

# Exclusive processes at HERA from the saturation model

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Based on recent work done with H. Kowalski and G. Watt

## Overview

**Unitarity and QCD saturation**

**Glauber–Mueller, Balitsky–Kochvegov, Golec-Biernat–Wüsthoff**

**Improvements of saturation model**

**Comparison with data**

**Implications**

## Main idea

We want to have simple coherent global picture of various types of processes measured at HERA at small  $x$  — beyond leading twist collinear factorisation

Thus one intends to describe:

- Total  $\gamma^* p$  cross section down to photoproduction
- Diffractive deep inelastic scattering
- Exclusive vector meson production  $(\rho, \phi, J/\psi)$ , including  $t$ -dependence
- Deeply virtual Compton scattering

Framework should reduce to collinear picture when scales are large and it should be consistent with  $S$ -matrix unitarity

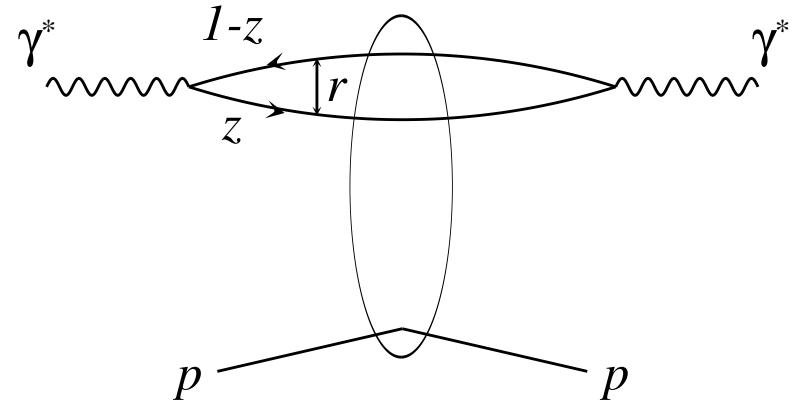
It should provide information on multiple scattering and matter distribution in proton in transverse plane

**Our proposal: saturation model formulated in dipole framework with QCD evolution and explicit impact parameter dependence**

# Color Dipole Representation

At very high energies and the LL approximation description of high energy scattering in the position representation is possible

- long-living fluctuations: **colour dipoles** (at large  $N_c$ )
- short interaction time in target frame
- parton energy  $\sim z$  is conserved
- parton transverse positions do not change
- conservation of parton **helicity**



$$\sigma_i^{\gamma^* p}(Q^2, W^2) = \int_0^1 dz \int d^2 \mathbf{r} |\Psi_i(z, \mathbf{r})|^2 \hat{\sigma}(x, r^2)$$

$$|\Psi_i^f(z, \mathbf{r})|^2 = \frac{6\alpha_{em}}{4\pi^2} e_f^2 \times \begin{cases} [z^2 + (1-z)^2] \epsilon_f^2 K_1^2(\epsilon_f r) + m_f^2 K_0^2(\epsilon_f r) & \text{(T)} \\ 4Q^2 z^2 (1-z)^2 K_0^2(\epsilon_f r) & \text{(L)} \end{cases}$$

with

$$\epsilon_f^2 = z(1-z)Q^2 + m_f^2$$

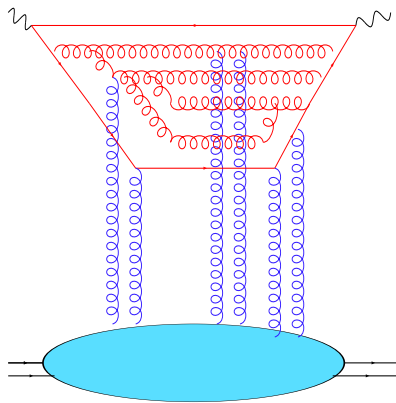
## Two equivalent pictures of gluon saturation

Assume dominance of single ladder exchange  $\Rightarrow$  gluon growth  $\sim x^{-\lambda} \Rightarrow \text{Im}A \sim x^{-\lambda}$

Diffractive cross section  $\sim |A|^2 \sim x^{-2\lambda}$  would eventually surpass the total cross section

It would imply **violation of  $S$ -matrix unitarity**

### Target frame

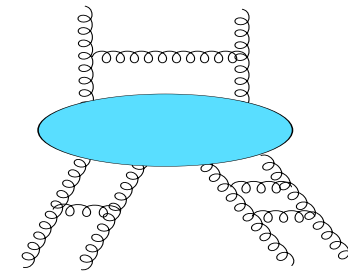


Exponential growth of dipole density  $\rightarrow$   
Rescattering

### Projectile frame

$$f_g(x, k^2) \sim x^{-\lambda}$$

Triple Pomeron Vertex



allows for gluon recombination  
with rate  $\sim f_g^2(x, k)$  [Bartels]

Neglecting correlations in projectile wave function  $\iff$  no interactions of pomerons

$\rightarrow$  Glauber–Mueller (eikonal) picture:  $\sigma = \sigma_1 - \sigma_1^2/2 + \dots \sim \sigma_0[1 - \exp(-\sigma_1/\sigma_0)]$

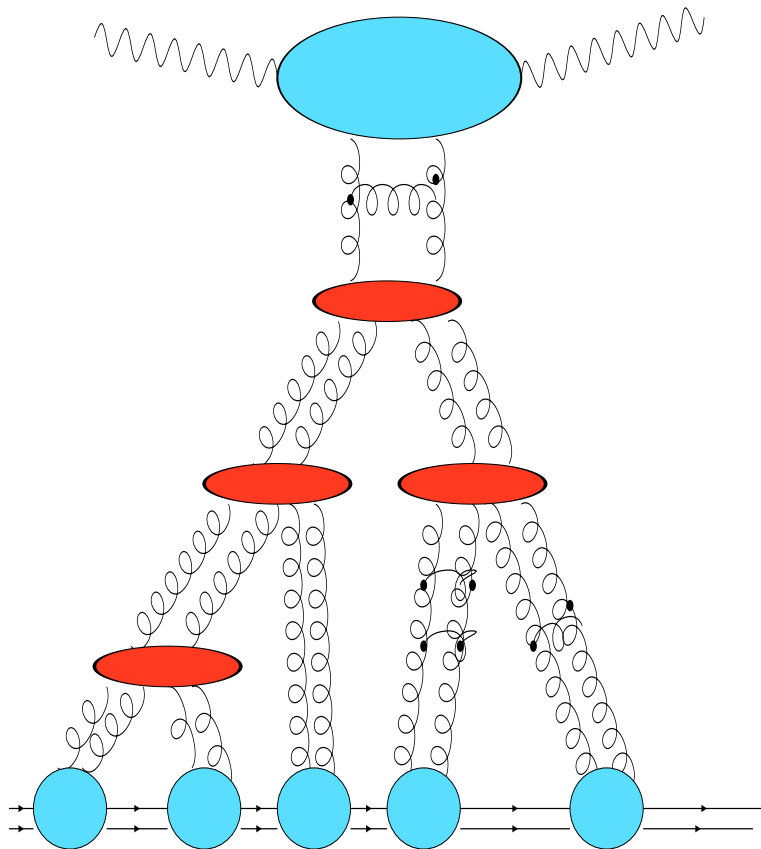
# Theoretical basis: JIMWaLK/BK equation

[Jalilian-Marian, Iancu, McLerran, Leonidov, Weigert], [Balitsky, Kovchegov]

Propagation of a dilute projectile through a dense target at large energy – at the LL1/ $x$ : BFKL evolution of amplitude tempered by unitarity corrections

## Enhancement + Correlations + Rescattering

Target frame: in the large  $N_c$  limit BFKL pomeron fan diagrams – gluon recombination at large density



$$\frac{\partial N(\mathbf{x}, \mathbf{y}; \tau)}{\partial \tau} = \frac{\bar{\alpha}_s}{2\pi} \int d^2 z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{z} - \mathbf{y})^2} \times$$

$$[N(\mathbf{x}, \mathbf{z}; \tau) + N(\mathbf{z}, \mathbf{y}; \tau) - N(\mathbf{x}, \mathbf{y}; \tau) - N(\mathbf{x}, \mathbf{z}; \tau)N(\mathbf{z}, \mathbf{y}; \tau)]$$

BK equation in momentum space

$$\frac{\partial N(k, \tau)}{\partial \tau} = \chi_{\text{BFKL}} \left( 1 + k^2 \partial_{k^2} \right) N(k, \tau) - N^2(k, \tau)$$

For large  $k$  / small  $r$  – BFKL-like growth with  $\log(1/x)$ .

For small  $k$  / large  $r$  – saturation.

