



University
of Glasgow

SMEFT, HEFT, AND THE FCC

Dave Sutherland

(based on works w/ I. Banta, T. Cohen, N. Craig, X. Lu)

24th January 2023 – **FCC Kraków physics workshop**

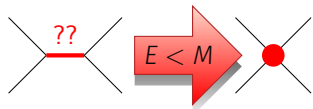
University of Glasgow

- SMEFT encodes some untested assumptions
- Viable non-decoupling models break them
- They are a finite target for the FCC

WHAT ARE SMEFT AND HEFT?

A CHOICE OF TWO EFTS FOR THE STANDARD MODEL (SM)

Heavy new physics looks like new contact interactions.



Encode the contact interactions as EFT operators, either:

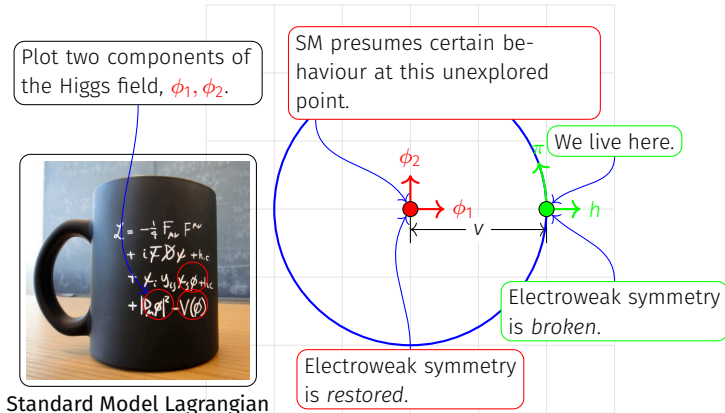
- **SMEFT**: built out of the Higgs doublet Φ

$$\kappa_f \sim |\Phi|^2 \bar{Q}_L \Phi d_R; \quad \kappa_V \sim |\Phi|^2 |D\Phi|^2; \quad \kappa_\lambda \sim |\Phi|^6.$$

- **HEFT**: built separately out of the Higgs h and Goldstones π_i

$$\kappa_f \sim h \bar{f}_L f_R; \quad \kappa_V \sim h W^+ W^-; \quad \kappa_\lambda \sim h^3.$$

THE SM IS AN EXPANSION IN FIELD SPACE

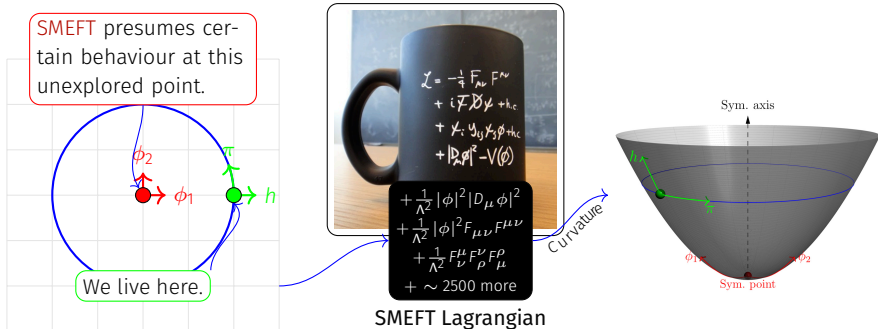


We observe that Higgs physics is SM-like at **our vacuum**.
We *assume* it is SM-like at the **EW symmetric vacuum**.

SMEFT ASSUMES NEW PHYSICS EFFECTS SMALL EVERYWHERE

SMEFT is a Taylor expansion in Φ about $\Phi = 0$.

$$\mathcal{L} \approx |D\Phi|^2 + \frac{1}{\Lambda^2} |\Phi|^2 |D\Phi|^2 + \frac{1}{\Lambda^4} |\Phi|^4 |D\Phi|^2 + \dots$$



Encode **deviations from the Standard Model as curvature.** (Alonso, Jenkins, and Manohar 2016)

SMEFT CORRELATES DIFFERENT HIGGS MULTIPLICITIES

$$\mathcal{L} \approx |D\Phi|^2 + \frac{1}{\Lambda^2} |\Phi|^2 |D\Phi|^2 + \frac{1}{\Lambda^4} |\Phi|^4 |D\Phi|^2 + \dots$$

