



Lepton Flavour Violation at LHCb

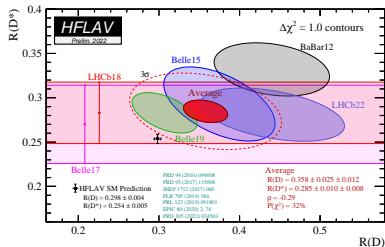
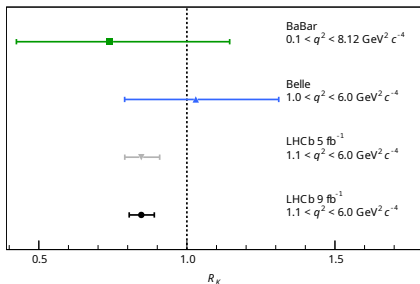
Alexander Battig

On behalf of the LHCb collaboration

Implications of LHCb measurements and future prospects
20th October 2022

Introduction

- Lepton flavour conserved in the Standard Model
- Violated for neutral leptons via neutrino oscillations
- Tensions observed in lepton flavour universality (LFU) measurements
 - $R_{K^+}, R_{K^{*0}}, R_{pK}, R_{K^{*+}/K_S^0}$ measurements in e vs. μ sector
 - $R(D^{(*)}), R(J/\psi), R(\Lambda_c^+)$ in μ vs. τ sector



Nature Phys 18 (2022) 277

HFLAV 2021

- Theoretical models explaining LFU violation also predict lepton flavour violation (LFV) [PRL 114 (2015) 091801]
- Observation of charged LFV would be a clear sign for New Physics (NP)

Where to look

- Aforementioned LFU ratio directly motivate searches [PLB 750 (2015) 367-371], [JHEP12 (2016) 027] for

- $b \rightarrow se\mu$

- $b \rightarrow s\tau\mu$

- Possible in multiple channels, a selection:

$$B_{(s)}^0 \rightarrow e^\pm \mu^\mp$$

$$B^+ \rightarrow K^+ e^\pm \mu^\mp$$

$$B^0 \rightarrow K^{*0} e^\pm \mu^\mp$$

$$B_s^0 \rightarrow \phi e^\pm \mu^\mp$$

$$B_{(s)}^0 \rightarrow \tau^\pm \mu^\mp$$

$$B^+ \rightarrow K^+ \tau^\pm \mu^\mp$$

$$B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$$

- Furthermore interest in baryon/lepton number violation from Grand Unified Theories

→ Studied in $B_{(s)}^0 \rightarrow p\mu^-$

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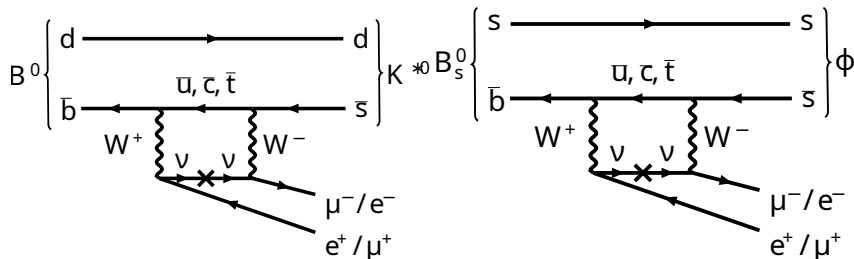
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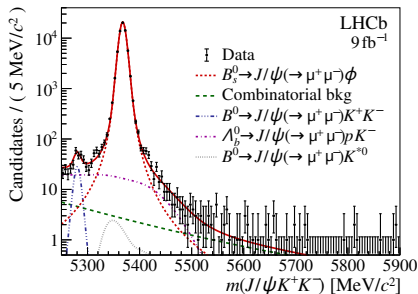
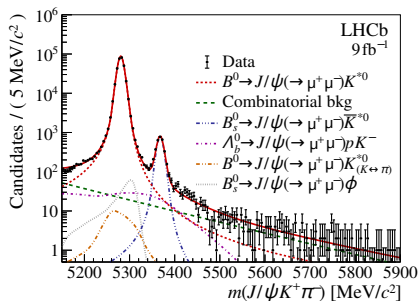
$$B^0 \rightarrow K^{*0} e^\pm \mu^\mp \text{ and } B_s^0 \rightarrow \phi e^\pm \mu^\mp$$