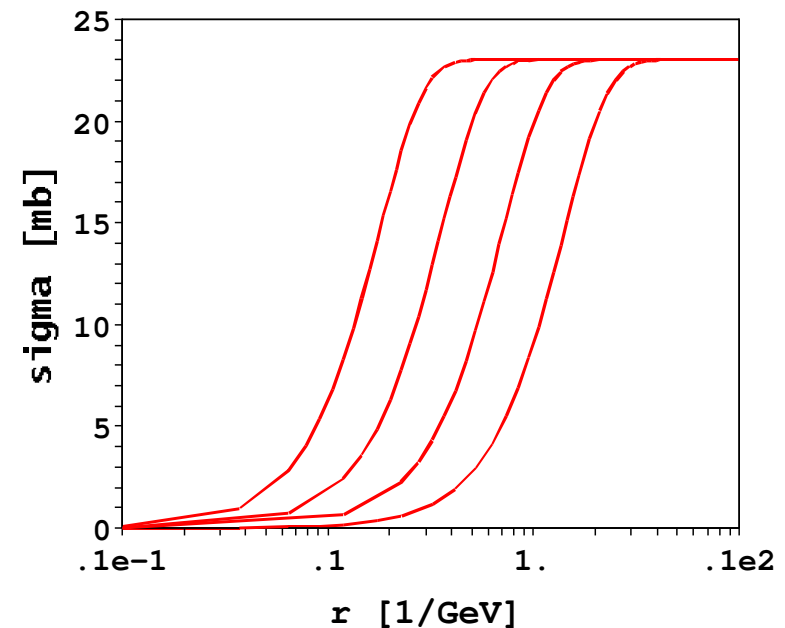
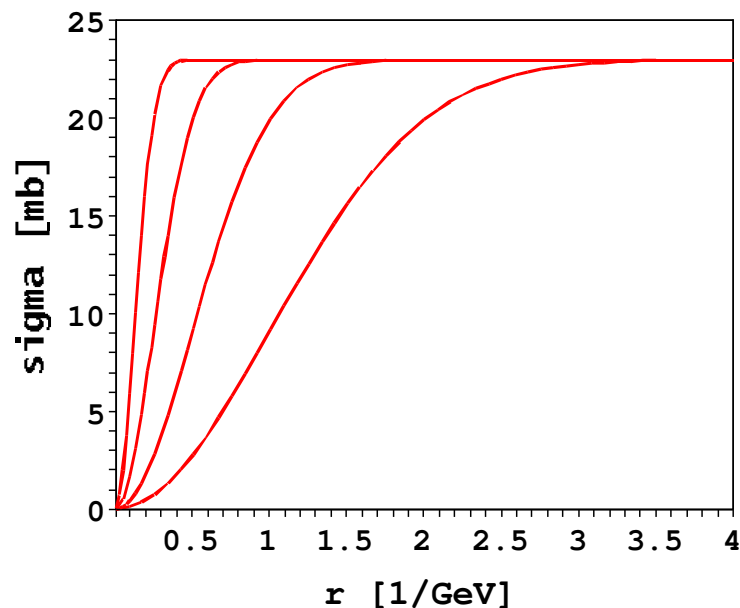
**Unitarity is not violated**

# Saturation Model: Crucial Features

[K. Golec-Biernat and M. Wüsthoff]

- Transition of the dipole-proton cross-section from color transparency  $\sigma(r) \sim r^2$  (up to logs) for small dipoles to saturated cross-section  $\sigma(r) \sim \sigma_0$  for large dipoles
- The saturation radius decreasing with  $x$  assuming Glauber-Mueller dependence

$$\sigma(x, r) = \sigma_0 \left[ 1 - \exp \left( -\frac{r^2}{4R_0^2(x)} \right) \right]$$
$$R_0(x) = \frac{1}{Q_0} \left( \frac{x}{x_0} \right)^{\lambda/2}, \quad \lambda \simeq 0.3$$



# Inclusive hard diffraction

[K. Golec-Biernat and M. Wüsthoff]

Naively, in perturbative (or Regge) approach

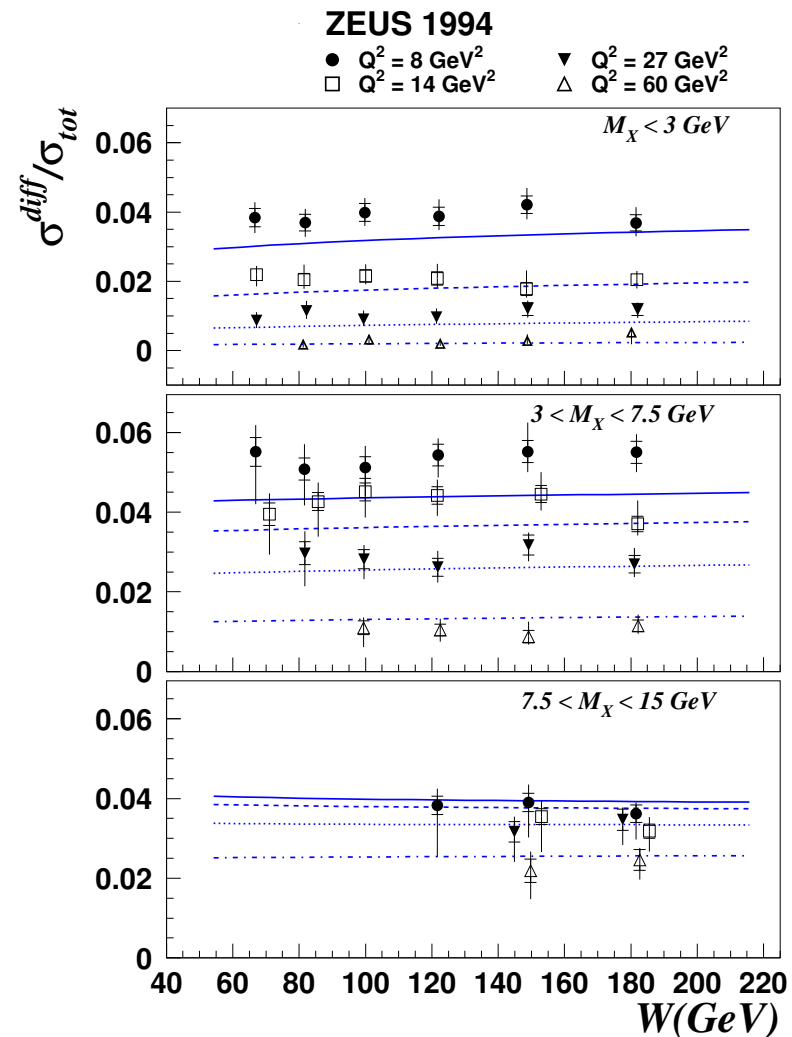
$$\sigma_{\text{tot}}(W^2, Q^2) \sim \text{Im}\mathcal{T} \sim (W^2)^\lambda$$

$$\sigma_{\text{diff}}(W^2, Q^2) \sim |\mathcal{T}|^2 \sim (W^2)^{2\lambda}$$

At HERA  $\lambda \simeq 0.25$  for large  $Q^2$  but

$\sigma_{\text{diff}}/\sigma_{\text{tot}}$  is flat!

The flat ratio  $\sigma_{\text{diff}}/\sigma_{\text{tot}}$  is obtained only if the lower momentum cut-off scale grows as a power of  $W^2$



## Inclusion of DGLAP evolution

Bartels–Golec-Bierat–Kowalski extended saturation model to high  $Q^2$  according to LL DGLAP evolution

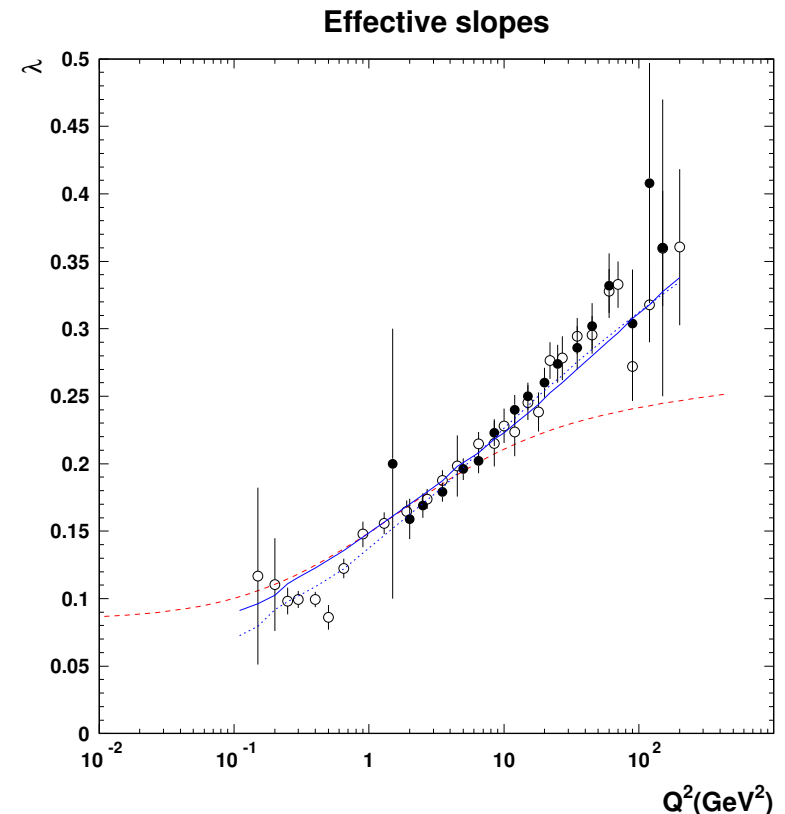
$$\sigma_{q\bar{q}}^{\text{BGBK}}(x, r) = \sigma_0 \left\{ 1 - \exp \left[ -\pi^2 r^2 \alpha_s(\mu^2) x g(x, \mu^2) / (3\sigma_0) \right] \right\}$$

