

*FCC EPOL Workshop, CERN, 27 Sept. 2022*

**AN ERGODIC APPROACH  
TO POLARIZATION LIFETIME  
IN AN ELECTRON STORAGE RING**

*With acknowledgments to NERSC*

**François Méot  
BNL C-AD**

## Introduction (1/4)

Synchrotron radiation affects stored polarization,

via two processes:

(i) Sokholov-Ternov self-polarization

$$P(t) = P(0)e^{-t/\tau_{ST}} + P_{ST}(1 - e^{-t/\tau_{ST}})$$

- which, essentially, causes electron spins to slowly self-polarize towards anti- $\vec{B} //$ ,

- and which I happily ignore in the simulations, to mostly keep in mind the time constant

$$\tau_{ST} = \left[ \frac{5\sqrt{3}}{8} \frac{hr_e}{m} \frac{\gamma^5}{c} \times \int_{\text{dipoles}} \frac{ds}{|\rho|^3} \right]^{-1} \xrightarrow{\text{iso-B}} 99 \frac{\rho^2 R}{E_{[GeV]}^5} [\text{sec}] \quad \underbrace{\hspace{10em}}_{\text{EIC}} \approx 18 \text{ GeV} \quad 35 \text{ minutes}$$

$\rho \approx 250 \text{ m}$   
 $R = 3835/2\pi \text{ m}$

# Introduction (2/4)

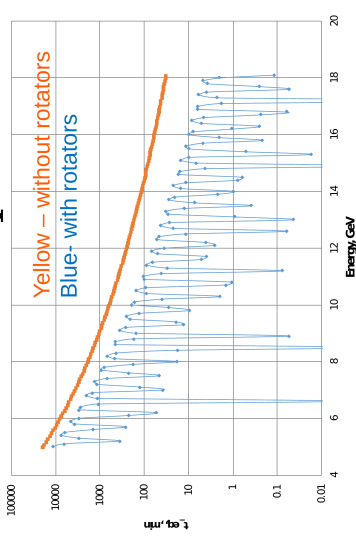
## (ii) Spin diffusion

That's the focus in these slides

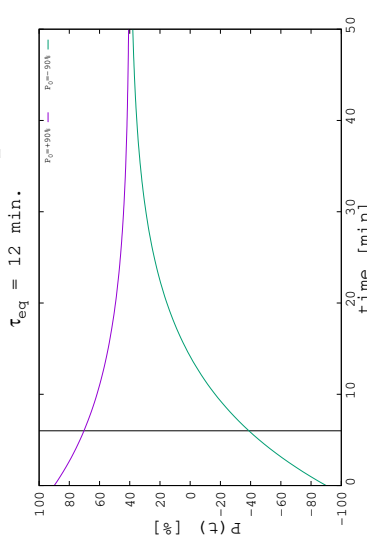
- Stems from the stochastic change of the spin precession axis  $\vec{n}_\delta$  upon emission of a photon:  $\partial\vec{n}_\delta/\partial\gamma$

◇ in a similar way that the chromatic closed-orbit jumps when e emits a photon/loses energy

◇ The effect of spin rotators



◇ Some EIC ESR setting, yielding here  $P_{eq} \sim 40\%$



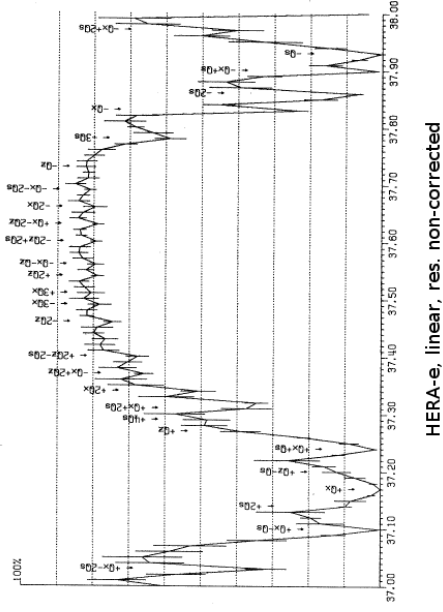
- Causes polarization decay:
  - dominates bunch depolarization,
  - shortens polarization time,  $\tau_{eq} < \tau_{ST}$ ,
  - reduces asymptotic polarization,
  - scales as  $\propto E^7$ .

