Flavour physics: status and prospects

Marzia Bordone

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Outline:

- 1. The problem of flavour
- 2. Open problems in hadronic physics
- 3. A glance into BSM physics

The (two) flavour problems

1. The SM flavour problem: The measured Yukawa pattern doesn't seem accidental

 \Rightarrow Is there any deeper reason for that?

- 2. The NP flavour problem: If we regard the SM as an EFT valid below a certain energy cutoff Λ , why don't we see any deviations in flavour changing processes?
	- \Rightarrow Which is the flavour structure of BSM physics?

$$
\mathcal{L}_{\rm Yukawa} \supset Y_u^{ij} \bar{Q}_L^i Hu_R^j
$$

$$
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$$

 \setminus

 $\begin{array}{c} \hline \end{array}$

Exact $U(2)^n$ limit

$$
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$$

An approximate $U(2)^n$ is acting on the light families!

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$$

An approximate $U(2)^n$ is acting on the light families!

• In the SM: accidental $U(3)^5 \rightarrow$ approx $U(2)^n$

- In the SM: accidental $U(3)^5 \to$ approx $U(2)^n$
- What happens when we switch on NP?

no breaking of the $U(2)^n$ flavour symmetry at low energies

Partonic vs Hadronic $\frac{1}{2}$

Fundamental challenge to match partonic and hadronic descriptions

What's the problem for BSM?

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How to satisfy all the constraints at the same time?

Open problems in hadronic physics

What are the open themes in hadronic physics?

1. $B \to D^*$ form factors

2. Inclusive vs. Exclusive determination of V_{cb}

3. Charm-loop effects in $B \to K^* \ell^+ \ell^-$

How can we tame the non-perturbative monsters une non-pe

• Dispersive analysis

⇒ see talks by L. Vittorio, T. Kaneko, M.Prim, B. Colquhoun, J. Harrison

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Lattice calculations at $q^2 \neq q^2_{\rm max}$ Lattice calculations at $q^2 \neq q^2_{\rm max}$ \mathcal{F} q_{max} Lattice calculations at $a^2 \neq a^2$

- \bullet Tensions between different lattice determinations, experimental data and non-lattice theory determination \mathcal{F}_M is the HPQC control of \mathcal{F}_M
- No consensus yet, ongoing checks
- New Belle analysis available

 \Rightarrow See talk by M. Prim

Pheno status

- Without LQCD prediction, the current combined tension is $\sim 3.3 \sigma$
- Concerning R_D the situation is much stable because different LQCD collaborations agree with each other and experimental data

Inclusive vs. Exclusive determination of V_{cb}

Major impact for

- Test of unitarity for the CKM
- \bullet $\epsilon_K \sim |V_{cb}|^4$
- $\mathcal{B}(B_s \to \mu\mu) \sim |V_{cb}|^2$
- $\mathcal{B}(B \to K \nu \bar{\nu}) \sim |V_{cb}|^2$

Inclusive vs. Exclusive determination of V_{cb}

The inclusive determination is solid

• Only caveat: QED corrections for charged current decays are enhanced by the Coulomb factor (for neutral B mesons)

MB, Bigi, Gambino, Haisch, Piccione '23

 \Rightarrow The impact has to be checked for each measurement

The exclusive determination depends on the dataset and hadronic form factor used

- Work in progress on the theory side
- New experimental data are available and have to be still scrutinised

⇒ see talks by K.Vos, D. Moreno

$$
\mathcal{H}_{\text{eff}} = -4 \frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \left[-C_1 \mathcal{O}_1 - \mathcal{C}_2 \mathcal{O}_2 + \mathcal{C}_7 \mathcal{O}_7 + \mathcal{C}_9 \mathcal{O}_9 + \mathcal{C}_{10} \mathcal{O}_{10} \right]
$$

