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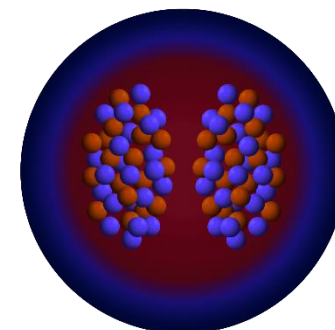
Phase transitions, chiral symmetries

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(GSI, Darmstadt & Uni. Frankfurt)
for the **PHSD** group



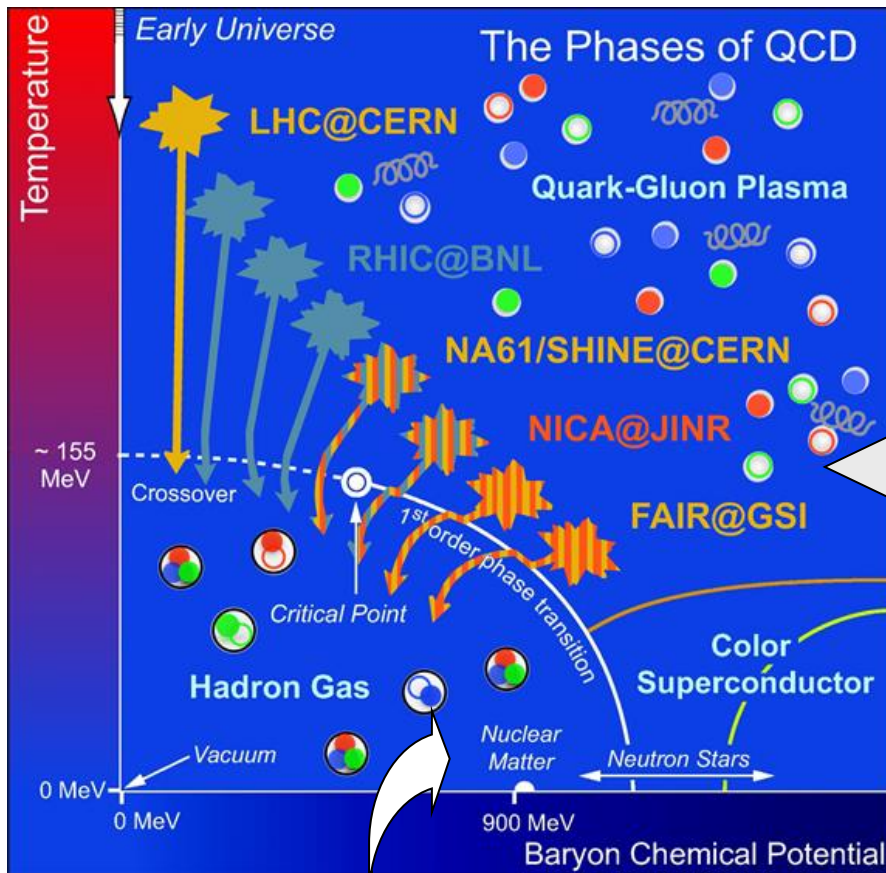
NA61++/SHINE: Physics opportunities from ions to pions

15-17 December, CERN

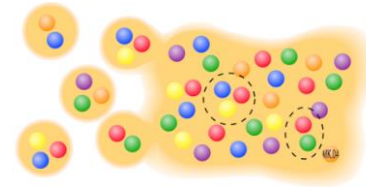


The ,holy grail' of heavy-ion physics:

The phase diagram of QCD \rightarrow thermal properties of QCD in the (T, μ_B) plain



- **Equation-of-State** of hot and dense matter?
- Study of the **phase transition** from hadronic to partonic matter – **Quark-Gluon-Plasma**



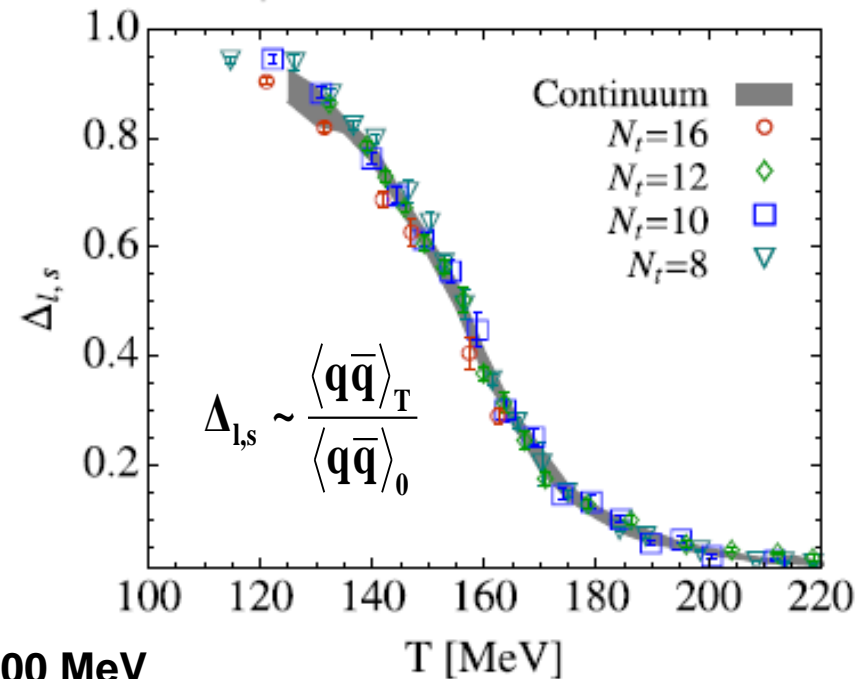
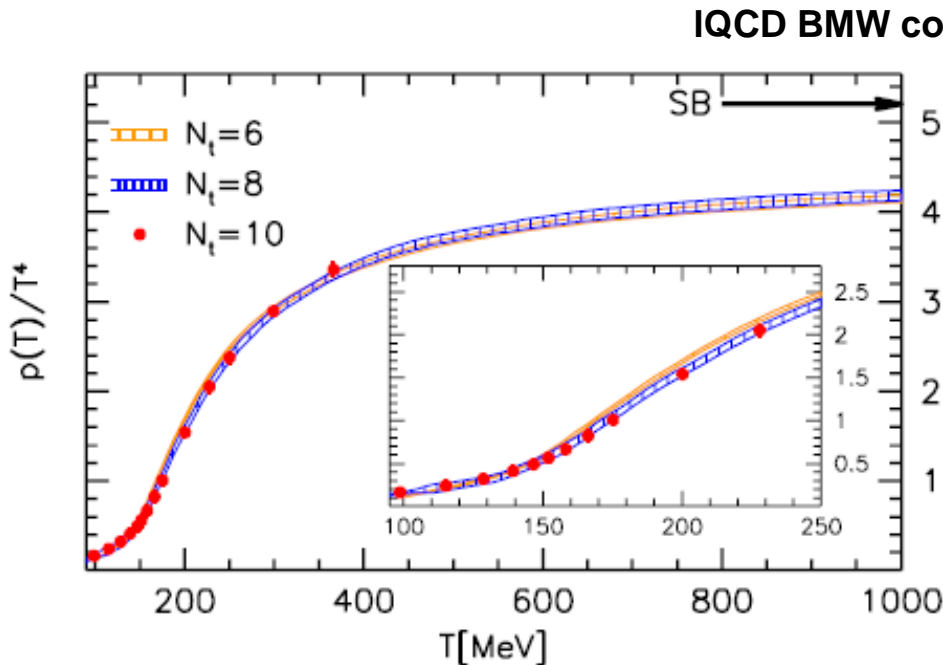
- Search for a **critical point**
- Search for signatures of **chiral symmetry restoration**
- Study of the **in-medium properties of hadrons** at high baryon density and temperature

Information from lattice QCD

I. deconfinement phase transition
with increasing temperature

+

II. chiral symmetry restoration
with increasing temperature

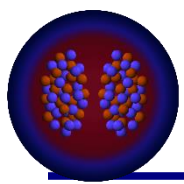


\square **Crossover**: hadron gas \rightarrow QGP - up to $\mu_B < 400$ MeV

\square **Scalar quark condensate $\langle q\bar{q} \rangle$** is viewed as an **order parameter** for the restoration of chiral symmetry:

$$\langle \bar{q}q \rangle = \begin{cases} \neq 0 & \text{chiral non-symmetric phase;} \\ = 0 & \text{chiral symmetric phase.} \end{cases}$$

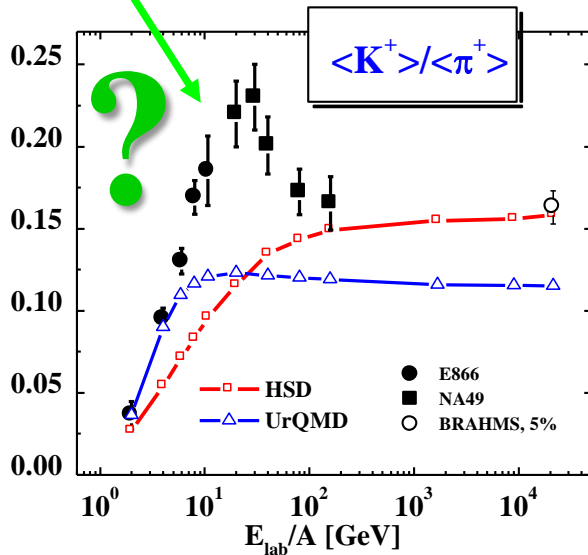
\rightarrow both transitions occur at about the same temperature T_C for low chemical potentials



