

1

# Heavy Fermions and Some Aspects of Physics Beyond the SM

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We shall concentrate our analysis on extensions of the SM with vector-like isosinglet quarks of  $Q = -1/3$  or  $Q = 2/3$ .

Question: Why study them?

What can vector-like quarks do for us?

- Provide a simple self-consistent framework with naturally small violations of  $3 \times 3$  unitarity of  $V^{CKM}$



- Lead to naturally small Flavour Changing Neutral Currents (FCNC) in the down and/or up sector (mediated by  $Z$ )

- Provide the simplest framework to have spontaneous CP violation which generates a non-trivial CKM phase. This is an important requirement since there is experimental evidence of a complex  $V_{CKM}$  even if one allows for the presence of New Physics
- Provide New Physics contributions to  $B_d - \bar{B}_d$  and  $B_s - \bar{B}_s$  mixings.
- Provide a simple solution to the Strong CP-problem, which does not require Axions

- Provide a framework where there is a common origin of all CP violations:
  - (i) CP violation in the quark sector
  - (ii) CP violation in the lepton sector, detectable through neutrino oscillations
  - (iii) CP violation needed to generate the Baryon Asymmetry of the Universe (BAU) through **Leptogenesis**

All these "manifestations" of CP Violation originate in a single phase of the vev of a complex singlet neutral scalar  $S$ :

$$\langle S \rangle = V e^{i\alpha}$$

# A Minimal Model

Consider an extension of the SM where the following fields are added to the SM:

- A vectorial quark  $D^\circ$ :  
Both  $D_L^\circ$  and  $D_R^\circ$  are  $SU(2)_L$  singlets, with charge  $Q = -1/3$

- Three right-handed neutrinos  $\nu_{Rj}^\circ$

- A neutral complex singlet  $S$

- Impose CP invariance at the Lagrangian level

- Introduce a  $Z_4$  symmetry on the Lagrangian, under which:

$$\Psi_L^\circ \rightarrow i\Psi_L^\circ \quad e_{Rj}^\circ \rightarrow ie_{Rj}^\circ \quad \nu_{Rj}^\circ \rightarrow i\nu_{Rj}^\circ$$

$$D^\circ \rightarrow -D^\circ \quad ; \quad S \rightarrow -S$$

All other fields are invariant under  $Z_4$

Since we impose CP invariance at the Lagrangian level, all couplings are real. CP is spontaneously broken by the vacuum:

The Scalar potential contains various terms which do not have phase dependence, but there are terms with phase dependence:

$$V_{\text{phase}} = \left( \mu^2 + \lambda_1 S^* S + \lambda_2 \phi^\dagger \phi \right) (S^2 + S^{*2}) + \lambda_3 (S^4 + S^{*4})$$

There is a range of the parameters of the Higgs potential, where the minimum is:

$$\langle \phi^0 \rangle = \frac{v}{\sqrt{2}} \quad ; \quad \langle S \rangle = \frac{V}{\sqrt{2}} e^{i\theta}$$

Most general  $SU(2) \times U(1) \times Z_4$  invariant

Yukawa couplings in the quark sector:

$$\mathcal{L}_Y = -(\bar{u}^{\circ} \bar{d}^{\circ})_{Li} [g_{ij} d_{Rj}^{\circ} + h_{ij} \tilde{\phi} u_{Rj}^{\circ}] - \bar{M} (\bar{D}_L^{\circ} D_R^{\circ}) - (f_i S + f_i' S^*) \bar{D}_L^{\circ} d_{Ri}^{\circ} + h.c.$$

Quark mass-matrix for down-type quarks:

$$\begin{matrix} \left[ \bar{d}_{1L}^{\circ} \bar{d}_{2L}^{\circ} \bar{d}_{3L}^{\circ} \bar{D}_L^{\circ} \right] & \begin{matrix} \nearrow 3 \times 3, \text{ real} \\ \left[ \begin{array}{c|c} m_d & 0 \\ \hline M_1 M_2 M_3 & \bar{M} \end{array} \right] \end{matrix} & \begin{bmatrix} d_{1R}^{\circ} \\ d_{2R}^{\circ} \\ d_{3R}^{\circ} \\ D_R^{\circ} \end{bmatrix} \end{matrix}$$

$\mathcal{M} \rightarrow 4 \times 4$

$$M_j = f_j V e^{i\theta} + f_j' V e^{-i\theta}$$

$$U_L^{\dagger} (\mathcal{M} \mathcal{M}^{\dagger}) U_L = \begin{bmatrix} d^2 \\ D^2 \end{bmatrix}$$

$$U = \begin{bmatrix} K & R \\ S & T \end{bmatrix}$$

$$K^{-1} \left[ m_d m_d^{\dagger} - \frac{m_d M^{\dagger} M m_d^{\dagger}}{M M^{\dagger} + \bar{M}^2} \right] K = d^2$$

A remarkable feature of the Model:

The phase  $\Theta$  arising from  $\langle S \rangle$  generates a non-trivial CKM phase, provided  $|M_j|$  and  $\bar{M}$  are of the same order of magnitude.

$$K^{-1} (m_{\text{eff}} m_{\text{eff}}^\dagger) K = \text{diag.} (m_d^2, m_s^2, m_b^2)$$

$$m_{\text{eff}} m_{\text{eff}}^\dagger = m_d m_d^\dagger - \frac{m_d M^\dagger M m_d^\dagger}{M M^\dagger + \bar{M}^2}$$

$$M_j = (f_i V e^{i\theta} + f'_j V e^{-i\theta})$$

$|M_j|$ ,  $|\bar{M}|$  can naturally be of the same order of magnitude



Suppose that one drops the requirement of  $3 \times 3$  unitarity.