$$
O_1 = (\bar{s}\gamma^{\mu} P_L b) (\bar{c}\gamma_{\mu} c)
$$
\n
$$
O_2 = (\bar{s}\gamma^{\mu} P_L b) (\bar{c}\gamma_{\mu} c)
$$
\n
$$
O_3 = (\bar{s}\gamma^{\mu} P_L b) (\bar{\ell}\gamma_{\mu} \ell)
$$
\n
$$
O_1 \circ \equiv (\bar{s}\gamma^{\mu} P_L b) (\bar{\ell}\gamma_{\mu} \gamma_5 \ell)
$$
\n
$$
O_2 = (\bar{s}\gamma^{\mu} P_L b) (\bar{c}\gamma_{\mu} \gamma_5 \ell)
$$
\n
$$
O_1 \circ \equiv (\bar{s}\gamma^{\mu} P_L b) (\bar{\ell}\gamma_{\mu} \gamma_5 \ell)
$$
\n
$$
O_2 = (\bar{s}\gamma^{\mu} P_L b) (\bar{c}\gamma_{\mu} T^a c)
$$
\n
$$
O_1 \circ \equiv (\bar{s}\gamma^{\mu} P_L b) (\bar{\ell}\gamma_{\mu} \gamma_5 \ell)
$$
\n
$$
\bar{\ell} \rightarrow
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\n
$$
\bar{\ell} \rightarrow
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\n
$$
O_1 \rightarrow
$$
\n
$$
\ell \rightarrow
$$
\n
$$
O_{1,2}
$$

How do we parametrise these long-distance effects?

- Conformal transformation $q^2 \mapsto z(q^2)$, with $|z| < 1$
- $C_9^{\text{LD}} \propto \alpha_n z^n$ [1707.07305]
- Dispersive analysis allow to determine the truncation order of the series

[2011.09813,2206.03797]

• Effects are small

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• Effects are small

Is this all?

- Are these contributions included?
- Are they large that they can reconcile the tension in $B \to K^* \mu \mu$?

Charm loop effects in $B \to K^{(*)} \mu^+ \mu^-$

MB, Isidori, Maechler, Tinari, to appear

 \bullet Can we extract some hints of the shape of $C_9^{\rm LD}(q^2)$ from data?

 \Rightarrow NP yields a constant effect in the whole kinematic region

• Is the current sensitivity enough to claim anything?

A glance into BSM physics

Status of high energy bounds

universal new physics

Flavour Non-Universal New Physics

Dvali, Shifman, '00 Panico, Pomarol, '16 MB, Cornella, Fuentes-Martin, Isidori '17 Allwicher, Isidori, Thomsen '20 Barbieri, Cornella, Isidori, '21 Davighi, Isidori '21

Basic idea:

- 1st and 2nd have small masses and small couplings to NP because they are generated by dynamics at a heavier scale
- 3rd generation is linked to dynamics at lower scales and has stronger couplings

Flavour deconstruction:

fermion families interact with different gauge groups and flavour hierarchies emerge as accidental symmetries

Energy

Energy

What do we expect in the SMEFT?

$$
\mathcal{L}_{\text{EFT}} \supset \begin{array}{c} \boxed{C_{bc\tau\tau}} \\ \hline \Lambda^2 \\ \hline \end{array} (\bar{b}_L^i \gamma_\nu c_L^j)(\bar{\nu}_\tau \gamma^\mu \tau_L)
$$

From $U(2)^n \Rightarrow C_{bc\tau\tau} \sim V_{cb} \mathcal{O}(1)$
From $R_{D(*)} \Rightarrow \Lambda \sim \mathcal{O}(\text{TeV})$

Using $SU(2)_L$ invariance, we have

$$
\mathcal{L}_{\text{EFT}} \supset \frac{C_{bs\tau\tau}}{\Lambda^2} (\bar{b}_L^i \gamma_\nu s_L^j)(\bar{\nu}_\tau \gamma^\mu \tau_L)
$$

From $U(2)^n \Rightarrow C_{bs\tau\tau} \sim V_{cb} \mathcal{O}(1)$
Belle II measurement of $B \to K\nu\bar{\nu}$
in agreement with $U(2)^n$

The present hints align well together, but it is too soon to claim victory...

Conclusions

- Flavour physics is a powerful test for new physics living at different energy scales
- At the current status, we haven't observed any clear sign of new physics
- No clear sign of new physics can hint to a peculiar structure for the flavour structure of NP and to flavour deconstruction
	- \Rightarrow Theoretical and Experimental efforts will shed light on puzzles in hadronic predictions, aiming to a deeper understanding of the SM
	- \Rightarrow From the phenomenological point of view, a few hints point to a strong link between new physics and the third generations, with possible new physics reach close to the current searches

[Appendix](#page-39-0)

$B \to D^{(*)}$ form factors

- 7 (SM) $+$ 3 (NP) form factors
- Lattice computation for $q^2\neq q_{\sf max}^2$ only for $B\to D$
- Calculation usually give only a few points
- \bullet q^2 dependence must be inferred
- \bullet Conformal variable z

$$
z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}
$$

- $\bullet\,\,t_{+}=(m_{B}+m_{D^{(\ast)}})^{2}$ pair production threshold
- $t_0 < t_+$ free parameter that can be used to minimise $|z_{\text{max}}|$
- $|z| \ll 1$, in the $B \to D$ case $|z| < 0.06$

