

$K \rightarrow \mu^+ \mu^-$ in the continuum

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A lot of recent activity in rare kaon decays

Theory

- D'Ambrosio Kitahara, 1707.06999
- Dery Ghosh Grossman StS, 2104.06427
- Buras Venturini, 2109.11032
- Dery Ghosh, 2112.05801
- D'Ambrosio Iyer Mahmoudi Neshatpour 2206.14748
- Brod Stamou, 2209.07445
- Dery Ghosh Grossman Kitahara StS, 2211.03804

Experiment

- NA62, 2103.15389: 3.4σ evidence for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at NA62.
- KOTO, 2012.07571: Improved upper limit on $K_L \rightarrow \pi^0 \nu \bar{\nu}$.
- LHCb, 2001.10354: Upper limit on $K_S \rightarrow \mu^+ \mu^-$.
- LHCb, KAON'22: Upper limits on $K_{S,L} \rightarrow 2(\mu^+ \mu^-)$.
- HIKE Lol, 2211.16586: New ideas for Kaons at CERN.

$K \rightarrow \mu^+ \mu^-$ is exciting!

[D'Ambrosio Kitahara 1707.06999, Dery Ghosh Grossman StS, 2104.06427, Brod Stamou 2209.07445]

The new idea

- We can very cleanly measure $\text{Im}(V_{td}^* V_{ts})$ (or η) from $K \rightarrow \mu^+ \mu^-$.
- We can do so employing time-dependent interference effects.

- **Third golden channel** alongside:

$$K^+ \rightarrow \pi^+ \nu \bar{\nu} \text{ gives } |V_{td} V_{ts}| \quad \text{NA62}$$

$$K_L \rightarrow \pi^0 \nu \bar{\nu} \text{ gives } \text{Im}(V_{td}^* V_{ts}) \text{ (or } \eta) \quad \text{KOTO}$$

- Determine the unitarity triangle purely with kaon decays.
 - ↳ Crucial intergenerational consistency check of the SM.
- New ways to probe for new physics.

The three golden channels

$$K^+ \rightarrow \pi^+ \nu \bar{\nu} \text{ and } K_L \rightarrow \pi^0 \nu \bar{\nu}$$

“Theoretically clean, experimentally hard”

$$K \rightarrow \mu^+ \mu^-, \text{ common lore}$$

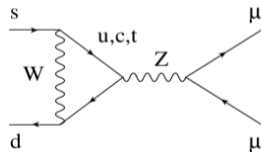
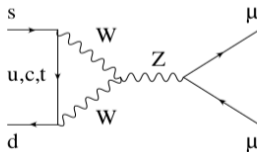
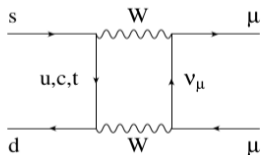
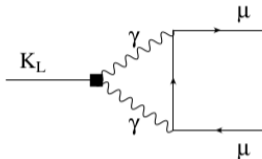
“Theoretically **not** clean, experimentally not hard.”

$$K \rightarrow \mu^+ \mu^-, \text{ this talk}$$

“Theoretically **clean**, experimentally hard.”

- f_K is the main hadronic uncertainty.
- Challenging to measure time-dependent interference effects.

Long-Distance and Short-Distance Physics



[Isidori Unterdorfer hep-ph/0311084]

Can we overcome soft QCD?

- To get a **theoretically clean** method we need a theory error of $\lesssim 1\%$.
- We are currently not able to achieve theory precision of **long distance** (LD) effects in $K \rightarrow \mu^+ \mu^-$ below $\sim 10\%$.
- We know **short-distance** (SD) physics at desired precision.
- How can we measure the SD physics?

Basics of $K \rightarrow \mu^+ \mu^-$

Approximation

- In this talk we neglect CP-violating in mixing ε_K .
Can be incorporated into analysis [Brod Stamou 2209.07445].