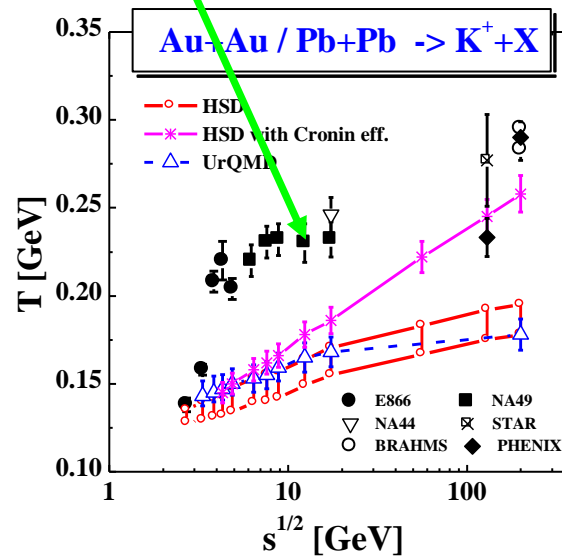
Signals for the phase transition

Hadron-string transport models (HSD, UrQMD) versus observables at ~ 2000

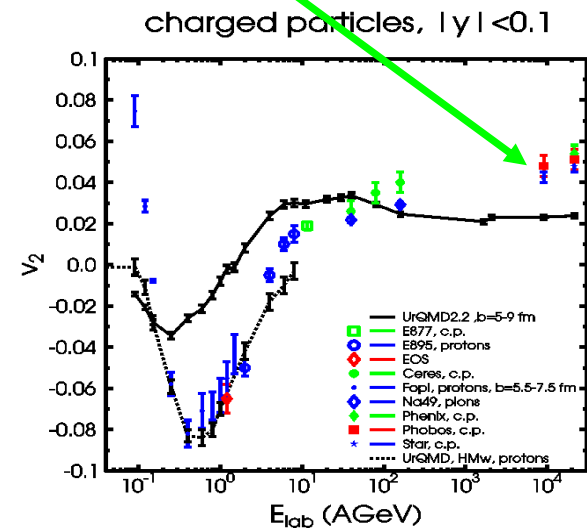
□ ,horn' in K^+/π^+



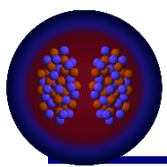
□ ,step' in slope T



□ elliptic flow v_2



Exp. data are not reproduced in terms of the hadron-string picture
 → evidence for partonic degrees of freedom + ?!



Dynamical description of heavy-ion collisions

The goal:

to study the properties of **strongly interacting matter** under extreme conditions from **a microscopic point of view**

Realization:

to develop a **dynamical many-body transport approach**

- 1) applicable for **strongly interacting systems**, which includes:
- 2) **phase transition** from hadronic matter to QGP
- 3) **chiral symmetry restoration**

2004-2022

The tool: PHSD approach





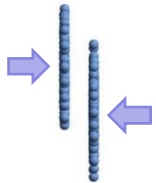
Parton-Hadron-String-Dynamics (PHSD)



PHSD is a **non-equilibrium microscopic transport approach** for the description of **strongly-interacting hadronic and partonic matter** created in heavy-ion collisions

Dynamics: based on the solution of **generalized off-shell transport equations** derived from Kadanoff-Baym many-body theory

Initial A+A collision

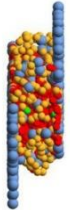


□ **Initial A+A collisions** :
 $N+N \rightarrow$ **string formation** \rightarrow decay to pre-hadrons + leading hadrons

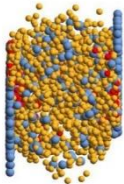
□ **Formation of QGP stage** if local $\varepsilon > \varepsilon_{\text{critical}}$:
 dissolution of **pre-hadrons** \rightarrow partons

□ **Partonic phase - QGP:**
 QGP is described by the **Dynamical QuasiParticle Model (DQPM)** matched to reproduce **lattice QCD EoS** for finite T and μ_B (crossover)

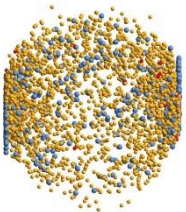
Partonic phase



Hadronization



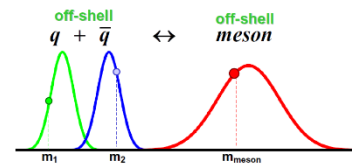
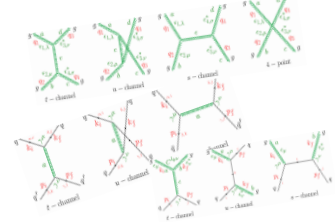
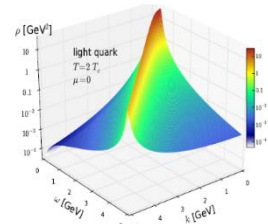
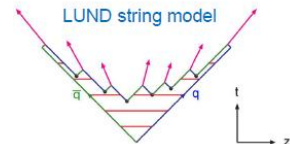
Hadronic phase



- **Degrees-of-freedom:** strongly interacting quasiparticles: **massive quarks and gluons (g, q, q_{bar})** with sizeable collisional widths in a self-generated mean-field potential
- **Interactions:** (quasi-)elastic and inelastic collisions of partons

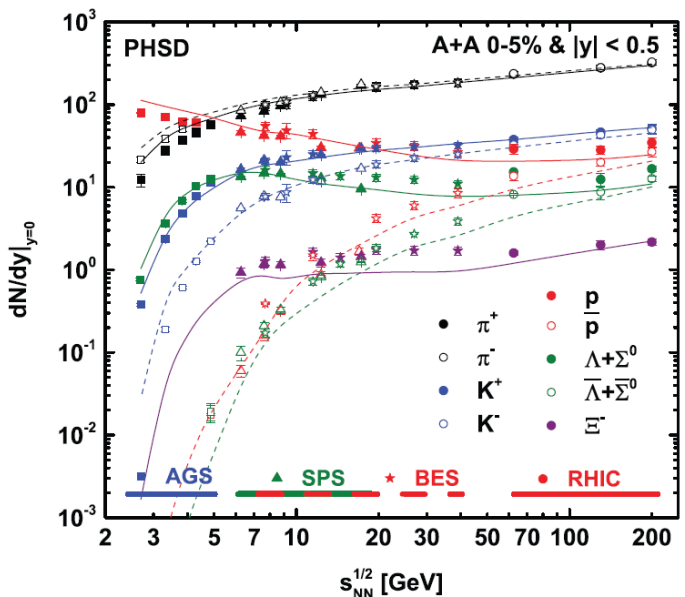
□ **Hadronization** to colorless **off-shell mesons and baryons:**
 Strict 4-momentum and quantum number conservation

□ **Hadronic phase:** hadron-hadron interactions – **off-shell HSD**

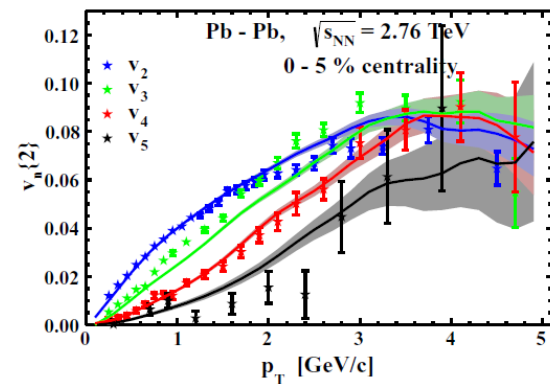
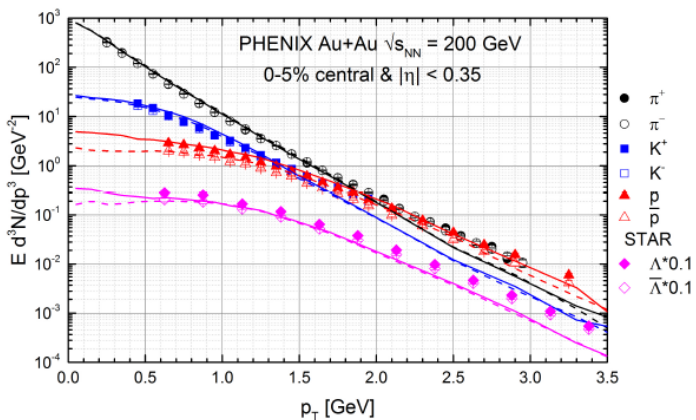
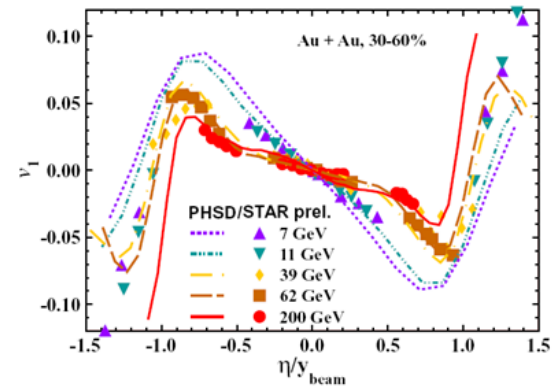
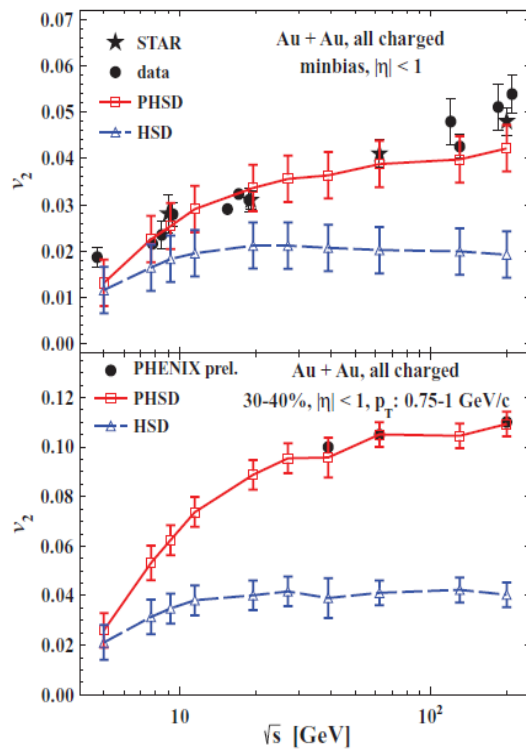




