

4.5. The custodial symmetry.

(Sikivie, Susskind, Voloshin, Zakharov, 1980)

The tree-level relation $\rho = M_W^2 / (M_Z^2 \cos^2 \theta_w) = 1$ is the result of an (approximate) symmetry.

In any theory of electroweak interactions which conserves the electric charge and has an approximate global $SU(2)$ symmetry under which A_m^a transform as a triplet, $\rho = 1$ at tree-level.

Approximate means : in the limit of $g' = 0$ and in the absence of the Yukawa couplings.

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The Higgs potential $V(\Phi^\dagger \Phi)$ is invariant under an $SO(4)$ symmetry. Indeed,

$$\Phi = \begin{pmatrix} \Phi_1 + i\Phi_2 \\ \Phi_3 + i\Phi_4 \end{pmatrix}, \quad \Phi^\dagger \Phi = \sum_{i=1}^4 \Phi_i^2 \quad \rightarrow$$

$SO(4) = SU(2)_L \times SU(2)_R$ symmetry. The Higgs vev

$$\Phi = \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix} \text{ breaks } SO(4) \rightarrow SO(3) = SU(2)_D$$

Other Higgs representations ? **Homework :**

Consider Higgs triplets. Show that the Higgs vev generate the breaking $SO(3) \rightarrow SO(2)$. In this case there is no custodial symmetry and $\rho \neq 1$.

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Proof: The gauge boson mass matrix is then of the form

$$\begin{pmatrix} M^2 & 0 & 0 & 0 \\ 0 & M^2 & 0 & 0 \\ 0 & 0 & M^2 & m_1^2 \\ 0 & 0 & m_1^2 & m_2^2 \end{pmatrix} \quad (62)$$

No photon mass $\rightarrow M^2 m_2^2 - m_1^4 = 0$. The $W_3 - A$ mass matrix is then of the form : (homework)

$$\begin{pmatrix} M_W^2 & \pm M_W \sqrt{M_Z^2 - M_W^2} \\ \pm M_W \sqrt{M_Z^2 - M_W^2} & M_Z^2 - M_W^2 \end{pmatrix} \quad (63)$$

It is then easy to check that $M_W = \cos \theta_w M_Z$.

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A useful parametrization :

$$\mathcal{H} = (i\tau_2 \Phi^* \quad \Phi) = \begin{pmatrix} \Phi_0^* & \Phi_+ \\ -\Phi_+^* & \Phi_0 \end{pmatrix}, \quad \Phi^\dagger \Phi = \text{Tr} \mathcal{H}^\dagger \mathcal{H}$$

$V(\Phi^\dagger \Phi)$ is invariant under $\mathcal{H} \rightarrow U_L \mathcal{H} U_R^\dagger$, with $U_{L,R}$ unitary matrices implementing $SU(2)_L \times SU(2)_R$ transformations. Symmetry breaking

$$\langle \mathcal{H} \rangle = \frac{v}{\sqrt{2}} I_{2 \times 2} \text{ breaks } SU(2)_L \times SU(2)_R \rightarrow SU(2)_D$$

$U(1)_Y$ and Yukawas **break** the custodial symmetry. However

$$\mathcal{L}_{\text{Yuk}} = h (\bar{t}_L \quad \bar{b}_L) \mathcal{H} \begin{pmatrix} t_R \\ b_R \end{pmatrix}$$

is invariant under $SU(2)_D$ (if $h_t = h_b$).

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A one-loop computation in the SM gives

$$\delta\rho = \frac{3g^2(m_t^2 - m_b^2)}{64\pi^2 M_W^2} - \frac{3g^2}{32\pi^2} \ln \frac{m_H}{M_Z} + \dots$$

where \dots are **subleading** contributions from the SM (or eventual new physics contributions, see lectures Bogdan) that are smaller than 10^{-3} .

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- In (super)renormalizable theories, UV divergences can be **absorbed** into **rescaling of fields** and **redefinitions** of the various couplings and masses. Taking the couplings/masses from experience, the UV cutoff disappears from physical quantities \rightarrow **the theory is predictive at any energy scale**.
- In non-renormalizable theories, we need an **infinite number** of couplings and masses in order to absorb UV divergences. We would need an infinite amount of experimental data to determine all these couplings \rightarrow at high-energies $E > \Lambda$ **the theory loses its predictive power**. At low-energy the theory is **perfectly predictive**.

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5. QUANTUM CORRECTIONS AND RENORMALIZATION.

