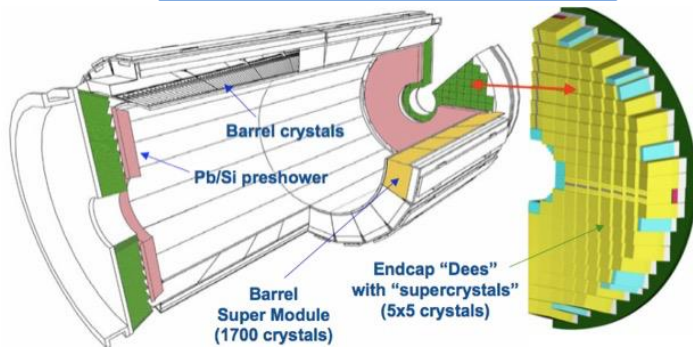


Can we get best of both?

Combine strengths from several calorimeter concepts

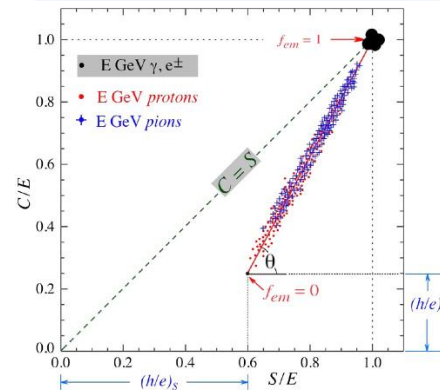
- Can we combine the strengths of a crystal ECAL with that of a DRO calorimeter?

Crystal Calorimeter



Excellent EM energy resolutions

DRO Calorimeter



Excellent Linearity and HAD energy resolution

=> DRO crystal ECAL?

- Can a DRO crystal ECAL be combined with a DRO HCAL to have excellent energy resolution for both EM particles and hadrons?

A Segmented DRO Crystal ECAL with a DRO Fiber HCAL

- **Two Timing layers**

- $\sigma_t \sim 20$ ps
- LYSO:Ce scintillating crystals ($\sim 1 X_0$)
- $3 \times 3 \times 60$ mm³ thin crystal bar
- 3×3 mm² SiPM (15-20 μ m cell size)

- **Two ECAL layers**

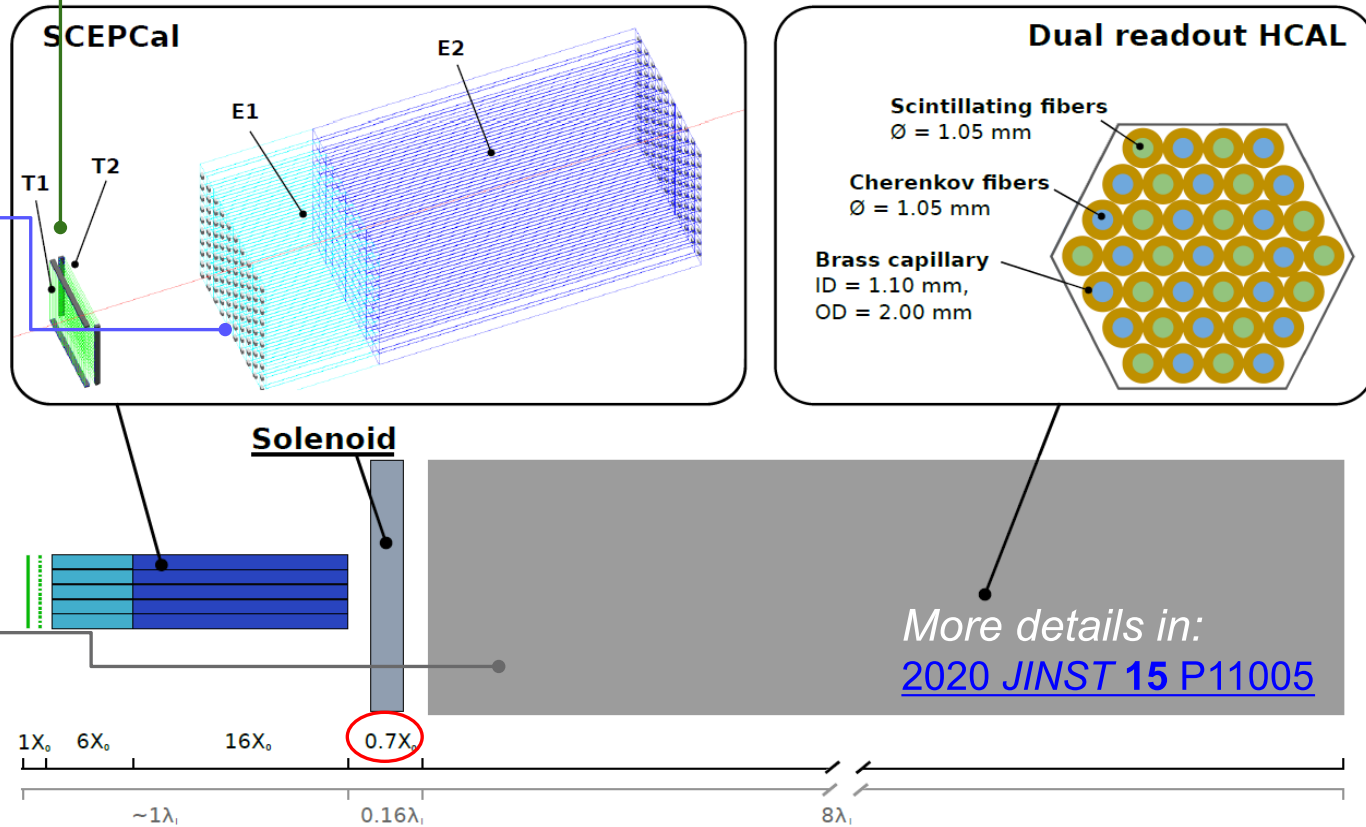
- $\sigma_{EM}/E \sim 3\%/\sqrt{E}$
- PbWO₄ crystals
- Crystal cross-section: 10×10 mm²
- 5×5 mm² SiPM (10-15 μ m cell size)

- **Ultra-thin IDEA solenoid**

- $\sim 0.7X_0$

- **HCAL layer**

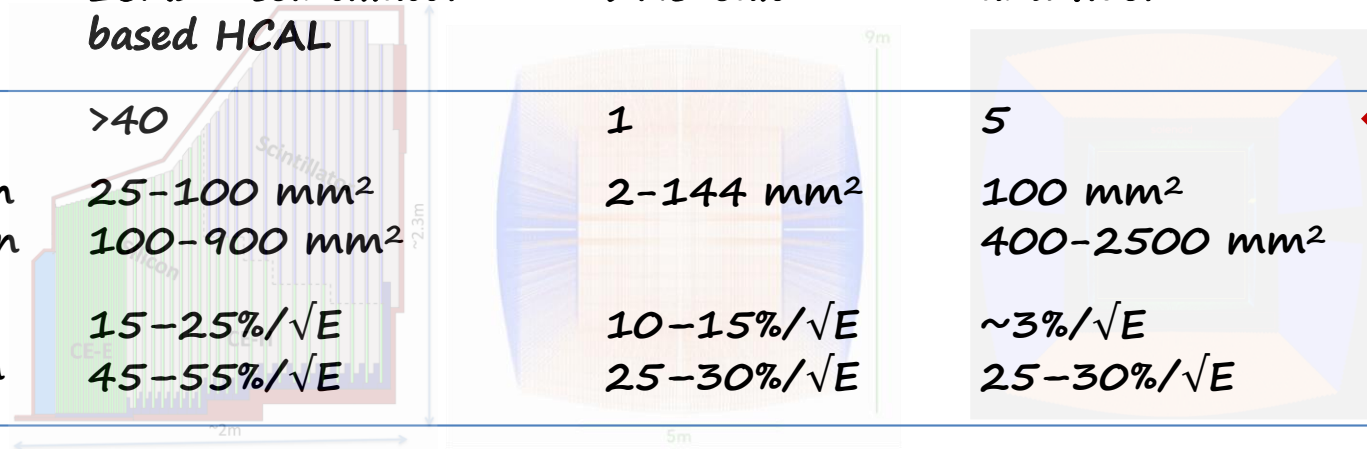
- $\sigma_{HAD}/E \sim 26\%/\sqrt{E}$
- Scintillating and “clear” PMMA fibers inserted inside brass capillaries



Summary

- With the advancement in SiPM technologies, the highly performant DRO hybrid calorimeter system is suitable for future Higgs factories
 - Excellent EM, HAD and jet resolution and high energy linearity by combining the DRO information from different calorimeter segments (homogeneous crystals + sampling fibers)
 - Enhanced particle identification capabilities by the moderate longitudinal segmentation
 - Further 3-4% improvement for $E_{\text{jet}} > 50$ GeV combining DRO with particle flow algorithm

	High granularity Si/W ECAL + scintillator- based HCAL	Fiber-based DRO calo	Hybrid DRO crystal and fiber
# longitudinal layers	>40	1	5
ECAL cell cross-section	25-100 mm ²	2-144 mm ²	100 mm ²
HCAL cell cross-section	100-900 mm ²		400-2500 mm ²
EM energy resolution	15-25%/√E	10-15%/√E	~3%/√E
HAD energy resolution	45-55%/√E	25-30%/√E	25-30%/√E



Further reading from Calor'22



- Romualdo Santoro (DRO Testbeam and plans/SiPM)
 - ▶ https://indico.cern.ch/event/847884/contributions/4833179/attachments/2445325/4191419/santoro_Calor2022.pdf
- Yihui Lai (DRO/ crystals)
 - ▶ https://indico.cern.ch/event/847884/contributions/4833223/attachments/2446287/4193575/CALOR_Lai.pdf
- Roman Poeschl (SiW ECAL)
 - ▶ <https://indico.cern.ch/event/847884/contributions/4833219/attachments/2446225/4191891/calor2022.pdf>

Dual Readout

- International collaboration, currently two options:
 - Full fibre calorimeter (HAD + EM)
 - Discussed in many documents, incl. CEPC and FCC CDRs (baseline calorimeter option for the IDEA detector concept).
 - Fibre (HAD) + crystals (EM)
 - Appealing, superb EM performance, hardware longitudinal segmentation (improved PFA)
 - Recent Snowmass White Paper summarises performance:
 - <https://arxiv.org/abs/2203.04312>
-

Dual Readout

- International collaboration
 - Researchers from: **INFN** (Italy), **CERN**, **USA institutions** (Caltech, Fermilab, Princeton, Purdue, Texas, Maryland, Michigan, Virginia), **Korea** (Kyungpook, Seoul, Yonsei), **UK (University of Sussex)**
- Funding
 - INFN and University of Sussex: funded through AIDAInnova.
 - O(million currency units) grants obtained by:
 - INFN: HIDRA (construction of Had-size prototype)
 - Korea: construction of had-size prototype
 - USA: CalVision (dual readout with homogeneous crystal calorimeters)

Dual Readout

- Opportunities for collaboration
 - University of Sussex involved in
 - Simulation, performance, test beam analysis, monitoring, optical fibre characterisation, SiPM timing performance measurement.
 - Items where effort sub-optimal
 - Design of fibre+SiPM calibration system.
 - Front-end electronics optimisation (commercial CAEN FERS 5200 units used so far).
 - Dual Readout Crystal option.
-



Future calorimetry plans: RAL perspective (@2021)

- **RAL CMS group: no calorimeter hardware project planned beyond HL-LHC at present**
- **RAL detector expertise is crystal calorimetry (much smaller group than during CMS construction).**
 - Could contribute ideas and 20+ years of CMS experience, if significant interest in a crystal based calorimeter for ILC/FCC-ee within the UK community
 - Strong links to CERN calorimeter groups, likely be a significant driving force in any crystal or fibre-based calorimeter for future projects based at CERN
 - See M. Lucchini [talk](#) at ECFA TF6 symposium for a survey of R&D activities
- **Very significant trigger expertise, can be leveraged for future projects**
 - Hardware/firmware/algorithm expertise, in both calorimeter, tracker and trigger systems
 - Potential interest in algorithms that combine tracker and calo signatures in future L1 trigger systems