As can be seen in the broken phase

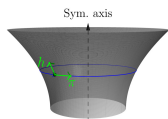
$$\begin{aligned} \mathcal{L} &\rightarrow g_2^2 W^+ W^- \left[(v+h)^2 + \frac{1}{\Lambda^2} (v+h)^4 + \frac{1}{\Lambda^4} (v+h)^6 + \dots \right] \\ &\rightarrow g_2^2 W^+ W^- \left[v^2 \left(1 + \frac{v^2}{\Lambda^2} + \frac{v^4}{\Lambda^4} + \dots \right) \right. \\ &\quad \left. + vh \left(1 + 2\frac{v^2}{\Lambda^2} + 4\frac{v^4}{\Lambda^4} + \dots \right) \right. \\ &\quad \left. + h^2 \left(1 + \frac{v^2}{\Lambda^2} + 6\frac{v^4}{\Lambda^4} + \dots \right) + \dots \right] \end{aligned}$$

Correlation: $\kappa_V \approx \kappa_{2V} \approx \frac{v^2}{\Lambda^2}$

WHAT NEW PHYSICS BREAKS SMEFT ASSUMPTIONS?

WHEN IS SMEFT NOT ENOUGH?

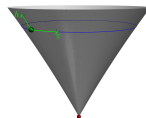
(Cohen, Craig, Lu, and Sutherland 2021)



Like a Laurent expansion

$$\mathcal{L} = \sum_{k=R_{\min} < 0}^{\infty} c_k \frac{|\Phi|^{2k}}{\Lambda^{2k}} |D\Phi|^2$$

1) When electroweak symmetry is *broken* as $v \rightarrow 0$: there are **extra sources of electroweak symmetry breaking**



Like a non-convergent expansion

$$\mathcal{L} = \sum_{k=0}^{\infty} c_k \frac{|\Phi|^{2k}}{v^{2k}} |D\Phi|^2$$

2) When new physics effects are large when $v \rightarrow 0$: there are **new particles that get most of their mass from the Higgs.**

Both HEFTy cases have particles mass $m \lesssim 4\pi v$.

Use HEFT when fraction of mass(-squared) from Higgs:

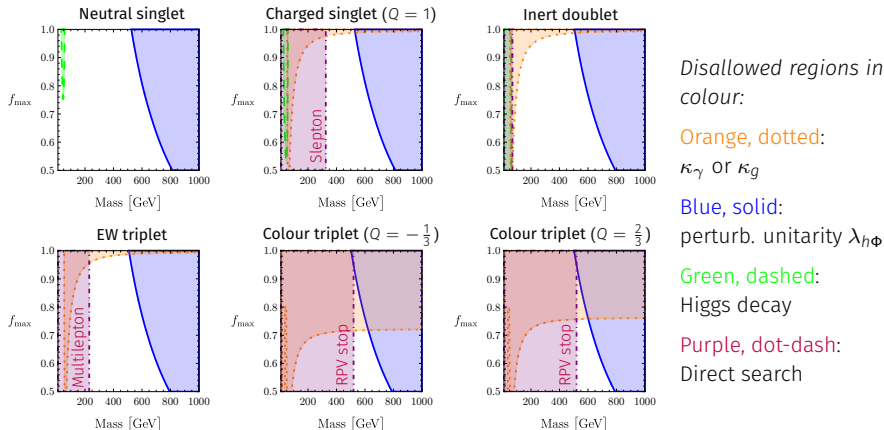
$$f_{\max} > \frac{1}{2}$$

Study scalars and fermions in various electroweak irreps, with approximate \mathbb{Z}_2 symmetry. Consider

- κ_γ, κ_g
- perturbative unitarity constraints on coupling to Higgs
- Higgs decay
- Direct searches (charged components decay promptly via the least detectable lowest dimension operator)

