

International  
UON Collider  
Collaboration



# RCS 1 transverse impedance and stability

D. Amorim, E. Métral

Thanks to F. Batsch, F. Boattini, L. Bottura,  
C. Carli, A. Chancé, H. Damerau,  
A. Grudiev, I. Karpov, K. Skoufaris

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# Goal and scope of the study

- **O(700) RF cavities** will be needed to provide the large acceleration gradient
- These cavities will create **high-order resonant modes** whose properties (frequency, amplitude, bandwidth) depend on the cavity design
- First impedance and stability studies **focus on their impact**

*Details on RF system for the RCS in H. Damerau presentation*

# Goal and scope of the study

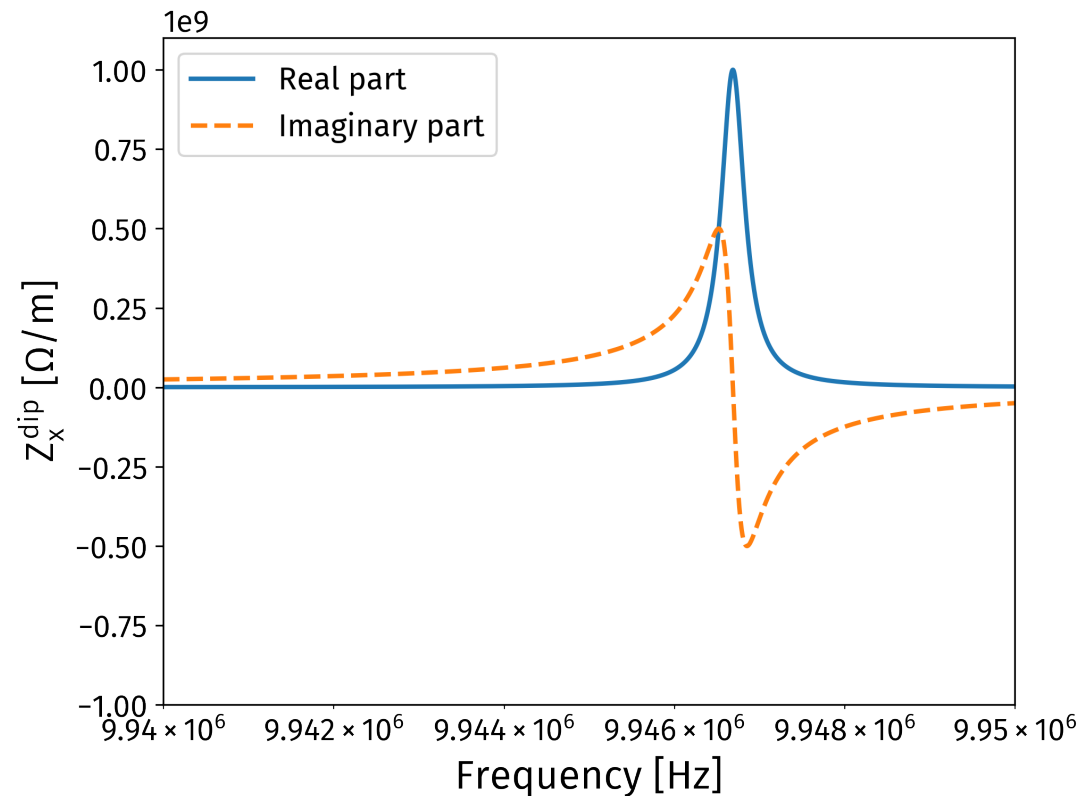
- Obtain a general **limit for resonator shunt impedance, resonance frequency and quality factor** in the transverse plane
- **Check transverse beam stability** for a possible **RF cavity design**
- Studies are performed with a **single beam** at the moment

# Resonator impedance and wakefield

# Resonator impedance model

## Resonator impedance

$f_{\text{res}} \approx 10 \text{ MHz}$ ,  $R_s = 1 \text{ G}\Omega/\text{m}$ ,  $Q = 30000$



- Use a single horizontal dipolar resonator impedance/wakefield
- Scan its shunt impedance  $R_s$ , its resonance frequency  $f_{\text{res}}$  and its quality factor  $Q$

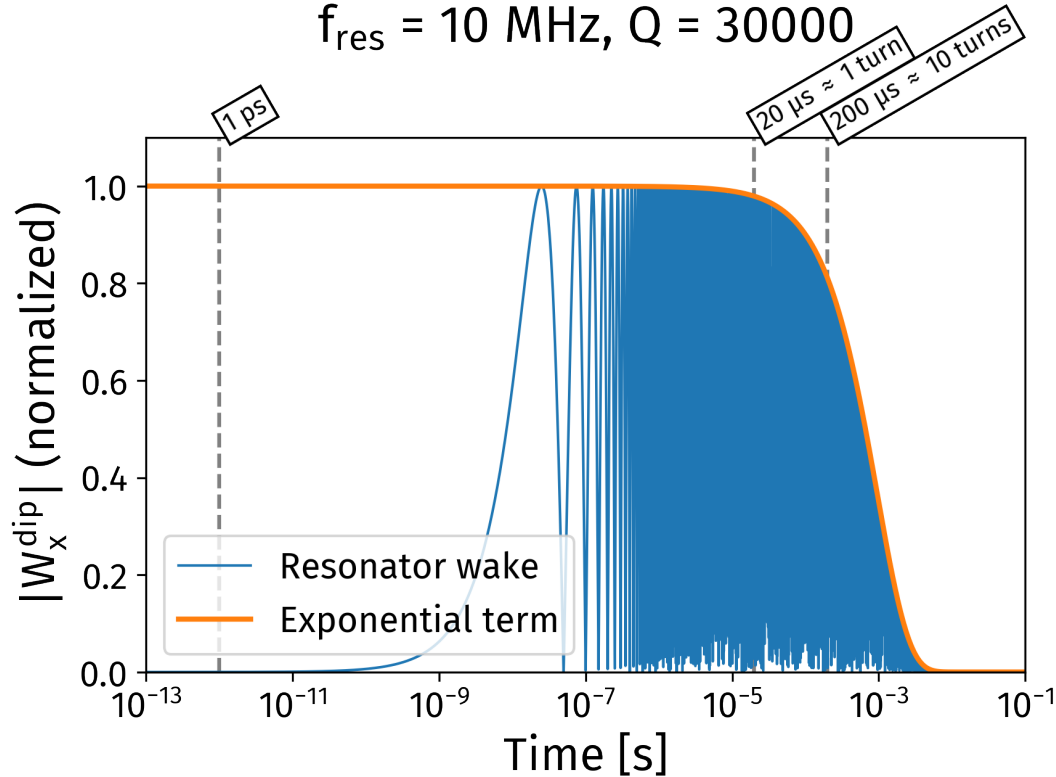
### Scan parameters

	Value
Resonator shunt impedance $R_s$	1 k $\Omega/\text{m}$ to 100 T $\Omega/\text{m}$
Resonance frequency $f_{\text{res}}$	10 MHz to 1 THz
Quality factor $Q$	100, 300, 1000, 3000, 10000, 30000

# Resonator impedance model

Transverse wakefield versus time

$f_{\text{res}} = 10 \text{ MHz}$ ,  $Q = 30000$



- For some ( $f_{\text{res}}$ ,  $Q$ ), the wakefield can extend well beyond one turn

- Example here with  $f_{\text{res}} = 10 \text{ MHz}$ .

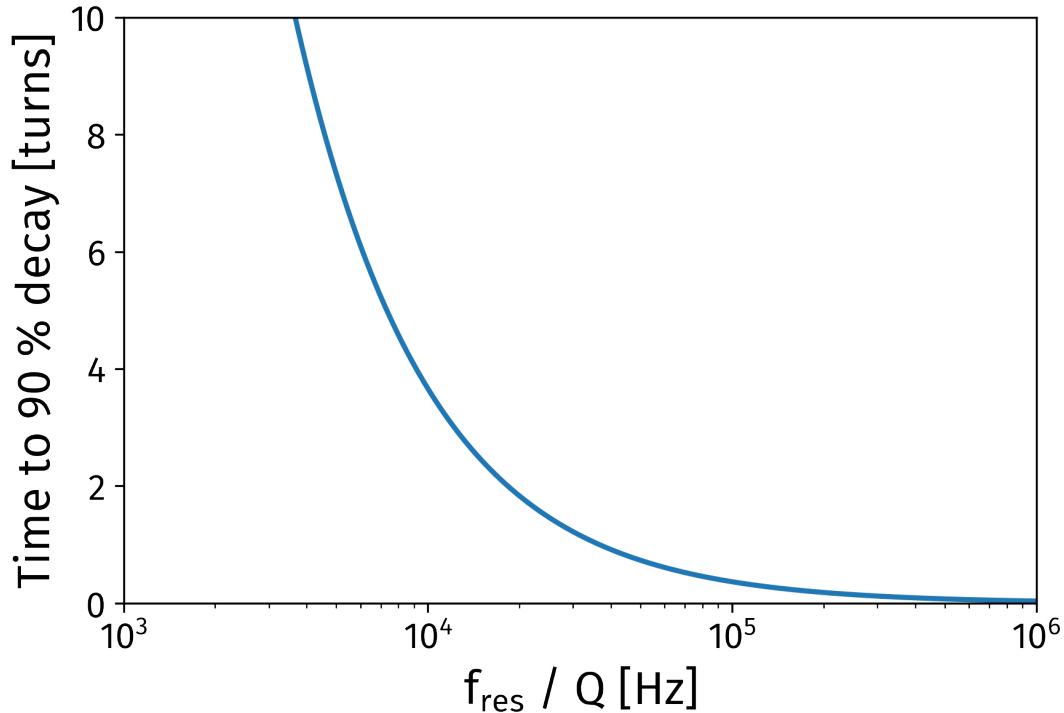
- The wake can be written

$$W(t) = \frac{2\pi f_{\text{res}} R_s}{Q \sqrt{1 - \frac{1}{4Q^2}}} \exp\left(-\frac{2\pi f_{\text{res}}}{2Q} t\right) \sin\left(2\pi f_{\text{res}} \sqrt{1 - \frac{1}{4Q^2}} t\right)$$

- The exponential term (plotted in orange) dictates the wakefield decay

# Resonator impedance model

Number of turns (1 turn = 20 $\mu$ s)  
to reach 90 % wakefield decay



- We can easily deduce the time  $t$  required to reach a 90 % wakefield decay:

$$t = -\frac{\ln(0.1)}{\pi} \left( \frac{f_{res}}{Q} \right)^{-1}$$

- With our machine parameters, **multiturn wakefield is required if  $f_{res}/Q < \sim 10^5$**

# Transverse stability simulations parameters

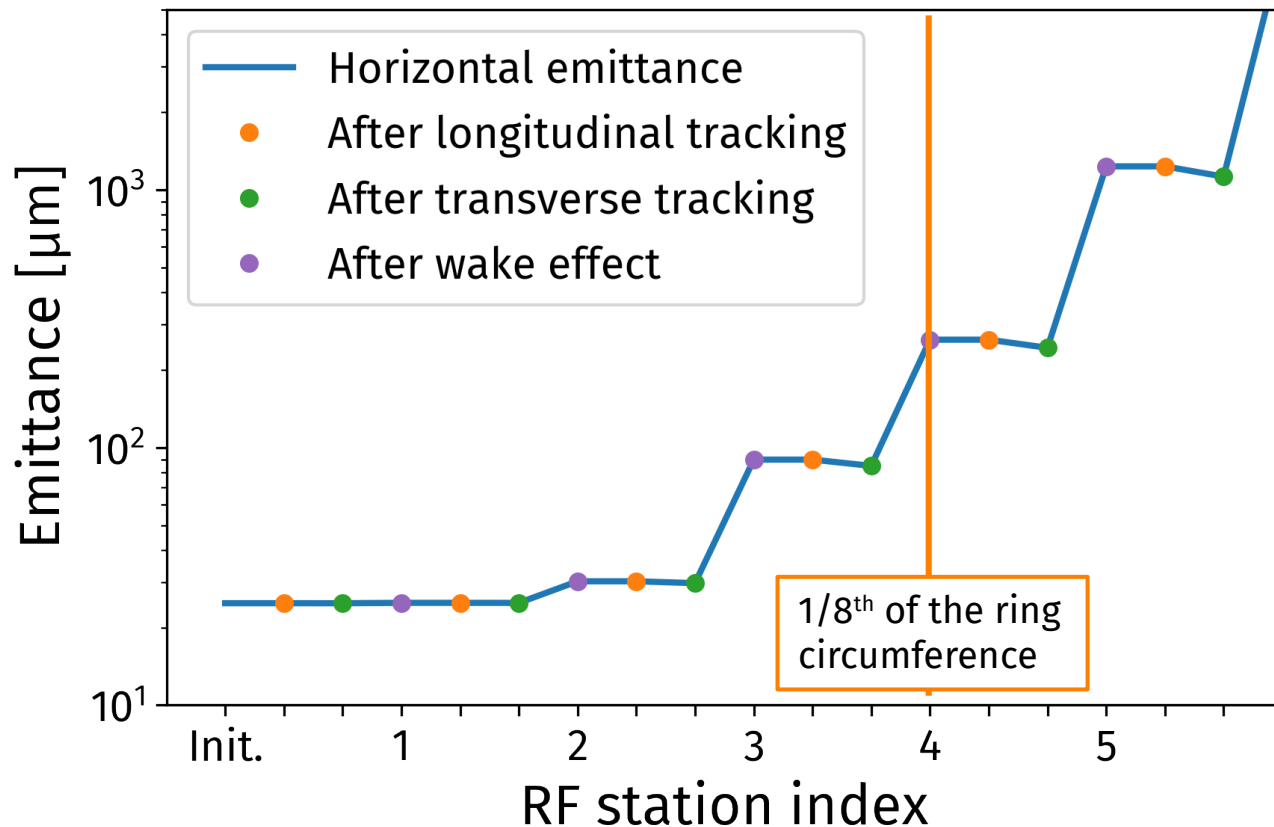


# Transverse stability simulation in the RCS 1

- Simulation including **longitudinal map + transverse map + transverse wakefield** (single turn or multi-turn)
  - **32 RF stations are used**, wakefield and transverse map also divided in 32 stations (for the number of stations, more info in F. Batsch presentation)
- Tracking simulations with macroparticle code PyHEADTAIL
  - Injection energy 63 GeV, momentum increment 14.2 GeV/c per turn (equally distributed in the 32 RF stations)
  - Only 17 turns are needed for the first acceleration stage (63 GeV to 313 GeV)
  - Chromaticity to 0 in both planes (**natural chromaticity is compensated**)
  - **No damper** (transverse feedback) / **No octupoles** for Landau damping

# Instability growth can be very quick

- Between each RF station, there are three tracking elements: longitudinal, transverse and wakefield
- In this example the **instability appears** already **after the second RF station**



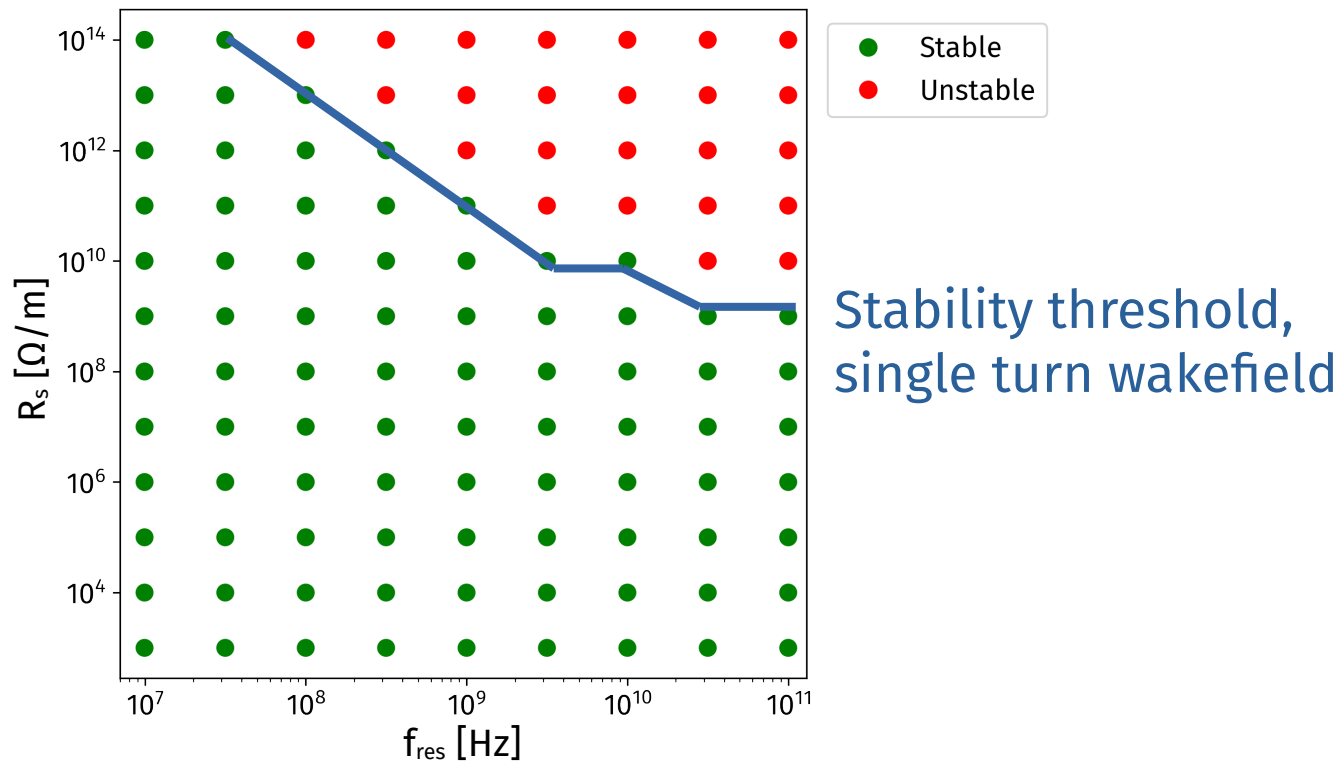
# Transverse stability simulations results

# Stability summary plots

- Emittance is evaluated after 20 turns, slightly longer time than the 17 turns required for the acceleration in the RCS 1
- For each  $(R_s, f_{res})$ , indicate if there is **emittance growth (red dot)** or not (**green dot**)
  - Emittance growth =  $\epsilon_{\text{turn 20}} / \epsilon_{\text{initial}}$
  - Consider the beam unstable if **emittance growth > 20 %** (criterion to be refined)
- Focus on one high-Q case (Q=10 000, other cases reported in appendix)