Non-equilibrium dynamics: description of A+A with PHSD



PHSD: highlights



V. Konchakovski et al.,
PRC 85 (2012) 011902; JPG42 (2015) 055106; Astr. Nachr. 342 (2021) 715

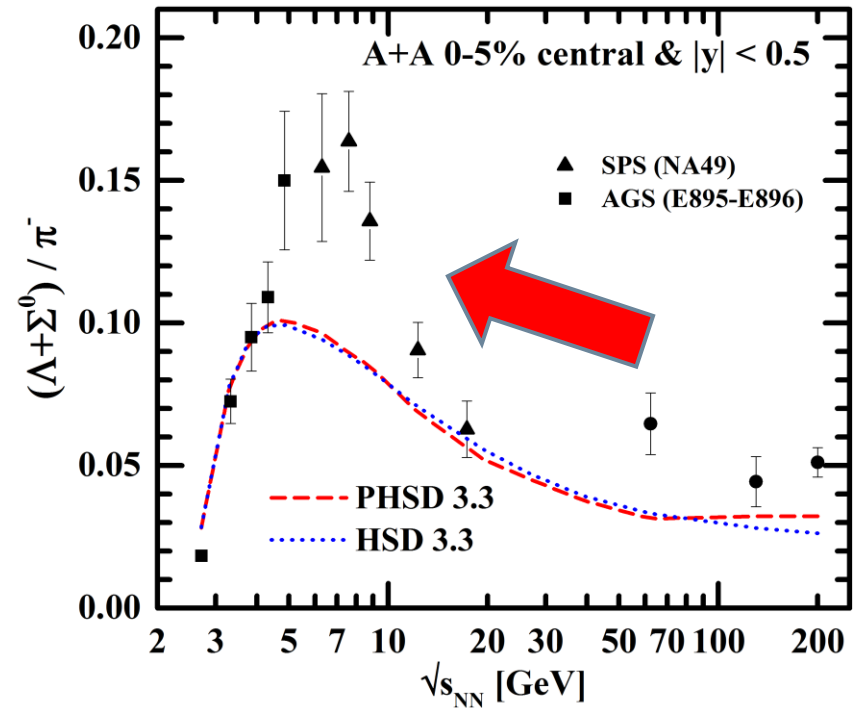
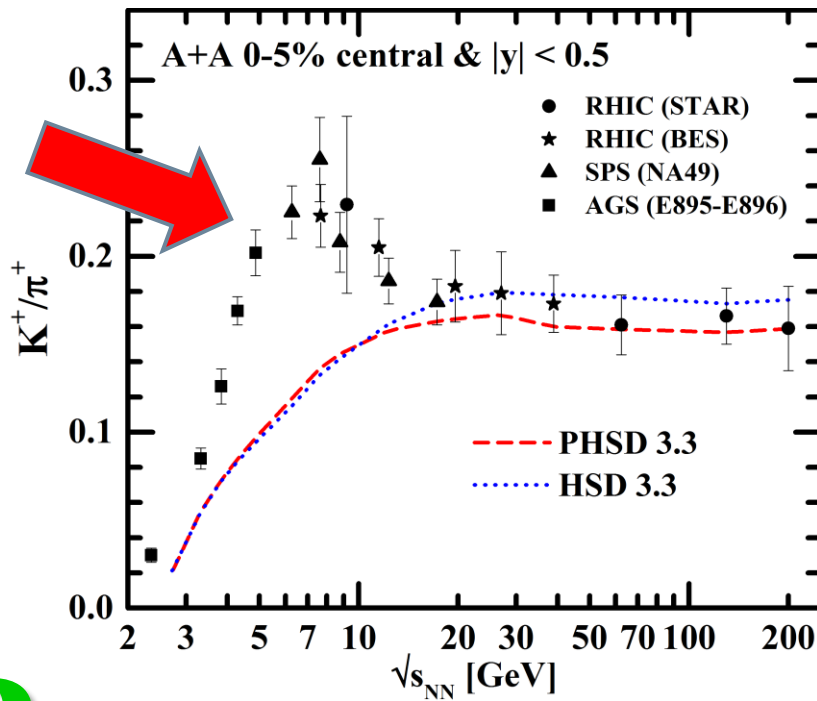
PHSD provides a good description of 'bulk' observables (y -, p_T -distributions, flow coefficients v_n , ...) from SIS to LHC



Problem: K^+/π^+ ,horn' – 2015

PHSD: even when considering the creation of a QGP phase, the K^+/π^+ ,horn' seen experimentally by NA49 and STAR at a bombarding energy ~ 30 A GeV (FAIR/NICA energies!) remains unexplained !

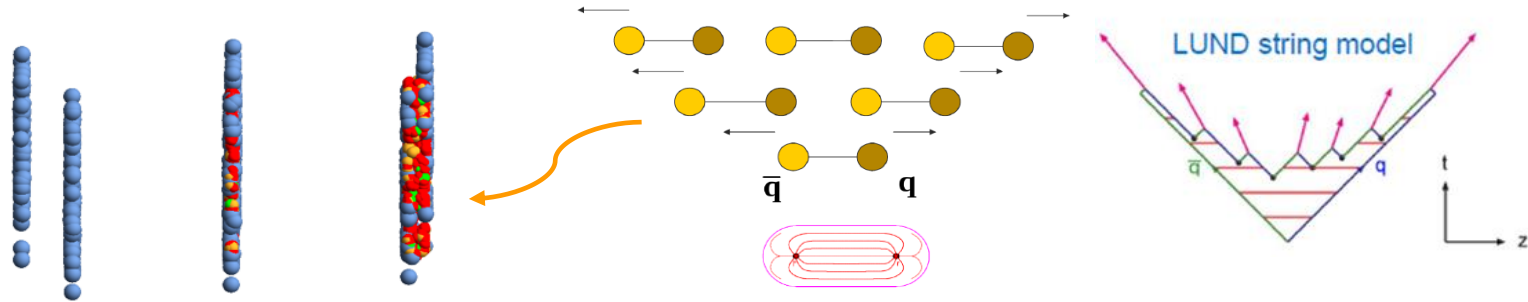
→ The origin of 'horn' is not traced back to deconfinement ?!



Can it be related to **chiral symmetry restoration** in the **hadronic phase**?!