Angular momentum conservation: Only $(\mu\mu)_{l=0}$ or $(\mu\mu)_{l=1}$

- CP-conserving decays

$$\begin{array}{ccc} K_L & \rightarrow & (\mu\mu)_{l=0} \\ \text{CP-odd} & & \text{CP-odd} \end{array}$$

$$\begin{array}{ccc} K_S & \rightarrow & (\mu\mu)_{l=1} \\ \text{CP-even} & & \text{CP-even} \end{array}$$

- CP-violating decays

$$\begin{array}{ccc} K_S & \rightarrow & (\mu\mu)_{l=0} \\ \text{CP-even} & & \text{CP-odd} \end{array}$$

$$\begin{array}{ccc} K_L & \rightarrow & (\mu\mu)_{l=1} \\ \text{CP-odd} & & \text{CP-even} \end{array}$$

$K \rightarrow \mu^+ \mu^-$ in the Standard Model

To good approximation:

- LD effects are CP conserving. \Rightarrow CP violating amplitudes are **purely SD**.

Short-distance (SD) and long-distance (LD) physics

- CP-conserving decays: **SD and LD**

$$\begin{array}{ccc} K_L & \rightarrow & (\mu\mu)_{l=0} \\ \text{CP-odd} & & \text{CP-odd} \end{array}$$

$$\begin{array}{ccc} K_S & \rightarrow & (\mu\mu)_{l=1} \\ \text{CP-even} & & \text{CP-even} \end{array}$$

- CP-violating decays: **Only SD**

$$\begin{array}{ccc} K_S & \rightarrow & (\mu\mu)_{l=0} \\ \text{CP-even} & & \text{CP-odd} \end{array}$$

$$\begin{array}{ccc} K_L & \rightarrow & (\mu\mu)_{l=1} \\ \text{CP-odd} & & \text{CP-even} \end{array}$$

$K \rightarrow \mu^+ \mu^-$ in the Standard Model

- SM: SD operator **does not generate** $(\mu\mu)_{l=1}$ state (CPT).

Short-distance (SD) and long-distance (LD) physics

- CP-conserving decays: SD and LD

$$\begin{array}{cc} K_L & \rightarrow (\mu\mu)_{l=0} \\ \text{CP-odd} & \text{CP-odd} \end{array}$$

$$\begin{array}{cc} K_S & \rightarrow (\mu\mu)_{l=1} \\ \text{CP-even} & \text{CP-even} \end{array}$$

- CP-violating decays: **Only SD**

$$\begin{array}{cc} K_S & \rightarrow (\mu\mu)_{l=0} \\ \text{CP-even} & \text{CP-odd} \end{array}$$

$$\begin{array}{cc} K_L & \rightarrow (\mu\mu)_{l=1} \\ \text{CP-odd} & \text{CP-even} \\ & = \mathbf{0} \end{array}$$

Counting of Theory Parameters

- CP-conserving decays: SD and LD

$$\begin{array}{l} |A(K_L \rightarrow (\mu\mu)_{l=0})| \\ \text{CP-odd} \quad \text{CP-odd} \end{array}$$

$$\begin{array}{l} |A(K_S \rightarrow (\mu\mu)_{l=1})| \\ \text{CP-even} \quad \text{CP-even} \end{array}$$

- CP-violating decays: Only SD

$$\begin{array}{l} |A(K_S \rightarrow (\mu\mu)_{l=0})| \\ \text{CP-even} \quad \text{CP-odd} \end{array}$$

$$\begin{array}{l} |A(K_L \rightarrow (\mu\mu)_{l=1})| = \mathbf{0} \\ \text{CP-odd} \quad \text{CP-even} \end{array}$$

- Phases

$$\varphi_0 \equiv \arg(\mathcal{A}^*(K_S \rightarrow (\mu\mu)_{l=0})\mathcal{A}(K_L \rightarrow (\mu\mu)_{l=0}))$$

$$\varphi_1 \equiv \arg(\mathcal{A}^*(K_S \rightarrow (\mu\mu)_{l=1})\mathcal{A}(K_L \rightarrow (\mu\mu)_{l=1})) = \mathbf{0}$$

- A priori: 6 parameters: 4 magnitudes, 2 phases.
- In SM/large class of NP models: Reduction to 4, 1 of which is pure SD.

