

Effective field theories in the Higgs sector

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Overview

- **Introduction to effective field theories (EFT)**
- **The Standard Model as EFT (SMEFT)**
- **Sigma model representations (SMEFT or HEFT)**
- **Importance of the Higgs sector**
- **Experimental signatures and observables**
- **Constraining EFT parameters from experimental data**
- **Examples of current EFT parameter constraints**
- **Reflections on the optimal EFT measurement**
- **Conclusions and outlook**

EFT as a common tool in HEP is surprisingly recent (pioneered by Weinberg). However, the fundamental ideas were known already in the 1970s.

- **1. Appelquist-Carazzone theorem: given two connected systems at different energy scales, there is a renormalization procedure such that actions at the high scale can be included at low scale by changing the parameters at low scale.**
- **2. Wilson renormalization: separates high and low energy modes and integrates out the high energy modes above the decoupling scale (**!**). This is however not how typical EFTs are constructed, usually one just lists the DOF at low energy and match at low energy. The EFT implementations technically vary a lot but the key point is that there is a defined energy scale that separate the two domains.**

EFT cartoon and a classical example

at low energy (Fermi theory), and when q is small it is extremely accurate.

E.g. EFT advantages are that we only need the low energy degrees of freedom and the EFT don't have to be cut-off free in UV ("renormalizability") to be fully consistent.

- **SM encapsulates most our HEP knowledge (except e.g. neutrino masses and the anticipated quantum gravity).**
- **Can we leverage all the knowledge in the SM and at the same time use it as an EFT to search for new physics?**
- **In its original form it has the "renormalizability" property, proven by t'Hooft and Veltman. I.e. it has no upper cut-off scale (here leaving out gravity on purpose).**
- **Clearly with the absence of a cut-off scale it is not an EFT.**
- We can turn it into an EFT (SMEFT) by introducing a EFT scale Λ by **hand. (Beware, to match the EFT at one loop is non-trivial!)**
- **OK nice, this means that actions above** ! **will alter parameters and** induce new operators below Λ where we can observe things.
- **And we don't have to worry about "renormalizability" any more.**
- **New induced operators are suppressed by mass. Dimension d=5 operators are related to neutrino majorana masses and are not included. Focusing only on d=6 terms conserving baryon number.**
- **First thing to do is to count DOFs ([Warsaw basis 2010](https://arxiv.org/abs/1008.4884v3), [parameters](https://arxiv.org/abs/1312.2014v4)). For one generation there are 53 CP-even operators in the complete basis. With 3 generations this becomes 1350. Note that only a small subset of these are relevant for a fixed final state, + e.g. no FCNC.**

The new effective Lagrangian reads: $L_{eff} = L_{d\leq 4}^{SMEFT} + \sum \frac{c_i}{\Lambda^2}$ $\frac{\epsilon_i}{\Lambda^2}$ ${\cal O}_i$

Note that not all the parameters of $L_{d \leq 4}^{SMEFT}$ are the same as in the SM due **to d=6 corrections, but just as in the SM fixed from observed data.**

Practical UFO implementations: [SMEFTsim \(LO\)](https://smeftsim.github.io/) and [SMEFTatNLO \(NLO\)](https://arxiv.org/abs/2008.11743)

