

Theoretical frameworks for dark matter and the dark sector

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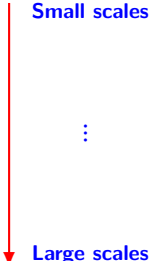
Overview

- The main purpose of this seminar is to review a selection of “theoretical frameworks” that are currently used in the analysis of DM search experiments
- I will divide these frameworks into two classes.
 1. Extending the Standard Model (SM) matter/Higgs sector “only”:
 - Extended matter/Higgs sector ✓
 - Extended gauge sector ✗
 2. Extending the SM matter/Higgs sector as well as the gauge sector:
 - Extended matter/Higgs sector ✓
 - Extended gauge sector ✓
- I will make examples of the interdisciplinary input the use of each framework requires

Outline

- Introduction: evidence for DM (overview)
- Extending the SM matter/Higgs sector only
 - WIMPs
 - Non relativistic effective theories
- Extending the SM matter/Higgs sector as well as the SM gauge sector
 - Scalar and fermionic DM coupled to a “dark photon”
 - Spin-1 DM models
- Summary and conclusion

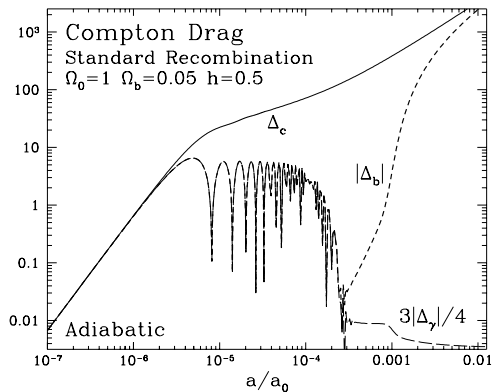
- How do we know that DM actually exists? The evidence for DM is based on the gravitational pull it exerts on stars, galaxies and light from luminous sources

 - Specifically, the evidence for DM is based on:
 - Velocity dispersion of **stars**
 - Rotation curve of spiral **galaxies**
 - Velocity dispersion of galaxies in **galaxy clusters**
 - Bending of light from distant luminous sources (lensing)
 - **Cosmological structure** formation
 - Cosmic Microwave Background (**CMB**) anisotropies
- 
- Small scales
- ⋮
- Large scales

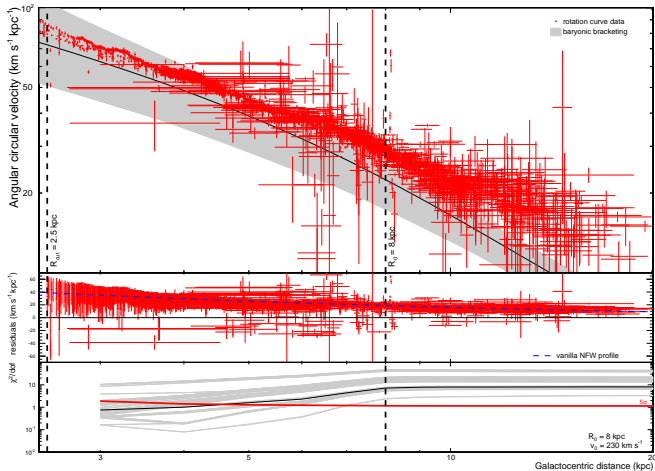
Evidence for DM / Large scale structures

Wayne T. Hu PhD thesis, "Wandering in the Background: A CMB Explorer";

astro-ph/9508126



Evidence for DM in the Milky Way



F. Iocco, M. Pato and G. Bertone, "Evidence for dark matter in the inner Milky Way,"
Nature Phys. **11**, 245 (2015)

Extending the SM matter/Higgs sector only

General considerations

- The extended framework should be capable of reproducing the present DM abundance, Y
- When the dominant number changing process is DM pair annihilation into SM particles

$$z \frac{H}{s} \frac{dY}{dz} = \langle \sigma_{\text{ann}} v \rangle (Y_{\text{eq}}^2 - Y^2)$$