$K_S \rightarrow (\mu\mu)_{l=0}$ is the key to SD physics

- We can cleanly calculate it in the SM.

$$\mathcal{B}(K_S \rightarrow (\mu\mu)_{l=0}) = 1.7 \cdot 10^{-13} \times \left(\frac{A^2 \lambda^5 \bar{\eta}}{1.3 \times 10^{-4}} \right)$$

[Inami Lim 1981, Isidori Unterdorfer hep-ph/0311084, Dumm Pich hep-ph/9801298, Brod Stamou 2209.07445]

- Hadronic uncertainties from $f_K < 1\%$.
- Way to extract η theoretically clean.
- We can also calculate $\mathcal{B}(K_S \rightarrow (\mu\mu)_{l=0})$ cleanly in NP models.

In practice we measure incoherent sum

- Muon states with specific angular momentum $(\mu\mu)_{l=0}$ and $(\mu\mu)_{l=1}$:
Not available to us: We cannot separate $l = 0$ and $l = 1$.
- Instead, we measure the incoherent sum:

$$\Gamma(K_S \rightarrow \mu^+\mu^-)_{\text{meas.}} = \Gamma(K_S \rightarrow (\mu^+\mu^-)_{l=0}) + \Gamma(K_S \rightarrow (\mu^+\mu^-)_{l=1})$$

$$\Gamma(K_L \rightarrow \mu^+\mu^-)_{\text{meas.}} = \Gamma(K_S \rightarrow (\mu^+\mu^-)_{l=0}) + \Gamma(K_S \rightarrow (\mu^+\mu^-)_{l=1})$$

⇒ “So what are you talking about?”

Solution: Look at time dependence

- Generic **time dependence** of K decay:

$$\left(\frac{d\Gamma}{dt}\right) \propto C_L e^{-\Gamma_L t} + C_S e^{-\Gamma_S t} + 2(C_{\sin} \sin(\Delta m t) + C_{\cos} \cos(\Delta m t)) e^{-\Gamma t}$$

- $\Gamma = (\Gamma_S + \Gamma_L)/2$. Δm : Kaon mass difference.
- The **4 Cs** are the observables:
 - ▶ C_L is related to K_L decay rate.
 - ▶ C_S is related to K_S decay rate.
 - ▶ C_{\sin} and C_{\cos} are due to interference.
- We can calculate the **4 Cs** in terms of the **4 theoretical parameters**.

We can completely solve the system.

- For pure K^0 beam:
$$C_L = |A(K_L)_{l=0}|^2$$
$$C_S = |A(K_S)_{l=0}|^2 + |A(K_S)_{l=1}|^2$$
$$C_{cos} = \text{Re} (A(K_S)_{l=0} \times A^*(K_L)_{l=0})$$
$$C_{sin} = \text{Im} (A(K_S)_{l=0} \times A^*(K_L)_{l=0})$$
- We can get the clean amplitude from the observable combination

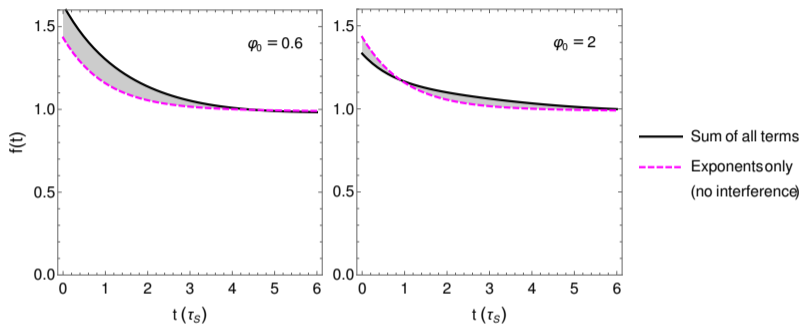
$$|A(K_S)_{l=0}|^2 = \frac{C_{cos}^2 + C_{sin}^2}{C_L}.$$

- We can rewrite this as:

$$\mathcal{B}(K_S \rightarrow (\mu^+ \mu^-)_{l=0}) = \mathcal{B}(K_L \rightarrow \mu^+ \mu^-) \times \frac{\tau_S}{\tau_L} \times \frac{C_{cos}^2 + C_{sin}^2}{C_L^2}$$

- Compare with calculation of $\mathcal{B}(K_S \rightarrow (\mu^+ \mu^-)_{l=0}) \Rightarrow$ extract η .
- We need the interference terms!

