

# Spin-shear coupling revisited from collisional quantum kinetic theory



Shu Lin

Sun Yat-Sen University

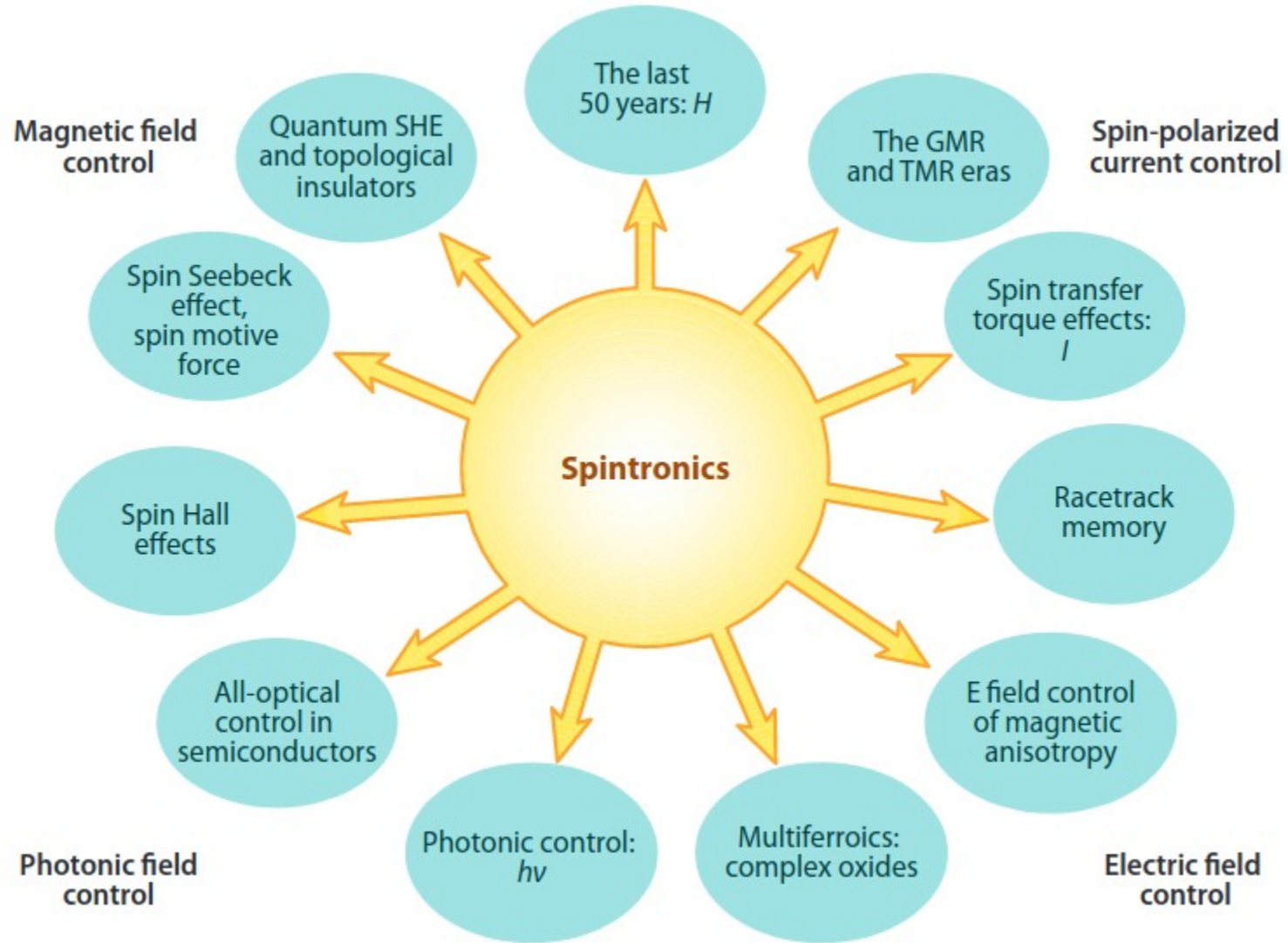
ATHIC 2023, Hiroshima, Apr 24-27, 2023

based on SL, PRD 2022  
SL, Ziyue Wang, JHEP 2023

# Outline

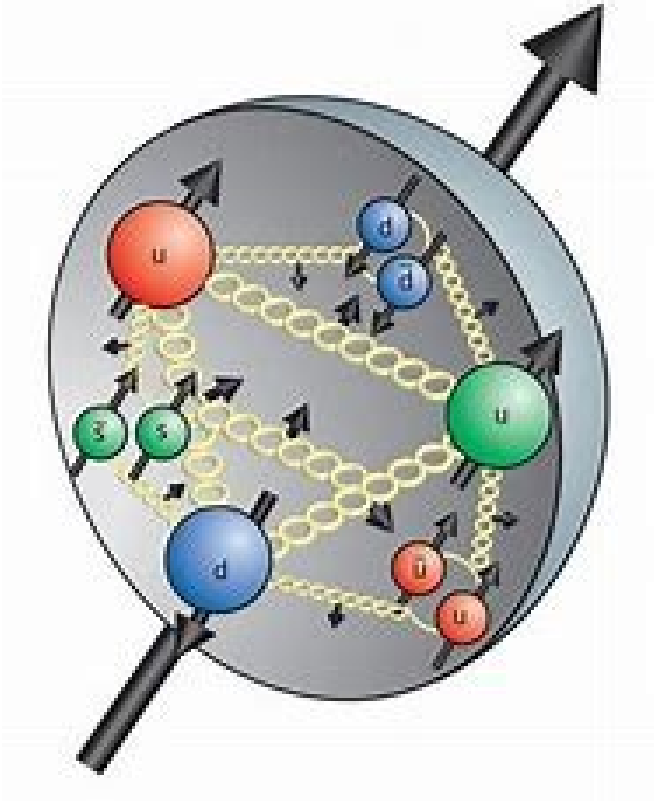
- Spin polarization in condensed matter/particle physics
- Uniqueness of spin polarization in heavy ion collisions
- Spin-vorticity (equilibrium) and spin-shear (non-equilibrium) couplings
- Collisional quantum kinetic theory for QED
- complete contributions to spin-shear coupling
- Summary and Outlook

# Spintronics in condensed matter physics



Bader+Parkin  
ARCMP 2010

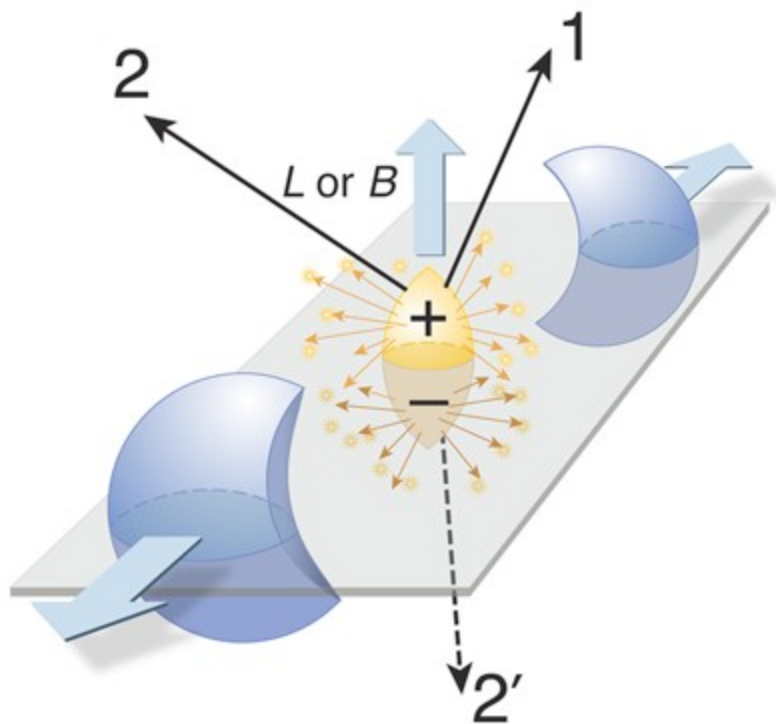
# Spin in particle physics



Proton spin puzzle  
(1988-now)