How many independent parameters are there in  $V_{CKM}$ ?

$$\begin{bmatrix} V_{ud} & V_{us} & V_{ub} & \dots \\ V_{cd} & V_{cs} & V_{cb} & \dots \\ V_{td} & V_{ts} & V_{tb} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

9 moduli + 4 rephasing invariant phases  
= 13 parameters

$$\beta \equiv \arg(-V_{cd} V_{tb} V_{cb}^* V_{td})$$

$$\gamma \equiv \arg(-V_{ud} V_{cb} V_{ub}^* V_{cd}^*)$$

$$\chi \equiv \arg(-V_{cb} V_{ts} V_{cs}^* V_{tb}^*)$$

$$\chi' \equiv \arg(-V_{us} V_{cd} V_{ud}^* V_{cs}^*)$$

10

The SM with 3 generations predicts a series of **exact relations** among these **13 measurable** (in principle) quantities. Violation of any of these **exact relations** signals the presence of **New Physics** which may involve deviations of  $3 \times 3$  unitarity **or not**.

The presence of **New Physics** contributions to  $B_d - \bar{B}_d$  and  $B_s - \bar{B}_s$  mixings **affects** the extraction of  $|V_{td}|$ ,  $|V_{ts}|$  from the data, even in the framework of **New Physics** which respects  $3 \times 3$  unitarity

Example:

SUSY extensions of the SM

In many of the *extensions of the SM* the dominant effect of *New Physics* arises from New contributions to  $B_d - \bar{B}_d$  and  $B_s - \bar{B}_s$  mixings, which is convenient to parametrize as:

$$M_{12}^q = (M_{12}^q)^{SM} r_q^2 e^{2i\theta_q} \quad q = d, s$$

↓

$$\Delta M_{B_d} = r_d^2 (\Delta M_{B_d})^{SM} \rightarrow \text{affects the extraction of } |V_{td}| \text{ from } \Delta M_{B_d}$$

$$\Delta M_{B_s} = r_s^2 (\Delta M_{B_s})^{SM} \rightarrow \text{affects the extraction of } |V_{ts}| \text{ from } \Delta M_{B_s}$$

$$S_{J/\psi K_S} = \sin(2\beta + 2\theta_d) = \sin(2\bar{\beta})$$

$$S_{\rho^+ \rho^-} = \sin(2\alpha - 2\theta_d) = \sin(2\bar{\alpha})$$

How to detect the presence of **New Physics**?

Answer: Use the exact relations predicted by the SM.

$$(db) \quad |V_{ub}| = \frac{|V_{cd}||V_{cb}|}{|V_{ud}|} \frac{\sin\beta}{\sin(\delta+\beta)} \rightarrow \text{extraction of } \theta_d$$

$$(sb) \quad \sin\chi = \frac{|V_{us}||V_{ub}|}{|V_{cs}||V_{cb}|} \sin(\delta-\chi+\chi') \rightarrow \text{extraction of } \theta_s$$

$$\sin\chi = \frac{|V_{us}||V_{cd}||V_{cb}|}{|V_{ts}||V_{tb}||V_{ud}|} \frac{\sin\beta \sin(\delta+\chi')}{\sin(\delta+\beta)}$$

well approximated by:

$$\sin\chi \approx \frac{|V_{us}|^2}{|V_{ud}|^2} \frac{\sin\beta \sin\delta}{\sin(\delta+\beta)}$$

Silva, Wolfenstein

$$\sin\chi = \frac{|V_{td}|}{|V_{ts}|} \frac{|V_{cd}|}{|V_{cs}|} \sin\beta$$

$\Rightarrow$  If either  $(\delta, \chi)$  or  $\left(\frac{\Delta M_{B_d}}{\Delta M_{B_s}}, \chi\right)$

are measured with some precision, one has novel stringent tests of the SM where contribution of New Physics can be large

Extraction of  $\theta_d$  :

$$\tan \theta_d = \frac{R_u \sin(\delta + \bar{\beta}) - \sin \bar{\beta}}{\cos \bar{\beta} - R_u \cos(\delta + \bar{\beta})}$$

where

$$R_u = \frac{|V_{ud}| |V_{ub}|}{|V_{cd}| |V_{cb}|}$$

Extraction of  $\theta_s$  :

$$\tan \theta_s = \frac{\sin \bar{\chi} - C \sin(\delta - \bar{\chi})}{C \cos(\delta - \bar{\chi}) + \cos \bar{\chi}}$$

$$C = \frac{|V_{us}| |V_{ub}|}{|V_{cs}| |V_{cb}|}$$

Naturally small  
deviations of  
 $3 \times 3$  unitarity

Naturally small  
Flavour-Changing  
Neutral Currents

For definiteness consider the case of one  
isosinglet  $Q = -1/3$  quark.