- Freeze-out mechanism (i.e. *thermal production*): interplay of the two terms in the RHS
 - Freeze-in (i.e. *non-thermal production*): second term not present in the RHS
- For weak-scale DM interactions, the freeze-out mechanism implies $m_\chi > \mathcal{O}(1) \text{ GeV}$

General considerations

- It should also agree with observations, e.g. evade DM direct detection constraints
- Inspection of the expected rate of, e.g., DM-induced nuclear recoils or electronic transitions in direct detection experiments shows different ways to do so:

$$d\mathcal{R} = \frac{\rho_\chi}{m_\chi} \int_{|v| > v_{\min}} d\mathbf{v} |\mathbf{v}| f_\chi(\mathbf{v} + \mathbf{v}_\oplus) d\sigma$$

- 1) m_χ is too small. No particles with velocity above v_{\min}
- 2) Inelastic scattering

3) Matrix element is momentum and/or velocity suppressed

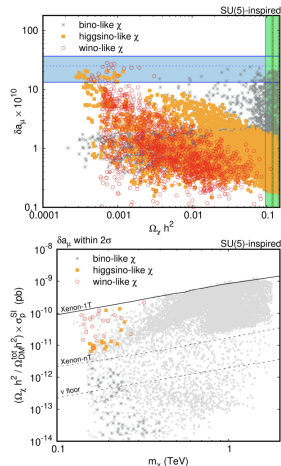
SUSY WIMPs

- Prime candidate: neutralino. Linear combination of neutral components of SU(2) **singlet** (bino), **dou-
blets** (higgsinos) and **triplet** (wino)
- WIMP-quark interactions are given by

$$\mathcal{L}_{\text{SI}} = f_q \bar{\chi} \chi \bar{q} q + g_q \bar{\chi} \gamma^\mu \partial^\nu \chi (\bar{q} \gamma_\mu \partial_\nu q - \partial_\nu \bar{q} \gamma_\mu q)$$

$$\mathcal{L}_{\text{SD}} = f_q \bar{\chi} \gamma^\mu \gamma^5 \chi \bar{q} \gamma_\mu (c_q + d_q \gamma_5) q$$

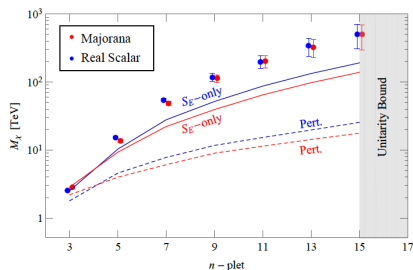
- Effective couplings non-trivially depend on SUSY parameters: enhancements and cancellations are possible



M. Chakraborti, L. Roszkowski, S. Trojanowski, "GUT-constrained supersymmetry and dark matter in light of the new $(g-2)_\mu$ determination," JHEP **05** (2021), 252

Minimal DM

- The framework relies on a systematic classification and characterisation of all $SU(2)$ representations, without a restriction to SUSY-induced combinations
- An odd representation of dimension n (n -uplet) and zero hypercharge ($Y=0$) includes a neutral component: $Q = Y + T_3$, $T_3 = \text{diag} [(n+1)/2 - i]$, $i = 1, \dots, n$
- Only two free parameters, n and M_χ :



Non-relativistic effective theories

- In general, bounds from DM-induced nuclear recoil searches can be evaded if the amplitude for non-relativistic DM-nucleon scattering, $\mathcal{M}_{\chi N}$, is “suppressed”
- It is often *assumed* to be a function of the momentum transfer only, i.e.
$$\mathcal{M}_{\chi N} = \mathcal{M}_{\chi N}(\mathbf{q})$$
- Is this the most general form $\mathcal{M}_{\chi N}$ can have?
- More pragmatically, is this the “typical” form for $\mathcal{M}_{\chi N}$?