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + L_q + \Delta G + L_g$$

# Spin polarization(alignment) in HIC



$$L_{ini} \sim 10^5 \hbar \rightarrow S_{final}$$

Liang, Wang, PRL 2005, PLB 2005

Spin polarization observed in multiple final particles( $\Lambda$ ,  $\phi$ ,  $J/\psi$ ) as messengers of QCD medium

- Spin polarization of  $\Lambda$  sensitive to **polarization** of QCD medium
- Spin alignment of  $\phi$ ,  $J/\psi$  sensitive to **anisotropic fluctuation** of QCD medium

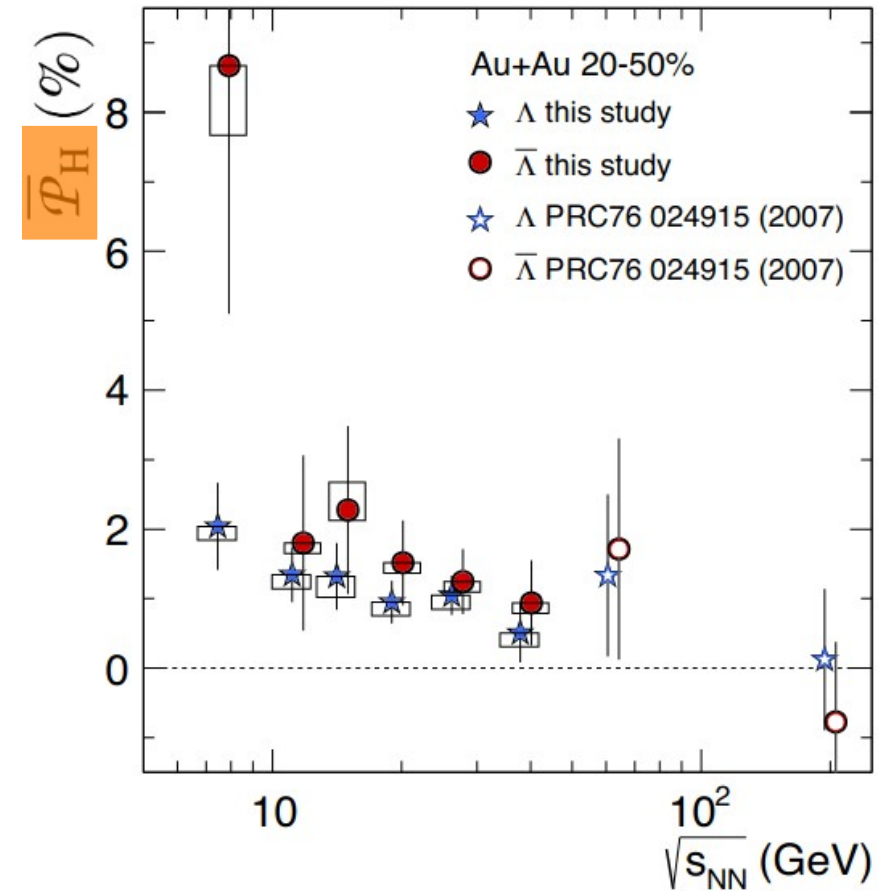
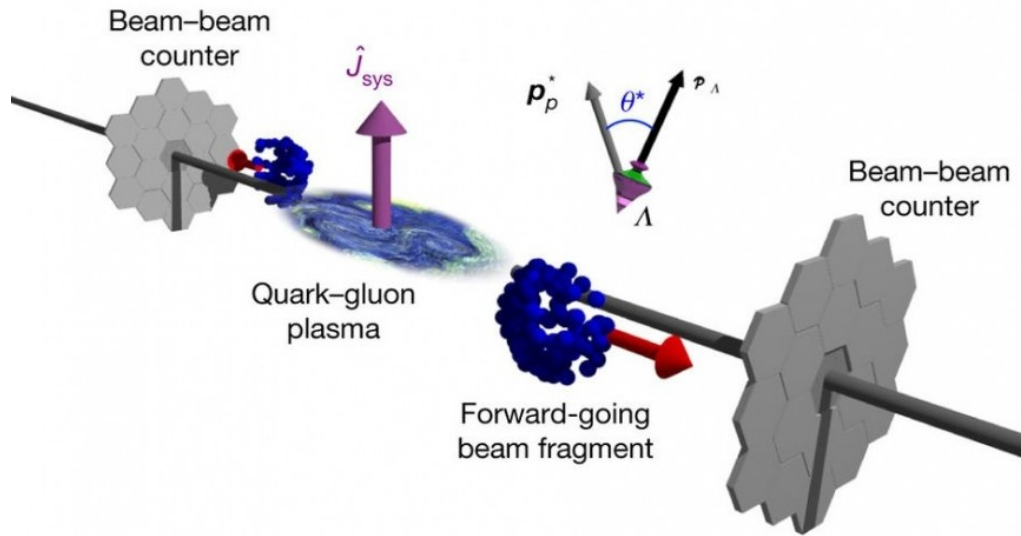
talks by J.H. Chen, D.L. Yang, Q. Wang

Explorations of spin polarization in HIC just begin!