•  $\tau_D$  matters, as  $P_{eq} = P_{ST} \times \frac{\tau_{eq}}{\tau_{ST}^7}$ , with  $\tau_{eq} = (1/\tau_{ST} + 1/\tau_D)^{-1}$ .

# Introduction (3/4)

## Assessment of polarization performance

- Typically:  
As produced using SITROS,
  - ◇ at DESY [J. Kewisch, DESY/83-032, 1983]

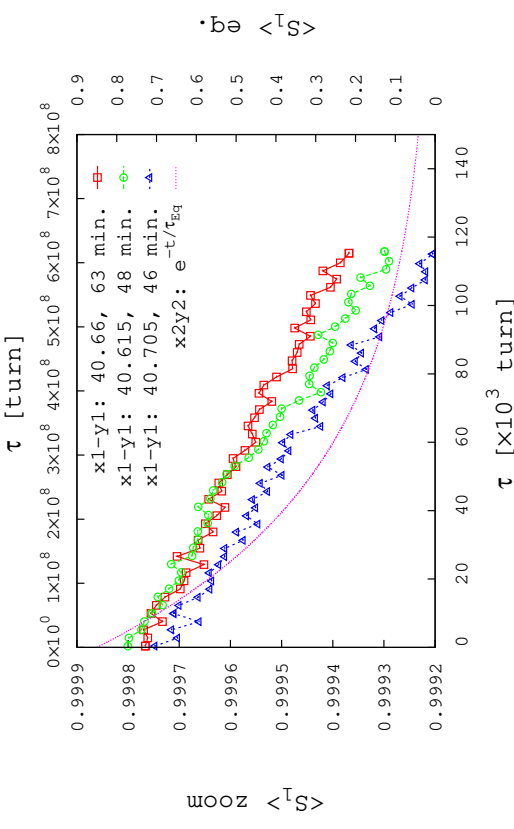


- ◇ today by Eliana Gianfelice at EIC (this workshop)
  - ◇ obtained by tracking a few hundred electrons per bin, and their spins, including SR, over a few damping times

- At EIC ESR today, typically, polarization decay with time

240 damping times,

Polarization vs. time at store, 18 GeV



Diffusion time constant  $\tau_D$

obtained from regression

$$P/P_0 = \exp(-t/\tau_D)$$

## Introduction (4/4)

This is very much HPC resource hungry

- Typically:
  - ◇ tens of bunches (i.e.,  $a\delta\gamma_{ref}$  bins) spanning  $\Delta a\gamma \sim 1$ ,
  - ◇ hundreds of electrons per bunch,
  - ◇ tracking is over several damping times,
- damping time:
  - 500 turns at 18 GeV
  - 3000 turns at 10 GeV
- ◇ around a large ring - hundreds of optical elements (EIC ESR circumference 3.835 km).

# Try the ergodic hypothesis, instead

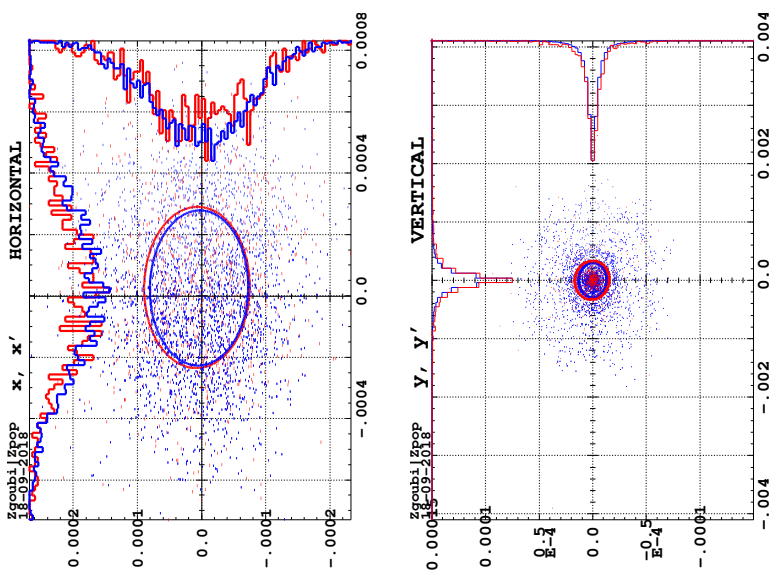
- As we know the dynamical system of an electron bunch in the presence of synchrotron radiation is ergodic. Let's see the equilibrium case for instance, here:

Watch 1 el. long enough that all phase-space is explored

$$\lim_{T \rightarrow \infty} \int_{t_0}^{t_0+T} f(\vec{X}(t)) dt = \int f(\vec{X}) \rho(\vec{X}) d^N \vec{X} \Big|_{time=t}$$

Electron excursion ( $4 \times 10^5$  turns in ESR ring): (lattice is coupled, ~20% coupling, deliberate, origin somewhere in the IP rotator area).

TRANSVERSE  
PHASE SPACES  
and projected densities:



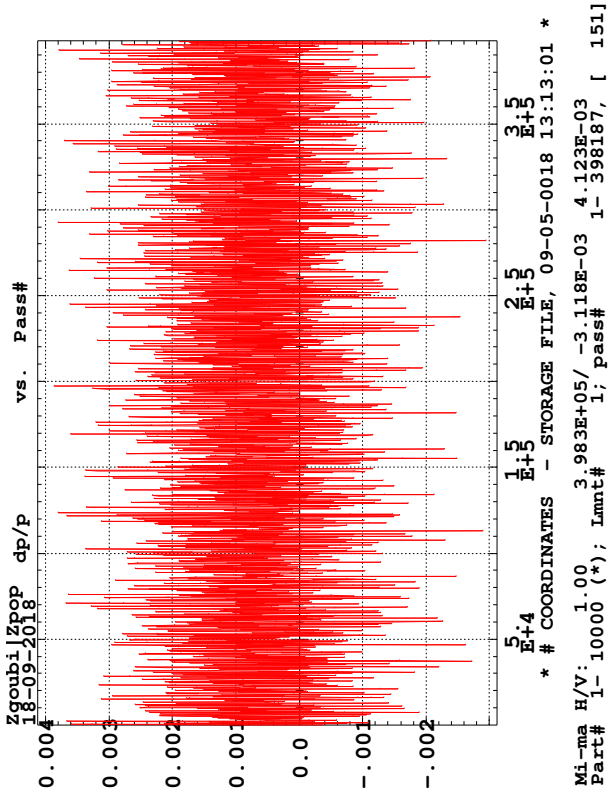
Phase-space two graphs on the right:

- Red dots: phase-space motion of 1! electron over a long time (as in the left figure);
- Blue dots: case of a  $10^3$  electron bunch, observed at arbitrary time  $t$ ;

In both  $H$  and  $V$  phase-spaces, **single-electron** and **bunch** rms match ellipses as well as projected densities do coincide

# LONGITUDINAL PHASE SPACE

Single-electron and bunch densities coincide as well:

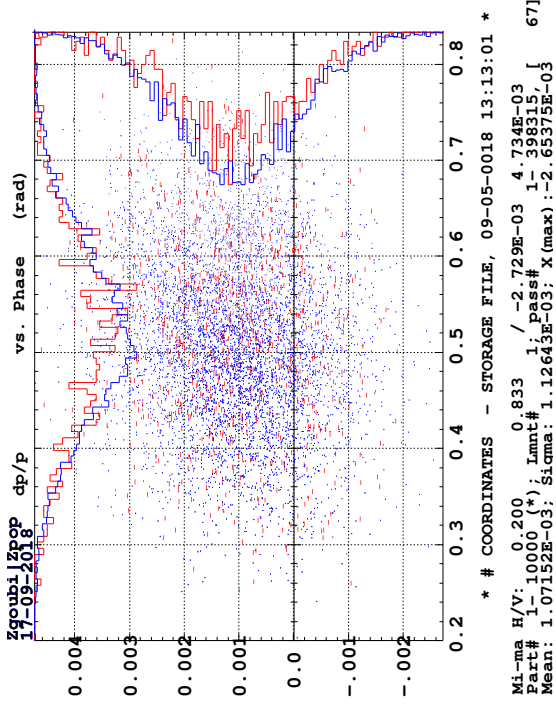


Stochastic energy excursion over time interval  $t/\tau_{SR} : 1 \rightarrow 800$ ;

this yields:

$$\langle \delta p/p \rangle = 1.11 \cdot 10^{-3} \text{ and}$$

$$\sigma_{\delta p/p} = 1.14 \cdot 10^{-3}.$$



Red: projection of the single electron  $4 \times 10^5$ -turn motion;  
 $\langle \delta p/p \rangle = 1.11 \cdot 10^{-3}$ ,  $\sigma_{\delta p/p} = 1.14 \cdot 10^{-3}$ ;  
 $\langle \phi \rangle = 0.519$ ,  $\sigma_{\phi} = 0.091$ .