Non-relativistic effective theories

- In the non-relativistic limit, $\mathcal{M}_{\chi N}$ is constrained by Galilean invariance and momentum conservation. Consequently it can at most depend on 2 3D momenta:

$$\mathcal{M}_{\chi N} = \mathcal{M}_{\chi N}(\mathbf{q}, \mathbf{v}^\perp)$$

where $\mathbf{v}^\perp = \mathbf{v} + \mathbf{q}/(2\mu_{\chi N})$

- Furthermore, in the non-relativistic limit $|\mathbf{q}|/m_N \ll 1$ and $|\mathbf{v}| \ll 1$, which implies

$$\mathcal{M}_{\chi N} = \sum_{i < \infty} c_i^N \langle \mathcal{O}_i \rangle$$

where \mathcal{O}_i are quantum mechanical operators: $\mathcal{O}_4 = \mathbf{S}_\chi \cdot \mathbf{S}_N$, $\mathcal{O}_7 = \mathbf{S}_\chi \cdot \mathbf{v}^\perp$, etc...

J. Fan, M. Reece and L. T. Wang, "Non-relativistic effective theory of dark matter direct detection," JCAP **11** (2010), 042

A. L. Fitzpatrick, W. Haxton, E. Katz, N. Lubbers and Y. Xu, "The Effective Field Theory of Dark Matter Direct Detection," JCAP **02** (2013), 004

Spin-independent and -dependent interactions

- For spin-independent interactions,

$$\mathcal{M}_{\chi N} = c_1^N \langle \mathbf{1}_{\chi N} \rangle$$

Experimental results presented in terms of,

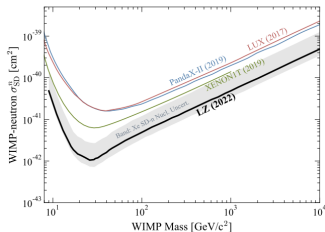
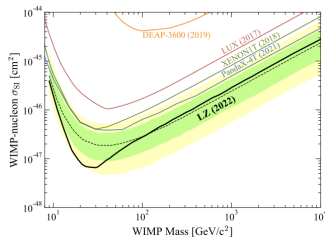
$$\sigma_N^{SI} = \frac{\mu_{\chi N}^2}{\pi} (c_1^N)^2$$

- For spin-dependent interactions,

$$\mathcal{M}_{\chi N} = c_4^N \langle \mathbf{S}_N \cdot \mathbf{S}_\chi \rangle$$

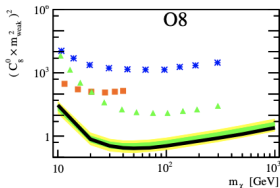
Experimental results presented in terms of,

$$\sigma_N^{SD} = \frac{\mu_{\chi N}^2 j_\chi (j_\chi + 1)}{4\pi} (c_4^N)^2$$

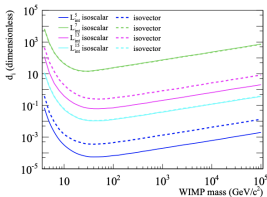


J. Aalbers *et al.*, "First Dark Matter Search Results from the LUX-ZEPLIN (LZ) Experiment," arXiv:2207.03764

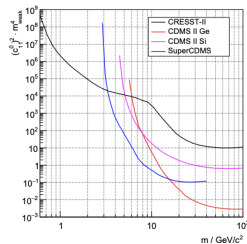
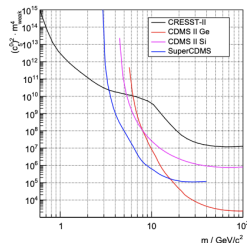
Non-standard effective operators



E. Aprile *et al.*, "Effective field theory search for high-energy nuclear recoils using the XENON100 dark matter detector," Phys. Rev. D **96** (2017) no.4, 042004



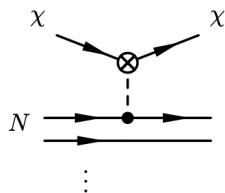
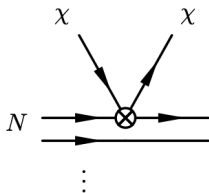
J. Xia *et al.*, "PandaX-II Constraints on Spin-Dependent WIMP-Nucleon Effective Interactions," Phys. Lett. B **792** (2019), 193-198



G. Angloher *et al.*, "Limits on Dark Matter Effective Field Theory Parameters with CRESST-II," Eur. Phys. J. C **79** (2019) no.1, 43