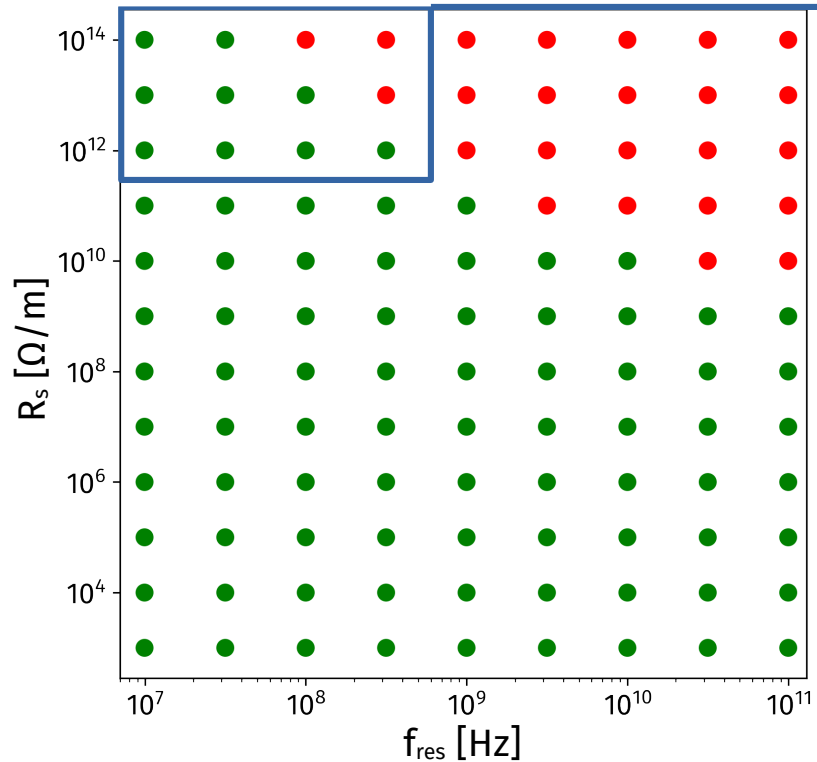
# Q = 10000, 1-turn wakefield

## 1-turn wakefield

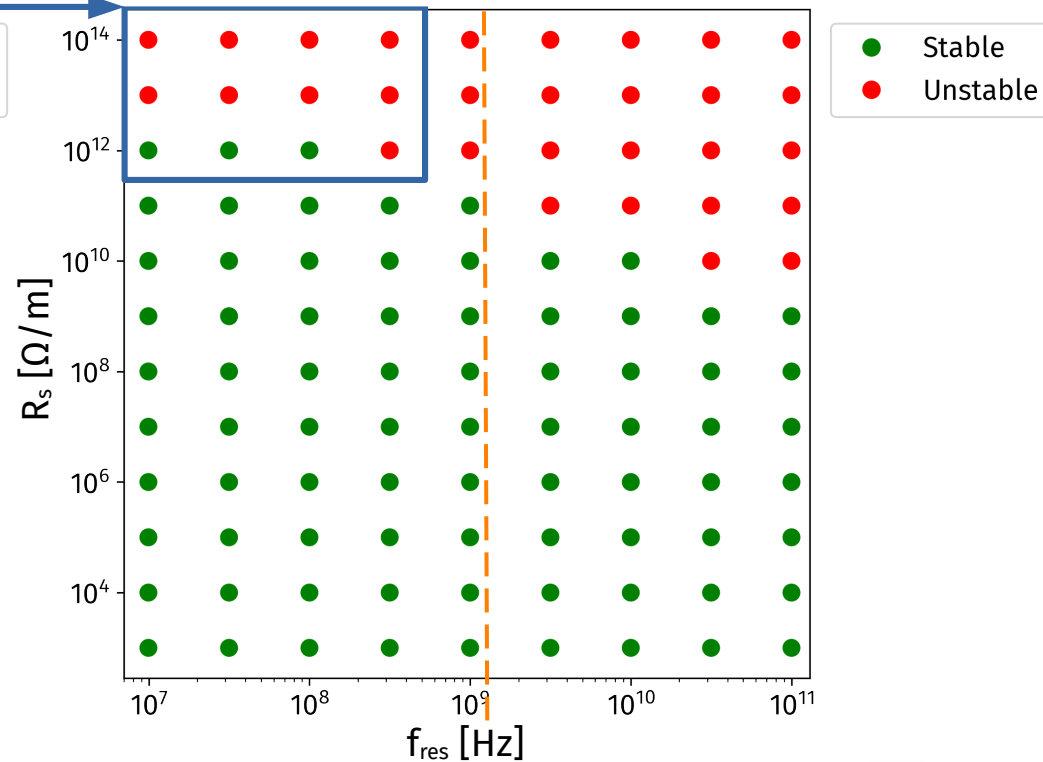


# Q = 10000, 50-turn wakefield

## 1-turn wakefield



## 50-turn wakefield

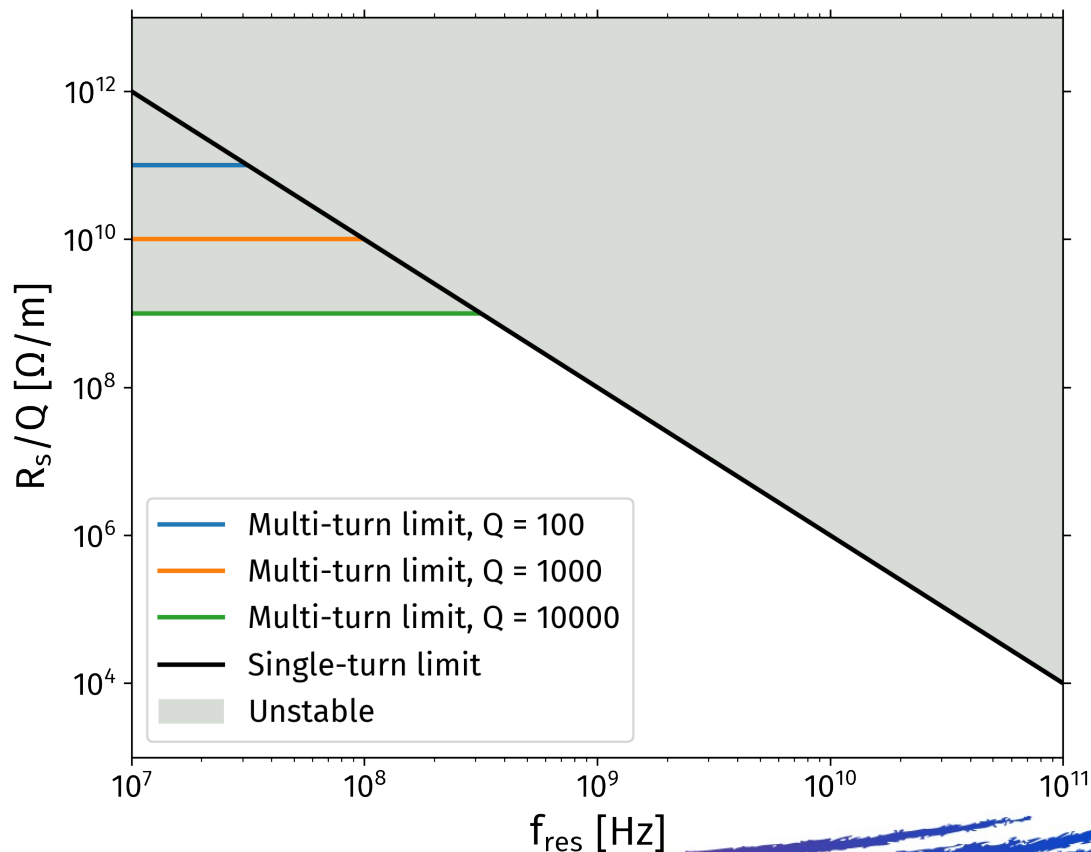


$$f_{\text{res}}/Q < 10^5$$

# Summary of transverse stability simulation in the RCS 1

- Summarize on one plot the single-turn and multi-turn wakefield stability limits (divide y-axis by quality factor Q)
- The **single-turn limit** (black line) depends on the resonator frequency and the  $R_s/Q$ 
  - $R_s < 100 \text{ [M}\Omega/\text{m}] * Q / f^2 \text{ [GHz}^2\text{]}$
- The **multi-turn limit** (color lines) depends on the resonator shunt impedance  $R_s$ 
  - $R_s < 10^{13} \text{ }\Omega/\text{m}$

Stability limit versus resonator parameters



# Example with one type of cavity



# Application of the stability criteria

- First proposition from A. Grudiev: ILC type cavities
- **RCS 1** requires **20 GV** accelerating voltage
- Using **Low Losses SRF cavity** described in [Sekutowicz et al.](#) “Design of a Low Loss SRF Cavity for the ILC”, 2005
  - Fundamental RF frequency: 1.3GHz
  - Assuming 30 MV/m and 1 m length per cavity
- **670 cavities** would be required

One HOM from LL ILC cavity and S-turn stability

- Some RF cavity parameters:
  - Active Acc. Gradient: 30 MV/m
  - Cavity length:  $L_{\text{cav}} \sim 1\text{m}$
  - $V_{\text{cav}}$ : 30MV
  - $N_{\text{cav}} = 20100/30 = 670$
- Max R/Q HOM:  $R/Q = 32 \text{ linac}\Omega/\text{cm}^2 \Rightarrow R_s/Q = R/Q/2 \cdot c/\omega = 3.1 \text{ k}\Omega/\text{m}$  per cavity
- For 670 cavities:  $R_s/Q = 2.1 \text{ M}\Omega/\text{m}$
- $f = 2.45 \text{ GHz}$ ,
- $N_{\text{cav}} \cdot R_s/Q \cdot f^2 = 12.6 [\text{M}\Omega/\text{m} \cdot \text{GHz}^2] < 100$ , Single turn stability limit from David
- **It is below stability limit by about factor 8 for one HOM.**
- **In fact, all HOMs must be taken into account for S-turn stability calculation**

A. Grudiev, *Transverse stability in RCS and TESLA cavity*, [HEMAC meeting, 2022-10-04](#)

*Details on ILC type cavities in A. Yamamoto presentation*

# Application of the stability criteria

- Largest transverse High Order Mode for a single cavity
  - $f_{\text{res}} = 2.45 \text{ GHz}$
  - $R_s/Q = 3.1 \text{ k}\Omega/\text{m}$
- Chose  $Q = 10^4$  to remain in single turn wakefield regime
- With 670 cavities
  - $[R_s/Q]_{\text{total}} = 670 * 3.1 \text{ k}\Omega/\text{m} = 2.1 \text{ M}\Omega/\text{m}$
  - $R_{s, \text{total}} = [R_s/Q]_{\text{total}} * Q = 2.1 \text{ M}\Omega/\text{m} * 10000 = 2.1 \cdot 10^{10} \text{ }\Omega/\text{m} = 21 \text{ G}\Omega/\text{m}$

# Mode stability prediction

Stability limit versus resonator parameters

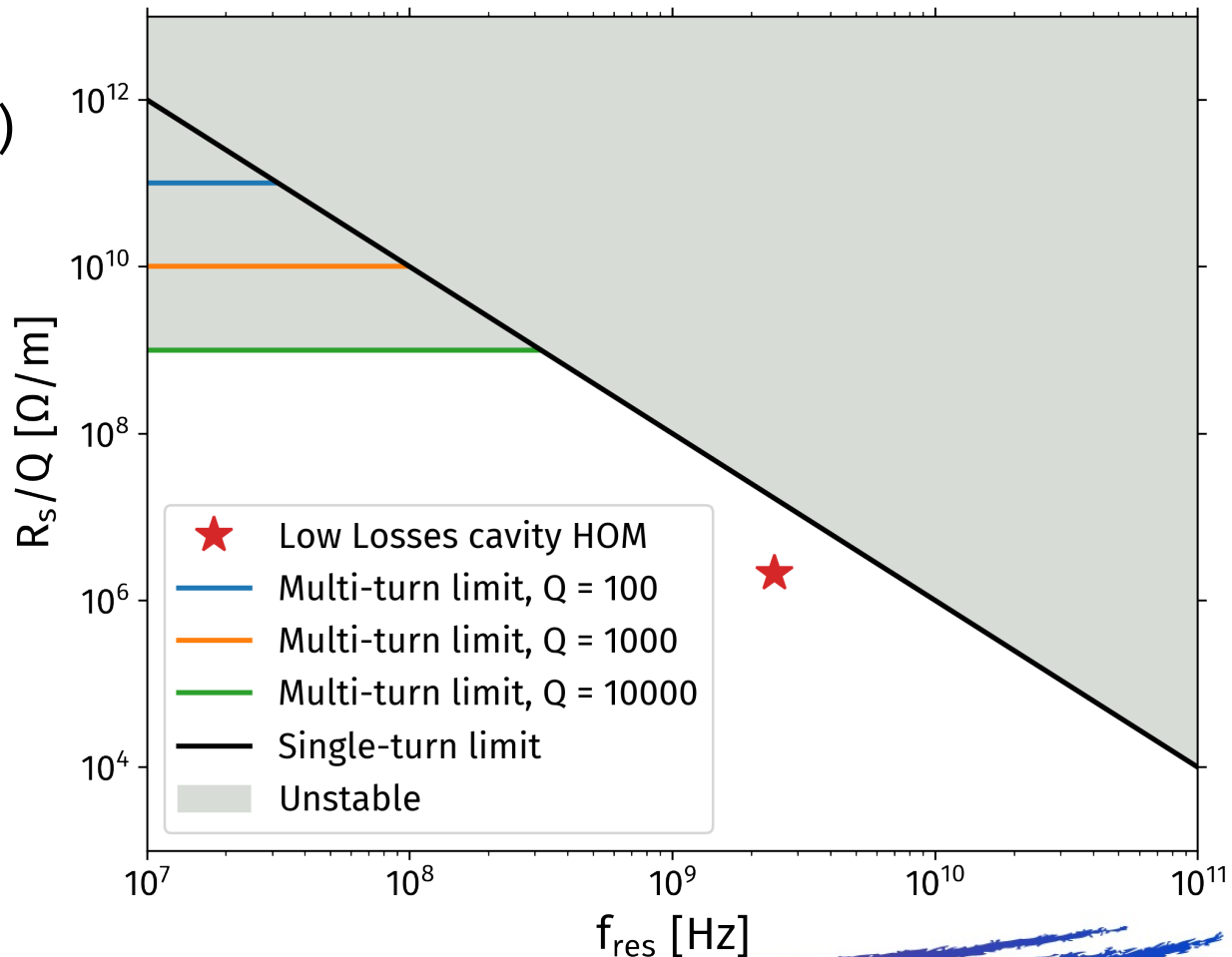
- Stability threshold (single turn)  
 $R_s/Q \sim 100 \text{ [M}\Omega/\text{m]} / f^2 \text{ [GHz}^2\text{]}$

- This **HOM** at **2.45 GHz**:

- $[R_s/Q]_{\text{threshold}} = 100 / 2.45^2 =$   
**16.7 MΩ/m**

- $[R_s/Q]_{\text{total}} =$  **2.1 MΩ/m**

- HOM below the predicted stability limit by factor 8



# Stability simulations with most critical HOM

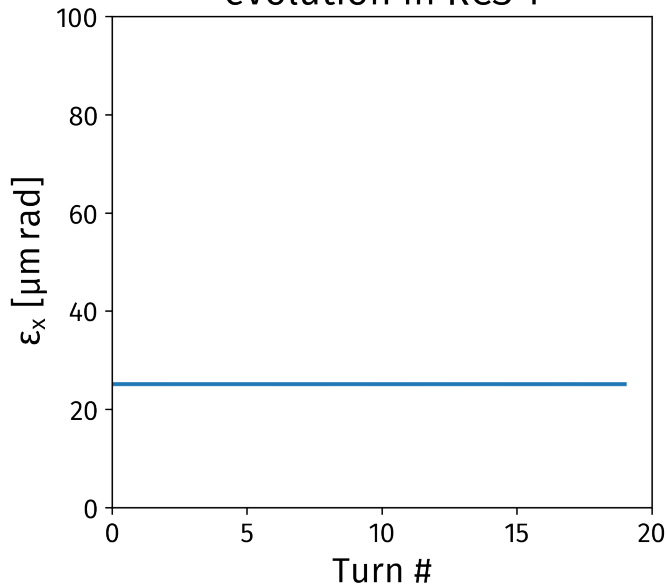
- Perform tracking simulations with this single most critical HOM
- To check the stability threshold, the HOM shunt impedance  $R_s$  is also multiplied by 6 and 8
- Note: stability simulation parameters are slightly different
  - Number of RF stations: 24 (was 32) following first optimization by F. Batsch
  - Number of macroparticles: 10 000 (was 5000)

# Stability simulations with most critical HOM

$$[R_s/Q]_{\text{total}} = 2.1 \text{ M}\Omega/\text{m}$$

(factor 1)

Horizontal emittance  
evolution in RCS 1

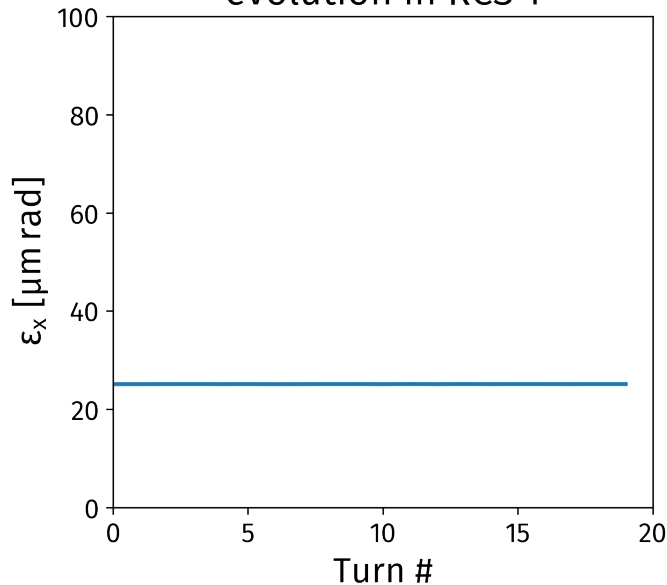


**Stable**

$$[R_s/Q]_{\text{total}} = 12.6 \text{ M}\Omega/\text{m}$$

(factor 6)