Jet energy measurement by measurement of **individual particles**

Maximal exploitation of precise tracking measurement

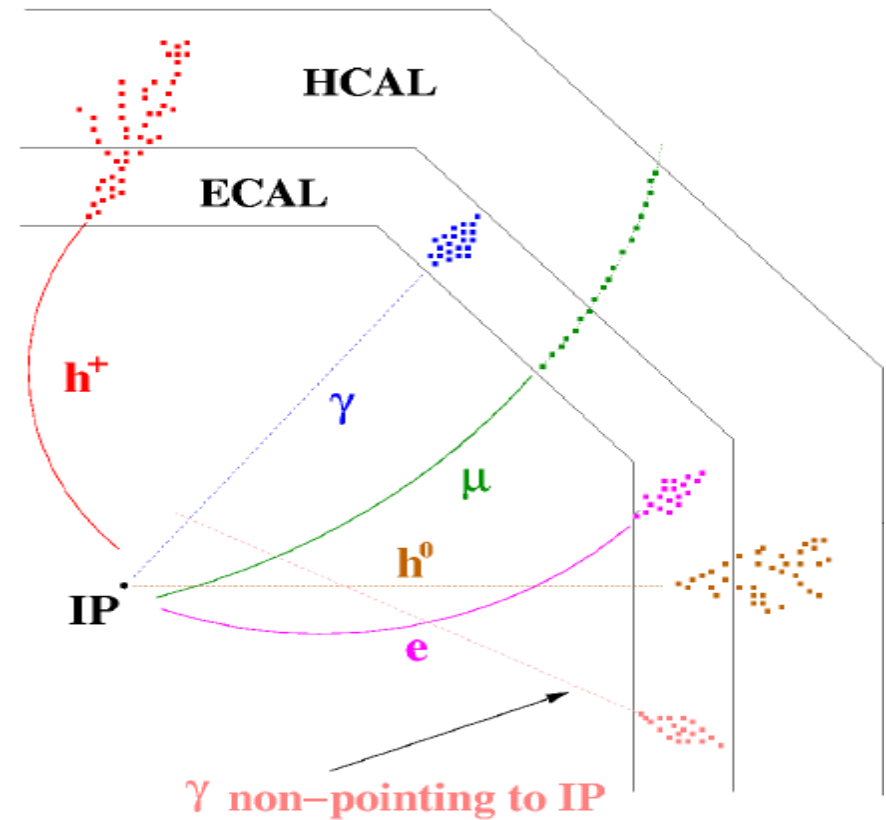
- large radius and length
 - to separate the particles
- large magnetic field
 - to sweep out charged tracks
- “no” material in front of calorimeters
 - stay inside coil
- small Molière radius of calorimeters
 - to minimize shower overlap
- **high granularity of calorimeters**
 - to separate overlapping showers

Also thin, reduce high-field solenoid cost

Particle flow as privileged solution for experimental challenges

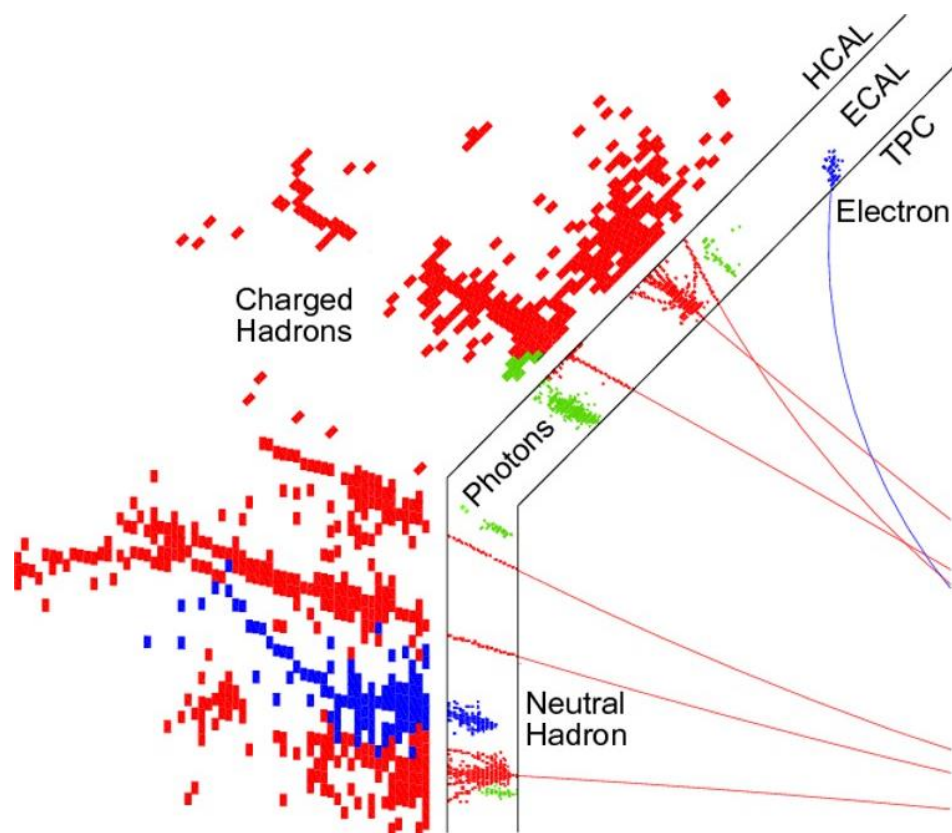
=> **Highly granular calorimeters!!!**

Emphasis on tracking capabilities of calorimeters



Silicon Tungsten electromagnetic calorimeter

- Base measurement as much as possible on measurement of charged particles in tracking devices
- Separate of signals by charged and neutral particles in calorimeter



- Complicated topology by (hadronic) showers
- Overlap between showers compromises correct assignment of calo hits

□ Confusion Term

Need to minimize the confusion term as much as possible !!!

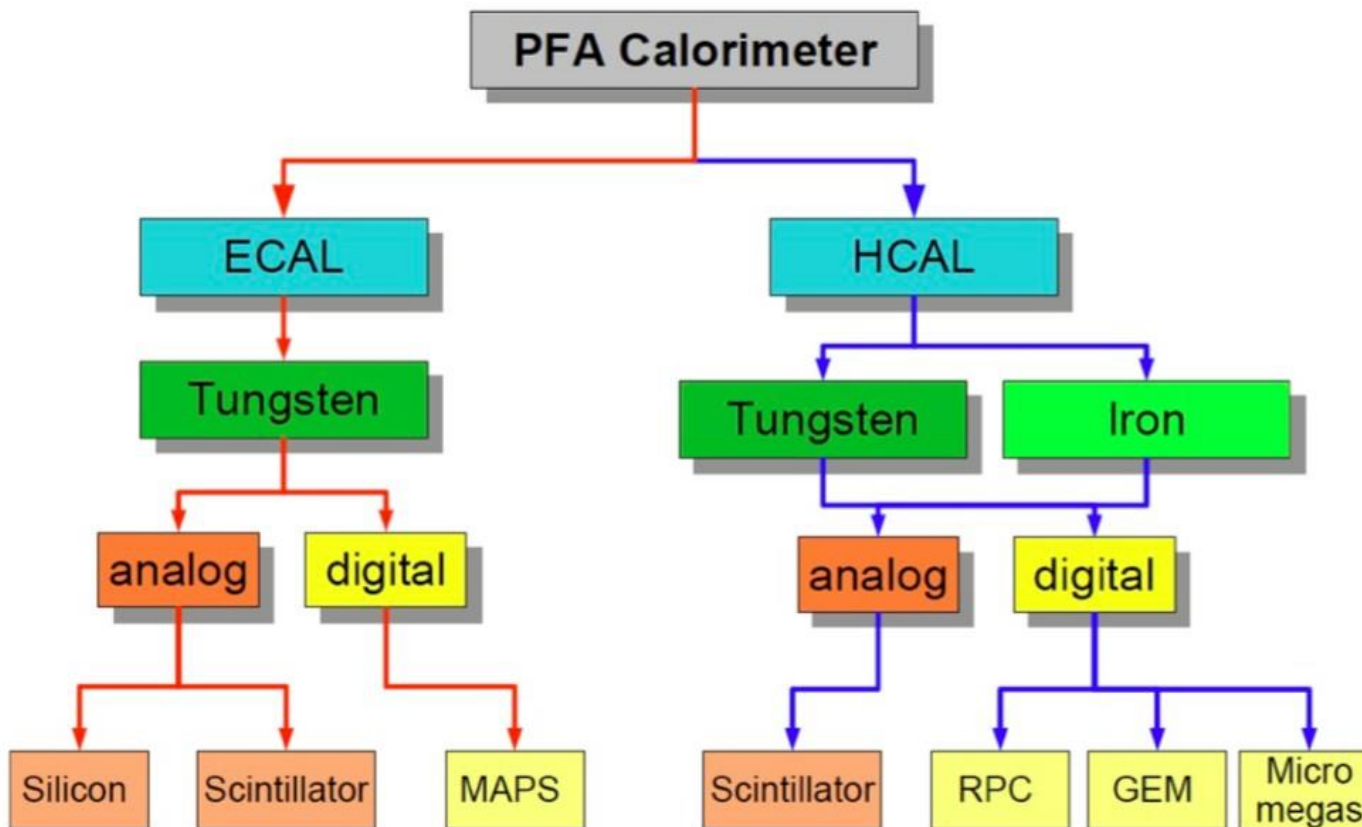
e.g. cross-detector concept R&D



CALICE Collaboration



Mainly organised within the:  Collaboration



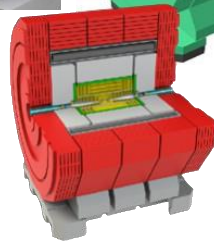
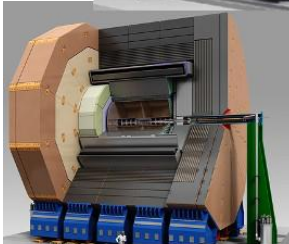
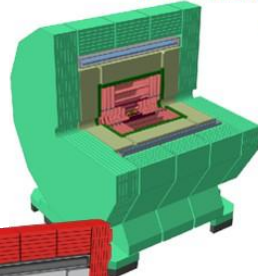
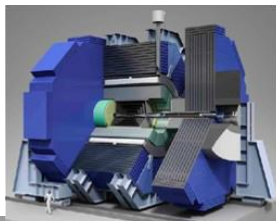
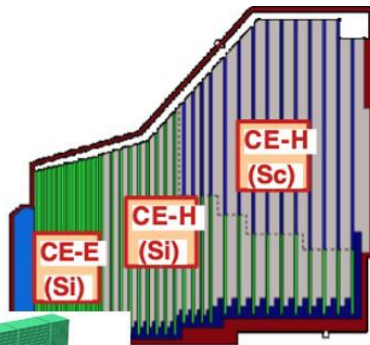
- Grouped into two categories:

Main calorimeters of collider experiments

(barrel and / or endcap)

CMS HGCAL

(ECAL, part of HCAL)

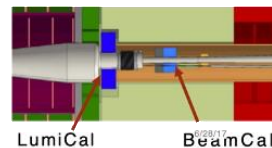


ECALs of Higgs Factory detectors
(linear and circular colliders);
Muon colliders

Smaller, specialized calorimeter systems

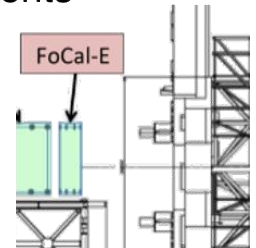
in collider and non-collider experiments

Very forward calorimeters: ALICE



Luminosity

measurement at e^+e^-



Non-collider experiments: LUXE, Satellites,

...

Main arguments for adopting silicon:

- Finely segmentable: High granularity
- Robust and stable performance
- Compact design, high density
 - typically combined with W absorbers for maximum compactness, small ρ_M

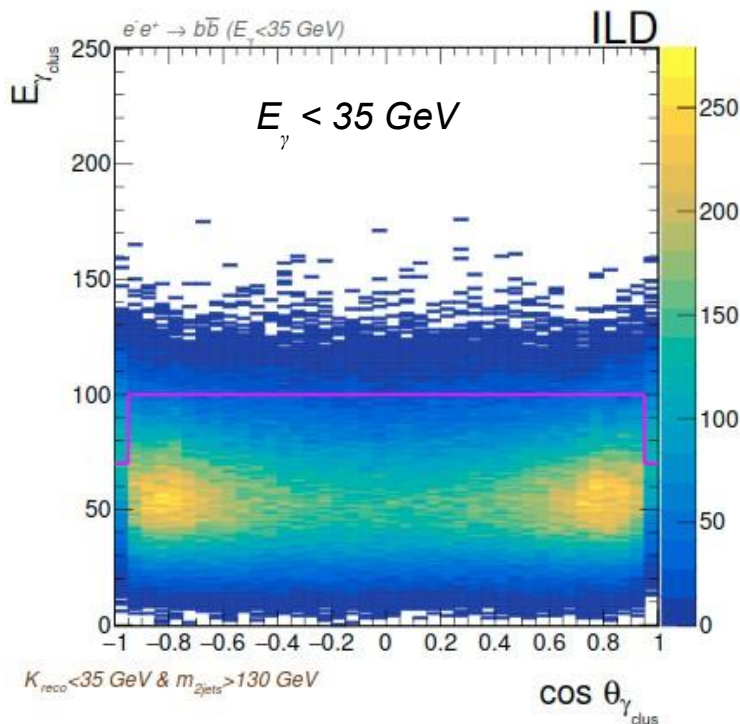
Main challenge: Cost

Symposium talk: V. Boudry

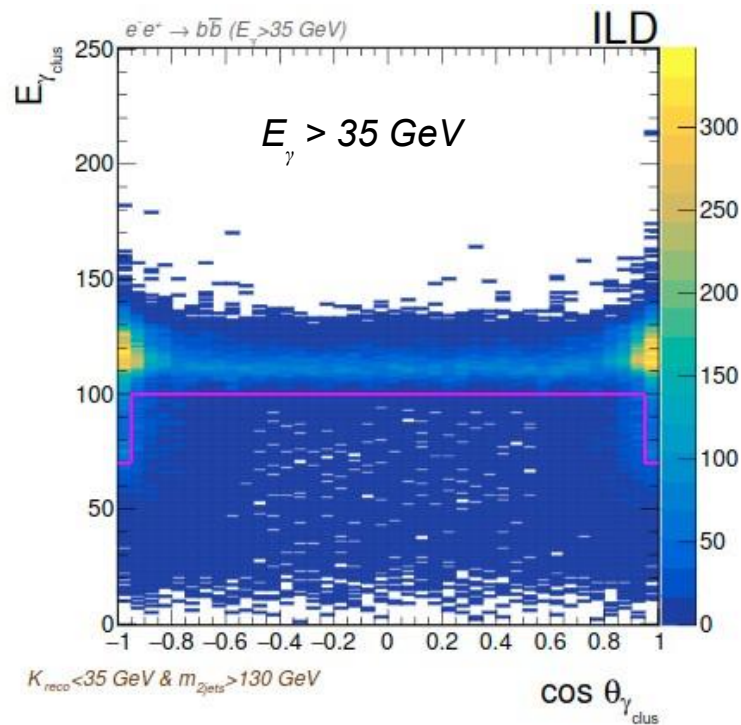
Granular calorimeters – Use case III

- Most ISR Photon are radiated collinearly but lead to a boost -> Check for acolinearity of dijet event
- Method doesn't work when photon is radiated into detector acceptance
 - ... and merged with a jet --> Busy environment