- **To remain strict d=6, only single interaction insertions are allowed, and for the same reason EFT cross-sections should be dominated by the interference term SM*EFT in the squared matrix element.**
- Only the ratio $\frac{c_i}{\Lambda^2}$ has physical meaning, not c_i and Λ^2 separately.
- The EFT is limited by perturbativity: $A \sim \frac{c_i s}{\Lambda^2}$ $\frac{c_{i} s}{\Lambda^{2}}$ breaks perturbativity if $|c_i| < (4\pi)^2$ in the loop expansions. A safer rule of thumb is $|c_i| < 4\pi$.
- **Renormalization should be carefully performed using dimensional regularization (DR) or MSbar to be gauge invariant.**
- **The SM is renormalizable. But in an EFT setting the Higgs is not always protected, even when using DR [\(Trott](https://arxiv.org/abs/1706.08945)). This is a potential real source to hierarchy issues. It does make sense to check that the UV model at hand protects the Higgs, as long as proper renormalization is used.**
- **In the EFT setting, all we care about is to be able to add mass without breaking gauge invariance, i.e. use scalar fields for spontaneous symmetry breaking SSB (the Sigma model).**
- **Putting the scalars into a generic structure** \sum **(2x2) without specifying** the representation, and rewriting the Lagrangian using Σ , one can **[deduce the required general transformations and constraints.](https://arxiv.org/abs/0910.4182v6)**
- It turns out that there are actually two valid representations of $\mathbf{\Sigma}$
	- **1.** A linear representation: $\Sigma(x) = (1 \frac{i}{x})$ $\frac{1}{v}\pi(x)_a\sigma_a$ ₎,
	- **2.** and a non-linear representation: $\sum(x) = exp(-\frac{1}{x})$ $\frac{\partial}{\partial u} \pi(x)_a \sigma_a$.
- **The linear case leads to the SM Higgs which must be a SU(2) doublet.**
- **The non-linear case (HEFT) forces the Higgs to be a singlet.**
- **HEFT is a more general EFT than SMEFT, but the Higgs potential is not** an analytic function, potential **[breakdown around](arxiv:1902.05936v2)** $O(4\pi v) \sim 3$ **TeV.**

SMEFT and HEFT pros and cons

SMEFT

- **SMEFT allows to optimally look for deviations from the SM in the Higgs, top and electro-weak sectors as long as the Higgs effectively behaves as a fundamental SU(2) doublet.**
- **Full strength from SM in combinations, e.g. H, HH and the electroweak sector.**
- **Works well for weakly coupled UV theories.**
- **Not suitable for strongly coupled UV.**

HEFT

- **HEFT expansion powerful when [leading effects are Higgs and top](https://arxiv.org/abs/1511.00724v2).**
- **Can detect if Higgs is not SM like.**
- **Works well for strongly coupled UV theories, e.g. composite Higgs.**
- **Validity for high mass UV unclear.**
- **Conclusion: at LHC it makes sense to test both SMEFT and HEFT since they in practice focus on different things.**
- **But strictly physically SMEFT and HEFT are just special cases of a [geometric curvature of the scalar](https://arxiv.org/abs/1511.00724v2)**

fields.

- **New physics can potentially be found as a deviation in any of the EFT parameters. E.g. top EFT is a huge topic on its own.**
- **However, there are several reasons for the Higgs related EFT parameters to be particularly interesting:**
	- \checkmark The mass generation (SSB) is the fabric of the SM, but is **Higgs fundamental or part of the Goldstones, if so where do they come from?** I.e. is Σ a result from something in **the UV?**
	- ü **The Higgs sector is new and e.g. the Higgs potential shape is not confirmed to be as predicted by the SM. We currently only constrain the position and the curvature at the VEV.**

Remember: the EFT captures the full theory - it is all there as

long as the EFT condition is fulfilled (no new DOF).

- To test if any Higgs sector related $\frac{c_i}{\sqrt{2}}$ $\frac{c_i}{\Lambda^2}$ deviates from 0 one needs **experimental data to confront the EFT predictions.**
- **What kind of data?**
- **The starting point is to predict which final states and phase-space (PS) regions that are sensitive to** $\frac{c_i}{\sqrt{2}}$ $\frac{c_i}{\Lambda^2}$ using Monte Carlo (MC).
- **The measurable physical quantities are differential cross-sections.**
- **Measurements can be either binned or un-binned (event based):**
	- ü **Discrete PS integrated bins are easier to work with and can be adjusted for the experimental situation, but are not optimal.**
	- ü **Un-binnned (event-by-event) measurements are more complicated, but can better allow for close to optimal results.**
- **Experimental data are folded with detector effects. There are two ways out:**
	- ü **Unfold data back to particle level cross-section (PL XS) and compare PL XS data to PL EFT model. The PL XS data is "model independent". Works well when the resolution is sufficiently high (regularization systematics small) and subtracted background has negligible EFT model dependence.**
	- ü **Fold the model and compare folded data to folded model. This is the most accurate method for extracting the output model parameters. Drawback is that the result cannot be repeated for a different model without an accurate public folding prescription and combining to other measurements with correct systematics treatment is difficult.**
- **If we use binned unfolded data, each bin likelihood is** $P(N_p | c, \theta)$ where p is each measured bin, c is the EFT parameter **vector and** θ **is a vector of nuisance parameters.**
- **Bins (measurements) are combined by multiplying the likelihoods.**
- **In the extreme SMEFT case we will need at least 1350 bins with different EFT parameter dependence to solve the equations and extract the parameters, the more bins the better.**
- **The other extreme is that we fix all other parameters to 0 except** c_i . If we are lucky we might manage to prove it different from 0, in **particular if one is dominating. However, we have little knowledge of the actual** c_i **value until we understand its dependence on all the other parameters. Again, useful to test both scenarios.**