[arxiv:2207.04005]

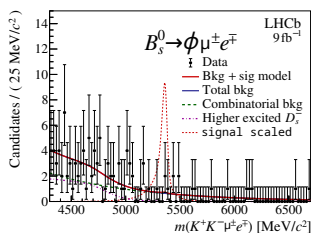
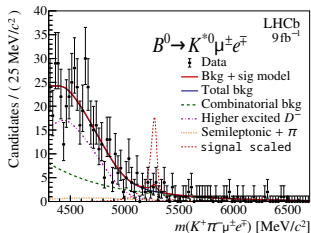
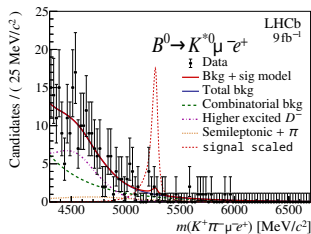
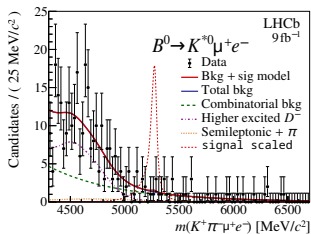
- Analysis presented here first search in these channels at LHCb
- No published measurement for $B_s^0 \rightarrow \phi e^\pm \mu^\mp$
- $B^0 \rightarrow K^{*0} e^\pm \mu^\mp$ treated separately depending on charge configuration of $K\mu$
 - NP and backgrounds differ between charge configurations
- $K^+\pi^-$ (K^+K^-) required close to nominal K^{*0} (ϕ) mass

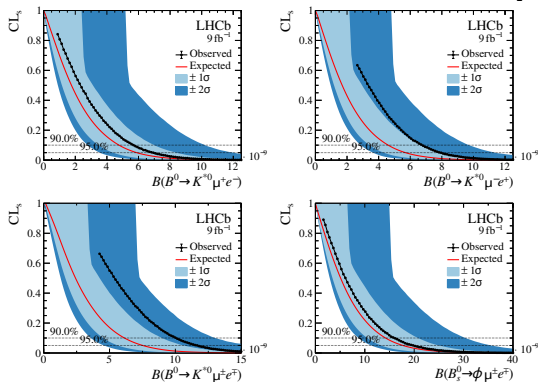


- Vetos to remove semileptonic cascades involving D mesons
- Combinatorial background removed using BDT
- Separate BDT for the K^{*0} and ϕ channels
- Backgrounds from double misidentification ($B \rightarrow (K^{*0}/\phi)\pi^+\pi^-$) reduced with requirements on particle identification
- Normalisation relative to J/ψ modes:
 - $B^0 \rightarrow K^{*0}J/\psi(\rightarrow \mu^+\mu^-)$ and $B_s^0 \rightarrow \phi J/\psi(\rightarrow \mu^+\mu^-)$



- Remaining backgrounds in the sidebands studied in detail
- Higher excited D resonances can pass the veto requirements
- Multiple possible modes studied and described in the fit





- No significant signal observed
- Upper limits at 90(95) % CL determined as

$$\mathcal{B}(B^0 \rightarrow K^{*0} e^+ \mu^-) < 6.8(7.9) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} e^- \mu^+) < 5.7(6.9) \cdot 10^{-9}$$

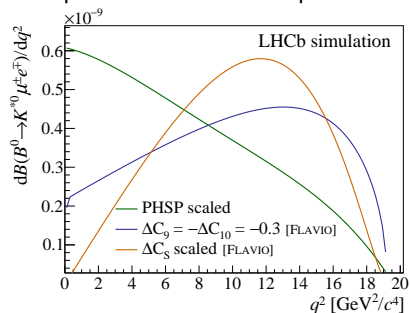
$$\mathcal{B}(B^0 \rightarrow K^{*0} e^\pm \mu^\mp) < 10.1(11.7) \cdot 10^{-9}$$