5.1. UV divergences and regularization.

Perturbation theory in QFT is plagued with **UV divergences**. We have to keep an UV cutoff Λ in computing physical quantities. There are three cases that arise :

- **Super-renormalizable theories** : only a finite number of Feynman diagrams diverge.
- **Renormalizable theories** : a finite number of amplitudes diverge. Divergences at all orders in pert. theory.
- **Non-renormalizable theories** : All amplitudes are divergent at a certain order in perturbation theory.

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- 5.2. Relevant, marginal and irrelevant couplings

Consider a scalar theory of the form

$$S_\Lambda = \int d^4x \left(\frac{1}{2}(\partial\phi)^2 + \frac{m^2\phi^2}{2} + \sum_n \lambda_n \phi^n \right), \quad (64)$$

where S_Λ is the euclidian action defined with a cutoff Λ . The couplings λ_n have (classical) mass dimensions $[\lambda_n] = 4 - n$. Let us consider the theory with two different maximal euclidian momenta/cutoffs:

- $0 < p < \Lambda$
- $0 < p < \Lambda' = \epsilon \Lambda$, where $\epsilon < 1$.

The theory ii) has therefore a **lower cutoff**.

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It is interpreted as a theory where the high-momenta of theory i) were **integrated out**. The theory i) has the action (64). In the theory ii) the cutoff can be redefined to be the same as in i) with the help of a **scale transformation**

$$x' = \epsilon x \quad , \quad p' = \epsilon^{-1} p \quad , \quad \phi' = \epsilon^{-1} \phi \quad (65)$$

In terms of the rescaled field and coordinates, the action of theory ii) become (**homework**)

$$S_{\Lambda'} = \int d^4 x' \left(\frac{1}{2} (\partial' \phi')^2 + \frac{m'^2 (\phi')^2}{2} + \sum_n \lambda'_n (\phi')^n \right) , \quad (66)$$

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5.3. (Non)renormalizability and couplings dims.

There is a straight connection between renormalizability and the three type of couplings above:

- relevant couplings \rightarrow super-renormalizability.
- marginal couplings \rightarrow renormalizability.
- irrelevant couplings \rightarrow non-renormalizability.

It is easy to argue for this by **dimensional arguments**.

Take some simple examples.

a) - Relevant coupling

$$\mathcal{L} = \frac{1}{2} (\partial \phi)^2 - \frac{m^2 \phi^2}{2} - \lambda_3 \phi^3 . \quad (68)$$

The coupling has dimension $[\lambda_3] = +1$, so it is relevant.

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where

$$m'^2 = \frac{1}{\epsilon^2} m^2 \quad , \quad \lambda'_n = \epsilon^{n-4} \lambda_n \quad (67)$$

Notice that the new mass and couplings scale with their classical dimension. We see therefore that the mass and couplings with positive dimension **grow** in the IR, whereas couplings with negative dimension **decrease** in the IR. It is said that

$$\begin{aligned} [\lambda_n] > 0 &\rightarrow \text{relevant coupling} \\ [\lambda_n] = 0 &\rightarrow \text{marginal coupling} \\ [\lambda_n] < 0 &\rightarrow \text{irrelevant coupling} \end{aligned}$$

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At one-loop, the UV divergent terms lead to (**Hw:**)

$$\delta \mathcal{L}_1 \sim \lambda_3 \Lambda^2 \phi + \lambda_3^2 \phi^2 \ln \Lambda ,$$

which are both of super-renormalizable type. The first lead to mass renormalization, whereas the second leads to a scalar tadpole.

At two loops, the only UV divergences are a cosmological constant and a scalar tadpole. At three loops, there is only a log UV divergence in the cosmological constant. No UV divergences exist at higher loops.

Dim. argument : The highest UV divergent term in

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the coupling is the three-loop vacuum energy

$$\lambda_3^4 \ln \Lambda \quad (69)$$

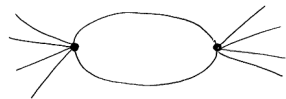
Higher loops have higher powers in λ_3 and cannot contribute to the UV divergent terms in the effective Lagrangian

Obs: $1/m^2$ terms are IR, not UV contributions.

