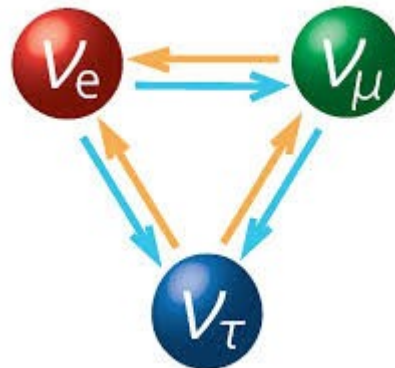


21<sup>st</sup> FPCP 2023



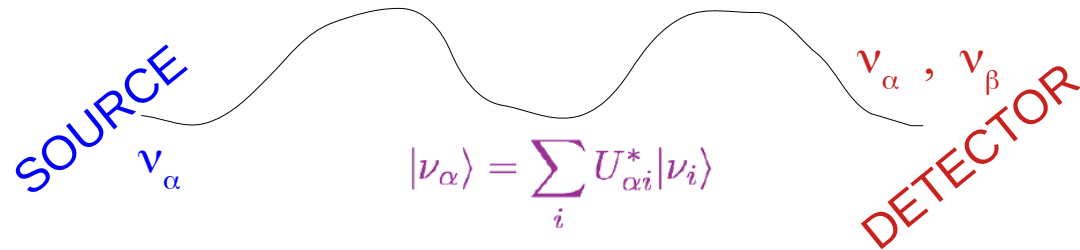
LYON, 29 May – 2 June 2023  
Conference on Flavor Physics and CP Violation

# Neutrino oscillations: *open questions*



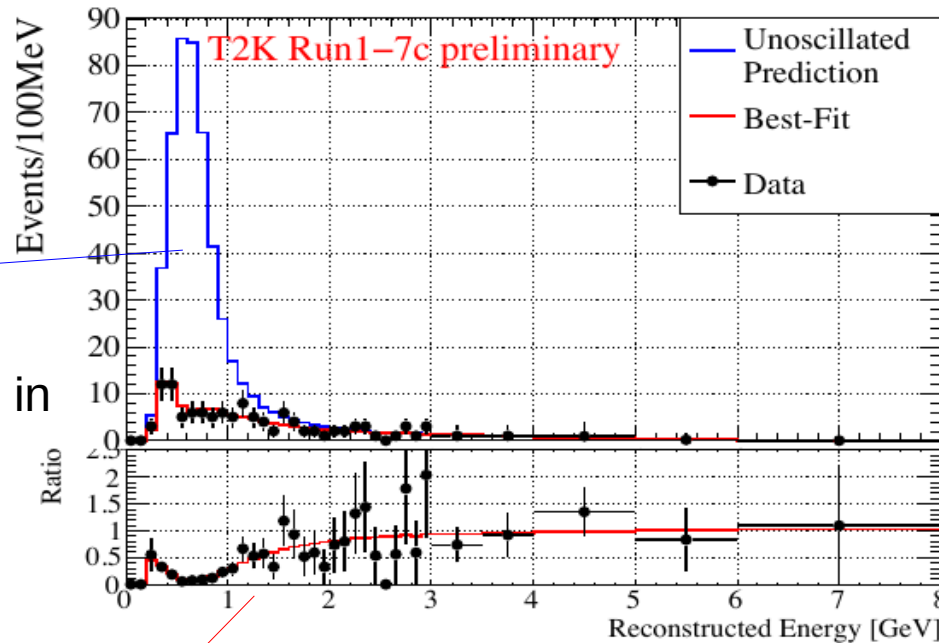
# Neutrino oscillations

Produced/detected through EWK interactions (detection of flavour requires a charge current interactions), propagation as mass eigenstate



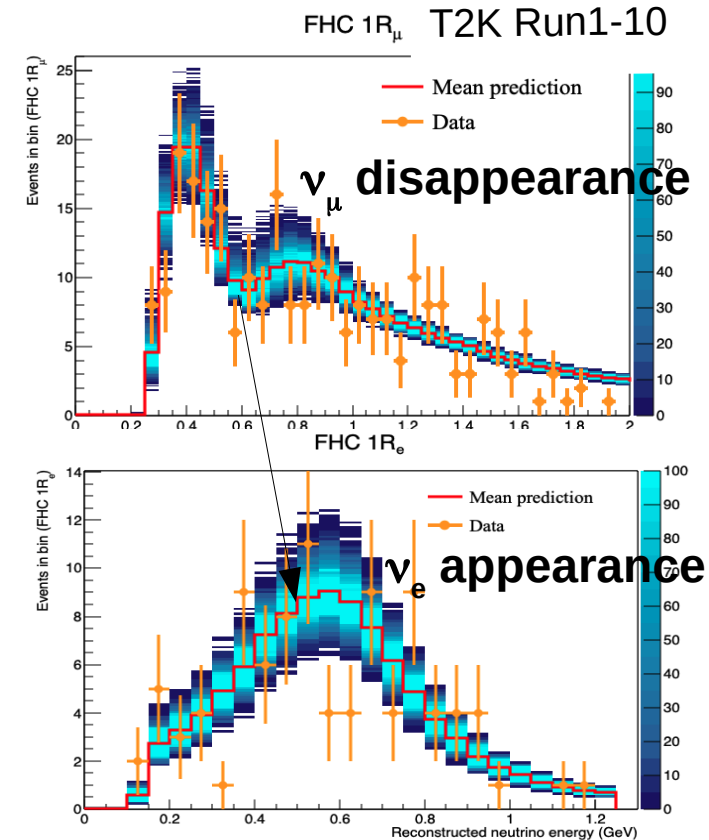
$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

**Flux** of produced neutrinos  
 $\times$   
**cross-section** of neutrino interaction in our detectors



**Oscillation** in two flavour approximation

$$P(\nu_\alpha \rightarrow \nu_\beta) = \underbrace{\sin^2(2\theta)}_{\text{amplitude}} \underbrace{\sin^2 \left( 1.27 \frac{\Delta m_{ji}^2 [\text{eV}^2] L [\text{km}]}{E_\nu [\text{GeV}]} \right)}_{\text{frequency}}$$

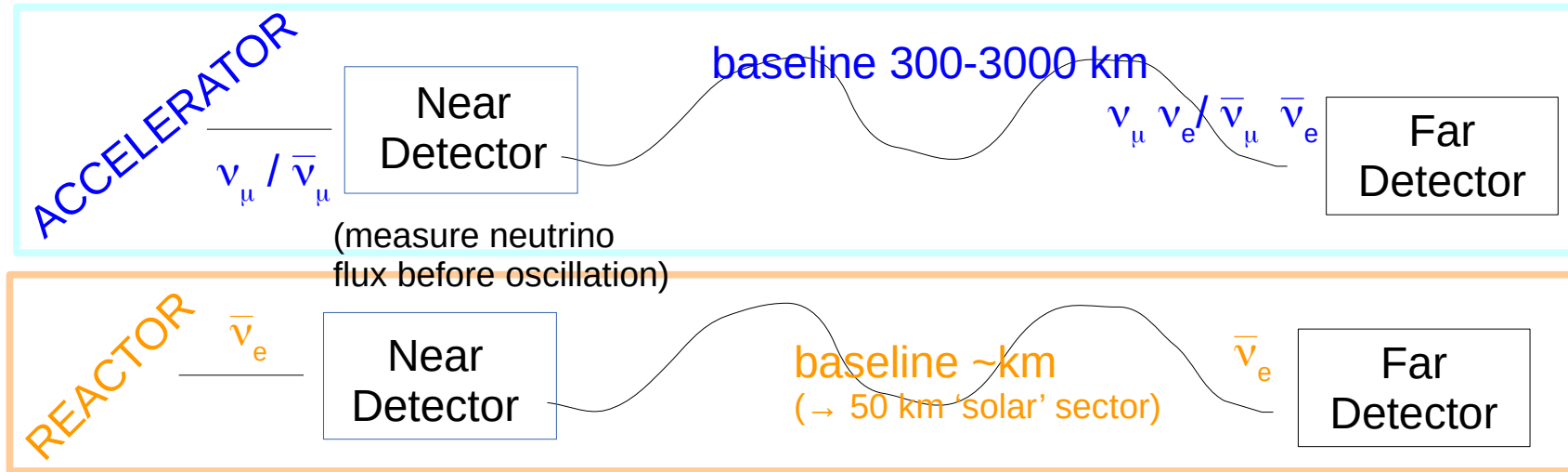




# Neutrino oscillations

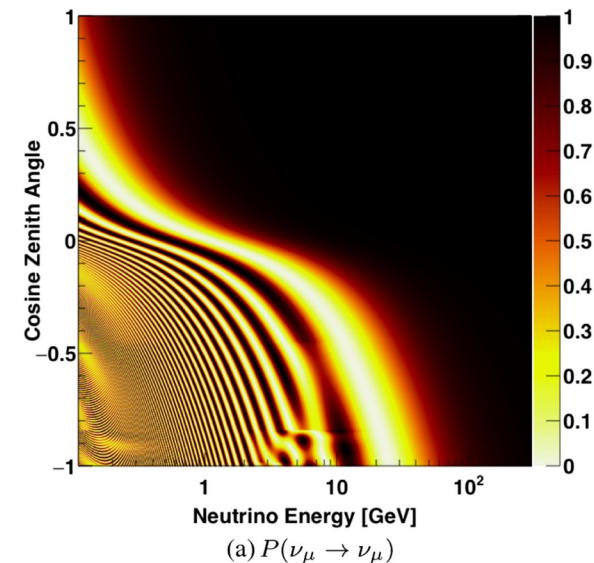
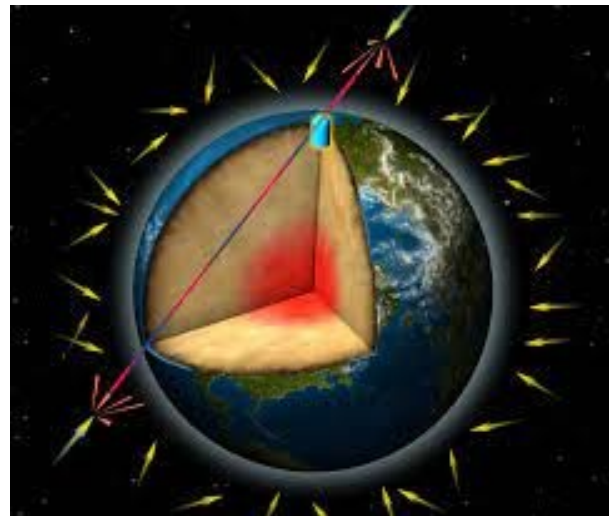
3 flavours (3 mixing angles, 2 mass difference) → different L/E experiments targeting different parameters

**Controlled sources: energy and rate (flux) + fixed baseline**



**underground,  
very large  
mass**

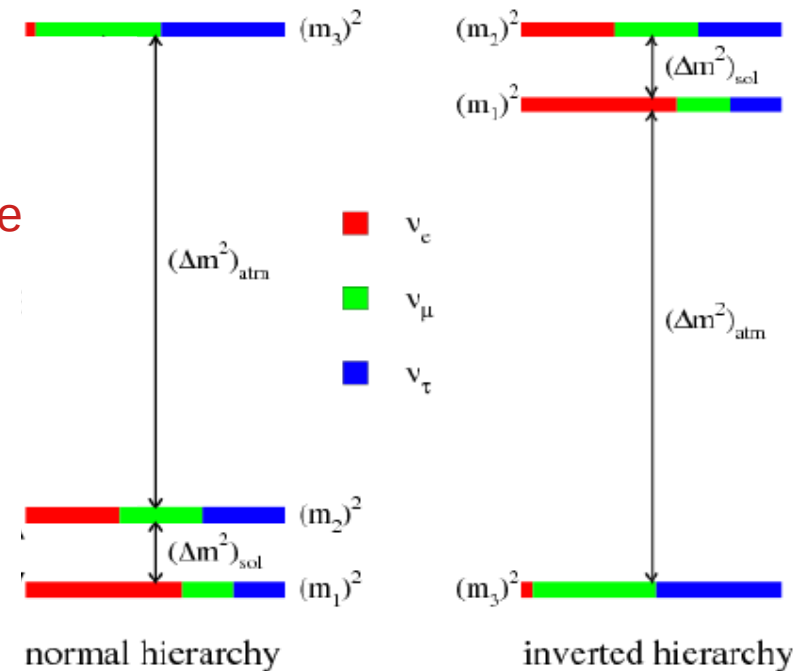
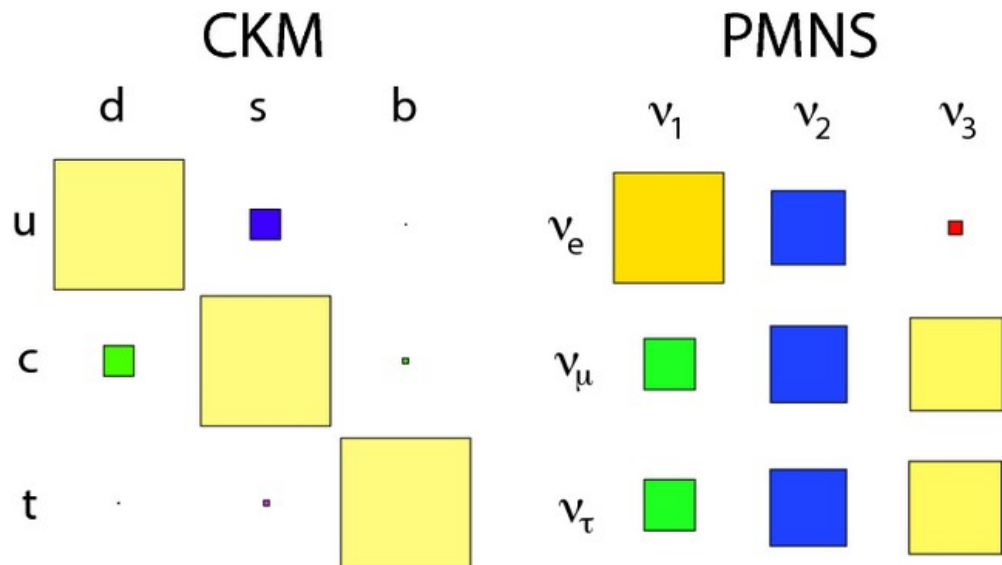
**Also  $\nu$  from “uncontrolled” sources:**  
in **atmospherics** L correlated with  
azimuthal angle and very large energy  
spanning  
(solar, SuperNovae)



# Open questions

## - The next step: high statistics in the PMNS framework

- establishing mass hierarchy → is the **order in mass the same as charged leptons**?
- possible discovery of CP violation if  $\nu$  and  $\bar{\nu}$  oscillation is different → **first in lepton sector and a new fundamental source of CPV !**
- PMNS precision physics: **what is the symmetry hidden behind the flavour pattern?**



Enabled by next generation of oscillation experiments with increased mass and increased accelerator power

→ **the challenge of precision:**  
near and far detector performances and control of systematics

# Open questions

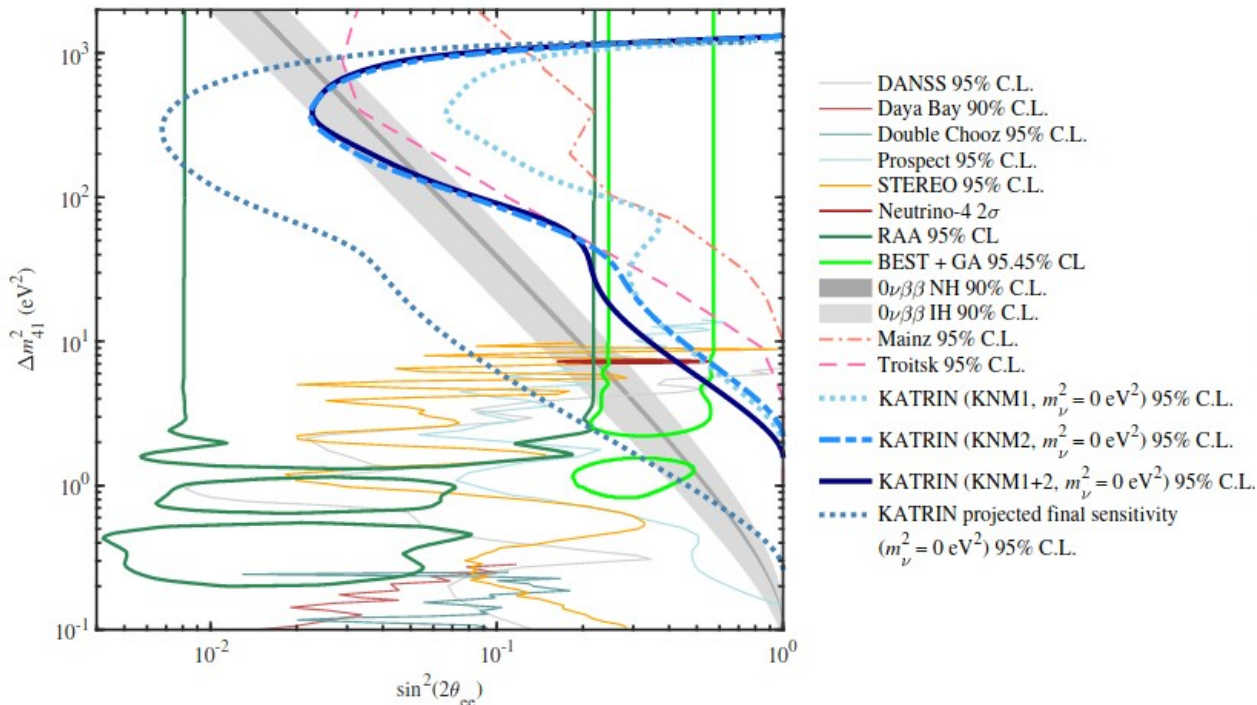
- The next step: high statistics in the PMNS framework

→ **the challenge of precision:**  
near and far detector performances and control of systematics

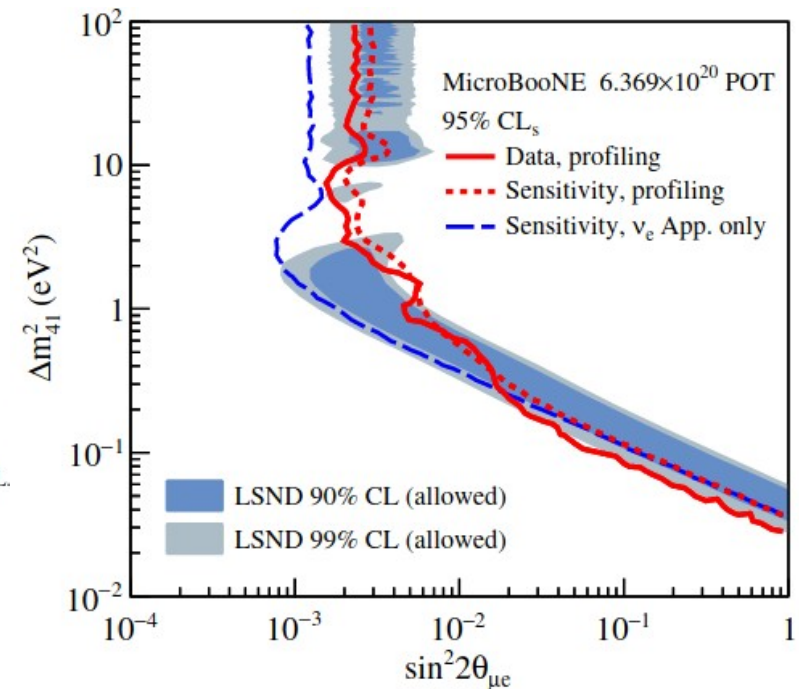
- BSM searches: looking into 'standard' scenarios

**search for sterile neutrinos:** many searches with different masses (unexpected oscillation shape at far and near detectors, dedicated experiments at accelerators "short baseline" and at reactors)

Phys. Rev. D 105, 072004



Phys. Rev. Lett. 130, 011801



# Open questions

- The next step: high statistics in the PMNS framework

→ **the challenge of precision:**  
near and far detector performances and control of systematics

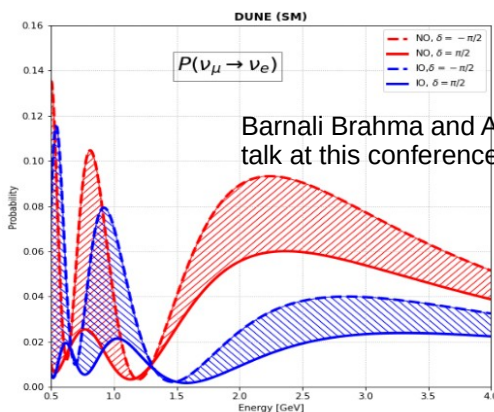
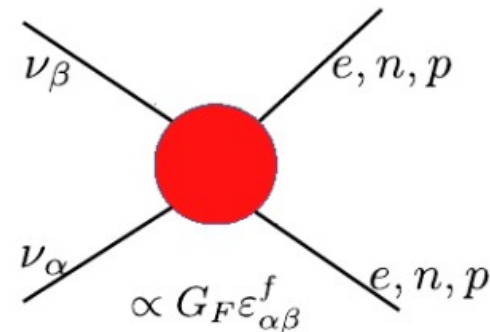
- BSM searches: looking into ‘standard’ scenarios

**search for sterile neutrinos:** many searches with different masses (unexpected oscillation shape at far and near detectors, dedicated experiments at accelerators “short baseline” and at reactors)

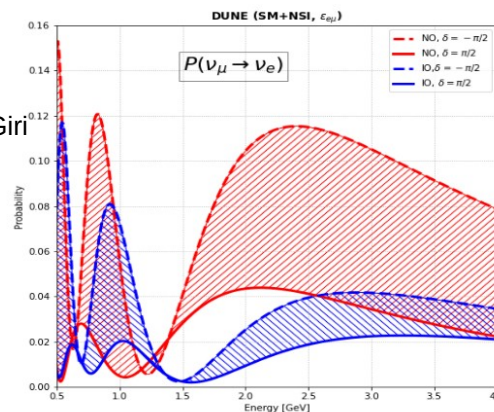
**search for Non Standard Interactions:** different oscillation results in the “standard” paradigm depending on the baseline of experiments

Dimension-six four-fermion operators

$$\mathcal{L}_{NSI} = -2\sqrt{2}G_F \sum_{\alpha,\beta,f,P} \epsilon_{\alpha\beta}^{f,P} [\bar{\nu}_\alpha \gamma^\mu \nu_\beta] [\bar{f} \gamma_\mu f]$$



Barnali Brahma and Anjan Giri  
talk at this conference!



Also constraints from CEvNS measurements at reactors/accelerators + solar neutrinos (NSI in Sun core) + atmospheric neutrinos

# Open questions (and future questions!)

- The next step: high statistics in the PMNS framework

→ **the challenge of precision:**  
near and far detector performances and control of systematics

- BSM searches: looking into ‘standard’ scenarios

**search for sterile neutrinos:** many searches with different masses (unexpected oscillation shape at far and near detectors, dedicated experiments at accelerators”short baseline” and at reactors)

**search for Non Standard Interactions:** different oscillation results in the “standard” paradigm depending on the baseline of experiments

- The ultimate target: **open-mind/model-independent characterization of neutrino oscillation phenomena with L/E going beyond the strict present (B)SM paradigms**

→ **the challenge of versatility:** near and far detector design able to cover BSM signatures

→ **the power of combining multiple experiments:** solve degeneracies + ‘agnostic’ BSM test

Difficulties to deal with:

- correlation of systematics
- publication of results in open way to make possible re-interpretation in different models (eg, dependence on priors?)



# The roads to mass hierarchy

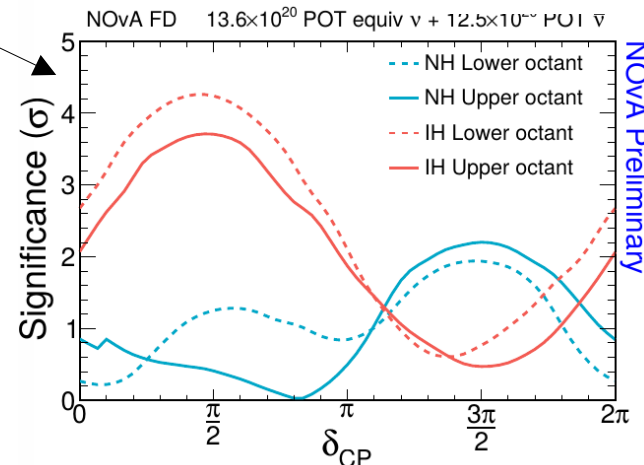
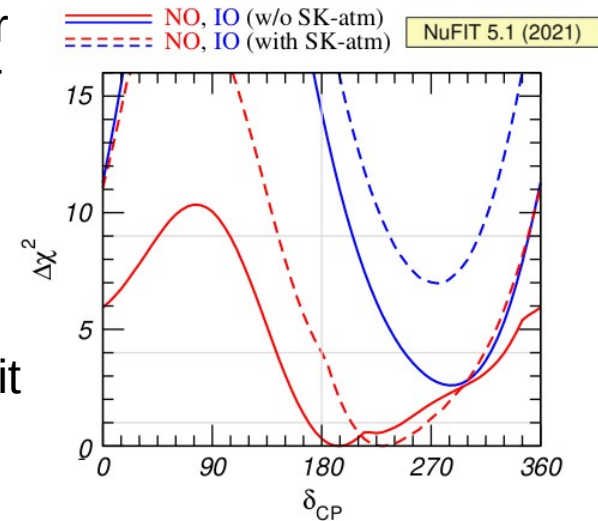
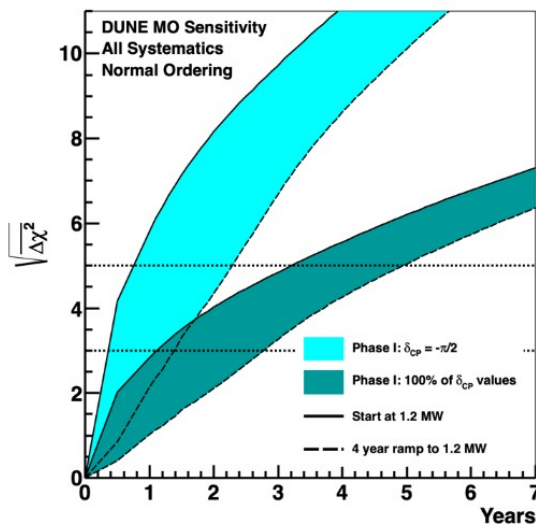
**MH sensitivity from matter effects** (CC interaction with e- in matter different for  $\nu_e$  and  $\bar{\nu}_e$ ) → longer baseline and larger energy for better sensitivity

- Today sensitivity is dominated by SuperKamiokande atmospheric  $\nu$

- Future similar experiments are HyperKamiokande (>8 times bigger detector) and ORCA (in the Mediterranean sea)

- With  $\nu$  from accelerator NOVA has MH sensitivity (but degeneracy with CPV effects) → important to combine with T2K (clean CPV sensitivity ~w/o~ matter effects)

→ ultimate sensitivity with  $\nu$  from accelerator in DUNE (much larger baseline)



- JUNO: sensitivity with oscillation in vacuum from reactor!

Also strong synergy with T2K, NOVA and ORCA (see previous talk)



# The discovery of CPV

$$\mathcal{A}_{\text{CP}} \equiv \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq -\frac{\sin 2\theta_{12} \sin \delta}{\sin \theta_{13} \tan \theta_{23}} \Delta_{21} + \text{matter effects},$$

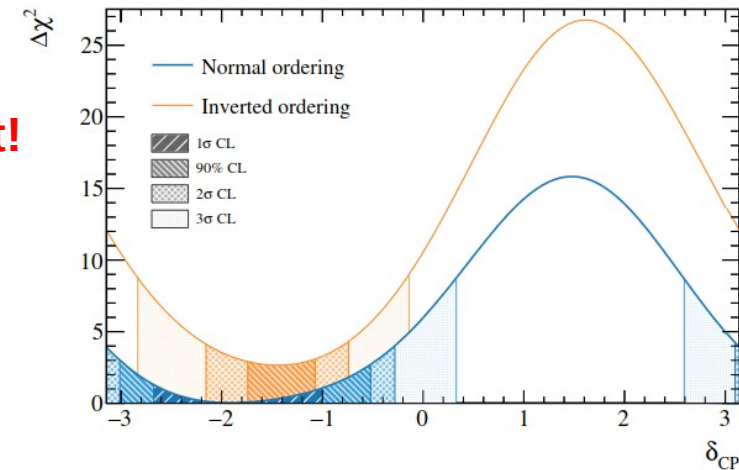
- T2K exclusion at  $3\sigma$  of 50% of  $\delta_{\text{CP}}$  values and  $\sim 2\sigma$  hints for CP violation: Nature 580 (2020) 7803, 339-344

April 2020 Nature cover!

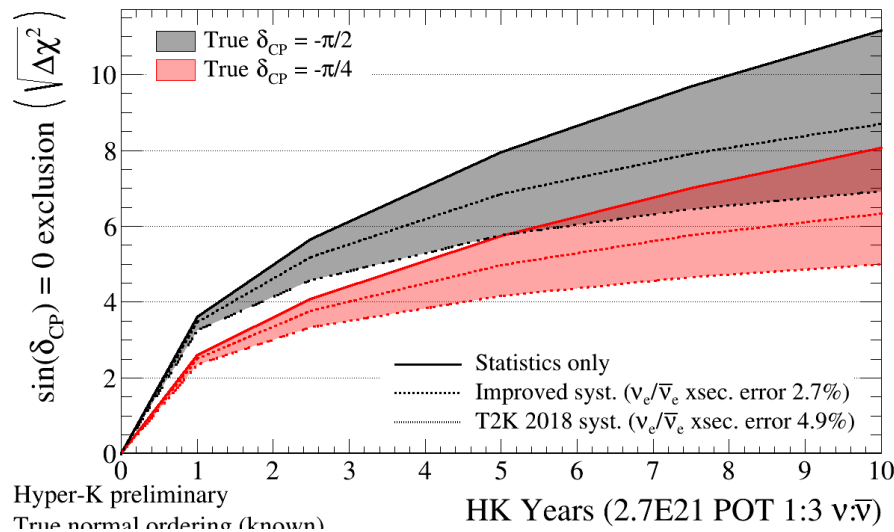


**Statistically limited measurement!**

Latest T2K results  
<https://arxiv.org/pdf/2303.03222.pdf>



Upgrade of T2K beam up to 1.2MW into HK era with a seamless data taking program.  
 HK → x20 instantaneous stat of T2K: **ultimate sensitivity**

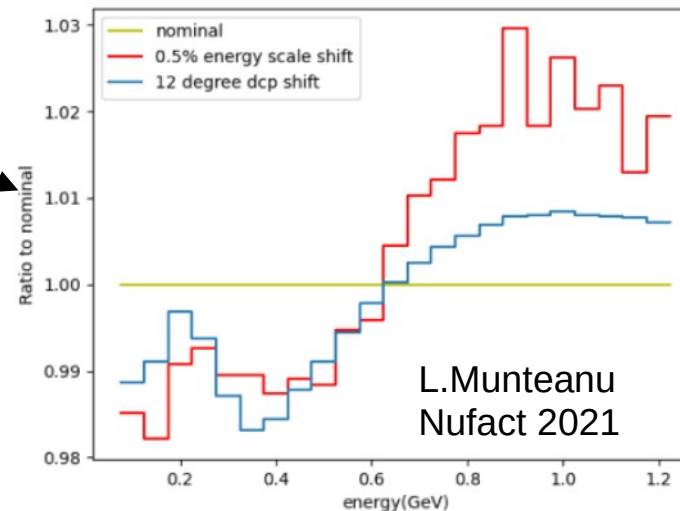
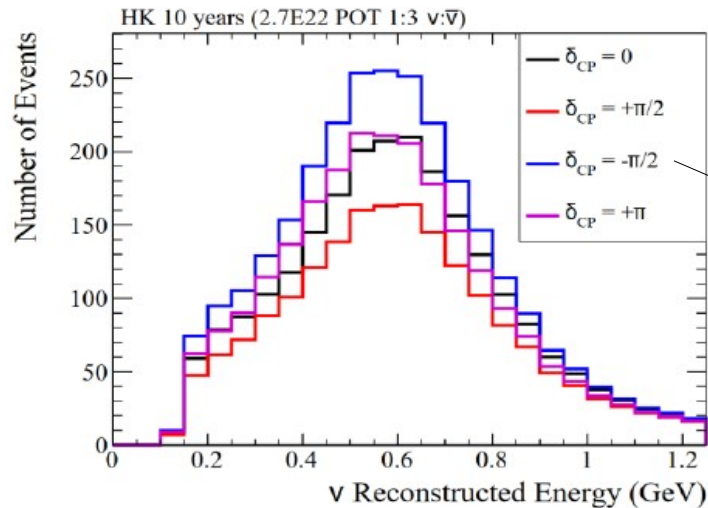


In case of very small CPV and (in any case) after the CPV discovery, **paradigm shift to precise measurement of  $\delta_{\text{CP}}$**

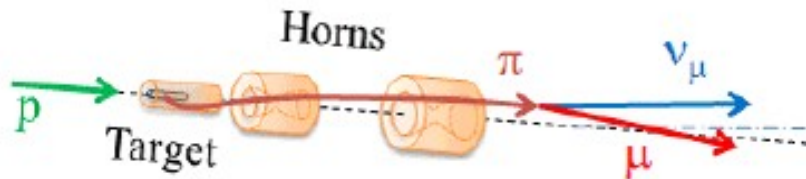
→ In the precision era, **combination between experiments absolutely crucial to build confidence in the control of systematic uncertainties (complex ones, related with modeling of nuclear physics!)**

# The challenge of precision

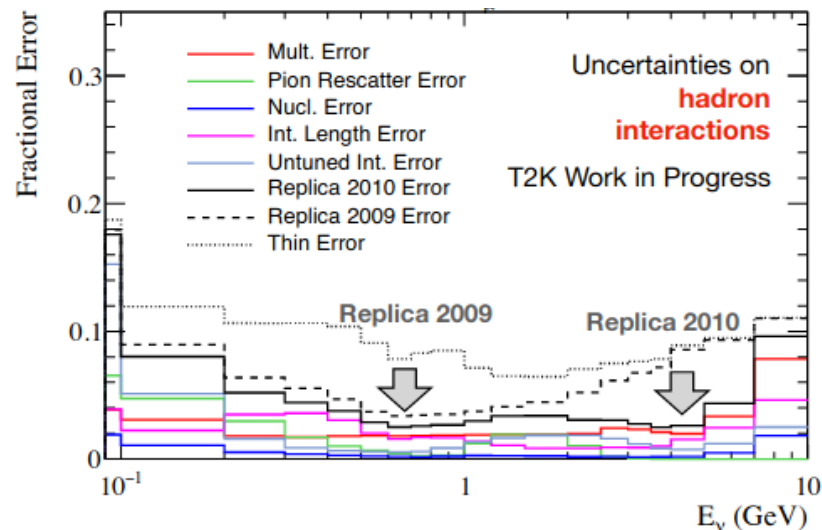
$\delta_{CP} < 15^\circ$  precision requires **control of energy scale (calibration + nuclear effects)  $< 1\%$**



**Control of produced flux of neutrinos:**



hadro-production simulated with nuclear physics model and **tuned to external measurements: NA61 experiment at CERN + (MIPP) EMPHATIC**



NA61 will finish at the end of 2025: **need to design new hadroproduction experiment to support (enable!) the precision physics for future oscillation experiments**

# The challenge of precision: near detectors

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

Need also to control **neutrino-nucleus cross-section** ( $\rightarrow$  detection probability).

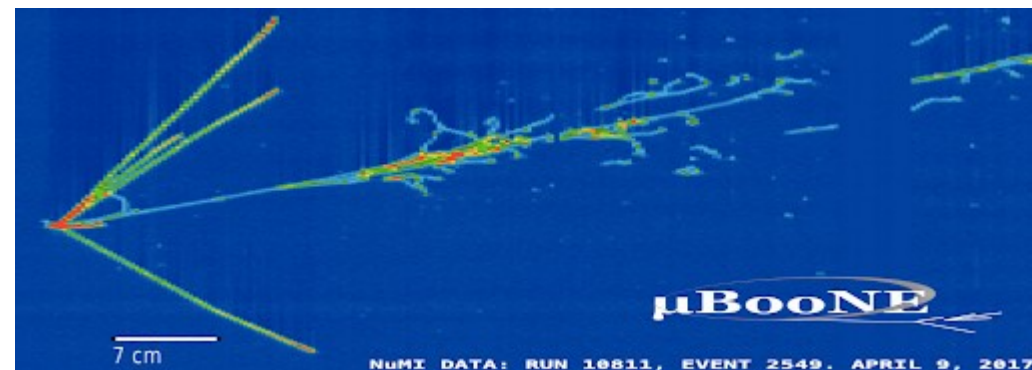
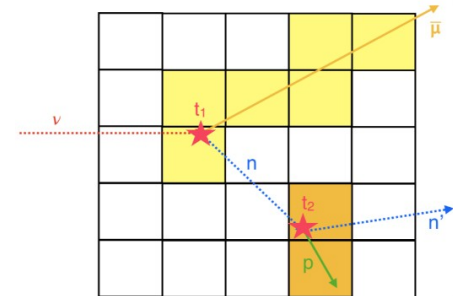
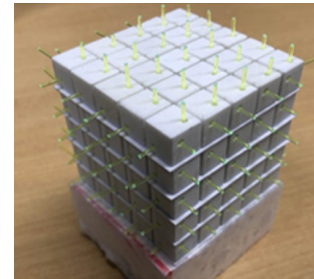
**New generation of near detectors:**

- previous ones just measure leptons in limited acceptance (T2K ND280) or measure the inclusive deposited energy lepton+hadron (NOVA ND)
- **NEW! Exclusive measurement of final state**

**ND280 upgrade (under installation):**  
scintillator with 3D track reconstruction capabilities

**Liquid Argon capability: MicroBooNE running**

- **low threshold on proton, pion momentum**
- **for the first time neutron measurement event-by-event**
  - $\rightarrow$  unprecedented control on all final state particles ( $\rightarrow E_{\nu}$  precision)

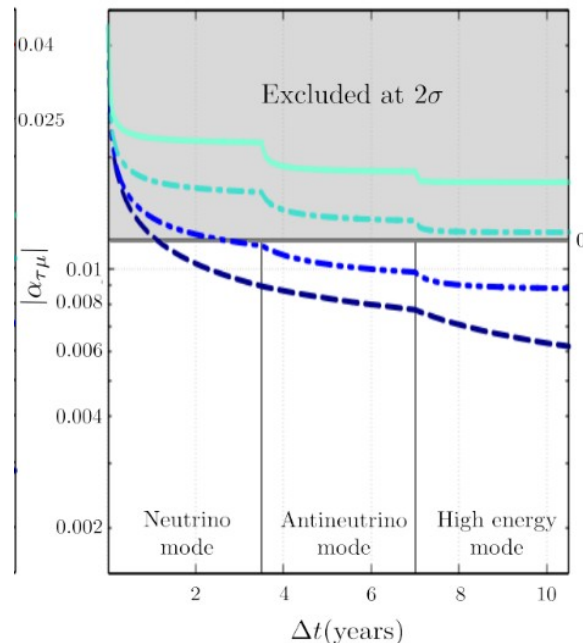


*The road to future precision is being built NOW: new detectors, analysis techniques for near detectors measurements + collaboration with nuclear theory community for improved models*

# Versatility of near detectors for BSM

Steriles (of many different types) → **inventive ways of use near detectors**

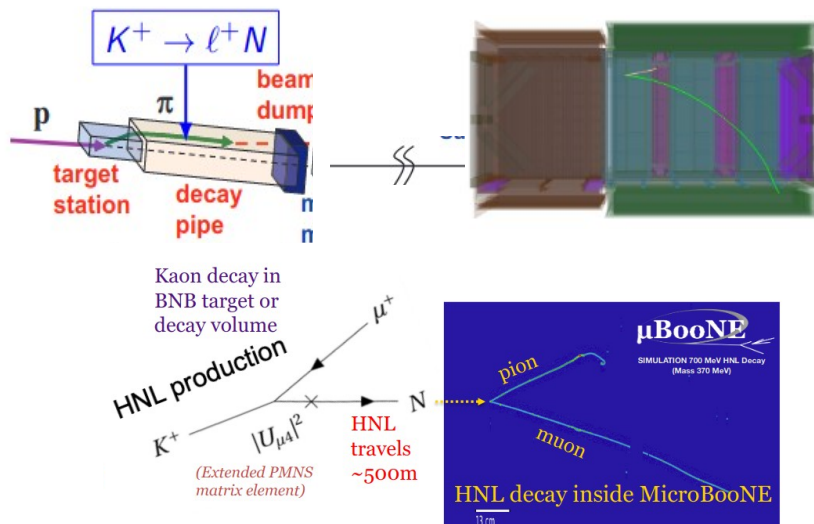
- DUNE **tau**  $\nu$  appearance at near detectors



Sensitivity depending on energy shape uncertainty

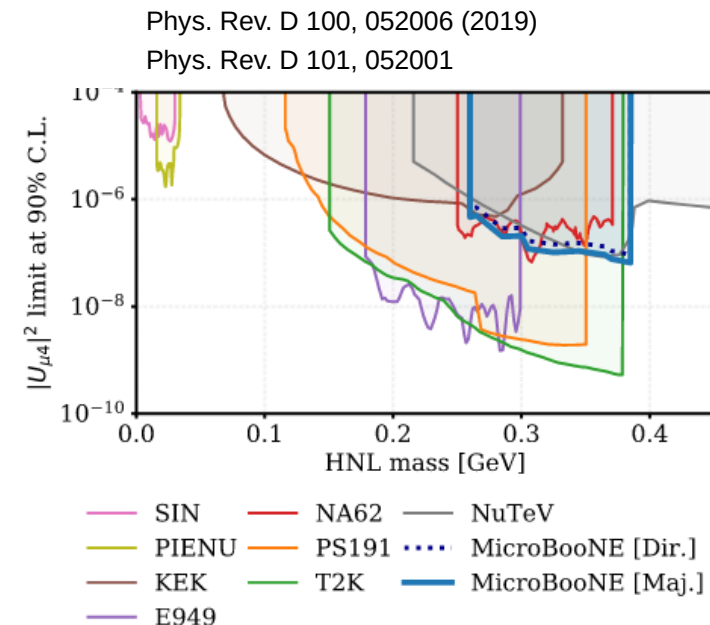
- - - No shape error  
 . . . 2% shape error  
 - - - 5% shape error  
 — 10% shape error

- **HNL** from K decays in the beam



- ND280: decay of N in TPC gas volume (~no background)

- MicroBooNE: delayed N decays



# Beyond PMNS

- The ‘standard’ oscillation paradigm (PMNS-based) is very strict and not motivated by fundamental symmetries (mixing angles and neutrino masses are ‘accidental’ numbers).

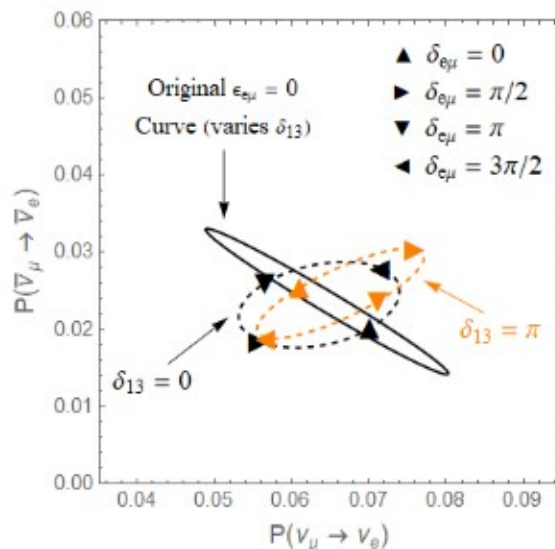
In particular it assumes

- minimal 3-flavour scenario
- standard neutrino interactions for production and detection
- standard matter effects along propagation

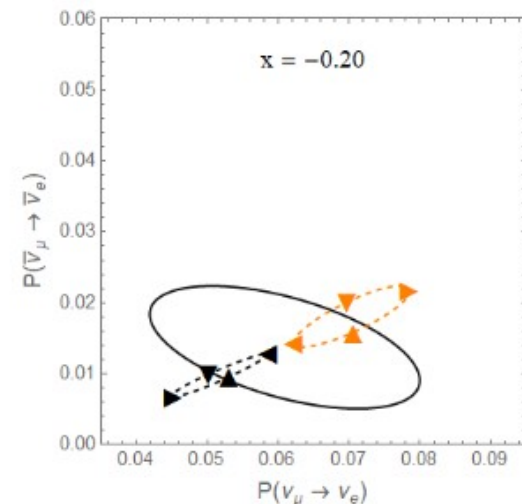
- When moving beyond the PMNS paradigm, combination of experiments is (again) crucial

- more than the sum of sensitivities: effects of New Physics can offusate ‘standard’ PMNS interpretation and induce degeneracies: comparison between experiments at different L/E solve them

Eg: new sources of CP-violation in Non Standard Interactions from non-diagonal terms in matter potential



moving to different (L/E)





# Beyond PMNS

- Expand the oscillation study with a **more general paradigm: with next generation of experiments we will look at oscillations with a much more open-mind approach:** we want to characterize the L/E dependency of flavour mixing

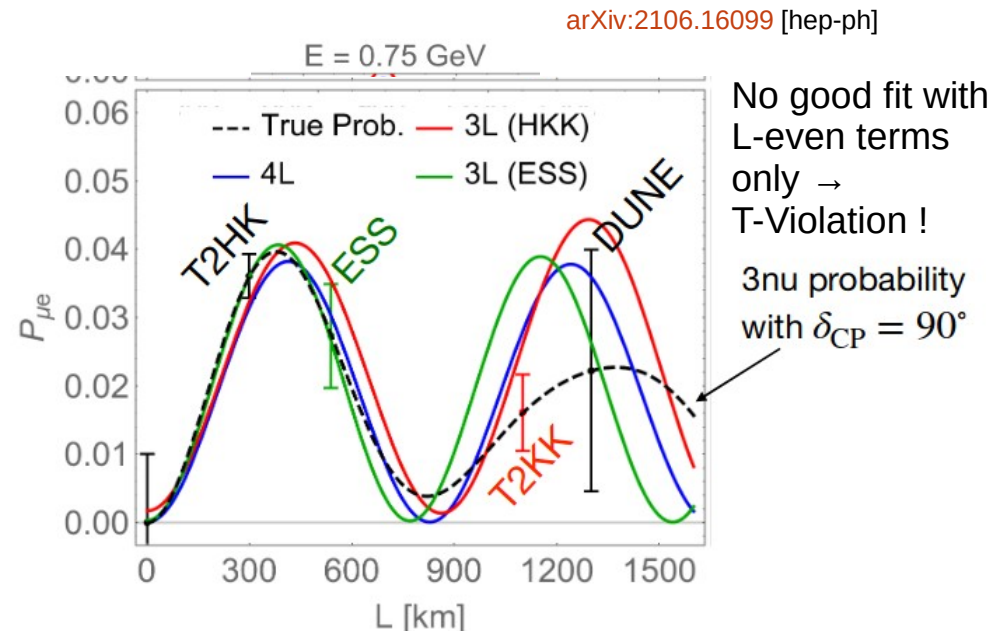
Eg: can we search for **fundamental CP violation in a more model-independent way?**

- allow for arbitrary (non-standard) matter effect -
- allow for arbitrary (non-unitary) mixing between flavour and energy eigenstates (even different for production and detection)

→ **search for T-violation** → **look for L dependency of oscillations at fixed energy**

- Combination of experiments will be needed for a **comprehensive, precise and open-minded** characterization of  $\nu$  oscillations
- Crucial to have a coherent program of Near Detectors + establish a common language in terms of nuclear models, ...

Reharsal: T2K+SK, T2K+NOVA combination → Start to plan for it well in advance!





# Looking further into the future

- **T2KK:** second HK tank in Korea

- **ESSνSuperBeam:**

covering 2<sup>nd</sup> oscillation peak  
+ HIFI

(demonstrator for low energy  
νSTORM)

<https://arxiv.org/abs/2107.07585>



- **νSTORM:** muon storage ring giving  
very well known  $\nu_e$  and  $\nu_\mu$  fluxes  
(R&D toward Neutrino Factories)

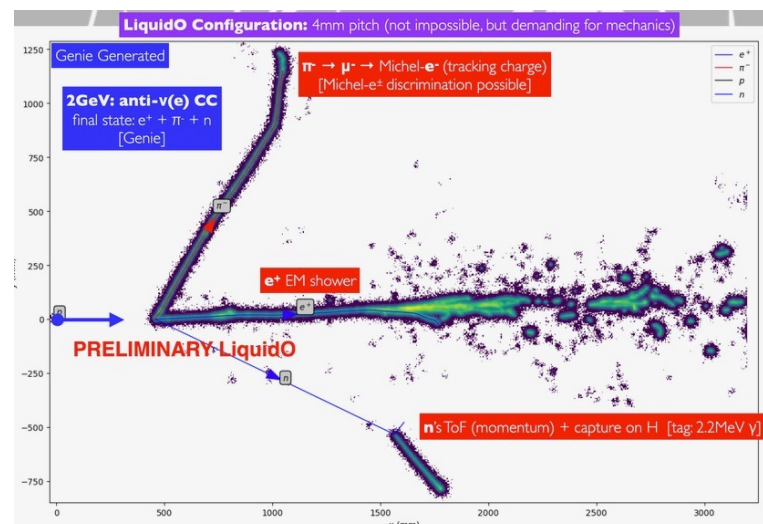
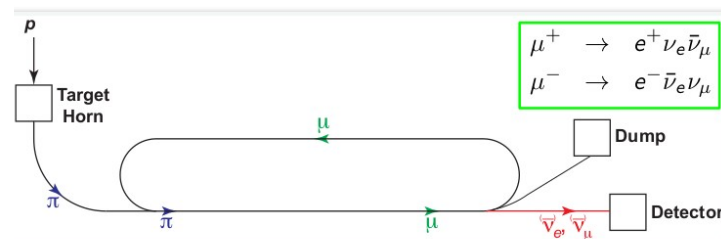
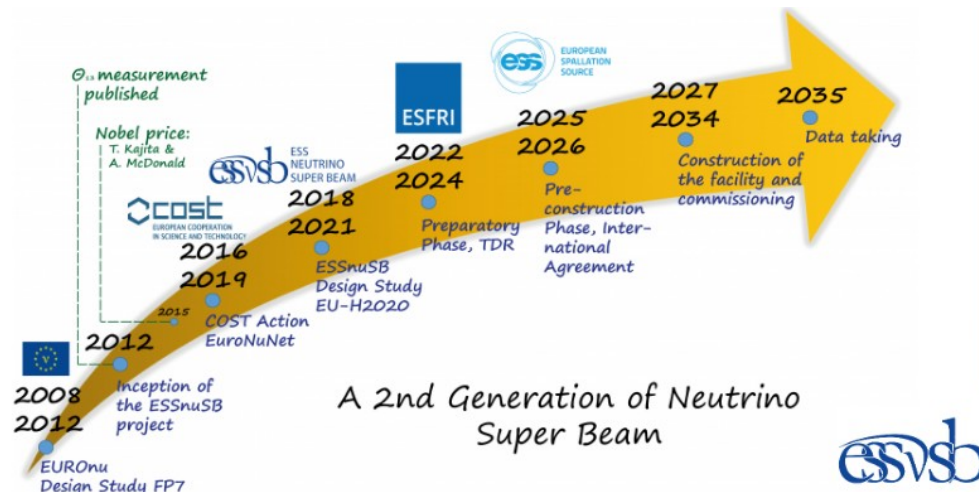
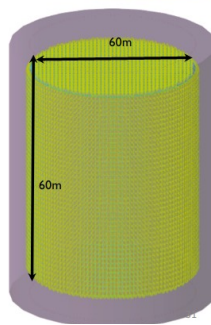
- **LiquidO:** studies for even improved S/B  
and resolution

→  $\theta_{13}$ , non-unitarity, solar neutrinos...

Opaque target readout by many fibers

→ **SuperCHOOZ**

- **THEIA:** water based (doped)  
optical detector for  
comprehensive neutrino program  
(scintillation + Cherenkov)



# Last remarks

---

Change of gear: from statistically dominated domain to precision physics.

The **present experiments (SuperKamiokande, T2K, NOVA) are opening the road:**

- establish analysis strategies and best detector design (notably in terms of ND)
- some  $\sim 3\sigma$  (or more) indication for CPV and MH can already happen in next future from combination of experiments, **including JUNO and ORCA**

If we want to build a **safe path to  $5\sigma$  / precision physics / unambiguous results for next generation of experiments** (DUNE and HK), the work to do is still long:

- we need to **validating our nuclear-physics model with better precisions with present near detectors (T2K, NOVA, MicroBooNE)**
- we need a **safe path to precise flux tuning (post-NA61 experiment[s]!)**

**The ultimate precision in PMNS and the open-minded BSM interpretation will rely on combination of experiments.**

→ **Long term effort of community building which need to start now!**

# Stay tuned!

A field of HEP in rapid development with new data coming and a lot of activity through new models, algorithms for reconstruction and analyses...

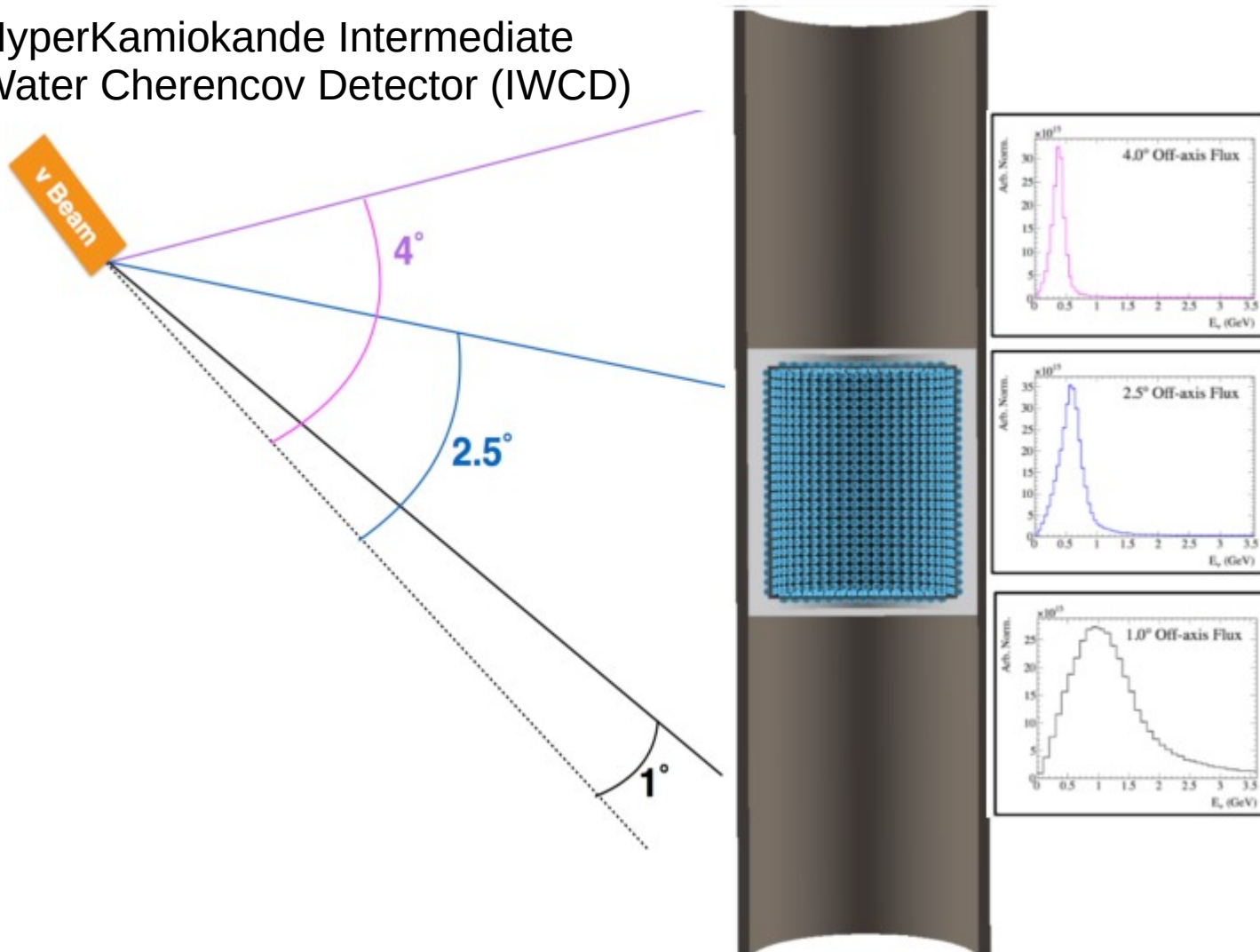
An exciting time to study neutrinos!!!

# BACKUP

# New approach to near to far extrapolation

Extract  $E_\nu$  dependence from off-axis angle

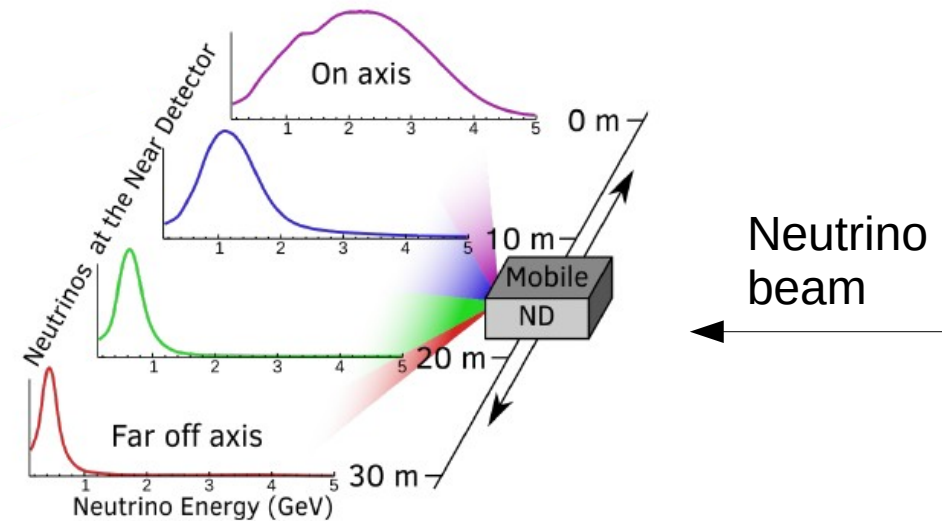
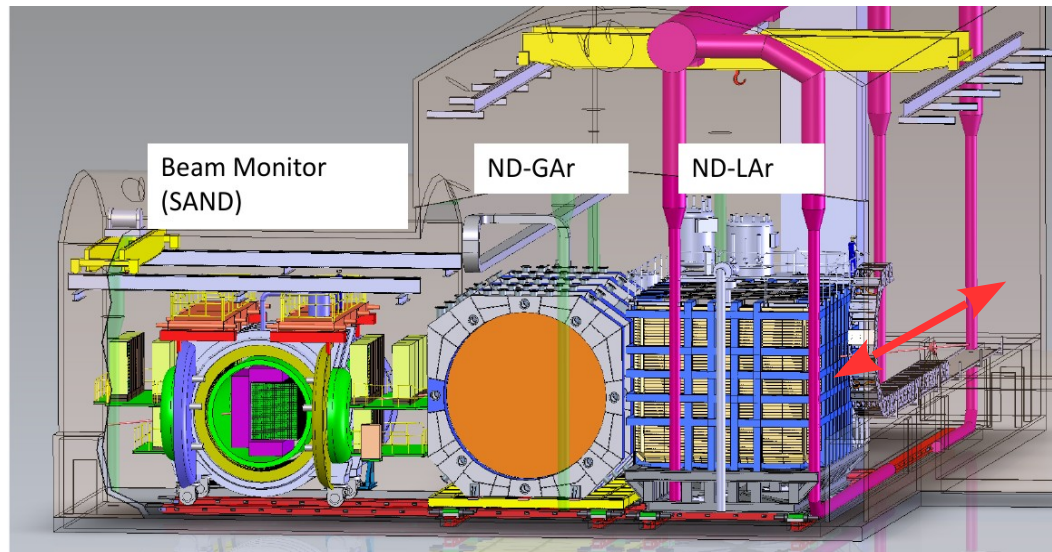
HyperKamiokande Intermediate  
Water Cherenkov Detector (IWCD)



# New approach to near to far extrapolation

Extract  $E_\nu$  dependence from off-axis angle

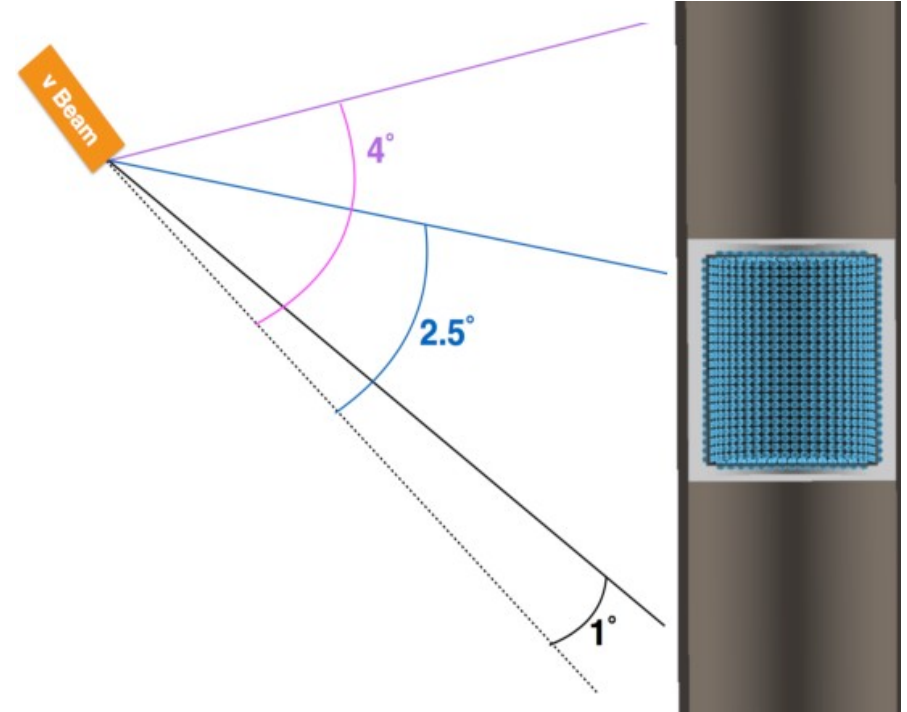
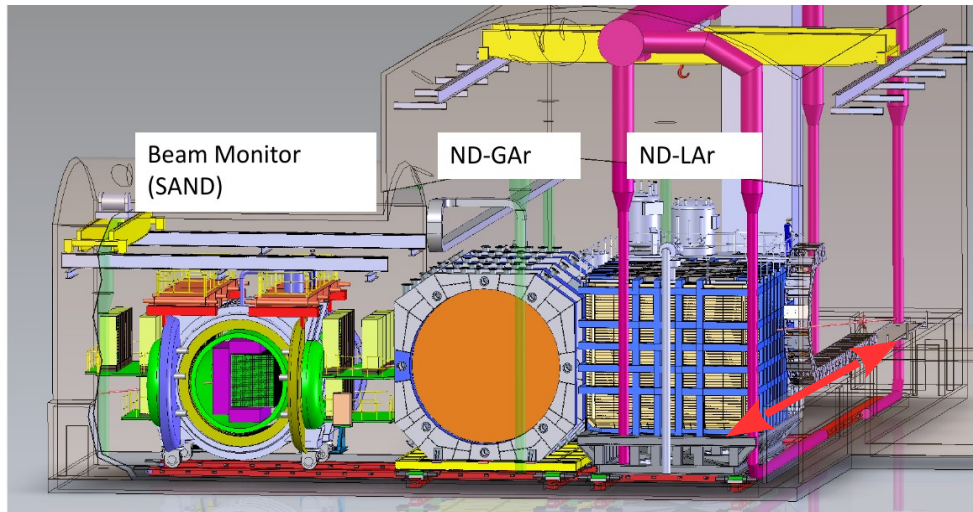
DUNE LAr and GAr TPCs as movable near detectors: DUNE-Prism





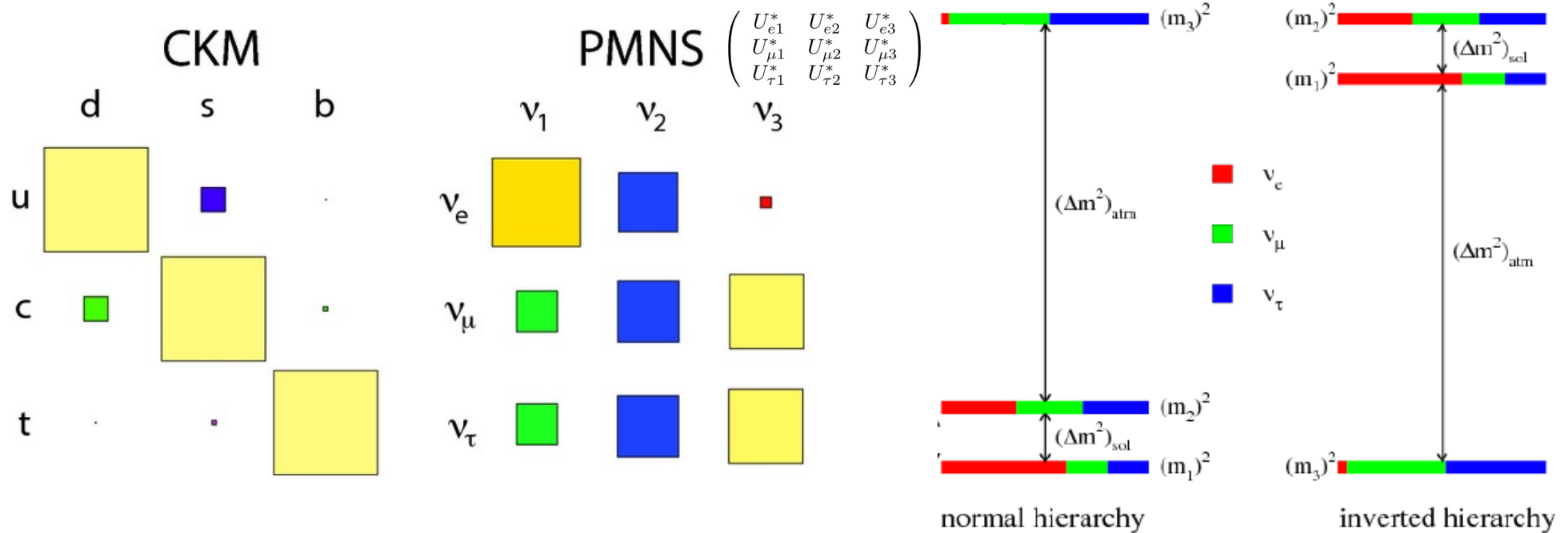
# New approach to near to far extrapolation

Extract  $E_\nu$  dependence from off-axis angle



- **Nuclear-level systematics becomes 'second order'**  
→ quantification on-going (acceptance, finite statistics, ...)
- Need to control well **flux systematic uncertainties vs angle and flux stability vs time** (DUNE SAND, T2(H)K INGRID)
- Movable ND are also **extremely useful measurement for  $\nu_e$  cross-section** (first order systematics for CPV and MH) since  $\nu_e / \nu_\mu$  change vs angle

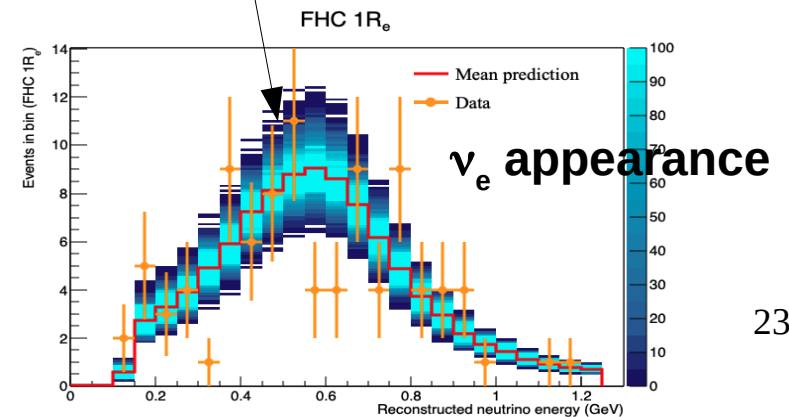
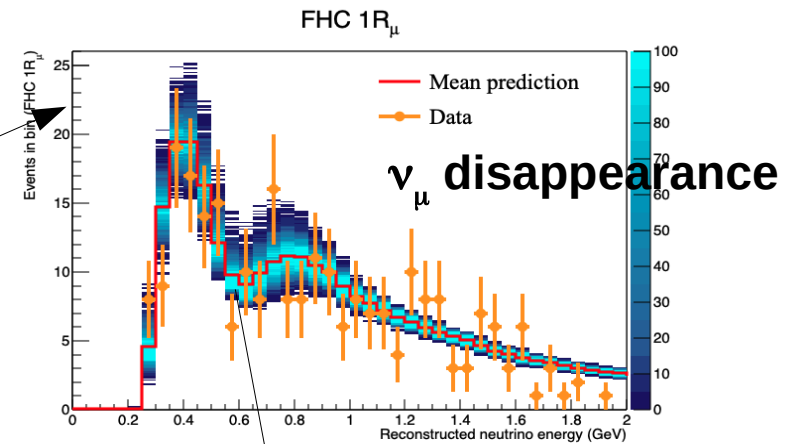
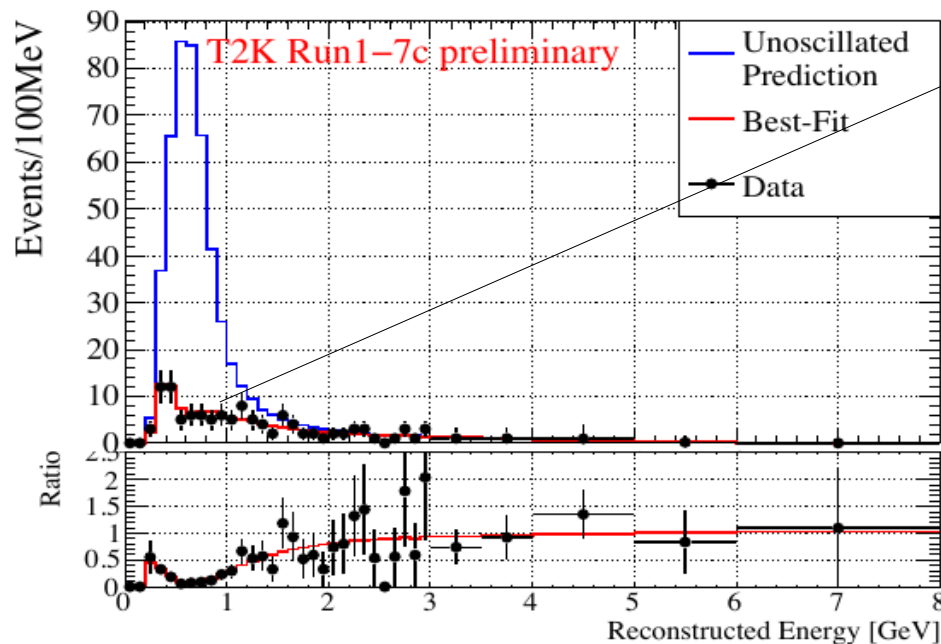
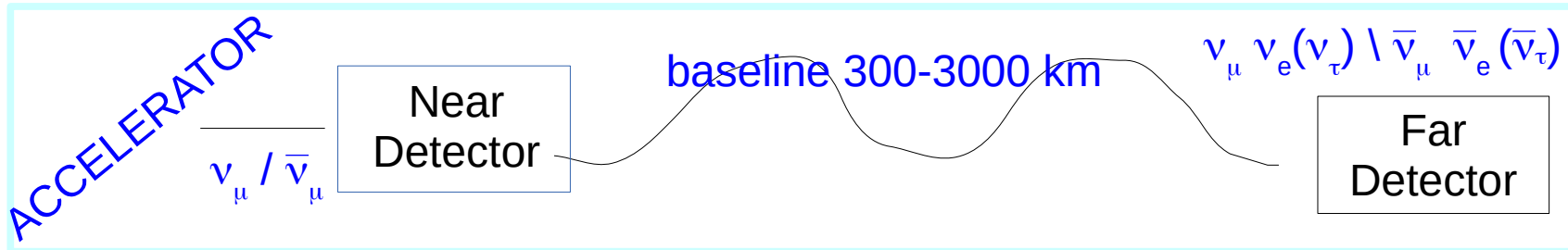
# Status and open questions



- **Precision measurements of flavour mixing pattern:**
  - very large mixing ( $\theta_{23} \sim \pi/4$  would imply maximal mixing, ie  $U_{\mu i} \sim U_{\tau i}$ , if not which octant?)
  - $\theta_{13}$  smaller but not so small ( $U_{e1}$ )  $\rightarrow$  access to  $\delta_{\text{CP}}$  phase
- $\delta_{\text{CP}}$  parametrizes different oscillations for  $\nu$  and  $\bar{\nu}$  what is its value? If not  $0, \pi$  then **new fundamental source of CP violation (and first in leptonic sector!)**
- **Mass Hierarchy** : is the mass ordering the same for charged and neutral leptons?
  - in combination with cosmological measurements can constrain the neutrino mass
  - important input to  $0\nu\beta\beta$  measurement