$$\mathcal{B}(B_s^0 \rightarrow \phi e^\pm \mu^\mp) < 16.9(19.8) \cdot 10^{-9}$$

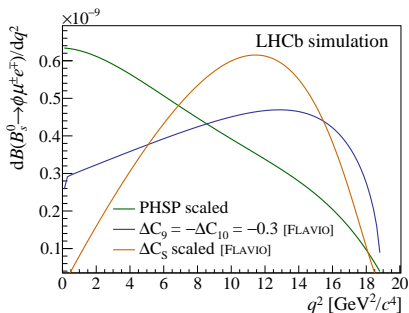
$B \rightarrow (K^{*0}/\phi)e^\pm\mu^\mp$ - NP Result

[arxiv:2207.04005]

- Above limits set assuming uniform phase space
- Distribution can differ significantly in NP models
- Also provide limits on two specific NP scenarios



Scalar model $\mathcal{C}_9^{\mu e} \neq 0$
[EPJC 76 (2016) 134]



Left-handed model $\mathcal{C}_9^{\mu e} = -\mathcal{C}_{10}^{\mu e} \neq 0$
[arxiv:1810.08132]

$$\mathcal{B}(B^0 \rightarrow K^{*0} e^+ \mu^-) < 9.9(11.5) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} e^- \mu^+) < 8.4(10.2) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} e^\pm \mu^\mp) < 14.7(17.0) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \phi e^\pm \mu^\mp) < 18.8(23.1) \cdot 10^{-9}$$

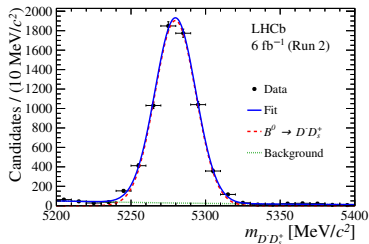
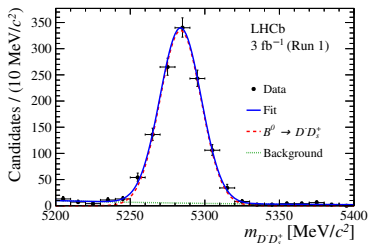
$$\mathcal{B}(B^0 \rightarrow K^{*0} e^+ \mu^-) < 8.0(9.5) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} e^- \mu^+) < 6.7(8.3) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} e^\pm \mu^\mp) < 12.0(13.9) \cdot 10^{-9}$$

$$\mathcal{B}(B_s^0 \rightarrow \phi e^\pm \mu^\mp) < 16.5(20.5) \cdot 10^{-9}$$

- First search for this decay by any experiment
- Separate treatment depending on $K\tau$ charge configuration
- τ^- reconstructed as $\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$ or $\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$
 - Due to lost energy, use corrected mass $\sqrt{p_\perp^2 + m_{K^{*0}\tau\mu}^2} + p_\perp$
 - p_\perp : missing momentum perpendicular to B meson flight direction
- Normalisation relative to $B^0 \rightarrow D^- D_s^+$ with $D^- \rightarrow K^+ \pi^- \pi^-$ and $D_s^+ \rightarrow K^+ K^- \pi^+$



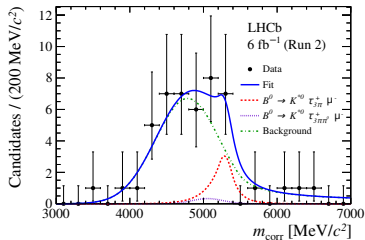
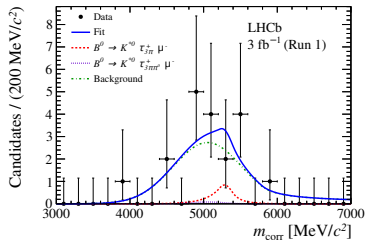
- Two stage multivariate selection
- First BDT against combinatorial background
- Second BDT to suppress charmed mesons identified as τ leptons
 - exploits decay topology of the τ decay
- Requirements on particle identification to remove mis-id
- K^{*0} and τ required close to their nominal mass
- Remaining partially reconstructed background reduced using Fisher discriminant based on features measuring isolation of the candidate from the event
- Lastly, physics backgrounds occurring via D^0 mesons are vetoed specifically