No or mild ISR



“Strong? ISR



- Excellent photon ID in granular calorimeter is key
- Identification of ISR photon within detector (jet) reduces ISR background by nearly a factor of six
- Would be interesting to carry out this analysis with less granular calorimeters

ILD: Irls, Richa

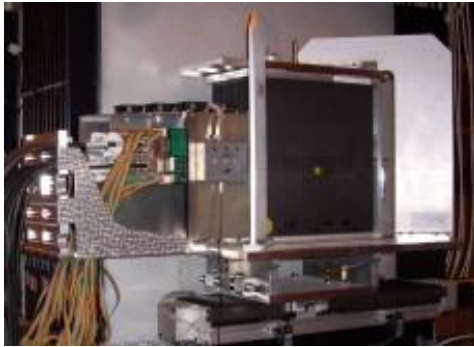
Historical context



Steps of R&D

Physics Prototype

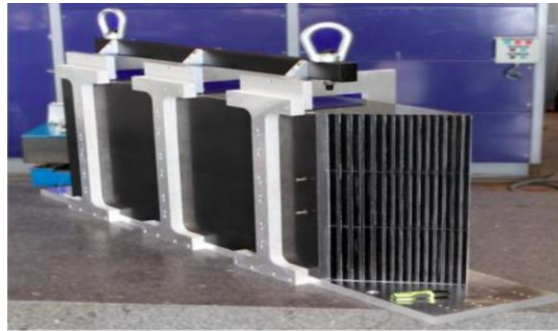
2003 - 2012



- Proof of principle of granular calorimeters
- Large scale combined beam tests

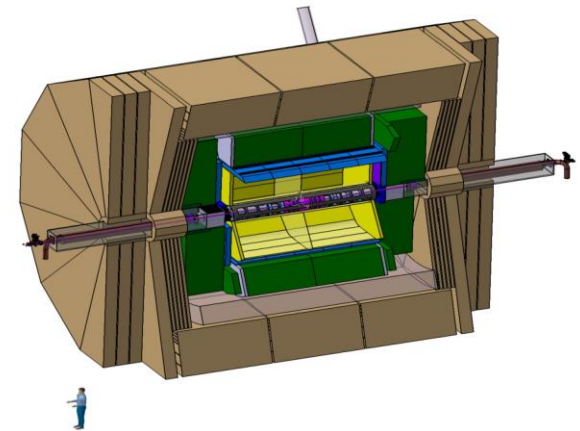
Technological Prototype

2010 - ...



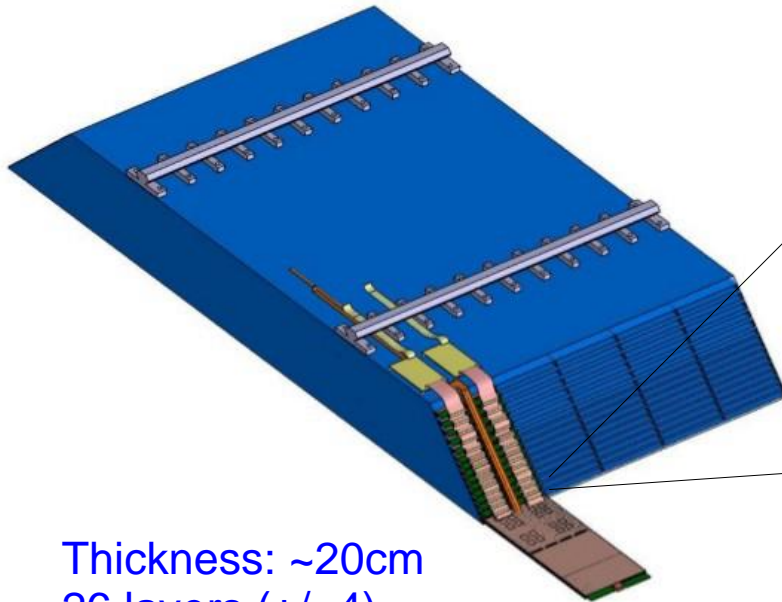
- Engineering challenges
- Higher granularity
- Lower noise
- **Today**

LC detector

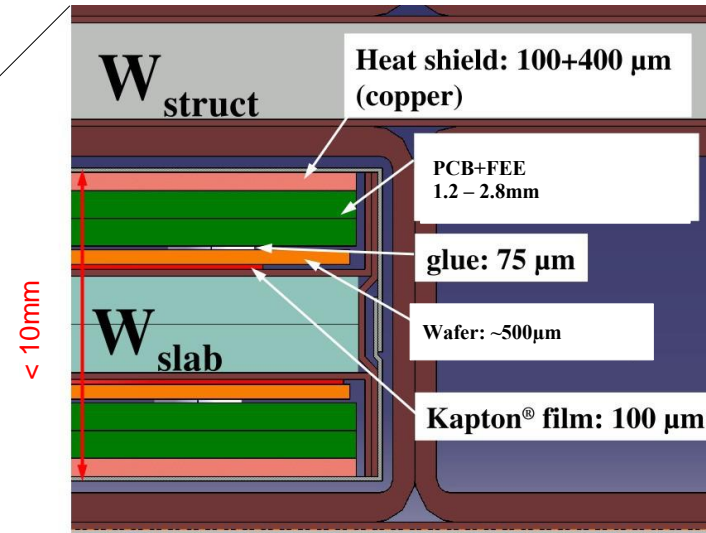


- The goal
 - Typically 10^8 calorimeter cells
- Compare:
 - ATLAS LAr $\sim 10^5$ cells
 - CMS HGCAL $\sim 10^7$ cells

Ecal alveolar structure



Thickness: ~20cm
26 layers (+/- 4)
24 $X_0/1\lambda_1$
Expected elm. energy resolution 15-20%/√E



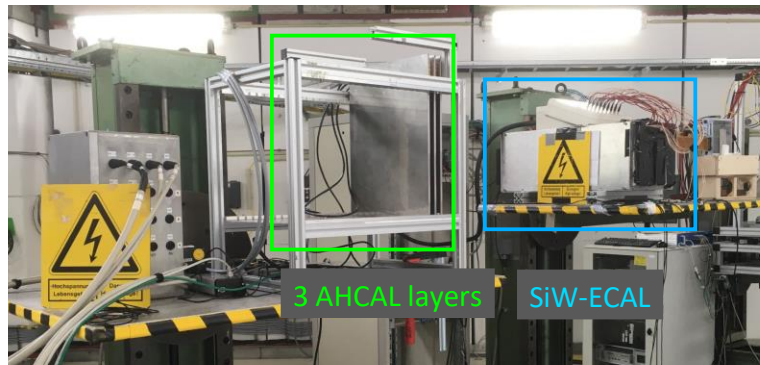
- Sandwich calorimeter
 - Si sensors as active material
 - W as absorber material
- Highly integrated design
 - ASICs in detector volume
 - Compact readout system

(Well) beyond proof-of-principle



Common testbeams

Preparation for common SiW-ECAL AHCAL beam test



SiW-ECAL + AHCAL DAQ test @ DESY in March 2022



- Successful synchronisation of data recorded with SiW-ECAL and AHCAL
- Common running makes full use of EUDAQ tools (developed within European projects)

Gearing up for common beam test at CERN in June

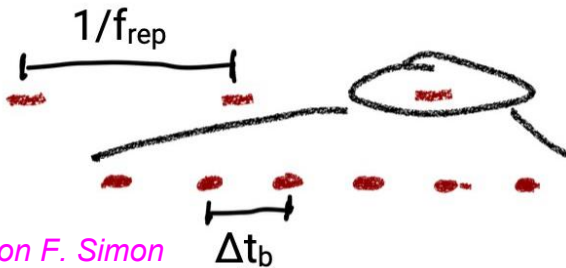
Power not just a concern for machine



Reminder power pulsing



- Linear Colliders operate in bunch trains



Cartoon F. Simon

CLIC: $\Delta t_b \sim 0.5\text{ns}$, $f_{\text{rep}} = 50\text{Hz}$

ILC: $\Delta t_b \sim 550\text{ns}$, $f_{\text{rep}} = 5\text{ Hz (base line)}$

- Power Pulsing reduces dramatically the power consumption of detectors
 - e.g. ILD SiECAL: Total average power consumption 20 kW for a calorimeter system with 10^8 cells
- Power Pulsing has considerable consequences for detector design
 - Little to no active cooling
 - => Support compact detector design
- Have to avoid large peak currents
- Have to ensure stable operation in pulsed mode
- Upshot: Pulsed detectors face other R&D challenges than those that will be operated in “continuous” mode

opportunities

(Non exhaustive) “To do list” (for LC Detector)

	Today	LC Detector
#cells*	15360	10 ⁸
Sensor surface/m ²	0.5	2000-2500
Sensor type	9x9cm ² based on 6" wafers	Size ? Based on 8" wafers?
Real size slabs	1 "electrical" long layer	~10000 detector slabs (5000 double layers)
Front end ASICs	SKIROC2, ns timing	SKIROC3, ps timing? Need 1.2-1.5M
Digital electronics	SL-Boardv2 (already quite close)	New versions, need 9k
DAQ	Highly performant system for prototype	Scaling to full detector
PCB	FEV2.x (already quite close)	Integration of new FE electronics, need ~75k
Slow control	Integrated in SL Board	Solution for full detector?
Mechanical Structures	1 barrel alveola structure (EUDET 2010)	40 barrel modules + endcaps
Carrier Boards	Simple carbon plates	"H Boards" with wrapped W (Studies date back to 2010-2016)
Cooling	Advanced studies (AIDA-2020)	Full detector integration Continous powering woulf be anew world
Engineering (electrical and mechanics)	Advanced studies (for ILD IDR)	Require full revision and consolidation
Software	Few skillful people	Needs consolidation and person power

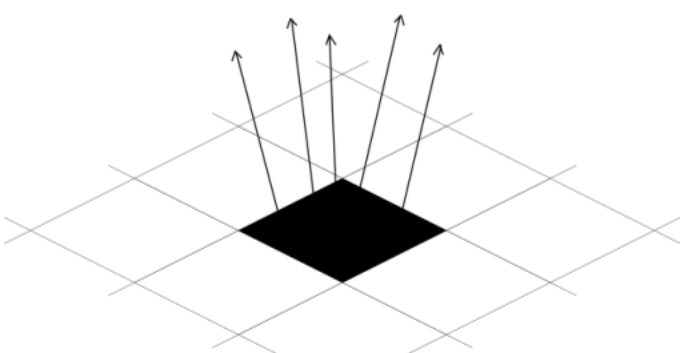
- A lot has been achieved
- ... but the way is still long, as of today the team is too small and the funding is very (too) volatile
- We are good in engineering but too few (young) physicists

Project timeline | |
Career paths?

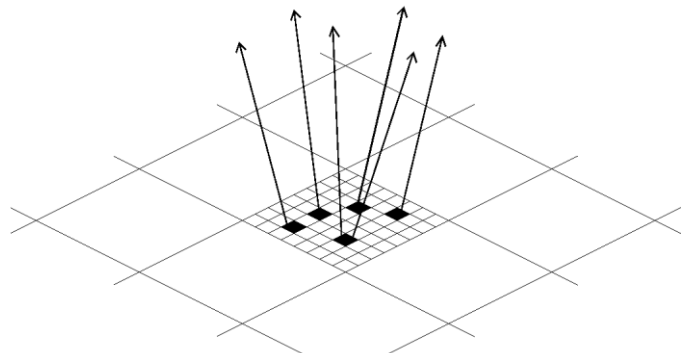


DECAL Concept – cost /performance for SiW ECAL

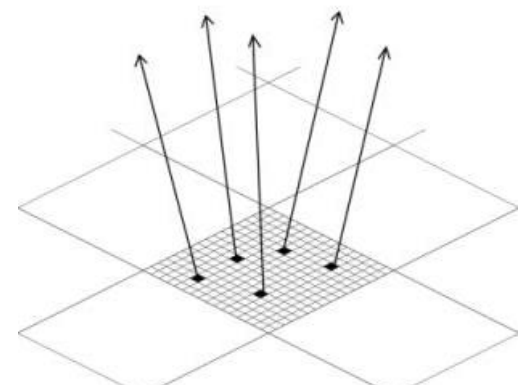
- Swap $\sim 0.5 \times 0.5 \text{ cm}^2$ Si pads with **small** pixels
 - at most one particle/pixel, 1-bit ADC/pixel - digital
- How small to avoid saturation/non-linearity?
 - EM shower core density at 500GeV $\sim 100/\text{mm}^2$
 - Pixels must be $< 100 \times 100 \mu\text{m}^2$
 - Used baseline $50 \times 50 \mu\text{m}^2$
 - Gives $\sim 10^{12}$ pixels for ECAL
- **Simpler construction (no bump bonding)**
- **DECAL prototypes to date 180 nm process \rightarrow 65nm**
- Performance gains? Tracking highly boosted decays, e.g. τ , ...