THESE HEFTY MODELS ARE EXPERIMENTALLY VIABLE

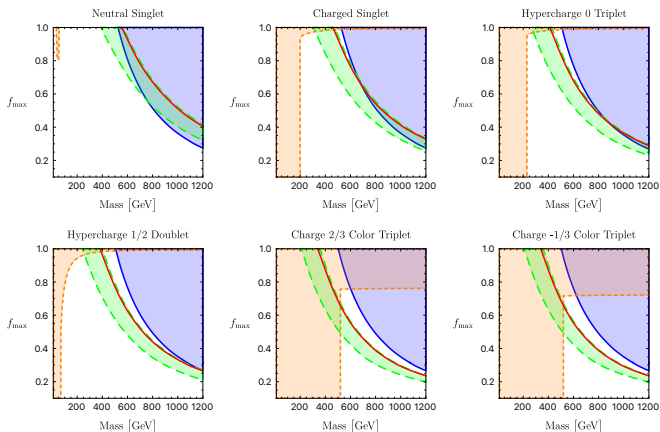
(Banta, Cohen, Craig, Lu, and Sutherland 2021)



Plots: fraction of mass squared from Higgs (f_{\max}) vs. total mass.

HEFTY MODELS PRODUCE A STRONGLY FIRST ORDER EWPT

(Banta 2022)



Orange, dotted:
 κ_γ or κ_g expt. con-
straints

Blue, solid:
perturb. unitarity

Green, dashed:
strongly first-order
phase transition

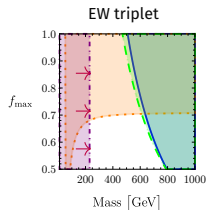
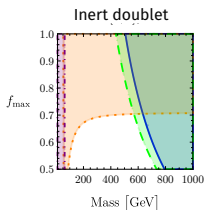
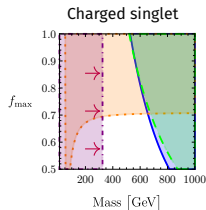
Red, solid
lower bound for
stochastic grav-
itational wave
background @ LISA

...a necessary condition for electroweak baryogenesis.

THE FUTURE AND THE FCC

HL-LHC MAKES SLOW INROADS

HEFTy models have a finite parameter space. At HL-LHC, κ_g rules out coloured particles, κ_γ makes inroads, κ_λ approaches unitarity bound.



Orange, dotted:

κ_γ or κ_g

Blue, solid:

perturb. unitarity $\lambda_{h\phi}$

Green, dashed:

Higgs cubic

Purple, dot-dash:

Direct search

Nightmare scenario of neutral scalar singlet remains open.

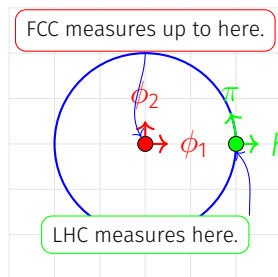
$\kappa_\lambda \sim 5\%$ measurement of FCC-hh closes off everything.

SUMMARY

The world is SM-like at $v = 246$ GeV.

Extra sources of EWSB, or particles getting most their mass from the Higgs, make it wildly different at $v = 0$.

These models are poorly fit by SMEFT, and could precipitate a strongly first order phase transition.



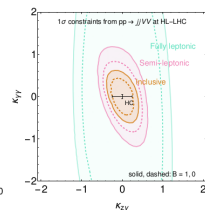
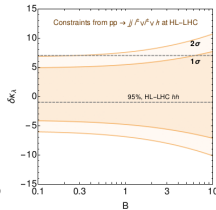
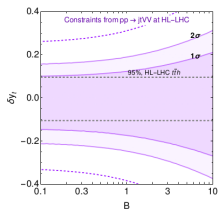
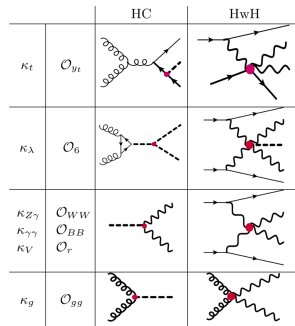
Such non-decoupling models present a finite parameter space, conclusively probed by the FCC.

BACKUP

HEFTY MODELS POORLY FIT BY DIMENSION 6 SMEFT

HL-LHC could probe the correlations of a single SMEFT operator across different Higgs multiplicities. (Henning, Lombardo, Riemann, and Riva 2019)

These correlations may be broken.