Including mesons: Chiral Effective Field Theory

- Non-relativistic effective theories do not account for meson exchange effects in DM-nucleus scattering:



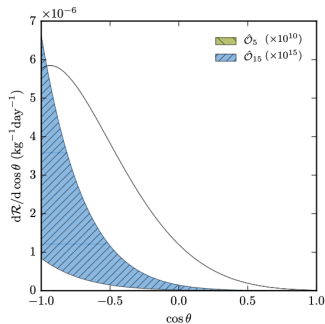
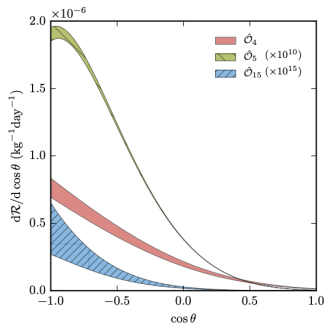
- Meson exchange effects induce a q -dependence in the c_i^N coupling constants,

$$c_i^N = c_i^N(\mathbf{q})$$

- This q -dependence can be computed in Chiral Effective Field Theory

F. Bishara, J. Brod, B. Grinstein and J. Zupan, "Chiral Effective Theory of Dark Matter Direct Detection," JCAP **02** (2017), 009

Uncertainty quantification in Chiral Effective Field Theory



D. Gazda, R. Catena and C. Forssén, "Ab initio nuclear response functions for dark matter searches," Phys. Rev. D **95** (2017) no.10, 103011

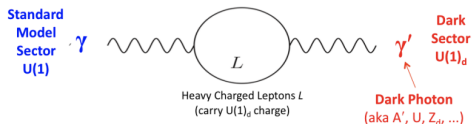
**Extending the SM matter/Higgs sector
and
the SM gauge sector**

General considerations

- The lack of WIMP discovery motivated the exploration of “alternative frameworks”
- Exploration driven by “detectability” and the question: “why did DM escape detection?”
- Possible answer: **DM has a sub-GeV mass** and is too light to induce a nuclear recoil
- Insisting on the **thermal production mechanism**, naturally leads to the idea of dark sector:
 - For $m_{\text{DM}} < \mathcal{O}(1)$ GeV, WIMPs are overproduced by the freeze-out mechanism
 - Introducing a **new, “light” mediator** reconciles thermal production with CMB data
- Below, by “**dark sector**” I will denote a model with sub-GeV DM and a light gauge mediator

Dark sectors including a “dark photon”

- Perhaps the simplest way to add a new mediator to the SM is by a $U(1)_d$ gauge group
- A “kinetic mixing” between the $U(1)_d$ gauge boson, i.e. the “dark photon”, and the ordinary photon is compatible with gauge symmetries. It arises via loops



- The “dark sector” Lagrangian is given by

$$\mathcal{L}_{\text{DS}} = -\frac{1}{4}F'_{\mu\nu}F'^{\mu\nu} + \frac{1}{2}m_{A'}^2 A'_\mu A'^\mu + \frac{1}{2}\epsilon F_{\mu\nu}F'^{\mu\nu} + \mathcal{L}_{\text{DM}}$$

- \mathcal{L}_{DM} is the DM Lagrangian
- The model's parameter are $m_{A'}$, m_{DM} , ϵ , and the new gauge coupling g_d

Dark sectors with scalar and fermionic DM

- Standard benchmarks for \mathcal{L}_{DM} when DM has spin 0 or spin 1/2 (thermal targets):

- Majorana DM:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - \frac{1}{2} g_d A'_\mu \bar{\chi} \gamma^\mu \gamma^5 \chi$$

- Pseudo-Dirac DM:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - g_d A'_\mu i (\bar{\chi}_1 \gamma^\mu \chi_2 - \bar{\chi}_2 \gamma^\mu \chi_1)$$

- Scalar Elastic DM:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - g_d A'_\mu i (\chi^* \partial^\mu \chi - \chi \partial^\mu \chi^*)$$