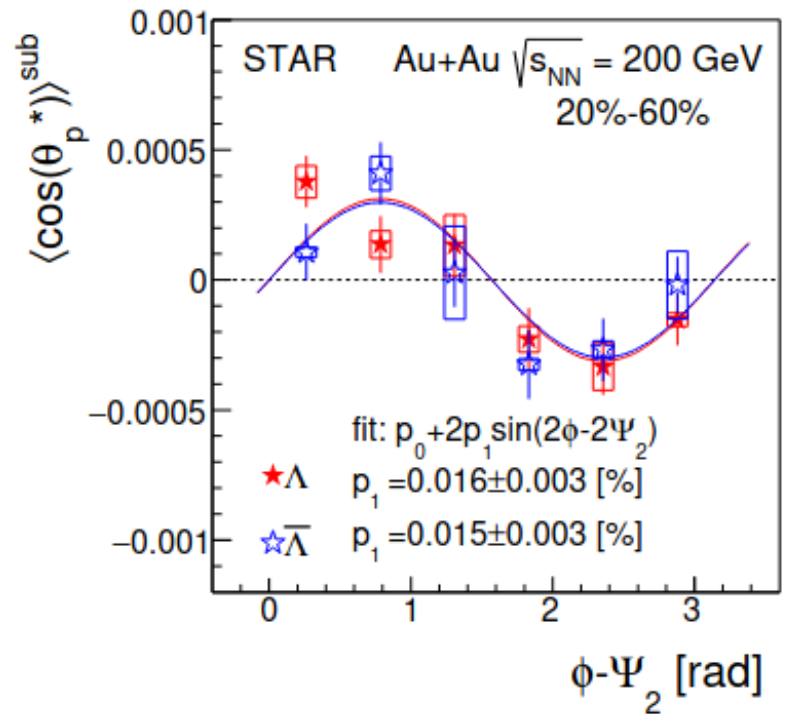
# $\Lambda$ Global Polarization at RHIC

$$\Lambda \rightarrow p + \pi^-$$

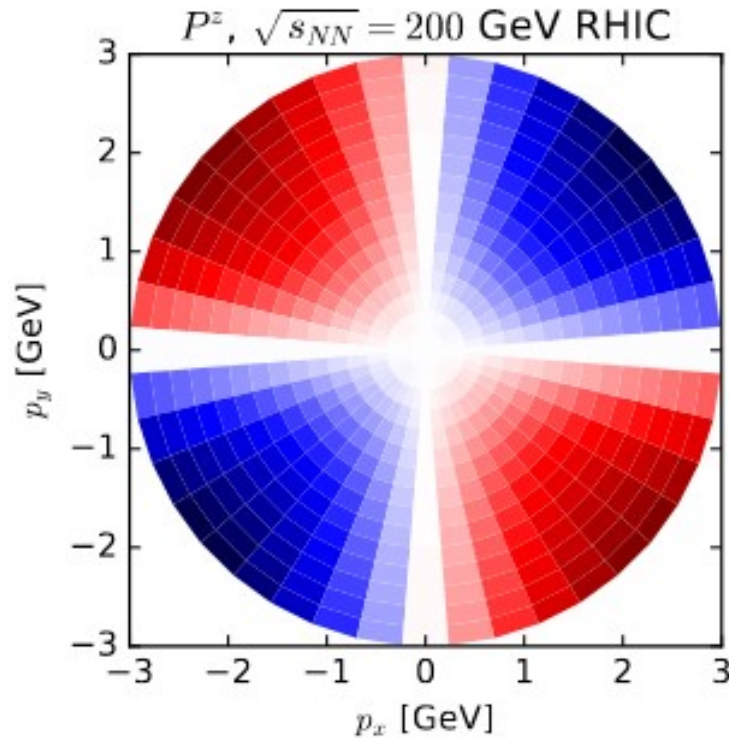
$$\frac{dN}{d \cos \theta^*} = \frac{1}{2} [1 + \alpha_H P_H \cos \theta^*]$$



# $\Lambda$ Local polarization: sign puzzle



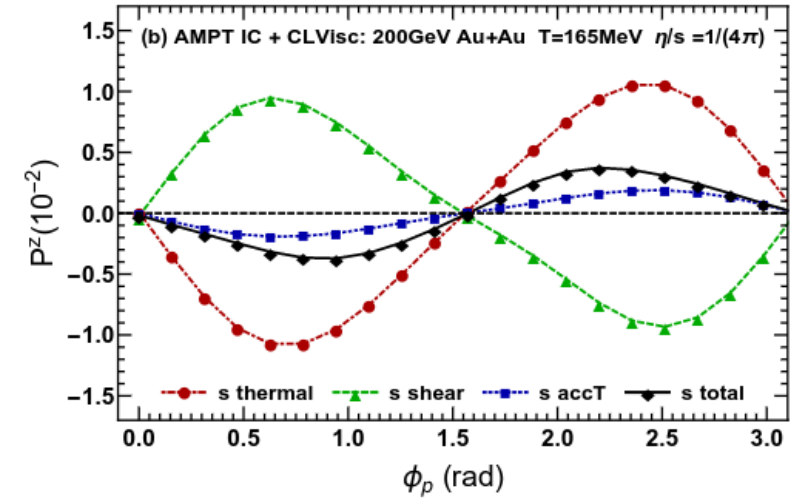
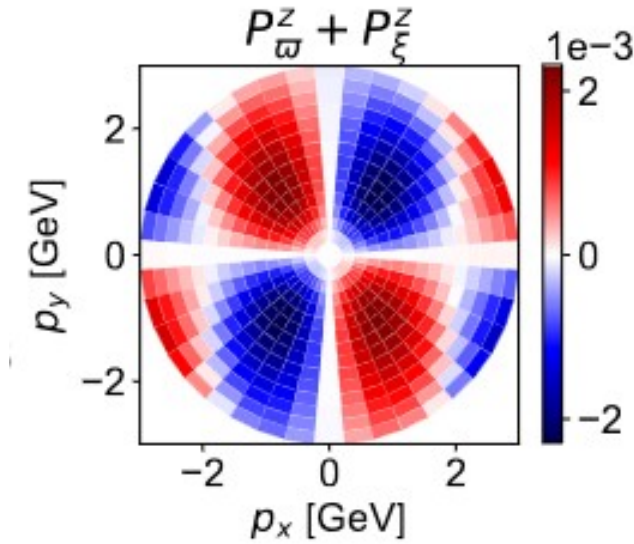
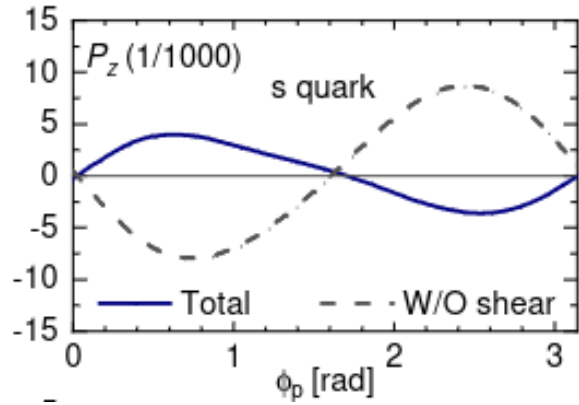
STAR collaboration, PRL  
2019



Becattini, Karpenko, PRL 2018  
Wei, Deng, Huang, PRC 2019  
Wu, Pang, Huang, Wang, PRR 2019  
Fu, Xu, Huang, Song, PRC 2021

$$e^{-\beta(H_0 - \mathbf{S} \cdot \boldsymbol{\omega})}$$

# Shear induced polarization



coupling with spin

- Liu, Yin JHEP 2021
- Fu, Liu, Pang, Song, Yin, PRL 2021
- Becattini, et al, PLB 2021, PRL 2021
- Yi, Pu, Yang, PRC 2021

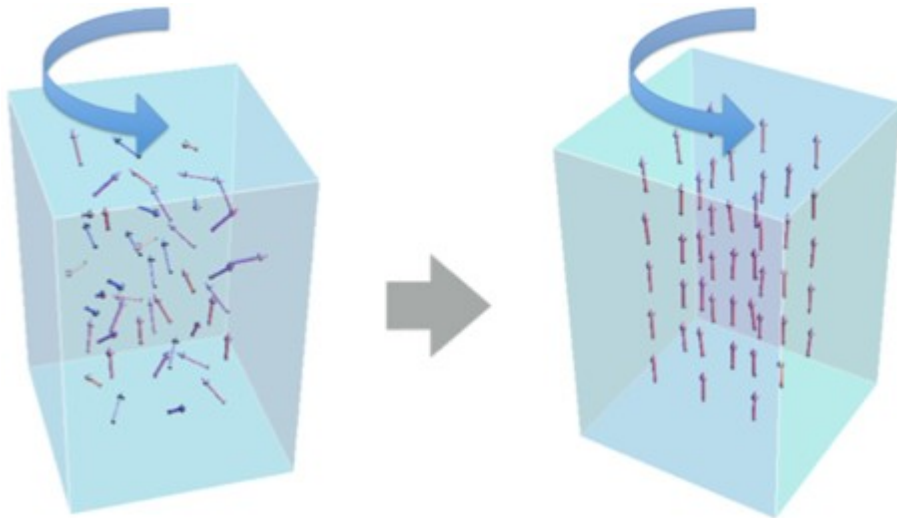
vorticity  $\frac{1}{2} (\partial_x u_y - \partial_y u_x)$   $\vec{S} \cdot \vec{\omega}$

shear  $\frac{1}{2} (\partial_x u_y + \partial_y u_x)$   $\mathcal{P}^i \sim \epsilon^{ijk} \hat{p}_j \hat{p}_l \sigma_{kl}$