Horizontal emittance  
evolution in RCS 1

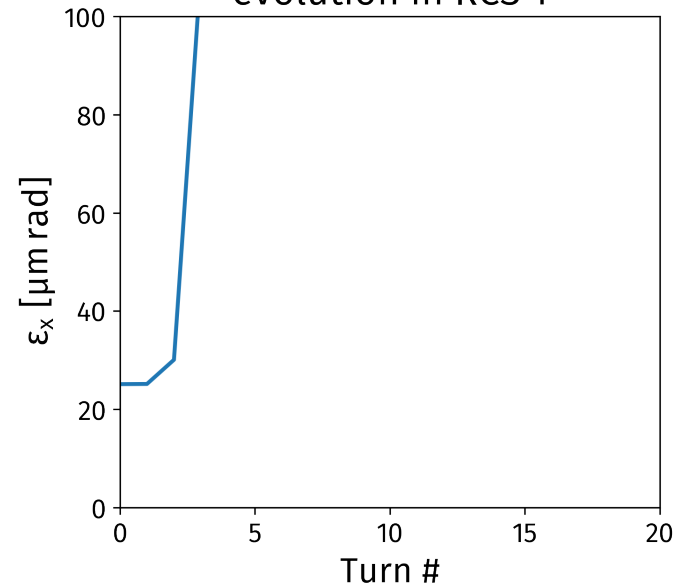


**Stable**

$$[R_s/Q]_{\text{total}} = 16.8 \text{ M}\Omega/\text{m}$$

(factor 8)

Horizontal emittance  
evolution in RCS 1

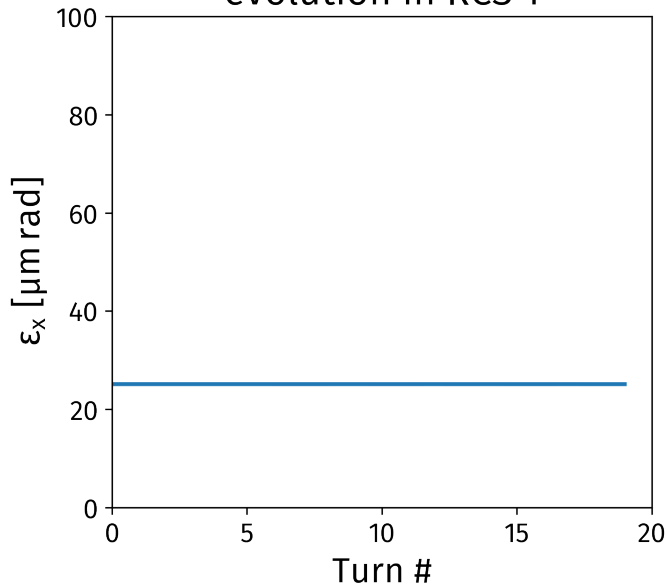


**Unstable**

# Stability simulations with most critical HOM

$[R_s/Q]_{\text{total}} = 2.1 \text{ M}\Omega/\text{m}$   
(factor 1)

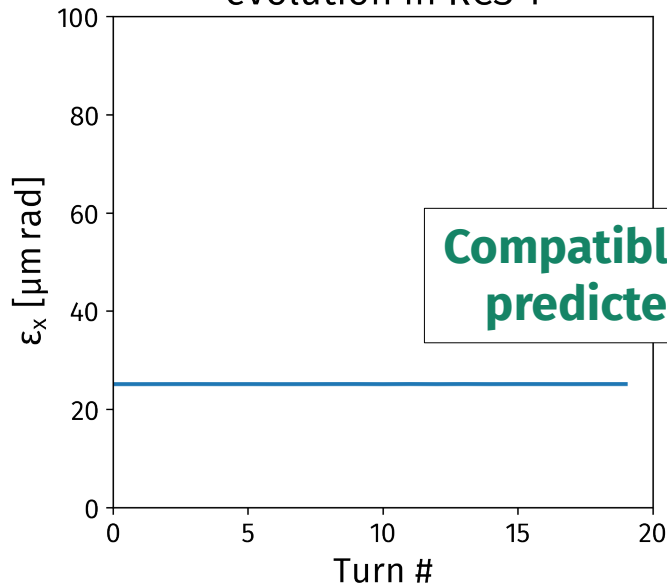
Horizontal emittance  
evolution in RCS 1



**Stable**

$[R_s/Q]_{\text{total}} = 12.6 \text{ M}\Omega/\text{m}$   
(factor 6)

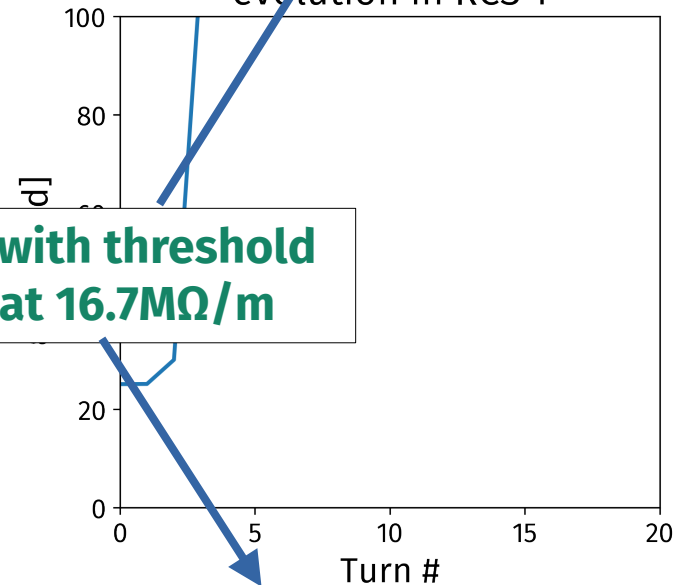
Horizontal emittance  
evolution in RCS 1



**Stable**

$[R_s/Q]_{\text{total}} = 16.8 \text{ M}\Omega/\text{m}$   
(factor 8)

Horizontal emittance  
evolution in RCS 1



**Unstable**

Compatible with threshold  
predicted at 16.7 MΩ/m

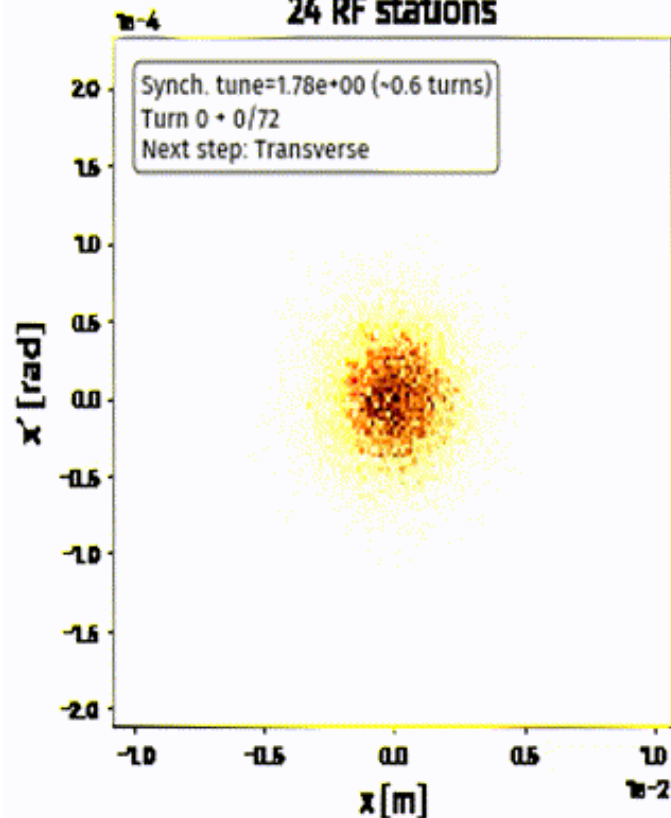
# Unstable case (factor 8 on shunt impedence)

Transverse phase space evolution

24 RF stations \* 3 tracking elements = 72 tracking steps per turn

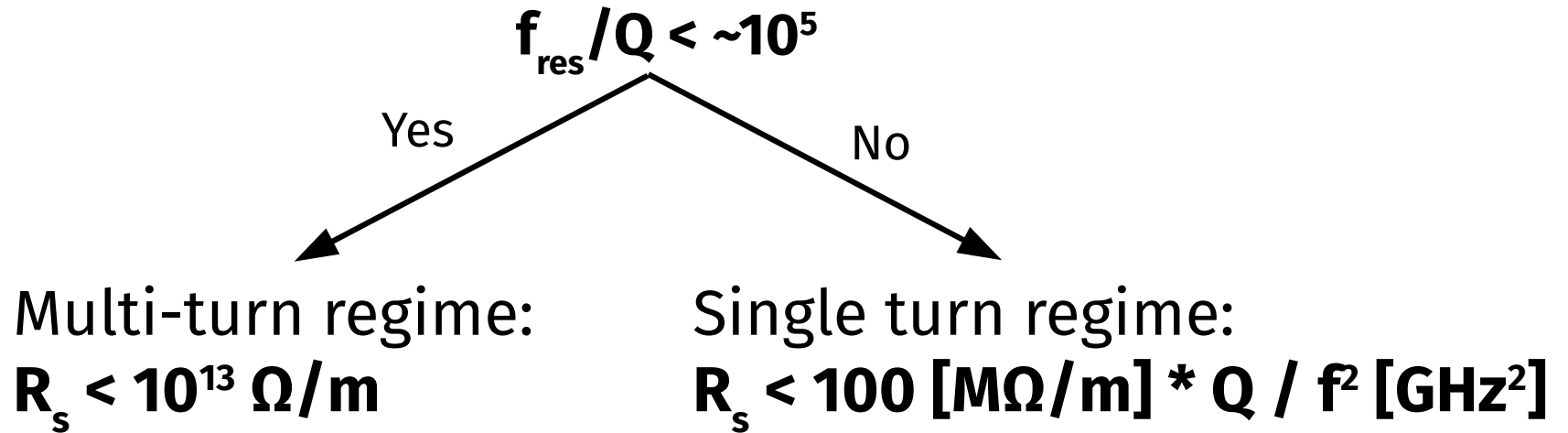
- The transverse instability is extremely quick, beam is lost in 2 turns

Evolution of 10000 particles in horiz. phase space over 20 turns at every tracking step 24 RF stations



# Conclusion

- General transverse stability criteria were derived for the RCS 1 RF cavities high-order modes





# Conclusion

- One type of cavity (Low Losses SRF cavity described by Sekutowicz et al., as proposed by A. Grudiev in [HEMAC meeting](#)) was investigated from transverse stability side
  - The most critical HOM remains below the stability threshold, even with 670 cavities
  - Simulations show that there is a factor  $\sim 8$  margin for this single mode shunt impedance

# Next steps and possible further studies

- Include more detailed cavity models with all HOMs and/or detailed short range wakefield
- Perform similar impedance and stability studies for the RCS 2, 3 and 4
- Include the second, counter-rotating, beam effects
- Study the beam dynamic with natural (uncompensated) chromaticity
  - Check if sextupoles are needed at all in the machine for transverse beam stability
- Investigate mitigation measures if required: positive chromaticity, Landau octupoles, effect of  $\alpha_p$  (i.e.  $\gamma_t$ )

*Thanks for your attention*

# Appendix: effect of $\alpha_p / \gamma_t$

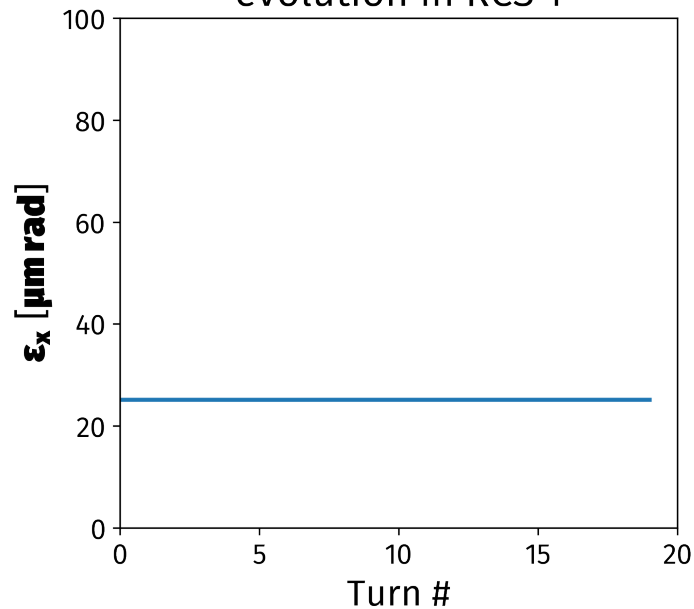
# Resonator impedance model

- Use RCS 1 simulation with a single resonator
  - $f_{\text{res}} = 2.45 \text{ GHz}$
  - $R/Q = 2.1 \text{ M}\Omega/\text{m} * 7 = 14.7 \text{ M}\Omega/\text{m}$
  - $Q = 10^4$
- Change  $\alpha_p$  to scan the transition gamma value  $\gamma_t$
- Nominal case is with  $\gamma_t = 20$ 
  - In this case, the beam is right on the transverse instability threshold

# Resonator impedance model

$\gamma_t=10$  ( $\alpha_p=0.01$ )

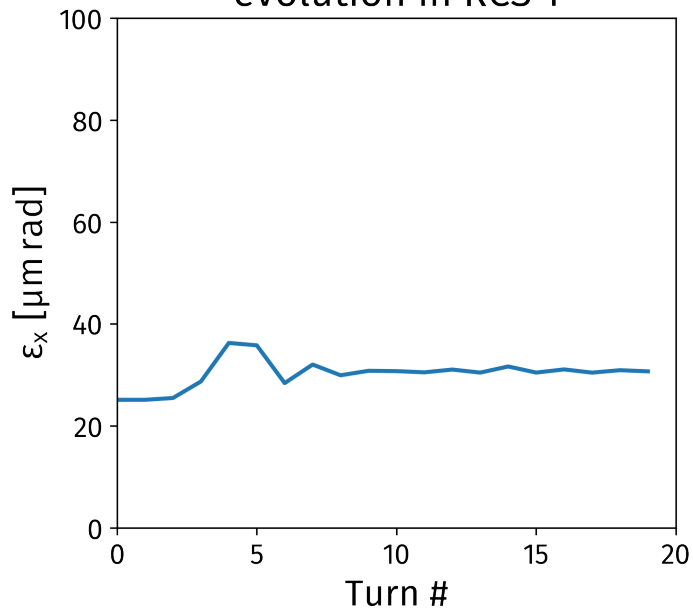
Horizontal emittance evolution in RCS 1



Nominal case

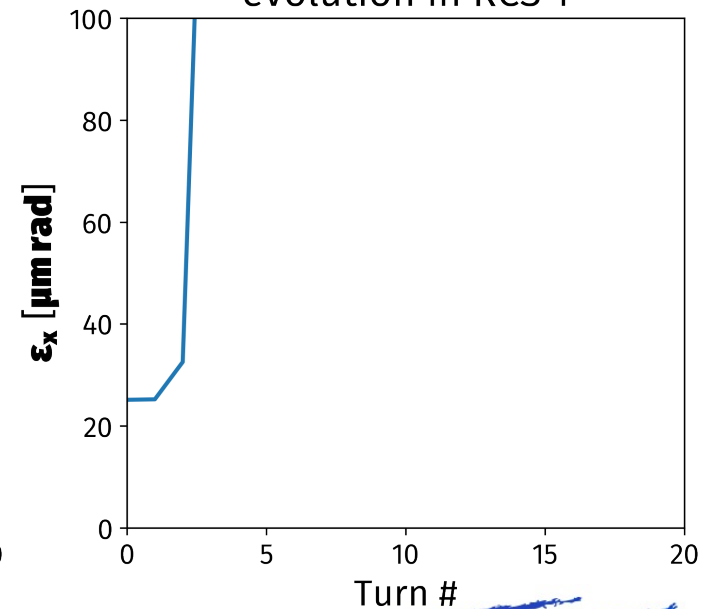
$\gamma_t=20$  ( $\alpha_p=0.0024$ )

Horizontal emittance evolution in RCS 1



$\gamma_t=40$  ( $\alpha_p=0.000625$ )

Horizontal emittance evolution in RCS 1





Instability threshold criterion from A. Chao:

Bunch intensity

Chromaticity, here = 0

$$\Upsilon = \frac{\pi N r_0 W_0 c^2}{4 \gamma C \omega_\beta \omega_s} \left( 1 + i \frac{4 \xi \omega_\beta \hat{z}}{\pi c \eta} \right).$$

In our case, the chromaticity is corrected to  $\xi = 0$ , therefore

$$\Upsilon \propto \frac{N_b}{Q_\beta Q_s}$$

Synchrotron tune

Synchrotron tune is proportional to  $\sqrt{\eta}$

$$\eta = 1/\gamma_t^2 - 1/\gamma^2 \sim 1/\gamma_t^2 \sim \alpha_p$$

Synchrotron tune is proportional to  $1/\gamma_t$

$$Q_s \propto \frac{1}{\gamma_t}$$

$$\Upsilon \propto \frac{N_b \gamma_t}{Q_\beta}$$

The instability occurs when  $\Upsilon = 2$ , therefore the instability criteria becomes

$$N_b \propto \frac{2Q_\beta}{\gamma_t} \quad \text{or} \quad \gamma_t \propto \frac{2Q_\beta}{N_b}$$

# Appendix: transverse phase space during an instability

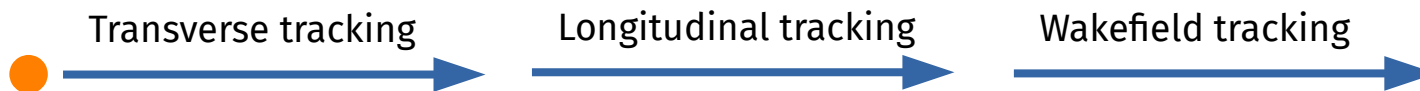
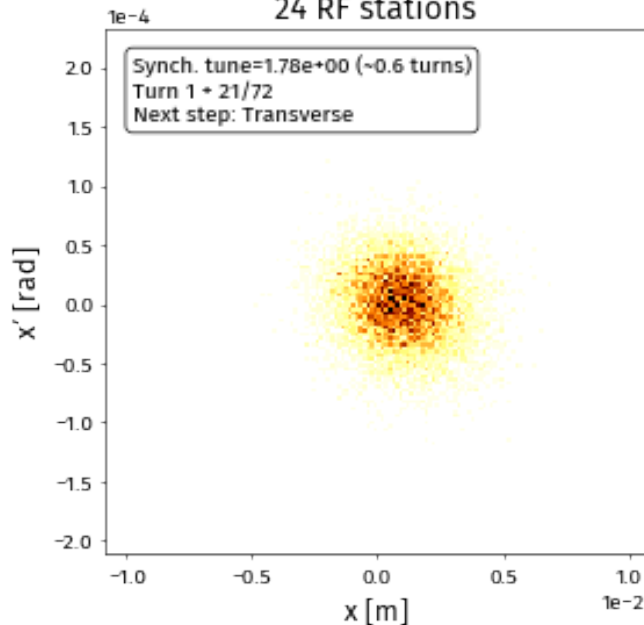