- Scalar Inelastic DM:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - g_d A'_\mu i (\chi_1^* \partial^\mu \chi_2 - \chi_2^* \partial^\mu \chi_1)$$

Dark sectors with spin-1 DM

- Proposed benchmarks for \mathcal{L}_{DM} when DM has spin 1 (thermal targets):

- b_5 coupling:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - ib_5 A'^{\mu} \left(X_{\nu}^{\dagger} \partial_{\mu} X^{\nu} - (\partial_{\mu} X^{\dagger\nu}) X_{\nu} \right)$$

- $\Re(b_6)$ coupling:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - \Re(b_6) A'^{\mu} \partial_{\nu} (X^{\dagger\nu} X_{\mu} + X_{\mu}^{\dagger} X^{\nu})$$

- $\Im(b_6)$ coupling:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - i\Im(b_6) A'^{\mu} \partial_{\nu} (X^{\dagger\nu} X_{\mu} - X_{\mu}^{\dagger} X^{\nu})$$

- $\Re(b_7)$ coupling:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - \Re(b_7) A'^{\sigma} \epsilon_{\mu\nu\rho\sigma} (X^{\dagger\mu} \partial^{\nu} X^{\rho} + X^{\mu} \partial^{\nu} X^{\dagger\rho})$$

- $\Im(b_7)$ coupling:

$$\mathcal{L}_{\text{DM}} = \mathcal{L}_{\text{kin}} - i\Im(b_7) A'^{\sigma} \epsilon_{\mu\nu\rho\sigma} (X^{\dagger\mu} \partial^{\nu} X^{\rho} - X^{\mu} \partial^{\nu} X^{\dagger\rho})$$

Non-relativistic vs relativistic tests of dark sectors

- Comparing these models with observations, one is interested in two search strategies:
 - **Non-relativistic tests**, i.e. DM direct detection via *electronic transitions*
 - **Relativistic tests**, i.e. beam dump and fixed target experiments
- In the non-relativistic case, I use an effective theory framework for sub-GeV DM
 - combined with solid state physics methods, e.g. density functional theory (DFT)
 - The above models for spin-0, $-1/2$ and -1 DM can be matched on this framework
- In the relativistic case, I present an overview of constraints on DM thermal targets
 - focusing on spin-0 and spin- $1/2$ (standard), and spin-1 DM (new) separately

Non-relativistic DM-electron scattering in detector materials

- In any theoretical framework for Sub-GeV DM-electron scattering, the amplitude for non-relativistic DM scattering by free electrons, $\mathcal{M}_{\chi e}$, plays a key role
- It is often *assumed* to be a function of the momentum transfer only, i.e. $\mathcal{M}_{\chi e} = \mathcal{M}_{\chi e}(\mathbf{q})$

- From $\mathcal{M}_{\chi e}$, one calculates the rate of DM-induced electronic transitions in detectors

$$d\mathcal{R} = \frac{\rho_\chi}{m_\chi} \int d\mathbf{v} |\mathbf{v}| f(\mathbf{v} + \mathbf{v}_\oplus) d\sigma_{1\rightarrow 2}$$

where

$$d\sigma_{1\rightarrow 2} = \frac{1}{16m_\chi^2 m_e^2 |\mathbf{v}|} \frac{d\mathbf{q}}{(2\pi)^3} \left| \int \frac{d\mathbf{k}}{(2\pi)^3} \psi_2^*(\mathbf{k} + \mathbf{q}) \mathcal{M}_{\chi e}(\dots) \psi_1(\mathbf{k}) \right|^2 \delta(E_2 - E_1)$$

Non-relativistic effective theories reloaded

- In the non-relativistic limit, i.e. $|q|/m_e \ll 1$ and $|v| \ll 1$, $\mathcal{M}_{\chi e}$ admits an effective theory expansion (analogous to the one in the case of nuclear recoils)

$$\mathcal{M}_{\chi e} = \sum_{i < \infty} c_i \langle \mathcal{O}_i \rangle$$

where \mathcal{O}_i are quantum mechanical operators: $\mathcal{O}_4 = \mathbf{S}_\chi \cdot \mathbf{S}_N$, $\mathcal{O}_7 = \mathbf{S}_\chi \cdot \mathbf{v}^\perp$, etc...