Blue: case of a  $10^3$  electron bunch observed at arbitrary time  $t$ ;  
 densities appear to satisfy:

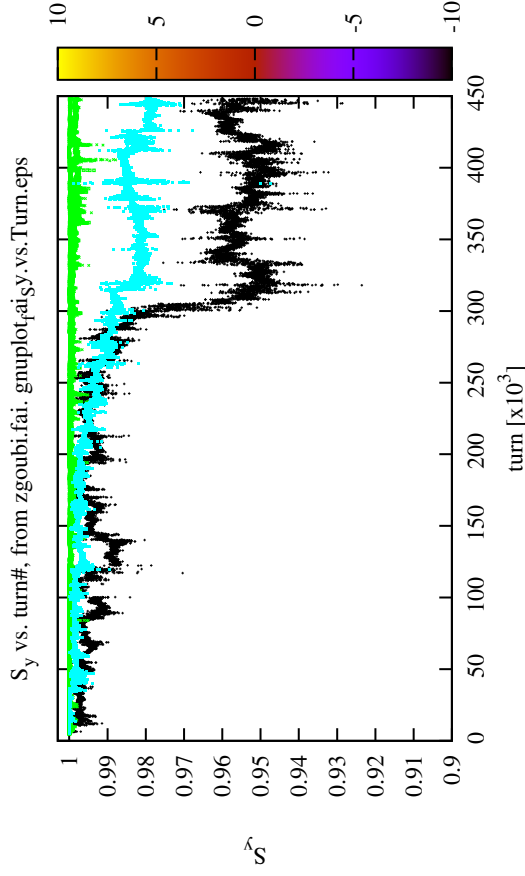
$$\langle \delta p/p \rangle = 1.07 \cdot 10^{-3},$$

$$\sigma_{\delta p/p} = 1.13 \cdot 10^{-3};$$

$$\langle \phi \rangle = 0.519, \quad \sigma_{\phi} = 0.091;$$

# Ergodicity and spin motion

- Stochastic spin motion, single electron, observed at IP8:



In passing:  
tracking takes  
 $\approx 12\text{hrs} / 100 \times \tau_{\text{SR}}$   
(50,000 turns)

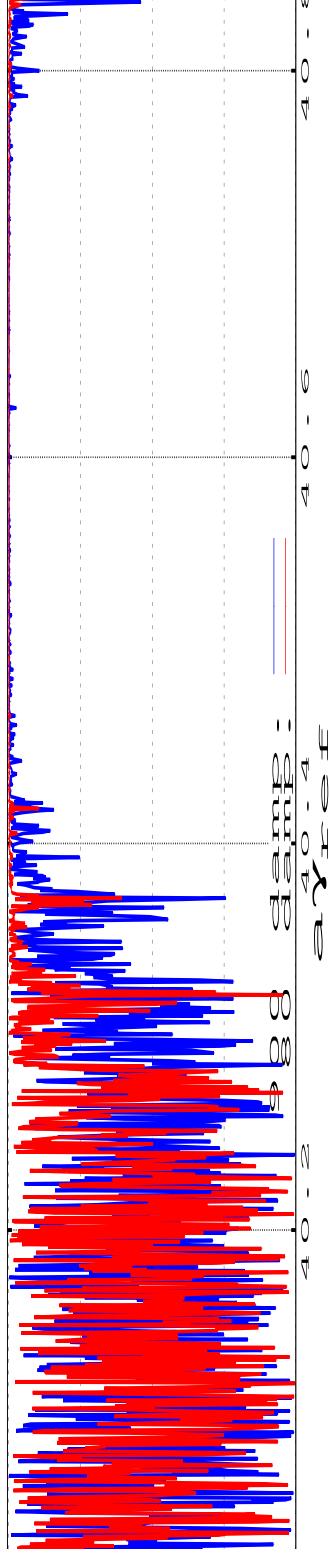
- In regions of (possibly strong) resonances, polarization decay is fast:  
$$\tau_{\text{D}} \sim (\mathbf{a}\gamma_{\text{Res.}} - \mathbf{a}\gamma)^2 \tau_{\text{ST}},$$
$$\mathbf{P}_{\text{eq}} \sim (\mathbf{a}\gamma_{\text{Res.}} - \mathbf{a}\gamma) \mathbf{P}_{\text{ST}},$$
- and presumably the effect of a non viable lattice (error orbit and coupling, rotator mis-match, ...)
- I happily conclude from the latter consideration:  
the sole ring settings that feature very slow polarization decay  
are of interest ...