AECAL



DECAL $N_{\text{pixels}} < N_{\text{particles}}$



DECAL $N_{\text{pixels}} = N_{\text{particles}}$

EPICAL-2

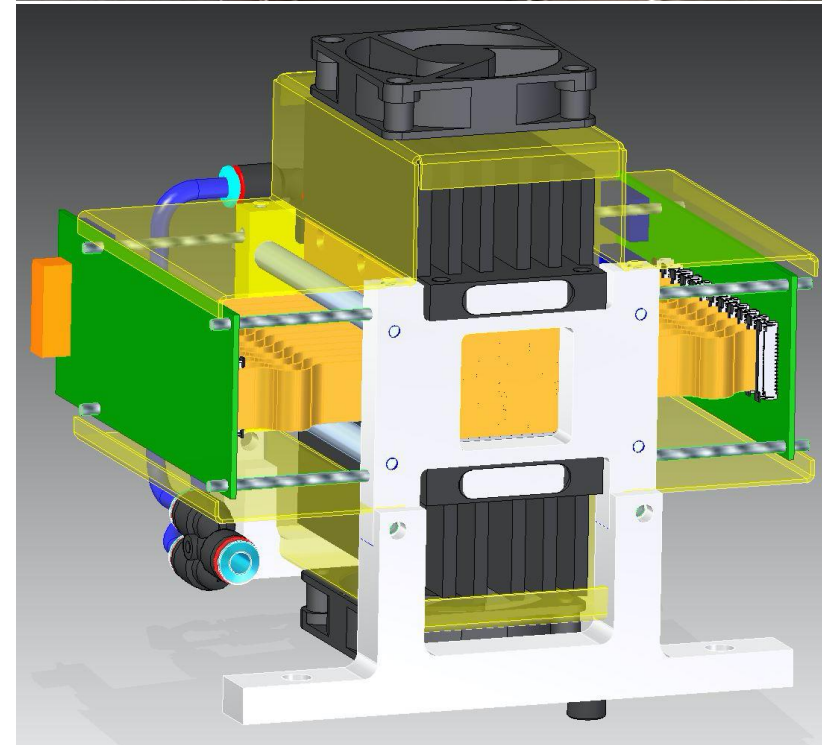
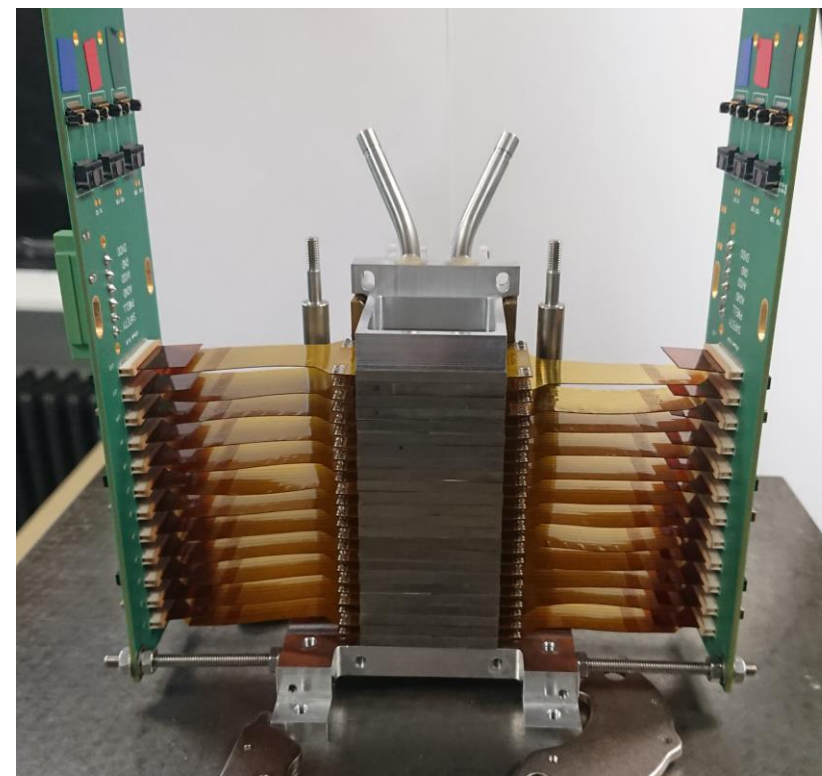
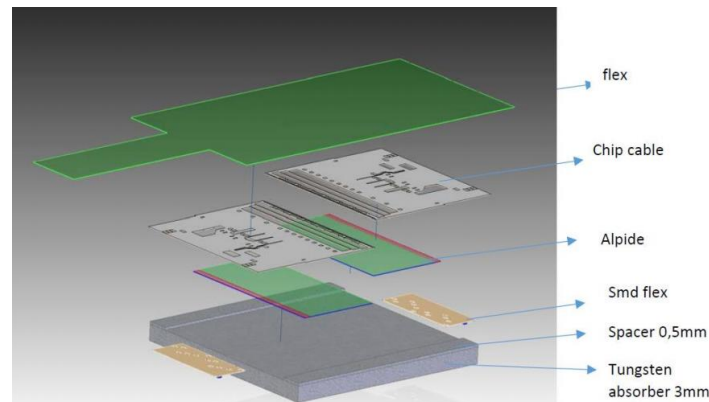
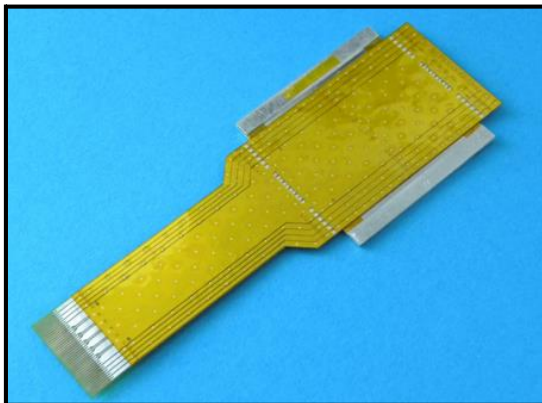
(Electromagnetic Pixel CALorimeter prototype-2)

☑ New digital pixel calorimeter prototype

- ▶ small digital calorimeter (3x3 cm² cross section)
- ▶ 24 layers with each
 - * 2 ALPIDE CMOS MAPS
 - * 3 mm W absorber

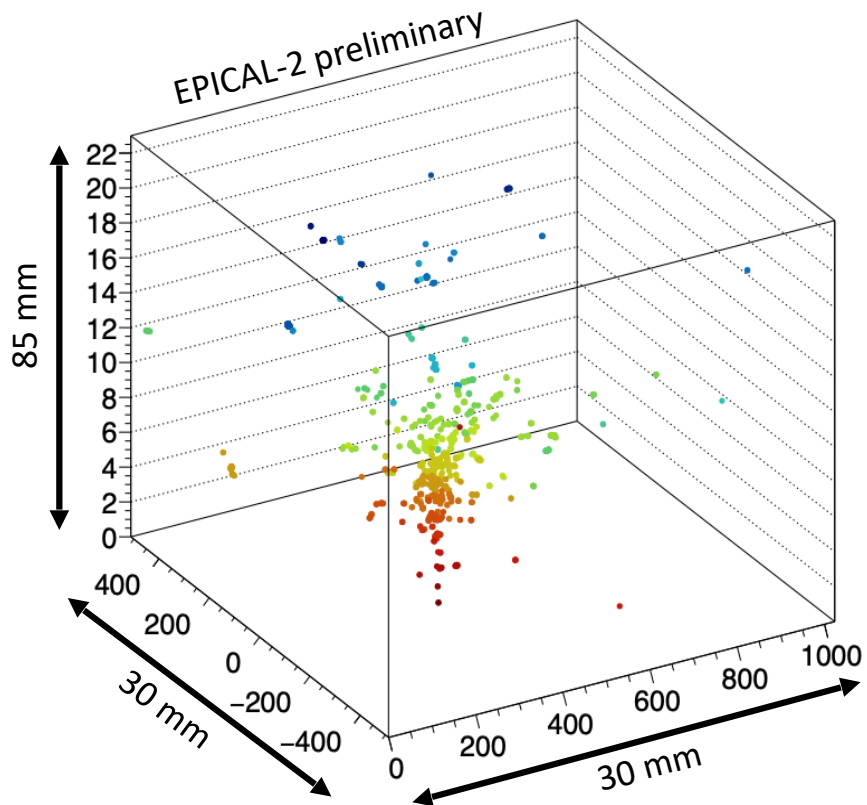
☑ Project goal:

- ▶ prove that the ALPIDE is suitable for a calorimeter
- ▶ demonstrate suitability of ALPIDE as solution for FoCal high-granularity layers
 - * two-shower separation under high particle density environment

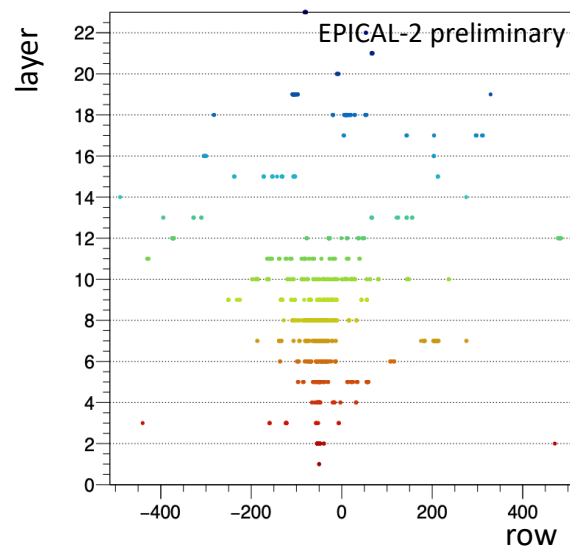
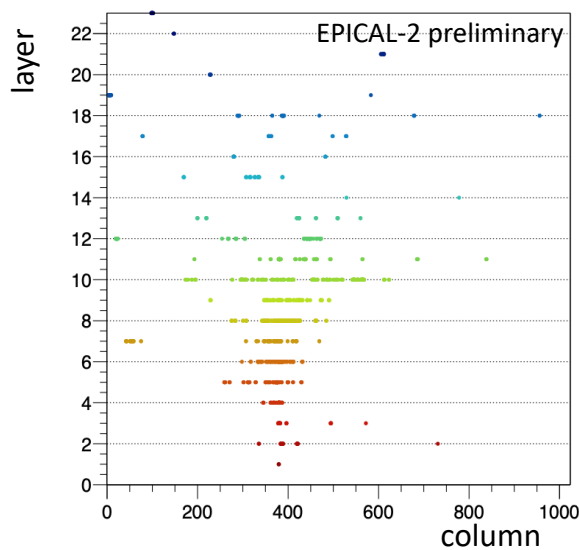
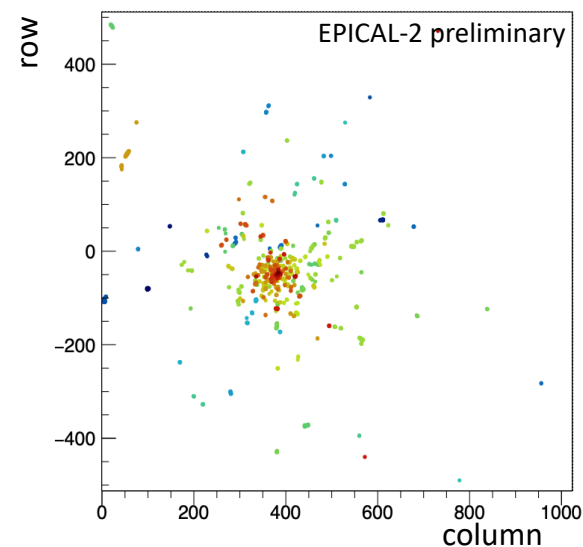


R&D for the ALICE-FoCal detector proposal
Current work performed in the context of the Bergen pCT collaboration