- The rate of DM-induced electron transitions in materials can now be written as)

$$\frac{d\mathcal{R}}{d \ln \Delta E} = \frac{n_\chi}{128\pi m_\chi^2 m_e^2} \int dq q \hat{\eta}(q, \Delta E) \sum_{l=1}^r \Re \left[\mathcal{R}_l^*(q, v) \overline{\mathcal{W}}_l(q, \Delta E) \right]$$

Particle physics input

Response functions from solid state physics

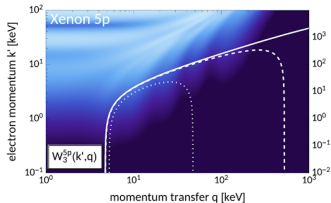
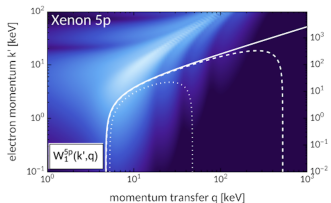
R. Catena, T. Emken, N. A. Spaldin and W. Tarantino, "Atomic responses to general dark matter-electron interactions," Phys. Rev. Res. 2 (2020) no.3, 033195

R. Catena, T. Emken, M. Matas, N. A. Spaldin and E. Urdshals, "Crystal responses to general dark matter-electron interactions," Phys. Rev. Res. 3 (2021) no.3, 033149

Response function formalism

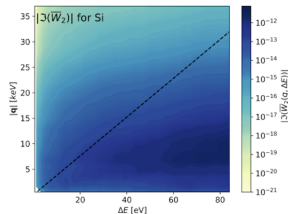
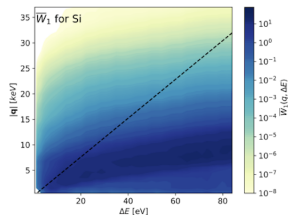
Selected response functions for xenon

R. Catena, T. Emken, N. A. Spaldin and W. Tarantino, " Phys. Rev. Res. 2 (2020) no.3, 033195



Selected response functions for silicon crystals

R. Catena, T. Emken, M. Matas, N. A. Spaldin and E. Urdshals, Phys. Rev. Res. 3 (2021) no.3, 033149

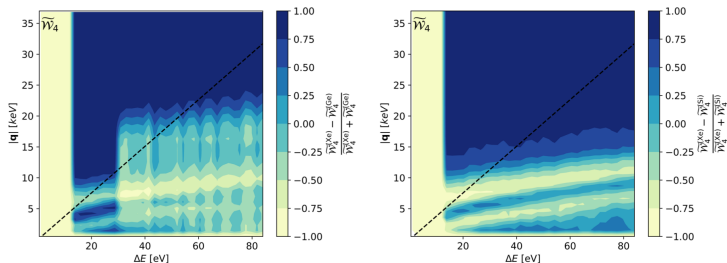


Response function formalism

- The response functions $\mathcal{W}_i(q, \Delta E)$ admit the following compact representation

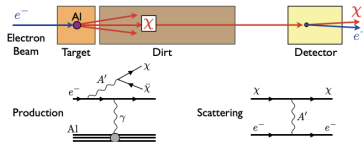
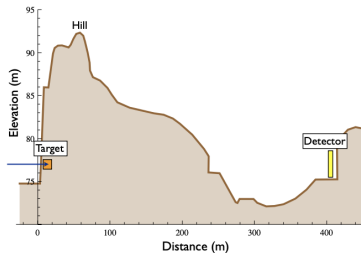
$$\mathcal{W}_i(\mathbf{q}, \Delta E) = \frac{2}{\pi} \Delta E \sum_{\{1\}, \{2\}} \mathcal{B}_i \delta(\Delta E - E_2 + E_1)$$

where the \mathcal{B}_i 's are (up to 5) material-specific electron wave function overlap integrals