- Fit to the data consists of three components
 - The case $\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$
 - The case $\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$
 - Background
- Shapes of the signal components are fixed from simulation
- Background yields are left floating
- Background shape fixed from control sample with loosened BDT selection

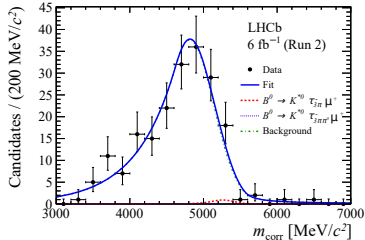
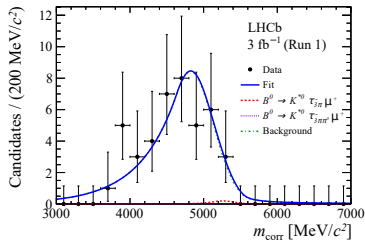
$B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$ - Final fit

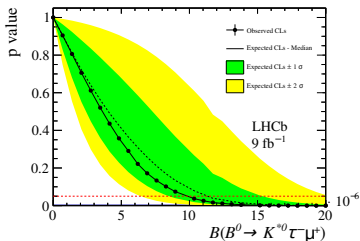
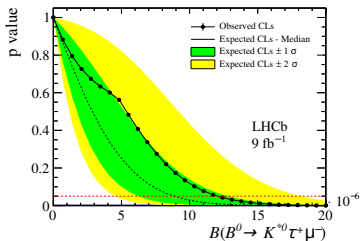
[arxiv:2209.09846]

$K^{*0} \tau^+ \mu^-$:



$K^{*0} \tau^- \mu^+$:





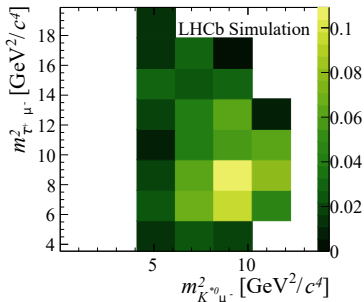
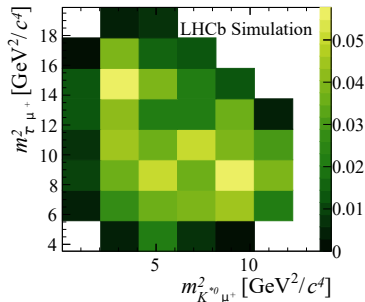
- No significant signal observed
- Upper limits at 90(95) % CL

$$\mathcal{B}(B^0 \rightarrow K^{*0} \tau^+ \mu^-) < 1.0(1.2) \cdot 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \tau^- \mu^+) < 8.2(9.8) \cdot 10^{-6}$$

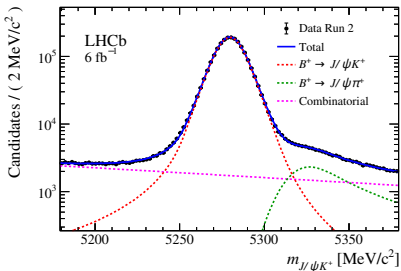
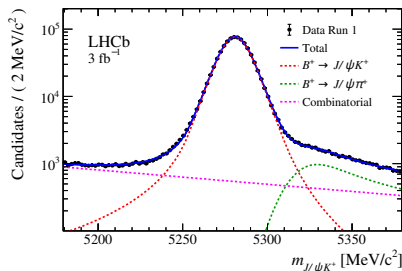
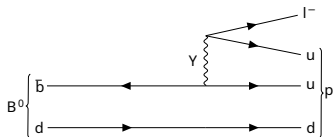
- Most stringent limits on $b \rightarrow s \tau \mu$ transitions to date

- Limits set assuming uniform phase space
 - Provide efficiencies as function of $m_{K^{*0}\mu}^2$ and $m_{\tau\mu}^2$
- Allows to reweight result so specific model