Event Display



one-electron event
5 GeV
raw data

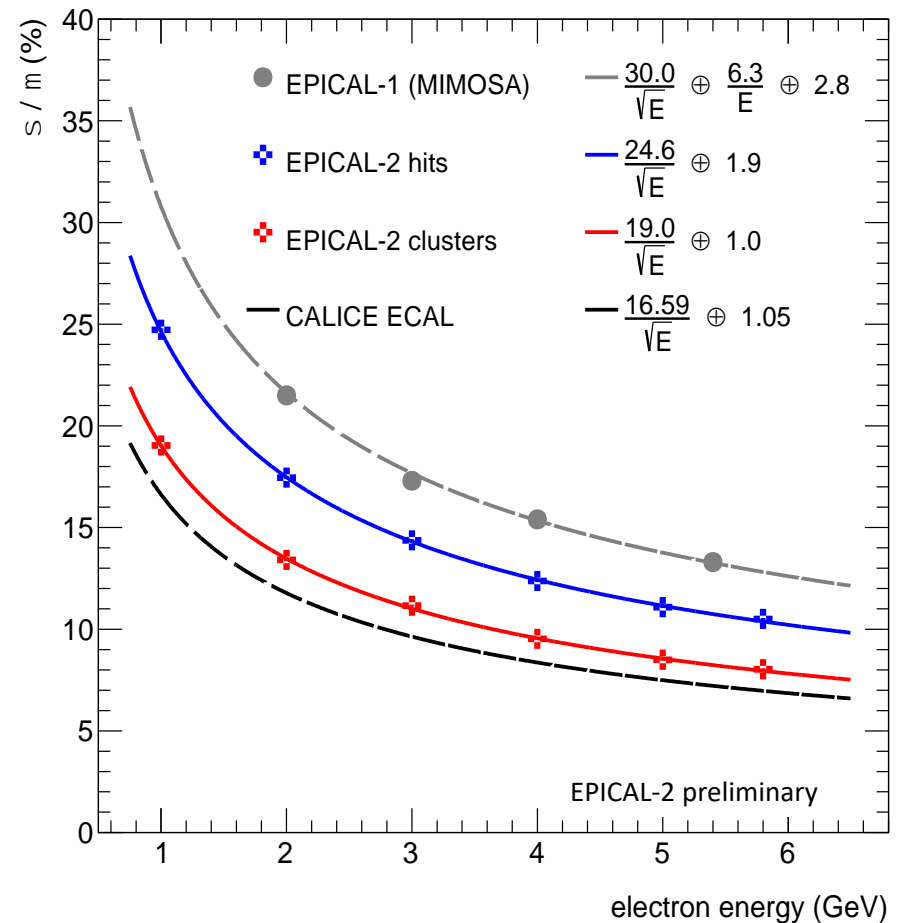


 detailed evolution of shower

color coding: layers

Energy Resolution

- ☑ standard deviation (σ) / mean (μ)
 - ▶ better than EPICAL-1 (MIMOSA)
JINST 13 (2018) P01014
 - ▶ close to analog SiW ECAL (CALICE)
physics prototype
NIM A608 (2009) 372
 - ▶ **better performance for clusters compared to hits**
 - * large cluster-size fluctuation
 - * vertically directed tracks creating large cluster
 - * calibration can be improved



 energy resolution superior compared to previous prototype

Longitudinal Energy Profile

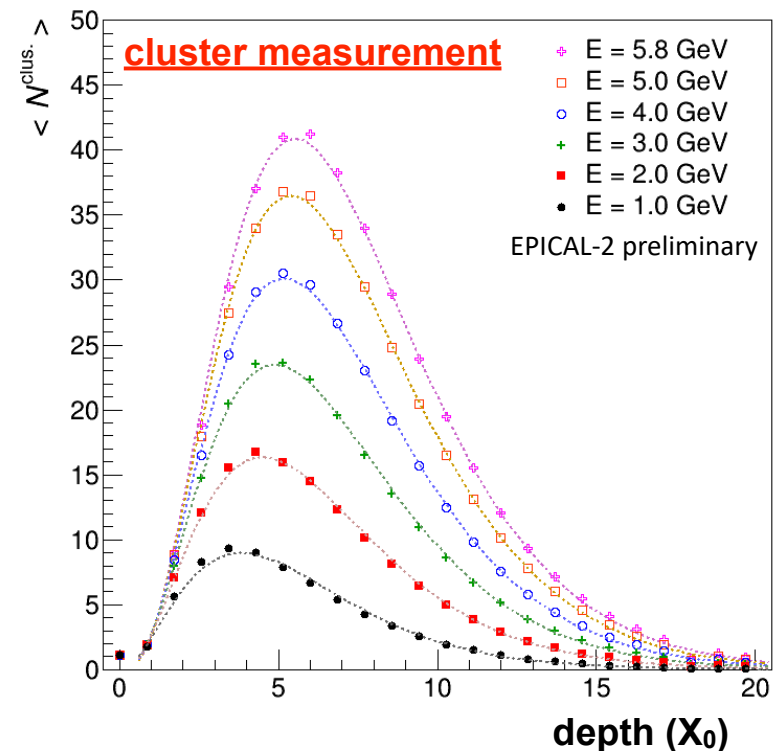
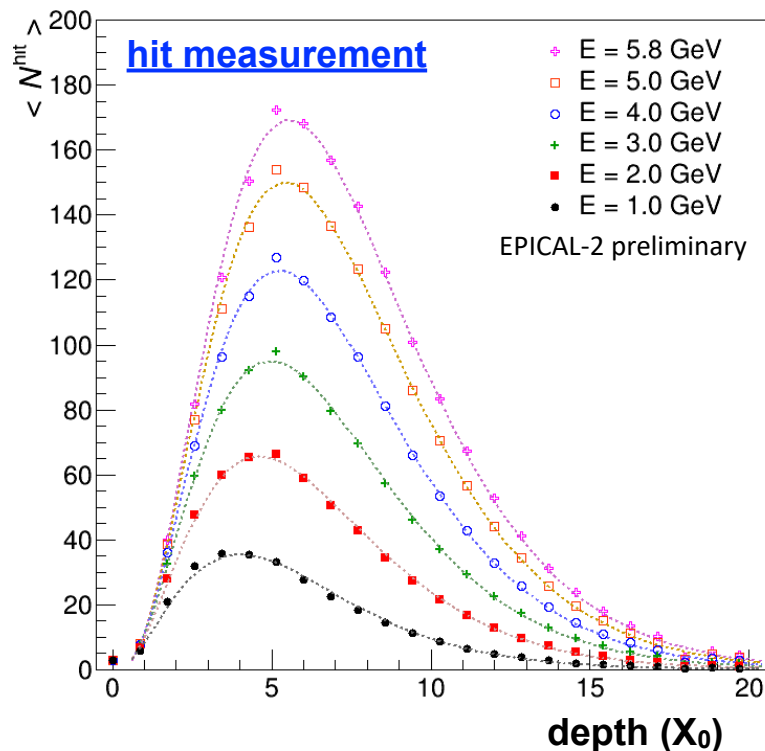
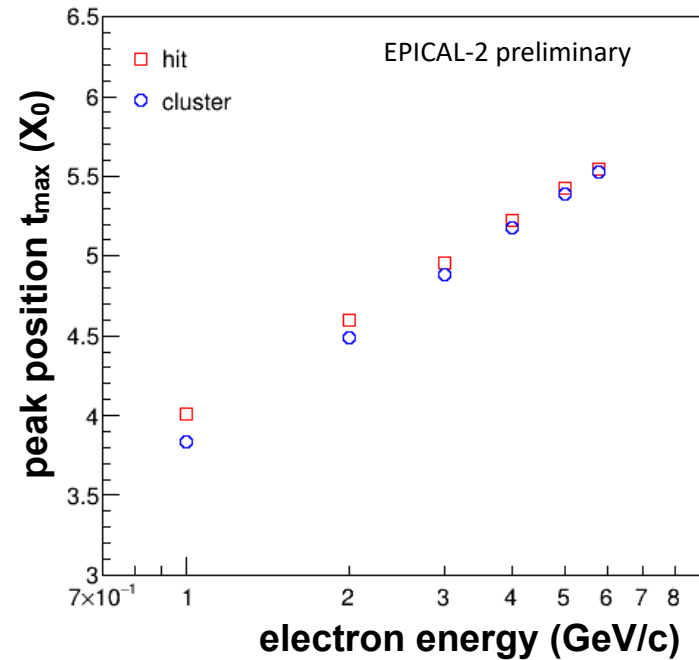
✓ reasonable description by gamma distribution

✓ peak position(t_{\max}) proportional to $\log(E)$

- ▶ $t_{\max}^{\text{Hit}} > t_{\max}^{\text{Cluster}} ?$
- ▶ more accurate calibration for the conclusion

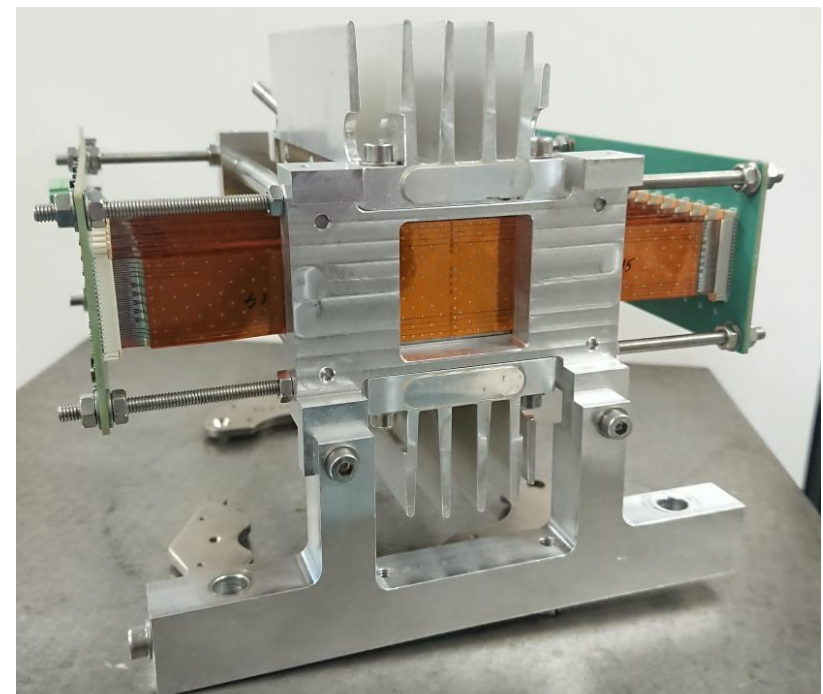
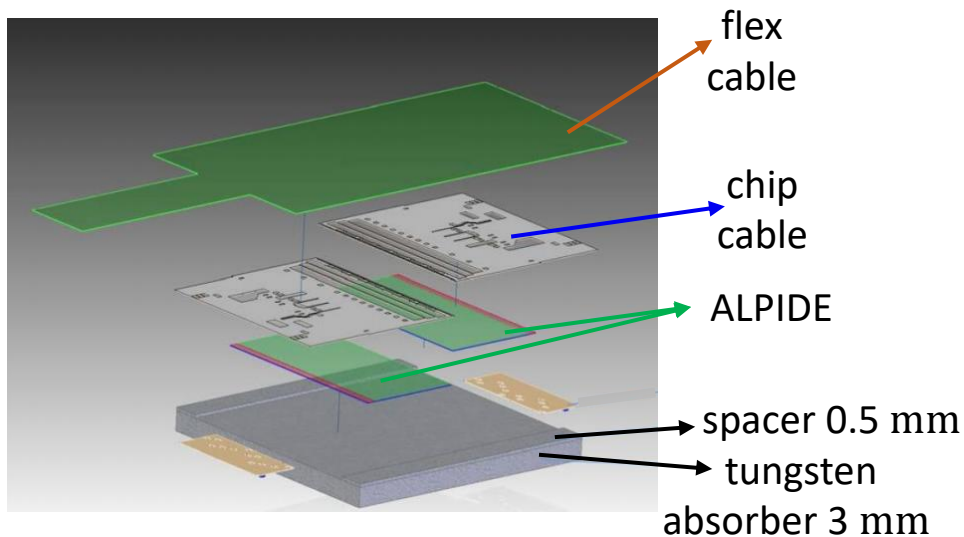
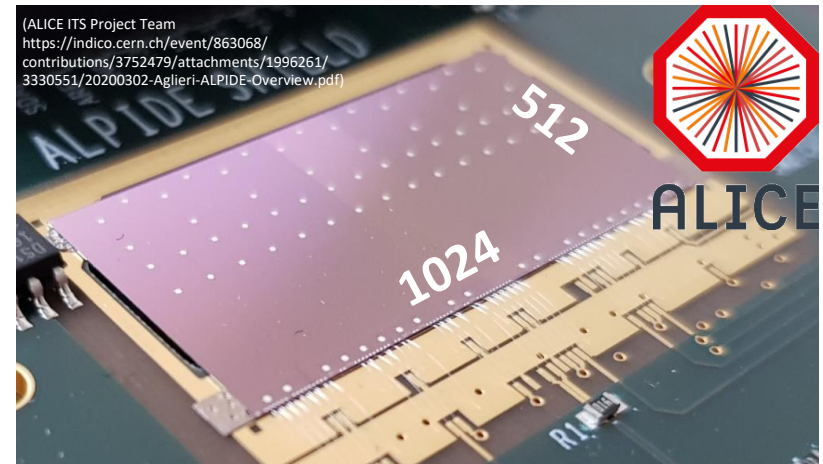
work in progress