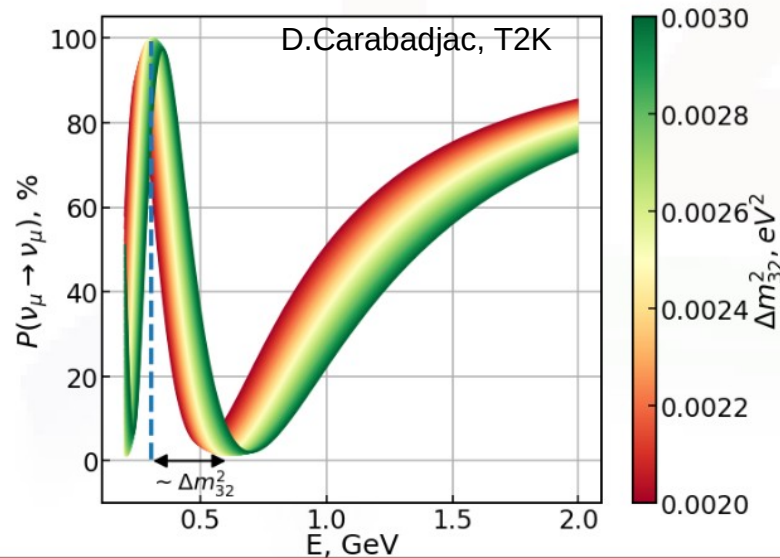
# Long-baseline experiments

- **Oscillation probability estimated** by comparing  $\nu$  (and  $\bar{\nu}$ ) rate by flavor between source (near detectors) and far detectors:



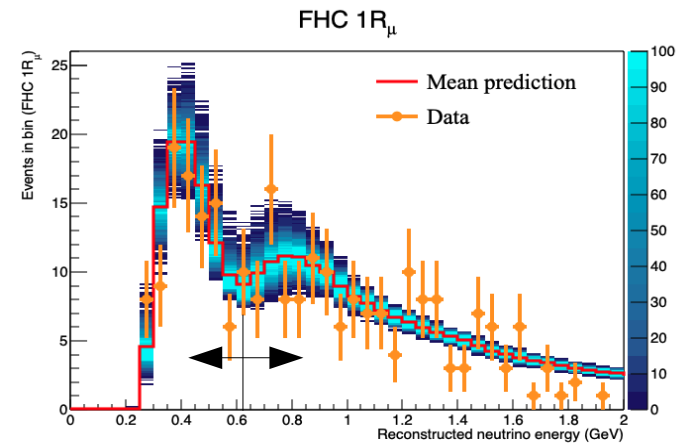
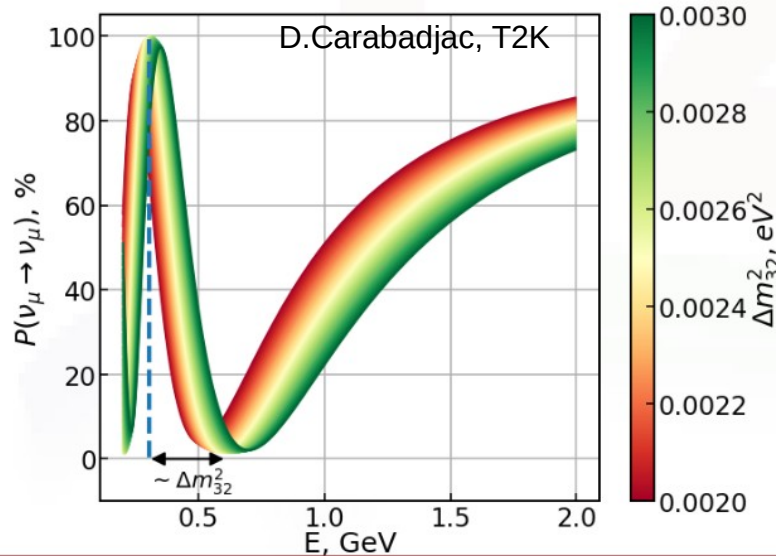
# Atmospheric parameters: $\nu_\mu$ disapp

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \cos^4 \theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$



# Atmospheric parameters: $\nu_\mu$ disapp

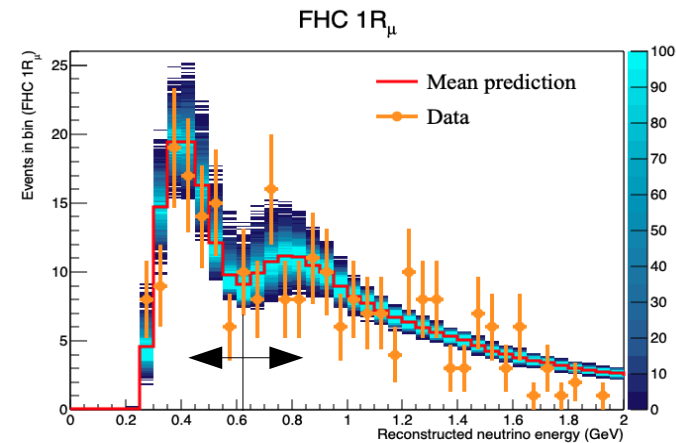
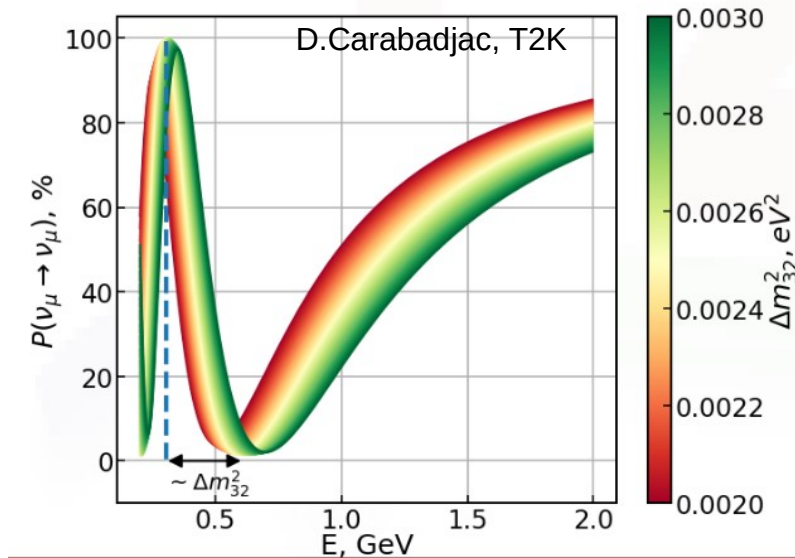
$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \cos^4 \theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$



- Precise measurement of neutrino energy event by event is crucial: good resolution on neutrino energy reconstruction + avoid bias in energy scale  
Precision at few % level (→ few MeV)

# Atmospheric parameters: $\nu_\mu$ disapp

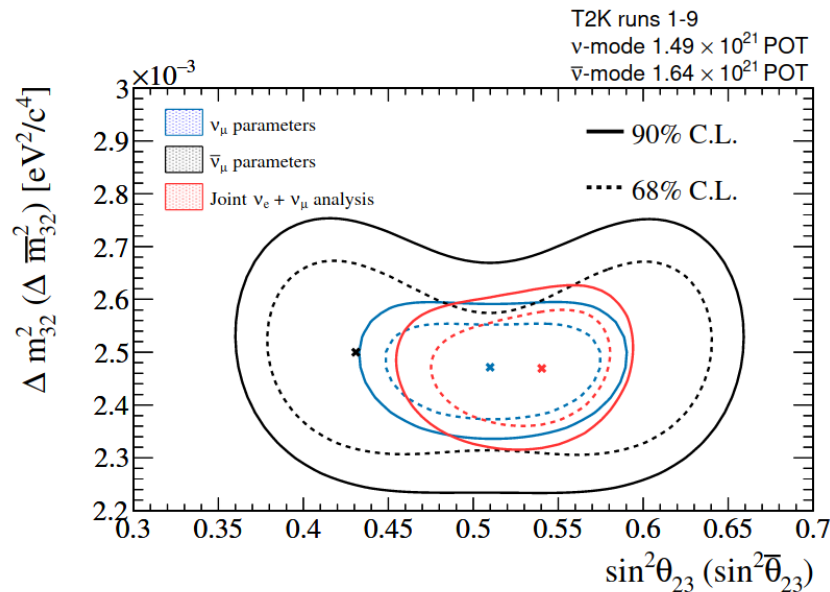
$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \cos^4 \theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$



- Precise measurement of neutrino energy event by event is crucial: good resolution on neutrino energy reconstruction + avoid bias in energy scale

Precision at few % level ( $\rightarrow$  few MeV)

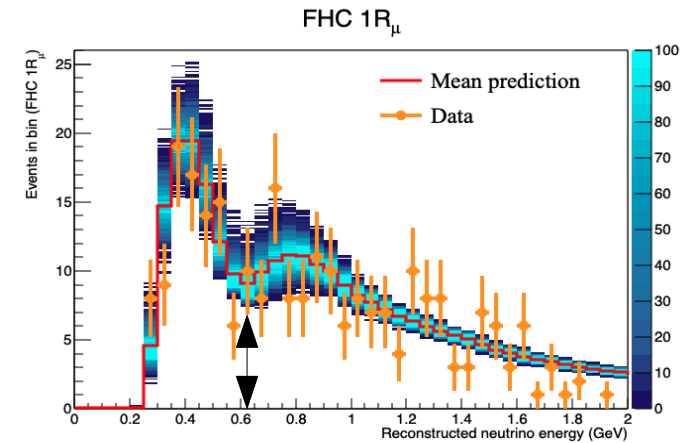
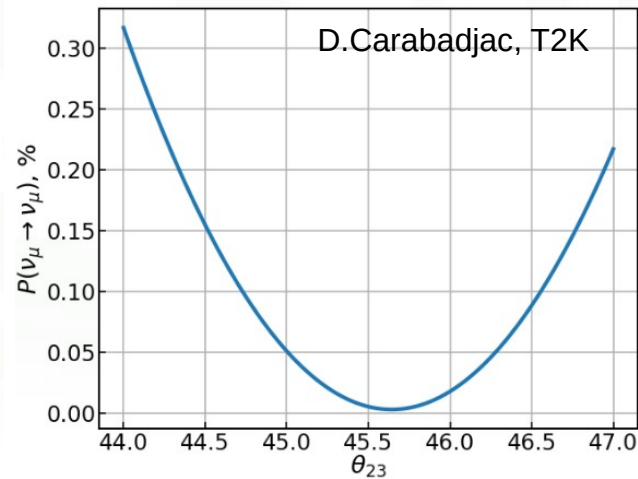
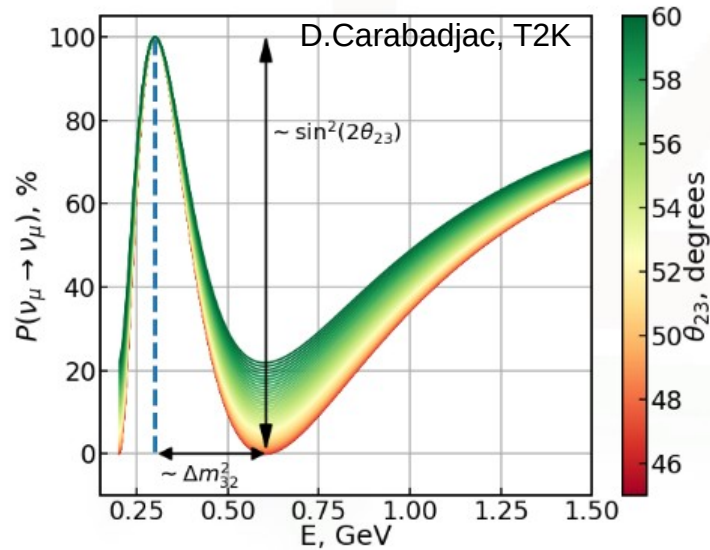
- Correlated effects in  $\nu$  and  $\bar{\nu}$  (assuming CPT invariance)





# Atmospheric parameters: $\nu_\mu$ disapp

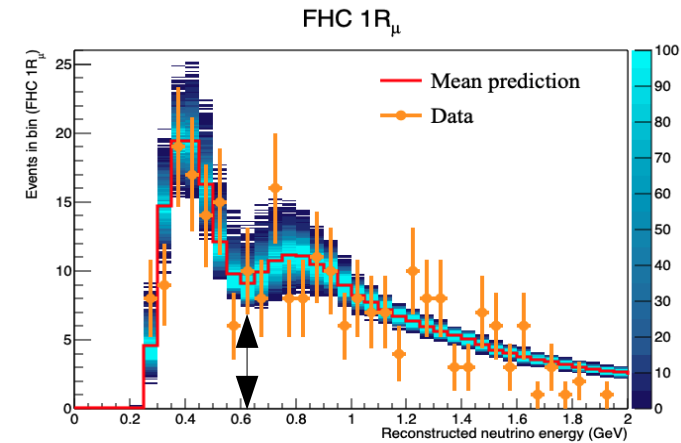
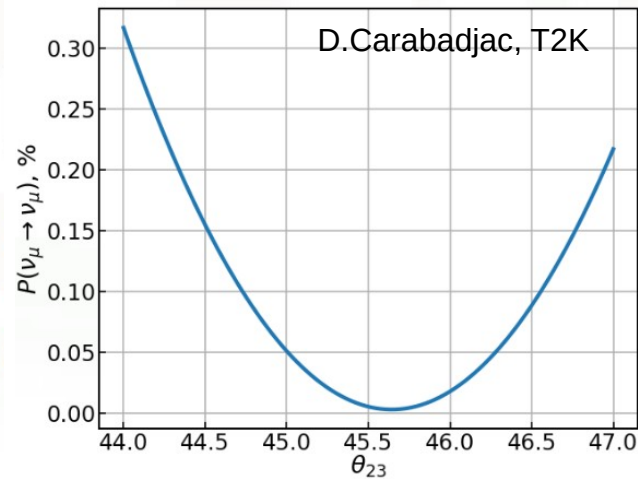
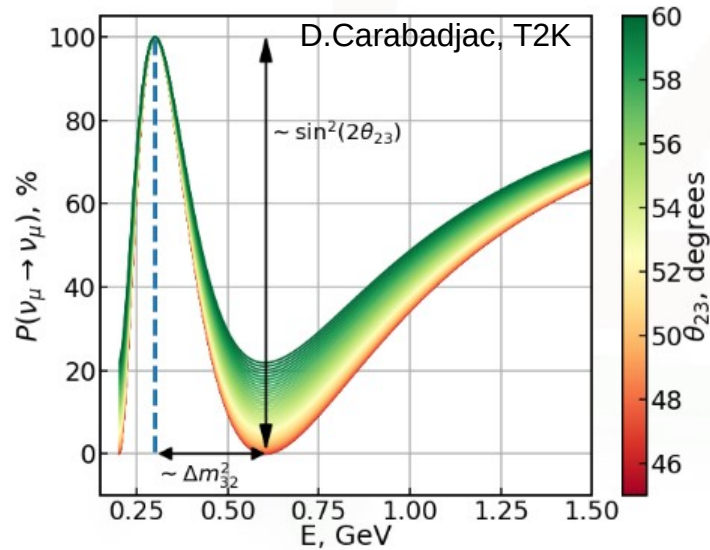
$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \boxed{\sin^2 2\theta_{23}} \cos^4 \theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$



- Measurement **proportional to number of observed muon neutrino** at oscillation maximum  
 → need control of  $\nu_\mu$  overall normalization at few %  
 (again correlated between  $\nu$  and  $\bar{\nu}$ )

# Atmospheric parameters: $\nu_\mu$ disapp

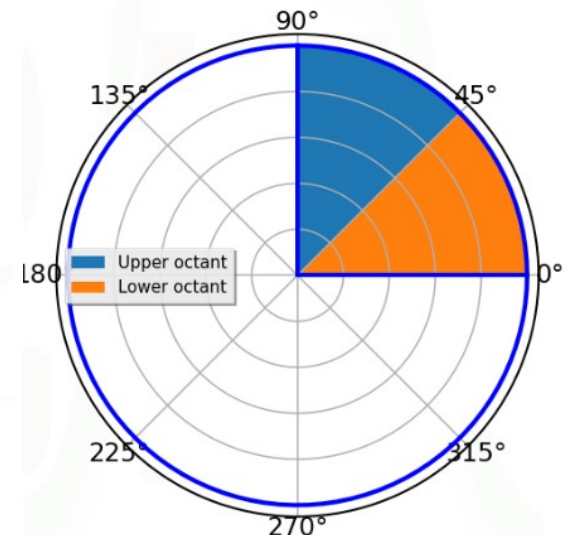
$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \boxed{\sin^2 2\theta_{23}} \cos^4 \theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$



- Measurement **proportional to number of observed muon neutrino** at oscillation maximum  
→ need control of  $\nu_\mu$  overall normalization at few %  
(again correlated between  $\nu$  and  $\bar{\nu}$ )

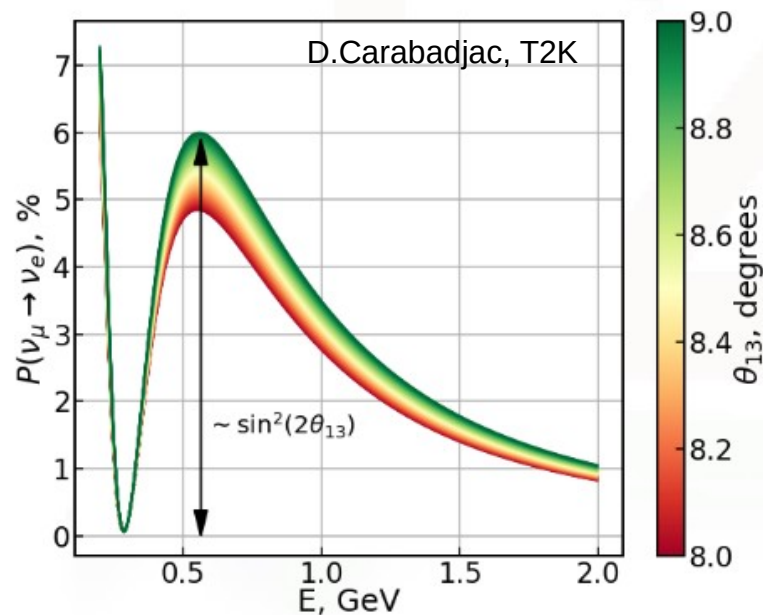
- Maximal mixing  $\theta_{23} \sim \pi/4$  would be a very interesting symmetry.  
Away from that, **octant degeneracy due to quadratic dependence on  $\sin^2 2\theta$**

- ①  $\theta_{23} \in [0; \pi/4]$  - lower octant
- ②  $\theta_{23} \in [\pi/4, \pi/2]$  - upper octant



# $\nu_e$ appearance

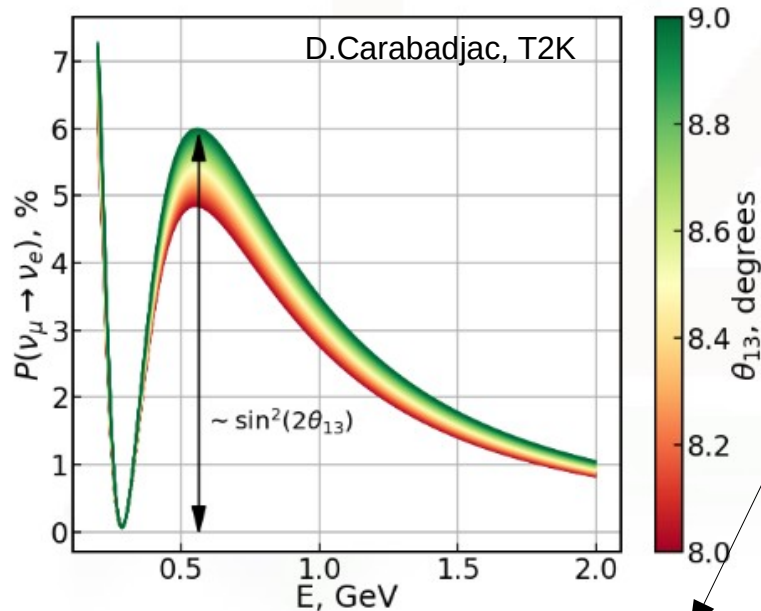
$$P(\nu_\mu \rightarrow \nu_e) \approx \boxed{\sin^2 2\theta_{13}} \sin^2 \theta_{23} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$



- $\theta_{13}$  well measured by reactor experiments ( $\sim 1.5\%$ )

# $\nu_e$ appearance

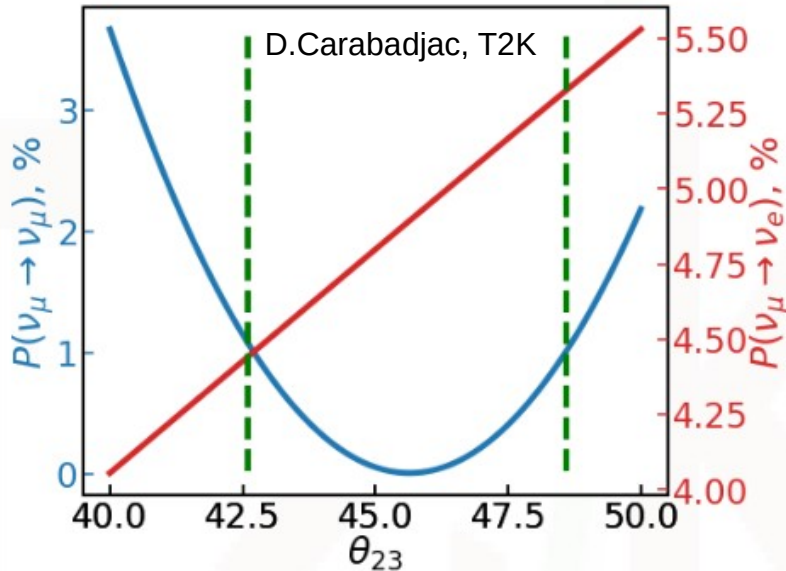
$$P(\nu_\mu \rightarrow \nu_e) \approx \boxed{\sin^2 2\theta_{13}} \boxed{\sin^2 \theta_{23}} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$



-  $\theta_{13}$  well measured by reactor experiments ( $\sim 1.5\%$ )

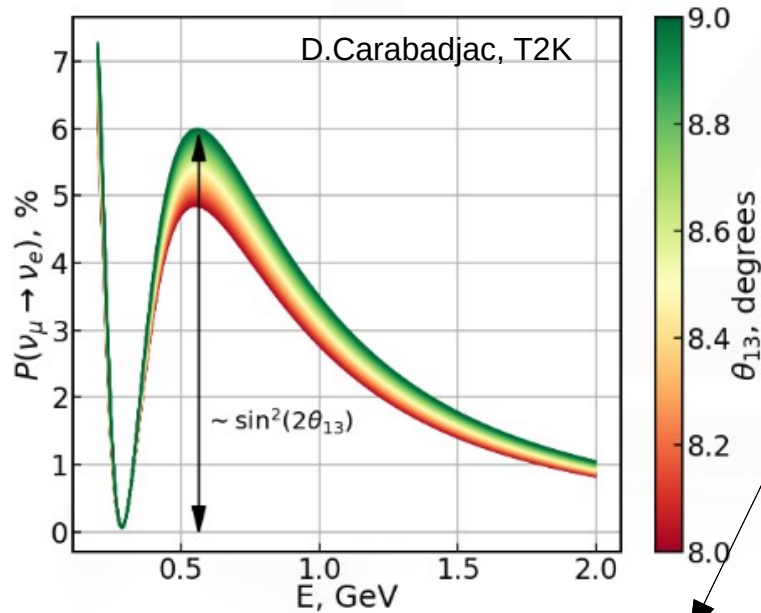
- sensitivity to  $\theta_{23}$

→ break degeneracy on  $\theta_{23}$  octant ( $\sim 1\%$  effect)



# $\nu_e$ appearance

$$P(\nu_\mu \rightarrow \nu_e) \approx \boxed{\sin^2 2\theta_{13}} \boxed{\sin^2 \theta_{23}} \sin^2 \frac{\Delta m_{32}^2 L}{4E} + \sim \sin \theta_{13} \times \sin \delta \sim$$

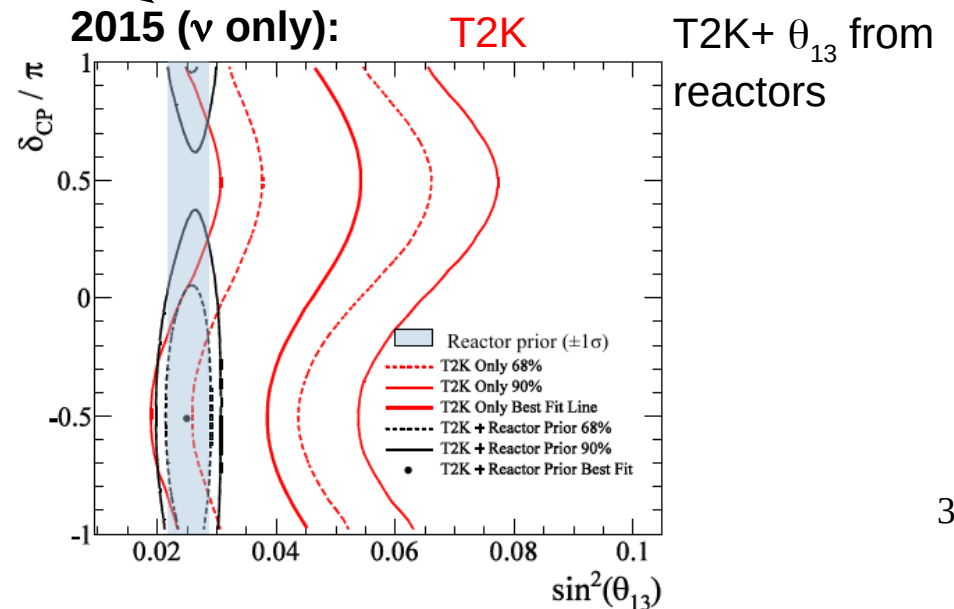
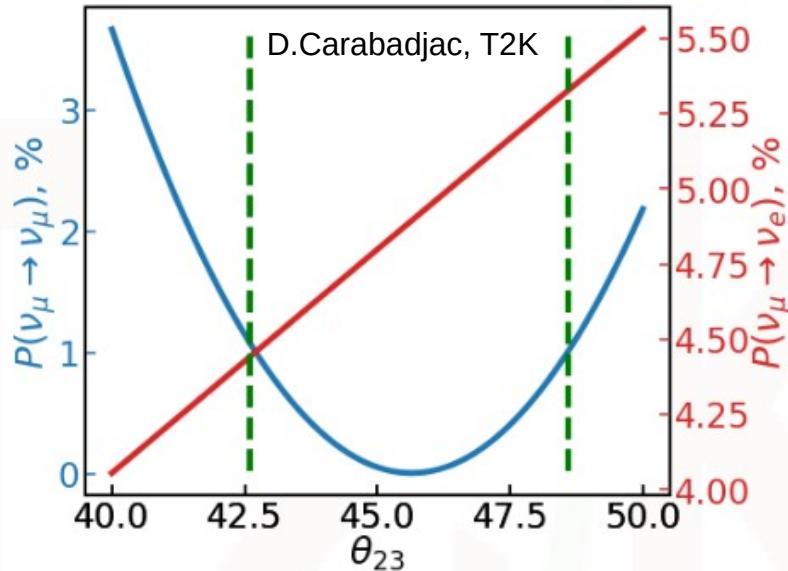


-  $\theta_{13}$  well measured by reactor experiments ( $\sim 1.5\%$ )

- sensitivity to  $\theta_{23}$

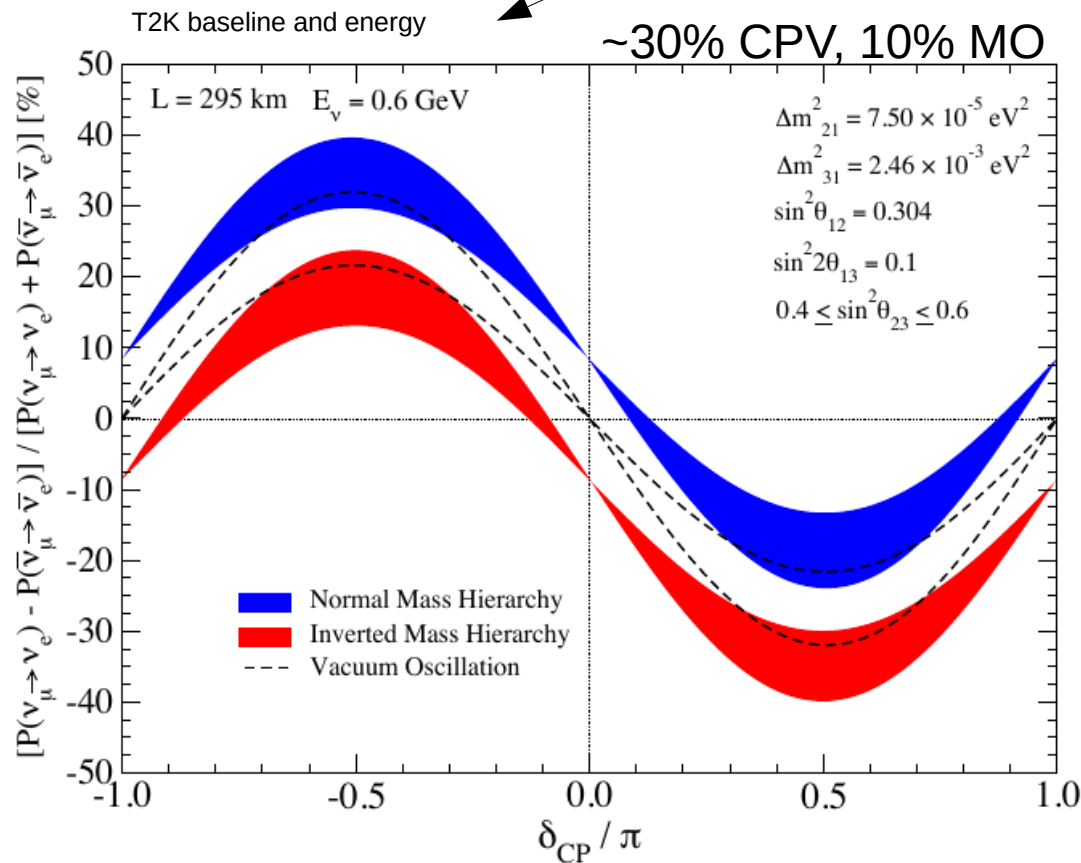
→ break degeneracy on  $\theta_{23}$  octant ( $\sim 1\%$  effect)

→ with  $\theta_{13}$  from reactor, some sensitivity to  $\delta_{CP}$



# $\nu_e/\bar{\nu}_e$ appearance: $\delta_{CP}$ and MH

$$\mathcal{A}_{CP} \equiv \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq -\frac{\sin 2\theta_{12} \sin \delta}{\sin \theta_{13} \tan \theta_{23}} \Delta_{21} + \text{matter effects},$$



Matter effects are different between neutrinos and antineutrinos, since they rise effectively from the charged-current interaction with the Earth matter

- All neutrinos ( $\nu_e, \nu_\mu, \nu_\tau$ ) interact with matter (e,p,n) through Z0 exchange (Neutral Current)  $\rightarrow$  overall phase in mass eigenstate evolution which can be subtracted.
- $\nu_e$  also makes charged current interactions (W+/-) with electrons in matter  $\rightarrow$  additional potential in matter of opposite sign for  $\nu_e/\bar{\nu}_e$

$$A = \pm 2\sqrt{2}G_F N_e E,$$

- larger neutrino energy and longer baseline  $\rightarrow$  larger the matter effect (Earth crust  $\sim$  constant density and at LBL below MSW effect)



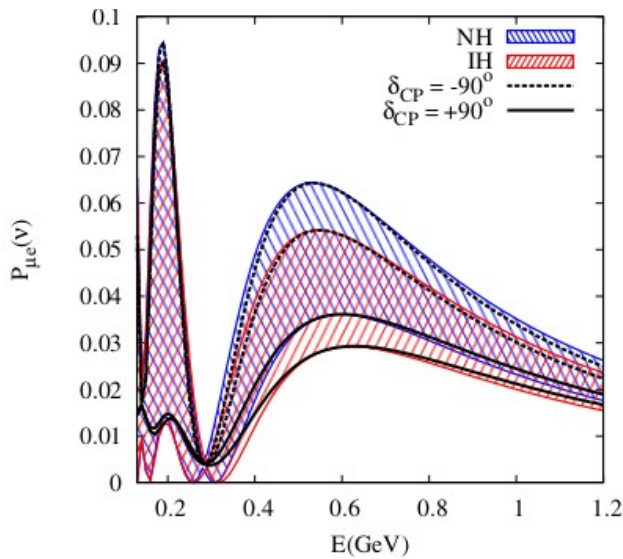
# $\nu_e/\bar{\nu}_e$ appearance: $\delta_{CP}$ and MH

$$\mathcal{A}_{CP} \equiv \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq -\frac{\sin 2\theta_{12} \sin \delta}{\sin \theta_{13} \tan \theta_{23}} \Delta_{21} + \text{matter effects},$$

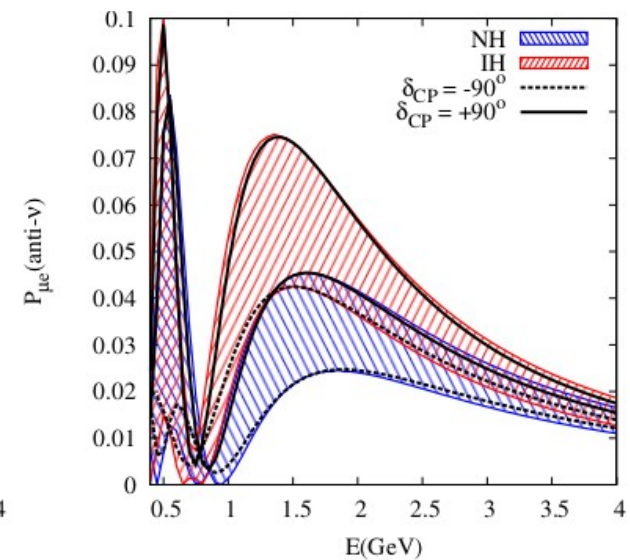
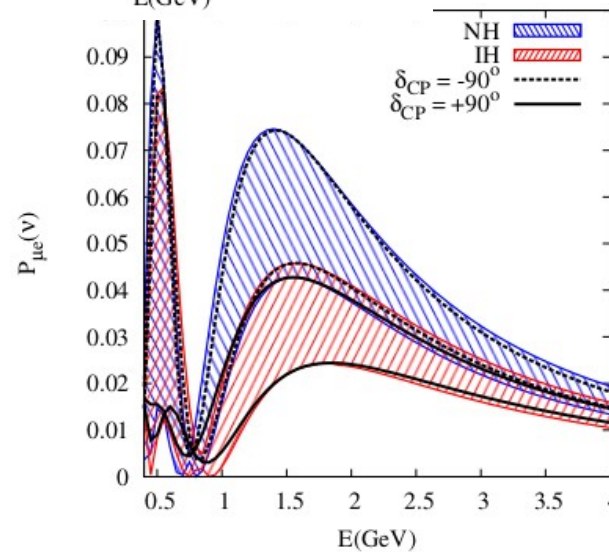
Adv.High Energy Phys. 2014 (2014) 457803

$$\sin^2 2\theta_{13} = 0.089, \sin^2 \theta_{23} = 0.5$$

L=295km  
(T2K)



L=810km  
(NOVA)  
30% CPV,  
30% MH  
(degeneracy)



# From CPV discovery to $\delta_{CP}$ measurement

Asking if  $\delta_{CP} \neq 0, \pi$  or asking what is its value are two different questions:

$$\mathcal{A}_{CP} \equiv \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq -\frac{\sin 2\theta_{12} \sin \delta}{\sin \theta_{13} \tan \theta_{23}} \Delta_{21} + \text{matter effects},$$

Actually at second order:

$$P_{\text{appearance}} \sim \pm A \sin \delta + B \cos \delta + \dots \quad \xrightarrow{\text{detailed formula}} \quad \bullet$$

$$\begin{aligned} P_{\text{long-baseline}} &\simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta \\ &\mp \alpha \sin 2\theta_{13} \sin \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin^3 \Delta \\ &+ \alpha \sin 2\theta_{13} \cos \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \Delta \sin^2 \Delta \\ &+ \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 \Delta \end{aligned}$$

$$\text{with } \alpha \equiv \Delta m_{21}^2 / \Delta m_{23}^2 \text{ and } \Delta \equiv \Delta m_{31}^2 L / (4E_\nu).$$

# From CPV discovery to $\delta_{CP}$ measurement

Asking if  $\delta_{CP} \neq 0, \pi$  or asking what is its value are two different questions:

$$A_{CP} \equiv \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq -\frac{\sin 2\theta_{12} \sin \delta}{\sin \theta_{13} \tan \theta_{23}} \Delta_{21} + \text{matter effects},$$

Actually at second order:

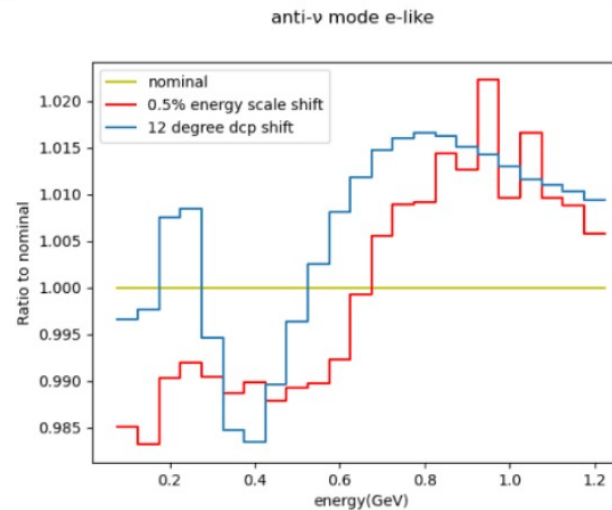
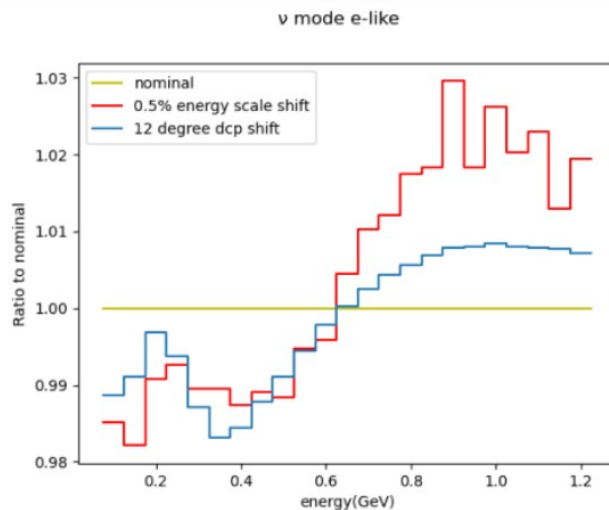
$$P_{\text{appearance}} \sim \pm A \sin \delta + B \cos \delta + \dots$$

detailed formula

$$P_{\text{long-baseline}} \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta \mp \alpha \sin 2\theta_{13} \sin \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin^3 \Delta + \alpha \sin 2\theta_{13} \cos \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \Delta \sin^2 \Delta + \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 \Delta$$

with  $\alpha \equiv \Delta m_{21}^2 / \Delta m_{23}^2$  and  $\Delta \equiv \Delta m_{31}^2 L / (4E_\nu)$ .

**At  $\delta_{CP} \sim \pm \pi/2$  the precision on  $\delta_{CP}$  ( $\sim P_{\text{app}}$  derivative vs  $\delta_{CP}$ ) is dominated by the second term: precise energy spectrum measurement ( $\cos \delta_{CP}$  dependance) dominate the resolution**

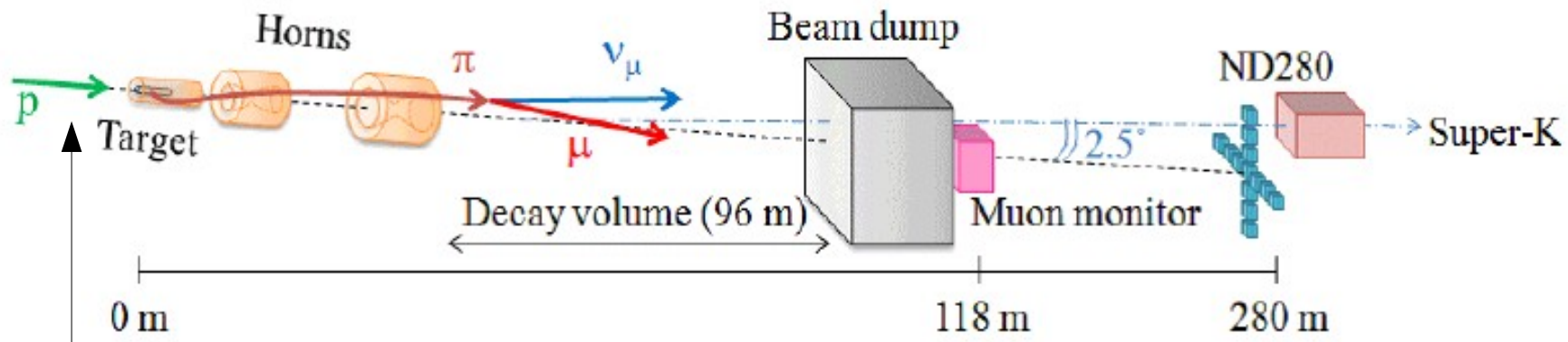


Neutrino oscillations  
in long-baseline experiments:  
how do we measure them?

# Neutrino 'beam'

---

# Beam: protons → pions



- Proton beam:

30 GeV JPARC, 120 GeV NuMi FNAL → 500 kW and above (next generation 1-2MW)

$$P(kW) \propto POT (10^{20}) \times E_p (GeV) / T (10^7 s)$$

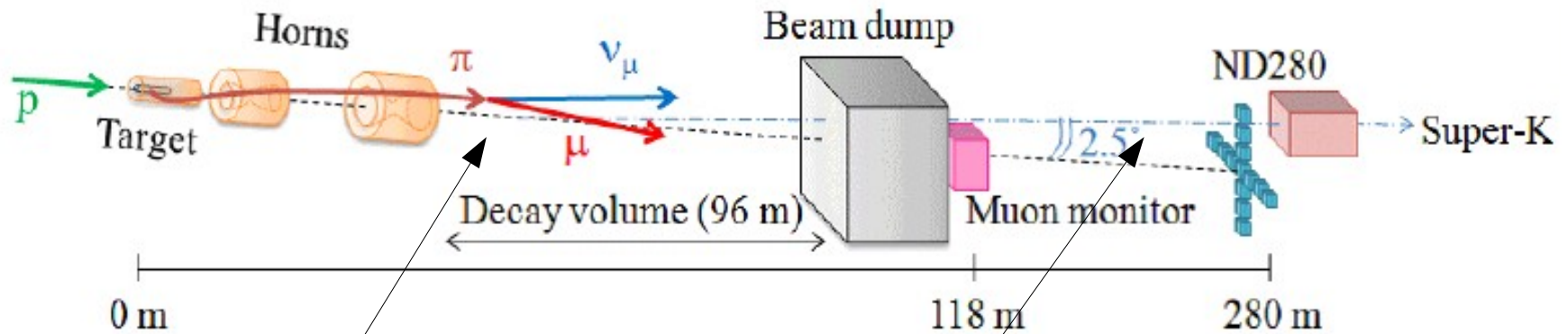
- Horns to focus all pions (kaons) of the right sign

-  $\pi^+ \rightarrow \mu^+ \nu_\mu$  Forward Horn Current (FHC) →  $\nu_\mu$  flux

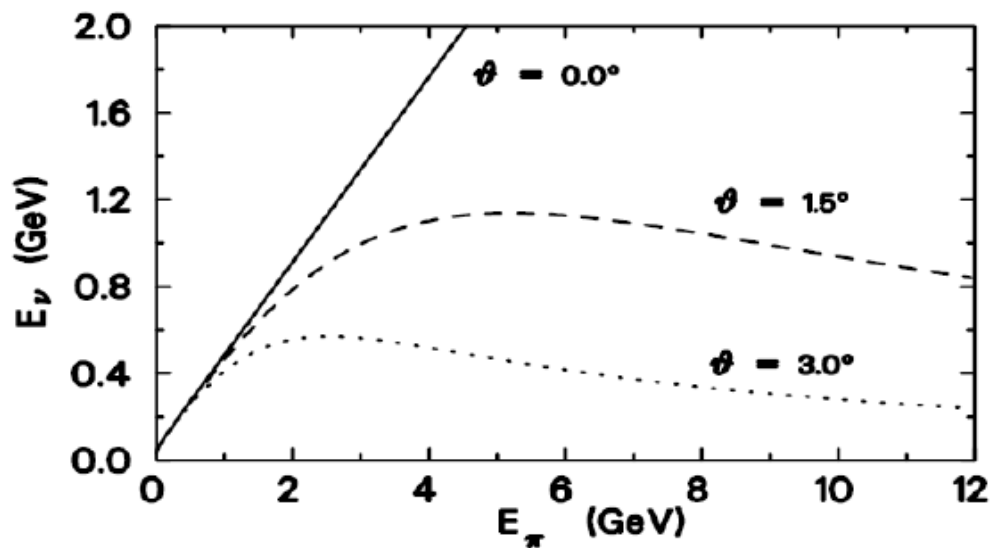
-  $\pi^- \rightarrow \mu^- \bar{\nu}_\mu$  Reverse Horn Current (RHC) →  $\bar{\nu}_\mu$  flux



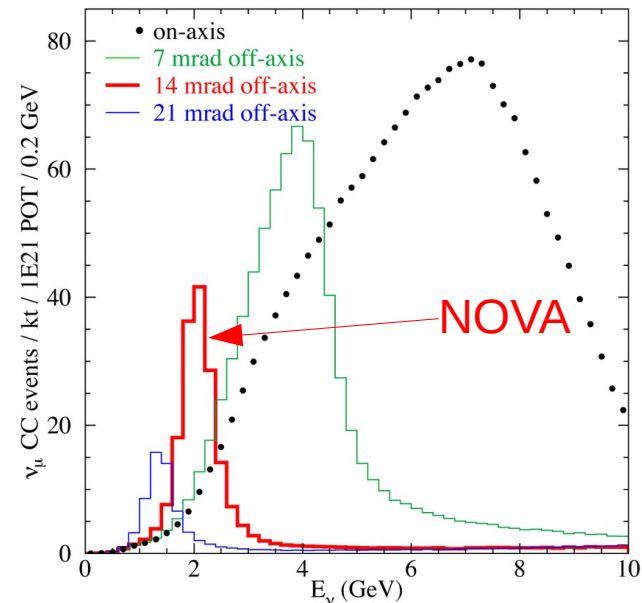
# Beam: off-axis



Energy of  $\nu$  emitted in 2-body decay at an angle relative to  $\pi$  (K) direction is only weakly dependent on parent's momentum

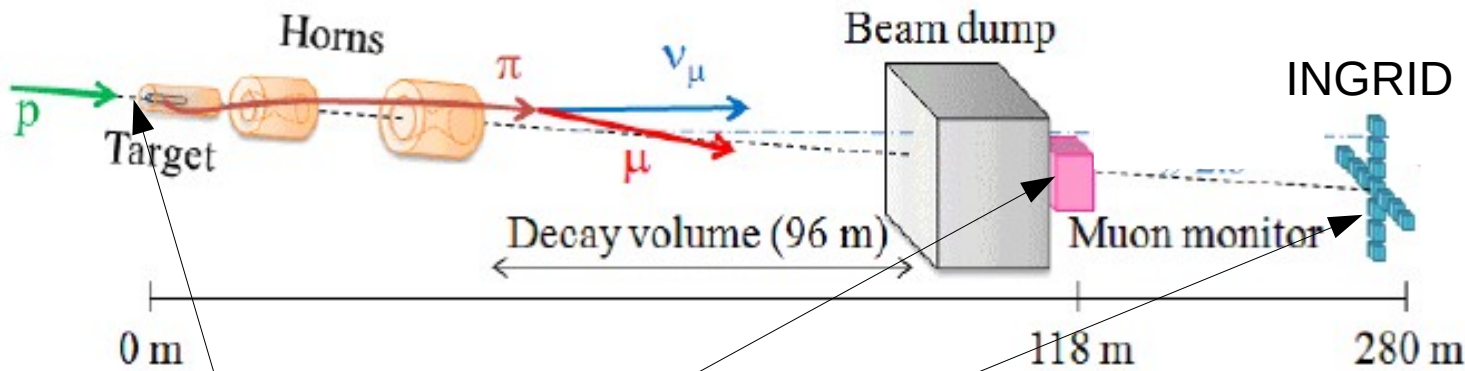


Tune the angle  $\rightarrow$  tune the energy to be at the peak of  $\nu_\mu$  disappearance ( $\sim E/L$ )

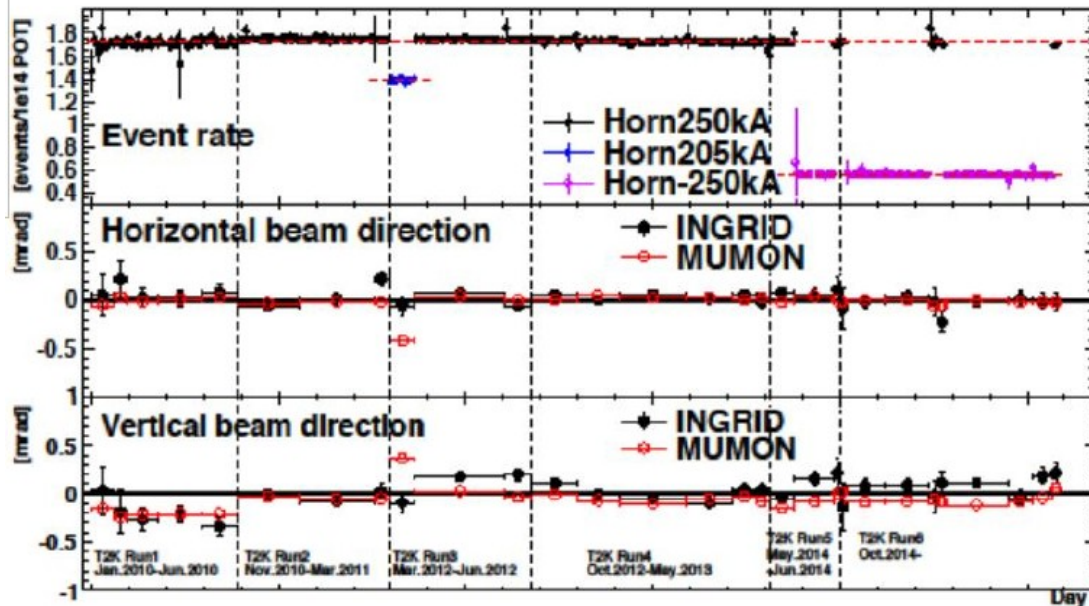


# Beam: monitoring

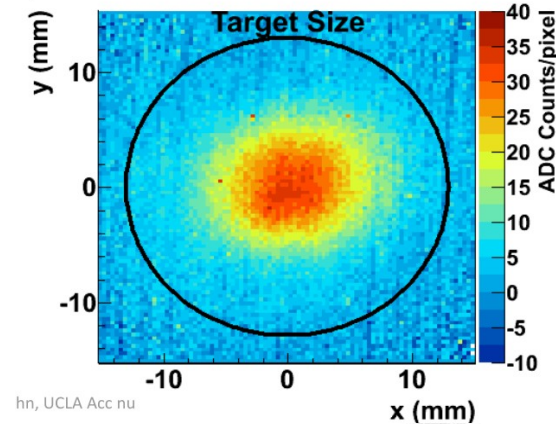
- **Monitoring of the beam:** intensity, position, direction



- looking at **protons**
- looking at **muons**
- looking at **neutrinos**

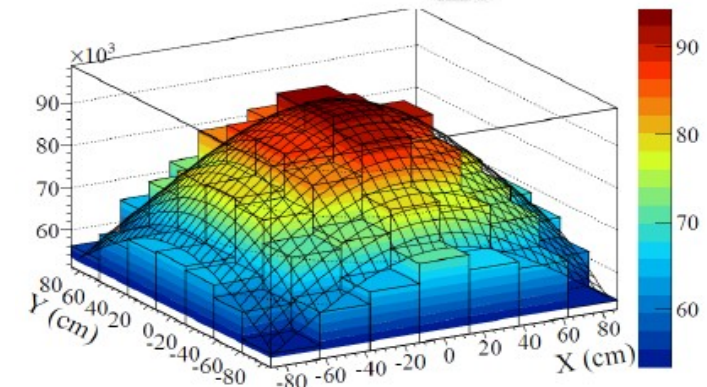


OTR Light for  $9.0 \times 10^{13}$  Protons on Ti Alloy Foil



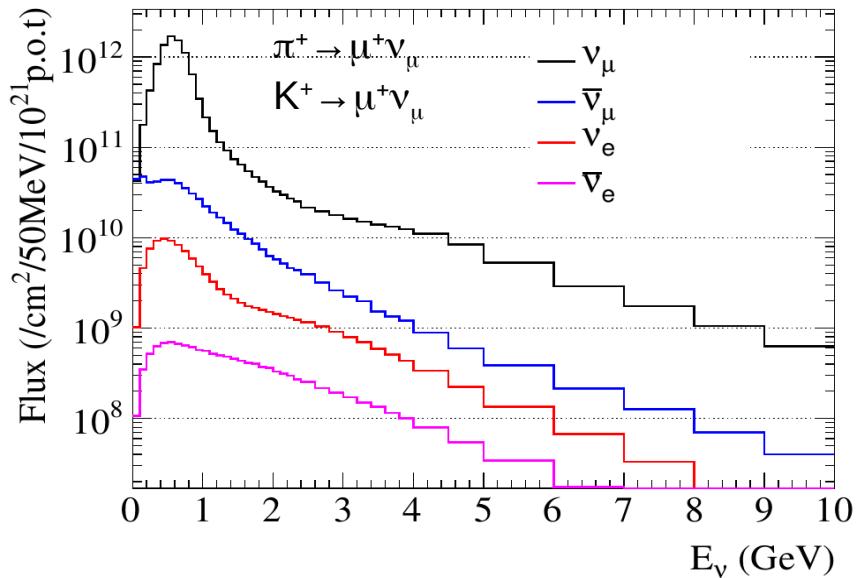
Protons

Muons

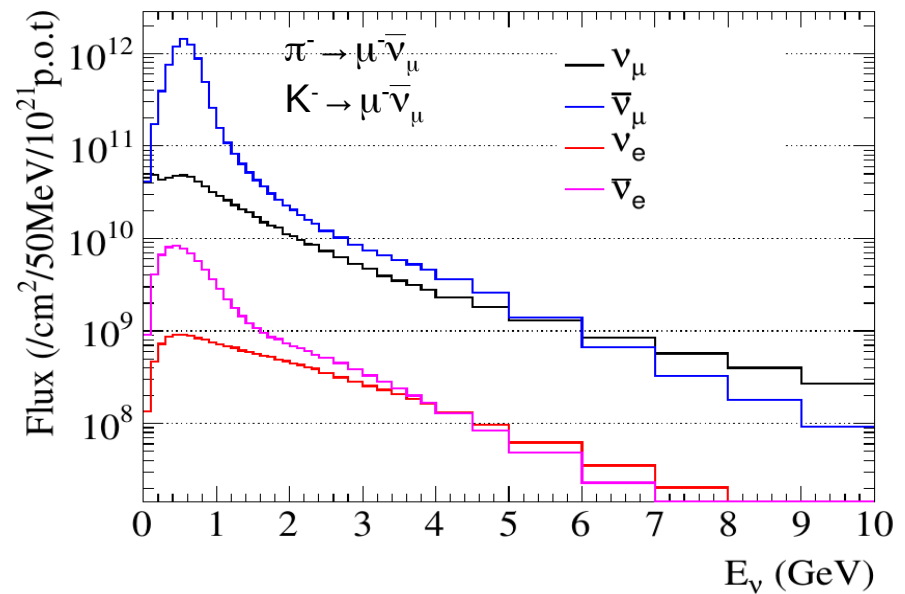


# Flux

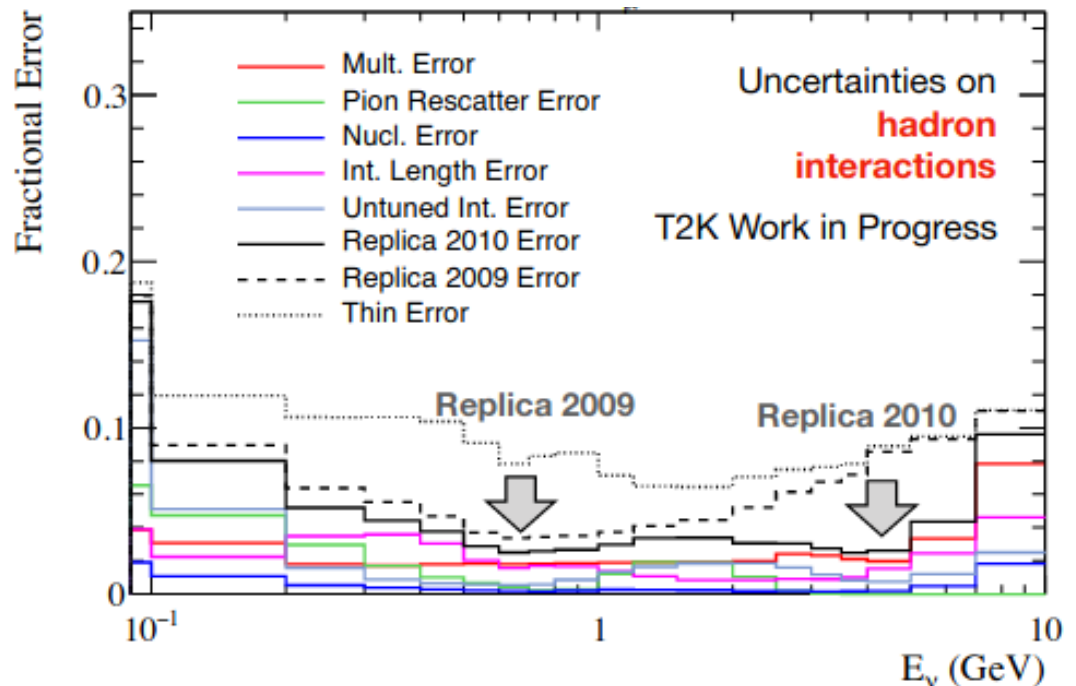
Neutrino Mode Flux at ND280



Antineutrino Mode Flux at ND280



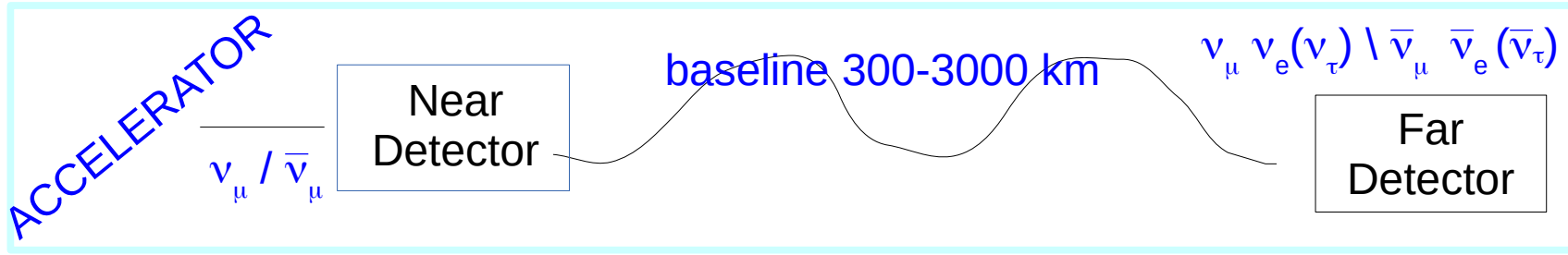
- $\nu_e$  intrinsic background
  - Wrong sign background ( $\nu$  in  $\bar{\nu}$  beam)
  - Flux tuning with hadroproduction measurements at dedicated experiments.
- Example from NA61 with replica-target of T2K



# From near to far detector

---

# Oscillation analysis: the basics



$$N_{\nu_{\alpha'}}^{FD} \approx P_{\nu_{\alpha} \rightarrow \nu_{\alpha'}} \times N_{\nu_{\alpha}}^{ND}$$

Number of **neutrinos at the Far Detector (FD)** of a given flavour  $\alpha'$  ( $\alpha=e,\mu,\tau$ )

Number of **neutrinos at the Near detector (ND)**

The **oscillation probability**  $\nu_{\alpha} \rightarrow \nu_{\alpha'}$ , which you want to estimate: it depends on the parameters you want to measure (long baseline experiments:  $\theta_{13}, \theta_{23}, \Delta m_{32}^2, \delta_{CP}$ )

# Dependence on neutrino energy

To extract the oscillation parameters, the oscillation probability must be evaluated **as a function of neutrino energy**, since the neutrino beams are not monochromatic:

$$P_{\nu_\alpha \rightarrow \nu_\alpha'}(E_\nu) = \sin^2 2\theta \sin^2\left(\frac{1.27 \Delta m_{21}^2 L}{4 E_\nu}\right)$$

→ we need to know the **number of neutrinos as a function of  $E_\nu$**  at near and far detectors

$$N_{\nu_\alpha}(E_\nu) = \phi(E_\nu) \times \sigma(E_\nu) dE_\nu$$

**flux** = number of neutrinos produced by the accelerator per  $\text{cm}^2$ , per bin of energy, for a given number of protons on target

$$\left[ \int \phi(E_\nu) dE_\nu \right] \equiv [\Phi] = [\text{cm}^{-2} \text{POT}^{-1}]$$

**cross-section** = probability of interaction of the neutrinos in the material of the detector

$$[\sigma] = [\text{cm}^2]$$



# Flux and cross-section

- So the oscillation probability becomes:

predicted number of neutrino interactions at the FD (w/o oscillations)

$$\frac{N_{\nu_{\alpha'}}^{FD}(E_{\nu})}{N_{\nu_{\alpha}}^{ND}(E_{\nu})} \approx P_{\nu_{\alpha} \rightarrow \nu_{\alpha'}}(E_{\nu}) \times \frac{\phi_{\nu_{\alpha'}}^{FD}(E_{\nu})}{\phi_{\nu_{\alpha}}^{ND}(E_{\nu})} \times \frac{\sigma_{\nu_{\alpha'}}^{FD}(E_{\nu})}{\sigma_{\nu_{\alpha}}^{ND}(E_{\nu})}$$

measured number of neutrino interactions at the ND

**We measure flux and xsec for  $\nu_{\alpha}$  (and  $\nu_{\alpha'}$ ) at the ND and we use our models to extrapolate at the far detector**

→ systematic minimized if same flux (eg, same off-axis angle) and same target material (same acceptance is not possible due to different size of ND and FD)

- But the most complicated part is :

1) the neutrino energy spectrum is different at ND (before oscillation) and at the FD (after oscillation)

→ so **we measure the xsec and flux at a given energy and we need to extrapolate to a different energy**

2) flux and xsec extrapolation from ND to FD are different → **we need to separately estimate flux and xsec at the ND**

But we measure only the product of the two (strong anti-correlation between them)

# The difficult part ...

The following issues induce an **unavoidable model dependency in any oscillation analysis** and make the evaluation of systematics in oscillation measurements a difficult task:

- how to reconstruct energy from the final state of neutrino interactions
- separate flux and xsec evaluation from ND data
- extrapolation of xsec and flux to different energy spectrum

**Need reliable models of flux and neutrino-nucleus cross-section models**

# Present status of oscillation parameters

# Status of PMNS measurements: joint fits

Recent reference with full details:

## Three flavour oscillation parameters

global analysis **NuFIT 5.1 results** [www.nu-fit.org](http://www.nu-fit.org)

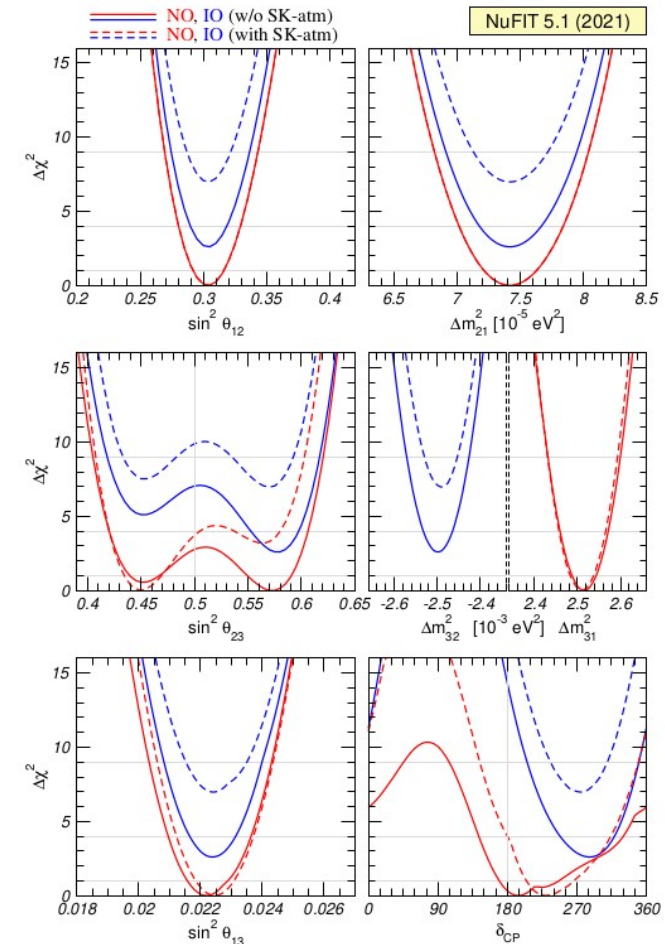
Esteban, Gonzalez-Garcia, Maltoni, Schwetz, Zhou, JHEP'20 [2007.14792]

		Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 7.0$ )	
		bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
with SK atmospheric data	$\sin^2 \theta_{12}$	$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.013}_{-0.012}$	$0.269 \rightarrow 0.343$
	$\theta_{12}/^\circ$	$33.45^{+0.77}_{-0.75}$	$31.27 \rightarrow 35.87$	$33.45^{+0.78}_{-0.75}$	$31.27 \rightarrow 35.87$
	$\sin^2 \theta_{23}$	$0.450^{+0.019}_{-0.016}$	$0.408 \rightarrow 0.603$	$0.570^{+0.016}_{-0.022}$	$0.410 \rightarrow 0.613$
	$\theta_{23}/^\circ$	$42.1^{+1.1}_{-0.9}$	$39.7 \rightarrow 50.9$	$49.0^{+0.9}_{-1.3}$	$39.8 \rightarrow 51.6$
	$\sin^2 \theta_{13}$	$0.02246^{+0.00062}_{-0.00062}$	$0.02060 \rightarrow 0.02435$	$0.02241^{+0.00074}_{-0.00062}$	$0.02055 \rightarrow 0.02457$
	$\theta_{13}/^\circ$	$8.62^{+0.12}_{-0.12}$	$8.25 \rightarrow 8.98$	$8.61^{+0.14}_{-0.12}$	$8.24 \rightarrow 9.02$
	$\delta_{CP}/^\circ$	$230^{+36}_{-25}$	$144 \rightarrow 350$	$278^{+22}_{-30}$	$194 \rightarrow 345$
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.510^{+0.027}_{-0.027}$	$+2.430 \rightarrow +2.593$	$-2.490^{+0.026}_{-0.028}$	$-2.574 \rightarrow -2.410$

comparable results:

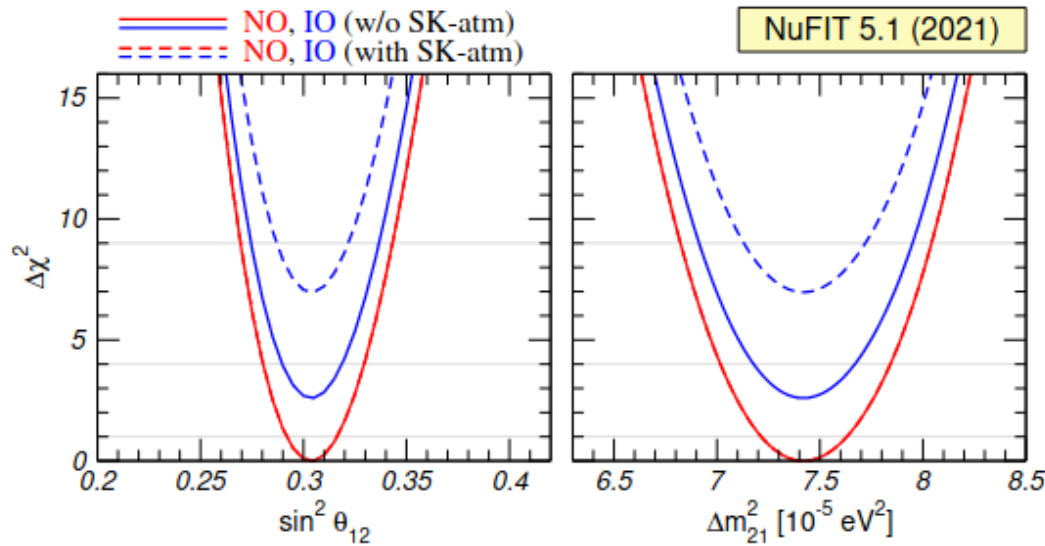
Bari: e.g. Capozzi et al., 2107.00532

Valencia: e.g. deSalas et al., 2006.11237



# Status of PMNS measurements:

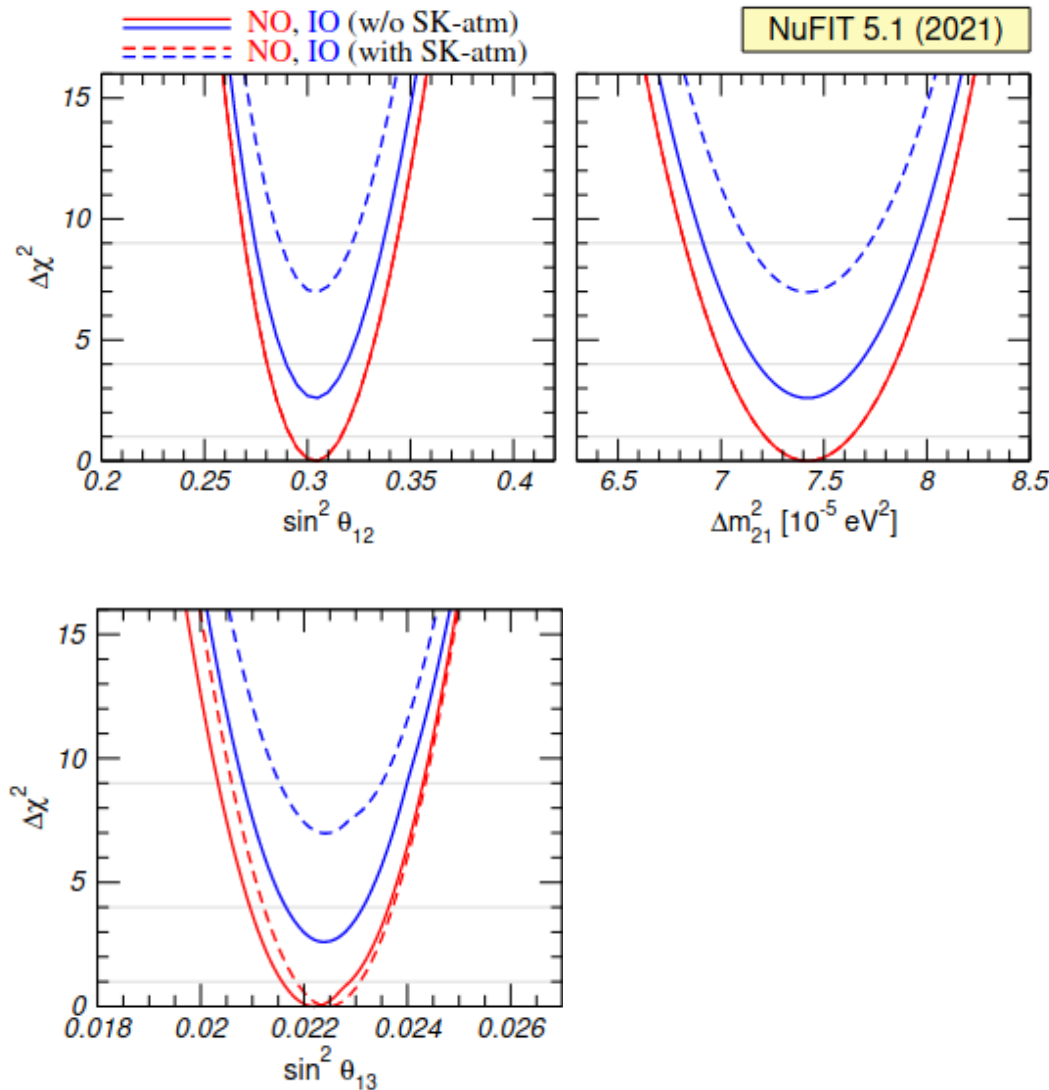
## joint fits



**Solar parameters:**  $\theta_{12}$ ,  $\Delta m_{21}^2$   
known with **~few% precision** since  
KamLAND (no recent updates)  
→ future prospects: JUNO <1%

