



Image: ESA

# Atmospheric Neutrino Fluxes

*NA61++/SHINE: Physics opportunities from ions to pions*

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K A V L I  
**IPMU**

# Overview

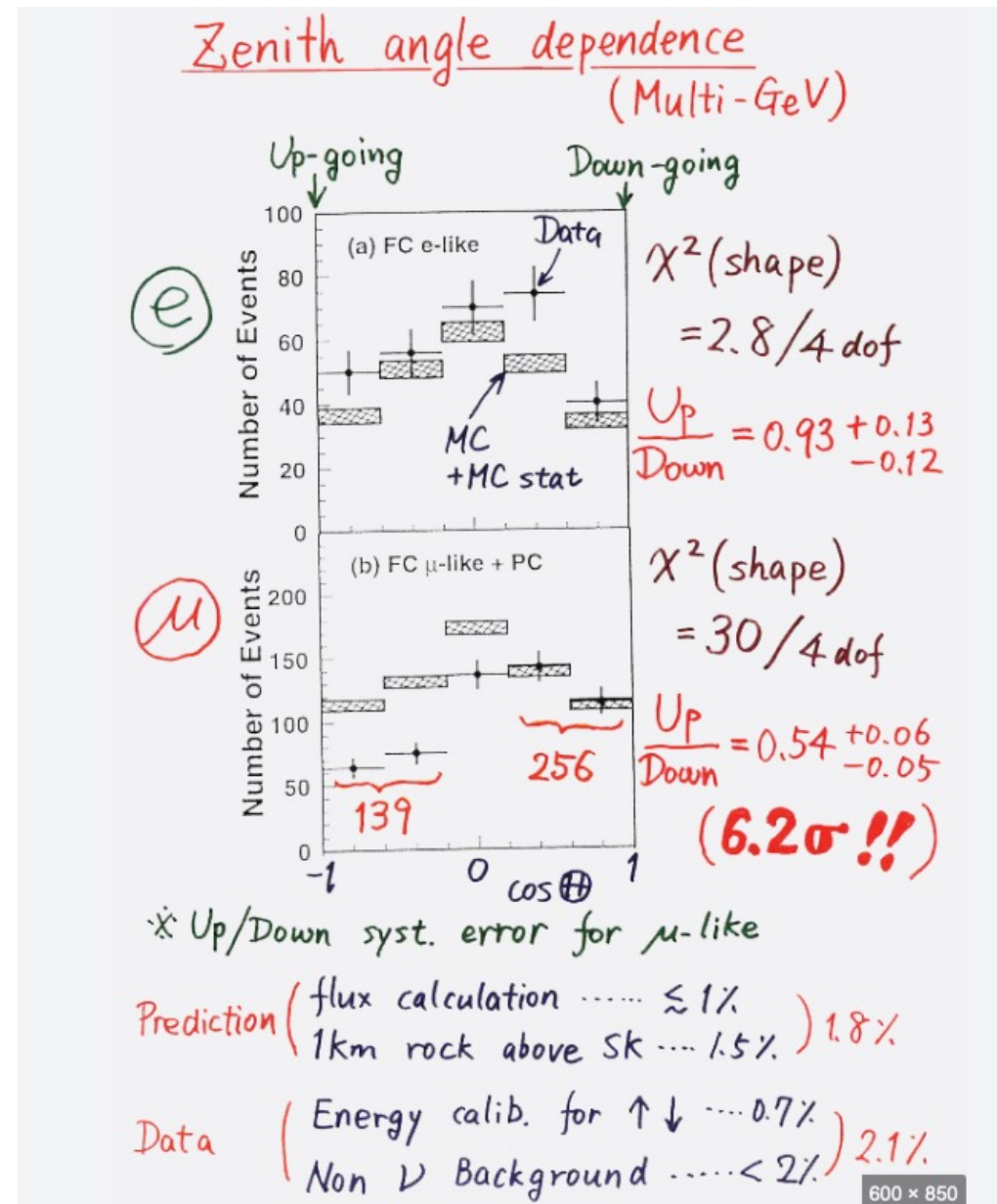
- Physics with atmospheric neutrinos
- Hadron production – key component of flux modelling
- Energy regions
- More measurements please! – Low energy especially

# Oscillation of Atmospheric Neutrinos

Historically important for identifying

$$\nu_\mu \rightarrow \nu_\tau \text{ oscillations.}$$

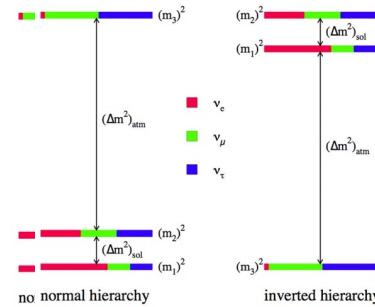
- Evidence of neutrino oscillations
- This slide shows the first measurements at SuperKamiokande from 1998. Now operated for > 25 years, high statistics.
- Other important measurements at
  - MINOS (magnetic field = lepton charge)
  - ICECUBE (higher energy measurements)
- Important part of physics programs at
  - Hyper-K (higher statistics)
  - DUNE (liquid argon)



# Oscillation of Atmospheric Neutrinos

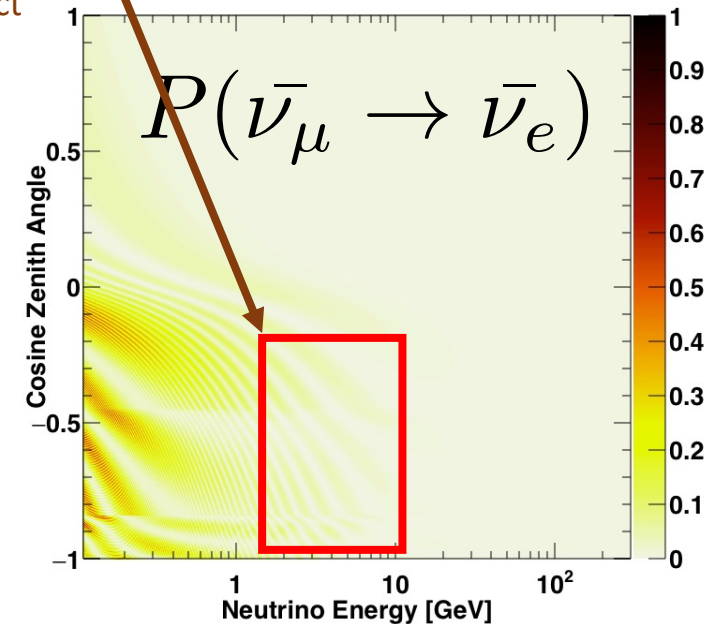
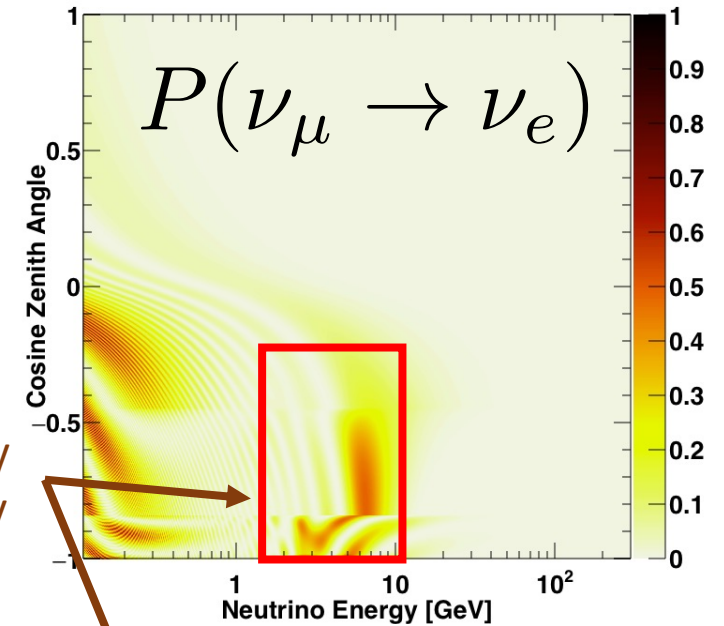
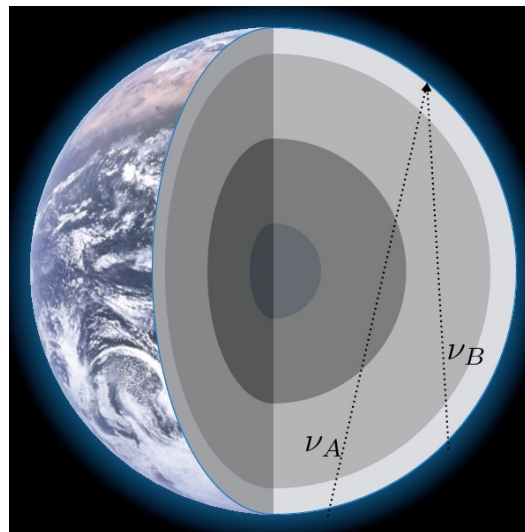
- Baseline:  $\mathcal{O}$  20 – 10,000 km
- High statistics 3 flavour oscillation analysis is now sensitive to :
  - Mass Ordering (2-10 GeV)
    - Resonant matter effects
    - $m_3 > m_1$ ?
  - $\delta_{cp}$  (0.1-2 GeV)

Oscillation analyses require un-oscillated neutrino flux prediction



Normal Ordering: resonance only in neutrino oscillation probability

Inverted Ordering: resonance in anti-neutrino oscillation probability, the effect would be in the other plot.



# Energy Spectrum of Atmospheric Neutrinos

Created when daughter particles from cosmic ray interactions decay

$\sim 10$  MeV

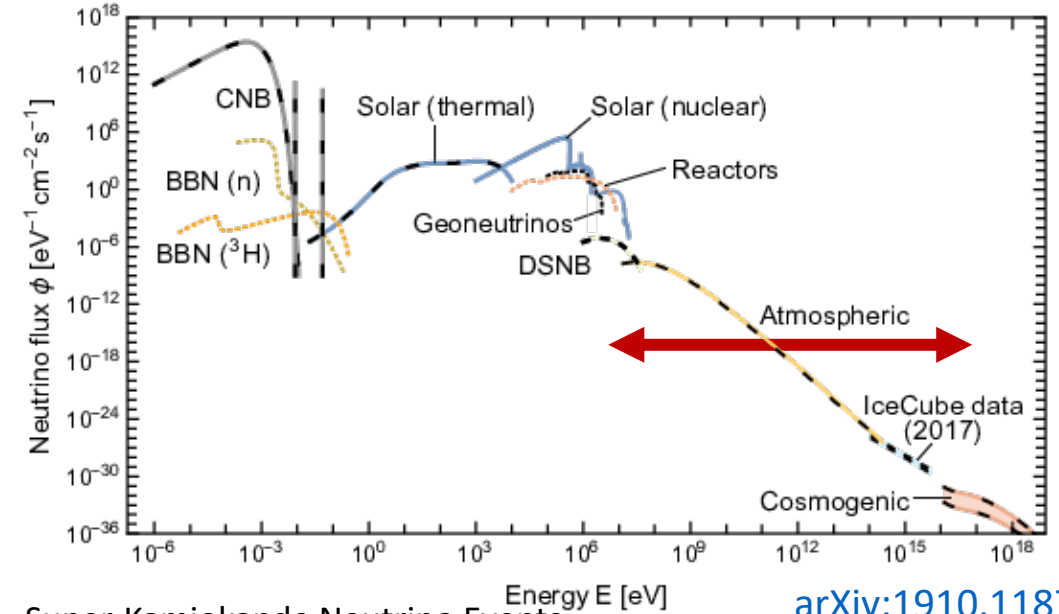
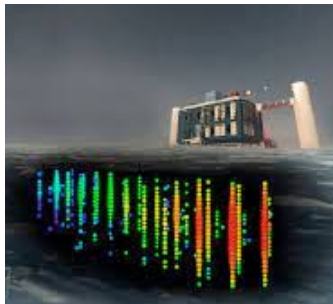
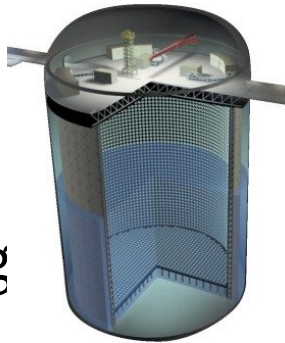
- Background to:
  - Diffuse SN Relic Neutrinos
  - Dark Matter Detection