$$\frac{\partial x g(x, \mu^2)}{\partial \ln \mu^2} = \frac{\alpha_s(\mu^2)}{2\pi} \int_x^1 dz P_{gg}(z) \frac{x}{z} g\left(\frac{x}{z}, \mu^2\right)$$

$$\mu^2 = 4/r^2 + \mu_0^2$$

We assume initial gluon density at scale  $\mu_0^2$

$$x g(x, \mu_0^2) = A_g x^{-\lambda_g} (1-x)^{5.6}$$





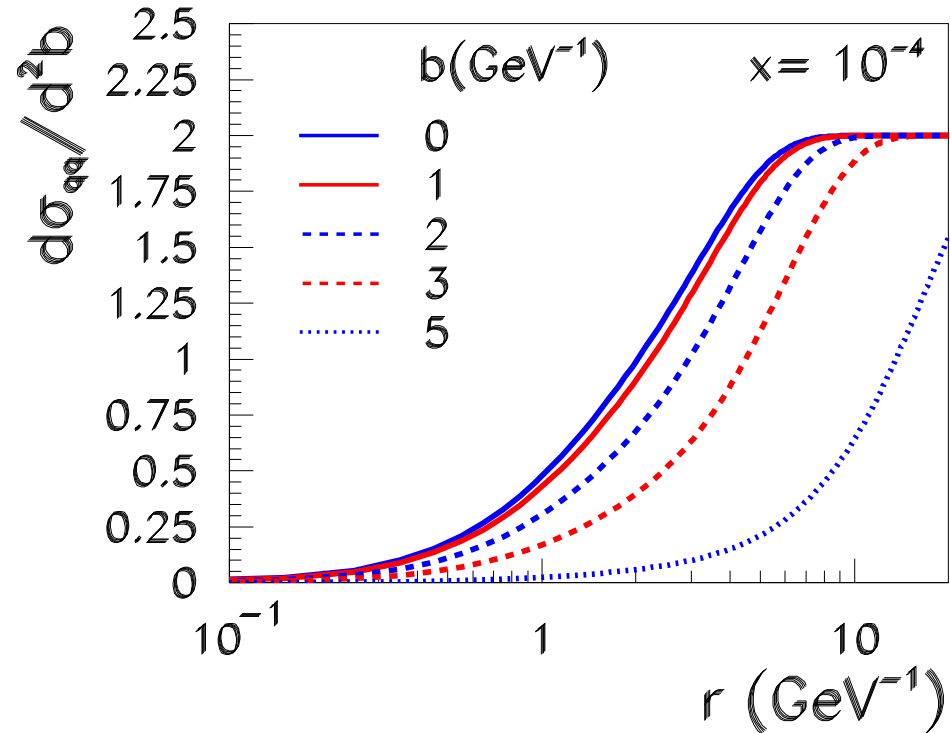
# Total cross sections: impact parameter dependence

[Kowalski, Teaney]

b-Sat model: 
$$\frac{d\sigma_{qq}}{d^2\mathbf{b}} = 2 \left(1 - e^{-\frac{\Omega}{2}}\right)$$

$$\Omega = \frac{\pi^2}{N_c} r^2 \alpha_S(\mu^2) xg(x, \mu^2) T(b)$$

Gaussian profile 
$$T_G(b) = \frac{1}{2\pi B_G} e^{-\frac{b^2}{2B_G}}$$



Another shape in b-Sat: step function 
$$T_S(b) = \frac{1}{\pi b_S^2} \Theta(b_S - b)$$

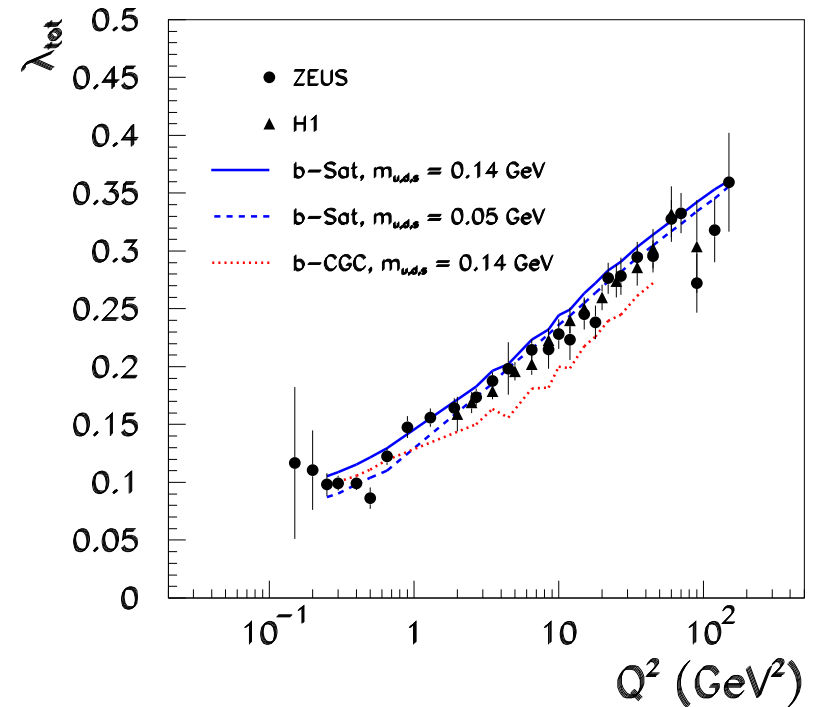
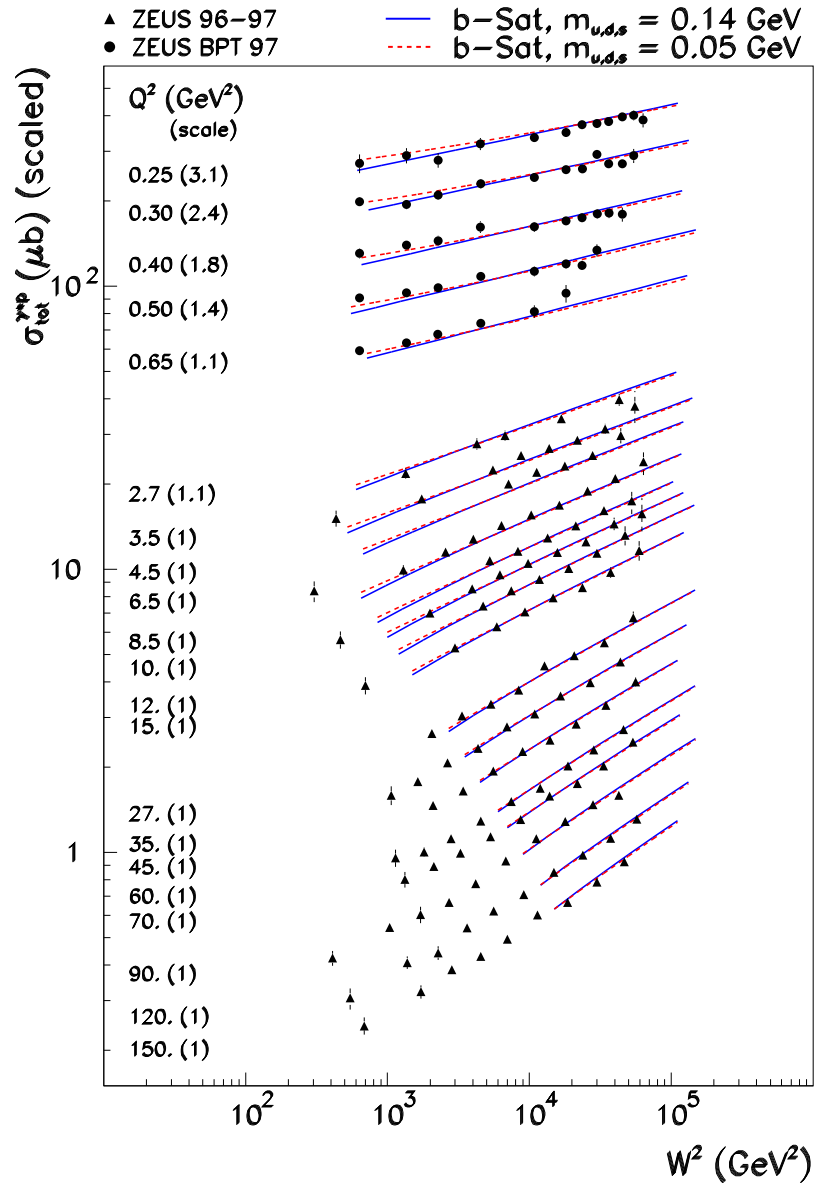
Alternatively: generalized model of Iancu-Itakura-Munier based on properties of solutions to BK equation: inclusion of charm and impact parameter saturation scale (b-CGC)