Aim of the talk: a more complete description at  $O(\partial)$

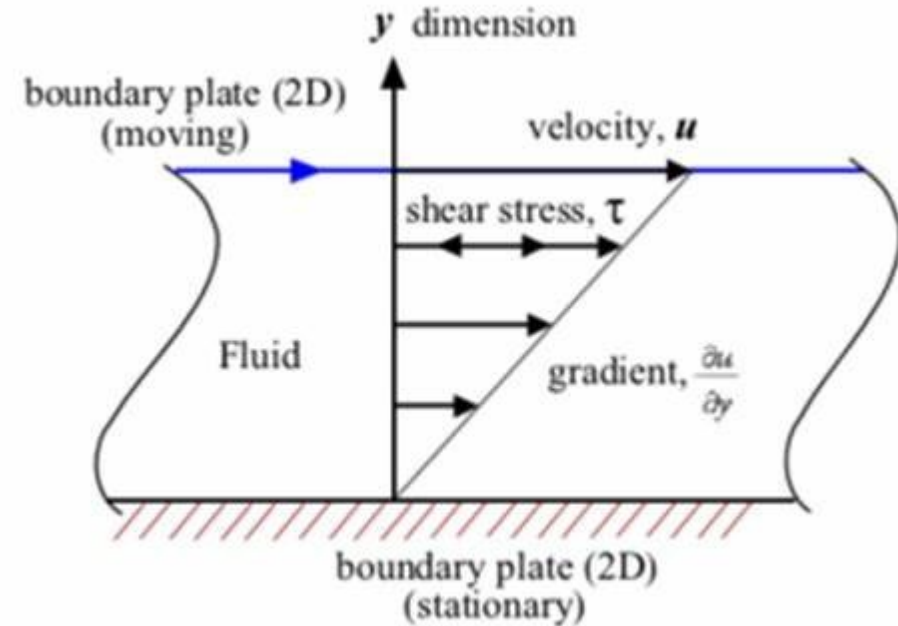


# A fundamental difference between vorticity & shear



$$\vec{S} \cdot \vec{\omega} \quad (\text{potential type})$$

Equilibrium: collision vanishes



$$\mathcal{P}^i \sim \epsilon^{ijk} \hat{p}_j \hat{p}_l \sigma_{kl} + \text{particle redistribution (acceleration type)}$$

Nonequilibrium:  
Collision nonvanishing

# Analogy with B & E

$$\vec{S} \cdot \vec{\omega} \quad (\text{potential type})$$

$$\mathcal{P}^i \sim \epsilon^{ijk} \hat{p}_j \hat{p}_l \sigma_{kl} + \text{particle redistribution} \\ (\text{acceleration type})$$

$$q_f \vec{S} \cdot \vec{B} \quad (\text{potential type})$$

$$\mathcal{P}^i \sim q_f \epsilon^{ijk} \hat{p}_j E_l + \text{particle redistribution} \\ (\text{acceleration type})$$

Equilibrium: collision vanishes

Nonequilibrium:  
Collision nonvanishing

# Particle redistribution from **spin-averaged** kinetic theory

$$(\partial_t + \hat{\mathbf{p}} \cdot \nabla_{\mathbf{x}}) f_s(\mathbf{x}, \mathbf{p}, t) = -C_s^{2 \leftrightarrow 2}[f] - C_s^{\text{“}1 \leftrightarrow 2\text{”}}[f]$$

$f_s(\mathbf{x}, \mathbf{p}, t)$ : distributions of quarks and transverse gluons

$C_s^{2 \leftrightarrow 2}[f]$ : elastic collisions

$C_s^{\text{“}1 \leftrightarrow 2\text{”}}[f]$ : inelastic collisions

Arnold, Moore and Yaffe, early 00s

shear induced particle redistribution  shear viscosity

$$\delta f \sim \partial f^{\text{leq}}(p \cdot u) \tau \quad \tau \sim \frac{1}{g^4 T}$$

# Quantum kinetic theory (QKT)

## Collisionless QKT

sufficient for vorticity induced polarization

Hattori, Hidaka, Yang, PRD 2019

Weickgenannt, Sheng, Wang, Rischke, PRD 2019

Gao, Liang, PRD 2019

Liu, Mameda, Huang, CPC 2020

Guo, CPC 2020

## Collisional QKT

needed for shear induced polarization

Yang, Hattori, Hidaka JHEP 2020

Hattori, Hidaka, Yamamoto, Yang JHEP 2021

Weickgenannt et al, PRL 2021

Sheng et al, PRD 2021

Wang, Guo, Zhuang, EPJC 2021

Shi, Gale, Jeon, PRC 2021

SL, PRD 2022

Fang, Pu, Yang, PRD 2022

Z. Wang, PRD 2022

talks by D.L. Yang, Q. Wang

# DOFs of full QKT

Massive fermion:

$f_V^e$  unpolarized distribution

Hattori, Hidaka, Yang, PRD 2019

$f_A^e$  polarized distribution

Gao, Liang, PRD 2019

Weickgenannt et al, PRD 2019

$a^\mu$  dynamical spin vector

Photon:

$f_V^\gamma$  unpolarized distribution

Hattori, Hidaka, Yamamoto, Yang, JHEP 2021

$f_A^\gamma$  polarized distribution

# Key assumptions of QKT for QED

- Coupling weak, quasi-particles exist
- System slow varying in spacetime (weak vorticity/shear), adopts gradient expansion or equivalently  $\hbar$  expansion
- Distributions unpolarized at lowest order in gradient (near equilibrium state)

Massive fermion:  $f_V^e$   ~~$f_A^e$~~   ~~$a_\mu$~~

Photon:  $f_V^\gamma$   ~~$f_A^\gamma$~~

At lowest order in gradient: same DOF as the spin-averaged kinetic theory

## Kadanoff-Baym equation at order $O(\partial^0)$

$$\frac{i}{2} \not{\partial} S^{<(0)} + \frac{\not{P} - m}{\hbar} S^{<(1)} = \frac{i}{2} \left( \Sigma^{>(0)} S^{<(0)} - \Sigma^{<(0)} S^{>(0)} \right)$$



$$S^{<(0)}(X, P) = -2\pi\hbar\epsilon(P \cdot u)\delta(P^2 - m^2)(\not{P} + m)f_e(X, P)$$

similar equation for photon

$$(\partial_t + \hat{\mathbf{p}} \cdot \nabla_{\mathbf{x}}) f_s(\mathbf{x}, \mathbf{p}, t) = -C_s^{2 \leftrightarrow 2}[f] - C_s^{1 \leftrightarrow 2}[f]$$

lowest order K-B equation gives the spin-averaged kinetic theory

solution to the kinetic equation with shear gives particle redistribution

$$f_{\text{leq}} \rightarrow f_{\text{leq}} + \delta f$$

## Kadanoff-Baym equation at order $O(\partial^1)$

$$\frac{i}{2} \not{\partial} S^{<(1)} + \frac{\not{P} - m}{\hbar} S^{<(2)} = \frac{i}{2} \left( \Sigma^{>(1)} S^{<(0)} - \Sigma^{<(1)} S^{>(0)} + \Sigma^{>(0)} S^{<(1)} - \Sigma^{<(0)} S^{>(1)} \right) - \frac{\hbar}{4} \left( \{ \Sigma^{>(0)}, S^{<(0)} \}_{\text{PB}} - \{ \Sigma^{<(0)}, S^{>(0)} \}_{\text{PB}} \right)$$