# Numerical data in support to that hypothesis

Track a single electron per bin, 1 bin is 1 ring with specific rigidity (“ $a\gamma_{\text{ref}}$ ”)

Spin distributions at  $80 \times \tau_{\text{SR}}$  and at  $900 \times \tau_{\text{SR}}$ :



Typical spin motion  $S_y(\text{turn})$  in the 3 regions, and exponential regression:

$a\gamma < 40.4$

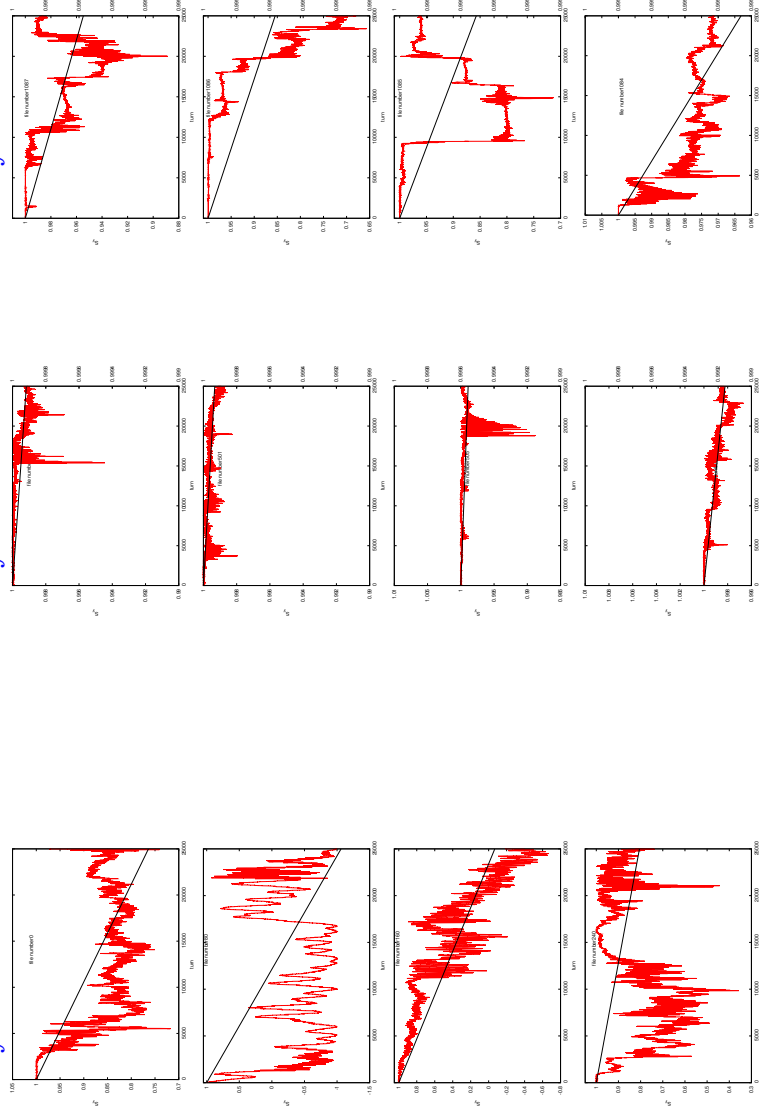
$S_y : 1 \rightarrow -0.8$

$40.5 < a\gamma < 40.7$

$S_y : 1 \rightarrow 0.995$

$a\gamma > 40.8$

$S_y : 1 \rightarrow 0$



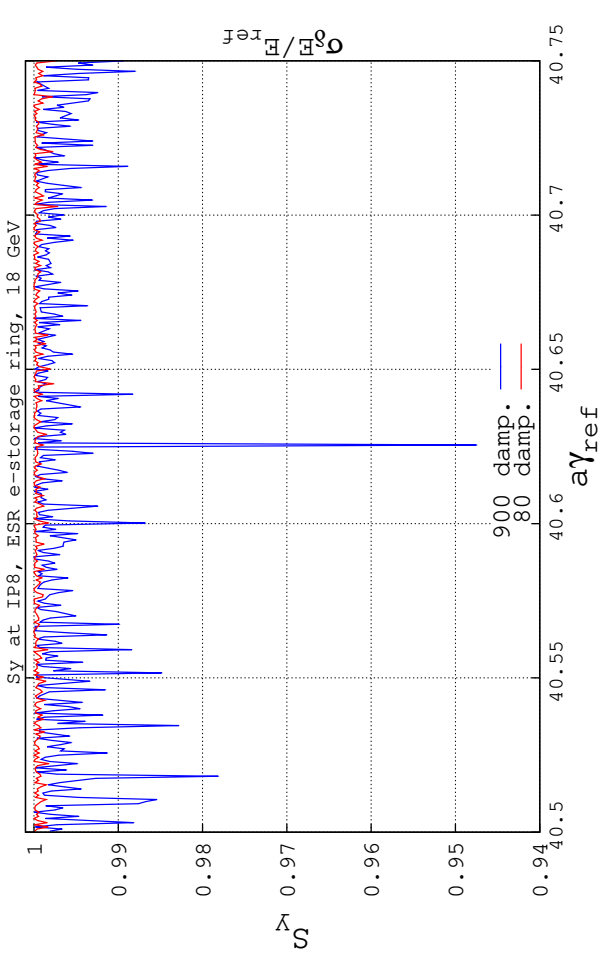
- In the central region the depolarization time constant  $\tau_D$  is large, the jumps of  $\vec{n}_\delta(s)$  are small most of the time.