$0.1 - \sim 10$  GeV

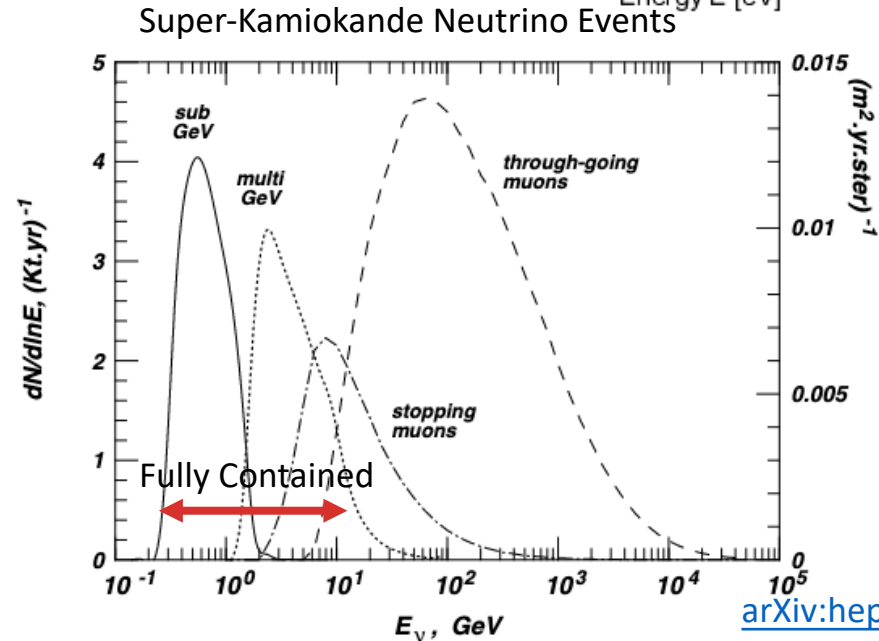
- Measurement of CP violation and mass ordering in oscillation analyses

$> \sim 1000$  GeV

- IceCube Neutrinos
- Galactic Neutrino Energies



[arXiv:1910.11878](https://arxiv.org/abs/1910.11878)

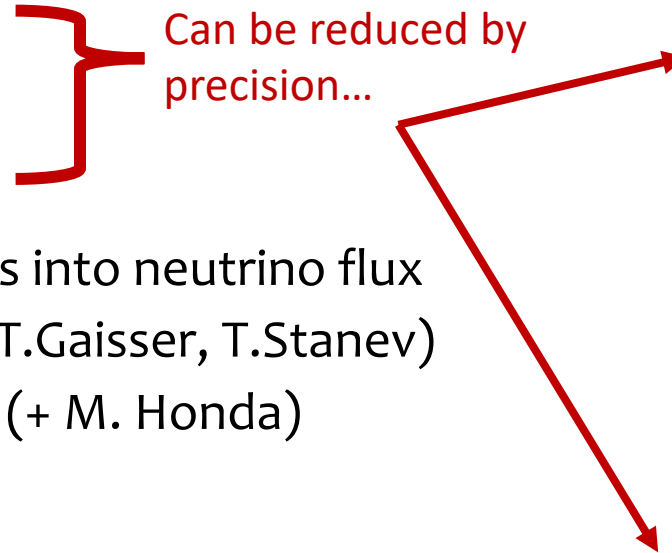


[arXiv:hep-ph/0203272](https://arxiv.org/abs/hep-ph/0203272)

# Atmospheric $\nu$ Flux Calculation

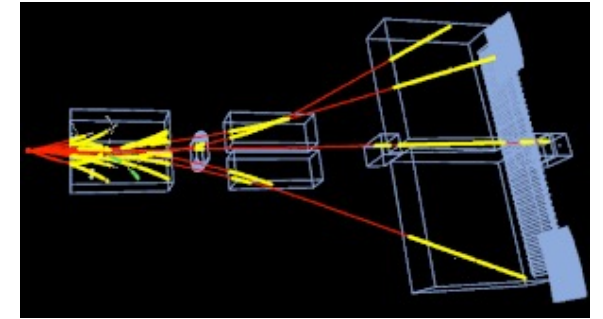
Un-oscillated fluxes

- Dominant uncertainties:
  - Hadronic Interactions – “soft” QCD region
  - Primary cosmic ray fluxes
- Recent work to incorporate measurements into neutrino flux
  - Bartol Group: L.Cook, G.Barr, M.Hartz ( T.Gaisser, T.Stanev)
  - Honda Group: K. Sato, Y. Itow, H. Menjo (+ M. Honda)
- Similar efforts with MCEq at higher energies:
  - A.Fedynitch, M.Huber
  - See “Data-driven hadronic interaction model for atmospheric lepton flux calculations” [arXiv:2205.14766](https://arxiv.org/abs/2205.14766)
- Also can tune or make cross checks with muon fluxes



Can be reduced by precision...

... Hadron Production Measurements



e.g. NA61/SHINE Fixed Target Hadron Production

... & Cosmic Ray Flux Measurements



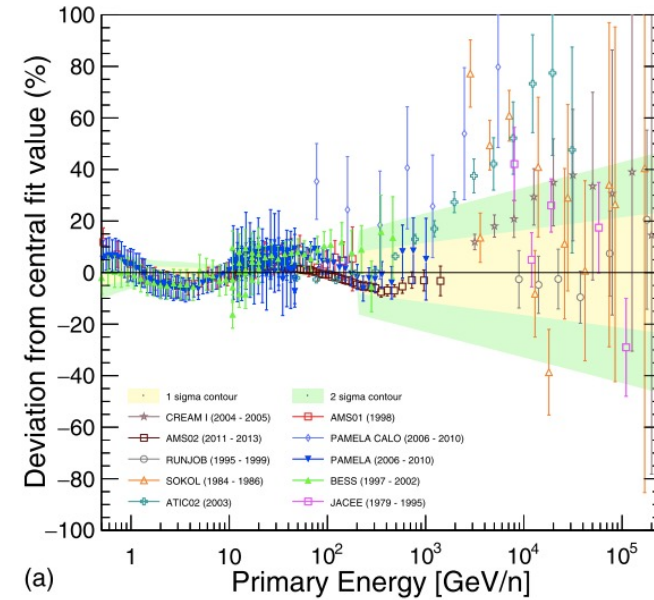
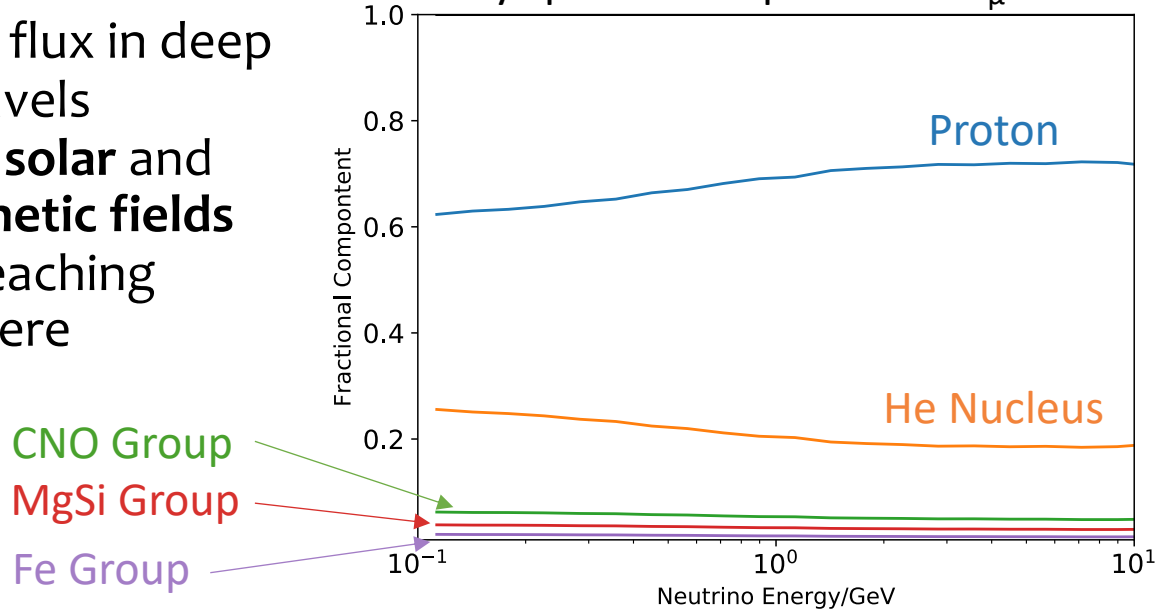
e.g. AMS-02

Independent models with comparisons at workshops every ~18 months

# Cosmic Ray Fluxes

- Significantly reduced uncertainty in recent satellite and balloon datasets (few % level)
  - Higher uncertainty in calorimeter measurements at higher energies ( $> \sim 1\text{TeV}$ )
- Bartol : Recent work uses global fit of GSHL parameterisation to cosmic ray flux by Evans et al.
- Similar global fit presented by Honda at ICRC17 conference
- Isotropic flux in deep space travels through **solar** and **geomagnetic fields** before reaching atmosphere