 first step in detailed shower shape analyses



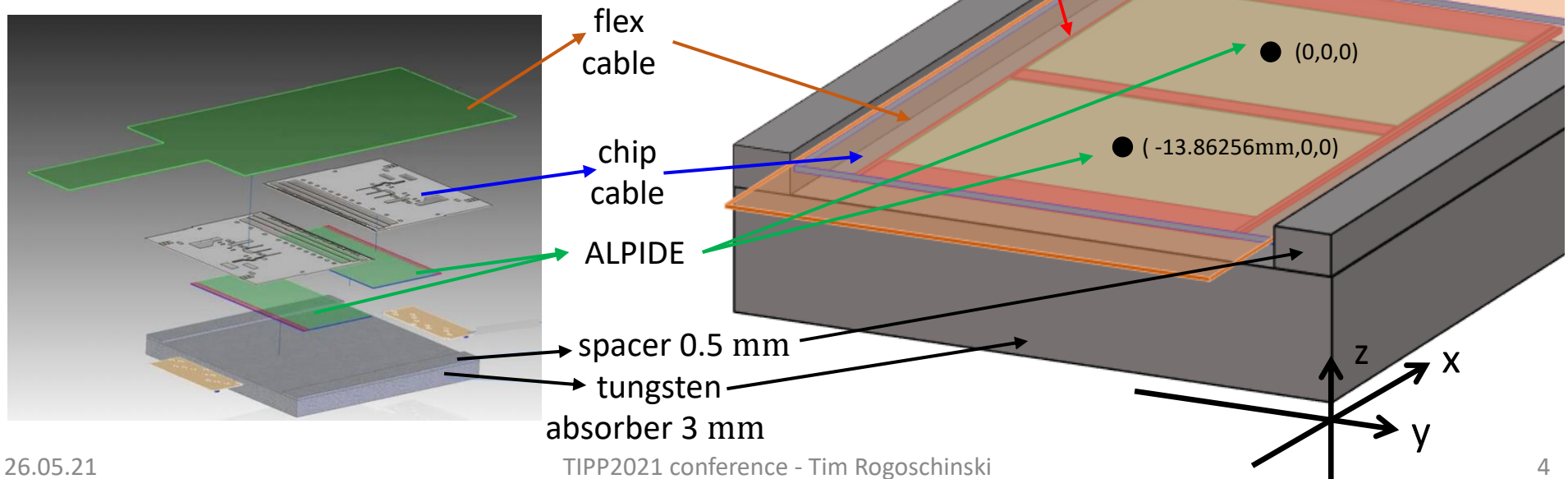
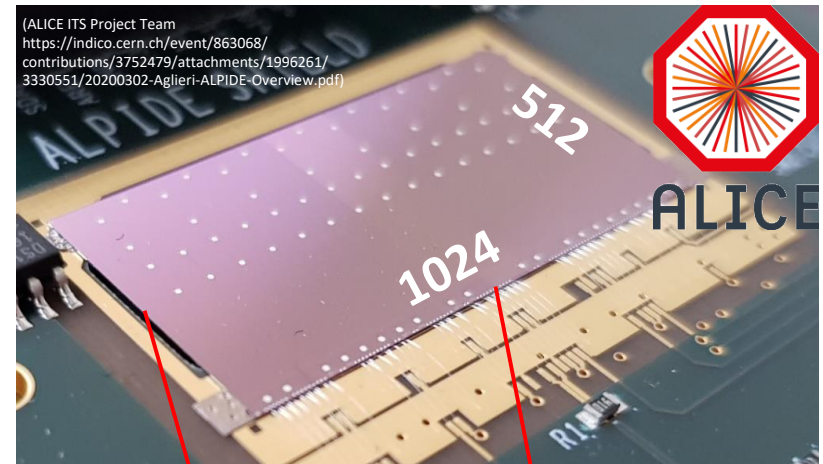
Electromagnetic Pixel Calorimeter 2 (EPICAL-2)

- **second prototype:**
 - related to Bergen pCT Collaboration
 - in context of R&D for planned LHC-ALICE FoCal upgrade in ~2026
 - **fully digital calorimeter** prototype
- **24 layers with two ALPIDE chips each**
 - chip size: 30 mm x 15 mm
- **512 x 1024 pixels per chip**
 - pixel size: 26.88 μm x 29.24 μm



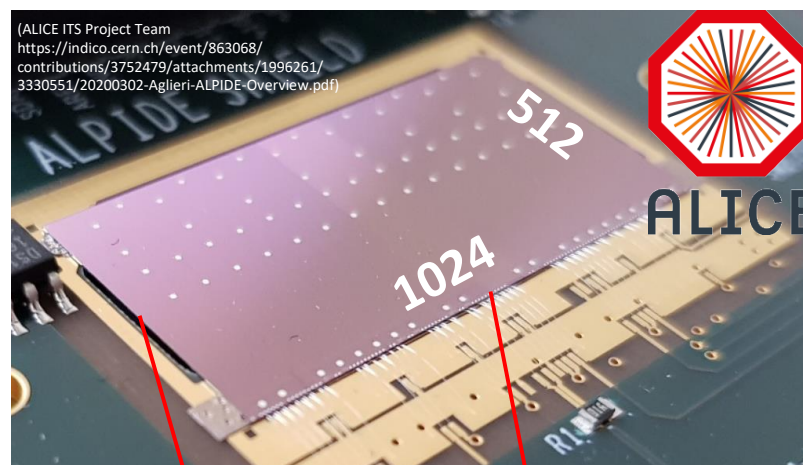
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 - **precise geometry implementation**

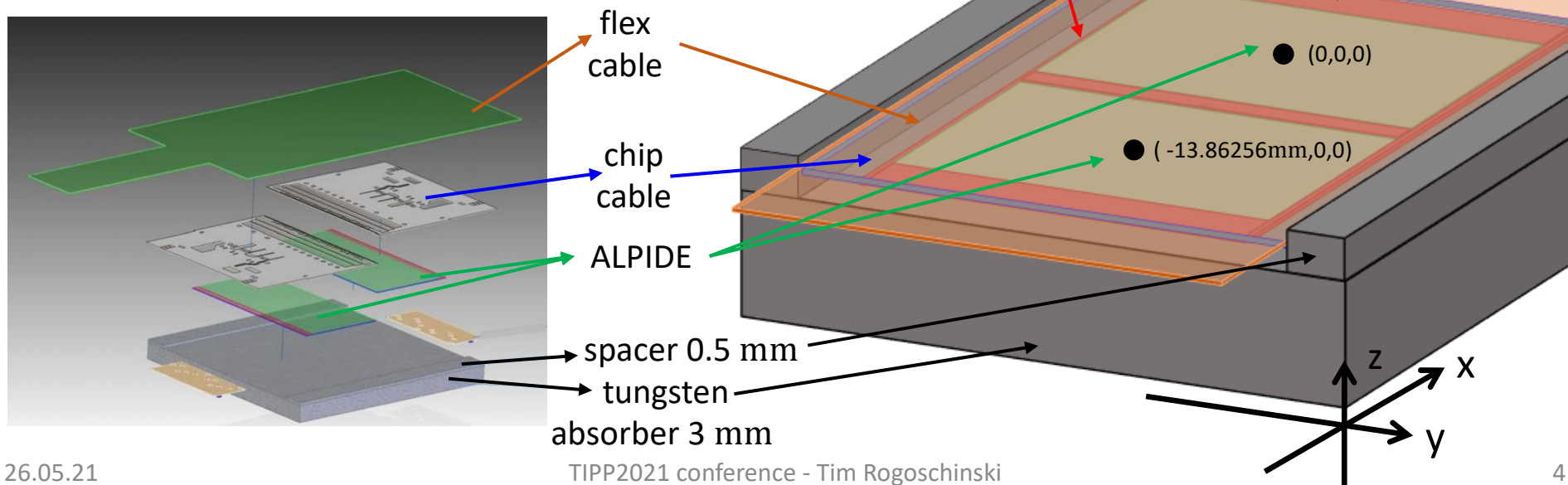


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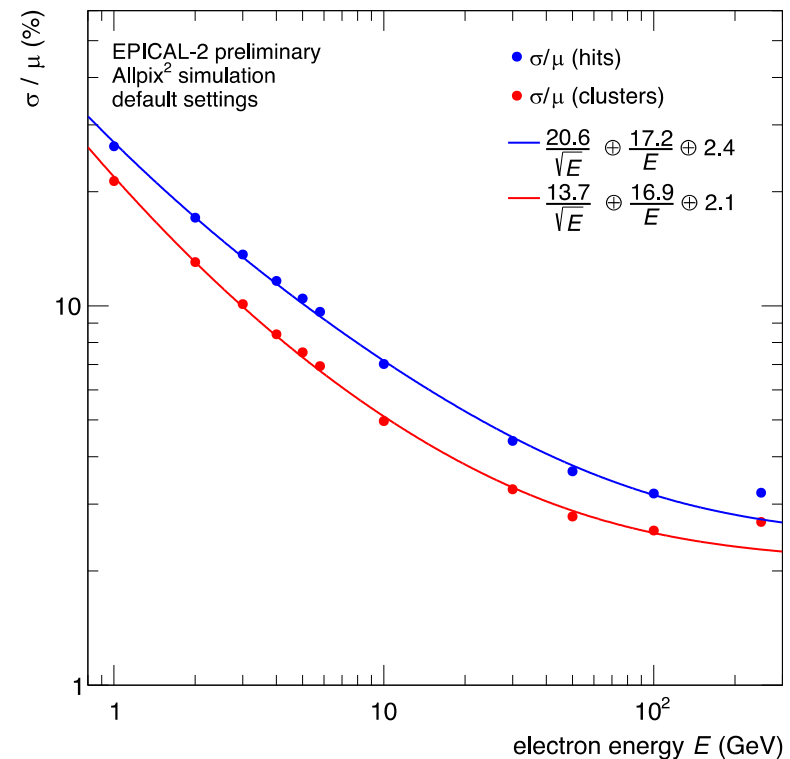
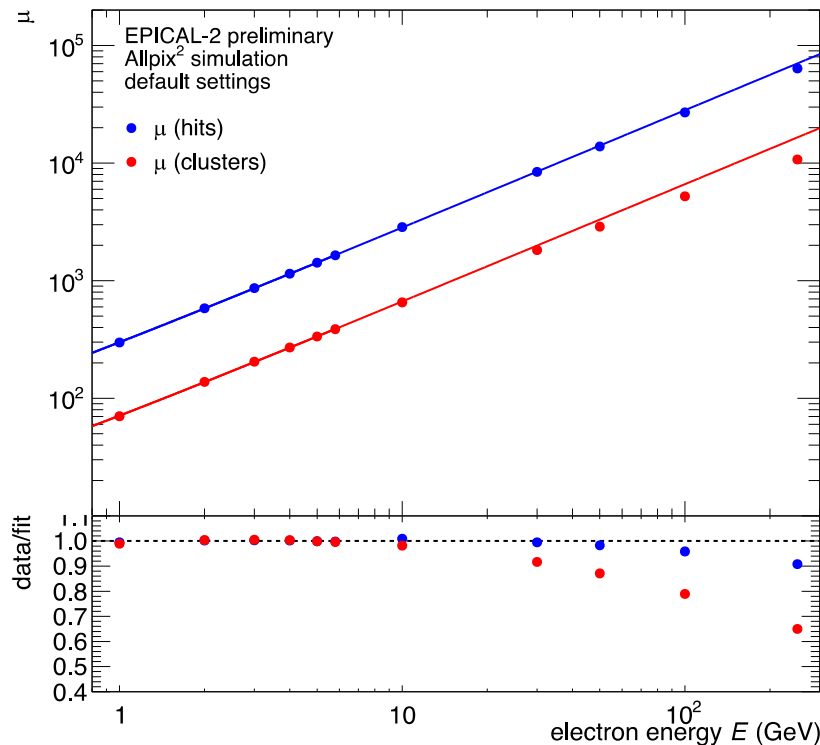


Derived from earlier UK student work



First look at higher energies

energy response and energy resolution



- **low energies: agreement with linearity** for hits and clusters, **promising energy resolution**
- **high energies: deviation from linearity** up to $\sim 10\%$ for hits and $\sim 35\%$ for clusters, **worsening of apparent energy resolution**
- resolution and linearity both affected by **leakage** for $20 X_0$ detector, easy to overcome
- expect additional contribution from **cluster overlap**, possible corrections to be investigated
- note: ALPIDE sensor optimized for tracking
→ development of MAPS sensor with calorimeter-specific requirements could improve performance

work in progress!