The HQE parametrisation 1

• Expansion of QCD Lagrangian in $1/m_{b,c} + \alpha_s$ corrections

[Caprini, Lellouch, Neubert, '97]

• In the limit $m_{b,c} \to \infty$: all $B \to D^{(*)}$ form factors are given by a single Isgur-Wise function

 $F_i \sim \xi$

• at higher orders the form factors are still related \Rightarrow reduction of free parameters

$$
F_i \sim \left(1 + \frac{\alpha_s}{\pi}\right)\xi + \frac{\Lambda_{\text{QCD}}}{2m_b}\xi_{\text{SL}}^i + \frac{\Lambda_{\text{QCD}}}{2m_c}\xi_{\text{SL}}^i
$$

- at this order 1 leading and 3 subleading functions enter
- \bullet ξ^i are not predicted by HQE, they have to be determined using some other information

The HQE parametrisation 2

- \bullet Important point in the HQE expansion: $q^2=q^2_{\sf max}$
- At this point Luke's Theorem applies: the subleading corrections vanish for some form factors
- The leading Isgur-Wise function is normalised: $\xi(q^2=q^2_{\sf max})=1$
- Problem: contradiction with lattice data!
- $1/m_c^2$ corrections have to be systematically included [Jung, Straub, '18,

MB, M.Jung, D.van Dyk, '19]

• well motivated also since $\alpha_s/\pi \sim 1/m_b \sim 1/m_c^2$

The HQE results

[MB, Jung, van Dyk, EPJC 80 (2020), MB, Gubernari, Jung, van Dyk, EPJC 80 (2020)]

Data points:

• theory inputs only (Lattice QCD, QCD Sum Rules, Light-cone Sum Rules, Dispersive Bounds)

• Expansion in z up to order

Comparison with kinematical distributions

good agreement with kinematical distributions

Fit stability

- BGL fit to Belle 2017 and 2018 data (yellow)
- HQE fit 2/1/0 (red)
- HQE fit $3/2/1$ (blue)

- compatibily of HQE fit with data driven one
- 2/1/0 underestimates massively uncertainties

 $3/2/1$ is our nominal fit

Phenomenological results

• V_{cb} extraction

$$
V_{cb}^{\text{average}} = (41.1 \pm 0.5) \times 10^{-3}
$$

compatibility of 1.8σ between inclusive and exclusive

• Universality ratios

$$
R_{D^*} = 0.2472 \pm 0.0050 \qquad R_{D_s^*} = 0.2472 \pm 0.0050
$$

towards the combined 4σ discrepancy

- We observe no $SU(3)_F$ breaking
- Good compatibility with LHCb $\bar B_s\to D_s^{(*)}$ analysis in 2001.03225

Inclusive vs Exclusive determination of V_{cb}

Inclusive determination of V_{cb} :

$$
V_{cb}^{\text{incl}} = (42.00 \pm 0.65) \times 10^{-3}
$$

[P. Gambino, C. Schwanda, 1307.4551 A. Alberti, P. Gambino, K. J. Healey, S. Nandi, 1411.6560 P. Gambino, K. J. Healey, S. Turczyk, 1606.06174]

Exclusive determination of V_{cb} : depends on the data set used and the assumptions for the hadronic parameters

•
$$
B \to D\ell\bar{\nu}
$$
: $V_{cb}^{\text{excl}}|_{BD} = (40.49 \pm 0.97) \times 10^{-3}$

- $B \to D^* \ell \bar{\nu}$: not a general consensus yet, but systematically lower $V_{cb}^{\rm excl} \vert_{BD}$ [P.Gambino, M.Jung, S.Schacht, '19 F.Bernlochner, Z. Ligeti, M. Papucci, D. Robinson,'17 + · · ·]
- $B_s \to D_s^{(*)} \ell \bar{\nu}$: new extraction by LHCb \Rightarrow still large uncertainties [2001.03225]

[[]P.Gambino, D.Bigi, 1606.08030, + · · ·]

HQET in a nutshell

- In HQET it is convenient to work with velocities instead of momenta
- Instead of q^2 we use the dimensionless variable $w = v_B \cdot v_{D^*}$
- $\bullet\,$ When the $B(b)$ decays such that the $D^*(c)$ is at rest in the $B(b)$ frame

$$
v_B = v_{D^*} \qquad \Rightarrow \qquad w = 1
$$

- The brown muck doesn't realise that anything changed
- At zero recoil, the leading IW function is normalized

$$
\xi(w=1)=1
$$

V_{cb} and NP

[Jung, Straub 2018]