# Fits to $F_2$

b-Sat:  $\lambda_g = 0.02$ ,  $\mu_0^2 = 1.17 \text{ GeV}^2$ ,

$\chi^2/\text{d.o.f} = 1.21 = 193/160$

Effective pomeron intercept  $\lambda$  – driven by DGLAP evolution



b-CGC:  $Q^2 < 45 \text{ GeV}^2$ ,  $\lambda = 0.16$

$x_0 = 6 \cdot 10^{-4}$ .  $\chi^2/\text{d.o.f} = 211.2/130 = 1.62$

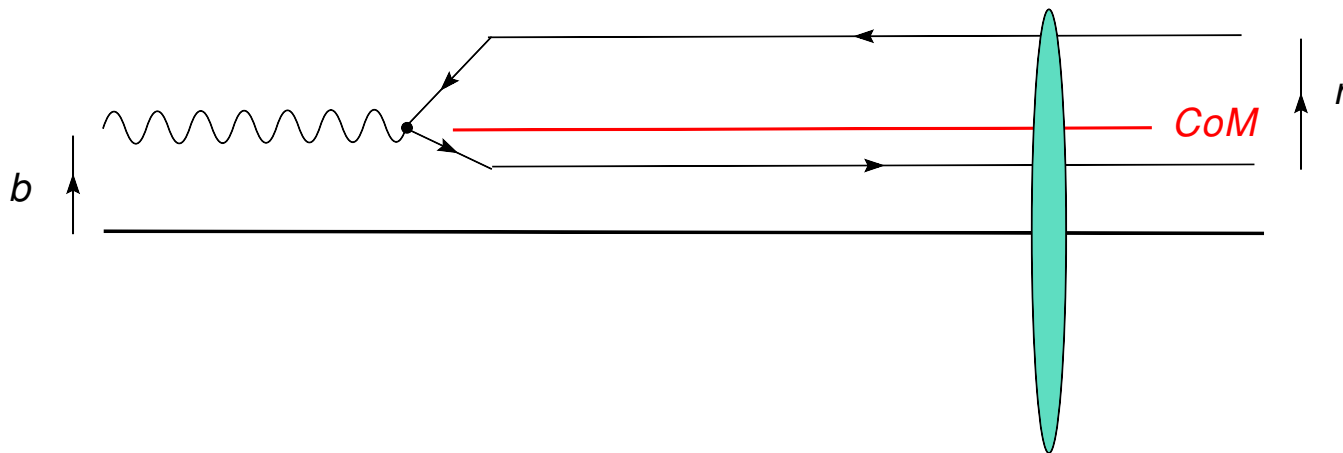
## Exclusive processes for arbitrary $t$

Elastic diffractive amplitude at  $t = -\Delta^2$

$$\mathcal{A}_{T,L}^{\gamma^* p \rightarrow Ep} = \int d^2 \mathbf{r} \int_0^1 \frac{dz}{4\pi} \int d^2 \mathbf{b} (\Psi_E^* \Psi)_{T,L} e^{-i[\mathbf{b} - (1-z)\mathbf{r}] \cdot \Delta} \frac{d\sigma_{q\bar{q}}}{d^2 \mathbf{b}}$$

$$\frac{d\sigma_{T,L}^{\gamma^* p \rightarrow Ep}}{dt} = \frac{1}{16\pi} \left| \mathcal{A}_{T,L}^{\gamma^* p \rightarrow Ep} \right|^2$$

Bartels–Golec-Biernat–Peters analysis: variable conjugate to momentum transver  $\Delta$ :  $\mathbf{b} - (1 - z)\mathbf{r}$   
 — it reflects spatial extension of dipole



# Photon and vector meson wave functions

Virtual photon wave functions: pQCD/QED box diagram:  $\gamma^* g \rightarrow q\bar{q}$

Longitudinal photon

$$\Psi_{h\bar{h},\lambda=0}(r, z, Q) = e_f e \sqrt{N_c} \delta_{h,-\bar{h}} 2Qz(1-z) \frac{K_0(\epsilon r)}{2\pi},$$

Transverse photon

$$\Psi_{h\bar{h},\lambda=\pm 1}(r, z, Q) = \pm e_f e \sqrt{2N_c} \left\{ i e^{\pm i\theta r} [z\delta_{h,\pm}\delta_{\bar{h},\mp} - (1-z)\delta_{h,\mp}\delta_{\bar{h},\pm}] \partial_r + m_f \delta_{h,\pm}\delta_{\bar{h},\pm} \right\} \frac{K_0(\epsilon r)}{2\pi}$$

Vector mesons: similar spin structure to photon assumed

$$\Psi_{h\bar{h},\lambda=0}^V(r, z) = \sqrt{N_c} \delta_{h,-\bar{h}} \left[ M_V + \delta \frac{m_f^2 - \nabla_r^2}{M_V z(1-z)} \right] \phi_L(r, z)$$

$\delta = 1$  — appears as consequence of non-local  $q\bar{q}$  coupling to meson

Transversely polarised meson

$$\Psi_{h\bar{h},\lambda=\pm 1}^V(r, z) = \pm \sqrt{2N_c} \frac{1}{z(1-z)} \left\{ i e^{\pm i\theta r} [z\delta_{h,\pm}\delta_{\bar{h},\mp} - (1-z)\delta_{h,\mp}\delta_{\bar{h},\pm}] \partial_r + m_f \delta_{h,\pm}\delta_{\bar{h},\pm} \right\} \phi_T(r, z)$$

## Vector meson wave functions

Constraints on meson wave functions from leptonic decay constants and normalisation conditions

Simplest: wave functions in factorized form  $\phi_{T,L}(r, z) = f_{T,L}(z) \exp(-r^2/2R_{T,L}^2)$

Meson	$R_T^2/\text{GeV}^{-2}$	$R_L^2/\text{GeV}^{-2}$
$J/\psi$	6.5	3.0
$\phi$	16.0	9.7
$\rho$	21.9	10.4

Another option: use Lorentz invariant quantities e.g.  $q\bar{q}$  invariant mass

$$M_{q\bar{q}}^2 = \frac{k^2 + m_f^2}{4z(1-z)} \quad [\text{Brodsky, Lepage}], [\text{Forshaw, Sandapen, Shaw}]$$

$$\exp \left[ -\frac{\mathcal{R}^2}{8} \left( \frac{k^2 + m_f^2}{z(1-z)} \right) \right] \longrightarrow \phi_{T,L}(r, z) \sim z(1-z) \exp \left( -\frac{m_f^2 \mathcal{R}^2}{8z(1-z)} - \frac{2z(1-z)r^2}{\mathcal{R}^2} \right)$$

boosted Gaussian	Meson	→	$J/\psi$	$\phi$	$\rho$
	$\mathcal{R}^2/\text{GeV}^{-2}$	→	2.3	11.2	12.9

T-L symmetric with good accuracy

## Phenomenological correcting factors

In applied formalism we calculate imaginary part of scattering amplitude only

Smaller — real parts we estimate using relation for Regge poles

$$\beta = \tan(\pi\lambda/2), \quad \text{with} \quad \lambda \equiv \frac{\partial \ln \mathcal{A}}{\partial \ln(1/x)}$$

$$\text{Re}\mathcal{A} = \beta \text{Im} \mathcal{A}$$

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Kinematics of exclusive production implies strong differences in  $x$  values of gluons