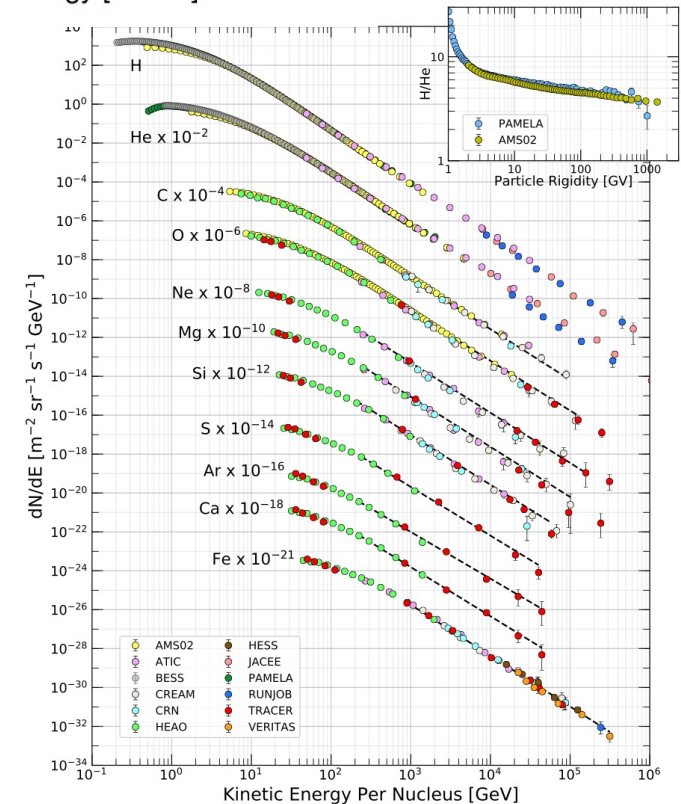
Cosmic ray species component to  $\nu_\mu$  neutrino flux



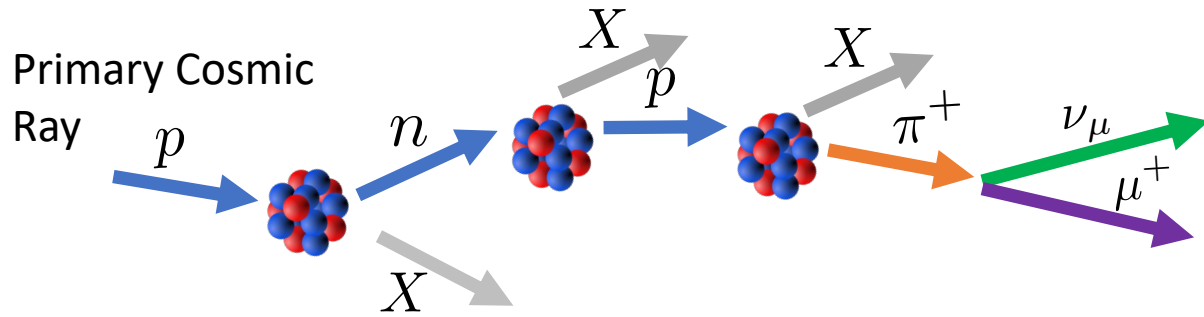
Proton Fit residuals  
Evans et al.

[arXiv:1612.03219v1](https://arxiv.org/abs/1612.03219v1)

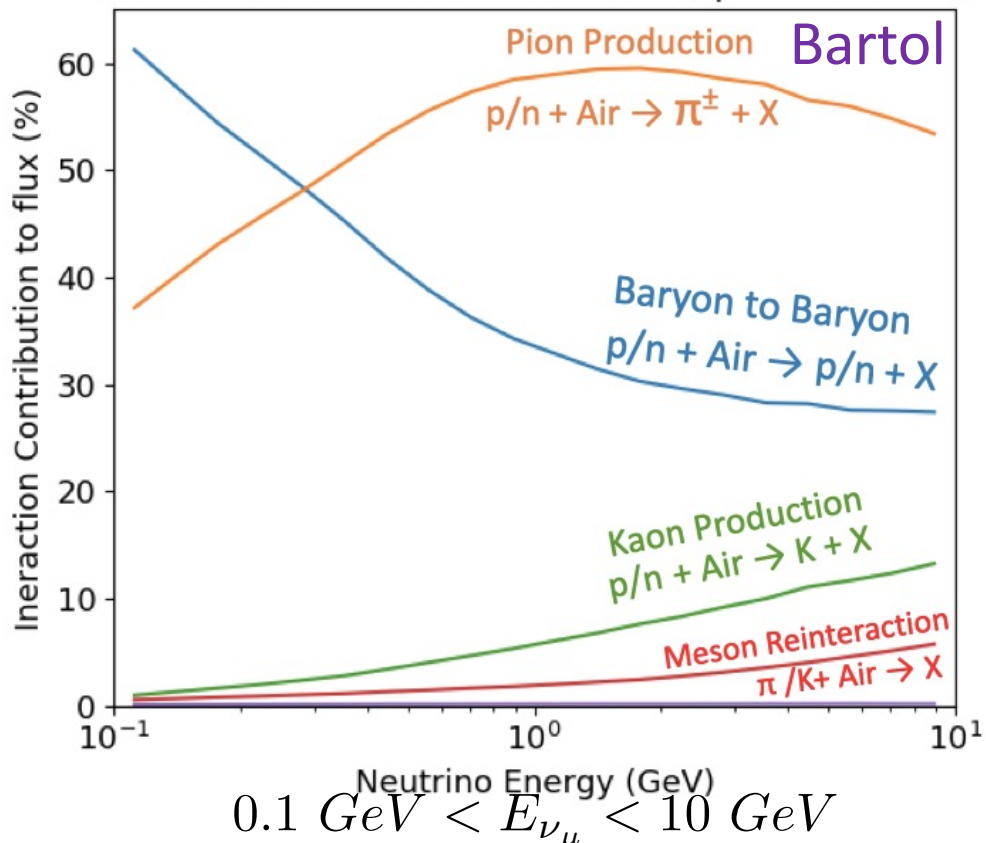
1 sigma  
uncertainty  
contour



# Hadronic Interactions



Fraction of each interaction in chain for  $\nu_\mu$  production

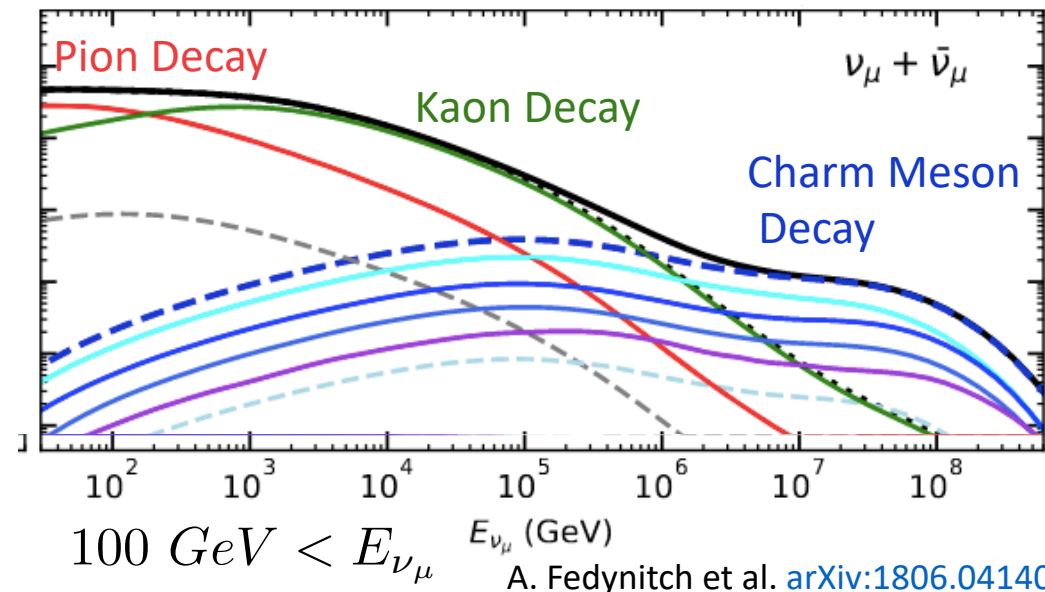


- Interactions considered as inclusive of final states e.g.:

$$pN \rightarrow \pi^+ + X$$

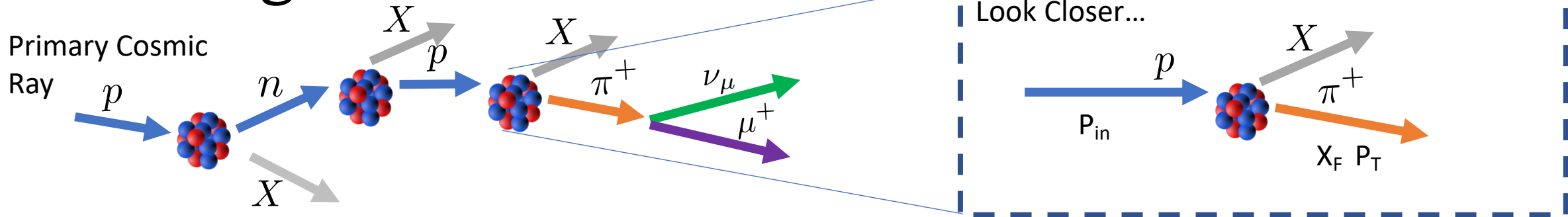
- $E_\nu < 10 \text{ GeV}$  Most important: **pion** and **kaon** production by baryons
- Baryon number conservation limits effect of baryon to baryon interactions

Meson decays in  $\nu_\mu$  flux





# Tuning Bartol/Honda Flux



- 3 dimensions to describe hadron production kinematics:

Incident Baryon:  $P_{in}$

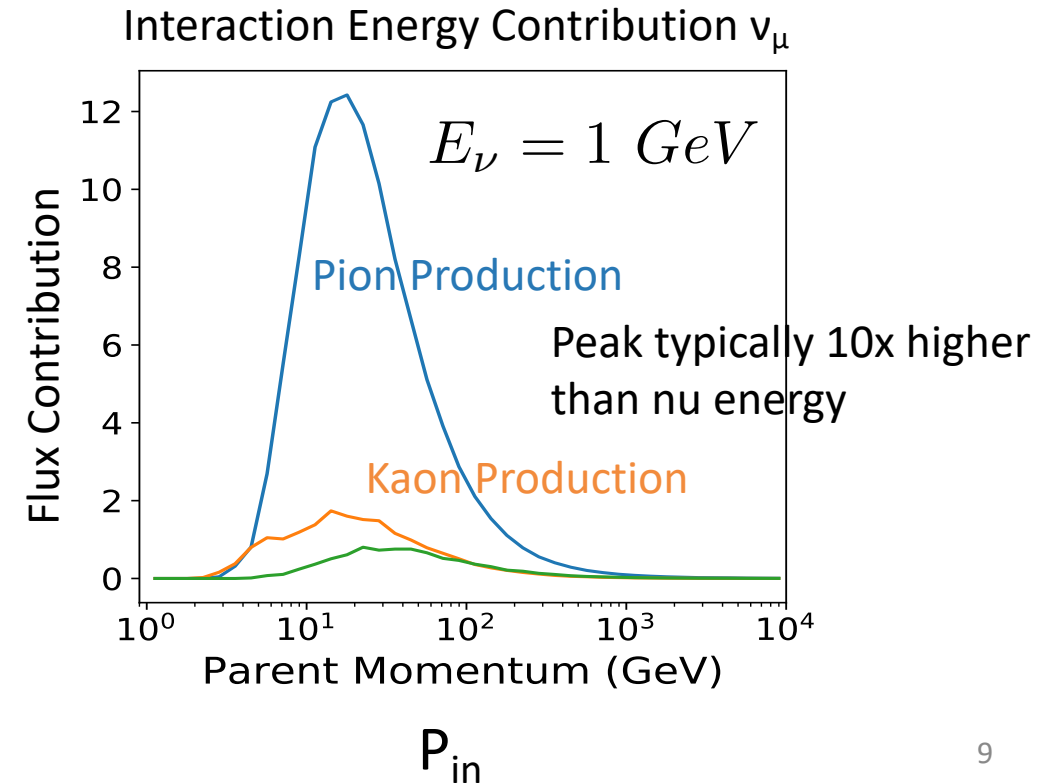
Outgoing Pion:  $x_F P_T$

- Feynman Scaling: Does not hold well at low beam energies

$$f(P_{in}, x_F, p_T) \rightarrow f(x_F, p_T)$$

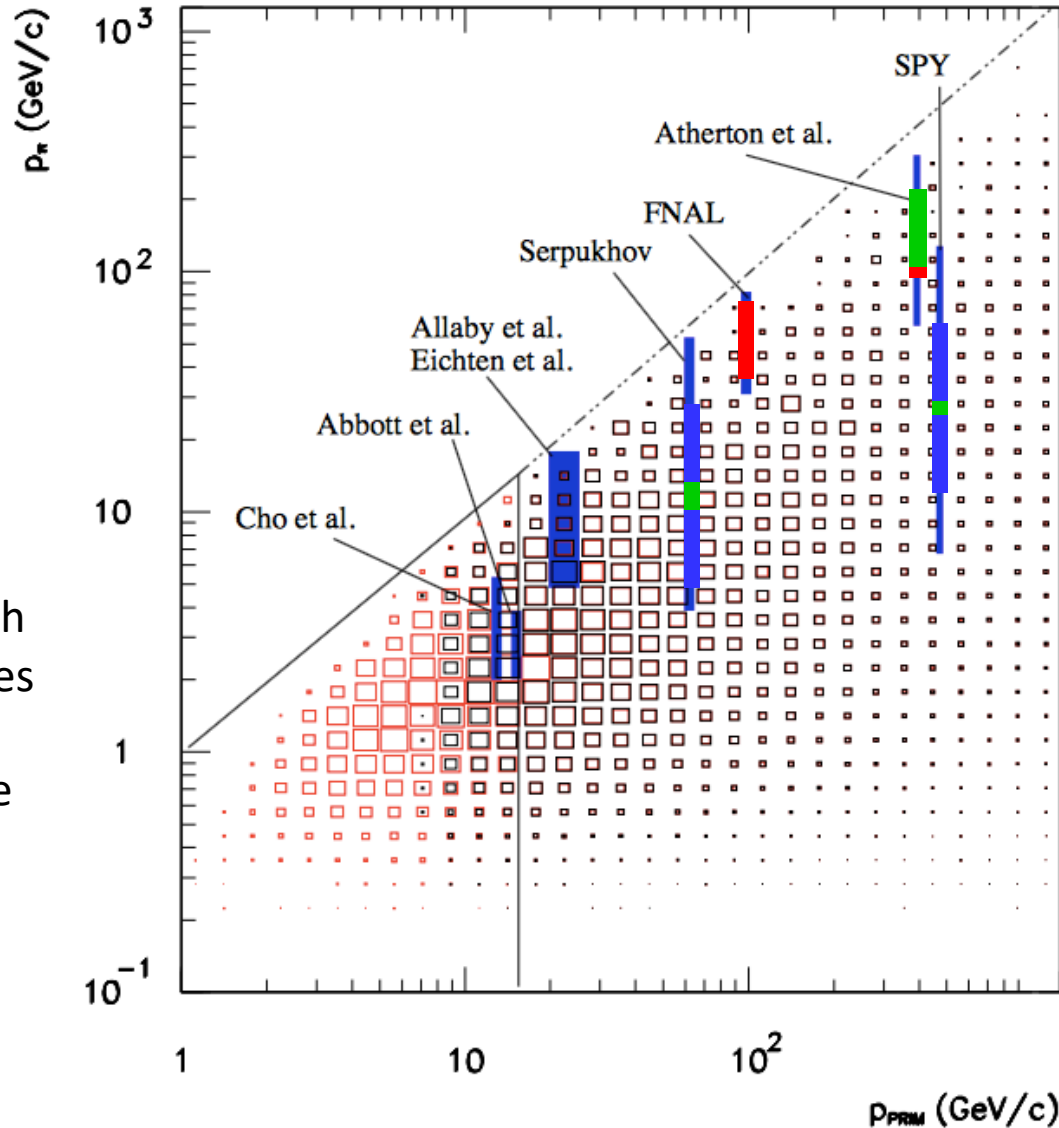
- Hadron production weighted by multiplicity ratio :

$$W = \frac{d^2 n_{data}(\pi^+)}{dx_F dp_T} / \frac{d^2 n_{MC}(\pi^+)}{dx_F dp_T}$$



# Very old Hadron production measurements

## Small acceptance spectrometers



Red boxes show additional flux at high geomagnetic latitudes where low energy cosmic rays are more important.

i.e. DUNE, MINOS, ICECUBE

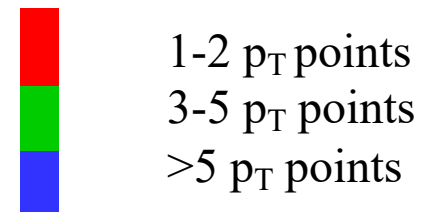
Population of hadron-production phase-space for  $pA \rightarrow \pi X$  interactions.

$\nu_\mu$  flux (represented by boxes) as a function of the parent and daughter energies.

Red/black indicate extremes of magnetic latitude.

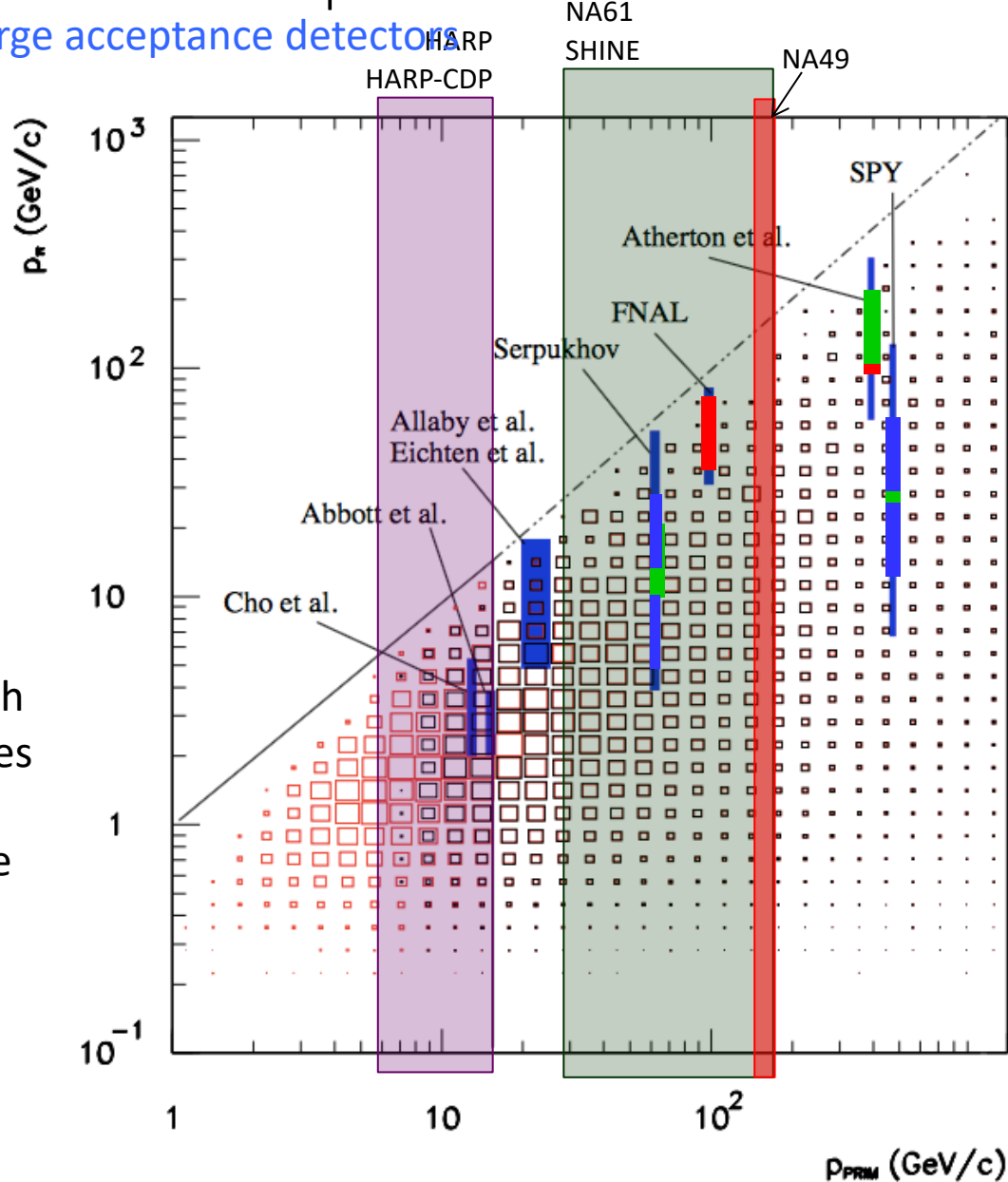
Energies around 1 GeV representing contained events in a SK-sized detector.

Measurements.



# Newer Hadron production measurements

Large acceptance detectors



Red boxes show additional flux at high geomagnetic latitudes where low energy cosmic rays are more important.

i.e. DUNE, MINOS, ICECUBE

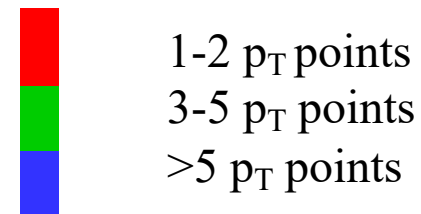
Population of hadron-production phase-space for  $pA \rightarrow \pi X$  interactions.

$v_\mu$  flux (represented by boxes) as a function of the parent and daughter energies.

Red/black indicate extremes of magnetic latitude.