Example of binned data, single H templates: STXS

- **"Unfold" data to on-shell H, factorize in production modes.**
- **Aims at good balance between performance and the ability to combine many measurements. This is a [LHC wide approach.](https://cds.cern.ch/record/2669925)**
- **Here showing an [ATLAS STXS example:](https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/CONFNOTES/ATLAS-CONF-2021-053/)**

Example: [ATLAS single H STXS](https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/CONFNOTES/ATLAS-CONF-2021-053/) SM data

• Each SM model bin p reweigthed according to SMEFT predictions: **3.1 Choice of the fitted Wilson Coe**
The fitted Wilson coefficients of the fitted Wilson Coefficients Combinations of Wilson coecients to which measurements are not sensitive manifest themselves as *flat* <u>dia [directions](https://cds.cern.ch/record/2694284) [in](https://cds.cern.ch/record/2694284) [the](https://cds.cern.ch/record/2694284) likelihood [which](https://cds.cern.ch/record/2694284) the likelihood exhibits of the likelihood exhibits in the likelihood exhibits i</u>

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\sigma_p \cdot \mathcal{B}^{H \to f} = \left[\sigma_p \cdot \mathcal{B}^{H \to f} \right]_{\text{SM}} \left(1 + \frac{\sigma_{p,\text{int}}}{\sigma_{p,\text{SM}}} + \frac{\mathcal{B}_{\text{int}}^{H \to f}}{\mathcal{B}_{\text{SM}}^{H \to f}} \right)
$$

$$
= \left[\sigma_p \cdot \mathcal{B}^{H \to f} \right]_{\text{SM}} \left(1 + \sum_i A_i^{\sigma_p} c_i + \sum_i \left(A_i^{\Gamma_f} - A_i^{\Gamma} \right) c_i \right)
$$

$$
= \left[\sigma_p \cdot \mathcal{B}^{H \to f} \right]_{\text{SM}} \left(1 + \sum_i A_i^{\sigma_p \cdot \mathcal{B}^{H \to f}} c_i \right).
$$

- Confidence limits from combined likelihood ratio fit. \mathbf{e} **•** Confidence limits from combined likelihood ratio fit. \mathbf{B} , $\$
- \cdot The EFT precision matrix can be propagated from measured SM **STXS data: STXS data:** $\begin{pmatrix} A_1^{\sigma_1} & A_2^{\sigma_1} & A_3^{\sigma_1} & \cdots \end{pmatrix}$ with the parametrisation matrix \mathbf{r}

*C*¹ EFT ⁼ *^P*^T *^C*¹ STXS *P*, (10) *P* = © ≠ ≠ ≠ ≠ ´ *A*² ¹ *^A*² ² *^A*² ³ ... *A*³ ¹ *^A*³ ² *^A*³ ³ ™ Æ Æ Æ Æ ¨

ATLAS single H/EW boson/EWPO SMEFT relative impact examples

- Latest ATLAS SMEFT [limits includes Higgs](https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PUBNOTES/ATL-PHYS-PUB-2022-037/) and EW boson and EW precision observables.
- Here showing examples of the SMEFT impact in the different bins.
- Note that this is just a small example set of the included SMEFT operators.