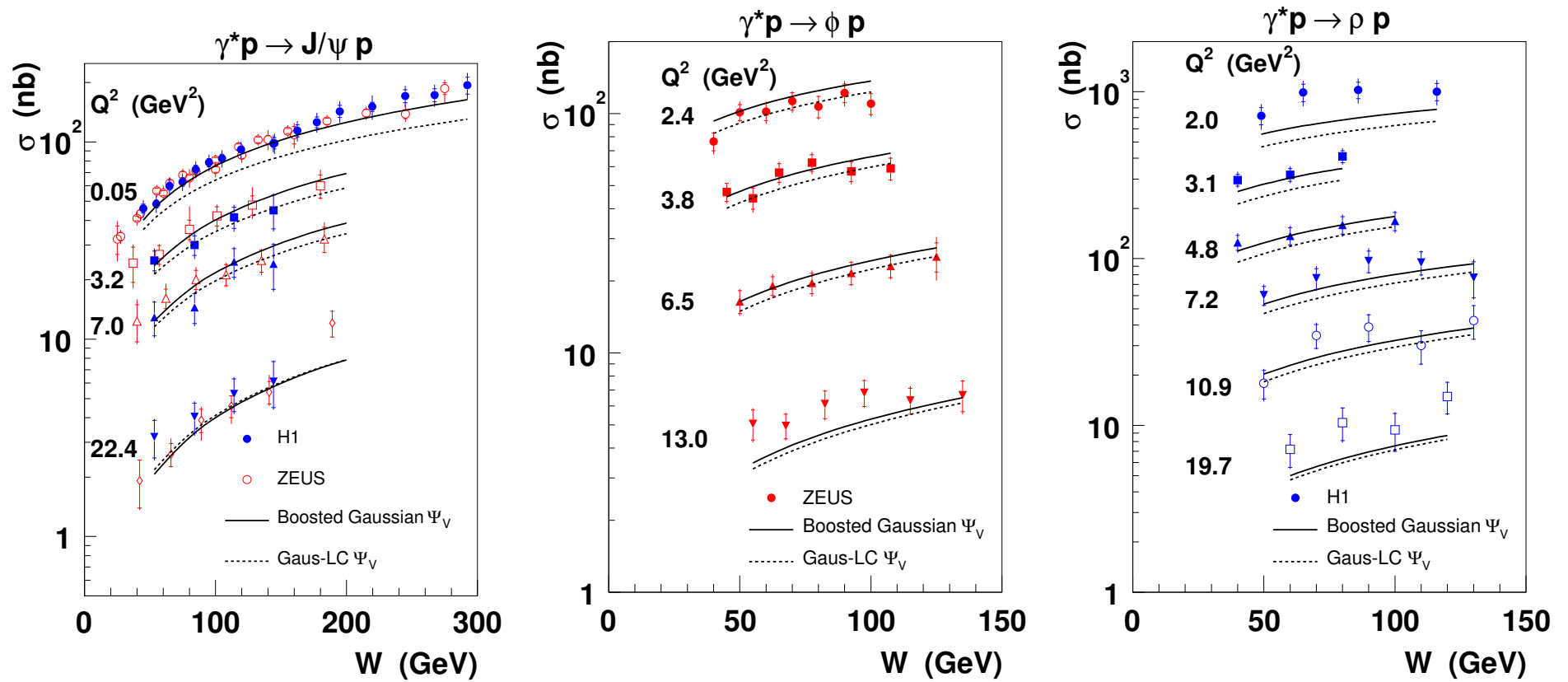
→ Shuvaev factor — coming from QCD evolution of off-diagonal gluon distribution at small  $x$

$$R_g(\lambda) = \frac{2^{2\lambda+3} \Gamma(\lambda + 5/2)}{\sqrt{\pi} \Gamma(\lambda + 4)}, \quad \text{with} \quad \lambda \equiv \frac{\partial \ln [xg(x, \mu^2)]}{\partial \ln(1/x)}$$

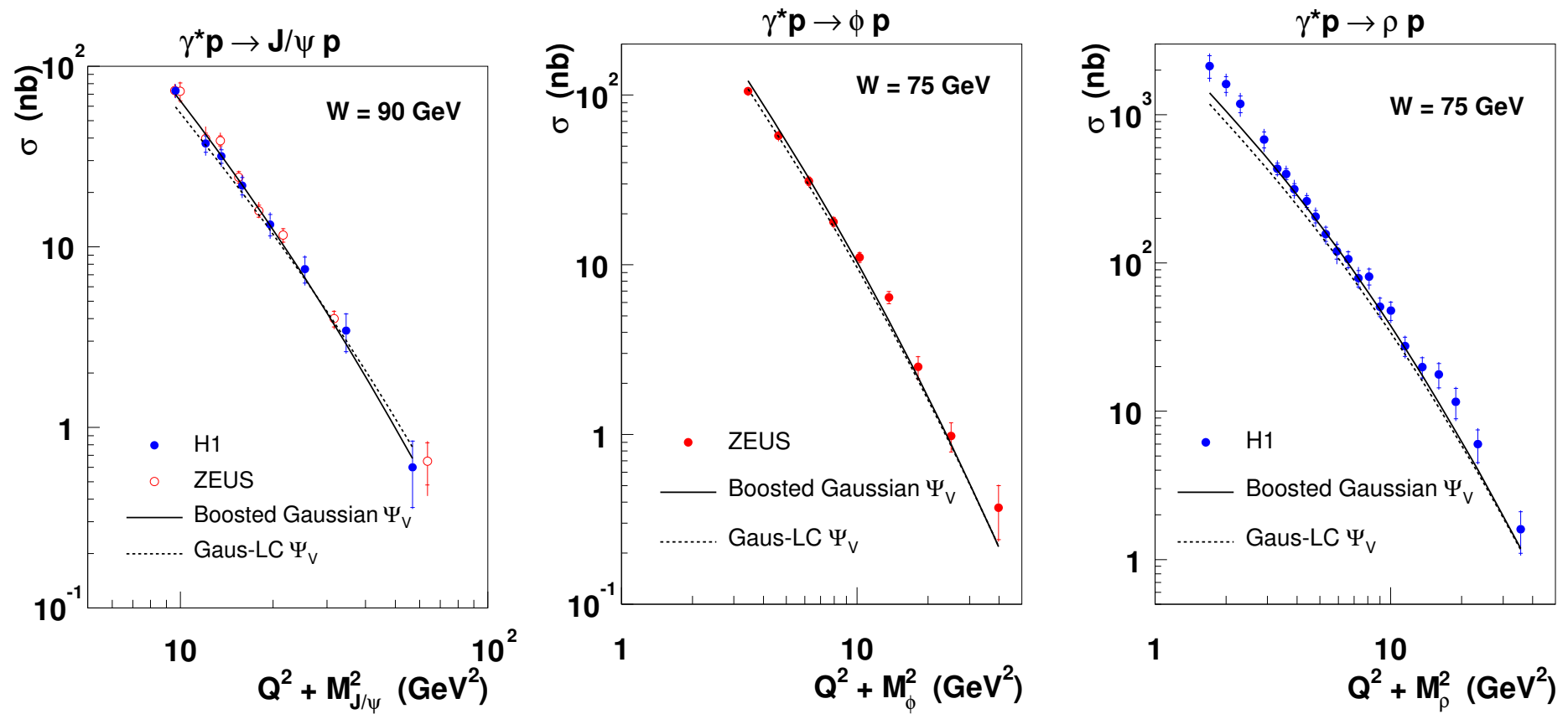
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Finally:  $|\mathcal{A}|^2 \longrightarrow R_g(1 + \beta^2) |\mathcal{A}|^2$