HEFTY PHYSICS BREAKS CORRELATIONS

(Abu-Ajamieh, Chang, Chen, and Luty 2020)

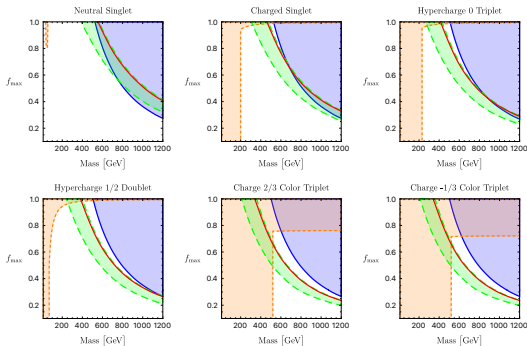
$$\begin{aligned}
 \mathcal{L} = & \mathcal{L}_{\text{SM}} - \delta_3 \frac{m_h^2}{2v} h^3 - \delta_4 \frac{m_h^2}{8v^2} h^4 - \sum_{n=5}^{\infty} \frac{c_n}{n!} \frac{m_h^2}{v^{n-2}} h^n + \dots \\
 & + \delta_{Z1} \frac{m_Z^2}{v} h Z^\mu Z_\mu + \delta_{W1} \frac{2m_W^2}{v} h W^{\mu+} W_\mu^- + \delta_{Z2} \frac{m_Z^2}{2v^2} h^2 Z^\mu Z_\mu + \delta_{W2} \frac{m_W^2}{v} h^2 W^{\mu+} W_\mu^- \\
 & + \sum_{n=3}^{\infty} \left[\frac{c_{Zn}}{n!} \frac{m_Z^2}{v^n} h^n Z^\mu Z_\mu + \frac{c_{Wn}}{n!} \frac{2m_W^2}{v^n} h^n W^{\mu+} W_\mu^- \right] + \dots \\
 & - \delta_{t1} \frac{m_t}{v} h \bar{t} t - \sum_{n=2}^{\infty} \frac{c_{tn}}{n!} \frac{m_t}{v^n} h^n \bar{t} t + \dots
 \end{aligned}$$

Process	$\times \frac{E^4}{1152v^4}$
$hZ^2 \rightarrow hZ^2$	$[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2Z \rightarrow Z^3$	$-\frac{\sqrt{3}}{2}[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2W^+ \rightarrow Z^2W^+$	$-\frac{1}{2}[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2Z \rightarrow ZW^+W^-$	$-\frac{1}{\sqrt{2}}[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2W^+ \rightarrow W^+W^-W^+$	$-[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$hZW^+ \rightarrow hZW^+$	$[36\delta_{V1} - 13\delta_{V2} + 2c_{Vc}]$
$hW^+W^+ \rightarrow hW^+W^+$	$[36\delta_{V1} - 13\delta_{V2} + 2c_{V3}]$
$hW^+W^- \rightarrow hW^+W^-$	$-[28\delta_{V1} - 9\delta_{V2} + c_{V3}]$
$hZ^2 \rightarrow hW^+W^-$	$-\sqrt{2}[32\delta_{V1} - 11\delta_{V2} + \frac{3}{2}c_{V3}]$

Process	$\times \frac{(\frac{1}{2}c_{t2} - \delta_{t1})m_t E^2}{32v^2v^3}$
$\bar{t}_R t_R \rightarrow Z h^2$	$i\sqrt{N_c}$
$h^2 \rightarrow Z \bar{t}_L t_L$	$i\sqrt{\frac{N_c}{3}}$
$Z h \rightarrow h \bar{t}_L t_L$	$i\sqrt{\frac{2N_c}{3}}$
$t_R Z \rightarrow t_L h^2$	$\frac{1}{\sqrt{6}}$
$t_R h \rightarrow t_L Z h$	$\frac{1}{\sqrt{3}}$
$\bar{t}_R t_R \rightarrow Z^2 h$	$-\sqrt{N_c}$
$Z^2 \rightarrow \bar{t}_L t_L h$	$-\sqrt{\frac{N_c}{3}}$
$Z h \rightarrow \bar{t}_L t_L Z$	$-\sqrt{\frac{2N_c}{3}}$
$t_R h \rightarrow t_L Z^2$	$-\frac{1}{\sqrt{6}}$
$t_R Z \rightarrow t_L Z h$	$-\frac{1}{\sqrt{3}}$