ATLAS single H/EW boson/EWPO SMEFT reducing DOF

- The system is under [determined, i.e. lack](https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PUBNOTES/ATL-PHYS-PUB-2022-037/)s measurements to span the EFT space.
	- To avoid flat directions in the fit the EFT precision matrix is eigenvector regularized into a new reduced basis.

ATLAS single H/EW boson/EWPO SMEFT fit results

d=8 should be estimated (or assumed small)

Tree level "intuitive" meaning of the EFT parameters

- **We use tree level Feynman diagrams to guide our intuition about different processes.**
- **Firstly, Feynman diagrams represent series expansions of the Lagrangian. The Lagrangian does not represent physics until gauge fixed and renormalized.**
- **Different choices gives different Feynman rules for the same physics.**
- **In the case of HEFT and SMEFT, HEFT can represent physics which is not part of the SMEFT Lagrangian.**
- **HEFT is expanded in loops in the broken phase, so at tree level it is intuitively close to naïve coupling scaling (K-framework).**
- **In weak couplings, a linearized comparison can done (interpreted with care!)**

Tree level "intuitive" meaning of the EFT parameters

- **To get a feeling for the SMEFT parameters one can linearly expand the HEFT Lagrangian assuming weak couplings.** The Lagrangian assuming weak couplings. the Higgs kinetic term acquires its canonical form (up to $\overline{}$) the $\overline{}$ $\mathop{\sf Inter}\nolimits$ and $\mathop{\sf Inter}\nolimits$ can be can imearly expand the corresponding of the corresponding of the corresponding $\mathop{\sf ker}\nolimits$
- **•** However, this is mainly for intuition, in practice better to test both.
- **Here an [HEFT example from di-Higgs production](https://cds.cern.ch/record/2843280/)** running of the Wilson coecients is included.

$$
C_{H,\mathrm{kin}}:=C_{H,\square}-\frac{1}{4}\,C_{HD}
$$

EFT constraints from di-Higgs production, [ATLAS example](https://arxiv.org/abs/2211.01216) l, <mark>allad</mark> stem **ATI AC** overwald and the call and simulated data simulated data singular systems of the trigger and in the trigger and data actual systems of the trigger and in the trigger and data actual systems of the trigger and data actual systems of

of the experiment.

• Given the single Higgs constraints, the current di-Higgs dominating **contribution is on** $c_{hhh} \approx \kappa_{\lambda}$ dierent kinematic and topological regions, called categories.

- But as precision increases the κ_{λ} -framework will not be sufficient and it has to be replaced by a proper EFT model and full model dependence. $\mathcal{F}_{\mathcal{A}}$ and expected (b) constraints in the $\mathcal{F}_{\mathcal{A}}$ plane from single-Higgs (blue) and double-Higgs (blue) and double-Higgs (b) • But as precision increases the K_2 -framework will not be \mathcal{H} are shown for values of \mathcal{H} due to be replaced by a proper
- **Analysis efforts are on-going to transition to di-Higgs EFTs.**

Each input analysis used in the combination is summarised in Table 1. Details about the individual analyses

- **EFT Constraints from di-Higgs is not as developed as in single Higgs due to the experimental sensitivity is lower for most EFT parameters.**
- **But, di-Higgs is starting to become very interesting due to several reasons:**
	- **1. its unique sensitivity already at tree level to the triple Higgs coupling which is related to the Higgs potential shape.**
	- **2.** Ability to disentangle SMEFT from HEFT if e.g. $c_{ggh} \neq c_{gghh}$.
- **•** A summary of the current status of NLO codes where finite top mass **effect are included, and how they can be used at LHC [is given here.](https://cds.cern.ch/record/2843280/)**

Contrary to single Higgs, the di-Higgs leading theory systematic is expected to be finite top mass effects. Available in POWHEG-BOX.