Chiral symmetry restoration via Schwinger mechanism

- Initial stage of HIC: string formation



- the ‘flavor chemistry’ of the final hadrons in the PHSD is mainly defined by the LUND string model
- ‘quark flavor chemistry’ in the LUND model is determined by the Schwinger-formula
- According to the Schwinger-formula, the probability to form a massive $s\bar{s}$ pair in a string-decay is suppressed in comparison to a light flavor pair ($u\bar{u}$, $d\bar{d}$):

$$\frac{P(s\bar{s})}{P(u\bar{u})} = \frac{P(s\bar{s})}{P(d\bar{d})} = \gamma_s = \exp\left(-\pi \frac{m_s^2 - m_q^2}{2\kappa}\right)$$

with κ - string tension;
in vacuum: $\kappa \sim 0.9 \text{ GeV/fm} = 0.176 \text{ GeV}^2$

- m_s, m_q ($q=u,d$) – constituent (‘dressed’) quark masses

Dressing of the quark masses

- m_s, m_q ($q=u,d$) – **constituent** ('dressed') quark masses: 'dressing' of bare quark masses is due to the coupling to the **scalar quark condensate** $\langle q\bar{q} \rangle$:

I. In vacuum (e.g. p+p collisions) :

$$m_q^V = m_q^0 - g_s \langle q\bar{q} \rangle_V$$

($V \equiv \text{vacuum}$)

bare quark masses:

$$m_u^0 = m_d^0 \approx 7 \text{ MeV}, \quad m_s^0 \approx 100 \text{ MeV}$$

vacuum scalar quark condensate

fixed from Gell-Mann-Oakes-Renner

relation $f_\pi^2 m_\pi^2 = -\frac{1}{2}(m_u^0 + m_d^0) \langle \bar{q}q \rangle_V$

$\Rightarrow \langle q\bar{q} \rangle_V \approx -3.2 \text{ fm}^{-3}$

\rightarrow Constituent quark masses in vacuum :

($m_q \equiv m_q^V$) $m_u^V = m_d^V \approx 0.35 \text{ GeV}, \quad m_s^V \approx 0.5 \text{ GeV}$

II. In medium (e.g. A+A collisions) :

In the presence of a **hot and dense hadronic medium**, the degrees of freedom modify their properties, e.g. **the in-medium constituent quark masses:**

$$m_q^* = m_q^0 - g_s \langle q\bar{q} \rangle \quad (q = u, d, s)$$



$$m_q^* = m_q^0 + (m_q^V - m_q^0) \frac{\langle q\bar{q} \rangle}{\langle q\bar{q} \rangle_V}$$

* mean-field results (1PI)



Scalar quark condensate in the hadronic medium

- The behavior of the scalar quark condensate $\langle q\bar{q} \rangle$ in the **hadronic medium** (baryons + mesons) can be obtained e.g. from

B. Friman et al., Eur. Phys. J. A 3, 165, 1998

non-linear $\sigma - \omega$ model:

$$\frac{\langle q\bar{q} \rangle}{\langle q\bar{q} \rangle_V} = 1 - \frac{\Sigma_\pi}{f_\pi^2 m_\pi^2} \rho_S - \sum_h \frac{\sigma_h \rho_S^h}{f_\pi^2 m_\pi^2}$$

baryonic medium

mesonic medium

where $\Sigma_\pi \approx 45 \text{ MeV}$
 is the pion-nucleon Σ -term,
 $\sigma_h = m_\pi/2$ for light mesons;
 $= m_\pi/4$ - strange mesons

Scalar field $\sigma(x)$ mediates the scalar interaction of baryons with the surrounding medium with a g_s coupling

- 1) ρ_s is the **scalar density of baryonic matter** :

from non-linear $\sigma - \omega$ model:

from PHSD

$$m_\sigma^2 \sigma(x) + B\sigma^2(x) + C\sigma^3(x) = g_s \rho_S = g_s d \int \frac{d^3 p}{(2\pi)^3} \frac{m_N^*(x)}{\sqrt{p^2 + m_N^{*2}}} f_N(x, \mathbf{p})$$

$$m_N^*(x) = m_N^V - g_s \sigma(x)$$

- $\sigma(x)$ is determined locally by solution of the **nonlinear gap equation** ;
- parameters g_s, m_σ, B, C are **fixed** to reproduce the main nuclear matter quantities, i.e. saturation density, binding energy per nucleon, compression modulus and the effective nucleon mass.

- 2) ρ_s^h is the **scalar density of mesons** of type $h \rightarrow$ from PHSD

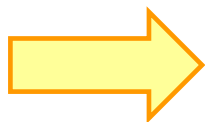


Scalar quark condensate in HIC

PHSD:
Ratio of the scalar quark condensate

$$\frac{\langle q\bar{q} \rangle}{\langle q\bar{q} \rangle_V}$$

compared to the vacuum as a function of x, z ($y=0$) at different time t for central Au+Au collisions at 30 AGeV

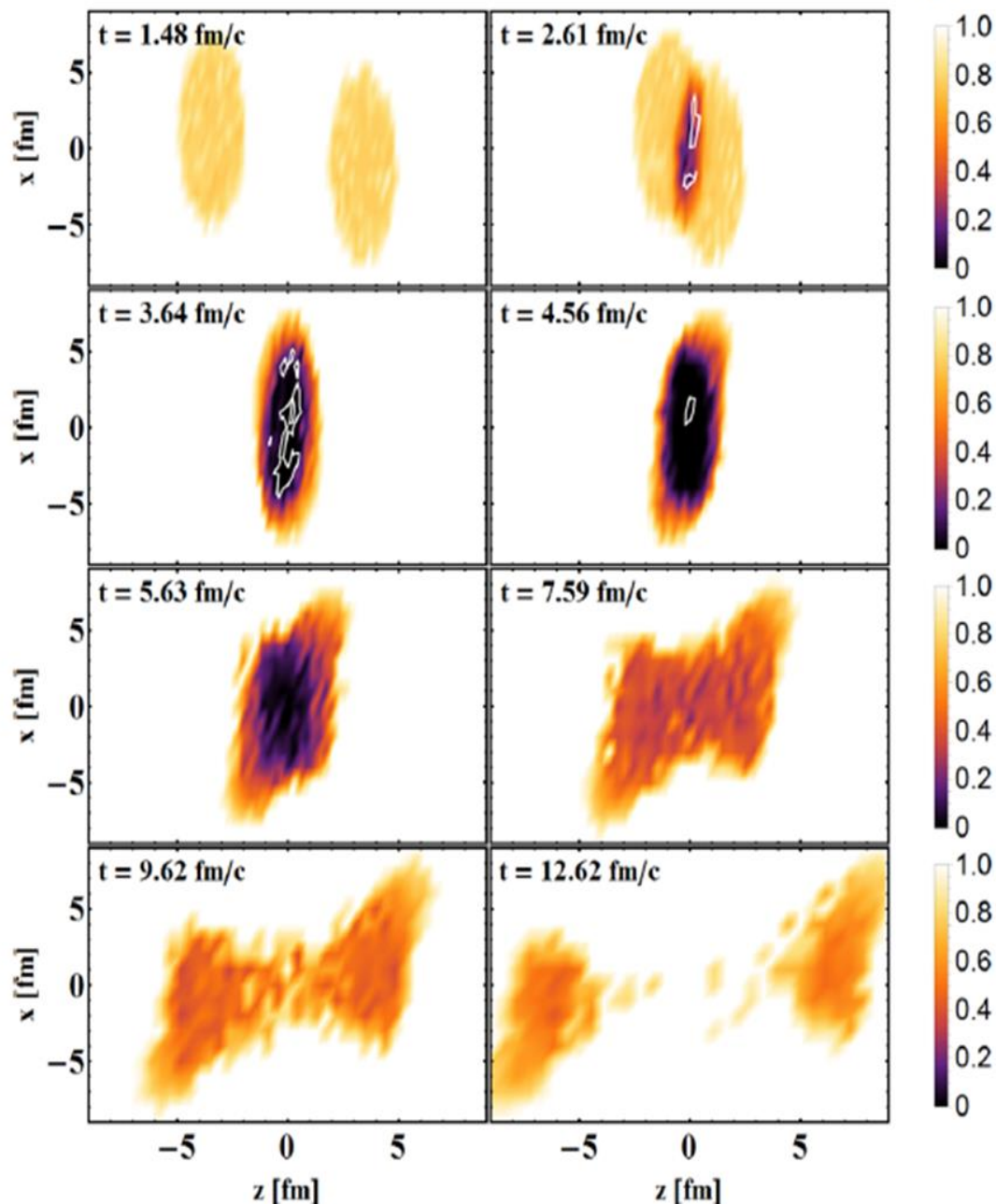


□ restoration of chiral symmetry:

$$\langle q\bar{q} \rangle / \langle q\bar{q} \rangle_V \rightarrow 0$$

PHSD: Au+Au @ 30 AGeV, $b = 2.2$ fm

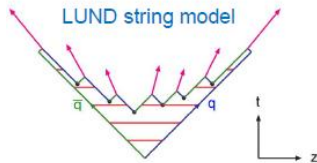
$\frac{\langle q\bar{q} \rangle}{\langle q\bar{q} \rangle_V}$



Chiral symmetry restoration vs. deconfinement



I. Initial stage of HIC collisions: Hadronic matter \rightarrow string formation



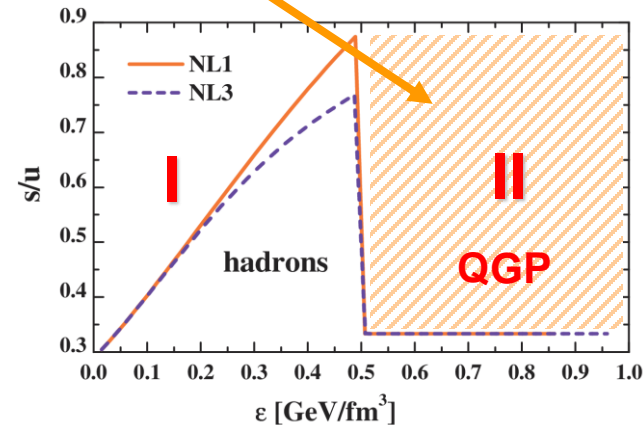
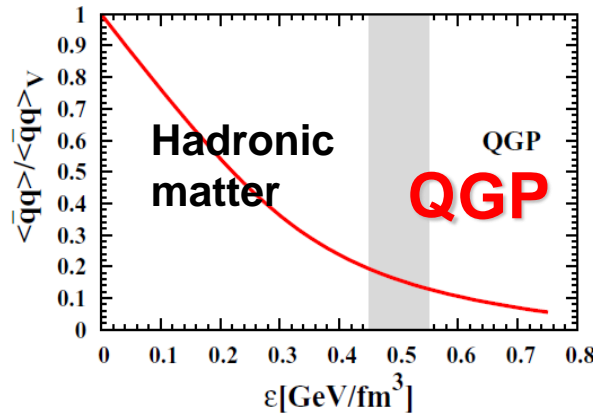
$$\frac{P(s\bar{s})}{P(u\bar{u})} = \frac{P(s\bar{s})}{P(d\bar{d})} = \gamma_s = \exp\left(-\pi \frac{m_s^{*2} - m_q^{*2}}{2\kappa}\right)$$

$$m_q^* = m_q^0 + (m_q^V - m_q^0) \frac{\langle q\bar{q} \rangle}{\langle q\bar{q} \rangle_V}$$