# Status of PMNS measurements:

## joint fits



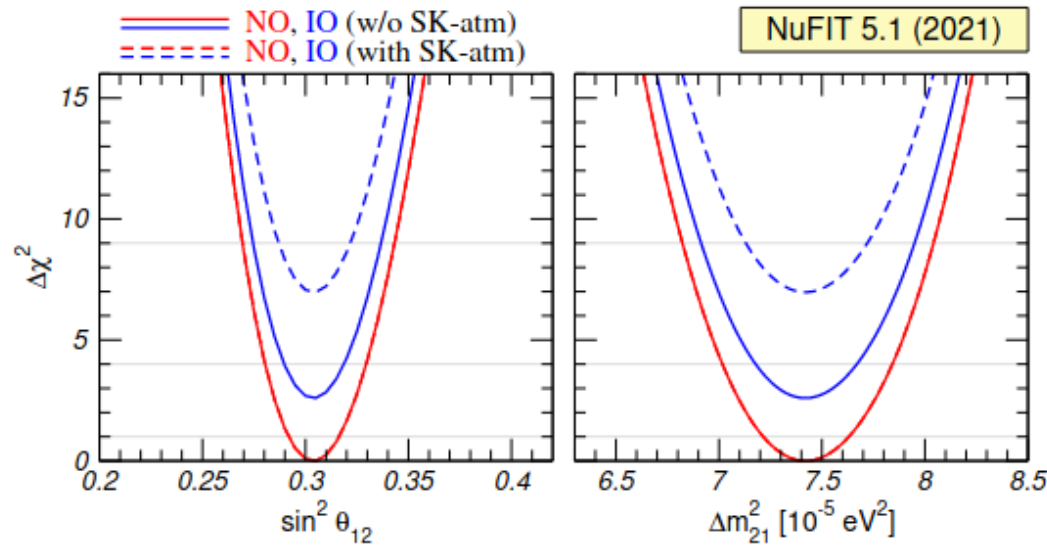
**Solar parameters:**  $\theta_{12}$ ,  $\Delta m_{21}^2$   
known with **~few% precision** since  
KamLAND (no recent updates)  
→ future prospects: JUNO <1%

$\theta_{13}$  measured with  
reactor experiments  
at **~1% precision**

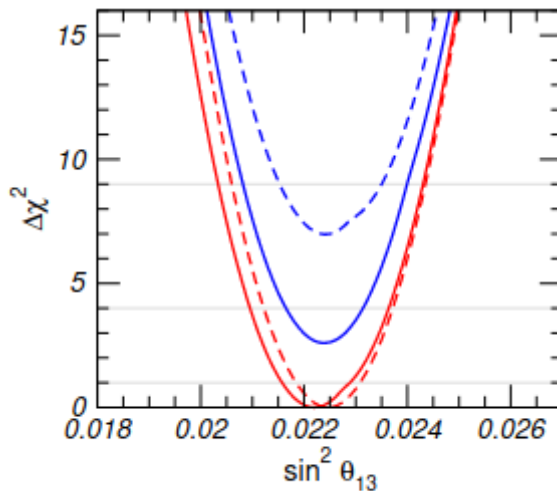


# Status of PMNS measurements:

## joint fits

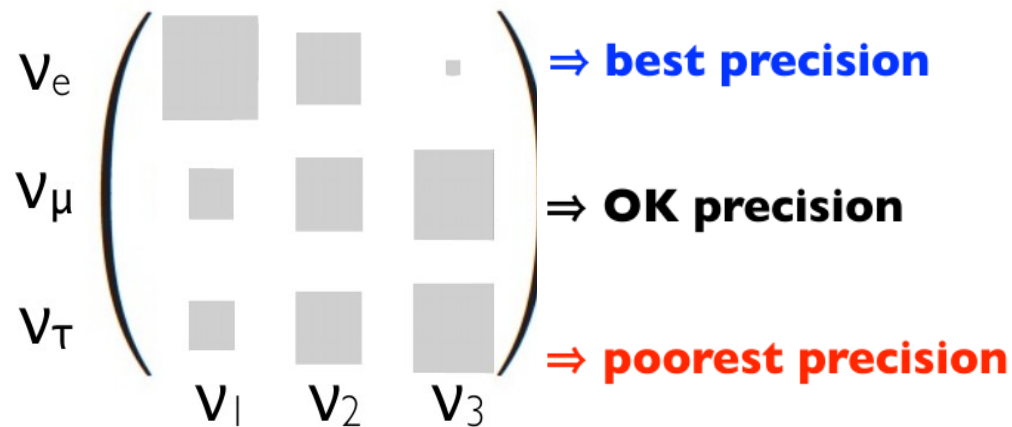


**Solar parameters:**  $\theta_{12}$ ,  $\Delta m_{21}^2$   
 known with **~few% precision** since  
 KamLAND (no recent updates)  
 → future prospects: JUNO <1%



$\theta_{13}$  measured with  
 reactor experiments  
 at **~1% precision**

**Best avenue for PMNS unitarity test:**



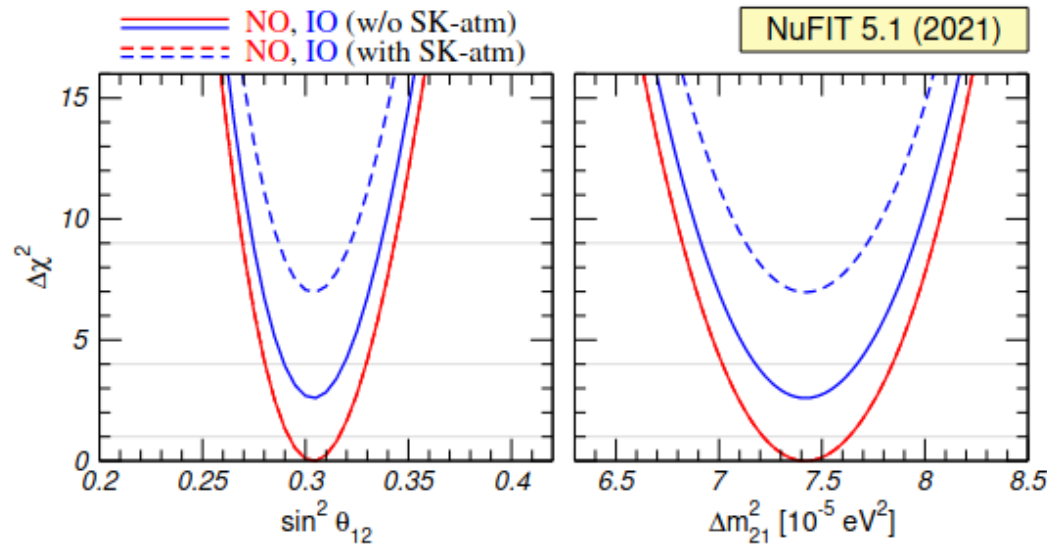
Exploring unitarity from different rows

$$UU^\dagger = U^\dagger U = I \Rightarrow \text{many equations!!} \quad |U_{l1}|^2 + |U_{l2}|^2 + |U_{l3}|^2 = 1$$

→ best limit expected from **electron top row**:  $\theta_{13}$   
 from reactors and  $\theta_{12}$  from JUNO

# Status of PMNS measurements:

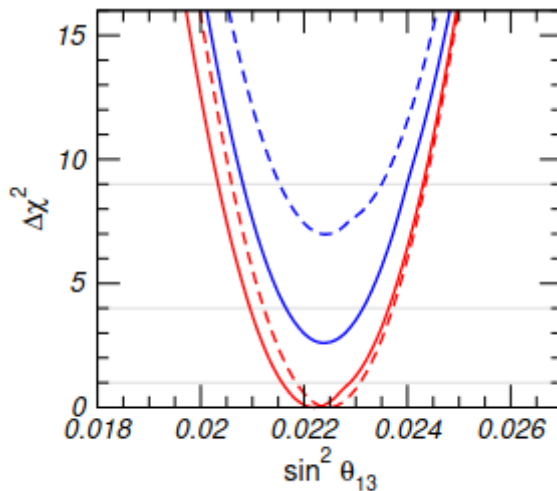
## joint fits



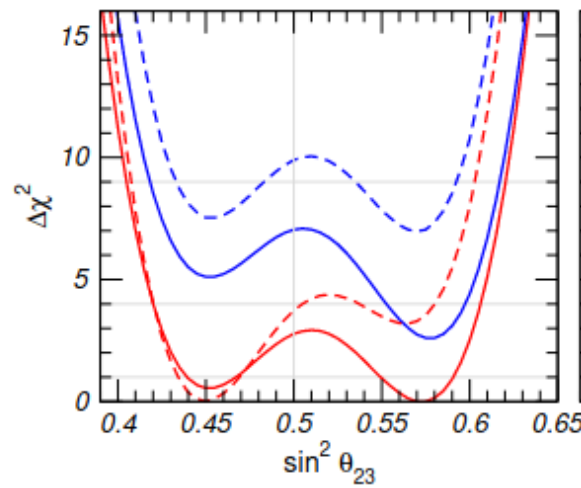
**Solar parameters:**  $\theta_{12}$ ,  $\Delta m_{21}^2$   
 known with **~few% precision** since  
 KamLAND (no recent updates)  
 → future prospects: JUNO <1%

### Atmospheric parameters:

-  $\theta_{23}$  **~few%** precision @1 $\sigma$  (improved by a factor of 2 in the last 10 years) but **~25%** precision @3 $\sigma$ : **octant degeneracy**, **need high stat  $\nu_e$  appearance**

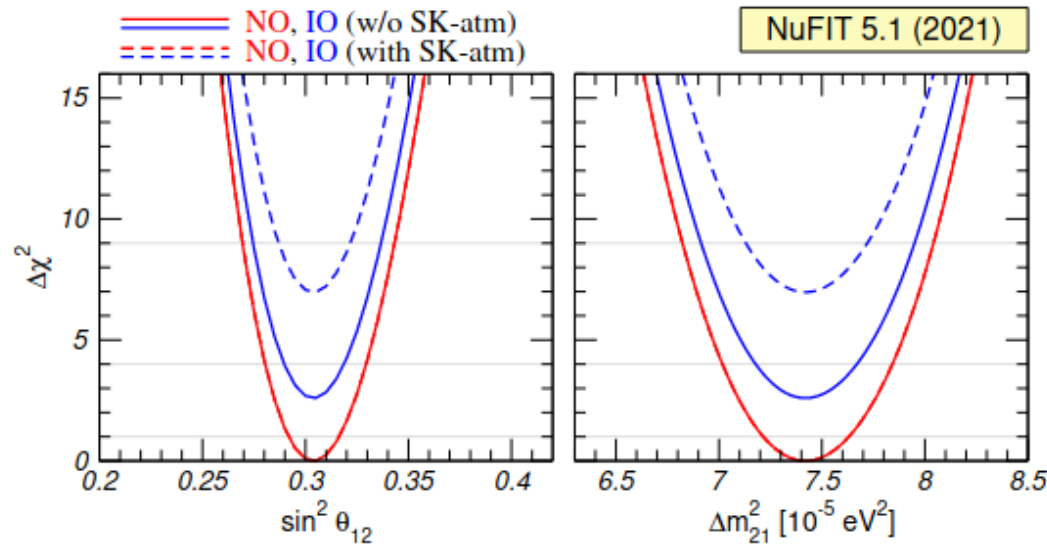


$\theta_{13}$  measured with  
 reactor experiments  
 at **~1% precision**



# Status of PMNS measurements:

## joint fits

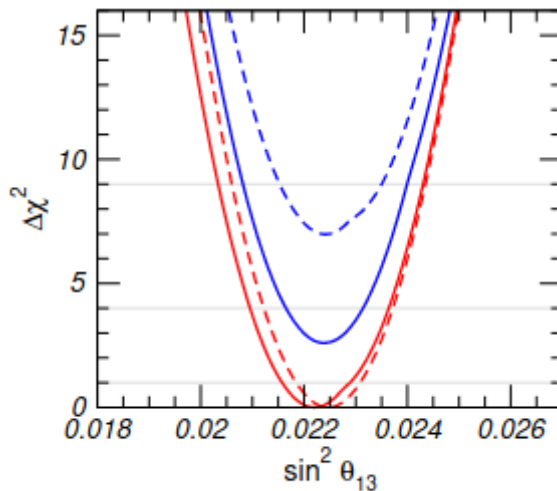


**Solar parameters:**  $\theta_{12}$ ,  $\Delta m_{21}^2$   
 known with **~few% precision** since  
 KamLAND (no recent updates)  
 → future prospects: JUNO <1%

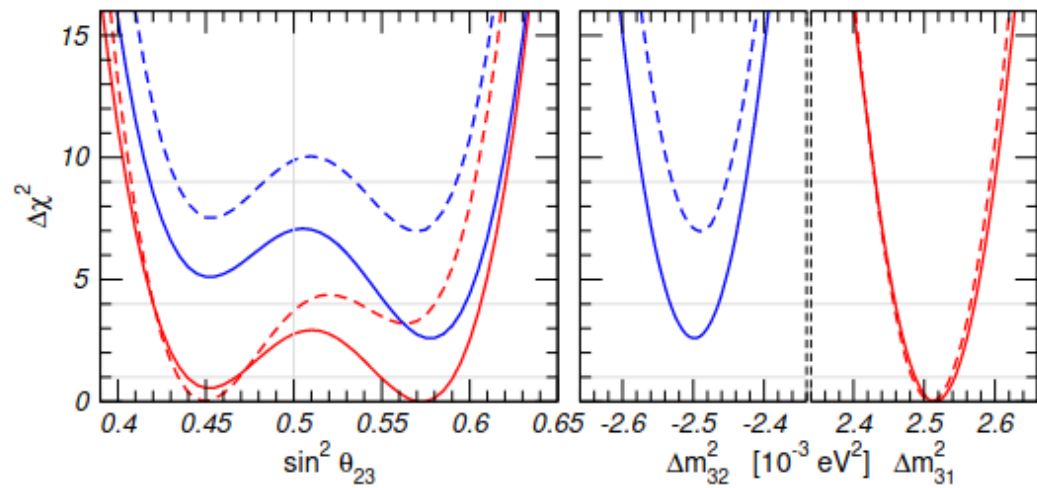
### Atmospheric parameters:

- $\theta_{23}$  **~few%** precision @1 $\sigma$  (improved by a factor of 2 in the last 10 years) but **~25%** precision @3 $\sigma$ : **octant degeneracy, need high stat  $\nu_e$  appearance**

- $|\Delta m_{31(32)}^2|$  **~1%** (not so robust...) → **important to get <1%** (see later) **challenging to control systematics uncertainties**

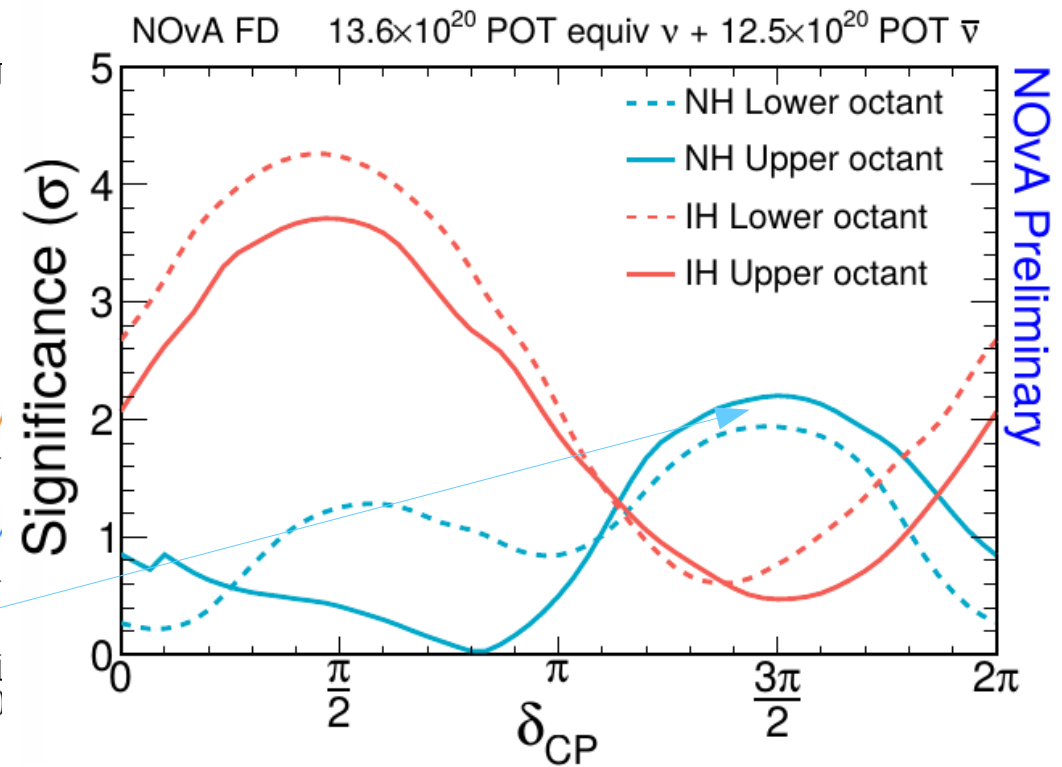
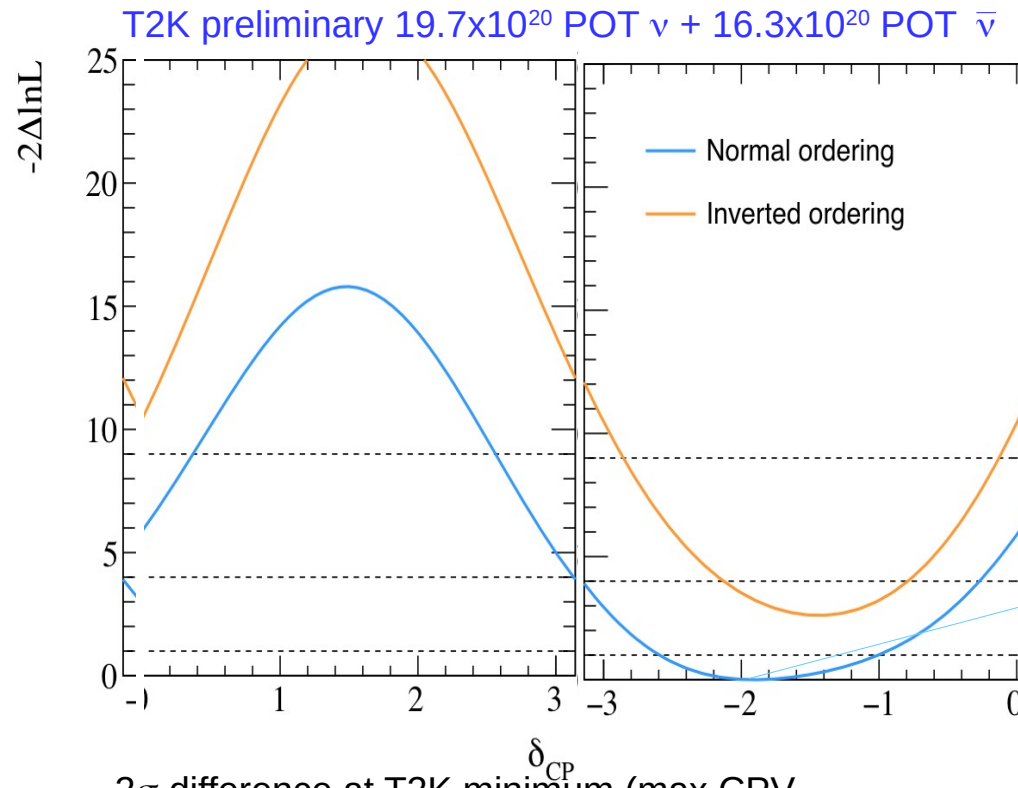


$\theta_{13}$  measured with  
 reactor experiments  
 at **~1% precision**



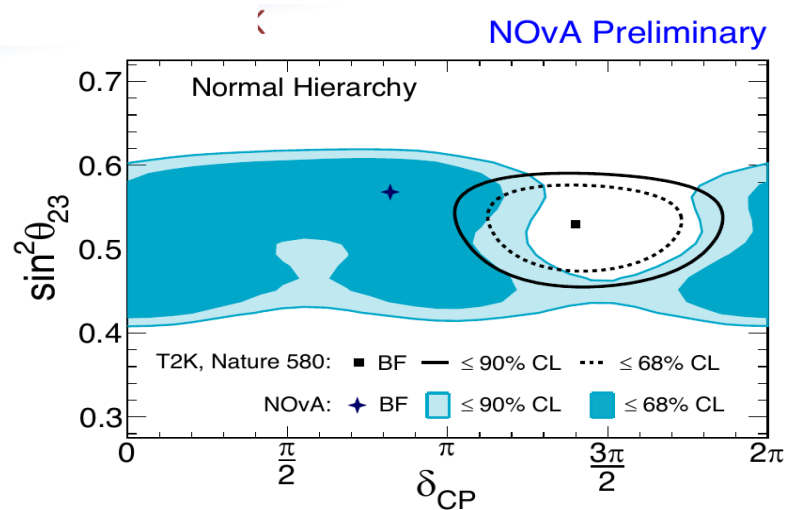
$$\delta_{CP}$$

*Using 2020 results  
(2022 results in next talks!)*



NOvA Preliminary

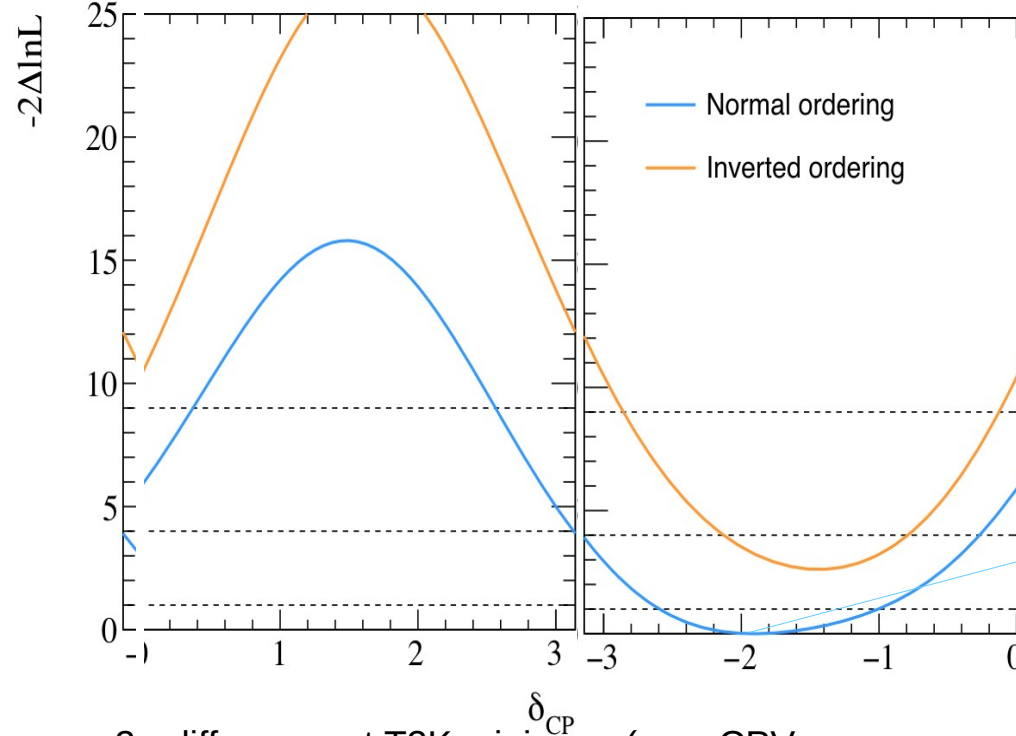
$2\sigma$  difference at T2K minimum (max CPV, NH) but still common regions at  $1\sigma$



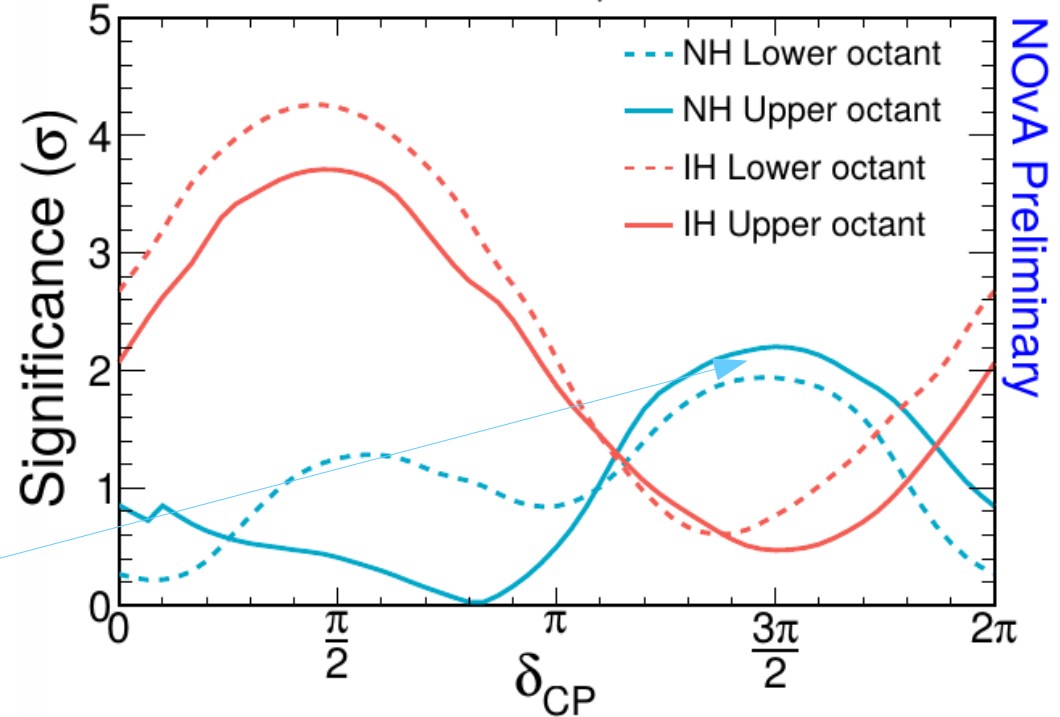
$$\delta_{CP}$$

**Using 2020 results  
(2022 results in next talks!)**

T2K preliminary  $19.7 \times 10^{20}$  POT  $\nu$  +  $16.3 \times 10^{20}$  POT  $\bar{\nu}$



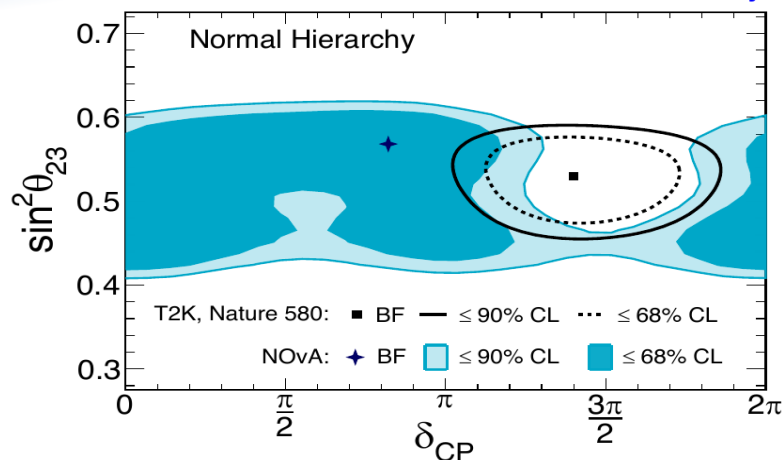
NOvA FD  $13.6 \times 10^{20}$  POT equiv  $\nu$  +  $12.5 \times 10^{20}$  POT  $\bar{\nu}$



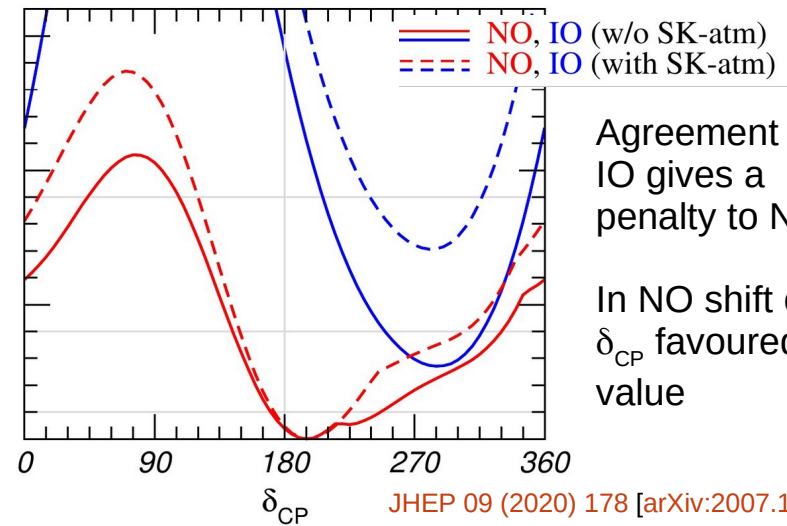
NOvA Preliminary

$2\sigma$  difference at T2K minimum (max CPV, NH) but still common regions at  $1\sigma$

NOvA Preliminary



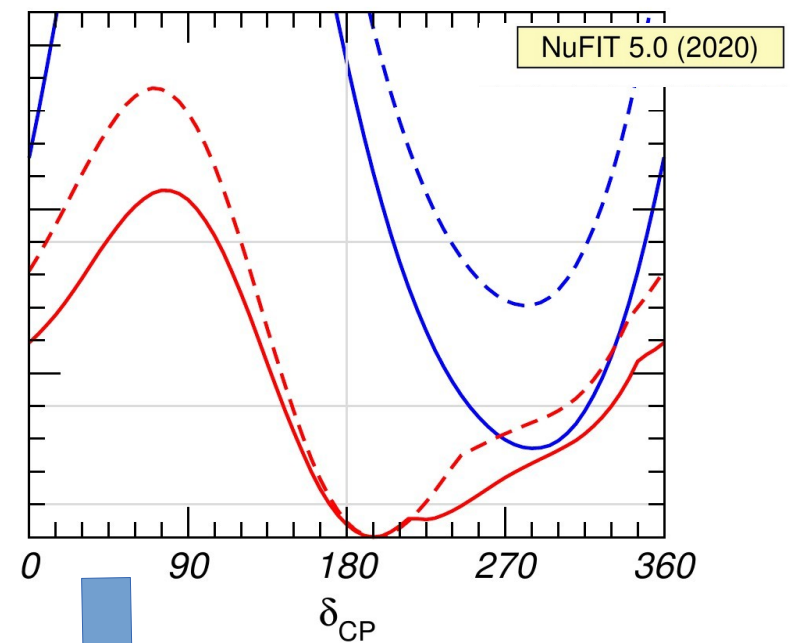
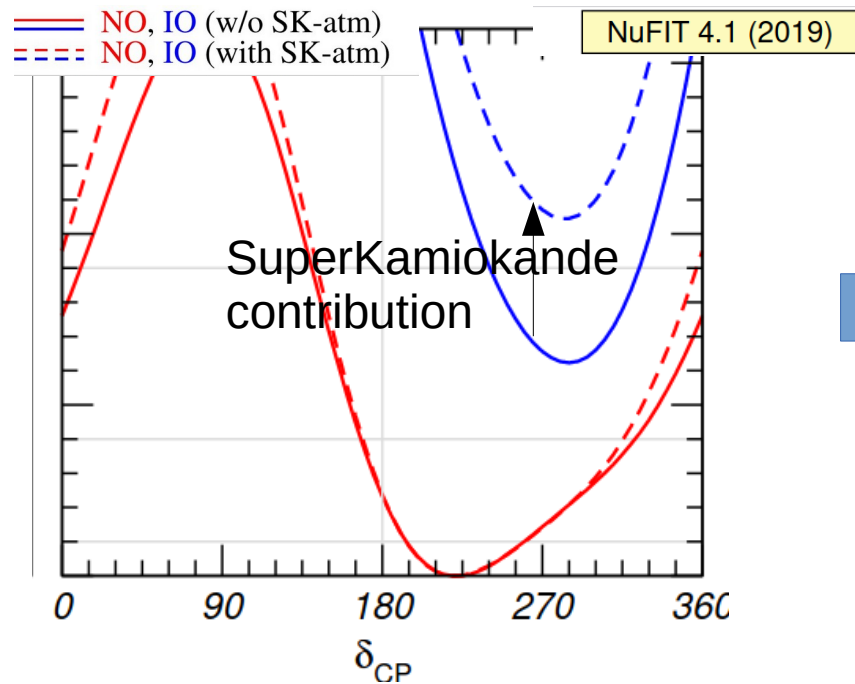
NuFit 2020



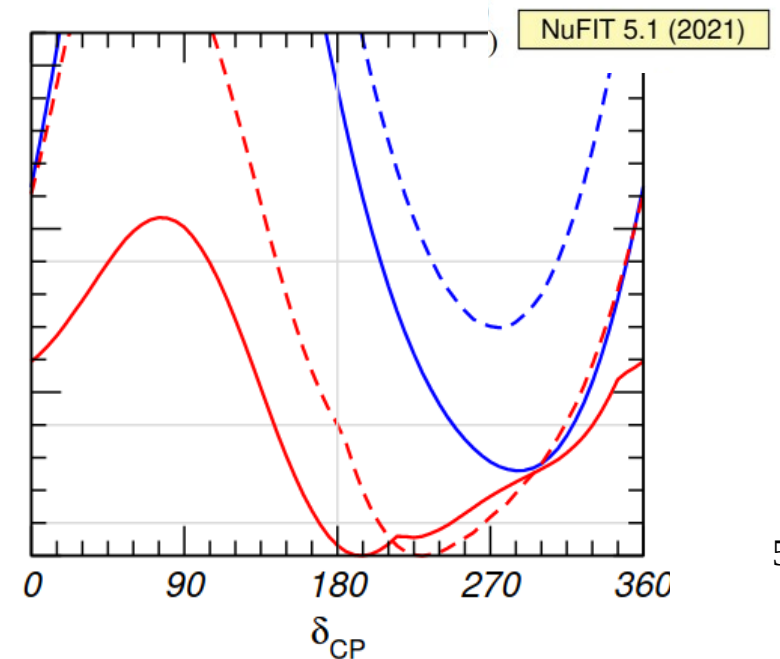
Agreement in IO gives a penalty to NO

In NO shift on  $\delta_{CP}$  favoured value

# Mass Hierarchy: 2019 → 2022



- **MO sensitivity dominated by SuperKamioande**
- Before 2020: NO favoured ( $\Delta\chi^2=10.4 > 3\sigma$ !)
- In 2020 lost some NO significance due to T2K-NOVA mild tension in 2020 ( $\Delta\chi^2=7.1$ )
- NuFit 5.0 updated with SK I-IV analysis presented at Neutrino 2020
- shift best  $\delta_{CP}$  in combination with T2K+NOVA
- CP conservation disfavoured at  $\sim 2\sigma$





# Summary

- **Neutrino oscillation in long-baseline experiments is entering the precision era:**
  - atmospheric parameters from  $\nu_\mu$  disappearance ( $\sin^2 2\theta_{23}$ ,  $|\Delta m^2_{31(32)}|$ ), as well as future  $\delta_{CP}$  precision measurement needs good control of systematics
    - new generation of near detectors, improved flux tuning from dedicated hadro-production experiments, improved models of neutrino-nucleus interactions
    - increased analysis sophistication
- $\nu_e$  ( $\bar{\nu}_e$ ) **appearance is today statistically limited** → interesting prospects in the next future to get to  $3\sigma$  on CPV and MH already with T2K, NOVA, Superkamiokande and their combinations (→ lifting degeneracies!)

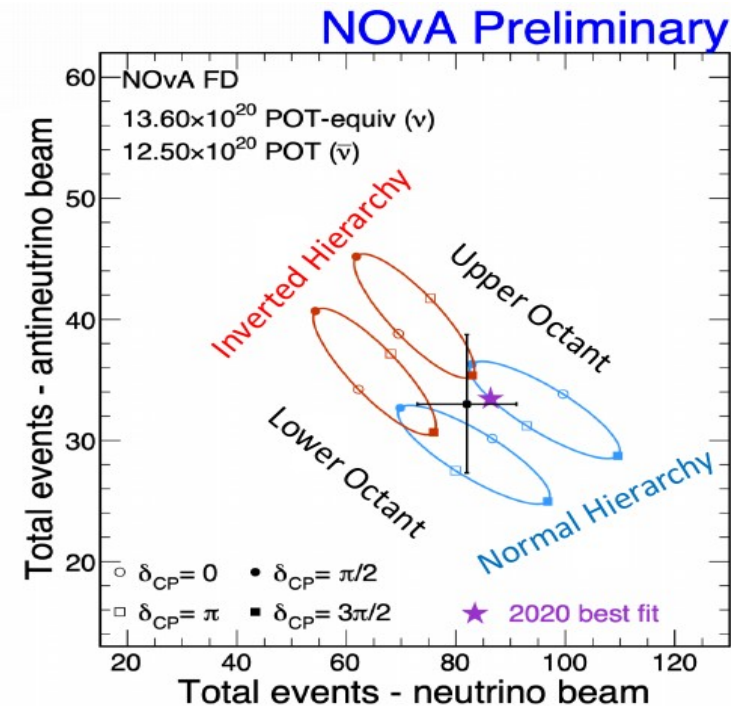
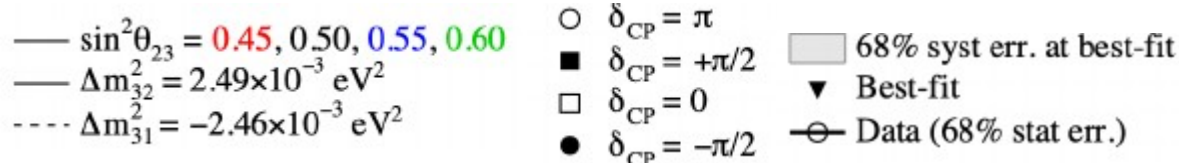
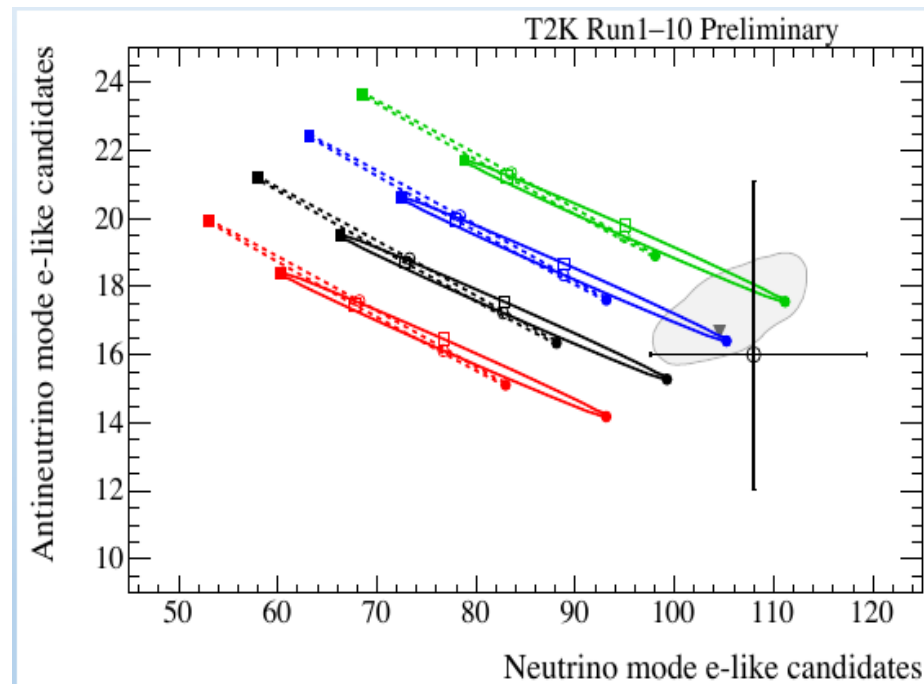
# $\nu_e/\bar{\nu}_e$ appearance: MH, $\delta_{CP}$

Experiment	CP asymmetry	Mass Hierarchy
T2K (T2HK)	$\sim 30\%$	$\sim 10\%$
Nova	$\sim 30\%$	$\sim 30\%$

- **T2K: clean  $\delta_{CP}$  measurement with small MH sensitivity**

- **NOVA: degenerate  $\delta_{CP}$  and MH:**  
( $\delta_{CP} 3\pi/2$  and IH =  $\delta_{CP} \pi/2$  and NH)

*Using 2020 results in the following (2022 improved analyses confirmed the situation)*



# A bit of (recent) history...

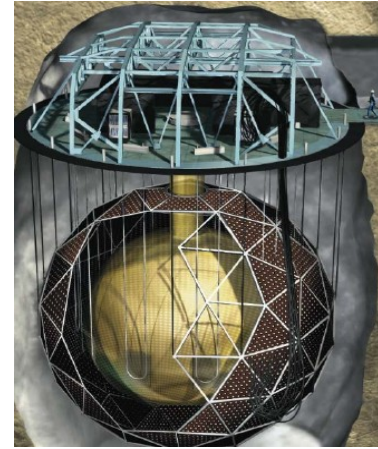


**SuperKamioKANDE**  
1996 – today!

1998 Discovery of  $\nu$  oscillation  
from zenith angle dependence  
of atmospheric  $\nu_\mu$  rate

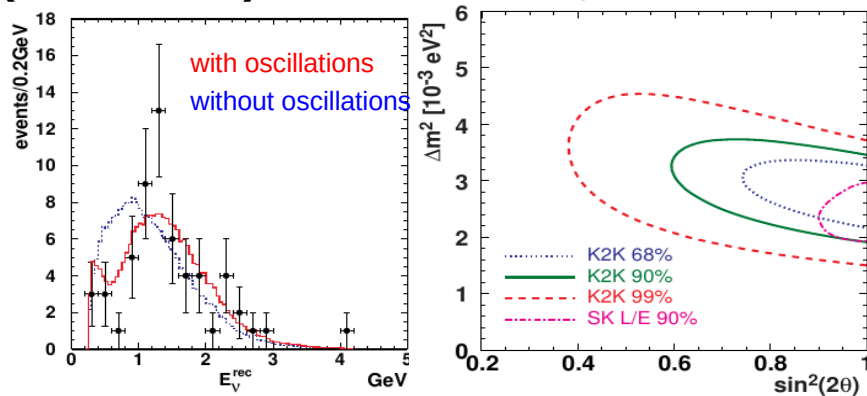
**Sudbury Neutrino Observatory (SNO)**  
1999 – 2006

2001 Solution of solar  
puzzle:  $\nu_e / \Sigma \nu_\alpha \sim 1/3$

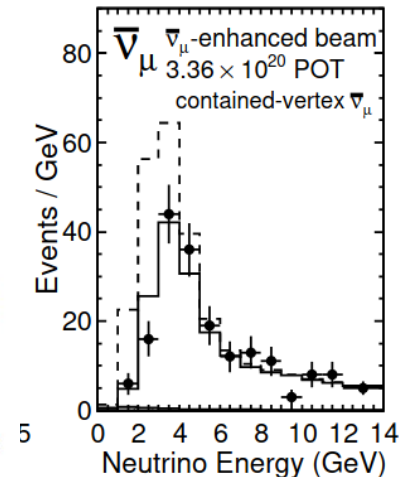
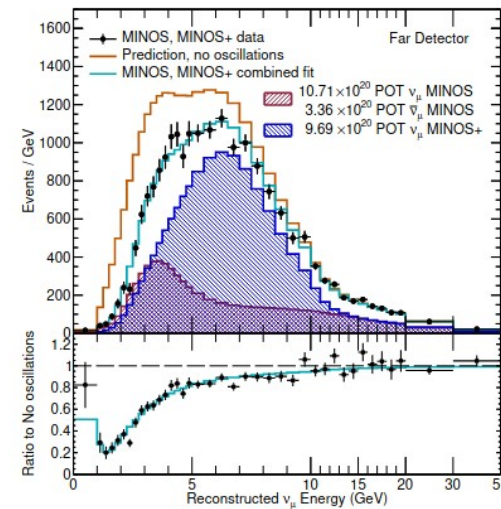


Precision from accelerator experiment:  
high purity and tunable neutrino flux

**(1999-2006) K2K**



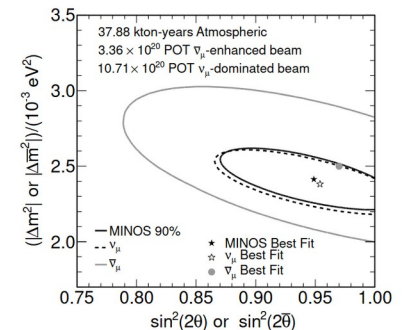
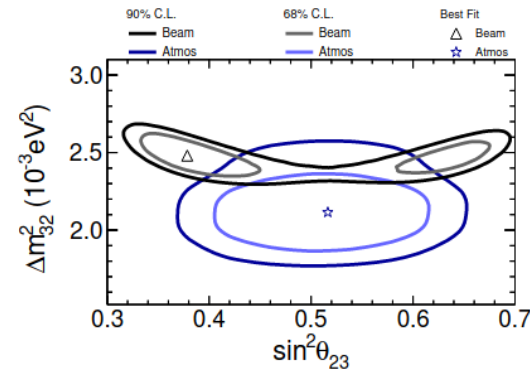
**2003 – 2015 MINOS (→ - 2016 MINOS+)**



**(2008-2012) OPERA** : 5  $\nu_\mu \rightarrow \nu_\tau$  events obs.

Beyond  $\nu_\mu$  disappearance ( $\theta_{23}$  and  $\Delta m_{32}$ ): large statistics experiments looking for  $\nu_e$  appearance

- observation of  $\nu_e$  appearance  
**T2K (2010 - today)**
- to measure **MH**, longer baseline:  
**NOVA started 2014**
- **T2K Nature 2020 first results on  $\delta_{CP}$  !**



# $\nu_e$ appearance full formula

L.Kormos NuFact 2022

## Appearance

$$P(\nu_\mu \rightarrow \nu_e) = 4c_{13}^2 \underline{s_{13}^2} \underline{s_{23}^2} \sin^2 \Delta_{31} \times \left( 1 \pm \frac{2 \boxed{a}}{\Delta m_{31}^2} (1 - s_{13}^2) \right)$$

← Leading term

$$+ 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

← CP Conserving

$$\mp 8c_{13}^2 s_{13}^2 s_{23}^2 \cos \Delta_{32} \sin \Delta_{31} \frac{\boxed{aL}}{4E} (1 - 2s_{13}^2)$$

← Matter effect

$$\mp 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \underline{\sin \delta} \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

← CP Violating

$$+ 4s_{12}^2 c_{13}^2 (c_{12} c_{23} + s_{12}^2 s_{13}^2 s_{23}^2 - 2c_{12} c_{23} s_{12} s_{13} s_{23} \cos \delta) \sin^2 \Delta_{21}$$

← Solar term

$\nu$  vs.  $\bar{\nu}$  sign change

$c_{ij} = \cos \theta_{ij}$  ,  $s_{ij} = \sin \theta_{ij}$      $\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$      $a = 2\sqrt{2} G_F n_e E$

$\theta_{13}$  dependence    Octant sensitivity    CP-odd phase

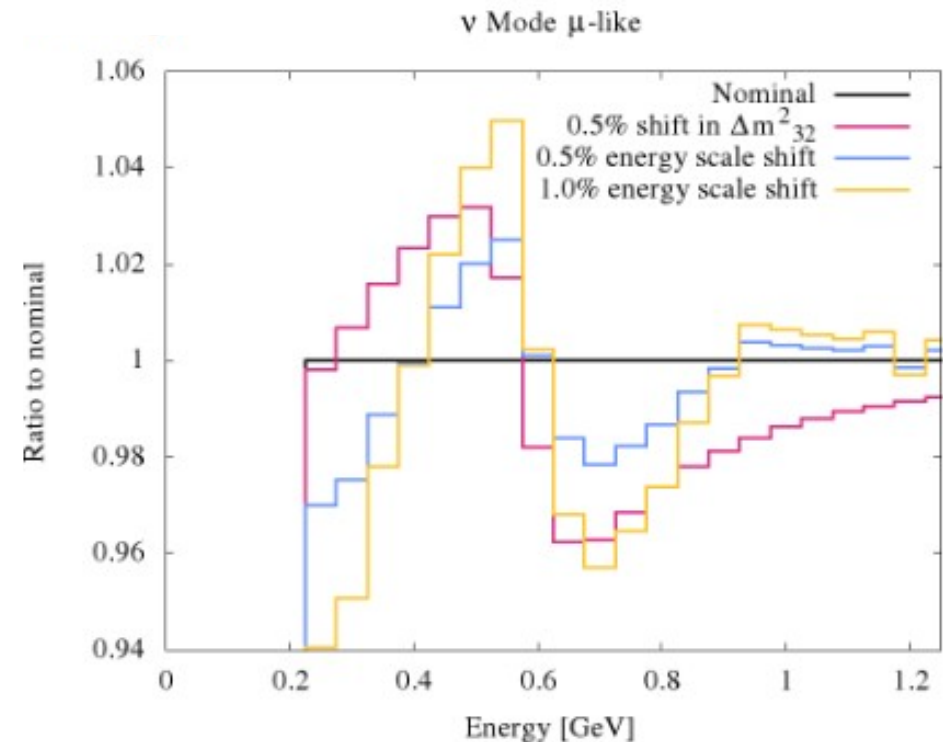
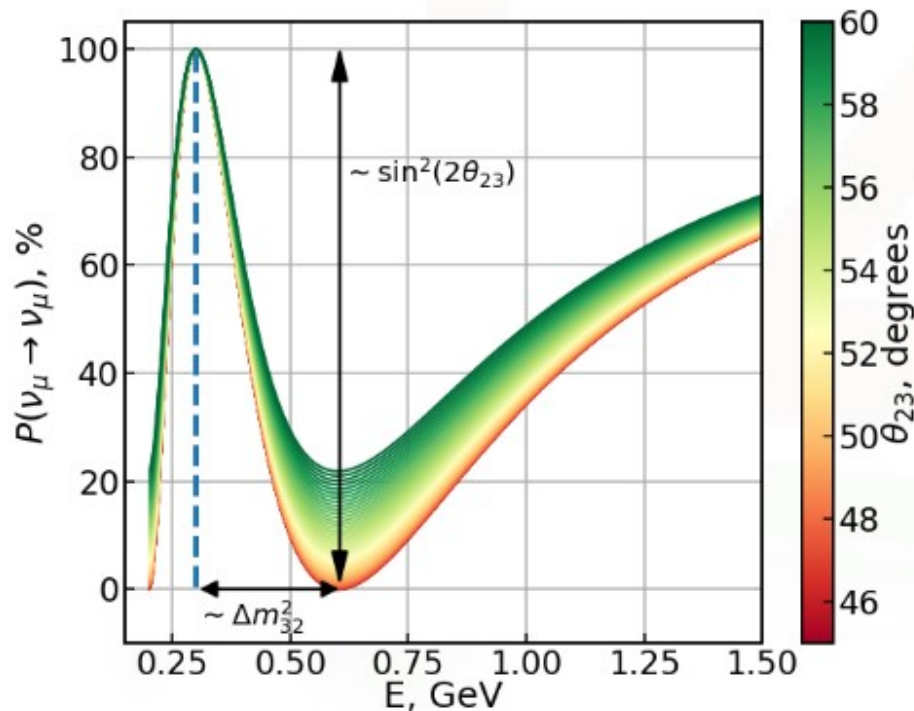
- leading dependence on  $\delta_{CP}$  and MO (prop. to L), changing sign for  $\nu$  and  $\bar{\nu}$
- need large  $\theta_{13}$  to access  $\sin \delta_{CP}$  (sensitivity to  $\delta_{CP}$  from  $\nu$  only if  $\theta_{13}$  well known)
- subleading dependence on  $\cos \delta_{CP}$  → important for  $\delta_{CP}$  precision measurement



# Impact of systematics will hit first in $\nu_\mu$ disappearance

As already discussed yesterday:

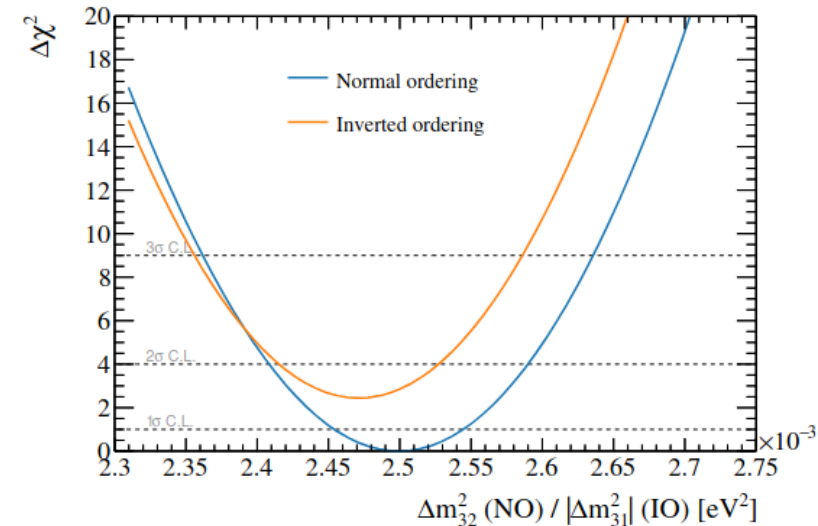
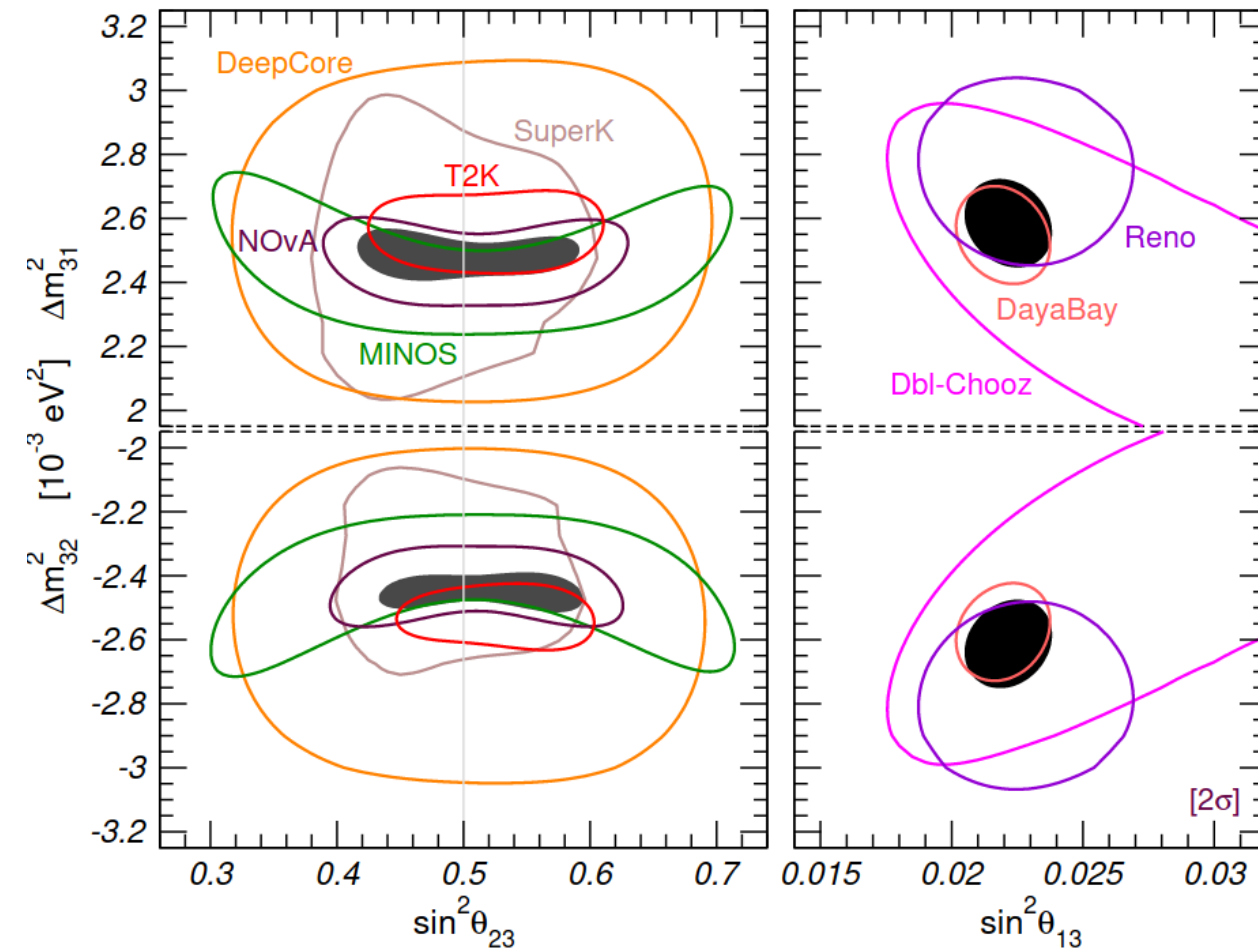
- precision  $\sin\theta_{23}$  requires precision on **neutrino rate** at oscillation maximum
- precision on  **$|\Delta m^2_{31(32)}|$**  requires precise **neutrino energy reconstruction**



Need **improved flux and xsec models** (and tuning: NA61, Minerva, ...) and **improved near detectors** to better constrain model, notably for precise reconstruction of full final state  
→ improved neutrino energy reconstruction

# Status of PMNS measurements: zoom on $|\Delta m^2_{31(32)}|$

NuFIT 5.1 (2021)



(b) T2K + reactor

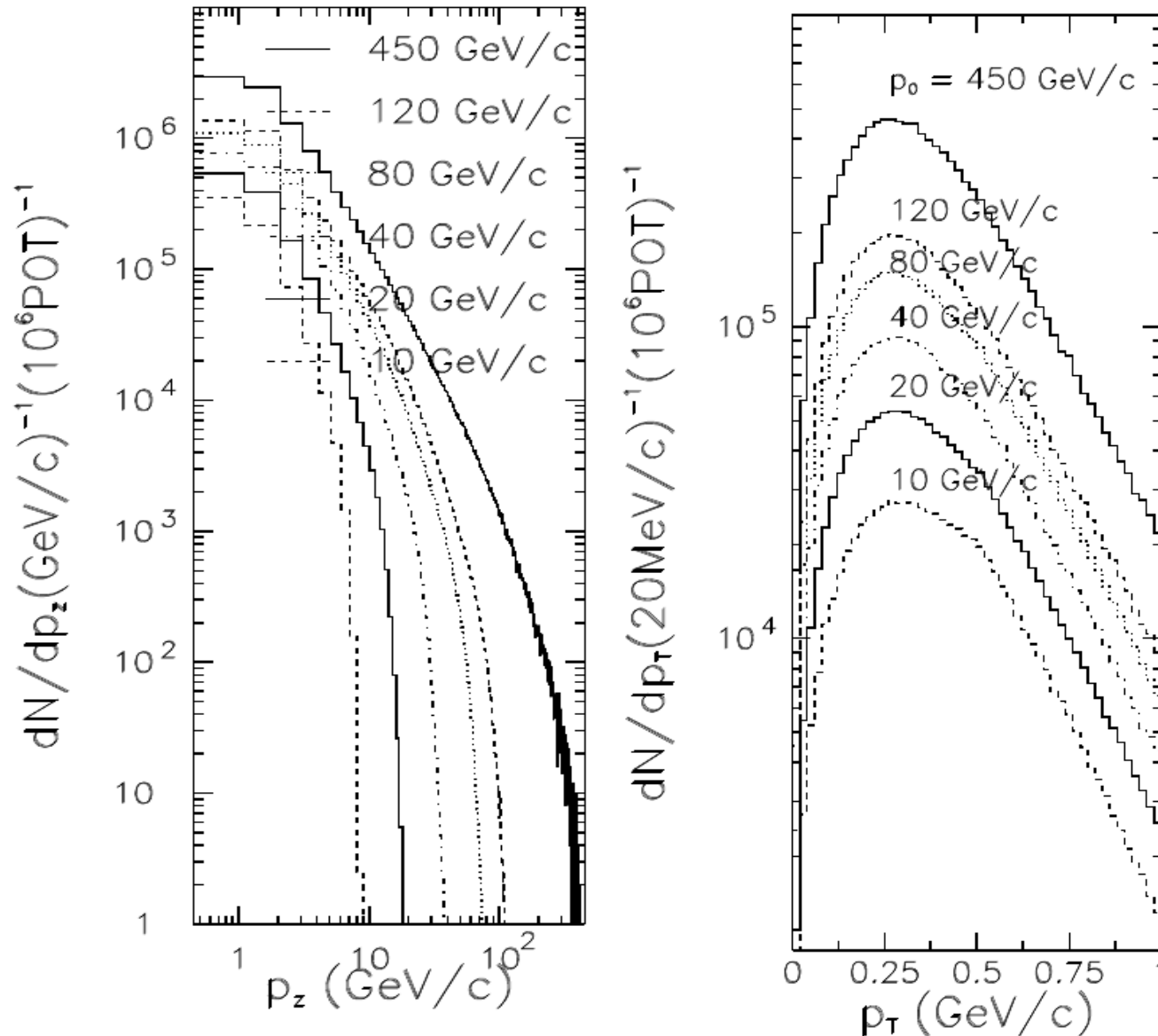
T2K: 2% precision with 1%  
shift between NO and IO

Similar resolution and shift in NOVA



# Proton beam

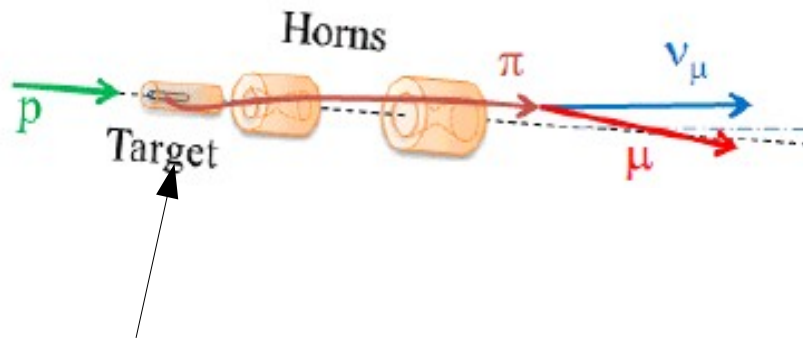
## Pion spectra for different proton momenta



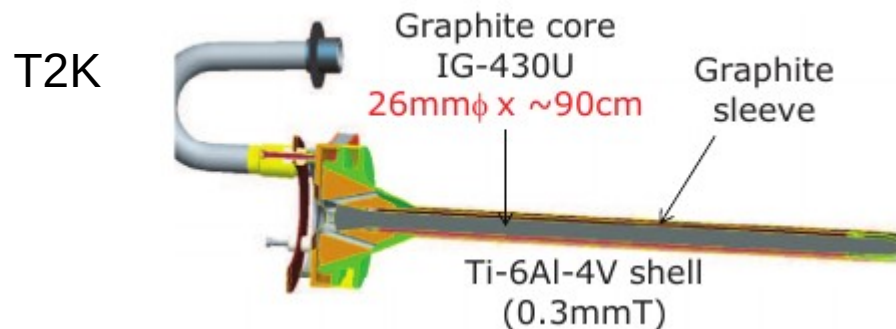
$p_0 (\text{GeV}/c)$	$\langle n_\pi \rangle$	$\langle p_T \rangle (\text{MeV}/c)$	$K/\pi$
10	0.68	389	0.061
20	1.29	379	0.078
40	2.19	372	0.087
80	3.50	370	0.091
120	4.60	369	0.093
450	10.8	368	0.098

Roughly speaking: **higher proton energy produce more pions** without increasing much their transverse momentum  
(but lower energy typically allows larger repetition rate)

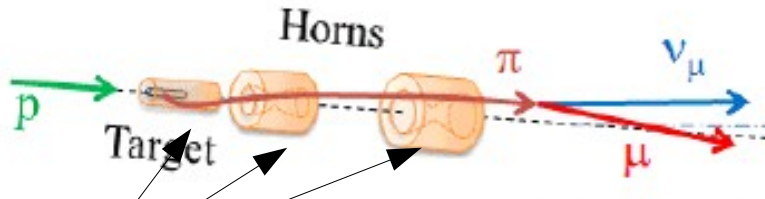
# Target



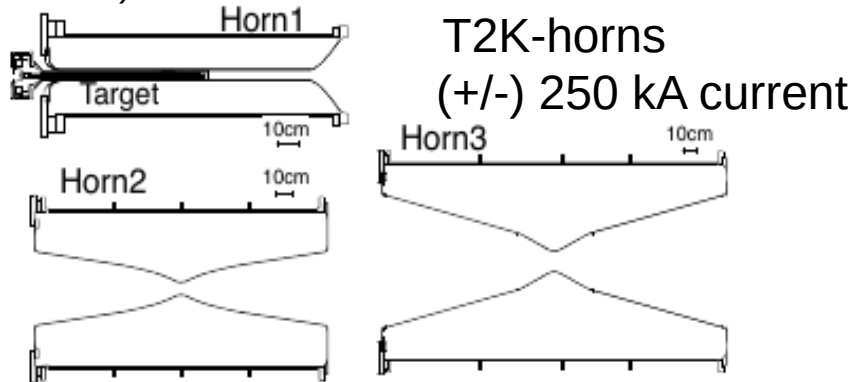
- **Shape:** cylindrical (or ruler) along proton beam direction to **maximize the probability of protons to interact** (~50-100cm)  
(but re-interactions of hadrons inside the target are an additional complication)  
Transversal section should be  $\sim 3\sigma$  of proton beam width (~5-10mm)
- **Low Z** (Aluminium, Berillium, Carbon, ...) high probability of proton interacting and **low probability of radiating (loosing energy in the target)**
- **Need cooling** (air or water): larger the beam intensity  $\rightarrow$  hotter the target



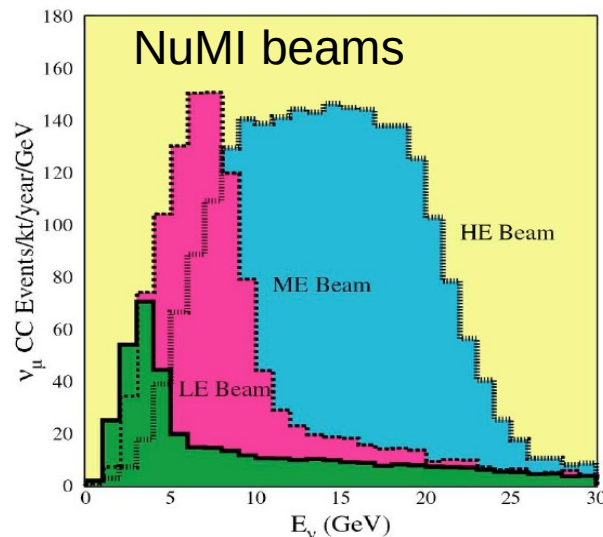
# Horns



**Horns to focus  $\pi^{+/-}$  parallel to beam axis**  
 **$\rightarrow \nu_\mu$  or  $\bar{\nu}_\mu$  beam** (aka Forward/Reverse Horn Current)

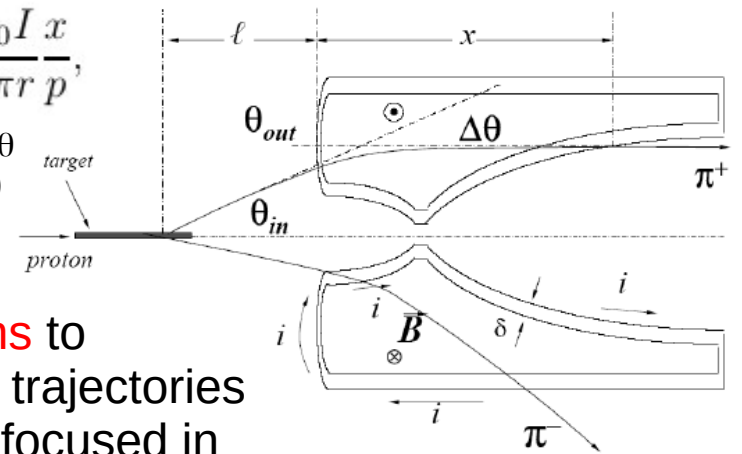


T2K-horns  
 (+/-) 250 kA current

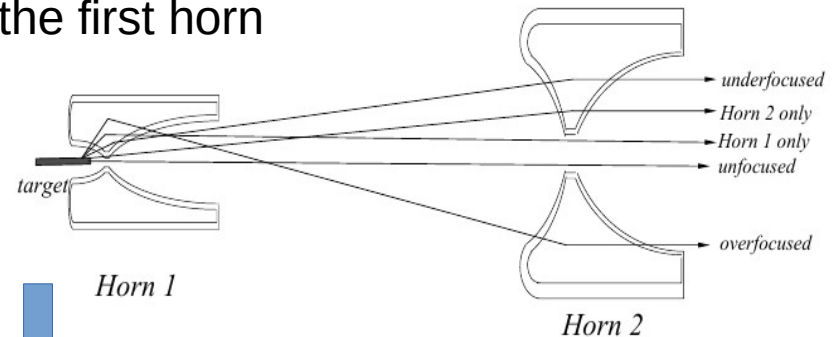


$$\Delta\theta = \frac{Bx}{p} = \frac{\mu_0 I x}{2\pi r p},$$

(parabolic: same  $\theta$  kink for all angles)

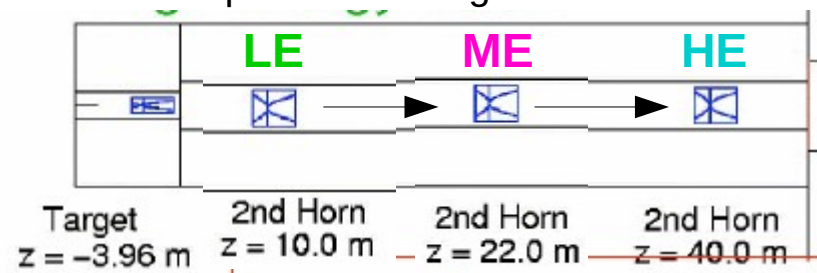


- multiple horns to recover pion trajectories not properly focused in the first horn



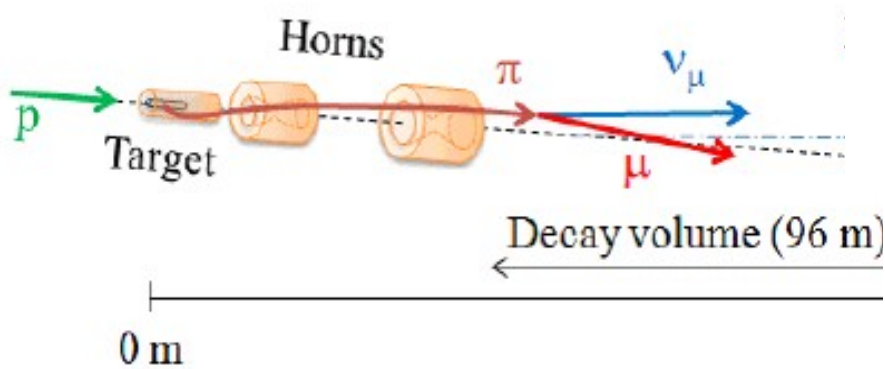
- the pions with smallest angle are the most energetic  $\rightarrow$  to focus them need to **move the horns**

NuMI: 3 possible configurations  $\rightarrow$  3 beam energies



# Decay volume

- Let the hadrons to decay in ( $\mu$  and)  $\nu$ :



**Decay volume (T2K: He filled):**

- Long to let most of the pion decaying
- not too long to avoid muon decay ( $\nu_e$  pollution)



- most  $\nu_\mu$ 's from 2-body decays:

$$\pi^+ \rightarrow \mu^+ \nu_\mu$$

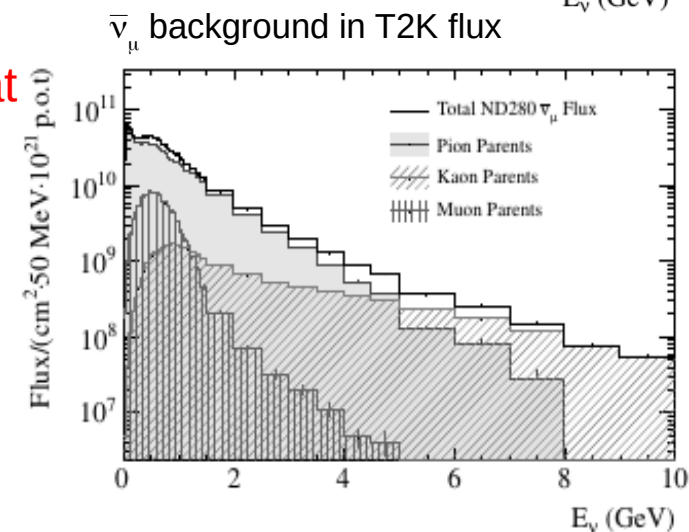
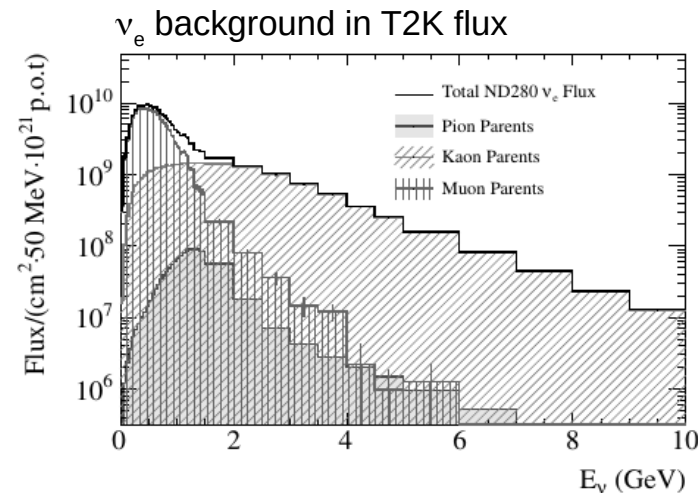
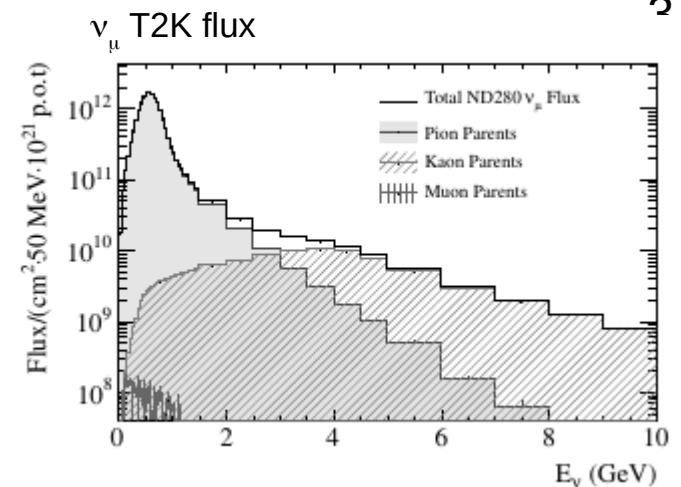
$$K^+ \rightarrow \mu^+ \nu_\mu$$