# Results for vector mesons: $W^2$ -dependence

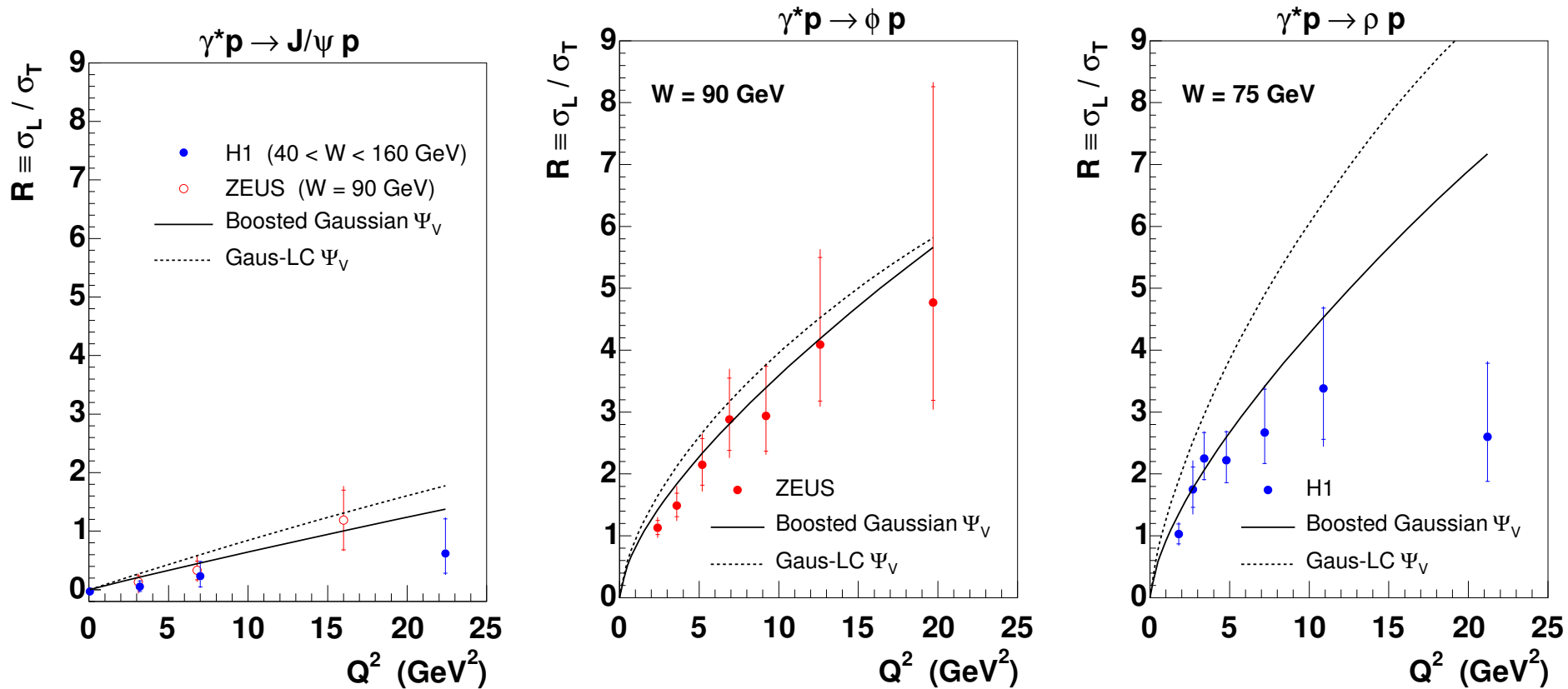


# Results for vector mesons: $Q^2$ -dependence



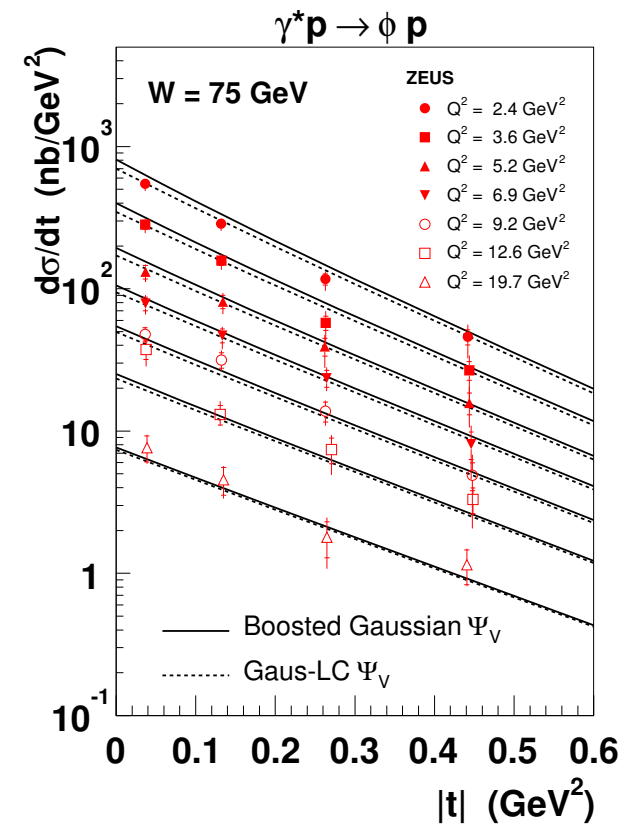
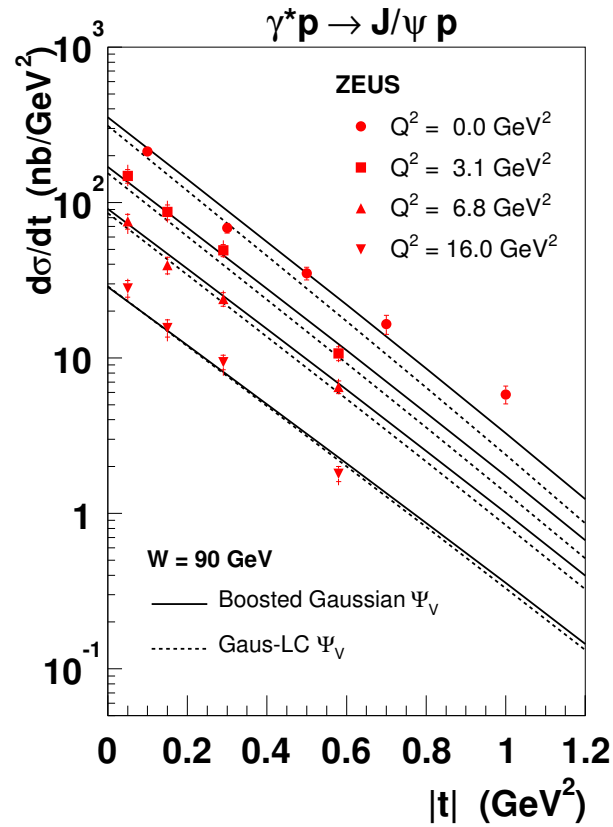
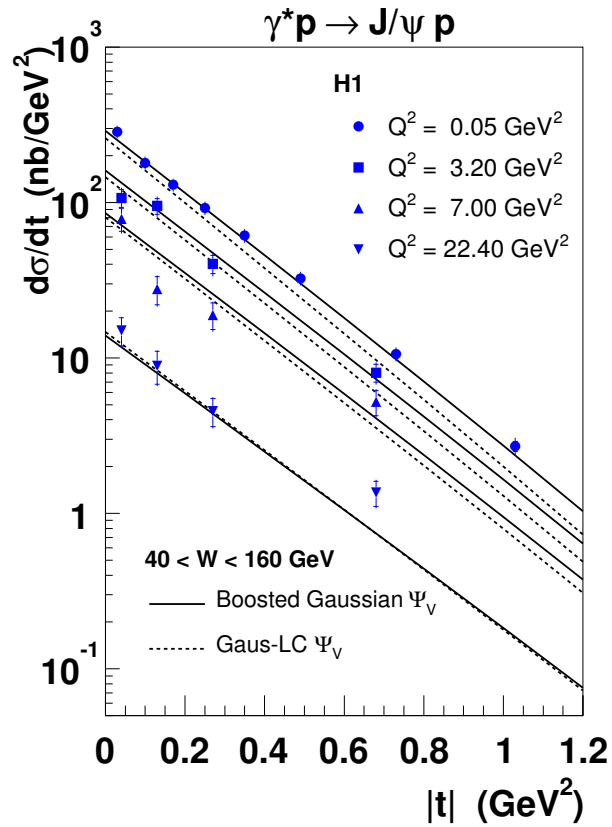


## Results for vector mesons: $\sigma_L/\sigma_T$



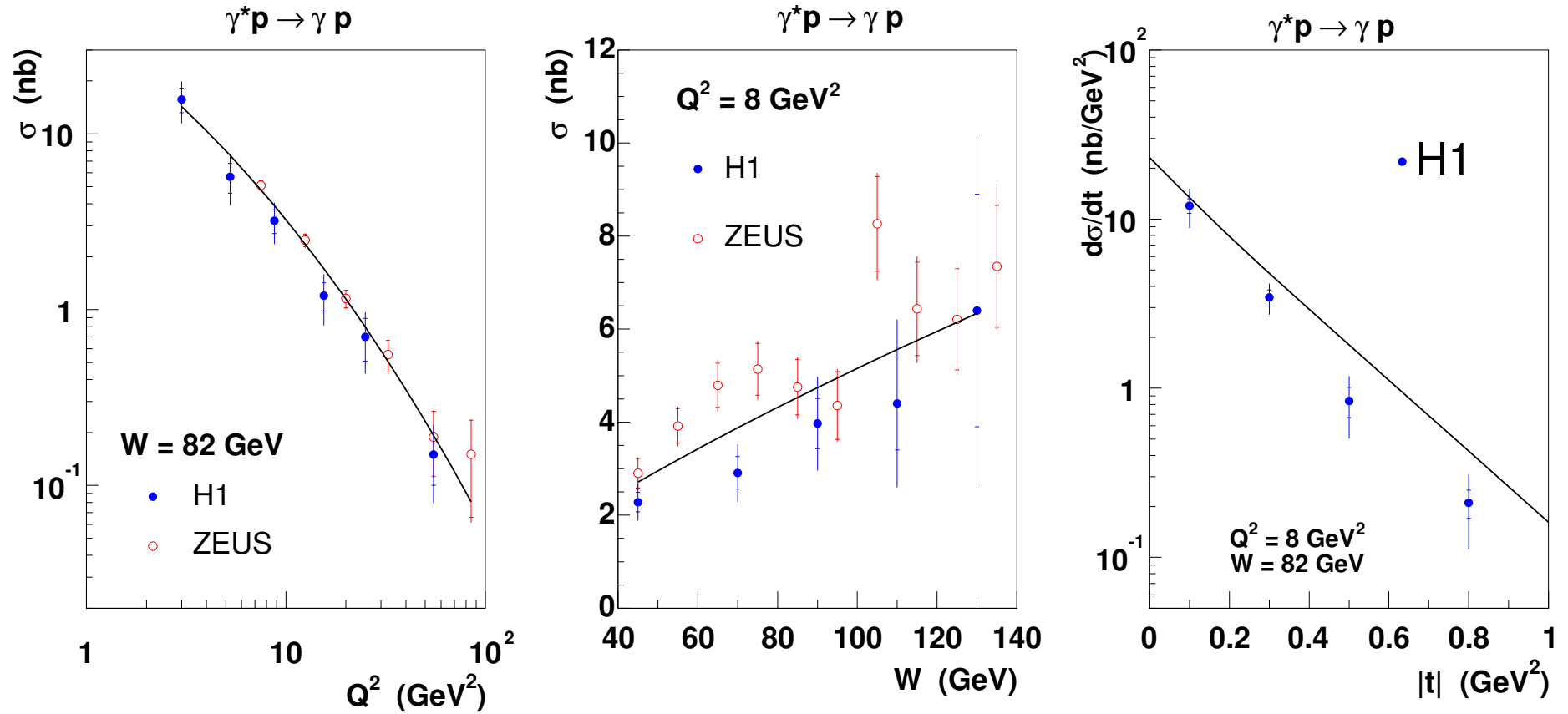
Large discrepancies found for  $R$  — this quantity at large  $Q^2$  strongly depends on meson wave function behaviour close to  $z$ -end-points which is poorly known