- As shown already for single Higgs, a very nice property of EFTs is that they allow for re-weighting since no new particles are created. **SMM**, 19.14.000 e Higgs, a verv ni rney allow for re-weighting SM reweighted to BM 2* 0*.*20 alugaal an cauy Tor Smg ale Higgs a verwy See your
- As long as the density is known at each phase-space point and non-zero, events can be re-weighted. This allows also for fully differential analyses, **even un-binned versions, given just the SM detector simulated sample.** 1*.*0 *d* en
Ge 0*.*06 1 *<u>Aclang as the density is k</u>* 1*.*0 e
K 0*.*00 0*.*00 **10.000 10.000 10.000 10.000 11.000 11.000 11.000 11.000 11.000 11.000 11.000 11.000 11.000 11.000 11.000 11.00** 0*.*02 S
f
- **•** Here are examples of a few **HEFT binned benchmark points** of m_{hh} are examples of a ere are examples of a few <u>HEFT binned bench</u> re are examples of a few **Here are examples of a few HEFT** binned bend 0*.*8

HEFT constraints from di-Higgs production: ATLAS example

As always, as precision increases many new issues become important that previously were sub-dominant:

- **Complete understanding of all relevant EFT parameters on observables.**
- **QCD NLO in general, and EWK NLO in distributions.**
- **Treatment of EFT effects on the propagators.**
- **EFT effects on the backgrounds.**
- **EFT effects on signal efficiencies.**
- **EFT effects from d=8 operators.**
- **How to handle parameters and distributions in higher dimensions in the likelihood fits and simultaneously include systematics in a consistent way.**

Remaining in the κ_{λ} -framework there are projections available for HL-**LHC ([again an ATLAS example\)](https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PUBNOTES/ATL-PHYS-PUB-2022-053/)**

Strong scattering (SS), an alternative probe via the goldstones

• **Away from the SM Higgs, the scalar goldstones (Sigma model) hiding in** the longitudinal modes of the gauge bosons can pick up a strong E or E^2 dependence (e.g. is explicit using the goldstone boson equivalence theorem). Examples are **tW scattering** and **HwH** precise the longitudinal modes of ϵ ^{externing longitudes involving longitudes involving longitudinal longitudes vector bosons, and we initiate a novel} achemacine le'8' is evhing

• For LHC not clear if SS competitive, but definitely for future machines via Lagrangian couplings in the unitary gauge *ghii* [2, 3], via pseudo observables [4], or via the e↵ective field

D´epartment de Physique Th´eorique, Universit´e de Gen`eve,

- **If we are lucky, the LHC might find a significant deviation in the EFT** operator related to the Higgs potential. \mathcal{L} the above mentioned scheme scheme \mathcal{L} significant deviation in the EFT \mathcal{S} is new physics models \mathcal{S} . In particular, probing \mathcal{S} . In particular, probing \mathcal{S} . t ial. The composite Higgs models via studying the higgs models via studying the higgs models via studying the h
- **But to actually interpret its value requires precision from future colliders.** popular EFT frameworks is the SMEFT frameworks in the SMEFT \sim process has been studied in Refs. [62–64]. For completequires precision from future colliders. $\,$
- **It is interesting to note that not all potentials are properly described by** SMEFT, in some cases HEFT is required (Phys. Rev. D 101, 075023) and EW symmetry is in the unbroken phase. The SMEFT is \cdot . It is interesting to note that not al sivier i, in some cases fier is req literature, but with somewhat different emphasis on its \bullet . It is interesting to note that not all potentials are properly described by production rates from the above mentioned the above mentioned the above mentioned the above mentioned the above sivier i, in some cases ner i is required (Phys. Nev. D 101, 0750

- **The EFT analyses done so far are mainly interpretation, not designed** from scratch aiming for optimal EFT parameter exclusions. r canalyses done so far are mainly interpretation, not designed **can be found to can** be formulated to can be formulated to can be found to ca
- An asymptotically optimal (efficient) observable of the EFT parameters **can be formulated as an un-binned extended maximum likelihood** $max l(n_s, n_b, c) =$ n_s , n_b , c *N* \sum ln $(n_s p_s(\mathbf{x}_i, \mathbf{c}) + n_b p_b(\mathbf{x}_i)) - n_s - n_b$