• If we allow LFUV between μ and electrons

$$
\tilde{V}_{cb}^{\ell} = V_{cb}(1 + C_{V_L}^{\ell})
$$

• Fitting data from Babar and Belle

$$
\frac{\tilde{V}_{cb}^{e}}{\tilde{V}_{cb}^{\mu}} = 1.011 \pm 0.012
$$

$$
\frac{1}{2}(\tilde{V}_{cb}^{e} + \tilde{V}_{cb}^{\mu}) = (3.87 \pm 0.09)\%
$$

$$
\frac{1}{2}(\tilde{V}_{cb}^{e} - \tilde{V}_{cb}^{\mu}) = (0.022 \pm 0.023)\%
$$

BGL vs CLN

• Both BGL and CLN parametrisation of form factors rely on using unitarity arguments.

[Boyd, Grinstein, Lebed, '95 Caprini, Neubert, Lellouch, '98]

- CLN relies on HQET.
- Unfolded distributions from Belle allowed to repeat an independent fit.

BGL vs CLN parametrisations

CLN [Caprini, Lellouch, Neubert, '97]

- Expansion of FFs using HQET
- $1/m_{b,c}$ corrections included
- Expansion of leading IW function up to 2nd order in $(w 1)$

BGL [Boyd, Grinstein, Lebed, '95]

- Based on analyticity of the form factors
- Expansion of FFs using the conformal variable z
- Large number of free parameters

Results: unitary bounds

.0 0.2 0.4 0.6 0.8 1.0 1.2 saturation

Bound for $J^P = 1^-$ **EOS** v0.3.1

 $_{0.0}^{0.0}$.0 .0 .0 .0 .0 .0 .0 .0 .0

BJvD19

Unitarity Bounds

$$
= i \int d^4x \, e^{iqx} \langle 0|T \{j_\mu(x), j_\nu^\dagger(0)\} |0\rangle = (g_{\mu\nu} - q_\mu q_\nu) \Pi(q^2)
$$

- If $q^2 \ll m_b^2$ we can calculate $\Pi(q^2)$ via perturbative techniques $\Rightarrow \chi(0)$
- $\bullet\,$ Dispersion relations link <code>lm</code> $\left(\Pi(q^2)\right)$ to sum over matrix elements

$$
\sum_{i} |F_i(0)|^2 < \chi(0)
$$

[Boyd, Grinstein,Lebed, '95 Caprini, Lellouch, Neubert, '97]

- The sum runs over all possible states hadronic decays mediated by a current $\bar{c}\Gamma_\mu b$
	- The unitarity bounds are more effective the most states are included in the sum
	- The unitarity bounds introduce correlations between FFs of different decays
	- $\bullet~~B_s\rightarrow D_s^{(*)}$ decays are expected to be of the same order of $B_{u,d}\rightarrow D_{u,d}^{(*)}$ decays due to $SU(3)_F$ simmetry

$$
\Gamma = \frac{1}{m_B} \text{Im} \int d^4x \langle B(p)|T \left\{ \mathcal{H}_{\text{eff}}^{\dagger}(x) \mathcal{H}_{\text{eff}}(0) \right\} | B(p) \rangle
$$

$$
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$$

$$
\sum_{n,i} \frac{1}{m_b^n} C_{n,i} \mathcal{O}_{n+3,i}
$$

$$
\Gamma = \frac{1}{m_B} \text{Im} \int d^4x \langle B(p)|T \left\{ \mathcal{H}_{\text{eff}}^{\dagger}(x) \mathcal{H}_{\text{eff}}(0) \right\} | B(p) \rangle
$$

$$
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$$

- The Wilson coefficients are calculated perturbatively
- The matrix elements $\langle B(p)|\mathcal{O}_{n+3,i}|B(p)\rangle$ are non perturbative
	- \Rightarrow They need to be determined with non-perturbative methods, e.g. Lattice QCD
	- \Rightarrow They can be extracted from data
	- \Rightarrow With large *n*, large number of operators