$$S^{<(1)}(P) = \gamma^5 \gamma_\mu \mathcal{A}^\mu + \frac{i[\gamma_\mu, \gamma_\nu]}{4} \mathcal{S}^{\mu\nu}$$

spin polarization

$$\mathcal{A}^\mu = -2\pi\hbar \left[ a^\mu f_A + \frac{\epsilon^{\mu\nu\rho\sigma} P_\rho u_\sigma \mathcal{D}_\nu f}{2(P \cdot u + m)} \right] \delta(P^2 - m^2)$$

derivative contribution  
 self-energy contribution  
 dynamical contribution

$$\mathcal{D}_\nu = \partial_\nu - \Sigma_\nu^> - \Sigma_\nu^< \frac{1-f}{f}$$



# Interpretation of different contributions

spin polarization  $\mathcal{A}^\mu = -2\pi\hbar \left[ a^\mu f_A + \frac{\epsilon^{\mu\nu\rho\sigma} P_\rho u_\sigma \mathcal{D}_\nu f}{2(P \cdot u + m)} \right] \delta(P^2 - m^2)$

$$\mathcal{D}_\nu = \partial_\nu - \Sigma_\nu^> - \Sigma_\nu^< \frac{1-f}{f}$$

derivative contribution from  $f_{\text{leq}}$

self-energy from  $f_{\text{leq}} + \delta f$

dynamical from axial kinetic theory  $P \cdot \partial \mathcal{A}^\mu = C_A^\mu$   
 (from polarized distribution  $f_A$  when  $m=0$ )

parametrization  $\mathcal{A}_\mu = -2\pi\delta(P^2 - m^2) \frac{\epsilon^{\mu\nu\rho\sigma} P_\rho u_\sigma \sigma_{\nu\lambda} P^\lambda}{2P \cdot u} (N_a + N_\partial + N_\Sigma)$

# Particle redistribution from shear

$$(\partial_t + \hat{\mathbf{p}} \cdot \nabla_{\mathbf{x}}) f_s(\mathbf{x}, \mathbf{p}, t) = -C_s^{2 \leftrightarrow 2}[f] - \cancel{C_s^{1 \leftrightarrow 2}[f]}$$

inelastic collision ignored at leading logarithmic order

shear

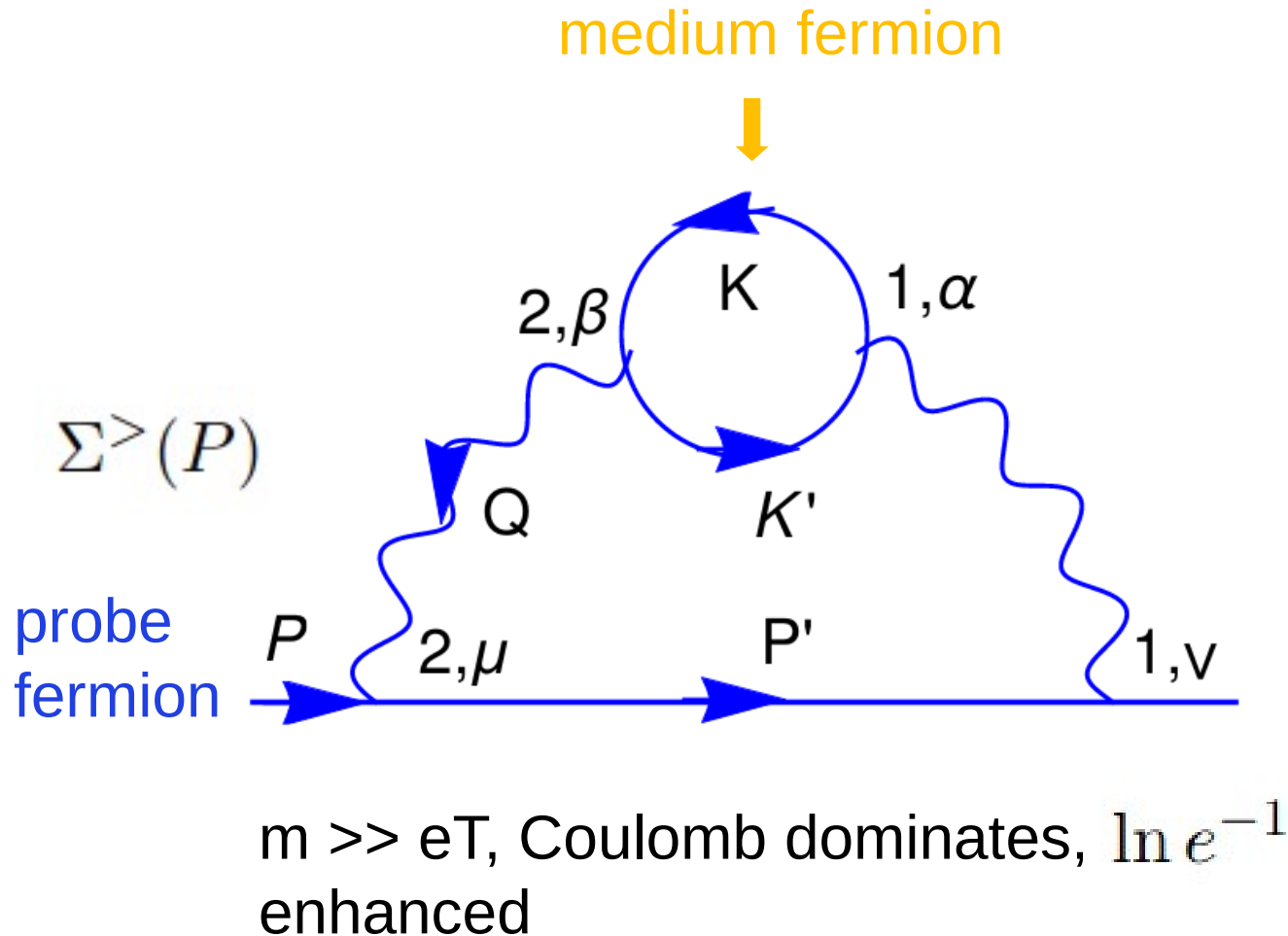
$$f_{(0)} = f_{\text{eq}} \left( \frac{P \cdot u(X)}{T} \right)$$

$$f_{(1)} \sim \frac{\partial_X f_{(0)}}{e^4 \ln e^{-1}} \sim \partial_X f_{(0)} \tau \quad \text{relaxation time} \quad \tau \sim \frac{1}{e^4 \ln e^{-1} T}$$

place probe fermion in the plasma, measure its spin polarization

# Determination of self-energy (collisional) contribution

SL, Z.y. Wang, JHEP 2022



$$f P^\nu \left( -\Sigma_\nu^> - \Sigma_\nu^< \frac{1-f}{f} \right) \sim \frac{f_{(1)}}{\tau}$$

$$f_{(1)} \sim \partial_X f_{(0)} \tau$$




$$-\Sigma_\nu^> - \Sigma_\nu^< \frac{1-f}{f} \sim \partial_X f_{(0)}$$

dependences on coupling constant in  $\tau$  cancel

# Determination of dynamical contribution

$$P \cdot \partial \mathcal{A}^\mu = C_{\mathcal{A}}^\mu$$

$\mathcal{A}^\mu \sim O(\partial)$       LHS  $\sim O(\partial^2)$       near equilibrium assumption