# Results for vector mesons: $t$ -dependence



# Deeply Virtual Compton Scattering

$\gamma^*(Q^2) p \rightarrow \gamma p'$  is theoretically clean — no free parameters are left



## Summary of fit results

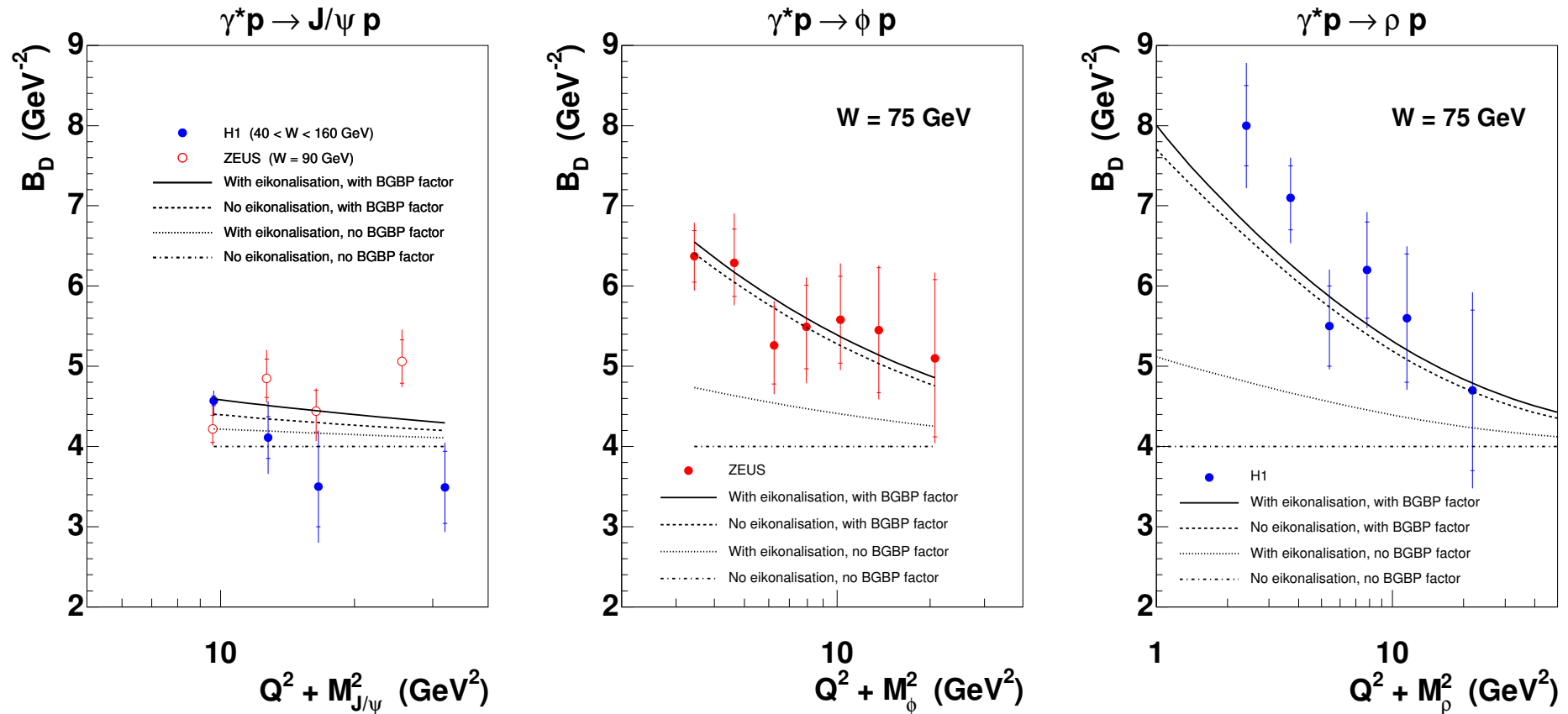
- Good description of  $\sigma(\gamma^*p)$  at small  $x$  down to very low  $Q^2$
- Important free parameters: constrained by  $F_2$ :  $A_g, \lambda, \mu_0^2$ , and by vector meson data:  $R_p$
- Less important: quark masses  $m_c, m_q$ , form of meson wave functions
- Good description of all sections of exclusive vector meson production data. Some problems for  $\rho^0$  were found, especially for  $R = \sigma_L/\sigma_T$  — this observable is, however, very sensitive to details of VM wave function close to end-points in  $z$
- Overall good description of DVCS, slightly too flat  $t$ -dependence

# $B_D$ for light mesons: saturation or geometry?

“Proton broadening” for light vector mesons:

$$d\sigma/dt \sim \exp(-B_D|t|)$$

$t$ -slope parameter  $B_D \simeq 8 - 10 \text{ GeV}^{-2}$  for  $\rho, \phi$  at low  $Q^2$ , while  $B_D \simeq 4 - 5 \text{ GeV}^{-2}$  for  $J/\psi$

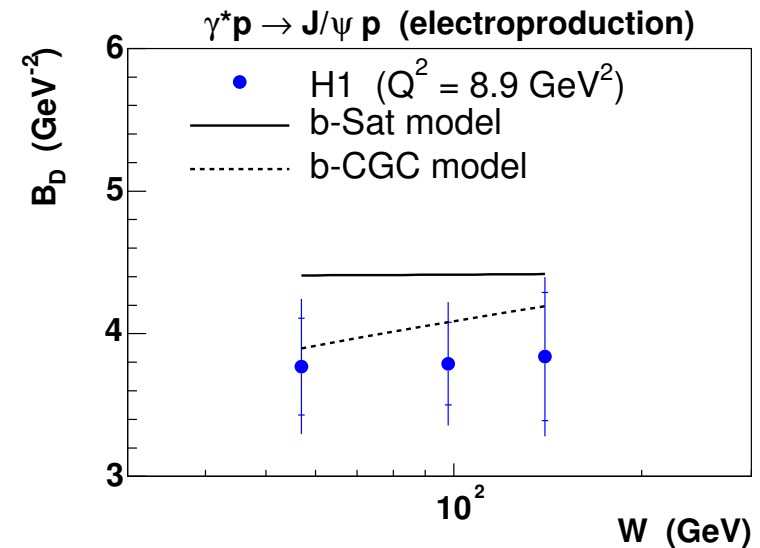
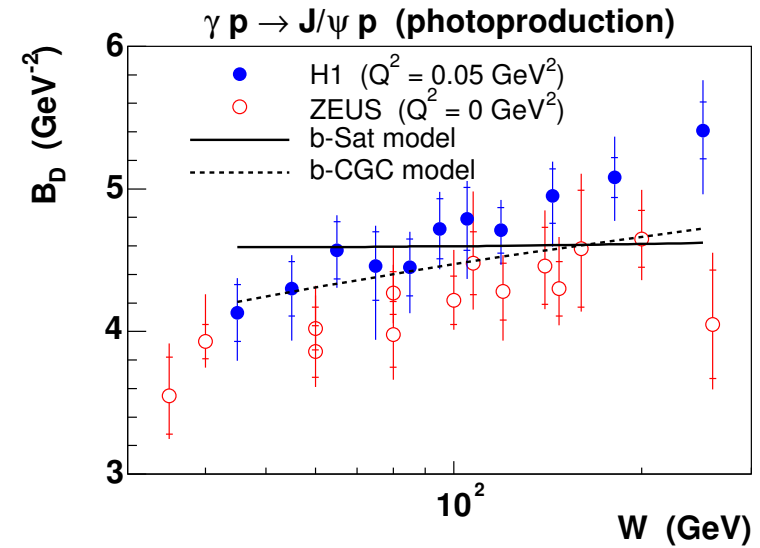
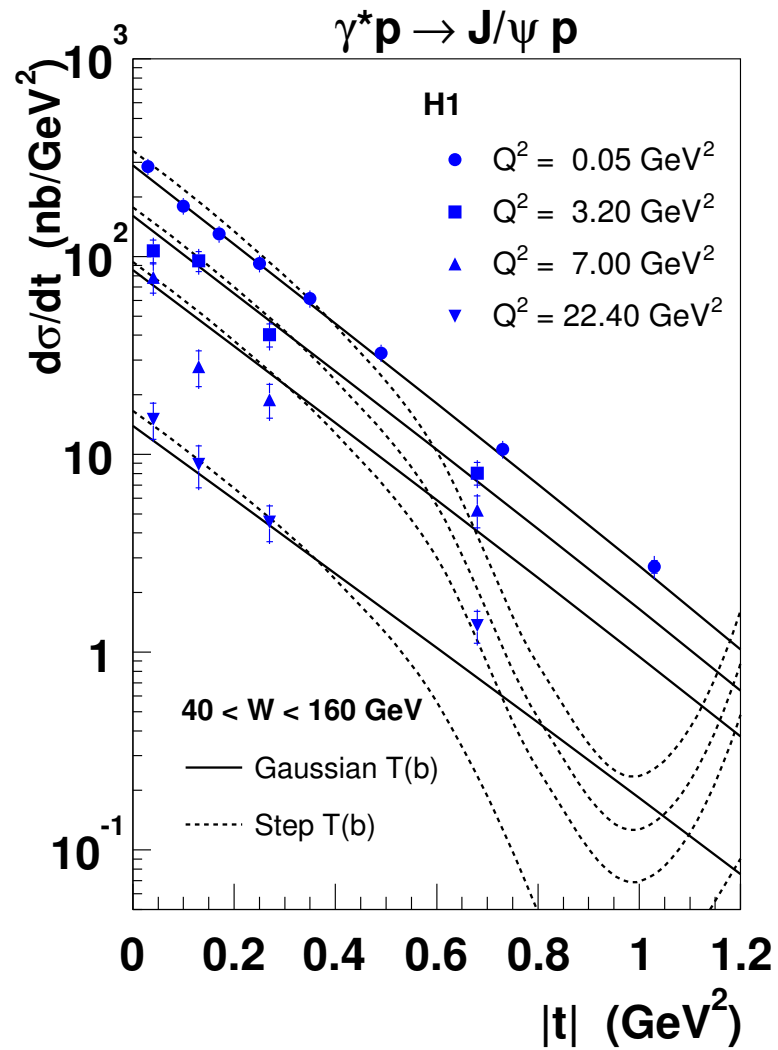