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loss of predictivity

$$
\Gamma_{sl} = \Gamma_0 f(\rho) \left[1 + a_1 \left(\frac{\alpha_s}{\pi} \right) + a_2 \left(\frac{\alpha_s}{\pi} \right)^2 + a_3 \left(\frac{\alpha_s}{\pi} \right)^3 - \left(\frac{1}{2} - p_1 \left(\frac{\alpha_s}{\pi} \right) \right) \frac{\mu_\pi^2}{m_b^2} + \left(g_0 + g_1 \left(\frac{\alpha_s}{\pi} \right) \right) \frac{\mu_G^2(m_b)}{m_b^2} + d_0 \frac{\rho_D^3}{m_b^3} - g_0 \frac{\rho_{LS}^3}{m_b^3} + \dots \right]
$$

$$
\mu_{\pi}^{2}(\mu) = \frac{1}{2m_{B}} \langle B|\bar{b}_{v}(i\vec{D})^{2}b_{v}|B\rangle_{\mu} \qquad \mu_{G}^{2}(\mu) = \frac{1}{2m_{B}} \langle B|\bar{b}_{v}\frac{i}{2}\sigma_{\mu\nu}G^{\mu\nu}b_{v}|B\rangle_{\mu}
$$

- Coefficients of the expansions are known
- Ellipses stands for higher orders

$$
\Gamma_{sl} = \Gamma_0 f(\rho) \left[1 + a_1 \left(\frac{\alpha_s}{\pi} \right) + a_2 \left(\frac{\alpha_s}{\pi} \right)^2 + a_3 \left(\frac{\alpha_s}{\pi} \right)^3 - \left(\frac{1}{2} - p_1 \left(\frac{\alpha_s}{\pi} \right) \right) \frac{\mu_\pi^2}{m_b^2} + \left(g_0 + g_1 \left(\frac{\alpha_s}{\pi} \right) \right) \frac{\mu_G^2(m_b)}{m_b^2} + a_0 \frac{\rho_D^3}{m_b^3} - g_0 \frac{\rho_{LS}^3}{m_b^3} + \dots \right]
$$

$$
\mu_{\pi}^{2}(\mu) = \frac{1}{2m_{B}} \langle B|\bar{b}_{v}(i\vec{D})^{2}b_{v}|B\rangle_{\mu} \qquad \mu_{G}^{2}(\mu) = \frac{1}{2m_{B}} \langle B|\bar{b}_{v}\frac{i}{2}\sigma_{\mu\nu}G^{\mu\nu}b_{v}|B\rangle_{\mu}
$$

- Coefficients of the expansions are known
- Ellipses stands for higher orders

How do we constrain the OPE parameters?

- Lepton energy and hadronic invariant mass distributions can be used to extract non perturbative information
- Moments of the kinematic distributions

$$
\langle E_{\ell}^{n} \rangle = \frac{\int_{E_{\ell} > E_{\ell, \text{cut}}} dE_{\ell} E_{\ell}^{n} \frac{d\Gamma}{dE_{\ell}}}{\Gamma_{E_{\ell} > E_{\ell, \text{cut}}}} \frac{dE_{\ell} E_{\ell}^{n} \frac{d\Gamma}{dE_{\ell}}}{\int dE_{\ell} \frac{d\Gamma}{dE_{\ell}}}
$$

- Similar definition for hadronic mass moments
- The moments give access to the distribution, but not to the normalisation
- They admit an HQE as the rate
	- \Rightarrow No $\mathcal{O}(\alpha_s^3)$ terms are known yet

Inclusive V_{cb} from q^2 moments

[Bernlochner et al., '22]

An alternative for the inclusive determination

•
$$
q^2
$$
 moments

$$
R^* = \frac{\int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2}}{\int_0 dq^2 \frac{d\Gamma}{dq^2}} \qquad \langle (q^2)^n \rangle = \frac{\int_{q^2 > q_{\text{cut}}^2} dq^2 (q^2)^n \frac{d\Gamma}{dq^2}}{\int_0 dq^2 \frac{d\Gamma}{dq^2}}
$$

• Exploits HQE to reduce numbers of higher dimensional operators [Fael, Mannel, Vos, '18]

• Preliminary result:

$$
|V_{cb}| = (41.69 \pm 0.63) \times 10^{-3}
$$

What's the issue with the previous determination?

- $\bullet\,$ The q^2 moments require a measurement of the branching ratio with a cut in q^2 which is not available yet
- By extrapolating from the current available measurements, the branching ratio is lower then what used
- If the same branching ratios is used, the two methods give the same result

The results for inclusive V_{cb} are stable

SMEFT with Flavour 1

[Allwicher, Cornella, Isidori, Stefanek, in preparation]

SMEFT with Flavour 2

[Allwicher, Cornella, Isidori, Stefanek, in preparation]

 C_9 from $B \to K^{(*)} \mu^+ \mu^-$ data