Relativistic dark sector searches at beam dump experiments

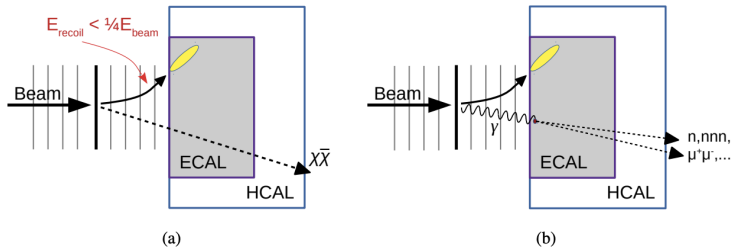
- Example of a beam dump experiment for dark sector searches (layout of E137)



J. D. Bjorken *et al.*, "Search for neutral metastable penetrating particles produced in the SLAC beam dump", Phys. Rev. D **38**, 3375 (1988)

Relativistic dark sector searches at LDMX

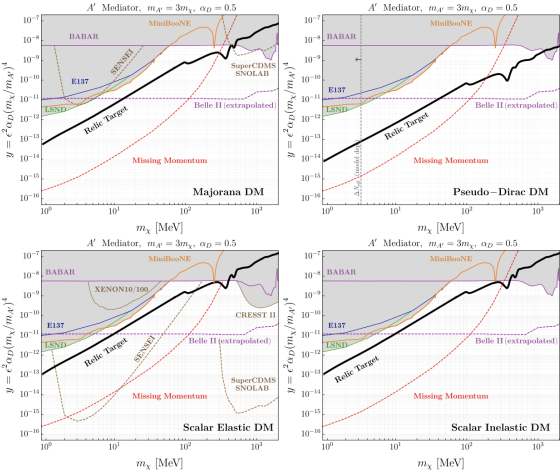
- Conceptual schematic of an expected signal (a) and background (b) process at LDMX.



T. Åkeson *et al.* FERMILAB-PUB-18-324-A, SLAC-PUB-17303

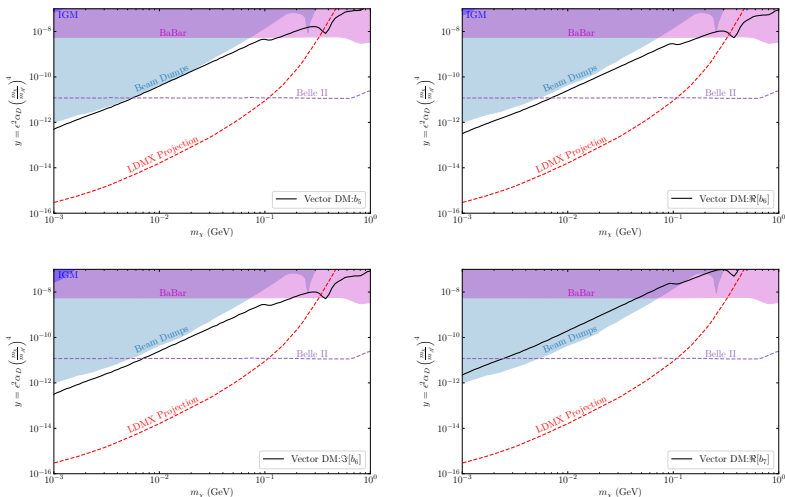
Prospects for dark sector searches at beam dump experiments and LDMX

- Spin 0 and spin 1/2 thermal targets:



Prospects for dark sector searches at beam dump experiments and LDMX

■ Spin 1 thermal targets (preliminary):



R. Catena and T. Gray, "New thermal targets for spin-1 DM searches at beam dump and fixed target experiments", in progress

Summary and conclusions

- I reviewed a selection of theoretical frameworks used in the analysis of DM search experiments
- Focusing on models extending the SM matter/Higgs sector only, I discussed aspects of:
 - SUSY DM,
 - Minimal DM
 - Non-relativistic effective theories
- Focusing on frameworks including a DM candidate and a particle mediator, I reviewed dark sector models for spin-0 and spin-1/2 as well as spin-1 (new) DM
- The highly interdisciplinary character of the DM/dark sectors field calls for an increased collaboration between particle, nuclear and solid state physicists