$$\mathcal{L}_W = -\frac{g}{\sqrt{2}} (\bar{u} \bar{c} \bar{t})_L \gamma^\mu \left[ \begin{array}{cc} K & R \end{array} \right] \left[ \begin{array}{c} d \\ s \\ b \\ D \end{array} \right] W_\mu^+$$

$$\mathcal{L}_Z = -\frac{g}{2 \cos \theta_W} \left\{ (\bar{u} \bar{c} \bar{t})_L \gamma^\mu \left[ \begin{array}{c} u \\ c \\ t \end{array} \right]_L - \right.$$

$$\left. - \left[ \bar{d} \bar{s} \bar{b} \bar{D} \right]_L \left[ \begin{array}{cc} K^\dagger K & K^\dagger R \\ R^\dagger K & R^\dagger R \end{array} \right] \left[ \begin{array}{c} d \\ s \\ b \\ D \end{array} \right] - \sin^2 \theta_W J_{em}^\mu \right\} Z_\mu$$

From unitarity of  $U_L \equiv \begin{bmatrix} K & R \\ S & T \end{bmatrix}$  one obtains:

$$K^\dagger K + S^\dagger S = \mathbb{1}$$

but  $S \approx -\frac{M' m_d^\dagger K}{M^2} \rightarrow O(m/M)$ ;  $K^\dagger K = \mathbb{1} - O(m^2/M^2)$

Similar analysis can be done for

$Q = 2/3$  vector like quarks

Without loss of generality, one may choose to work in a WB where the down quark mass matrix is diagonal. Let  $U$  be the  $4 \times 4$  unitary basis which diagonalizes the up-quark mass matrix. The quark mixing matrix consists of the first 3 columns of  $U$

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \\ V_{Td} & V_{Ts} & V_{Tb} \end{pmatrix}$$

From orthogonality of the 2<sup>nd</sup> and 3<sup>rd</sup> column, one obtains:

$$\sin \chi = \frac{V_{ub} V_{us}}{V_{cb} V_{cs}} \sin (\delta - \chi + \chi') + \frac{|V_{Tb}| |V_{Ts}|}{|V_{cb}| |V_{cs}|} \sin (\sigma - \chi)$$

$$\sigma = \arg (V_{Ts} V_{cb} V_{Tb}^* V_{cs}^*)$$

If  $V_{Tb} \approx 0(\lambda)$   
 $V_{Ts} \approx 0(\lambda^2)$   
 $\sigma \approx 0(1)$ ;  $\chi = \lambda$

# Leptonic Sector

Recall that the relevant fields transform under  $Z_4$  as:

$$\Psi_L^0 \rightarrow i\Psi_L^0 ; e_R^0 \rightarrow ie_R^0 ; \nu_R^0 \rightarrow i\nu_R^0$$

Leptonic Yukawa terms:

$$\mathcal{L}_\ell = \bar{\Psi}_L^0 G_\ell \phi e_R^0 + \bar{\Psi}_L^0 G_\nu \tilde{\phi} e_R^0 + \\ + \frac{1}{2} \nu_R^{0T} C (f_\nu S + f'_\nu S^*) \nu_R^0 + \text{h.c.}$$

Leptonic mass matrices:

$$M_\nu = \begin{pmatrix} 0 & m \\ m^T & M \end{pmatrix} ; \quad m_\ell = \frac{v}{\sqrt{2}} G_\ell \\ m = \frac{v}{\sqrt{2}} G_\nu$$

$$M = \frac{v}{\sqrt{2}} f_\nu^+ \cos \alpha + i f_\nu^- \sin \alpha$$

$$f_\nu^\pm \equiv f_\nu \pm f'_\nu$$



# Leptonic Mixing

17

In the weak-basis where  $m_l$  is diagonal, real, the light neutrino masses and the **low energy leptonic mixing** are obtained from the diagonalization of the effective neutrino mass matrix:

$$m_{\text{eff}} = -m \frac{1}{M} m^T$$

$$-K^T m \frac{1}{M} K^* = d_\nu$$

$m$  is real, but since  $M$  is a generic complex matrix,  $m_{\text{eff}}$  is also a **generic complex matrix**. Therefore

**$K$  will have three complex phases, one Dirac-type, two Majorana-type.**

It can be shown that one has viable leptogenesis.

# Conclusions

118

Vector-like quarks provide a very interesting scenario for New Physics.

- 1) They provide a consistent framework where there are naturally small violations of  $3 \times 3$  unitarity in  $V^{CKM}$ , leading to naturally small FCNC.
- 2) They provide a framework for having a common origin for all CP violations.
- 3) They play a crucial rôle in the simplest model of spontaneous CP violation where a complex  $V^{CKM}$  is generated.
- 4) They provide a simple solution to the strong CP problem.