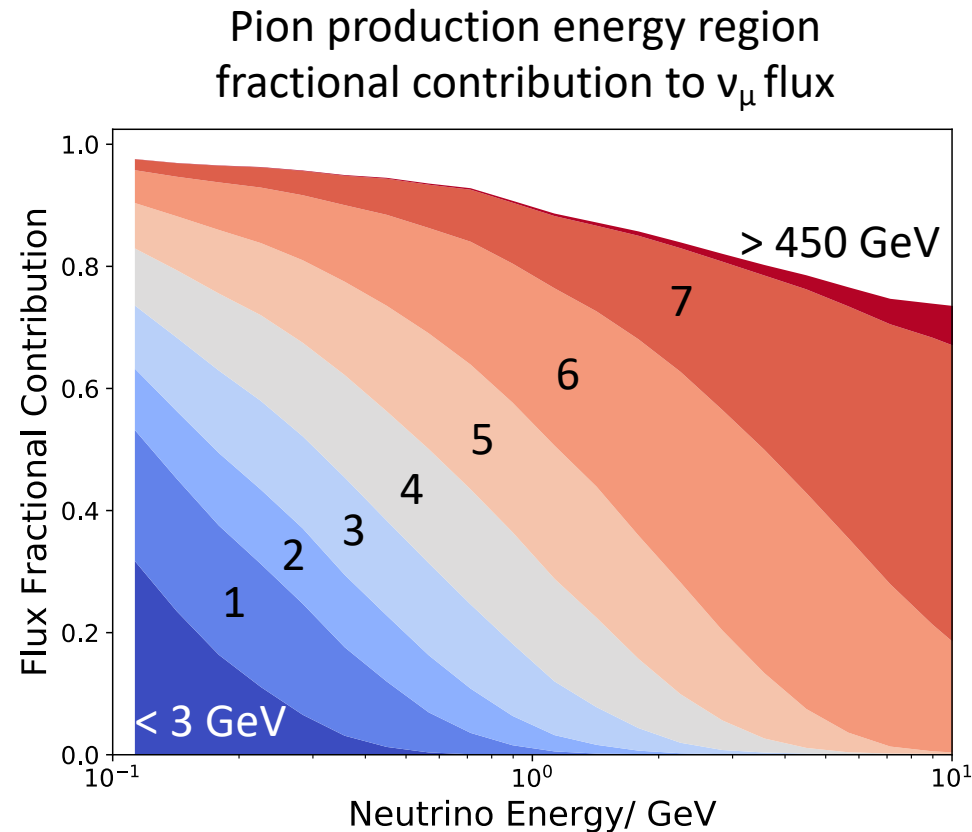
Energies around 1 GeV representing contained events in a SK-sized detector.

Measurements.



# Bartol Tuning Approach

- Group measurements at similar beam energies
- Empirical scaling to interpolate between target material
- Modified BMPT parameterisation at each region
  - Same as T2K flux calculation
- Linear interpolation between Energy Regions:
  - Additional interpolation uncertainties included
  - Feynman scaling between 158 – 450 GeV
- Tune **pion** production:
 
$$3 \text{ GeV} < P_{\text{in}} < 450 \text{ GeV}$$
- Tune **kaon** production:
 
$$13 \text{ GeV} < P_{\text{in}} < 158 \text{ GeV}$$



## Bartol Tuning

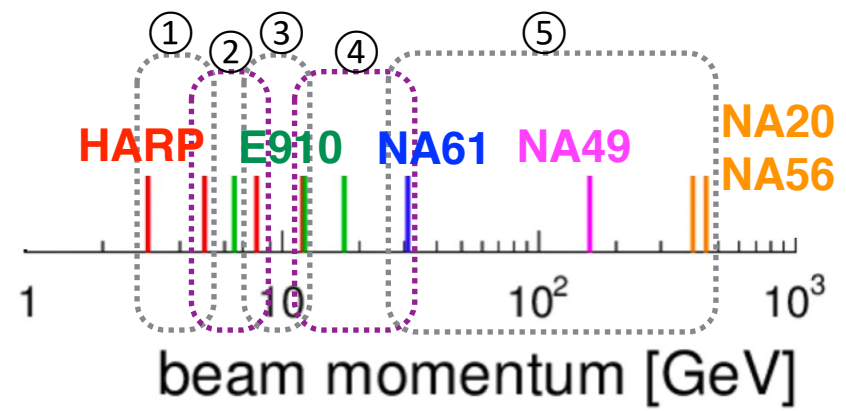
$P_{\text{in}}$	
1) 3 GeV	3 GeV HARP 3 GeV HARP high angle
2) ~6 GeV	5 GeV HARP 6.4 GeV E910 5 GeV HARP high angle
3) 8 GeV	8 GeV HARP 8 GeV HARP high angle
4) ~13 GeV	12 GeV HARP 12.3 GeV E910 14.6 GeV E802 12 GeV HARP high angle
5) ~20 GeV	17.5 GeV E910 19.2 GeV Allaby et al. 24 GeV Eichten et al.
6) 31 GeV	31 GeV NA61/SHINE
7) 158 GeV -450 GeV	158 GeV NA49 450 GeV NA56

Thank you to  
K. Sato for the  
slides

# data fit

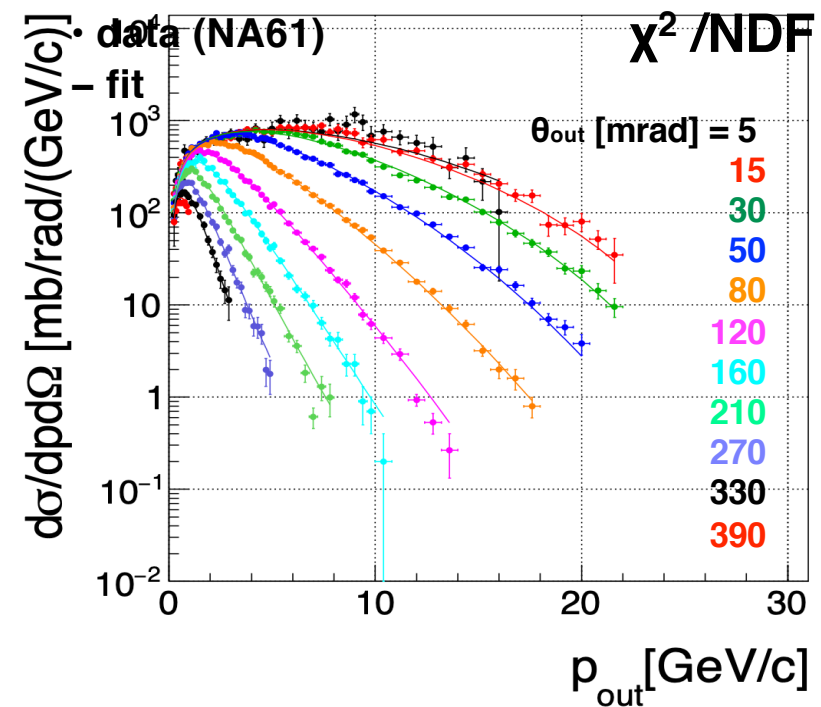
Interpolation between discrete beam E is a challenge.

- Feynman scaling is not applicable.
- grouped beam data into small sections

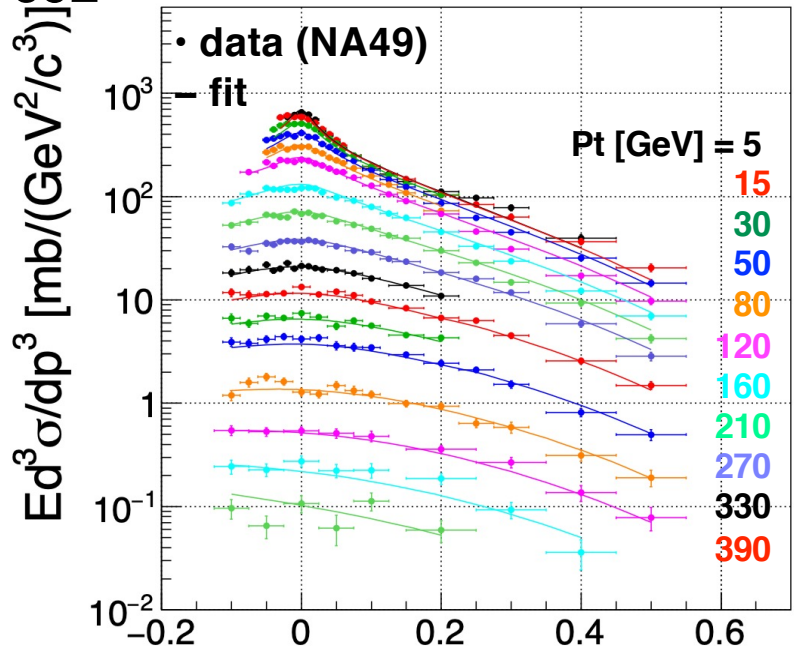


$$f_{fit} = f_{pp} \times f_{pA_0/pp} \times f_{A/A_0} \times \left( 1 + C_1 \log_{10} \frac{p_{beam}}{C_2} \right) \text{ beam E dependence}$$

## result of simultaneous fit for $\pi^+$ data in group ⑤ (NA61, NA49, NA56)



$\chi^2 / \text{NDF} = 1227 / 692$



→ reduced  $\chi^2 < \sim 2$  in all energy range

xf

based on  
measurements

$$w = \frac{\left( \frac{d\sigma}{dpd\Omega} \right)_{data}}{\left( \frac{d\sigma}{dpd\Omega} \right)_{MC}}$$

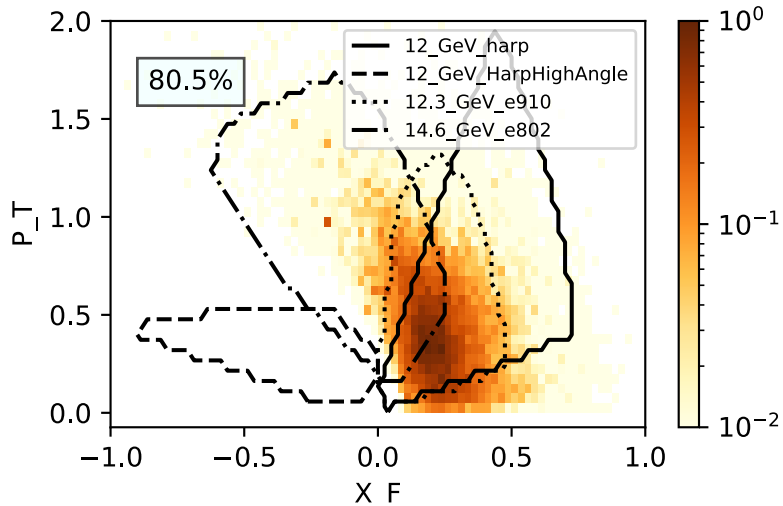
used in MC

# Challenges at Lower Energies

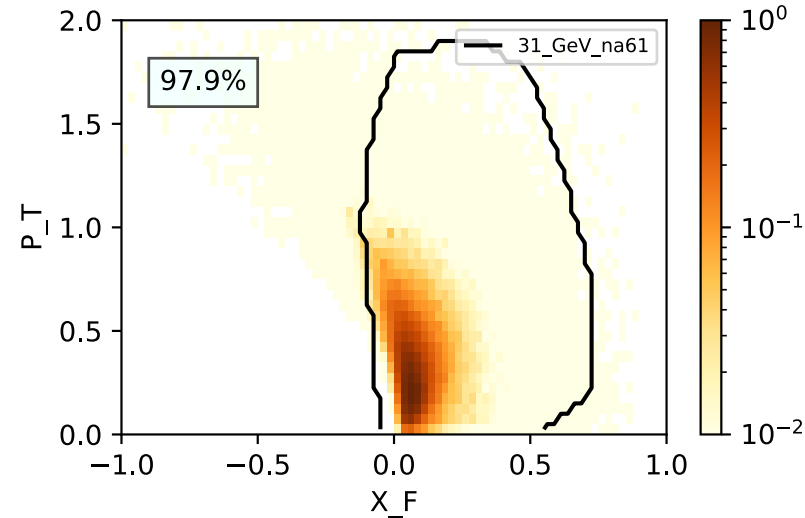
- Smaller phase space coverage of the relevant interactions
- Disagreements between dataset multiplicity measurements
  - Differing analyses of HARP high angle data
- Scaling of errors a la PDG