II. QGP

(time-like partons,
explicit partonic interactions)

III. Hadronic phase



□ Chiral symmetry restoration via Schwinger mechanism (and non-linear $\sigma - \omega$ model) changes the „flavour chemistry“ in string fragmentation (1PI):

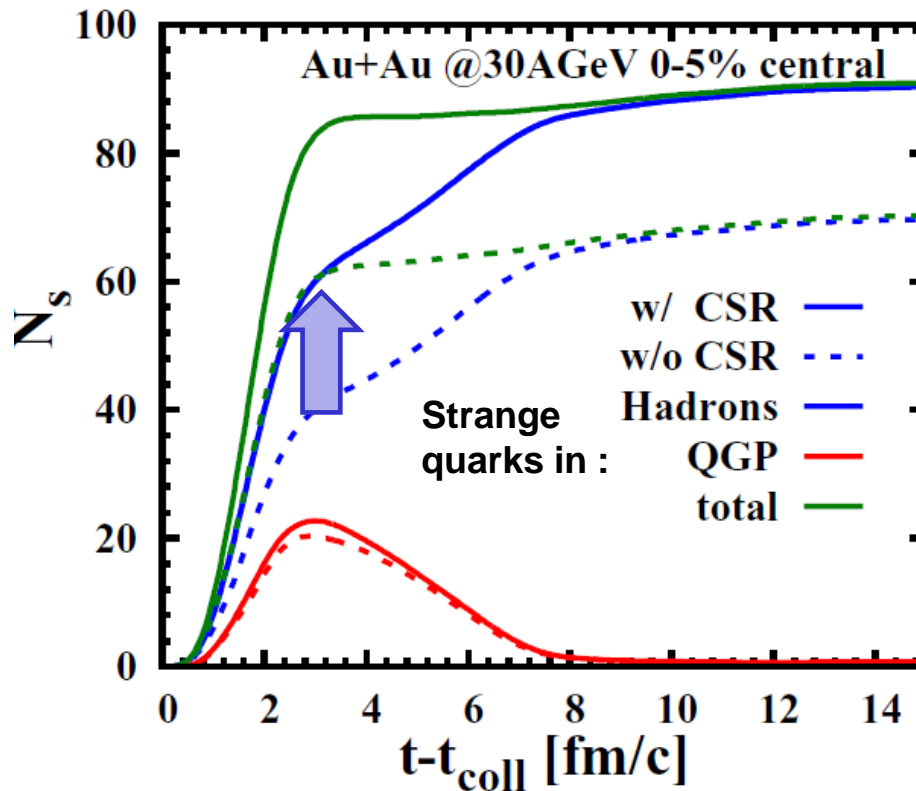
$$\langle q\bar{q} \rangle / \langle q\bar{q} \rangle_V \rightarrow 0 \quad \rightarrow \quad m_s^* \rightarrow m_s^0 \quad \rightarrow \quad s/u \text{ grows}$$

\rightarrow the strangeness production probability **increases** with the local energy density ϵ (up to ϵ_C) due to the partial **chiral symmetry restoration!**



Time evolution of strangeness

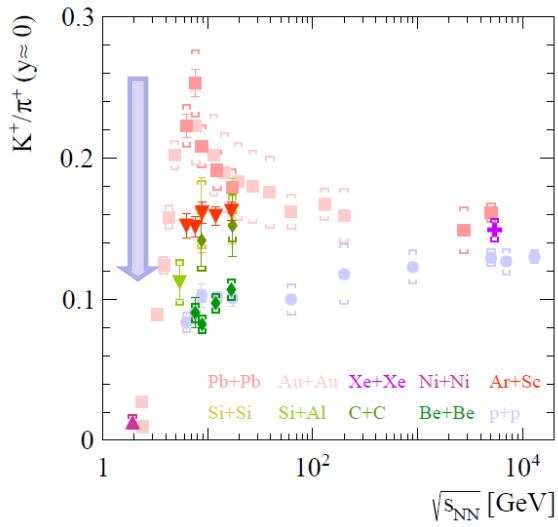
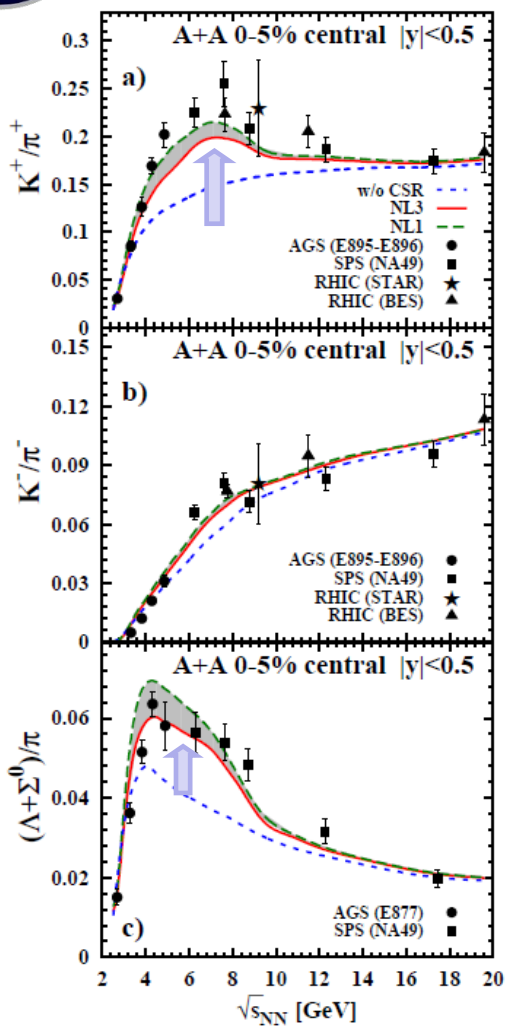
The strange quark number N_s as a function of time in 5% central Au+Au collision at 30 AGeV



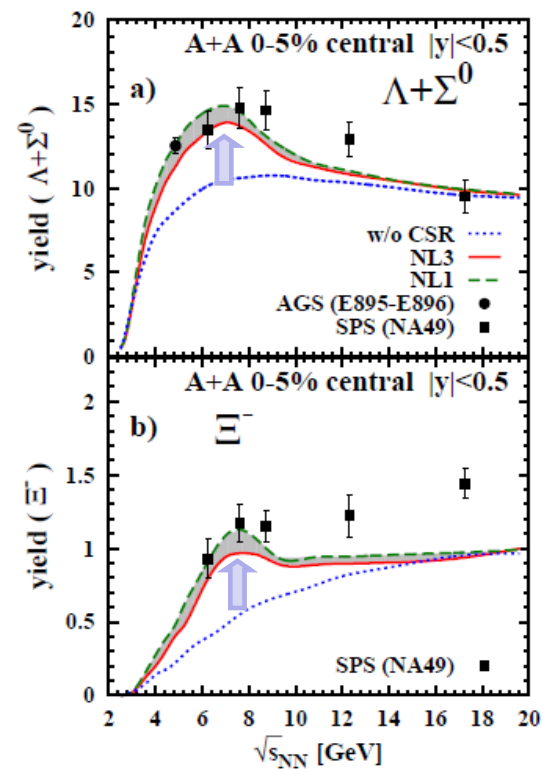
Chiral symmetry restoration leads to the **enhancement of strangeness production** during the string fragmentation in the beginning of HIC in the hadronic phase