- most  $\nu_e$ 's from 3-body decays:

$$\mu^+ \rightarrow e^+ \nu_e \nu_\mu$$

$$K^+ \rightarrow \pi^0 e^+ \nu_e$$

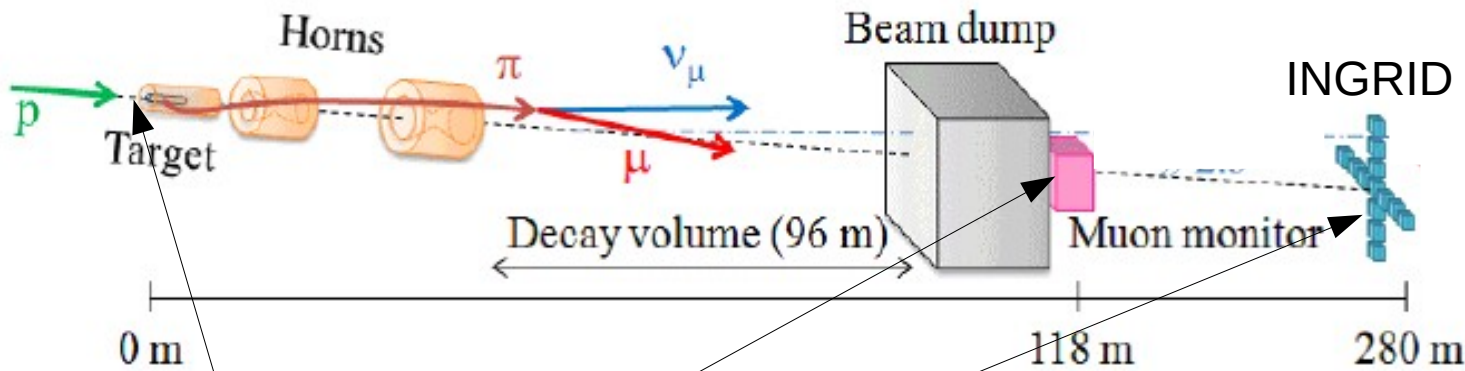
- $\bar{\nu}_\mu / \nu_\mu$  larger at high energy due to high  $p_L \pi^-$  which cannot be (de-) focused



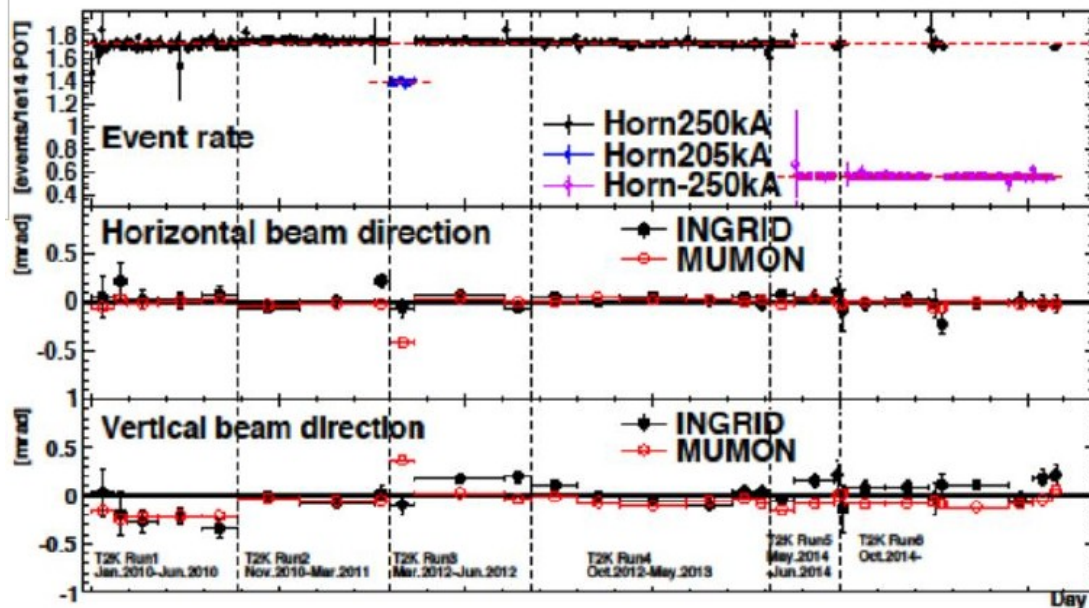


# Beam monitoring

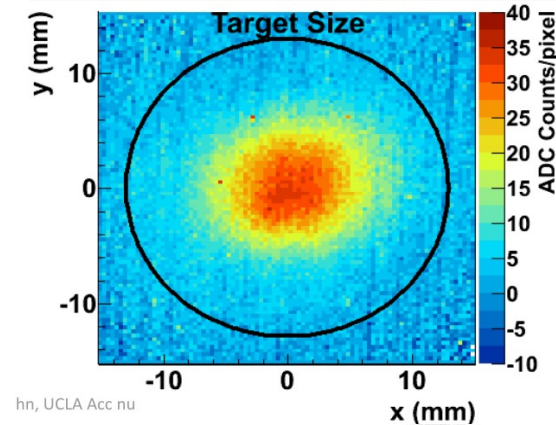
- **Monitoring of the beam:** intensity, position, direction



- looking at **protons**
- looking at **muons**
- looking at **neutrinos**

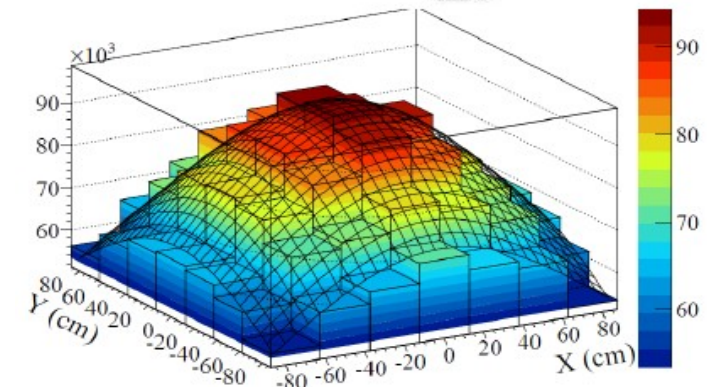


OTR Light for  $9.0 \times 10^{13}$  Protons on Ti Alloy Foil



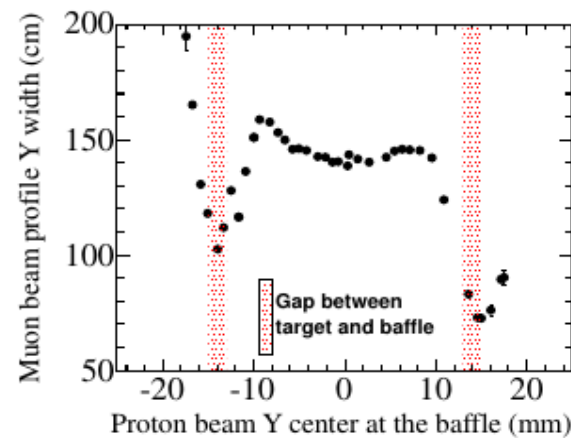
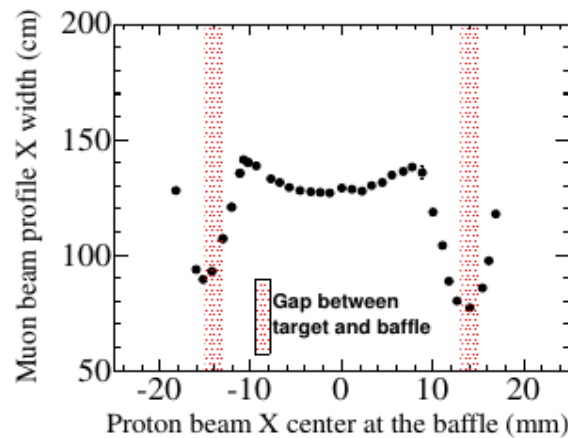
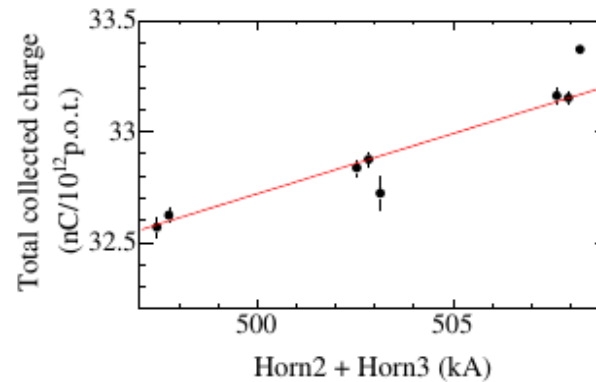
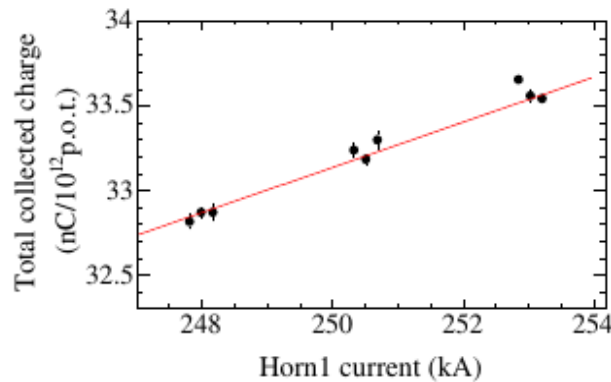
Protons

Muons

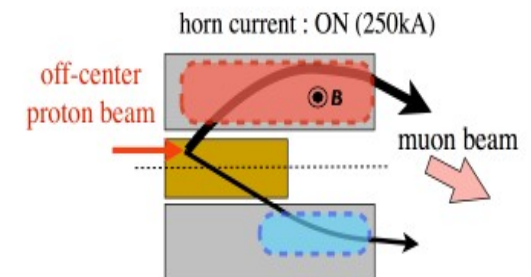
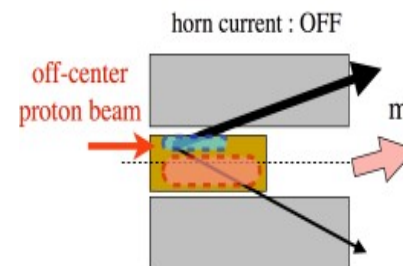
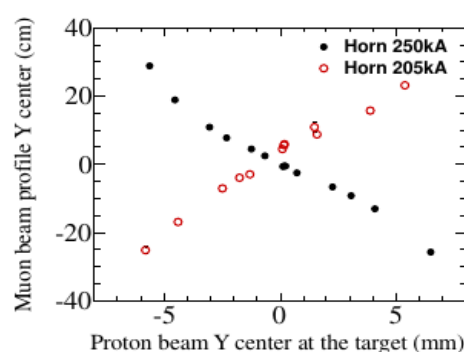
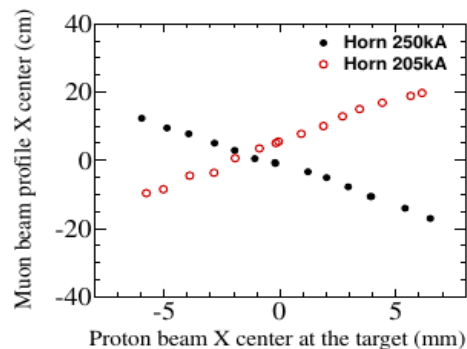


# Playing around with the muon monitor

- Example from T2K: sensitivity to horn current and proton beam position

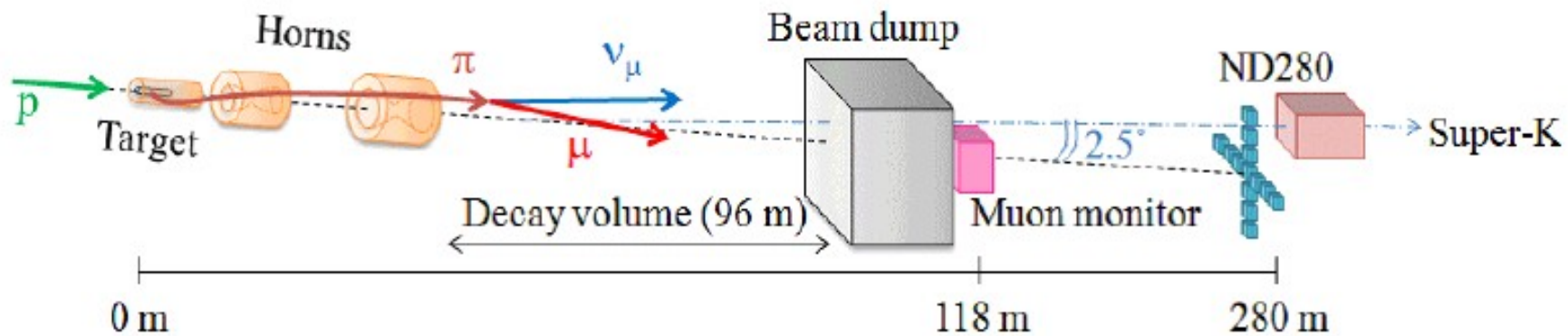


- Correlation between muon profile and proton beam position depends on the current

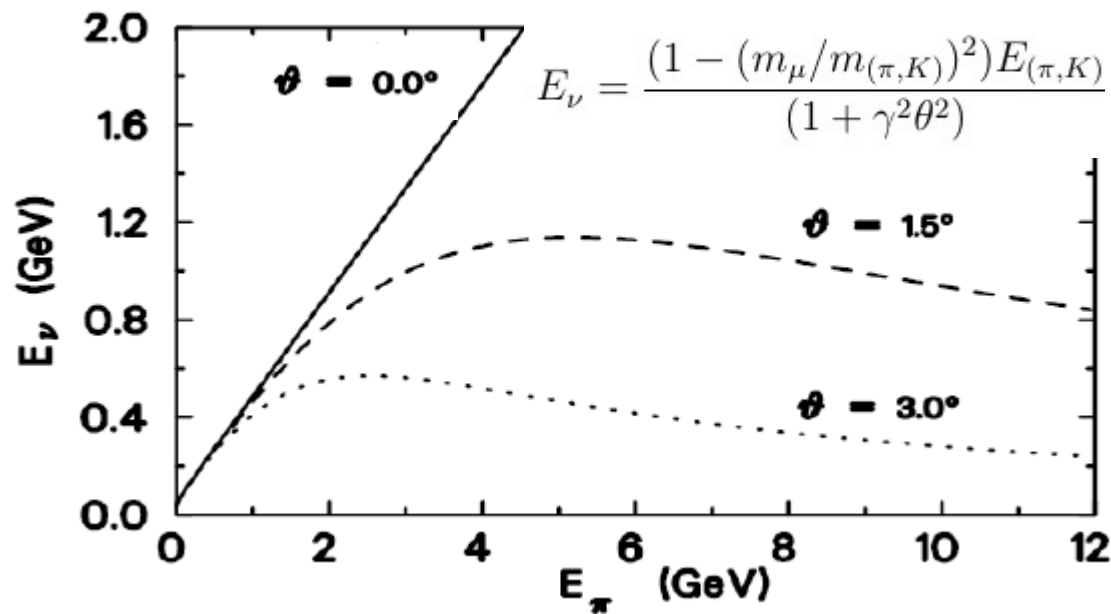




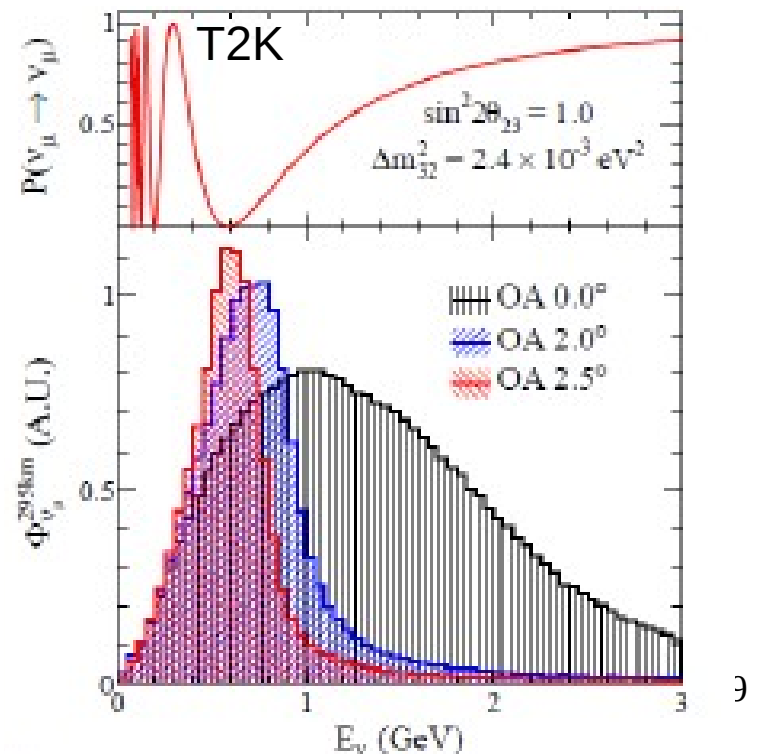
# Tuning the neutrino energy



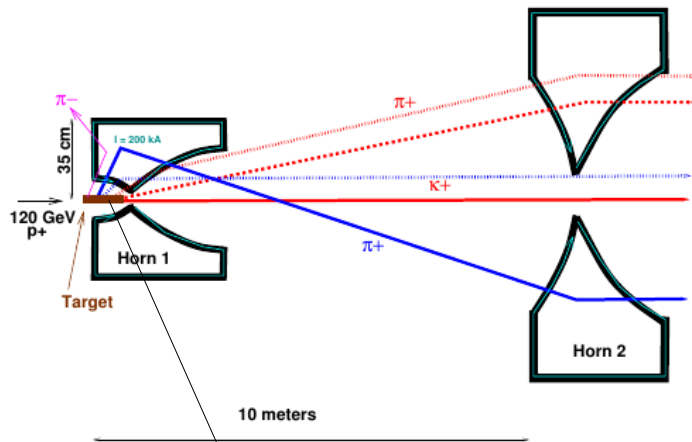
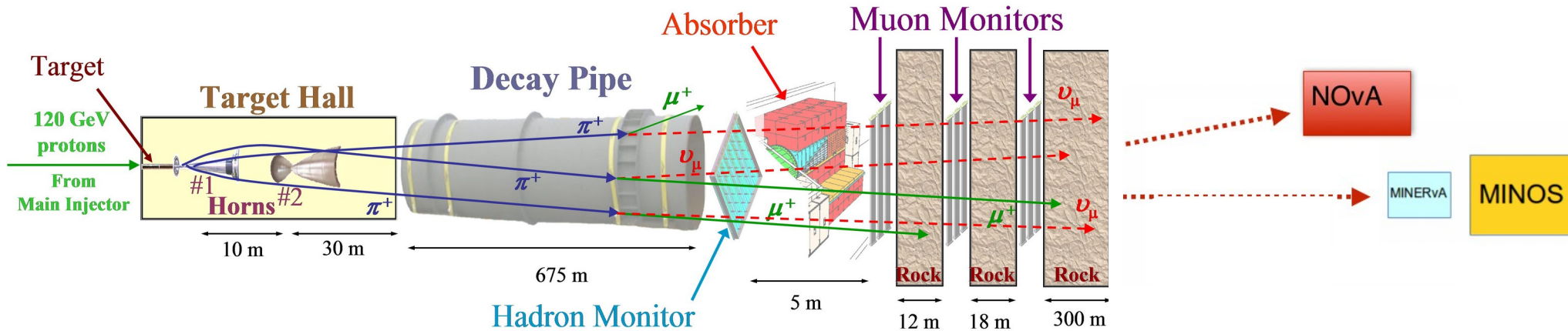
Energy of  $\nu$  emitted in 2-body decay at an angle relative to  $\pi$  (K) direction is only weakly dependent on parent's momentum



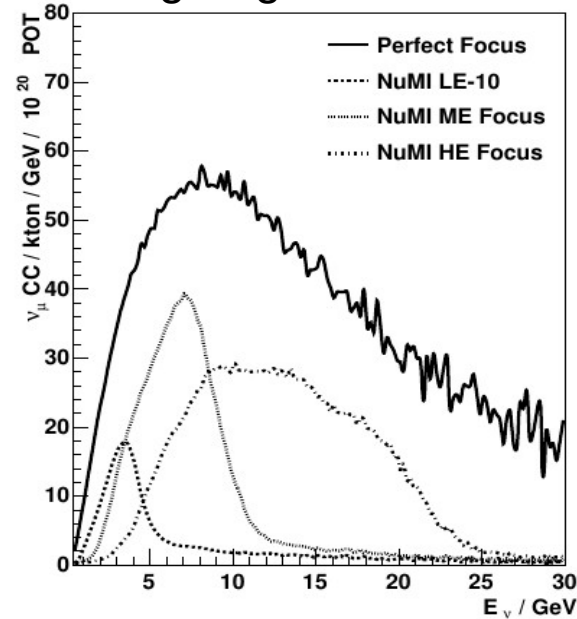
**Off-axis** → narrow flux at the maximum of the neutrino oscillation



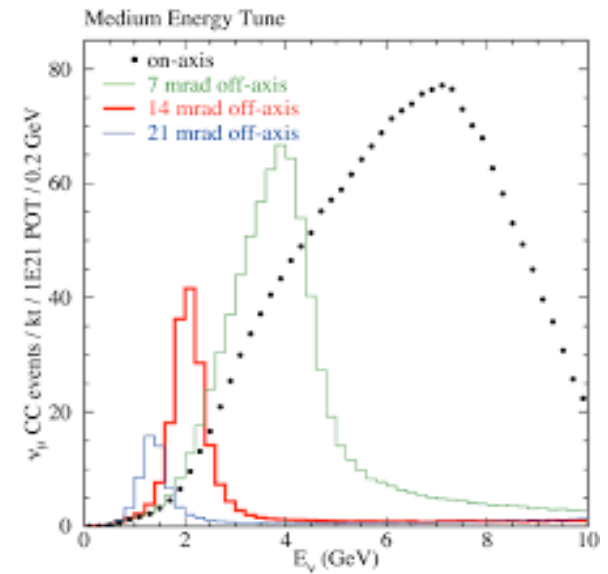
# NuMI beam



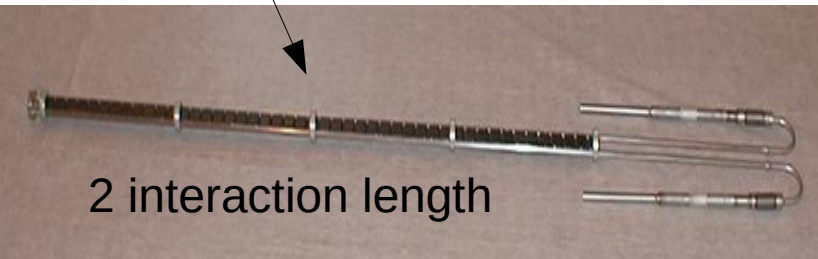
Change energy by moving target and horns



Off-axis technique



2 interaction length



# Flux tuning

---

# Flux simulation

Proton interactions in the target → production of 'secondary' hadrons on Carbon

Re-interactions of hadrons with target, horns, vessel, beam dump... → production of 'tertiary hadrons' on C (or other materials)

T2K

Parent	Flux percentage of each(all) flavor(s)			
	$\nu_\mu$	$\bar{\nu}_\mu$	$\nu_e$	$\bar{\nu}_e$
Secondary				
$\pi^\pm$	60.0(55.6)%	41.8(2.5)%	31.9(0.4)%	2.8(0.0)%
$K^\pm$	4.0(3.7)%	4.3(0.3)%	26.9(0.3)%	11.3(0.0)%
$K_L^0$	0.1(0.1)%	0.9(0.1)%	7.6(0.1)%	49.0(0.1)%
Tertiary				
$\pi^\pm$	34.4(31.9)%	50.0(3.0)%	20.4(0.2)%	6.6(0.0)%
$K^\pm$	1.4(1.3)%	2.6(0.2)%	10.0(0.1)%	8.8(0.0)%
$K_L^0$	0.0(0.0)%	0.4(0.1)%	3.2(0.0)%	21.3(0.0)%

NuMI low energy

Projectile	Material						
	C	Fe	Al	Air	He	H <sub>2</sub> O	Be
$p$	117.5	2.9	1.0	1.1	1.5	0.1	0.1
$\pi^+$	8.1	1.3	1.8	0.2	...	0.4	...
$\pi^-$	1.3	0.2	0.2	...	...	...	...
$K^\pm$	0.6	0.1	0.1	...	...	...	...
$K^0$	0.6	...	...	...	...	...	...
$\Lambda/\Sigma$	1.0	...	...	...	...	...	...

(average number of hadron interactions x 100 for each  $\nu_\mu$ )

Simulation of hadron interactions with the target and all the beamline with **GEANT** and **FLUKA**

# Flux tuning

The simulations are tuned using **external measurement from hadro-production experiments**

T2K

Experiment	Beam Mom. (GeV/c)	Target	Particles
NA61/SHINE [11][12]	31	C	$\pi^\pm, K^\pm$
Eichten <i>et al.</i> [27]	24	Be, Al, ...	$p, \pi^\pm, K^\pm$
Allaby <i>et al.</i> [28]	19.2	Be, Al, ...	$p, \pi^\pm, K^\pm$
BNL-E910 [29]	6.4 – 17.5	Be	$\pi^\pm$

NuMI

NA49 pC @ 158 GeV (+HARP)  
MIPP pC @ 120 GeV  
Barton et Al [[Phys. Rev. D 27, 2580 \(1983\)](#)]

(need scaling to different targets, available at different proton energy)

**Total probability of hadron interactions and outgoing hadron multiplicity**  
as a function of **incoming proton momentum and outgoing hadron momentum and angle**  
are tuned to match the hadro-production measurements:

$$P(x; \sigma_{prod}) = \Delta x \sigma_{prod} \rho e^{-x \sigma_{prod} \rho}$$

probability of proton to travel a path x in the  
target and interact in  $\Delta x$

$$W = \frac{P(x; \sigma'_{prod})}{P(x; \sigma_{prod})}$$

$$\frac{dn}{dp}(\theta, p_{in}, A) = \frac{1}{\sigma_{prod}(p_{in}, A)} \frac{d\sigma}{dp}(\theta, p_{in}, A).$$

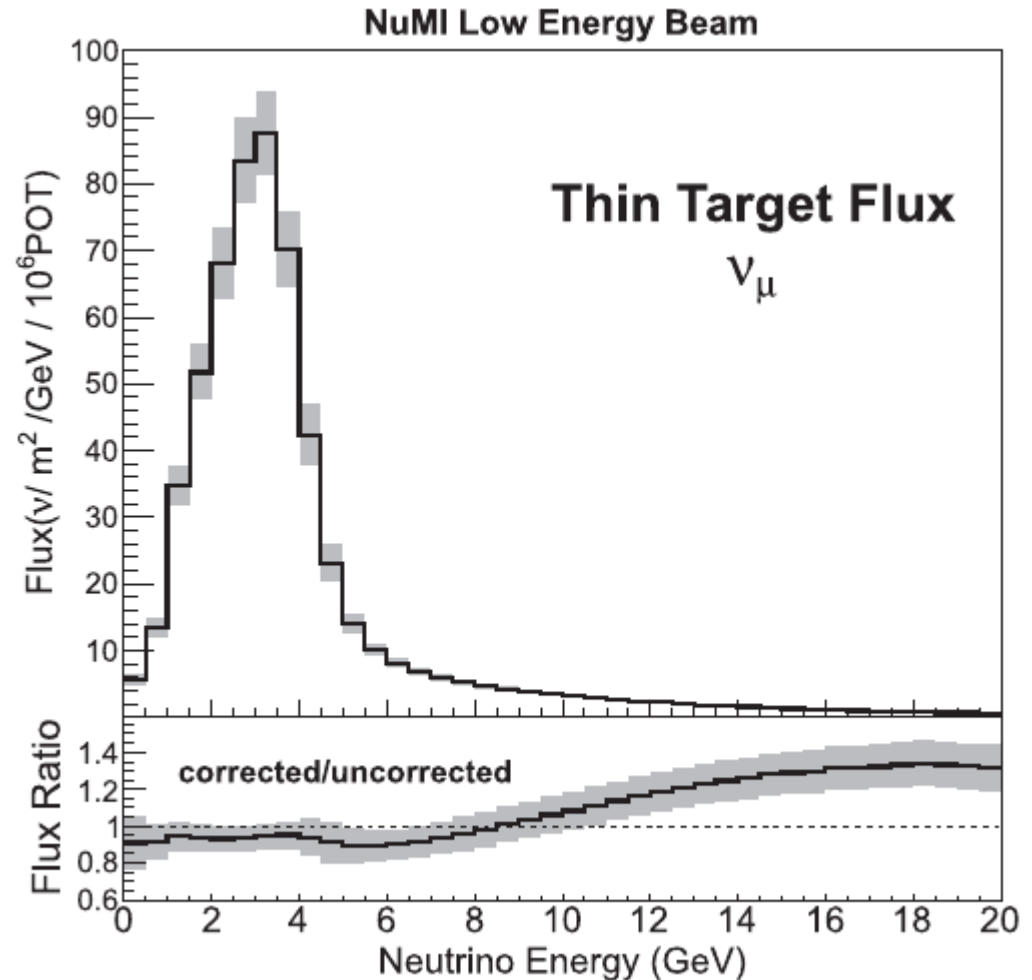
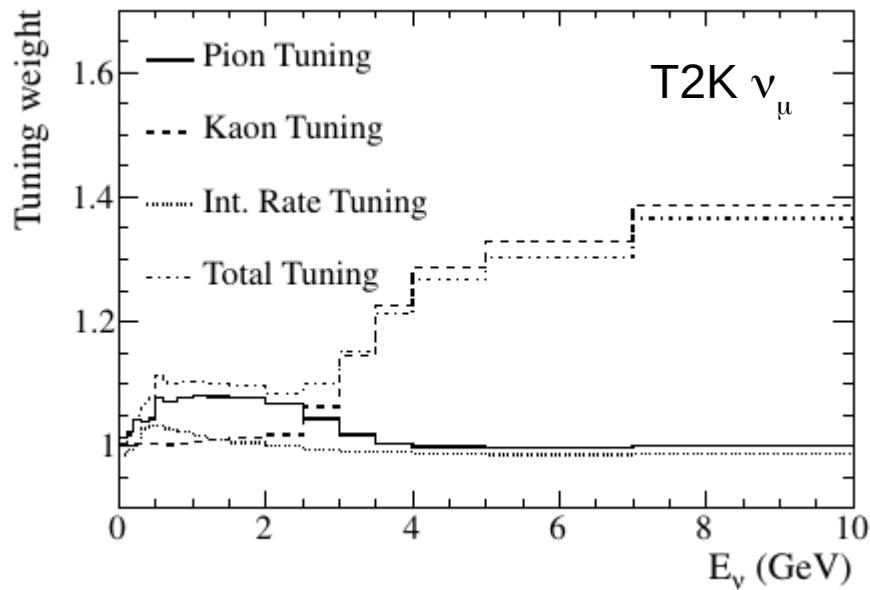
hadron multiplicity (with a certain angle and momentum)  
for each proton interaction

$$W(p_{in}, A) = \frac{[\frac{dn}{dp}(\theta, p_{in}, A)]_{data}}{[\frac{dn}{dp}(\theta, p_{in}, A)]_{MC}}$$

# Tuning factors

flux tuned

flux simulated



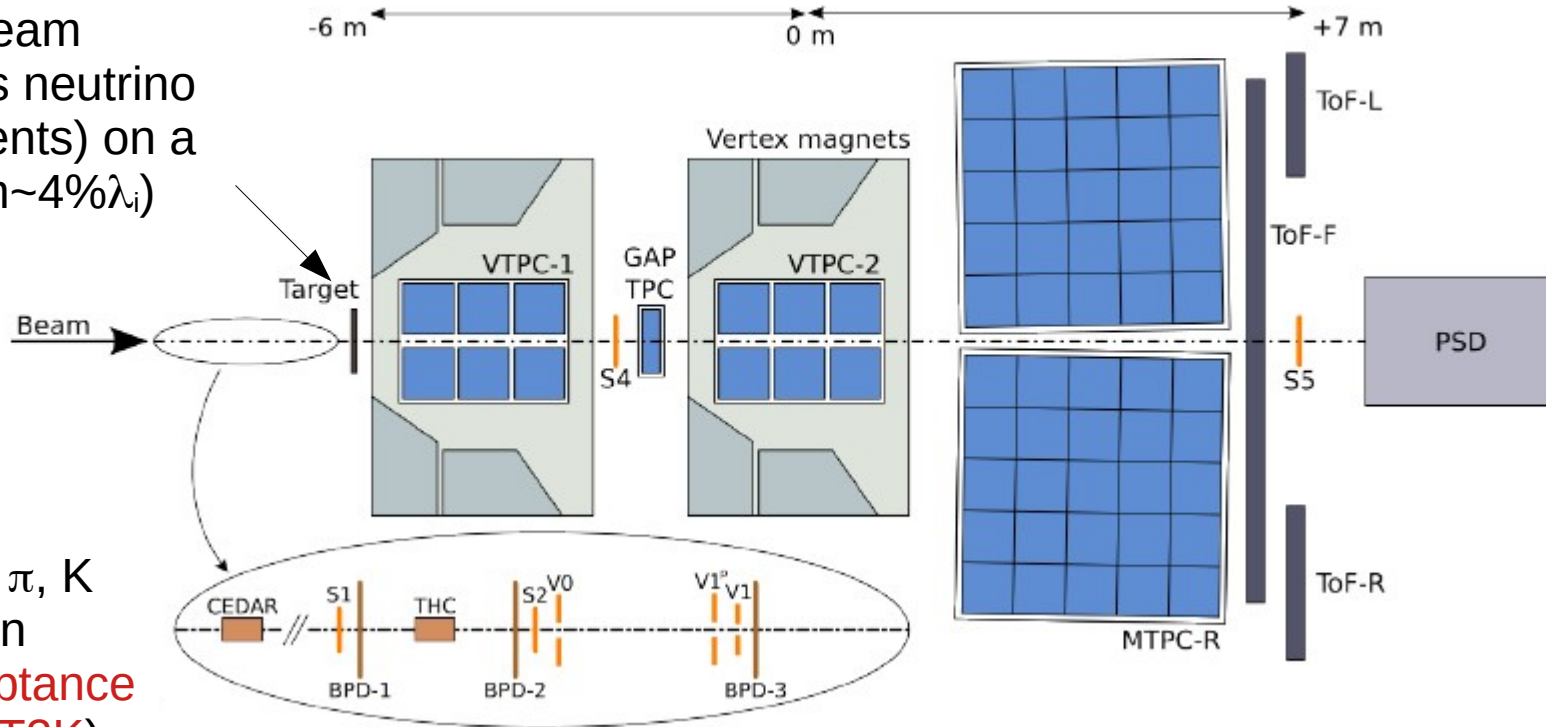
Uncertainties from theory corrections (scaling to different proton energies, targets, not covered phase space...) and from hadro-production data (statistics and systematics uncertainty)



# NA61/SHINE

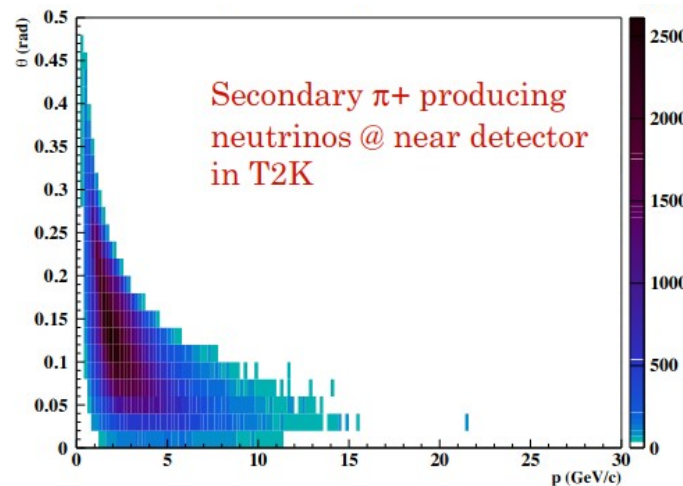
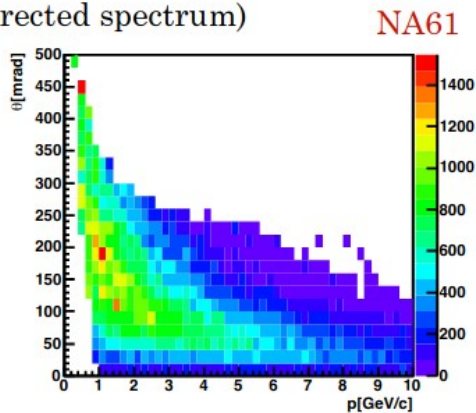
SPS Heavy Ion and Neutrino Experiment: Fixed target experiment using CERN SPS

proton beam  
(same as neutrino  
experiments) on a  
(thin  $2\text{cm} \sim 4\% \lambda_i$ )  
target



Measure  $p$ ,  $\pi$ ,  $K$   
in fwd region  
(good acceptance  
match with T2K)

Phase space covered by  $\pi^+$   
(corrected spectrum)

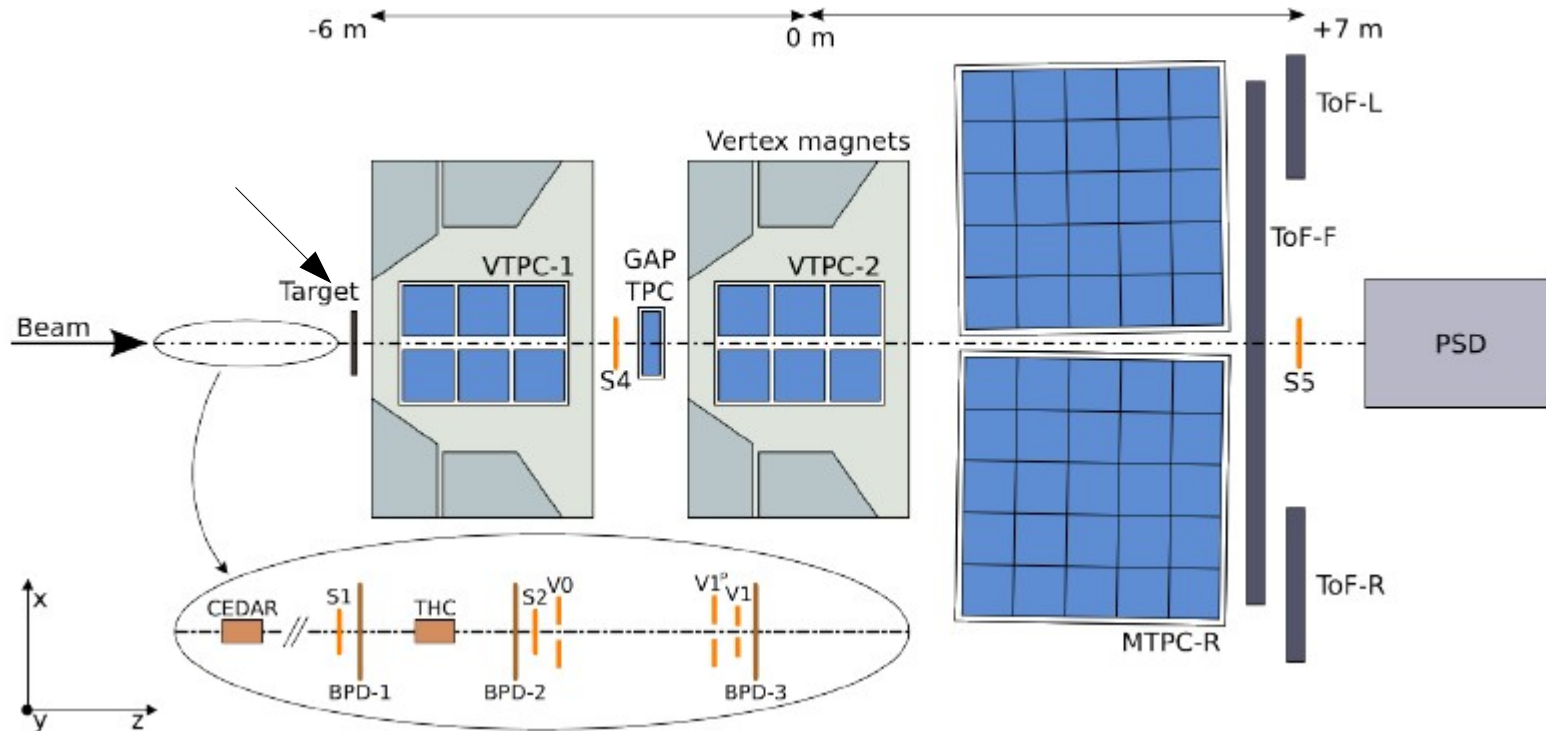


Momentum measurement with  
TPC in magnetic field  
( $\sigma_p/p^2 \sim 0.005 \text{ GeV}^{-1}$ )

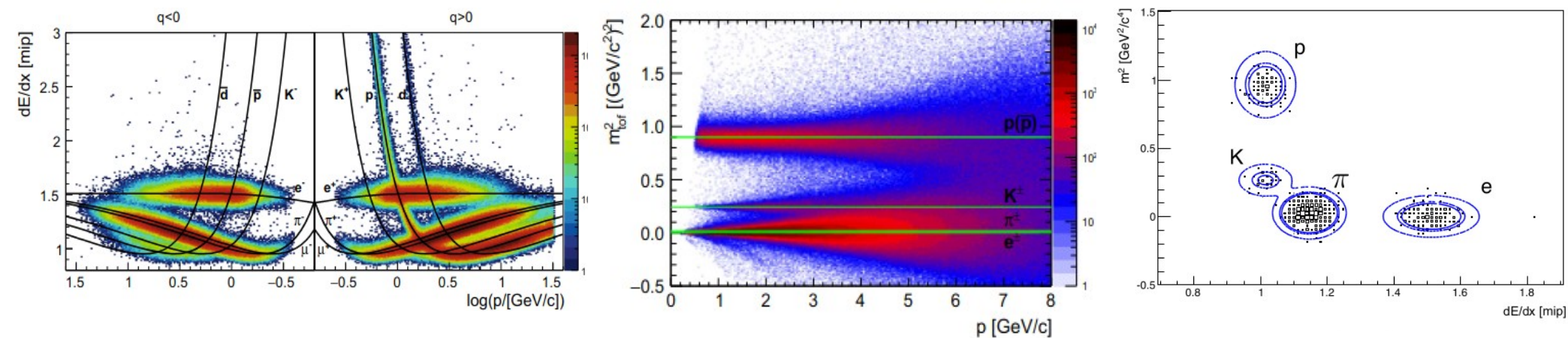
Angular measurement  
with 3-4 mrad resolution

# NA61/SHINE

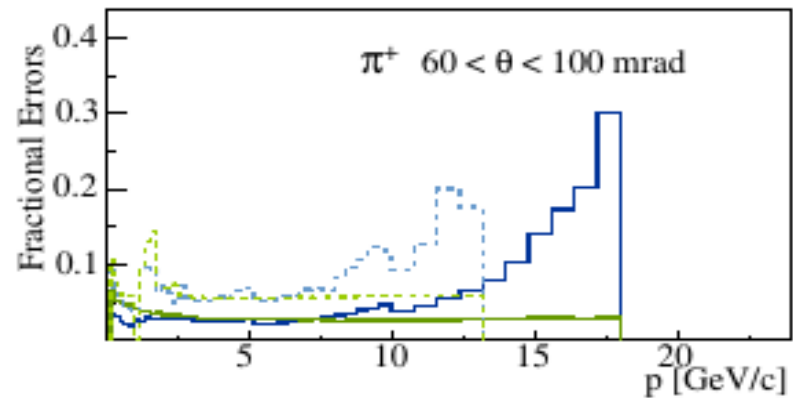
SPS Heavy Ion and Neutrino Experiment: Fixed target experiment using CERN SPS



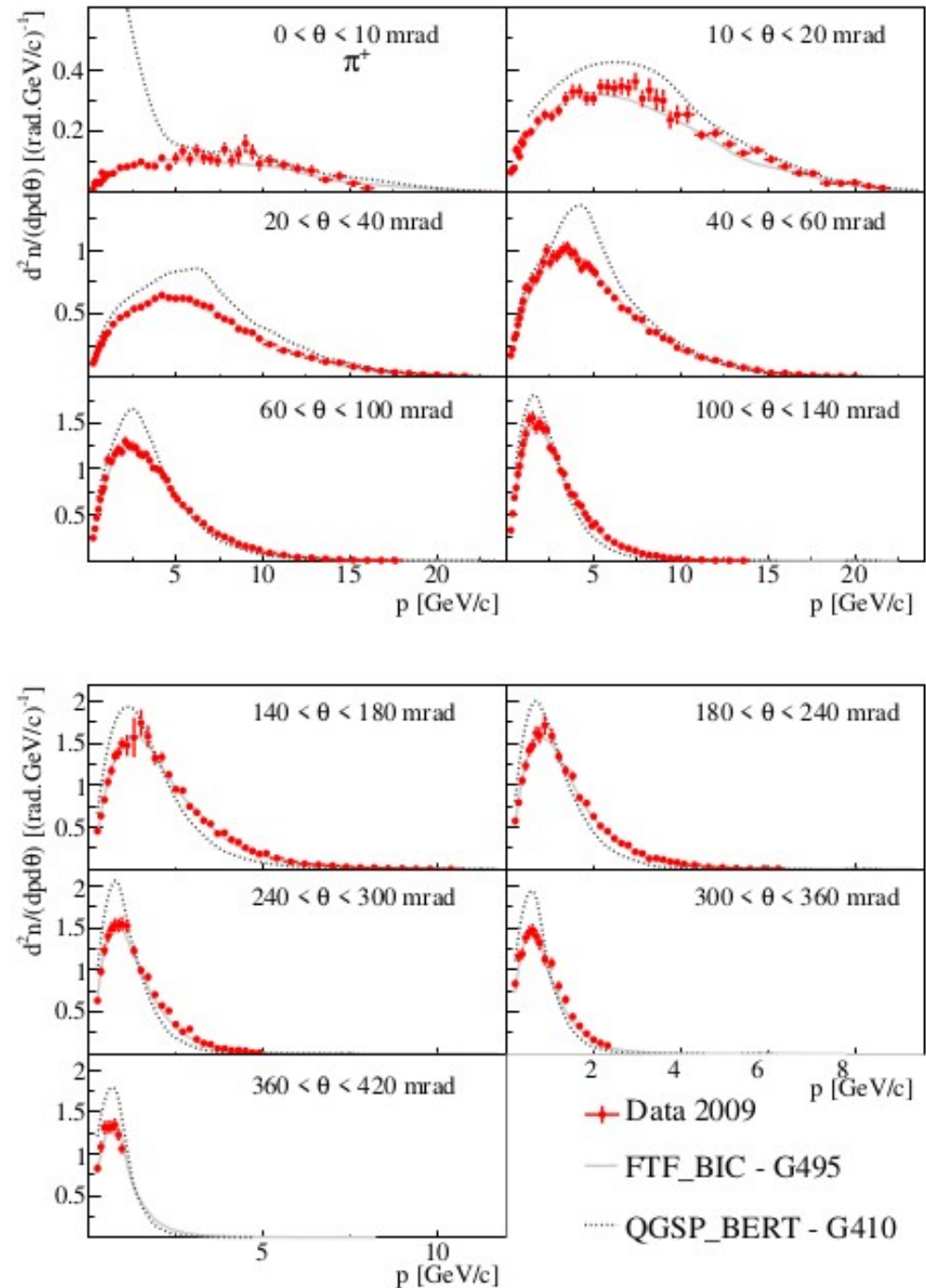
$dE/dx$  + ToF measurement for clean PID



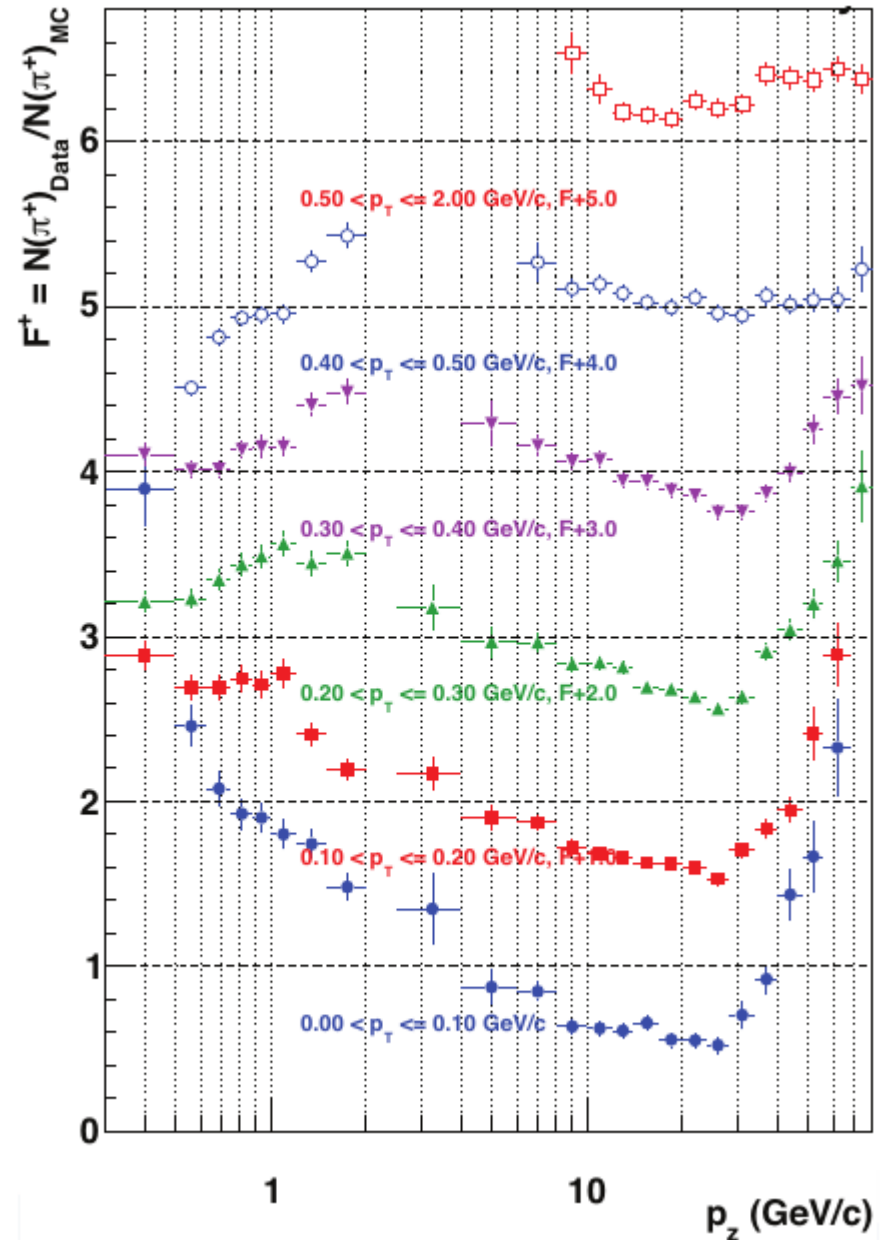
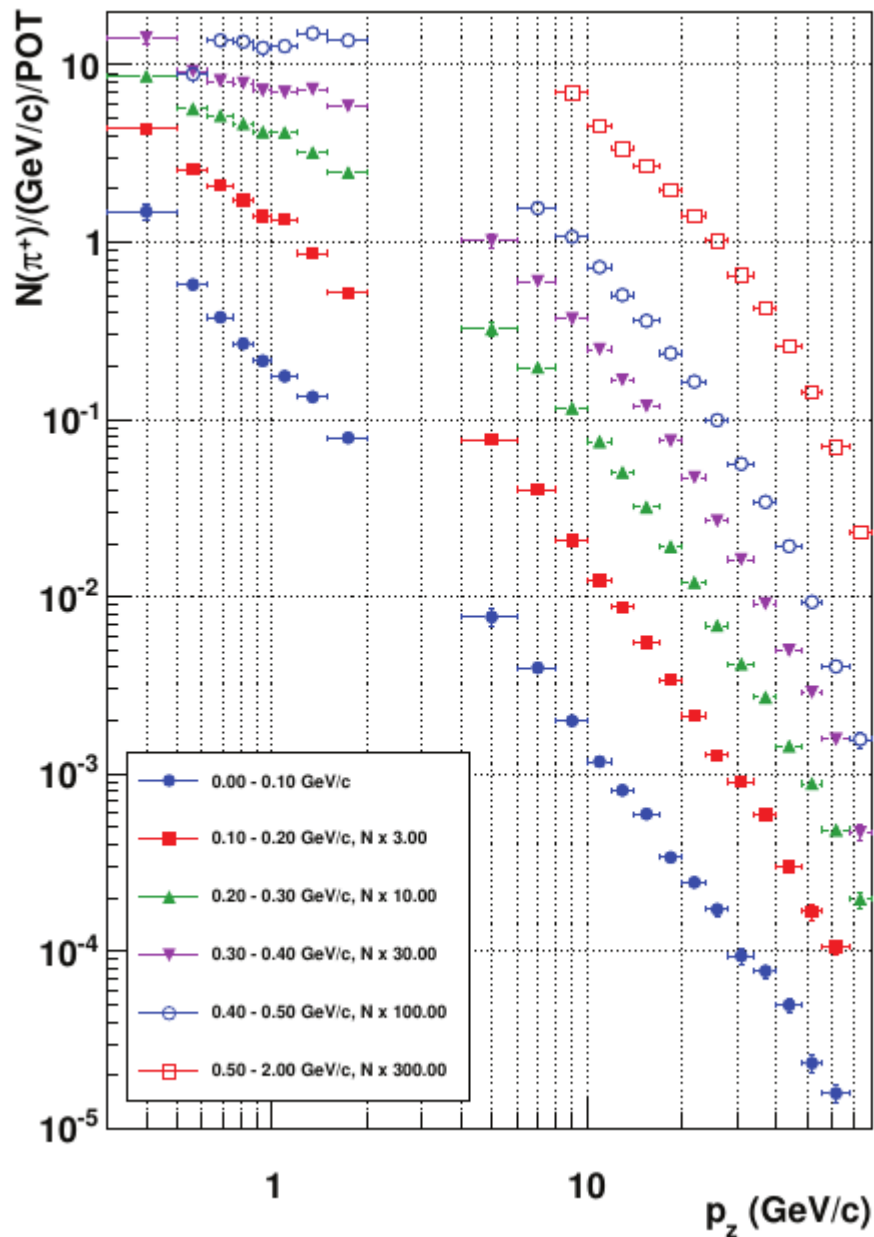
# (Old) results



Full measurement of  $\pi^+$ ,  $\pi^-$ ,  $K^+$ ,  $K^-$



# MIPP results for NuMI





# Cross-section normalization

$$\sigma_{hadroprod} = \sigma_{tot} - \sigma_{el} - \sigma_{qe}$$

$\sigma_{tot}$  can be extracted from beam instrumentation in anti-coincidence with S4 (normalized to number of carbon nuclei in the target)

Need to correct for events with actual interactions in S4 using model

$\sigma_{qe}$  quasi-elastic scattering on single nucleon in the carbon nucleus which get ejected (from GEANT)

$\sigma_{el}$  elastic scattering on carbon nucleus (from previous measurements compared to GEANT → largest uncertainty)

$$\sigma_{prod} = 230.7 \pm 2.8(stat) \pm 1.2(det) {}^{+6.3}_{-3.5}(mod) mb$$

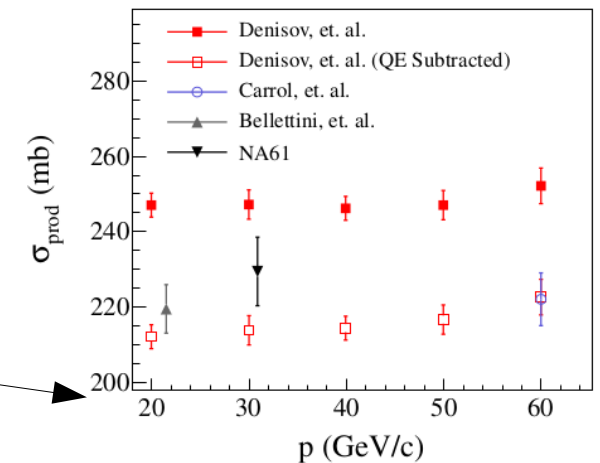
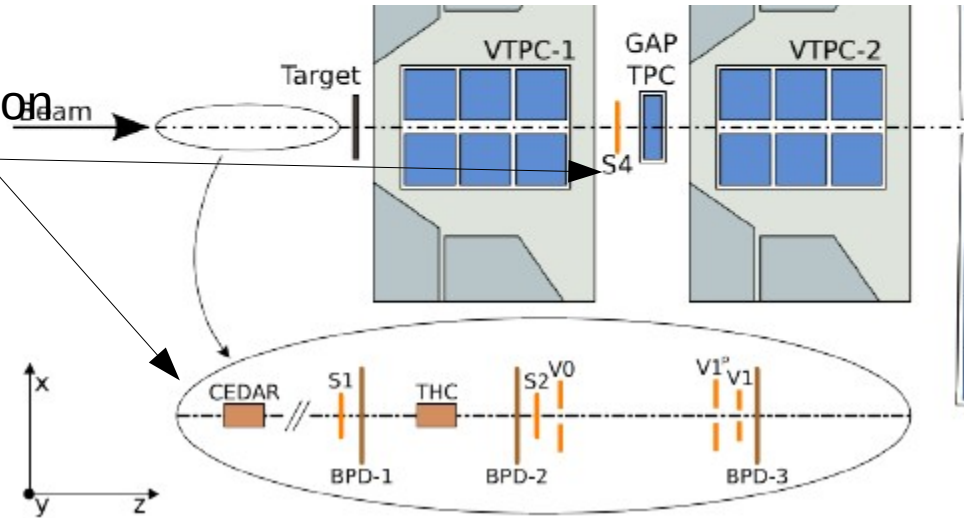
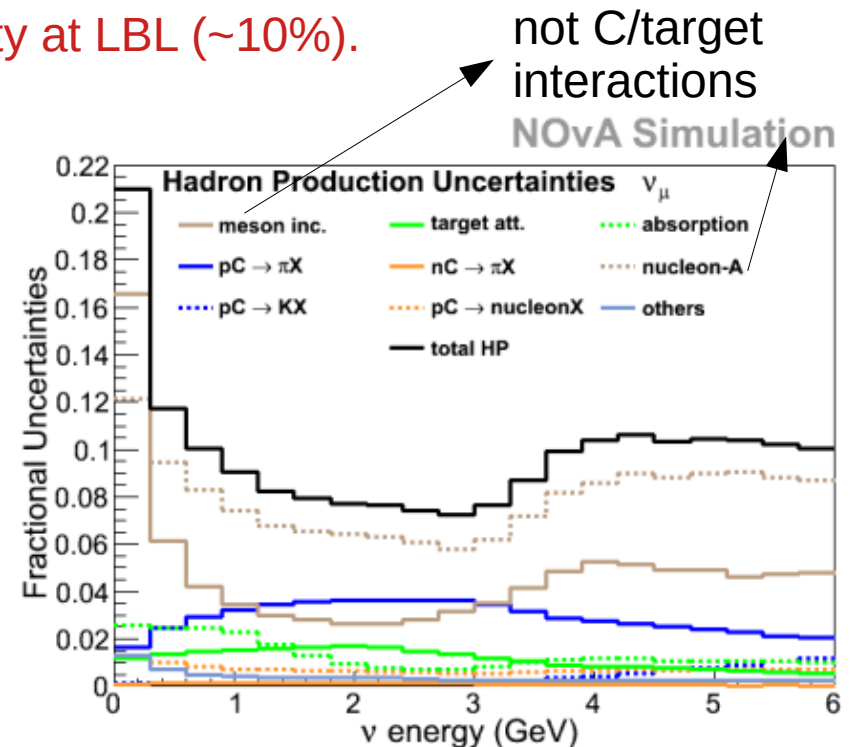
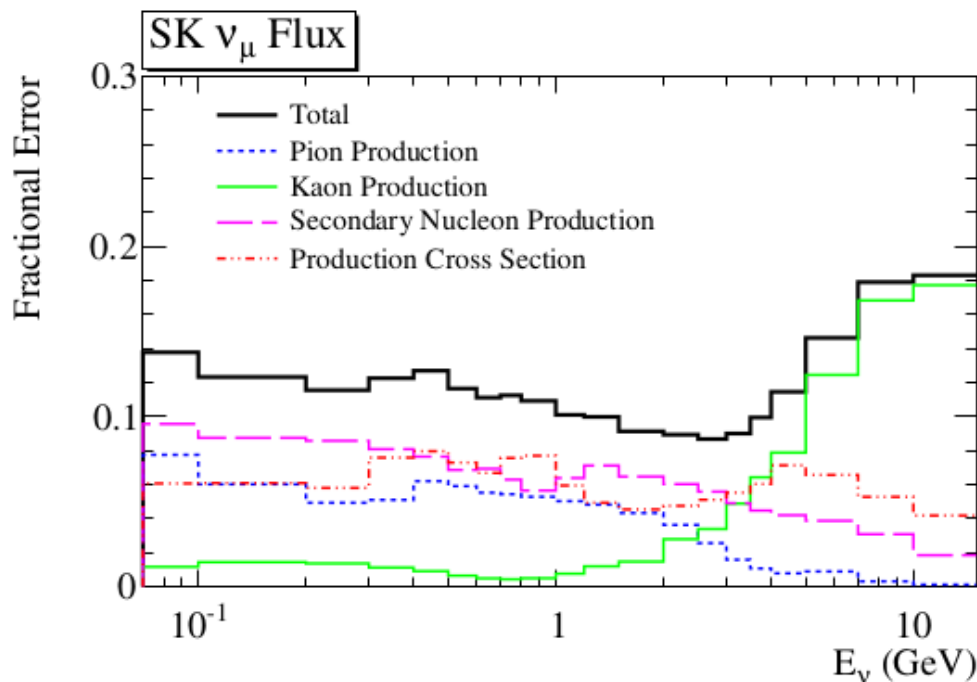


FIG. 37: Production cross-section measurements for protons on graphite targets for momenta 20–60 GeV/c. The data from Denisov *et al.* are shown with and without the quasi-elastic estimate subtracted since the quantity that is measured is ambiguous.

# Flux uncertainties due to hadro-production using “thin targets” data (before ~2020)

These results improved greatly the flux uncertainty at LBL (~10%).



The remaining uncertainties were dominated by the total production cross-section and re-interactions in the horns

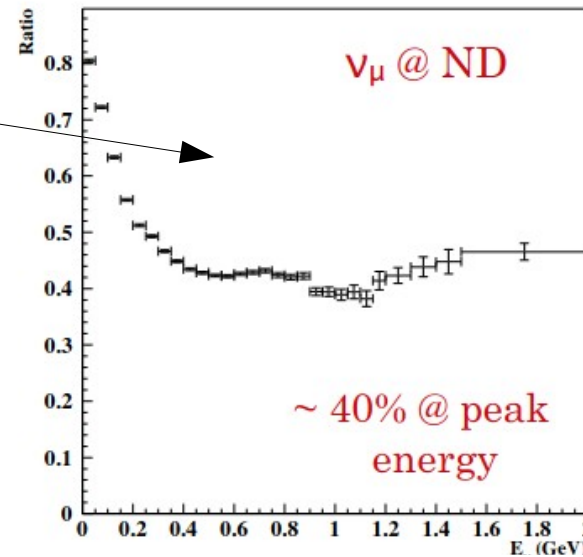
→ new NA61 measurement ‘more directly portable’ to T2K



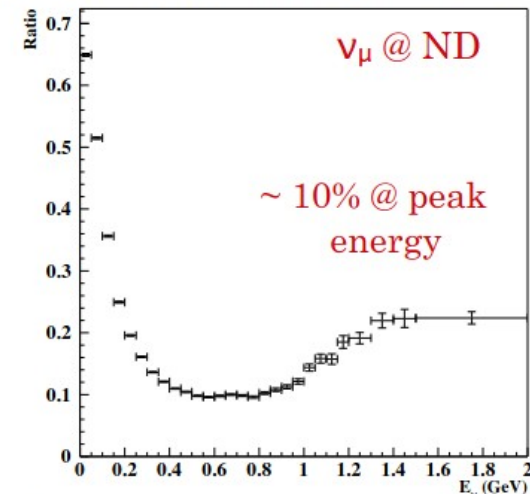
# Need for replica target: T2K example

Fraction of neutrinos from re-interactions in the target and in the beam line (~40%)

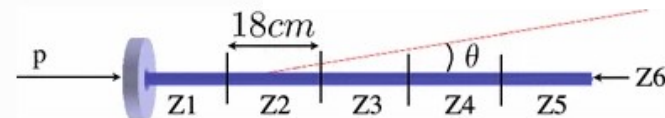
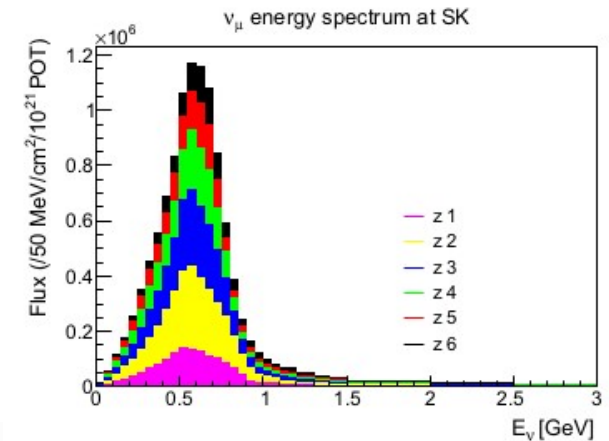
→ **measurement of hadro-production with 'replica target'**  
(= same target geometry as the neutrino experiment)  
allows to tune 90% of the flux  
(60% with thin target)



re-interactions in the beamline

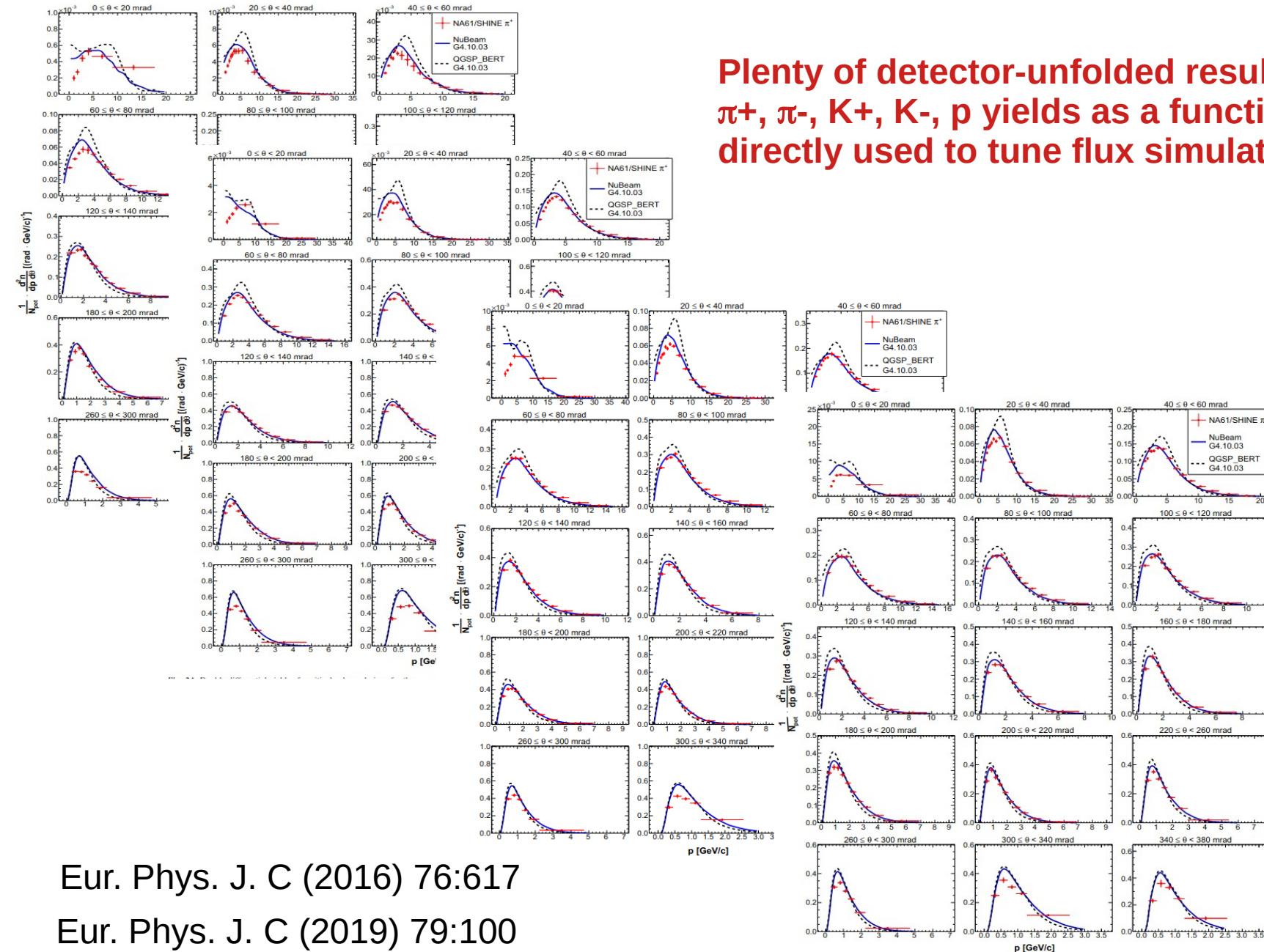


Measurements of **hadron multiplicity vs angle and momentum ( $dn/dp d\theta$ ) in longitudinal bins of the target** (particles in different longitudinal bins follow a different path inside the horns and are focused differently)



# NA61 results with replica target

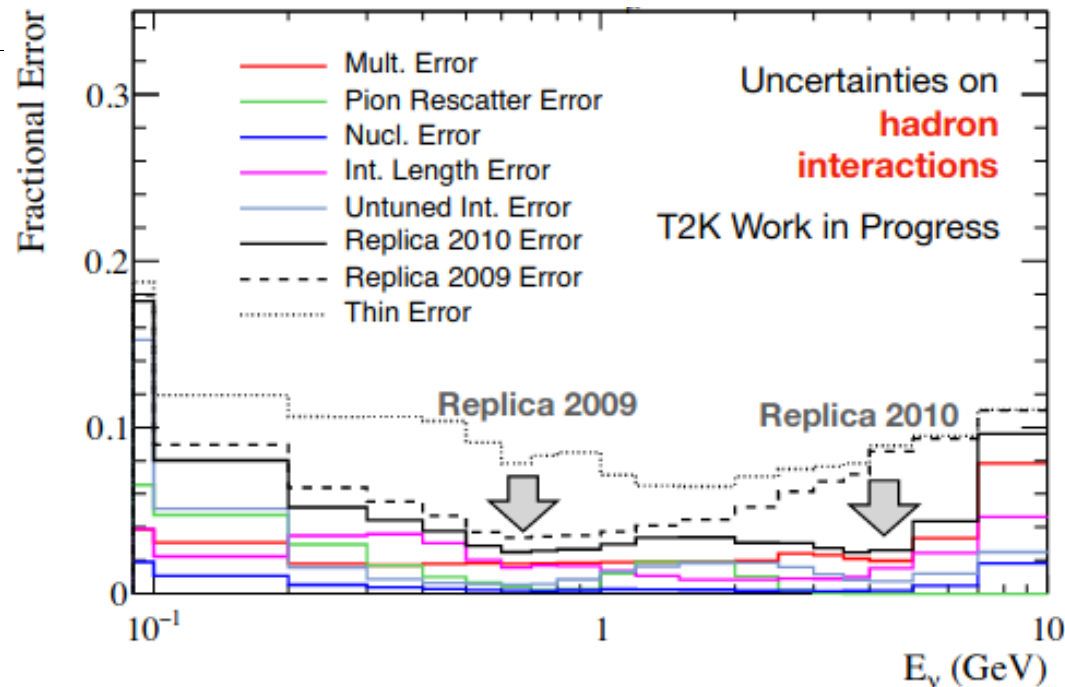
Plenty of detector-unfolded results:  
 $\pi^+$ ,  $\pi^-$ ,  $K^+$ ,  $K^-$ ,  $p$  yields as a function of  $p$ ,  $\theta$ ,  $z_{\text{target}}$   
 directly used to tune flux simulation



Eur. Phys. J. C (2016) 76:617

Eur. Phys. J. C (2019) 79:100

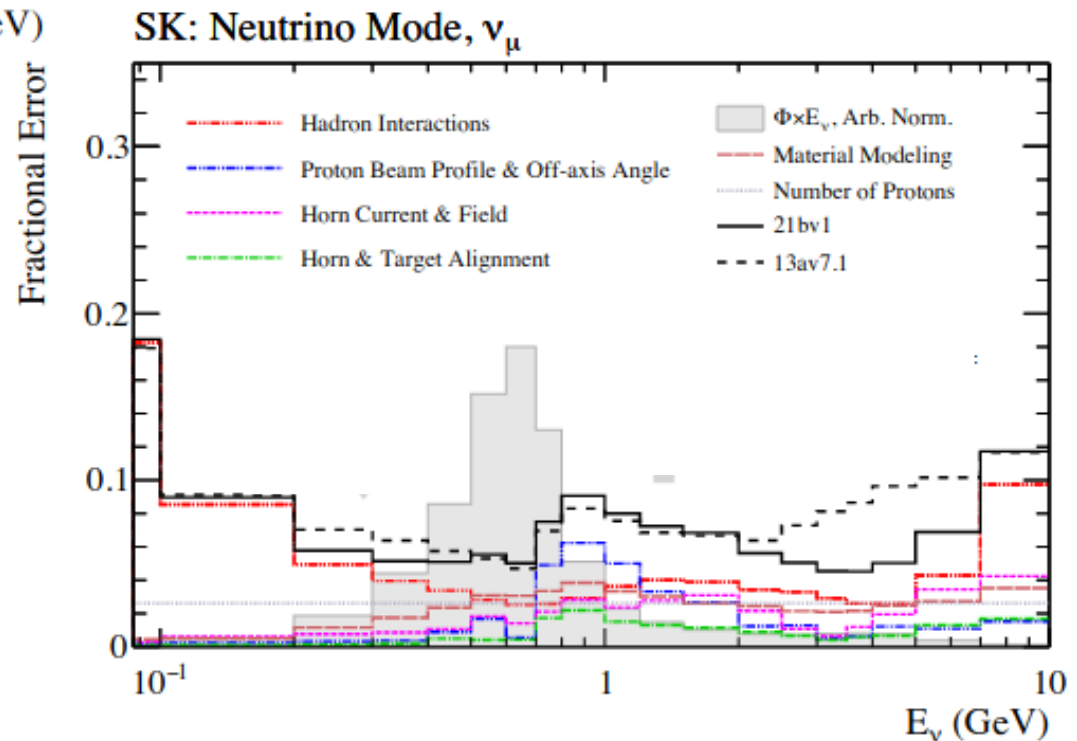
# Flux uncertainties



**Huge improvement** (~factor 2) of hadron-interaction uncertainties using NA61/SHINE replica target data (<5% in the flux peak)

## Total flux uncertainties today:

- low energy: hadron-interactions (especially total xsec evaluation)
- peak energy: modeling of (non-target) beamline material
- high energy: beam profile & off-axis angle



# Future prospects

Table I. Fraction of simulated hadronic interactions in the T2K flux that are tuned by replica or thin target data [15].