HEFTY MODELS PRODUCE A STRONGLY FIRST ORDER EWPT

(Banta 2022)



Orange, dotted:

K_γ or K_g expt. constraints

Blue, solid:

perturb. unitarity

Green, dashed:

strongly first-order phase transition

Red, solid

lower bound for stochastic gravitational wave background @ LISA

$$\frac{S_3}{T_n} \approx 140$$

$$\frac{v_n}{T_n} \gtrsim 1$$

$$T_n > 10 \text{ GeV}$$

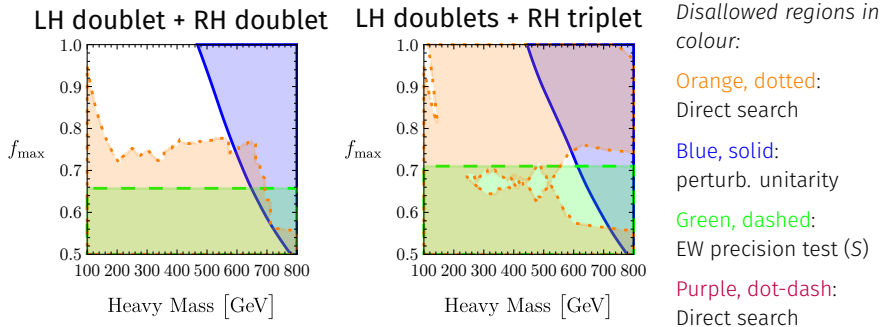
$$\alpha = \left(\Delta V_{\text{eff}} - \frac{T_n}{4} \Delta \frac{dV_{\text{eff}}}{dT} \right) \bigg/ \frac{g_* \pi^2 T_n^4}{30},$$

$$\beta/H_* = \frac{dS_3}{dT} \bigg|_{T_n} - \frac{S_3}{T_n}.$$

$$\log(\beta/H_*) \lesssim 1.2 \log \alpha + 8.8$$







HEFTY (CUSTODIALLY SYMMETRIC) FERMIONS

(Banta, Cohen, Craig, Lu, and Sutherland 2021)



Plots: fraction of mass from Higgs (f_{\max}) vs. total mass.
Assuming no mass splitting among components of multiplet

BIBLIOGRAPHY

-  Abu-Ajamieh, Fayez et al. (Sept. 2020). "Higgs Coupling Measurements and the Scale of New Physics". In: arXiv: [2009.11293 \[hep-ph\]](https://arxiv.org/abs/2009.11293).
-  Alonso, Rodrigo, Elizabeth E. Jenkins, and Aneesh V. Manohar (2016). "Geometry of the Scalar Sector". In: *JHEP* 08, p. 101. DOI: [10.1007/JHEP08\(2016\)101](https://doi.org/10.1007/JHEP08(2016)101). arXiv: [1605.03602 \[hep-ph\]](https://arxiv.org/abs/1605.03602).
-  Banta, Ian (Feb. 2022). "A Strongly First-Order Electroweak Phase Transition from Loryons". In: arXiv: [2202.04608 \[hep-ph\]](https://arxiv.org/abs/2202.04608).
-  Banta, Ian et al. (Oct. 2021). "Non-Decoupling New Particles". In: arXiv: [2110.02967 \[hep-ph\]](https://arxiv.org/abs/2110.02967).
-  Cohen, Timothy et al. (2021). "Is SMEFT enough?" In: *JHEP* 03, p. 237. DOI: [10.1007/JHEP03\(2021\)237](https://doi.org/10.1007/JHEP03(2021)237). arXiv: [2008.08597 \[hep-ph\]](https://arxiv.org/abs/2008.08597).
-  Henning, Brian et al. (2019). "Measuring Higgs Couplings without Higgs Bosons". In: *Phys. Rev. Lett.* 123:18, p. 181801. DOI: [10.1103/PhysRevLett.123.181801](https://doi.org/10.1103/PhysRevLett.123.181801). arXiv: [1812.09299 \[hep-ph\]](https://arxiv.org/abs/1812.09299).