Excitation function of hadron ratios and yields

A. Palmese et al., PRC94 (2016) 044912, arXiv:1607.04073



$K^+(u\bar{s})$
 $K^-(s\bar{u})$
 $\Lambda(u\bar{d}s)$

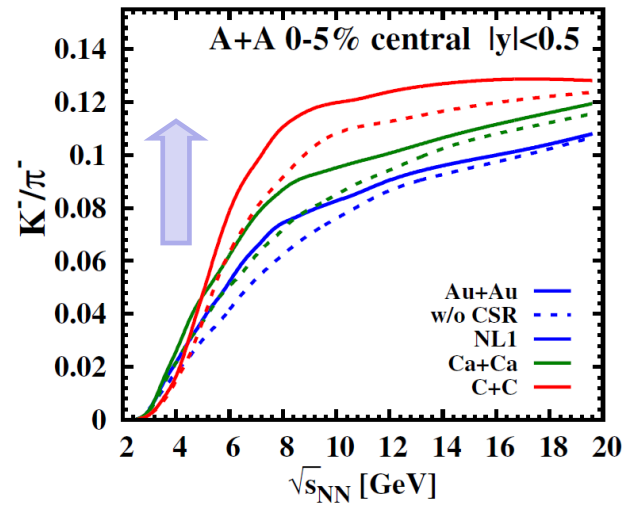
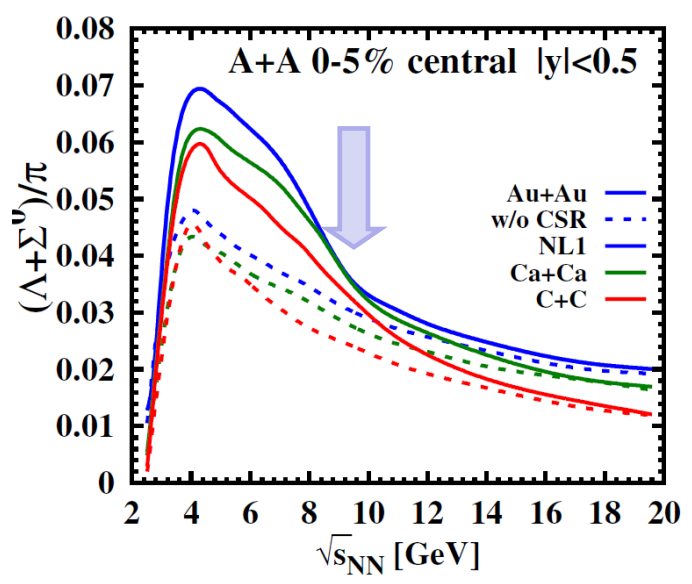
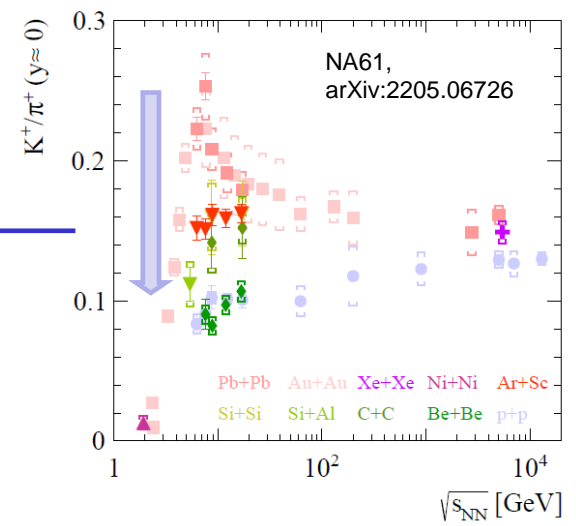
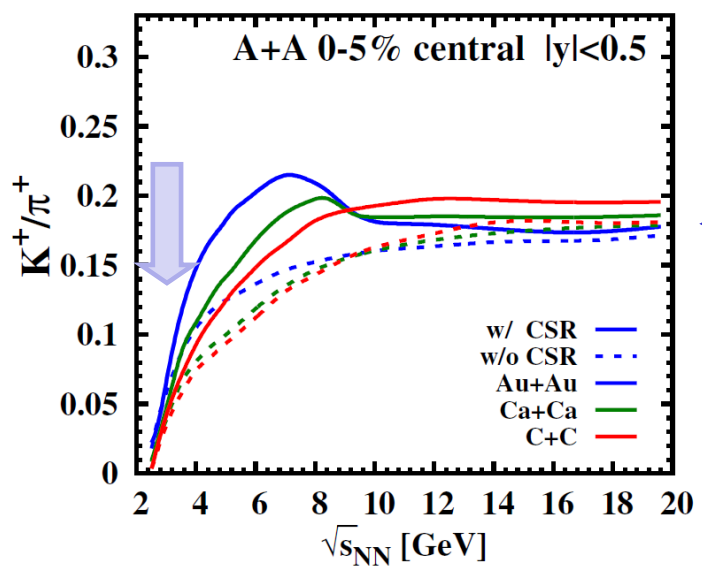


- Influence of EoS: NL1 vs NL3 → **low sensitivity to the nuclear EoS**
- Excitation function of the **hyperons** $\Lambda+\Sigma^0$ and Ξ^- show analogous peaks as K^+/π^+ , $(\Lambda+\Sigma^0)/\pi$ ratios due to CSR

Chiral symmetry restoration leads to the **enhancement of strangeness production** in string fragmentation in the beginning of HICs in the hadronic phase.
→ The „horn“ structure is due to the interplay between CSR and deconfinement (QGP)



Sensitivity to the system size: A+A collisions

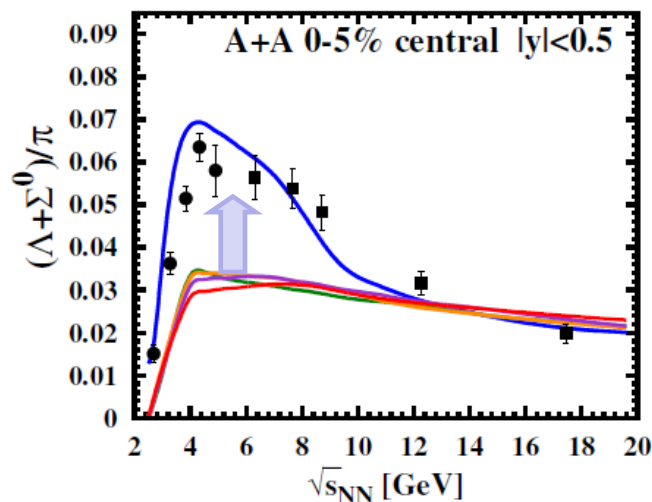
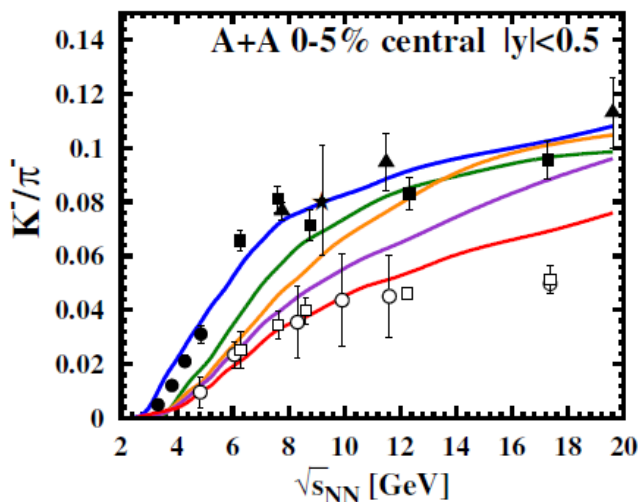
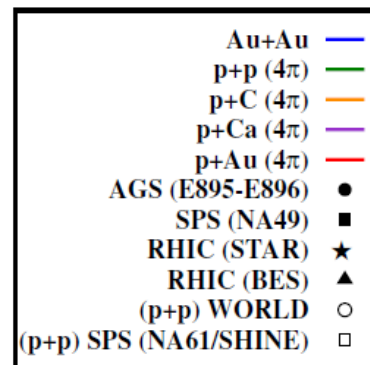
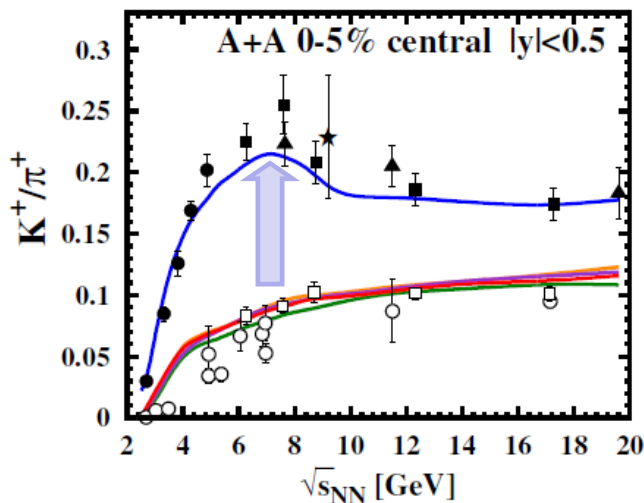


- If the **system size is small**
- the peak of K^+/π^+ **disappears**
 - the peak of $(\Lambda + \Sigma^0)/\pi$ **remains** in the same position in energy, but getting smaller