- Assume that  $S_y(t)$  takes it values (at each photon emission) near the average

$$\langle S_y \rangle(t) / \langle S_y \rangle(0) = \exp\left(-\frac{t}{\tau_D}\right)$$

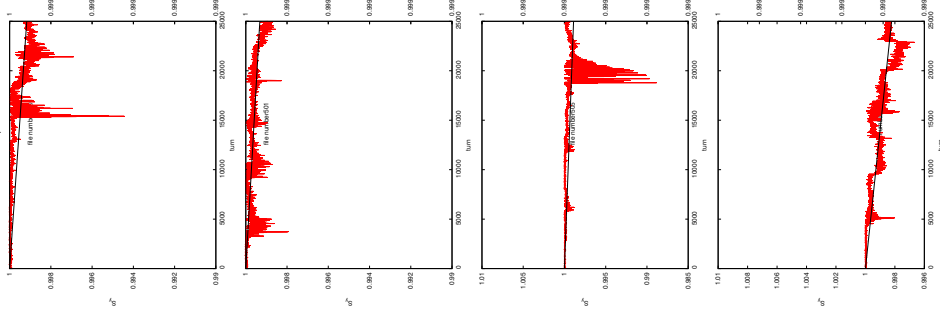
The stochastic variable here is  $\delta S_y(t)$ , the amplitude of the jump.

- Apply the ergodic hypothesis to  $\delta S_y(t)$ : Over a sufficiently long period of time  $T$  (to be determined),  $\delta S_y(t)$  will have explored, a large number of times, all possible values (in the vicinity of the average  $\bar{S}_y(t)$ ).

- My conclusion:  
 $\Rightarrow \tau_D$  can be determined from a single-particle sampling by applying the regression on  $S_y(t : 0 \rightarrow T)$ .



