Kaon physics: Recent Results, Status, and Prospects

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Kaon physics: why?

Kaons: protagonists of many discoveries since 1947!

Kaon decay experiments: the quintessential precision frontier experiments

- few decay modes
- simple final states
- large statistics
- → Long history of successes!
- Hot topics experiment:
 - Rare Kaon decays [especially the "golden modes": $K \rightarrow \pi v v$, $K_L \rightarrow \pi^0 \ell^+ \ell^-$, $K_{L,s} \rightarrow \mu^+ \mu^-$]
 - CKM unitarity tests
 - Low-energy QCD tests
 - BSM searches (e.g. LNV/LFV decays, on-shell BSM particles)
- Hot topics theory:
 - Precise SM predictions
 - Lattice QCD

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• Hot topics – experiment:

- Rare Kaon decays [especially the "golden modes": $K \rightarrow \pi v v$, $K_L \rightarrow \pi^0 \ell^+ \ell^-$, $K_{LS} \rightarrow \mu^+ \mu^-$]
- CKM unitarity tests
- Low-energy QCD tests
- BSM searches (e.g. LNV/LFV decays, on-shell BSM particles)

• Hot topics – theory:

- Precise SM predictions
- Lattice QCD

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This talk!

Kaon physics @ CKM 2023

133. Rare kaon and charr Paras Naik (University of Liverp (18/09/2023, 15:10)	n decays at LHCb	36. K->mun Stefan Sch	u in the continuum acht (University of Manch		
WG 3	Low-energy QCD tests	WG 3		Precise SM predictions	
137. Update and outlook Michal Koval (Charles Universited 19/09/2023, 15:10 WG 3	 37. Perturbative aspects of rare K and B decays Martin Gorbahn (Liverpool University) 18/09/2023, 15:35 WG 3 				
138. Search for $K_L \to \pi$ koji shiomi (High Energy Accele 3 19/09/2023, 15:35 WG 3	112. Improved radiative corrections for Kℓ3 decays and superallowed beta decays ▲ Misha Gorshteyn ③ 21/09/2023, 11:30 WG 1				
108. Global fit, proposal for A Matthew Moulson (INFN e Laborat 20/09/2023, 09:00	st row unitarity	38. K->mu ▲ En-Hung	Imu on the Chao (Columbi	lattice a University)	
		WG 3	525, 10.00	Lattice QCD	
109. New measurement of Antonio Passeri (Universita e I 20/09/2023, 09:30 WG 1		39. Rare Ryan Hill () 19/09/20 WG 3	xaons on th 023, 14:45	e lattice	

Recent results

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The golden modes

- FCNC forbidden at tree level: 1-loop contributions as leading order
 - Highest CKM suppression: BR ~ $|V_{ts}^*V_{td}|^2 \sim \lambda^{10}$

Decay	$\Gamma_{\rm SD}/\Gamma$	Theory error*	SM BR $\times 10^{11}$	Experimental BR × 10 ¹¹ (before KAON19)	Experiment	Year	
$K_L \rightarrow \pi^0 v v v$	>99%	2%	2.94 ± 0.15	< 300	КОТО	2019	
$K^+ \rightarrow \pi^+ \nu \overline{\nu}$	90%	4%	8.6 ± 0.4	17.3 +11.5 -10.5	BNL-787/949	2009	► NA62 A
$K_L \rightarrow \pi^0 e^+ e^-$	40%	10%	3.2 ± 1.0	< 28	KTeV	2004	
$K_{L} \rightarrow \pi^{0} \mu^{+} \mu^{-}$	30%	15%	1.5 ± 0.3	< 38	KTeV	2000	
$K_{L} \rightarrow \mu^{+} \mu^{-}$	10%	30%	79 ± 12 (SD)	684 ± 11	BNL-871	2000	Inch
$K_{s} \rightarrow \mu^{+} \mu^{-}$	4%	>30%	0.52 ± 0.15	< 80	LHCb	2017	-> гнср