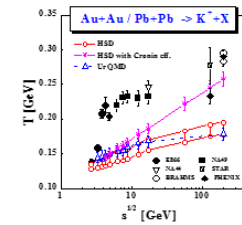
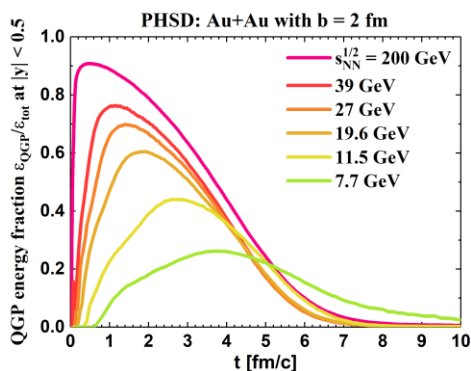
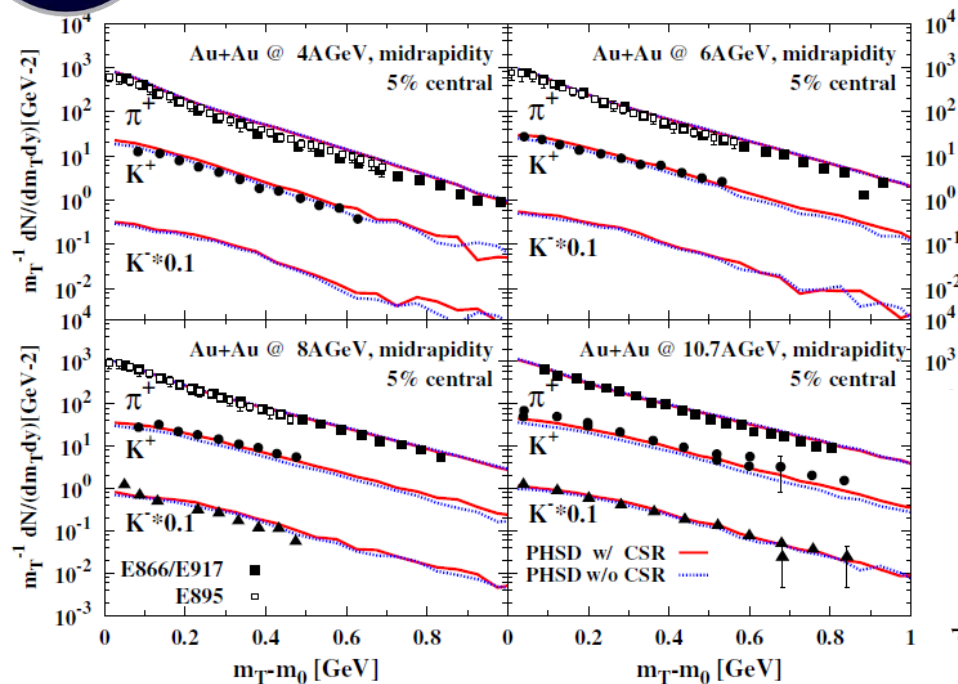
Sensitivity to the system size: p+A collisions

□ In p+A collisions strange to non-strange particle ratios show **no peaks**

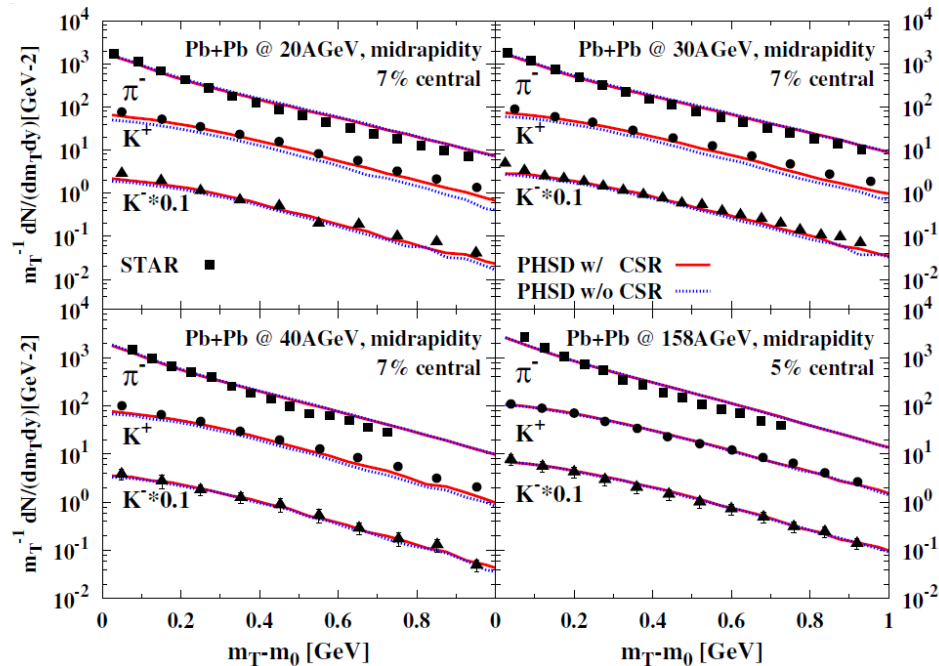




m_T spectra of pions and $K^{+/-}$ at AGS-SPS energies



A. Palmese et al., PRC94 (2016) 044912, arXiv:1607.04073

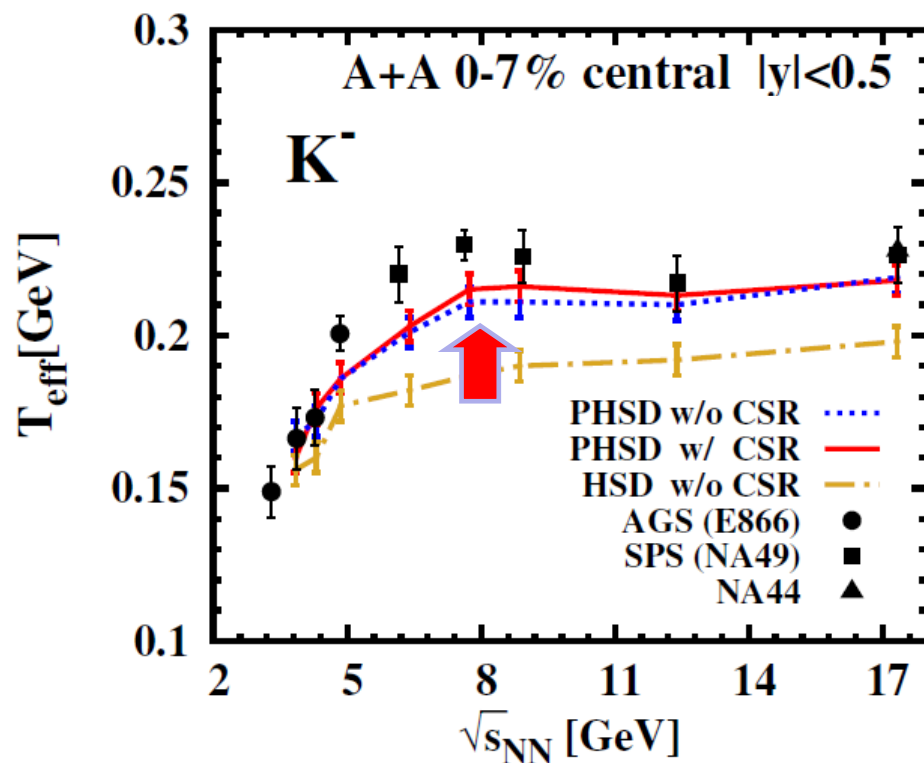
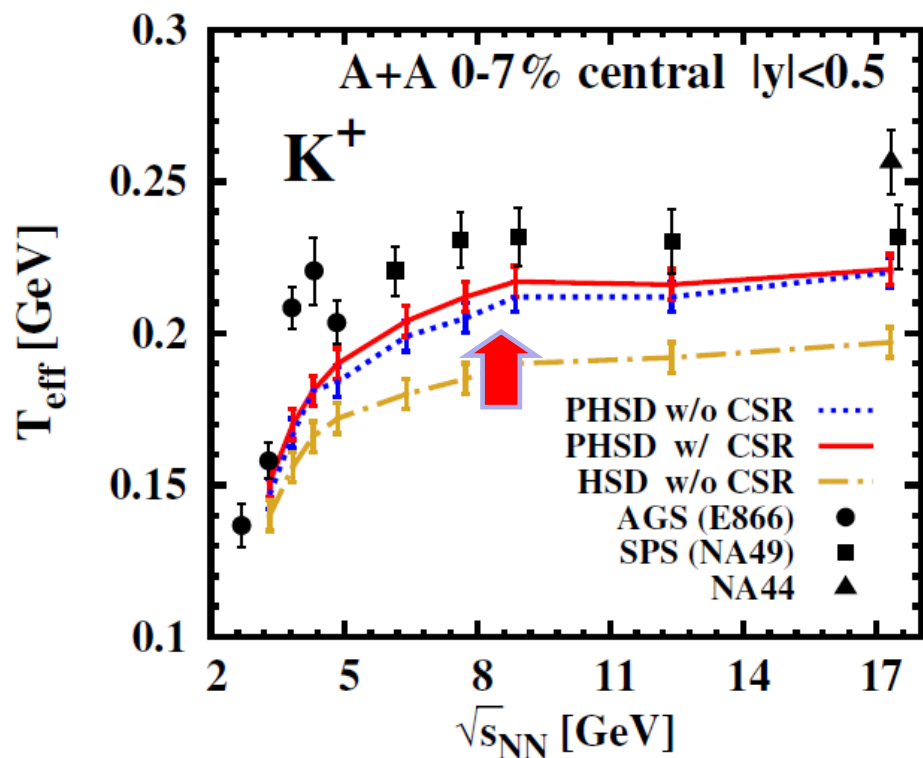