Scale dependence of  $B_D$  — geometric effect related to scattering dipole size

# Proton shape and its evolution

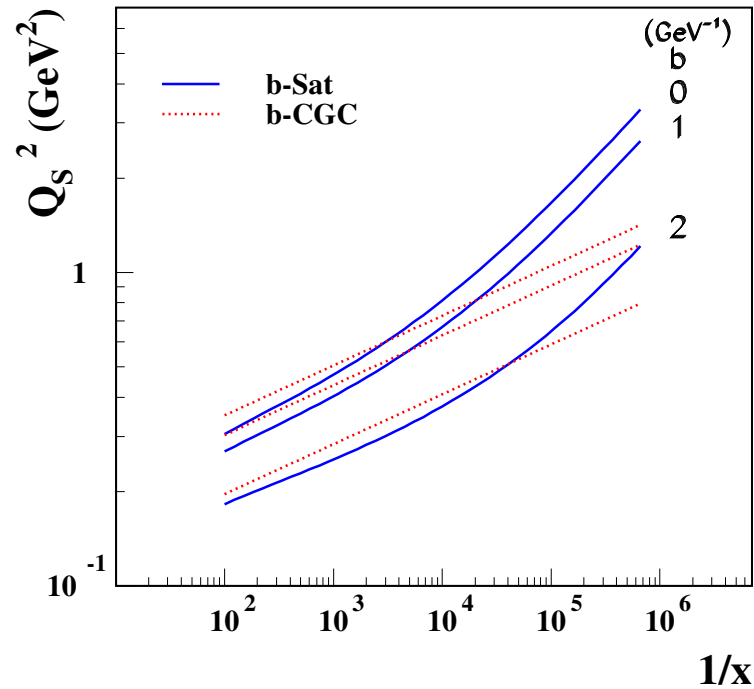
Gaussian or step-function transverse profile?

$\alpha'$  is underestimated in b-Sat  
it is somewhat better for b-CGC

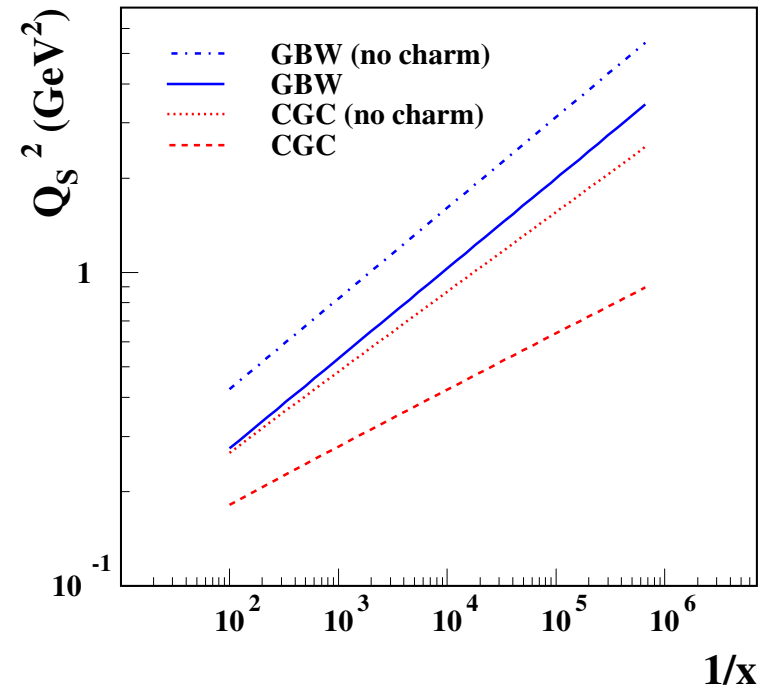


## $b$ -dependent saturation scales

The saturation scale  $Q_S^2 \equiv 2/r_S^2$ , where  $r_S$  is defined by  $\Omega(r_S) = 1$



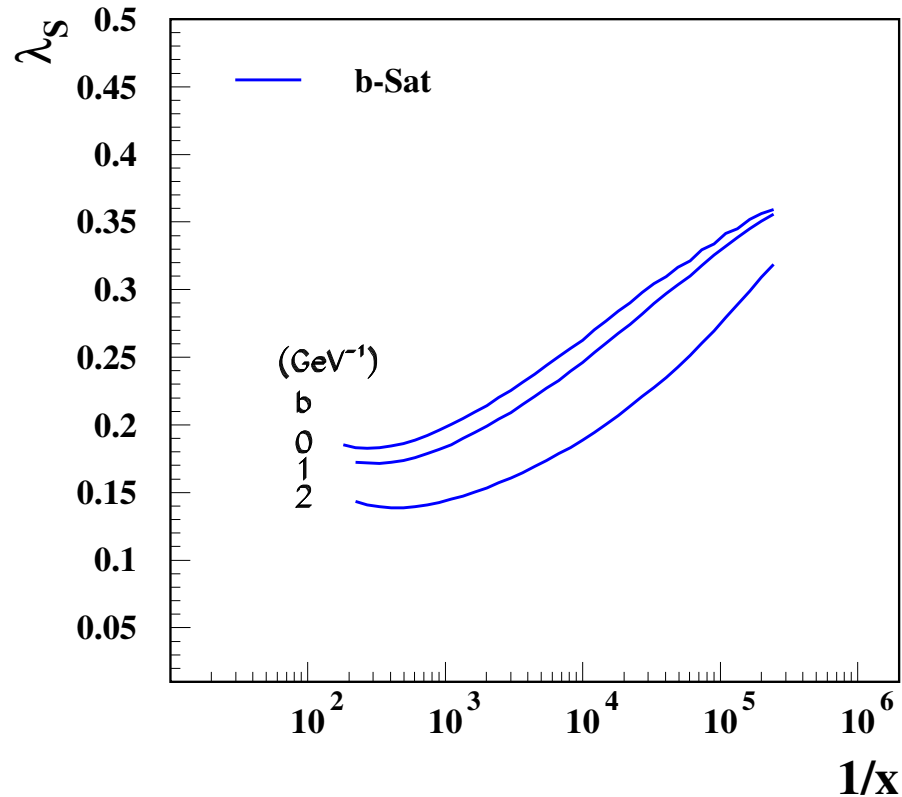
Gaussian proton profile



Step function

- It is essential to include charm and impact parameter profile
- Consistent results for saturation scale value at HERA are obtained

## Soft or semi-hard character of the saturation scale?



$$\lambda_S \equiv \frac{\partial \ln(Q_S^2)}{\partial \ln(1/x)}$$

b-Sat:  $\lambda_S = 0.19$  at  $x = 10^{-2}$   
 and  $\lambda_S = 0.27$  at  $x = 10^{-4}$   
 — greater than  $\lambda_S \simeq 0.08$   
 expected for 'soft' processes

Scale of gluon distribution  $xg(x, \mu^2)$ ,  $\mu^2 = 4/r^2 + \mu_0^2$

Minimal available scale of gluon density :  $\mu_0^2 \simeq 1.2 \text{ GeV}^2$



## Final remarks

- We obtained consistent description of wide set of HERA data for exclusive and inclusive processes
- Framework of Saturation Model is efficient and robust
- Exclusive vector meson data constrain rather well proton shape and radius
- We found important geometric effects for scattering of larger dipoles
- Typical saturation scales  $Q_S^2$  seen at HERA stay below  $1 \text{ GeV}^2$ .  
Extrapolations to LHC energies give  $Q_S^2 \sim$  a couple  $\text{GeV}^2$
- To be completed: nuclear shadowing and  $b$ -dependent analysis of hard diffraction