# Unstable case (factor 8 on shunt impedence)

Transverse phase space  
during turn 2, at RF  
station #7

24 RF stations \* 3 tracking  
elements = 72 tracking  
steps per turn

Evolution of 10000 particles in horiz.  
phase space over 20 turns  
at every tracking step  
24 RF stations

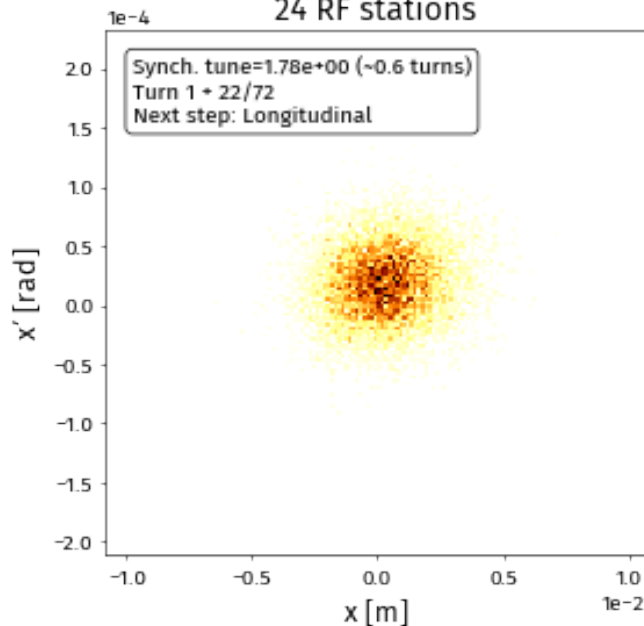


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Evolution of 10000 particles in horiz.  
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24 RF stations



Transverse tracking



Longitudinal tracking



Wakefield tracking

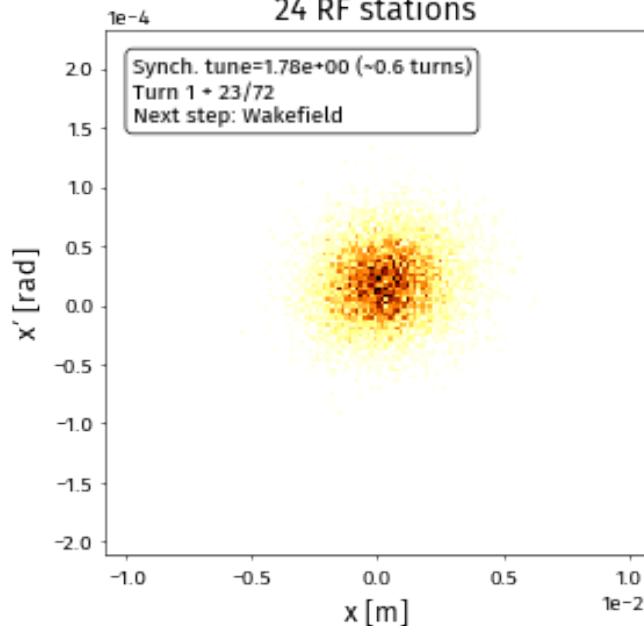


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at every tracking step  
24 RF stations



Transverse tracking



Longitudinal tracking



Wakefield tracking

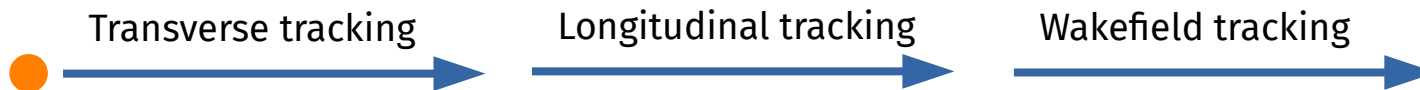
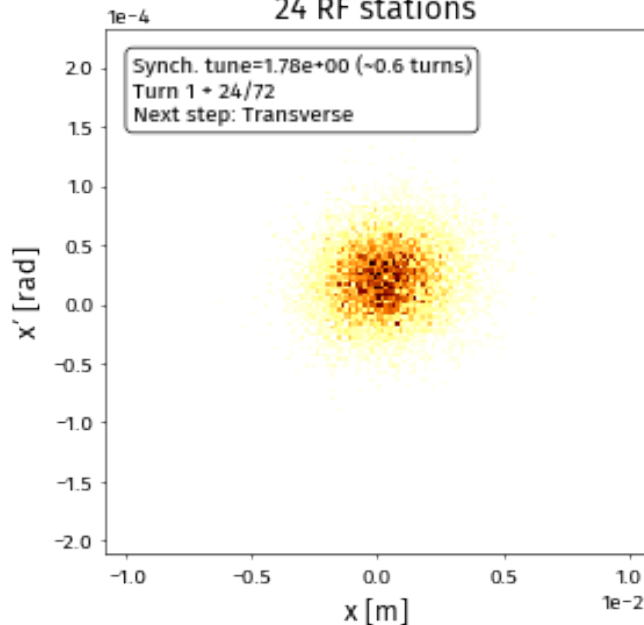


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Transverse phase space  
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elements = 72 tracking  
steps per turn

Evolution of 10000 particles in horiz.  
phase space over 20 turns  
at every tracking step  
24 RF stations

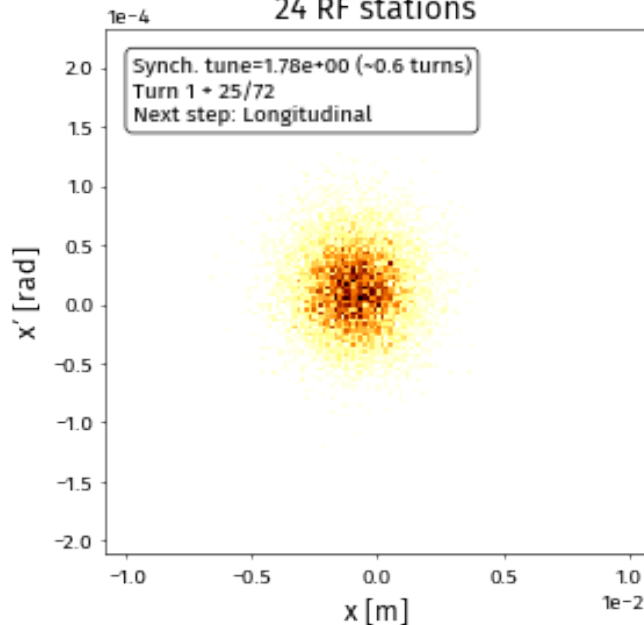


# Unstable case (factor 8 on shunt impedence)

Transverse phase space  
during turn 2, at RF  
station #7

24 RF stations \* 3 tracking  
elements = 72 tracking  
steps per turn

Evolution of 10000 particles in horiz.  
phase space over 20 turns  
at every tracking step  
24 RF stations



Transverse tracking

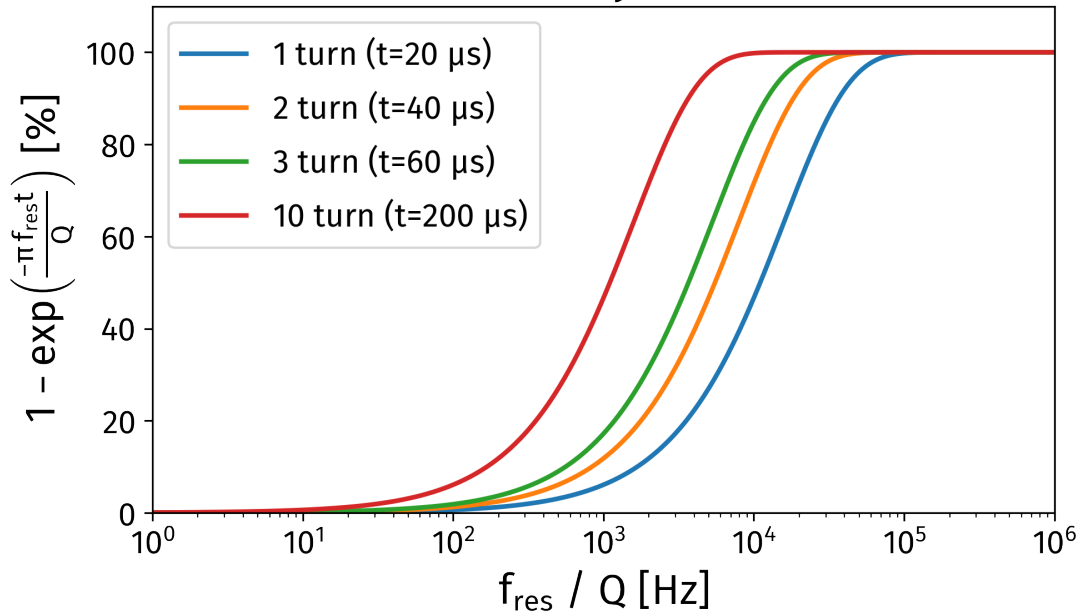
Longitudinal tracking

Wakefield tracking

# Appendix: resonator impedance and wakefield

# Resonator impedance model

Wakefield decay after N turns



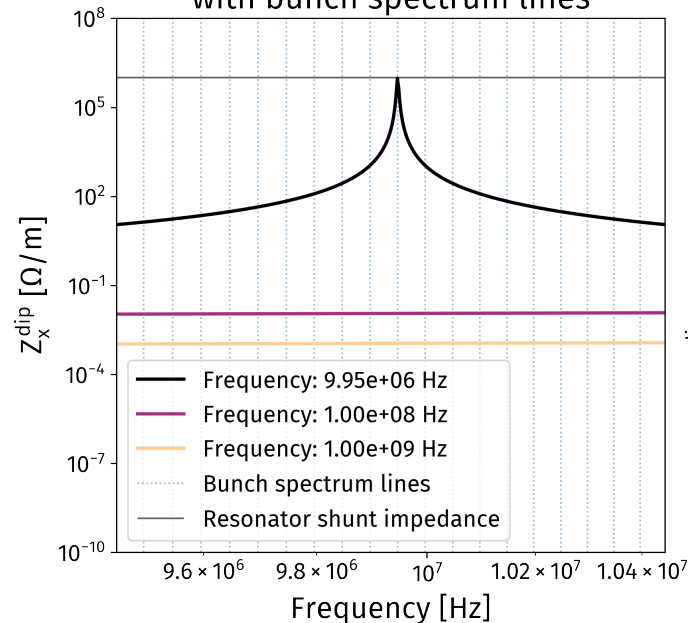
- We can plot the exponential term versus  $f_{res} / Q$  for a given time t (number of turns)

$$\exp\left(-\frac{2\pi f_{res} t}{2Q}\right)$$

- Shows by how much the wake decreased after N turns for a given ( $f_{res}$ , Q)

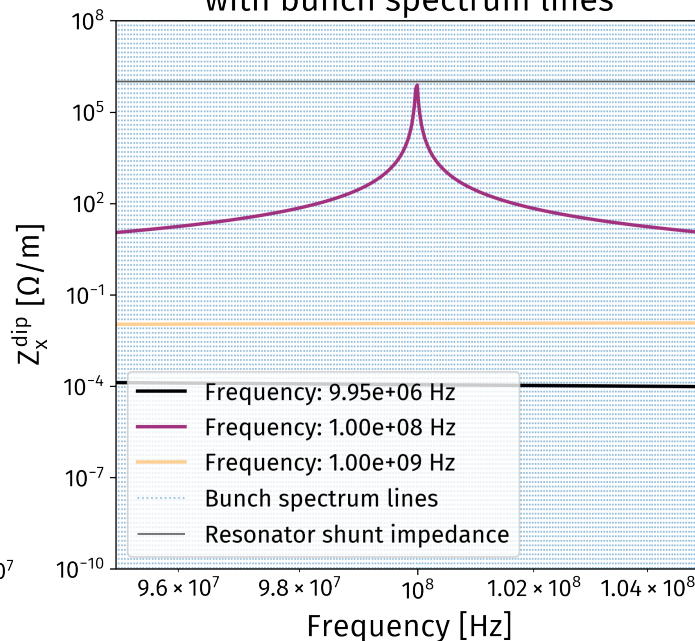
# Resonator impedance model

Example of resonator impedance with bunch spectrum lines



$f_{\text{res}} = 10 \text{ MHz}$   
 $R_s = 1 \text{ M}\Omega/\text{m}$   
 $Q = 3000$

Example of resonator impedance with bunch spectrum lines



$f_{\text{res}} = 100 \text{ MHz}$   
 $R_s = 1 \text{ M}\Omega/\text{m}$   
 $Q = 3000$

- Resonator frequency is chosen to fall on a bunch spectrum line
- At high frequency (right plot), the resonance overlaps with many spectrum line
- Assumptions:
  - injection energy revolution frequency  $f_0$
  - $Q_x / Q_y = 0.26 / 0.26$



# Appendix: impedance and stability simulation parameters



# Stability simulation parameters

## Machine parameters

	Unit	Value
Circumference	m	5990
Beam momentum at injection	GeV/c	63.1
Momentum increase per turn	GeV/c	14.212
Rev. frequency	kHz	50
RF frequency	MHz	1300
Harmonic number		25957
RF voltage	MV	20 100
$\alpha_p$		2.4e-3
Avg. beta x/y	m	50 / 50
Chromaticity $Q'_x/Q'_y$		0 / 0
Detuning from octupoles x/y	m <sup>-1</sup>	0 / 0

	Unit	Value
Synchrotron tune $Q_s$ at injection		1.52
Synchrotron period	turns	0.66
<b>Bunch length <math>1\sigma</math></b>	<b>mm</b>	<b>25</b>
<b>Bunch intensity</b>	<b>Particles per bunch</b>	<b>2.6e12</b>
$\epsilon_x / \epsilon_y$	$\mu\text{m rad}$	<b>25</b>
# of macroparticles		5000

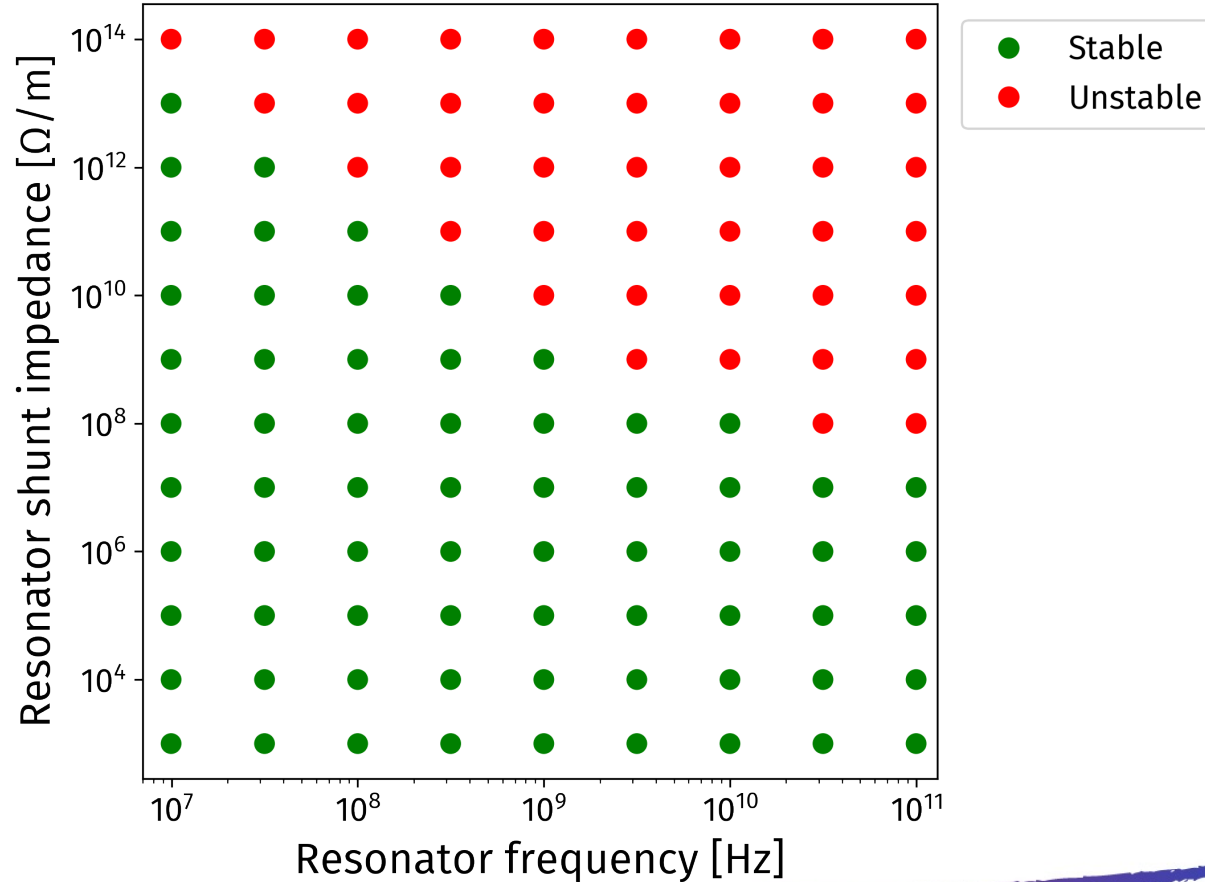
## Scanned parameters

	Value
Resonator shunt impedance $R_s$	1 k $\Omega$ /m to 100 T $\Omega$ /m
Resonance frequency $f_{\text{res}}$	10 MHz to 1 THz
Quality factor Q	100, 300, 1000, 3000, 10 000, 30 000
Wakefield turns	1, 2, 3, 10, 50