* approximate error on LD-subtracted rate excluding parametric contributions



$K_{L} \rightarrow \pi^{0} \ell^{+} \ell^{-} vs K \rightarrow \pi vv:$

- Larger theoretical uncertainties from LD physics
 - SD CPV amplitude: γ/Z exchange
 - LD indirect CPV amplitude: $K_{L} \rightarrow K_{S}$
 - LD CPC amplitude from 2γ exchange
- Explore helicity suppression in FCNC decays

high sensitivity

to new physics

 $K_{I} \rightarrow \pi^{0}vv$ at **K**: strategy



 $K_{L} \rightarrow \pi^{0} v \bar{v}$ signature: $2\gamma s$ + missing p_{T} + nothing else!

- K_{L} momentum not known \rightarrow Kinematics with p_{T}
- Decay vertex reconstructed assuming $M(\gamma\gamma) = m(\pi^0)$
- <u>Particle veto is essential</u>: All other K_L decays have ≥ 2 extra γ s or ≥ 2 tracks to veto, except $K_L \rightarrow \gamma\gamma$: $2\gamma s$ + nothing else (but $p_T = 0$)









Detector upgrades

Primary beam: 30 GeV/c protons from J-PARC

Neutral beam (16°), 8 µsr "pencil" beam, $\langle p(K_L) \rangle = 2.1 \text{ GeV}$





separation

-Down stream Charged veto

type veto (IB)

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1mmt

Review of 2016-2018 result





PRL 126 (2021) 121801

Expected: 0.04 signal + 1.22 background events **Observed:** 3 events in the signal box

BR($K_L \rightarrow \pi^0 v v$) < 4.9 × 10⁻⁹ (90% CL)





 $K^+ \rightarrow \pi^+ vv$ at NA62: strategy





The NA62 experiment





Nominal intensity: $\sim 3 \times 10^{12} \text{ POT/spill} \rightarrow 750 \text{ MHz}$ hadron beam

Primary beam:

- 400 GeV CERN SPS protons Secondary hadron beam:
- $K^+(6\%) / \pi^+(70\%) / p(24\%)$
- $p = 75 \text{ GeV}, \Delta p/p \sim 1\%$
- 60 × 30 mm² transverse size **Decay region:**
- 60 m long fiducial volume
- Vacuum ~ $O(10^{-6} \text{ mbar})$
- ~ 5 MHz K⁺ decay rate



NA62 Run1 (2016-2018) result

2018 data:

Background	Subset S1	Subset S2
$\pi^+\pi^0$	0.23 ± 0.02	0.52 ± 0.05
$\mu^+ u$	0.19 ± 0.06	0.45 ± 0.06
$\pi^+\pi^-e^+ u$	0.10 ± 0.03	0.41 ± 0.10
$\pi^+\pi^+\pi^-$	0.05 ± 0.02	0.17 ± 0.08
$\pi^+\gamma\gamma$	< 0.01	< 0.01
$\pi^0 l^+ u$	< 0.001	< 0.001
Upstream	$0.54\substack{+0.39 \\ -0.21}$	$2.76\substack{+0.90 \\ -0.70}$
Total	$ 1.11^{+0.40}_{-0.22}$	$4.31\substack{+0.91 \\ -0.72}$

m²_{miss} [GeV²/c⁴] Data 0.12 SM $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ 0.1 0.08 0.06 0.04 0.02 And & Long with the same is a string 0 -0.02-0.0415 20 25 30 35 45 π^+ momentum [GeV/c] **Expected:** 7.6 signal + 5.4 background events

Observed: 17 K⁺ $\rightarrow \pi^+ \nu \overline{\nu}$ candidates!

Combined NA62 2016-2018 data

SES = $(8.39 \pm 0.53_{\text{syst}}) \times 10^{-12}$ Expected signal: $10.01 \pm 0.42_{syst} \pm 1.19_{ext}$ 7.03+1.05 Expected bkg: -0.82**Observed:** 20 (1+2+17) events

BR(K⁺
$$\rightarrow \pi^+ v v) = (10.6^{+4.0}_{-3.4 \text{ stat}} \pm 0.9_{\text{syst}}) \times 10^{-11}$$

3.4\sigma significance, most precise measurement to date!