Horn Mode	Fraction of Hadronic Interactions			
	$\nu_\mu$	$\bar{\nu}_\mu$	$\nu_e$	$\bar{\nu}_e$
Neutrino Mode	0.97	0.87	0.91	0.77
Antineutrino Mode	0.87	0.96	0.77	0.92

- Interactions not tuned are due to Kaons (for  $\nu_e$ ) and to low energy interactions in beamline materials
  - **NA61 future: low energy beamline (<15 GeV)**, (also improvements to present results: major systematics is due to bwd extrapolation)
  - **new small TPC downstream the target)**

# Future prospects

Table I. Fraction of simulated hadronic interactions in the T2K flux that are tuned by replica or thin target data [15].

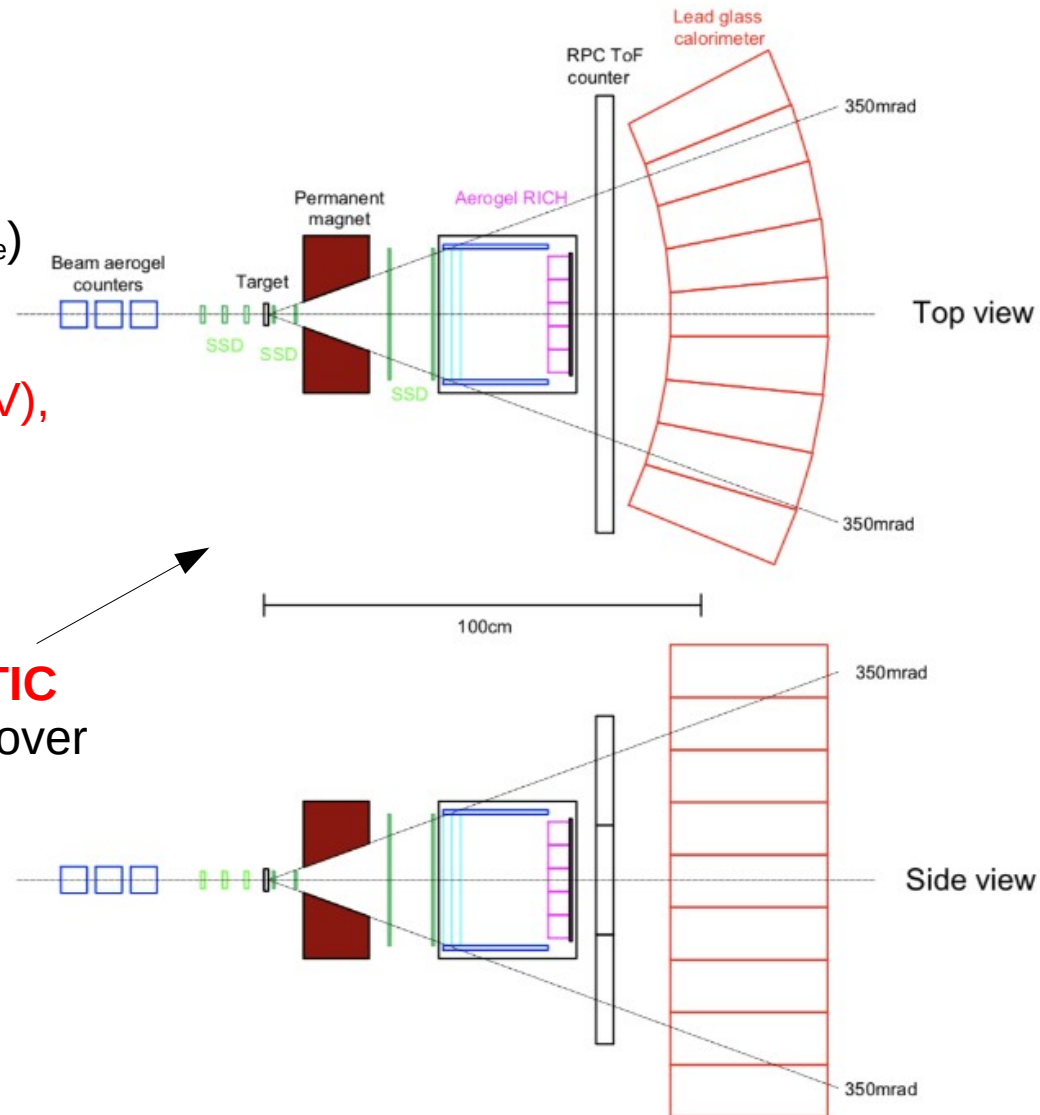
Horn Mode	Fraction of Hadronic Interactions			
	$\nu_\mu$	$\bar{\nu}_\mu$	$\nu_e$	$\bar{\nu}_e$
Neutrino Mode	0.97	0.87	0.91	0.77
Antineutrino Mode	0.87	0.96	0.77	0.92

- Interactions not tuned are due to Kaons (for  $\nu_e$ ) and to low energy interactions in beamline materials

- **NA61 future: low energy beamline (<15 GeV)**, (also improvements to present results: major systematics is due to bwd extrapolation)
- **new small TPC downstream the target**)

- **New 'table-top' experiment at FNAL: EMPHATIC** (targeting low energy especially interesting to cover the Booster beam for MicroBoone)

Particularly interesting to **measure total proton cross-section** (the other main left uncertainty) since both interacting and not-interacting events can be measured (fwd TPC in NA61 can also help for that!)





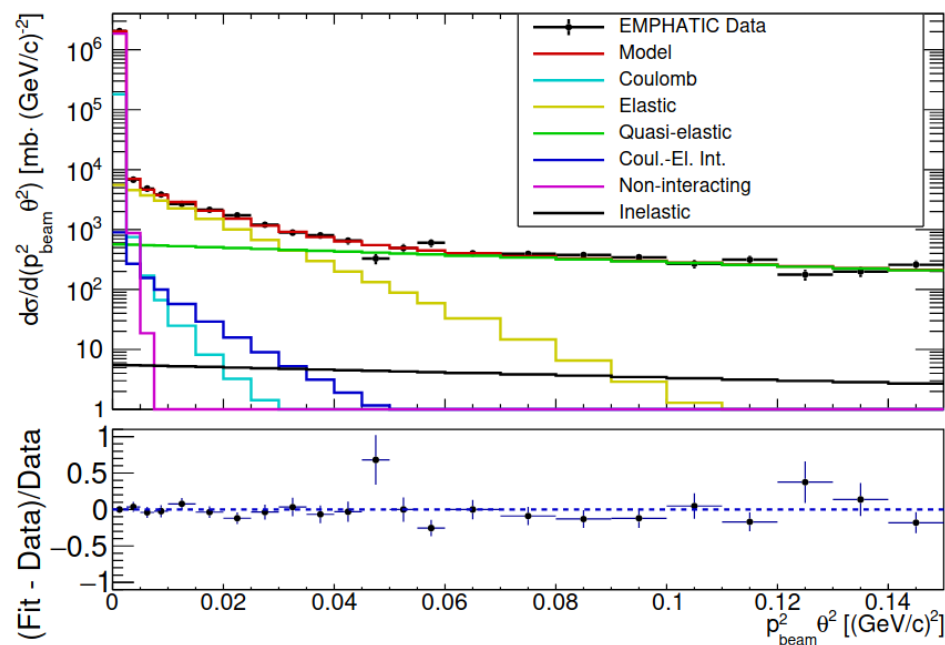
# EMPHATIC first results

Total xsec can be measured by combination of

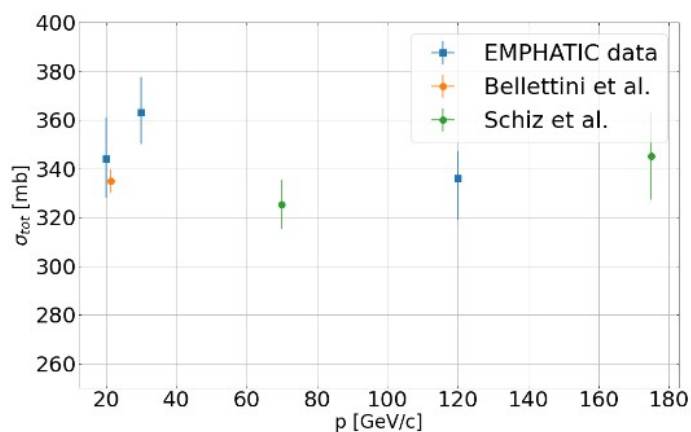
- transmission method  $N_S = N_0 e^{-nd\sigma_{tot}}$
- optical theorem: Im part of limit at  $t^2=0$   $\text{GeV}^2$  of scattering amplitude

## First pilot run for proof of principle

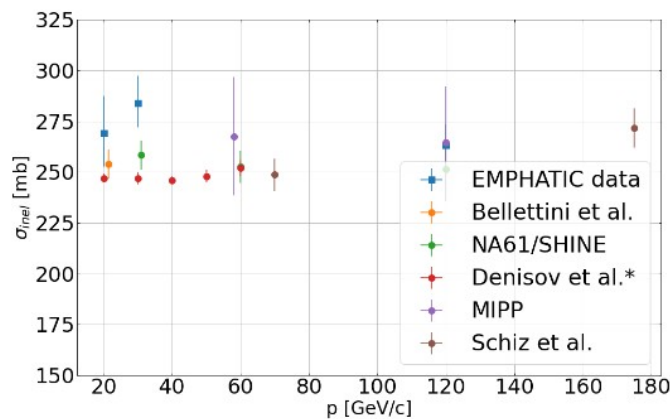
e-Print:2106.15723 [physics.ins-det]



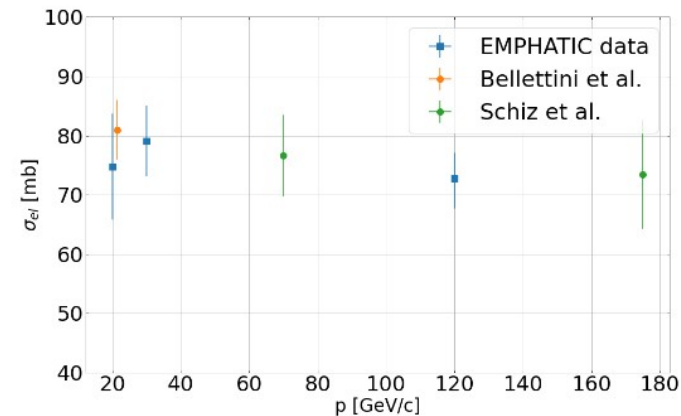
$\sigma_{tot}$



$\sigma_{inelastic}$



$\sigma_{elastic}$

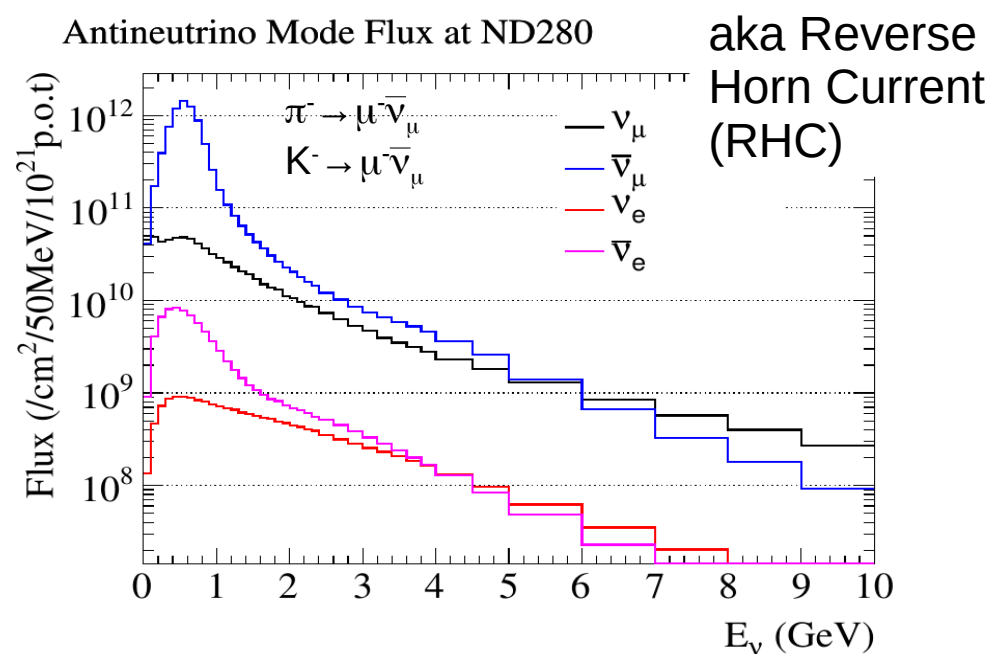
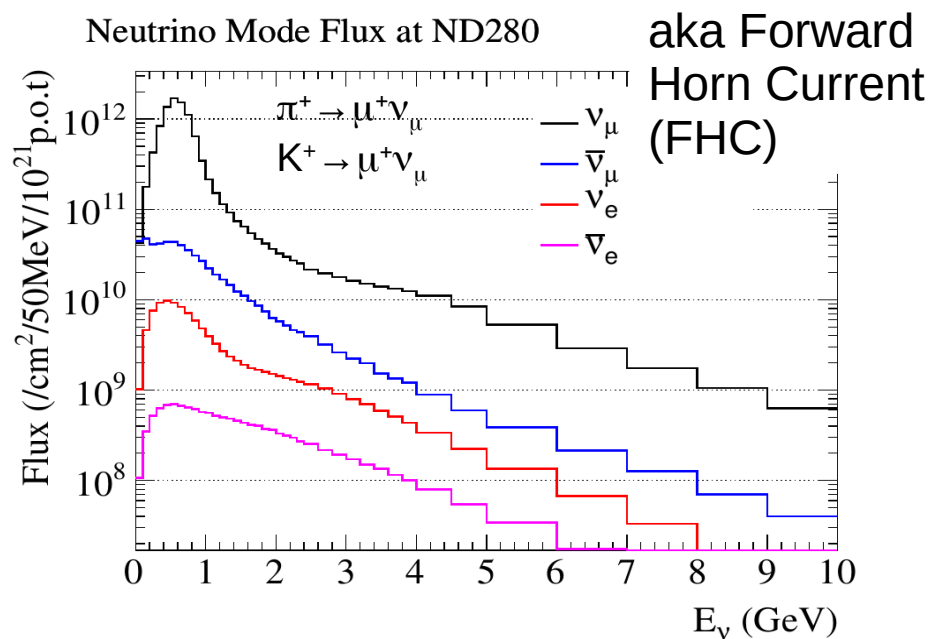




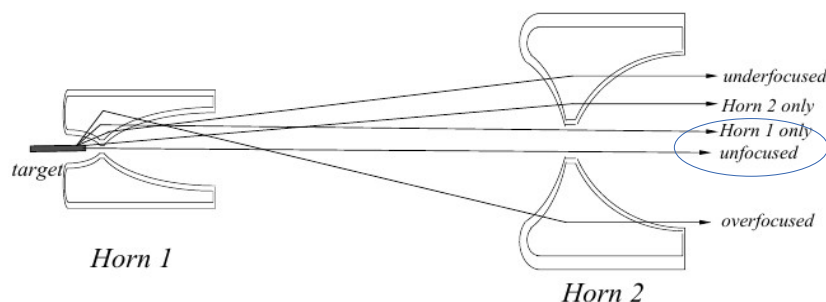
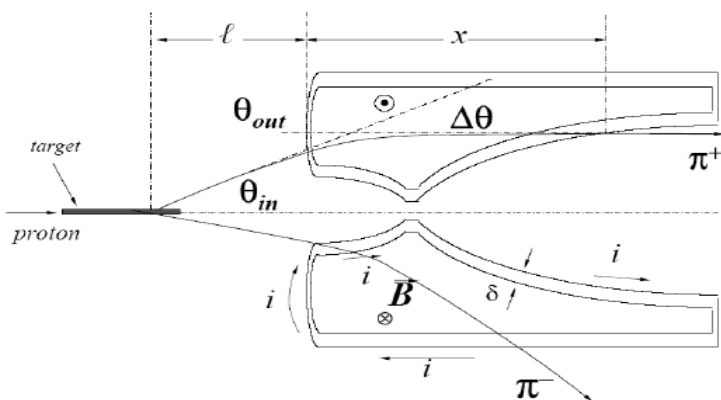
# Flux in accelerator experiments

---

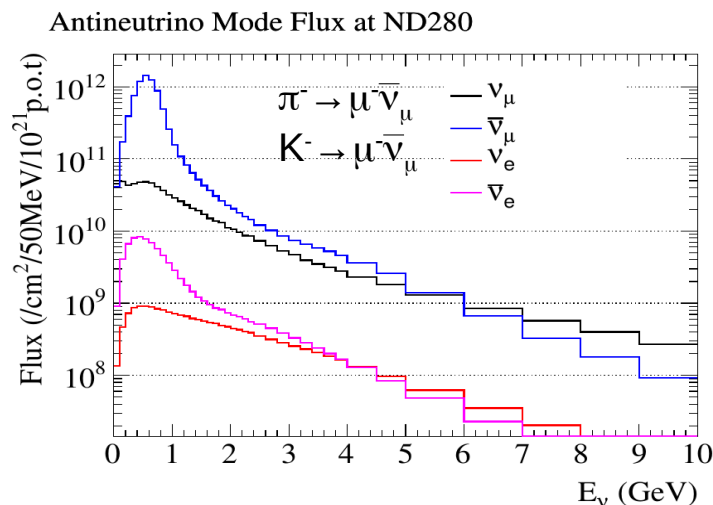
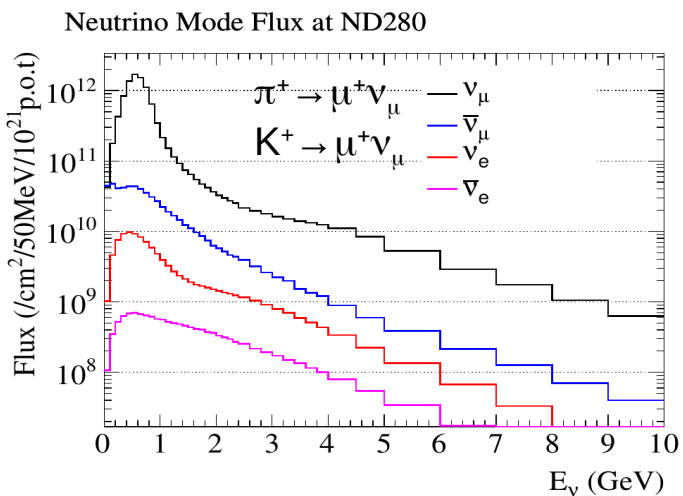
# Flux in T2K: wrong sign



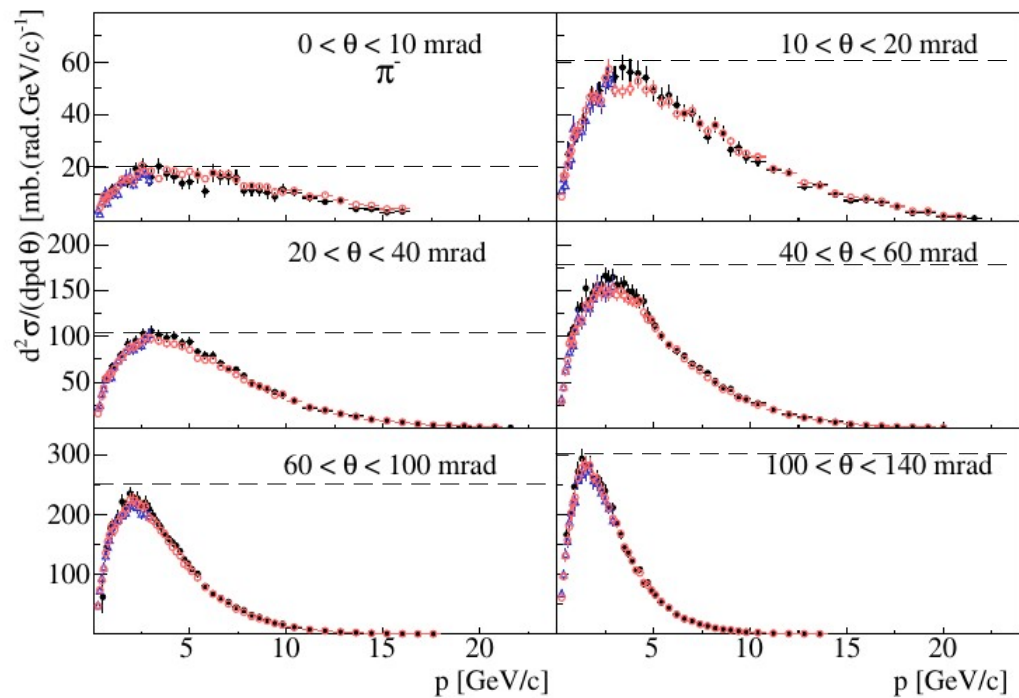
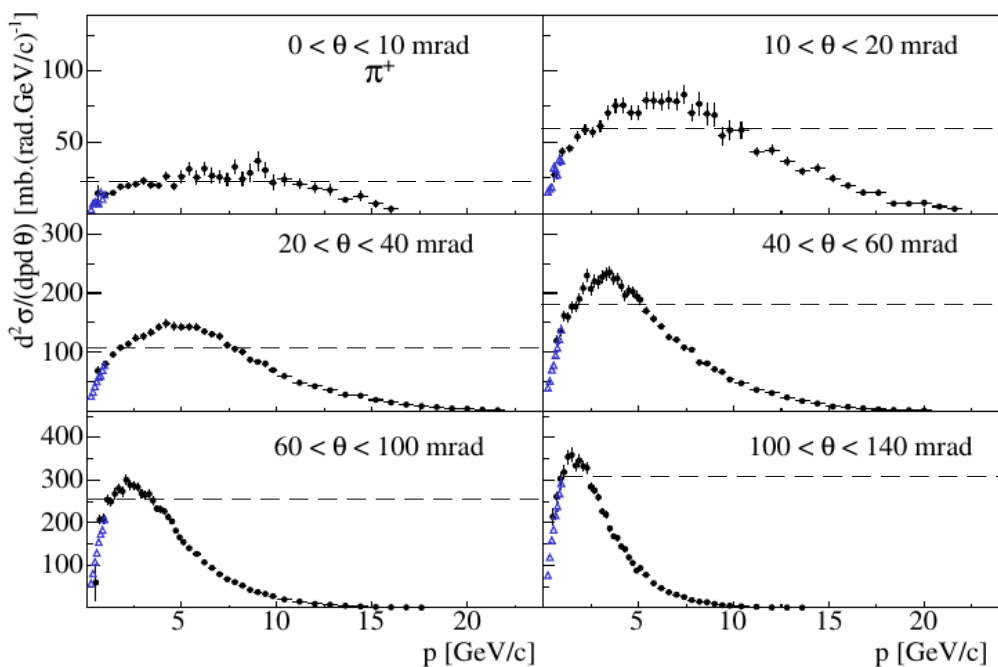
**The 'wrong sign' background** (important for  $\delta_{\text{CP}}$  and MO) comes from high  $p_L$  pions (kaons) which cannot be defocused properly because they miss the horns  
 → fractional contribution larger at high neutrino energies



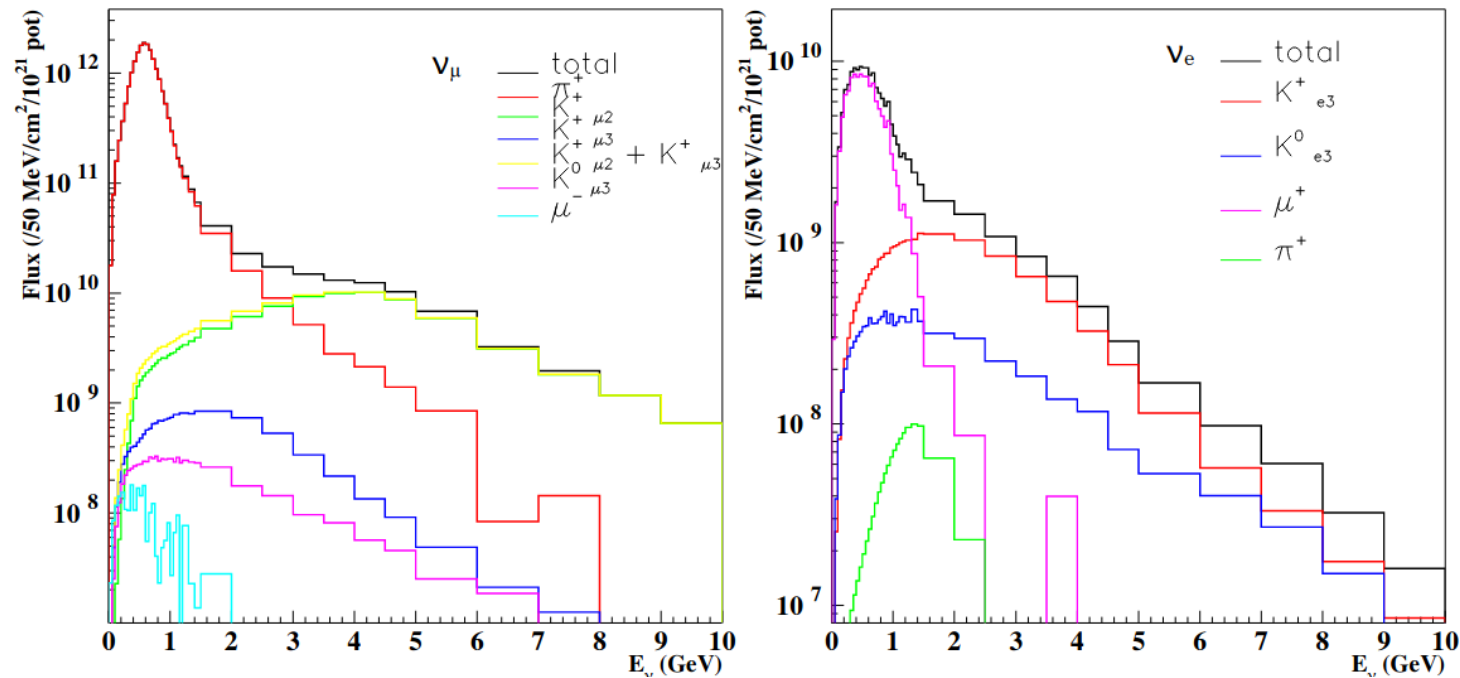
# Flux in T2K: wrong sign



The 'wrong sign' background is larger in antineutrino mode since when proton hits the target it is more probable to create positive charged hadrons than negative ones



# Flux in T2K: intrinsic $\nu_e$



$\nu$ species	Source											
	Flux		$\pi^+$ or $\pi^-$		$K^+$ or $K^-$ (K2)		$K^+$ or $K^-$ (K3)		$K_L^0$		$\mu^+$ or $\mu^-$	
	Abund.	$\langle E_\nu \rangle$	%	$\langle E_\nu \rangle$	%	$\langle E_\nu \rangle$	%	$\langle E_\nu \rangle$	%	$\langle E_\nu \rangle$	%	$\langle E_\nu \rangle$
$\nu_\mu$	1.0	0.84	95.5	0.69	4.2	4.15	0.2	2.13	0.1	2.10	< 0.01	0.80
$\bar{\nu}_\mu$	0.0692	1.19	85.8	1.13	4.0	3.21	0.2	1.70	1.2	2.12	8.8	0.66
$\nu_e$	0.0110	1.41	1.0	1.58	—	—	30.7	2.48	11.1	2.52	57.2	0.62
$\bar{\nu}_e$	0.0016	2.26	0.4	2.40	—	—	13.6	1.91	76.7	2.49	9.2	0.88

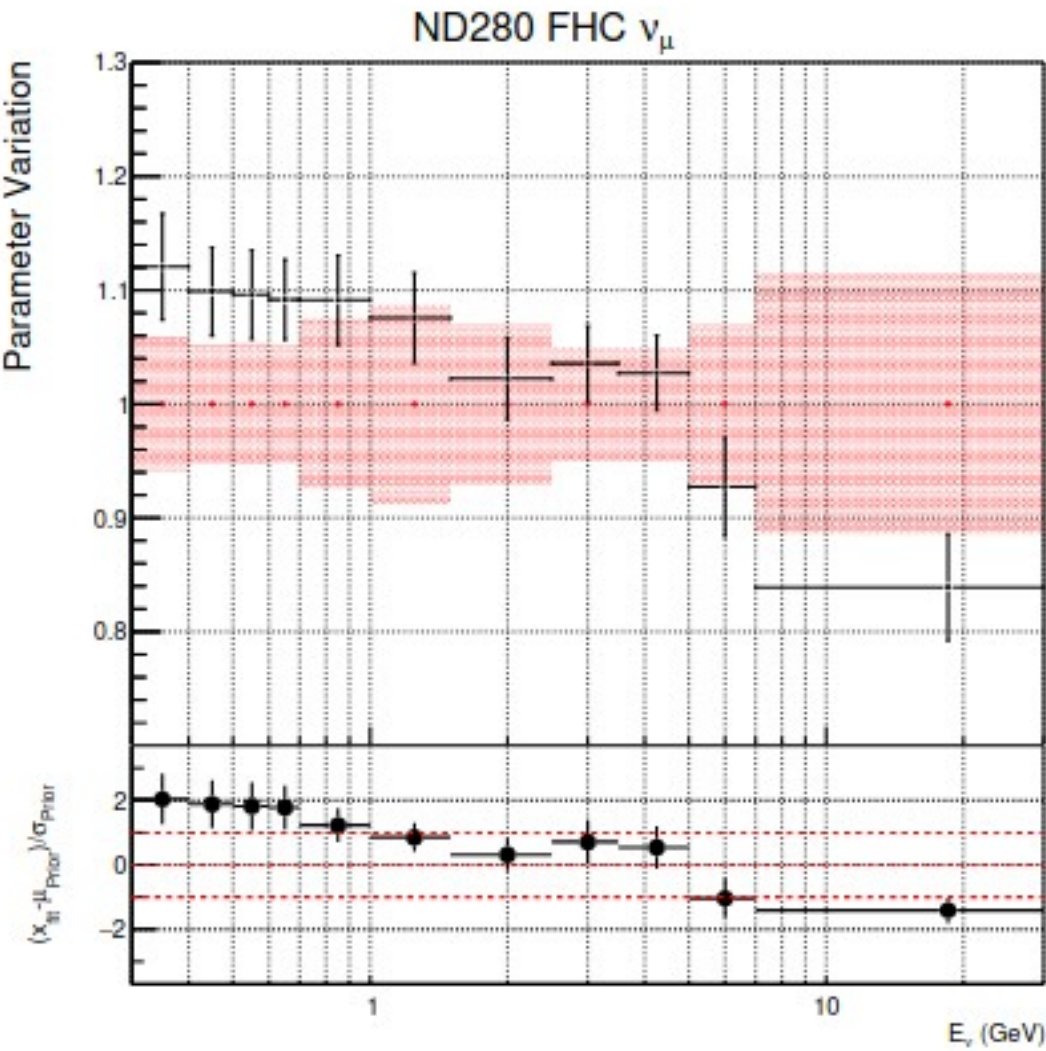
- Small intrinsic background to  $\nu_e$  appearance measurements (important for  $\delta_{CP}$  and MO).
- It can also be used to measure  $\nu_e$  xsec at the near detector (with limited statistics)

One useful feature is that low-energy  $\nu_e$  mostly come from muon and kaon (to pi0) decays so they do not follow the 3-body decay rule: different energy-angle dependence than  $\nu_\mu$  90

# Flux constraint from the ND

The ND measures the rate of neutrinos therefore it further constrain the flux

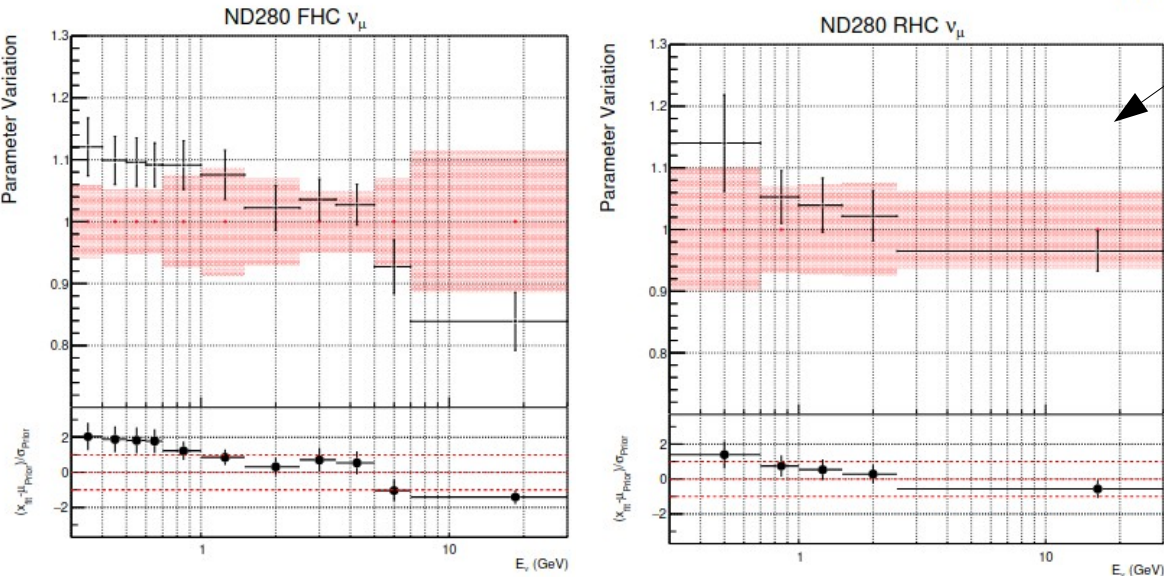
$$N_{\nu_\alpha}^{ND}(E_\nu) = \phi(E_\nu) \times \sigma(E_\nu) dE_\nu$$



# Flux constraint from the ND

The ND measures the rate of neutrinos therefore it further constrain the flux

$$N_{\nu_\alpha}^{ND}(E_\nu) = \phi(E_\nu) \times \sigma(E_\nu) dE_\nu$$

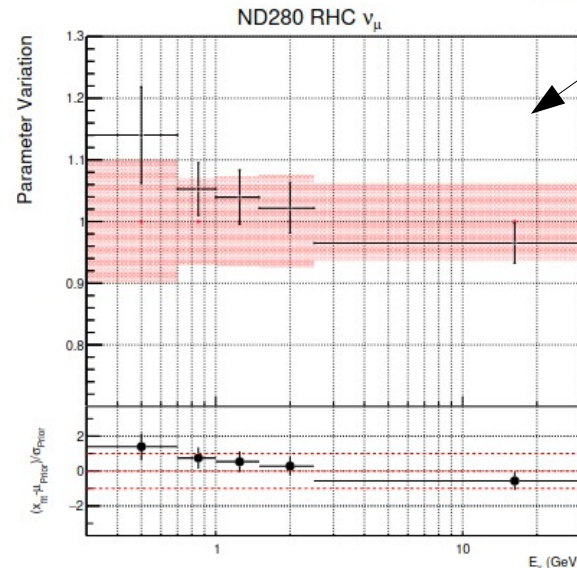
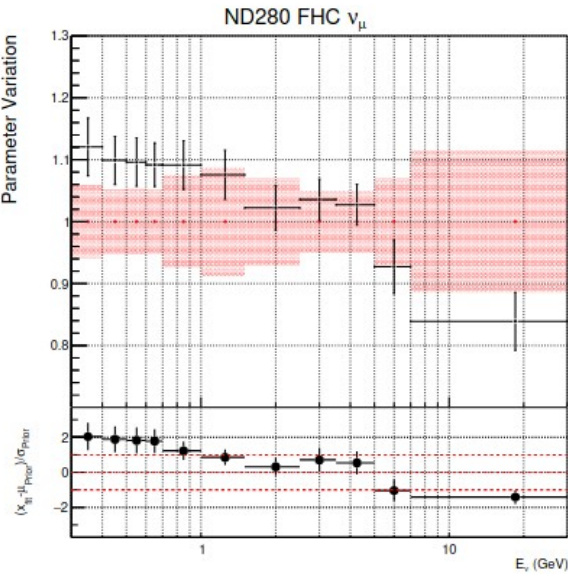




# Flux constraint from the ND

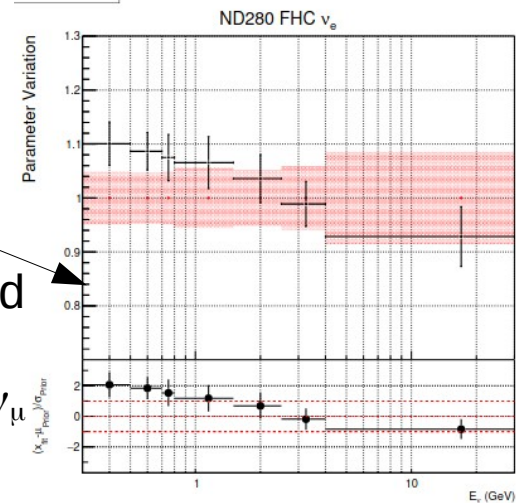
The ND measures the rate of neutrinos therefore it further constrain the flux

$$N_{\nu_\alpha}^{ND}(E_\nu) = \phi(E_\nu) \times \sigma(E_\nu) dE_\nu$$



ND280  
magnetized  
→ measurement  
of wrong sign  
background

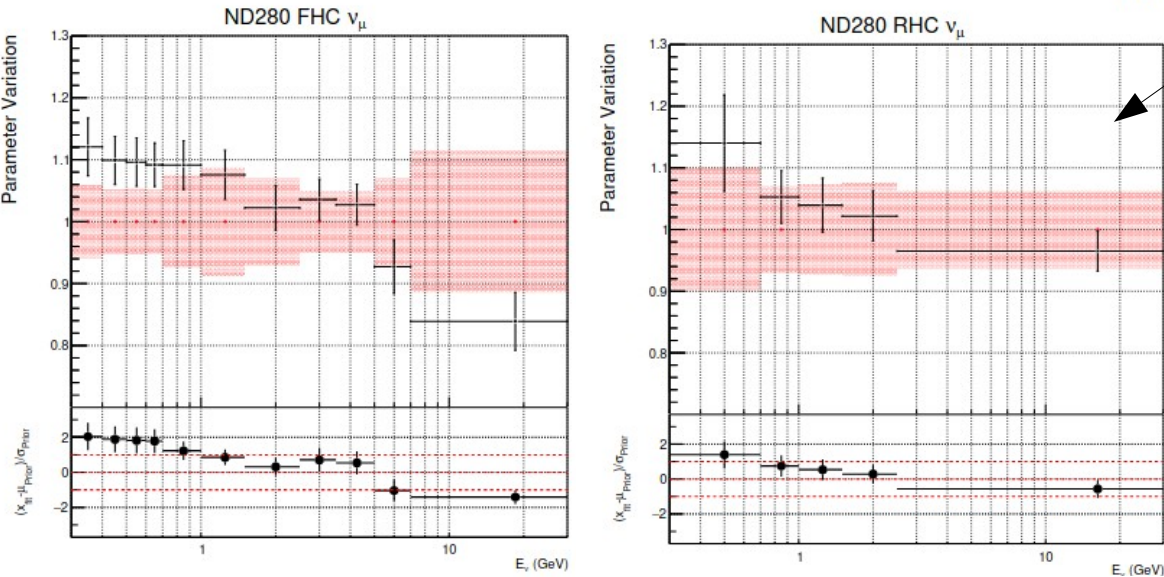
Low intrinsic  $\nu_e$   
stat → constrained  
only through  
correlations with  $\nu_\mu$



# Flux constraint from the ND

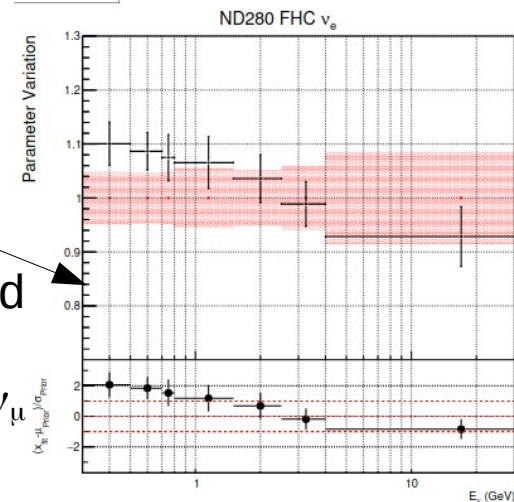
The ND measures the rate of neutrinos therefore it further constrain the flux

$$N_{\nu_\alpha}^{ND}(E_\nu) = \phi(E_\nu) \times \sigma(E_\nu) dE_\nu$$



ND280 magnetized  
→ measurement of wrong sign background

Low intrinsic  $\nu_e$  stat → constrained only through correlations with  $\nu_\mu$



	Pre- ND fit	Post- ND fit
flux	~5%	~2.8-3.0%
cross-section	~10-15%	~3.5-3.8%
flux+xsec		~2.6-2.8%
Total (+ xsec not accessible at ND, SK detector)	~17%	~3.5-5%

- Today xsec uncertainties dominate before the fit

- strong anticorrelation between flux and xsec

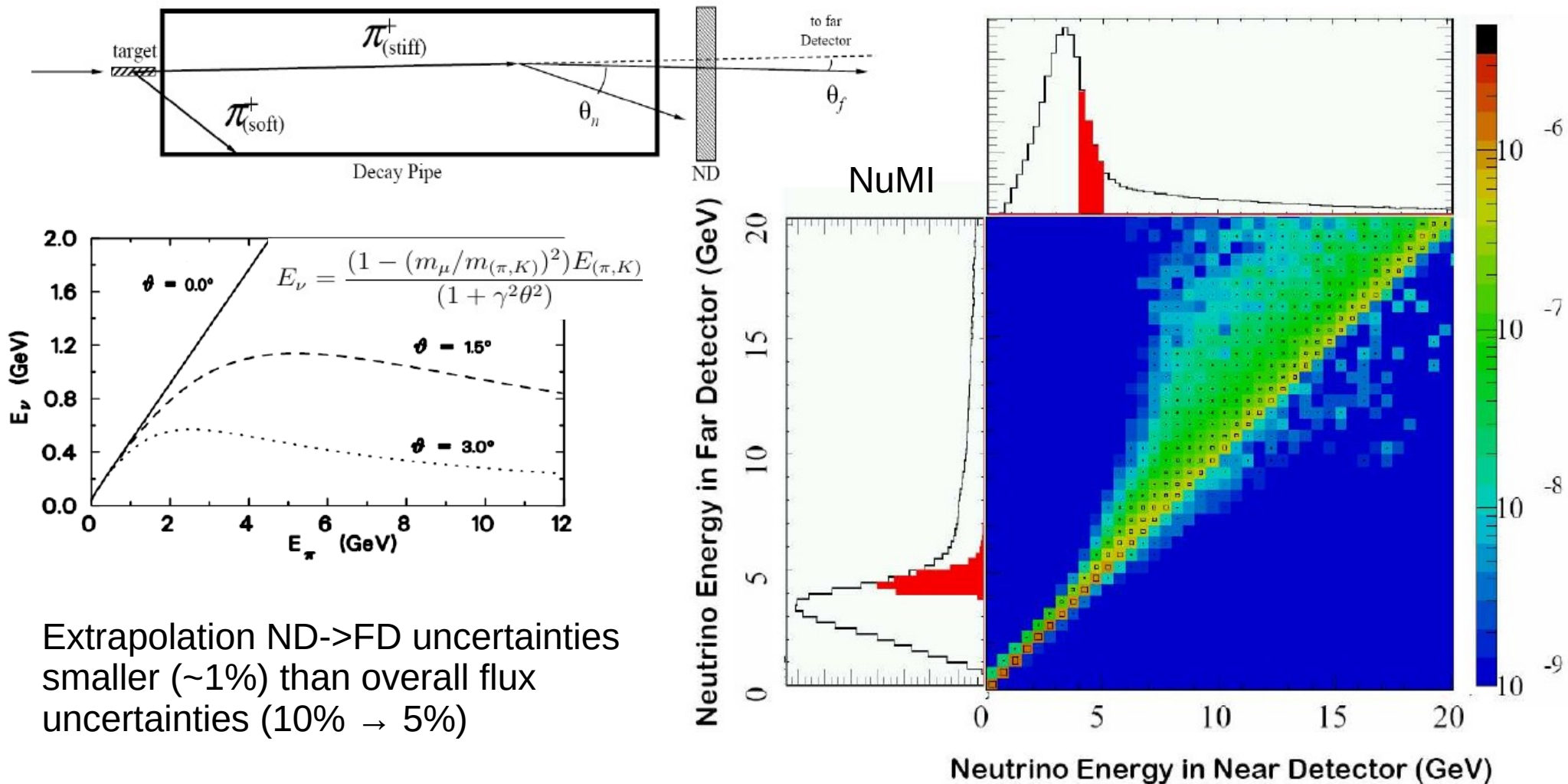
(would be 5-10% if uncorrelated)

- flux\*xsec constitutes ~50% of the final systematic error budget

# From ND to FD flux extrapolation

$$\frac{N_{\nu_{\alpha'}}^{FD}(E_{\nu})}{N_{\nu_{\alpha}}^{ND}(E_{\nu})} \approx P_{\nu_{\alpha} \rightarrow \nu_{\alpha'}}(E_{\nu}) \times \boxed{\frac{\phi_{\nu_{\alpha'}}^{FD}(E_{\nu})}{\phi_{\nu_{\alpha}}^{ND}(E_{\nu})}} \times \frac{\sigma_{\nu_{\alpha'}}^{FD}(E_{\nu})}{\sigma_{\nu_{\alpha}}^{ND}(E_{\nu})}$$

Different acceptance of pion angles  $\rightarrow$  different neutrino energies for same pion kinematics

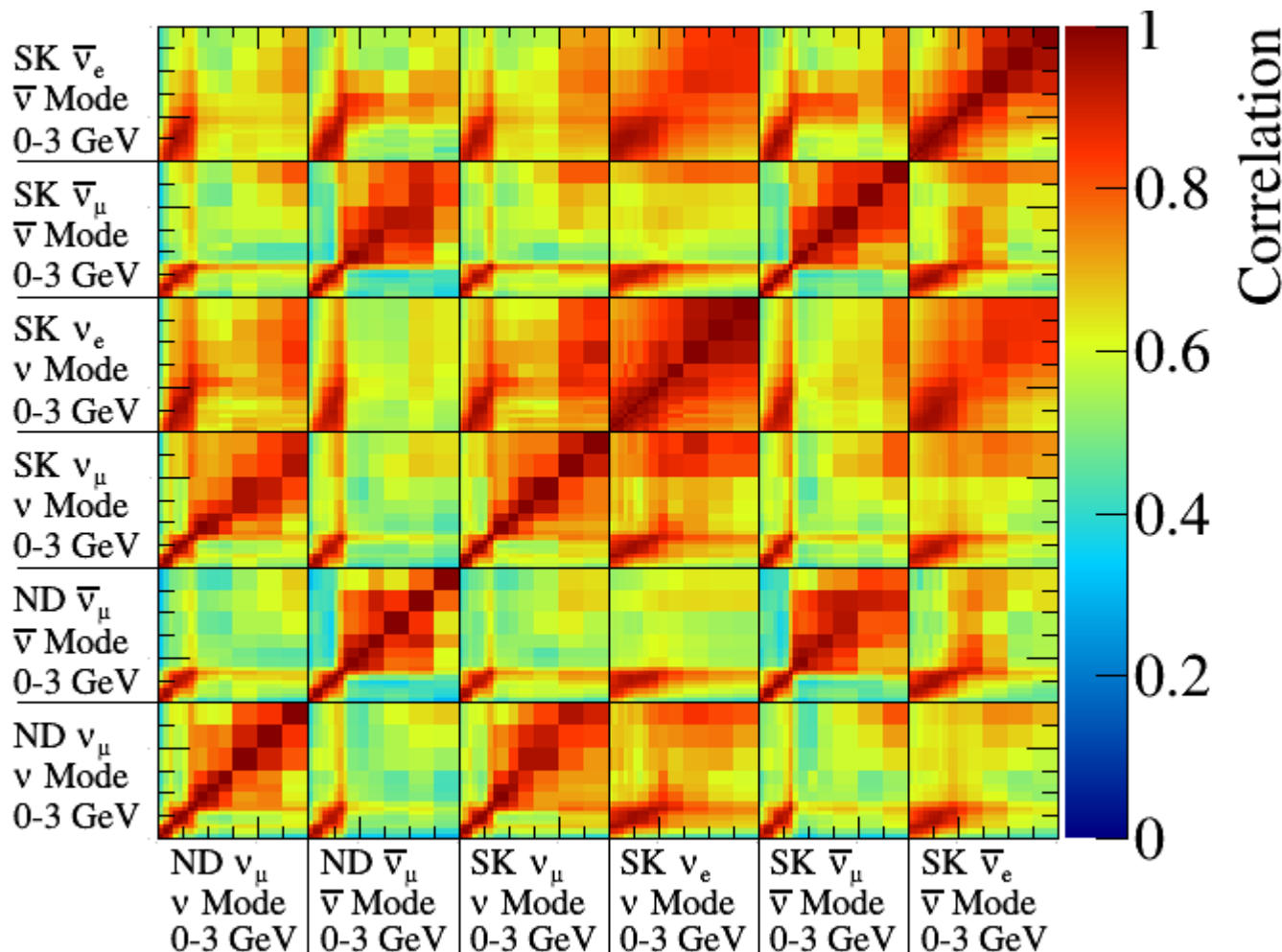


# Flux correlations

Flux Correlations

$$\rho = \frac{\sigma_{cov.ij}^2}{\sigma_i \sigma_j} = \frac{\sum_{i,j} (f_i - \langle f_i \rangle)(f_j - \langle f_j \rangle)}{\sqrt{\sum_i (f_i - \langle f_i \rangle)^2 \sum_j (f_j - \langle f_j \rangle)^2}}$$

T2K



- **large correlation between ND and SK fluxes**
- Large correlations between different bins in the same 'mode' → **flux uncertainty is to large extent an overall normalization** (shape uncertainties are smaller)
- **Correlations between different modes and neutrino flavors:** (to a certain extent) we can use  $\nu_\mu$  data to constrain  $\bar{\nu}_\mu$  or  $\nu_e$  fluxes



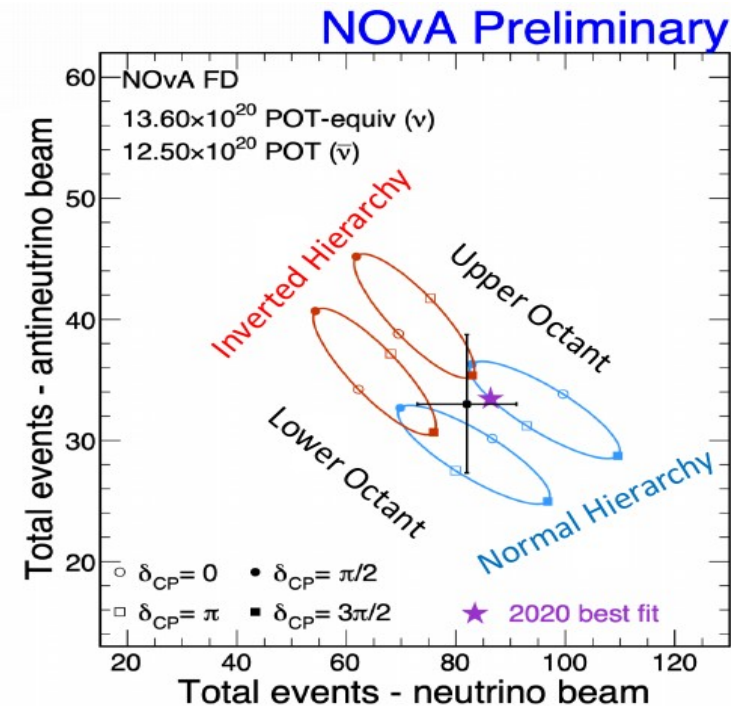
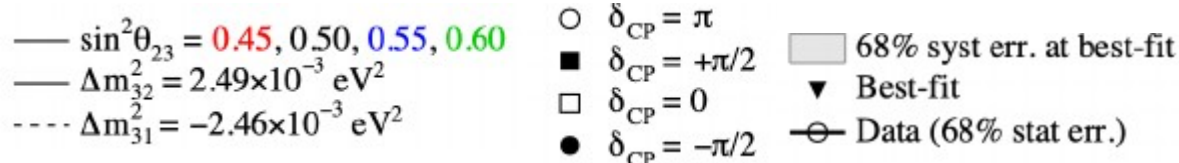
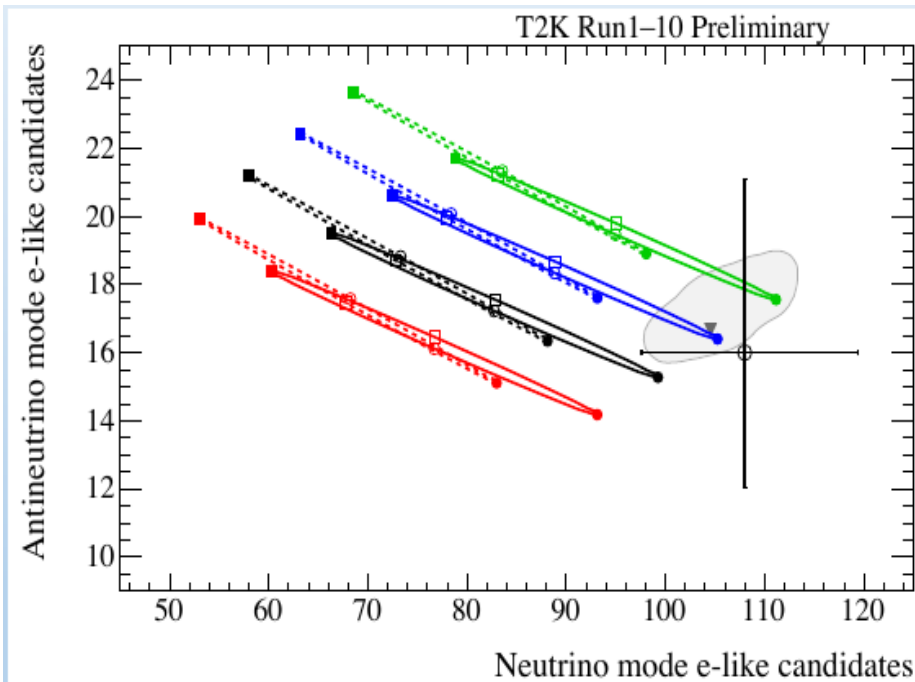
# $\nu_e/\bar{\nu}_e$ appearance: MH, $\delta_{CP}$

Experiment	CP asymmetry	Mass Hierarchy
T2K (T2HK)	$\sim 30\%$	$\sim 10\%$
Nova	$\sim 30\%$	$\sim 30\%$

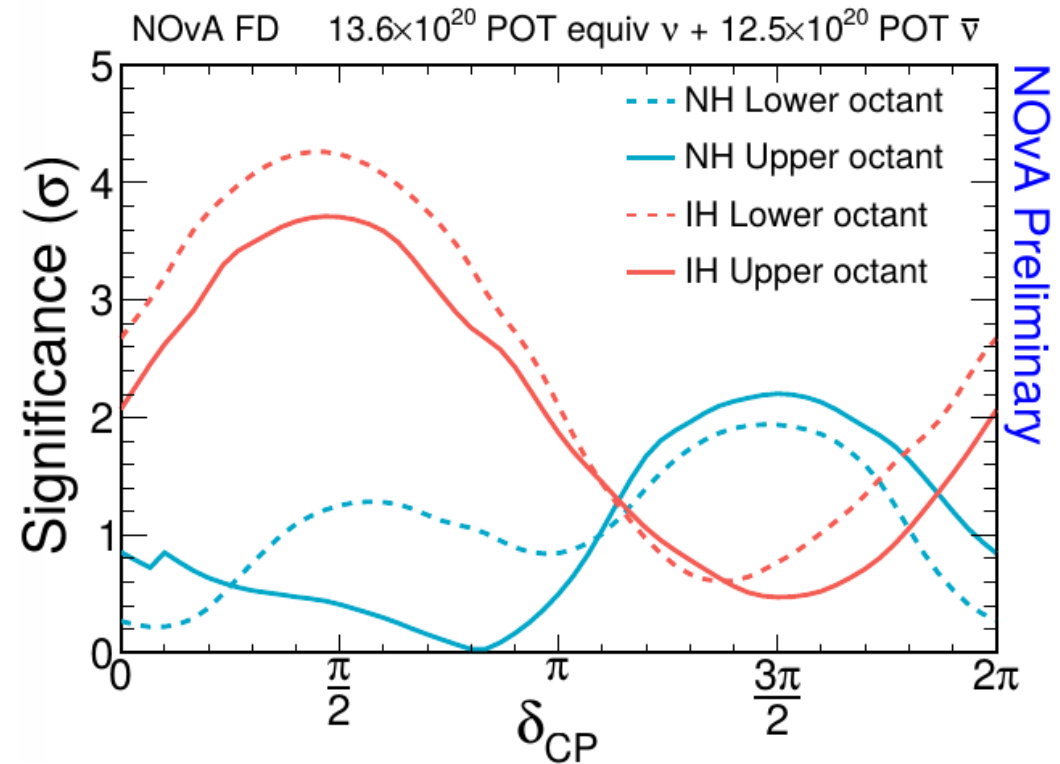
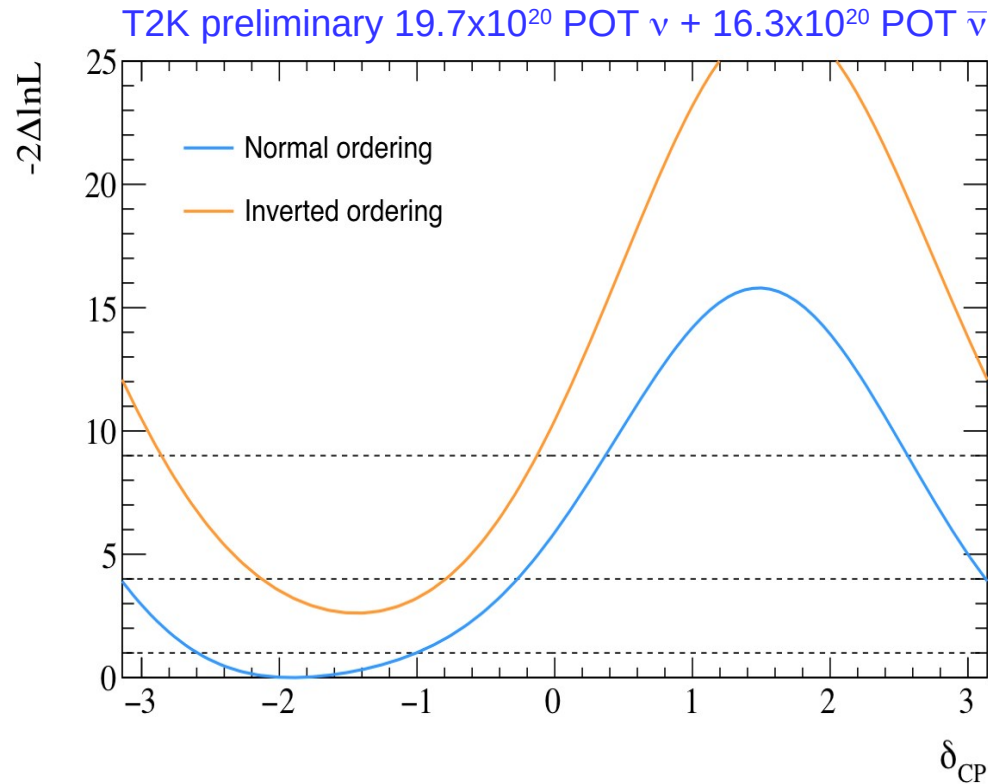
- **T2K: clean  $\delta_{CP}$  measurement with small MH sensitivity**

- **NOVA: degenerate  $\delta_{CP}$  and MH:**  
( $\delta_{CP} 3\pi/2$  and IH =  $\delta_{CP} \pi/2$  and NH)

*Using 2020 results in the following (2022 improved analyses confirmed the situation)*



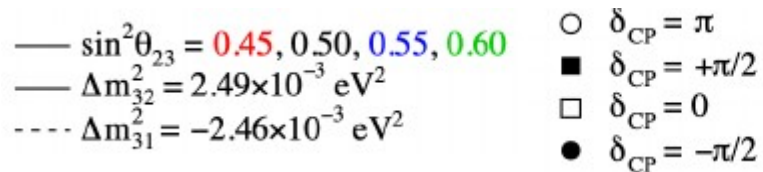
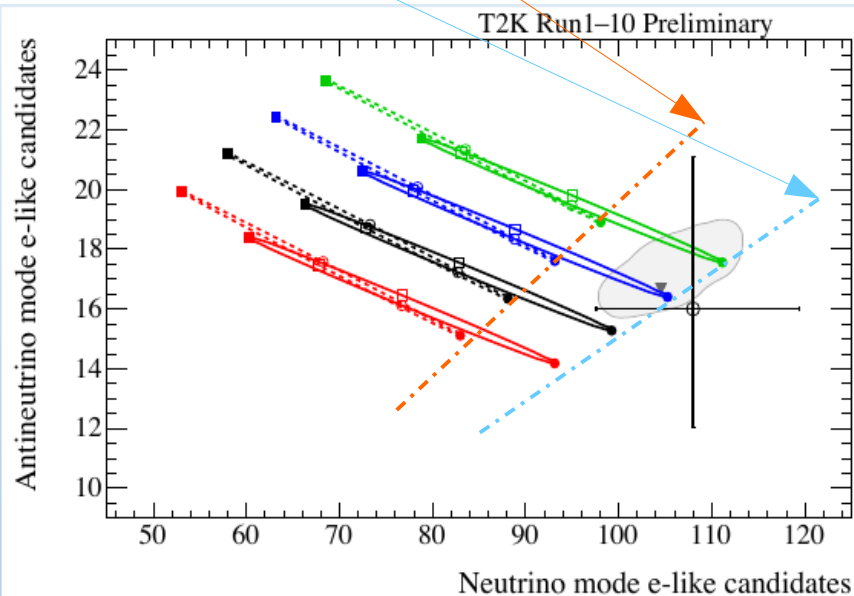
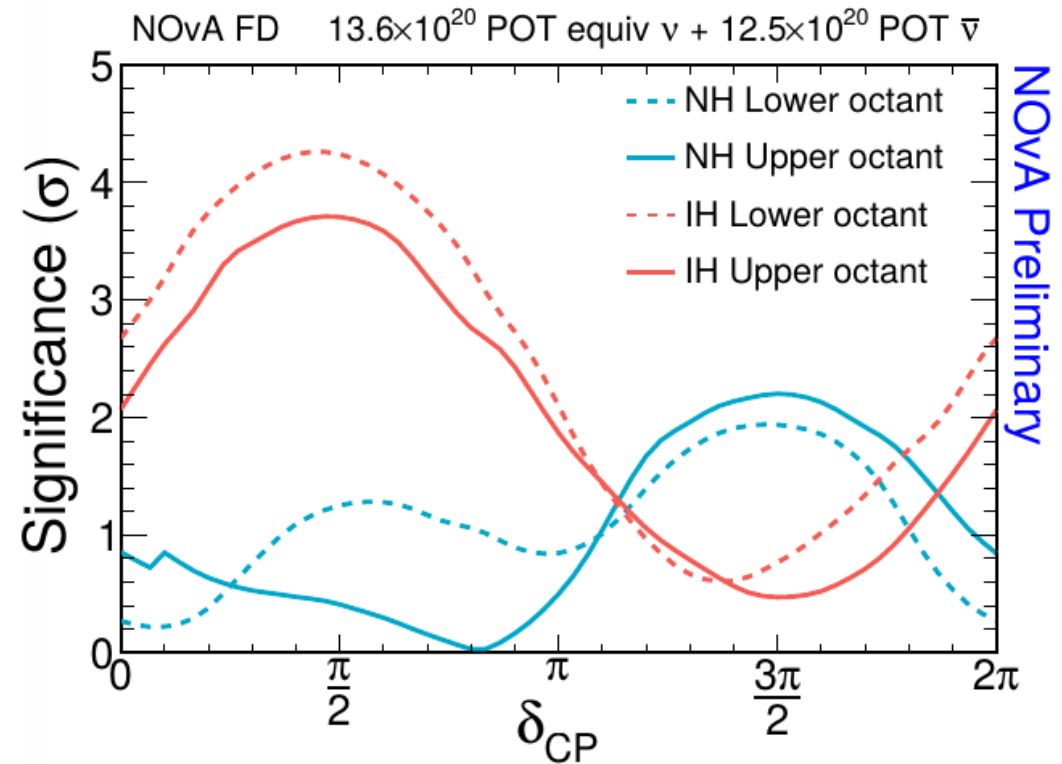
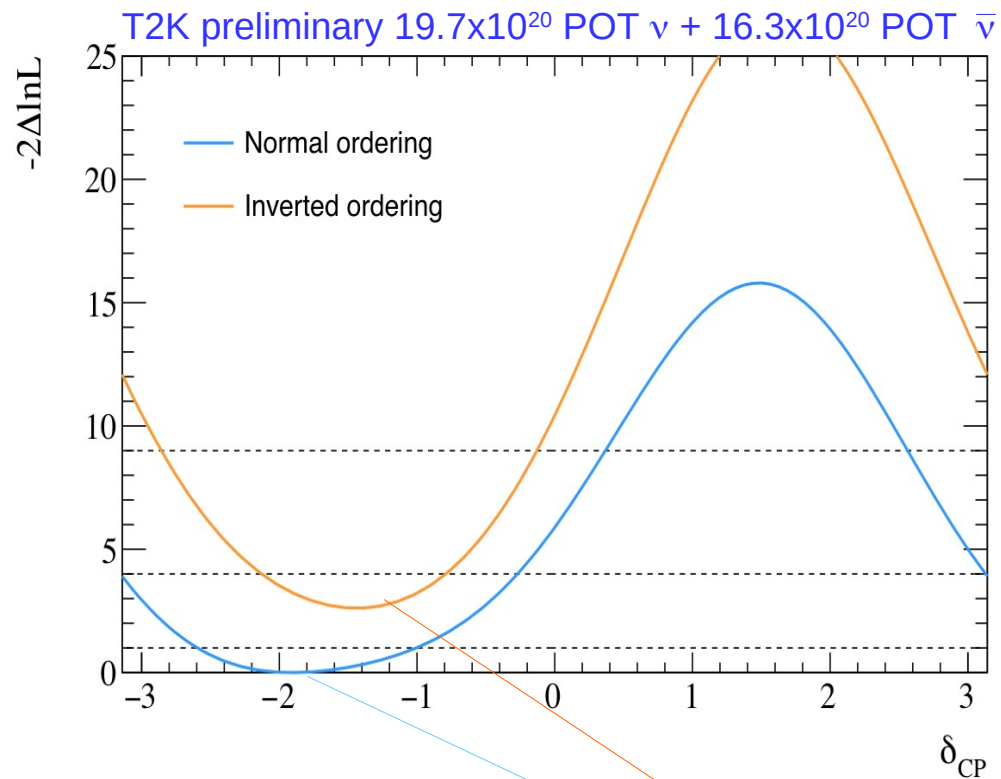
# Results



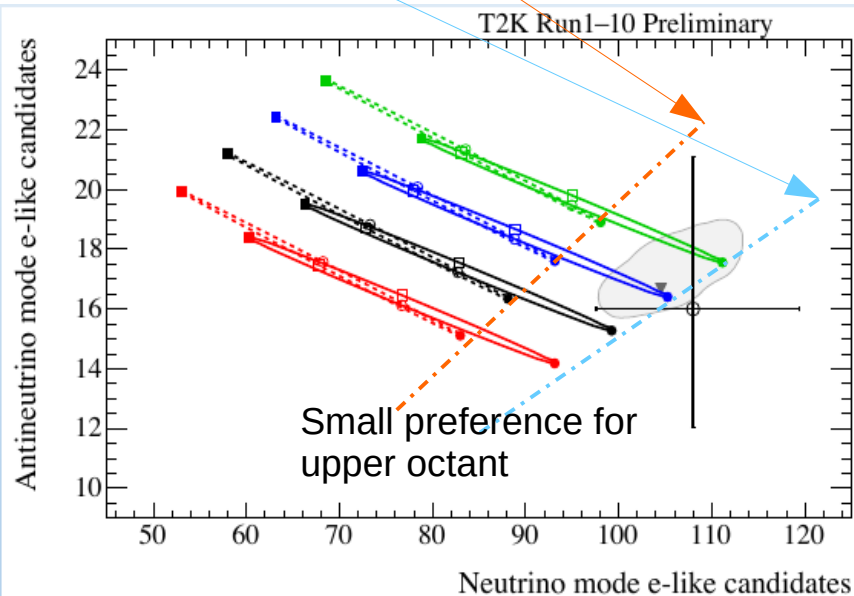
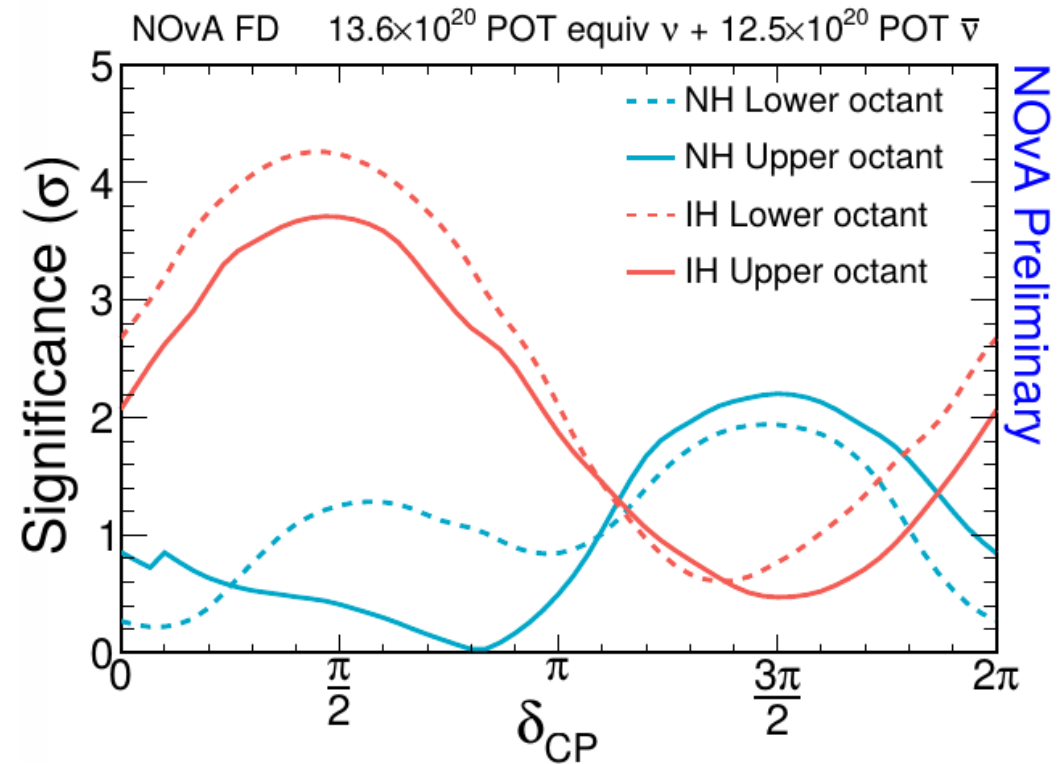
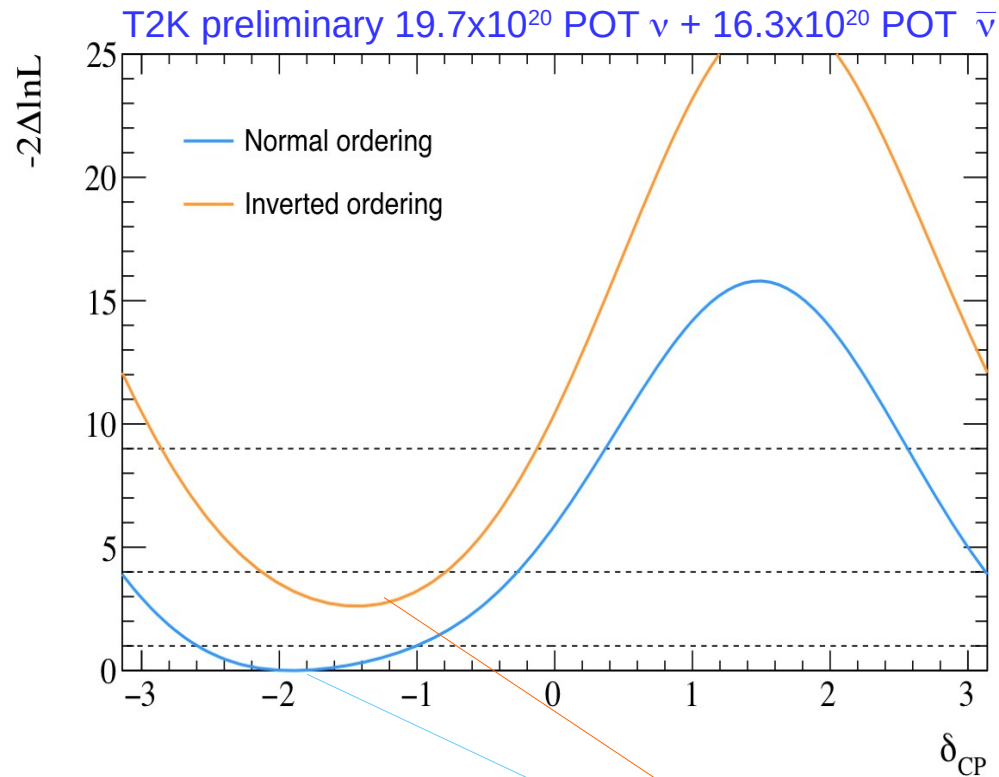
- Large **region disfavoured at  $3\sigma$**  (T2K Nature cover in 2020). And for T2K even some region at  $5\sigma$  but precision of statistical treatment will be discussed later.
- Similar region disfavoured at T2K for NH and IH, while  $3\sigma$  exclusion in NOvA only for IO