# Appendix: stability results with single-turn wakefield

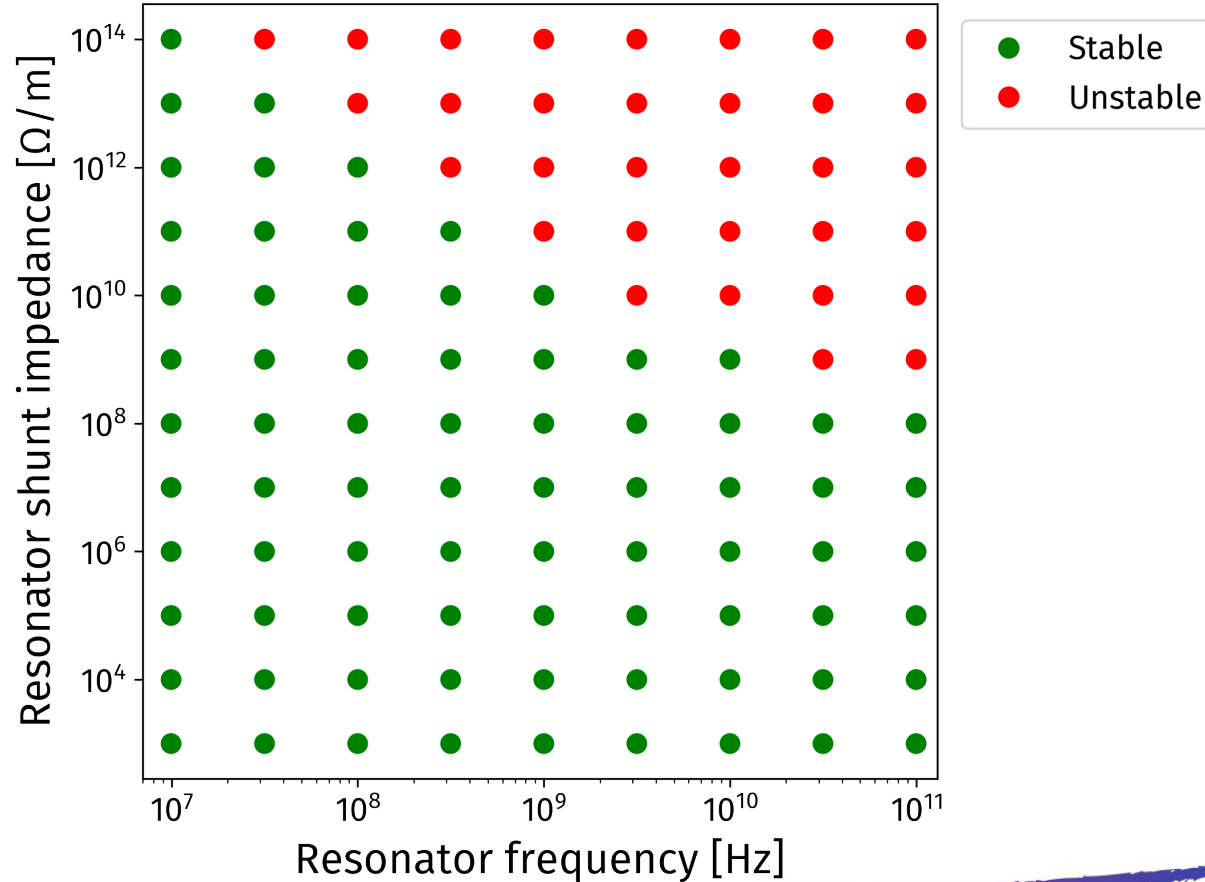
# Q = 100

## Resonator frequency and shunt impedance threshold, Q=1.00e+02



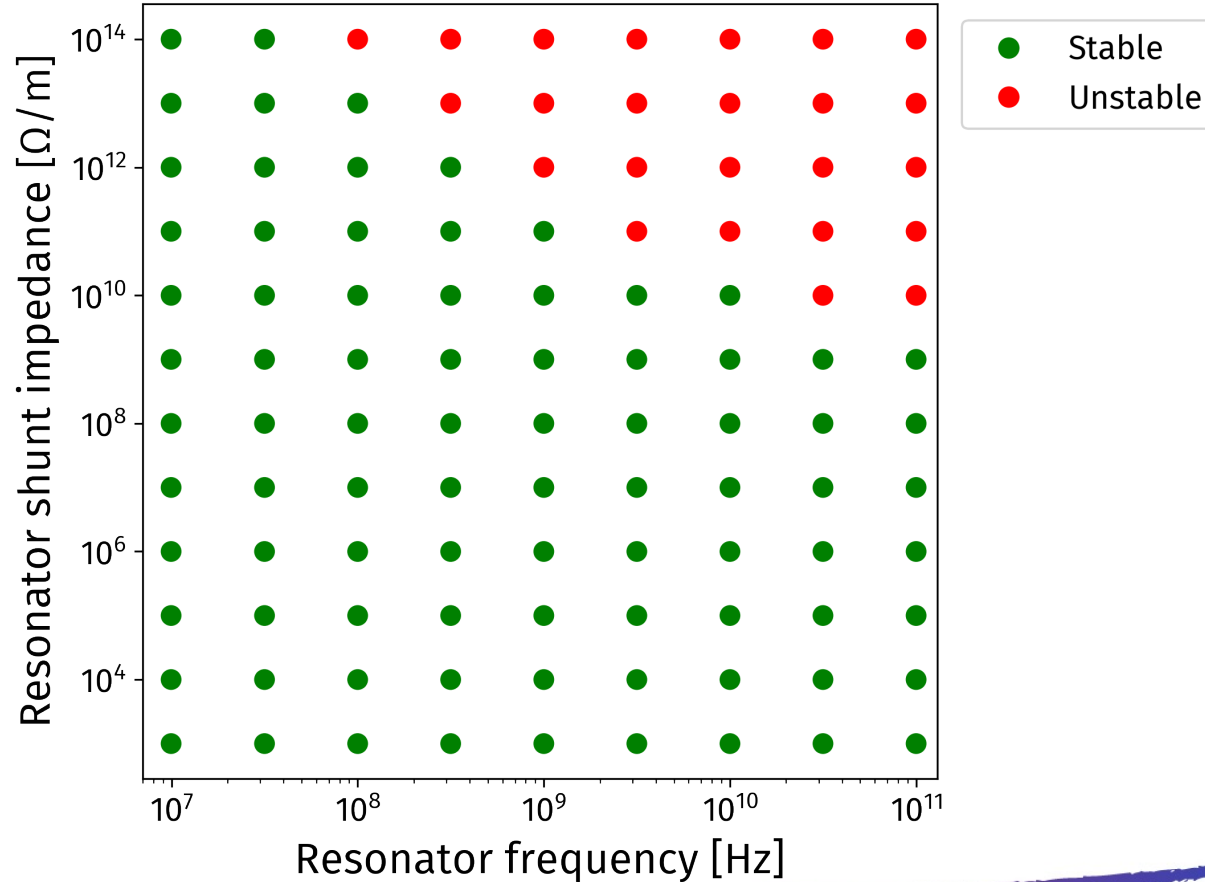
# Q = 1000

## Resonator frequency and shunt impedance threshold, Q=1.00e+03



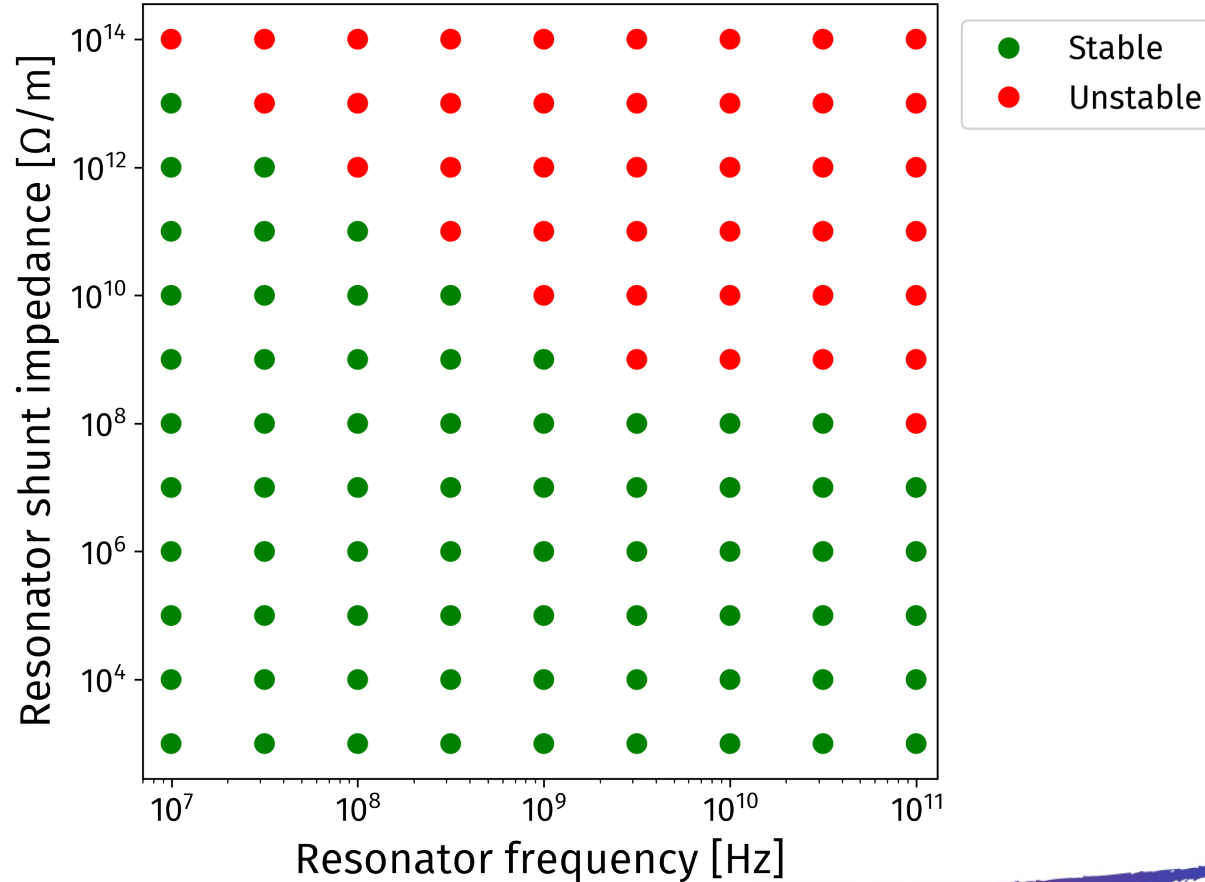
# Q = 10000

## Resonator frequency and shunt impedance threshold, Q=1.00e+04



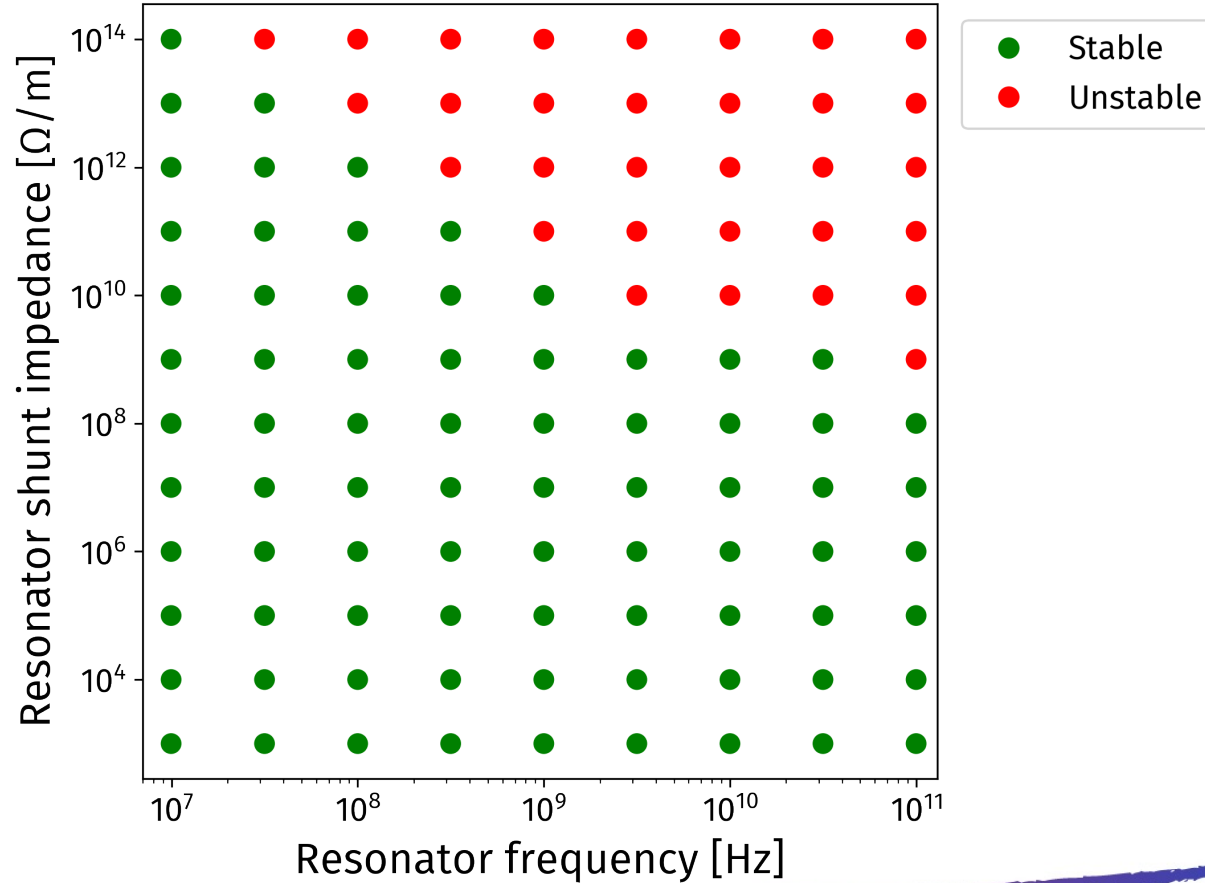
**Q = 300**

Resonator frequency and shunt impedance  
threshold,  $Q=3.00e+02$



# Q = 3000

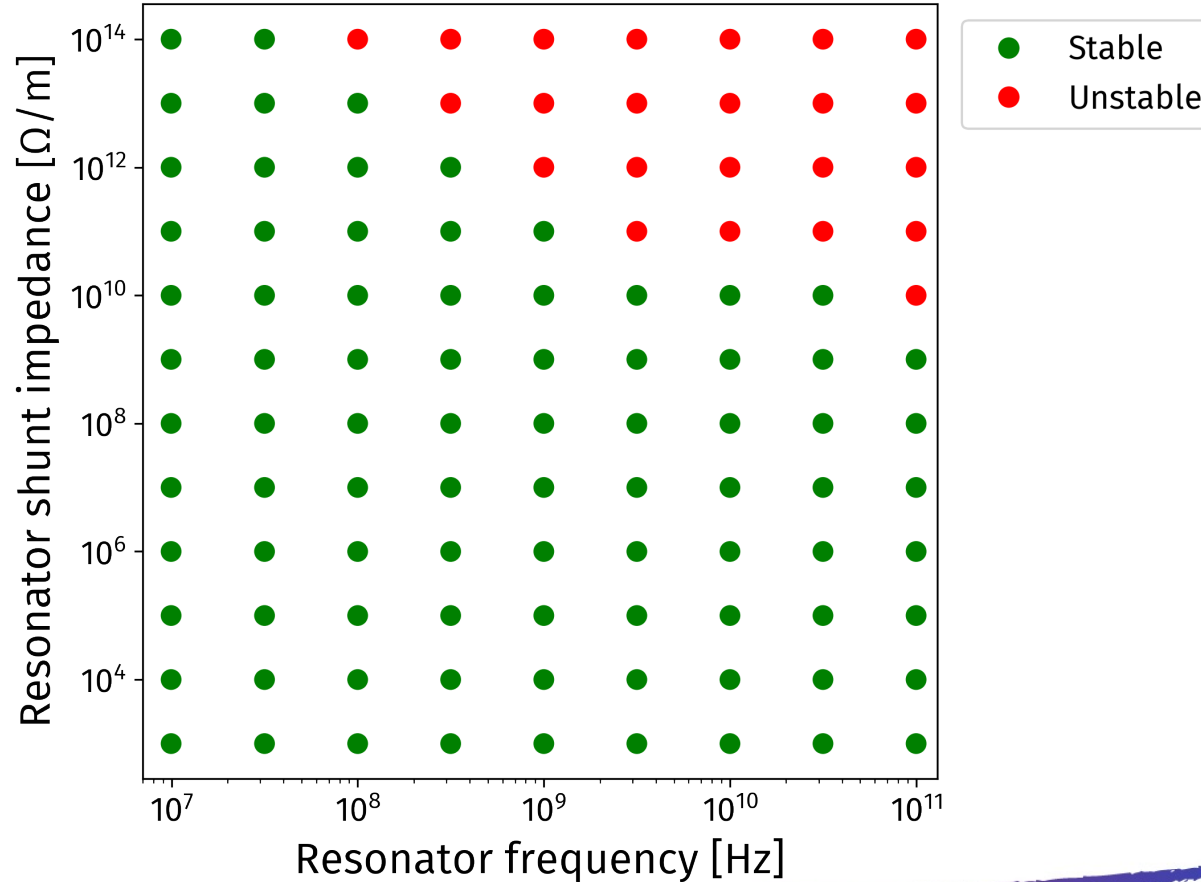
## Resonator frequency and shunt impedance threshold, Q=3.00e+03



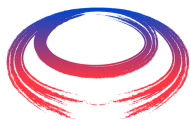


# Q = 30000

## Resonator frequency and shunt impedance threshold, $Q=3.00e+04$



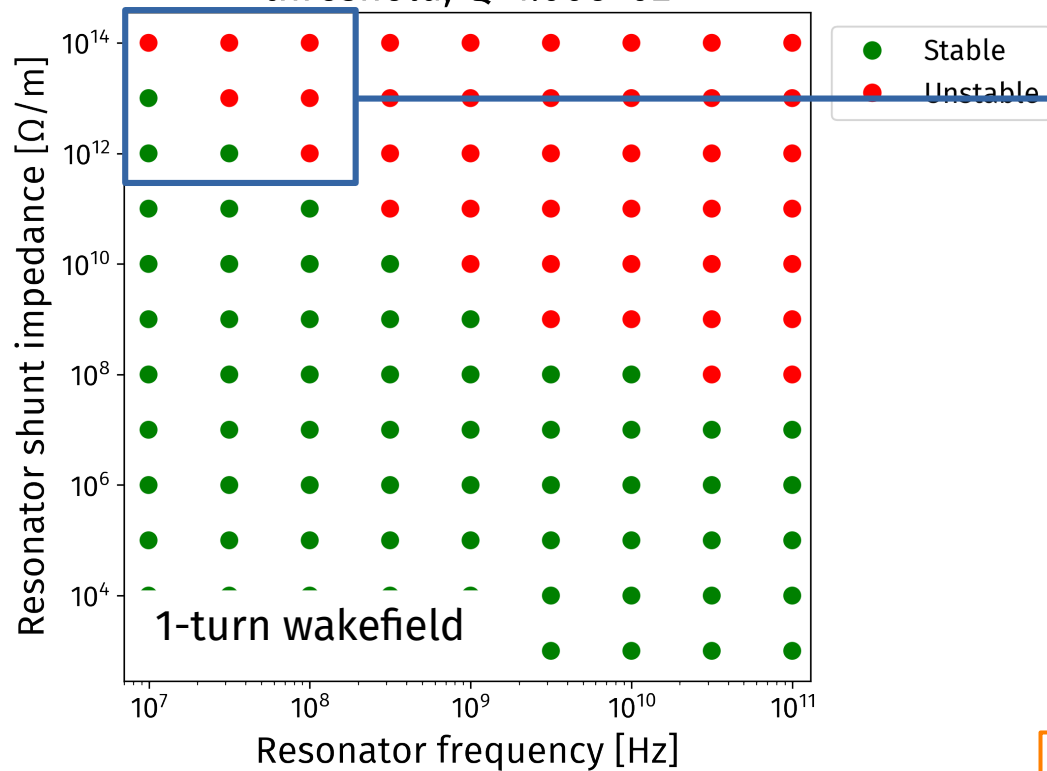
# Appendix: stability results with multi-turn wakefield