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JHEP 06 (2021) 093



NA62

$K_{s} \rightarrow \mu^{+}\mu^{-} \text{ at } LHCb$





The golden modes – today

Decay	$\Gamma_{\rm SD}/\Gamma$	Theory error*	SM BR $\times 10^{11}$	Experimental BR × 10 ¹¹	Experiment	Year
$K_L \rightarrow \pi^0 \nu \overline{\nu}$	>99%	2%	2.94 ± 0.15	< 200		2023
$K^+ \rightarrow \pi^+ \nu \overline{\nu}$	90%	4%	8.6 ± 0.4	$10.6^{+4.0}_{-3.4}\pm0.9$	NA62 👌	2021
$K_L \rightarrow \pi^0 e^+ e^-$	40%	10%	3.2 ± 1.0	< 28	KTeV	2004
$K_L^{} \rightarrow \pi^0 \mu^+ \mu^-$	30%	15%	1.5 ± 0.3	< 38	KTeV	2000
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CKM unitarity tests

M. Moulson, 20th Sept, WG1 A. Passeri, 20th Sept, WG1

<u>Cabibbo Angle Anomaly</u>: Disagreement leads to (apparent?) violation of CKM unitarity $\left|V_{ud}^{2}\right| + \left|V_{us}^{2}\right| + \left|V_{ub}^{2}\right| = 0.9985 \pm 0.0005$



Low-energy QCD tests



M. Koval,

BSM searches in Kaon decays



Prospects

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The golden modes – short-term

Decay	$\Gamma_{\rm SD}/\Gamma$	Theory error*	SM BR \times 10 ¹¹	Experimental BR $\times 10^{11}$	Experiment	Year
$K_L \rightarrow \pi^0 \nu \overline{\nu}$	>99%	2%	2.94 ± 0.15	< 30		~ 2025
$K^+ \rightarrow \pi^+ \nu \overline{\nu}$	90%	4%	8.6 ± 0.4	$\sim 15\%$ precision	NA62 👌	~ 2025
$K_L \rightarrow \pi^0 e^+ e^-$	40%	10%	3.2 ± 1.0	< 28	KTeV	2004
$K_{L}^{} \longrightarrow \pi^{0} \mu^{+} \mu^{-}$	30%	15%	1.5 ± 0.3	< 38	KTeV	2000
$K_{L} \rightarrow \mu^{+} \mu^{-}$	10%	30%	79 ± 12 (SD)	684 ± 11	BNL-871	2000
$K_{s} \rightarrow \mu^{+} \mu^{-}$	4%	>30%	0.52 ± 0.15	< 21	LHCb THCp	2020

* approximate error on LD-subtracted rate excluding parametric contributions



Im

KOTO: Further improvements in K^{\pm} background suppression in 2023 Expect SES ~ 10^{-10} in a few years

NA62: Run2 (2021-2025) data taking with improved upstream background suppression Expect BR precision ~ 15% by 2025



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$K_L \rightarrow \pi^0 v v v$ at JPARC: KOTO-II

- Long-term plan (from 2006) to upgrade to reach O(100) SM event sensitivity
- Now beginning design work for a new KOTO-II experiment



Sensitivity studies for smaller beam angle & larger detector: ~ 35 SM events with S/B ~ 1 at 100 kW beam power (3 × 10⁷ s)

$K^+ \rightarrow \pi^+ v v v$ at CERN: HIKE-Phase1



NA62-like design of experiment will work at high intensity



HIKE-Phase1 improvements wrt NA62:

- Improved timing to be able to stand the intensity increase (x4)
- Equal or better key performances at high-rate to keep background under control [e.g. kinematic rejection, photon rejection, PID]
- Up to x2 increase in signal acceptance thanks to new, more granular/performant detectors [higher efficiency in K- π association, PID, kinematic rejection] & fully-software trigger
- Further suppress dominant background from upstream K⁺ decays