Demonstration of Interference Effect



$$\left(\frac{d\Gamma}{dt}\right) \propto f(t) \equiv C_L e^{-\Gamma_L t} + C_S e^{-\Gamma_S t} + 2(C_{\sin} \sin(\Delta m t) + C_{\cos} \cos(\Delta m t)) e^{-\Gamma t}$$

- Using estimates, not showing large hadronic uncertainties for long-distance contributions.
- As examples, two ad-hoc values for the phase.
- All parameters can be determined from experiment.

Experimental Considerations

- Experimentally, not easy to have pure K^0 or \bar{K}^0 beam.
- NA62: charged kaons. KOTO: pure K_L . LHCb: almost equal mix.
- In these limits no sensitivity to interference term.
- Employ mixed beam. Need non-zero production asymmetry.
 - ▶ Regeneration of K_S in K_L beam through matter effects.
 - ▶ Charged exchange targets: turn charged K^+ beams into K^0 beams.
 - ▶ Post-selection using tagging (?)
- Future new kaon facility (?)
- Interference terms are then diluted by dilution factor D :

$$D = \frac{N_{K^0} - N_{\bar{K}^0}}{N_{K^0} + N_{\bar{K}^0}} \quad C_{\cos} \mapsto DC_{\cos} \quad C_{\sin} \mapsto DC_{\sin}$$

N_K : Number of incoherent mixture of kaons/anti-kaons at $t = 0$.

- Pure K^0/\bar{K}^0 : $D = \pm 1$.

How many kaons are needed to do the measurement?

- We have $\mathcal{B}(K_L \rightarrow \mu^+\mu^-) = (6.84 \pm 0.11) \cdot 10^{-9}$.
- Only 1% of the K_L decay in region of interest $t \lesssim 6\tau_S$
- Fraction of useful events: $\sim 10^{-10}$.
- For $\mathcal{O}(1000)$ events we need $\mathcal{O}(10^{13}) K^0$ to start with.

First Experimental Studies: Next-generation Kaon Experiments

[Marchevski 2301.06801]

- First possible experimental setup presented:
Modification of NA62 $K^+ \Rightarrow K^0$ at CERN SPS.
- Need sample of $O(10^{14} - 10^{15})$ K_L and K_S decays.
- Need to suppress background from $K_S \rightarrow \pi^+\pi^-$ ($\mathcal{B} \sim 70\%$)
and radiative $K_L \rightarrow \mu^+\mu^-\gamma$ ($\mathcal{B}(\sim 3.6 \cdot 10^{-7})$).
- Requires excellent kinematic resolution + efficient photon detection

Relating $\Gamma(K \rightarrow \mu^+ \mu^-)(t)$ and $\mathcal{B}(K_L \rightarrow \gamma\gamma)/\mathcal{B}(K_L \rightarrow \mu^+ \mu^-)$

[Dery Ghosh Grossman Kitahara StS 2211.03804]

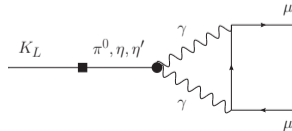
- We know more about the phase shift in the oscillating rate.

$$\varphi_0 \equiv \arg(\mathcal{A}^*(K_S \rightarrow (\mu\mu)_{l=0})\mathcal{A}(K_L \rightarrow (\mu\mu)_{l=0}))$$

$$\frac{1}{\mathcal{N}} \frac{d\Gamma(K^0 \rightarrow \mu^+ \mu^-)}{dt} = C_L e^{-\Gamma_L t} + C_S e^{-\Gamma_S t} + 2C_{\text{int.}} \cos(\Delta M_{Kt} - \varphi_0) e^{-\frac{\Gamma_L + \Gamma_S}{2} t}$$

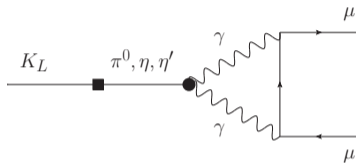
We find the **precision relation**

$$\cos^2 \varphi_0 = (\text{known QED factor}) \times \frac{\mathcal{B}(K_L \rightarrow \gamma\gamma)}{\mathcal{B}(K_L \rightarrow \mu^+ \mu^-)}$$