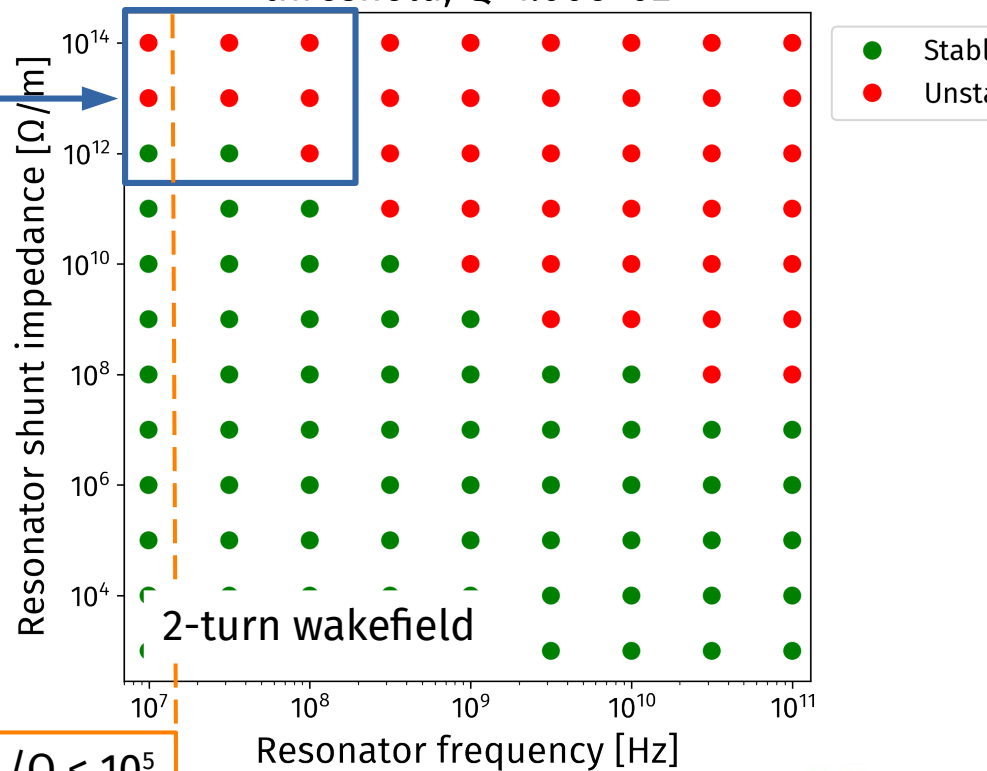
International  
UON Collider  
Collaboration

# Q = 100, 2-turn wakefield

Resonator frequency and shunt impedance  
threshold,  $Q=1.00e+02$

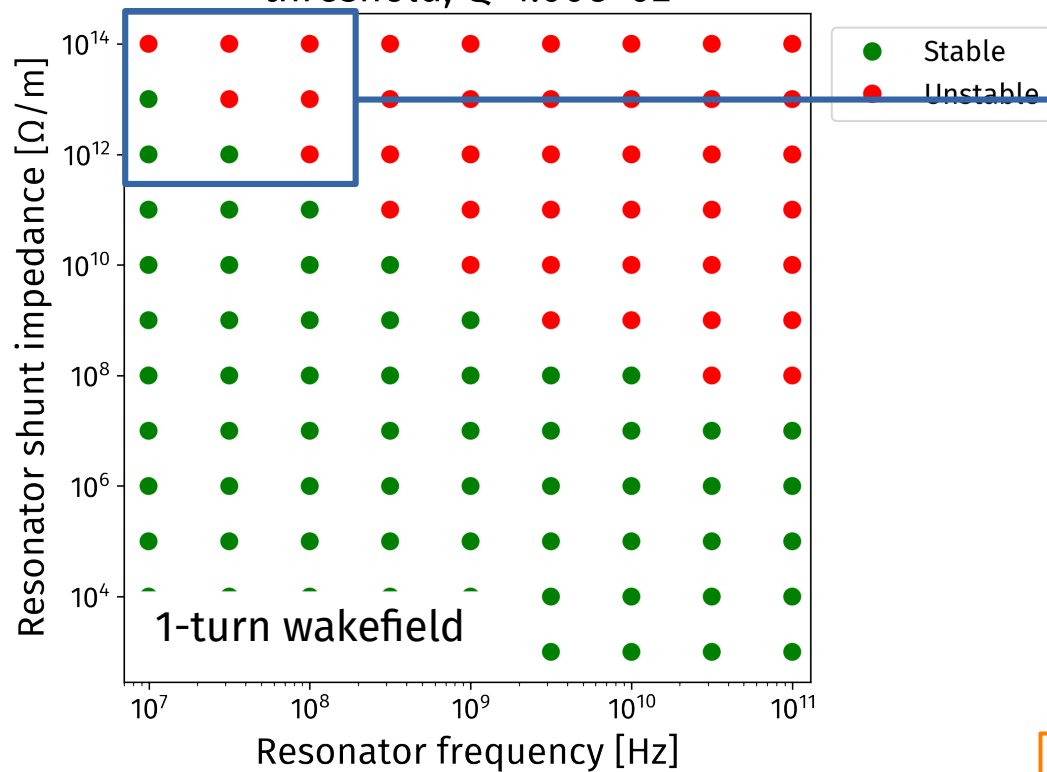


Resonator frequency and shunt impedance  
threshold,  $Q=1.00e+02$

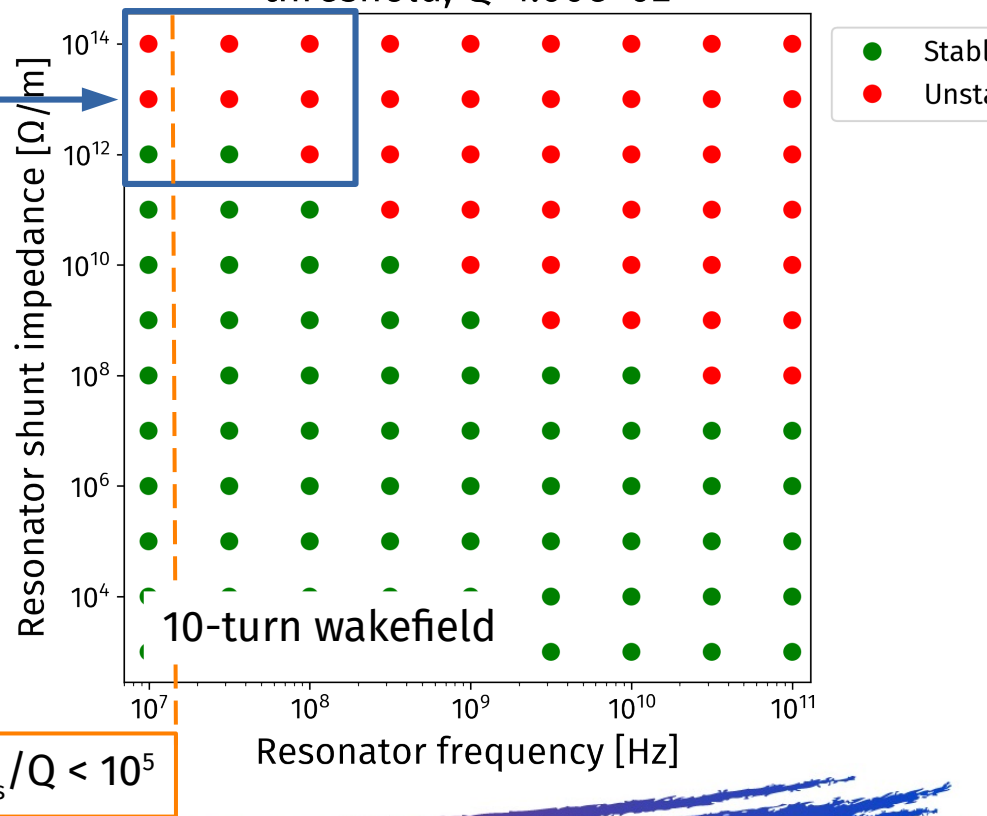


# Q = 100, 10-turn wakefield

Resonator frequency and shunt impedance threshold, Q=1.00e+02

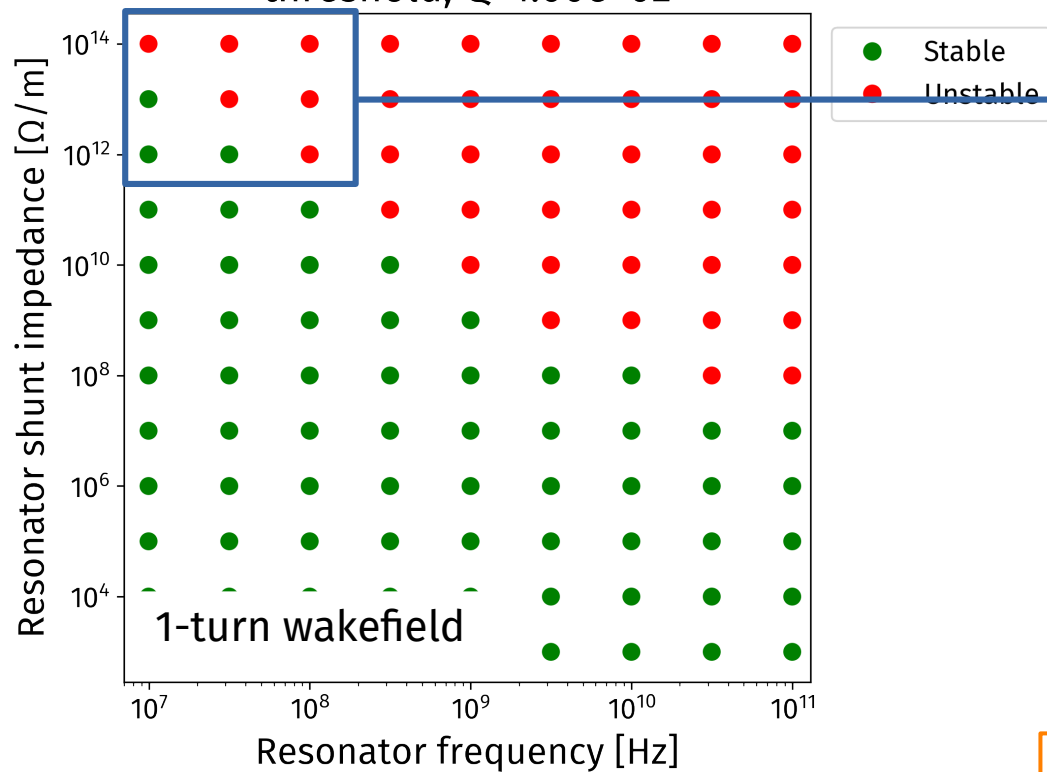


Resonator frequency and shunt impedance threshold, Q=1.00e+02

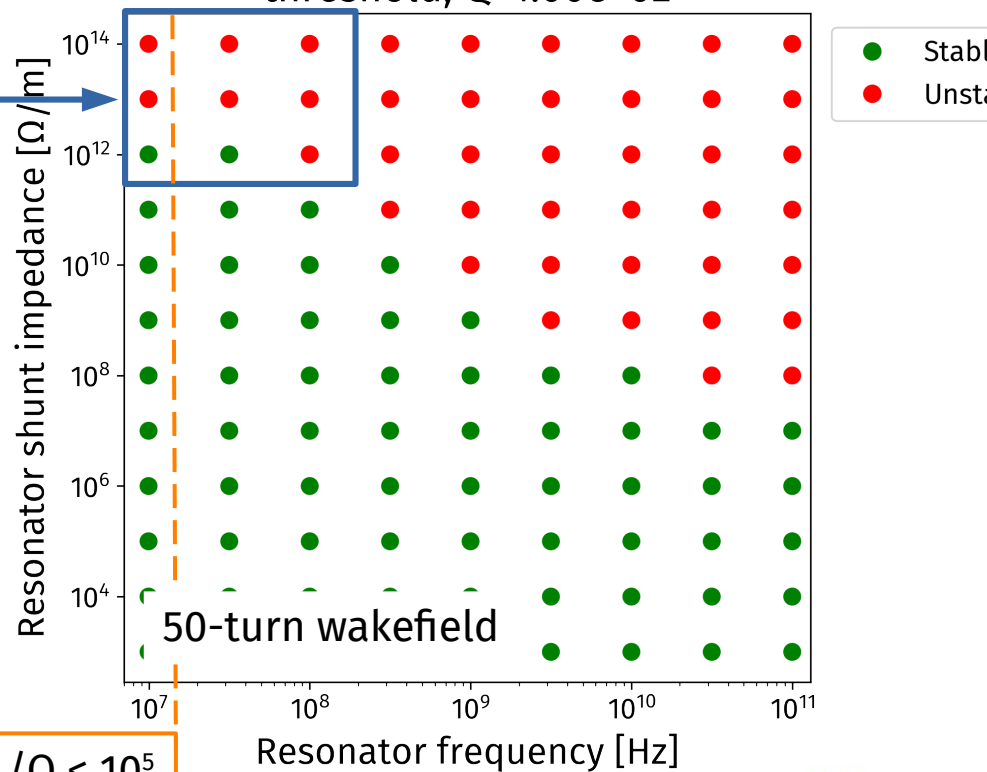


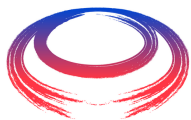
# Q = 100, 50-turn wakefield

Resonator frequency and shunt impedance threshold, Q=1.00e+02



Resonator frequency and shunt impedance threshold, Q=1.00e+02

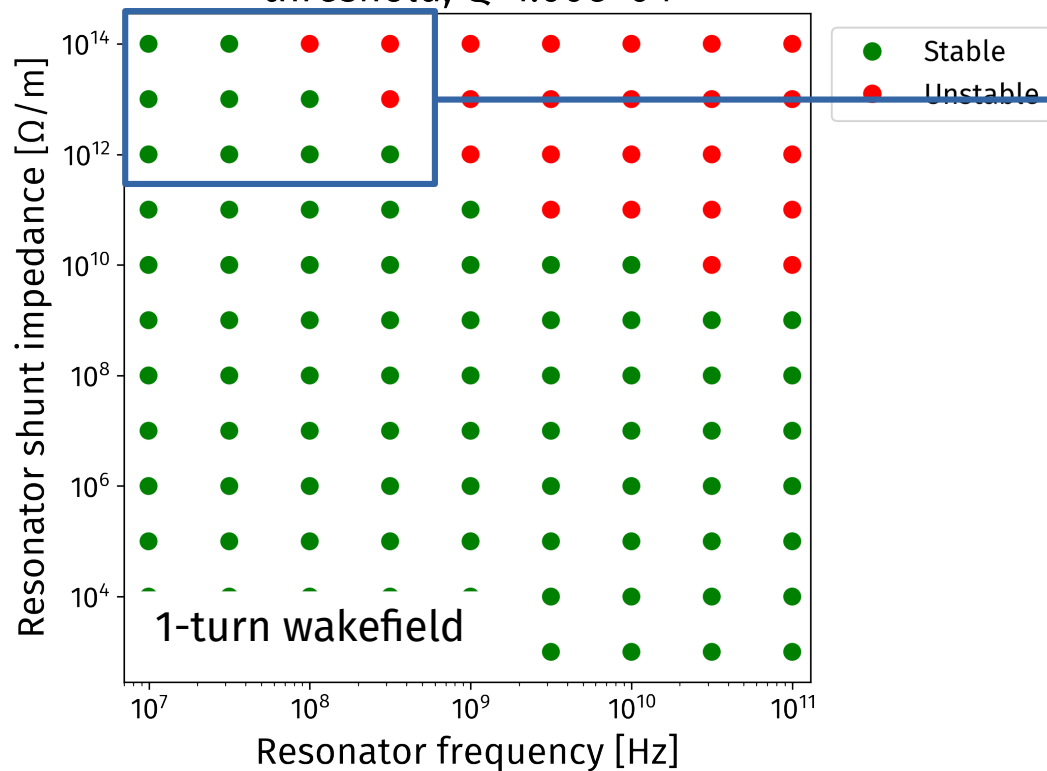




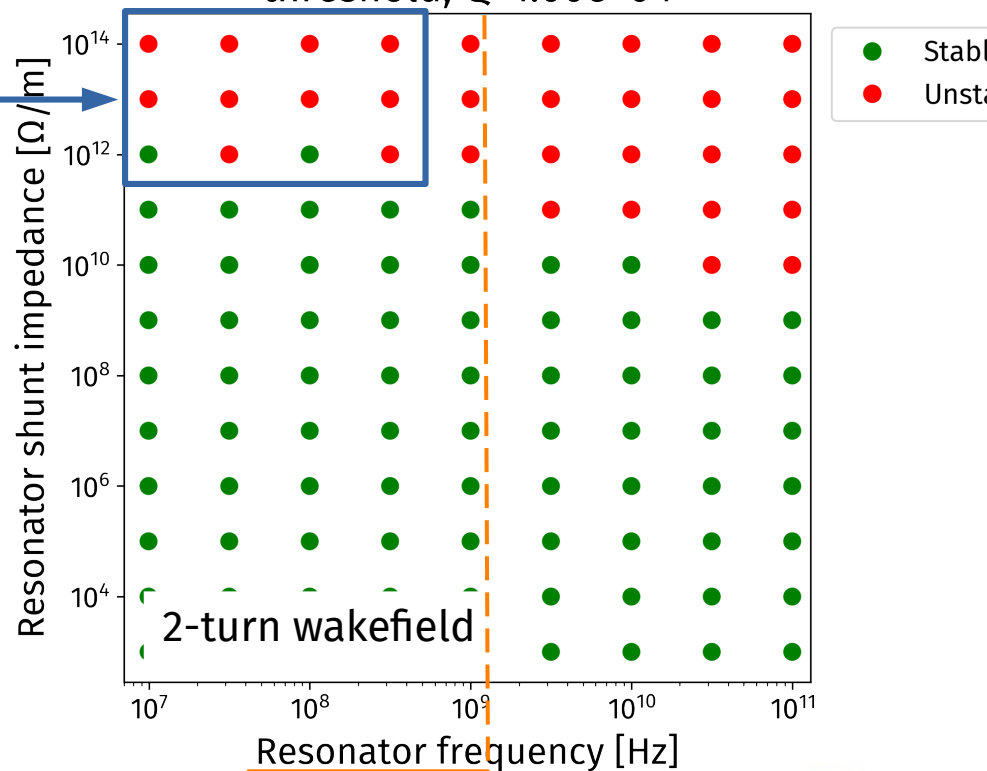
International  
UON Collider  
Collaboration