$E_{\nu\mu} = 1 \text{ GeV}$  pion production phase space

Interaction Energy  $\sim 13 \text{ GeV}$

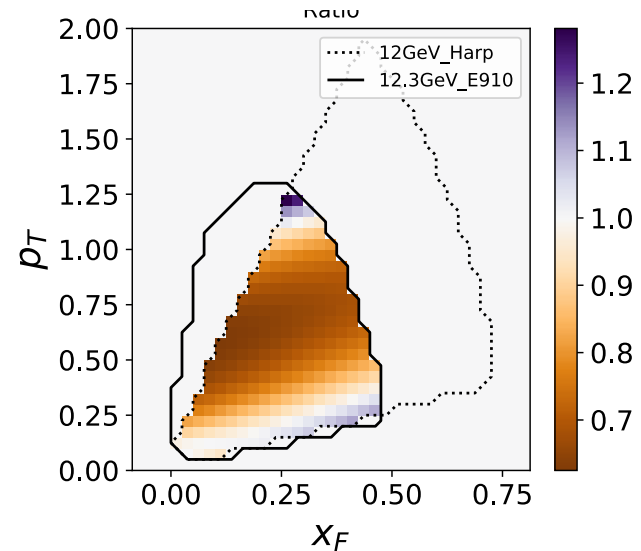


Interaction Energy  $\sim 30 \text{ GeV}$



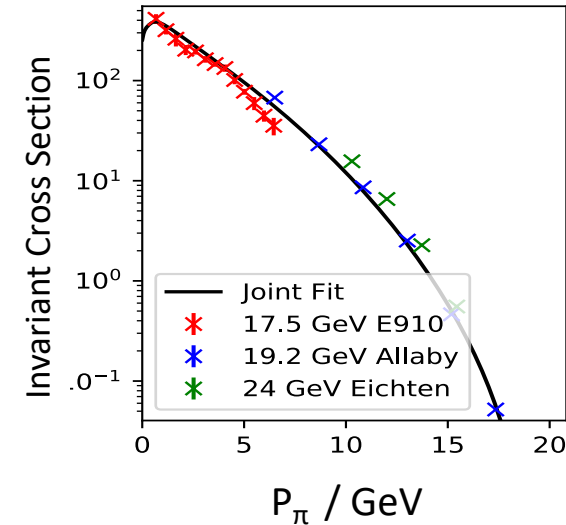
Discrepancies between lower energy datasets

12 GeV Harp to 12.3 GeV E910  $\pi^+$  Fit Ratio



@  $\sim 19 \text{ GeV}$

30 - 40 mRad

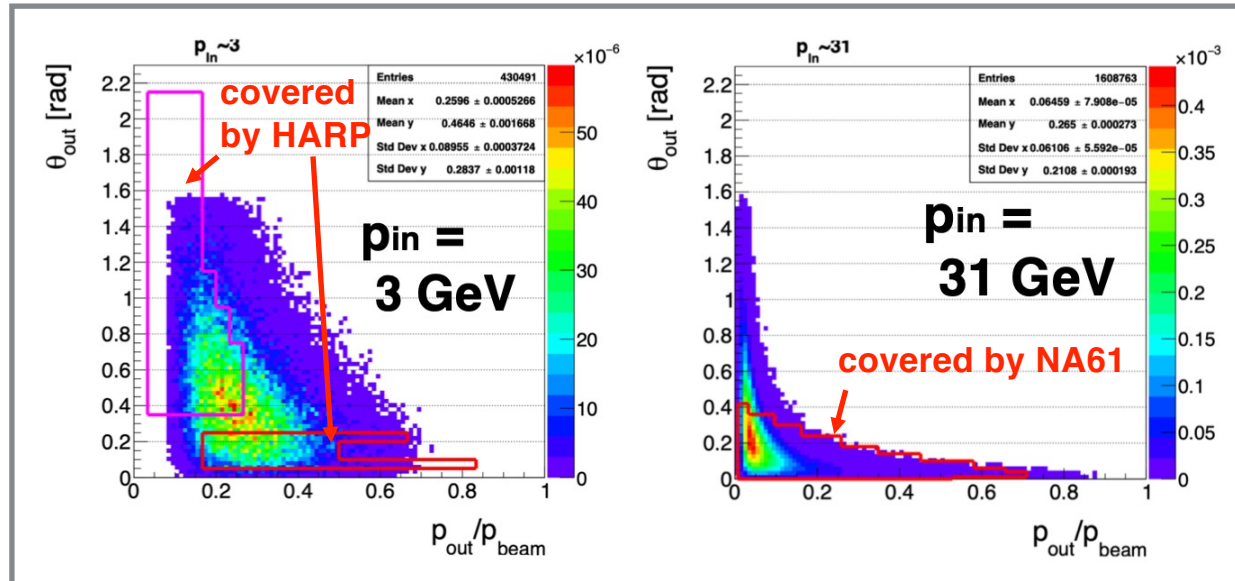


- These effects increase the systematic uncertainties on neutrino flux
- New low energy measurements will lower this uncertainty in the future

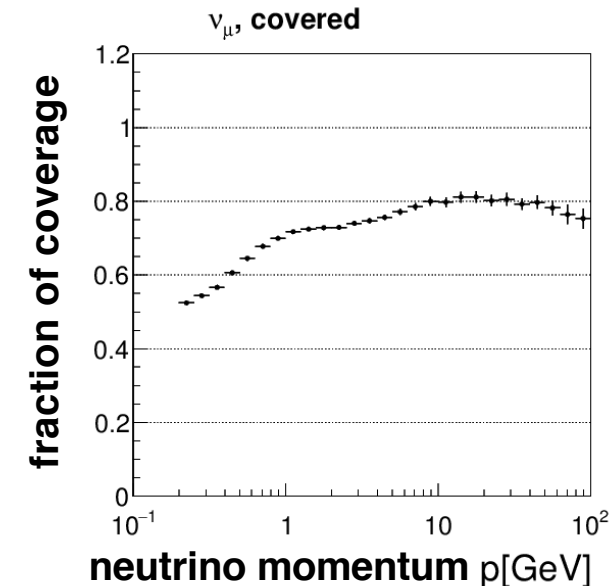
# phase space coverage

Tuning of:  
 $p N_{\text{Air}} \rightarrow \pi K p + X$   
 Interactions

## ex) phase space of $\pi^+$ production for 0.3 GeV $\nu_\mu$



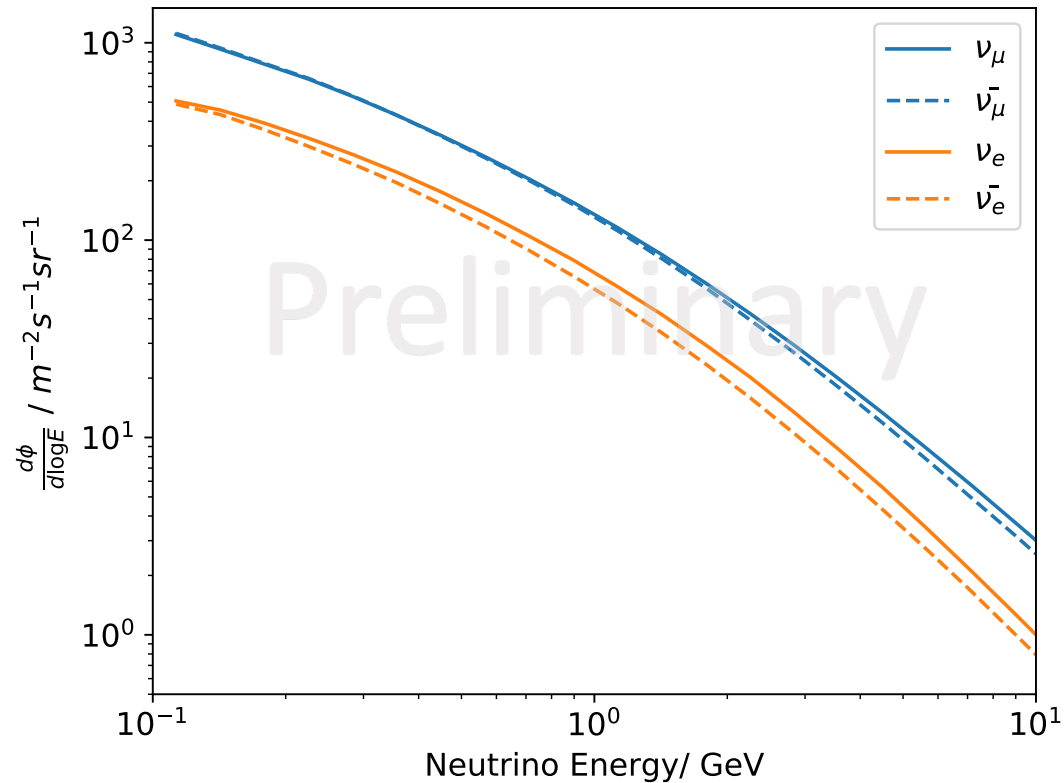
← hadron production phase space (PS) as a function of  $p_{\text{in}}, p_{\text{out}}, \theta_{\text{out}}$  phase space coverage for  $\nu_\mu$



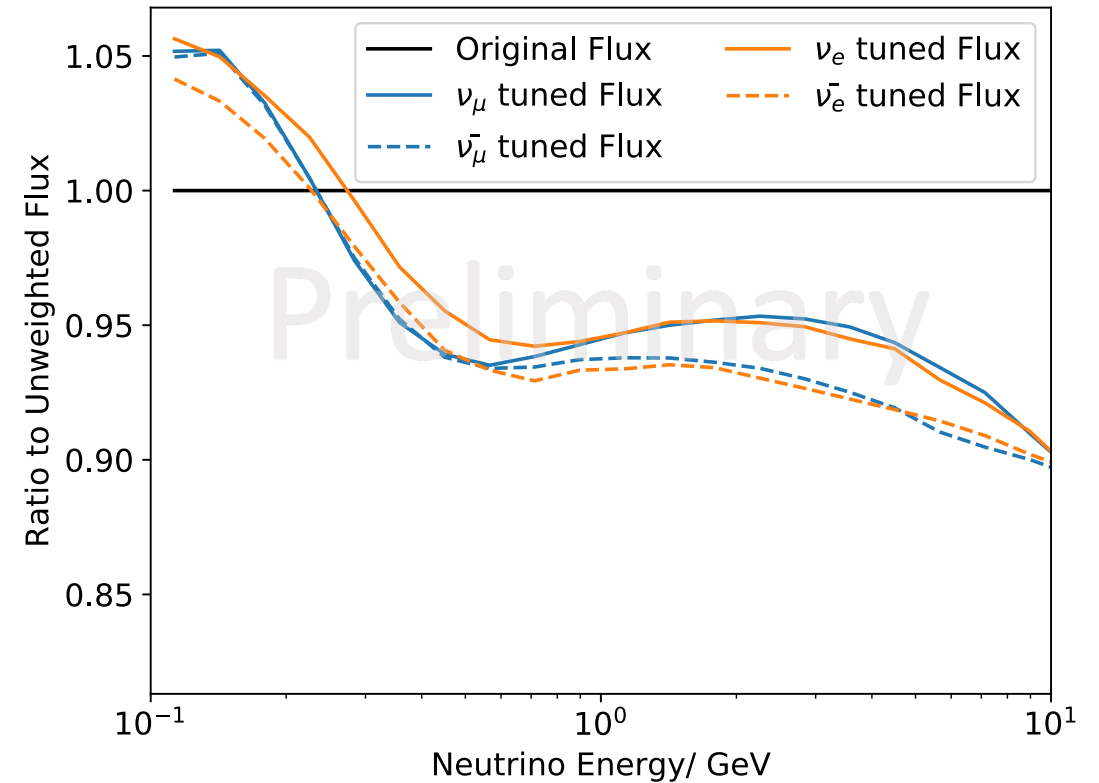
- good (~80%) PS coverage for ~10 GeV  $\nu$ 
  - due to wide PS of NA61 & NA49
- lower coverage in  $E_\nu < 1$  GeV
  - due to lack of  $E_{\text{beam}} < 3\text{GeV}$  beam data

→ shortage of phase space is considered as systematic uncertainty of  $\nu$  flux.