 RHS vanishes at  $O(\partial)$       assumption

$$A_\mu = -2\pi\delta(P^2 - m^2) \frac{\epsilon^{\mu\nu\rho\sigma} P_\rho u_\sigma \sigma_{\nu\lambda} P^\lambda}{2P \cdot u} (N_a + N_\partial + N_\Sigma)$$

determine  $N_a$  using  $N_\partial$  and  $N_\Sigma$

# Alternative determination from frame invariance

- massless  $J_\lambda^\mu = P^\mu f_\lambda + \lambda S_n^{\mu\nu} \partial_\nu f_\lambda + \lambda \int_{KK'P'} C^\lambda \bar{\Delta}^\mu$

dynamical derivative self-energy  $\lambda = \pm 1$

$$A^\mu = J_+^\mu - J_-^\mu$$

Chen, Son, Stephanov, Yee, PRL 2015

$$f_A = f_+ - f_- = \frac{\epsilon^{\mu\nu\alpha\beta} u_\nu P_\alpha n_\beta P^\lambda \sigma_{\mu\lambda}}{2P \cdot n} \frac{A}{P \cdot u}$$

n: frame vector  
u: fluid velocity

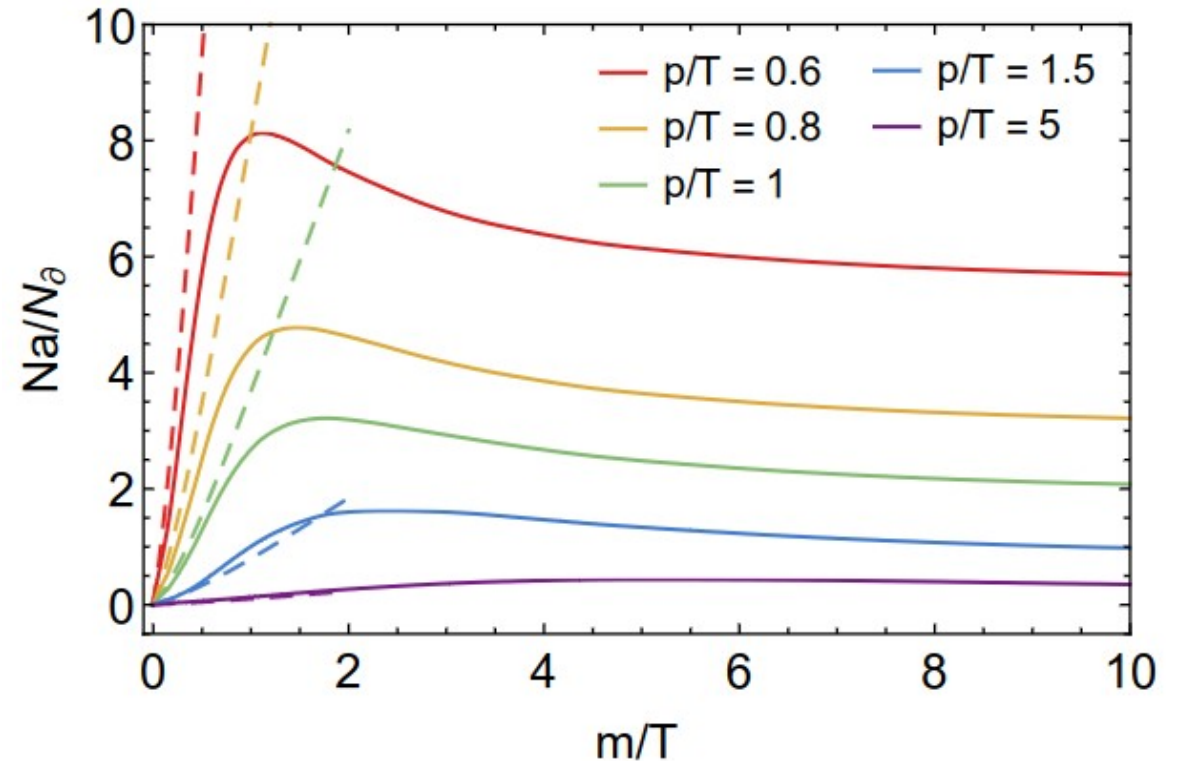
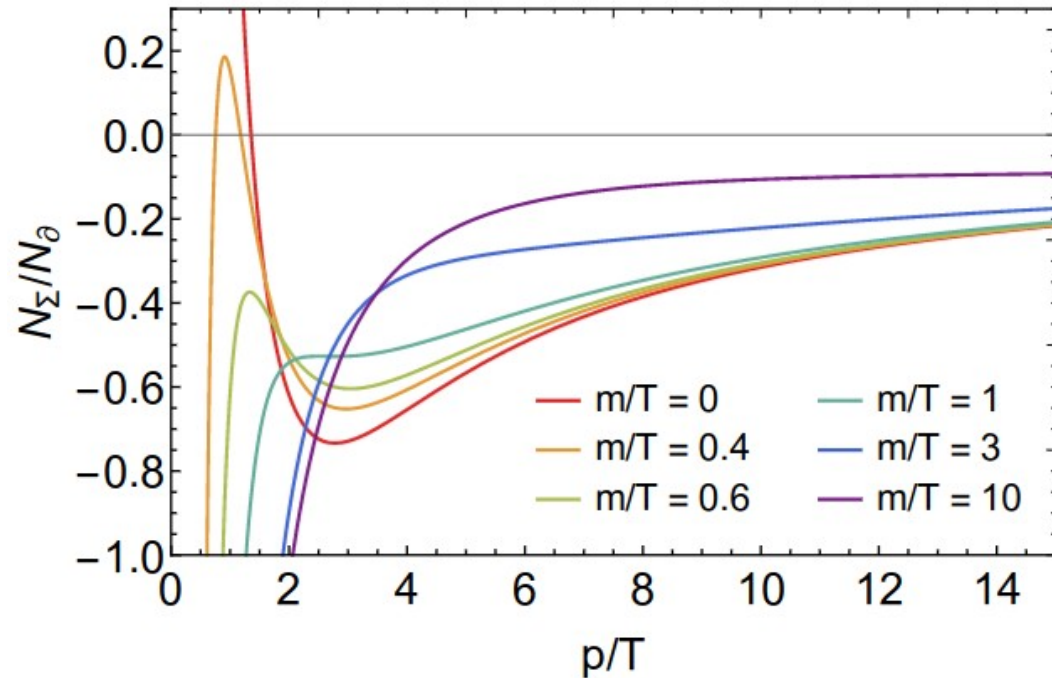
$$f_A = 0 \quad \text{when} \quad n^\mu = u^\mu$$

pseudoscalar  $f_A$  disallowed by Lorentz symmetry

- massive possible with recent generalization

Sheng, Wang, Rischke, PRD 2022

# Results and phenomenological implications



- self-energy contribution **suppresses** spin polarization
- dynamical contribution **enhances** spin polarization
- at large  $p$ , derivative contribution dominates

# Summary

- Spin-vorticity (equilibrium) and spin-shear (non-equilibrium) couplings different
- Collisional QKT for QED for studying spin polarization
- Complete contribution = derivative + self-energy (suppression) + dynamical (enhancement)

# Outlook

- Generalization to QKT for QCD
- Gauge invariance of spin polarization
- Radiative corrections to spin polarization

Thank you!