# Results

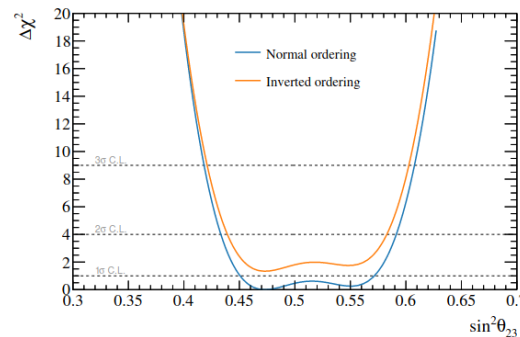


# Results

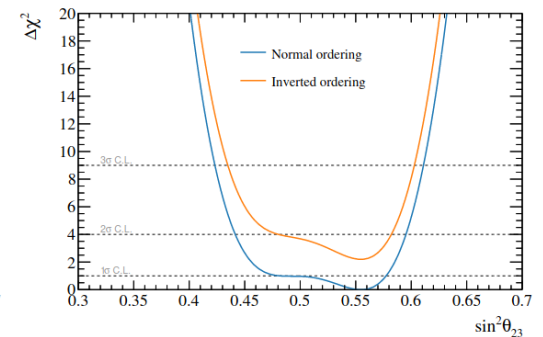


—  $\sin^2 \theta_{23} = 0.45, 0.50, 0.55, 0.60$   
—  $\Delta m_{32}^2 = 2.49 \times 10^{-3} \text{ eV}^2$   
---  $\Delta m_{31}^2 = -2.46 \times 10^{-3} \text{ eV}^2$

○  $\delta_{CP} = \pi$   
■  $\delta_{CP} = +\pi/2$   
□  $\delta_{CP} = 0$   
●  $\delta_{CP} = -\pi/2$

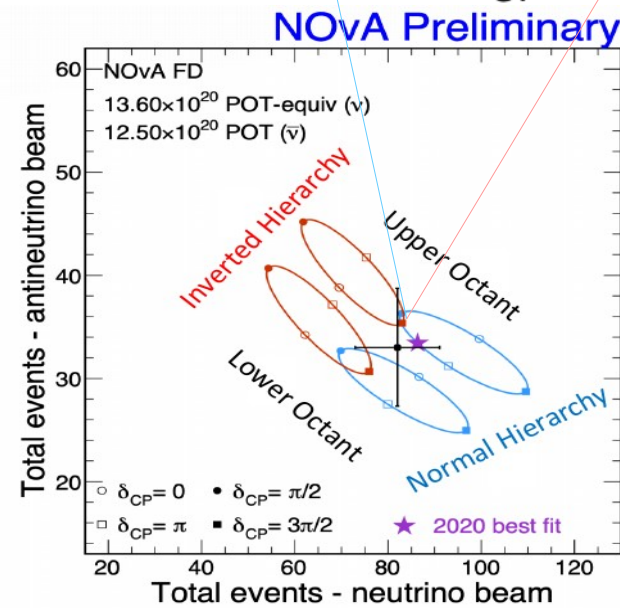
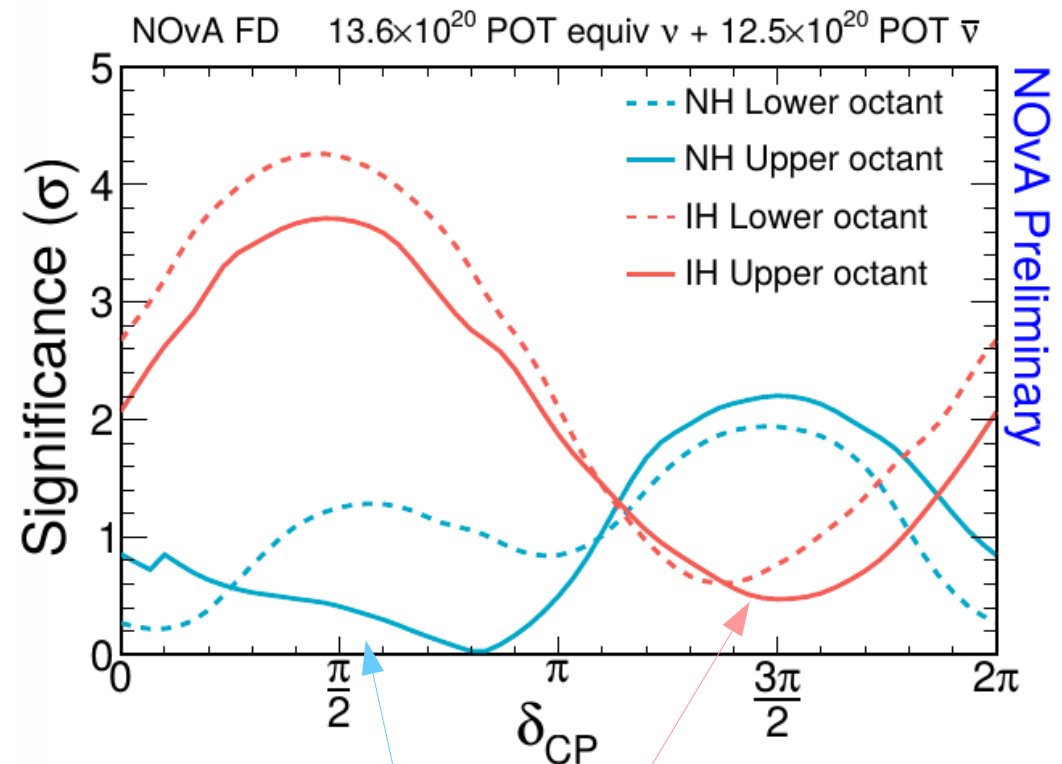
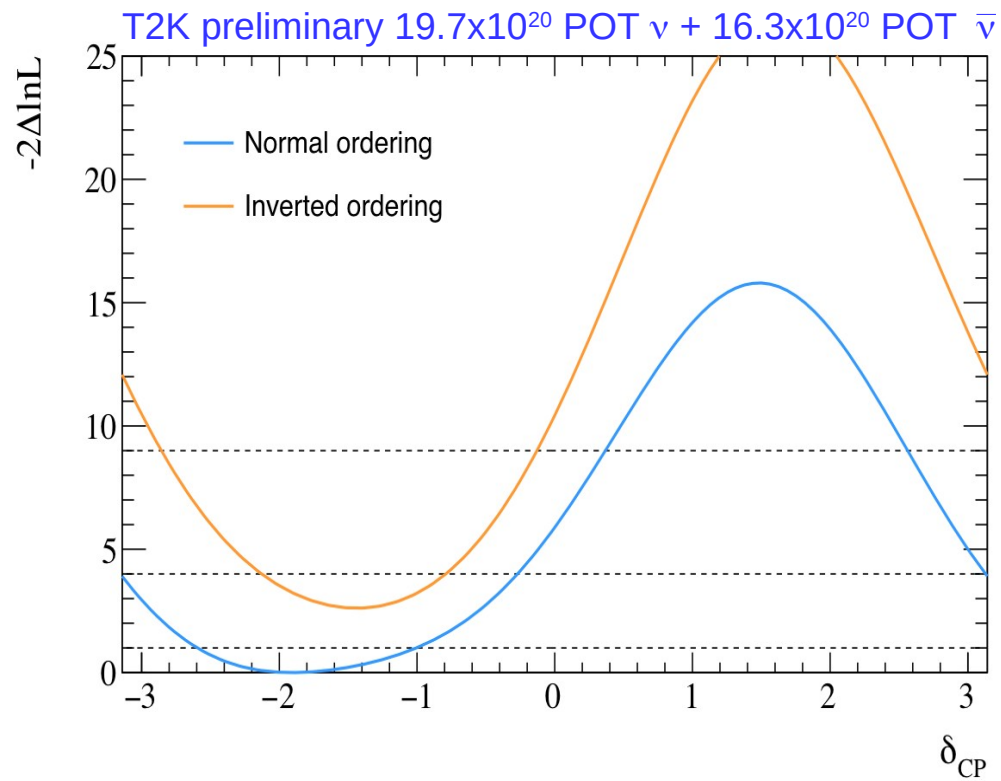


(a) T2K only

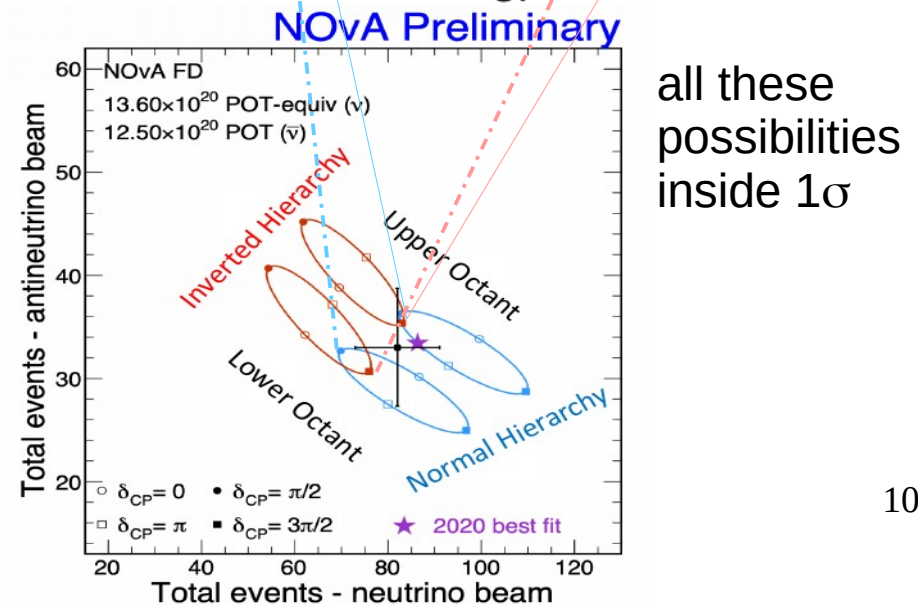
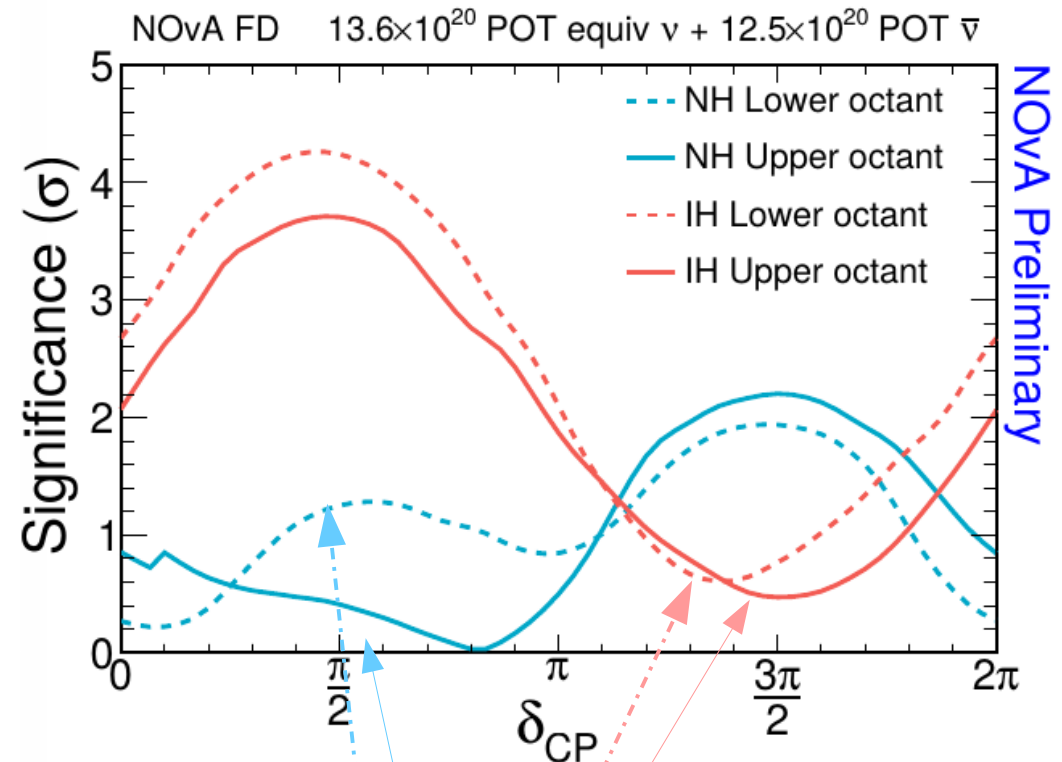
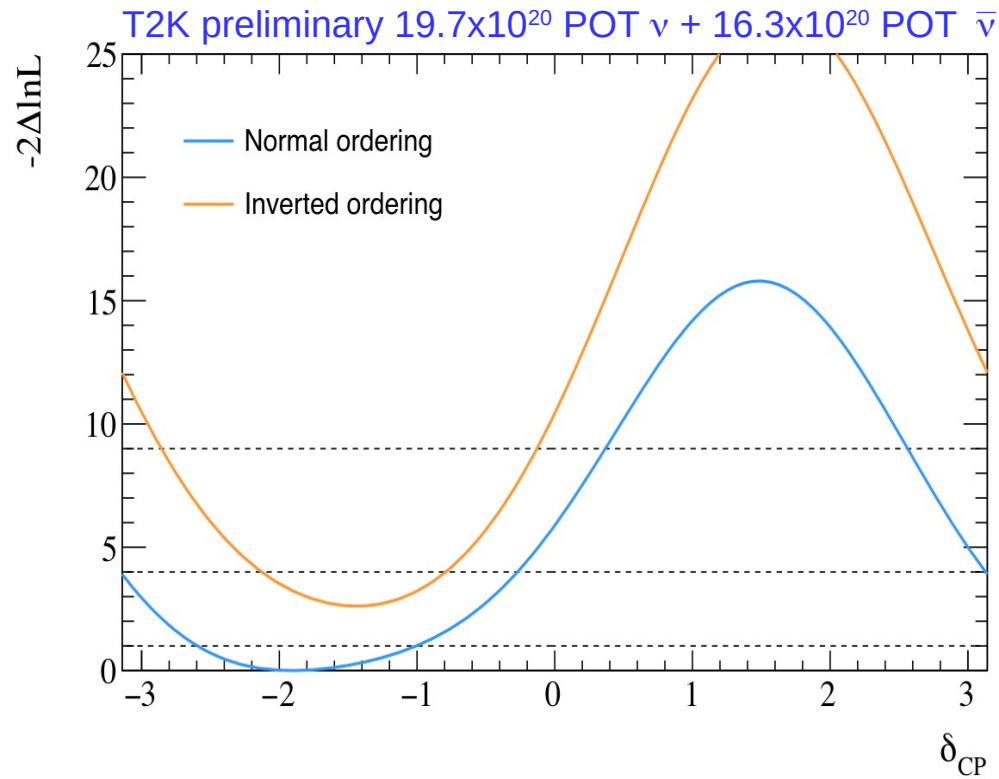


(b) T2K + reactor

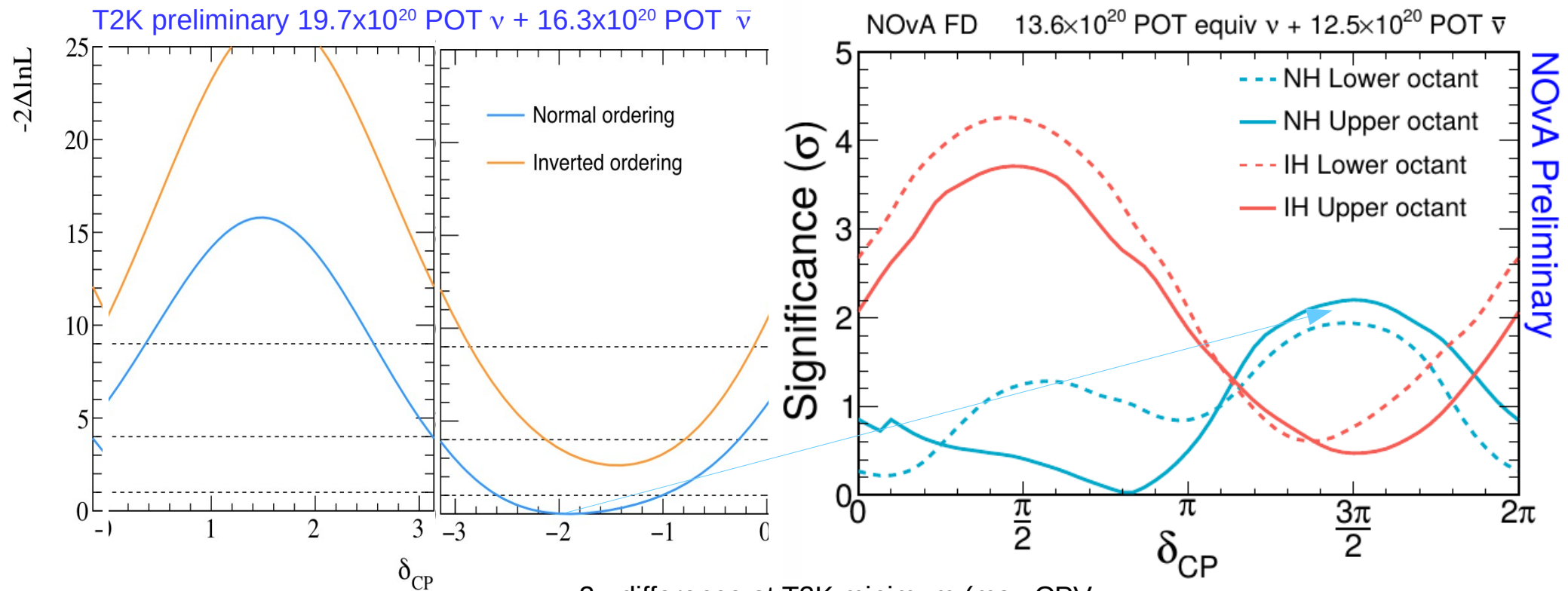
# Results



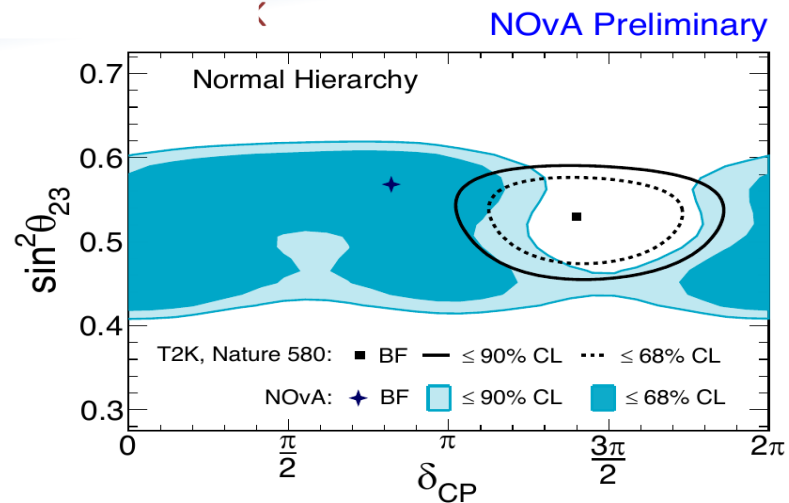
# Results



# Results



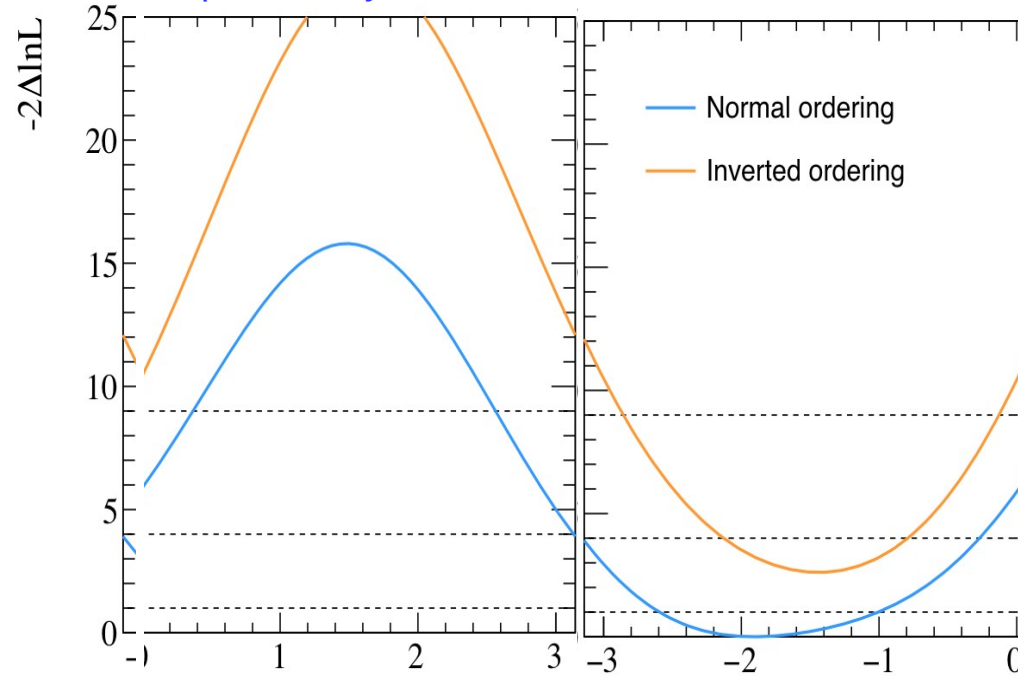
$2\sigma$  difference at T2K minimum (max CPV, NH) but still common regions at  $1\sigma$



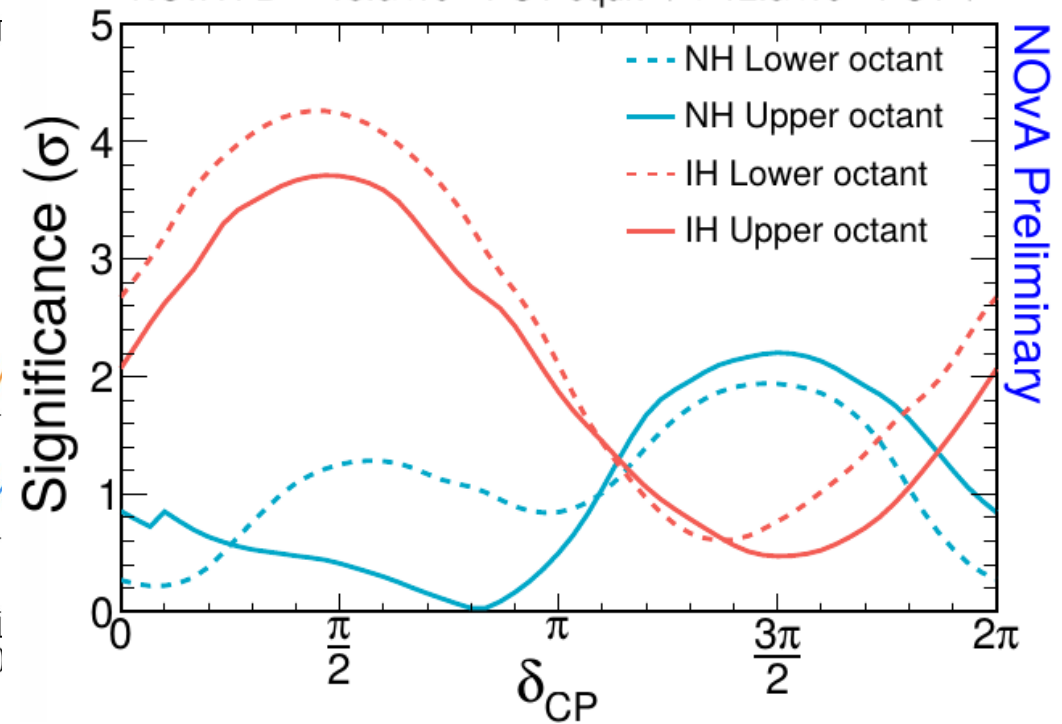


# Results

T2K preliminary  $19.7 \times 10^{20}$  POT  $\nu$  +  $16.3 \times 10^{20}$  POT  $\bar{\nu}$

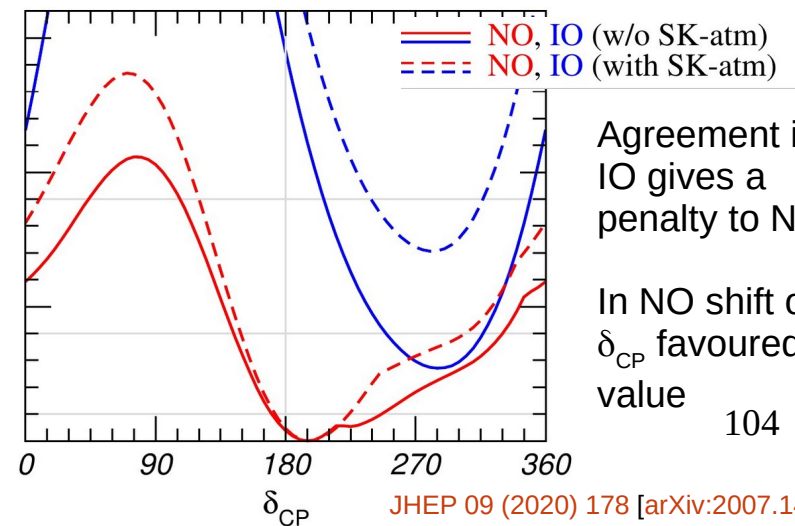
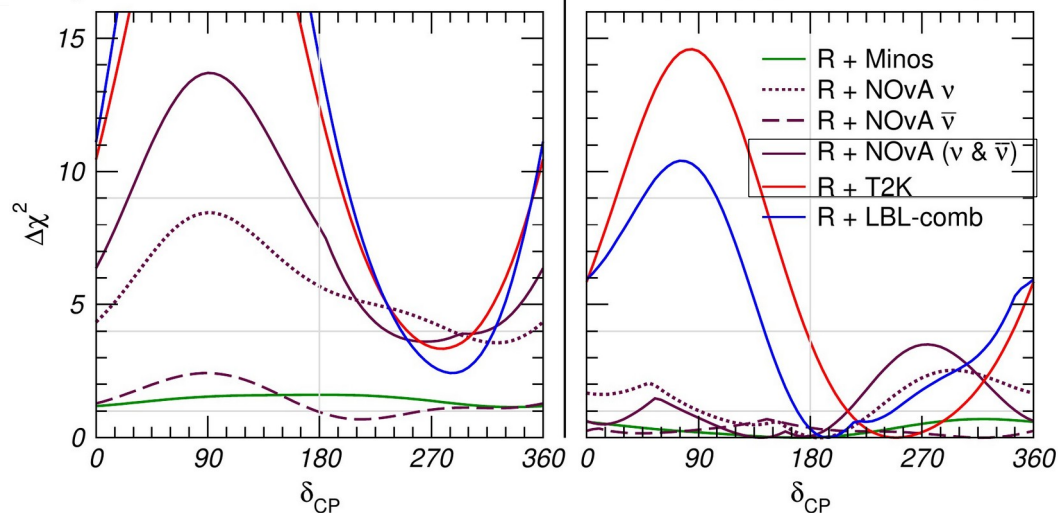


NOvA FD  $13.6 \times 10^{20}$  POT equiv  $\nu$  +  $12.5 \times 10^{20}$  POT  $\bar{\nu}$



NuFIT 5.0 (2020)

IO | NO



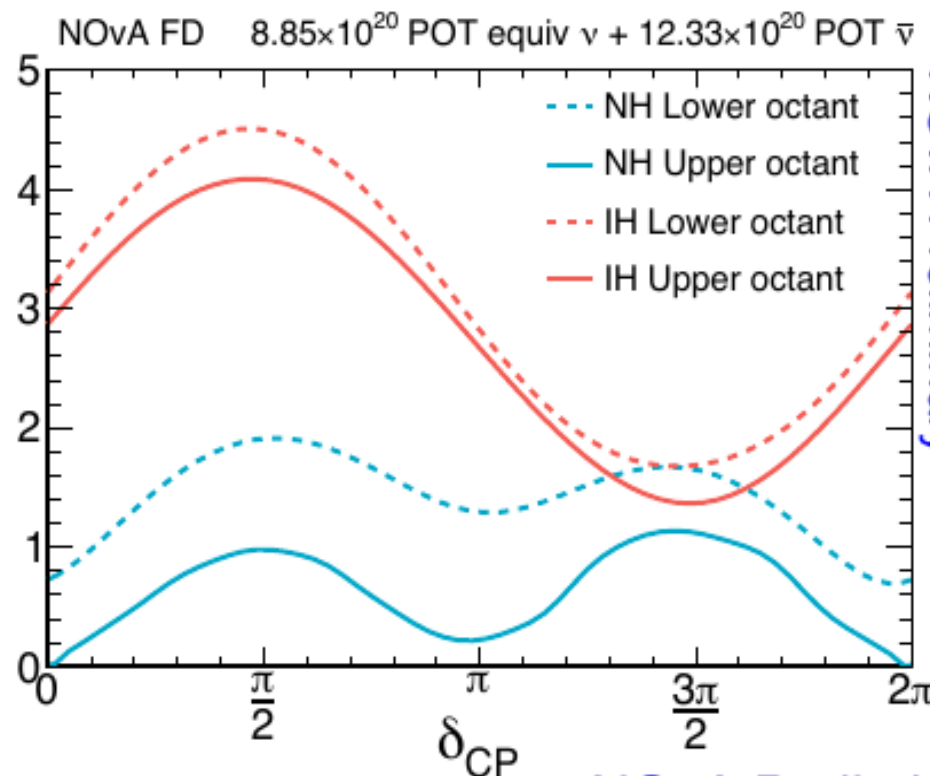
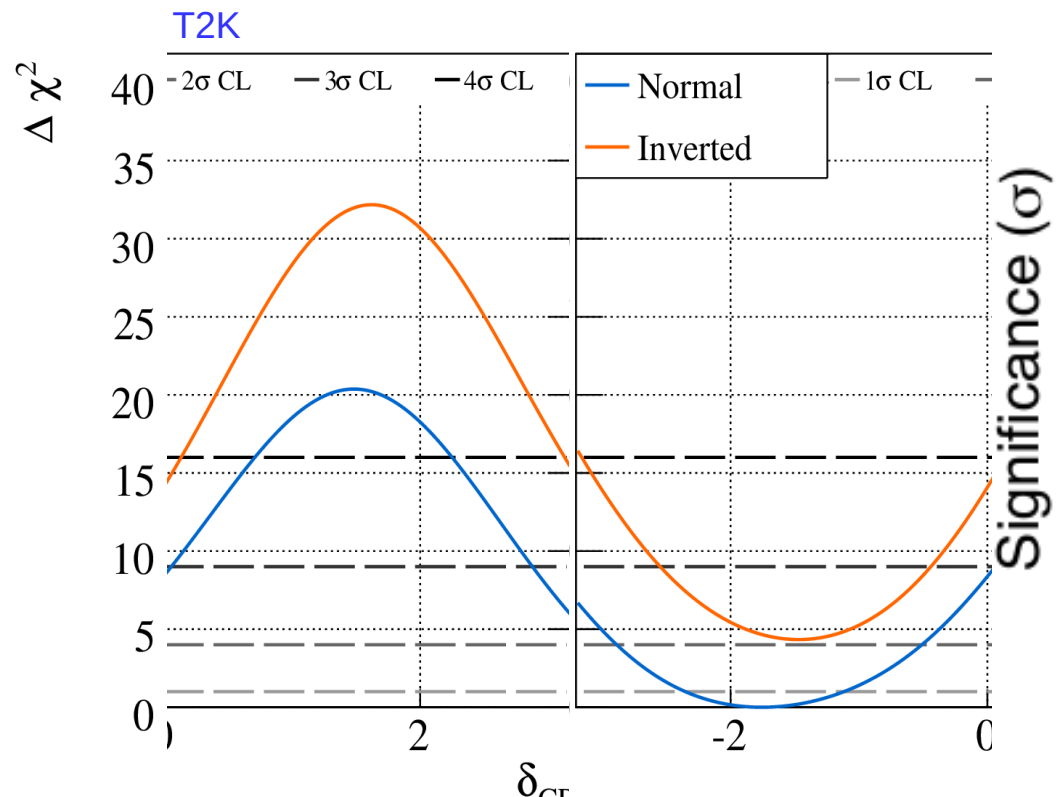
Agreement in IO gives a penalty to NO

In NO shift on  $\delta_{CP}$  favoured value

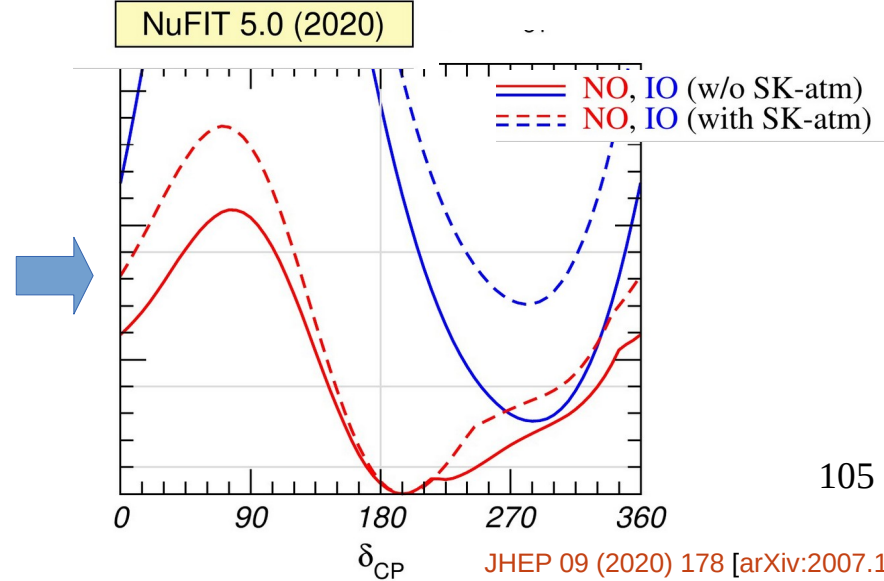
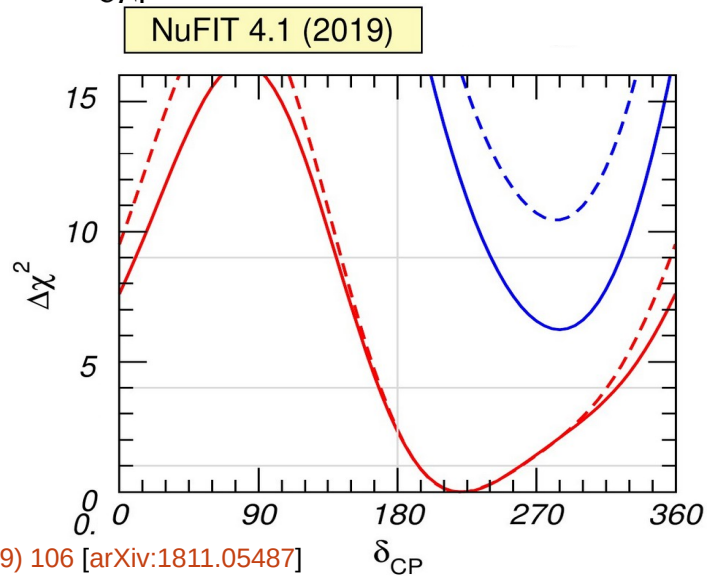
104



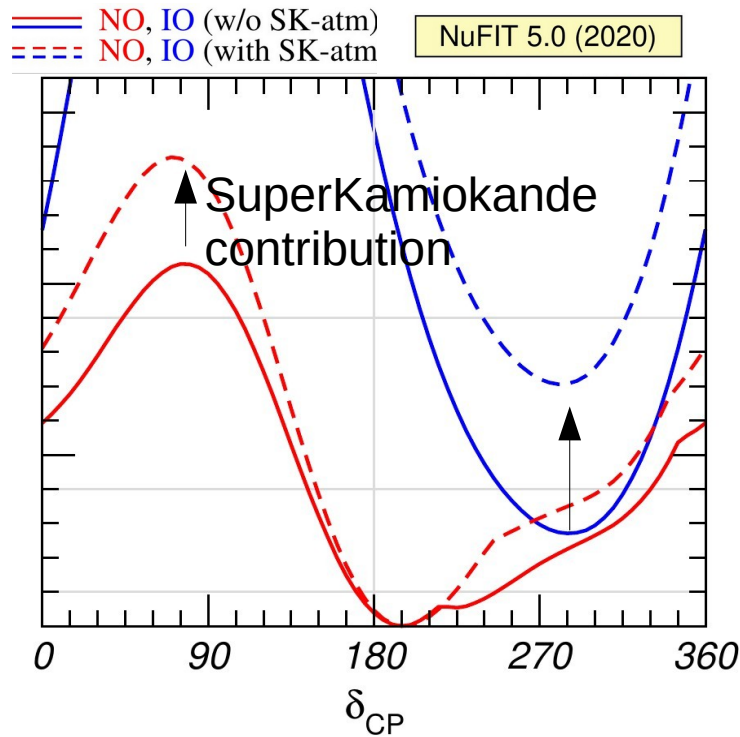
# Results 2019 → 2020



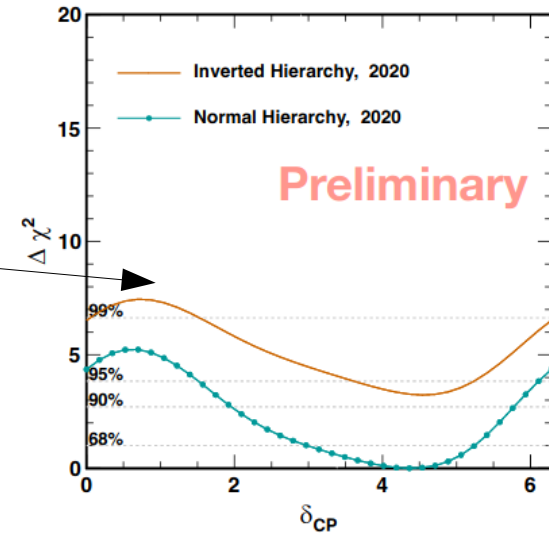
Something similar already visible in previous round of results



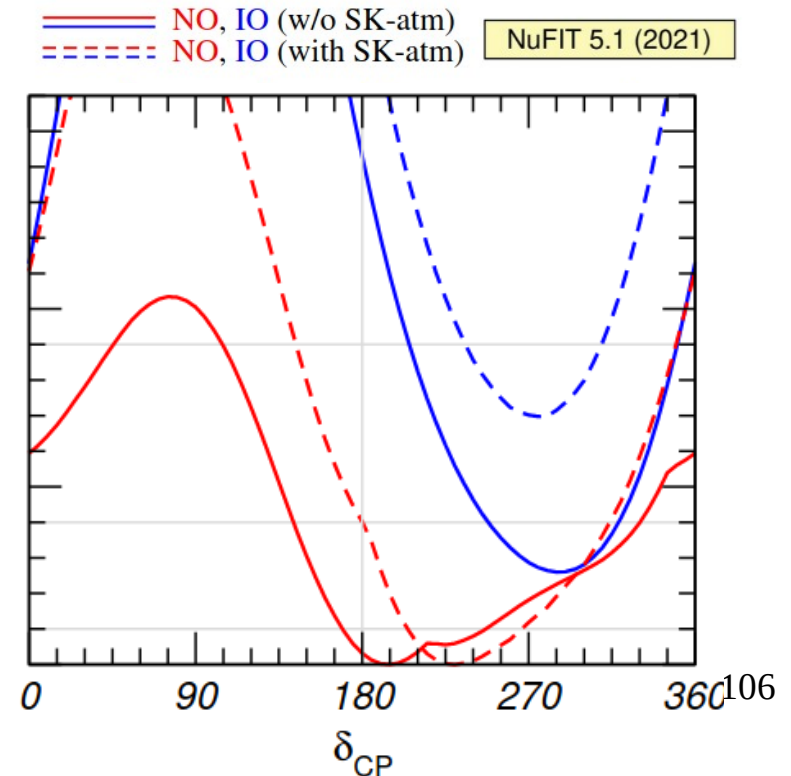
# Mass Hierarchy



NuFit 5.0 updated with SK I-IV analysis presented at Neutrino 2020

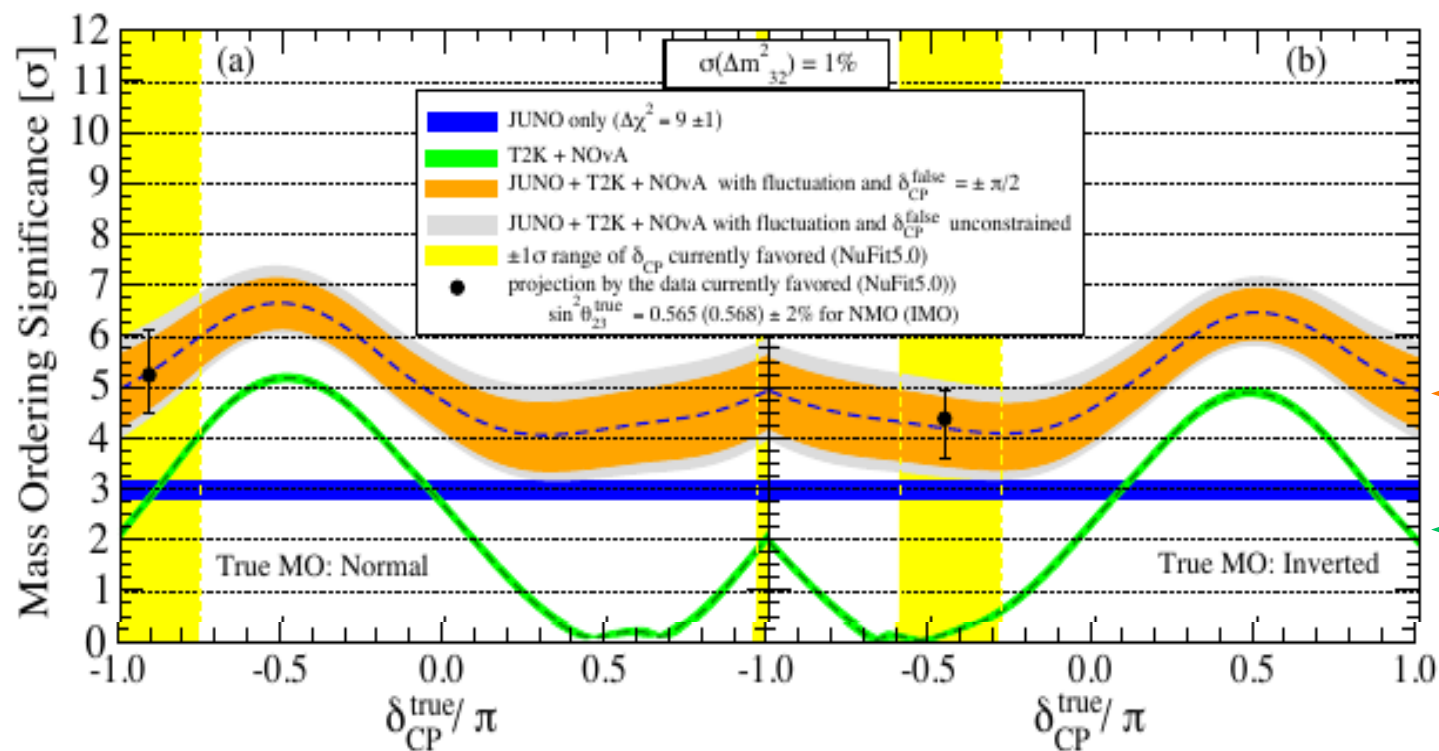


- Before 2020 NO favoured ( $\Delta\chi^2=10.4 > 3\sigma$ )
- Lost some NO significance due to T2K-NOVA mild tension in 2020 ( $\Delta\chi^2=7.1$ )
- **MO sensitivity dominated by SK**
  - shift best  $\delta_{CP}$  in combination with T2K+NOVA
  - CP conservation disfavoured at  $\sim 2\sigma$



# Combinations for MH: prospects

Very bright prospects for the future (and still not including SuperKamiokande!):



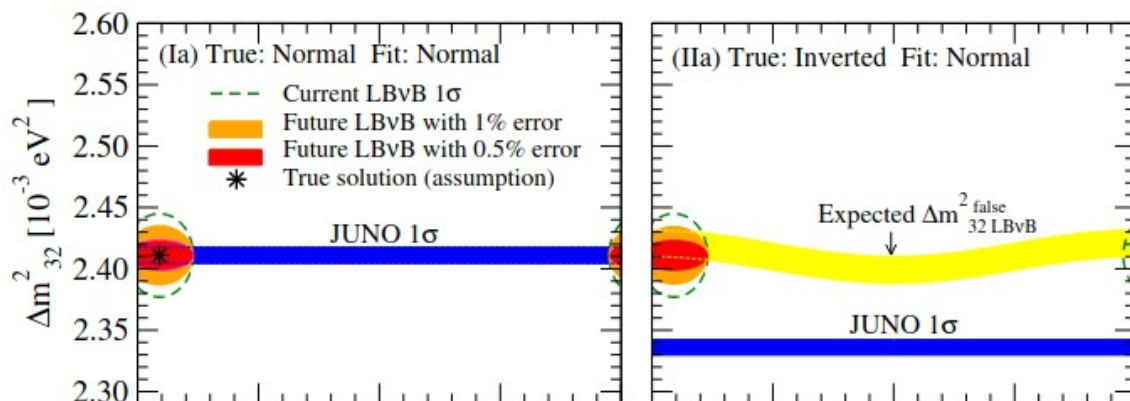
<https://arxiv.org/pdf/2008.11280.pdf>

← T2K+NOVA+JUNO

← T2K+NOVA

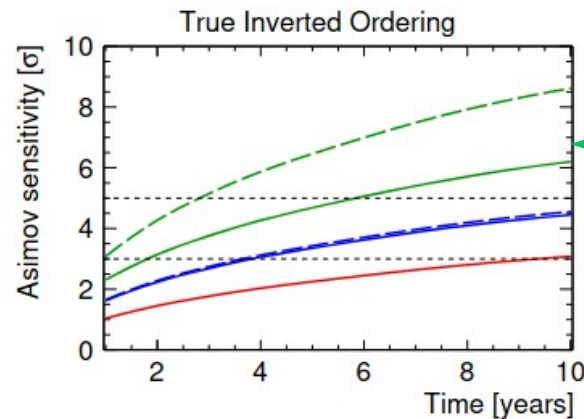
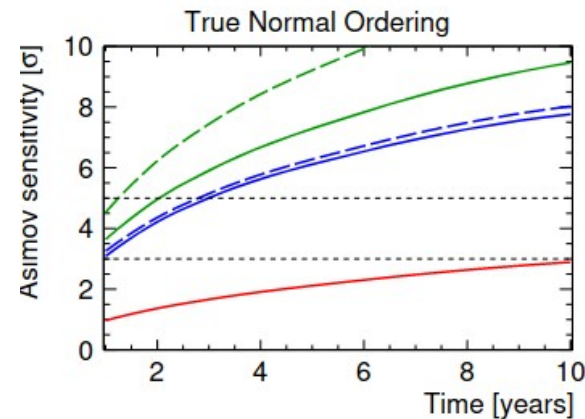
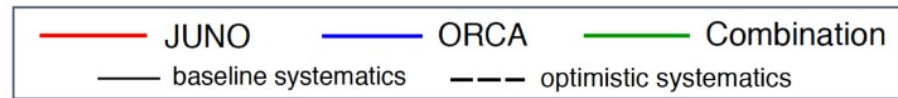
Boost of sensitivity from  $|\Delta m_{31(32)}^2|$  discrepancy (for wrong mass hierarchy) between  $\nu_e$  (JUNO) and  $\nu_\mu$  (LBL) disappearance  $\rightarrow \sim 2\%$

Importance of precise  $|\Delta m_{31(32)}^2|$  measurement in LBL experiments!  
 $\rightarrow$  challenging target  $< 1\%$



# Combinations for MH: prospects

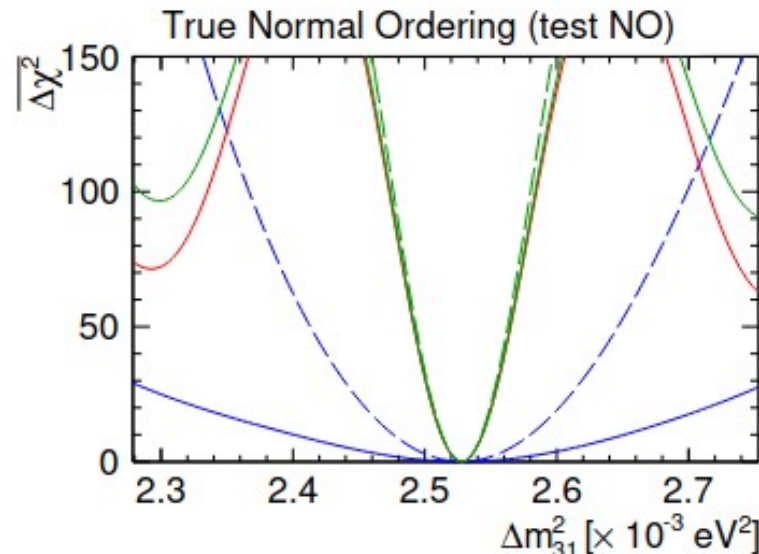
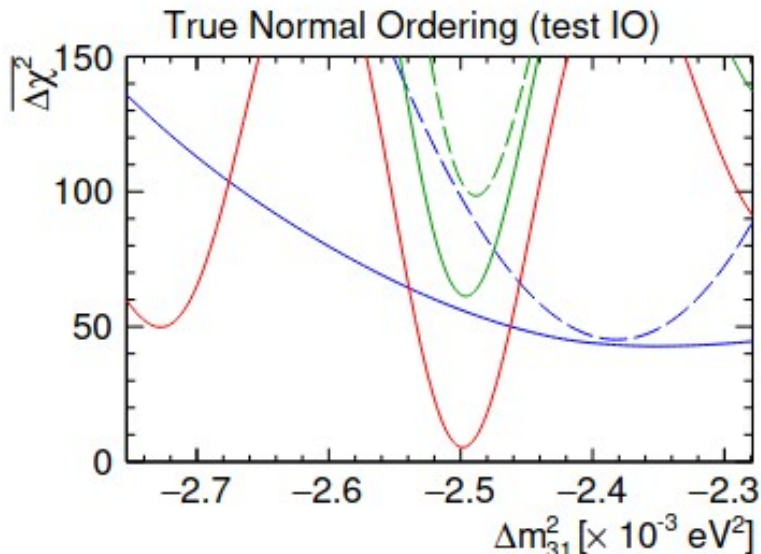
Further combination including ORCA (missing NOVA, T2K and SuperKamiokande):



← JUNO+ORCA

<https://arxiv.org/pdf/2107.00344.pdf>

Large boost of sensitivity from  $|\Delta m_{31(32)}^2|$  discrepancy (for wrong mass hierarchy) between  $\nu_e$  (JUNO) and  $\nu_\mu$  (ORCA) disappearance

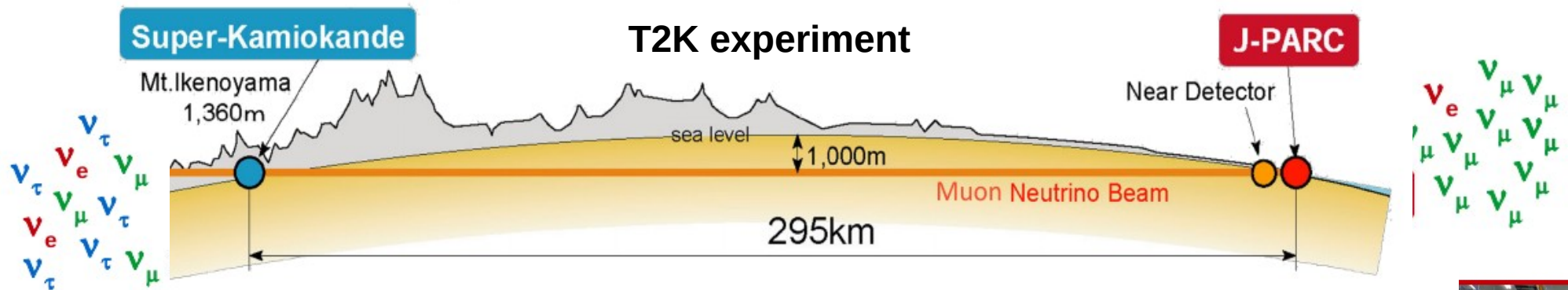


# Anatomy of T2K and NOVA oscillation analysis

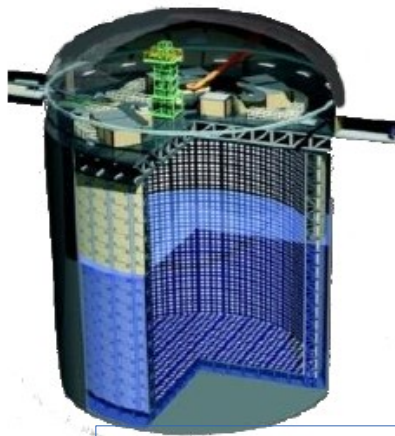
---



# T2K

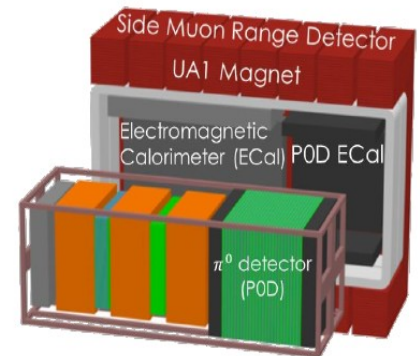


## Super-Kamiokande

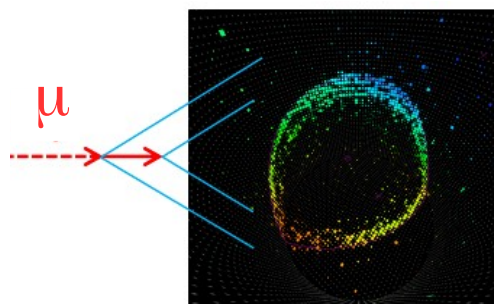


huge **water cherenkov** detector (50 kTon) with optimal  $\mu/e$  identification to distinguish  $\nu_e, \nu_\mu$

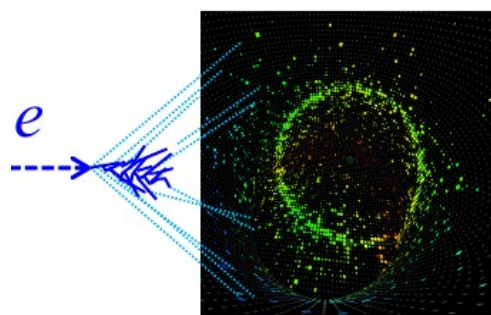
## ND280 near detector



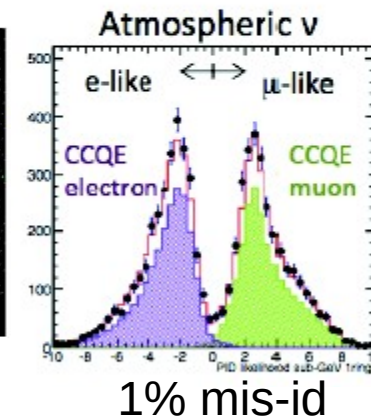
**J-PARC facility: neutrino beam**



clear ring

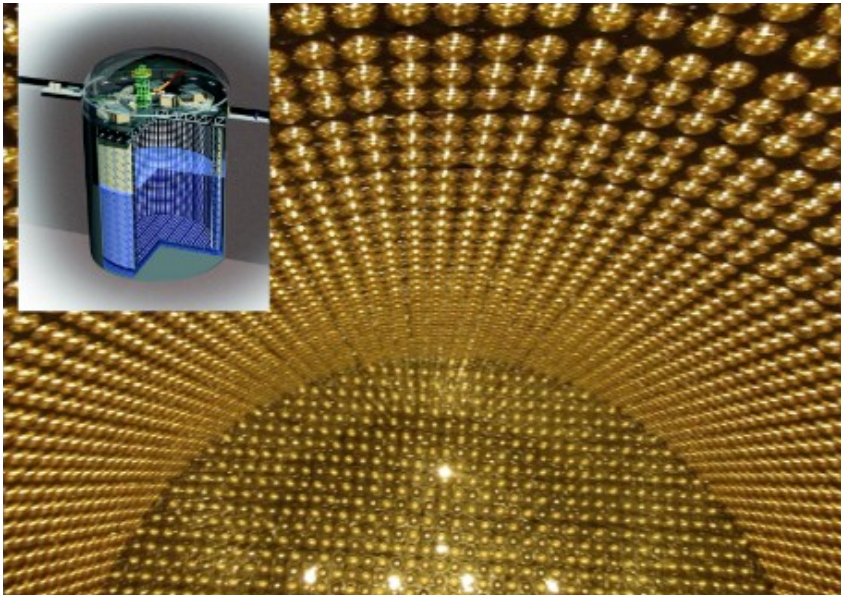


fuzzy ring

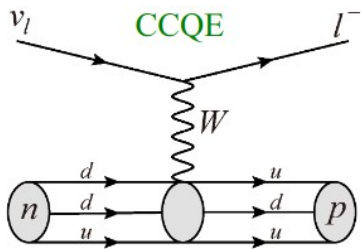




# SuperKamiokande samples



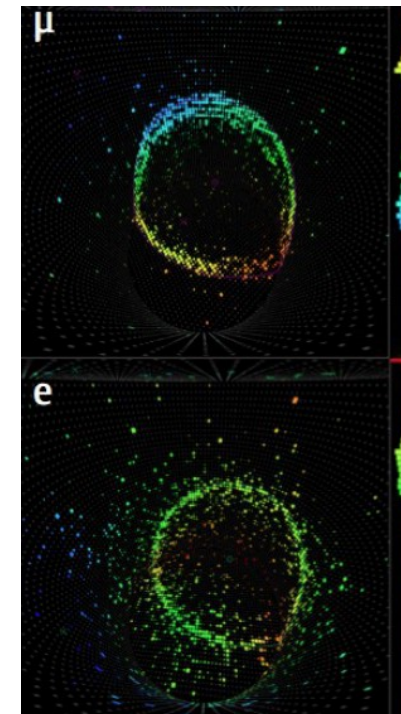
- Reconstruct **Cherenkov ring** from charged particles (above Cherenkov threshold)
- Use information of **time, position and amount of light** in the ring to estimate momentum and direction of particle (likelihood algo 'fitqun')
- '**ring fuzzyness**' to distinguish  $e/\mu$  (note:  $\pi \sim \mu$ )
- **Michel e-** from muon (or  $\pi \rightarrow \mu$ ) decay: e- ring delayed in time



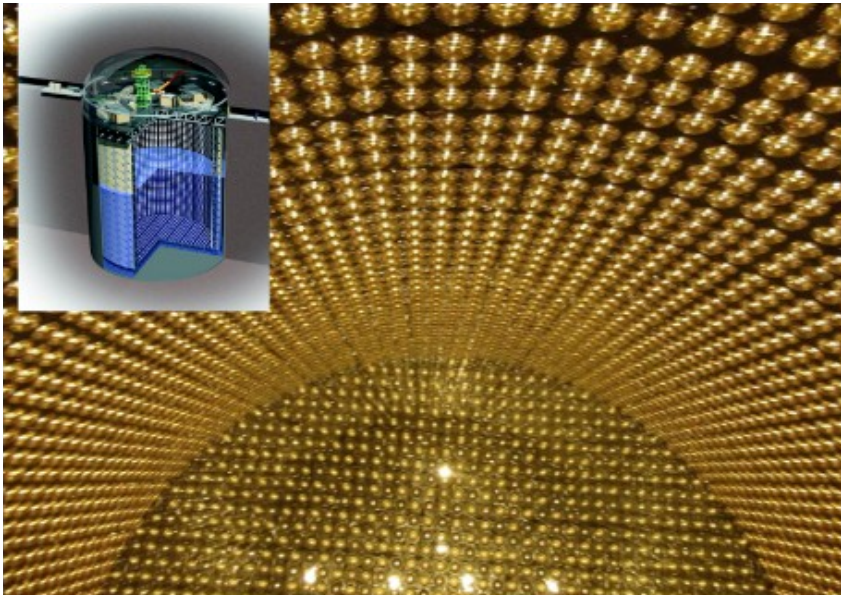
- Main channel at T2K energy:  
**single ring events (e or  $\mu$ )**  
**= Quasi-Elastic channel: can reconstruct neutrino energy from lepton kinematics only**  
[with nuclear physics uncertainty: see Martini lecture]

$$E_{\text{rec}}^{\text{CCQE}} = \frac{2(m_n - E_b)E_l + (2m_n - E_b)E_b + m_p^2 - m_n^2 - m_l^2}{2(m_n - E_b - E_l + |\mathbf{p}_l| \cos \theta_l)}$$

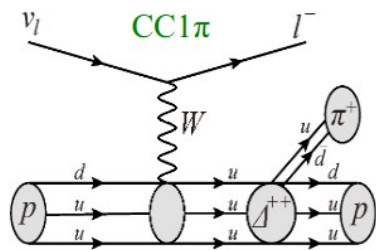
where  $m_n, m_p$  and  $m_\mu$  are the masses of neutron, proton, and the charged lepton,  $E_b = 27 \text{ MeV}$  is the nominal nucleon binding energy of oxygen,  $E_l$  and  $\mathbf{p}_l$  are the reconstructed energy and three-momentum of the lepton, and  $\theta_l$  is the reconstructed angle of the lepton with respect to the neutrino beam. The



# SuperKamioande samples

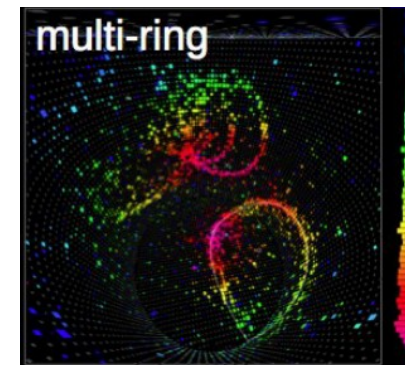


- Reconstruct **Cherenkov ring** from charged particles (above Cherenkov threshold)
- Use information of **time, position and amount of light** in the ring to estimate momentum and direction of particle (likelihood algo 'fitqun')
- '**ring fuzzyness**' to distinguish  $e/\mu$  (note:  $\pi \sim \mu$ )
- **Michel e-** from muon (or  $\pi \rightarrow \mu$ ) decay: e- ring delayed in time



- **Additional channels with pion production (FHC), subleading and mostly at higher energy:**

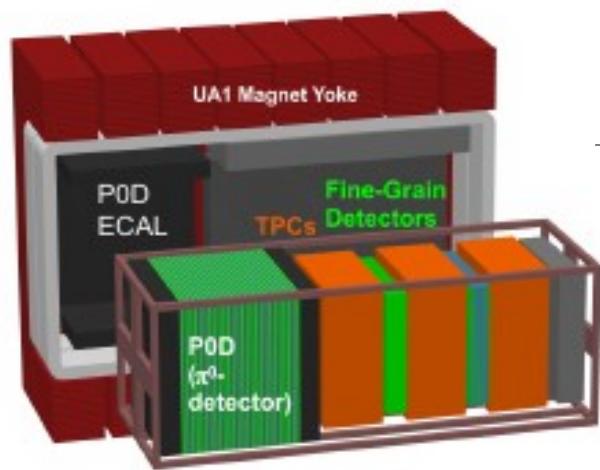
- 1 ring electron (from  $\nu_e$ ) with 1 Michel electron  
→ add statistics for  $\nu_e$  sample
- 1 ring muon (from  $\nu_\mu$ ) + 1,2 Michel electron(s) and/or other ring from  $\pi$   
→ add high-energy 'control sample' for  $\nu_\mu$



$$E_\nu^{\text{rec}} = \frac{m_{\Delta^{++}}^2 - m_p^2 - m_l^2 + 2m_p E_l}{2(m_p - E_l + p_l \cos \theta_l)}$$

Reconstruct neutrino energy from lepton kinematics only, assuming  $\Delta^{++}$  resonance (mostly true in FHC at T2K energy)



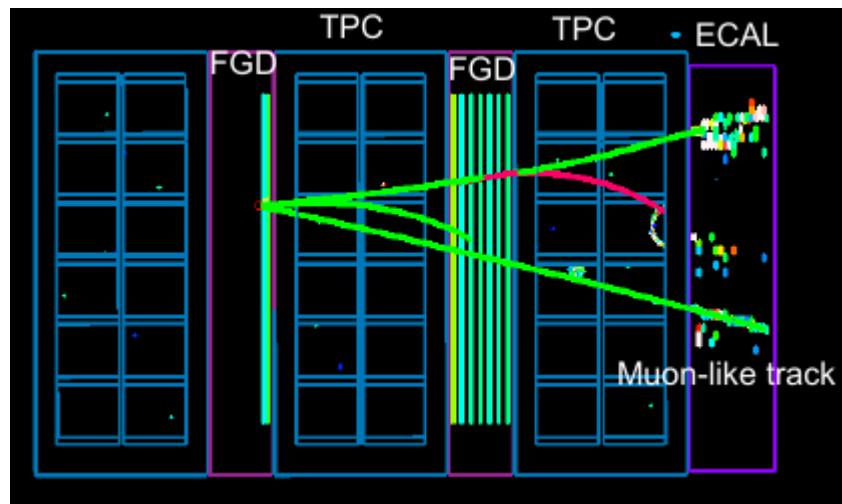


# T2K near detectors

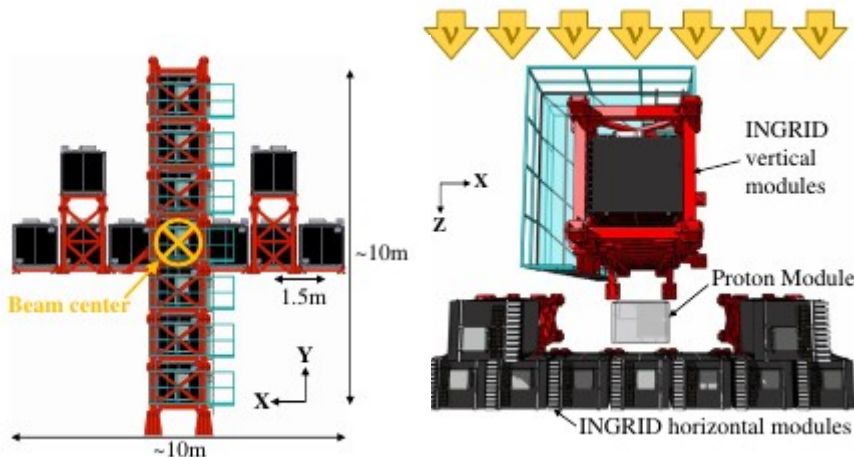
ND280 : off-axis ( $2.5^\circ$ )

Measure flux and xsec for oscillation analysis

Full tracking and particle reconstruction (**magnetized!**):  
measure precisely neutrino and antineutrino rate before oscillation



- fully magnetized (0.2 T)
- FGD scintillators : x-y bars (C and passive water)
- TPC → **good tracking efficiency, resolution** (10% at  $p_T \sim 1\text{GeV}$ ) **and particle identification**
- P0D sampling scintillator for  $\pi^0$  detection (water in/out)



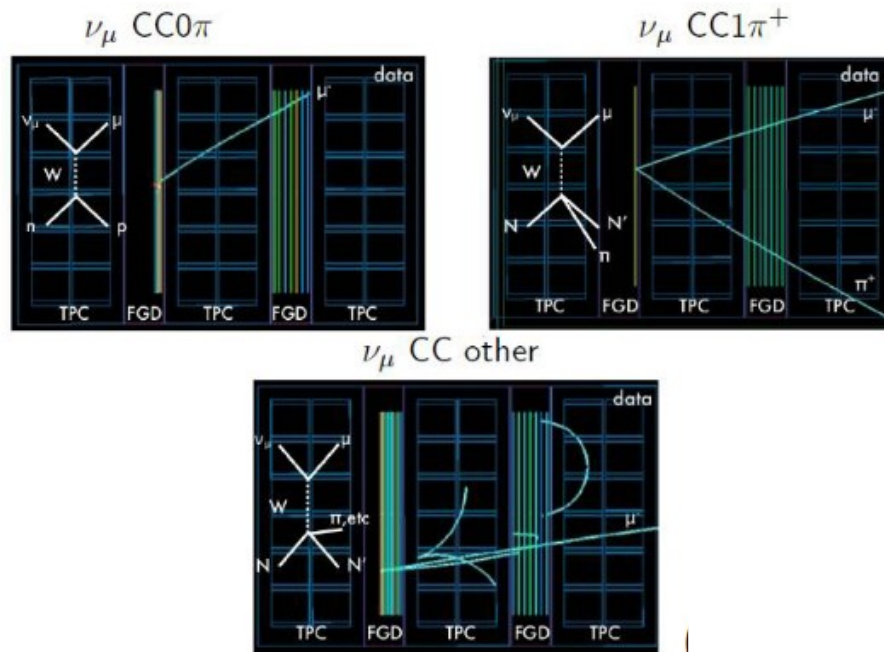
INGRID : on-axis

Beam stability monitoring: position and direction (off-axis:  $E_\nu$  depends on angle)