$K_L \rightarrow \pi^0 \ell^+ \ell^-$ at CERN: HIKE-Phase2

Minimal changes to Phase1 setup would allow a beautiful K_L programme at CERN



A multi-purpose K_{L} decay experiment focussed on $K_{L} \rightarrow \pi^{0}\ell^{+}\ell^{-}$ decays

- 120 m long neutral beamline:
 - Secondary beam opening angle = 0.4 mrad; 2.4 mrad production angle
 - Mean momentum of decaying K_{L} mesons = 46 GeV/c
- Reconfigured HIKE-Phase1 detector:
 - Kaon tagger, beam spectrometer, RICH, small-angle calorimeter removed
 - STRAW spectrometer shortened, chambers realigned

Number of spills		3	10^{6}		
Protons on target	6×10^{19}				
K_L decays in FV	1.9×10^{14}				
Mode	N_S	N_B	$N_S/\sqrt{N_S + N_B}$	$\delta \mathcal{B}/\mathcal{B}$	
$K_L \to \pi^0 e^+ e^-$	70	83	5.7	18%	
$K_L \rightarrow \pi^0 \mu^+ \mu^-$	100	53	8.1	12%	

First observation $@>5\sigma$ and measurement of both ultra-rare decay modes

$K_s \rightarrow \mu^+ \mu^-$ at LHCb: prospects



LHCb Upgrade II TDR

7.6.1 Rare kaon decays

In the SM, the $K_s^0 \rightarrow \mu^+ \mu^-$ decay is long-distance dominated, with subdominant short-distance contributions. However, the long-distance contribution is still very small in absolute terms, and the decay rate is very suppressed. For example, the SM prediction 384-386 $\mathcal{B}(K_s^0 \rightarrow \mu^+\mu^-)_{\rm SM} = (5.18 \pm 1.50_{\rm LD} \pm 0.02_{\rm SD}) \times 10^{-12}$ can be compared with the current experimental upper limit 387 $\mathcal{B}(K_s^0 \rightarrow \mu^+\mu^-)_{\rm Exp} < 8 \times 10^{-10}$ at 90% CL. Therefore, even small BSM contributions and BSM–SM interferences can compete with the SM rate. This has been proven to be the case in leptoquark models 388,389 as well as supersymmetric models 390. In the latter, $\mathcal{B}(K_s^0 \rightarrow \mu^+\mu^-)$ can have values anywhere in the range $[0.78-35] \times 10^{-12}$ (see Fig. 7.9, left) or even saturate the current experimental bound in certain narrow regions of the parameter space 390. The *CP* asymmetry of the $K^0 \rightarrow \mu^+\mu^-$ decay is also sensitive to BSM contributions and experimentally accessible by means of a tagged analysis.

The LHCb prospects for the search for $K_s^0 \to \mu^+ \mu^-$ decays are excellent. With 2011 data the experiment overtook the previous world best upper limit by a factor of thirty [391], and has recently gained another order of magnitude [387]. The right hand side of Fig. 7.9 shows the expected upper limit for $\mathcal{B}(K_s^0 \to \mu^+ \mu^-)$ as a function of the integrated luminosity multiplied by the trigger efficiency. It can be seen that if the trigger efficiency is high, as expected from a



Currently, the only existing measurement of $\mathcal{B}(K_s^0 \to \pi^0 \mu^+ \mu^-)$ comes from the NA48 experiment [392]

 $\mathcal{B}(K_{\rm s}^0 \to \pi^0 \mu^+ \mu^-) = (2.9^{+1.5}_{-1.2} \pm 0.2) \times 10^{-9}.$

In the Upgrade II LHCb can achieve a statistical precision of 0.11×10^{-9} with 300 fb⁻¹ of integrated luminosity, assuming a trigger efficiency of 100% 393. Assuming a trigger efficiency of 50% LHCb will still be able to significantly improve on the NA48 measurement. Apart from the branching fraction, the differential decay rate in the dimuon mass contains interesting information about the form factor parameters a_S and b_S 394. The LHCb Upgrade II can reach a 10% statistical precision on the form factor term $|a_S|$ with free b_S 395.