# Tuning Flux Results



Ratio to Bartol with TARGET2.4 before Tuning



- Tuning both hadronic interactions and cosmic ray fluxes
- Within the original 15% uncertainty

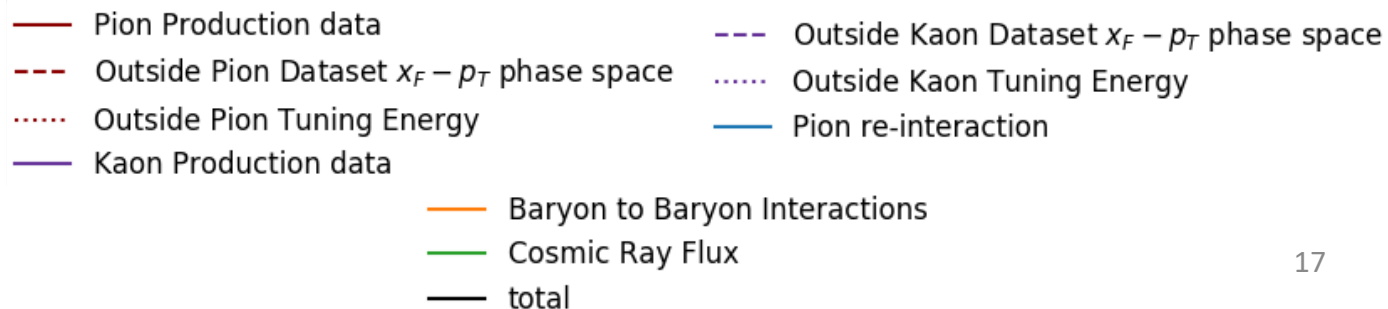
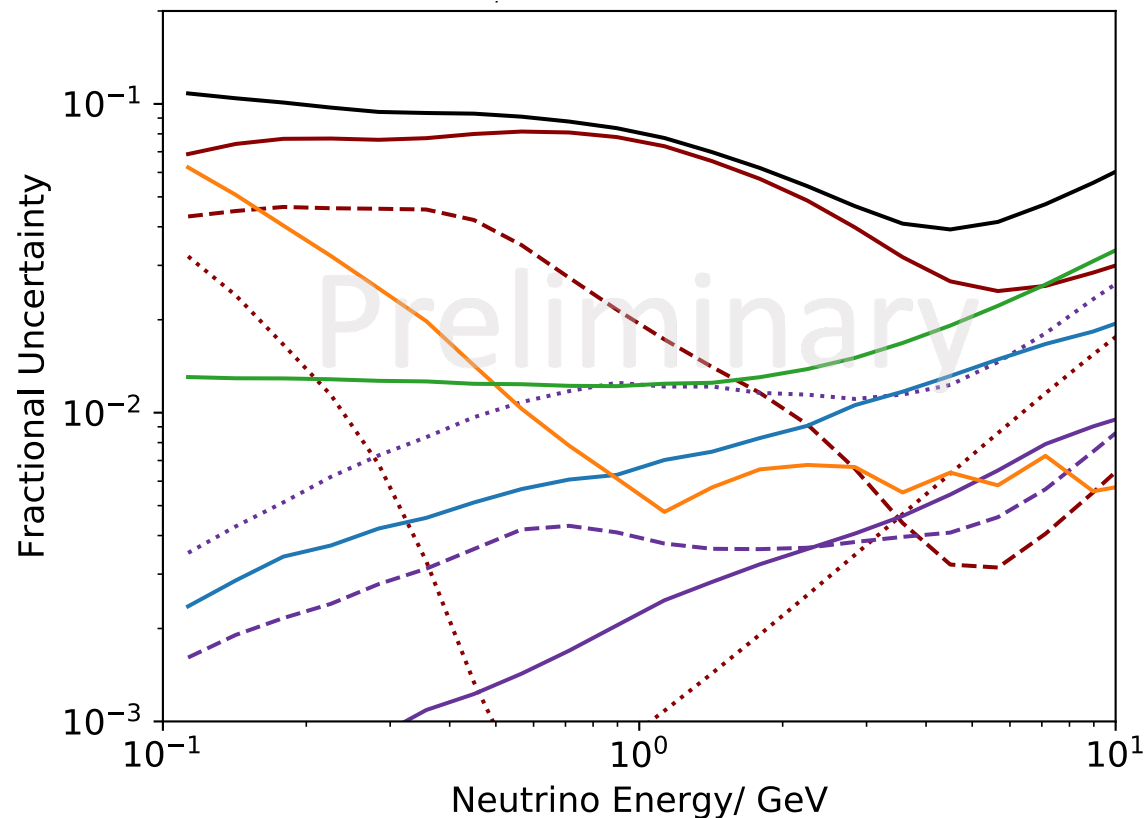


# Neutrino Flux Uncertainty

- Reduction in uncertainty from 15% previous estimate
- Total uncertainty is quadrature sum of individual uncertainties
- < 2GeV uncertainty
  - Higher dataset uncertainty
  - Lower coverage of datasets
- > 2GeV uncertainty
  - Reduced uncertainty in region covered by NA61 and NA49

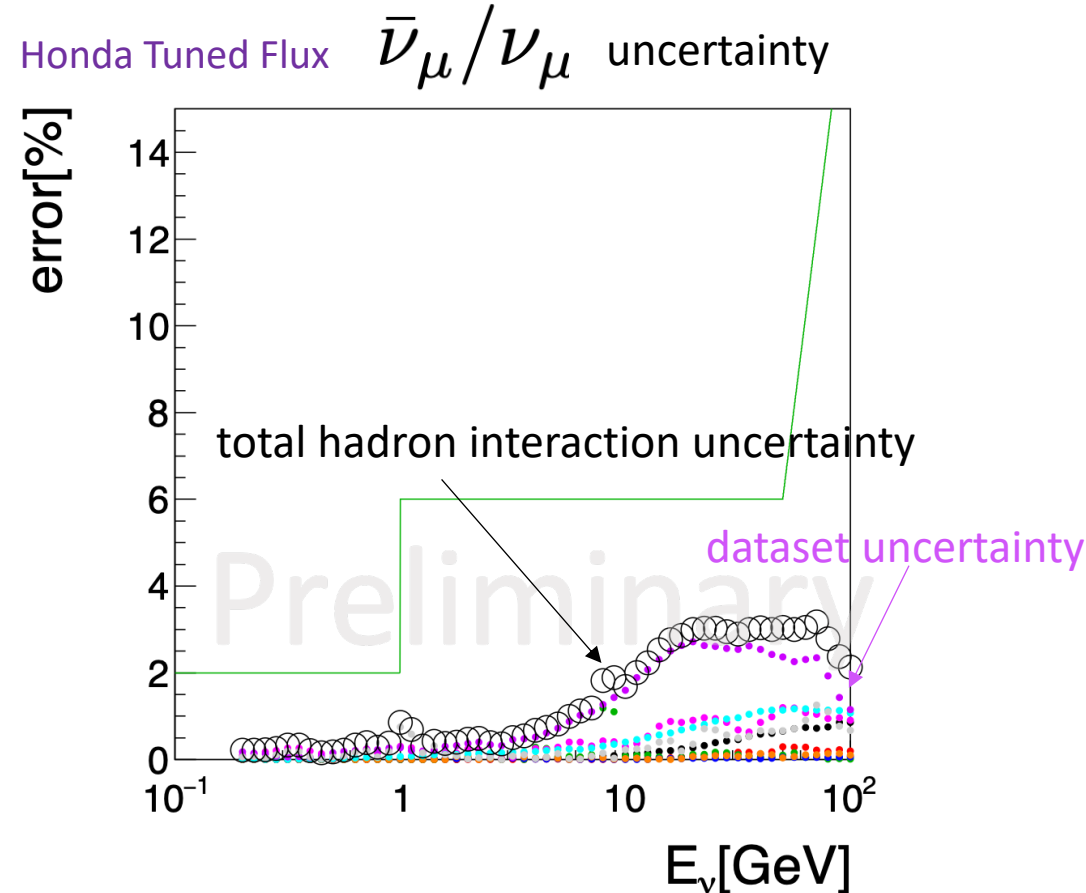
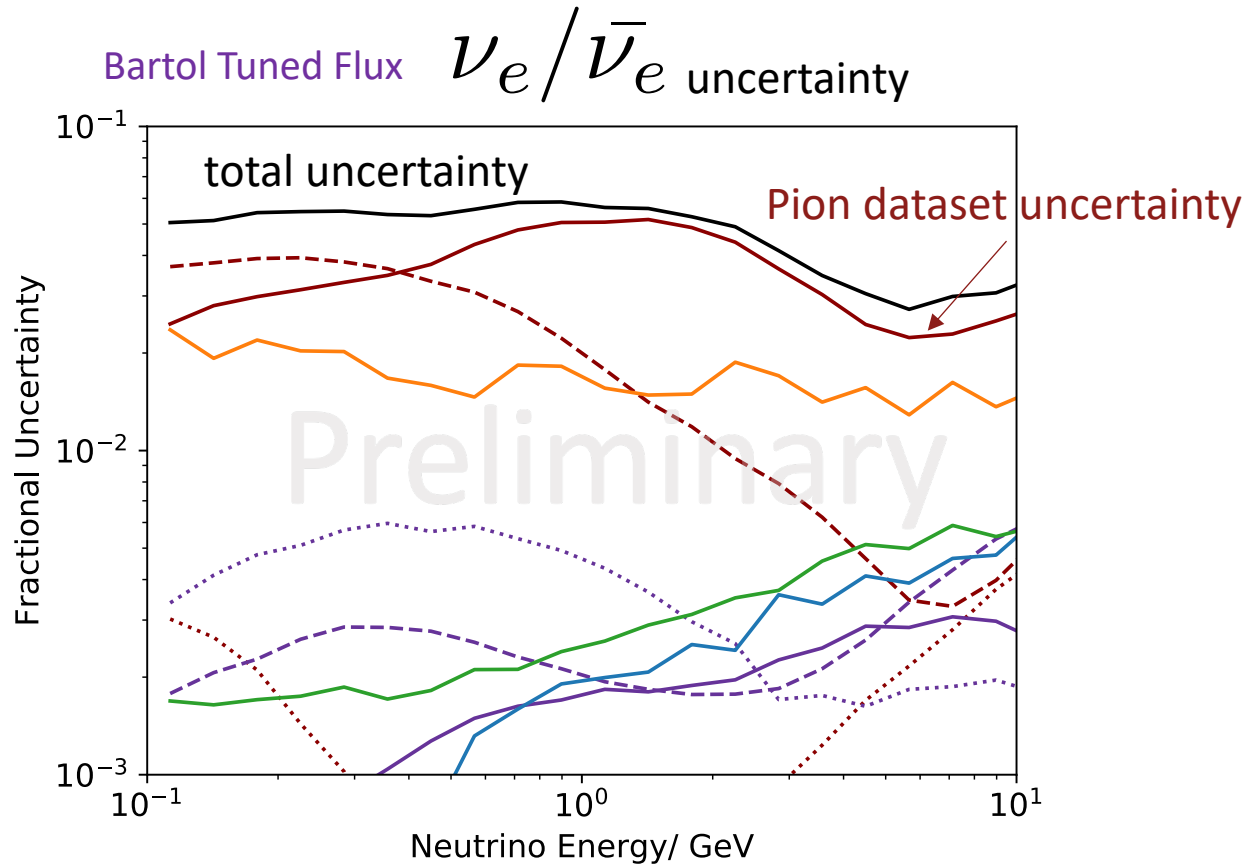
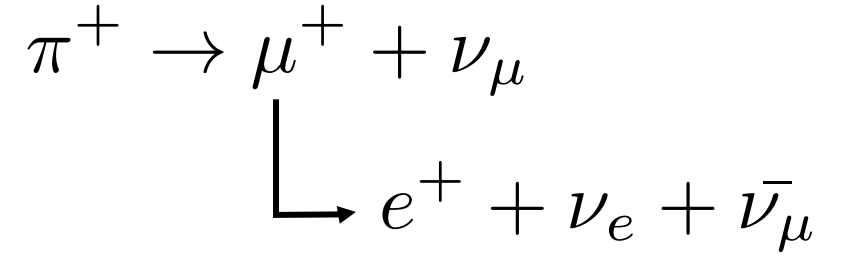
- Additional uncertainties due to:
  - baryon to baryon interactions
  - pion reinteractions