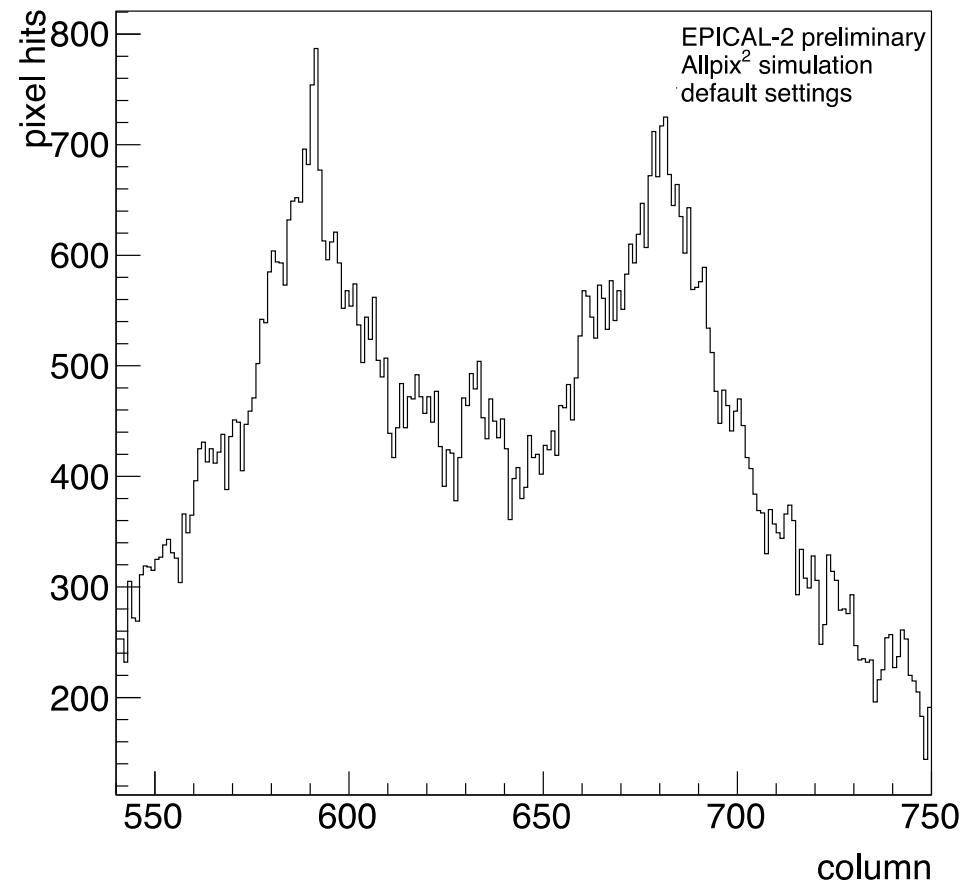
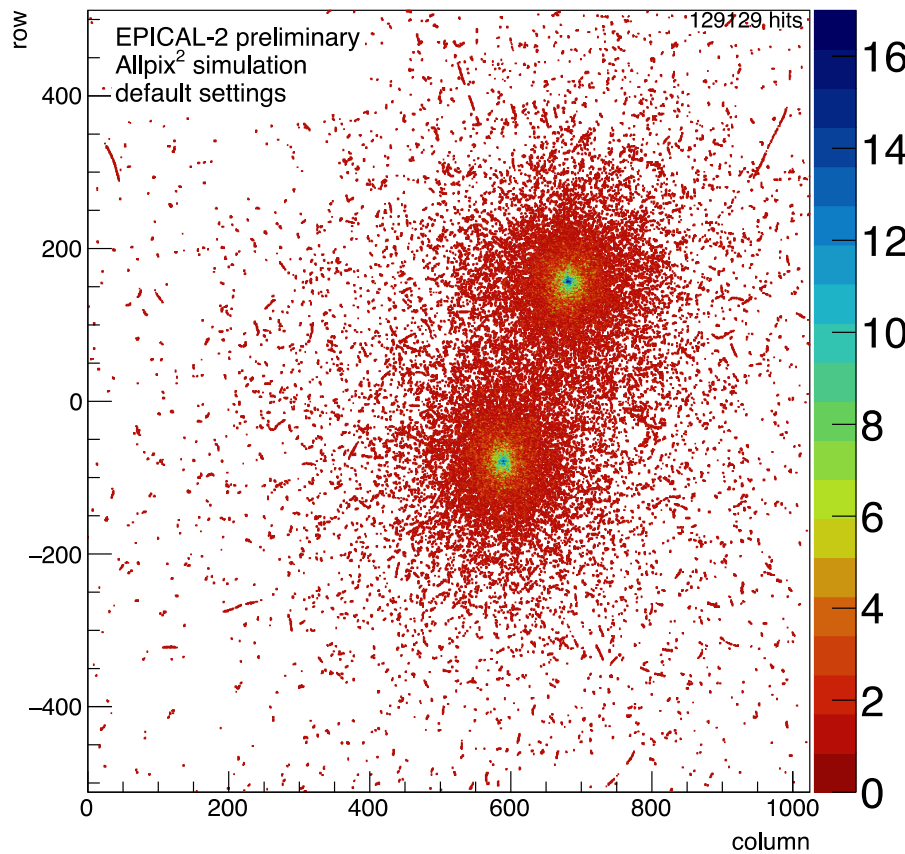
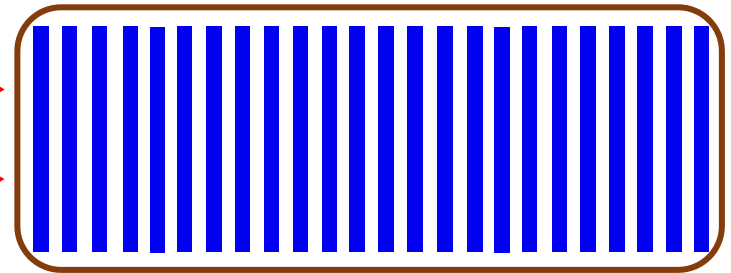
First look at higher energies

separation power

- same energy
- electrons separated by ~ 7.2 mm

250 GeV electron \longrightarrow

250 GeV electron \longrightarrow



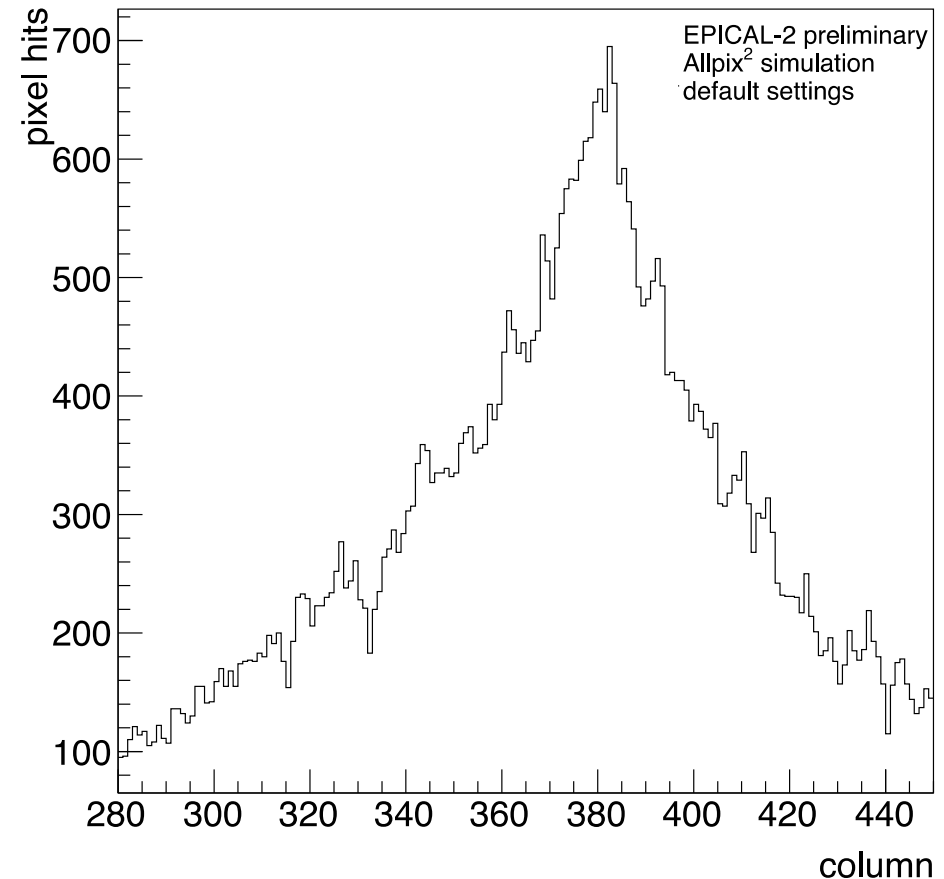
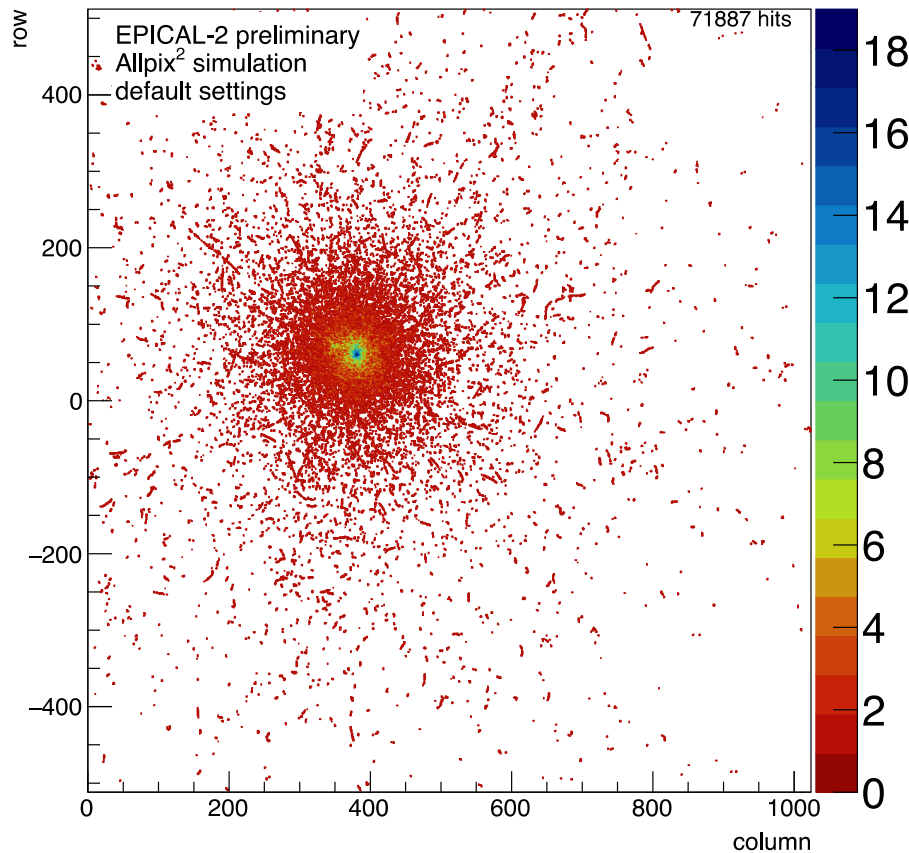
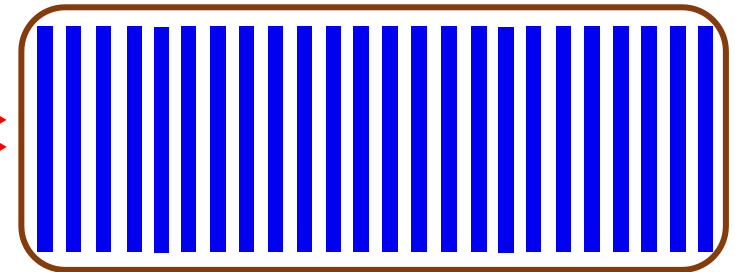
First look at higher energies

separation power

- large energy difference
- electrons close together

→ **challenging case**

250 GeV electron →
30 GeV electron →



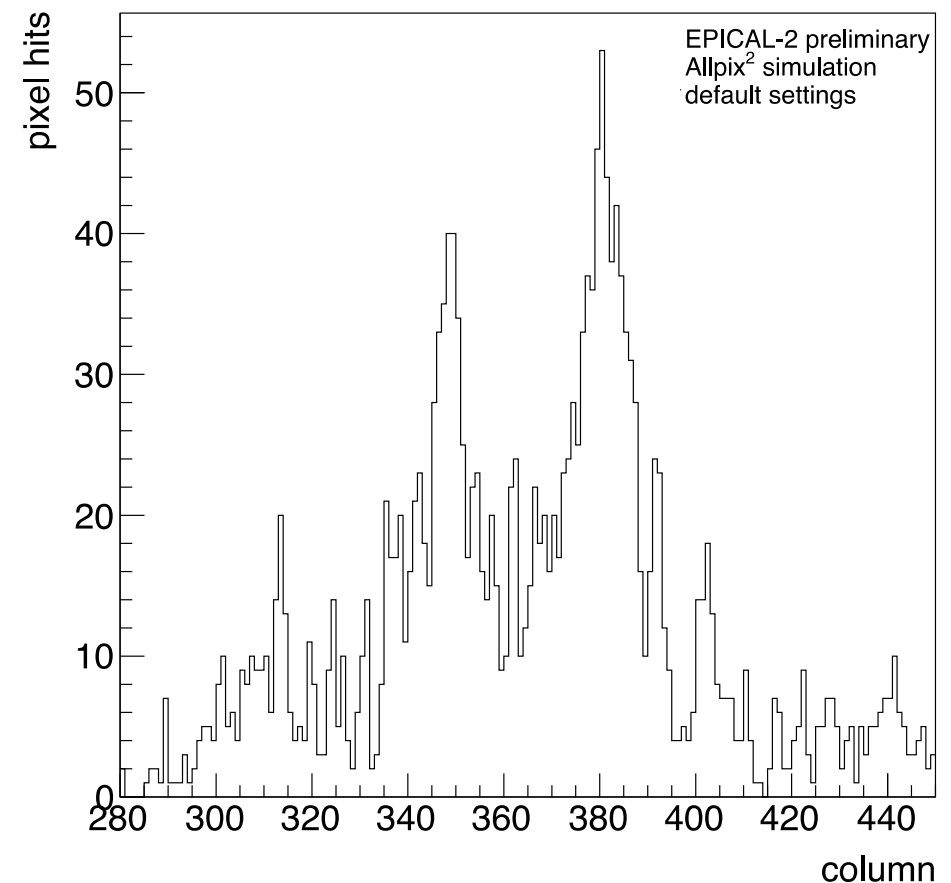
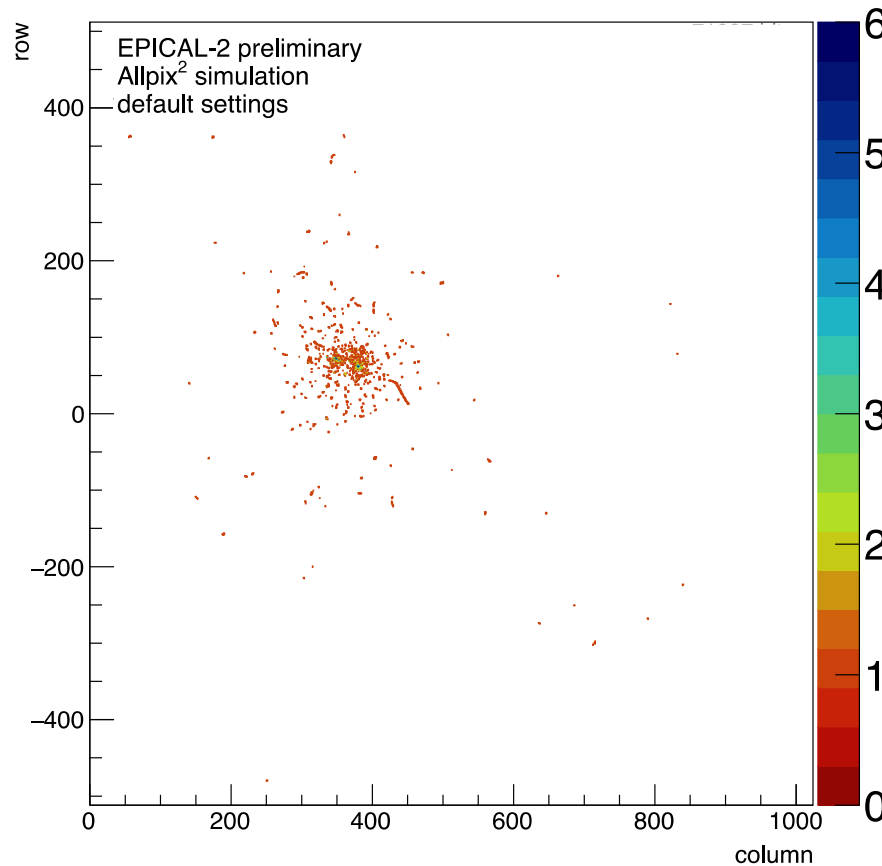
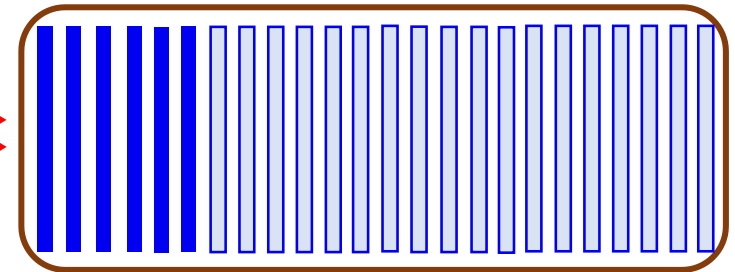
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→ **challenging case**