Other kaon decays that can be studied at LHCb include $K^+ \to \pi \mu \mu$ (both with opposite-sign and same-sign muon pairs), $K^0_{\rm S} \to 4\mu$, or decays involving electrons in order to test Lepton Universality [395]. The LHCb acceptance is such that it favours the sensitivity to $K^0_{\rm S}$ modes over $K^0_{\rm L}$ modes by about a factor 1000 due to the longer $K^0_{\rm L}$ lifetime.³



$10^{13} \text{ K}_{s}/\text{fb}^{-1}$ produced in LHCb acceptance $\rightarrow \sim 1$ strange hadron/event!

Vast K program for Run 3

Expected improvements on:

- $K_s \rightarrow \mu^+ \mu^-$
- $K_s \rightarrow \pi^0 \mu^+ \mu^-$
- $K_s \rightarrow \mu^+ \mu^- \mu^+ \mu^-$
- ..

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The golden modes – long-term

Decay	$\Gamma_{\rm SD}/\Gamma$	Theory error*	SM BR \times 10 ¹¹	Experimental BR × 10 ¹¹	Experiment	Year
$K_L \rightarrow \pi^0 \nu \overline{\nu}$	>99%	2%	2.94 ± 0.15	20% precision	KOTO-II	~ 2040
$K^+ \rightarrow \pi^+ \nu \overline{\nu}$	90%	4%	8.6 ± 0.4	5% precision	- phase1	~ 2035
$K_L \rightarrow \pi^0 e^+ e^-$	40%	10%	3.2 ± 1.0	20% precision	- phase2	~ 2040
$K_{L}^{} \longrightarrow \pi^{0} \mu^{+} \mu^{-}$	30%	15%	1.5 ± 0.3	20% precision	- phase2	~ 2040
$K_{L}^{} \!\!\! \rightarrow \!$	10%	30%	79 ± 12 (SD)	1% precision	- phase2	~ 2040
$K_{S}^{} \!$	4%	>30%	0.52 ± 0.15	SM sensitivity	LHC b	~ 2040

* approximate error on LD-subtracted rate excluding parametric contributions



Ambitious programme at J-PARC & at CERN



Conclusions

Rich physics programme with Kaons

 \rightarrow Recent results:

- <u>Rare Kaon decays</u>:

– Golden modes: $K_L \rightarrow \pi^0 v \bar{v}$ (KOTO), $K^+ \rightarrow \pi^+ v \bar{v}$ (NA62) and $K_s \rightarrow \mu^+ \mu^-$ (LHCb)

 $-K^+ \rightarrow \pi^+ \mu^+ \mu^-$ (NA62) and $K_{L,s} \rightarrow \mu^+ \mu^- \mu^+ \mu^-$ (LHCb)

- <u>CKM unitarity tests</u>:

– Cabibbo angle anomaly: motivate new measurements of V_{us} with kaons

- New measurement of $V_{\mu s}$ from $K_s \rightarrow \pi ev$ (KLOE)

- Low-energy QCD:

 $-K^+ \rightarrow \pi^0 e^+ \nu \gamma \text{ (NA62), } K^+ \rightarrow \pi^+ \gamma \gamma \text{ (NA62)}$

- BSM searches:

 $-K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-$ analysis & $K^+ \rightarrow \pi^+ aa (a \rightarrow e^+ e^-)$ interpretation (NA62)

- Many LFV/LNV modes (NA62)

Prospects:

[Short-term] Clear strategy defined for experimentally improving on golden modes:

- Reduce current main sources of background

- Run at higher beam intensity

[Long-term] Next-generation of Kaon experiments currently being designed

- J-PARC: Plans for KOTO-II to measure BR($K_{L} \rightarrow \pi^{0} v v$) at 20% precision
- CERN: Proposal for HIKE to measure BR($K^+ \rightarrow \pi^+ \nu \overline{\nu}$) at 5% precision and to measure BR($K_{L} \rightarrow \pi^0 \ell^+ \ell^-$) at 20% precision

→ Bright future for experimental kaon physics!