## Uncertainty on $\nu_\mu$ Flux



# Uncertainty on Flavour Ratios

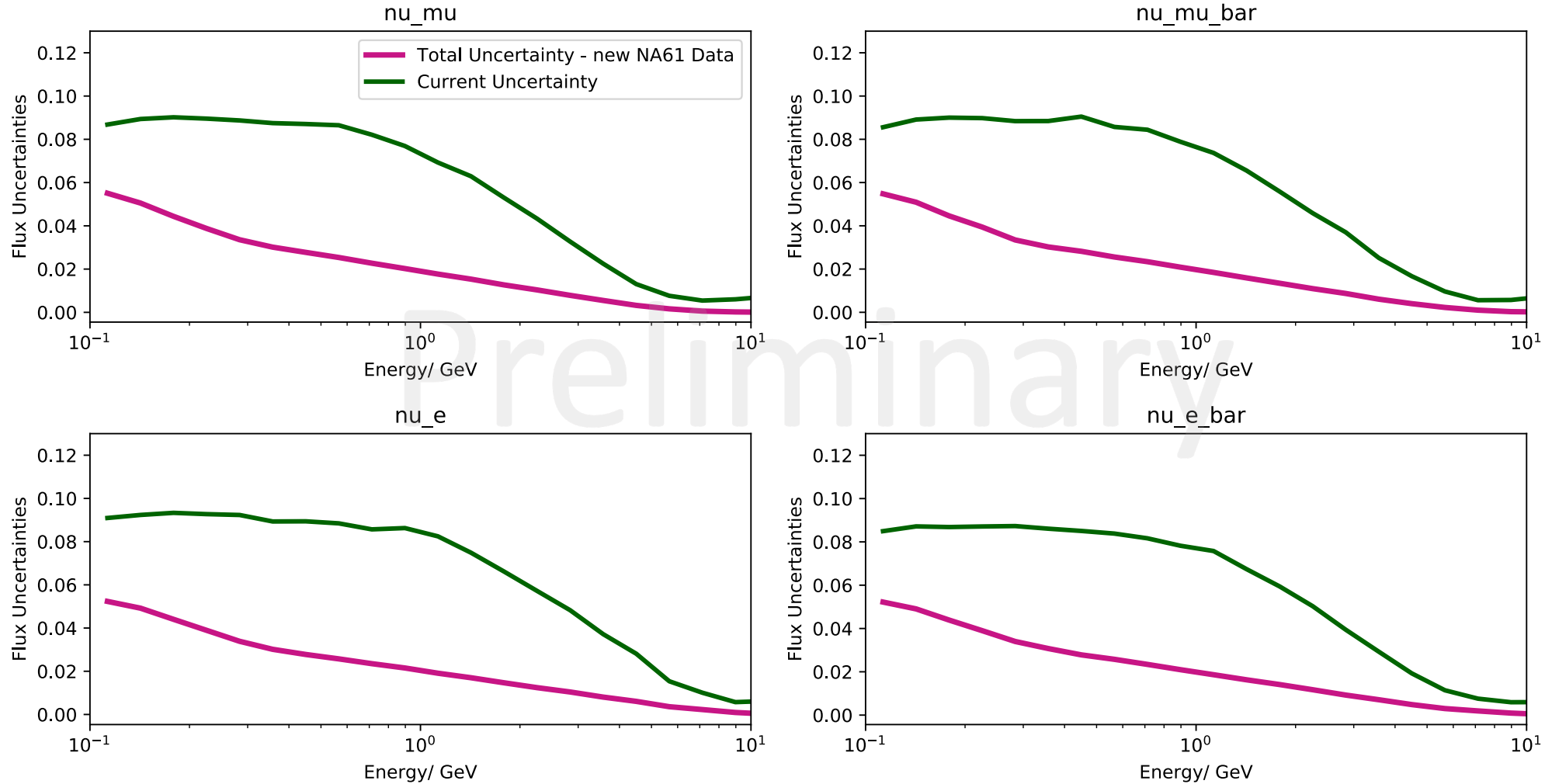
- Reduction in uncertainties when considering flavour ratios
- Correlation between production of different neutrino flavours
  - Cancellation of common systematic errors
- Flavour ratios sensitive to oscillation effects



# Comparison of original and new uncertainty estimates

Uncertainties from <30 GeV Interactions only

Total Uncertainties

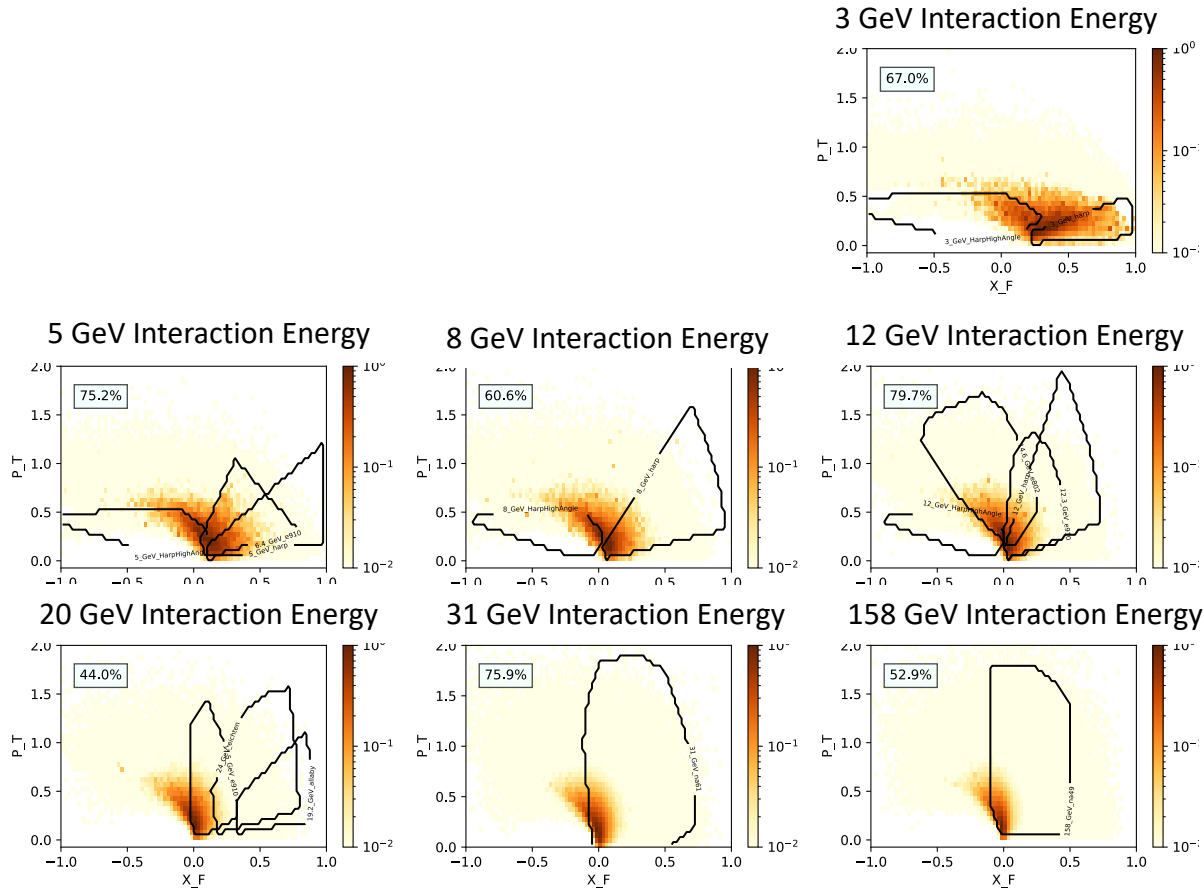


# Conclusions

- Atmospheric neutrinos produced very exciting physics in the past, and the future is bright for sub-dominant oscillation studies.
- Hadron production data is crucial in this precision era of atmospheric neutrino studies.
- At low energies ( $< 20\text{GeV}$  protons), there is data, some of it is good, but it is a struggle to make a consistent overall picture and there are large gaps in the phase space.
- Detailed Bartol and Honda flux model comparisons to take place shortly
- Further reduction in hadron production systematics:
  - 120 GeV NA61/SHINE data – reduce interpolation uncertainty between 31 and 158 GeV
  - Potential for new low energy measurements reduce uncertainty where hadron production is uncertain

# Backup

# Improvements with new low energy data



- Plots for different primary energies.  $x_f$  vs  $p_T$ .
- Colours show the importance to atmospheric neutrinos
- Wide range of low energy datasets with significantly poorer coverage than NA61/NA49 region.
- Low energy data sets have significantly worse errors and disagreements.
- Not all measurements use carbon, need to use A scaling model.
- Resonances mean scaling parameterizations are not effective at low energy
- Transition from low-angle to high-angle data difficult at low energies.

# 0.3 GeV Neutrino phase space coverage

With new NA61 Low Energy Bins

- Regions changed to include the increased NA61++ phase space coverage
- Older measurements removed where covered by NA61++