# Q = 10000, 2-turn wakefield

Resonator frequency and shunt impedance  
threshold, Q=1.00e+04



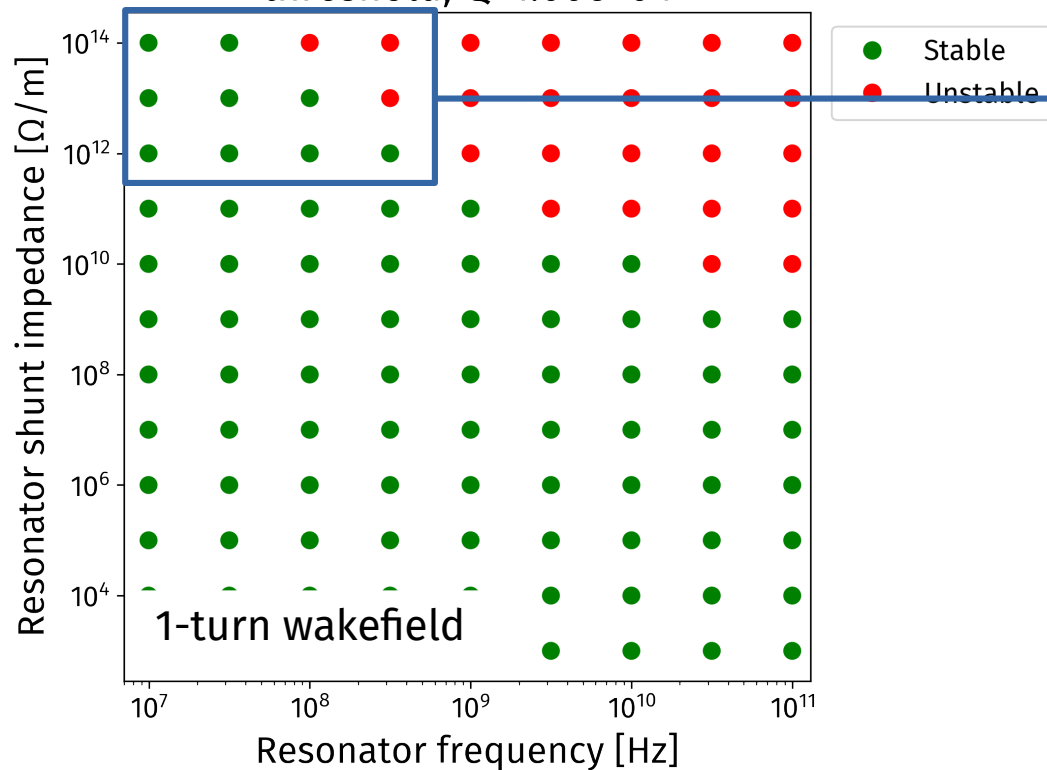
Resonator frequency and shunt impedance  
threshold, Q=1.00e+04



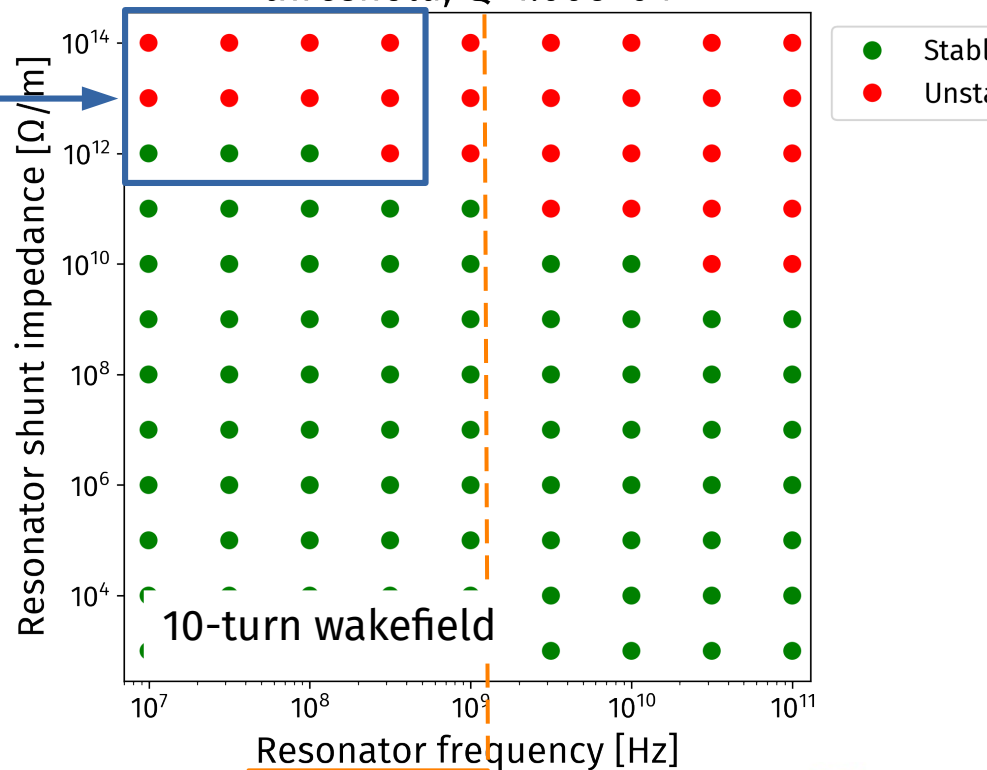
$$f_{\text{res}}/Q < 10^5$$

# Q = 10000, 10-turn wakefield

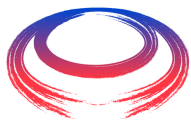
Resonator frequency and shunt impedance threshold, Q=1.00e+04