Relating $\Gamma(K \rightarrow \mu^+ \mu^-)(t)$ and $\mathcal{B}(K_L \rightarrow \gamma\gamma)/\mathcal{B}(K_L \rightarrow \mu^+ \mu^-)$

[Dery Ghosh Grossman Kitahara StS 2211.03804]



Result (model-independent)

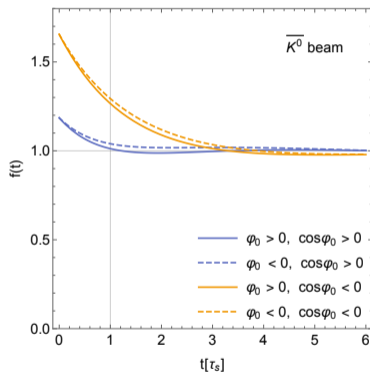
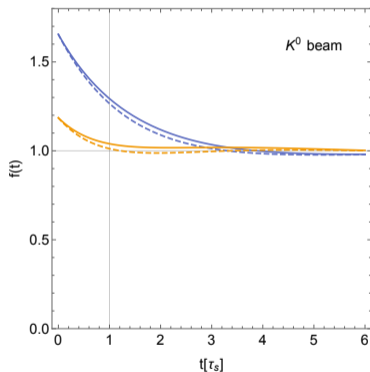
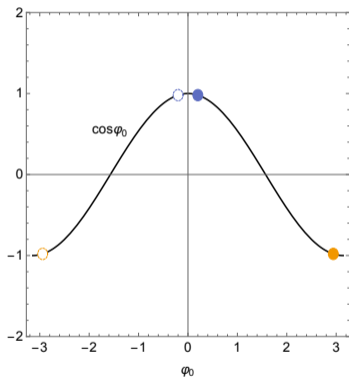
$$\cos^2 \varphi_0 = 0.96 \pm 0.02_{\text{exp.}} \pm 0.02_{\text{th}}$$

- Exp. error: From BR measurements in R_{K_L} .
- Th. error 1: Higher order QED corrections $\sim \alpha \sim 1\%$.
- Th. error 2: Contribution of additional intermediate on-shell contributions ($3\pi, \pi\pi\gamma$), also estimated as $\sim 1\%$.

[Martin De Rafael Smith 1970]

Discrete Ambiguities (model-independent)

[Dery Ghosh Grossman Kitahara StS 2211.03804]



$$\frac{1}{\mathcal{N}} \frac{d\Gamma(K^0 \rightarrow \mu^+\mu^-)}{dt} = C_L e^{-\Gamma_L t} + C_S e^{-\Gamma_S t} + 2C_{\text{int.}} \cos(\Delta M_{Kt} - \varphi_0) e^{-\frac{\Gamma_L + \Gamma_S}{2} t}$$

Beyond the Standard Model

How much room is there for NP?

[Dery Ghosh 2112.05801]

- 2020 measurement of LHCb [LHCb, 2001.10354]

$$\mathcal{B}(K_S \rightarrow \mu^+ \mu^-) < 2.1 \cdot 10^{-10}$$

- Sum of contributions with different CP (no interference):

$$\mathcal{B}(K_S \rightarrow \mu^+ \mu^-) = \mathcal{B}(K_S \rightarrow \mu^+ \mu^-)_{l=0} + \mathcal{B}(K_S \rightarrow \mu^+ \mu^-)_{l=1}$$

- Conservative interpretation:

Use as bound solely for the $l = 0$ (SD) contribution

$$\mathcal{B}(K_S \rightarrow \mu^+ \mu^-)_{l=0} \leq 2.1 \cdot 10^{-10}.$$

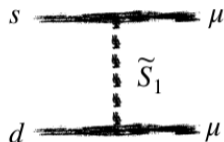
- \Rightarrow A lot of room for NP in the SD amplitude:

$$R(K_S \rightarrow \mu^+ \mu^-)_{l=0} \equiv \frac{\mathcal{B}(K_S \rightarrow \mu^+ \mu^-)_{l=0}^{\text{SM}}}{\mathcal{B}(K_S \rightarrow \mu^+ \mu^-)_{l=0}} \lesssim 10^3.$$

How much room is there for NP?