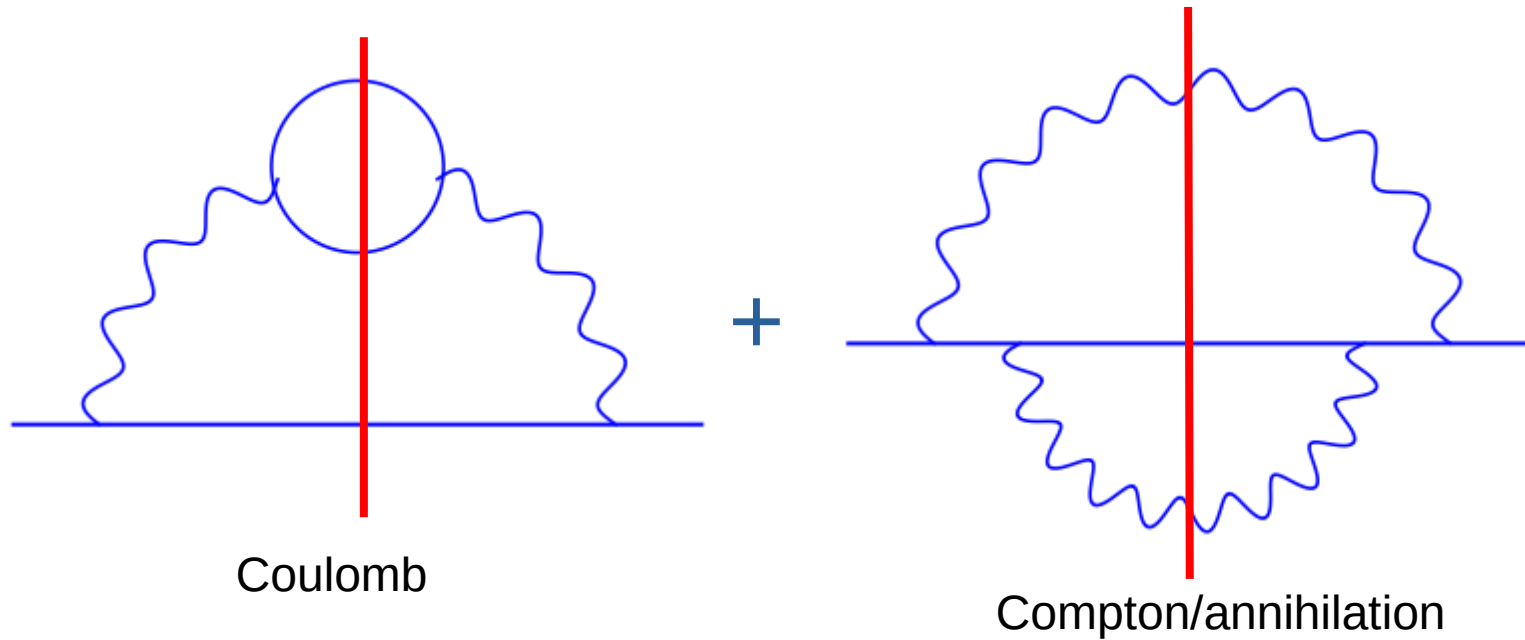


# Spin Hall effect

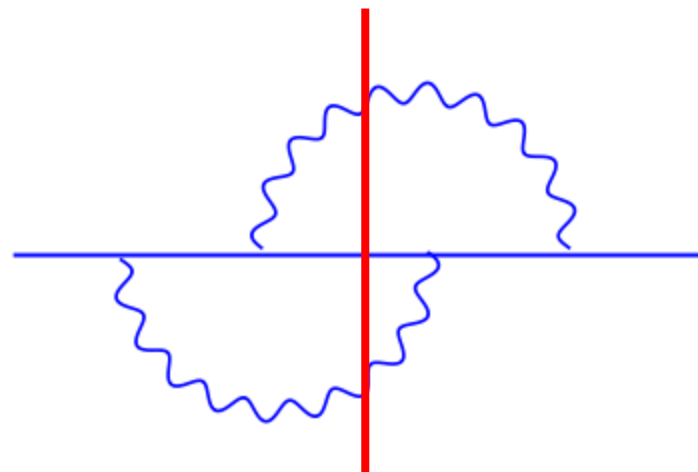
$$\dot{\mathbf{x}} = \hat{\mathbf{p}} + \dot{\mathbf{p}} \times \mathbf{b};$$