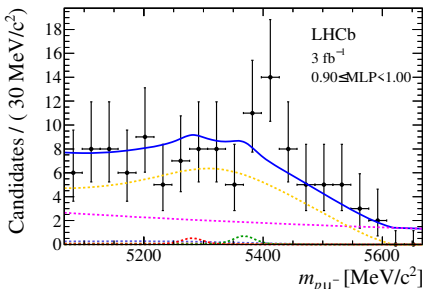
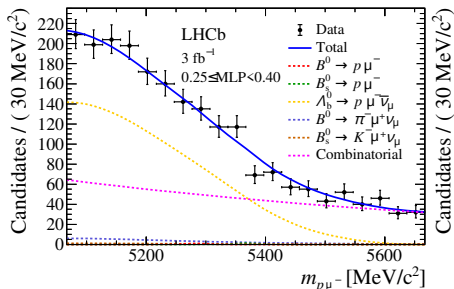


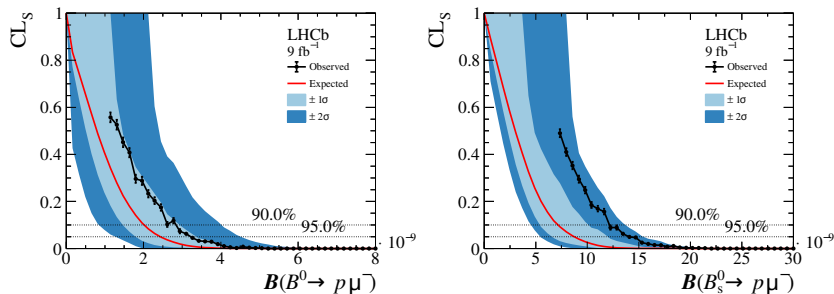
$$B_{(s)}^0 \rightarrow p\mu^-$$

- Baryon/Lepton number violating decay
- Strong suppression in SM ($\mathcal{O}(10^{-27})$)
- Consequence of many Grand Unified Theories
- BNV searched for by several other experiments
- First search for $B_{(s)}^0 \rightarrow p\mu^-$ at LHCb
- Normalisation relative to $B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-)$



- Selection against combinatorial based on multilayer perceptron (MLP)
- Response calibrated based on $B^0 \rightarrow K^+\pi^-$
- Fit to extract branching fraction performed in regions of MLP response
 - lowest is discarded due to high background pollution
- Also physics backgrounds contributing
 - $X_b \rightarrow hh'$ suppressed by PID
 - Semileptonics, especially $\Lambda_b^0 \rightarrow p\mu\nu_\mu$, still contribute





■ First limits on $B_{(s)}^0 \rightarrow p\mu^-$

$$\mathcal{B}(B^0 \rightarrow p\mu^-) < 2.6(3.1) \cdot 10^{-9} \text{ @90(95) \% CL}$$

$$\mathcal{B}(B_s^0 \rightarrow p\mu^-) < 1.2(1.4) \cdot 10^{-8} \text{ @90(95) \% CL}$$

Run 3 prospects

- Run 3 will bring lots of new data
- Also more flexible trigger system
- Opportunity to
 - increase sensitivity on already studied modes
 - broaden our LFV program
- Many additional LFV selection requirements prepared, including

$$B^0 \rightarrow K_s^0 e \mu$$

$$\Lambda_b^0 \rightarrow p K e \mu$$

$$B^+ \rightarrow \pi^+ e \mu$$

$$\Lambda_b^0 \rightarrow \Lambda e \mu$$

$$B_{(s)}^0 \rightarrow \tau e$$

$$\Lambda_b^0 \rightarrow p K \mu \tau$$

$$B_s^0 \rightarrow \phi \mu \tau$$

- Suggestion for additional channels to explore are always welcome
- Which BNV should we explore further that are not excluded by proton decay limits?

Summary

- LFV, together with LFU, provides an interesting probe for NP
- LHCb provides ideal environment for these searches
- No evidence for LFV yet, but stringent limits set
- Several analyses with current data ongoing
- New data from Run 3 will improve sensitivity and allow for many additional searches
- Excitement for LFV not LHCb exclusive, e.g. recent Belle results [JHEP 03 (2021) 105]

Thank you for your attention