 $i=1$

- **The deep problem is that the probabilities are high dimensional, both in phase-space and in the EFT parameters.** extending the FFT parameters in the set of and in the FFT parameters \mathbf{F} \mathbf{p} available in our Monte Carlo simulations but only as discrete events, \mathbf{p} , **c**) *pb*(**x***ⁱ*
- **Here one can try density estimation with [machine learning](https://arxiv.org/abs/1805.00020) or perhaps try** more analytic and transparent methods e.g. **Sparse Fourier approximation techniques that can go a bit up in dimensions and easier allow for systematics treatment?** ne can try density estimation with <mark>machine learning</mark> or pernaps try μ is the mension of the typical parameter of dimensions and one particle physics collision has a least dimensions.
- **Effective field theories are very powerful "UV agnostic" tools to search for new physics, and physics in general.**
- **The SM as EFT is an ongoing development progressing step by step along with the increasing precision of the data.**
- **But, much work remains in both the theory and experimental communities to develop the required tools to take advantage of the full EFT power in LHC analyses.**

Backup

ATLAS STXS operators

di-Higgs Lagrangians

12 set of operators is presented. In the society of operators is presented. In the society operators is present 139 mensional power countries and international power countries a UV assumption of the Eq. (2.6) reflects a UV a ¹⁴⁰ regarding the scaling of the operators, e.g. new physics generating an op-

$$
\Delta \mathcal{L}_{\text{Warsaw}} = \frac{C_{H,\Box}}{\Lambda^2} (\phi^{\dagger} \phi) \Box (\phi^{\dagger} \phi) + \frac{C_{HD}}{\Lambda^2} (\phi^{\dagger} D_{\mu} \phi)^* (\phi^{\dagger} D^{\mu} \phi) + \frac{C_H}{\Lambda^2} (\phi^{\dagger} \phi)^3 \n+ \left(\frac{C_{uH}}{\Lambda^2} \phi^{\dagger} \phi \bar{q}_L \tilde{\phi} t_R + h.c. \right) + \frac{C_{HG}}{\Lambda^2} \phi^{\dagger} \phi G_{\mu\nu}^a G^{\mu\nu,a} \n+ \frac{C_{uG}}{\Lambda^2} (\bar{q}_L \sigma^{\mu\nu} T^a G_{\mu\nu}^a \tilde{\phi} t_R + h.c.).
$$

$$
\Delta \mathcal{L}_{\text{HEFT}} = -m_t \left(c_t \frac{h}{v} + c_{tt} \frac{h^2}{v^2} \right) \bar{t} t - c_{hhh} \frac{m_h^2}{2v} h^3 + \frac{\alpha_s}{8\pi} \left(c_{ggh} \frac{h}{v} + c_{gghh} \frac{h^2}{v^2} \right) G^a_{\mu\nu} G^{a,\mu\nu} .
$$

Reference information

Warsaw: https://arxiv.org/abs/1008.4884v3

Parameters: https://arxiv.org/abs/1312.2014v4

SMEFTsim: https://smeftsim.github.io/

SMEFTatNLO: https://smeftsim.github.io/

Trott: https://arxiv.org/abs/1706.08945

Sigma model: https://arxiv.org/abs/0910.4182v6

HEFT breakdown: arxiv:1902.05936v2

HEFT and geoEFT: https://arxiv.org/abs/1511.00724v2

STXS LHC: https://cds.cern.ch/record/2669925

STXS ATLAS 2021: https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/CONFNOTES/ATLAS-CONF-2021- 053/

STXS ATLAS 2022: https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PUBNOTES/ATL-PHYS-PUB-2022- 037/

di-Higgs SMEFT/SMEFT LHC WG: https://cds.cern.ch/record/2843280/

ATLAS kappa_lambda:<https://arxiv.org/abs/2211.01216>

[HL-LHC ATLAS projection: https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PUBNOTES/ATL-PHYS-](https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PUBNOTES/ATL-PHYS-PUB-2022-053/)PUB-2022-053/

Strong scattering: [https://arxiv.org/abs/1511.03674,](https://arxiv.org/abs/1511.03674) https://arxiv.org/abs/1812.09299

Higgs potentials: Phys. Rev. D 101, 075023

ML EFT: https://arxiv.org/abs/1805.00020

Fourier methods: https://arxiv.org/abs/2202.13801