[Dery Ghosh 2112.05801]

- Scalar Leptoquarks:



- 2HDM:



- Both can saturate bound, consistent with existing constraints.
- Updated bounds from LHCb important to constrain the model space further.

[Diagrams courtesy Avital Dery]

Another very clean SM test

[Buras Venturini 2109.11032]

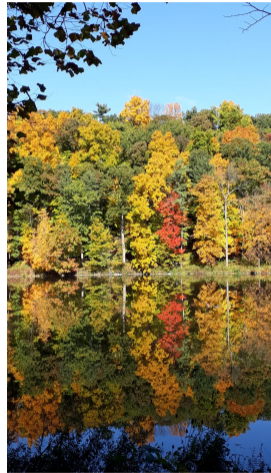
- Combination of $K_S \rightarrow \mu^+ \mu^-$ and $K_L \rightarrow \pi^0 \nu \bar{\nu}$:

$$\frac{\mathcal{B}(K_S \rightarrow \mu^+ \mu^-)_{l=0}}{\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu})} = 1.55 \times 10^{-2} \left(\frac{\lambda}{0.225} \right)^2 \left(\frac{Y(x_t)}{X(x_t)} \right)^2$$

- Depends only on Wolfenstein- λ ($|V_{us}|$) and m_t .
- Does not depend on $|V_{cb}|$.
- $K \rightarrow \mu^+ \mu^-$ and $K_L \rightarrow \pi^0 \nu \bar{\nu}$ sensitive to different NP operators.

Conclusion

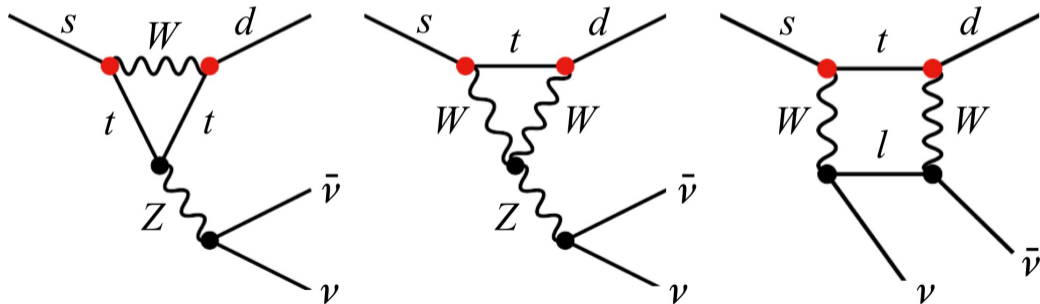
- Within SM, $K(t) \rightarrow \mu^+ \mu^-$ gives same info as $K_L \rightarrow \pi^0 \nu \bar{\nu}$.
- Complementary NP sensitivity: Combination distinguishes models.
- Time-dependence of $K \rightarrow \mu^+ \mu^-$: 2 independent SM tests:
 - ▶ Coefficient of interference term $\Rightarrow \mathcal{B}(K_S \rightarrow \mu^+ \mu^-)_{l=0}$.
In SM, $\propto |V_{ts} V_{td} \sin(\beta + \beta_s)|$.
 - ▶ Interference term phase shift φ_0 predicted up to 4-fold ambiguity.
- “Theoretically clean, experimentally hard”: Can we do it?



BACK-UP

$K \rightarrow \pi\nu\bar{\nu}$: Very clean SM prediction.

- Probing FCNC $s \rightarrow d\nu\bar{\nu}$.
- Loops dominated by top quark contribution.
- Hadronic matrix elements from $K \rightarrow \pi e\nu$.



[Snowmass white paper 2204.13394]

Current Status of $K \rightarrow \pi \nu \bar{\nu}$

SM prediction

[Buras et al, 1503.02693]

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.39 \pm 0.30) \cdot 10^{-11} \left(\frac{|V_{cb}|}{0.0407} \right)^{2.8} \left(\frac{\gamma}{73.2^\circ} \right)^{0.74}$$

$$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.36 \pm 0.05) \cdot 10^{-11} \left(\frac{|V_{ub}|}{3.88 \times 10^{-3}} \right)^2 \left(\frac{|V_{cb}|}{0.0407} \right)^2 \left(\frac{\sin \gamma}{\sin 73.2^\circ} \right)^2$$

NA62

[NA62, 2103.15389]

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (10.6_{-3.4}^{+4.0} \pm 0.9) \times 10^{-11}$$

KOTO

[KOTO, 1810.09655]

$$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \leq 3 \times 10^{-9}$$

Resolving Discrete Ambiguities (model-dependent): 4-fold \Rightarrow 2-fold

- Sign of $\cos \varphi_0$ can be determined using ChPT and/or Lattice QCD.
- In large N_C limit, it was found destructive interference between SD and LD contributions.