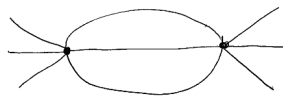
b) - Irrelevant coupling

$$\mathcal{L} = \frac{1}{2}(\partial\phi)^2 - \frac{m^2\phi^2}{2} - \lambda_6\phi^6. \quad (70)$$

The coupling has dimension $[\lambda_6] = -2$, so it is irrelevant. At one-loop, the UV divergent terms in the



One-loop divergent vertex in the ϕ^6 theory asking for adding λ_8 and $\delta\mathcal{L}_1$



Two-loop divergent vertex, asking for adding λ'_8 and $\delta\mathcal{L}_2$

eight-point amplitude lead to (Homework:)

$$\Gamma_{1\text{-loop}}^{(8)}(p_i) \sim c \lambda_6^2 \ln \Lambda + \dots$$

To cancel this divergence, one has to add a new coupling to the original action

$$\delta\mathcal{L}_1 \sim \lambda_8\phi^8,$$

and to **adjust the coupling** λ_8 such that

$$\lambda_8 + c \lambda_6^2 \ln \Lambda = \text{finite}$$

At two-loops, we get new **new UV divergences**, like the one in the six-point amplitude, prop. to

$$\Gamma_{2\text{-loops}}^{(6)}(p_i) \sim c' (p_i p_j) \lambda_6^2 \ln \Lambda,$$

which can be canceled by adding **another coupling**

$$\delta\mathcal{L}_2 \sim \lambda'_8 \phi^4 (\partial\phi)^2,$$

such that

$$\lambda'_8 + c' \lambda_6^2 \ln \Lambda = \text{finite}$$

The UV divergences **proliferate** at higher loop orders, generating an infinite tower of operators of higher and higher dimension.

Dimensional argument: Terms of the type $\lambda_6^n \phi^{4+2n} \ln \Lambda$, $\lambda_6^n (\partial\phi)^2 \phi^{2n} \ln \Lambda$ have the correct dimension to be generate for any n . Predictivity at high-energy is **lost**.

• However, let us define $\lambda_6 \sim 1/M^2$. Then :

In the IR $E < M$, the effect of non-renormalizable operators on physical quantities is prop. to some power or E/M and/or m/M , so their effects is negligible.

Effective theories with cutoff Λ (ex. General relativity, $\Lambda = M_P$) are predictive at energies $E \ll \Lambda$.

Another viewpoint: for $\mathcal{L}_{\text{int}} = \sum_n \lambda_n \phi^n$, leading cross-section for $2 \rightarrow 2$ particle scattering is

$$\sigma = \sum_n c_n \lambda_n^2 E^{2n-10} \sim \frac{1}{E^2} \sum_n c_n \left(\frac{E}{M}\right)^{2n}$$

for $\lambda_n \sim 1/M^{n-4} \rightarrow$ **predictive power lost** for $E \geq M$.

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The integral is log divergent in the UV. There are various ways to "renormalize" the integral. Here is a simple way : Define

$$\begin{aligned} V(s) &\equiv \int \frac{d^4 p}{(2\pi)^4} \frac{1}{p^2 + m_0^2} \frac{1}{(p - k_1 - k_2)^2 + m_0^2} \\ &= \int_{p^2 \geq \mu^2}^{\Lambda} \frac{d^4 p}{(2\pi)^4} \frac{1}{p^4} + \text{finite} , \end{aligned}$$

where the energy scale μ is arbitrary. We find (Hw)

$$\Gamma(k_1 k_2 k_3 k_4) = -i\lambda_0 + \frac{3i\lambda_0^2}{16\pi^2} \ln \frac{\Lambda}{\mu} + \text{finite} = -i\lambda(\mu) + \text{finite}$$

What is the **physical interpretation** of this manipulation?

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Ex. 1 : Coupling renormalization for ϕ^4 theory.

Consider the ϕ^4 theory

$$\mathcal{L} = \frac{1}{2}(\partial\phi)^2 - \frac{m_0^2}{2}\phi^2 - \frac{\lambda_0}{4!}\phi^4$$

and compute the four-point function at one-loop

$$\begin{aligned} \Gamma(k_1 k_2 k_3 k_4) &= -i\lambda_0 + \frac{(-i\lambda_0)^2}{2} \times \\ &\int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m_0^2} \frac{i}{(p - k_1 - k_2)^2 - m_0^2} + \text{two crossing terms} \end{aligned}$$

After the Wick rotation to euclidian momenta

$$\begin{aligned} \Gamma(k_1 k_2 k_3 k_4) &= -i\lambda_0 + \frac{i\lambda_0^2}{2} \int \frac{d^4 p}{(2\pi)^4} \frac{1}{p^2 + m_0^2} \frac{1}{(p - k_1 - k_2)^2 + m_0^2} \\ &+ \text{two crossing terms} \end{aligned}$$

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i) λ_0 is **not a physical parameter**. It can be chosen to depend on Λ such that

$$\lambda(\mu) = \lambda_0(\Lambda) - \frac{3\lambda_0^2}{16\pi^2} \ln \frac{\Lambda}{\mu}$$

is independent of Λ .