Resonator frequency and shunt impedance threshold, Q=1.00e+04

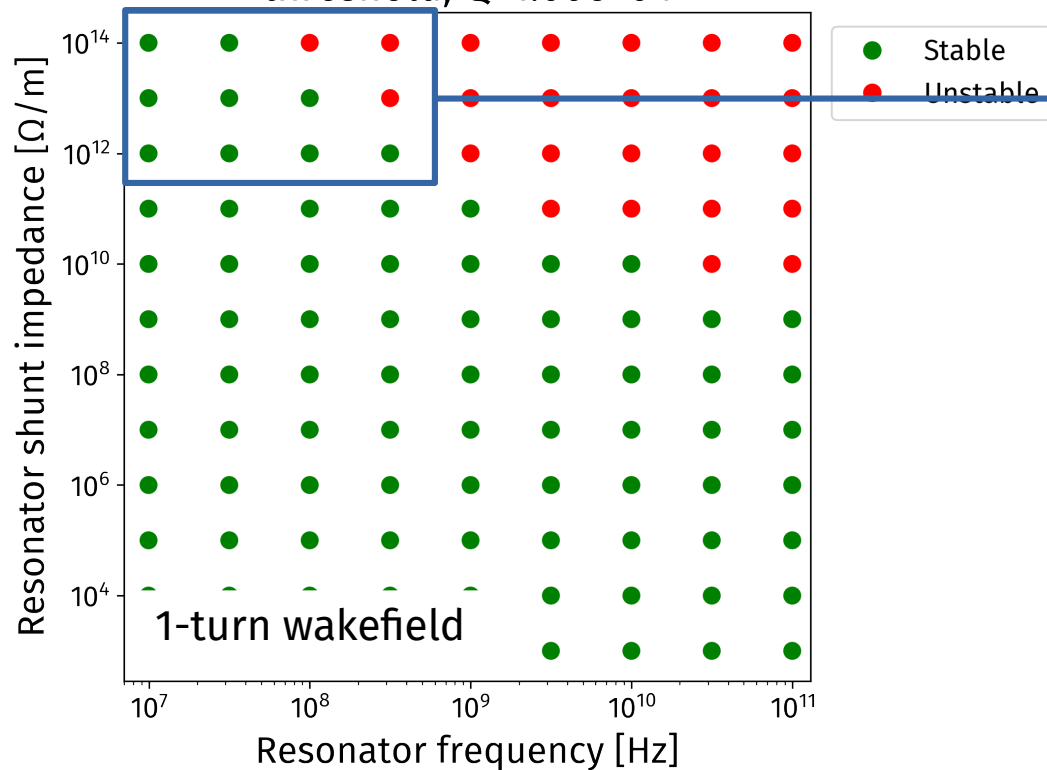


$$f_{\text{res}}/Q < 10^5$$

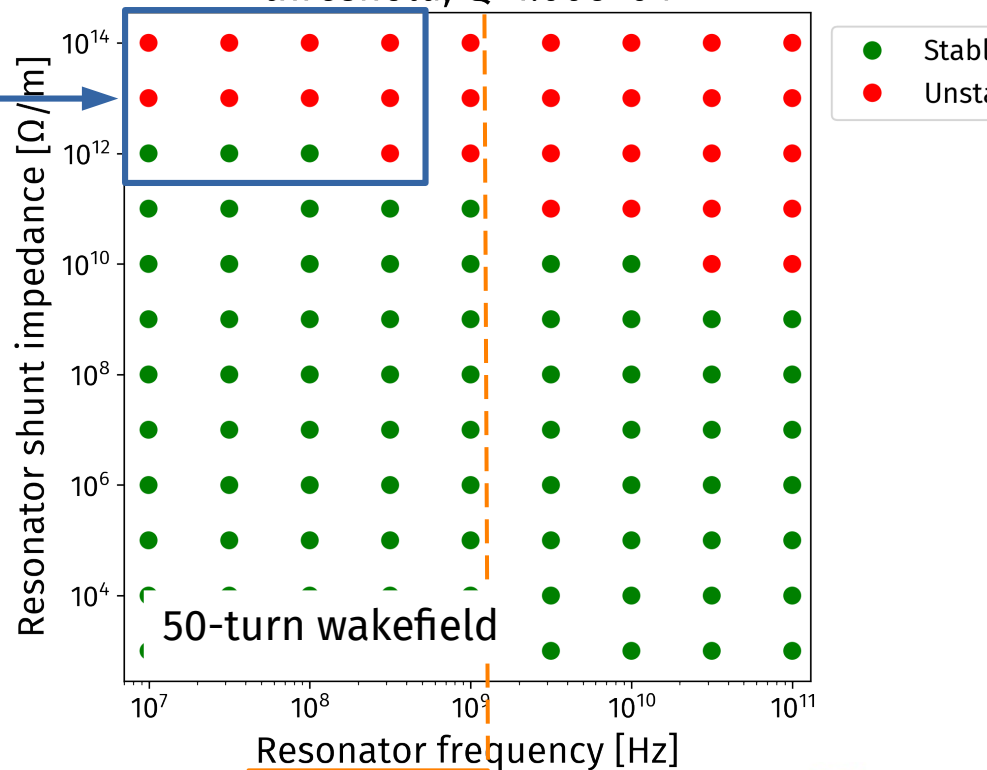


# Q = 10000, 50-turn wakefield

Resonator frequency and shunt impedance  
threshold, Q=1.00e+04



Resonator frequency and shunt impedance  
threshold, Q=1.00e+04

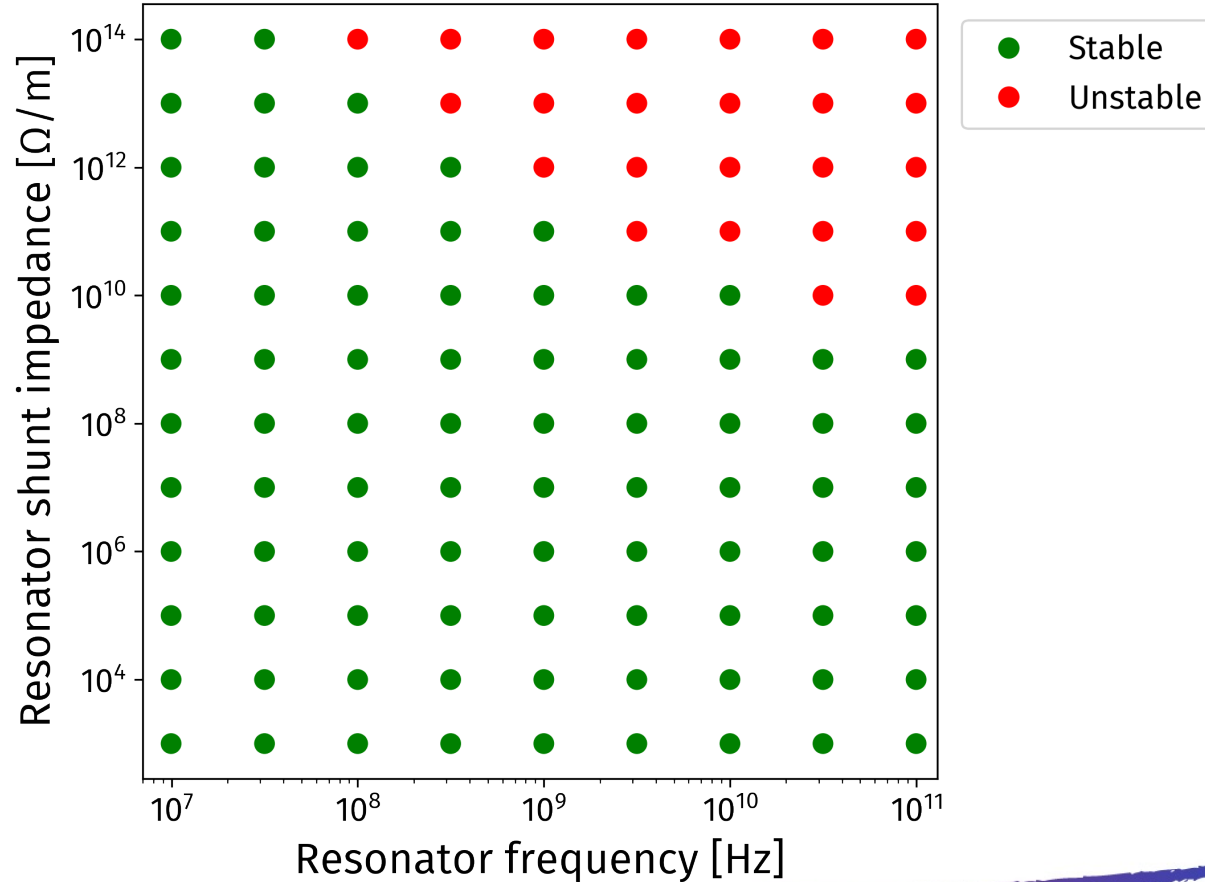


$$f_{\text{res}}/Q < 10^5$$



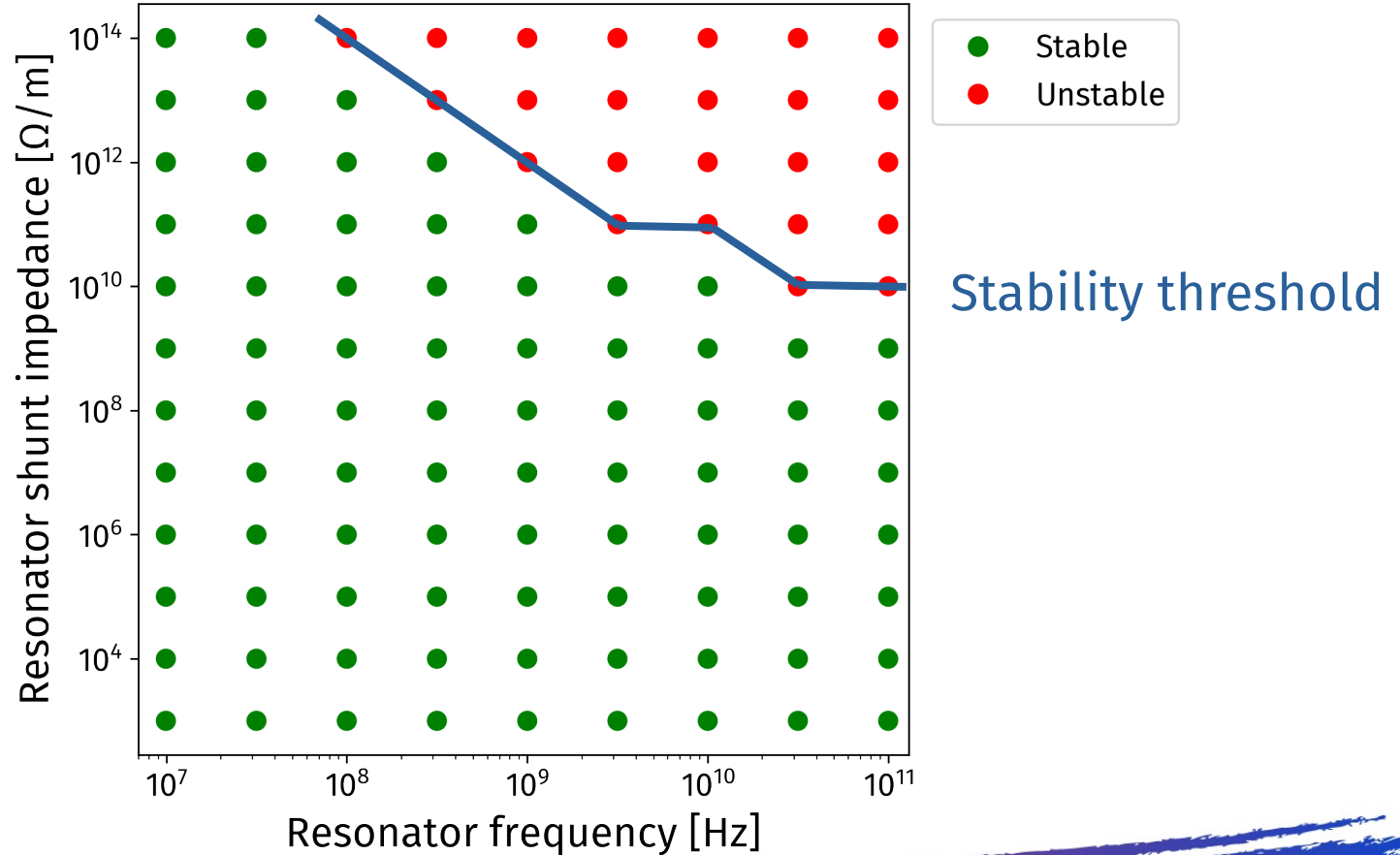
# Q = 10000

## Resonator frequency and shunt impedance threshold, Q=1.00e+04



# Q = 10000

Resonator frequency and shunt impedance threshold, Q=1.00e+04

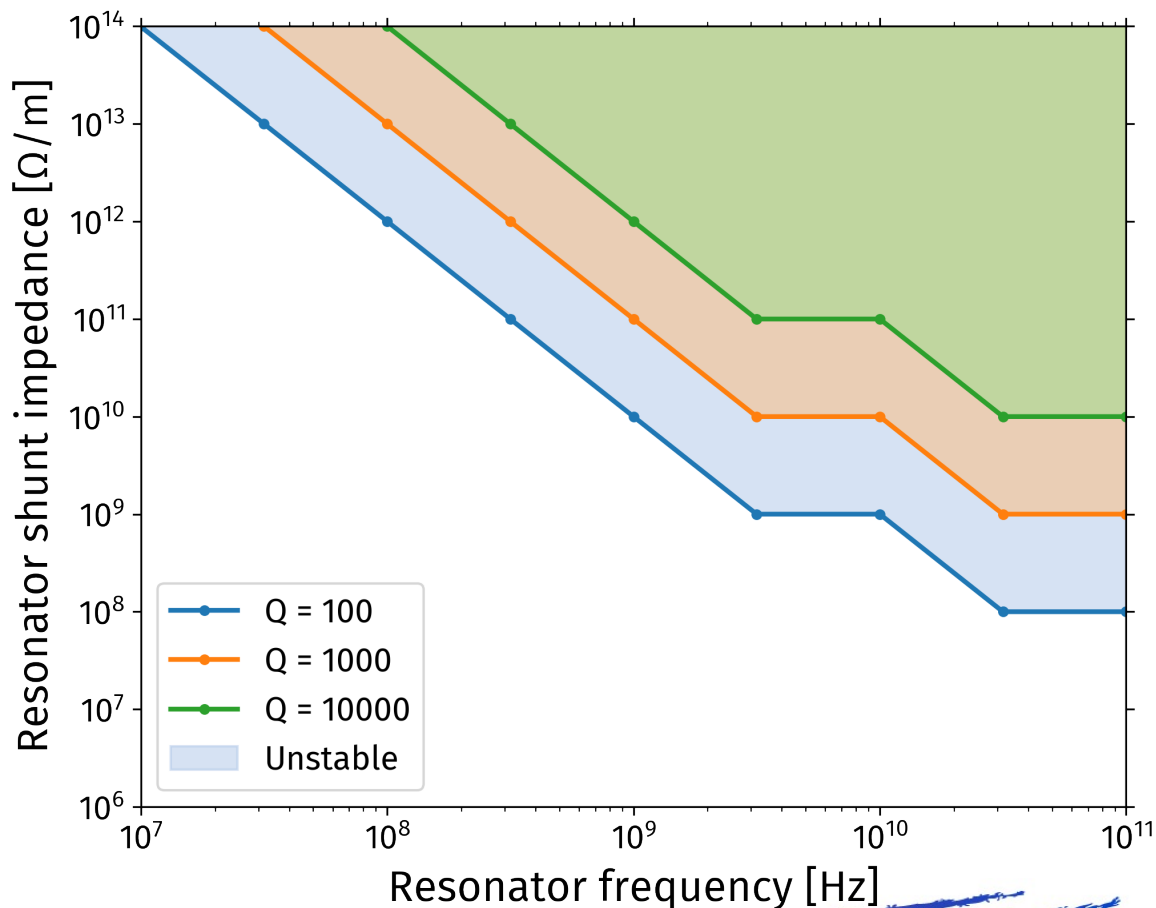


# Appendix: summary plots

# Summary plot for $Q=100/1000/10000$

- Group the results for the different  $Q$  factor in one plot
- Line shows the first unstable simulation for a given  $Q$  factor, versus resonator shunt impedance and frequency
- Shaded area corresponds to the parameter space where the beam is unstable

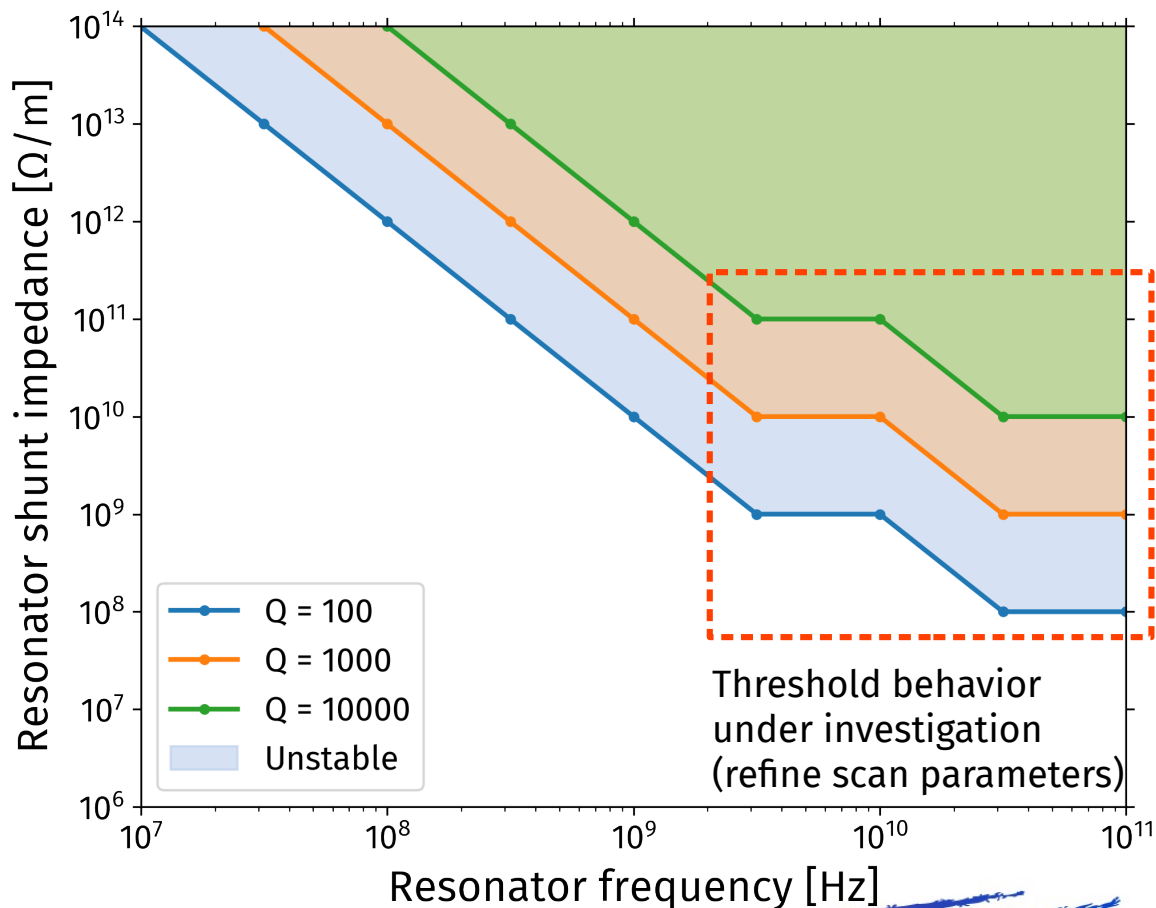
Stability limit versus resonator parameters



# Summary plot for $Q=100/1000/10000$

- Group the results for the different  $Q$  factor in one plot
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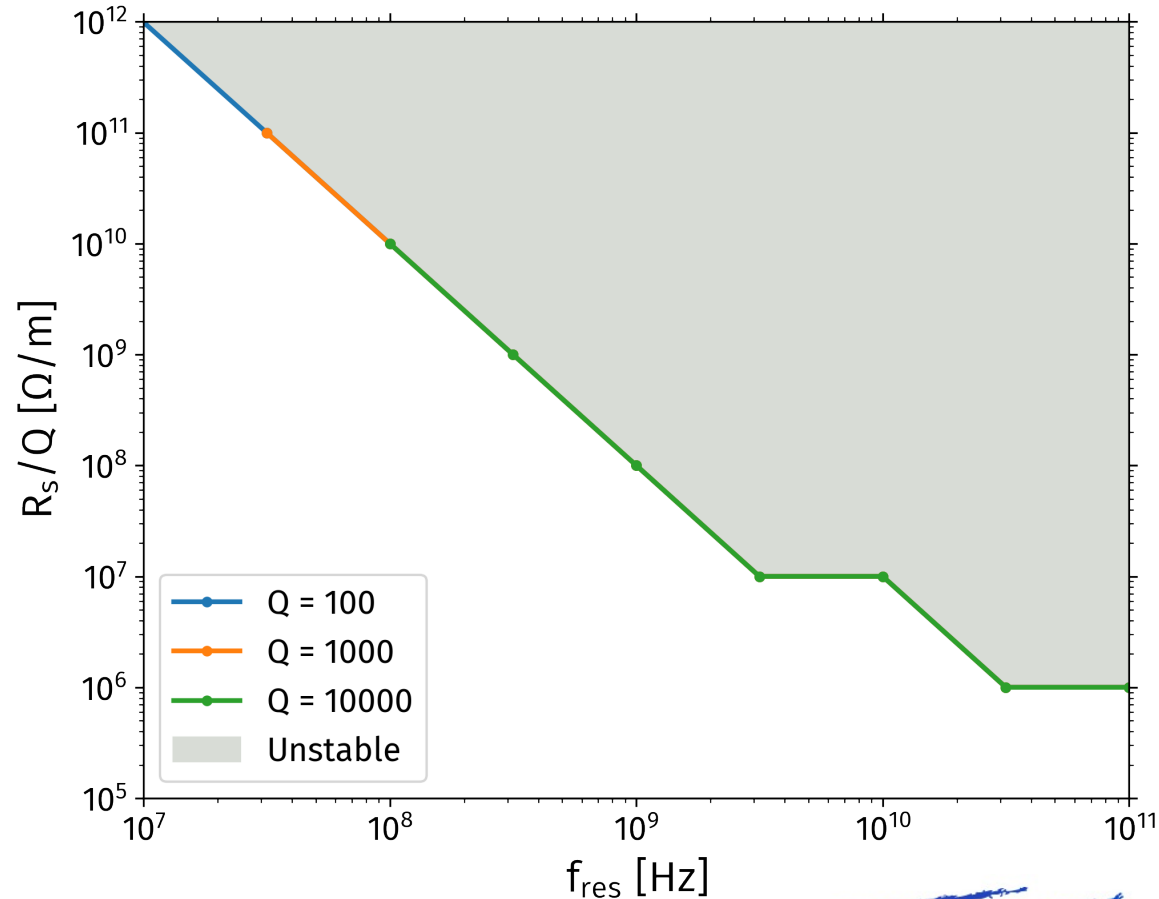
Stability limit versus resonator parameters



# Summary plot for $Q=100/1000/10000$

- Now the **shunt impedance limit is divided by the resonator quality factor  $Q$** 
  - Provides a **limit on  $R_s/Q$**  for the whole ring
- This limit should be divided by the number of cavities to check if their design  $R_s/Q$  is within the limit
  - Example: at 100 MHz,  $R_s/Q = 10 \text{ G}\Omega/\text{m}$ . With 1000 cavities,  $R_s/Q$  limit is 10 M $\Omega/\text{m}$  per cavity

Stability limit versus resonator parameters

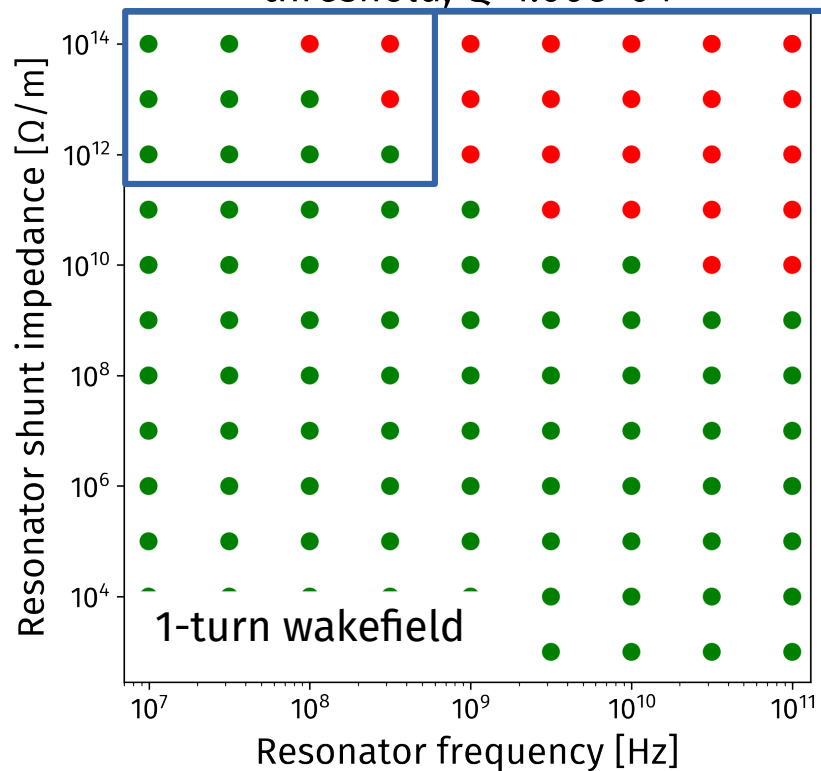


# Stability summary plots, multi-turn wakefield

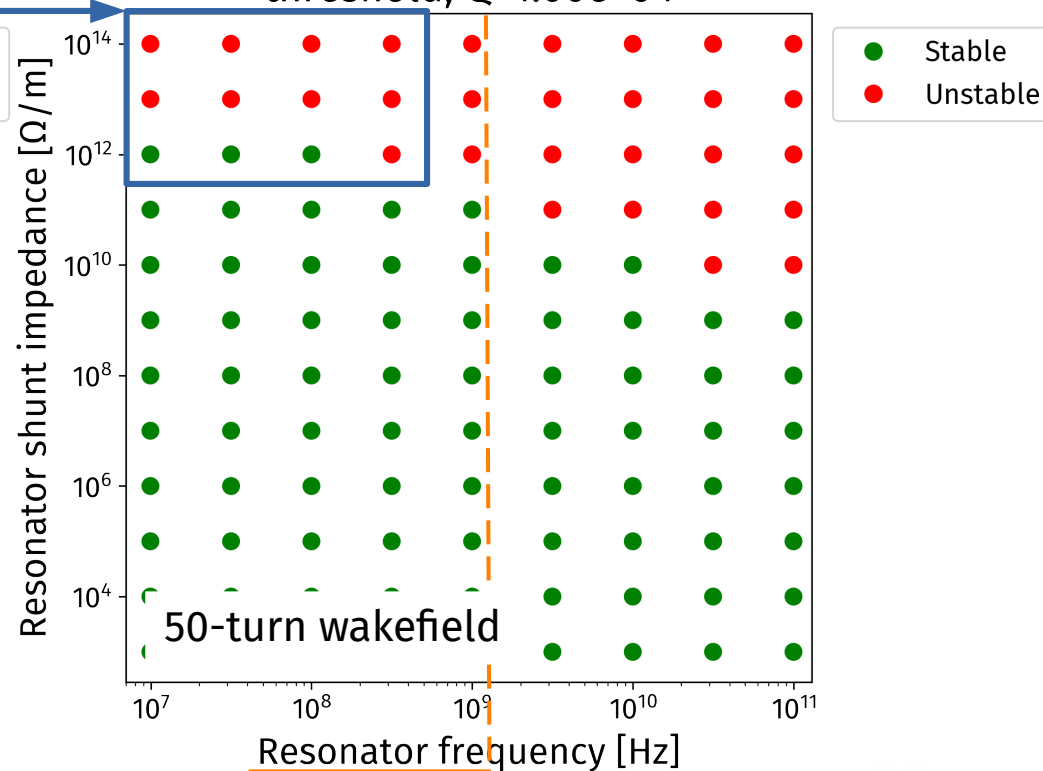
- Focus on one high-Q case ( $Q=10\ 000$ )
  - Results for different Q factor are reported in appendix
- Compare the 50-turn wakefield results to the single-turn wakefield case
  - Results for different number of wakefield turns and Q factor are reported in appendix
- In the plots, highlight the resonator frequency for which  $f_{\text{res}}/Q < 10^5$ 
  - For  $Q=100$ , if  $f_{\text{res}} < 10^7$  Hz, multi-turn wake should affect stability
  - For  $Q=10000$ , if  $f_{\text{res}} < 10^9$  Hz, multi-turn wake should affect stability

# Q = 10000, 50-turn wakefield

Resonator frequency and shunt impedance  
threshold, Q=1.00e+04



Resonator frequency and shunt impedance  
threshold, Q=1.00e+04



$$f_{\text{res}} / Q < 10^5$$





# Summary of transverse stability simulation in the RCS 1

- Simulation including longitudinal map (**32 RF stations**) + transverse map + **transverse single-turn or multi-turn wakefield**
- Tracking over 100 turns, 5000 macroparticles with PyHEADTAIL
- In single-turn wakefield regime, the stability criterion depend on  $R_s / Q$  and  $f_{\text{res}}$
- Multi-turn wakefield is required when  $f_{\text{res}} / Q < 10^5$  for the RCS1 case
- Effect is mostly visible for high-Q resonator ( $Q > 10000$ )
  - Below the  $f_{\text{res}} / Q < 10^5$  criterion, simulations with high  $R_s$  become unstable
  - Above this criterion, we recover the single turn behavior studied previously

# Summary of transverse stability simulation in the RCS 1, with multi-turn wakefield

- Summarize on one plot the single-turn and multi-turn wakefield stability limits
- The single-turn limit (black line) depends on the resonator frequency and the  $R_s/Q$
- The multi-turn limit (color lines) depends on the resonator shunt impedance  $R_s$

Stability limit versus resonator parameters