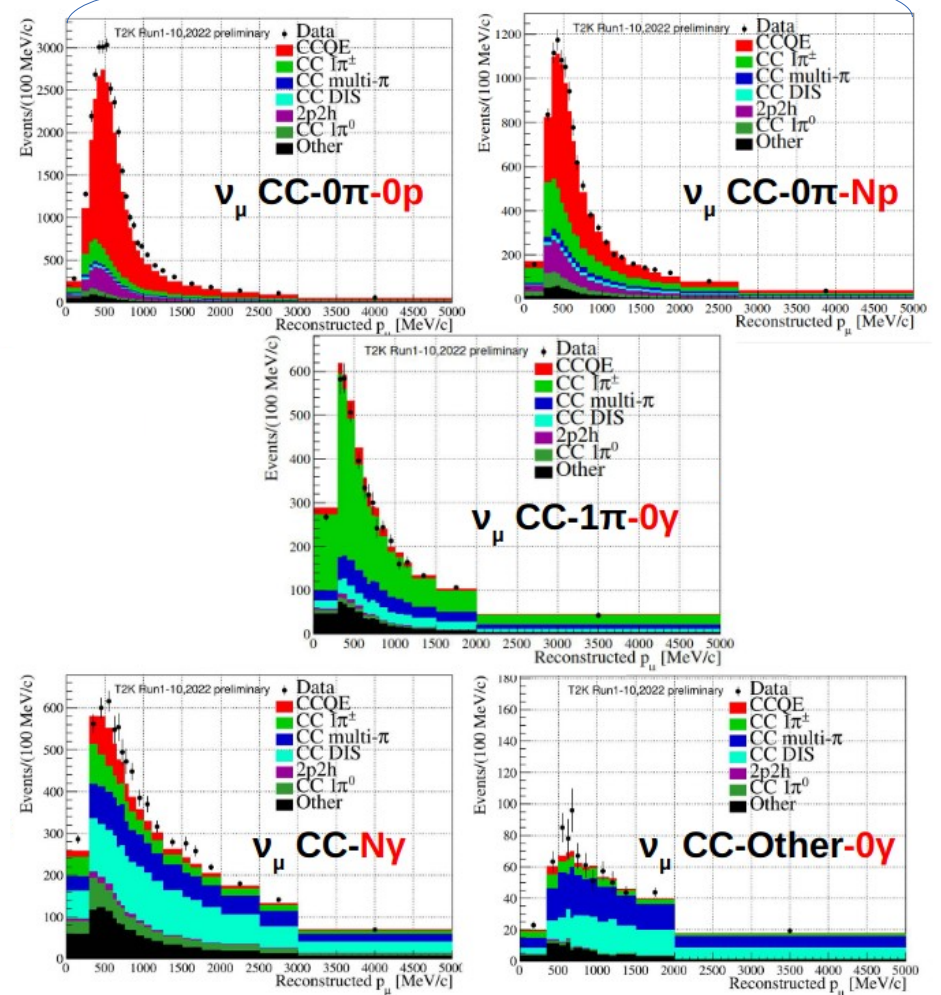
- iron plates alternated with CH scintillator (+ proton module : fully active scintillator)
- **coarser granularity, not magnetized but larger mass** :  $2.5 \times 10^{30}$  nucleons (Fe) +  $1.8 \times 10^{29}$  nucleons (CH)

# T2K ND selection

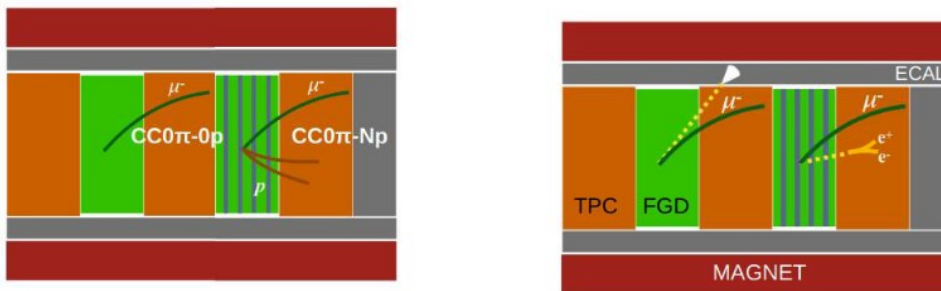
- Require **one muon + separate sample based on proton, pion and g multiplicity (full exclusive final state reconstruction)**
- Until now, similar to SK: **lepton kinematics only used for neutrino energy assessment**



## Main QE channel

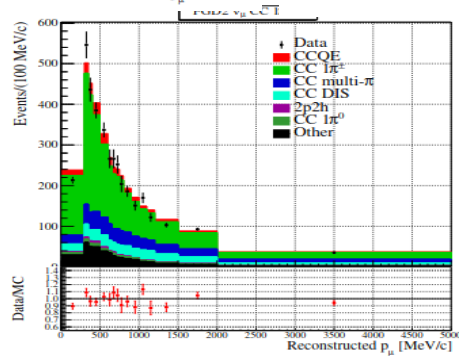
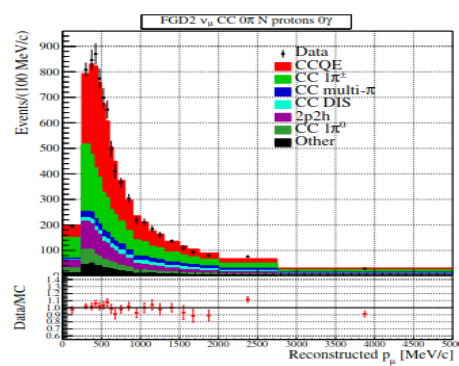
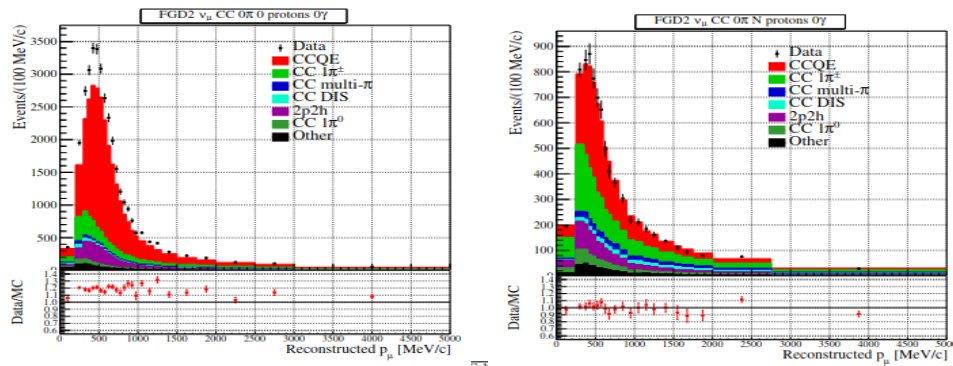


## Proton and $\gamma$ tagging: new in 2022

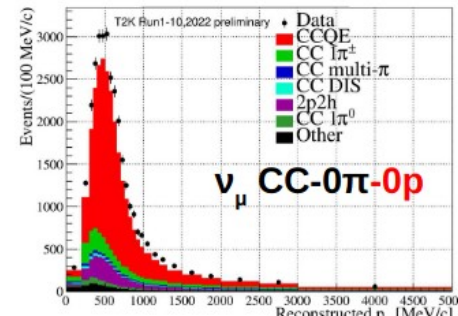
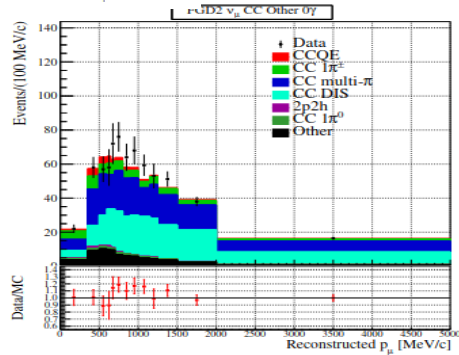
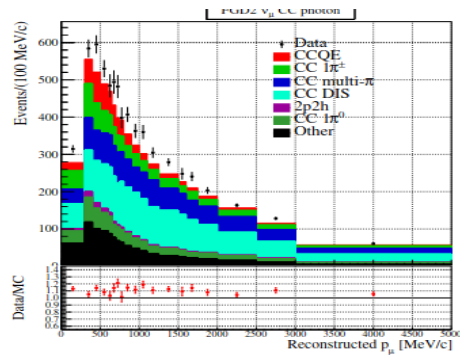


# T2K ND selection

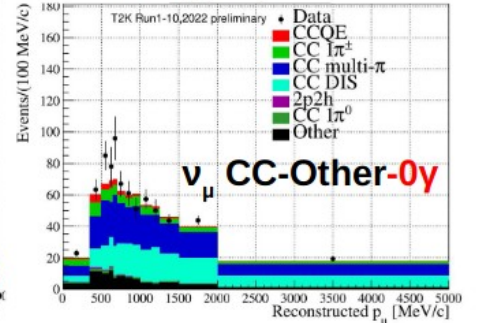
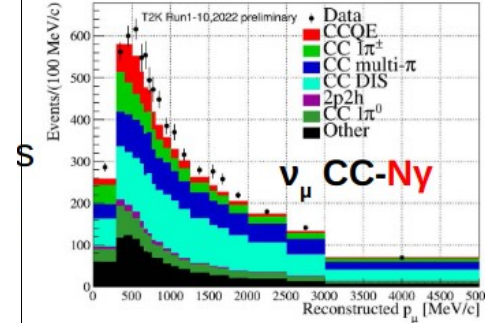
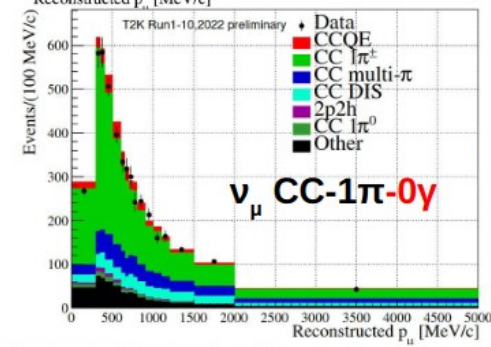
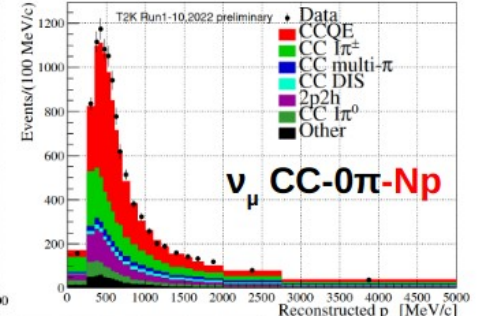
- Require one muon + separate sample based on proton, pion and g multiplicity (**full exclusive final state reconstruction**)
- Until now, similar to SK: lepton kinematics only used for neutrino energy assessment
- Two sets of samples for **FGD1 (CH only)** and **FGD2 (CH+water)**



FGD2



FGD1



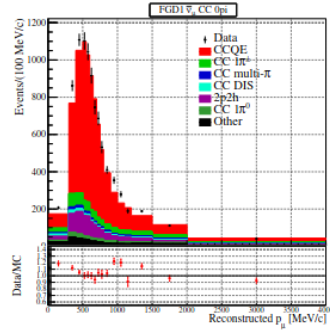


# T2K ND selection

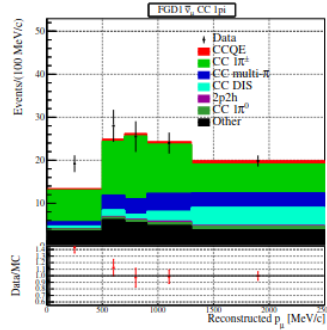
- Require one muon + separate sample based on proton, pion and g multiplicity (**full exclusive final state reconstruction**)
- Until now, similar to SK: lepton kinematics only used for neutrino energy assessment
- Two sets of samples for **FGD1 (CH only)** and **FGD2 (CH+water)**
- RHC mode:  $\mu^+$  ( $\bar{\nu}_\mu$ ) and  $\mu^-$  ( $\nu_\mu$ ) **separate samples**

## FGD1 RHC

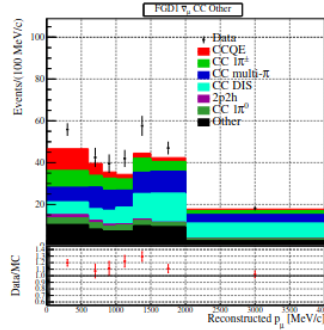
### $\mu^+$ CC0 $\pi$



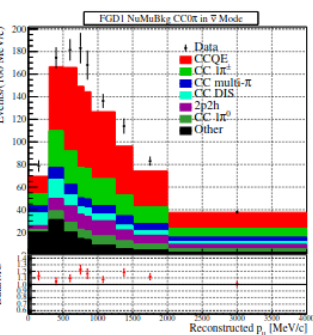
### $\mu^+$ CC1 $\pi$



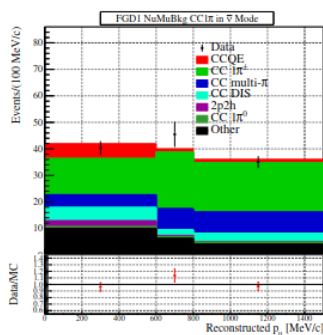
### $\mu^+$ CC-Other



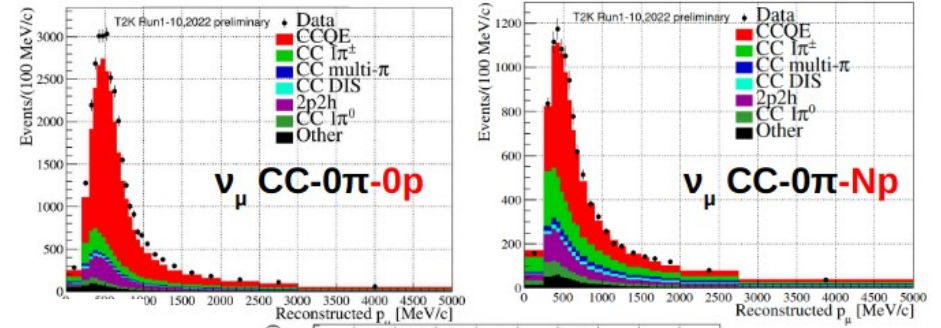
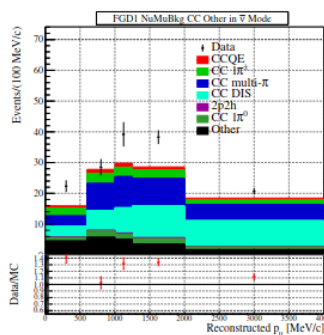
### $\mu^-$ CC0 $\pi$



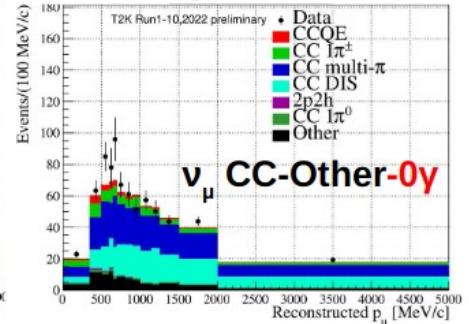
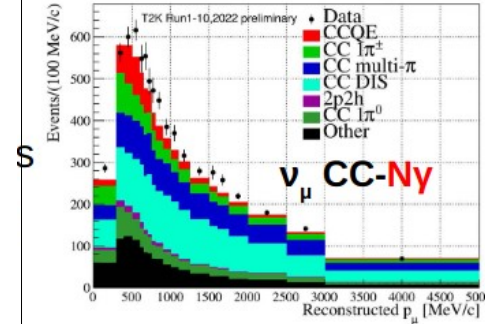
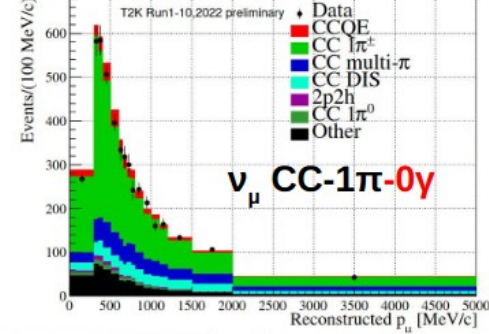
### $\mu^-$ CC1 $\pi$



### $\mu^-$ CC-Other

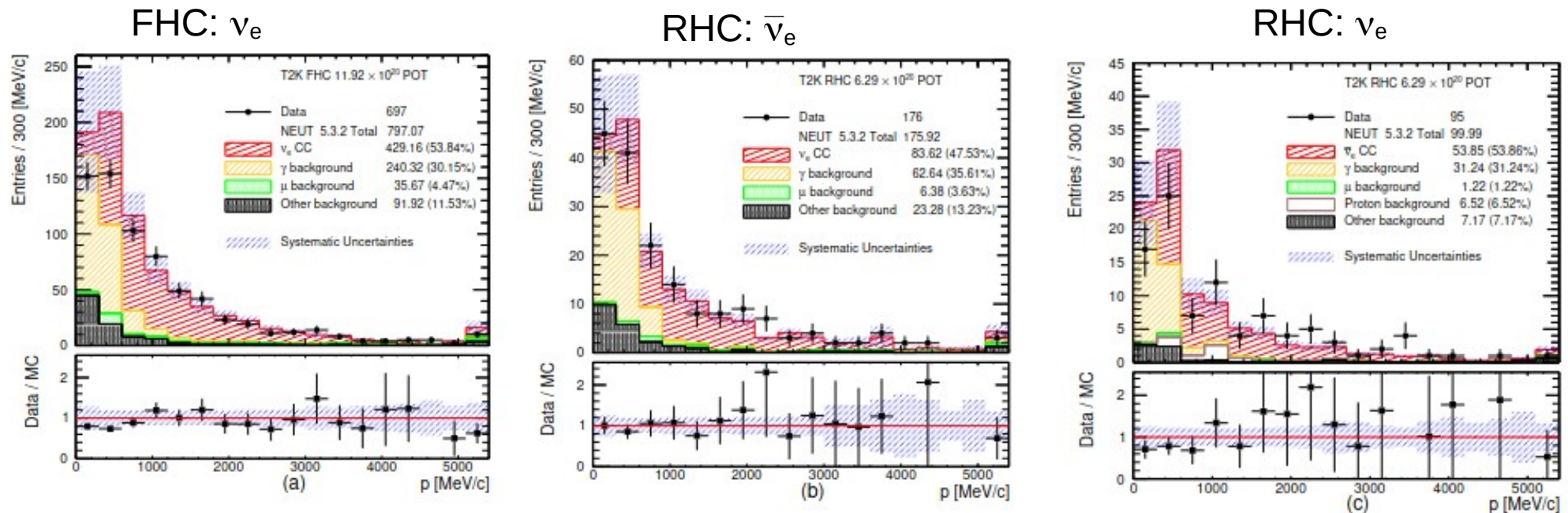


## FGD1 FHC



# T2K ND selection

- Require one muon + separate sample based on proton, pion and g multiplicity (**full exclusive final state reconstruction**)
- Until now, similar to SK: lepton kinematics only used for neutrino energy assessment
- Two sets of samples for **FGD1 (CH only)** and **FGD2 (CH+water)**
- RHC mode:  $\mu^+$  ( $\bar{\nu}_\mu$ ) and  $\mu^-$  ( $\nu_\mu$ ) separate samples
- **$\nu_e$  at ND: too low statistics (~8% precision)** due to the very good  $\nu_\mu/\bar{\nu}_\mu$  purity of the beam. What really matters for  $\delta_{CP}$  in  $\nu_e/\bar{\nu}_e$  flux and xsec (from nuclear theory  $\sim <2\%$ )
- Dedicated  $\nu_e$  cross-section measurement shows agreement with model but with large stat and systematics uncertainties.



# T2K ND fit

■ ND measurement

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

what we want to measure:  
oscillation probability

- cross-section must be extrapolated from ND to FD (different neutrino energy distribution)
- flux and xsec must be disentangled

# T2K ND fit

## ■ ND measurement

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

what we want to measure:  
oscillation probability

- cross-section must be extrapolated from ND to FD (different neutrino energy distribution)
- flux and xsec must be disentangled
  - measurement as a function of energy
  - needs to rely on models (tuned to ND data)

# T2K ND fit

## ■ ND measurement

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

what we want to measure:  
oscillation probability

- cross-section must be extrapolated from ND to FD (different neutrino energy distribution)
- flux and xsec must be disentangled
  - measurement as a function of energy
  - needs to rely on models (tuned to ND data)

## ■ Fit to ND observed distributions:

$$R_{ND}^{\nu'}(E_{\nu}) = \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} = F(p_{\mu}, \cos \theta_{\mu}; \alpha_{ND}, \alpha_{model})$$

nuisances = parametrization of  
(detector systematics), flux and  
nuclear physics uncertainties



# T2K ND fit

## ■ ND measurement

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

what we want to measure:  
oscillation probability

- cross-section must be extrapolated from ND to FD (different neutrino energy distribution)
- flux and xsec must be disentangled
  - measurement as a function of energy
  - needs to rely on models (tuned to ND data)

## ■ Fit to ND observed distributions:

$$R_{ND}^{\nu'}(E_{\nu}) = \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} = F(p_{\mu}, \cos \theta_{\mu}; \alpha_{ND}, \alpha_{model})$$

nuisances = parametrization of  
(detector systematics), flux and  
nuclear physics uncertainties

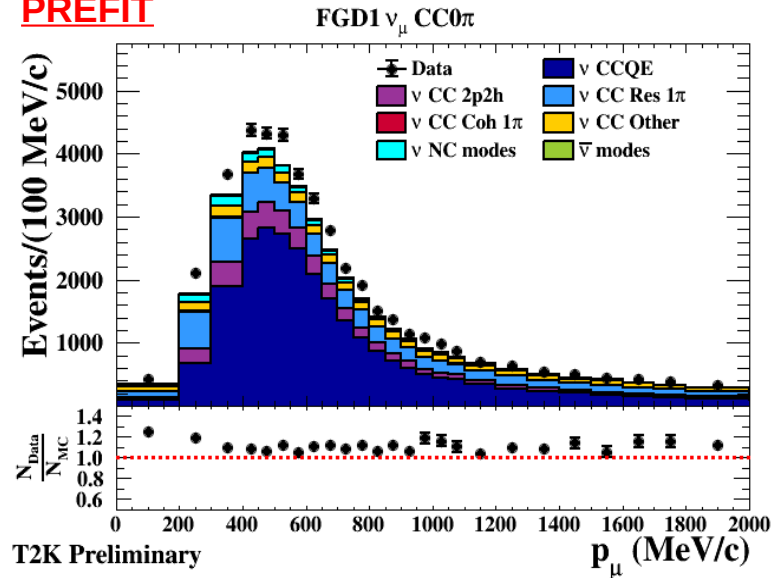
- **Tuned model** used for flux and cross-section  
disentangling and their extrapolation to FD  
+correct reconstruction of energy at the far detector

$$E_{\nu} = R(p_{\mu}, \cos \theta_{\mu}; \alpha_{FD}, \alpha_{model})$$

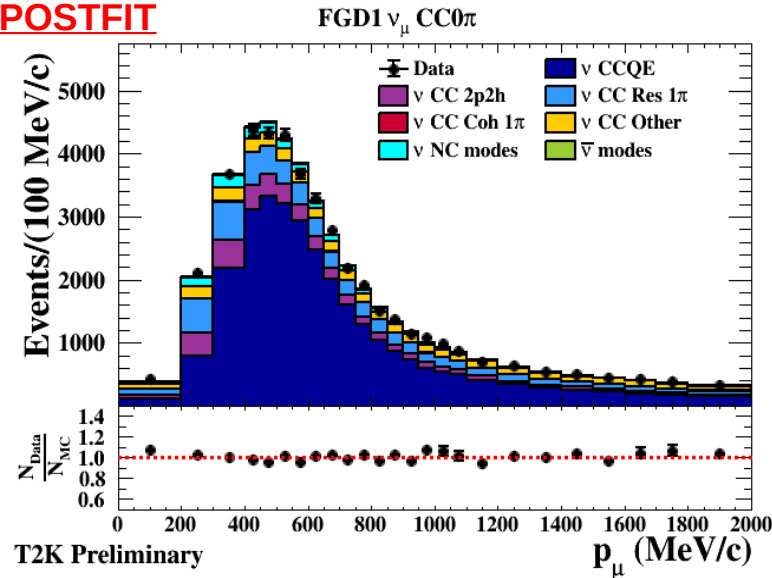
# T2K ND: data fit

Simultaneous fit to all ND separate samples (only example of main channel shown)

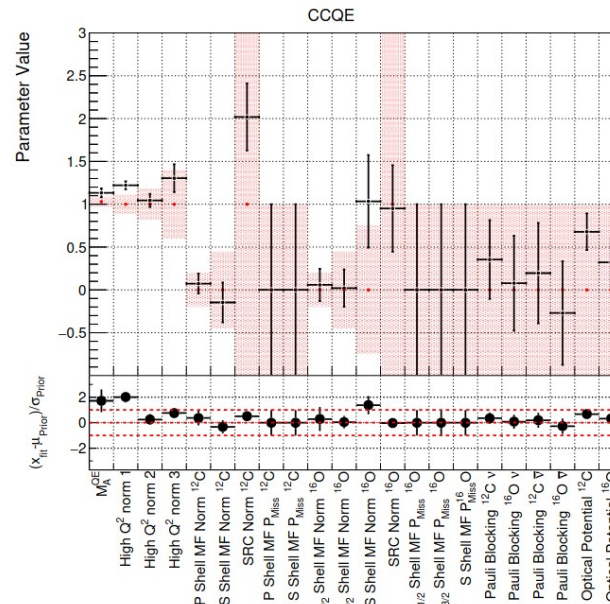
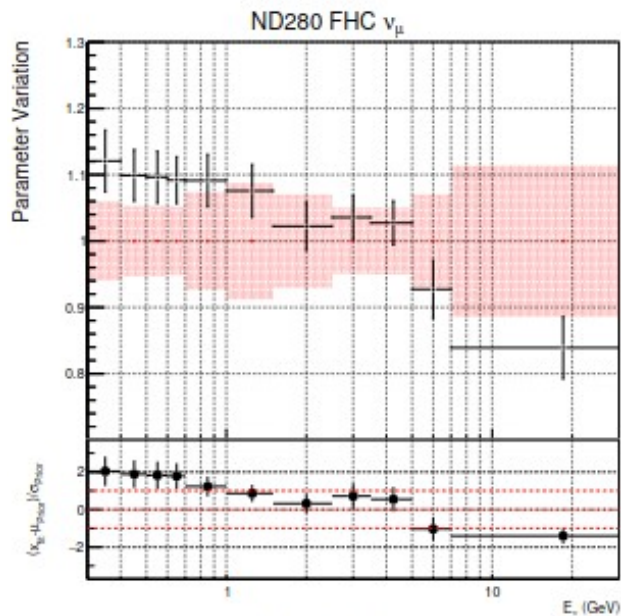
**PREFIT**



**POSTFIT**



Tuning of flux and xsec model

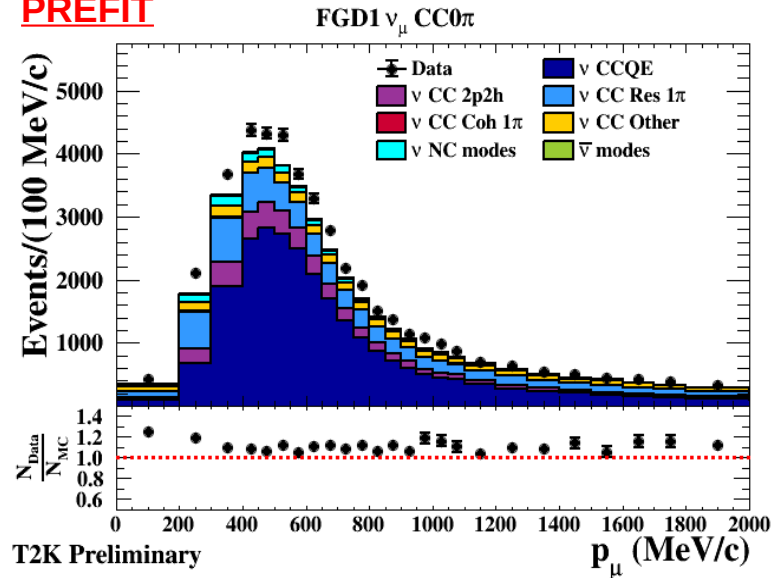


Actually hundreds of parameters (only main flux and xsec channel shown)

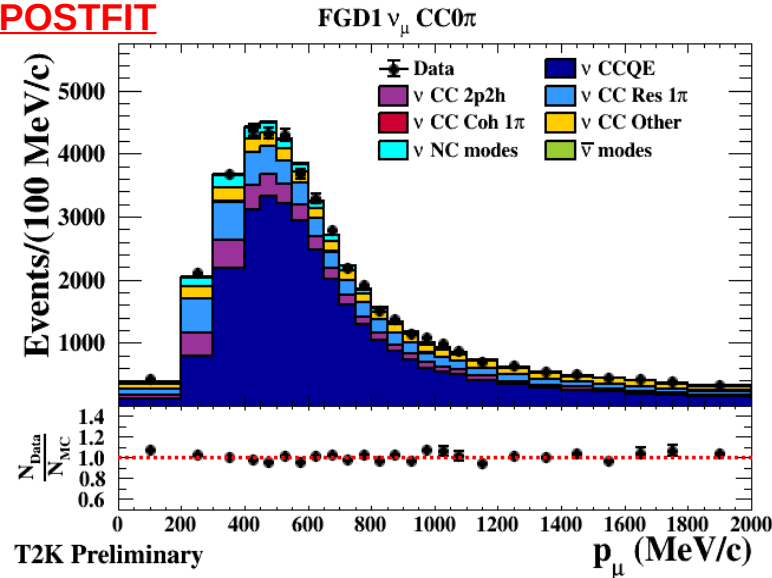
# T2K ND: data fit

Simultaneous fit to all ND separate samples (only example of main channel shown)

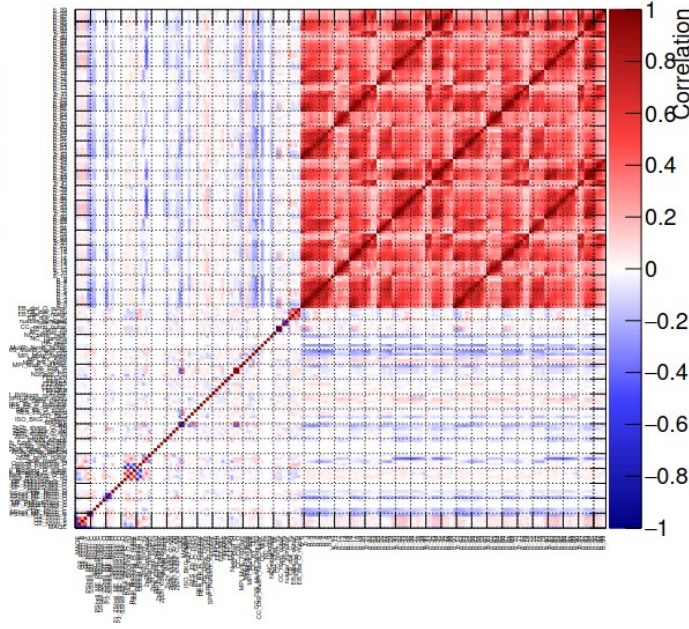
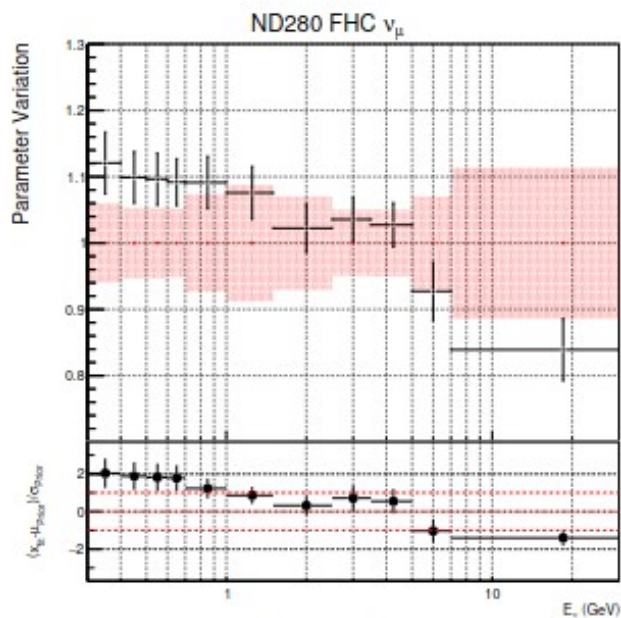
**PREFIT**



**POSTFIT**



Tuning of flux and xsec model



Actually hundreds of parameters (only main flux and xsec channel shown)

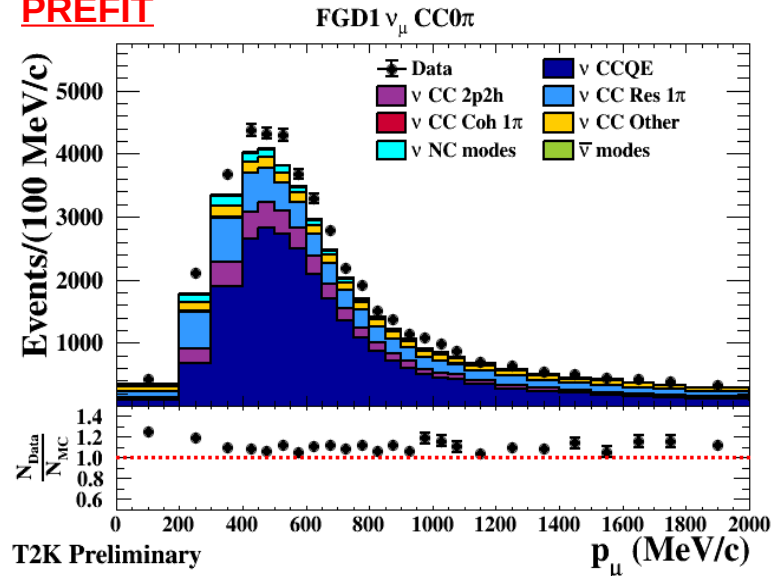
All parameters got correlated from the fit



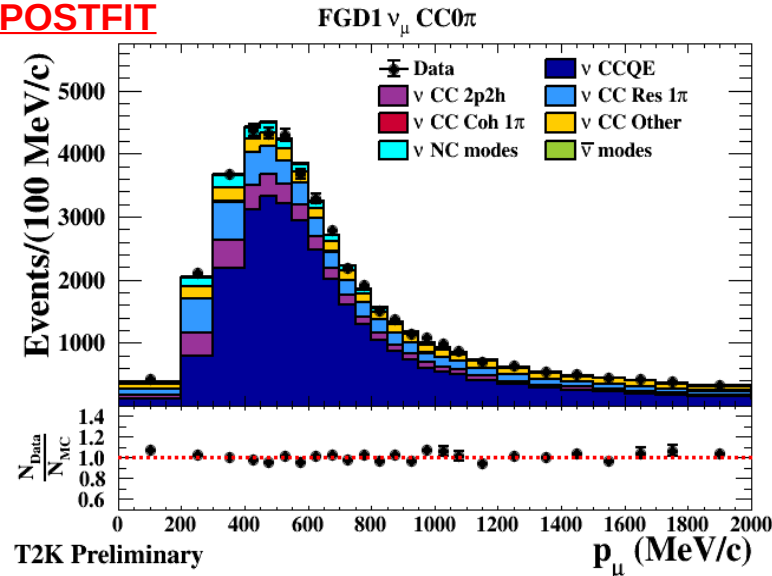
# T2K ND: data fit

Simultaneous fit to all ND separate samples (only example of main channel shown)

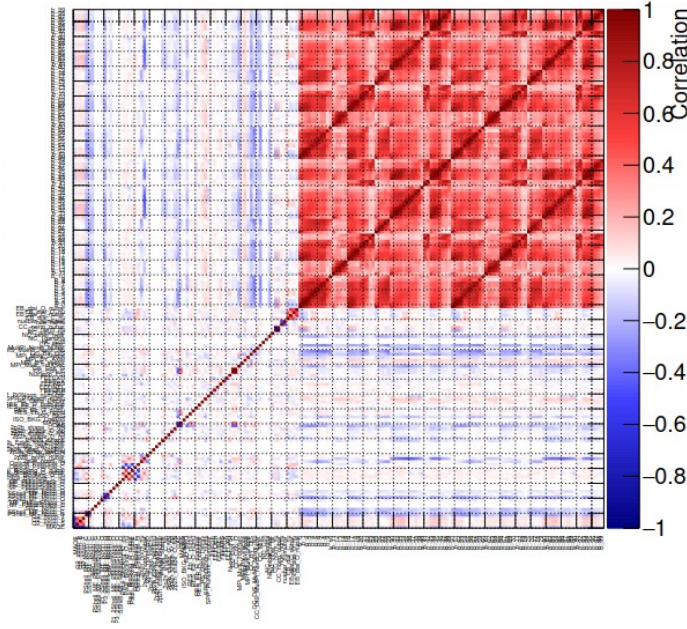
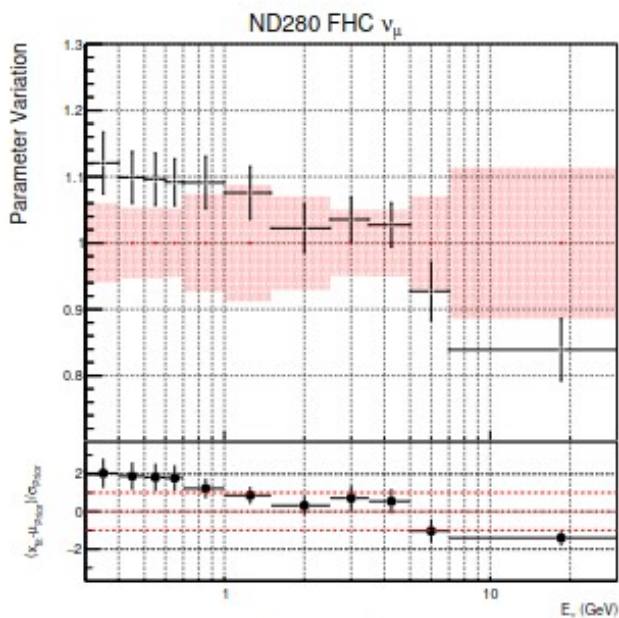
**PREFIT**



**POSTFIT**



Tuning of flux and xsec model



Actually hundreds of parameters (only main flux and xsec channel shown)

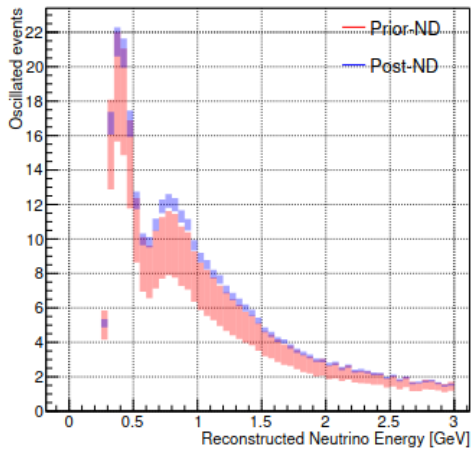
All parameters got correlated from the fit

Tuned model used to estimate flux and xsec at far detector and tune  $E_\nu$  reconstruction at far detector

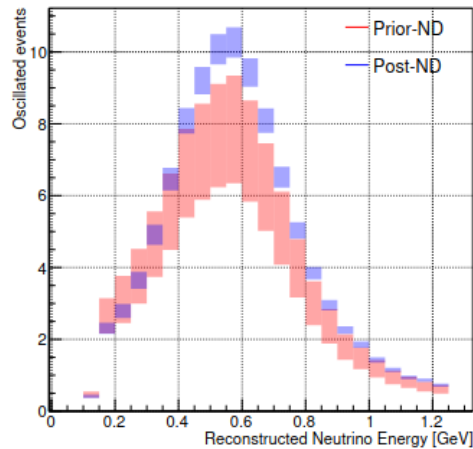
# SuperKamiokande tuned distribution

(Only main samples shown)

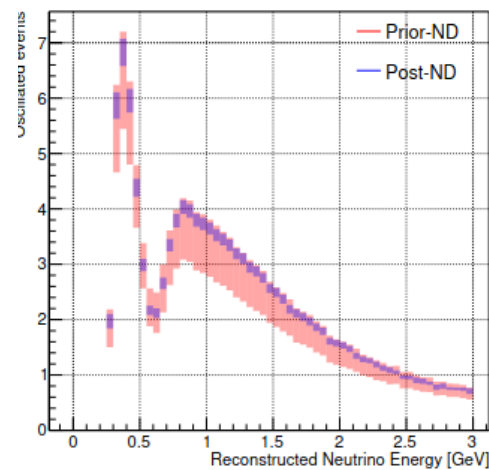
FHC 1ring  $\mu$



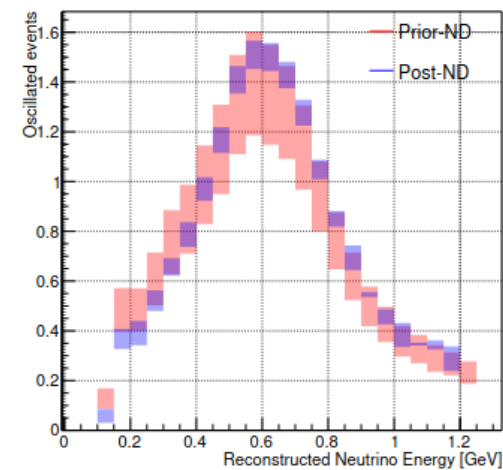
FHC 1ring e



RHC 1ring  $\mu$



RHC 1ring e



Before the ND fit

After the ND fit

Error source (units: %)	1ring $\mu$		1ring e		
	FHC	RHC	FHC	RHC	FHC/RHC
Flux	5.0	4.6	4.9	4.6	4.5
Cross-section (all)	15.8	13.6	16.3	13.1	10.5
SK+SI+PN	2.6	2.2	3.1	3.9	1.3
<b>Total All</b>	<b>16.7</b>	<b>14.6</b>	<b>17.3</b>	<b>14.4</b>	<b>11.6</b>

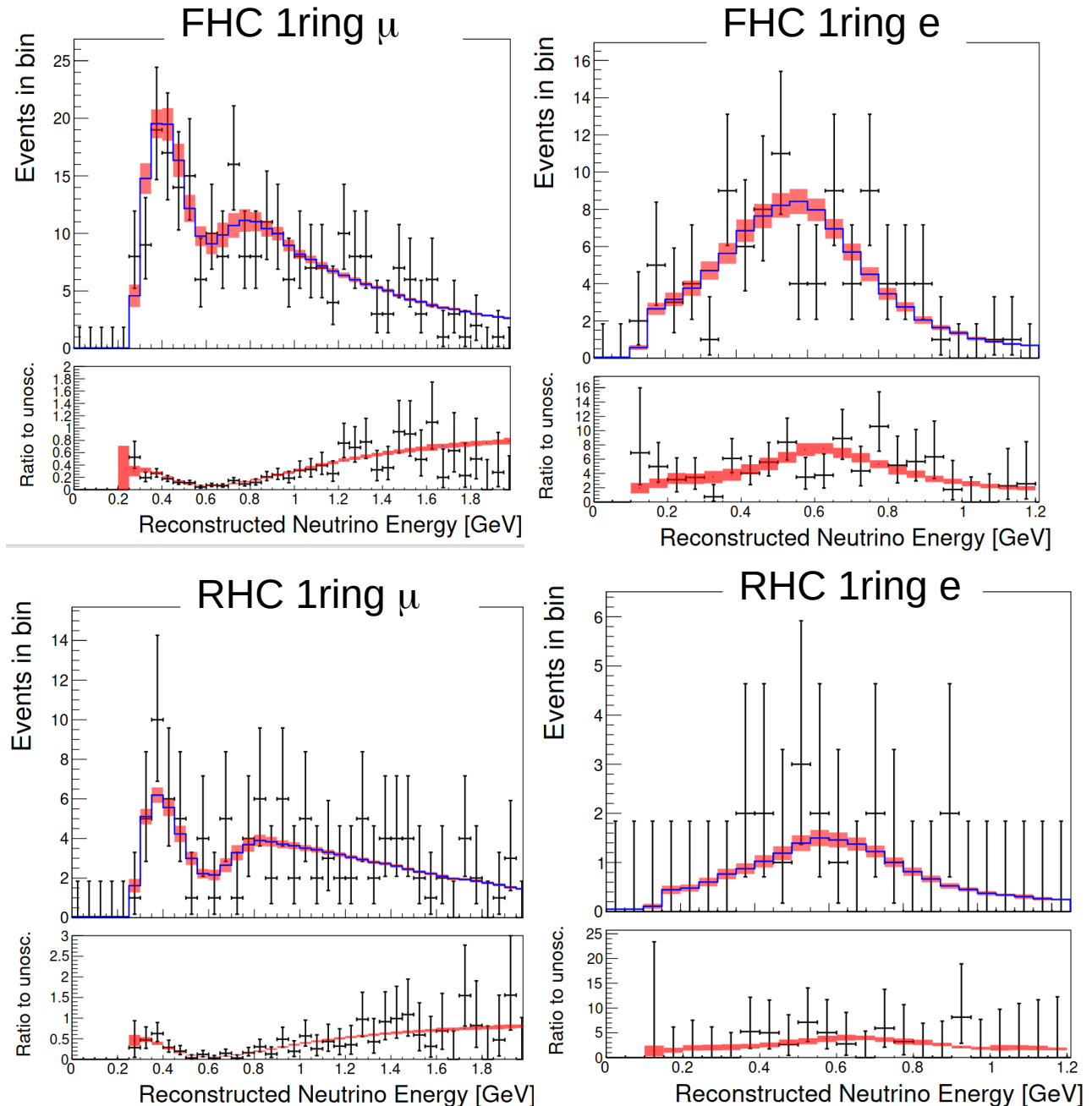
Error source (units: %)	1ring $\mu$		1ring e		
	FHC	RHC	FHC	RHC	FHC/RHC
Flux	2.8	2.9	2.8	3.0	2.2
Xsec (ND constr)	3.7	3.5	3.8	3.5	2.4
Flux+Xsec (ND constr)	2.7	2.6	2.8	2.7	2.3
Xsec (ND unconstr)	0.7	2.4	2.9	3.3	3.7
SK+SI+PN	2.0	1.7	3.1	3.8	1.2
<b>Total All</b>	<b>3.4</b>	<b>3.9</b>	<b>5.2</b>	<b>5.8</b>	<b>4.5</b>



# SuperKamiokande fit

- The finally, SuperKamiokande expected distributions (ND-tuned) are fit to SK data to extract measurements of oscillation analysis parameters

(SuperKamiokande detector systematics are evaluated from atmospheric neutrinos and from dedicated control samples)

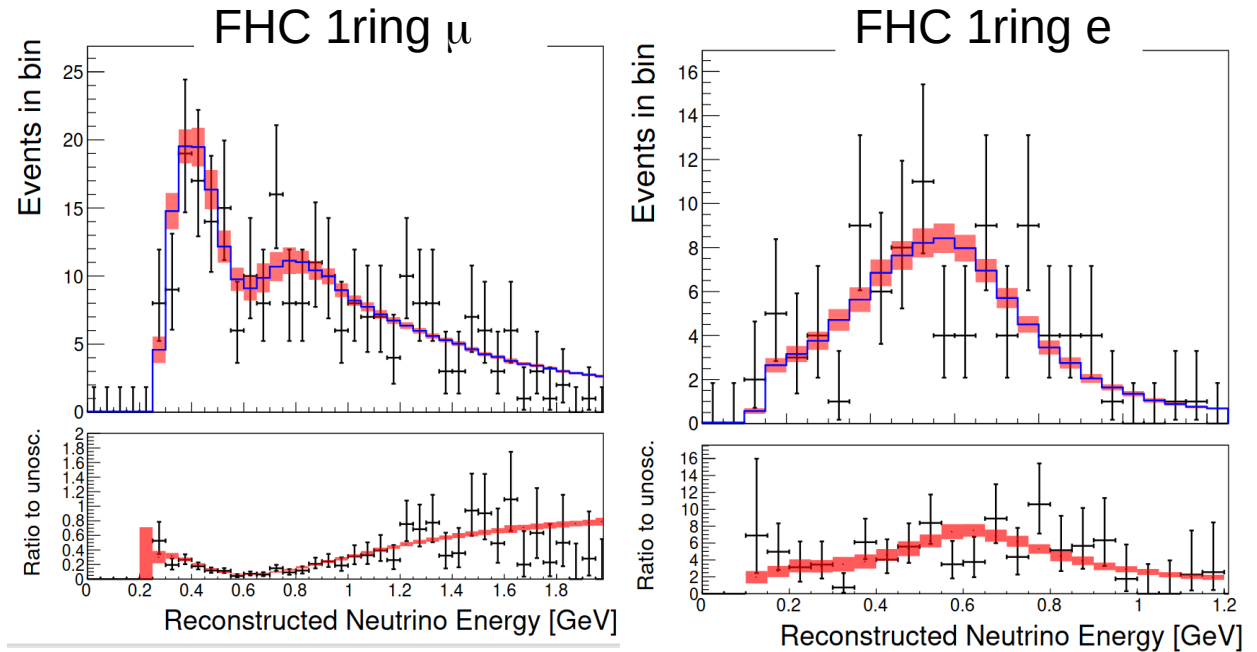


(Only main samples shown)

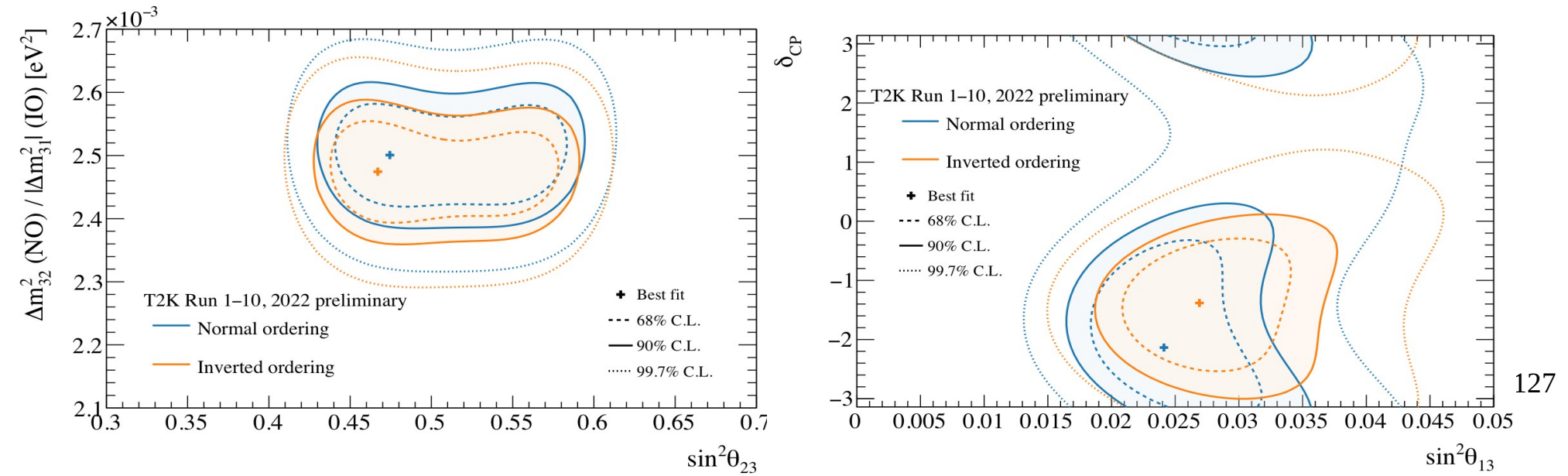
# SuperKamiokande fit

- The finally, SuperKamiokande expected distributions (ND-tuned) are fit to SK data to extract measurements of oscillation analysis parameters

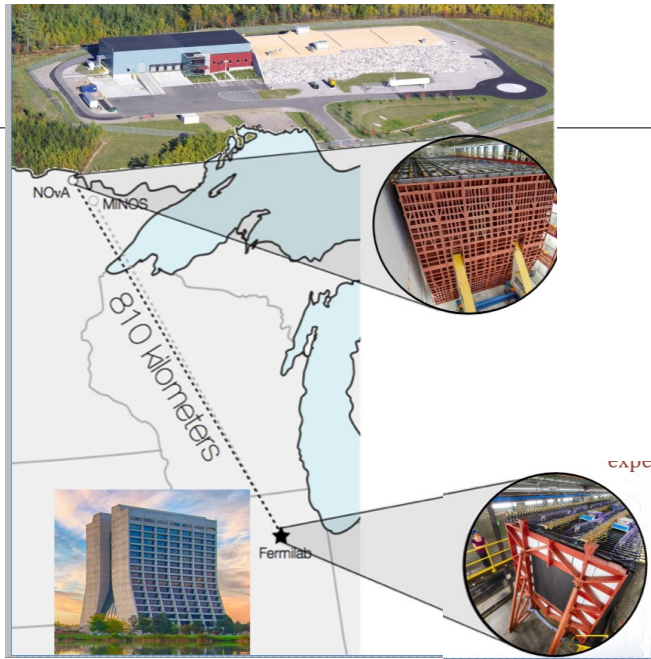
(SuperKamiokande detector systematics are evaluated from atmospheric neutrinos and from dedicated control samples)



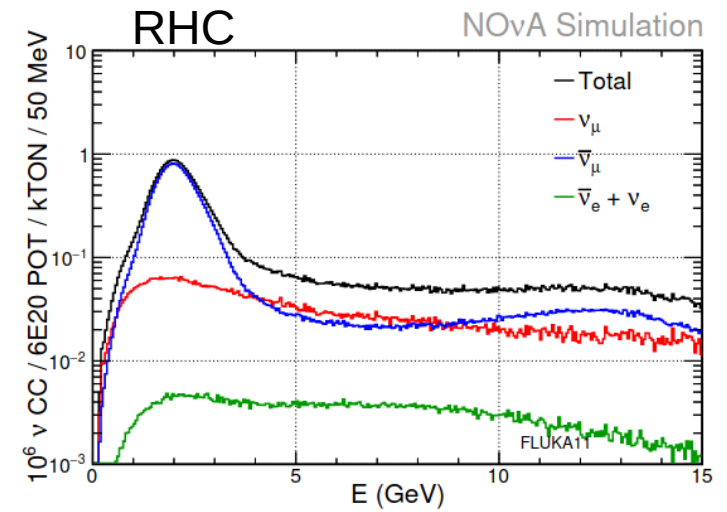
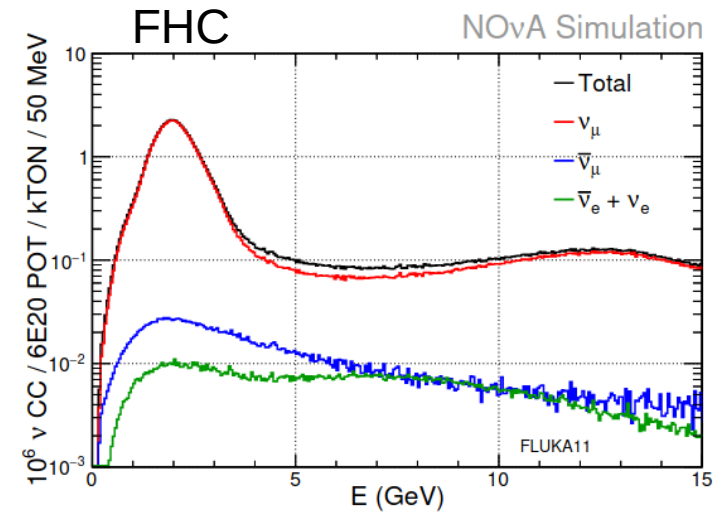
- Both a joint ND+FD fit and sequential ND  $\rightarrow$  FD fit are done and compared. Both **frequentist** and **bayesian** analysis are performed and compared



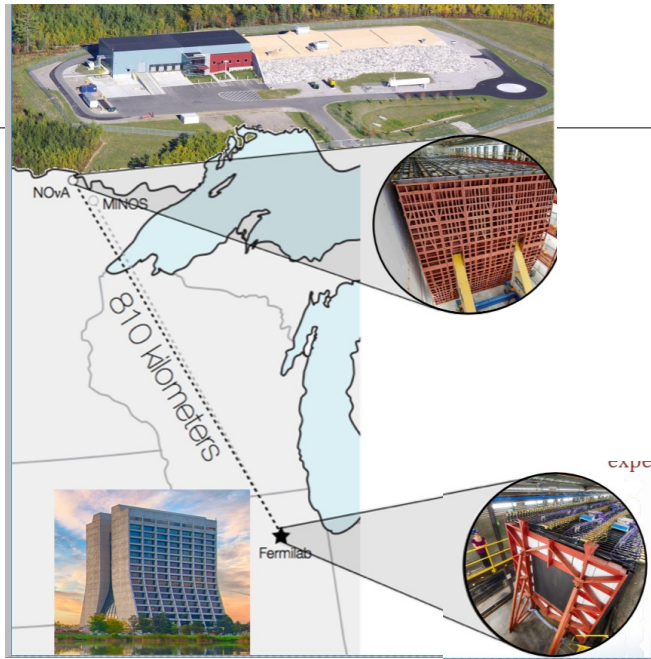
# NOVA



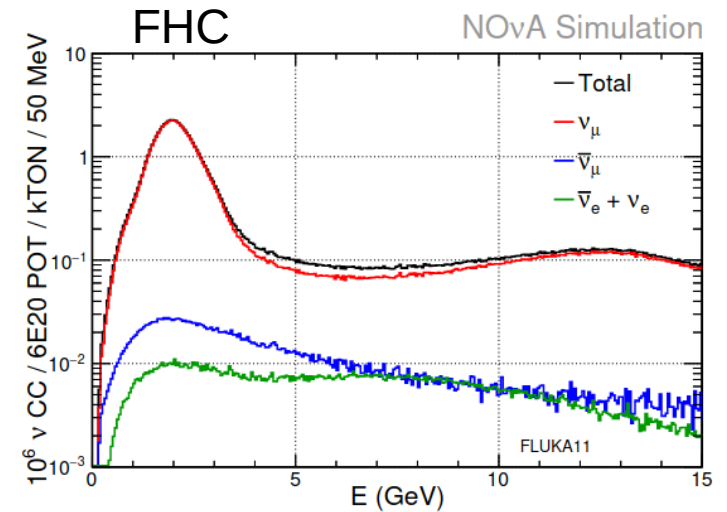
**NUMI beam at FNAL**  
 14mrad off-axis  
 (narrow-band spectrum)  
**Baseline: 810km**



# NOVA

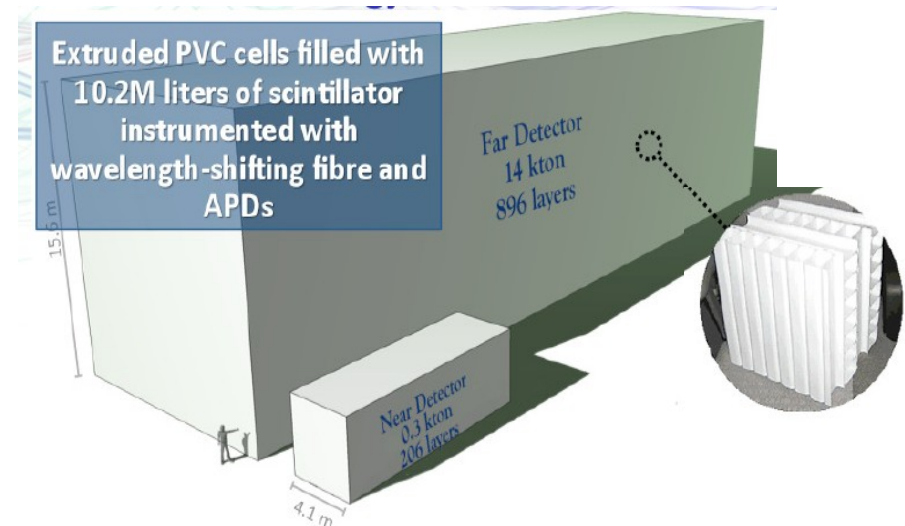
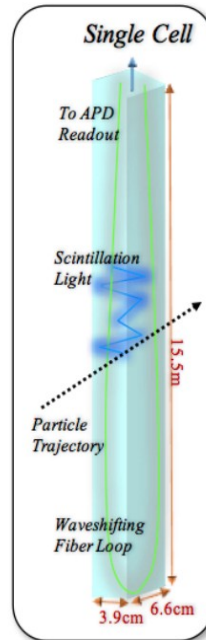


**NUMI beam at FNAL**  
 14mrad off-axis  
 (narrow-band spectrum)  
**Baseline: 810km**



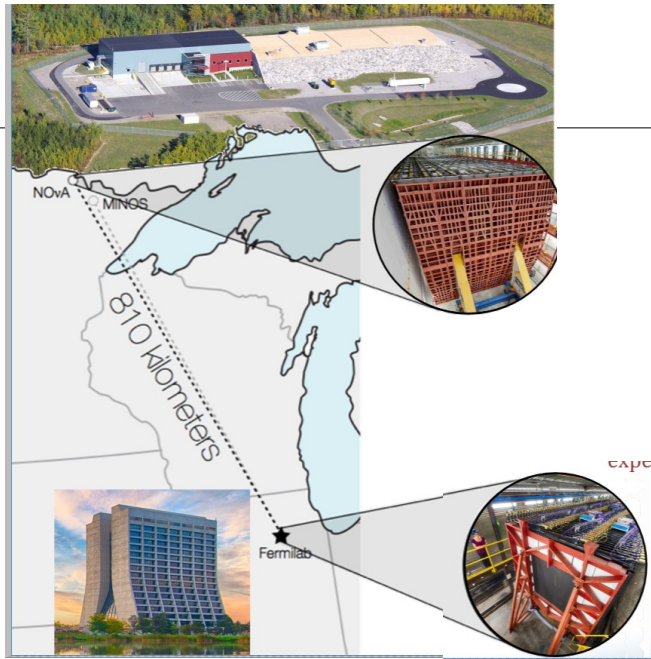
- Same technology (liquid scintillator) for near and far detector

Near Detector: 300T underground  
 Far detector: 14 kT on the surface





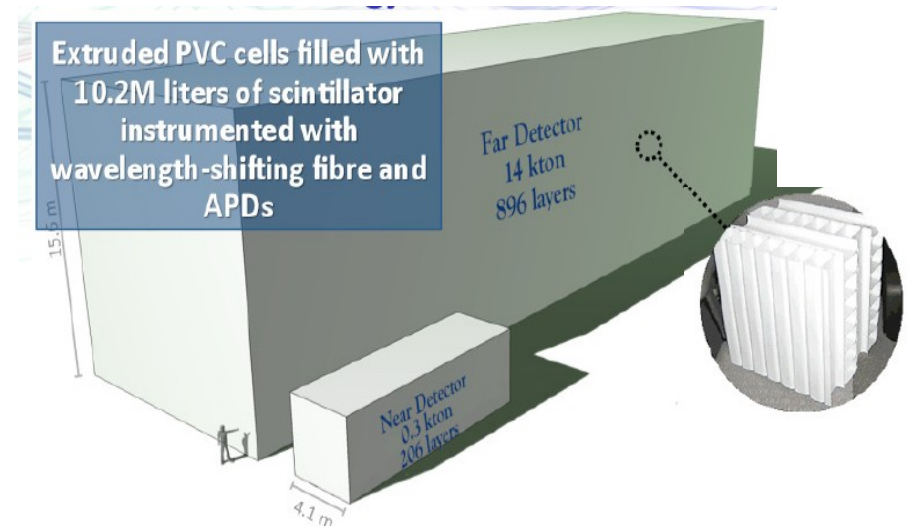
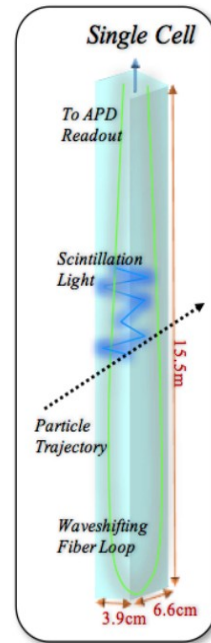
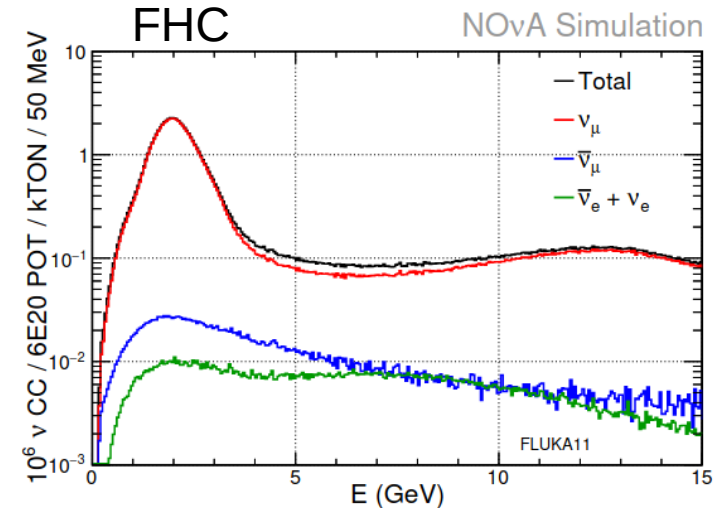
# NOVA



**NUMI beam at FNAL**

14mrad off-axis  
(narrow-band spectrum)

**Baseline: 810km**



- Same technology (liquid scintillator) for near and far detector

Near Detector: 300T underground

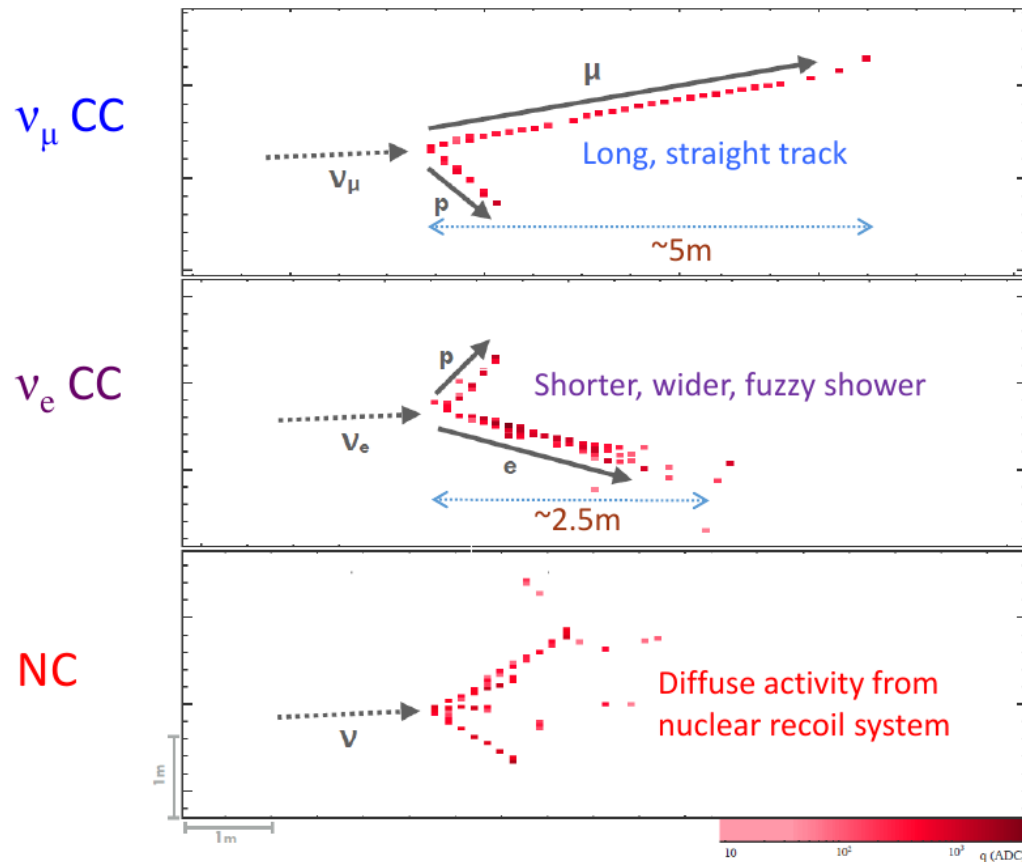
Far detector: 14 kT on the surface

- How systematics on nuclear effects still affect ND to FD extrapolation:

- different  $E_\nu$  at ND and FD (before and after oscillation)  $\rightarrow$  different  $E_{\text{had}}/E_\nu$ , different resolution..
- still need to disentangle flux and xsec since they depends on  $E_\nu$  differently
- different acceptance (in  $p_T$ ) at ND and FD due to different size



# What do we measure?



**Muons** (if contained)

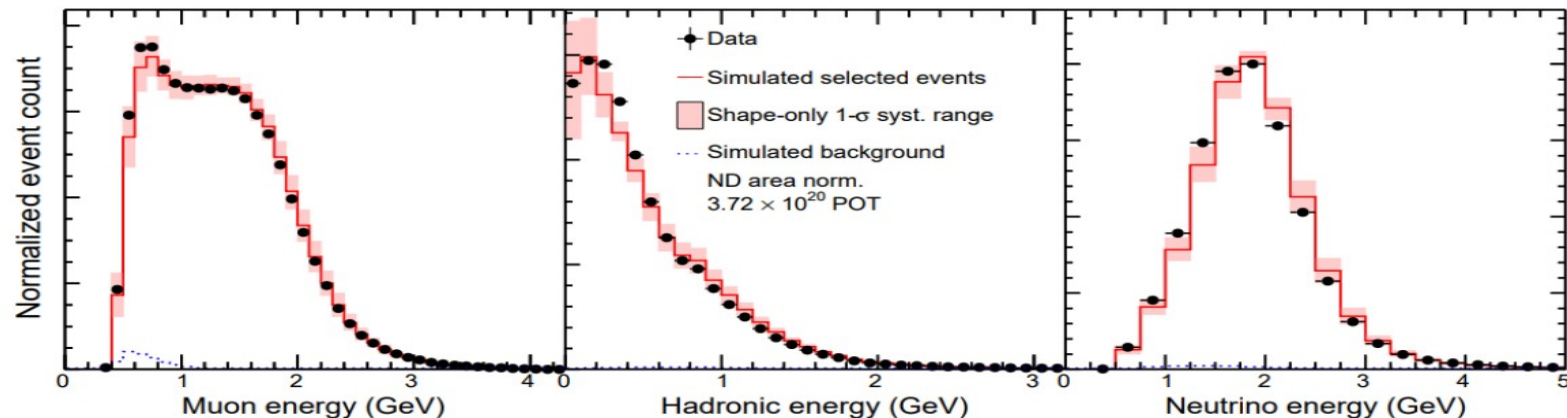
Electrons as **shower**



Hadrons (mostly as **diffuse activity + tracks**)

High energy flux: pion production and DIS → large fraction of  $E_\nu$  goes into hadrons

$$E_\nu = E_\mu + E_{\text{had}}$$

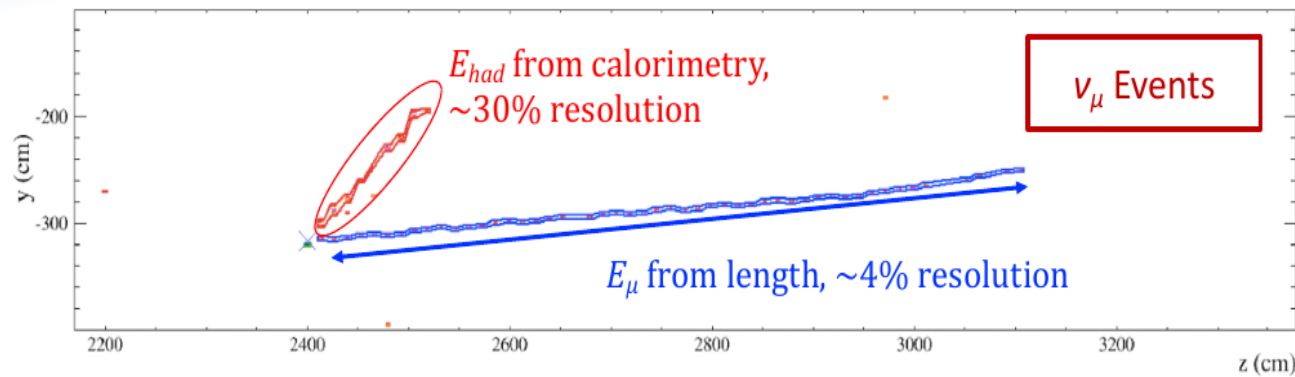
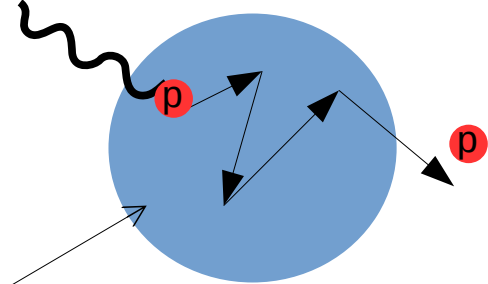


# $E_\nu$ reconstruction

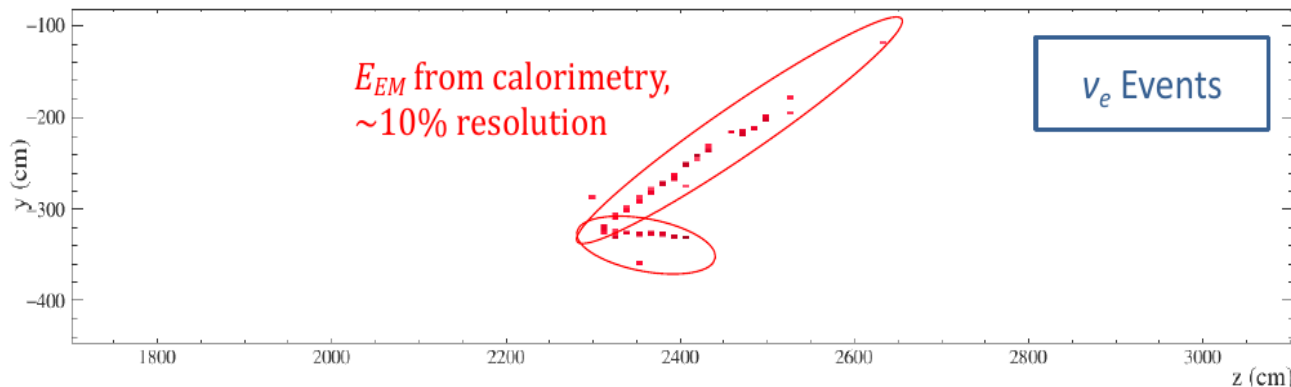
## ■ $E_\nu$ reconstructed with hadronic deposits:

- important difference  $\nu$  –  $\bar{\nu}$ : proton vs neutron (~undetected)
- proton/pion energy smeared by **Final State Interactions**