40.5 < a $\gamma_{\text{ref}}$  < 40.7



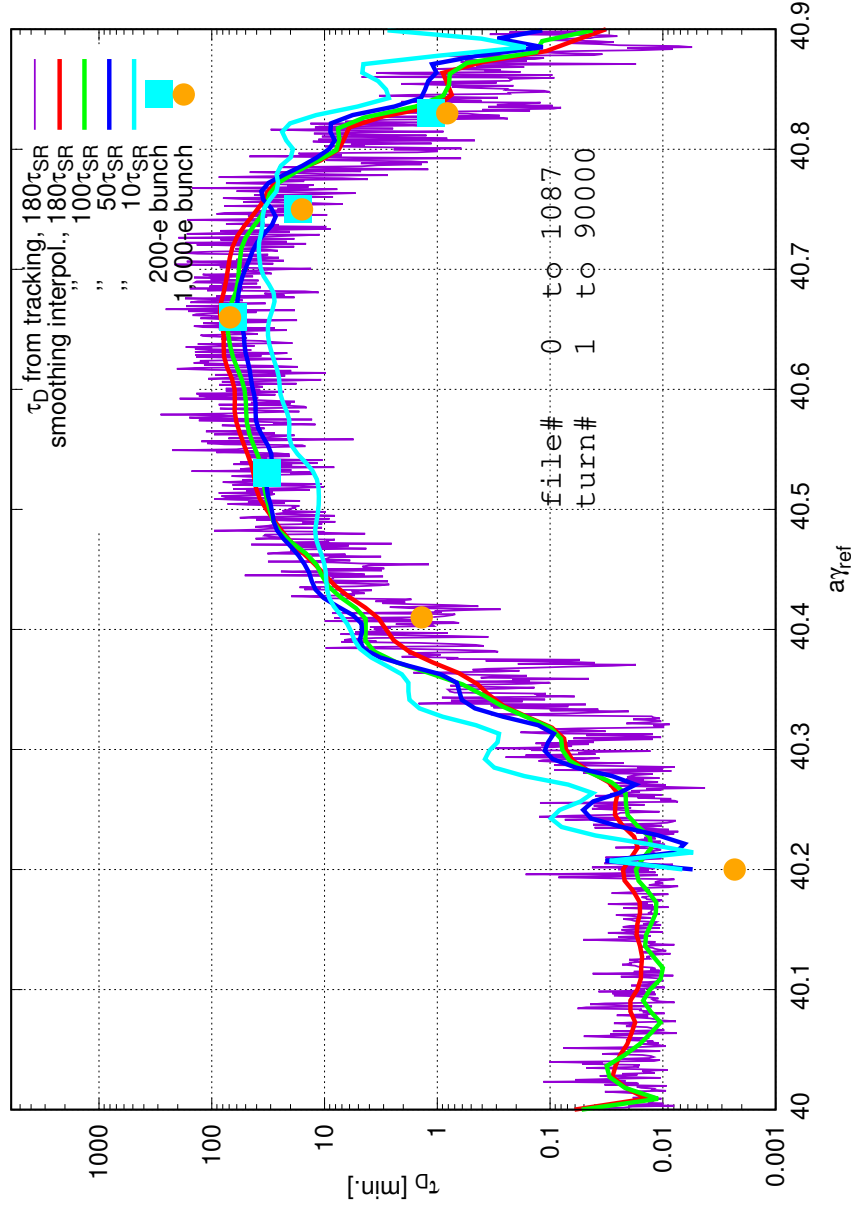
# Convert to $\tau_D$ vs. $a\gamma_{\text{ref}}$

using the ad hoc regression

The graph gives indications about the convergence of the methods:

- **red, green, blue, cyan** smoothing interpolation curves, ergodic case, are from respectively 180, 100, 50 and  $10 \times \tau_{\text{SR}}$  turns;

- **Markers**, electron bunch case, are for 200 or 1,000 electrons, 10 damping time tracking.



*Fluctuat nec mergitur*

# Metric

A proper metric should allow comparison between rings with different settings (effects of errors, coupling, rotator mismatch, ...).

- A straightforward one: distance between interpolation curves, at the manner of the previous slide.

One point here is to determine an appropriate smoothing interpolation method for that stochastic  $\tau_D(a\gamma_{\text{ref}})$  set.

- A possible additional ingredient: averaging  $\tau_D(a\gamma_{\text{ref}})$  over a small  $a\delta\gamma_{\text{ref}}$  interval, a few bins, greatly smooths the fluctuations.