→ **Hardening of m_T spectra** of pions and kaons with increasing beam energy due to the growing fraction of the **QGP**



Excitation function of T_{eff}

Alessia Palmese



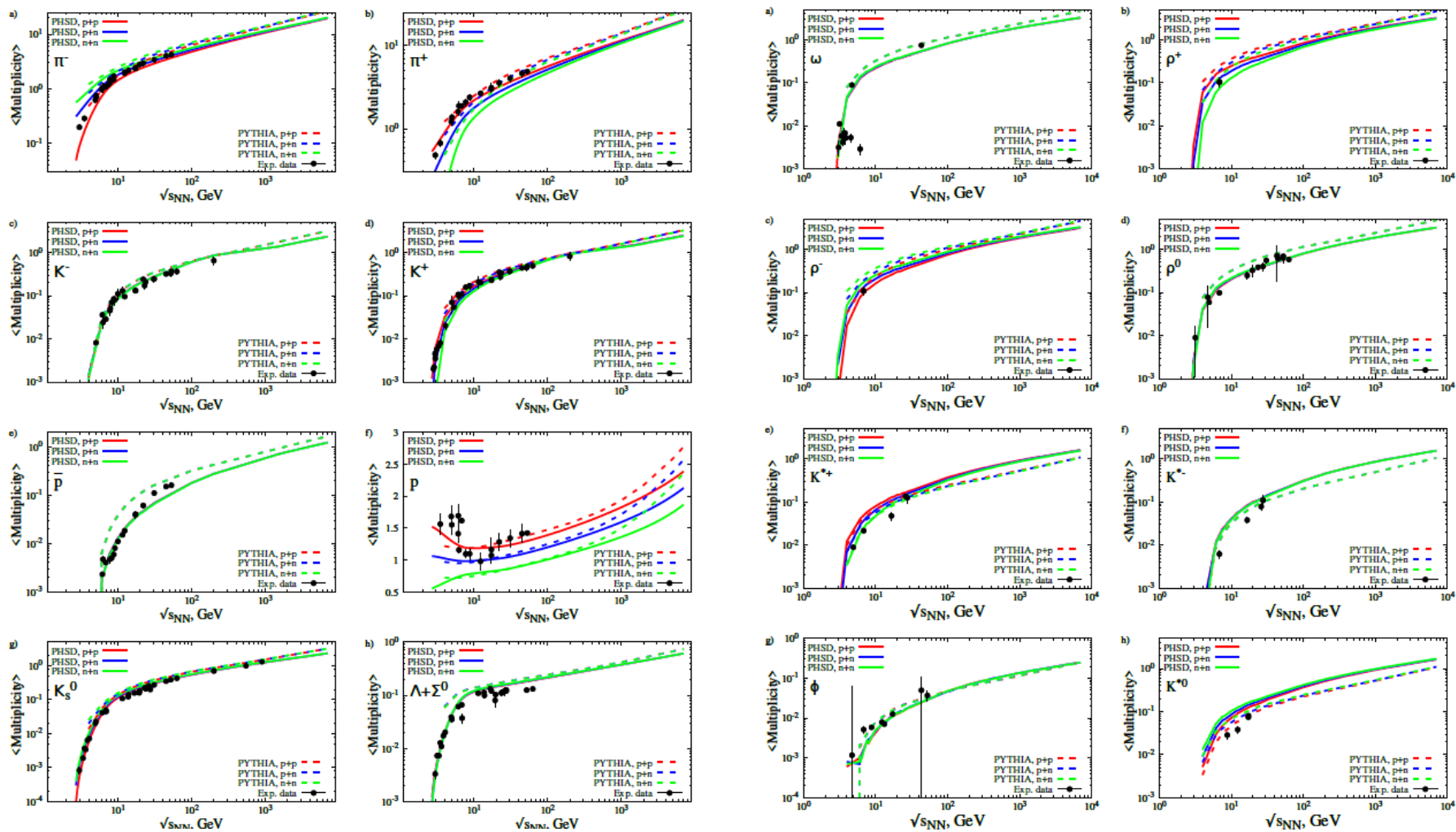
→ Increase of slope T_{eff} due to the QGP

→ Small effect of chiral symmetry restoration on slope T_{eff}

Elementary p+p, p+n reactions: PHSD “tune” of LUND model (PYTHIA, FRITIOF)

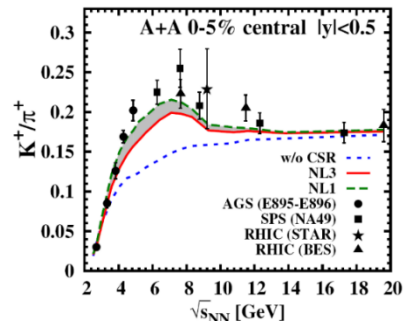
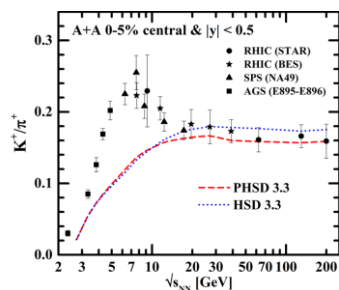


V. Kireyeu, I. Grishmanovskii, V. Kolesnikov, V. Voronyuk, and E. B.,
Eur.Phys.J.A 56 (2020) 223; e-Print:2006.14739 [hep-ph]



- Existing experimental data on p+p are pure
- Practically NO data on p+n reactions

➔ NA61++ can improve the situation with p+p data

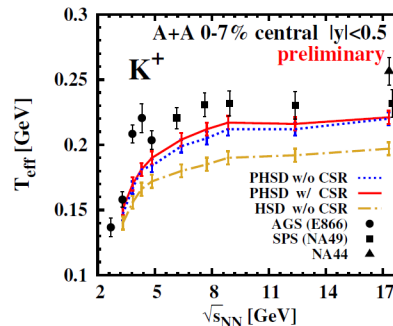
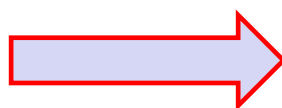
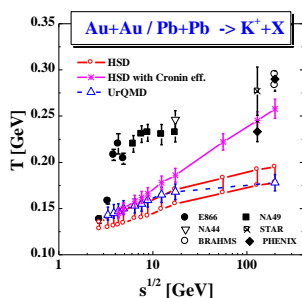


❑ The **strangeness ‘enhancement’** (‘horn’) seen experimentally by NA49 and STAR at a bombarding energy $\sim 20\text{-}30$ A GeV (FAIR/NICA energies!) cannot be attributed only to the deconfinement

❑ Including essential aspects of **chiral symmetry restoration** in the hadronic phase, we observe a **rise in the K^+/π^+ ratio** at low $\sqrt{s_{NN}}$ and then a drop due to the appearance of a deconfined partonic **QGP** medium

➔ the ‘horn’ structure is due to the interplay between CSR and deconfinement (QGP)

❑ **Hardening of m_T spectra** due to the **QGP**



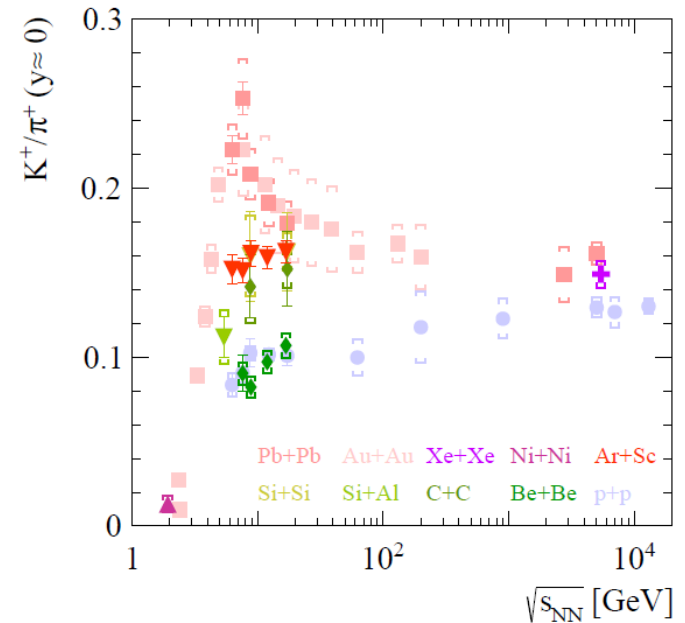
Outlook

HIC's:

- ❑ Explore experimentally different strangeness observables: yields, ratios K^+/π^+ , K^-/π^- and $(\Lambda+\Sigma^0)/\pi$ as well as y - and p_T - distributions and flow harmonics v_n
 - from heavy A+A to light A+A
 - for p+A
- ➔ **system size scan**

- ❑ The experimental data on **p+p** and **p+n** are needed!

- ❑ **Cosmic rays:**
can help to understand **meson+baryon** reactions (which are secondary elementary reactions in HIC's)
➔ study $K^- + A$, $\pi^- + A$ reactions



NA61++/SHINE data are needed!