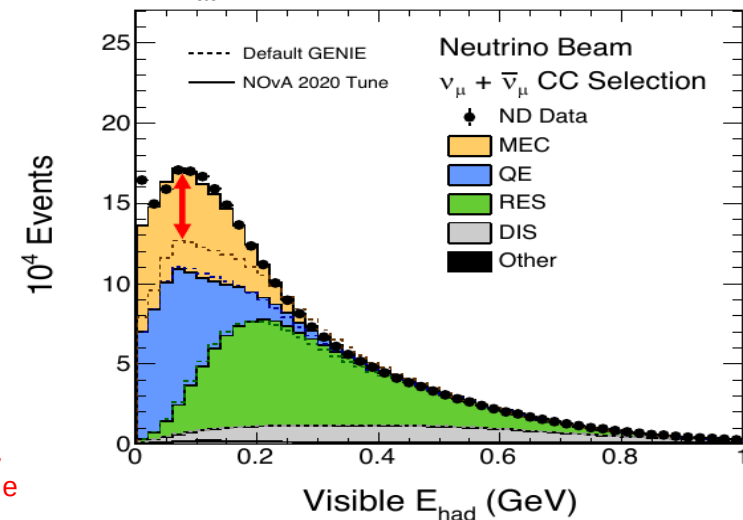
Final State Interactions



## ■ Different reconstruction and energy resolution for $\nu_\mu$ and $\nu_e$

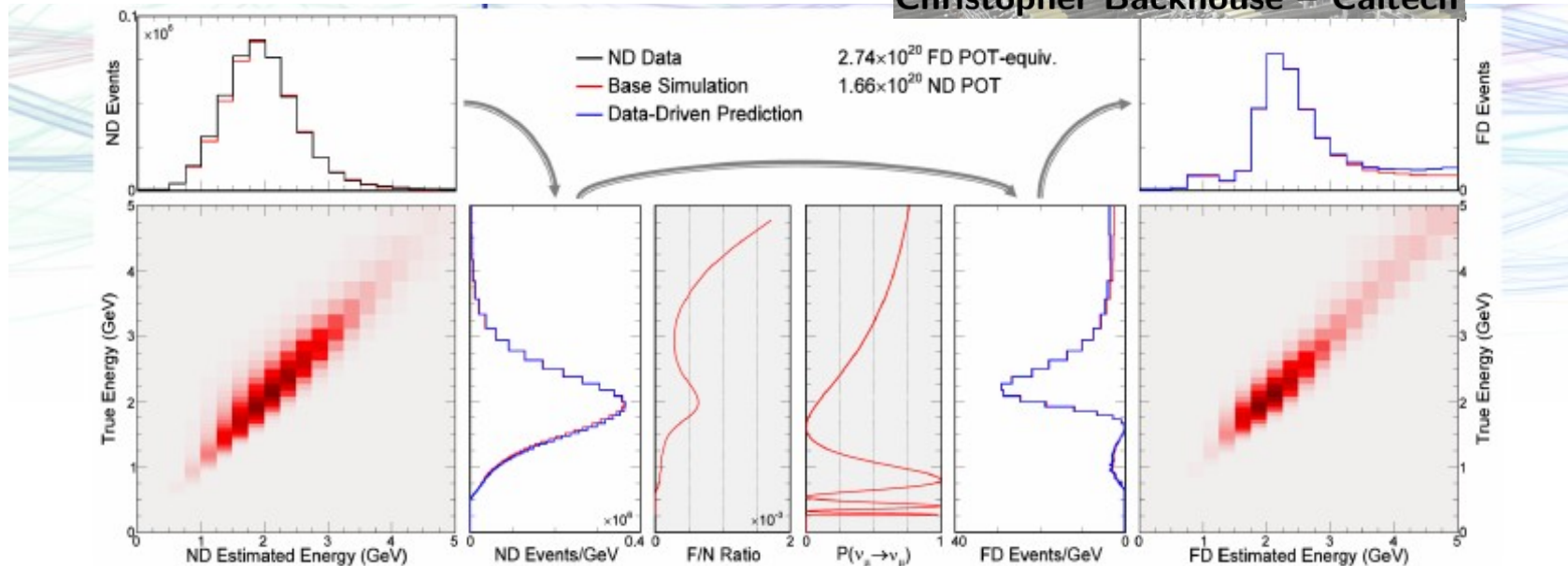


Important to tune model predictions for  $E_{had}$  **NOvA Preliminary**



# ND to FD extrapolation

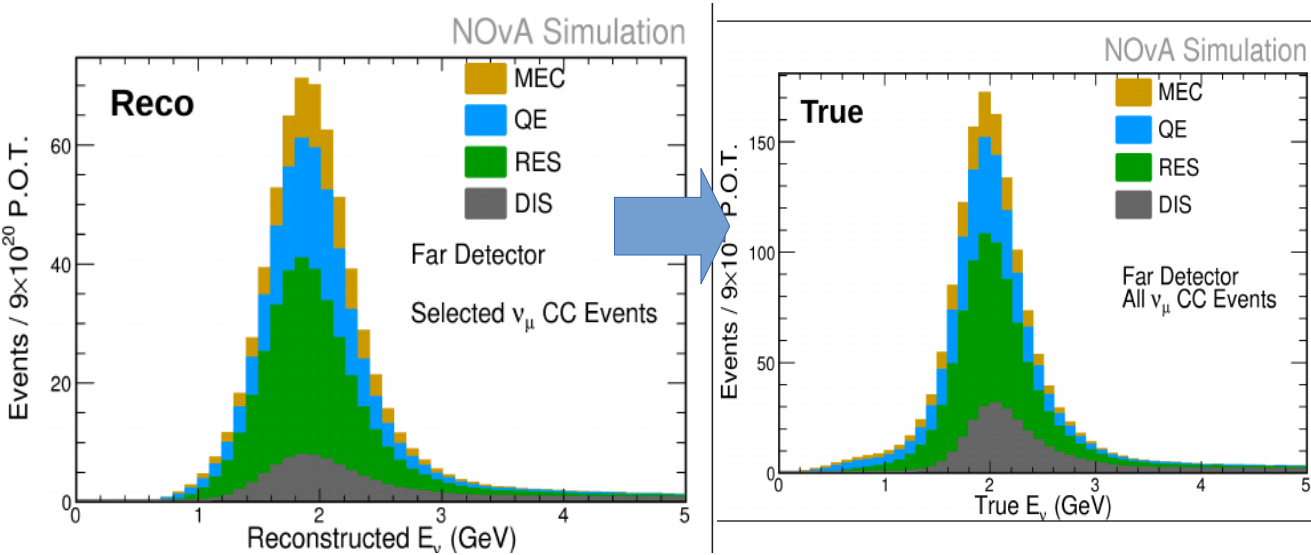
Christopher Backhouse – Caltech



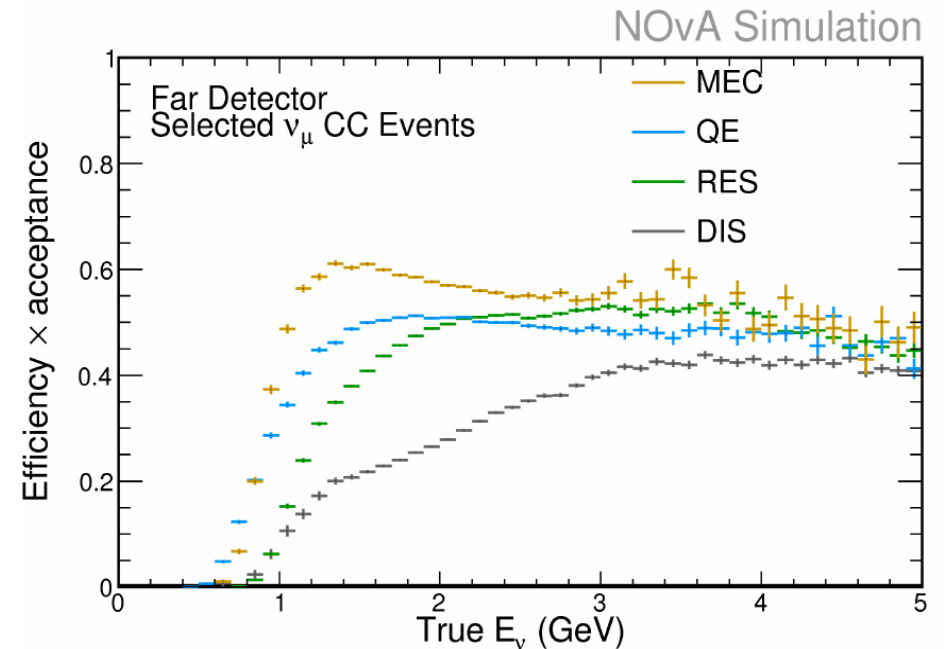
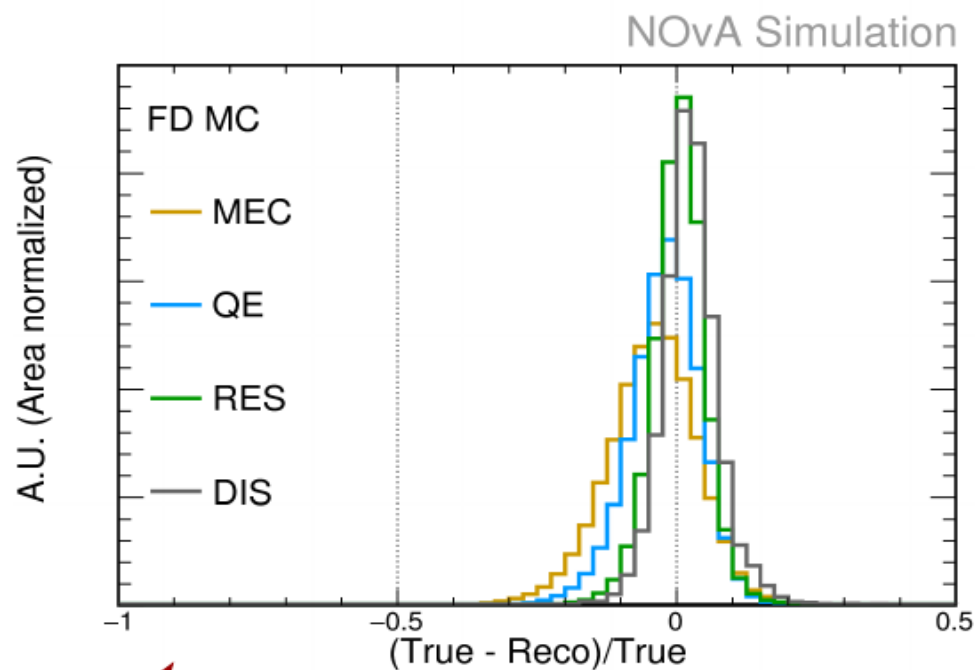
- ▶ Subtract NC expectation in ND, reweight MC in reco energy to match
- ▶ Transform to true energy, transport to FD with oscillations
- ▶ Transform to reco energy, add FD NC expectation back in
- ▶ Dependence on MC for background subtraction and true/reco matrix

Not only detector systematics but also theoretical uncertainties (FSI, multiplicity in the final state, fraction of neutrons...) do affect the true  $\leftrightarrow$  reco correspondance

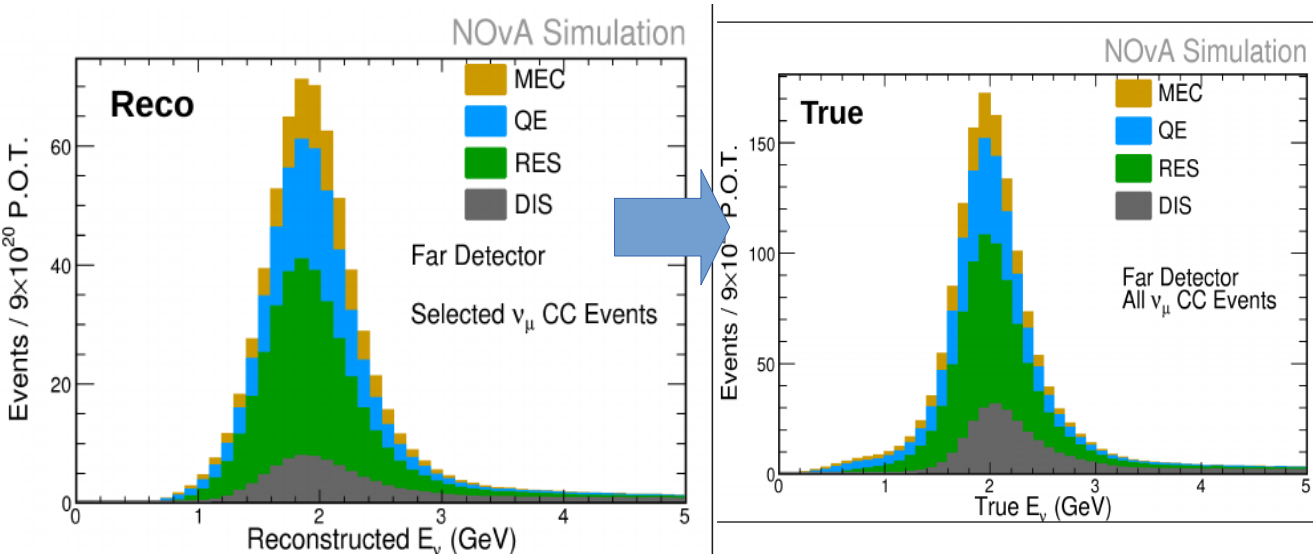
# Resolution, efficiency, acceptance



Each process has different neutrino energy resolution and efficiency: dependence on hadron multiplicity,  $\pi^0$  fraction, kinematics of leptons ...

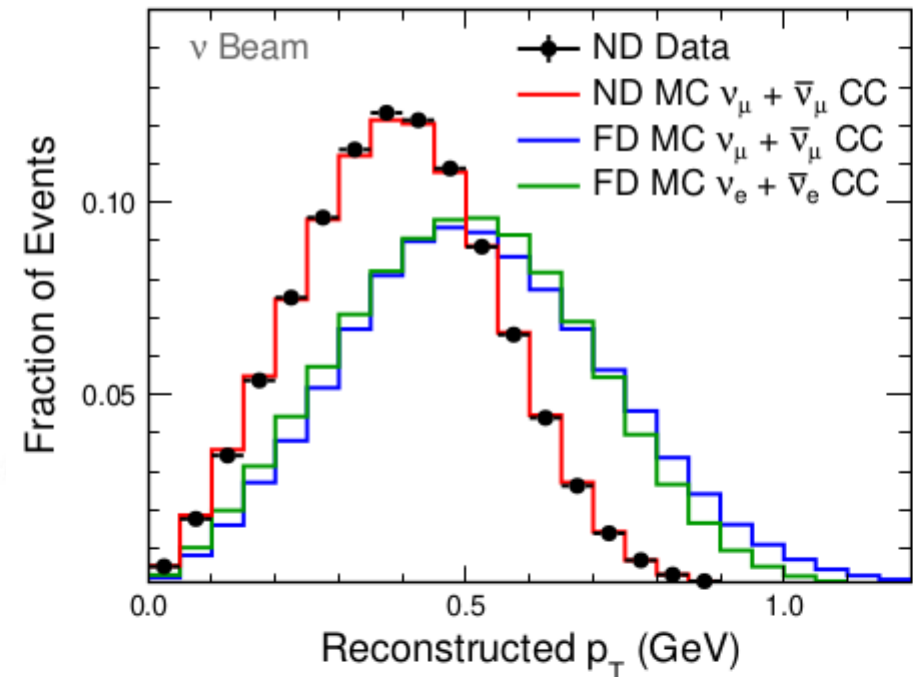
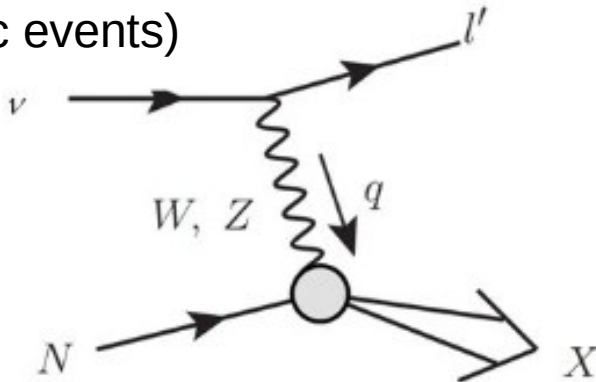


# Resolution, efficiency, acceptance



Each process has different neutrino energy resolution and efficiency: dependence on hadron multiplicity,  $\pi^0$  fraction, kinematics of leptons ...

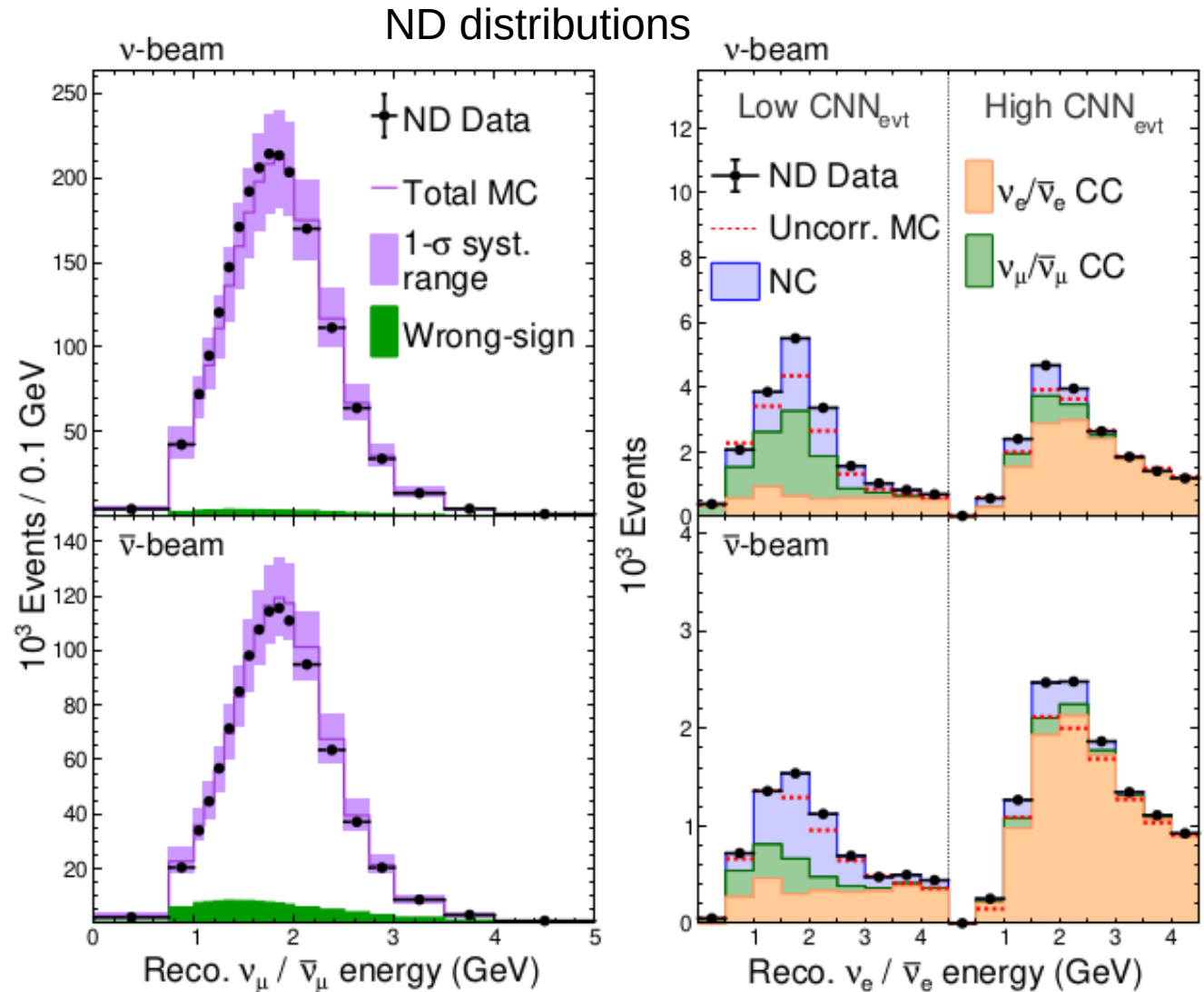
- Due to different detector size, the acceptance of ND and FD is different: transverse momentum of the muon is larger when larger energy/momentum transferred to the nucleus (more inelastic events)





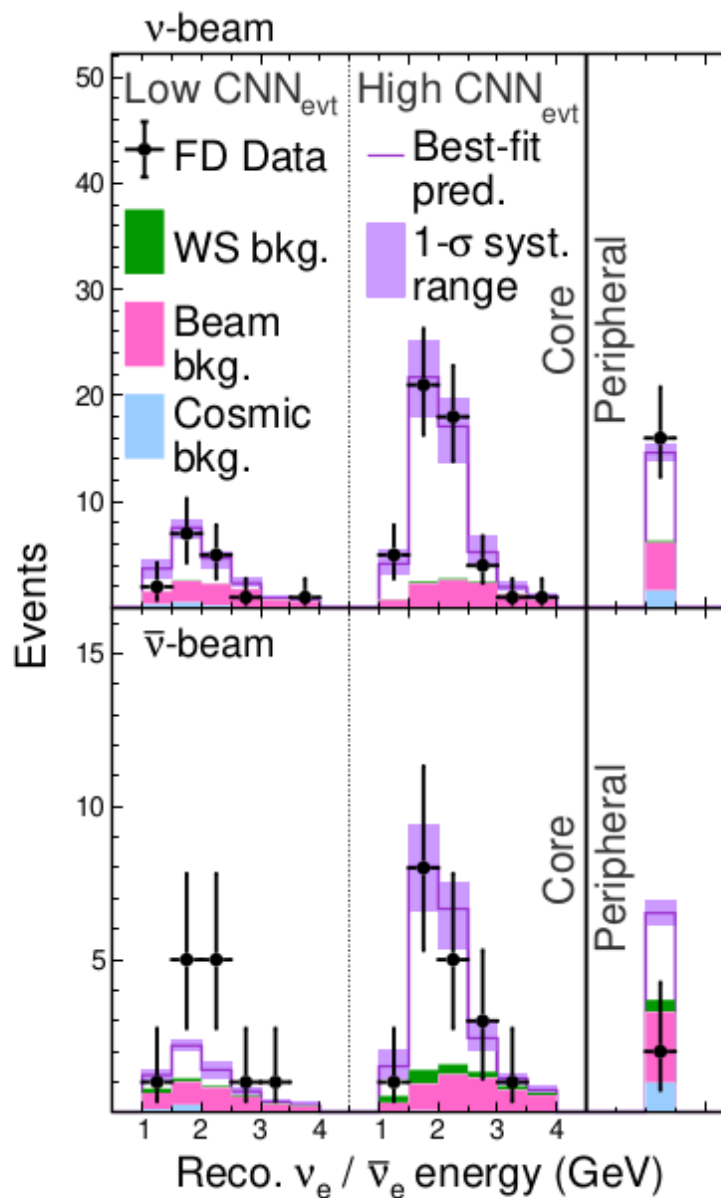
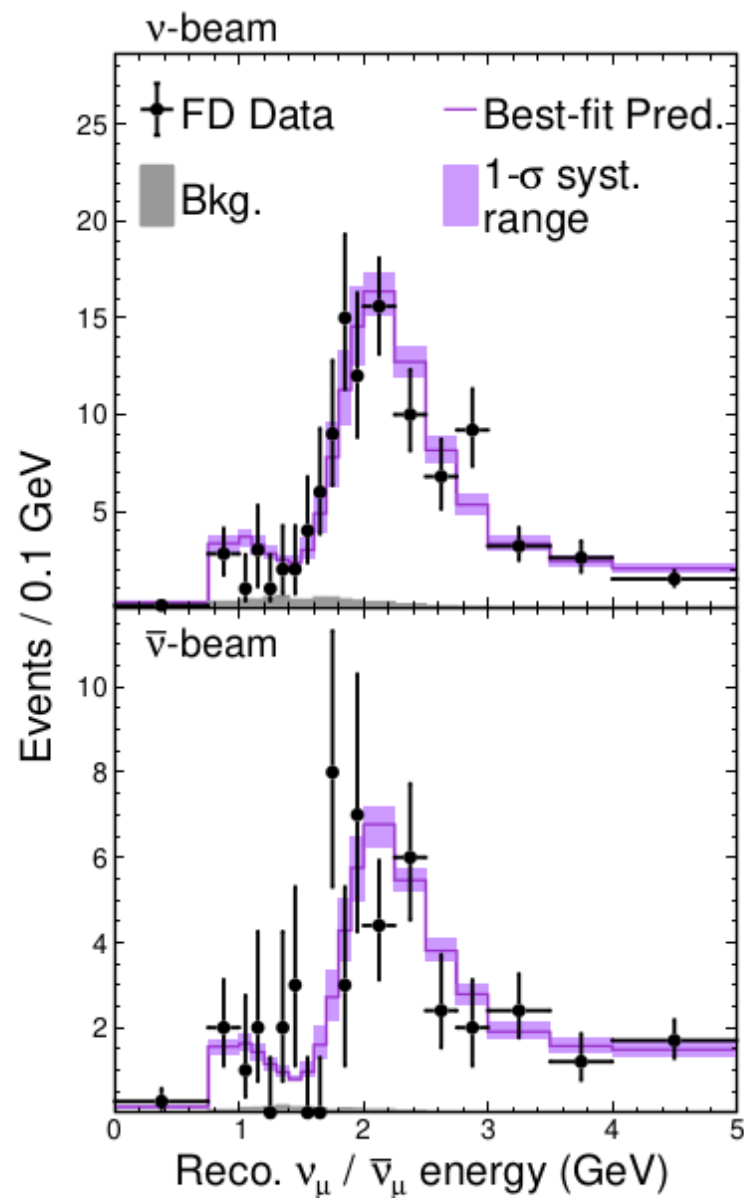
# Selection

- **Inclusive selection:** require one muon/electron. Convolutional Neural Network (CNN to separate nm, ne, NC, cosmogenic background)
  - Electron-like sample subdivided by **CNN score** (different purity)
  - Muon-like sample subdivided by **fraction of hadronic energy** (different resolution)
  - All samples subdivided in **lepton transverse momentum** to minimize impact of different acceptance at ND and FD



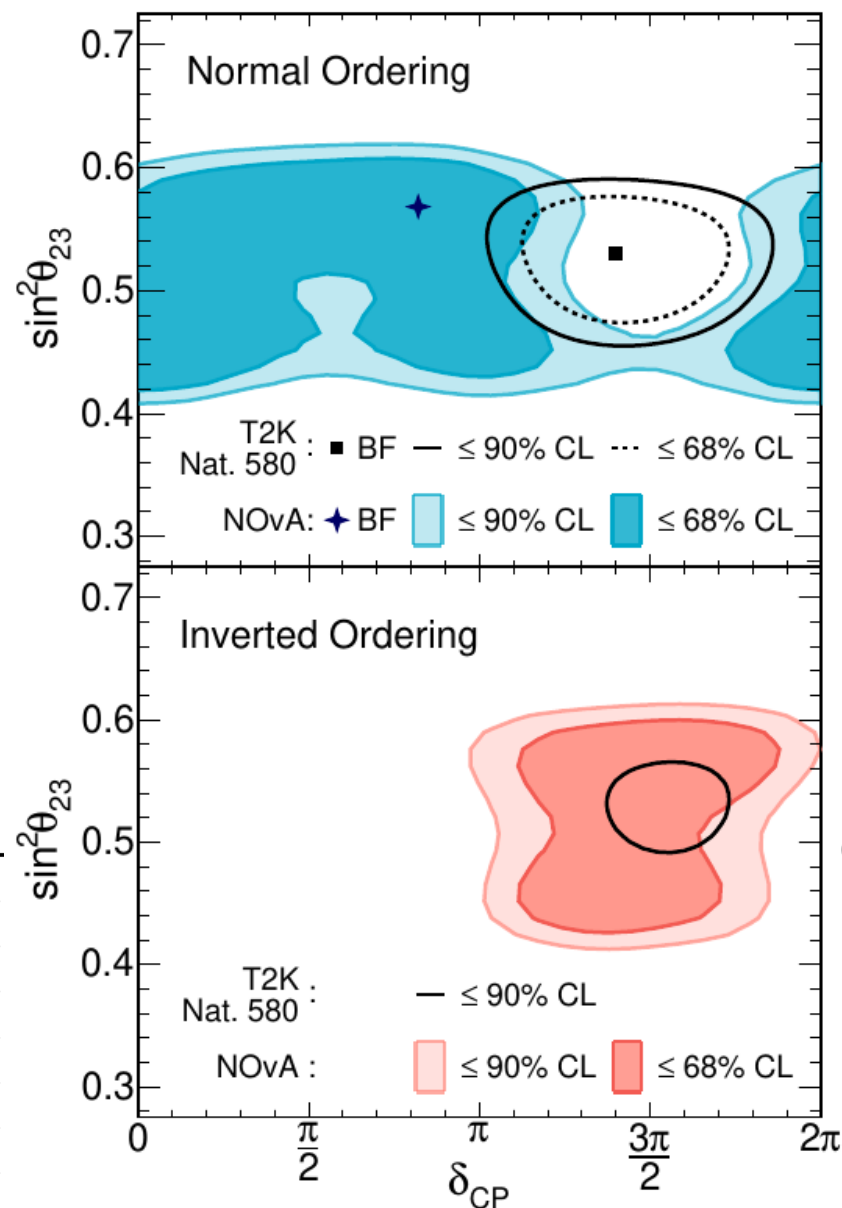
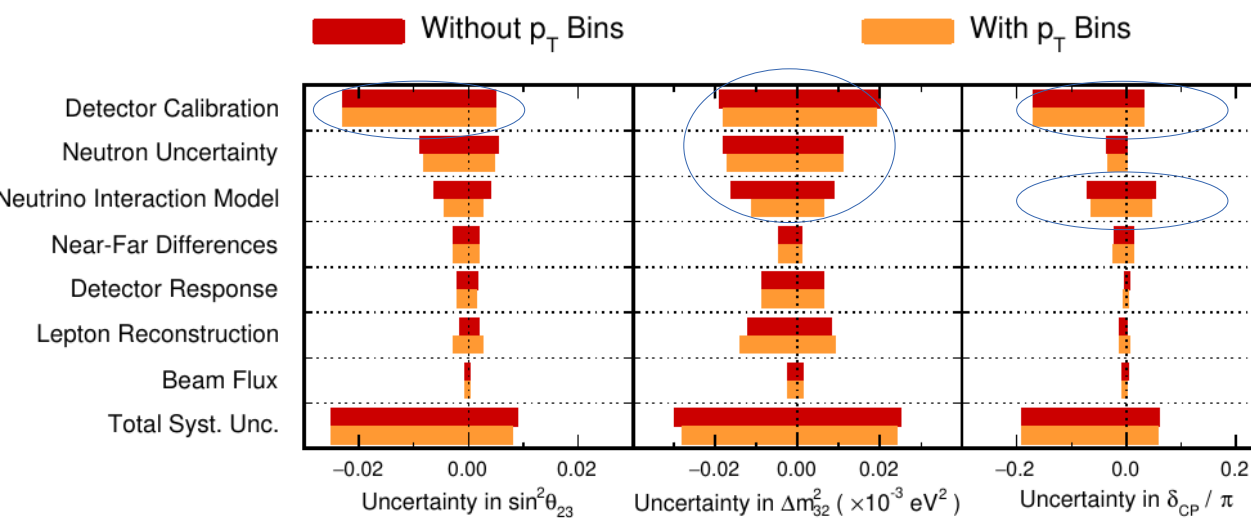
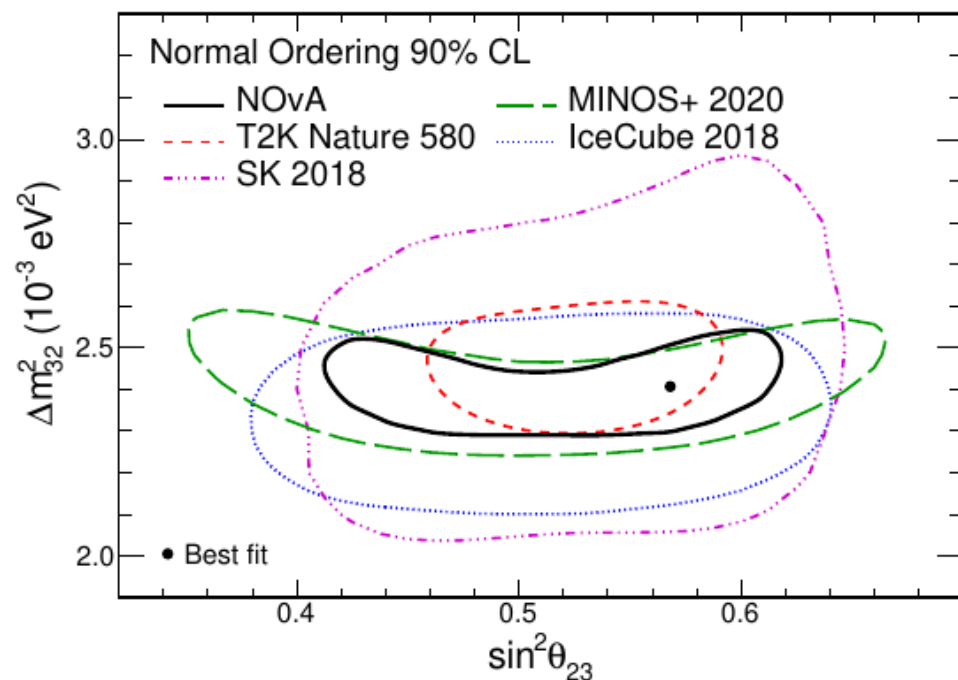
- Measurement of **all the visible energy** in the event to estimate the neutrino energy

# Far detector results



Fit to FD data with "ND-tuned" distribution  
 → extract measurement of oscillation parameters

# Far detector results



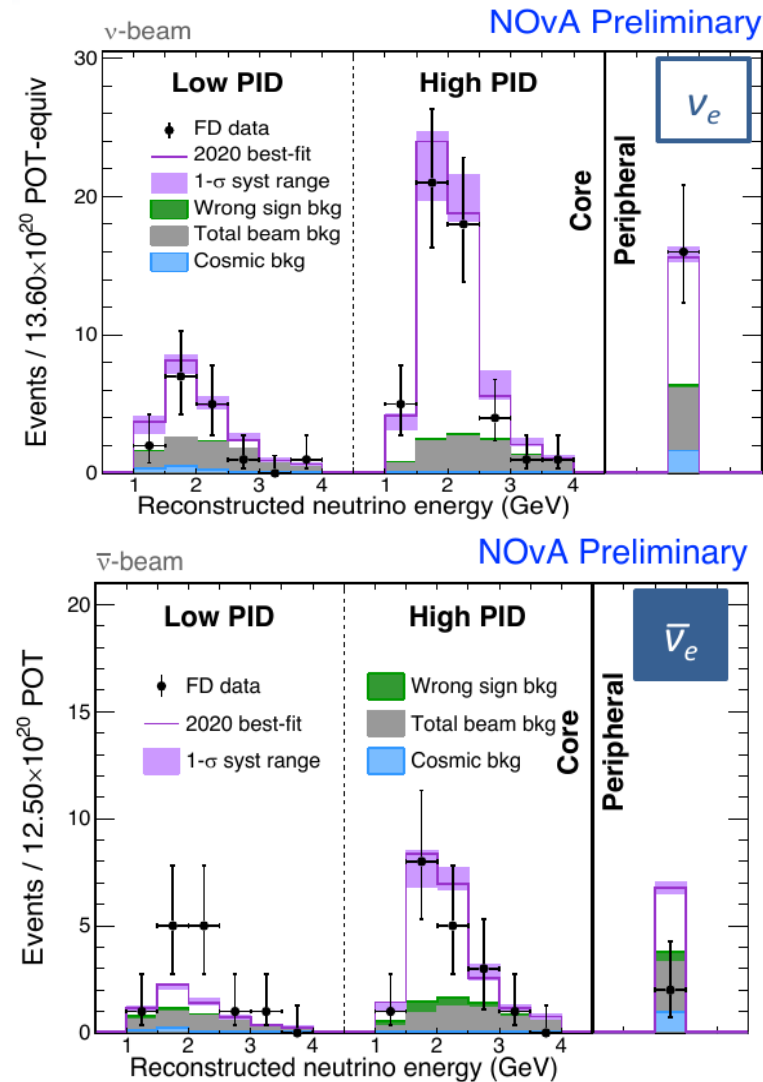
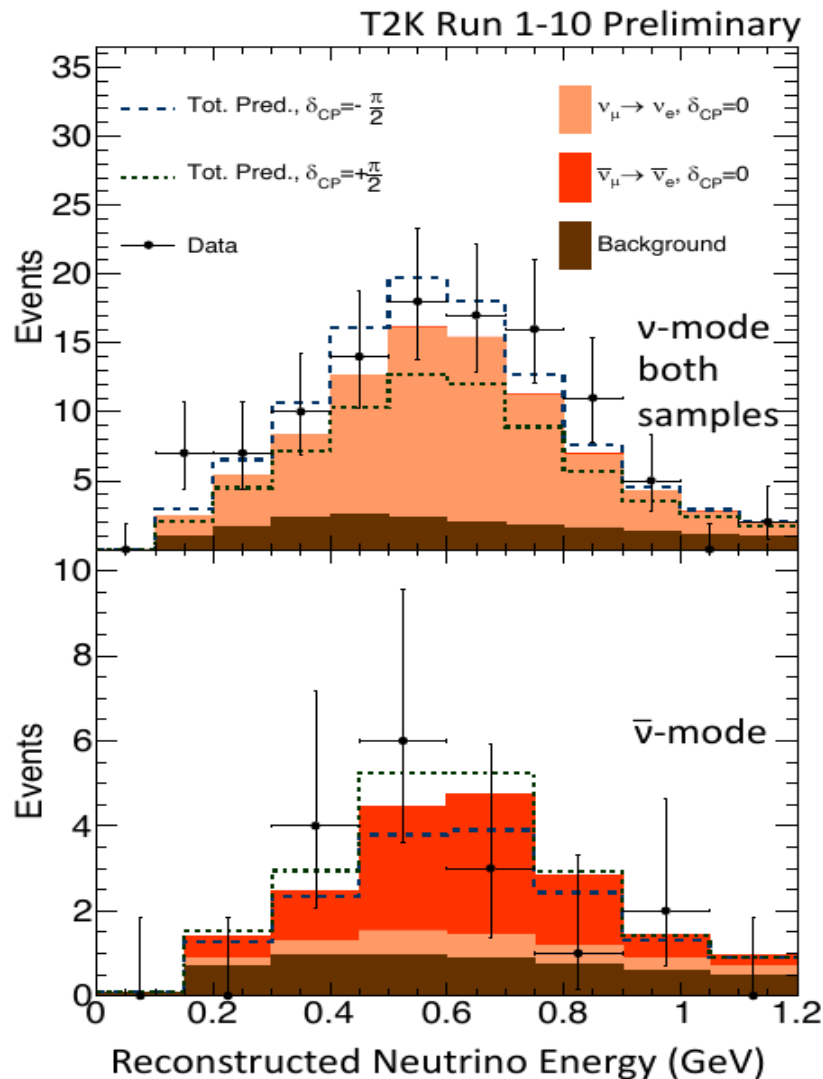
# Limitations and future challenges

---

# $\delta_{CP}$ : statistically limited

The  $\delta_{CP}$  results are dominated by stat uncertainty (limited number of  $\nu_e, \bar{\nu}_e$  events)

→ further data at T2K and NOVA (and next generation of experiments with more powerful beams and enlarged far detector mass)

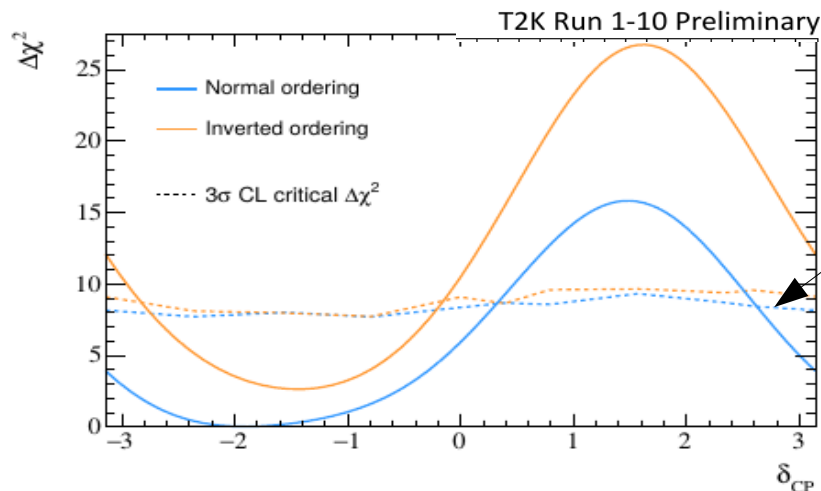




# Statistical treatment: Fieldman Cousin

Treatment of 'nuisances' = parameters in the fit which are profiled or marginalized (e.g.  $\theta_{23}$  and  $\Delta m^2$  in plots of  $\delta_{CP}$ , MO sensitivity)

When uncertainties are not Gaussian, you cannot simply calculate  $\sigma$  as units of  $\Delta\chi^2$  (i.e. the test-statistic has not  $\chi^2$  distribution  $\rightarrow$  need to run toys over all the parameters)



For each values of true  $\delta_{CP}$   $\rightarrow$  look which  $\chi^2$  corresponds to 68%, 95% ...

How to sample nuisances?  
[In Bayesian terms: which prior on nuisances?]

- Near the  $\delta_{CP}$  minimum, obvious way to sample the nuisances: from data results (Asimov at best fit)  
Far from minimum (or for parameters with low sensitivity from data) is less obvious:  
eg, sample over nuisances distribution for Asimov at that true  $\delta_{CP}$  value?

Safe at  $3\sigma$  but what about  $>3\sigma$ ? Studies on-going

- Effect become important because of degeneracies and boundary effects
- Important effect for (future?) high stat results: in practice the region of  $5\sigma$  exclusion may change and does not scale like  $1/\sqrt{N}$ !

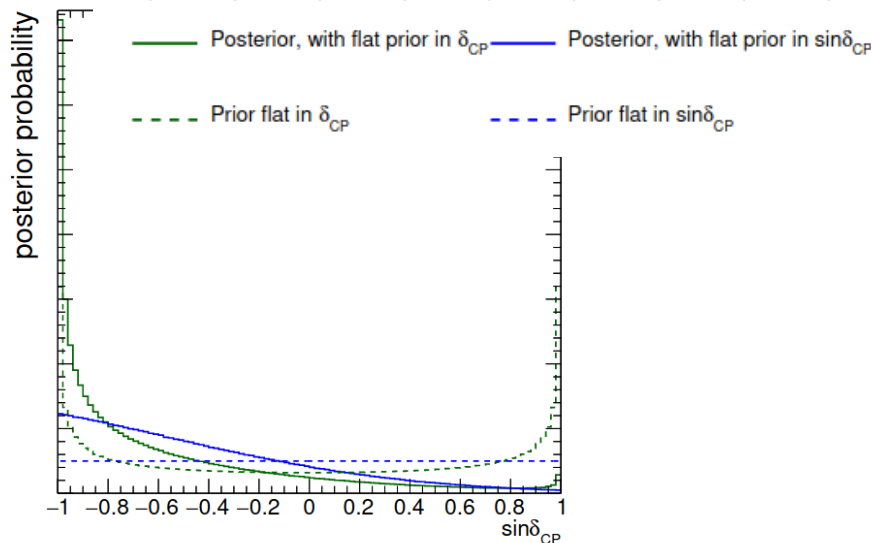
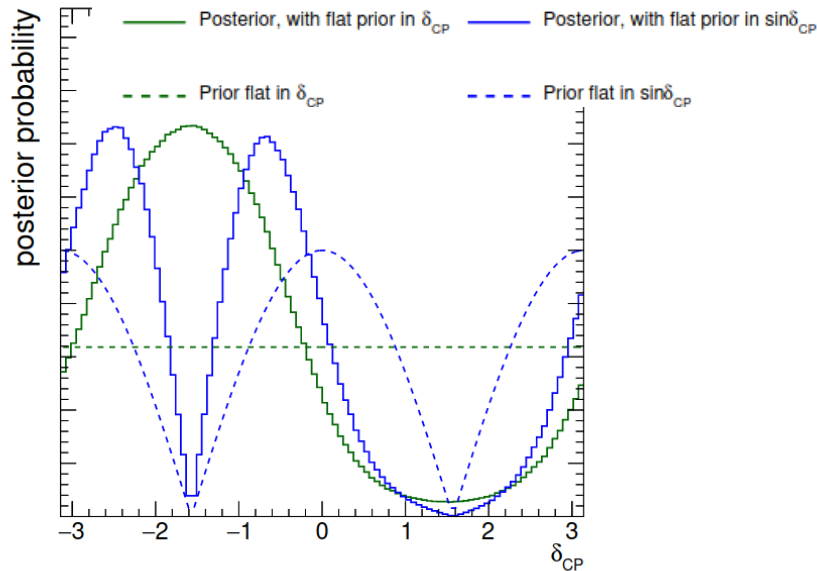
# Statistical treatment: prior

What is the 'physical parameter':

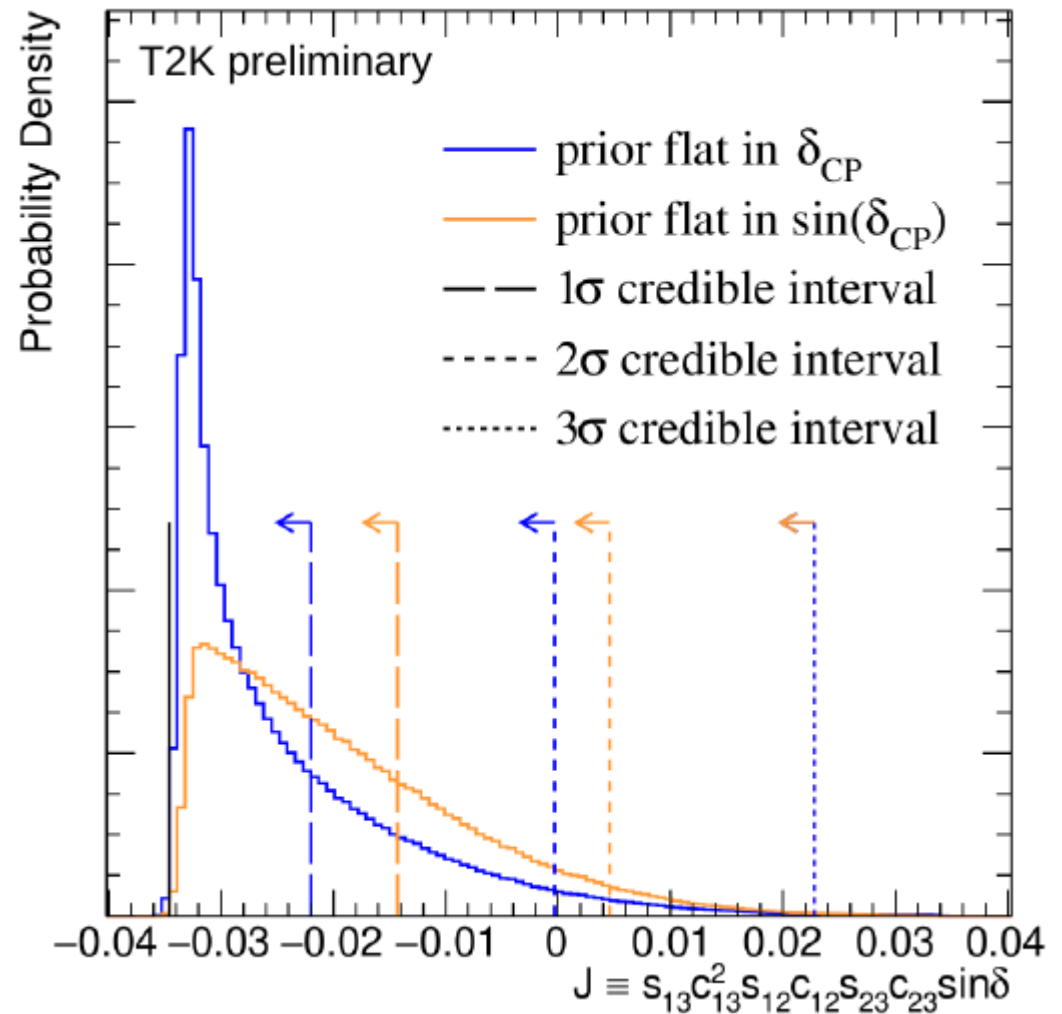
$\delta_{\text{CP}}$  or  $\sin\delta_{\text{CP}}$ ?

Is CPV  $\delta_{\text{CP}}$  not  $0, \pi$  or  $\sin\delta_{\text{CP}}$  not 0?

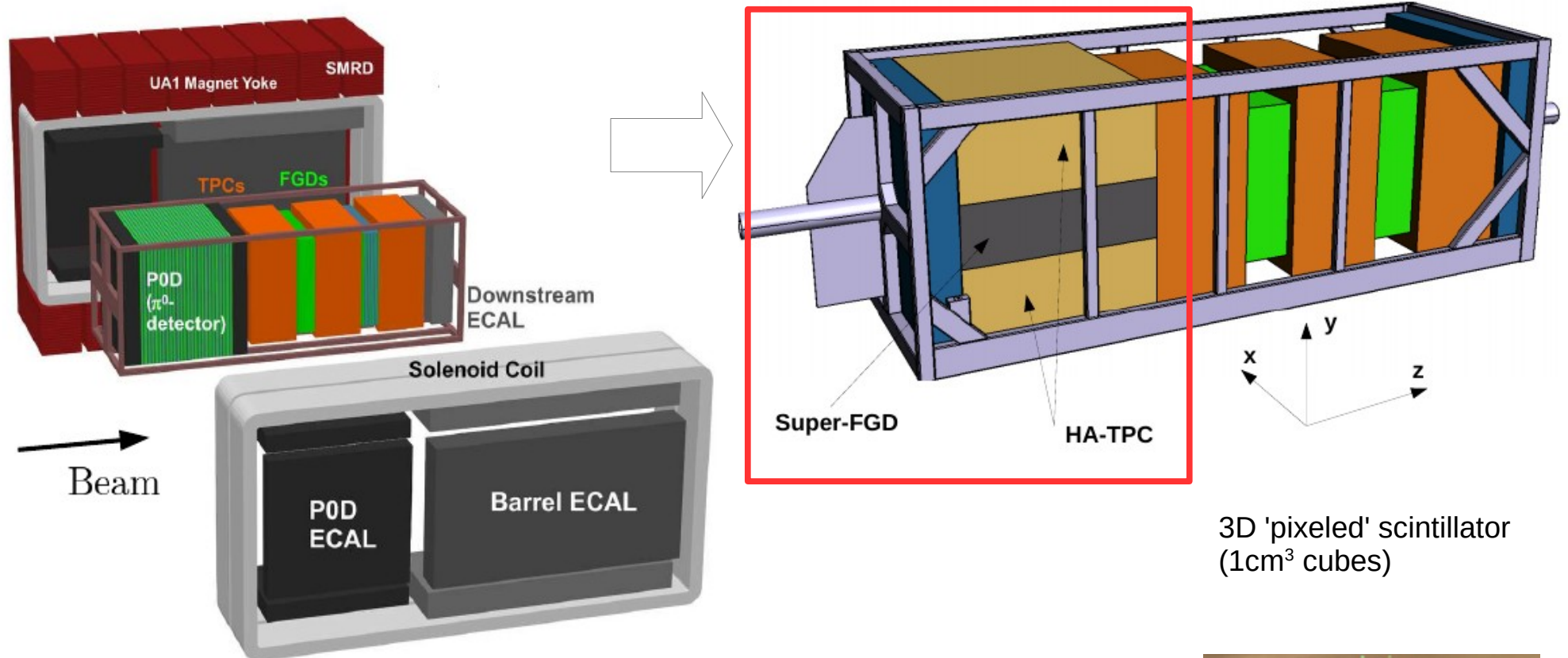
Different priors are possible...



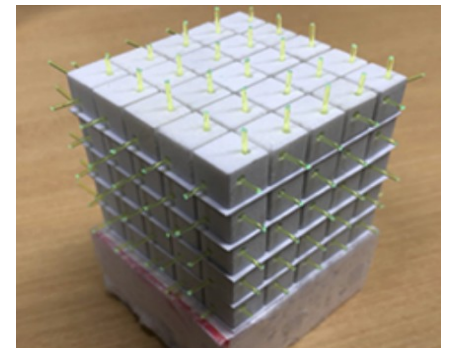
CPV = Jarlskog invariant sign  
(still **impact from prior assumption:**  
flat on  $\delta_{\text{CP}}$  or  $\sin\delta_{\text{CP}}$ ?)



# ND280 → ND280 upgrade

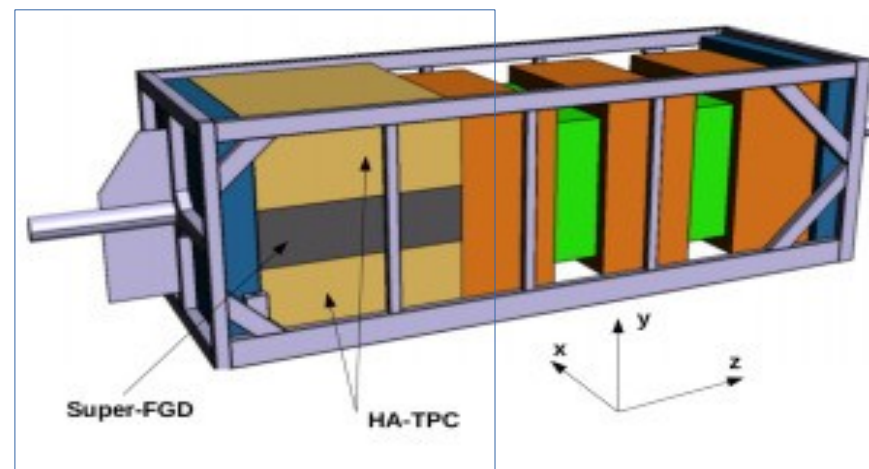
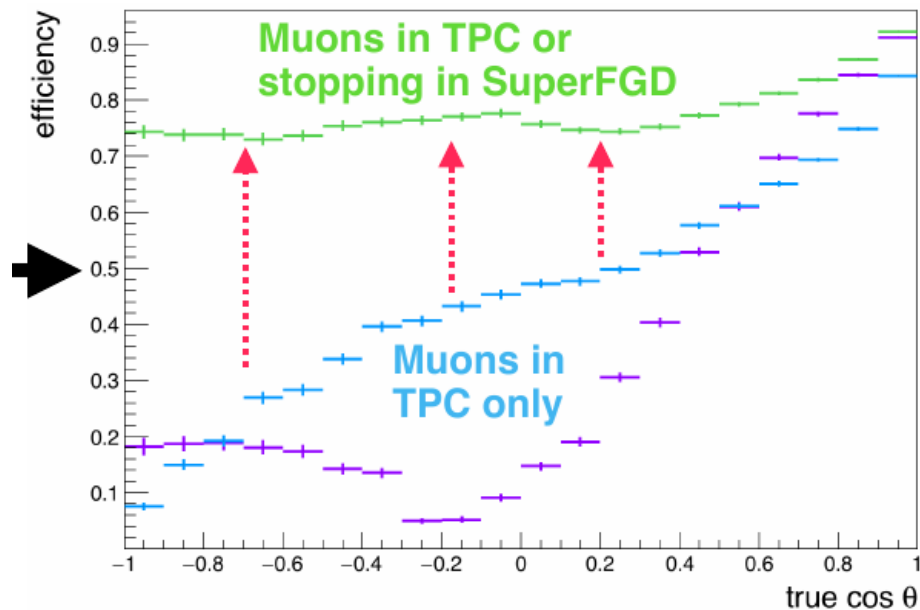


- **New target** with much lower threshold for track reconstruction (p,p)
- **High angle TPCs with resistive Micromegas:** coverage at high angle and improved momentum resolution
- Scintillator planes all around the **new detectors for Time of Flight measurement of charged particles**

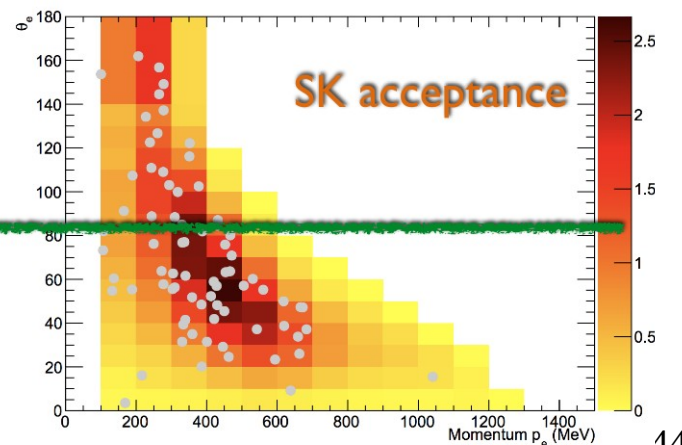
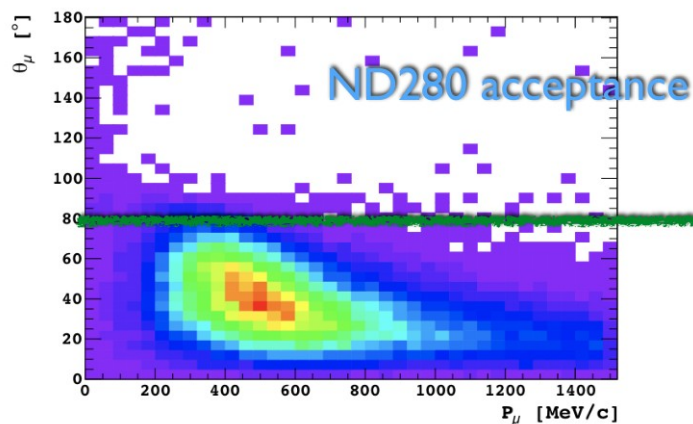
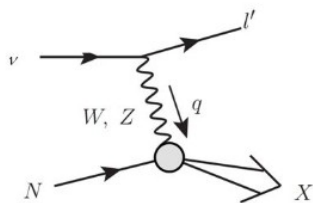


# ND280 upgrade

- larger statistics from new target + improved angular acceptance



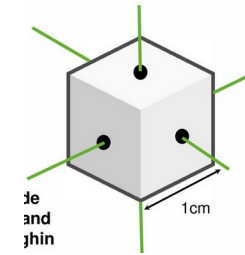
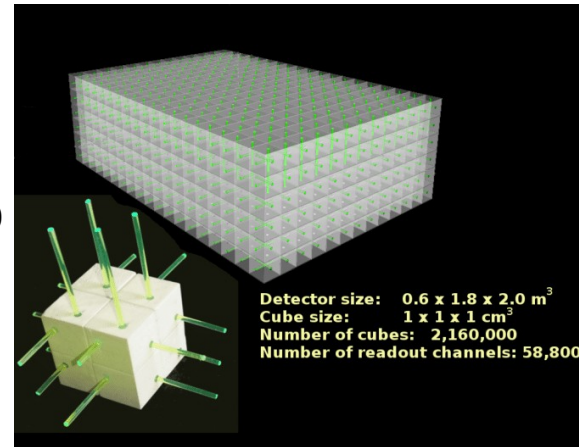
Leptons at larger angle correspond to more inelastic events



# ND280 upgrade

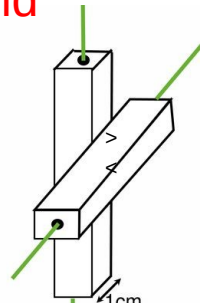
- larger statistics from new target + improved angular acceptance

- proton kinematics measurement down to low momentum threshold

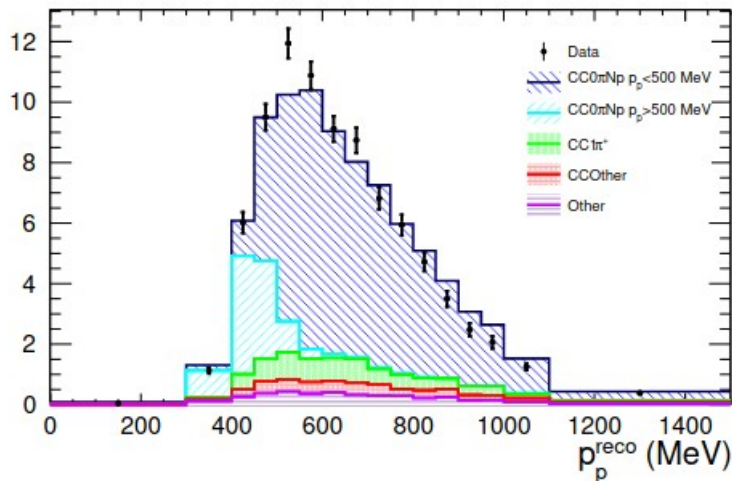


New '3D' scintillating detector

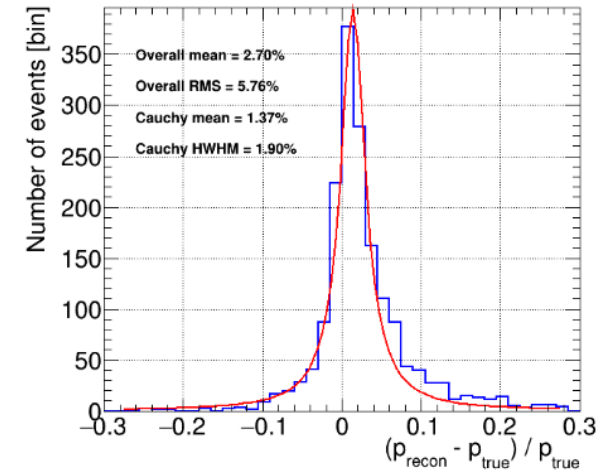
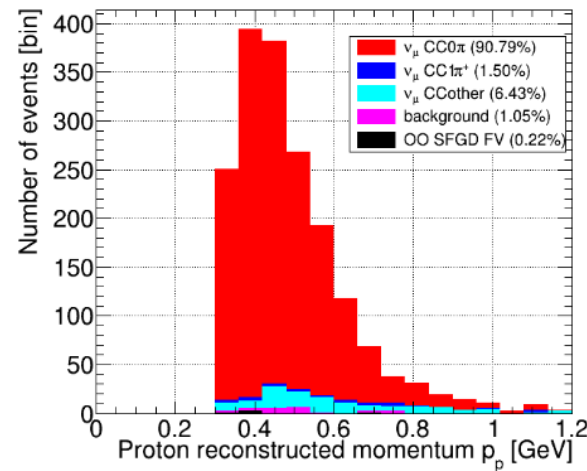
ND280 FGDs are '2D' scintillating detectors



ND280 measurement



ND280 upgrade (ν MC):



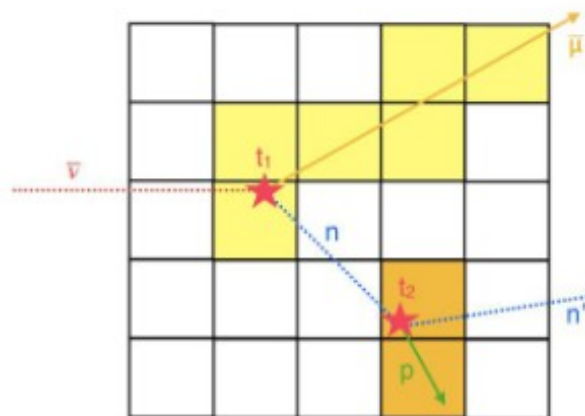


# ND280 upgrade

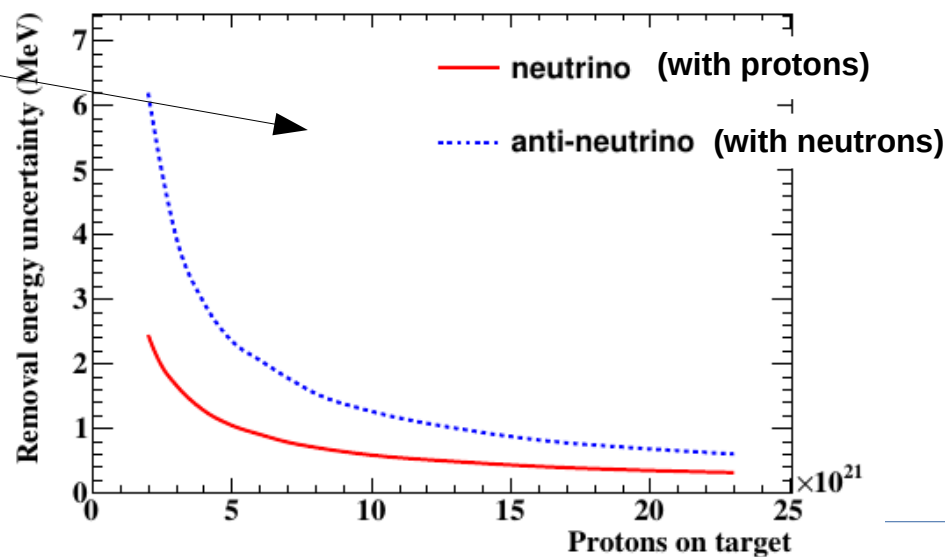
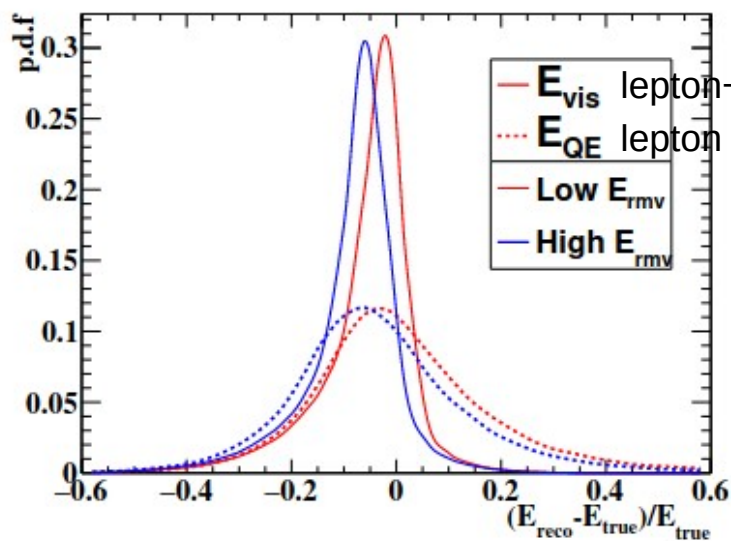
New analysis features are also preparing the road to the analysis of ND280 upgrade data:

- larger statistics from new target + improved angular acceptance
- proton kinematics measurement down to low momentum threshold
- neutron measurement event-by-event: NEW!!!

Time-of-flight technique



New generation of near detectors/analyses : full **exclusive reconstruction of final state for best neutrino energy 'reconstruction'** from outgoing interaction particles



# Systematics

## ■ Crucial role of Near Detectors:

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

ND measures rate vs neutrino energy

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

what we want to measure:  
oscillation probability

cross-section must be extrapolated from ND  
to FD (different neutrino energy distribution)  
→ need good neutrino energy reconstruction  
and good nuclear model

## ■ Important systematics for $d_{CP}$ (MH):

- **difference between  $n$  and  $\bar{n}$  (xsec and flux)**

Notably, “**wrong sign**” background:  $n$  in  $\bar{n}$  mode ( $\pi^+$  focused beam)

- $\pi_e$  **intrinsic background**:  $\pi_e$  produced in the beam by  $K / \rho \rightarrow \pi e \nu$  decays

# Near detectors and nuclear theory

ND measures rate vs neutrino energy before oscillation  
→ characterize flux and xsec

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

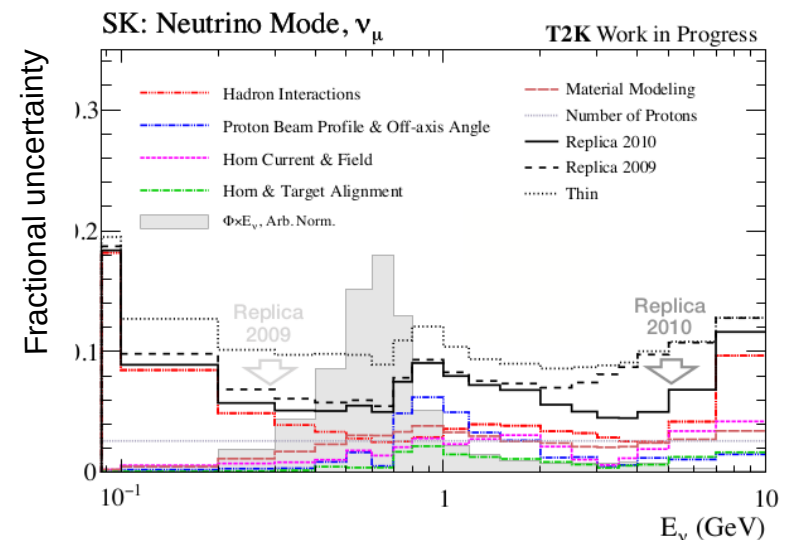
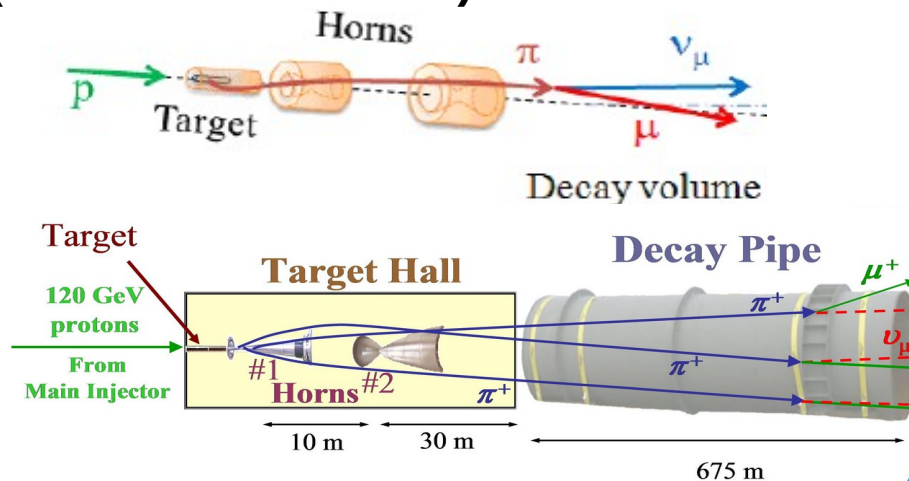
what we want to measure:  
oscillation probability

cross-section must be extrapolated from ND to FD:

- different neutrino energy distribution
- ND measure flux times xsec

**Need nuclear theory models!**

## Flux simulation and tuning (NA61/SHINE + MIPP)



# Near detectors and nuclear theory

ND measures rate vs neutrino energy before oscillation  
→ characterize flux and xsec

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

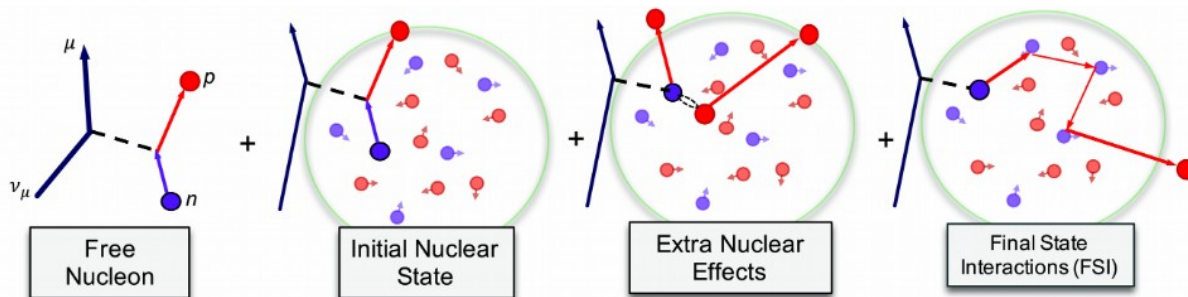
what we want to measure:  
oscillation probability

cross-section must be extrapolated from  
ND to FD:

- different neutrino energy distribution
- ND measure flux times xsec

**Need nuclear theory models!**

**$\nu$ -nucleus interaction  
modeling and tuning**



(and similarly for pion(s) production)

- Nuclear theory
- External data (eg e-scattering)
- $\nu$ -nucleus xsec measurements at near detectors and dedicated experiments (Minerva, ArgoNeuT, ..)

→ fundamentally the name of the game: precise  $E_{\nu}$  reconstruction<sup>149</sup>

# Non standard beams and fluxes

- **Neutrinos from Stored Muons (nuSTORM):**  
beams from the decay of 3.8 GeV muons  
confined within a storage ring

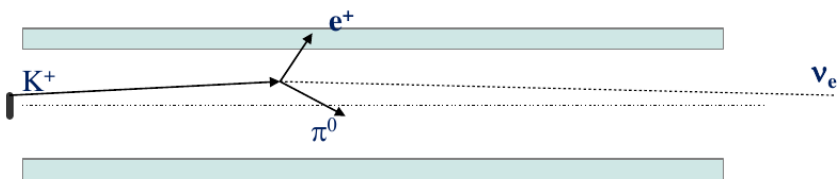


well known energy of neutrinos



large  $\nu_e$  statistics

- **Monitor the production of electrons** in standard  $\nu$   
beam: uncertainty on  $\nu_e$  flux improved by one  
order of magnitude



A. Longhin, L. Ludovici, F. Terranova EPJC 75 (2015) 155