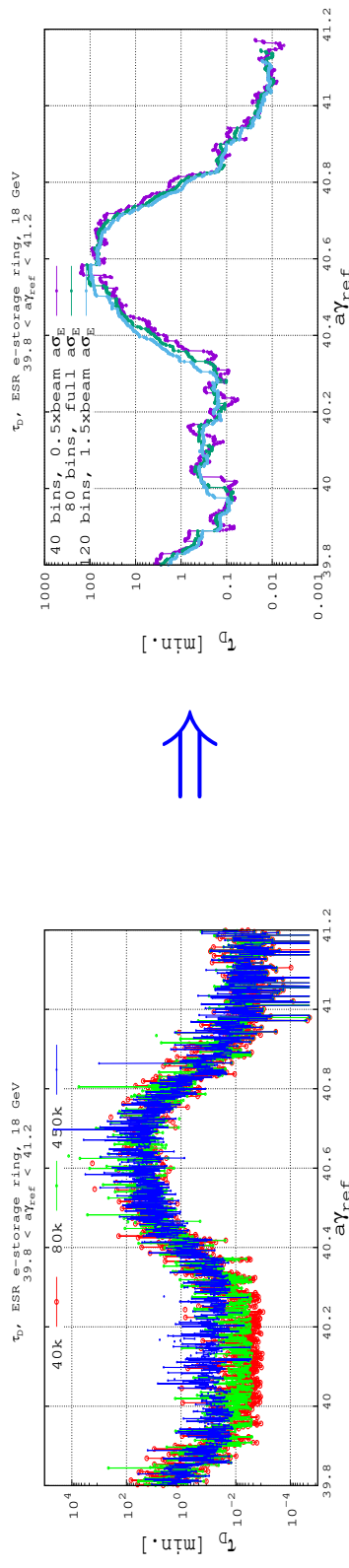
(i) assume for instance  $a\Delta\gamma_{\text{ref}} = 1$  covered in 1,000  $a\gamma_{\text{ref}}$  bins,

1 electron explores  $\sigma_\gamma/\gamma_{\text{ref}} \approx 10^{-3}$  (or  $a\delta\gamma_{\text{ref}} = 0.04$ ), thus covering  $\sim 40$  bins,

(ii) thus, a set of a few bins can be considered to belong in the same ring,

$\Rightarrow$  averaging over a few bins is not very different from averaging over a few electrons in the same bin

- It can be a sliding averaging. The resulting distribution appears to evolve only weakly with number of bins  $N$  of the average interval (before, left; after, right):



- An additional possibility to damp the  $\tau_D$  fluctuations (?): rather than applying the regression on a bare particle's  $S_y(t)$  record, apply it on a cumulative averaging of  $S_y(t)$   $\rightarrow$  liable to accelerate convergence to  $\tau_D$  and/or reduce number of turns.

# COMMENTS

- Assume the same  $a\gamma_{\text{ref}}$  sampling (same number of reference rings in a given interval  $a\Delta\gamma_{\text{ref}}$ ) and,
  - using method 1: a few 100 electrons tracked a few SR damping times, or,
  - using method 2: a single electron tracked over a few SR damping times.

In the present hypotheses (EIC ESR lattice, energy, etc.):

- method 1: the HPC volume is  $n\text{Rings} \times \text{a few } 10^2 \text{ [electrons/ring]} \times \sim 10 \text{ SR damping times}$
- method 2: the HPC volume is  $n\text{Rings} \times 1 \text{ electron} \times \text{a few } 10\text{s of SR damping times,}$   
this is a two orders of magnitude difference.

- Larger HPC volume translates in one or the other of,
  - more processors, longer computing time,  $\rightarrow$  longer HPC cluster queues, greater volume of I/Os, longer data analysis, ...
- Faster computation allows faster exploration of parameter space during design optimizations.

## TO CONCLUDE

There is certainly more to learn and dig out from the ergodic hypothesis, beyond just the present brief considerations.

**THANK YOU FOR YOUR ATTENTION**

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- ◇ BNL EIC ESR collaboration documents (EIC Sharepoint, BNL)
- ◇ F. Méot: Polarization Lifetime in an Electron Storage Ring. MOPAF03, 13th ICAP (2018) Key West.
- ◇ Computer tool: [https://sourceforge.net/p/zgoubi/Users' Guide](https://sourceforge.net/p/zgoubi/Users%27Guide): <https://sourceforge.net/p/zgoubi/code/HEAD/tree/trunk/guide/Zgoubi.pdf>