$$\dot{\mathbf{p}} = \mathbf{E} + \dot{\mathbf{x}} \times \mathbf{B}.$$

# Self-energies: elastic collisions



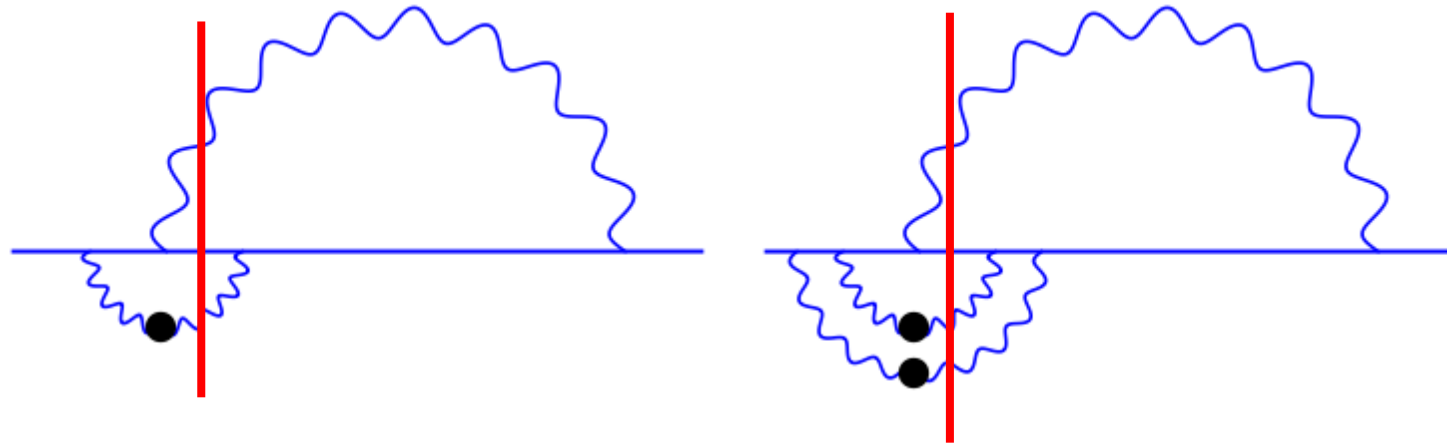
Amplitude squares



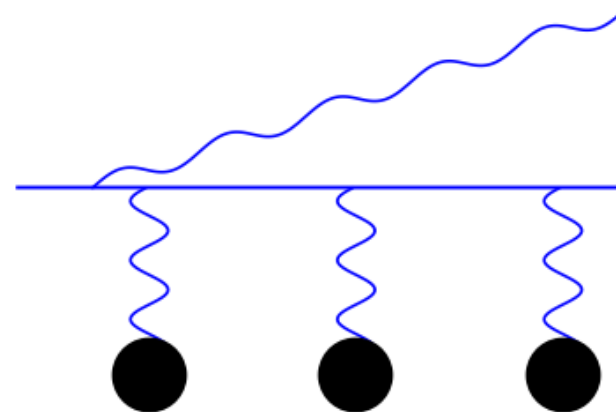
Inteference terms

# Self-energies: inelastic collisions

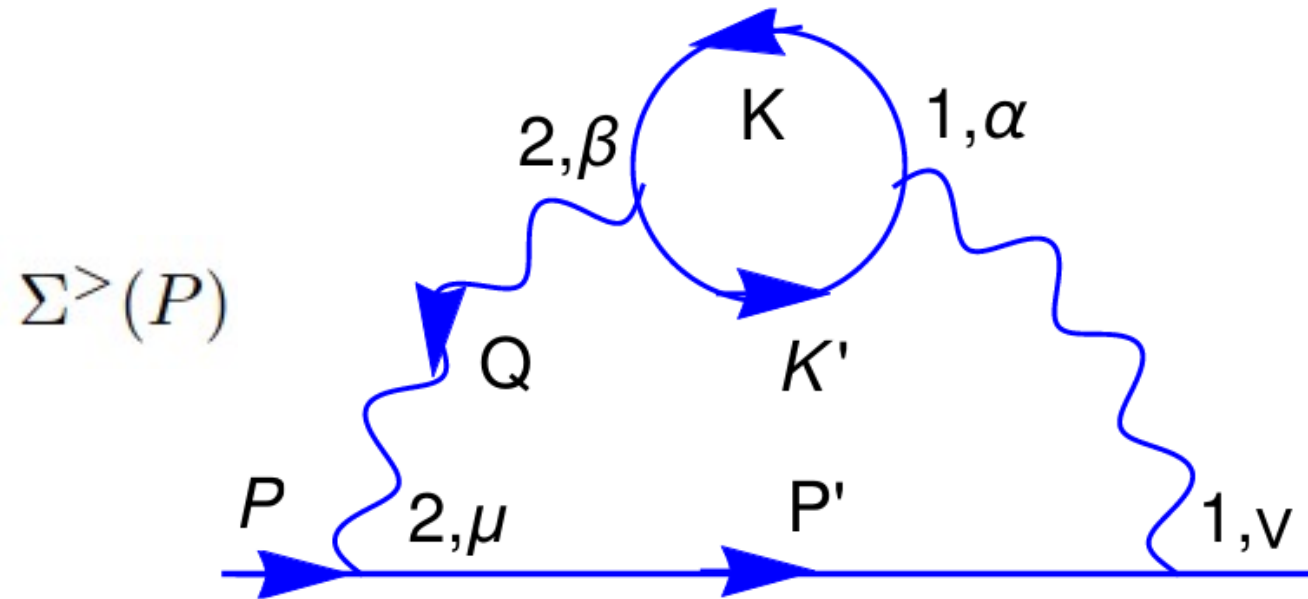
momenta collinear



suppressed for heavy fermion



# Self-energy contribution gauge dependent!



Explicit results in  
Feynman and  
Coulomb gauges  
show difference

Self-energy gauge dependent, but spin polarization should not be!

# Gauge invariant propagator in SK contour

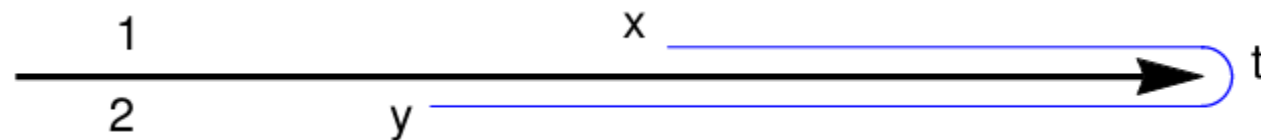
gauge transformation of propagator

$$S^<(x, y) \rightarrow e^{-ie\alpha_2(y)} S^<(x, y) e^{ie\alpha_1(x)}$$

gauge invariant propagator generalized to Schwinger-Keldysh contour

$$\bar{S}^<(x, y) = \psi_1(x) \bar{\psi}_2(y) U_2(y, \infty) U_1(\infty, x)$$

$$U_i(y, x) = \exp \left( -ie \int_y^x dw \cdot A_i(w) \right)$$



# Path of gauge links

straight path connecting x&y

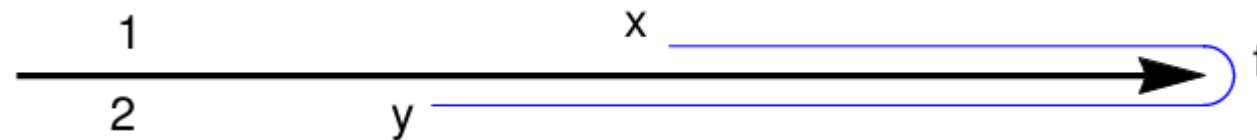
$$U(y, x) = \exp\left(-ie \int_y^x dw \cdot A(w)\right)$$

Vasak, Gyulassy, Elze, Ann.Phys 1987

$A(w)$  can be general quantum fields, but hard to incorporate collisions

Instead propose **extending straight path to SK contour**

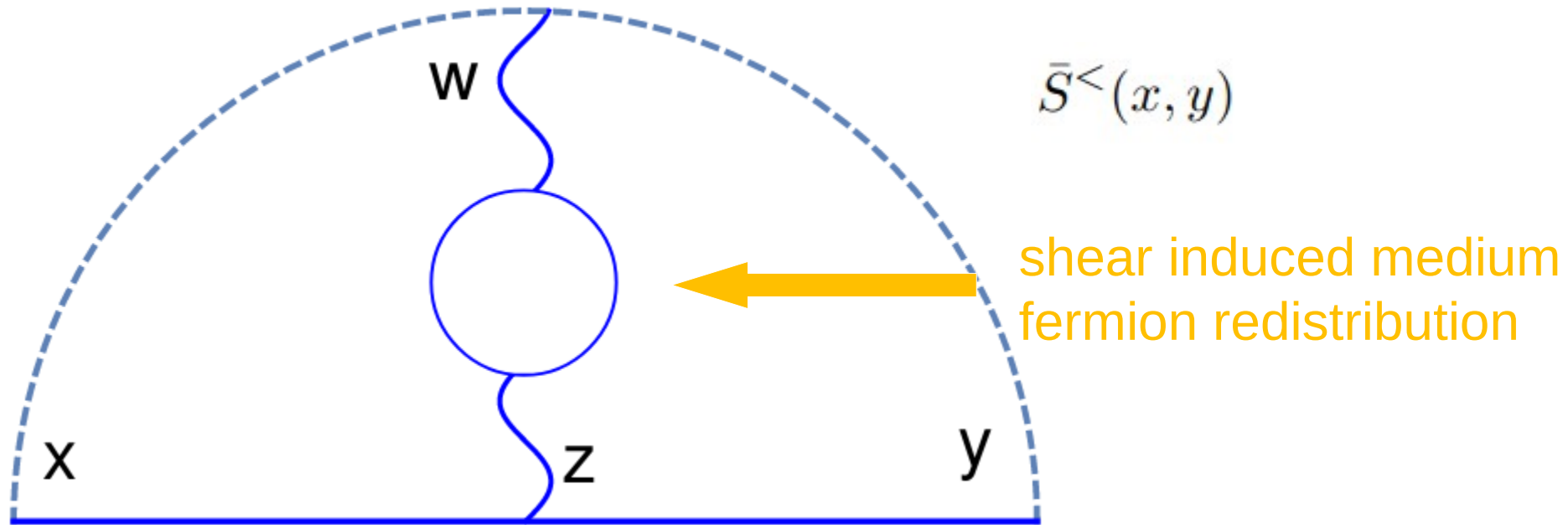
$$U_i(y, x) = \exp\left(-ie \int_y^x dw \cdot A_i(w)\right)$$



reduce to simple straight line for background  $A(w)$

SL, Wang, 2206.12573

# Gauge link contribution to spin polarization



gauge fields fluctuation  $A(z)$  from interaction,  $A(w)$  from gauge link

similar mechanism leads to cancellation of coupling constant