ii) Any value of μ leads to the same physical result. λ_0 is independent of μ ? Therefore

$$\frac{d\lambda}{d \ln \mu} = \frac{3\lambda^2}{16\pi^2} = \beta(\lambda) \quad (71)$$

describes the **renormalization group equation (RGE)** of λ at one-loop. (71) is then a differential eq., whose solution is (**homework**)

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$$\lambda(\mu) = \frac{\lambda(\mu_0)}{1 - \frac{3\lambda(\mu_0)}{16\pi^2} \ln \frac{\mu}{\mu_0}}$$

There is an equivalent prescription : add a local "counterterm" to the lagrangian

$$\mathcal{L} + \delta\mathcal{L} = \mathcal{L}_0 ,$$

which cancels the UV divergence.

In renormalizable theories, a **finite number** of counterterms are needed in order to render the theory UV finite. In non-renormalizable theories, an **infinite number** of counterterms are needed.

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$$\begin{aligned} A_m^0 &= Z_3^{1/2} A_m \quad , \quad \Psi_0 = Z_2^{1/2} \Psi \\ M_0 &= \frac{Z_M}{Z_2} M \quad , \quad q_0 = \frac{Z_1}{Z_2 Z_3^{1/2}} q \end{aligned}$$

In QED $Z_1 = Z_2$ (Ward identity) $\Rightarrow q_0 = Z_3^{-1/2} q$. The **RG running** can be found from

$$\mu \frac{\partial}{\partial \mu} q_0 = 0 \Rightarrow (Hw) \quad \beta(q) = \mu \frac{\partial q}{\partial \mu} = q \frac{\partial \ln Z_3^{1/2}}{\partial \ln \mu}$$

By an explicit computation we find

$$Z_3 = 1 - \frac{\alpha}{3\pi} \ln \frac{\Lambda}{\mu} + \text{finite} , \quad (72)$$

where μ is an arbitrary, renormalization scale.

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Ex. 2 : QED and running of fine structure constant.

We use here the **counterterm** method for the renormalization of QED. In this case

$$\begin{aligned} \mathcal{L} &= -\frac{1}{4} F_{mn}^2 + \bar{\Psi}(i\gamma^m \partial_m - q\gamma^m A_m - M)\Psi \\ \delta\mathcal{L} &= -\frac{1}{4}(Z_3 - 1)F_{mn}^2 + (Z_2 - 1)\bar{\Psi}i\gamma^m \partial_m \Psi \\ &\quad - (Z_1 - 1)q\bar{\Psi}\gamma^m A_m \Psi - (Z_M - 1)M\bar{\Psi}\Psi \\ \mathcal{L}_0 = \mathcal{L} + \delta\mathcal{L} &= -\frac{1}{4}(F_{mn}^0)^2 + \bar{\Psi}_0(i\gamma^m \partial_m - q_0\gamma^m A_m^0 - M_0)\Psi_0 \end{aligned}$$

The relations between **bare and renormalized** quantities are then

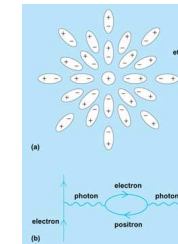
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Then we find ($\alpha = q^2/(4\pi)$)

$$\beta(q) = \frac{q^3}{24\pi^2} \Rightarrow \frac{1}{\alpha(Q)} = \frac{1}{\alpha(\mu)} - \frac{1}{3\pi} \ln \frac{Q}{\mu}$$

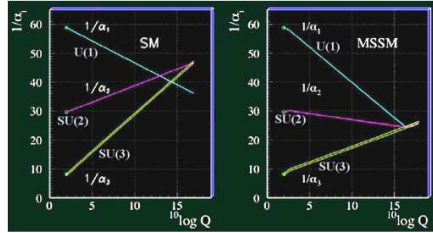
The fine structure coupling **increases with energy !**

Screening of electric charge by **vacuum polarization**



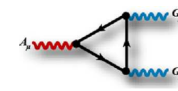
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The strong coupling α_3 is **anti-screened** due to gluon self-interactions



Tendency of **unification of couplings** at high energy ?

5.4. Global and gauge anomalies



Symmetries of the classical action can have **anomalies** at the quantum level, generated by one-loop **triangle diagrams**.

For global symmetries, this does not create problems.

Consider to start with

$$\mathcal{L} = \bar{\Psi} i \gamma^m D_m \Psi - M \bar{\Psi} \Psi$$

For $M \rightarrow 0$, the model has symmetry $U(1)_V \times U(1)_A$.