[Isidori/Unterdorfer hep-ph/0311084, Dumm/Pich hep-ph/9801298]

We find that destructive interference implies:

$$\text{sgn}(\cos \varphi_0) = \text{sgn}(\tan \theta_{SD}) . \quad \theta_{SD}: \text{weak phase of SD } K^0 \rightarrow (\mu^+ \mu^-)_{l=0} \text{ amplitude.}$$

In the Standard Model

$$\tan \theta_{SD}^{\text{SM}} = \tan \left(\arg \left(-\frac{V_{ts}^* V_{td} + V_{cs}^* V_{cd} Y_{NL} / Y_t}{V_{cs}^* V_{cd}} \right) \right) < 0$$
$$\Rightarrow [\cos \varphi_0]_{\text{large } N_C}^{\text{SM}} = -0.98 \pm 0.02 .$$

- Remaining ambiguity $\text{sgn}(\sin \varphi_0)$ cannot be resolved: large uncertainty of LD contributions.

Model Independent Effective Operator Analysis

$$\mathcal{H}_{eff}^{\Delta S=1} = \sum_i C_i O_i.$$

[Dery Ghosh 2112.05801]

- Vectorial:

$$O_{VLL} = (\bar{Q}_L \gamma^\mu Q_L) (\bar{L}_L \gamma_\mu L_L),$$

$$O_{VLR} = (\bar{Q}_L \gamma^\mu Q_L) (\bar{e}_R \gamma_\mu e_R),$$

$$O_{VRL} = (\bar{d}_R \gamma^\mu d_R) (\bar{L}_L \gamma_\mu L_L),$$

$$O_{VRR} = (\bar{d}_R \gamma^\mu d_R) (\bar{e}_R \gamma_\mu e_R).$$

- Scalar:

$$O_{SLR} = (\bar{Q}_L d_R) (\bar{e}_R L_L),$$

$$O_{SRL} = (\bar{d}_R Q_L) (\bar{L}_L e_R).$$

- SM: $K_S \rightarrow (\mu^+ \mu^-)_{l=0}$ and $K_L \rightarrow \pi^0 \bar{\nu} \nu$ from O_{VLL} .

- Current bound sensitive to NP scales:

$$\Lambda \sim \mathbf{40 \text{ TeV}} \text{ (vectorial)} \quad \text{and} \quad \Lambda \sim \mathbf{130 \text{ TeV}} \text{ (scalar)}.$$

Complementarity of $K_S \rightarrow (\mu^+ \mu^-)_{l=0}$ and $K_L \rightarrow \pi^0 \nu \bar{\nu}$

SM

- $\bar{\eta}$ also accessible at KOTO using $K_L \rightarrow \pi^0 \nu \bar{\nu}$.

Prospect: "KOTO step 2" aims to measure $\bar{\eta}$ with precision of $\sim 14\%$.

[J-PARC white paper 2110.04462]

NP

[Dery Ghosh 2112.05801]

- In units of SM prediction: $R(X) = X/X_{SM}$:

$$R(K_S \rightarrow \mu^+ \mu^-)_{l=0} = 1 + \text{function} \left(C_{SLR}^{NP}, C_{SRL}^{NP}, C_{VLL}^{NP}, C_{VLR}^{NP}, C_{VRL}^{NP}, V_{VRR}^{NP} \right)$$

$$R(K_L \rightarrow \pi^0 \bar{\nu} \nu) = 1 + \text{function} \left(C_{VLL}^{NP}, C_{VRL}^{NP} \right).$$

- $K_S \rightarrow (\mu^+ \mu^-)_{l=0}$: sensitivity to RH leptonic currents + scalar operators.
- Complementary NP sensitivity.