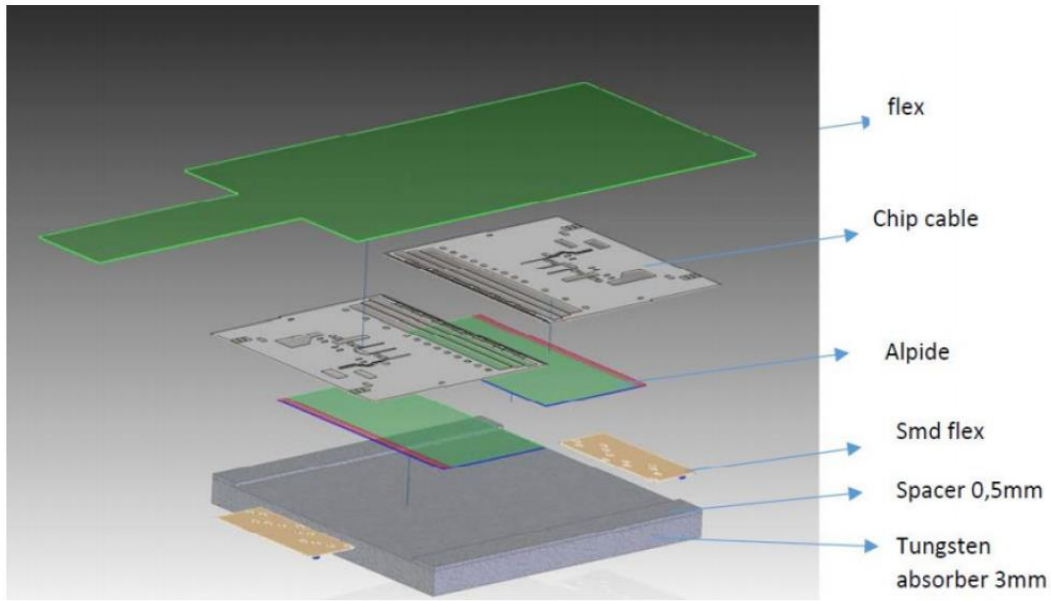
250 GeV electron
30 GeV electron



Further Reading Particle Flow Calorimetry

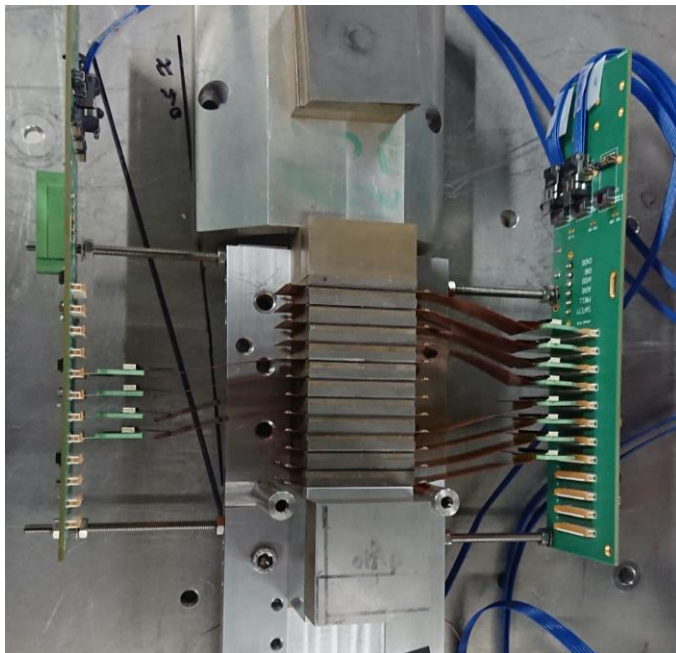
- Recent Snowmass paper (ILC) summarises state-of-the-art calorimetry and new technologies
- Machine, detector concepts x 2, subsystems
 - <https://arxiv.org/abs/2203.07622>
- DECAL/epical-2 – arxiv tomorrow (JINST few weeks)

Future Opportunities (DECAL)



- EpiCAL-2 prototype
- Demonstrates high level integration possible
- Using 'off the shelf' tracking sensor (ALPIDE) – **overlap/tracker?**
- Further optimise with new processes and sensor designed with calorimetry/reconfigurability
- See e.g. Snowmass submission

[SNOWMASS21-IF6 IF0-067](#)



UK could genuinely take a lead in this whole system

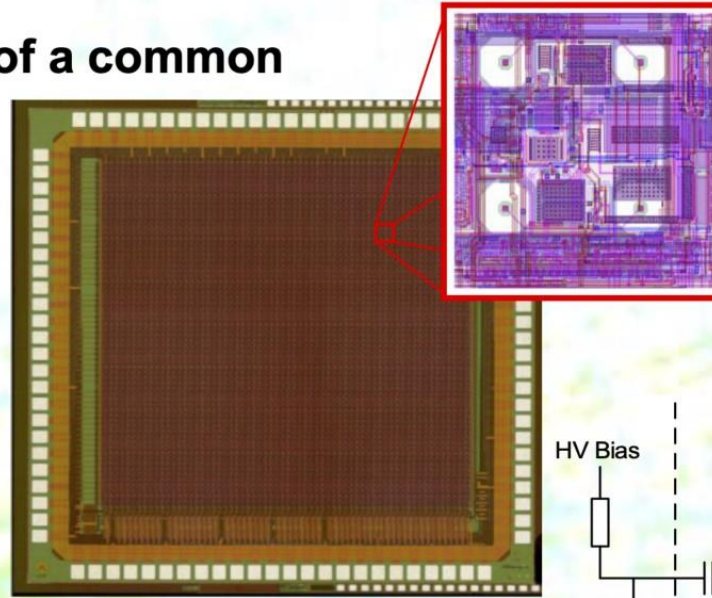
Demonstrated performance proposed in UK c. 2009

Concept in FCC-hh context of a common silicon development for:

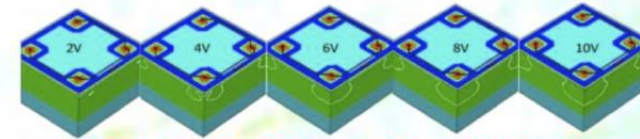
- Outer tracking
- Pre-shower
- EM calorimeter

Reconfigurable sensor as:

- 5mm×50µm strips
- 5mm×5mm pad

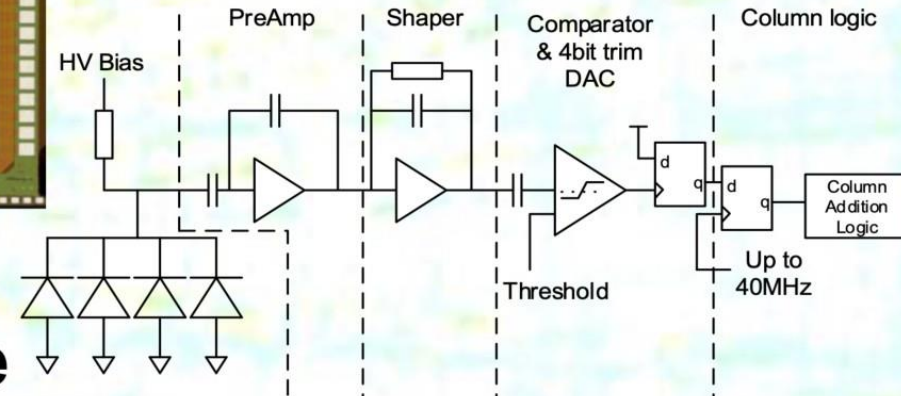


opportunities



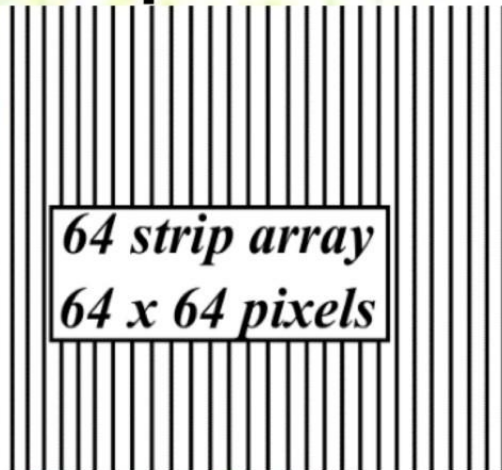
4 Diode TCAD Simulation: Giulio Villani

Prototype as proof of concept (180nm CMOS*)



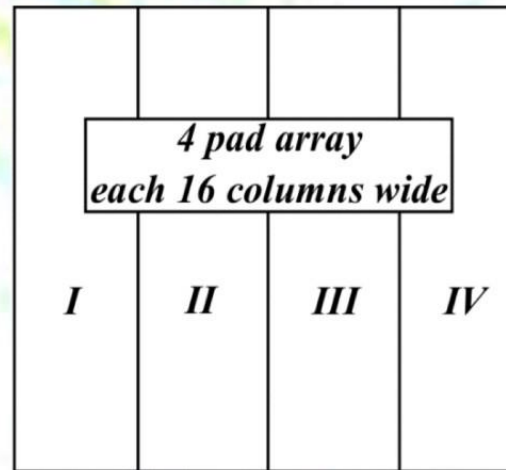
TWEPP (4/9/19) S.Benhammadi

Strip mode



Information on up to 3 hits per column gives data rate 5.12Gb/s

Pad mode



Information on up to 15 hits per column giving 240 hits per pad gives data rate of 2.56Gb/s

Specification	Unit	Value
Pixel Pitch	um	55
Resolution	pix	64 x 64
Frame Rate	MHz	40
Input Referred Noise	e- rms	80
Max hits/col (pad mode)	hits	15
Max hits/col (strip mode)	hits	3

***TowerJazz**
(Small collecting node)



Future Opportunities for UK - SiW

- **Si-W calorimetry can give excellent PFA performance**
 - Potential to use same technology for outer tracker/preshower/ECAL
 - Affordable Si-W (Si-Pb) calorimeters, need sensor costs \sim CHF/cm² (active areas $> 10^7$ cm²)
 - Potentially achievable with CMOS MAPS technologies, expanding market
 - Power needs study, CMOS estimates range \sim 50-100mW/cm² (no pulsing)
 - Prototype demonstrating concept of digital ECAL, in same CMOS line as CERN et al, can deliver radiation hardness to $> 10^{15}$ neq/cm²
 - **Digital EM calorimetry, high potential for future e⁺e⁻ facilities**
 - Very fast charge collection, potential for triggering
 - Ultra-high granularity can benefit physics as well as cost (boosted decays)
 - Currently, UK (Birmingham) working with ALICE FoCAL/pCT groups on EpiCAL-2
 - Perfect time to lead this novel concept for future projects
-

Outlook - calorimetry

- Many opportunities in both Dual-Readout and High Granularity calorimetry for future experiments
 - UK already contributing in major ways in both areas
 - Dual-calo R&D (IDEA)
 - DECAL (CALICE-like) with MAPS technology
 - We should continue to work across detector/machine concepts
 - New UK collaborators welcome
 - Need to consider scale of projects befitting UK role in a future detector
 - Hardware development
 - Software/Simulation
 - Substantial expertise from past and ongoing projects
-